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Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)**

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

**2009**

**Open to Public Inspection**

A For the 2009 calendar year, or tax year beginning , and ending

POSTMARK DATE NOV 15 2010

B Check if applicable: Address change; Name change; Initial return; Termination; Amended return; Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: TR U/W FOR AGING IN AMERICA, INC.

Doing Business As

Number and street (or P O box if mail is not delivered to street address): C/O JP MORGAN SER INC, PO BOX 6089 — Room/suite

City or town, state or country, and ZIP + 4: NEWARK DE 19714-6089

D Employer identification number: 13-6046634

E Telephone number: 212-648-1477

G Gross receipts $ 3,339,554

F Name and address of principal officer:
J.P. MORGAN CHASE BANK, N.A.
JP MORGAN SERVICES, PO BOX 6089
NEWARK DE 19714-6089

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) Are all affiliates included? ☐ Yes ☐ No

If "No," attach a list (see instructions)

I Tax-exempt status ☐ 501(c) ( ) ◀ (insert no ) ☒ 4947(a)(1) or ☐ 527

J Website: ▶ N/A

H(c) Group exemption number ▶

K Type of organization ☐ Corporation ☒ Trust ☐ Association ☐ Other ▶

L Year of formation 1936

M State of legal domicile NY

## Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
AS REQUESTED BY ITS INSTRUMENT, THIS TRUST SUPPORTS THE AGING IN AMERICA, INC.

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 1 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 0 |
| 5 | Total number of employees (Part V, line 2a) | 5 | 0 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 0 |
| 7a | Total gross unrelated business revenue from Part VIII, column (C), line 12 | 7a | |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | 0 |

| | | | Prior Year | Current Year |
|---|---|---|---|---|
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) | | |
| | 9 | Program service revenue (Part VIII, line 2g) | | |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 10,222 | -464,548 |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | | |
| | 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 10,222 | -464,548 |
| Expenses | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3) | 310,388 | 278,132 |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | | |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 67,284 | 52,947 |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | | |
| | b | Total fundraising expenses (Part IX, column (D), line 25) ▶ | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f) | 1,068 | 6,061 |
| | 18 | Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 378,740 | 337,140 |
| | 19 | Revenue less expenses Subtract line 18 from line 12 | -368,518 | -801,688 |

| | | | Beginning of Current Year | End of Year |
|---|---|---|---|---|
| Net Assets or Fund Balances | 20 | Total assets (Part X, line 16) | 6,560,592 | 5,758,904 |
| | 21 | Total liabilities (Part X, line 26) | | |
| | 22 | Net assets or fund balances Subtract line 21 from line 20 | 6,560,592 | 5,758,904 |

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## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

▶ Maria Nieg VP — Signature of officer — Date 11/12/10

▶ Type or print name and title

**Paid Preparer's Use Only**

Preparer's signature ▶ James J Brogan III VP — Date 11/12/10 — Check if self-employed ▶ ☐ — Preparer's identifying number (see instructions) P00417357

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ J. P. MORGAN SERVICES INC.
P. O. BOX 6089
NEWARK, DE 19714-6089

EIN ▶ 22-2189421

Phone no ▶

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

**For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.**

DAA

-617 R

**Part III Statement of Program Service Accomplishments**

1 Briefly describe the organization's mission·
AS REQUESTED BY ITS INSTRUMENT, THIS TRUST SUPPORTS THE AGING IN AMERICA, INC.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code ) (Expenses $ 278,132 including grants of $ 278,132 ) (Revenue $ )
AS REQUESTED BY ITS INSTRUMENT, THIS TRUST SUPPORTS THE AGING IN AMERICA, INC. THROUGH THE DISTRIBUTION OF ITS INCOME TO THE AGING IN AMERICA, INC.

4b (Code ) (Expenses $ including grants of $ ) (Revenue $ )

4c (Code ) (Expenses $ including grants of $ ) (Revenue $ )

4d Other program services (Describe in Schedule O )
(Expenses $ including grants of $ ) (Revenue $ )

4e Total program service expenses ▶ 278,132

## Part IV Checklist of Required Schedules

| | | Line | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A | 1 | X | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors? | 2 | | X |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I | 3 | | X |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II | 4 | | X |
| 5 | **Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III | 5 | | |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I | 6 | | X |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II | 7 | | X |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III | 8 | | X |
| 9 | Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV | 9 | | X |
| 10 | Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V | 10 | | X |
| 11 | Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | 11 | | X |
| | • Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI | | | |
| | • Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII | | | |
| | • Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII | | | |
| | • Did the organization report an amount for other assets related in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX | | | |
| | • Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X | | | |
| | • Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X | | | |
| 12 | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII | 12 | | X |
| 12A | Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional — 12A Yes: ; No: X | | | |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E | 13 | | X |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | X |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I | 14b | | X |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II | 15 | | X |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III | 16 | | X |
| 17 | Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I | 17 | | X |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II | 18 | | X |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III | 19 | | X |
| 20 | Did the organization operate one or more hospitals? If "Yes," complete Schedule H | 20 | | X |

**Part IV Checklist of Required Schedules** (continued)

| | | | Yes | No |
|---|---|---|---|---|
| 21 | Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II | 21 | X | |
| 22 | Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III | 22 | | X |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J | 23 | | X |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25 | 24a | | X |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | **Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I | 25a | | X |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I | 25b | | X |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II | 26 | | X |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III | 27 | | X |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| a | A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28a | | X |
| b | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28b | | X |
| c | An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV | 28c | | X |
| 29 | Did the organization receive more than $25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 | | X |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M | 30 | | X |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I | 31 | | X |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II | 32 | | X |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I | 33 | | X |
| 34 | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1 | 34 | | X |
| 35 | Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 35 | | X |
| 36 | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 | 36 | | X |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI | 37 | | X |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O | 38 | X | |

## Part V Statements Regarding Other IRS Filings and Tax Compliance

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| 1a | Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U S. Information Returns Enter -0- if not applicable | 1a | 0 | | | |
| b | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable | 1b | 0 | | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | | 1c | | X |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return | 2a | 0 | | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions) | | | 2b | | |
| 3a | Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return? | | | 3a | | X |
| b | If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O | | | 3b | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | 4a | | X |
| b | If "Yes," enter the name of the foreign country ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts | | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | 5a | | X |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | 5b | | X |
| c | If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction? | | | 5c | | |
| 6a | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible? | | | 6a | | X |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | 6b | | |
| 7 | **Organizations that may receive deductible contributions under section 170(c).** | | | | | |
| a | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? | | | 7a | | X |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | 7b | | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | 7c | | X |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | 7d | | | | |
| e | Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | 7e | | X |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 7f | | X |
| g | For all contributions of qualified intellectual property, did the organization file Form 8899 as required? | | | 7g | | X |
| h | For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? | | | 7h | | X |
| 8 | **Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | | 8 | | X |
| 9 | **Sponsoring organizations maintaining donor advised funds.** | | | | | |
| a | Did the organization make any taxable distributions under section 4966? | | | 9a | | X |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? | | | 9b | | X |
| 10 | **Section 501(c)(7) organizations.** Enter | | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 | 10a | | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | | |
| 11 | **Section 501(c)(12) organizations.** Enter | | | | | |
| a | Gross income from members or shareholders | 11a | | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) | 11b | | | | |
| 12a | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | | | 12a | X | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | | |

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

**Section A. Governing Body and Management**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body | 1a 1 | | |
| b | Enter the number of voting members that are independent | 1b 0 | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | | X |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | 3 | | X |
| 4 | Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? | 4 | | X |
| 5 | Did the organization become aware during the year of a material diversion of the organization's assets? | 5 | | X |
| 6 | Does the organization have members or stockholders? | 6 | | X |
| 7a | Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? | 7a | | X |
| b | Are any decisions of the governing body subject to approval by members, stockholders, or other persons? | 7b | | X |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | |
| a | The governing body? | 8a | X | |
| b | Each committee with authority to act on behalf of the governing body? | 8b | X | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | 9 | | X |

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Does the organization have local chapters, branches, or affiliates? | 10a | | X |
| b | If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? | 10b | | |
| 11 | Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? | 11 | X | |
| 11a | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | |
| 12a | Does the organization have a written conflict of interest policy? If "No," go to line 13 | 12a | | X |
| b | Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | | |
| c | Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done | 12c | | |
| 13 | Does the organization have a written whistleblower policy? | 13 | | X |
| 14 | Does the organization have a written document retention and destruction policy? | 14 | | X |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official | 15a | | X |
| b | Other officers or key employees of the organization | 15b | | X |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions ) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | X |
| b | If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed ▶ NY

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ JP MORGAN SRVCS INC PO BOX 6089

NEWARK DE 19714 302-634-2931

## Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current officer, director, or trustee

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply): Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| JP MORGAN CHASE BANK<br>TRUSTEE | 2.00 | | X | | | | | 52,947 | 0 | 0 |
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**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply): Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
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| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| **1b Total** ▶ | | | | | | | | 52,947 | | |

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 0

| | | Yes | No |
|---|---|---|---|
| 3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual | 3 | | X |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? If "Yes," complete Schedule J for such individual | 4 | | X |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person | 5 | | X |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 0

## Part VIII Statement of Revenue

| | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
|---|---|---|---|---|---|---|
| **Contributions, gifts, grants and other similar amounts** | | | | | | |
| 1a Federated campaigns | 1a | | | | | |
| b Membership dues | 1b | | | | | |
| c Fundraising events | 1c | | | | | |
| d Related organizations | 1d | | | | | |
| e Government grants (contributions) | 1e | | | | | |
| f All other contributions, gifts, grants, and similar amounts not included above | 1f | | | | | |
| g Noncash contributions included in lines 1a-1f $ | | | | | | |
| h **Total.** Add lines 1a–1f ▶ | | | | | | |
| **Program Service Revenue** | | Busn. Code | | | | |
| 2a | | | | | | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| f All other program service revenue | | | | | | |
| g **Total.** Add lines 2a–2f ▶ | | | | | | |
| **Other Revenue** | | | | | | |
| 3 Investment income (including dividends, interest, and other similar amounts) ▶ | | | 147,885 | | | 147,885 |
| 4 Income from investment of tax-exempt bond proceeds ▶ | | | | | | |
| 5 Royalties ▶ | | | | | | |
| | (i) Real | (ii) Personal | | | | |
| 6a Gross Rents | | | | | | |
| b Less rental exps | | | | | | |
| c Rental inc or (loss) | | | | | | |
| d Net rental income or (loss) ▶ | | | | | | |
| 7a Gross amount from sales of assets other than inventory | (i) Securities | (ii) Other | | | | |
| | 3,191,598 | 71 | | | | |
| b Less cost or other basis & sales exps | 3,804,102 | | | | | |
| c Gain or (loss) | -612,504 | 71 | | | | |
| d Net gain or (loss) ▶ | | | -612,433 | | | -612,433 |
| 8a Gross income from fundraising events (not including $ of contributions reported on line 1c). See Part IV, line 18 | a | | | | | |
| b Less direct expenses | b | | | | | |
| c Net income or (loss) from fundraising events ▶ | | | | | | |
| 9a Gross income from gaming activities See Part IV, line 19 | a | | | | | |
| b Less direct expenses | b | | | | | |
| c Net income or (loss) from gaming activities ▶ | | | | | | |
| 10a Gross sales of inventory, less returns and allowances | a | | | | | |
| b Less cost of goods sold | b | | | | | |
| c Net income or (loss) from sales of inventory ▶ | | | | | | |
| Miscellaneous Revenue | | Busn. Code | | | | |
| 11a | | | | | | |
| b | | | | | | |
| c | | | | | | |
| d All other revenue | | | | | | |
| e **Total.** Add lines 11a–11d ▶ | | | | | | |
| 12 **Total Revenue.** See instructions ▶ | | | -464,548 | 0 | 0 | -464,548 |

## Part IX Statement of Functional Expenses

**Section 501(c)(3) and 501(c)(4) organizations must complete all columns.**
**All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).**

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21 | 278,132 | 278,132 | | |
| 2 Grants and other assistance to individuals in the U S. See Part IV, line 22 | | | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16 | | | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 52,947 | | 52,947 | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 Other salaries and wages | | | | |
| 8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions) | | | | |
| 9 Other employee benefits | | | | |
| 10 Payroll taxes | | | | |
| 11 Fees for services (non-employees): | | | | |
| a Management | | | | |
| b Legal | | | | |
| c Accounting | | | | |
| d Lobbying | | | | |
| e Professional fundraising services See Part IV, line 17 | | | | |
| f Investment management fees | | | | |
| g Other | | | | |
| 12 Advertising and promotion | | | | |
| 13 Office expenses | | | | |
| 14 Information technology | | | | |
| 15 Royalties | | | | |
| 16 Occupancy | | | | |
| 17 Travel | | | | |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | |
| 19 Conferences, conventions, and meetings | | | | |
| 20 Interest | | | | |
| 21 Payments to affiliates | | | | |
| 22 Depreciation, depletion, and amortization | | | | |
| 23 Insurance | | | | |
| 24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below ) | | | | |
| a TAXES PAID | 5,025 | | 5,025 | |
| b FOREIGN TAX WITHHELD | 1,036 | | 1,036 | |
| c | | | | |
| d | | | | |
| e | | | | |
| f All other expenses | | | | |
| 25 **Total functional expenses.** Add lines 1 through 24f | 337,140 | 278,132 | 59,008 | |
| 26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation | | | | |

## Part X Balance Sheet

| | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest bearing | 664,207 | 1 | 282,312 |
| | 2 | Savings and temporary cash investments | | 2 | |
| | 3 | Pledges and grants receivable, net | | 3 | |
| | 4 | Accounts receivable, net | 11,569 | 4 | 6,714 |
| | 5 | Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L | | 5 | |
| | 6 | Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L | | 6 | |
| | 7 | Notes and loans receivable, net | | 7 | |
| | 8 | Inventories for sale or use | | 8 | |
| | 9 | Prepaid expenses and deferred charges | | 9 | |
| | 10a | Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D — 10a | | | |
| | b | Less accumulated depreciation — 10b | | 10c | |
| | 11 | Investments—publicly traded securities | 5,884,816 | 11 | 5,469,878 |
| | 12 | Investments—other securities See Part IV, line 11 | | 12 | |
| | 13 | Investments—program-related See Part IV, line 11 | | 13 | |
| | 14 | Intangible assets | | 14 | |
| | 15 | Other assets See Part IV, line 11 | | 15 | |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | 6,560,592 | 16 | 5,758,904 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 17 | |
| | 18 | Grants payable | | 18 | |
| | 19 | Deferred revenue | | 19 | |
| | 20 | Tax-exempt bond liabilities | | 20 | |
| | 21 | Escrow or custodial account liability Complete Part IV of Schedule D | | 21 | |
| | 22 | Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | 23 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | 24 | |
| | 25 | Other liabilities Complete Part X of Schedule D | | 25 | |
| | 26 | **Total liabilities.** Add lines 17 through 25 | | 26 | |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117, check here ▶ ☐ and complete lines 27 through 29, and lines 33 and 34.** | | | |
| | 27 | Unrestricted net assets | | 27 | |
| | 28 | Temporarily restricted net assets | | 28 | |
| | 29 | Permanently restricted net assets | | 29 | |
| | | **Organizations that do not follow SFAS 117, check here ▶ ☒ and complete lines 30 through 34.** | | | |
| | 30 | Capital stock or trust principal, or current funds | 6,560,592 | 30 | 5,758,904 |
| | 31 | Paid-in or capital surplus, or land, building, or equipment fund | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | 32 | |
| | 33 | Total net assets or fund balances | 6,560,592 | 33 | 5,758,904 |
| | 34 | Total liabilities and net assets/fund balances | 6,560,592 | 34 | 5,758,904 |

## Part XI Financial Statements and Reporting

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 [X] Cash [ ] Accrual [ ] Other ______<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | X |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | | X |
| c | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | | | |
| d | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both<br>[ ] Separate basis [ ] Consolidated basis [ ] Both consolidated and separate basis | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | | X |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | 3b | | |

**SCHEDULE A**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

**▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

**2009**

**Open to Public Inspection**

Name of the organization: TR U/W FOR AGING IN AMERICA, INC.

Employer identification number: 13-6046634

## Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state.

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II )

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II )

9 ☐ An organization that normally receives (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☒ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I  b ☐ Type II  c ☐ Type III–Functionally integrated  d ☒ Type III–Other

e ☒ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | | Yes | No |
|---|---|---|---|
| (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? | 11g(i) | | X |
| (ii) A family member of a person described in (i) above? | 11g(ii) | | X |
| (iii) A 35% controlled entity of a person described in (i) or (ii) above? | 11g(iii) | | X |

h Provide the following information about the supported organization(s)

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1–9 above or IRC section (see instructions)) | (iv) Is the organization in col (i) listed in your governing document? Yes | (iv) No | (v) Did you notify the organization in col (i) of your support? Yes | (v) No | (vi) Is the organization in col (i) organized in the U S ? Yes | (vi) No | (vii) Amount of support |
|---|---|---|---|---|---|---|---|---|---|
| AGING IN AMERICA, INC. | 13-6126508 | 7 | X | | X | | X | | 278,132 |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Total | | | | | | | | | 278,132 |

**For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.**

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I )

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 **Total.** Add lines 1 through 3 | | | | | | |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 **Public support.** Subtract line 5 from line 4 | | | | | | |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | | | | | | |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 11 **Total support.** Add lines 7 through 10 | | | | | | |

12 Gross receipts from related activities, etc (see instructions) | 12 |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

## Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) | 14 | %

15 Public support percentage from 2008 Schedule A, Part II, line 14 | 15 | %

16a **33 1/3 % support test—2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3 % support test—2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

**Part III** **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I.)

## Section A. Public Support

| | Calendar year (or fiscal year beginning in) ▶ | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|---|---|---|---|---|---|---|
| 1 | Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants") | | | | | | |
| 2 | Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 | Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 | The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 | **Total.** Add lines 1 through 5 | | | | | | |
| 7a | Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b | Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c | Add lines 7a and 7b | | | | | | |
| 8 | **Public support** (Subtract line 7c from line 6 ) | | | | | | |

## Section B. Total Support

| | Calendar year (or fiscal year beginning in) ▶ | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|---|---|---|---|---|---|---|
| 9 | Amounts from line 6 | | | | | | |
| 10a | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b | Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c | Add lines 10a and 10b | | | | | | |
| 11 | Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 | Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 13 | **Total support.** (Add lines 9, 10c, 11, and 12 ) | | | | | | |

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

## Section C. Computation of Public Support Percentage

| | | | |
|---|---|---|---|
| 15 | Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f)) | 15 | % |
| 16 | Public support percentage from 2008 Schedule A, Part III, line 15 | 16 | % |

## Section D. Computation of Investment Income Percentage

| | | | |
|---|---|---|---|
| 17 | Investment income percentage for **2009** (line 10c, column (f) divided by line 13, column (f)) | 17 | % |
| 18 | Investment income percentage from **2008** Schedule A, Part III, line 17 | 18 | % |

19a **33 1/3 % support tests—2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3 % support tests—2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3 %, and line 18 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10, Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information See instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ▶ [X]

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

## Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

| | | |
|---|---|---|
| **Type or print** File by the extended due date for filing the return See instructions | Name of Exempt Organization<br>TR U/W FOR AGING IN AMERICA, INC. | **Employer identification number**<br>13-6046634 |
| | Number, street, and room or suite no If a P O box, see instructions<br>C/O JP MORGAN SER INC, PO BOX 6089 | For IRS use only |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>NEWARK DE 19714-6089 | |

**Check type of return to be filed** (File a separate application for each return)

[X] Form 990 [ ] Form 990-PF [ ] Form 1041-A [ ] Form 6069
[ ] Form 990-BL [ ] Form 990-T (sec 401(a) or 408(a) trust) [ ] Form 4720 [ ] Form 8870
[ ] Form 990-EZ [ ] Form 990-T (trust other than above) [ ] Form 5227

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ JP MORGAN SRVCS INC

Telephone No ▶ 302-634-2931 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/10.

5 For calendar year 2009, or other tax year beginning , and ending .

6 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension

ADDITIONAL INFORMATION IS REQUIRED IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN.

| | | | |
|---|---|---|---|
| 8a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 8a | $ |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | 8b | $ |
| c | **Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 8c | $ |

## Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ James J Brogan III VP Title ▶ VICE PRESIDENT Date ▶ 08/06/10

' P60103002 02/19/2010 4 53 PM

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www irs gov/efile and click on e-file for Charities & Nonprofits.

| **Type or print** File by the due date for filing your return See instructions | Name of Exempt Organization<br>TR U/W FOR AGING IN AMERICA, INC. | **Employer identification number**<br>13-6046634 |
|---|---|---|
| | Number, street, and room or suite no If a P O. box, see instructions<br>C/O JP MORGAN SER INC, PO BOX 6089 | |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>NEWARK DE 19714-6089 | |

**Check type of return to be filed** (file a separate application for each return).

| | | |
|---|---|---|
| [X] Form 990 | [ ] Form 990-T (corporation) | [ ] Form 4720 |
| [ ] Form 990-BL | [ ] Form 990-T (sec. 401(a) or 408(a) trust) | [ ] Form 5227 |
| [ ] Form 990-EZ | [ ] Form 990-T (trust other than above) | [ ] Form 6069 |
| [ ] Form 990-PF | [ ] Form 1041-A | [ ] Form 8870 |

- The books are in the care of ▶ JP MORGAN SRVCS INC

Telephone No ▶ 302-634-2931 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15/10 , to file the exempt organization return for the organization named above. The extension is for the organization's return for
▶ [X] calendar year 2009 or
▶ [ ] tax year beginning , and ending .

2 If this tax year is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 3a | $ |
| b | If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit. | 3b | $ |
| c | **Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 3c | $ |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

**For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**

**SCHEDULE I (Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" on Form 990, Part IV, lines 21 or 22.**

**▶ Attach to Form 990.**

OMB No 1545-0047

**2009**

**Open to Public Inspection**

Name of the organization: TR U/W FOR AGING IN AMERICA, INC.

Employer identification number: 13-6046634

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

## Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ [ ]

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AGING IN AMERICA , INC.<br>1500 PELHAM PARKWAY<br>BRONX NY 10461 | 13-6126508 | 3 | 278,132 | | | | GENERAL SUPPORT |
| | | | | | | | |
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| | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations ▶ 1

3 Enter total number of other organizations ▶ 0

**For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.**

DAA

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|---|---|---|---|---|
| | | | | | |
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| | | | | | |

**Part IV** **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

PART I, LINE 2 - PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS

TRUST ACCOUNTING RECORDS ARE MAINTAINED IN A DEDICATED TRUST ACCOUNTING SYSTEM. REPORTS ARE REGULARLY OBTAINED FROM THE SYSTEM. THE FIDUCIARY MANAGERS FOLLOW THE RULES AND REGULATIONS GOVERNING CONTINUITY AND MAINTENANCE OF EXEMPT STATUS WHILE ALSO CARRYING OUT THE INTENT OF THE GOVERNING DOCUMENTS. FIDUCIARY DUTIES INCLUDE ANNUAL TRUST REVIEWS, IDENTIFICATION OF CHARITABLE RECIPIENTS, AND DISTRIBUTIONS OF GRANT PAYMENTS FROM THE TRUST.

**SCHEDULE O** (Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Information to Form 990

**Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.**
**▶ Attach to Form 990.**

OMB No 1545-0047
**2009**
**Open to Public Inspection**

| Name of the organization | Employer identification number |
|---|---|
| TR U/W FOR AGING IN AMERICA, INC. | 13-6046634 |

FORM 990, PART VI, LINE 11A - ORGANIZATION'S PROCESS TO REVIEW FORM 990

THE TRUSTEES REVIEW ALL UNDERLYING MONETARY AND FACTUAL INFORMATION BEFORE THE IRS FORM 990 IS FILED.

FORM 990, PART VI, LINE 19 - GOVERNING DOCUMENTS DISCLOSURE EXPLANATION

AS TRUSTEE, J.P. MORGAN CHASE BANK, N.A. MAINTAINS A CLOSE AND CONTINUOUS WORKING RELATIONSHIP WITH THE OFFICERS OF THE SUPPORTED ORGANIZATION(S). THE GOVERNING DOCUMENTS ARE NOT AVAILABLE TO THE GENERAL PUBLIC, BUT HAVE BEEN GIVEN AND ARE AVAILABLE TO ALL INTERESTED PARTIES SUCH AS BENEFICIARIES, TRUSTEES, ETC. J.P. MORGAN CHASE BANK, N.A. DOES NOT HAVE A PUBLIC CONFLICT OF INTEREST POLICY. HOWEVER, THE GOVERNING DOCUMENTS AND STATE LAW IMPOSE LIMITATIONS OF TRUSTEE POWERS THAT J.P. MORGAN CHASE BANK, N.A. STRICTLY FOLLOWS. FINANCIAL STATEMENTS ARE SENT TO EACH CHARITABLE BENEFICIARY FOR REVIEW AND COMMENT. COPIES OF THE TAX RETURNS ARE AVAILABLE TO THE PUBLIC THROUGH SEVERAL INTERNET WEBSITES.

# Federal Statements

## Taxable Interest on Investments

| Description | Amount | Unrelated Business Code | Exclusion Code | Postal Code | Acquired after 6/30/75 |
|---|---|---|---|---|---|
| INTEREST ON CASH BALANCES | $ 1,100 | | 14 | | |
| TOTAL | $ 1,100 | | | | |

## Taxable Dividends from Securities

| Description | Amount | Unrelated Business Code | Exclusion Code | Postal Code | Acquired after 6/30/75 |
|---|---|---|---|---|---|
| DIVIDENDS | $ 146,487 | | 14 | | |
| TOTAL | $ 146,487 | | | | |

## Tax-Exempt Dividends from Securities

| Description | Amount | Unrelated Business Code | Exclusion Code | Postal Code | Acquired after 6/30/75 |
|---|---|---|---|---|---|
| | $ 298 | | 14 | | |
| TOTAL | $ 298 | | | | |

FROM 01/01/2009 STATEMENT OF CAPITAL GAINS AND LOSSES
TO 12/31/2009 TR U/W FOR AGING IN AMERICA INC RUN 01/27/2010 05 33 54 PM

TRUST YEAR ENDING 12/31/2009

TAX PREPARER JBA
TRUST ADMINISTRATOR· FL2
INVESTMENT OFFICER

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM |
|---|---|---|---|---|---|---|
| 25 0000 AFLAC INC - 001055102 - MINOR = 31000 | | | | | | |
| SOLD | | 01/21/2009 | 884.60 | | | |
| ACQ | 25 0000 | 05/23/2008 | 884 60 | 1661 25 | -776 65 | ST |
| 75 0000 AFLAC INC - 001055102 - MINOR = 31000 | | | | | | |
| SOLD | | 02/23/2009 | 1231 56 | | | |
| ACQ | 75 0000 | 05/23/2008 | 1231 56 | 4983.75 | -3752 19 | ST |
| 50 0000 AOL INC - 00184X105 | | | | | | |
| SOLD | | 12/10/2009 | 1156 60 | | | |
| ACQ | 18.0000 | 03/28/2008 | 416 38 | 426 93 | -10 55 | LT15 |
| | 7 0000 | 10/10/2008 | 161.92 | 119 46 | 42 46 | LT15 |
| | 9 0000 | 10/21/2008 | 208 19 | 147.22 | 60 97 | LT15 |
| | 6 0000 | 12/05/2008 | 138 79 | 91 97 | 46 82 | LT15 |
| | 3 0000 | 01/16/2009 | 69 40 | 48 98 | 20.42 | ST |
| | 3.0000 | 01/16/2009 | 69 40 | 49.62 | 19 78 | ST |
| | 4 0000 | 02/23/2009 | 92 53 | 58 54 | 33 99 | ST |
| ***FOUND UPDATE*** | | | | | | |
| 50 0000 AT&T INC - 00206R102 - MINOR = 31000 | | | | | | |
| SOLD | | 01/28/2009 | 1289 13 | | | |
| ACQ | 50 0000 | 10/29/2007 | 1289 13 | 2090 50 | -801 37 | LT15 |
| 25 0000 ABBOTT LABS - 002824100 - MINOR = 31001 | | | | | | |
| SOLD | | 02/06/2009 | 1426 52 | | | |
| ACQ | 25 0000 | 08/28/2007 | 1426 52 | 1275 50 | 151 02 | LT15 |
| 50 0000 ABBOTT LABS - 002824100 - MINOR = 31001 | | | | | | |
| SOLD | | 02/27/2009 | 2392 62 | | | |
| ACQ | 50 0000 | 08/28/2007 | 2392 62 | 2551 00 | -158 38 | LT15 |
| 25 0000 ABBOTT LABS - 002824100 - MINOR = 31001 | | | | | | |
| SOLD | | 03/10/2009 | 1172 59 | | | |
| ACQ | 25 0000 | 08/28/2007 | 1172 59 | 1275 50 | -102 91 | LT15 |
| 50 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000 | | | | | | |
| SOLD | | 01/21/2009 | 1557 13 | | | |
| ACQ | 50.0000 | 06/12/2008 | 1557 13 | 1944 75 | -387.62 | ST |
| 25 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000 | | | | | | |
| SOLD | | 02/04/2009 | 839 64 | | | |
| ACQ | 25.0000 | 06/12/2008 | 839 64 | 972 37 | -132 73 | ST |
| 50 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/02/2009 | 1815 72 | | | |
| ACQ | 50 0000 | 06/12/2008 | 1815 72 | 1944 75 | -129 03 | ST |
| 50 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/10/2009 | 1897 32 | | | |
| ACQ | 50 0000 | 06/12/2008 | 1897 32 | 1944 75 | -47 43 | ST |
| 25 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000 | | | | | | |
| SOLD | | 04/02/2009 | 1005 00 | | | |
| ACQ | 25 0000 | 06/12/2008 | 1005.00 | 972 38 | 32 62 | ST |
| 125 0000 AETNA INC - 00817Y108 - MINOR = 31000 | | | | | | |
| SOLD | | 04/02/2009 | 3057 46 | | | |
| ACQ | 125 0000 | 06/12/2008 | 3057 46 | 5596 25 | -2538 79 | ST |
| 75 0000 AETNA INC - 00817Y108 - MINOR = 31000 | | | | | | |
| SOLD | | 04/09/2009 | 1894.88 | | | |
| ACQ | 75 0000 | 06/12/2008 | 1894 88 | 3357 75 | -1462 87 | ST |
| 100 0000 ALTRIA GROUP INC - 02209S103 - MINOR = 31000 | | | | | | |
| SOLD | | 09/22/2009 | 1785.13 | | | |
| ACQ | 100 0000 | 01/20/2009 | 1785 13 | 1727 80 | 57 33 | ST |
| 400 0000 ALTRIA GROUP INC - 02209S103 - MINOR = 31000 | | | | | | |
| SOLD | | 11/20/2009 | 7581 36 | | | |
| ACQ | 225 0000 | 12/17/2008 | 4264 52 | 3314 32 | 950 19 | ST |
| | 150 0000 | 01/13/2009 | 2843 01 | 2430 14 | 412 87 | ST |
| | 25 0000 | 01/20/2009 | 473 84 | 431 95 | 41 89 | ST |
| 40 0000 AMAZON COM INC - 023135106 - MINOR = 31000 | | | | | | |
| SOLD | | 12/07/2009 | 5455 31 | | | |
| ACQ | 40 0000 | 09/10/2009 | 5455 31 | 3335.07 | 2120 24 | ST |
| 175 0000 AMERICAN EXPRESS CO - 025816109 - MINOR = 31001 | | | | | | |
| SOLD | | 05/05/2009 | 4649 15 | | | |
| ACQ | 100 0000 | 03/17/2009 | 2656 66 | 1250 08 | 1406 58 | ST |
| | 75 0000 | 03/19/2009 | 1992 49 | 1087 72 | 904.77 | ST |
| 75 0000 AMERIPRISE FINANCIAL INC - 03076C106 - MINOR = 31000 | | | | | | |
| SOLD | | 02/18/2009 | 1365 73 | | | |
| ACQ | 75 0000 | 06/12/2008 | 1365 73 | 3350 25 | -1984 52 | ST |
| 150 0000 AMERIPRISE FINANCIAL INC - 03076C106 - MINOR = 31000 | | | | | | |
| SOLD | | 10/13/2009 | 5373 01 | | | |
| ACQ | 125 0000 | 06/12/2008 | 4477 51 | 5583 75 | -1106.24 | LT15 |
| | 25 0000 | 12/12/2008 | 895 50 | 505 55 | 389 95 | ST |
| 75 0000 ANADARKO PETE CORP - 032511107 - MINOR = 31001 | | | | | | |
| SOLD | | 10/15/2009 | 4887 55 | | | |
| ACQ | 75 0000 | 05/22/2009 | 4887 55 | 3258 51 | 1629 04 | ST |
| 75 0000 AON CORP - 037389103 - MINOR = 31001 | | | | | | |
| SOLD | | 11/17/2009 | 3033 63 | | | |
| ACQ | 75.0000 | 07/30/2009 | 3033 63 | 3001 13 | 32 50 | ST |
| 25 0000 APACHE CORP - 037411105 - MINOR = 31001 | | | | | | |
| SOLD | | 09/18/2009 | 2354 14 | | | |
| ACQ | 25 0000 | 05/23/2008 | 2354 14 | 3466 75 | -1112 61 | LT15 |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 02/11/2009 | 536.79 | | | |
| ACQ | 100 0000 | 05/28/2008 | 536 79 | 1809 64 | -1272 85 | ST |

TAX PREPARER· JBA
TRUST ADMINISTRATOR: FL2 LEGEND F - FEDERAL S - STATE I - INHERITED
INVESTMENT OFFICER E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM |
|---|---|---|---|---|---|---|
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 02/12/2009 | 522 46 | | | |
| ACQ | 100 0000 | 05/23/2008 | 522.46 | 1802.30 | -1279 84 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 02/26/2009 | 450 26 | | | |
| ACQ | 100 0000 | 05/23/2008 | 450 26 | 1802 30 | -1352 04 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/04/2009 | 360 48 | | | |
| ACQ | 100 0000 | 05/23/2008 | 360 48 | 1802 30 | -1441 82 | ST |
| 200 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/12/2009 | 478 79 | | | |
| ACQ | 200 0000 | 05/23/2008 | 478 79 | 3604 60 | -3125 81 | ST |
| 500 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/13/2009 | 1265 54 | | | |
| ACQ | 500 0000 | 05/23/2008 | 1265 54 | 9011 50 | -7745 96 | ST |
| 200 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/13/2009 | 495 69 | | | |
| ACQ | 200 0000 | 05/23/2008 | 495 69 | 3604 60 | -3108 91 | ST |
| 200 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/13/2009 | 504 47 | | | |
| ACQ | 200 0000 | 05/23/2008 | 504.47 | 3604 60 | -3100 13 | ST |
| 200 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/16/2009 | 505 75 | | | |
| ACQ | 200 0000 | 05/23/2008 | 505 75 | 3604 60 | -3098 85 | ST |
| 300 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/16/2009 | 776 27 | | | |
| ACQ | 300 0000 | 05/23/2008 | 776 27 | 5406 90 | -4630 63 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/17/2009 | 213 66 | | | |
| ACQ | 100 0000 | 05/23/2008 | 213 66 | 1802 30 | -1588 64 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/17/2009 | 204 16 | | | |
| ACQ | 100 0000 | 05/23/2008 | 204 16 | 1802 30 | -1598.14 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/18/2009 | 213 57 | | | |
| ACQ | 100 0000 | 05/23/2008 | 213 57 | 1802 30 | -1588.73 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/19/2009 | 209 27 | | | |
| ACQ | 100 0000 | 05/23/2008 | 209 27 | 1802 30 | -1593 03 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/19/2009 | 213 73 | | | |
| ACQ | 100 0000 | 05/23/2008 | 213 73 | 1802 30 | -1588 57 | ST |
| 300 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/19/2009 | 628 79 | | | |
| ACQ | 300 0000 | 05/23/2008 | 628 79 | 5406 90 | -4778 11 | ST |
| 300 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/20/2009 | 611 54 | | | |
| ACQ | 300 0000 | 05/23/2008 | 611 54 | 5406 90 | -4795 36 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/23/2009 | 209 88 | | | |
| ACQ | 100 0000 | 05/23/2008 | 209 88 | 1802 30 | -1592 42 | ST |
| 700 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/23/2009 | 1559 73 | | | |
| ACQ | 700 0000 | 05/23/2008 | 1559 73 | 12616 10 | -11056 37 | ST |
| 500 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/24/2009 | 1283 84 | | | |
| ACQ | 100 0000 | 05/23/2008 | 256 77 | 1800 59 | -1543 82 | ST |
| | 300 0000 | 05/23/2008 | 770 30 | 5406 78 | -4636 48 | ST |
| | 100 0000 | 05/23/2008 | 256 77 | 1802 30 | -1545 53 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/24/2009 | 260.88 | | | |
| ACQ | 100 0000 | 05/23/2008 | 260 88 | 1800 59 | -1539 71 | ST |
| 300 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/25/2009 | 718 94 | | | |
| ACQ | 100 0000 | 05/23/2008 | 239 65 | 1794.19 | -1554 54 | ST |
| | 100 0000 | 05/30/2008 | 239 65 | 1793 45 | -1553 80 | ST |
| | 100 0000 | 05/29/2008 | 239 65 | 1798 41 | -1558 76 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/25/2009 | 233 19 | | | |
| ACQ | 100 0000 | 05/30/2008 | 233 19 | 1790 42 | -1557 23 | ST |
| 100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000 | | | | | | |
| SOLD | | 03/26/2009 | 237 49 | | | |
| ACQ | 100 0000 | 05/23/2008 | 237 49 | 1785 00 | -1547 51 | ST |
| 35 0000 APPLE COMPUTER INC - 037833100 - MINOR = 31001 | | | | | | |
| SOLD | | 01/14/2009 | 2998.33 | | | |
| ACQ | 35 0000 | 05/23/2008 | 2998 33 | 6310.46 | -3312 13 | ST |
| 20 0000 APPLE COMPUTER INC - 037833100 - MINOR = 31001 | | | | | | |
| SOLD | | 01/15/2009 | 1627.07 | | | |
| ACQ | 20 0000 | 05/23/2008 | 1627 07 | 3605 98 | -1978 91 | ST |
| 100 0000 BAKER HUGHES INC - 057224107 - MINOR = 31001 | | | | | | |
| SOLD | | 01/23/2009 | 3068 82 | | | |
| ACQ | 100.0000 | 04/27/2004 | 3068 82 | 3842 94 | -774 12 | LT15 |
| 275 0000 BANK OF AMERICA CORP NEW - 060505104 - MINOR = 31000 | | | | | | |
| SOLD | | 04/29/2009 | 2348 49 | | | |
| ACQ | 275.0000 | 04/27/2004 | 2348 49 | 11261 25 | -8912 76 | LT15 |

TAX PREPARER JBA
TRUST ADMINISTRATOR FL2
INVESTMENT OFFICER

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM |
|---|---|---|---|---|---|---|
| 50 0000 BANK OF NEW YORK MELLON CORP - 064058100 - MINOR = 31001 | | | | | | |
| SOLD | | 02/06/2009 | 1386 96 | | | |
| ACQ | 50 0000 | 05/23/2008 | 1386 96 | 2192 50 | -805 54 | ST |
| 75 0000 BANK OF NEW YORK MELLON CORP - 064058100 - MINOR = 31001 | | | | | | |
| SOLD | | 02/17/2009 | 1779 02 | | | |
| ACQ | 75 0000 | 05/23/2008 | 1779 02 | 3288 75 | -1509 73 | ST |
| 20.0000 C R BARD INC - 067383109 - MINOR = 31001 | | | | | | |
| SOLD | | 02/06/2009 | 1739 90 | | | |
| ACQ | 20 0000 | 05/23/2008 | 1739 90 | 1812 00 | -72 10 | ST |
| 90 0000 C R BARD INC - 067383109 - MINOR = 31001 | | | | | | |
| SOLD | | 06/08/2009 | 6614 41 | | | |
| ACQ | 90 0000 | 05/23/2008 | 6614 41 | 8154 00 | -1539 59 | LT15 |
| 76000 0000 RETURN ENHANCED NOTE LKD TO INFRASTRUCTURE BASKET 06/11/2010 - 06738RK47 - MINOR = 37 | | | | | | |
| SOLD | | 02/06/2009 | 35233.60 | | | |
| ACQ | 76000 0000 | 05/23/2008 | 35233 60 | 74860 00 | -39626 40 | ST |
| 175 0000 BOEING CO - 097023105 - MINOR = 31001 | | | | | | |
| SOLD | | 04/06/2009 | 6435 32 | | | |
| ACQ | 175 0000 | 05/23/2008 | 6435 32 | 14239 75 | -7804 43 | ST |
| 100 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000 | | | | | | |
| SOLD | | 01/06/2009 | 2234 43 | | | |
| ACQ | 100 0000 | 07/09/2008 | 2234 43 | 2164 01 | 70 42 | ST |
| 50 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000 | | | | | | |
| SOLD | | 01/13/2009 | 1096 45 | | | |
| ACQ | 50 0000 | 07/09/2008 | 1096 45 | 1082 01 | 14 44 | ST |
| 50 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000 | | | | | | |
| SOLD | | 02/06/2009 | 1148.38 | | | |
| ACQ | 50 0000 | 07/09/2008 | 1148 38 | 1082 00 | 66 38 | ST |
| 25 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000 | | | | | | |
| SOLD | | 02/18/2009 | 520 99 | | | |
| ACQ | 25 0000 | 07/07/2008 | 520 99 | 514 56 | 6 43 | ST |
| 100 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000 | | | | | | |
| SOLD | | 02/18/2009 | 2117 11 | | | |
| ACQ | 25 0000 | 06/26/2008 | 529 28 | 496 85 | 32 43 | ST |
| | 75 0000 | 07/07/2008 | 1587 83 | 1543 67 | 44 16 | ST |
| 100 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000 | | | | | | |
| SOLD | | 12/16/2009 | 2574 99 | | | |
| ACQ | 100 0000 | 11/20/2009 | 2574 99 | 2428 64 | 146 35 | ST |
| 100 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000 | | | | | | |
| SOLD | | 12/16/2009 | 2571 26 | | | |
| ACQ | 100 0000 | 11/20/2009 | 2571 26 | 2428 64 | 142 62 | ST |
| 50 0000 CVS CORP - 126650100 - MINOR = 31000 | | | | | | |
| SOLD | | 07/01/2009 | 1588 85 | | | |
| ACQ | 50 0000 | 03/28/2008 | 1588 85 | 2041 54 | -452 69 | LT15 |
| 50 0000 CAREFUSION CORPORATION - 14170T101 - MINOR = 44 | | | | | | |
| SOLD | | 09/17/2009 | 1086 23 | | | |
| ACQ | 50 0000 | 06/08/2009 | 1086 23 | 839 87 | 246 36 | ST |
| 100 0000 CATERPILLAR INC - 149123101 - MINOR = 31001 | | | | | | |
| SOLD | | 10/14/2009 | 5437 60 | | | |
| ACQ | 75 0000 | 02/06/2009 | 4078 20 | 2523 77 | 1554 43 | ST |
| | 25 0000 | 04/30/2009 | 1359 40 | 907 89 | 451 51 | ST |
| 100 0000 CATERPILLAR INC - 149123101 - MINOR = 31001 | | | | | | |
| SOLD | | 11/05/2009 | 5751 65 | | | |
| ACQ | 25 0000 | 02/27/2009 | 1437 91 | 606 81 | 831.10 | ST |
| | 25 0000 | 04/15/2009 | 1437 91 | 817 18 | 620 73 | ST |
| | 50.0000 | 04/21/2009 | 2875 83 | 1555 32 | 1320 51 | ST |
| 225 0000 CISCO SYS INC - 17275R102 - MINOR = 31000 | | | | | | |
| SOLD | | 01/29/2009 | 3632 26 | | | |
| ACQ | 225 0000 | 07/09/2008 | 3632 26 | 4871 74 | -1239 48 | ST |
| 100 0000 CISCO SYS INC - 17275R102 - MINOR = 31000 | | | | | | |
| SOLD | | 03/17/2009 | 1565 38 | | | |
| ACQ | 100 0000 | 03/06/1997 | 1565 38 | 638 89 | 926 49 | LT15 |
| 125 0000 CISCO SYS INC - 17275R102 - MINOR = 31000 | | | | | | |
| SOLD | | 03/23/2009 | 2069 24 | | | |
| ACQ | 125 0000 | 03/06/1997 | 2069 24 | 798 61 | 1270 63 | LT15 |
| 100 0000 CISCO SYS INC - 17275R102 - MINOR = 31000 | | | | | | |
| SOLD | | 04/02/2009 | 1789 19 | | | |
| ACQ | 100 0000 | 03/06/1997 | 1789 19 | 638 89 | 1150.30 | LT15 |
| 75 0000 COLGATE PALMOLIVE CO - 194162103 - MINOR = 31001 | | | | | | |
| SOLD | | 01/20/2009 | 4712 54 | | | |
| ACQ | 75 0000 | 06/12/2008 | 4712 54 | 5491 00 | -778 46 | ST |
| 50 0000 COLGATE PALMOLIVE CO - 194162103 - MINOR = 31001 | | | | | | |
| SOLD | | 01/23/2009 | 3046 59 | | | |
| ACQ | 50 0000 | 06/12/2008 | 3046 59 | 3660 67 | -614.08 | ST |
| 100 0000 CONOCOPHILLIPS - 20825C104 - MINOR = 31000 | | | | | | |
| SOLD | | 06/03/2009 | 4568 79 | | | |
| ACQ | 50 0000 | 10/15/2008 | 2284 40 | 2703 42 | -419 03 | ST |
| | 50 0000 | 10/17/2008 | 2284 40 | 2728 96 | -444 57 | ST |
| 85 0000 CONOCOPHILLIPS - 20825C104 - MINOR = 31000 | | | | | | |
| SOLD | | 06/04/2009 | 3888 85 | | | |
| ACQ | 25 0000 | 10/15/2008 | 1143 78 | 1351 71 | -207 93 | ST |
| | 50 0000 | 03/04/2009 | 2287.56 | 1845 42 | 442 14 | ST |
| | 10 0000 | 03/18/2009 | 457 51 | 368 93 | 88 58 | ST |
| 100.0000 CONSOLIDATED EDISON INC - 209115104 - MINOR = 31000 | | | | | | |
| SOLD | | 05/13/2009 | 3534 85 | | | |
| ACQ | 100 0000 | 03/31/2009 | 3534 85 | 3973 27 | -438 42 | ST |

ACCT 5897 P60103002
FROM 01/01/2009
TO 12/31/2009

J P MORGAN CHASE BANK, N A
STATEMENT OF CAPITAL GAINS AND LOSSES
TR U/W FOR AGING IN AMERICA INC

RUN 01/27/2010
05 33 54 PM

TRUST YEAR ENDING 12/31/2009

TAX PREPARER· JBA
TRUST ADMINISTRATOR FL2
INVESTMENT OFFICER

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM |
|---|---|---|---|---|---|---|
| 75 0000 CONSOLIDATED EDISON INC - 209115104 - MINOR = 31000 | | | | | | |
| SOLD | | 05/14/2009 | 2622 31 | | | |
| ACQ | 75 0000 | 04/01/2009 | 2622 31 | 2956 40 | -334 09 | ST |
| 100 0000 CORNING INC - 219350105 - MINOR = 31000 | | | | | | |
| SOLD | | 04/30/2009 | 1492 14 | | | |
| ACQ | 100 0000 | 10/29/2007 | 1492 14 | 2378 00 | -885 86 | LT15 |
| 100 0000 CORNING INC - 219350105 - MINOR = 31000 | | | | | | |
| SOLD | | 04/30/2009 | 1493.99 | | | |
| ACQ | 100 0000 | 10/29/2007 | 1493 99 | 2378 00 | -884 01 | LT15 |
| 100 0000 D R HORTON INC - 23331A109 - MINOR = 31000 | | | | | | |
| SOLD | | 04/08/2009 | 961 18 | | | |
| ACQ | 100 0000 | 01/26/2009 | 961.18 | 649.14 | 312 04 | ST |
| 75 0000 D R HORTON INC - 23331A109 - MINOR = 31000 | | | | | | |
| SOLD | | 04/08/2009 | 726 90 | | | |
| ACQ | 75 0000 | 01/26/2009 | 726 90 | 486 86 | 240 04 | ST |
| 100 0000 D R HORTON INC - 23331A109 - MINOR = 31000 | | | | | | |
| SOLD | | 05/13/2009 | 898 31 | | | |
| ACQ | 100 0000 | 01/26/2009 | 898 31 | 649 14 | 249 17 | ST |
| 50 0000 D R HORTON INC - 23331A109 - MINOR = 31000 | | | | | | |
| SOLD | | 05/13/2009 | 449 09 | | | |
| ACQ | 50 0000 | 01/26/2009 | 449 09 | 324 57 | 124 52 | ST |
| 50 0000 DANAHER CORP - 235851102 - MINOR = 31000 | | | | | | |
| SOLD | | 01/13/2009 | 2665 73 | | | |
| ACQ | 50 0000 | 05/07/2008 | 2665 73 | 3868.50 | -1202.77 | ST |
| 25 0000 DANAHER CORP - 235851102 - MINOR = 31000 | | | | | | |
| SOLD | | 01/26/2009 | 1392 91 | | | |
| ACQ | 25.0000 | 05/07/2008 | 1392 91 | 1934 25 | -541 34 | ST |
| 50 0000 DANAHER CORP - 235851102 - MINOR = 31000 | | | | | | |
| SOLD | | 03/12/2009 | 2664 34 | | | |
| ACQ | 50 0000 | 05/07/2008 | 2664 34 | 3868.50 | -1204 16 | ST |
| 20 0000 DANAHER CORP - 235851102 - MINOR = 31000 | | | | | | |
| SOLD | | 03/19/2009 | 1100 55 | | | |
| ACQ | 20 0000 | 05/07/2008 | 1100.55 | 1547 40 | -446 85 | ST |
| 75 0000 DANAHER CORP - 235851102 - MINOR = 31000 | | | | | | |
| SOLD | | 04/15/2009 | 4041.36 | | | |
| ACQ | 75 0000 | 05/07/2008 | 4041 36 | 5802 75 | -1761 39 | ST |
| 80 0000 DANAHER CORP - 235851102 - MINOR = 31000 | | | | | | |
| SOLD | | 06/08/2009 | 5046 82 | | | |
| ACQ | 80 0000 | 05/07/2008 | 5046 82 | 6189 60 | -1142 78 | LT15 |
| 60 0000 DEVON ENERGY CORP - 25179M103 - MINOR = 31000 | | | | | | |
| SOLD | | 06/08/2009 | 3844 41 | | | |
| ACQ | 60 0000 | 05/23/2008 | 3844 41 | 7081 80 | -3237 39 | LT15 |
| 75 0000 ECOLAB INC - 278865100 - MINOR = 31001 | | | | | | |
| SOLD | | 02/09/2009 | 2634 38 | | | |
| ACQ | 75 0000 | 01/13/2009 | 2634 38 | 2535 65 | 98 73 | ST |
| 125 0000 ECOLAB INC - 278865100 - MINOR = 31001 | | | | | | |
| SOLD | | 04/22/2009 | 4666 58 | | | |
| ACQ | 125 0000 | 11/13/2008 | 4666 58 | 4041 70 | 624 88 | ST |
| 100 0000 EXELON CORP - 30161N101 - MINOR = 31000 | | | | | | |
| SOLD | | 09/10/2009 | 4864 96 | | | |
| ACQ | 100 0000 | 07/09/2008 | 4864.96 | 9026 86 | -4161 90 | LT15 |
| 50 0000 EXELON CORP - 30161N101 - MINOR = 31000 | | | | | | |
| SOLD | | 09/14/2009 | 2414 80 | | | |
| ACQ | 50 0000 | 06/12/2008 | 2414 80 | 4373 84 | -1959 04 | LT15 |
| 30 0000 EXXON MOBIL CORPORATION - 30231G102 - MINOR = 31001 | | | | | | |
| SOLD | | 01/28/2009 | 2354.54 | | | |
| ACQ | 30 0000 | 08/30/1996 | 2354.54 | 646.75 | 1707 79 | LT15 |
| 25 0000 EXXON MOBIL CORPORATION - 30231G102 - MINOR = 31001 | | | | | | |
| SOLD | | 06/19/2009 | 1775 45 | | | |
| ACQ | 25 0000 | 05/13/2009 | 1775 45 | 1744 00 | 31 45 | ST |
| 80 0000 EXXON MOBIL CORPORATION - 30231G102 - MINOR = 31001 | | | | | | |
| SOLD | | 07/01/2009 | 5656 04 | | | |
| ACQ | 75.0000 | 08/30/1996 | 5302.54 | 1616.88 | 3685 66 | LT15 |
| | 5 0000 | 05/13/2009 | 353 50 | 348 80 | 4 70 | ST |
| 50 0000 EXXON MOBIL CORPORATION - 30231G102 - MINOR = 31001 | | | | | | |
| SOLD | | 07/07/2009 | 3343 38 | | | |
| ACQ | 50 0000 | 08/30/1996 | 3343 38 | 1077 92 | 2265.46 | LT15 |
| 200 0000 EXXON MOBIL CORPORATION - 30231G102 - MINOR = 31001 | | | | | | |
| SOLD | | 10/05/2009 | 13277 87 | | | |
| ACQ | 200 0000 | 08/30/1996 | 13277 87 | 4311.68 | 8966 19 | LT15 |
| 100 0000 FIRSTENERGY CORP - 337932107 - MINOR = 31000 | | | | | | |
| SOLD | | 03/31/2009 | 3881 57 | | | |
| ACQ | 100 0000 | 05/23/2008 | 3881 57 | 7837 00 | -3955 43 | ST |
| 50 0000 FIRSTENERGY CORP - 337932107 - MINOR = 31000 | | | | | | |
| SOLD | | 04/01/2009 | 1932 38 | | | |
| ACQ | 50 0000 | 05/23/2008 | 1932 38 | 3918 50 | -1986 12 | ST |
| 50 0000 GENERAL ELEC CO - 369604103 - MINOR = 31001 | | | | | | |
| SOLD | | 02/27/2009 | 433 01 | | | |
| ACQ | 50 0000 | 06/03/1998 | 433 01 | 1373 92 | -940 91 | LT15 |
| 225.0000 GENERAL ELEC CO - 369604103 - MINOR = 31001 | | | | | | |
| SOLD | | 02/27/2009 | 2026 29 | | | |
| ACQ | 50 0000 | 06/03/1998 | 450 29 | 1373 91 | -923 62 | LT15 |
| | 175 0000 | 02/18/2003 | 1576 00 | 3992 66 | -2416 66 | LT15 |

TAX PREPARER JBA
TRUST ADMINISTRATOR. FL2
INVESTMENT OFFICER

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM |
|---|---|---|---|---|---|---|
| 200.0000 GENERAL ELEC CO - 369604103 - MINOR = 31001 | | | | | | |
| SOLD | | 08/13/2009 | 2828 96 | | | |
| ACQ | 200.0000 | 02/18/2003 | 2828 96 | 4563 04 | -1734 08 | LT15 |
| 525 0000 GENERAL ELEC CO - 369604103 - MINOR = 31001 | | | | | | |
| SOLD | | 09/01/2009 | 6991 13 | | | |
| ACQ | 425.0000 | 02/18/2003 | 5659 49 | 9696 46 | -4036 97 | LT15 |
| | 100 0000 | 10/02/2008 | 1331 64 | 2234 03 | -902 39 | ST |
| 50 0000 GILEAD SCIENCES INC - 375558103 - MINOR = 31000 | | | | | | |
| SOLD | | 03/04/2009 | 2262 14 | | | |
| ACQ | 50 0000 | 12/04/2008 | 2262 14 | 2340 00 | -77 86 | ST |
| 25 0000 GILEAD SCIENCES INC - 375558103 - MINOR = 31000 | | | | | | |
| SOLD | | 04/02/2009 | 1118 80 | | | |
| ACQ | 25 0000 | 12/04/2008 | 1118 80 | 1170 00 | -51 20 | ST |
| 20 0000 GOLDMAN SACHS GROUP INC - 38141G104 - MINOR = 31001 | | | | | | |
| SOLD | | 12/07/2009 | 3323 46 | | | |
| ACQ | 20 0000 | 05/07/2008 | 3323 46 | 3957 20 | -633 74 | LT15 |
| 35000 0000 HSBC SARN SPX 2/10/11 - 4042K0VD4 - MINOR = 37 | | | | | | |
| SOLD | | 08/11/2009 | 37310 00 | | | |
| ACQ | 35000 0000 | 02/06/2009 | 37310 00 | 34475 00 | 2835 00 | ST |
| 1934 8130 HARBOR INTERNATIONAL FUND - 411511306 - MINOR = 08010 | | | | | | |
| SOLD | | 01/08/2009 | 79230 59 | | | |
| ACQ | 759 8170 | 01/18/2008 | 31114 51 | 48643 48 | -17528 97 | ST |
| | 1174 9960 | 02/12/2008 | 48116 08 | 75000 00 | -26883 92 | ST |
| 100 0000 HESS CORP - 42809H107 - MINOR = 31000 | | | | | | |
| SOLD | | 07/08/2009 | 4733 43 | | | |
| ACQ | 100 0000 | 08/06/2008 | 4733 43 | 9947.53 | -5214 10 | ST |
| 20 0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001 | | | | | | |
| SOLD | | 04/02/2009 | 1985 10 | | | |
| ACQ | 20 0000 | 02/18/2003 | 1985 10 | 1577 69 | 407 41 | LT15 |
| 20 0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001 | | | | | | |
| SOLD | | 04/29/2009 | 2084 45 | | | |
| ACQ | 20 0000 | 02/18/2003 | 2084 45 | 1577 69 | 506 76 | LT15 |
| 30.0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001 | | | | | | |
| SOLD | | 05/22/2009 | 3092 32 | | | |
| ACQ | 30 0000 | 02/18/2003 | 3092 32 | 2366 53 | 725 79 | LT15 |
| 3182 0000 ISHARES RUSSELL MIDCAP INDEX FUND - 464287499 - MINOR = 08020 | | | | | | |
| SOLD | | 05/05/2009 | 207111 05 | | | |
| ACQ | 2300.0000 | 07/22/2008 | 149703 15 | 213265 89 | -63562 74 | ST |
| | 882 0000 | 09/17/2008 | 57407 90 | 75489 50 | -18081 60 | ST |
| 2500 0000 ISHARES RUSSELL MIDCAP INDEX FUND - 464287499 - MINOR = 08020 | | | | | | |
| SOLD | | 05/07/2009 | 162400 82 | | | |
| ACQ | 1500 0000 | 10/09/2008 | 97440 49 | 98298 00 | -857 51 | ST |
| | 1000 0000 | 01/08/2009 | 64960 33 | 61070 00 | 3890.33 | ST |
| 150 0000 JOHNSON CTLS INC - 478366107 - MINOR = 31001 | | | | | | |
| SOLD | | 05/13/2009 | 2646 31 | | | |
| ACQ | 150 0000 | 08/05/2002 | 2646 31 | 1899 87 | 746 44 | LT15 |
| 7161 7010 JPMORGAN INTREPID AMERICA FUND - 4812A2108 - MINOR = 08010 | | | | | | |
| SOLD | | 11/25/2009 | 146743 26 | | | |
| ACQ | 7161 7010 | 08/18/2005 | 146743 26 | 168658 05 | -21914 79 | LT15 |
| 7237 8440 JPMORGAN INTREPID GROWTH FUND - 4812A2207 - MINOR = 08010 | | | | | | |
| SOLD | | 01/08/2009 | 108350 52 | | | |
| ACQ | 7237 8440 | 03/30/2006 | 108350 52 | 156265 05 | -47914 53 | LT15 |
| 1834 1890 JPMORGAN US LARGE CAPITAL CORE PLUS FUND - 4812A2389 - MINOR = 08010 | | | | | | |
| SOLD | | 01/08/2009 | 25000 00 | | | |
| ACQ | 1701 1370 | 09/05/2007 | 23186 50 | 35842 96 | -12656 46 | LT15 |
| | 133 0520 | 01/31/2008 | 1813 50 | 2593 18 | -779 68 | ST |
| 9345 7940 JPMORGAN CORE BOND FUND - 4812C0381 - MINOR = 08030 | | | | | | |
| SOLD | | 06/10/2009 | 100000 00 | | | |
| ACQ | 9345 7940 | 01/18/2008 | 100000 00 | 102990 65 | -2990 65 | LT15 |
| 45985 7990 JPMORGAN CORE BOND FUND - 4812C0381 - MINOR = 08030 | | | | | | |
| SOLD | | 11/25/2009 | 517800 10 | | | |
| ACQ | 36026 2570 | 01/18/2008 | 405655 66 | 397009 35 | 8646 31 | LT15 |
| | 9959 5420 | 01/31/2008 | 112144 44 | 109156 58 | 2987 86 | LT15 |
| 29906 7710 JPMORGAN TREASURY & AGENCY FUND - 4812C1405 - MINOR = 08030 | | | | | | |
| SOLD | | 11/25/2009 | 308637 88 | | | |
| ACQ | 25000 0000 | 02/06/2008 | 258000 00 | 250000 00 | 8000 00 | LT15 |
| | 4906 7710 | 05/05/2009 | 50637 88 | 50000.00 | 637 88 | ST |
| 100 0000 JUNIPER NETWORKS INC - 48203R104 - MINOR = 31000 | | | | | | |
| SOLD | | 07/22/2009 | 2529 74 | | | |
| ACQ | 100.0000 | 04/02/2009 | 2529 74 | 1648 36 | 881 38 | ST |
| 50 0000 JUNIPER NETWORKS INC - 48203R104 - MINOR = 31000 | | | | | | |
| SOLD | | 07/23/2009 | 1320 28 | | | |
| ACQ | 25 0000 | 02/06/2009 | 660 14 | 410 36 | 249 78 | ST |
| | 25.0000 | 04/02/2009 | 660.14 | 412.09 | 248 05 | ST |
| 100 0000 KOHLS CORP - 500255104 - MINOR = 31000 | | | | | | |
| SOLD | | 05/22/2009 | 4127 94 | | | |
| ACQ | 100 0000 | 12/26/2007 | 4127.94 | 4503.00 | -375 06 | LT15 |
| 75 0000 LAM RESEARCH CORP - 512807108 - MINOR = 31001 | | | | | | |
| SOLD | | 07/21/2009 | 2273 03 | | | |
| ACQ | 50 0000 | 10/28/2008 | 1515 35 | 996 18 | 519 17 | ST |
| | 25 0000 | 01/29/2009 | 757 68 | 517 46 | 240.22 | ST |
| 100 0000 LAM RESEARCH CORP - 512807108 - MINOR = 31001 | | | | | | |
| SOLD | | 07/24/2009 | 3190 23 | | | |
| ACQ | 100 0000 | 10/28/2008 | 3190 23 | 1992 36 | 1197 87 | ST |

TAX PREPARER: JBA
TRUST ADMINISTRATOR FL2
INVESTMENT OFFICER·

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM |
|---|---|---|---|---|---|---|
| 25 0000 MARATHON OIL CORP - 565849106 - MINOR = 31001 | | | | | | |
| SOLD | | 02/06/2009 | 666 36 | | | |
| ACQ | 25 0000 | 03/28/2008 | 666 36 | 1161.14 | -494 78 | ST |
| 125 0000 MARATHON OIL CORP - 565849106 - MINOR = 31001 | | | | | | |
| SOLD | | 03/18/2009 | 2980 60 | | | |
| ACQ | 125 0000 | 03/28/2008 | 2980 60 | 5805 70 | -2825 10 | ST |
| 10 0000 MASTERCARD INC - CLASS A - 57636Q104 - MINOR = 31000 | | | | | | |
| SOLD | | 12/07/2009 | 2411 75 | | | |
| ACQ | 10 0000 | 05/05/2009 | 2411 75 | 1828 12 | 583 63 | ST |
| 100 0000 MCKESSON CORP - 58155Q103 - MINOR = 31001 | | | | | | |
| SOLD | | 10/07/2009 | 5907 00 | | | |
| ACQ | 100 0000 | 04/02/2009 | 5907 00 | 3541 48 | 2365 52 | ST |
| 100 0000 MERCK & CO INC - 589331107 - MINOR = 31001 | | | | | | |
| SOLD | | 02/18/2009 | 2805 53 | | | |
| ACQ | 100.0000 | 03/28/2008 | 2805 53 | 4450 00 | -1644 47 | ST |
| 100 0000 MERCK & CO INC - 589331107 - MINOR = 31001 | | | | | | |
| SOLD | | 02/18/2009 | 2831 15 | | | |
| ACQ | 100.0000 | 03/28/2008 | 2831 15 | 4450 00 | -1618 85 | ST |
| 175.0000 MERCK & CO INC - 589331107 - MINOR = 31001 | | | | | | |
| SOLD | | 03/23/2009 | 4753 58 | | | |
| ACQ | 175 0000 | 03/28/2008 | 4753 58 | 7787 50 | -3033 92 | ST |
| 75 0000 MERCK & CO INC - 589331107 - MINOR = 31001 | | | | | | |
| SOLD | | 06/03/2009 | 2052.51 | | | |
| ACQ | 75 0000 | 03/28/2008 | 2052 51 | 3337 50 | -1284 99 | LT15 |
| 125 0000 MERCK & CO INC - 589331107 - MINOR = 31001 | | | | | | |
| SOLD | | 06/08/2009 | 3188 24 | | | |
| ACQ | 125 0000 | 03/28/2008 | 3188.24 | 5562 50 | -2374 26 | LT15 |
| 100 0000 MERCK & CO INC - 58933Y105 | | | | | | |
| SOLD | | 11/20/2009 | 3609 65 | | | |
| ACQ | 100 0000 | 03/28/2008 | 3609 65 | 4450.00 | -840 35 | LT15 |
| 50.0000 METLIFE INC - 59156R108 - MINOR = 31000 | | | | | | |
| SOLD | | 06/02/2009 | 1611 15 | | | |
| ACQ | 25 0000 | 12/10/2008 | 805.58 | 796 62 | 8 96 | ST |
| | 25 0000 | 12/22/2008 | 805 58 | 813 67 | -8 09 | ST |
| 100000 0000 BREN LKD TO RUSSELL 2000 INDEX - 6174463N7 | | | | | | |
| SOLD | | 03/02/2009 | 65433 33 | | | |
| ACQ | 100000 0000 | 02/08/2008 | 65433.33 | 99000 00 | -33566 67 | LT15 |
| 70000 0000 BREN LKD TO SX5E,UKX & NKY INDICES - 6174463U1 | | | | | | |
| SOLD | | 03/06/2009 | 43694 00 | | | |
| ACQ | 70000 0000 | 02/22/2008 | 43694 00 | 69300 00 | -25606 00 | LT15 |
| 75 0000 MORGAN ST DEAN WITTER DISCOVER - 617446448 - MINOR = 31000 | | | | | | |
| SOLD | | 03/16/2009 | 1893 12 | | | |
| ACQ | 75 0000 | 02/06/2008 | 1893 12 | 3381 75 | -1488 63 | LT15 |
| 100 0000 MORGAN ST DEAN WITTER DISCOVER - 617446448 - MINOR = 31000 | | | | | | |
| SOLD | | 03/17/2009 | 2226 19 | | | |
| ACQ | 100 0000 | 02/06/2008 | 2226 19 | 4509 00 | -2282 81 | LT15 |
| 50000 0000 ANNUAL REVIEW NOTES LNKD TO THE S&P - 617446P52 | | | | | | |
| SOLD | | 05/22/2009 | 32040 00 | | | |
| ACQ | 50000 0000 | 07/27/2007 | 32040 00 | 49230 00 | -17190 00 | LT15 |
| 41000 0000 RETURN ENHANCED NOTE - 617482DA9 | | | | | | |
| SOLD | | 04/30/2009 | 38550 59 | | | |
| ACQ | 41000 0000 | 10/23/2008 | 38550 59 | 40795 00 | -2244 41 | ST |
| 200 0000 NATIONAL SEMICONDUCTOR CORP - 637640103 - MINOR = 31001 | | | | | | |
| SOLD | | 09/09/2009 | 3096 70 | | | |
| ACQ | 200 0000 | 04/09/2009 | 3096 70 | 2510 86 | 585 84 | ST |
| 100 0000 NATIONAL SEMICONDUCTOR CORP - 637640103 - MINOR = 31001 | | | | | | |
| SOLD | | 12/11/2009 | 1465 83 | | | |
| ACQ | 100 0000 | 04/06/2009 | 1465 83 | 1181 90 | 283.93 | ST |
| 150 0000 NEWS CORP INC - 65248E104 - MINOR = 31000 | | | | | | |
| SOLD | | 01/16/2009 | 1206.46 | | | |
| ACQ | 150 0000 | 06/08/2007 | 1206 46 | 3286 65 | -2080 19 | LT15 |
| 200 0000 NEWS CORP INC - 65248E104 - MINOR = 31000 | | | | | | |
| SOLD | | 01/16/2009 | 1594 53 | | | |
| ACQ | 200 0000 | 06/08/2007 | 1594 53 | 4382 20 | -2787 67 | LT15 |
| 650 0000 NEWS CORP INC - 65248E104 - MINOR = 31000 | | | | | | |
| SOLD | | 02/23/2009 | 3915 64 | | | |
| ACQ | 50 0000 | 06/08/2007 | 301 20 | 1095 55 | -794 35 | LT15 |
| | 600.0000 | 09/28/2007 | 3614 44 | 13104.00 | -9489 56 | LT15 |
| 25.0000 NIKE INC B - 654106103 - MINOR = 31001 | | | | | | |
| SOLD | | 01/14/2009 | 1172 01 | | | |
| ACQ | 25.0000 | 03/28/2008 | 1172 01 | 1649 54 | -477.53 | ST |
| 50 0000 NIKE INC B - 654106103 - MINOR = 31001 | | | | | | |
| SOLD | | 02/27/2009 | 2036 61 | | | |
| ACQ | 50 0000 | 03/28/2008 | 2036 61 | 3299 07 | -1262 46 | ST |
| 50 0000 NIKE INC B - 654106103 - MINOR = 31001 | | | | | | |
| SOLD | | 06/18/2009 | 2783 54 | | | |
| ACQ | 50 0000 | 03/28/2008 | 2783 54 | 3299.07 | -515.53 | LT15 |
| 10 0000 NIKE INC B - 654106103 - MINOR = 31001 | | | | | | |
| SOLD | | 06/24/2009 | 536 65 | | | |
| ACQ | 10 0000 | 03/28/2008 | 536 65 | 659 81 | -123 16 | LT15 |
| 25 0000 OCCIDENTAL PETE CORP - 674599105 - MINOR = 31001 | | | | | | |
| SOLD | | 04/09/2009 | 1463 93 | | | |
| ACQ | 25 0000 | 05/07/2008 | 1463 93 | 2245.50 | -781 57 | ST |

ACCT 5897 P60103002
FROM 01/01/2009
TO 12/31/2009

J P MORGAN CHASE BANK, N A
STATEMENT OF CAPITAL GAINS AND LOSSES
TR U/W FOR AGING IN AMERICA INC

TRUST YEAR ENDING 12/31/2009



RUN 01/27/2010
05 33 54 PM

TAX PREPARER· JBA
TRUST ADMINISTRATOR FL2
INVESTMENT OFFICER

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM |
|---|---|---|---|---|---|---|
| 100.0000 PAYCHEX INC - 704326107 - MINOR = 31001 | | | | | | |
| SOLD | | 12/01/2009 | 3154 34 | | | |
| ACQ | 25 0000 | 04/08/2009 | 788 59 | 661 98 | 126 61 | ST |
| | 75 0000 | 05/22/2009 | 2365 76 | 2026 65 | 339 11 | ST |
| 50 0000 PEPSICO INC - 713448108 - MINOR = 31001 | | | | | | |
| SOLD | | 02/06/2009 | 2665 66 | | | |
| ACQ | 50 0000 | 04/27/2004 | 2665 66 | 2747 53 | -81 87 | LT15 |
| 50.0000 PHILIP MORRIS INTERNATIONAL - 718172109 - MINOR = 44 | | | | | | |
| SOLD | | 01/13/2009 | 2106 07 | | | |
| ACQ | 50 0000 | 04/27/2004 | 2106 07 | 1476 47 | 629 60 | LT15 |
| 25.0000 PRAXAIR INC - 74005P104 - MINOR = 31000 | | | | | | |
| SOLD | | 01/12/2009 | 1518 51 | | | |
| ACQ | 25 0000 | 10/22/2008 | 1518 51 | 1527 84 | -9 33 | ST |
| 25 0000 PRAXAIR INC - 74005P104 - MINOR = 31000 | | | | | | |
| SOLD | | 01/15/2009 | 1496 73 | | | |
| ACQ | 25 0000 | 03/28/2006 | 1496 73 | 1388 75 | 107 98 | LT15 |
| 100.0000 PRAXAIR INC - 74005P104 - MINOR = 31000 | | | | | | |
| SOLD | | 10/13/2009 | 8280 83 | | | |
| ACQ | 100 0000 | 03/28/2006 | 8280 83 | 5554 99 | 2725 84 | LT15 |
| 75 0000 QUALCOMM INC - 747525103 - MINOR = 31000 | | | | | | |
| SOLD | | 04/09/2009 | 3122 71 | | | |
| ACQ | 25 0000 | 04/27/2004 | 1040 90 | 827 87 | 213 03 | LT15 |
| | 50 0000 | 04/21/2005 | 2081 81 | 1703 92 | 377 89 | LT15 |
| 50 0000 ROCKWELL AUTOMATION INC - 773903109 - MINOR = 31000 | | | | | | |
| SOLD | | 07/08/2009 | 1491 97 | | | |
| ACQ | 50 0000 | 04/09/2009 | 1491.97 | 1422 69 | 69.28 | ST |
| 5900 0000 S & P 500 DEP RCPTS - 78462F103 - MINOR = 31000 | | | | | | |
| SOLD | | 05/05/2009 | 533894 97 | | | |
| ACQ | 1800 0000 | 07/22/2008 | 162883 21 | 226188 00 | -63304 79 | ST |
| | 1100 0000 | 09/11/2008 | 99539 74 | 136565 00 | -37025 26 | ST |
| | 3000 0000 | 10/07/2008 | 271472 02 | 308280 00 | -36807 98 | ST |
| 3000 0000 S & P 500 DEP RCPTS - 78462F103 - MINOR = 31000 | | | | | | |
| SOLD | | 06/10/2009 | 280672 78 | | | |
| ACQ | 2000 0000 | 10/09/2008 | 187115 19 | 191840 00 | -4724 81 | ST |
| | 1000 0000 | 01/08/2009 | 93557 59 | 90670 00 | 2887 59 | ST |
| 75 0000 SAFEWAY INC - 786514208 - MINOR = 31000 | | | | | | |
| SOLD | | 06/24/2009 | 1542 01 | | | |
| ACQ | 75 0000 | 08/28/2007 | 1542 01 | 2322 07 | -780 06 | LT15 |
| 75 0000 SAFEWAY INC - 786514208 - MINOR = 31000 | | | | | | |
| SOLD | | 07/06/2009 | 1483 49 | | | |
| ACQ | 75 0000 | 08/28/2007 | 1483.49 | 2322 07 | -838 58 | LT15 |
| 100 0000 SAFEWAY INC - 786514208 - MINOR = 31000 | | | | | | |
| SOLD | | 09/10/2009 | 1980 58 | | | |
| ACQ | 100.0000 | 08/28/2007 | 1980 58 | 3096 10 | -1115 52 | LT15 |
| 100 0000 SAFEWAY INC - 786514208 - MINOR = 31000 | | | | | | |
| SOLD | | 09/10/2009 | 1971 17 | | | |
| ACQ | 100 0000 | 08/28/2007 | 1971.17 | 3096 10 | -1124 93 | LT15 |
| 175 0000 SAFEWAY INC - 786514208 - MINOR = 31000 | | | | | | |
| SOLD | | 09/11/2009 | 3503 60 | | | |
| ACQ | 75 0000 | 08/28/2007 | 1501 54 | 2322 08 | -820 54 | LT15 |
| | 100 0000 | 02/27/2009 | 2002.06 | 1824 40 | 177 66 | ST |
| 75 0000 SCHERING PLOUGH CORP - 806605101 - MINOR = 31001 | | | | | | |
| SOLD | | 01/28/2009 | 1400 28 | | | |
| ACQ | 75 0000 | 08/28/2007 | 1400.28 | 2259.06 | -858 78 | LT15 |
| 725 0000 SCHERING PLOUGH CORP - 806605101 - MINOR = 31001 | | | | | | |
| SOLD | | 09/14/2009 | 20760 27 | | | |
| ACQ | 725 0000 | 08/28/2007 | 20760 27 | 21837 58 | -1077 31 | LT15 |
| 50 0000 SCHLUMBERGER LTD - 806857108 - MINOR = 31001 | | | | | | |
| SOLD | | 04/09/2009 | 2237 85 | | | |
| ACQ | 50 0000 | 05/23/2008 | 2237 85 | 4993.50 | -2755 65 | ST |
| 50 0000 SCHLUMBERGER LTD - 806857108 - MINOR = 31001 | | | | | | |
| SOLD | | 05/13/2009 | 2658 41 | | | |
| ACQ | 50 0000 | 05/23/2008 | 2658.41 | 4993 50 | -2335 09 | ST |
| 125 0000 SCHLUMBERGER LTD - 806857108 - MINOR = 31001 | | | | | | |
| SOLD | | 05/22/2009 | 6518 93 | | | |
| ACQ | 125 0000 | 05/23/2008 | 6518 93 | 12483 75 | -5964 82 | ST |
| 50 0000 SOUTHERN CO - 842587107 - MINOR = 31001 | | | | | | |
| SOLD | | 07/22/2009 | 1590 72 | | | |
| ACQ | 50 0000 | 05/13/2009 | 1590 72 | 1438 22 | 152 50 | ST |
| 75 0000 STATE STREET CORP - 857477103 - MINOR = 31000 | | | | | | |
| SOLD | | 03/02/2009 | 1620 78 | | | |
| ACQ | 75 0000 | 10/14/2008 | 1620 78 | 4160 43 | -2539 65 | ST |
| 100 0000 TD AMERITRADE HOLDING CORPORATION - 87236Y108 - MINOR = 31000 | | | | | | |
| SOLD | | 08/10/2009 | 1924 41 | | | |
| ACQ | 100 0000 | 06/12/2008 | 1924 41 | 1768.00 | 156.41 | LT15 |
| 0000 TIME WARNER INC - 887317303 - MINOR = 44 | | | | | | |
| SOLD | | 04/28/2009 | 7 40 | | | |
| ACQ | .0000 | | 7 40 | 0 00 | 7 40 | LT15 |
| **CHANGED** 05/11/09 AW4 | | | | | | |
| .0000 TIME WARNER CABLE INC - 88732J207 - MINOR = 44 | | | | | | |
| SOLD | | 04/23/2009 | 4 22 | | | |
| ACQ | .0000 | | 4 22 | 0 00 | 4 22 | LT15 |
| **CHANGED** 05/11/09 AW4 | | | | | | |

TAX PREPARER JBA
TRUST ADMINISTRATOR FL2
INVESTMENT OFFICER

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

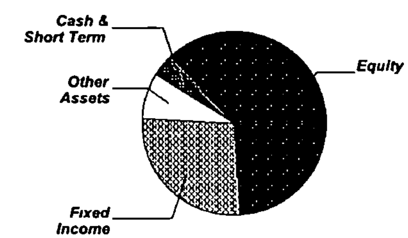
| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM |
|---|---|---|---|---|---|---|
| 140 0000 TIME WARNER CABLE INC - 88732J207 - MINOR = 44 | | | | | | |
| SOLD | | 07/30/2009 | 4668 07 | | | |
| ACQ | 50 0000 | 03/28/2008 | 1667 17 | 2063 92 | -396 75 | LT15 |
| | 21 0000 | 10/10/2008 | 700 21 | 577 50 | 122 71 | ST |
| | 23 0000 | 10/21/2008 | 766 90 | 711 69 | 55 21 | ST |
| | 16 0000 | 12/05/2008 | 533 49 | 444 59 | 88 90 | ST |
| | 9 0000 | 01/16/2009 | 300 09 | 236 80 | 63 29 | ST |
| | 8 0000 | 01/16/2009 | 266 75 | 239 90 | 26 85 | ST |
| | 13 0000 | 02/23/2009 | 433 46 | 282 99 | 150 47 | ST |
| 100 0000 THE TRAVELERS COMPANIES INC - 89417E109 - MINOR = 31000 | | | | | | |
| SOLD | | 12/15/2009 | 5056 71 | | | |
| ACQ | 100 0000 | 09/16/2008 | 5056 71 | 4833 01 | 223 70 | LT15 |
| 50 0000 THE TRAVELERS COMPANIES INC - 89417E109 - MINOR = 31000 | | | | | | |
| SOLD | | 12/16/2009 | 2487 18 | | | |
| ACQ | 25 0000 | 10/30/2008 | 1243 59 | 1029 99 | 213 60 | LT15 |
| | 25 0000 | 03/02/2009 | 1243 59 | 900 07 | 343 52 | ST |
| 0000 TYCO INTL LTD NEW - 902124106 - MINOR = 31001 | | | | | | |
| SOLD | | 03/16/2009 | 2792 15 | | | |
| ACQ | 0000 | | 2792 15 | 0 00 | 2792 15 | LT15 |
| **CHANGED** 05/11/09 AW4 | | | | | | |
| 100.0000 URBAN OUTFITTERS INC - 917047102 - MINOR = 31000 | | | | | | |
| SOLD | | 08/13/2009 | 2881 43 | | | |
| ACQ | 25 0000 | 01/14/2009 | 720 36 | 374 10 | 346 26 | ST |
| | 75 0000 | 04/02/2009 | 2161 07 | 1161 78 | 999 29 | ST |
| 25 0000 WELLPOINT INC - 94973V107 - MINOR = 31000 | | | | | | |
| SOLD | | 06/08/2009 | 1139 79 | | | |
| ACQ | 25.0000 | 04/09/2009 | 1139 79 | 1008 68 | 131 11 | ST |
| 50 0000 WELLPOINT INC - 94973V107 - MINOR = 31000 | | | | | | |
| SOLD | | 07/28/2009 | 2723 89 | | | |
| ACQ | 50 0000 | 04/09/2009 | 2723 89 | 2017 35 | 706 54 | ST |
| 250 0000 WELLS FARGO & CO NEW - 949746101 - MINOR = 31000 | | | | | | |
| SOLD | | 04/02/2009 | 3875 17 | | | |
| ACQ | 250 0000 | 04/27/2004 | 3875 17 | 4536 85 | -661 68 | LT15 |
| ***FOUND UPDATE*** | | | | | | |
| 75 0000 WELLS FARGO & CO NEW - 949746101 - MINOR = 31000 | | | | | | |
| SOLD | | 04/29/2009 | 1486 65 | | | |
| ACQ | 75 0000 | 04/27/2004 | 1486 65 | 2155 08 | -668 43 | LT15 |
| 150 0000 WYETH - 983024100 - MINOR = 31001 | | | | | | |
| SOLD | | 09/14/2009 | 7179 68 | | | |
| ACQ | 100 0000 | 01/23/2009 | 4786 45 | 4214 71 | 571 74 | ST |
| | 50 0000 | 01/28/2009 | 2393 23 | 2172 13 | 221 10 | ST |
| 50 0000 XTO ENERGY CORP - 98385X106 - MINOR = 31000 | | | | | | |
| SOLD | | 01/28/2009 | 1892 22 | | | |
| ACQ | 50 0000 | 05/23/2008 | 1892 22 | 3193 50 | -1301 28 | ST |
| 100 0000 XTO ENERGY CORP - 98385X106 - MINOR = 31000 | | | | | | |
| SOLD | | 02/19/2009 | 3204 02 | | | |
| ACQ | 100 0000 | 05/23/2008 | 3204 02 | 6387 00 | -3182 98 | ST |
| 125 0000 XILINX INC - 983919101 - MINOR = 31001 | | | | | | |
| SOLD | | 04/03/2009 | 2489 86 | | | |
| ACQ | 125 0000 | 05/23/2008 | 2489 86 | 3270 00 | -780.14 | ST |
| 125 0000 XILINX INC - 983919101 - MINOR = 31001 | | | | | | |
| SOLD | | 04/29/2009 | 2515 86 | | | |
| ACQ | 125 0000 | 05/23/2008 | 2515 86 | 3270.00 | -754 14 | ST |
| 100 0000 YAHOO INC - 984332106 - MINOR = 31000 | | | | | | |
| SOLD | | 07/29/2009 | 1515 23 | | | |
| ACQ | 100 0000 | 08/28/2007 | 1515 23 | 2282 00 | -766 77 | LT15 |
| 100 0000 YAHOO INC - 984332106 - MINOR = 31000 | | | | | | |
| SOLD | | 07/29/2009 | 1519 41 | | | |
| ACQ | 100 0000 | 08/28/2007 | 1519 41 | 2282.00 | -762 59 | LT15 |
| 75 0000 YUM BRANDS INC - 988498101 - MINOR = 31001 | | | | | | |
| SOLD | | 01/14/2009 | 2132 36 | | | |
| ACQ | 75 0000 | 10/21/2002 | 2132 36 | 908 63 | 1223 73 | LT15 |
| 50 0000 YUM BRANDS INC - 988498101 - MINOR = 31001 | | | | | | |
| SOLD | | 06/24/2009 | 1632 56 | | | |
| ACQ | 50 0000 | 10/21/2002 | 1632 56 | 605 75 | 1026 81 | LT15 |
| 100 0000 ZIMMER HOLDINGS INC - 98956P102 - MINOR = 31000 | | | | | | |
| SOLD | | 07/01/2009 | 4200 55 | | | |
| ACQ | 100 0000 | 02/06/2009 | 4200 55 | 4035.26 | 165 29 | ST |
| .0000 CASH RECEIPT SECURITIES LITIGATION - 999927973 - MINOR = 31000 | | | | | | |
| SOLD | | 03/03/2009 | 120 92 | | | |
| ACQ | | 11/11/1960 | 120 92 | | 120.92 | LT15 |
| **CHANGED** 05/11/09 AW4 | | | | | | |
| 100 0000 AXIS CAPITAL HOLDINGS LTD - G0692U109 - MINOR = 31000 | | | | | | |
| SOLD | | 11/13/2009 | 2960 47 | | | |
| ACQ | 100 0000 | 09/16/2008 | 2960 47 | 3399 07 | -438 60 | LT15 |
| 125 0000 NOBLE CORPORATION - H5833N103 - MINOR = 49 | | | | | | |
| SOLD | | 11/20/2009 | 4866 68 | | | |
| ACQ | 125 0000 | 05/22/2009 | 4866 68 | 3895 33 | 971 35 | ST |
| | | TOTALS | 3191597 53 | 3804101 79 | | |

# Account Summary

## PRINCIPAL

| Asset Allocation | Beginning Market Value | Ending Market Value | Change In Value | Estimated Annual Income | Current Allocation |
|---|---|---|---|---|---|
| Equity | 3,675,755 33 | 3,730,669.20 | 54,913 87 | 53,436 03 | 61% |
| Cash & Short Term | 393,186 79 | 265,769.30 | (127,417 49) | 318.92 | 4% |
| Fixed Income | 1,897,659.35 | 1,707,248 00 | (190,411 35) | 80,384 98 | 27% |
| Other Assets | 76,723 00 | 519,620 54 | 442,897.54 | | 8% |
| **Market Value** | **$6,043,324.47** | **$6,223,307.04** | **$179,982.57** | | **100%** |

**Asset Allocation**




## INCOME

| Cash Position | Beginning Market Value | Ending Market Value | Change In Value |
|---|---|---|---|
| Cash Balance | (223 24) | 16,543 07 | 16,766 31 |
| Accruals | 7,228 00 | 8,041 40 | 813 40 |
| **Market Value** | **$7,004.76** | **$24,584.47** | **$17,579.71** |

# Account Summary CONTINUED

| Portfolio Activity | PRINCIPAL Current Period Value | PRINCIPAL Year-to-Date Value | INCOME Current Period Value | INCOME Year-to-Date Value |
|---|---|---|---|---|
| **Beginning Market Value** | **6,043,324.47** | **5,409,856.83** | **(223.24)** | **15,414.88** |
| Additions | | | 51,592 66 | 185,527 58 |
| Withdrawals & Fees | (51,592 66) | (185,527 58) | (80,867 32) | (336,130 20) |
| Securities Transferred In | | 8,897 50 | – | – |
| Securities Transferred Out | | (23,721 25) | – | – |
| **Net Additions/Withdrawals** | **($51,592.66)** | **($200,351.33)** | **($29,274.66)** | **($150,602.62)** |
| Income | | | 46,040 97 | 151,730 81 |
| Change In Investment Value | 231,575 23 | 1,013,801 54 | | |
| **Ending Market Value** | **$6,223,307.04** | **$6,223,307.04** | **$16,543.07** | **$16,543.07** |
| Accruals | – | – | 8,041.40 | 8,041 40 |
| **Market Value with Accruals** | – | – | **$24,584.47** | **$24,584.47** |

# Account Summary CONTINUED

| Tax Summary | Current Period Value | Year-to-Date Value |
|---|---|---|
| Domestic Dividends/Distributions | 45,780 69 | 150,077 62 |
| Foreign Dividends | 146 68 | 552 95 |
| Interest Income | 113 60 | 1,100 24 |
| **Taxable Income** | **$46,040.97** | **$151,730.81** |

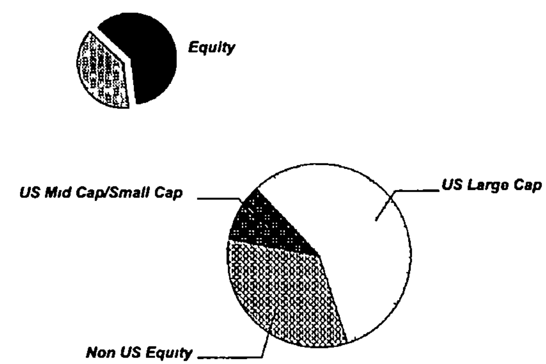
| | Current Period Value | Year-to-Date Value |
|---|---|---|
| LT Capital Gain Distributions | 70 77 | 70 77 |
| ST Realized Gain/Loss | 16,369.68 | (430,025 59) |
| LT Realized Gain/Loss | 6,969 48 | (182,599 53) |
| **Realized Gain/Loss** | **$23,409.93** | **($612,554.35)** |

| | To-Date Value |
|---|---|
| **Unrealized Gain/Loss** | **$487,660.35** |

# Equity Summary

| Asset Categories | Beginning Market Value | Ending Market Value | Change In Value | Current Allocation |
|---|---|---|---|---|
| US Large Cap | 2,143,831 30 | 2,142,839 62 | (991 68) | 35% |
| US Mid Cap/Small Cap | 339,597 80 | 360,037 51 | 20,439 71 | 6% |
| Non US Equity | 1,192,326.23 | 1,227,792 07 | 35,465 84 | 20% |
| **Total Value** | **$3,675,755.33** | **$3,730,669.20** | **$54,913.87** | **61%** |

**Asset Categories**




| Market Value/Cost | Current Period Value |
|---|---|
| Market Value | 3,730,669.20 |
| Tax Cost | 3,315,019 06 |
| Unrealized Gain/Loss | 415,650 13 |
| Estimated Annual Income | 53,436.03 |
| Accrued Dividends | 1,898 55 |
| Yield | 1 43 % |

# Equity Detail

| | Quantity | Pnce | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap** | | | | | | | |
| **JPMORGAN TR I**<br>GROWTH ADVANTAGE FUND<br>SELECT SHARE CLASS FUND 1567<br>4812A3-71-8 JGAS X | 23,497 483 | 7 27 | 170,826 70 | 135,000 00 | 35,826 70 | 1,339.35 | 0 78% |
| **ABBOTT LABORATORIES**<br>002824-10-0 ABT | 400.000 | 53 99 | 21,596 00 | 20,063 38 | 1,532 62 | 640.00 | 2 96% |
| **ADVANCED AUTO PARTS INC**<br>00751Y-10-6 AAP | 100 000 | 40 48 | 4,048 00 | 2,998 83 | 1,049 17 | 24 00<br>6.00 | 0 59% |
| **AETNA INC**<br>00817Y-10-8 AET | 300 000 | 31 70 | 9,510 00 | 7,475 93 | 2,034 07 | 12.00 | 0 13% |
| **AFLAC INC**<br>001055-10-2 AFL | 150.000 | 46 25 | 6,937.50 | 6,537 93 | 399.57 | 168 00 | 2 42% |
| **AMAZON COM INC**<br>023135-10-6 AMZN | 35 000 | 134.52 | 4,708 20 | 2,918 18 | 1,790 02 | | |
| **ANADARKO PETROLEUM CORP**<br>032511-10-7 APC | 75 000 | 62 42 | 4,681 50 | 3,233 87 | 1,447 63 | 27 00 | 0 58% |
| **APACHE CORP**<br>037411-10-5 APA | 150 000 | 103 17 | 15,475 50 | 20,800 50 | (5,325.00) | 90 00 | 0 58% |
| **APPLE INC.**<br>037833-10-0 AAPL | 105.000 | 210 73 | 22,126.86 | 19,007 34 | 3,119 52 | | |
| **APPLIED MATERIALS INC**<br>038222-10-5 AMAT | 800 000 | 13 94 | 11,152 00 | 10,555 34 | 596.66 | 192.00 | 1.72% |

## US Large Cap

| | Quantity | Price | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **AT&T INC** 00206R-10-2 T | 500 000 | 28 03 | 14,015 00 | 20,905 00 | (6,890 00) | 840 00 | 5.99% |
| **BANK OF AMERICA CORP** 060505-10-4 BAC | 1,375 000 | 15 06 | 20,707 50 | 33,792 47 | (13,084 97) | 55 00 | 0.27% |
| **BANK OF NEW YORK MELLON CORP** 064058-10-0 BK | 450 000 | 27 97 | 12,586 50 | 18,410 30 | (5,823 80) | 162 00 | 1.29% |
| **BAXTER INTERNATIONAL INC** 071813-10-9 BAX | 150.000 | 58.68 | 8,802 00 | 7,359 15 | 1,442.85 | 174 00<br>43 50 | 1.98% |
| **BB & T CORP** 054937-10-7 BBT | 200.000 | 25 37 | 5,074 00 | 3,825 68 | 1,248.32 | 120 00 | 2 36% |
| **BOEING CO** 097023-10-5 BA | 75 000 | 54 13 | 4,059.75 | 6,102 75 | (2,043 00) | 126.00 | 3 10% |
| **BRISTOL MYERS SQUIBB CO** 110122-10-8 BMY | 350 000 | 25 25 | 8,837.50 | 7,071 76 | 1,765 74 | 448 00<br>112 00 | 5 07% |
| **CARDINAL HEALTH INC** 14149Y-10-8 CAH | 100.000 | 32 24 | 3,224 00 | 2,190 27 | 1,033 73 | 70 00<br>17 50 | 2.17% |
| **CATERPILLAR INC** 149123-10-1 CAT | 25.000 | 56 99 | 1,424.75 | 606 80 | 817.95 | 42 00 | 2.95% |
| **CELGENE CORPORATION** 151020-10-4 CELG | 250 000 | 55.68 | 13,920 00 | 12,007 22 | 1,912 78 | | |
| **CHEVRON CORP** 166764-10-0 CVX | 205.000 | 76 99 | 15,782 95 | 13,532 15 | 2,250 80 | 557 60 | 3 53% |
| **CISCO SYSTEMS INC** 17275R-10-2 CSCO | 1,200 000 | 23 94 | 28,728 00 | 9,539 31 | 19,188 69 | | |

| | Quantity | Price | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap** | | | | | | | |
| **CITIGROUP INC**<br>172967-10-1 C | 2,100 000 | 3 31 | 6,951.00 | 8,866 46 | (1,915.46) | | |
| **COACH INC**<br>189754-10-4 COH | 125 000 | 36 53 | 4,566.25 | 4,205 13 | 361 12 | 37 50 | 0 82 % |
| **COCA COLA CO**<br>191216-10-0 KO | 125 000 | 57 00 | 7,125 00 | 7,171 26 | (46 26) | 205 00 | 2 88 % |
| **COMCAST CORP**<br>CL A<br>20030N-10-1 CMCS A | 300 000 | 16 86 | 5,058.00 | 5,096 16 | (38 16) | 113 40 | 2.24 % |
| **CONOCOPHILLIPS**<br>20825C-10-4 COP | 200.000 | 51 07 | 10,214 00 | 9,518 76 | 695 24 | 400 00 | 3 92 % |
| **CORNING INC**<br>219350-10-5 GLW | 700.000 | 19 31 | 13,517 00 | 14,705.30 | (1,188.30) | 140 00 | 1.04 % |
| **CVS/CAREMARK CORPORATION**<br>126650-10-0 CVS | 550 000 | 32 21 | 17,715 50 | 21,334.14 | (3,618.64) | 167.75 | 0 95 % |
| **DEERE & CO**<br>244199-10-5 DE | 250 000 | 54 09 | 13,522 50 | 9,593 91 | 3,928 59 | 280 00<br>70 00 | 2 07 % |
| **DEVON ENERGY CORP**<br>25179M-10-3 DVN | 180 000 | 73 50 | 13,230 00 | 11,424 37 | 1,805 63 | 115 20 | 0 87 % |
| **DOW CHEMICAL CO**<br>260543-10-3 DOW | 450.000 | 27 63 | 12,433 50 | 6,217 75 | 6,215 75 | 270 00<br>67 50 | 2 17 % |
| **EATON VANCE SPL INVT TR**<br>VALUE FD CL I<br>277905-64-2 EILV X | 5,415 348 | 16 78 | 90,869 54 | 75,000 00 | 15,869.54 | 1,397.15 | 1.54 % |

| | Quantity | Price | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap** | | | | | | | |
| **EDISON INTERNATIONAL**<br>281020-10-7 EIX | 400 000 | 34 78 | 13,912 00 | 15,768 41 | (1,856.41) | 504 00<br>126 00 | 3 62% |
| **EMERSON ELECTRIC CO**<br>291011-10-4 EMR | 225 000 | 42 60 | 9,585 00 | 8,384 39 | 1,200 61 | 301 50 | 3 15% |
| **EOG RESOURCES INC**<br>26875P-10-1 EOG | 75 000 | 97 30 | 7,297 50 | 4,917 70 | 2,379 80 | 43 50 | 0 60% |
| **EXXON MOBIL CORP**<br>30231G-10-2 XOM | 320.000 | 68 19 | 21,820.80 | 6,898 70 | 14,922 10 | 537 60 | 2.46% |
| **FREEPORT-MCMORAN COPPER & GOLD INC**<br>CL B<br>35671D-85-7 FCX | 210.000 | 80 29 | 16,860 90 | 15,542.95 | 1,317 95 | 126 00 | 0.75% |
| **GENERAL MILLS INC**<br>370334-10-4 GIS | 75 000 | 70 81 | 5,310.75 | 3,970 29 | 1,340 46 | 147 00 | 2 77% |
| **GILEAD SCIENCES INC**<br>375558-10-3 GILD | 225.000 | 43.27 | 9,735.75 | 3,884 60 | 5,851 15 | | |
| **GOLDMAN SACHS GROUP INC**<br>38141G-10-4 GS | 130 000 | 168 84 | 21,949 20 | 13,431 31 | 8,517 89 | 182 00 | 0 83% |
| **GOOGLE INC**<br>CL A<br>38259P-50-8 GOOG | 50 000 | 619 98 | 30,999 00 | 20,540 37 | 10,458 63 | | |
| **HARTFORD CAPITAL APPRECIATION FUND**<br>416649-30-9 ITHI X | 2,523 129 | 30 61 | 77,232 98 | 60,000 00 | 17,232.98 | 1,082.42 | 1 40% |
| **HEWLETT-PACKARD CO**<br>428236-10-3 HPQ | 725 000 | 51 51 | 37,344 75 | 32,711 44 | 4,633 31 | 232 00<br>58 00 | 0.62% |

| | Quantity | Price | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap** | | | | | | | |
| **HONEYWELL INTERNATIONAL INC**<br>438516-10-6 HON | 150 000 | 39 20 | 5,880.00 | 6,165 62 | (285 62) | 181 50 | 3 09% |
| **INTERNATIONAL GAME TECHNOLOGY**<br>459902-10-2 IGT | 150 000 | 18 77 | 2,815.50 | 2,305.13 | 510 37 | 36 00<br>9 00 | 1.28% |
| **INTERNATIONAL BUSINESS MACHINES CORP**<br>459200-10-1 IBM | 105 000 | 130.90 | 13,744 50 | 8,282 86 | 5,461 64 | 231 00 | 1.68% |
| **JOHNSON CONTROLS INC**<br>478366-10-7 JCI | 475 000 | 27 24 | 12,939 00 | 5,852 10 | 7,086 90 | 247.00<br>61.75 | 1.91% |
| **JPMORGAN INTREPID AMERICA FUND**<br>SELECT SHARE CLASS<br>FUND 1206<br>4812A2-10-8 JPIA X | 6,107 956 | 20.31 | 124,052 59 | 132,477 32 | (8,424 73) | 1,911.79 | 1 54% |
| **JPMORGAN US LARGE CAP CORE PLUS FUND**<br>SELECT<br>FUND 1002<br>4812A2-38-9 JLPS X | 31,293 976 | 18 18 | 568,924.48 | 597,406 82 | (28,482 34) | 10,514 77 | 1 85% |
| **JUNIPER NETWORKS INC**<br>48203R-10-4 JNPR | 350 000 | 26.67 | 9,334.50 | 6,727 40 | 2,607 10 | | |
| **MASTERCARD INC - CLASS A**<br>57636Q-10-4 MA | 30 000 | 255.98 | 7,679 40 | 5,449 40 | 2,230 00 | 18 00 | 0 23% |
| **MERCK & CO INC**<br>58933Y-10-5 MRK | 725 000 | 36 54 | 26,491 50 | 23,353 73 | 3,137 77 | 1,102 00<br>275.50 | 4 16% |
| **METLIFE INC**<br>59156R-10-8 MET | 125 000 | 35 35 | 4,418 75 | 3,504 35 | 914 40 | 92 50 | 2 09% |

| | Quantity | Price | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap** | | | | | | | |
| **MICROSOFT CORP**<br>594918-10-4 MSFT | 1,575 000 | 30 48 | 48,006 00 | 38,159 09 | 9,846 91 | 819 00 | 1 71% |
| **MONSANTO CO**<br>61166W-10-1 MON | 105 000 | 81 75 | 8,583.75 | 10,983 92 | (2,400.17) | 111 30 | 1 30% |
| **MORGAN STANLEY**<br>617446-44-8 MS | 425 000 | 29 60 | 12,580 00 | 13,434 29 | (854 29) | 85 00 | 0.68% |
| **NATIONAL SEMICONDUCTOR CORP**<br>637640-10-3 NSM | 275.000 | 15 36 | 4,224 00 | 3,250 07 | 973.93 | 88 00<br>22 00 | 2.08% |
| **NETAPP INC**<br>64110D-10-4 NTAP | 125 000 | 34.36 | 4,295.00 | 1,941 34 | 2,353 66 | | |
| **NIKE INC B**<br>654106-10-3 NKE | 115 000 | 66 07 | 7,598 05 | 7,090 59 | 507 46 | 124 20<br>31.05 | 1 63% |
| **NORFOLK SOUTHERN CORP**<br>655844-10-8 NSC | 550.000 | 52 42 | 28,831 00 | 22,753 79 | 6,077 21 | 748 00 | 2.59% |
| **OCCIDENTAL PETROLEUM CORP**<br>674599-10-5 OXY | 250 000 | 81 35 | 20,337 50 | 18,692 32 | 1,645 18 | 330 00<br>82 50 | 1 62% |
| **ORACLE CORP**<br>68389X-10-5 ORCL | 400.000 | 24 53 | 9,812.00 | 8,368 56 | 1,443 44 | 80 00 | 0 82% |
| **PACCAR INC**<br>693718-10-8 PCAR | 250 000 | 36 27 | 9,067 50 | 8,488 94 | 578 56 | 90 00 | 0.99% |
| **PARKER-HANNIFIN CORP**<br>701094-10-4 PH | 70 000 | 53 88 | 3,771 60 | 2,821 06 | 950 54 | 70 00 | 1 86% |
| **PAYCHEX INC**<br>704326-10-7 PAYX | 175 000 | 30 64 | 5,362 00 | 4,578 80 | 783 20 | 217 00 | 4 05% |

| | Quantity | Pnce | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap** | | | | | | | |
| **PEPSICO INC**<br>713448-10-8 PEP | 275.000 | 60 80 | 16,720 00 | 14,902 15 | 1,817 85 | 495 00<br>123 75 | 2.96% |
| **PFIZER INC**<br>717081-10-3 PFE | 1,375 000 | 18 19 | 25,011.25 | 20,364 22 | 4,647 03 | 990 00 | 3 96% |
| **PHILIP MORRIS INTERNATIONAL**<br>718172-10-9 PM | 300.000 | 48 19 | 14,457 00 | 10,040 91 | 4,416 09 | 696 00<br>174 00 | 4 81% |
| **PRAXAIR INC**<br>74005P-10-4 PX | 125.000 | 80 31 | 10,038 75 | 6,943 74 | 3,095 01 | 200.00 | 1.99% |
| **PROCTER & GAMBLE CO**<br>742718-10-9 PG | 535.000 | 60 63 | 32,437 05 | 12,325 21 | 20,111 84 | 941 60 | 2.90% |
| **PRUDENTIAL FINANCIAL INC**<br>744320-10-2 PRU | 250 000 | 49 76 | 12,440 00 | 10,632 54 | 1,807 46 | 175 00 | 1.41% |
| **QUALCOMM INC**<br>747525-10-3 QCOM | 400.000 | 46 26 | 18,504 00 | 13,600.34 | 4,903 66 | 272 00 | 1 47% |
| **SCHLUMBERGER LTD**<br>806857-10-8 SLB | 175 000 | 65 09 | 11,390 75 | 7,388 83 | 4,001 92 | 147 00<br>36 75 | 1.29% |
| **SOUTHERN CO**<br>842587-10-7 SO | 225 000 | 33 32 | 7,497.00 | 6,708.63 | 788 37 | 393.75 | 5.25% |
| **SOUTHWESTERN ENERGY CO**<br>845467-10-9 SWN | 100.000 | 48 20 | 4,820 00 | 4,279 88 | 540.12 | | |
| **SPRINT NEXTEL CORP**<br>852061-10-0 S | 1,025 000 | 3 66 | 3,751 50 | 4,154 67 | (403 17) | | |
| **STAPLES INC**<br>855030-10-2 SPLS | 500 000 | 24 59 | 12,295 00 | 10,754 63 | 1,540 37 | 165 00<br>41 25 | 1 34% |

## US Large Cap

| | Quantity | Price | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **STARWOOD HOTELS & RESORTS**<br>85590A-40-1 HOT | 225 000 | 36 57 | 8,228 25 | 4,842 18 | 3,386 07 | 45 00<br>45 00 | 0.55 % |
| **SYSCO CORP**<br>871829-10-7 SYY | 200.000 | 27 94 | 5,588.00 | 5,960 00 | (372 00) | 200 00<br>50 00 | 3 58 % |
| **TD AMERITRADE HOLDING CORPORATION**<br>87236Y-10-8 AMTD | 200.000 | 19 38 | 3,876 00 | 3,536 00 | 340.00 | | |
| **TIME WARNER INC**<br>NEW<br>887317-30-3 TWX | 558.000 | 29 14 | 16,260 12 | 12,889 14 | 3,370 98 | 418 50 | 2.57 % |
| **UNITED TECHNOLOGIES CORP**<br>913017-10-9 UTX | 300 000 | 69 41 | 20,823 00 | 8,913 75 | 11,909.25 | 462 00 | 2 22 % |
| **URBAN OUTFITTERS INC**<br>917047-10-2 URBN | 150.000 | 34 99 | 5,248.50 | 2,237 27 | 3,011 23 | | |
| **US BANCORP DEL**<br>902973-30-4 USB | 575.000 | 22 51 | 12,943 25 | 16,544.72 | (3,601.47) | 115 00<br>28 75 | 0.89 % |
| **V F CORP**<br>918204-10-8 VFC | 80 000 | 73 24 | 5,859 20 | 4,584 38 | 1,274 82 | 192 00 | 3 28 % |
| **VERIZON COMMUNICATIONS INC**<br>92343V-10-4 VZ | 600 000 | 33 13 | 19,878 00 | 19,301 32 | 576.68 | 1,140 00 | 5 73 % |
| **WAL MART STORES INC**<br>931142-10-3 WMT | 300 000 | 53 45 | 16,035 00 | 14,903 61 | 1,131 39 | 327 00<br>81 75 | 2 04 % |
| **WALT DISNEY CO**<br>254687-10-6 DIS | 775.000 | 32.25 | 24,993 75 | 20,518 68 | 4,475.07 | 271 25<br>271 25 | 1.09 % |
| **WELLPOINT INC**<br>94973V-10-7 WLP | 50 000 | 58 29 | 2,914.50 | 2,622 96 | 291 54 | | |

| | Quantity | Pnce | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap** | | | | | | | |
| **WELLS FARGO & CO** 949746-10-1 WFC | 675.000 | 26 99 | 18,218 25 | 17,685 31 | 532 94 | 135 00 | 0 74% |
| **XILINX CORP** 983919-10-1 XLNX | 350 000 | 25 06 | 8,771.00 | 8,132 44 | 638 56 | 224 00 | 2 55% |
| **YUM BRANDS INC** 988498-10-1 YUM | 275 000 | 34 97 | 9,616 75 | 5,541 71 | 4,075 04 | 231 00 | 2 40% |
| **Total US Large Cap** | | | **$2,142,839.62** | **$1,925,514.28** | **$217,325.34** | **$36,702.13** **$1,834.80** | **1.71%** |
| **US Mid Cap/Small Cap** | | | | | | | |
| **ASTON FDS TAMRO S CAP I** 00078H-14-1 ATSI X | 8,163 271 | 16 66 | 136,000 09 | 110,000 00 | 26,000 09 | 114 28 | 0 08% |
| **JPMORGAN MID CAP GROWTH** SELECT SHARE CLASS FUND 3120 4812C1-71-0 HLGE X | 12,098 440 | 18 32 | 221,643 42 | 175,000 00 | 46,643 42 | 1,560.69 | 0 70% |
| **KB HOME** 48666K-10-9 KBH | 175 000 | 13 68 | 2,394 00 | 2,892 67 | (498 67) | 43.75 | 1 83% |
| **Total US Mid Cap/Small Cap** | | | **$360,037.51** | **$287,892.67** | **$72,144.84** | **$1,718.72** | **0.48%** |
| **Non US Equity** | | | | | | | |
| **ACE LTD NEW** H0023R-10-5 ACE | 125 000 | 50 40 | 6,300 00 | 5,681 77 | 618 23 | 155 00 38 75 | 2 46% |

## Non US Equity

| | Quantity | Pnce | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income / Accrued Dividends | Yield |
|---|---|---|---|---|---|---|---|
| **ARTIO INTERNATIONAL EQUITY II - I**<br>04315J-83-7 JETI X | 7,535 396 | 11 78 | 88,766 96 | 75,000 00 | 13,766 96 | 4,362 99 | 4.92% |
| **COOPER INDUSTRIES PLC CL - A**<br>G24140-10-8 CBE | 100 000 | 42 64 | 4,264.00 | 3,270 17 | 993 83 | 100 00<br>25.00 | 2 35% |
| **COVIDIEN PLC**<br>G2554F-10-5 COV | 250 000 | 47 89 | 11,972 50 | 9,263 70 | 2,708 80 | 180 00 | 1 50% |
| **DODGE & COX INTERNATIONAL STOCK**<br>256206-10-3 DODF X | 11,332.269 | 31 85 | 360,932.77 | 362,846 44 | (1,913.68) | 4,940 86 | 1 37% |
| **ISHARES S&P GLOBAL INFRASTR**<br>464288-37-2 IGF | 2,300.000 | 34 08 | 78,384 00 | 65,887 70 | 12,496 30 | 2,762.30 | 3 52% |
| **IVY GLOBAL NATURAL RESOURCE-I**<br>465899-50-8 IGNI X | 8,910 083 | 18 77 | 167,242 26 | 125,000 00 | 42,242 26 | 392 04 | 0 23% |
| **JPMORGAN ASIA EQUITY FUND**<br>SELECT SHARE CLASS<br>FUND 1134<br>4812A0-70-6 JPAS X | 10,156.315 | 31 20 | 316,877 03 | 300,447 27 | 16,429 76 | 1,167.97 | 0.37% |
| **MATTHEWS PACIFIC TIGER FUND -CL I**<br>577130-10-7 MAPT X | 3,184 713 | 19.23 | 61,242.03 | 50,000 00 | 11,242.03 | 464 96 | 0.76% |
| **NUVEEN NWQ VALUE OPPORTUNITIES FUND**<br>67064Y-63-6 NVOR X | 4,290 004 | 29.76 | 127,670.52 | 100,000.00 | 27,670.52 | 489 06 | 0.38% |
| **TRANSOCEAN INC**<br>H8817H-10-0 RIG | 50 000 | 82 80 | 4,140 00 | 4,215 06 | (75.06) | | |
| **Total Non US Equity** | | | **$1,227,792.07** | **$1,101,612.11** | **$126,179.95** | **$15,015.18**<br>**$63.75** | **1.22%** |

# Cash & Short Term Summary

| Asset Categories | Beginning Market Value | Ending Market Value | Change In Value | Current Allocation |
|---|---|---|---|---|
| Cash | 393,186 79 | 265,769 30 | (127,417 49) | 4% |

**Asset Categories**


| Market Value/Cost | Current Period Value |
|---|---|
| Market Value | 265,769 30 |
| Tax Cost | 265,769 30 |
| Estimated Annual Income | 318 92 |
| Accrued Interest | 32 39 |
| Yield | 0 12% |

Note: [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

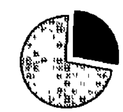
# Cash & Short Term Detail

| | Quantity | Price | Market Value | Tax Cost Adjusted / Original | Unrealized Gain/Loss | Estimated Annual Income / Accrued Interest | Yield |
|---|---|---|---|---|---|---|---|
| **Cash** | | | | | | | |
| **US DOLLAR PRINCIPAL** | 265,769 30 | 1 00 | 265,769 30 | 265,769 30 | | 318 92<br>32 39 | 0 12 % [1] |

# Fixed Income Summary

| Asset Categories | Beginning Market Value | Ending Market Value | Change In Value | Current Allocation |
|---|---|---|---|---|
| US Fixed Income - Taxable | 1,897,659 35 | 1,707,248 00 | (190,411 35) | 27% |

Asset Categories




| Market Value/Cost | Current Period Value |
|---|---|
| Market Value | 1,707,248 00 |
| Tax Cost | 1,669,625.81 |
| Unrealized Gain/Loss | 37,622 18 |
| Estimated Annual Income | 80,384.98 |
| Accrued Interest | 6,110 46 |
| Yield | 4 71% |

# Fixed Income Summary CONTINUED

## SUMMARY BY MATURITY

| Fixed Income | Market Value | % of Bond Portfolio |
|---|---|---|
| Less than 5 years[1] | 1,707,248.00 | 100% |

## SUMMARY BY TYPE

| Fixed Income | Market Value | % of Bond Portfolio |
|---|---|---|
| Mutual Funds | 1,707,248 00 | 100% |

[1]The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

# Fixed Income Detail

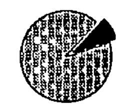
| | Quantity | Price | Market Value | Tax Cost Adjusted / Original | Unrealized Gain/Loss | Estimated Annual Income / Accrued Interest | Yield |
|---|---|---|---|---|---|---|---|
| **US Fixed Income - Taxable** | | | | | | | |
| **ISHARES BARCLAYS TIPS BOND FUND**<br>464287-17-6 | 2,337 000 | 103 90 | 242,814 30 | 245,251 39 | (2,437 09) | 9,446 15 | 3 89 % |
| **JPMORGAN INTERNATIONAL CURRENCY**<br>INCOME FUND<br>30-Day Annualized Yield: 1 20%<br>4812A3-29-6 | 11,414 141 | 10 75 | 122,702 02 | 124,300 00 | (1,597.98) | 68.48<br>59.24 | 0.06 % |
| **JPMORGAN STRATEGIC INCOME**<br>OPPORTUNITIES FUND 3844<br>30-Day Annualized Yield 2 76%<br>4812A4-35-1 | 14,183 316 | 11 59 | 164,384 63 | 150,000 00 | 14,384 63 | 5,886 07<br>510 60 | 3 58 % |
| **JPMORGAN CORE BOND FUND**<br>SELECT SHARE CLASS<br>FUND 3720<br>30-Day Annualized Yield. 4.35%<br>4812C0-38-1 | 55,105 112 | 11 10 | 611,666 74 | 590,843 42 | 20,823 32 | 30,913 96<br>2,369.52 | 5 05 % |
| **JPMORGAN HIGH YIELD BOND FUND**<br>SELECT CLASS<br>FUND 3580<br>30-Day Annualized Yield 7 59%<br>4812C0-80-3 | 40,581 496 | 7.74 | 314,100 78 | 309,231 00 | 4,869 78 | 26,905 53<br>2,637.80 | 8 57 % |

| | Quantity | Price | Market Value | Tax Cost Adjusted / Original | Unrealized Gain/Loss | Estimated Annual Income / Accrued Interest | Yield |
|---|---|---|---|---|---|---|---|
| **US Fixed Income - Taxable** | | | | | | | |
| **JPMORGAN SHORT DURATION BOND FUND**<br>SELECT CLASS<br>FUND 3133<br>30-Day Annualized Yield: 2 04%<br>4812C1-33-0 | 23,187 053 | 10 85 | 251,579 53 | 250,000 00 | 1,579 52 | 7,164.79<br>533 30 | 2 85% |
| **Total US Fixed Income - Taxable** | | | **$1,707,248.00** | **$1,669,625.81** | **$37,622.18** | **$80,384.98**<br>**$6,110.46** | **4.71%** |

# Other Assets Summary

| Asset Categories | Beginning Estimated Value | .Ending Estimated Value | Change In Value | Current Allocation |
|---|---|---|---|---|
| Structured Investments | 76,723 00 | 519,620 54 | 442,897.54 | 8% |

**Asset Categories**




# Other Assets Detail

| | Quantity | Price | Estimated Value | Cost | Estimated Gain/Loss |
|---|---|---|---|---|---|
| **Structured Investments** | | | | | |
| **BARCLAYS BREN GSCI OIL 8/05/10**<br>GSCITM CRUDE OIL EXCESS RETURN<br>INDEX, 15% BUFFER,<br>1 66X LEVERAGE, 58 10% MAX RET,<br>DD 02/05/09<br>06738Q-F3-7 | 35,000 000 | 133.60 | 46,760 00 | 34,475 00 | 12,285.00 |
| **BARCLAYS DJ-UBS COMMODITY F3 BREN**<br>MD 12/12/11, BUFFER 15%<br>MAX LOSS 100%, CAP 13 5%<br>LEVERAGE 178%, MAX RETURN 24 03<br>DD 12/4/09, STRIKE 276 1487<br>06739J-6M-0 | 60,000 000 | 98 65 | 59,190 00 | 59,100.00 | 90 00 |
| **CS 12M ASIA BREN**<br>12/22/10 (10%BUFF<br>-2XLEV-9 53%CAP-19.06%MXPYMT)<br>INITIAL LEVEL-ASIA 12/04/09· 100 00<br>22546E-PK-4 | 60,000.000 | 100.12 | 60,072 00 | 59,400.00 | 672.00 |

## Structured Investments

| | Quantity | Price | Estimated Value | Cost | Estimated Gain/Loss |
|---|---|---|---|---|---|
| **GS 9M EAFE NOTE**<br>09/07/2010 (84.9% CONTIN BARRIER-2% PMT -7% CAP)<br>INITIAL LEVEL-11/26/09. SX5E.2799.44 UKX 5194 13 TPX 829 56<br>38143U-FR-7 | 120,000 000 | 108 70<br>12/29/09 | 130,434.79 | 119,100.00 | 11,334 79 |
| **GS 9M SPX NOTE**<br>08/19/2010 (85% CONTIN BARRIER-6 6% PMT -AUTO 7% CAP)<br>INITIAL LEVEL-SPX.11/13/09: 1,093 48<br>38143U-FL-0 | 123,000 000 | 102.53 | 126,105.75 | 122,077.50 | 4,028.25 |
| **HSBC 12M SPX BREN**<br>12/22/10 (10%BUFF -2XLEV-7 375%CAP-14 75%MXPYMT)<br>INITIAL LEVEL-SPX·12/04/09: 1105 98<br>4042K0-F7-5 | 60,000 000 | 100 47 | 60,282.00 | 59,400 00 | 882 00 |
| **MORGAN STANLEY BREN SPX 6/15/10**<br>(10%BUFFER-2XLEV)<br>INITIAL LEVEL-SPX.05/22/09 886.75<br>617482-FU-3 | 32,000 000 | 114 93 | 36,776 00 | 31,680.00 | 5,096 00 |
| **Total Structured Investments** | | | **$519,620.54** | **$485,232.50** | **$34,388.04** |