EXTENSION ATTACHED

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE FROG ROCK FOUNDATION		**A Employer identification number** 13-4127228
	Number and street (or P O box number if mail is not delivered to street address) PO BOX 865	Room/suite	**B** Telephone number (see page 10 of the instructions) ( ) -
	City or town, state, and ZIP code CHAPPAQUA, NY 10514		**C** If exemption application is pending, check here ▶ ☐ **D** 1 Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 13,951,742.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	209.	209.	0.	ATCH 1
	4	Dividends and interest from securities	372,116.	368,728.	0.	ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-2,697,866.			
	b	Gross sales price for all assets on line 6a 6,926,805.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	-2,325,541.	368,937.	0.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	18,000.	0.	0.	18,000.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) ATCH 3	39,500.	29,625.	0.	9,875.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)**	3,597.	3,597.	0.	0.
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 5	59,709.	56,314.	0.	3,395.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	120,806.	89,536.	0.	31,270.
	25	Contributions, gifts, grants paid	725,500.			725,500.
	26	**Total expenses and disbursements** Add lines 24 and 25	846,306.	89,536.	0.	756,770.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-3,171,847.			
	b	**Net investment income** (if negative, enter -0-)		279,401.		
	c	**Adjusted net income** (if negative, enter -0-)			-0-	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,185,461.	1,932,377.	1,932,377.
	2 Savings and temporary cash investments STMT 8(A)8(B)	288,348.	653,511.	639,395.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule) **	321,347.	108,373.	111,168.
	b Investments - corporate stock (attach schedule) ATCH 7	13,336,335.	8,909,405.	8,289,620.
	c Investments - corporate bonds (attach schedule) ATCH 8	2,785,960.	3,141,938.	2,979,182.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	17,917,451.	14,745,604.	13,951,742.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	17,917,451.	14,745,604.	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	17,917,451.	14,745,604.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	17,917,451.	14,745,604.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,917,451.
2	Enter amount from Part I, line 27a	2	-3,171,847.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	14,745,604.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,745,604.

**ATCH 6

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-2,697,866.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	620,620.	16,154,427.	0.038418
2007	1,008,635.	19,533,906.	0.051635
2006	614,464.	17,604,027.	0.034905
2005	645,268.	8,031,344.	0.080344
2004	977,503.	7,771,460.	0.125781

2 **Total** of line 1, column (d)	2	0.331083
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.066217
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	12,810,893.
5 Multiply line 4 by line 3	5	848,299.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,794.
7 Add lines 5 and 6	7	851,093.
8 Enter qualifying distributions from Part XII, line 4	8	756,770.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	5,588.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	5,588.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	5,588.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 21,235.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	21,235.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	15,647.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 15,647. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JANET INSKEEP BENTON Telephone no ▶

Located at ▶ P.O.BOX 865 CHAPPAQUA, NY ZIP + 4 ▶ 10514

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here N/A ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes [ ] No [X]		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	Yes [ ] No [X]		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes [ ] No [X]		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	Yes [ ] No [X]		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	Yes [ ] No [X]		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		5b	N/A
Organizations relying on a current notice regarding disaster assistance check here N/A ▶ [ ]			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	Yes [ ] No [ ]		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	Yes [ ] No [X]		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No: X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes [ ] No [X]		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		18,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	11,406,412.
b	Average of monthly cash balances	1b	1,599,571.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	13,005,983.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,005,983.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	195,090.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,810,893.
6	**Minimum investment return.** Enter 5% of line 5	6	640,545.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	640,545.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	5,588.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	5,588.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	634,957.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	634,957.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	634,957.

Part XII **Qualifying Distributions**(see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	756,770.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	756,770.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	756,770.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				634,957.
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only				
b	Total for prior years 20 07 ,20 06 ,20 05				
3	Excess distributions carryover, if any, to 2009				
a	From 2004 ... 589,864.				
b	From 2005 ... 251,323.				
c	From 2006 ...				
d	From 2007 ... 64,402.				
e	From 2008 ...				
f	Total of lines 3a through e	905,589.			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 756,770.				
a	Applied to 2008, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)	756,770.	STMT 10		
d	Applied to 2009 distributable amount				
e	Remaining amount distributed out of corpus	0.			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	634,957.			634,957.
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,027,402.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	1,027,402.			
10	Analysis of line 9				
a	Excess from 2005 ... 206,230.				
b	Excess from 2006 ...				
c	Excess from 2007 ... 64,402.				
d	Excess from 2008 ...				
e	Excess from 2009 ... 756,770.				

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Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JANET BENTON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 11

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT 11(A).

c Any submission deadlines

SEE ATTACHED STATEMENT 11(A).

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATEMENT 11(A).

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 12				
Total . . . . . . ▶ **3a**				725,500.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				NONE

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	209.	
4 Dividends and interest from securities			14	372,116.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-2,697,866.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-2,325,541.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 -2,325,541.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11-14-2010 Title: Trustee

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed	Preparer's identifying number (See Signature on page 30 of the instructions)
[signature]	11/10/2010	☐	P00081039

Firm's name (or yours if self-employed), address, and ZIP code	EISNERAMPER LLP 750 THIRD AVENUE NEW YORK, NY 10017-2703	EIN ▶ 13-1639826 Phone no

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FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					6,136.	
		SEE ATTACHED SCHEDULE I				P	VARIOUS	VARIOUS
148,251.		143,758.					4,493.	
		SEE ATTACHED SCHEDULE II				P	VARIOUS	VARIOUS
1,360,467.		1,817,424.					-456,957.	
		SEE ATTACHED SCHEDULE II				P	VARIOUS	VARIOUS
5,329,017.		7,584,675.					-2255658.	
		SEE ATTACHED SCHEDULE II				P	VARIOUS	VARIOUS
42,641.		42,961.					-320.	
		SEE ATTACHED SCHEDULE II				P	VARIOUS	VARIOUS
40,293.		35,853.					4,440.	
TOTAL GAIN (LOSS)							-2697866.	

Your Financial Advisor or Contact
JAMES P. BUSTERUD
212-713-7800/800-225-2971

UBS Financial Services Inc.

2009 Consolidated Form 1099

P01L056354-X1

Long-Term Gain/Loss

This section includes securities held for more than one year and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
JP MORGAN CHASE US RT ZERO CPN MAT 04/03/09 ZERO CPN LINKED TO GSCI	Redemption	100,000 000	100,000.00	03/15/06	04/03/09	123,250 63	116,737.99	6,512 64 1		Y
MORGAN STANLEY CURRENCY 00 000% 103009 DTD110207 FC103009 LINKED TO BSKT	Redemption	25,000.000	25.255.25	05/08/08	10/30/09	25 000.00	27,020 18	-2,020.18 1		Y
Total Long-Term Gain/Loss						148,250.63	143,758.17	4,492.46		

Schedule # I

Your Financial Advisor or Contact
JAMES P. BUSTERUD
212-713-7800/800-225-2971

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/25/10

C12G006468-X23

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS It is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary and not capital gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount Acquisition Premium, or Bond Premium. Therefore the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 1,817,424.18	$ 1 360,466 91	$ 48.557.78	$ -505,515.05	$ -456,957 27
Long-term Gain/Loss:	7,584,675 04	5.329,017.42	17.483.30	-2,273,140 92	-2,255,657 62
Supplement Schedule Short-term Gain/Loss	$ 42,961.21	$ 42,641.40	$ —	$ -319.81	$ -319.81
Supplement Schedule Long-term Gain/Loss	$ 35,852.66	$ 40,292.91	4,440.25	$ —	$ 4,440.25
Total:	$ 9,480,913.09	$ 6,772,418.64	$ 70,481.33	$ -2,778,975.78	$ -2,708,494.45

Short-Term Gain/Loss

This section includes securities held for less than one year and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMERICA MOVIL S A.B. DE C.V SER L SPON ADR	Trade	1,000 000		06/02/08	04/21/09	30,691.21	58,816 80	-28,125.59 1		Y
BLACKROCK INTL GROWTH & INCOME TRUST	Trade	1,100.000		08/04/08	04/07/09	8,645.89	16 429 05	-7.783.16 1		Y
	Trade	54 000		12/31/08	04/07/09	424 43	494 97	-70 54		Y
	Trade	63.000		Earnings	05/26/09	611 71	518.68	93.03		Y
COMCAST CORP NEW 05 450% 111510 DTD111405 FC051506 CALL@M/W+15BP	Trade	50 000 000		10/31/08	06/29/09	51,723.00	49 281 25	2.441.75 1		Y

Continued on page 37

Schedule #II

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/25/10

C12G006469-X23

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CVS CORP MW +10BP NTS 04 000% 091509 DTD091404 FC031505 B/E	Redemption	150,000 000	151,200 00	05/21/09	09/15/09	150,000.00	150,000.00			Y
DUPONT DE NEMOURS GLOBAL 06.875% 101509 DTD102099 FC041500 NTS M/W +20BP	Redemption	30,000.000	30,505.50	07/02/09	10/15/09	30,000.00	30,000.00			Y
EATON CORP	Trade	546.000		09/16/08	04/07/09	21,930.05	37,018.64	-15,088.59 1		Y
	Trade	1,000.000		08/26/08	04/13/09	41,403.89	70,710 00	-29,306.11 1		Y
	Trade	1,500.000		09/16/08	04/13/09	62,105.84	101,699.55	-39,593 71 1		Y
	Trade	1,000 000		08/12/08	05/26/09	45,559.69	73,841.00	-28,281.31 1		Y
	Trade	1,000 000		08/12/08	05/26/09	45,559.69	73,722.00	-28,162.31 1		Y
FHR 3461 AA 05 5000 DUE 02/15/38 FACTOR 1.000000000000	Redemption	1,000.000		06/02/08	05/15/09	1,000.00	1,002 50	-2.50 1		Y
HOSPITALITY PROPERTIES 7 000% PREFERRED CLBL PAR VALUE - 25 00 USD	Trade	2 000 000		11/02/09	12/11/09	40,299.18	38,750 00	1,549.18		Y
INGERSOLL RAND CO ** NAME CHANGE 2009** CL A	Trade	1,000.000		03/26/08	02/19/09	16,859.90	44,108.40	-27,248 50 1		Y
	Trade	1,000.000		03/27/08	02/19/09	16 859 90	43,606.80	-26,746.90 1		Y
	Trade	1,000.000		04/14/08	02/19/09	16,859.90	43.483.60	-26,623.70 1		Y
	Trade	1 000.000		04/14/08	04/02/09	16,032 82	43,483.60	-27,450.78 1		Y
	Trade	2,000 000		07/17/08	04/02/09	32,065.63	72,181.00	-40,115.37 1		Y
ISHARES INC MSCI BRAZIL (FREE) INDEX FD	Trade	900 000		05/07/08	04/07/09	37,358.48	82,357 38	-44,998.90 1		Y
KRAFT FOODS INC NTS B/E 04 125% 111209 DTD111204 FC051205	Redemption	100,000.000	101,210 00	07/02/09	11/12/09	100 000.00	100,000 00			Y
MAINSTAY FDS CONV A	Trade	112.613		11/24/08	11/13/09	1,507 89	1,040 54	467.35		Y
	Trade	1,086.144		Earnings	11/13/09	14,543.47	12,424.09	2,119 38		Y

Schedule #II

Continued on page 38

Your Financial Advisor or Contact
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UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/25/10

C12G006470-X23

Short-Term Gain/Loss - continued

This section includes securities held for less than one year and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MAINSTAY FUNDS HIGH YLD CORP BOND A	Trade	140 731		08/29/08	06/29/09	713.51	806.39	-92 88		Y
	Trade	146.227		09/24/08	06/29/09	741.37	811 56	-70 19		Y
	Trade	177.978		10/31/08	06/29/09	902 35	816.92	85.43		Y
	Trade	194 671		11/28/08	06/29/09	986.98	823.46	163 52		Y
	Trade	200 628		12/18/08	06/29/09	1,017 18	830.60	186.58		Y
	Trade	186.628		01/30/09	06/29/09	946 20	837 96	108.24		Y
	Trade	21.972 542		08/12/08	06/29/09	111,400 79	126,561.84	-15,161 05 ;		Y
	Trade	740.486		Earnings	06/29/09	3,754 27	3,421.20	333 07		Y
	Trade	177.393		Earnings	11/13/09	989 85	897 60	92.25		Y
MARKET VECTORS RUSSIA	Trade	535 000		02/04/08	01/08/09	7,596.96	25.321 55	-17,724.59 ;		Y
	Trade	465.000		02/11/08	01/08/09	6,602.97	20 739 00	-14,136.03 ;		Y
MORGAN STANLEY EMERGING MARKETS DOMESTIC DEBT FD INC	Trade	225.000		Earnings	04/28/09	2,288.46	2 499 73	-211 27		Y
	Trade	126 000		Earnings	05/13/09	1,385 96	1,296 11	89.85		Y
UNITEDHEALTH GROUP INC	Trade	2,000 000		06/02/08	04/20/09	48.660.56	67 618 00	-18,957.44 ;		Y
	Trade	2,000.000		06/05/08	04/20/09	48.660.56	67.657.40	-18,996.84 ;		Y
VAN KAMPEN DYNAMIC CREDIT OPPORTUNITIES FUND	Trade	85.000		12/31/08	11/02/09	912 01	638 09	273.92		Y
	Trade	228 000		Earnings	11/02/09	2,446.35	1.632 73	813.62		Y
VANGUARD REIT ETF	Trade	2,000 000		01/31/08	01/08/09	68,767.01	119 333 80	-50,566.79 ;		Y
WAL MART STORES INC B/E 06 875% 081009 DTD081099 FC021000 GLOBAL BNDS	Redemption	153,000 000	154 956 87	05/21/09	08/10/09	153,000.00	153,000.00			Y
WATERS CORP	Trade	2,000.000		02/19/09	10/21/09	116,651.00	76,910 39	39,740.61		Y
Total Short-Term Gain/Loss						1,360,466.91	1,817,424.18	-456,957.27		

Schedule # II

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/25/10

C12G006471-X23

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLIANCE BERNSTEIN INTL VALUE FUND A	Trade	7,610.350		02/26/08	11/03/09	100,000.00	157,077.62	-57,077.62 1		Y
ALLIANCE BERNSTEIN INTERNATIONAL GROWTH FUND CLASS A	Trade	7,390.983		02/26/08	11/03/09	100,000.00	146.563.19	-46,563.19 1		Y
AMER EXPRESS CO	Trade	800.000		08/11/08	09/23/09	27,184 10	31,624.00	-4,439.90 1		Y
	Trade	2,000.000		08/12/08	09/23/09	67,960.25	77,498.40	-9,538.15 1		Y
	Trade	2.000.000		08/26/08	09/23/09	67,960.25	76,100.00	-8,139.75 1		Y
AMERICA MOVIL S.A.B. DE C.V. SER L SPON ADR	Trade	1,000.000		01/15/08	04/07/09	28,833.25	57,140.00	-28,306 75 1		Y
	Trade	1,000.000		01/18/08	04/13/09	30,926.54	52,409.50	-21,482.96 1		Y
	Trade	1,000.000		01/29/08	04/13/09	30,926.54	58,070.00	-27,143 46 1		Y
	Trade	1,000.000		02/22/08	04/13/09	30,926.54	60,538.40	-29,611.86 1		Y
	Trade	2,000.000		03/13/08	04/21/09	61,382.42	115,108.00	-53,725.58 1		Y
AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME FUND CLASS F	Trade	3,091.190		02/22/08	11/03/09	100,000.00	126,986 09	-26,986.09 1		Y
BANK OF NEW YORK MELLON CORP	Trade	111.000		02/27/07	08/14/09	3,135.82	4,882.03	-1,746.21 1		Y
	Trade	113.000		02/28/07	08/14/09	3,192.32	4,906.55	-1,714.23 1		Y
	Trade	120.000		03/13/07	08/14/09	3,390 07	4,915.20	-1,525.13 1		Y
	Trade	118.000		03/15/07	08/14/09	3,333.57	4,910 22	-1,576 65 1		Y
	Trade	118.000		03/16/07	08/14/09	3,333.57	4.925.34	-1,591.77 1		Y
	Trade	113.000		04/05/07	08/14/09	3,192.32	4,933.17	-1,740.85 1		Y
	Trade	112.000		04/19/07	08/14/09	3,164.07	4,927.20	-1,763 13 1		Y
	Trade	228.000		04/27/07	08/14/09	6,441.14	9,865.80	-3,424 66 1		Y
	Trade	113.000		05/02/07	08/14/09	3,192.32	4,918.65	-1,726 33 1		Y
	Trade	112.000		05/10/07	08/14/09	3,164.07	4,921.20	-1,757.13 1		Y
	Trade	115.000		06/05/07	08/14/09	3,248.82	4,929.84	-1,681.02 1		Y
	Trade	116.000		06/06/07	08/14/09	3,277.07	4,901.10	-1,624.03 1		Y
	Trade	208 000		06/07/07	08/14/09	5.876.12	8,617.38	-2,741.26 1		Y
	Trade	148.000		06/08/07	08/14/09	4,181.09	6,149.36	-1,968.27 1		Y
	Trade	86.000		06/12/07	08/14/09	2,429.55	3,689.20	-1,259.65 1		Y
	Trade	69 000		06/13/07	08/14/09	1,949.29	2,992.03	-1,042.74 1		Y
	Trade	272 000		06/13/07	10/21/09	7 810.01	11,794.67	-3,984.66 1		Y

Schedule II

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Your Financial Advisor or Contact
JAMES P. BUSTERUD
212-713-7800/800-225-2971

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/25/10

C12G006472-X23

Long-Term Gain/Loss - continued

This section includes securities held for more than one year and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BANK OF NEW YORK MELLON CORP	Trade	184 000		06/20/07	10/21/09	5,283 24	7,382.67	-2 099 43 1		Y
	Trade	1,000 000		09/20/07	10/21/09	28,713.26	44,090.00	-15,376 74 1		Y
	Trade	1,000 000		10/01/07	10/21/09	28.713.26	44.240.00	-15,526.74 1		Y
	Trade	1,000.000		03/14/08	10/21/09	28,713.26	43.515.20	-14,801 94 1		Y
BANK OF AMERICA 8 200% PERPETUAL. CALLABLE	Trade	2.000.000		05/20/08	11/03/09	43,001 39	50.000.00	-6,998.61 1		Y
BAXTER INTL INC	Trade	133.000		02/27/07	10/21/09	7,379.86	6,554 24	825.62 1		Y
	Trade	132.000		02/28/07	10/21/09	7,324.37	6.551 16	773 21 1		Y
	Trade	130.000		03/13/07	10/21/09	7,213 39	6,527 30	686.09 1		Y
	Trade	129.000		03/15/07	10/21/09	7,157 91	6 559 65	598.26 1		Y
	Trade	128 000		03/16/07	10/21/09	7,102.42	6,566.91	535.51 1		Y
	Trade	121.000		04/05/07	10/21/09	6,714.00	6 538.84	175.16 1		Y
	Trade	117.000		04/19/07	10/21/09	6,492.05	6 543.19	-51.14 1		Y
	Trade	230 000		04/27/07	10/21/09	12,762 16	13,160.60	-398 44 1		Y
	Trade	115 000		05/02/07	10/21/09	6,381 08	6,540 05	-158.97 1		Y
	Trade	114 000		05/10/07	10/21/09	6,325 59	6,536.76	-211 17 1		Y
	Trade	113.000		06/05/07	10/21/09	6,270.10	6 535.92	-265 82 1		Y
	Trade	114 000		06/06/07	10/21/09	6,325.59	6 525.36	-199.77 1		Y
	Trade	205 000		06/07/07	10/21/09	11,374.97	11 456 66	-81.69 1		Y
	Trade	146.000		06/08/07	10/21/09	8,101 20	8 213 96	-112.76 1		Y
	Trade	87.000		06/12/07	10/21/09	4,827.42	4 912 00	-84.58 1		Y
	Trade	350 000		06/13/07	10/21/09	19,420 67	19 701 50	-280.83 1		Y
	Trade	170 000		06/20/07	10/21/09	9,432 90	9 837 90	-405.00 1		Y
	Trade	700.000		09/20/07	10/21/09	38,841 35	39 312.00	-470.65 1		Y
CISCO SYSTEMS INC	Trade	1.800.000		06/20/07	10/21/09	43,833.73	47 811.92	-3,978.19 1		Y
CITIGROUP CAPITAL XV 6.500% DUE 09/15/2066	Trade	2,000.000		09/07/06	06/30/09	35,948.27	50 000.00	-14,051 73 1		Y
CITIGROUP 6 350% DUE 03/15/67 CALLABLE	Trade	2,000 000		02/27/07	06/30/09	32.137.08	50 000 00	-17,862.92 1		Y

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Your Financial Advisor or Contact
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212-713-7800/800-225-2971

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/25/10

C12G006473-X23

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CITIGROUP INC GLOBAL SR 06.200% 031509 DTD033199 FC091599 NTS NON CLBLE	Redemption	100,000.000	102,445.25	02/05/07	03/15/09	100,000 00	100,000.00	1		Y
COCA COLA CO COM	Trade	1,200.000		05/04/07	10/21/09	65,558.90	63,898.08	1,660 82 1		Y
	Trade	800.000		09/05/07	10/21/09	43,705.94	42,936.00	769.94 1		Y
COMCAST CORP NEW SPECIAL CL A	Trade	923.000		01/03/06	04/07/09	12,331.05	15,743.44	-3,412 39 1		Y
	Trade	1,077.000		11/01/06	04/07/09	14,388.46	29,215.42	-14,826.96 1		Y
	Trade	5,000 000		11/01/06	07/10/09	64,807 83	135,633 33	-70,825 50 1		Y
	Trade	2,000.000		11/01/06	10/21/09	29,581.23	54,253.33	-24,672.10 1		Y
	Trade	2,000 000		11/01/06	11/04/09	27,761.28	54,253.33	-26,492.05 1		Y
CROSSTEX ENERGY INC	Trade	1,000.000		11/01/06	09/28/09	5,144 93	32,472.23	-27,327.30 1		Y
	Trade	1,000.000		11/01/06	10/22/09	6,450 54	32,472.23	-26,021.69 1		Y
DELL INC	Trade	2,000.000		03/26/08	06/09/09	24,941.36	40,238 40	-15,297.04 1		Y
	Trade	2,000.000		03/27/08	06/09/09	24,941.36	39,138.40	-14,197 04 1		Y
	Trade	4 000.000		04/14/08	06/09/09	49,882.72	73,837 60	-23,954.88 1		Y
EXXON MOBIL CORP	Trade	34.000		06/05/07	10/21/09	2,510.24	2,873.00	-362.76 1		Y
	Trade	78 000		06/06/07	10/21/09	5,758 79	6,498.18	-739 39 1		Y
	Trade	138.000		06/07/07	10/21/09	10,188.62	11,486 52	-1,297.90 1		Y
	Trade	100 000		06/08/07	10/21/09	7,383.06	8,157.00	-773 94 1		Y
	Trade	59.000		06/12/07	10/21/09	4,356 01	4,888.15	-532.14 1		Y
	Trade	238 000		06/13/07	10/21/09	17,571.68	19,699.26	-2,127.58 1		Y
	Trade	115 000		06/20/07	10/21/09	8,490.52	9,840.55	-1,350.03 1		Y
	Trade	238 000		10/01/07	10/21/09	17,571.69	22,015 00	-4,443.31 1		Y
FHR 3319 CC 05.5000 DUE 02/15/37 FACTOR 1.000000000000	Redemption	1,000.000		06/13/07	03/16/09	1,000.00	970.00	30.00 1		Y
	Redemption	3.000 000		06/13/07	04/15/09	3 000.00	2.910 00	90 00 1		Y
	Redemption	4,000.000		06/13/07	05/15/09	4 000 00	3,880 00	120 00 1		Y
	Redemption	5,000.000		06/13/07	06/15/09	5,000.00	4,850.00	150 00 1		Y
	Redemption	4.000.000		06/13/07	07/15/09	4,000 00	3.880.00	120.00 1		Y
	Redemption	3,000.000		06/13/07	08/17/09	3,000.00	2,910.00	90 00 1		Y
	Redemption	2,000.000		06/13/07	09/15/09	2,000.00	1,940 21	59 79 1		Y
	Redemption	1.000.000		06/13/07	10/15/09	1,000.00	970.11	29 89 1		Y
	Redemption	1,000.000		06/13/07	11/16/09	1,000.00	970.10	29.90 1		Y

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UBS Financial Services Inc.

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Corrected 03/25/10

C12G008474-X23

Long-Term Gain/Loss - continued

This section includes securities held for more than one year and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FHR 3319 CC 05 5000 DUE 02/15/37 FACTOR 1 000000000000	Redemption	1,000.000		06/13/07	12/15/09	1,000 00	970 11	29.89		Y
FHR 3461 AA 05.5000 DUE 02/15/38 FACTOR 1.000000000000	Redemption	2.000 000		06/02/08	06/15/09	2,000 00	2.005.00	-5.00		Y
	Redemption	2,000 000		06/02/08	08/17/09	2.000 00	2.005.00	-5.00		Y
	Redemption	3,000.000		06/02/08	09/15/09	3,000 00	3.007 50	-7 50		Y
	Redemption	2,000 000		06/02/08	10/15/09	2,000 00	2 005 00	-5.00		Y
	Redemption	1,000 000		06/02/08	11/16/09	1.000 00	1 002 50	-2.50		Y
	Redemption	2,000.000		06/02/08	12/15/09	2,000 00	2,005 00	-5.00		Y
FINANCIAL SECTOR SPDR TRUST ETF	Trade	3,000 000		06/11/08	07/02/09	35 043 29	67.977.60	-32,934.31		Y
FNMA CALL NTS 05.250 % DUE 110717 DTD 110907 FC 05072008	Redemption	50.000 000		11/01/07	04/13/09	50,000.00	50 000 00			Y
GENL ELECTRIC CO	Trade	128 000		04/19/07	10/21/09	2,019.79	4,488 29	-2.468.50		Y
	Trade	359.000		04/27/07	10/21/09	5,664.87	13 211 20	-7.546.33		Y
	Trade	176 000		05/02/07	10/21/09	2,777.21	6.567.97	-3,790.76		Y
	Trade	178.000		05/10/07	10/21/09	2,808 77	6,577 10	-3,768.33		Y
	Trade	174.000		06/05/07	10/21/09	2 745 65	6 537 18	-3,791 53		Y
	Trade	242.000		06/06/07	10/21/09	3,818 66	8,998 00	-5.179.34		Y
	Trade	244 000		06/07/07	10/21/09	3,850 22	9 022.72	-5,172.50		Y
	Trade	223 000		06/08/07	10/21/09	3,518 85	8 201 94	-4,683 09		Y
	Trade	131.000		06/12/07	10/21/09	2,067.13	4 895 47	-2,828 34		Y
	Trade	529.000		06/13/07	10/21/09	8,347 40	19.670 79	-11,323.39		Y
	Trade	248.000		06/20/07	10/21/09	3,913 34	9 830 72	-5 917 38		Y
	Trade	1,000 000		10/01/07	10/21/09	15.779.59	41.490.00	-25,710 41		Y
GMAC SMARTNOTES 04.600% 041509 DTD042704 FC071504	Redemption	30 000.000		10/17/07	04/15/09	30 000.00	29 254.05	745.95		Y
HOSPITALITY PROPERTIES 7.000% PREFERRED CLBL PAR VALUE - 25.00 USD	Trade	2,000 000		02/15/07	11/03/09	37.999.22	50 000.00	-12,000.78		Y

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UBS Financial Services Inc.

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C12G006475-X23

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ISHARES TRUST DOW JONES TECHNOLOGY SECTOR INDEX FUND	Trade	1,000.000		11/15/07	10/21/09	53,938.61	61,110.00	-7,171.39 1		Y
	Trade	1,000.000		06/05/08	10/21/09	53,938.61	59,965.20	-6,026 59 1		Y
ITT CORP	Trade	875.000		02/14/08	10/21/09	48,666.71	52,150.00	-3,483 29 1		Y
	Trade	500.000		02/15/08	10/21/09	27,809.55	28,783.40	-973.85 1		Y
	Trade	500.000		03/13/08	10/21/09	27,809.55	27,519.95	289 60 1		Y
JOHN HANCOCK SIG NOTES 02 919% 041509 DTD032306 FLT VS YOY CPI-U + 185 BPS	Redemption	100.000 000		09/24/07	04/15/09	100,000.00	99,140 25	859.75 1		Y
MAINSTAY FDS CONV A	Trade	11,111 111		08/28/08	10/23/09	150,000 00	158,888 89	-8,888.89 1		Y
	Trade	15,117.158		08/28/08	10/29/09	200,000.00	216,175.36	-16,175.36 1		Y
	Trade	219.786		09/24/08	11/13/09	2,942 93	2,786.89	156.04		Y
	Trade	13,358 228		08/28/08	11/13/09	178,866.67	191,022.66	-12,155.99 1		Y
MERCK & CO **NAME CHANGE. 11/ 2009**	Trade	98.000		04/05/07	04/21/09	2,327.44	4,470.76	-2,143.32 1		Y
	Trade	132.000		04/19/07	04/21/09	3,134.92	6,571.62	-3,436.70 1		Y
	Trade	253.000		04/27/07	04/21/09	6,008 59	13,156.00	-7,147.41 1		Y
	Trade	128.000		05/02/07	04/21/09	3 039 92	6,561.28	-3,521.36 1		Y
	Trade	128.000		05/10/07	04/21/09	3,039.92	6,567.68	-3,527.76 1		Y
	Trade	128 000		06/05/07	04/21/09	3,039.92	6,539 52	-3,499.60 1		Y
	Trade	128 000		06/06/07	04/21/09	3,039 92	6,517.12	-3,477.20 1		Y
	Trade	5.000		06/07/07	04/21/09	118.75	252 95	-134.20 1		Y
	Trade	1,000.000		03/13/08	04/21/09	23,749.39	42,498 40	-18,749.01 1		Y
	Trade	222.000		06/07/07	05/26/09	5,883.16	11,229.06	-5,345.90 1		Y
	Trade	163.000		06/08/07	05/26/09	4 319.62	8,182.60	-3,862 98 1		Y
	Trade	97.000		06/12/07	05/26/09	2.570.57	4,916.93	-2,346 36 1		Y
	Trade	393.000		06/13/07	05/26/09	10,414.78	19,675.44	-9,260.66 1		Y
	Trade	197.000		06/20/07	05/26/09	5,220.64	9.820.45	-4,599 81 1		Y
	Trade	1,000.000		10/01/07	05/26/09	26,500.71	52,000.00	-25,499.29 1		Y
MERRILL LYNCH CAP TRUST 6.4500% DUE 06/15/62 CALLABLE	Trade	2,000.000		04/25/07	11/02/09	36,259.26	50,000.00	-13,740.74 1		Y

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C12G008476-X23

Long-Term Gain/Loss - continued

This section includes securities held for more than one year and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MERRILL LYNCH & CO INC 04.125% 011509 DTD120403 FC011504 MTN B/E	Redemption	5,000 000		02/08/05	01/15/09	5,000 00	4,956.65	43.35 ;		Y
MFS SECTOR ROTATIONAL FUND CLASS A	Trade	4,717 623		06/12/07	04/21/09	52 459 97	88,927 19	-36,467.22 ;		Y
	Trade	4,777.511		08/30/07	04/21/09	53 125.92	88,001.75	-34,875.83 ;		Y
MORGAN STANLEY EMERGING MARKETS DOMESTIC DEBT FD INC	Trade	5,000 000		04/23/07	04/28/09	50 854.66	100,000.00	-49,145 34 ;		Y
MORGAN STANLEY NTS 00.425% 033009 DTD033007 FC063007 SER MTNF	Redemption	300,000.000	300,005 25	03/19/07	03/30/09	300,000 00	300,000 00	;		Y
PEPSICO INC	Trade	103 000		02/27/07	10/21/09	6,391 26	6,542.35	-151 09 ;		Y
	Trade	104 000		02/28/07	10/21/09	6 453.31	6,567.39	-114 08 ;		Y
	Trade	105.000		03/13/07	10/21/09	6,515.37	6,580.14	-64 77 ;		Y
	Trade	104 000		03/15/07	10/21/09	6,453 31	6,549 71	-96 40 ;		Y
	Trade	104 000		03/16/07	10/21/09	6 453.31	6,533 90	-80.59 ;		Y
	Trade	103 000		04/05/07	10/21/09	6,391 26	6,556.77	-165.51 ;		Y
	Trade	100 000		04/19/07	10/21/09	6,205.11	6,585 50	-380 39 ;		Y
	Trade	199 000		04/27/07	10/21/09	12 348.17	13.157.08	-808.91 ;		Y
	Trade	98 000		05/02/07	10/21/09	6,081 01	6,522 88	-441.87 ;		Y
	Trade	99.000		05/10/07	10/21/09	6,143 06	6 576.57	-433.51 ;		Y
	Trade	97 000		06/05/07	10/21/09	6,018.96	6,564 96	-546.00 ;		Y
	Trade	97.000		06/06/07	10/21/09	6,018.96	6,525 99	-507 03 ;		Y
	Trade	171.000		06/07/07	10/21/09	10,610.74	11 414 02	-803.28 ;		Y
	Trade	124.000		06/08/07	10/21/09	7,694.34	8 175.32	-480.98 ;		Y
	Trade	74.000		06/12/07	10/21/09	4,591 78	4,915 08	-323 30 ;		Y
	Trade	18.000		06/13/07	10/21/09	1,116 92	1 184 34	-67 42 ;		Y
PHILIP MORRIS INTL INC	Trade	407.000		11/01/06	10/21/09	20 769 69	17 704 91	3,064.78 ;		Y
	Trade	78.000		02/27/07	10/21/09	3 980 43	3.456 40	524.03 ;		Y
	Trade	78.000		02/28/07	10/21/09	3,980 43	3,466 34	514.09 ;		Y
	Trade	77.000		03/13/07	10/21/09	3,929 40	3 471 34	458.06 ;		Y
	Trade	78.000		03/15/07	10/21/09	3,980 43	3,494.48	485.95 ;		Y
	Trade	77.000		03/16/07	10/21/09	3,929 40	3,471.74	457 66 ;		Y

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Schedule II

Your Financial Advisor or Contact
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212-713-7800/800-225-2971

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/25/10

C12G006477-X23

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PHILIP MORRIS INTL INC	Trade	93.000		04/05/07	10/21/09	4.745.90	4,584 31	161.59 1		Y
	Trade	94 000		04/19/07	10/21/09	4.796.93	4,536.68	260 25 1		Y
	Trade	190.000		04/27/07	10/21/09	9,695.92	9,188.40	507.52 1		Y
	Trade	95 000		05/02/07	10/21/09	4,847.96	4,567.73	280.23 1		Y
	Trade	96.000		05/10/07	10/21/09	4,898.99	4,583.70	315 29 1		Y
	Trade	92.000		06/05/07	10/21/09	4,694.87	4,545.91	148.96 1		Y
	Trade	92.000		06/06/07	10/21/09	4,694.87	4,528.29	166 58 1		Y
	Trade	163 000		06/07/07	10/21/09	8,318.08	7,998 51	319 57 1		Y
	Trade	90.000		06/08/07	10/21/09	4,592.81	4,374.98	217 83 1		Y
RYANAIR HOLDINGS PLC SPON ADR	Trade	500 000		01/10/08	06/09/09	14,970.76	16,357.40	-1,386.64 1		Y
	Trade	500 000		01/16/08	06/09/09	14,970.76	16,362.50	-1,391.74 1		Y
SINGAPORE GOVT BONDS 02.375% 100109 DTD100104 FC040105	Redemption	40,000.000		10/16/07	10/01/09	28,133 35	27,690.21	443.14 1		Y
TEXTRON INC SR NTS 04 500% 080110 DTD072503 FC020104 CALL@M/W+20BP	Tender	5,000 000		04/08/05	09/22/09	5,087 50	4,948.40	139.10 1		Y
UNITEDHEALTH GROUP INC	Trade	2,000.000		11/01/06	01/08/09	53,093.70	99,000.00	-45,906 30 1		Y
	Trade	396.000		11/01/06	04/07/09	8,796.42	19,602 00	-10,805 58 1		Y
	Trade	400.000		11/09/06	04/07/09	8,885.27	18,566.00	-9,680 73 1		Y
	Trade	200 000		11/27/06	04/07/09	4 442.64	9 328.00	-4,885.36 1		Y
	Trade	1,000 000		06/13/07	04/07/09	22,213 18	52,830 00	-30,616 82 1		Y
	Trade	500.000		08/07/07	04/07/09	11,106.59	24,150.00	-13,043.41 1		Y
	Trade	938 000		10/04/07	04/07/09	20,835.96	44,226.70	-23,390.74 1		Y
	Trade	566 000		02/13/08	04/07/09	12,572.66	26,306.77	-13,734 11 1		Y
	Trade	434.000		02/13/08	04/20/09	10,559 34	20,171.63	-9,612.29 1		Y
UNITEDHEALTH GROUP INC 03 750% 021009 DTD021004 FC081004 B/E	Redemption	5,000.000		04/04/05	02/10/09	5,000 00	4,850.10	149 90 1		Y
UNTD TECHNOLOGIES CORP	Trade	1.000.000		06/26/07	10/21/09	65,795.59	70.920.00	-5,124 41 1		Y
	Trade	500.000		09/20/07	10/21/09	32,897.79	39,135 00	-6,237.21 1		Y
	Trade	400 000		10/01/07	10/21/09	26,318.24	32,296 00	-5,977.76 1		Y

Schedule II

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Your Financial Advisor or Contact
JAMES P BUSTERUD
212-713-7800/800-225-2971

UBS Financial Services Inc.

2009 Consolidated Form 1099

C12G006478-X23

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
US TSY NOTE 06 000 % DUE 08/15/09 DTD 08/15/99 FC 02/15/00	Redemption	15 000 000	16.843 95	10/21/04	08/15/09	15.000 00	15 000.00			Y
	Redemption	4.000 000	4,282 03	08/22/05	08/15/09	4 000.00	4,000.00			Y
VAN KAMPEN DYNAMIC CREDIT OPPORTUNITIES FUND	Trade	5 000 000		06/26/07	11/02/09	53.647.76	100,000.00	-46,352.24		Y
VANGUARD REIT ETF	Trade	1 000.000		02/05/07	01/08/09	34.383.51	62,158.80	-27,775 29		Y
VANGUARD INTL EQUITY INDEX FD VANGUARD PAC ETF	Trade	1,000 000		01/28/08	04/21/09	40.263 47	62 370 00	-22,106 53		Y
	Trade	1,500 000		01/29/08	04/21/09	60.395.21	94.979 00	-34,583.79		Y
	Trade	1,500 000		01/31/08	04/21/09	60.395.21	94.263 60	-33,868 39		Y
	Trade	1 500 000		02/05/08	04/21/09	60.395.21	94 406 25	-34,011 04		Y
	Trade	2,000.000		02/06/08	04/21/09	80,526.94	124 435 90	-43,908.96		Y
	Trade	1,000 000		02/13/08	04/21/09	40.263 47	61 490.40	-21,226 93		Y
	Trade	1,000 000		02/27/08	04/21/09	40,263 47	65.180.40	-24,916 93		Y
VANGUARD INTL EQUITY INDEX VANGUARD EURO ETF	Trade	50.000		01/31/08	04/07/09	1,650.81	3,308 80	-1,657 99		Y
	Trade	1,000 000		02/05/08	04/07/09	33.016.15	66.325.60	-33,309.45		Y
	Trade	500 000		02/05/08	04/07/09	16,508.08	32 980.20	-16,472 12		Y
	Trade	450 000		02/05/08	04/07/09	14.857.27	29,286.00	-14,428 73		Y
	Trade	50 000		01/29/08	05/13/09	1,901.46	3,355.00	-1 453 54		Y
	Trade	1 950 000		01/31/08	05/13/09	74.156 59	129,043.20	-54,886 61		Y
VANGUARD INTL EQUITY INDEX FD EMERGING MARKETS ETF	Trade	1,400.000		01/29/08	04/21/09	36.750.46	66.129.00	-29,378.54		Y
	Trade	2 000 000		01/29/08	04/21/09	52.500.66	94.073 00	-41,572 34		Y
	Trade	1,600 000		02/06/08	04/21/09	42.000.53	74.530.88	-32,530.35		Y
	Trade	1,000 000		02/11/08	04/21/09	26,250.33	46.069 40	-19,819 07		Y
VANGUARD INTL EQUITY INDEX FD INC FSTE ALL-WORLD EX-US INDEX FD ETF	Trade	2,500.000		02/07/08	04/21/09	75,835.67	128 789 00	-52,953.33		Y
	Trade	3,000 000		02/08/08	04/21/09	91.002.80	154,630 00	-63,627.20		Y
	Trade	2,000 000		02/11/08	04/21/09	60.668.53	102,938 80	-42,270.27		Y
	Trade	1 000 000		02/13/08	04/21/09	30,334.27	52,752.00	-22,417.73		Y
	Trade	2.000.000		02/14/08	04/21/09	60.668.53	105,659 20	-44,990.67		Y
	Trade	2 000.000		02/22/08	04/21/09	60.668.53	106,304.00	-45,635.47		Y
	Trade	1.000 000		02/28/08	04/21/09	30.334.27	55.502.00	-25,167 73		Y
	Trade	2,000.000		02/29/08	04/21/09	60,668 53	108,637.60	-47,969.07		Y

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Schedule II

Your Financial Advisor or Contact
JAMES P. BUSTERUD
212-713-7800/800-225-2971

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/25/10

C12G006479-X23

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VANGUARD INTL EQUITY INDEX FD INC FSTE ALL-WORLD EX-US INDEX FD ETF	Trade	1,000.000		03/04/08	04/21/09	30,334.27	52,827.00	-22,492.73 1		Y
WAL MART STORES INC B/E 06 875% 081009 DTD081099 FC021000 GLOBAL BNDS	Redemption	5,000.000	5,535.75	06/02/05	08/10/09	5.000.00	5,000.00	1		Y
WEATHERFORD INTL LTD	Trade	102.000		04/09/07	10/19/09	2,028.22	2,471.46	-443.24 1		Y
	Trade	74.000		04/19/07	10/19/09	1,471 45	1,803.01	-331.56 1		Y
	Trade	378.000		04/27/07	10/19/09	7,516.34	9,863.91	-2,347.57 1		Y
	Trade	188.000		05/02/07	10/19/09	3,738.28	4,913.38	-1,175.10 1		Y
	Trade	182.000		05/10/07	10/19/09	3,618.98	4,883.06	-1,264.08 1		Y
	Trade	178.000		06/05/07	10/19/09	3,539.44	4,904.79	-1,365 35 1		Y
	Trade	180.000		06/06/07	10/19/09	3,579.21	4,888.35	-1,309.14 1		Y
	Trade	322.000		06/07/07	10/19/09	6,402.80	8,610.51	-2,207 71 1		Y
	Trade	234.000		06/08/07	10/19/09	4,652.97	6,144.84	-1,491.87 1		Y
	Trade	138.000		06/12/07	10/19/09	2,744.06	3,669.42	-925.36 1		Y
	Trade	544.000		06/13/07	10/19/09	10.817.16	14.754 64	-3,937.48 1		Y
	Trade	254 000		06/20/07	10/19/09	5.050.66	7,400.29	-2,349.63 1		Y
	Trade	1,200.000		09/20/07	10/19/09	23,861 38	41,554.98	-17,693.60 1		Y
WELLPOINT INC	Trade	1,000.000		08/15/07	04/20/09	41,369 23	77,872.00	-36,502.77 1		Y
Total Long-Term Gain/Loss						5,329,017.42	7,584,675.04	-2,255,657.62		

Schedule II

Frog Rock Foundation
UBS Account JG 19426
2009 Realized Gain/Loss Supplement
Gain / Loss Schedule & Reconciliation

Security Description	Quantity / Face Value	Date Acquired	Date Sold	Sale Amount	Cost or other Basis	Gain / (Loss)
GNR 2008-65 5.75 due 02/20/38		8/12/2008	1/20/2009	3,672.45	3,699.99	(27.54)
GNR 2008-65 5.75 due 02/20/38		8/12/2008	2/20/2009	6,699.49	6,749.74	(50 25)
GNR 2008-65 5.75 due 02/20/38		8/12/2008	3/20/2009	6,062.65	6,108.12	(45.47)
GNR 2008-65 5.75 due 02/20/38		8/12/2008	4/20/2009	6,332.67	6,380.17	(47.50)
GNR 2008-65 5.75 due 02/20/38		8/12/2008	5/20/2009	7,476.89	7,532.97	(56.08)
GNR 2008-65 5.75 due 02/20/38		8/12/2008	6/20/2009	7,370.93	7,426 21	(55.28)
GNR 2008-65 5.75 due 02/20/38		8/12/2008	7/20/2009	5,026.32	5,064.02	(37.70)
Total Short-Term Gain/(Loss)				**42,641.40**	**42,961.21**	**(319.81)**
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	1/15/2009	425 76	428.95	(3.19)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	2/15/2009	2,286.98	2,304.13	(17.15)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	3/15/2009	3,134.61	3,158.12	(23.51)
FHR 3374 DC 5 75 Due 06/15/37		11/28/2007	4/15/2009	3,351.63	3,376.77	(25.14)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	5/15/2009	3,200 05	3,224.05	(24.00)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	6/15/2009	2,551.64	2,570.78	(19.14)
FHR 3374 DC 5 75 Due 06/15/37		11/28/2007	7/15/2009	3,090.07	3,113.25	(23.18)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	8/15/2009	2,131.60	2,147.59	(15.99)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	9/15/2009	1,537.94	1,549.47	(11 53)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	10/15/2009	508.23	512.04	(3.81)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	11/15/2009	1,131.35	1,139.84	(8.49)
FHR 3374 DC 5.75 Due 06/15/37		11/28/2007	12/15/2009	933.30	940.30	(7 00)
GNR 2007-53 JB 5.75 due 07/20/37		9/14/2007	1/20/2009	2,940.71	2,955.41	(14.70)
GNR 2007-53 JB 5.75 due 07/20/37		9/14/2007	2/20/2009	4,419.17	2,581.67	1,837.50
GNR 2007-53 JB 5 75 due 07/20/37		9/14/2007	3/20/2009	2,064.56	0.00	2,064.56
GNR 2008-65 5.75 due 02/20/38		8/12/2008	8/20/2009	4,200.72	4,232.23	(31.51)
GNR 2008-65 5.75 due 02/20/38		8/12/2008	9/20/2009	2,384.59	1,618.07	766.52
Total Long-Term Gain/(Loss)				**40,292.91**	**35,852.66**	**4,440.25**
Total:				**82,934.31**	**78,813.87**	**4,120.44**

Schedule II

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
MORGAN STANLEY - NOMINEE	209.	209.	0.
TOTAL	209.	209.	0.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
MORGAN STANLEY INTEREST & DIVIDENDS	1,863.	1,808.	0.
FIDELITY - INTEREST & DIVIDENDS	2,950.	2,950.	0.
UBS - US GOV'T INTEREST INCOME	1,140.	1,140.	0.
UBS - INTEREST & DIVIDENDS	376,820.	373,487.	0.
UBS - OID FROM CD	3,075.	3,075.	0.
UBS - OID FROM BONDS	33,687.	33,687.	0.
LESS: AMORTIZATION OF BOND PREMIUM	-30,932.	-30,932.	0.
LESS: ACCRUED INTEREST PAID	-16,487.	-16,487.	0.
TOTAL	372,116.	368,728.	0.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	39,500.	29,625.	0.	9,875.
TOTALS	39,500.	29,625.	0.	9,875.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FOREIGN TAX PAID	3,597.	3,597.	0.	0.
TOTALS	3,597.	3,597.	0.	0.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEE	56,167.	56,167.	0.	0.
NYS FILING FEE	750.	0.	0.	750.
MISC ADMINISTRATIVE EXPENSE	2,792.	147.	0.	2,645.
TOTALS	59,709.	56,314.	0.	3,395.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
GOVERNMENT SECURITIES (SEE STMT 8(A))	321,347.	108,373.	111,168.
US OBLIGATIONS TOTAL	321,347.	108,373.	111,168.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 7

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
MORGAN STANLEY # 041671	3,379,926.	0.	0.
UBS # 19426 (SEE STMT 8(A))	9,956,409.	8,909,405.	8,289,620.
TOTALS	13,336,335.	8,909,405.	8,289,620.

THE FROG ROCK FOUNDATION 13-4127228

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 8

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE BONDS-MORGAN STANLEY	101,375.	0.	0.
CORPORATE BONDS - UBS#19426 (SEE STMT 8(A))	2,684,585.	2,765,535.	2,697,677.
CORPORATE BONDS - UBS#18245 (SEE STMTN 8(B))	0.	376,403.	281,505.
TOTALS	2,785,960.	3,141,938.	2,979,182.

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	47,121.32				
RMA MONEY MKT PORTFOLIO	1,879,673.34	1,636,291.61	1.00	0.01%	Nov 23 to Dec 23	31
Total	$1,879,673.34	$1,683,412.93				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AETNA INC Symbol AET Exchange NYSE EAI $42 Current yield 0.13%	Oct 21, 09	1,040.000	26.017	27,057.99	31.700	32,968.00	5,910.01	ST
ANADARKO PETROLEUM CORP Symbol APC Exchange NYSE EAI $329 Current yield 0.58%	Oct 21, 09	915.000	66.815	61,135.73	62.420	57,114.30	-4,021.43	ST
APACHE CORP Symbol APA Exchange NYSE EAI $117 Current yield 0.58%	Oct 21, 09	195.000	105.112	20,496.94	103.170	20,118.15	-378.79	ST
APPLIED MATERIALS INC Symbol AMAT Exchange: OTC EAI $743 Current yield 1.72%	Oct 21, 09	3,095.000	13.347	41,310.51	13.940	43,144.30	1,833.79	ST
AT&T INC Symbol T Exchange NYSE EAI $3,360 Current yield 5.99%	Oct 21, 09	2,000.000	26.017	52,035.00	28.030	56,060.00	4,025.00	ST
CHESAPEAKE ENERGY CORP OKLA Symbol CHK Exchange NYSE EAI $380 Current yield 1.16%	Oct 21, 09	1,265.000	29.318	37,087.90	25.880	32,738.20	-4,349.70	ST

continued next page

UBS

Account name: JANET BENTON TTEE
Account number JG 19426 18

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CHEVRON CORP Symbol CVX Exchange NYSE EAI $1,374 Current yield 3.53%	Oct 21, 09	505 000	77.918	39,348 84	76 990	38,879.95	-468 89	ST
CISCO SYSTEMS INC Symbol CSCO Exchange OTC	Jun 20, 07	956 000	26 562	25,393 44	23.940	22,886 64	-2,506 80	LT
	Mar 13, 08	1,000 000	24 780	24,780 00	23 940	23,940 00	-840 00	LT
Security total		1,956 000		50,173 44		46,826 64	-3,346 80	
COCA COLA CO COM Symbol KO Exchange NYSE EAI $1,968 Current yield 2 88%	Sep 5, 07	200 000	53.670	10,734 00	57.000	11,400.00	666.00	LT
	Sep 20, 07	1,000 000	56 266	56,266 10	57 000	57,000 00	733.90	LT
Security total		1 200 000		67,000 10		68,400 00	1,399.90	
COLGATE PALMOLIVE CO Symbol CL Exchange: NYSE EAI: $3,168 Current yield 2 14%	Nov 27, 07	1 000 000	78 611	78,611 50	82 150	82,150 00	3,538 50	LT
	Mar 6, 08	800 000	75.986	60,789 44	82 150	65,720 00	4,930.56	LT
Security total		1,800 000		139,400 94		147,870.00	8,469 06	
COMCAST CORP NEW SPECIAL CL A Symbol CMCSK Exchange OTC EAI $10,076 Current yield 2 36%	Nov 1, 06	2,277 000	27 126	61,767 42	16 010	36,454 77	-25,312 65	LT
	Nov 27, 06	373 000	26 235	9,785 70	16.010	5,971 73	-3,813 97	LT
	Feb 12, 07	1,954 000	26.419	51,623 00	16 010	31,283 54	-20,339 46	LT
	Feb 23, 07	1 000 000	26 960	26 960 00	16 010	16,010 00	-10,950 00	LT
	Mar 13, 07	1 000 000	25 600	25 600 00	16 010	16,010 00	-9,590 00	LT
	May 10, 07	2,000 000	25.980	51,960 00	16 010	32 020 00	-19,940 00	LT
	Jun 1, 07	2 000 000	27 180	54,360 00	16 010	32,020 00	-22,340 00	LT
	Oct 4, 07	1 053 000	23 770	25,029 81	16 010	16,858 53	-8,171 28	LT
	Nov 9, 07	2 000 000	19 288	38,577 60	16 010	32,020 00	-6,557 60	LT
	Nov 14, 07	1 000 000	19 450	19,450 00	16 010	16,010 00	-3,440 00	LT
	Nov 19, 07	2,000 000	19 210	38,420 00	16 010	32,020 00	-6,400 00	LT
	Feb 7, 08	3,000 000	17 297	51,891 90	16 010	48 030 00	-3,861 90	LT
	Mar 6, 08	1 000 000	19 570	19,570 00	16 010	16,010.00	-3,560.00	LT
	Jun 24, 08	3,000 000	18 868	56,606 10	16 010	48,030 00	-8,576 10	LT

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STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor:
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 27, 08	3,000 000	18.483	55,449.30	16.010	48,030.00	-7,419 30	LT
Security total		26,657.000		587,050.83		426,778.57	-160,272 26	
CROSSTEX ENERGY INC Symbol XTXI Exchange OTC	Nov 1, 06	4,231 000	32.472	137,390.01	6 050	25,597 55	-111,792 46	LT
	Dec 29, 06	100.000	31 040	3,104 00	6 050	605.00	-2,499 00	LT
	Feb 15, 07	500.000	31.020	15,510 00	6 050	3,025 00	-12,485.00	LT
	Jun 25, 07	300 000	28.918	8,675 40	6.050	1,815 00	-6,860 40	LT
	Nov 14, 07	600 000	35 594	21,356 40	6.050	3,630.00	-17,726 40	LT
Security total		5,731.000		186,035 81		34,672 55	-151,363.26	
CVS CAREMARK CORP Symbol CVS Exchange NYSE EAI $185 Current yield 0 95%	Oct 21, 09	605 000	38.110	23,056.55	32.210	19,487 05	-3,569 50	ST
DELL INC Symbol DELL Exchange OTC	May 7, 08	2,000 000	18 919	37,838 40	14.360	28,720.00	-9,118 40	LT
	May 12, 08	4,215 000	18.980	80,000.70	14.360	60,527 40	-19,473 30	LT
	Jul 15, 08	2,000.000	22 697	45,395.20	14 360	28,720 00	-16,675 20	LT
Security total		8,215.000		163,234 30		117,967 40	-45,266 90	
EQT CORP Symbol EQT Exchange NYSE EAI $893 Current yield 2 00%	Oct 21, 09	1,015 000	46.286	46,980 62	43 920	44,578 80	-2,401 82	ST
EXXON MOBIL CORP Symbol XOM Exchange NYSE EAI $1,280 Current yield. 2.46%	Oct 1, 07	762 000	92.500	70,485 00	68.190	51,960.78	-18,524 22	LT
GENL DYNAMICS CORP Symbol GD Exchange NYSE EAI $1,117 Current yield: 2.23%	Oct 21, 09	735.000	67 965	49,954 28	68.170	50,104 95	150 67	ST
GILEAD SCIENCES INC Symbol GILD Exchange. OTC	Oct 21, 09	830 000	44.738	37,132.96	43.270	35,914.10	-1,218 86	ST
GOLDMAN SACHS GROUP INC Symbol GS Exchange NYSE EAI. $1,400 Current yield. 0 83%	Jun 4, 08	500 000	172.805	86,402 60	168 840	84,420 00	-1,982 60	LT
	Jul 15, 08	500 000	163 068	81,534.00	168 840	84,420.00	2,886 00	LT
Security total		1,000.000		167,936.60		168,840.00	903 40	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HALLIBURTON CO (HOLDING COMPANY) Symbol HAL Exchange. NYSE EAI. $214 Current yield. 1.20%	Oct 21, 09	595 000	31 368	18,664.26	30 090	17,903 55	-760 71	ST
HEWLETT PACKARD CO Symbol HPQ Exchange NYSE EAI $496 Current yield. 0 62%	Oct 21, 09	1,550 000	48 857	75,729 13	51 510	79,840 50	4,111 37	ST
INTEL CORP Symbol INTC Exchange OTC EAI $1,523 Current yield 2 74%	Oct 21, 09	2,720 000	20 187	54,908 94	20 400	55,488 00	579 06	ST
INTL BUSINESS MACH Symbol IBM Exchange NYSE EAI $248 Current yield 1 68%	Oct 21, 09	340 000	122 972	41,810 65	130 900	44,506.00	2,695 35	ST
JOHNSON & JOHNSON COM Symbol JNJ Exchange: NYSE EAI $1,156 Current yield 3 04%	Oct 21, 09	590 000	60.759	35,848 15	64 410	38,001 90	2,153.75	ST
JPMORGAN CHASE & CO Symbol. JPM Exchange: NYSE EAI $168 Current yield 0 48%	Oct 21, 09	840 000	46 109	38,731 73	41 670	35,002.80	-3,728 93	ST
LABORATORY CORP AMER HLDGS NEW Symbol LH Exchange. NYSE	Jul 30, 07	1,000 000	74.062	74 062 00	74 840	74,840 00	778.00	LT
	Aug 1, 07	1,000 000	72.263	72,263 00	74 840	74,840 00	2,577 00	LT
	Aug 15, 07	500 000	75.096	37,548 00	74 840	37,420 00	-128 00	LT
	Aug 16, 07	500 000	72.852	35,426 00	74.840	37,420 00	994 00	LT
	Oct 23, 07	2,000 000	75.420	150,840 00	74 840	149,680 00	-1,160.00	LT
	Oct 24, 07	1,000.000	75 500	75,500 00	74.840	74,840.00	-660 00	LT
	Mar 13, 08	500 000	76 810	38,405.00	74.840	37,420.00	-985 00	LT
	Jul 17, 08	500 000	68 500	34,250 00	74 840	37,420 00	3,170.00	LT
Security total		7,000.000		519,294 00		523,880 00	4,586 00	
LOCKHEED MARTIN CORP Symbol. LMT Exchange NYSE EAI $10,080 Current yield. 3 34%	May 26, 09	1,200 000	83 385	100,062 00	75.350	90,420 00	-9,642 00	ST
	Jun 9, 09	1,200.000	84 308	101,169 60	75 350	90,420.00	-10,749 60	ST
	Sep 22, 09	1 600 000	79 750	127 600 00	75 350	120,560 00	-7,040 00	ST
Security total		4 000 000		328,831 60		301,400 00	-27,431 60	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MARSH & MCLENNAN COS INC Symbol MMC Exchange NYSE EAI $1,232 Current yield 3.62%	Oct 21, 09	1,540 000	25 157	38,742 55	22 080	34,003 20	-4,739 35	ST
MCDONALDS CORP Symbol MCD Exchange NYSE EAI $1,287 Current yield 3.52%	Oct 21, 09	585 000	58 747	34,367.29	62.440	36,527 40	2,160 11	ST
MICROSOFT CORP Symbol MSFT Exchange OTC EAI $1,110 Current yield 1.71%	Oct 21, 09	2,135.000	26.568	56,722.68	30.480	65,074 80	8,352.12	ST
MONSANTO CO NEW Symbol MON Exchange NYSE EAI $986 Current yield 1 30%	Jul 11, 07	580 000	66 040	38,303 20	81 750	47,415 00	9,111 80	LT
	Oct 21, 09	350 000	75.276	26,346 88	81.750	28,612 50	2,265 62	ST
Security total		930 000		64,650 08		76,027 50	11,377 42	
NOVARTIS AG SPON ADR Symbol NVS Exchange NYSE EAI $1,287 Current yield 2 67%	Oct 21, 09	885.000	52 128	46,133 81	54 430	48,170.55	2,036 74	ST
OCCIDENTAL PETROLEUM CRP Symbol OXY Exchange NYSE EAI $436 Current yield 1.62%	Oct 21, 09	330.000	83 513	27,559.42	81.350	26,845.50	-713.92	ST
PEPSICO INC Symbol PEP Exchange NYSE EAI $1,494 Current yield 2 96%	Jun 13, 07	281 000	65 796	18,488.85	60 800	17,084.80	-1,404 05	LT
	Jun 20, 07	149 000	65.987	9,832 21	60 800	9,059 20	-773 01	LT
	Oct 1, 07	400 000	73 540	29,416 00	60 800	24,320 00	-5,096 00	LT
Security total		830 000		57,737.06		50,464.00	-7,273 06	
PHILIP MORRIS INTL INC Symbol PM Exchange NYSE EAI $2,366 Current yield 4 81%	Jun 8 07	27.000	48 610	1,312 49	48 190	1,301 13	-11 36	LT
	Jun 12, 07	69 000	49.175	3,393.09	48 190	3,325.11	-67 98	LT
	Jun 13, 07	282 000	48.670	13,724 97	48.190	13,589.58	-135 39	LT
	Jun 20, 07	142 000	48.457	6,880 98	48 190	6,842.98	-38 00	LT
	Oct 1, 07	500 000	48 638	24,319.35	48 190	24 095 00	-224 36	LT
Security total		1,020 000		49,630.89		49 153.80	-477 09	

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STATEMENT 8(A)

UBS

Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PRAXAIR INC Symbol PX Exchange: NYSE EAI $624 Current yield 1.99%	Oct 21, 09	390 000	83 826	32 692 34	80 310	31,320 90	-1,371 44	ST
PRICE T ROWE GROUP INC Symbol: TROW Exchange: OTC EAI $690 Current yield 1 88%	Oct 21, 09	690 000	48 145	33,220 43	53 250	36,742.50	3,522 07	ST
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI $774 Current yield 2 90%	Oct 21, 09	440.000	58 077	25,554.10	60 630	26,677 20	1,123.10	ST
RYANAIR HOLDINGS PLC SPON ADR Symbol: RYAAY Exchange OTC	Jan 15, 08	1,500 000	32 725	49,087 50	26 810	40,215 00	-8,872 50	LT
	Feb 5, 08	1,000 000	30 797	30,797 00	26 810	26,810 00	-3,987 00	LT
	Feb 8, 08	1,000 000	30 709	30,709 00	26 810	26,810 00	-3,899 00	LT
	Feb 11, 08	1,000 000	31 154	31,154 00	26 810	26,810 00	-4,344 00	LT
	Mar 13, 08	500 000	29 000	14,500.00	26 810	13,405 00	-1,095.00	LT
	May 7, 08	1,000 000	27 088	27,088.50	26 810	26,810 00	-278.50	LT
	May 21, 08	1,000 000	25.210	25,210.00	26 810	26,810.00	1,600 00	LT
	May 22, 08	1,000 000	25 740	25 740.00	26 810	26,810 00	1,070 00	LT
	Jun 2, 08	2,000.000	25 221	50.442 00	26 810	53,620 00	3,178 00	LT
Security total		10,000 000		284 728.00		268,100 00	-16,628 00	
SOWSTN ENERGY CO Symbol SWN Exchange NYSE	Oct 21, 09	375 000	49 615	18 605 63	48 200	18,075 00	-530 63	ST
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Symbol. TEVA Exchange OTC EAI. $566 Current yield 0 86%	Oct 21, 09	1,175 000	51 595	50,624 13	56 180	66,011 50	5,387 37	ST
THERMO FISHER SCIENTIFIC INC Symbol: TMO Exchange NYSE	Oct 21, 09	745 000	47 714	35,547 38	47 690	35,529.05	-18 33	ST
TRANSOCEAN LTD Symbol: RIG Exchange. NYSE	Oct 21, 09	225 000	92.248	20,755 80	82 800	18,630 00	-2,125 80	ST
TRAVELERS COS INC/THE Symbol TRV Exchange NYSE EAI $957 Current yield 2 65%	Oct 21, 09	725 000	48 704	35,310 84	49.860	36,148 50	837 66	ST

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STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor:
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UNION PACIFIC CORP Symbol UNP Exchange: NYSE EAI $745 Current yield 1.69%	Oct 21, 09	690.000	64 811	44,720 21	63.900	44,091.00	-629 21	ST
UNTD TECHNOLOGIES CORP Symbol UTX Exchange: NYSE EAI $924 Current yield 2 22%	Oct 1, 07	600 000	80.740	48,444.00[1]	69 410	41,646.00	-6,798.00	LT
US BANCORP DEL (NEW) Symbol USB Exchange NYSE EAI $2,800 Current yield 0.89%	Apr 15, 09	3,000.000	16 748	50,244 70	22 510	67,530.00	17,285 30	ST
	Jun 9, 09	3,000 000	18 289	54,869.70	22.510	67,530.00	12,660.30	ST
	Sep 23, 09	6,000.000	22.348	134,091.00	22 510	135,050 00	959.00	ST
	Oct 19, 09	2,000 000	23.707	47,415.00	22.510	45,020 00	-2,395.00	ST
Security total		14,000 000		286,620 40		315,140.00	28,519.60	
VARIAN MEDICAL SYSTEMS INC Symbol VAR Exchange. NYSE	Jun 9, 09	3,000 000	36 957	110,873.85	46.850	140,550 00	29,676.15	ST
	Jul 10, 09	2,000 000	31.700	63,401.70	46.850	93,700.00	30,298 30	ST
Security total		5,000.000		174,275 55		234,250.00	59,974 45	
WAL MART STORES INC Symbol WMT Exchange NYSE EAI $828 Current yield 2.04%	Oct 21, 09	760 000	51 787	39,358 50	53 450	40,622 00	1,263 50	ST
WALT DISNEY CO (HOLDING CO) DISNEY COM Symbol DIS Exchange NYSE EAI $513 Current yield 1 09%	Oct 21, 09	1,465.000	29.767	43,609 39	32 250	47,246 25	3,636 86	ST
YUM! BRANDS INC Symbol YUM Exchange NYSE EAI $685 Current yield 2 40%	Oct 21, 09	815.000	35 107	28,612 61	34 970	28,500 55	-112 06	ST
Total				$4,568,955.89		$4,265,443.69	-$303,512 20	

Total estimated annual income: $61,121

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

UBS

Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets › Equities (continued)

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FINANCIAL SECTOR SPDR TRUST ETF Symbol XLF Exchange NYSE EAI $1,584 Current yield 1 45%	Oct 21, 09	7 580 000	15 329	116,200 54	14 400	109,152.00	-7,048 64	ST
HEALTH CARE SELECT SECTOR SPDR FUND Symbol XLV Exchange NYSE EAI $1,132 Current yield 2 21%	Oct 21, 09	1 550 000	29 088	47 995 50	31 070	51,265 50	3,270 00	ST
INDUSTRIAL SECTOR SPDR TRUST SHARES Symbol: XLI Exchange NYSE EAI $1,578 Current yield. 2 60%	Oct 21, 09	2,185 000	27 407	59,885 39	27 790	60,721 15	835 76	ST
ISHARES INC MSCI HONG KONG INDEX FD Symbol. EWH Exchange NYSE EAI. $832 Current yield 2 66%	Feb 27, 08	2,000 000	19 279	38,558.40	15.660	31,320.00	-7,238 40	LT
ISHARES INC MSCI SOUTH KOREA INDEX FD Symbol EWY Exchange. NYSE EAI. $229 Current yield 0.48%	May 21, 08	500 000	58 468	29,234 40	47 640	23,820 00	-5,414 40	LT
	May 22, 08	500 000	57.613	28,806 50	47 640	23,820.00	-4,986 50	LT
Security total		1,000 000		58,040 90		47,640.00	-10,400.90	
ISHARES MSCI TAIWAN INVESTS Symbol EWT Exchange NYSE EAI. $2,496 Current yield 3 21%	Feb 4, 08	4,000 000	14 270	57,080 00	12.970	51,880.00	-5,200 00	LT
	Feb 22, 08	2,000 000	14.859	29,718 40	12 970	25,940.00	-3,778 40	LT
Security total		6,000 000		86,798.40		77,820 00	-8,978.40	
ISHARES MSCI EAFE INDEX FUND Symbol. EFA Exchange: NYSE EAI $4,323 Current yield 2 61%	Feb 15, 08	1,000 000	70 038	70,038 40	55 280	55,280 00	-14,758 40	LT
	Mar 3, 08	1,000.000	71 428	71 428 40	55 280	55,280 00	-16,148 40	LT
	Mar 4, 08	1,000 000	70 188	70,188 40	55 280	55,280 00	-14,908 40	LT
Security total		3 000 000		211 655 20		165,840 00	-45,815 20	

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STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets › Equities › Closed end mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SPDR S&P RETAIL ETF								
Symbol XRT Exchange: NYSE								
EAI $2,160 Current yield 3 37%	Oct 21, 09	1,800 000	36 617	65,912 00	35 600	64,080 00	-1,832.00	ST
SPDR S&P CHINA ETF								
Symbol GXC Exchange. NYSE								
EAI $330 Current yield. 0 46%	Feb 4, 08	1,000.000	79 600	79,600 00 [1]	71.850	71,850 00	-7,750 00	LT
TECHNOLOGY SECTOR SPDR TRUST								
SHS								
Symbol XLK Exchange NYSE								
EAI $819 Current yield. 1 39%	Oct 21, 09	2,575 000	21.487	55,330.31	22.930	59,044 75	3,714.44	ST
VANGUARD INTL EQUITY INDEX								
VANGUARD EURO ETF								
Symbol VGK Exchange: NYSE								
EAI $13,480 Current yield: 3 94%	Feb 5, 08	50 000	65.080	3,254 00 [1]	48 480	2,424 00	-830 00	LT
	Feb 6, 08	3,000 000	65 928	197,784 00 [1]	48 480	145,440 00	-52,344 00	LT
	Feb 6, 08	2,000.000	66 063	132,127 20 [1]	48 480	96,960.00	-35,167 20	LT
	Feb 6, 08	1,000.000	65 260	65,260 00 [1]	48 480	48,480.00	-16,780 00	LT
	Feb 7, 08	1,000 000	64 623	64,623 60 [1]	48 480	48,480 00	-16,143 60	LT
Security total		7,050 000		463,048.80		341,784 00	-121,264 80	
Total				$1,283,025.54		$1,080,517.40	-$202,508 14	

Total estimated annual income: $28,963

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
ALLIANCE BERNSTEIN INTL									
VALUE FUND A									
Trade date Feb 26, 08	8,782 430	20.640	181,269.36	181,269 36 [1]	13.650	119,880.16	-61,389 20		LT

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UBS

Account name: JANET BENTON TTEE
Account number: JG -9425 1B

Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
Total reinvested	112 646	13 409		1,510.58	13 650	1,537.62	27 04		
EAI $1,530 Current yield 1 26%									
Security total	8,895 075		181,269 35	182,779 94		121,417 78	-61,362.16	-59,851 58	
ALLIANCE BERNSTEIN									
INTERNATIONAL GROWTH									
FUND CLASS A									
Trade date Feb 26, 08	9,671 396	19 830	191,783 78	191 783 78 [1]	14 080	136,173 26	-55,610 52		LT
Total reinvested	558 977	16 035		8 963 68	14 080	7,870 40	-1,093 28		
EAI $3,969 Current yield: 2.76%									
Security total	10,230 373		191,783 78	200,747 46		144,043 65	-56,703 80	-47,740 12	
AMERICAN FUNDS CAPITAL									
WORLD GROWTH & INCOME									
FUND CLASS F									
Trade date Feb 22, 08	6,257 590	41 080	257,061 81	257,061 81 [1]	34 020	212,983 21	-44,178 60		LT
Total reinvested	645 657	31 945		20,626 30	34 020	21,965 25	1,338 95		
EAI $5,550 Current yield. 2.36%									
Security total	6,903 247		257,061 81	277 688 11		234,848 46	-42,839.65	-22,213 35	
Total			$630,114.95	$661,215 51		$500,309.89	-$160,905.61	-$129,805 06	

Total estimated annual income: $11,049

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor:
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets (continued)

Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALLY BK MIDVALE UT US RATE 00 4000% MAT 01/29/2010 FIXED RATE CD ACCRUED INTEREST $58 68 CUSIP 02004N1BB8 EAI $70 Current yield 0 40%	Sep 01, 09	35,000 000	99 920	34,972 00	99 981	34,993.35	21 35	ST
CAROLINA FIRST BK SC US RATE 01 0000% MAT 05/06/2010 FIXED RATE CD ACCRUED INTEREST $648.24 CUSIP 143876ZT0 EAI $990 Current yield. 1 00%	Sep 01, 09	99,000 000	99 999	98,999.01	100 060	99,059 40	60 39	ST
BMW BANK NA UT US RATE 02 2000% MAT 06/30/2011 FIXED RATE CD CUSIP 05568PRA7 EAI $5,280 Current yield. 2.18%	Jul 02, 09	240,000.000	100.000	240,000.00	100 882	242,116.80	2,116 80	ST
MERRILL LYNCH BK UT US RATE ZERO CPN MAT 06/30/2011 ZERO COUPON CD CUSIP 59020VWP2 Original cost basis: $50,000 00	Dec 19, 05	50,000 000	119 852	59,925 13	110 350	55,175 00	-4,751 13	LT
Total		$424,000.000		$433,897.14		$431,344.55	-$2,552 59	

Total accrued interest: $706.92
Total estimated annual income: $6,340

UBS

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your assets › Fixed income (continued)

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CLOROX CO CALL @ MW + 10BP RATE 04 200% MATURES 01/15/10 ACCRUED INTEREST $968 33 CUSIP 189054AM1 Moody Baa2 S&P BBB+ EAI $1,050 Current yield 4.20% Original cost basis $50,827 50	May 21, 09	50,000 000	100 101	50,050 92	100 091	50,045.50	-5 42	ST
INTL BK RECON & DEVELOP MED TERM NTS RATE 10 250% MATURES 01/25/10 ACCRUED INTEREST $4,783 33 CUSIP U4594TRH2 Moody: Aaa S&P AAA EAI $5,125 Current yield. 17.85%	May 27, 08	50,000 000	60 215	30,108.46	57 417	28,708.50	-1,399 96	LT
HOME DEPOT INC NTS MW + 10BP RATE 04 625% MATURES 08/15/10 ACCRUED INTEREST $873 61 CUSIP 437076AM4 Moody. Baa1 S&P BBB+ EAI $2,313 Current yield 4.52% Original cost basis $51,862 00	Apr 28, 09	50,000 000	101 806	50,903 11	102 371	51,185 50	282.39	ST
CONAGRA INC NTS MW+30BP RATE 07 875% MATURES 09/15/10 ACCRUED INTEREST $1,159.37 CUSIP 205887AW2 Moody: Baa2 S&P BBB EAI $3,938 Current yield: 7 52% Original cost basis $52,815 00	Mar 12, 09	50,000 000	102 694	51,347 29	104 683	52,341 50	994 21	ST

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STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HALLIBURTON CO MTN NTS B/E RATE 05 500% MATURES 10/15/10 ACCRUED INTEREST $580 55 CUSIP 406216AR2 Moody A2 S&P A EAI $2,750 Current yield 5 28% Original cost basis $52,426.00	Apr 28, 09	50,000.000	102 645	51,322.79	104.069	52,034 50	711 71	ST
UNILEVER CAPITAL CORP GLOBAL RATE 07 125% MATURES 11/01/10 ACCRUED INTEREST $47 50 CUSIP 904764AG2 Moody A1 S&P A+ EAI $285 Current yield 6 76% Original cost basis $4,495 32	May 10, 05	4,000 000	102.083	4,083.34 ¹	105.409	4,216 36	133 02	LT
UNTD TECHNOLOGIES CORP NTS RATE 07 125% MATURES 11/15/10 CALLABLE ACCRUED INTEREST $45 52 CUSIP 913017BC2 Moody A2 S&P A EAI $356 Current yield 6 75% Original cost basis $5,682.45	May 31, 05	5,000.000	102 396	5,119 80 ¹	105 525	5,276.25	156 45	LT
TARGET CORP NTS RATE 06 350% MATURES 01/15/11 ACCRUED INTEREST $117.12 CUSIP 87612EAC0 Moody A2 S&P A+ EAI $254 Current yield 6 01% Original cost basis $4,470 84	Nov 03, 04	4,000 000	102 187	4,087 50 ¹	105.596	4,223 84	136 34	LT

continued next page

UBS

Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DOW CHEMICAL CO								
LOBAL NOTES								
RATE 06 125% MATURES 02/01/11								
ACCRUED INTEREST $127.60								
CUSIP 260543BL6								
Moody Baa3 S&P BBB-								
EAI $306 Current yield 5 88%								
Original cost basis $4,416 84	Dec 15, 04	4,000 000	102 038	4,081 52 ¹	104.247	4,169 88	88 36	LT
Original cost basis. $1,073 65	Jul 15, 05	1,000 000	101 587	1,015 87	104 247	1,042 47	26 60	LT
Security total		5,000 000		5,097 39		5,212.35	114 96	
MCDONALDS CORP MW+20BP								
MTN								
RATE 06 000% MATURES 04/15/11								
ACCRUED INTEREST $63 33								
CUSIP 58013MDM3								
Moody A3 S&P A								
EAI $300 Current yield 5 66%								
Original cost basis $5,450 85	Jan 27, 05	5,000 000	102 069	5,103 46 ¹	105 965	5,298 25	194 79	LT
ALCOA INC MW+20BP NTS								
RATE 06 500% MATURES 06/01/11								
ACCRUED INTEREST $27 08								
CUSIP 013817AD3								
Moody Baa3 S&P BBB-								
EAI $325 Current yield 6.16%								
Original cost basis $5,492 20	Jun 15, 05	5,000 000	102 584	5,129 24 ¹	105 445	5,272.30	143 06	LT
CONOCO FUNDING CO								
FOREIGN SECURITY								
RATE 06 350% MATURES 10/15/11								
CALL@MW+30BP								
ACCRUED INTEREST $67 02								
CUSIP 20825UAB0								
Moody A1 S&P A								
EAI $318 Current yield 5 84%								
Original cost basis $4,435 96	Dec 01, 04	4,000 000	103 161	4,126 47 ¹	108 796	4,351 84	225 37	LT
Original cost basis $1,104 32	Sep 01, 05	1,000 000	103 337	1,033 37	108 796	1,087 96	54 59	LT
Security total		5,000 000		5,159 84		5,439 80	279 96	

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STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your Financial Advisor
JAMES P BUSTERUD
212-713-7800/800-225-2971

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HONEYWELL INTL INC RATE 06 125% MATURES 11/01/11 ACCRUED INTEREST $51 04 CUSIP 438516AN6 Moody A2 S&P A EAI $306 Current yield 5 62% Original cost basis: $5,504 00	Jun 06, 05	5,000.000	103 170	5,158 50 ¹	109.011	5,450.55	292 05	LT
KRAFT FOODS INC NTS RATE 05 625% MATURES 11/01/11 ACCRUED INTEREST $46 87 CUSIP 50075NAB0 Moody Baa2 S&P: BBB+ EAI $281 Current yield 5 30% Original cost basis $5,285 15	Jan 06, 05	5,000.000	101 711	5,085.55 ¹	106 206	5,310 30	224 75	LT
CHUBB CORP MW +20BP NTS RATE 06 000% MATURES 11/15/11 ACCRUED INTEREST $38.33 CUSIP 171232AF8 Moody. A2 S&P: A+ EAI $300 Current yield 5 58% Original cost basis: $5,361 40	Jul 08, 05	5,000 000	102 352	5,117 64 ¹	107 433	5,371 65	254.01	LT
CREDIT SUISSE FB USA INC CALL@MW+30BP RATE 06 500% MATURES 01/15/12 ACCRUED INTEREST $1,498 61 CUSIP 22541LAC7 Moody Aa1 S&P A+ EAI $3,250 Current yield 5 97% Original cost basis: $53,611.75	Mar 09, 07	50,000,000	103 239	51,619.65 ¹	108.819	54,409.50	2,789.85	LT
CABLEVISION SYSTEMS CORP CALL@MW+50BP RATE 08 000% MATURES 04/15/12 ACCRUED INTEREST $844 44 CUSIP 12686CAW9 Moody B1 S&P B+ EAI $4,000 Current yield: 7.57%	Jan 10, 08	50,000.000	97.010	48,505.25 ¹	105.750	52,875.00	4,369 75	LT

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UBS

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AOL TIME WARNER INC MW + 30BP RATE 06 875% MATURES 05/01/12 ACCRUED INTEREST $572 91 CUSIP 00184AAF2 Moody Baa2 S&P. BBB EAI: $3,438 Current yield 6 28% Original cost basis $54,320.00	Apr 30, 09	50,000 000	106 823	53,411 99	109 474	54,737 00	1,325 01	ST
COMCAST CORP SR SUB NOTE RATE 10 625% MATURES 07/15/12 ACCRUED INTEREST $2,449.65 CUSIP 200300AN1 Moody: Baa2 S&P BBB EAI $5,313 Current yield 9.00% Original cost basis $56,111 25	Mar 03, 09	50 000 000	109 472	54,736 13	117 992	58,996 00	4,259 87	ST
BOTTLING GROUP LLC MW+15BP RATE 04.625% MATURES 11/15/12 ACCRUED INTEREST $295 48 CUSIP 10138MAB1 Moody Aa2 S&P A+ EAI. $2,313 Current yield. 4.31%	Mar 09, 07	50,000 000	98.839	49,419 75	107 198	53,599 00	4,179.25	LT
J P MORGAN CHASE & CO NTS RATE 05 750% MATURES 01/02/13 ACCRUED INTEREST $142 95 CUSIP 46625HAT7 Moody, A1 S&P. A EAI $288 Current yield 5 39% Original cost basis $5,327 90	Jan 25, 05	5 000 000	102 772	5,138 60	106 649	5,332 45	193 85	LT
CONS EDISON NTS RATE 04 875% MATURES 02/01/13 ACCRUED INTEREST $101 56 CUSIP 209111EA7 Moody; A3 S&P A- EAI. $244 Current yield 4 57% Original cost basis $5,071 00	Nov 08, 04	5,000 000	100 595	5,029 78	106 562	5,328 10	298 32	LT

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STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
VERIZON VA INC MW+25BP NTS RATE 04.625% MATURES 03/15/13 ACCRUED INTEREST $68.09 CUSIP 92345NAA8 Moody Baa1 S&P A EAI $231 Current yield 4.45%	Feb 16, 05	5,000.000	98.261	4,913.05	103.846	5,192.30	279.25	LT
WYETH NTS MW +25BP RATE 05.500% MATURES 03/15/13 ACCRUED INTEREST $80.97 CUSIP 983024AA8 Moody A1 S&P AA EAI $275 Current yield 5.06% Original cost basis $5,270.75	Feb 09, 05	5,000.000	102.387	5,119.37	108.729	5,436.45	317.08	LT
SARA LEE CORP NOTES CALL@MW+20BP RATE 03.875% MATURES 06/15/13 ACCRUED INTEREST $86.11 CUSIP 803111AQ6 Moody Baa1 S&P BBB+ EAI $1,938 Current yield 3.79%	May 13, 08	50,000.000	95.035	47,517.75	102.246	51,123.00	3,605.25	LT
INTL BUSINESS MACH NTS RATE 05.500% MATURES 10/15/13 ACCRUED INTEREST $685.11 CUSIP 459200GN5 Moody A1 S&P A+ EAI $3,250 Current yield 5.69% Original cost basis $52,152.75	Oct 31, 08	50,000.000	103.390	51,695.06	114.276	57,138.00	5,442.94	LT
BLYTH INDUSTRIES INC NTS MW + 20 BP RATE 05.500% MATURES 11/01/13 ACCRUED INTEREST $505.00 CUSIP 09643PAB4 Moody B2 S&P B+ EAI $3,630 Current yield 6.47%	May 02, 07	66,000.000	90.007	59,405.25	85.000	56,100.00	-3,305.25	LT

continued next page

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HOUSEHOLD FIN INTERNOTES ADJ RATE MATURES 12/10/13 CUSIP 44181EZZ4 Moody A3 S&P A EAI $2,365 Current yield 4.91%	Oct 15, 07	50,000 000	96 209	48,104 75	95 422	48,211.00	106 25	LT
AMERICAN GENL FIN CORP RATE 06 000% MATURES 11/15/14 ACCRUED INTEREST $766 67 CUSIP 02639END2 Moody B2 S&P BB+ EAI $6,000 Current yield 8 77%	Nov 15, 07	100,000 000	100,000	100 000 00	68 405	68,405.00	-31,595.00	LT
GOLDMAN SACHS GROUP INC RATE 05 500% MATURES 11/15/14 ACCRUED INTEREST $702 78 CUSIP 38141GCM4 Moody A1 S&P A EAI: $5,500 Current yield 5.15% Original cost basis $101,606.25	Nov 14, 07	100,000 000	101.177	101,177 10	105 735	106,735 00	5,557 90	LT
GOLDMAN SACHS GROUP INC NTS RATE 05 125% MATURES 01/15/15 ACCRUED INTEREST $1,053.43 CUSIP 38141GEA8 Moody A1 S&P A EAI $2,306 Current yield 4.88%	Jan 09, 07	45,000 000	99 865	44 939 55	105 075	47,283.75	2,344 20	LT
J P MORGAN CHASE & CO NTS RATE 05 250% MATURES 05/01/15 ACCRUED INTEREST $402 50 CUSIP 46625HAX8 Moody A1 S&P A EAI $2,415 Current yield 5 04%	Nov 14, 07	45,000 000	97 695	44,940 35	104 070	47,872 20	2,931 85	LT

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STATEMENT 8(A)

Account name. JANET BENTON TTEE
Account number: JG 19426 18

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
IRON MTN RATE 06 625% MATURES 01/01/16 ACCRUED INTEREST $828 12 CUSIP 462846AC0 Moody B2 S&P B+ EAI $1,656 Current yield 6.76%	Jan 10, 07	25,000.000	98.771	24,692 75	98 000	24,500.00	-192 75	LT
TIME WARNER INC CALL@MAW+30BP RATE 05 875% MATURES 11/15/16 ACCRUED INTEREST $225 20 CUSIP 887317AC9 Moody Baa2 S&P BBB EAI $1,763 Current yield 5 44% Original cost basis. $30,725 25	Jan 10, 07	30,000 000	101.818	30,545.57	107.951	32,385 30	1,839.73	LT
TOYOTA MOTOR CRDT CORP CALLABLE NOTES RATE 05 750% MATURES 02/17/17 ACCRUED INTEREST $1,070 14 CUSIP 89233PC46 Moody Aa1 S&P AA EAI $2 875 Current yield 5 73% Original cost basis $50,530 25	Apr 04, 07	50,000 000	100 975	50,487.86	100.412	50,206 00	-281.86	LT
HALLIBURTON MED SER A MTN RATE 07 530% MATURES 05/12/17 ACCRUED INTEREST $1,568.75 CUSIP 40621PAB5 Moody A2 S&P A EAI $3,765 Current yield 6 38% Original cost basis $57,509 75	Jan 11, 07	50,000.000	111 535	55,767 67	117 994	58,997 00	3,229 33	LT
LEHMAN BROS HLDGS INC DEFAULTED RATE 05 875% MATURES 11/15/17 CUSIP 52517PSZ5 Moody WR S&P Not rated Original cost basis $52,062 25	Apr 18, 07	50,000 000	103 278	51,639 08	19 500	9,750 00	-41,889 08	LT

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UBS

Account name: JANET BENTON TTEE
Account number: JG 19426 18

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PNC BANK NA NTS SER BKNT RATE 06 875% MATURES 04/01/18 ACCRUED INTEREST $859 37 CUSIP 69349LAE8 Moody. A2 S&P A EAI. $3,438 Current yield 5 48% Original cost basis $52,354 25	May 13, 05	50,000 000	104 112	52,056 05	106 142	53,071.00	1,014 95	LT
KIMBERLY CLARK CORP M/W T+10 RATE 06 250% MATURES 07/15/18 ACCRUED INTEREST $1,296 87 CUSIP 494368AT0 Moody A2 S&P A EAI $2,813 Current yield 5.60% Original cost basis $48,731.70	Jan 18, 07	45 000 000	106 610	47,974 53	111 601	50,220 45	2,245 92	LT
COCA COLA ENTERPRISES DEB NONCALLABLE RATE 08 000% MATURES 09/15/22 ACCRUED INTEREST $1,177 78 CUSIP 191219AQ7 Moody. A3 S&P: A EAI: $4,000 Current yield 6 44% Original cost basis. $61,991 75	Jan 24, 07	50,000 000	120 918	50,459 39	124 281	52,140 50	1,681 11	LT
MORGAN STANLEY M/W+20BP RATE 06 250% MATURES 08/09/26 ACCRUED INTEREST $2,465 28 CUSIP 61746BCY0 Moody A2 S&P A EAI $6,250 Current yield 6 04% Original cost basis $101,291 25	Jan 15, 08	100,000 000	101 212	101,212 70	103 405	103,405 00	2,192 30	LT
AT&T CORP GLOBAL M-W +20 BP RATE 06 500% MATURES 03/15/29 ACCRUED INTEREST $956.94 CUSIP 001957AW9 Moody WR S&P A EAI $3,250 Current yield 6.53%	Mar 19, 08	50,000 000	98 885	49 442 75	99 543	49,771 50	328 75	LT

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STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CITIZENS COMMUNCTNS NTS MAY150EP RATE 09 000% MATURES 08/15/31 ACCRUED INTEREST $850 00 CUSIP 17453BAJ0 Moody Ba2 S&P BB EAI $2,250 Current yield 9 16% Original cost basis $28,067 75	Jan 10, 07	25,000.000	111.712	27,928 00 ¹	98.250	24,562.50	-3,365.50	LT
LEHMAN BROS HLDGS INC DEFAULTED RATE 07 000% MATURES 10/04/32 CUSIP 52519FEU1 Moody WR S&P Not rated	Sep 24, 07	50,000 000	100.000	50,000.00 ¹	19 500	9,750 00	-40,250 00	LT
GOLDMAN SACHS CAPITAL I CALL@M/W+20BP RATE 06 345% MATURES 02/15/34 ACCRUED INTEREST $1,198 50 CUSIP 38143VAA7 Moody A2 S&P BBB EAI $3,173 Current yield 6.78%	Sep 19, 07	50,000.000	94 678	47,339 25 ¹	93.626	46,813 00	-525 25	LT
MORGAN STANLEY NTS RATE 08 000% MATURES 02/23/37 ACCRUED INTEREST $844 44 CUSIP 61745EUH2 Moody A2 S&P A EAI $8,000 Current yield 10 27% Original cost basis $100,000 00	Feb 14, 07	100,000 000	92.395	92,395.96 ¹	77 875	77,875.00	-14,520.96	LT
Total		$1,800,000.000		$1,800,489.77		$1,717,608.15	-$82,881.62	

Total accrued interest: $32,705.25

Total estimated annual income: $108,196

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services

UBS

Account name: JANET BENTON TTEE
Account number: JG '9426 1B

Your assets › Fixed income (continued)

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Total face value at maturity ($)	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FHR 3319 CC RATE 05 5000% MATURES 02/15/37 CURRENT PAR VALUE 25,000 ACCRUED INTEREST $114 58 CUSIP 31397HEP9 EAI $1,375 Current yield 5 58%	Jun 13, 07	25 000 000	97 000	24,252 62 [1]	98.576	24,644 00	391.38	LT
FHR 3374 DC RATE 05.7500% MATURES 06/15/37 CURRENT PAR VALUE 2 898 ACCRUED INTEREST $13 88 CUSIP 31397KNR7 EAI $167 Current yield 5 77%	Nov 28, 07	40,000 000	100 750	2,919 83 [1]	99 591	2,886 14	-33 69	LT
FHR 3461 AA RATE 05 5000% MATURES 02/15/38 CURRENT PAR VALUE 37,000 ACCRUED INTEREST $169 58 CUSIP 31397TWU2 EAI $2,035 Current yield 5 52%	Jun 02, 08	37,000 000	100 250	37 092 50 [1]	99 552	36,834 24	-258 26	LT
Total		$102,000.000		$64,264.95		$64,364.38	599 43	

Total accrued interest: $298.04

Total estimated annual income: $3,577

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

STATEMENT 8(A)

Your assets › Fixed income (continued)

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ISHARES BARCLAYS TIPS BOND FUND Symbol TIP Exchange NYSE EAI $4,042 Current yield 3 89%	Sep 23, 09	1,000.000	101.750	101,750 37	103.900	103,900 00	2,149 63	ST
POWERSHARES DB US DLR INDEX TRUST BULLISH FUND Symbol UUP Exchange NYSE	Dec 18, 09	11,318 000	23.115	261,618.22	23 080	261,219.44	-398 78	ST
Total				$363,368.59		$365,119.44	$1,750 85	

Total estimated annual income: $4,042

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
BLACKROCK BOND PORTFOLIO CLASS A									
Trade date Mar 18, 09	5,681 818	8.800	50,000 00	50,000.00	9.260	52,613 63	2,613 63		ST
Total reinvested	183.821	9.121		1,676 72	9.260	1,702 18	25 46		
EAI $2,452 Current yield 4 51%									
Security total	5,865.639		50,000.00	51,676.72		54,315.81	2,639 09	4,315 81	
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A									
Trade date Apr 28, 09	19,531.250	10.240	200,000 00	200,000 00	11.570	225,976.56	25,976.56		ST
Trade date Nov 3, 09	17,391 304	11.500	200,000 00	200,000 00	11 570	201,217.39	1,217 39		ST
EAI $14,695 Current yield 3 44%									
Security total	36,922 554		400,000 00	400,000 00		427,193.94	27,193.95	27,193 95	
Total			$450,000.00	$451,676.72		$481,509.75	$29,833 04	$31,509.75	

Total estimated annual income: $17,147

UBS

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your assets › Fixed income (continued)

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CBS CORP NEW 6 750% DUE 03/27/56 CALLABLE Symbol: CPV Exchange NYSE EAI $3,376 Current yield 8.00%	Mar 20, 07	2,000 000	25 000	50,000 00 [1]	21 090	42,180.00	-7,820 00	LT
COMCAST CORP 6 6250% DUE 05/15/56 CALLABLE Symbol CCS Exchange NYSE EAI $3,312 Current yield 6 85%	May 3, 07	2,000 000	25 000	50,000.00 [1]	24 180	48,360.00	-1,640 00	LT
MORGAN STANLEY CAP TR VIII 6 450% DUE 04/15/67 CALLABLE Symbol MSK Exchange OTC EAI $3,226 Current yield 7.52%	Apr 20, 07	2,000 000	25 000	50,000 00 [1]	21 450	42,900.00	-7,100.00	LT
Total				$150,000.00		$133,440.00	-$16,560.00	

Total estimated annual income: $9,914

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

STATEMENT 8(A)

Account name: JANET BENTON TTEE
Account number: JG 19426 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets › Fixed income (continued)

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FNMA BOND RATE 6.0000% MATURES 05/15/11 ACCRUED INTEREST $84.33 CUSIP 31359MJH7 EAI $660 Current yield 5.60%								
Original cost basis $12,262.46	Oct 21, 04	11,000.000	102.648	11,291.36 [1]	107.063	11,776.93	485.57	LT
FNMA RATE 6.1250% MATURES 03/15/12 ACCRUED INTEREST $342.65 CUSIP 31359MMQ3 EAI $1,164 Current yield 5.56%								
Original cost basis $14,488.11	Feb 10, 05	13,000.000	103.926	13,510.40 [1]	110.219	14,328.47	818.07	LT
Original cost basis $5,559.71	Jun 10, 05	5,000.000	103.998	5,199.94 [1]	110.219	5,510.95	311.01	LT
Original cost basis $1,094.53	Aug 22, 05	1,000.000	103.483	1,034.83 [1]	110.219	1,102.19	67.36	LT
Security total		19,000.000		19,745.17		20,941.61	1,196.44	
FNMA RATE 4.6250% MATURES 10/15/14 ACCRUED INTEREST $126.93 CUSIP 31359MWJ8 EAI $601 Current yield: 4.27%								
Original cost basis $13,124.29	Jul 20, 05	13,000.000	100.544	13,070.76 [1]	108.344	14,084.72	1,013.96	LT
Total		$43,000.000		$44,107.29		$46,803.26	$2,695.97	

Total accrued interest: $553.91
Total estimated annual income: $2,425

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

UBS

Account name. JANET BENTON TTEE
Account number: JG 19426 18

Your assets (continued)

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It s not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
BLACKROCK GLOBAL									
ALLOCATION FUND INC A									
Trade date Apr 8, 08	13,651 061	19 743	269,471 94	269,471 94[1]	17 890	244 217 48	-25,254 46		LT
Trade date. Apr 22, 08	13,547 215	19 920	269,860 54	269,860.54[1]	17 890	242,359 69	-27,500 85		LT
Trade date. Jun 20, 08	40,512 716	19.370	784 731 30	784,731 30[1]	17 890	724,772 49	-59,958 81		LT
Total reinvested	4,630 518	15 580		72,143 81	17 890	82,839 97	10,696.16		
EAI $22,426 Current yield 1 73%									
Security total	72,341 511		1,324,063 78	1,396,207 59		1,294,189 63	-102,017.96	-29,874 15	
PIMCO GLOBAL									
MULTI-ASSET FUND									
CLASS A									
Trade date Apr 21, 09	105,042 017	9 520	1,000,000 00	1,000,000 00	10 940	1 149,159 66	149,159 66	149,159 66	ST
EAI $48,109 Current yield 4 19%									
Total			$2,324,063.78	$2,396,207.59		$2,443,349.29	$47,141.70	$119,285.51	

Total estimated annual income: $70,535

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

CPZ60004001728128 PZ6000171179 00001 1209 000000000 1 JG 18

STATEMENT 8(A)

UBS Account JG-19426

		Cost		Market Value
Certificate of Deposits	$	433,897.14	$	431,344.56
Common Stock	$	8,909,404.53	$	8,289,820.27
Corporate Bonds & Fixed Income Securities	$	2,765,535.08	$	2,697,677.34
Government Securities	$	108,372.24	$	111,167.64
Total Accrued Interest			$	34,264
Total.	$	12,217,208.99	$	11,564,074

STATEMENT 8(A)

UBS 19426

	Quantity	12/31/2009 Stmt Basis	12/31/2009 Stmt Value
Stocks			
Common Stock		$ 4,568,955 89	$ 4,265,443 69
Equities Closed End Mutual Funds		$1,283,025 54	$ 1,080,517 40
Equities Mutual Mutual Fund		$661,215 51	$ 500,309 89
Hospitality Properties 7 0% $25Par	2,000	$0 00	$ -
Other Mutual Funds		$2,396,207 59	$ 2,443,349 29
Total Equities:		**$ 8,909,404.53**	**$ 8,289,620.27**
Bank of America 8 2% Perpetual			
Total Common Stock:		**$ 8,909,404.53**	**$ 8,289,620.27**
CDs			
JP Morgan Metal Index due 4/3/09	100,000	$ -	
CD HSBC Bank USA Kd DJIA due 6/9/11	100,000	$ -	
Merrill Lynch CD Idx Zero Coupon due 6/30/11	50,000	$ 59,926 13	$ 55,175 00
Ally Bank Midvale UT US 01/29/10		$ 34,972 00	$ 34,993 35
Beal Bank TX 0 2$ 11/25/09			
Carolina First Bk SC US 1 00% due 5/6/10		$ 98,999 01	$ 99,059 40
GE Money Bank UT 3 65% 10/9/09			
BMW Bank 2 2% due 6/30/11		$ 240,000 00	$ 242,116 80
Total CDs:		**$ 433,897.14**	**$ 431,344.55**
Corp Bonds			
Citigroup Global Sr Nts 6 2% due 3/15/09	100,000	$ -	
Merrill Lynch 4 125% due 1/15/09	5,000	$ -	
United Health Group 3 75% due 2/10/09	5,000	$ -	
Morgan Stanley Notes adj 3/30/09	300,000	$ -	
GMAC Smartnotes 4 6% due 4/15/09	30,000	$ -	
John Hancock Sig Notes	100,000	$ -	
Wal-Mart Stores Global 6 875% due 8/10/09	5,000	$ -	
CVS Corp NTS 4 0% 09/15/09			
DuPont De Nemours 6 875% 10/15/09		$ -	
MS Currency Linked Bskt 0% due 10/30/09	25,000	$ -	
Kraft Foods Nts 4 125% 11/12/09		$ -	
Clorox Co 4 2% 01/15/2010	50,000	$ 50,050 92	$ 50,045 50
Int'l Bank Rec & Dev Med Nts 10 25% due 1/25/10	50,000	$ 30,108 46	$ 28,708 50
Textron Sr Nts 4 5% due 8/1/10	5,000	$ -	
Home Depot 4 625% 08/15/10		$ 50,903 11	$ 51,185 50
Conagra Inc 7 875% due 09/15/10		$ 51,347 29	$ 52,341 50
Halliburton 5 5% 10/15/10		$ 51,322 79	$ 52,034 50
Unilever Capital Global 7 125% due 11/1/10	4,000	$ 4,083 34	$ 4,216 36
Comcast 5 45% 11/15/10		$ -	
United Technologies 7 125% due 11/15/10	5,000	$ 5,119 80	$ 5,276 25
Target Corp Nts 6 35% due 1/15/11	4,000	$ 4,087 50	$ 4,223 84
Dow Chemical Global Notes 6 125% due 2/1/11	4,000	$ 5,097 39	$ 5,212 35
McDonald's Corp 6% due 4/15/11	5,000	$ 5,103 46	$ 5,298 25
Alcoa Inc 6 5% due 6/1/11	5,000	$ 5,129 24	$ 5,272 30

UB6 19426

	Quantity	12/31/2009 Stmt Basis	12/31/2009 Stmt Value
Conoco Fundıng Co 6 35% due10/15/11	4,000	$ 5,159 84	$ 5,450 55
Honeywell Intl Inc 6 125% due 11/1/11	5,000	$ 5,158 50	$ 5,439 80
Kraft Food Inc Nts 5 625% due 11/1/11	5,000	$ 5,085 55	$ 5,310 30
Chubb Corp 6 0% due 11/15/11	5,000	$ 5,117 64	$ 5,371 65
Credıt Suısse 6 5% due 1/15/12	50,000	$ 51,619 65	$ 54,409 50
Cablevısıon Systems Corp 8 0% due 4/15/12	50,000	$ 48,505 25	$ 52,875 00
AOL Tıme Warner Inc 6 875% 5/1/12	50,000	$ 53,411 99	$ 54,737 00
Comcast 10 625% 7/15/12	50,000	$ 54,736 13	$ 58,996 00
Bottlıng Group LLC 4 625% due 11/15/12	50,000	$ 49,419 75	$ 53,599 00
JP Morgan chase & Co 5 75% due 1/2/13	5,000	$ 5,138 60	$ 5,332 45
Con Edıson Note 4 875% due 2/1/13	5,000	$ 5,029 78	$ 5,328 10
Verızon VA 4 625% due 3/15/2013	5,000	$ 4,913 05	$ 5,192 30
Wyeth Notes 5 5% due 3/15/13	5,000	$ 5,119 37	$ 5,436 45
Sara Lee 3 875% due 6/15/13	50,000	$ 47,517 75	$ 51,123 00
Int'l Busınsess Mach Nts 6 5% due 10/15/13		$ 51,695 06	$ 57,138 00
Blyth Industrıes Inc 5 5% due 11/1/13	66,000	$ 59,405 25	$ 56,100 00
Household Fınancıal Internotes Adȷ due 12/10/13	50,000	$ 48,104 75	$ 48,211 00
Amer Gen Fın Corp due 6 0% Due 11/15/14	100,000	$ 100,000 00	$ 68,405 00
Goldman Sachs Group 5 5% due 11/15/14	100,000	$ 101,177 10	$ 106,735 00
Goldman Sachs Group 5 125% due 1/15/15	45,000	$ 44,939 55	$ 47,283 75
JP Morgan Chase & Co Notes 5 25% due 5/1/15	46,000	$ 44,940 35	$ 47,872 20
Iron Mtn 6 625% due 1/1/16	25,000	$ 24,692 75	$ 24,500 00
Tıme warner Inc 5 875% due 11/15/16	30,000	$ 30,545 57	$ 32,383 00
Toyota Motor Credıt 5 75% due 2/17/17	50,000	$ 50,487 86	$ 50,206 00
Hallıburton Med Ser A 7 53% due 5/12/17	50,000	$ 55,767 67	$ 58,997 00
Leh Bro Hldgs Defaulted 5 875% due 11/15/17	50,000	$ 51,639 08	$ 9,750 00
PNC Bank Nts Ser 6 875% due 4/1/18	50,000	$ 52,056 05	$ 53,071 00
Kımberly Clark Corp 6 25% due 7/15/18	45,000	$ 47,974 53	$ 50,220 45
Morgan Stanley 9 45% due 9/26/22	2,000	$ -	
Coca Cola Enterprıses Deb 8 0% due 9/15/22	50,000	$ 60,459 39	$ 62,140 50
Morgan Stanley 6 25% due 8/9/26	100,000	$ 101,212 70	$ 103,405 00
AT&T Global 6 5% due 3/15/29	50,000	$ 49,442 75	$ 49,771 50
Cıtızens Communıcatıons Notes 9% 8/15/31	25,000	$ 27,928 00	$ 24,562 50
Lehman Bros Defaulted 7 0% due 10/4/32	50,000	$ 50,000 00	$ 9,750 00
Goldman Sachs Capıtal I 6 345% due 2/15/34	50,000	$ 47,339 25	$ 46,813 00
MS Nts 8 0% due 2/23/37	100,000	$ 92,395 96	$ 77,875 00
MS Nts lkd to Bskt Currency due 3/30/11	100,000	$ -	
Corporate Bonds Total:			$ 1,717,608 15
Fixed Income Closed End Mutual Funds		$ 363,368 59	$ 365,119 44
Fixed Income Mutual Funds		$ 451,676 72	$ 481,509 75
Total =		**$ 2,615,535.08**	**$ 2,564,237.34**
Fixed Income Securities			
Morgan Stanley lınked to SPX due 5/25/12	10,000		
Morgan Stanley due 6/26/17	4,000		
CBS Corp 6 75% due 3/27/56	2,000	$ 50,000 00	$ 42,180 00
Cıtıgroup Capıtal XV 6 5% due 9/15/66	2,000		
Cıtıgroup 6 35% due 3/15/67	2,000		
Comcast 6 625% due 5/15/56	2,000	$ 50,000 00	$ 48,360 00
Merrıll Lynch Cap Trust 6 45% due 6/15/87	2,000		
Morgan Stanley Cap Tr VIIII 6 45% due 4/15/67	2,000	$ 50,000 00	$ 42,900 00
Total =		**$ 150,000.00**	**$ 133,440.00**
Total Corporate Bonds & Fixed Income Securities:		**$ 2,765,535.08**	**$ 2,697,677.34**

STATEMENT 8(A)

UBS 19426

	Quantity	12/31/2009 Stmt Basis		12/31/2009 Stmt Value	
Government Securities:					
FHR 3319 CC 5 5% due 2/15/37	50,000	$	24,252 62	$	24,644 00
FHR 3374	40,000	$	2,919 83	$	2,886 14
GNR 2007-53 5.75% due 7/20/37	50,000	$	-		
FHR 3461 AA 5 5% dye 2//15/38	50,000	$	37,092 50	$	36,834 24
GNR 2008-65 HB 5.75% due 2/20/38	50,000	$	-		
US Treasury Note 6 0% due 8/15/09	15,000	$	-		
US Treasury Note 6 0% due 8/15/09	4,000	$	-		
FNMA Bond 6 0% mat 5/15/11	11,000	$	11,291 36	$	11,776 93
FNMA 6 125% due 3/15/12	13,000	$	13,510 40	$	14,328 47
	5,000	$	5,199 94	$	5,510 95
	1,000	$	1,034 83	$	1,102 19
FNMA 4 625% due 10/15/14	13,000	$	13,070 76	$	14,084 72
FNMA Call NTS 5 25% due 11/7/2017	50,000	$	-		
Singapore Gov't Bonds 2 375% due 10/1/09	40,000	$	-		
Total =		**$**	**108,372.24**	**$**	**111,167.64**
Total Account:		**$**	**12,217,208.99**	**$**	**11,529,809.80**

Account name: JANET BENTON TTEE
Account number: JG 18245 1B

Your Financial Advisor:
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets

Some prices, income and current values shown may be approximate. As a result gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	154,245.53	248,964				

Cash alternatives

Structured Products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions The value at which you would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity

Holding	Trade date	Quantity	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MORGAN STANLEY NTS LKD TO BSKT CUR RATE 00 000% MATURES 03/30/11 Symbol. A2 Exchange: A	Jun 23, 08	100,000,000	108.328	108,328.21 [1]	101 875	101,875 00	-6,453.21	LT

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services.

UBS

Account name. JANET BENTON TTEE
Account number: JG 18245 1B

Your assets (continued)

Equities

Structured products

There may be little or no secondary market for structured products Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions The value at which you would be able to purchase sell enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity

Holding	Trade date	Quantity	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CD HSBC BANK USA DE LKD TO DJIA RATE 00 000% MATURES 06/09/11 Symbol: Aa3 Exchange AA	Nov 16, 05	100,000 000	121 613	121,613 90 [1]	110 050	110,050.00	-11,563 90	LT
MORGAN STANLEY LINKED TO S&P 500 INDEX DUE 05/25/2012 Exchange OTC	May 23, 07	10,000 000	10 000	105,672.54	9.355	93,550.00	-6,450 00	LT
MORGAN STANLEY 9 4500% DUE 09/26/22 Exchange OTC	Jul 24, 07	2,000 000	23 229	46,459 61 [1]	14 040	28,080 00	-18,379 61	LT
Total				273,746.05		$231,680.00	-$36,393 51	

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CAROLINA FIRST BK SC US RATE 00 7500% MAT 03/01/2010 FIXED RATE CD ACCRUED INTEREST $434 96 CUSIP 143876A59 EAI $556 Current yield 0 75%	May 21, 09	98,000 000	100 000	98,000 00	100 000	98,000 00		ST

STATEMENT 8(B)

UBS

Resource Management Account
December 2009

Account name: JANET BENTON TIEE
Account number: IG 18245 1B

Your Financial Advisor
JAMES P. BUSTERUD
212-713-7800/800-225-2971

Your assets (continued)

Other

Structured products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity.

Holding	Trade date	Quantity	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MORGAN STANLEY Exchange OIC	May 23, 07	4,000 000	28.985	115,942 68 [1]	14 500	58,000 00	-57,942 68	LT

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Stocks	Quantity	12/31/2009 Cost Basis	12/31/2009 Market Value

	Cost Basis	Market Value
Certificate of Deposits	$ 219,613.90	$ 208,050 00
Corporate Bonds & Fixed Income Securities	$ 376,403.04	$ 281,505 00
Total Accrued Interest		434.96
Total:	$ 596,016.94	$ 489,989.96

STATEMENT 8(B)

UBS 18245

Stocks	Quantity	12/31/2009 Cost Basis	12/31/2009 Market Value
CDs			
CD HSBC Bank USA Kd DJIA due 6/9/11	100,000	$ 121,613 90	$ 110,050 00
Caroline First Bank SC 0 75% Mat 03/01/10	98,000	$ 98,000 00	$ 98,000 00
Total CDs:		**$ 219,613 90**	**$ 208,050.00**
Corp Bonds			
MS Nts lkd to Bskt Currency due 3/30/11	100,000	$ 108,328 21	$ 101,875 00
Morgan Stanley 9 45% due 9/26/22	2,000	$ 46,459 61	$ 28,080 00
Total =		**$ 154,787 82**	**$ 129,955 00**
Fixed Income Securities			
Morgan Stanley linked to SPX due 5/25/12	10,000	$ 105,672 54	$ 93,550 00
Morgan Stanley due 6/26/17	4,000	$ 115,942 68	$ 58,000 00
Total =		**$ 221,615 22**	**$ 151,550 00**
Total Corp Bonds & Fixed Income Securities		**$ 376,403 04**	**$ 281,505 00**
Total Portfolio:		**$ 596,016 94**	**$ 489,555.00**

THE FROG ROCK FOUNDATION 13-4127228

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JANET INSKEEP BENTON 30 FROG ROCK ROAD ARMONK, NY 10504	PRESIDENT	0.	0.	0.
ELISABETH C. NAMEN 87 DEVOE ROAD CHAPPAQUA, NY 10514	TRUSTEE	18,000.	0.	0.
GRETCHEN REISON 251 HAMILTON ROAD CHAPPAQUA, NY 10514	TRUSTEE	0.	0.	0.
	GRAND TOTALS	18,000.	0.	0.

THE FROG ROCK FOUNDATION **13-4127228**

FORM 990 PF, PART XIII-DISTRIBUTION FROM CORPUS ELECTION

Pursuant to Regulation Section 54.4942(a)-3(c)(2)(iv), the Frog Rock Foundation hereby makes an election to treat the 2009 qualifying distribution as a distribution out of corpus in a designated prior taxable year (or years) under Regulation Section 54.4942(a)-3(d)(1)(iii).

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THE FROG ROCK FOUNDATION

P.O. BOX 865

CHAPPAQUA, NY 10514

- HOME
- GRANT GUIDELINES
- GRANT HISTORY
- CONTACT US

THE FROG ROCK FOUNDATION

GRANT GUIDELINES

Areas of Giving

Since its inception, Frog Rock has supported organizations in Westchester County serving economically disadvantaged children Initially, our grantmaking was broad in focus, providing funding in areas ranging from medical and mental health services to educational remediation and recreation programs This open-ended grantmaking strategy enabled us to learn about the needs of the children we wish to help and introduced us to many wonderful service providers in the county

In an effort to increase the impact of our grantmaking, Frog Rock's grant focus has narrowed The Foundation continues to fund only programs serving economically disadvantaged children, but currently focuses its support in two specific areas

- **Early learning and development** - Programs and services for young children, primarily ages 0 - 5, and their parents, which address social, emotional and cognitive development needs

- **Intellectual and personal enrichment** - Programs which utilize innovative tools and settings involving the arts, science and history to expand opportunities for learning and growth beyond academic basics

While we have historically funded programs in the area of Youth Development, further funding in this area has been suspended pending development of a more fully-informed grant strategy

Frog Rock will consider grants of $10,000 and higher, as well as multi-year grants We support organizations that are tax-exempt under Section 501(c)(3) of the Internal Revenue Service Code and which are not classified as foundations under Section 509(a) of the Code

The Frog Rock Foundation does not make grants to individuals In addition, Frog Rock will not award grant support to organizations which, in their constitution, bylaws or practices, discriminate against a person or group on the basis of race, national origin, ethnicity, gender, disability, sexual orientation, political affiliation or religious belief, or which engage in promotion of any religious affiliation (Grantees may target services to a particular population when the targeted groups require specialized programs to meet specific needs not shared by the general population)

Grant Process

The grant process consists of four phases Letter of Inquiry, Full Proposal and Due Diligence Review, Quarterly Grant Decision, and Evaluation and Assessment

- **Letter of Inquiry** - All applicants are required to submit an initial letter of inquiry It should be no more than two pages, with no attachments or other materials, and should include the following information

    - Very brief description of organization's mission
    - Description of proposed project, the need it addresses, and the nature and size of the population to be served
    - Anticipated length of project
    - Expected outcomes and method of evaluation
    - Grant amount requested, total project budget, and other committed and projected funding sources
    - Names and phone numbers of two references
    - Email address of grant applicant

    An inquiry's success in this initial screening depends on its consistency with grant guidelines, demonstration of a successful track record or a high likelihood of success, and the degree of fit with the Foundation's interests We may ask to have an introductory meeting to become more familiar with the organization's programs and its management Because the Foundation receives many more inquiries than can be funded, not all grants which meet our criteria will be pursued

- **Full Proposal and Due Diligence Review** - Those organizations which pass the initial screening will be asked to submit a full proposal We are likely to require additional

STATEMENT 11 (A)

meetings and information at this point, and will usually make a site visit These steps will help deepen our understanding of the proposal, as well as provide input for our due diligence review

- **Quarterly Grant Decisions** - The final phase of pre-grant review takes place at the Foundation's quarterly grant decision meeting, where grant proposals are weighed against each other as well as against Foundation goals and resources

- **Timetable**

  Letter of Inquiry - Rolling submission, no deadline

  Full Proposal - Submission of full proposal with all supporting documentation is due 6 weeks prior to each quarterly grant decision meeting

Full Proposal Submission Deadline	Decision Meeting
February 1	March 15
May 1	June 15
September 1	October 15
November 1	December 15

Applicants will be notified of grant decisions by mail within two weeks of the decision meeting

- **Evaluation and Assessment** - We require grantees to conduct a grant evaluation and report on the results This report will identify accomplishments vs objectives, and changes required to improve delivery In most cases the Foundation will make at least one site visit during the grant period

STATEMENT 11 (A)

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WESTCHESTER CHILDREN'S ASSOCIATION WHITE PLAINS, NY 10602	N/A PUBLIC	GENERAL SUPPORT	50,000.
WESTCOP - WESTCHESTER COMM OPPORTUNITY PROGRAM WHITE PLAINS, NY 10602	N/A PUBLIC	GENERAL SUPPORT	25,000.
HUDSON RIVER MUSEUM OF WESTCHESTER YONKERS, NY 10701	N/A PUBLIC	GENERAL SUPPORT	15,000
PARENTS PLACE 3 CARHART AVENUE WHITE PLAINS, NY 10605-1403	N/A PUBLIC	GENERAL SUPPORT	7,500
WESTCHESTER JEWISH COMMUNITY SERVICES 845 NORTH BROADWAY WHITE PLAINS, NY 10603	N/A PUBLIC	GENERAL SUPPORT	168,000
HENDRICK HUDSON FREE LIBRARY 185 KINGS FERRY RD MONTROSE, NY 10548	N/A PUBLIC	GENERAL SUPPORT	20,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HUDSON VALLEY SHAKESPEARE FESTIVAL, INC 155 MAIN STREET COLD SPRING, NY 10516	N/A PUBLIC	GENERAL SUPPORT	30,000
PROBONO PARTNERSHIP 237 MAMARONECK AVENUE SUITE 300 WHITE PLAINS, NY 10605	N/A PUBLIC	GENERAL SUPPORT	50,000
MUSIC CONSERVATORY OF WESTCHESTER 216 CENTRAL AVENUE WHITE PLAINS, NY 10606	N/A PUBLIC	GENERAL SUPPORT	20,000
MT KISCO CHILD CARE 95 RADIO CIRCLE MOUNT KISCO, NY 10549	N/A PUBLIC	GENERAL SUPPORT	15,000
THE GUIDANCE CENTER 70 GRAND STREET NEW ROCHELLE, NY 10801	N/A PUBLIC	GENERAL SUPPORT	220,000.
GRANDMAKERS FOR CHILDREN, YOUTH & FAMILIES 8757 GEORGIA AVENUE, SUITE 540 SILVER SPRING, MD 20910	N/A PUBLIC	GENERAL SUPPORT	10,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NYC EARLY CHILDREN PROFESSIONAL DEVELOPMENT 101 WEST 31 STREET, 7TH FLOOR NEW YORK, NY 10001	N/A PUBLIC	GENERAL SUPPORT	75,000
LOIS BRONZ CHILDREN'S CENTER 30 MANHATTAN AVENUE WHITE PLAINS, NY 10607	N/A PUBLIC	GENERAL SUPPORT	20,000
		TOTAL CONTRIBUTIONS PAID	725,500

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print	Name of Exempt Organization THE FROG ROCK FOUNDATION	Employer identification number 13-4127228
File by the extended due date for filing the return See instructions	Number, street, and room or suite no If a P O box, see instructions PO BOX 865	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address see instructions CHAPPAQUA NY 10514	

Check type of return to be filed (File a separate application for each return)

☐ Form 990 [X] Form 990-PF ☐ Form 1041-A ☐ Form 6069
☐ Form 990-BL ☐ Form 990-T (sec 401(a) or 408(a) trust) ☐ Form 4720 ☐ Form 8870
☐ Form 990-EZ ☐ Form 990-T (trust other than above) ☐ Form 5227

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ THE FROG ROCK FOUNDATION

Telephone No ▶ ( ) FAX No ▶ ( )

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/2010

5 For calendar year 2009, or other tax year beginning ______, 20__, and ending ______, 20__

6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension
Awaiting third party information necessary for filing a complete and accurate return

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 21,235
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 21,235
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (*e-file*). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Type or print	Name of Exempt Organization THE FROG ROCK FOUNDATION	Employer identification number 13-4127228
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions PO BOX 865	
	City, town or post office, state, and ZIP code For a foreign address, see instructions CHAPPAQUA NY 10514	

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ THE FROG ROCK FOUNDATION

Telephone No ▶ ( ) FAX No ▶ ( )

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15/2010, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 20 09 or

▶ [ ] tax year beginning ______, 20__, and ending ______, 20__

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$21,235
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$21,235
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions