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Form 990



Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning 01-01-2009 and ending 12-31-2009

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization

AMERICAN WATER WORKS ASSOCIATION

Doing Business As

Number and street (or P O box if mail is not delivered to street address)

6666 WEST QUINCY AVENUE

Room/suite

City or town, state or country, and ZIP + 4

DENVER, CO 80235

D Employer identification number

13-5660277

E Telephone number

(303) 794-7711

G Gross receipts \$ 43,392,457

F Name and address of principal officer

DAVID LAFRANCE

6666 WEST QUINCY AVENUE

DENVER, CO 80235

H(a) Is this a group return for affiliates?

☐ Yes ☒ No

H(b) Are all affiliates included?

☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number

I Tax-exempt status

☒ 501(c) (3) ☐ (Insert no) ☐ 4947(a)(1) or ☐ 527

J Website:

WWW.AWWA.ORG

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation 1881

M State of legal domicile IL

Part I

Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities AWWA UNITES THE WATER COMMUNITY TO PROTECT PUBLIC HEALTH AND TO PROVIDE SAFE AND SUFFICIENT WATER FOR ALL		
	2	Check this box ☒ if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	61
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	61
	5	Total number of employees (Part V, line 2a)	5	169
Revenue	6	Total number of volunteers (estimate if necessary)	6	5,000
	7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	2,654,026
	b	Net unrelated business taxable income from Form 990-T, line 34	7b	581,314
Expenses	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	1,421,890	100,786
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	25,185,022	25,345,952
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	859,538	692,052
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	5,574,769	3,648,848
			33,041,219	29,787,638
	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	2,131,002	2,182,733
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
Net Assets or Fund Balances	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	13,563,209	12,835,797
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) 1,784,260		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	16,326,795	13,020,851
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	32,021,006	28,039,381
	19	Revenue less expenses Subtract line 18 from line 12	1,020,213	1,748,257
			Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	32,625,994	31,497,387
	21	Total liabilities (Part X, line 26)	20,290,196	15,820,782
	22	Net assets or fund balances Subtract line 21 from line 20	12,335,798	15,676,605

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Signature of officer

Date

2010-06-15

Type or print name and title

Lynn Laskey Chief Financial Officer

Preparer's signature

Rita F Worster CPA

Date

Check if self-employed ☐

Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4

BKD LLP

111 South Tejon Suite 800

Colorado Springs, CO 809039848

EIN

Phone no (719) 471-4290

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2009)

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization’s mission

AWWA UNITES THE WATER COMMUNITY TO PROTECT PUBLIC HEALTH AND TO PROVIDE SAFE AND SUFFICIENT WATER FOR ALL THROUGH COLLECTIVE LEADERSHIP, AWWA ADVANCES TECHNOLOGY, EDUCATION, SCIENCE, MANAGEMENT, AND GOVERNMENT POLICIES

- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
- If “Yes,” describe these new services on Schedule O
- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
- If “Yes,” describe these changes on Schedule O
- 4 Describe the exempt purpose achievements for each of the organization’s three largest program services by expenses
- Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code) (Expenses \$ 2,589,160 including grants of \$ 1,974,097) (Revenue \$ 12,128,412)
	MEMBER SECTION SERVICES - PLANS/COORDINATES EFFORTS TO STIMULATE ENROLLMENT OF MEMBERS IN THE ASSOCIATION AND TO PROVIDE INFORMATION AND SUPPORT TO MEMBERS TO STRENGTHEN THE WATER COMMUNITY THE ASSOCIATION HAD 56,935 MEMBERS AT 12/31/09
4b	(Code) (Expenses \$ 8,043,036 including grants of \$) (Revenue \$ 3,104,667)
	PUBLICATIONS & SUBSCRIPTIONS - INCLUDES PUBLICATION AND SALE OF BOOKS, MANUALS, HANDBOOKS, REPORTS, ETC FOR USE BY THE PUBLIC AND WATER INDUSTRY
4c	(Code) (Expenses \$ 4,667,363 including grants of \$) (Revenue \$ 8,104,280)
	CONFERENCES - MEMBERSHIP CONFERENCES WHERE ALL PHASES OF WATER SUPPLY OPERATIONS ARE DISCUSSED TO ASSIST PEOPLE IN PUBLIC WATER SUPPLY AND TO PROVIDE BETTER PUBLIC SERVICES SEVEN (7) CONFERENCES SERVING IN EXCESS OF 13,000 ATTENDEES
4d	Other program services (Describe in Schedule O)
	(Expenses \$ 6,011,162 including grants of \$ 208,636) (Revenue \$ 1,487,964)
4e	Total program service expenses \$ 21,310,721

Part IV

Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1	Yes
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	No
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II 	4	Yes
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	Yes
11	Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. 	11	Yes
	◆ Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.		
	◆ Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.		
	◆ Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.		
	◆ Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.		
	◆ Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.		
	◆ Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.		
12	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12	Yes
12A	Was the organization included in consolidated, independent audited financial statements for the tax year?	Yes	No
	If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional 	12A	No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I 	14b	Yes
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S? If "Yes," complete Schedule F, Part II 	15	Yes
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S? If "Yes," complete Schedule F, Part III 	16	No
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17	No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	No
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20	No

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35		No
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38		No

Part V

Statements Regarding Other IRS Filings and Tax Compliance

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096, <i>Annual Summary and Transmittal of U.S. Information Returns</i> . Enter -0- if not applicable	1a	130	
	b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return	2a	169	
	b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)			
3a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?			3a	Yes
b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O			3b	Yes
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a	Yes
b If "Yes," enter the name of the foreign country: CA See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts				
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .			5a	No
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b	No
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c	
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?			6a	No
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b	
7 Organizations that may receive deductible contributions under section 170(c).				
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?			7a	No
b If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c	No
d If "Yes," indicate the number of Forms 8282 filed during the year			7d	
e Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e	No
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .			7f	No
g For all contributions of qualified intellectual property, did the organization file Form 8899 as required? . . .			7g	
h For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h	
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8	
9 Sponsoring organizations maintaining donor advised funds.				
a Did the organization make any taxable distributions under section 4966?			9a	
b Did the organization make a distribution to a donor, donor advisor, or related person?			9b	
10 Section 501(c)(7) organizations. Enter				
a Initiation fees and capital contributions included on Part VIII, line 12			10a	
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			10b	
11 Section 501(c)(12) organizations. Enter				
a Gross income from members or shareholders			11a	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)			11b	
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?			12a	
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year			12b	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body	1a	61	
b	Enter the number of voting members that are independent	1b	61	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4	Yes	
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5		No
6	Does the organization have members or stockholders?	6	Yes	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	Yes	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a	Yes	
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		No
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed CA , OR
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply. ☒ Own website ☒ Another's website ☒ Upon request
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization. THE ORGANIZATION 6666 WEST QUINCY AVENUE DENVER, CO 80235 (303) 794-7711

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
CRAIG WOOLARD PRESIDENT	10	X		X				0	0	0
JOE MANTUA PRESIDENT ELECT	10	X						0	0	0
MICHAEL LEONARD IMMEDIATE PAST PRESIDENT	10	X						1,626	0	0
R LEE ROBERTS TREASURER	10	X		X				0	0	0
DANNY HUTCHERSON BOARD MEMBER	10	X						0	0	0
DANIEL WHITE BOARD MEMBER	10	X						0	0	0
MARK STRATTON BOARD MEMBER	10	X						0	0	0
BRUCE BUCHANAN BOARD MEMBER	10	X						0	0	0
MICHAEL NOLAN BOARD MEMBER	10	X						0	0	0
DEBRA KAYE BOARD MEMBER	10	X						0	0	0
CHARLES WULFF BOARD MEMBER	10	X						0	0	0
GEORGE REST BOARD MEMBER	10	X						0	0	0
CHRISTOPHER BOGUCKI BOARD MEMBER	10	X						0	0	0
LARRY RUFFIN BOARD MEMBER	10	X						0	0	0
TERRY COLE BOARD MEMBER	10	X						0	0	0
ELSON GUSHIKEN BOARD MEMBER	10	X						0	0	0
JOHN DONAHUE BOARD MEMBER	10	X						0	0	0

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
DAN HOOD BOARD MEMBER	10	X						0	0	0
RICK WHEADON BOARD MEMBER	10	X						0	0	0
CARLA SCHUMACHER BOARD MEMBER	10	X						0	0	0
GERALD BLAIN BOARD MEMBER	10	X						0	0	0
RANDAL BRAKER BOARD MEMBER	10	X						0	0	0
EMILIO RANGEL WOODYARD BOARD MEMBER	10	X						0	0	0
GERALD CARON BOARD MEMBER	10	X						0	0	0
DAVID SCHULZ BOARD MEMBER	10	X						0	0	0
DANIEL MONTGOMERY BOARD MEMBER	10	X						0	0	0
JOHN CAMDEN BOARD MEMBER	10	X						0	0	0
ANTHONY BILEK BOARD MEMBER	10	X						0	0	0
JOHN YOUNG BOARD MEMBER	10	X						0	0	0
EMERSON MARVEL BOARD MEMBER	10	X						0	0	0
JEFFREY ZDROJEWSKI BOARD MEMBER	10	X						0	0	0
ROBERT WALTERS BOARD MEMBER	10	X						0	0	0
LISA ANSLEY BOARD MEMBER	10	X						0	0	0
BRIAN BISSON BOARD MEMBER	10	X						0	0	0
WAYNE STIVER BOARD MEMBER	10	X						0	0	0
DEAN FRITZKE BOARD MEMBER	10	X						3,321	0	0
DEBORAH LIPPERT BOARD MEMBER	10	X						0	0	0
JUAN CARLOS PEREZ BOFILL BOARD MEMBER	10	X						1,386	0	0
HUBERT DEMARD BOARD MEMBER	10	X						0	0	0
MARTIN GARCIA BOARD MEMBER	10	X						0	0	0
DEE BENNETT BOARD MEMBER	10	X						0	0	0
MARTIN JARRETT BOARD MEMBER	10	X						0	0	0
DONALD BROUSSARD BOARD MEMBER	10	X						0	0	0
CHARLES ANDERSON BOARD MEMBER	10	X						0	0	0
BRIAN RAMALEY BOARD MEMBER	10	X						0	0	0
EDWARD MORRISON BOARD MEMBER	10	X						0	0	0
RAYMOND BILEVICIUS BOARD MEMBER	10	X						0	0	0
JAMES CHAFFEE BOARD MEMBER	10	X						0	0	0
PAMELA MARCHAND BOARD MEMBER	10	X						0	0	0
DANIEL DUCHNIAK BOARD MEMBER	10	X						0	0	0
MARIE PEARTHREE BOARD MEMBER	10	X						0	0	0
JOEL NEULIGHT BOARD MEMBER	10	X						0	0	0
THOMAS MARSTON BOARD MEMBER	10	X						0	0	0
JOHN BATTEN BOARD MEMBER	10	X						0	0	0
RONALD PORT BOARD MEMBER	10	X						0	0	0
J PAUL BLAKE BOARD MEMBER	10	X						0	0	0
ROBERT CHENG BOARD MEMBER	10	X						0	0	0
JOE JACANGELO BOARD MEMBER	10	X						0	0	0
MICHEAL HOOKER BOARD MEMBER	10	X						0	0	0
DAVID RAGER BOARD MEMBER	10	X						0	0	0
ELISA SPERANZA BOARD MEMBER	10	X						0	0	0
NILAKSH KOTHARI IMMEDIATE PAST PRESIDENT	10	X						1,730	0	0
FRED ELWELL BOARD MEMBER	10	X						0	0	0
THOMAS CURTIS Deputy Executive Dir - DC	400			X				197,958	0	20,044
LINDA LASKEY CFO	400			X				150,768	0	14,394
PAULA MACLLWAINE Deputy Executive Director	400			X				191,132	0	18,250
GARY ZIMMERMAN Executive Director	400			X				204,981	0	8,652
ROBERT HUFF Director of Information Tech	400					X		134,426	0	14,932
MONICA JODA BARUTH Group Director - Publish	400					X		136,152	0	14,577
ALAN ROBERSON Director of Regulatory Affairs	400					X		112,238	0	10,309
JON RUNGE Group Director - CM	400					X		140,049	0	13,073
GREGORY WEBBER Director of Operations	400					X		134,118	0	12,977
1b Total								1,409,885	0	127,208
2	Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization11									

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1	Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization		
	(A) Name and business address	(B) Description of services	(C) Compensation
	TMA RESOURCES INC 1919 GALLOWS RD SUITE 400 VIENNA, VA 22182	S/W IMPL & SUPPORT	946,892
	FREEMAN COMPANIES PO BOX 650036 DALLAS, TX 752650036	CONF DCOR & MGT	1,039,594
	BANTA DBA RR DONNELLY PO BOX 809284 CHICAGO, IL 606809284	PRINTNG SVCS	457,477
	DAVIS GRAHAM STUBBS 1515 17TH STREET SUITE 500 DENVER, CO 80202	LEGAL	340,517
	PUBLICATION PRINTERS CORP 2001 S PLATTE RIVER DRIVE DENVER, CO 80223	PRINTNG SVCS	298,531
2	Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization19		

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514		
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a						
	b	Membership dues	1b						
	c	Fundraising events	1c						
	d	Related organizations	1d						
	e	Government grants (contributions)	1e	6,517					
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	94,269					
	g	Noncash contributions included in lines 1a-1f \$ _____							
	h	Total. Add lines 1a-1f		100,786					
Program Service Revenue	2a	MEMBERSHIP DUES	Business Code 900,099	12,128,412	12,128,412				
	b	CONFERENCES & SEMINARS	561,499	8,104,280	8,104,280				
	c	ADVERTISING & SUBSCRIPTIONS	511,120	4,108,432	1,454,406	2,654,026			
	d	SPONSORSHIP REVENUE	511,120	530,208	530,208				
	e	CONSULTING REVENUE	561,499	257,769	257,769				
	f	All other program service revenue		216,851	216,851				
	g	Total. Add lines 2a-2f		25,345,952					
	Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		683,552			683,552	
4		Income from investment of tax-exempt bond proceeds . . .		0					
5		Royalties		588,334			588,334		
6a		Gross Rents	(i) Real	(ii) Personal					
		b	Less rental expenses						
		c	Rental income or (loss)						
		d	Net rental income or (loss)						
7a		Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other	8,500			8,500	
		b	Less cost or other basis and sales expenses						
		c	Gain or (loss)						
		d	Net gain or (loss)						
8a		Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18			0				
		b	Less direct expenses	b					
		c	Net income or (loss) from fundraising events . . .						
9a		Gross income from gaming activities See Part IV, line 19			0				
		b	Less direct expenses	b					
		c	Net income or (loss) from gaming activities . . .						
10a		Gross sales of inventory, less returns and allowances			2,054,571	2,054,571			
		b	Less cost of goods sold	b					
		c	Net income or (loss) from sales of inventory . . .						
	Miscellaneous Revenue		Business Code						
11a	ADMIN/RENTAL-WATER RESRCH FDN, WATER FOR PEOPLE	900,099		428,892			428,892		
	b	TRAVEL REVENUE	900,099	498,225			498,225		
	c	MISCELLANEOUS REVENUE	900,099	78,826	78,826				
	d	All other revenue							
	e	Total. Add lines 11a-11d		1,005,943					
12	Total revenue. See Instructions		29,787,638	24,825,323	2,654,026	2,207,503			

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	1,834,994	1,834,994		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	36,767	36,767		
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	310,972	310,972		
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	806,179	301,078	505,101	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	8,657,424	5,668,029	2,451,658	537,737
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	1,466,922	892,554	486,483	87,885
9	Other employee benefits	1,194,676	736,942	382,484	75,250
10	Payroll taxes	710,596	432,365	235,658	42,573
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	321,369	308,818	44	12,507
c	Accounting	83,331	80,077	11	3,243
d	Lobbying	10,509	10,099	1	409
e	Professional fundraising See Part IV, line 17	0			
f	Investment management fees	0			
g	Other	1,133,371	1,089,109	154	44,107
12	Advertising and promotion	1,998,999	1,525,507	691	472,802
13	Office expenses	2,663,483	2,230,953	253,713	178,817
14	Information technology	0			
15	Royalties	0			
16	Occupancy	1,891,079	1,814,318	4,397	72,363
17	Travel	976,567	723,958	238,105	14,505
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	1,958,437	1,949,066	2,711	6,660
20	Interest	23,205	20,897	6	2,302
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	871,963	785,306	231	86,426
23	Insurance	275,449	254,603		20,846
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	MISCELLANEOUS	379,564	8,153	365,019	6,392
b	COMPLIMENTARY PUBLICATIONS	232,723	131,375	0	101,347
c	RECRUITING/TRAINING	182,912	164,781	43	18,088
d	CONTINGENCIES/RESERVES	17,890	0	17,890	0
e					
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	28,039,381	21,310,721	4,944,400	1,784,260
26	Joint costs. Check here ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

						(A)		(B)
						Beginning of year		End of year
Assets	1	Cash—non-interest-bearing				1,071,063	1	878,959
	2	Savings and temporary cash investments				7,827,136	2	5,453,161
	3	Pledges and grants receivable, net					3	
	4	Accounts receivable, net				911,895	4	1,097,339
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L					5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L					6	
	7	Notes and loans receivable, net					7	
	8	Inventories for sale or use				1,462,535	8	1,121,937
	9	Prepaid expenses and deferred charges				624,289	9	600,469
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	16,970,406				
	b	Less accumulated depreciation	10b	7,896,741	8,845,686	10c	9,073,665	
	11	Investments—publicly traded securities				2,230,808	11	298,639
	12	Investments—other securities See Part IV, line 11				9,431,000	12	12,801,000
	13	Investments—program-related See Part IV, line 11					13	
	14	Intangible assets					14	
	15	Other assets See Part IV, line 11				221,582	15	172,218
	16	Total assets. Add lines 1 through 15 (must equal line 34)				32,625,994	16	31,497,387
Liabilities	17	Accounts payable and accrued expenses				3,572,637	17	2,294,600
	18	Grants payable					18	
	19	Deferred revenue				10,227,913	19	8,976,026
	20	Tax-exempt bond liabilities				1,640,000	20	1,340,000
	21	Escrow or custodial account liability Complete Part IV of Schedule D					21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L					22	
	23	Secured mortgages and notes payable to unrelated third parties					23	
	24	Unsecured notes and loans payable to unrelated third parties					24	
	25	Other liabilities Complete Part X of Schedule D				4,849,646	25	3,210,156
	26	Total liabilities. Add lines 17 through 25				20,290,196	26	15,820,782
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.							
	27	Unrestricted net assets				10,730,191	27	13,998,599
	28	Temporarily restricted net assets				438,562	28	478,111
	29	Permanently restricted net assets				1,167,045	29	1,199,895
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.							
	30	Capital stock or trust principal, or current funds					30	
	31	Paid-in or capital surplus, or land, building or equipment fund					31	
	32	Retained earnings, endowment, accumulated income, or other funds					32	
	33	Total net assets or fund balances				12,335,798	33	15,676,605
	34	Total liabilities and net assets/fund balances				32,625,994	34	31,497,387

Part XI **Financial Statements and Reporting**

	Yes	No
1 Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? . .		No
b Were the organization's financial statements audited by an independent accountant? 	Yes	
c If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O . . .	Yes	
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? 		No
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .		

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization AMERICAN WATER WORKS ASSOCIATION	Employer identification number 13-5660277
--	--

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☒

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other
- e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box
- g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?
- h

☐

Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (See instructions)					12	
13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f))	14	
15 Public Support Percentage for 2008 Schedule A, Part II, line 14	15	
16a 33 1/3% support test—2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
b 33 1/3% support test—2008. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
17a 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization		
b 10%-facts-and-circumstances test—2008. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization		
18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions		

Part IIISupport Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	817,111	897,932	822,118	181,291	100,786	2,819,238
2Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	26,347,718	26,855,881	27,521,603	28,061,129	26,664,524	135,450,855
3Gross receipts from activities that are not an unrelated trade or business under section 513	1,144,918	1,046,321	1,239,208	1,581,519	927,117	5,939,083
4Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5The value of services or facilities furnished by a governmental unit to the organization without charge						
6Total. Add lines 1 through 5	28,309,747	28,800,134	29,582,929	29,823,939	27,692,427	144,209,176
7aAmounts included on lines 1, 2, and 3 received from disqualified persons						
bAmounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
cAdd lines 7a and 7b						
8Public Support (Subtract line 7c from line 6)						144,209,176

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9Amounts from line 6	28,309,747	28,800,134	29,582,929	29,823,939	27,692,427	144,209,176
10aGross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	773,367	1,312,907	1,620,192	1,462,117	1,271,886	6,440,469
bUnrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
cAdd lines 10a and 10b	773,367	1,312,907	1,620,192	1,462,117	1,271,886	6,440,469
11Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on	106,083	371,495	415,846	590,241	362,696	1,846,361
12Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13Total support (Add lines 9, 10c, 11 and 12)	29,189,197	30,484,536	31,618,967	31,876,297	29,327,009	152,496,006
14First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here	☐					

Section C. Computation of Public Support Percentage

15Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	15	94.566 %
16Public support percentage from 2008 Schedule A, Part III, line 15	16	82.980 %

Section D. Computation of Investment Income Percentage

17Investment income percentage for 2009 (line 10c column (f) divided by line 13 column (f))	17	4.223 %
18Investment income percentage from 2008 Schedule A, Part III, line 17	18	2.080 %
19a33 1/3% support tests—2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization	☒	
b33 1/3% support tests—2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization	☐	
20Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions	☐	

Part IV

Supplemental Information. Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

Additional Data

Software ID:
Software Version:
EIN: 13-5660277
Name: AMERICAN WATER WORKS ASSOCIATION

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
MEMBERSHIP DUES	900,099	12,128,412	12,128,412		
CONFERENCES & SEMINARS	561,499	8,104,280	8,104,280		
ADVERTISING & SUBSCRIPTIONS	511,120	4,108,432	1,454,406	2,654,026	
SPONSORSHIP REVENUE	511,120	530,208	530,208		
CONSULTING REVENUE	561,499	257,769	257,769		

SCHEDULE C (Form 990 or 990-EZ) Department of the Treasury Internal Revenue Service	Political Campaign and Lobbying Activities For Organizations Exempt From Income Tax Under section 501(c) and section 527 ▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.	OMB No 1545-0047
		2009
		Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization AMERICAN WATER WORKS ASSOCIATION	Employer identification number 13-5660277
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$ _____
3	Volunteer hours	_____

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$ _____
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$ _____
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	☐ Yes ☐ No
4a	Was a correction made?	☐ Yes ☐ No
b	If "Yes," describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$ _____
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities	▶ \$ _____
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$ _____
4	Did the filing organization file Form 1120-POL for this year?	☐ Yes ☐ No
5	State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		16,763													
b Total lobbying expenditures to influence a legislative body (direct lobbying)		133,887													
c Total lobbying expenditures (add lines 1a and 1b)		150,650													
d Other exempt purpose expenditures		27,888,731													
e Total exempt purpose expenditures (add lines 1c and 1d)		28,039,381													
f Lobbying nontaxable amount Enter the amount from the following table in both columns		1,000,000													
<table><thead><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr></thead><tbody><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></tbody></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)		250,000													
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		☐ Yes ☐ No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying non-taxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	147,882	125,202	150,189	150,650	573,923
d Grassroots non-taxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	32,108	23,153	26,191	16,763	98,215

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
	a Volunteers?			
	b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
	c Media advertisements?			
	d Mailings to members, legislators, or the public?			
	e Publications, or published or broadcast statements?			
	f Grants to other organizations for lobbying purposes?			
	g Direct contact with legislators, their staffs, government officials, or a legislative body?			
	h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
	i Other activities? If "Yes," describe in Part IV			
	j Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation

SCHEDULE D
(Form 990)

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.**
▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
AMERICAN WATER WORKS ASSOCIATION

Employer identification number
13-5660277

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
2a	
2b	
2c	
2d	

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4

Number of states where property subject to conservation easement is located ▶ _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1▶ \$ _____

(ii) Assets included in Form 990, Part X▶ \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1▶ \$ _____

b

Assets included in Form 990, Part X▶ \$ _____

For Privacy Act and Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2009

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance	1,605,606	1,541,596		
b	Contributions	94,269	39,349		
c	Investment earnings or losses	64,898	72,278		
d	Grants or scholarships	36,767	47,617		
e	Other expenditures for facilities and programs				
f	Administrative expenses				
g	End of year balance	1,728,006	1,605,606		

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment 2 890 % %

b

Permanent endowment 69 440 % %

c

Term endowment 27 670 % %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

3a(i)

No

(ii)

related organizations

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		252,423		252,423
b Buildings		9,445,800	3,311,599	6,134,201
c Leasehold improvements				
d Equipment		625,800	560,286	65,514
e Other		6,646,383	4,024,856	2,621,527
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				9,073,665

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements			
1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	29,787,638
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	28,039,381
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	1,748,257
4	Net unrealized gains (losses) on investments	4	67,832
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	1,524,718
9	Total adjustments (net) Add lines 4 - 8	9	1,592,550
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	3,340,807

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return			
1	Total revenue, gains, and other support per audited financial statements	1	33,219,389
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	67,832
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	3,363,919
e	Add lines 2a through 2d	2e	3,431,751
3	Subtract line 2e from line 1	3	29,787,638
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	29,787,638

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return			
1	Total expenses and losses per audited financial statements	1	29,878,582
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	1,839,201
e	Add lines 2a through 2d	2e	1,839,201
3	Subtract line 2e from line 1	3	28,039,381
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	28,039,381

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
PART V, LINE 4	DESCRIBE THE INTENDED USES OF THE ORGANIZATION'S ENDOWMENT FUNDS	THE ORGANIZATION HAS DONOR RESTRICTED ENDOWMENT FUNDS ESTABLISHED FOR THE PURPOSES OF PROVIDING INCOME TO AWARDS AND SCHOLARSHIPS. THE ASSOCIATION RECEIVES CONTRIBUTIONS IN SUPPORT OF THE FOLLOWING AWARDS: (1) WOLMAN - AN AWARD OF EXCELLENCE TO RECOGNIZE THOSE WHOSE CAREERS IN THE WATER WORKS INDUSTRY EXEMPLIFY VISION, CREATIVITY, AND EXCELLENT PROFESSIONAL PERFORMANCE CHARACTERISTIC OF ABEL WOLMAN'S LONG AND PRODUCTIVE CAREER. (2) LARSON - SCHOLARSHIPS HONOR THE MEMORY OF DR. LARSON BY PROVIDING SUPPORT AND ENCOURAGEMENT TO OUTSTANDING GRADUATE STUDENTS PREPARING FOR CAREERS IN THE FIELDS OF SCIENCE OR ENGINEERING TO WHICH DR. LARSON MADE SIGNIFICANT CONTRIBUTIONS.
Part XI, Line 8	Reconciliation of Net Assets	Change in Minimum Pension Liability 1,524,718
Part XII, Line 2d	Reconciliation of Revenue	Cost of Goods Sold 1,839,201. Change in Minimum Pension Liability 1,524,718. Total 3,363,919
Part XIII, Line 2d	Reconciliation of Expense	Cost of Goods Sold 1,839,201
Part X, Question 2	Fin 48 Footnote	American Water Works Association engages in certain activities that produce unrelated business activities as defined by federal income tax regulations. Income tax expense on unrelated business activities and advertising totaled approximately \$281,650 for the year ended 12/31/2009.

Employer identification number
13-5660277

3 Activities per Region (Use Schedule F-1 (Form 990) if additional space is needed)

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990. Cat No 50082W Schedule F (Form 990) 2009

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 ☐

Use Schedule F-1 (Form 990) if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			North America	Oper Support	33,227	Check			
			North America	Oper Support	33,227	Check			
			North America	Oper Support	141,442	Check			
			North America	Oper Support	21,552	Check			
			North America	Oper Support	56,994	Check			
			North America	Oper Support	20,000	Check			

2

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ☐

6

3

Enter total number of other organizations or entities ☐

0

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

[illegible]

Complete this part to provide the information required in Part I, line 2, and any additional information.

Schedule F (Form 990) 2009

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization
AMERICAN WATER WORKS ASSOCIATION

Employer identification number
13-5660277

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations

39

3

Enter total number of other organizations

0

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
AWARDS (WOLMAN)	2	24,767			
SCHOLARSHIPS (LARSON)	2	12,000			
See Additional Data Table					

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

[illegible]

Software ID:
Software Version:
EIN: 13-5660277
Name: AMERICAN WATER WORKS ASSOCIATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WATER RESEARCH FOUNDATION6666 W Quincy Street Denver,CO 80235	13-6211384	501(C)(3)	72,147				
WATER FOR PEOPLE6666 W Quincy Street Denver,CO 80235	84-1166148	501(C)(3)	99,722				
ALASKA22457 Hilltop Cir Chugiak,AK 995675708	92-0104130	501(C)(3)	17,000				
ALABAMA - MISSISSIPPI 517 Planters Dr Pearl,MS 392087028	23-7026150	501(C)(3)	33,274				
ARIZONA1042 Willow Creek Rd Ste A101-510 Prescott,AZ 863011673	23-7026151	501(C)(3)	35,643				
CALIFORNIA-NEVADA 10574 Acacia St Ste D6 Rancho Cucamonga,CA 917305448	94-6114828	501(C)(3)	232,245				
CHESAPEAKE4701 Mount Hope Dr Ste A Baltimore,MD 212153246	52-1182688	501(C)(3)	28,522				
CONNETICUT164 Water St Norwalk,CT 068543739	06-6100180	501(C)(3)	24,497				
FLORIDA600 School St Cocoa,FL 329227245	23-7027079	501(C)(3)	90,793				
GEORGIA1660 Barnes Mill Rd Marietta,GA 300627535	58-1815129	501(C)(3)	43,617				

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HAWAII630 S Beretania St Honolulu, HI 968430001	99-0165772	501(C)(3)	15,000				
ILLINOIS545 S Randall Rd St Charles, IL 601741533	23-7026152	501(C)(3)	58,746				
INDIANA2700 S Belmont Ave Indianapolis, IN 462212009	23-7026153	501(C)(3)	38,737				
INTERMOUNTAIN3430 Danish Rd Sandy, UT 840932139	23-7026154	501(C)(3)	18,832				
IOWA2201 George Flagg Pkwy Des Moines, IA 503211174	23-7026138	501(C)(3)	19,297				
KANSAS1815 W Pine St Wichita, KS 672033230	23-7026155	501(C)(3)	18,010				
KENTUCKY-TENNESSEEO Box 22788 Louisville, KY 402520788	23-7026156	501(C)(3)	48,668				
MICHIGANPO Box 16337 Lansing, MI 489016337	38-6073280	501(C)(3)	47,567				
MINNESOTAPO Box 64975 Seward, NE 684340038	23-7026161	501(C)(3)	28,653				
MISSOURI715 Kirk Drive Kansas City, MO 64105	23-7026157	501(C)(3)	25,255				

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MONTANA885 Berland Loop Conrad, MT 594259248	23-7026158	501(C)(3)	15,500				
NEBRASKAPO Box 38 Lincoln, NE 685082506	23-7026159	501(C)(3)	20,000				
NEW ENGLAND125 Hopping Brook Rd Holliston, MA 017461471	04-1666330	501(C)(3)	64,050				Operating Support
NEW JERSEYPPO Box 145 Marlboro, NJ 077460145	22-6049378	501(C)(3)	39,924				Operating Support
NEW YORK330 Crossways Park Dr Woodbury, NY 117972050	11-6026214	501(C)(3)	65,864				Operating Support
NORTH CAROLINA3701 National Dr Ste 217 Raleigh, NC 276124843	56-6045934	501(C)(3)	40,344				Operating Support
NORTH DAKOTA918 E Divide Ave Bismarck, ND 585011947	44-0420756	501(C)(3)	15,000				Operating Support
OHIO3972 Indianola Ave Columbus, OH 432143158	23-7026163	501(C)(3)	68,513				Operating Support
PACIFIC NORTHWESTPO Box 80910 Portland, OR 972801910	23-7026163	501(C)(3)	83,711				Operating Support
PENNSYLVANIA1309 Bridge St New Cumberland, PA 170701172	23-7026164	501(C)(3)	63,319				Operating Support

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PUERTO RICOB28 Calle A San Juan, PR 009265715	66-0343550	501(C)(3)	18,500				Operating Support
ROCKY MOUNTAIN2000 Clay St Ste 300 Denver, CO 802115171	23-7026165	501(C)(3)	66,647				Operating Support
SOUTH CAROLINA130 Gibson Rd Lexington, SC 290723429	23-7052846	501(C)(3)	28,216				Operating Support
SOUTH DAKOTA45703 176th St Watertown, SD 572017186	23-7026166	501(C)(3)	15,000				Operating Support
SOUTHWEST2820 N Pierce St Little Rock, AR 722072824	23-7026167	501(C)(3)	35,614				Operating Support
TEXAS14979 Doria Dr Austin, TX 787284462	75-1388778	501(C)(3)	103,580				Operating Support
VIRGINIAPO Box 55420 Virginia Beach, VA 234719420	23-7026168	501(C)(3)	51,075				Operating Support
WEST VIRIGINIAPO Box 1355 Saint Albans, WV 251771355	31-0988950	501(C)(3)	15,000				Operating Support
WISCONSINPO BOX 635 Oak Creek, WI 531540635	23-7026169	501(C)(3)	28,912				Operating Support

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2009

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization
AMERICAN WATER WORKS ASSOCIATION

Employer identification number
13-5660277

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☒ First-class or charter travel ☐ Housing allowance or residence for personal use ☒ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply. ☒ Compensation committee ☒ Written employment contract ☒ Independent compensation consultant ☒ Compensation survey or study ☐ Form 990 of other organizations ☒ Approval by the board or compensation committee		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment? b Participate in, or receive payment from, a supplemental nonqualified retirement plan? c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	4a	Yes
		4b	No
		4c	No
5	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9. For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization? b Any related organization? If "Yes," to line 5a or 5b, describe in Part III	5a	No
		5b	No
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization? b Any related organization? If "Yes," to line 6a or 6b, describe in Part III	6a	No
		6b	No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	No
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53.4958-4(a)(3)? If "Yes," describe in Part III	8	No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9	

Part II

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
THOMAS CURTIS	(i)	168,298	12,000	17,660	18,000	2,044	218,002	0
	(ii)	0	0	0	0	0	0	0
LINDA LASKEY	(i)	137,073	12,000	1,695	13,874	520	165,162	0
	(ii)	0	0	0	0	0	0	0
PAULA MACLLWAINÉ	(i)	169,906	12,000	9,226	17,600	650	209,382	0
	(ii)	0	0	0	0	0	0	0
GARY ZIMMERMAN	(i)	69,749	0	135,232	7,852	800	213,633	0
	(ii)	0	0	0	0	0	0	0
MONICA JODA BARUTH	(i)	130,336	2,536	3,280	12,757	1,820	150,729	0
	(ii)	0	0	0	0	0	0	0
JON RUNGE	(i)	136,497	2,340	1,212	13,073	0	153,122	0
	(ii)	0	0	0	0	0	0	0

Part III

Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
INFORMATION REGARDING BENEFITS PROVIDED	PART I, QUESTION 1A	AWWA policy allows first-class travel for flights over a certain duration. During 2009 no one availed themselves of this policy. A few directors received the benefit of travel for their companions. The incremental amount of the travel for their companion was properly included in a Form 1099 that was issued to the director receiving the benefit. Please see Part VII for the names and amounts.
NAMES, AMOUNTS, AND DETAILS OF ARRANGEMENTS	PART 1, QUESTION 4	GARY ZIMMERMAN - \$117,000 SEVERANCE PAYMENT ENDING SEPTEMBER 30, 2009

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
AMERICAN WATER WORKS ASSOCIATION

Employer identification number
13-5660277

Identifier	Return Reference	Explanation
DESCRIPTION OF OTHER PROGRAM SERVICES	FORM 990, PART III, QUESTION 4D	COMMITTEES - INCLUDES DEVELOPMENT AND IMPLEMENTATION OF INFORMATION PROJECTS, MEDIA RELATIONS, INQUIRIES AND THE NATIONAL DRINKING WATER WEEK CAMPAIGN
MATERIAL DIFFERENCES IN VOTING RIGHTS	FORM 990, PART VI, QUESTION 1A	EXCEPT IN THE CASE OF ELECTIONS FOR THE PRESIDENT-ELECT, DIRECTORS-AT-LARGE AND VICE PRESIDENT - WHERE ONLY SECTION DIRECTORS AND DIRECTORS-AT-LARGE MAY VOTE - WHICH WOULD BE 47 VOTES
CHANGES TO ORGANIZATIONAL DOCUMENTS SINCE PRIOR 990	FORM 990, PART VI, QUESTION 4	UPDATED AUDIT COMMITTEE CHARTER - REVISED MEMBER TERMS CHANGES TO GOVERNING DOCUMENTS THAT WERE ADMINISTRATIVE IN NATURE - FOR E G AMENDMENTS TO LANGUAGE SUCH AS ADDING MORE COMMITTEE MEMBERS
CLASSES OF MEMBERS OR STOCKHOLDERS	FORM 990, PART VI, QUESTION 6	MEMBERS - INDIVIDUAL MEMBERS, SERVICE PROVIDER MEMBERS AND UTILITY MEMBERS
HOW ORG ENSURES LOCAL UNIT'S ACTIVITIES ARE CONSISTENT WITH ITS OWN	FORM 990, PART VI, QUESTION 10B	AN AFFILIATION AGREEMENT HAS BEEN DEVELOPED AND ROLLED OUT TO SECTIONS FOR SIGNATURE A MAJORITY OF SECTIONS HAVE ALREADY SIGNED
PROCESS TO REVIEW THE FORM 990	FORM 990, PART VI, QUESTION 11B	BOARD MEMBERS WILL BE NOTIFIED BY EMAIL THAT THE FORM 990 IS AVAILABLE FOR REVIEW ON DIRECTORNET IT WILL ALSO BE REVIEWED BY THE AUDIT COMMITTEE BEFORE BEING UPLOADED TO DIRECTORNET AND BEFORE BEING FILED WITH THE IRS
PROCESS FOR MONITORING COMPLIANCE WITH CONFLICT OF INTEREST POLICY	FORM 990, PART VI, QUESTION 12C	OFFICERS AND DIRECTORS ANNUALLY COMPLETE A DISCLOSURE FORM CONFLICTS WITH REGARDS TO CONTRACTS OR TRANSACTIONS ARE DISCLOSED PRIOR TO ANY MEETING WHERE ACTION IS REQUIRED THESE DISCLOSURES ARE REFLECTED IN THE MEETING MINUTES OFFICERS/DIRECTORS WITH CONFLICTS ARE NOT ALLOWED TO PARTICIPATE IN OR DISCUSS THE MATTER AND ARE NOT ALLOWED TO VOTE CONFLICTS ARE DEALT WITH AT THE BOARD AND MANAGEMENT LEVEL
REVIEW OF CEO OR TOP MGMT OFFICIAL COMPENSATION	FORM 990, PART VI, QUESTION 15A & 15B	IN 2009 - INTERIM EXECUTIVE DIRECTOR, DEPUTY EXECUTIVE DIRECTOR & CHIEF FINANCIAL OFFICER - IT WAS CONDUCTED BY BOB GREEN OF REWARDS SYSTEMS

Identifier	Return Reference	Explanation
Governing Documents, Conflict of Interest Policy and Financial Statements	FORM 990, PART VI, QUESTION 19	AWWA's governing documents and conflict of interest policy are made available to the public upon request for a valid business purpose

Related Organizations and Unrelated Partnerships

2009

Open to Public Inspection

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.**
▶ **Attach to Form 990.** ▶ **See separate instructions.**

Employer identification number

13-5660277

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a)
Name, address, and EIN of disregarded entity

(b)
Primary activity

(c)
Legal domicile (state
or foreign country)

(d)
Total income

(e)
End-of-year assets

(f)
Direct controlling
entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a)
Name, address, and EIN of related organization

(b)
Primary activity

(c)
Legal domicile (state
or foreign country)

(d)
Exempt Code section

(e)
Public charity status
(if section 501(c)(3))

(f)
Direct controlling
entity

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?	
							Yes	No		Yes	No

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
AWWA ASSOCIATION SERVICES INC 6666 WEST QUINCY AVENUE DENVER, CO80235 84-1265561	INACTIVE	DE	Na	C Corp	0	0	100 000 %

Part V

Transactions With Related Organizations

(Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b Gift, grant, or capital contribution to other organization(s)

c Gift, grant, or capital contribution from other organization(s)

d Loans or loan guarantees to or for other organization(s)

e Loans or loan guarantees by other organization(s)

f Sale of assets to other organization(s)

g Purchase of assets from other organization(s)

h Exchange of assets

i Lease of facilities, equipment, or other assets to other organization(s)

j Lease of facilities, equipment, or other assets from other organization(s)

k Performance of services or membership or fundraising solicitations for other organization(s)

l Performance of services or membership or fundraising solicitations by other organization(s)

m Sharing of facilities, equipment, mailing lists, or other assets

n Sharing of paid employees

o Reimbursement paid to other organization for expenses

p Reimbursement paid by other organization for expenses

q Other transfer of cash or property to other organization(s)

r Other transfer of cash or property from other organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

No

No

No

No

No

No

No

No

No

No

No

No

No

No

No

No

No

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds			
	(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

Schedule R (Form 990) 2009

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Are all partners section 501(c)(3) organizations?		(e) Share of end-of-year assets	(f) Disproportionate allocations?		(g) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(h) General or managing partner?	
			Yes	No		Yes	No		Yes	No