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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

KLEIN FAMILY FOUNDATION
C/O ABRAHAM N. KLEIN

A Employer identification number

13-4092608

Number and street (or P O box number if mail is not delivered to street address)

C/O PARK TERRACE CARE CENTER
109-40 SAULTELL AVE

Room/suite

B Telephone number (see page 10 of the instructions)

() -

City or town, state, and ZIP code

CORONA, NY 11368

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end
of year (from Part II, col. (c), line
16) ▶ \$ 38,562,141.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc. received (attach schedule)	5,217,500.			
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	1,309,260.	1,008,413.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	443,348.			
b Gross sales price for all assets on line 6a 7,560,074.				
7 Capital gain net income (from Part IV, line 2)		443,348.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	7,464.	650.		ATCH 3
12 Total. Add lines 1 through 11	6,977,572.	1,452,411.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	500.	250.	0.	250.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	65,876.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 6	20,086.	19,336.		750.
24 Total operating and administrative expenses. Add lines 13 through 23	86,462.	19,586.	0.	1,000.
25 Contributions, gifts, grants paid	896,260.			896,260.
26 Total expenses and disbursements Add lines 24 and 25	982,722.	19,586.	0.	897,260.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	5,994,850.			
b Net investment income (if negative, enter -0-)		1,432,825.		
c Adjusted net income (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA **

ATCH 5

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		5,698,639.	2,916,351.	2,916,351.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule) **		3,910,739.	14,088,090.	14,435,884.
	b	Investments - corporate stock (attach schedule) ATCH 8		18,057,463.	18,594,058.	16,669,894.
	c	Investments - corporate bonds (attach schedule) ATCH 9		5,702,792.	4,354,232.	4,204,098.
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule)				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule) ATCH 10		921,667.	334,370.	334,370.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule)				
15	Other assets (describe ▶ ATCH 11)		2,495.	1,544.	1,544.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		34,293,795.	40,288,645.	38,562,141.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		34,293,795.	40,288,645.	
	30	Total net assets or fund balances (see page 17 of the instructions)		34,293,795.	40,288,645.	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		34,293,795.	40,288,645.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	34,293,795.
2	Enter amount from Part I, line 27a	2	5,994,850.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	40,288,645.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	40,288,645.

** ATCH 7

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	443,348.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			3		
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8. }					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,127,590.	28,033,245.	0.040223
2007	1,769,468.	22,766,974.	0.077721
2006	673,350.	17,239,553.	0.039058
2005	743,344.	13,250,715.	0.056098
2004	404,568.	8,872,453.	0.045598
2 Total of line 1, column (d)			2 0.258698
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.051740
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 31,317,392.
5 Multiply line 4 by line 3			5 1,620,362.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 14,328.
7 Add lines 5 and 6			7 1,634,690.
8 Enter qualifying distributions from Part XII, line 4			8 897,260.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	28,657.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	28,657.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	28,657.
6 Credits/Payments.			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	28,554.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	45,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	73,554.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	175.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	44,722.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ 44,722. Refunded ☐	11		

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ NY, _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses ATTACHMENT 12	10		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ☐ N/A	13	X	
14	The books are in care of ☐ FAIRVIEW NURSING CARE CENTER Telephone no ☐ (718) 263-4600 Located at ☐ 69-70 GRAND CENTRAL PARKWAY FLUSHING, NY ZIP + 4 ☐ 11375			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☐ No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0.	0.	0.

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	NONE	0.

Total number of others receiving over \$50,000 for professional services	NONE
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE ----- ----- -----	
2 ----- ----- -----	
All other program-related investments See page 24 of the instructions 3 NONE ----- ----- -----	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	29,713,254.
b	Average of monthly cash balances	1b	1,453,035.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	628,018.
d	Total (add lines 1a, b, and c)	1d	31,794,307.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	31,794,307.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	476,915.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	31,317,392.
6	Minimum investment return. Enter 5% of line 5	6	1,565,870.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,565,870.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	28,657.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	28,657.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,537,213.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,537,213.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,537,213.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	897,260.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	897,260.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	897,260.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,537,213.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			57,311.	
b Total for prior years: 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e				
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 897,260.				
a Applied to 2008, but not more than line 2a			57,311.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				839,949.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				697,264.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	0.			

Form 990-PF (2009)

4942(j)(5)

PAGE 14

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 14				
Total.			▶ 3a	896,260.
b Approved for future payment				
Total.			▶ 3b	

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

KLEIN FAMILY FOUNDATION
C/O ABRAHAM N. KLEIN

Employer identification number

13-4092608

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	SARAH DINA KLEIN IRREVOCABLE TRUST 614 AVENUE J BROOKLYN, NY 11230	\$ 200,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	ABRAHAM & DINAH KLEIN 614 AVENUE J BROOKLYN, NY 11230	\$ 2,160,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
3	NORTHERN MANHATTAN NURSING 116 EAST 125TH STREET NEW YORK, NY 10035	\$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
4	FAIRVIEW NURSING CENTER INC 69-70 GRAND CENTRAL PARKWAY FOREST HILL, NY 11375	\$ 2,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
5	BEACH TERRACE CARE CENTER 640 WEST BROADWAY LONG BEACH, NY 11561	\$ 40,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
6	GRANDELL REHABILITATION 645 WEST BROADWAY LONG BEACH, NY 11561	\$ 250,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	QUEENS NASSAU NURSING HOME 520 BEACH 19TH STREET FAR ROCKAWAY, NY 11691	\$ 150,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
8	PARK TERRACE CARE CENTER 59-20 VAN DOREN STREET REGO PARK, NY 11368	\$ 275,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
9	OCEANSIDE CARE CENTER 2914 LINCOLN AVENUE OCEANSIDE, NY 11572	\$ 82,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
10	MANHATTAN NURSING HOME REALTY INC 116 EAST 125TH STREET NEW YORK, NY 10035	\$ 10,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
LIPPER CONVERTIBLES LP	22.	22.
SALOMON SMITH BARNEY I	340,195.	276,179.
SALOMON SMITH BARNEY II	24,168.	24,168.
METBANK	19,548.	19,548.
LONGVIEW	22,136.	22,136.
GOLDMAN SACHS	770.	770.
WELLS FARGO I	712,247.	475,416.
WELLS FARGO II	191,010.	191,010.
COST BASIS ADJUSTMENT	-836.	-836.
TOTAL	<u>1,309,260.</u>	<u>1,008,413.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BOOK/TAX DIFFERENCES	6,814.	
NONDIVIDEND DISTRIBUTIONS	450.	450.
MISCELLANEOUS INCOME	200.	200.
TOTALS	<u>7,464.</u>	<u>650.</u>

Not Used

ATTACHMENT 5FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL TAXES PAID	44,645.
FOREIGN TAXES PAID	21,231.
TOTALS	<u>65,876.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
NYS FILING FEE	750.		750.
LONGVIEW K-1 PORTFOLIO EXP	14,331.	14,331.	
LIPPER K-1 PORTFOLIO EXP	4,297.	4,297.	
BANK CHARGES	708.	708.	
TOTALS	20,086.	19,336.	750.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 7

DESCRIPTION

<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
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SMITH BARNEY I-GOVT	
SMITH BARNEY I -MUNI	
WF I - MUNI BONDS - STMT 7.1 (p. 4/4)	4,285,491.
WF I-TAXABLE MUNI BDS-STMT 7.2 (p. 13/13)	9,802,599.
US OBLIGATIONS TOTAL	<u>14,088,090.</u>
	<u>14,435,884.</u>

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

**WELLS
FARGO**

ADVISORS

Fixed Income Securities

Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
FLORIDA HSG FIN CORP PARTIAL CALL 34073N-Q6-0 B/E GNMA/FNMA/FHLMC CPN 5 150% DUE 01/01/10 DTD 08/17/06 FC 01/01/07 Moody AA1, S&P AA+ CUSIP 340743970 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 10/28/08 L	0.30	80,000	77.06 76.74	61,650.88 61,392.00	97.1370	77,709.60	16,058.72	N/A	N/A	N/A
PHOENIX AZ & PIMA CNT PARTIAL CALL 71881L-AD-0 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 01/01/10 DTD 03/20/07 FC 05/01/07 Moody AAA, S&P NR CUSIP 718803950 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 02/26/09 S	0.01	2,931	83.93 83.69	2,460.13 2,453.05	104.0380	3,049.35	589.22	N/A	N/A	N/A
BUCKEYE OH TOB SETTLEMNT FING AUTH ASSET BKD SR TURBO SER A-2 RV B/E OID CPN 5 125% DUE 06/01/24 DTD 10/29/07 FC 06/01/08 CALL 06/01/17 @ 100.000 Moody BAA3, S&P BBB CUSIP 118217AP3 Acquired 08/12/09 S	0.53	150,000	85.07 84.87	127,609.95 127,312.50	89.8460	134,769.00	7,159.05	640.63	7,687.50	5.70
NEW YORK ST ENERGY RESH & DEV ATH POLLUTN CTL VAR REV NIAGARA-B AMBAC CPN 0.000% DUE 12/01/25 DTD 12/26/85 FC 05/14/03 CALL 02/03/10 @ 100.000 Moody A1, S&P AAA CUSIP 649845FT6 Acquired 07/29/08 L	3.02	800,000	100.00	800,000.00	96.7400	773,920.00	-26,080.00	N/A	N/A	N/A

Sheet 7.1
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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK ST HSG FIN AGY MLTI FMLY HSG RVKENSICO TERRACE APTS A B/E AMT CPN 4 750% DUE 08/15/26 DTD 11/01/06 FC 02/15/07 CALL 08/15/16 @ 100 000 Moody AA1, S&P NR CUSIP 64986EAH6 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/14/09 S	2.54	685,000	85.56 85.08	586,095.14 582,825.40	94.9310	650,277.35	64,182.21	12,291.94	32,537.50	5.00
NEW YORK ST MTG AGY RV SR 101 B/E LT AMT CPN 5 400% DUE 04/01/32 DTD 06/01/02 FC 10/01/02 CALL 10/01/11 @ 100 000 Moody AA1, S&P NR CUSIP 64988PQF6 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/30/09 S	0.72	185,000	92.34 92.18	170,829.65 170,538.55	100.1560	185,288.60	14,458.95	2,497.50	9,990.00	5.39
MISSISSIPPI HM CORP SINGLE FMLY REV SER B 2 B/E GUAR FNMA AMT CPN 6 450% DUE 12/01/33 DTD 06/25/02 FC 12/01/02 CALL 05/01/12 @ 105 000 Moody AAA, S&P NR CUSIP 60535MVX4 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 12/18/08 L	0.74	180,000	97.37	175,275.00	105.1990	189,358.20	14,083.20	967.50	11,610.00	6.13

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

**WELLS
FARGO**

ADVISORS

Fixed Income Securities

Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
FLORIDA HSG FIN CORP REV HOMEOWNER MTG SER 4 AMT B/E GNMA/FNMA/FHLMC CPN 5.150% DUE 07/01/37 DTD 08/17/06 FC 01/01/07 CALL 01/01/16 @ 100.000 Moody AA1, S&P AA+ CUSIP 34073NQ60 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 10/28/08 L		120,000	77.06 76.74 77.06 76.74	92,476.32 92,088.00 115,593.05 115,110.00		116,564.40	24,088.08			
Acquired 10/30/08 L		150,000	77.06 76.74	115,593.05 115,110.00		145,705.50	30,112.45			
Acquired 01/07/09 S		500,000	81.62 81.40	408,149.75 407,000.00		485,685.00	77,535.25			
Acquired 01/21/09 S		575,000	86.25	495,937.50		558,537.75	62,600.25			
Acquired 03/03/09 S		290,000	94.50	274,050.00		281,697.30	7,647.30			
Total	6.20	1,635,000		\$1,386,206.62 \$1,384,185.50	97.1370	\$1,588,189.95	\$201,983.33	\$42,101.25	\$84,202.50	5.30
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5.250% DUE 08/01/38 DTD 03/20/07 FC 05/01/07 CALL 03/01/17 @ 102.750 Moody AAA, S&P NR CUSIP 71881LAD0 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 02/26/09 S		90,198	83.85 83.69	75,634.39 75,489.37	104.0380	93,840.19	18,205.80	394.62	4,735.39	5.04

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ROCHESTER NY HSG AUTH MTG REV GNMA COLL ANDREWS TERRACE APTS BIE CPN 4.700% DUE 12/20/38 DTD 12/29/06 FC 06/20/07 CALL 12/20/16 @ 100 000 Moody AAA, S&P NR CUSIP 771702AG2 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 12/19/08 L	1.08	300,000	71.62 71.31	214,867.86 213,945.00	92.3030	276,909.00	62,041.14	430.83	14,100.00	5.09
NEW YORK ST HSG FIN AGY REV MULTI FAMILY HSG SER BIE GUAR PHAS AMT CPN 5.300% DUE 11/15/39 DTD 12/01/98 FC 05/15/99 CALL 01/02/10 @ 100 500 Moody NR, S&P AAA CUSIP 6498688P4 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 12/19/08 L	2.34	600,000	78.45	470,700.00	99.6960	598,176.00	127,476.00	4,063.33	31,800.00	5.31
ROCHESTER NY HSG AUTH MTG RV GNMA COLL-ANDREWS TERRACE APTS AMT BIE CPN 4.800% DUE 12/20/48 DTD 12/29/06 FC 06/20/07 CALL 12/20/16 @ 100 000 Moody AAA, S&P NR CUSIP 771702AH0 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 02/27/09 S	0.90	250,000	88.62 88.55	221,568.35 221,375.00	91.7620	229,405.00	7,836.65	366.67	12,000.00	5.23
Total Municipal Bonds	18.75	4,958,129		\$4,292,897.97 \$4,285,491.37		\$4,800,892.24	\$507,994.27	\$63,754.27	\$208,662.89	4.35

Stmnt. 7-1
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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 1594-0357

**WELLS
FARGO**

ADVISORS

Fixed Income Securities

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
TEXAS ST UNIV SYS REV TAXABLE-REF-FING SYS B/E FSA CPN 6.460% DUE 03/15/10 DTD 08/01/98 FC 03/15/99 CALL 01/21/10 @ 100.000 Moody NR, S&P AAA CUSIP 882789ED8 Acquired 08/01/02 L	0.18	45,000	107.56	48,407.90	100.4430	45,199.35	-3,208.55	855.95	2,907.00	6.43
FIRST FLA GOVTL FING COMMUN REV TAXABLE-REF- SER B OY=8 10% CPN 8.000% DUE 07/01/28 DTD 06/15/00 FC 01/01/01 PRE 07/01/10 @ 101.000 Moody NR, S&P NR CUSIP 320265ME8 Acquired 09/15/03 L	0.04	10,000	111.67	11,167.00	104.5930	10,459.30	-707.70	400.00	800.00	7.64
NEW YORK NY CITY TRANSN FIN AUTH REV TXBL-FUTURE TAX SEC-SER C OID MBIA CPN 6.375% DUE 05/01/11 DTD 05/05/98 FC 11/01/98 CALL 07/02/09 @ 100.500 Moody AA1, S&P AAA CUSIP 649716CV7 Acquired 06/05/02 L	0.04	10,000	104.30	10,435.10	100.8480	10,084.80	-350.30	106.25	637.50	6.32
YPSILANTI MICHIGAN REF TAXABLE-LTD TAX-CAP IMPT G/O LTD B/E OID AMBAC CPN 5.800% DUE 05/01/18 DTD 06/08/06 FC 11/01/06 CALL 05/01/16 @ 100.000 Moody A3, S&P A- CUSIP 987830KN5 Acquired 10/05/09 S Acquired 10/06/09 S		200,000 115,000	103.01 103.01	206,020.00 118,461.50		204,226.00 117,429.95	-1,794.00 -1,031.55			
Total	1.26	315,000		\$324,481.50	102.1130	\$321,655.95	-\$2,825.55	\$3,045.00	\$18,270.00	5.68

Sheet: 7.2

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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

**Fixed Income Securities
Taxable Municipal Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ALLEN PARK MI SER A G/O LTD BIE TXBL CPN 6 625% DUE 05/01/19 DTD 11/12/09 FC 05/01/10 Moody NR, S&P A CUSIP 018015MV6 Acquired 11/02/09 S	0.68	175,000	103.05	180,337.50	99.7940	174,639.50	- 5,698.00	1,578.04	11,593.75	6.63
SAN FRANCISCO CA CITY & CNTY REDEV FING AUTH TAX ALLOC REV TXBL B/E OID A CPN 8.000% DUE 08/01/19 DTD 09/03/09 FC 02/01/10 Moody A2, S&P A CUSIP 79771PP33 Acquired 08/19/09 S	0.87	200,000	101.07	202,140.00	111.6260	223,252.00	21,112.00	5,244.44	16,000.00	7.16
CALIFORNIA ST VAR PURP G/O BIE TXBL CPN 6.200% DUE 10/01/19 DTD 10/15/09 FC 04/01/10 Moody BAA1, S&P A CUSIP 13063ATG3 Acquired 10/09/09 S Acquired 10/15/09 S	2.09	550,000	101.83 101.14	381,881.25 177,005.50	97.3540	365,077.50 170,369.50	- 16,803.75 - 6,636.00	\$7,198.89	\$34,100.00	6.37
BAYONNE NJ RFDG G/O BIE ASSURED GUAR OID B/Q CPN 6.250% DUE 06/01/21 DTD 05/07/09 FC 12/01/09 Moody AA2, S&P AAA CUSIP 072887ZQ8 Acquired 12/31/09 S	0.93	215,000	108.05	232,307.50	111.1370	238,944.55	6,637.05	1,119.79	13,437.50	5.62

Stmnt. 7.2
2/13

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

WELLS
FARGO

ADVISORS

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME YIELD (%)
WINNEBAGO BOONE ETC CNTY IL CCD SER B BUILD AMER BDS B/O UNLTD B/E TXBL CPN 5.410% DUE 01/01/22 DTD 12/22/09 FC 07/01/10 CALL 01/01/17 @ 100.000 Moody NR, S&P AA CUSIP 974433GH1 Acquired 12/29/09 S	1.25	325,000	99.80	324,350.00	98.6990	320,771.75	-3,578.25	439.56	17,582.50 5.48
ITHACA CITY NY PUB IMPT SER B G/O UNLTD B/E TXBL CPN 6.000% DUE 01/15/22 DTD 01/15/10 FC 01/15/11 CALL 01/15/19 @ 100.000 Moody A1, S&P NR CUSIP 465650QQ5 Acquired 12/31/09 S	1.22	300,000	104.87	314,613.00	103.8090	311,427.00	-3,186.00	N/A	18,000.00 5.77
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED CPN 6.388% DUE 10/01/22 DTD 12/03/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody AA3, S&P AAA CUSIP 34440KBF8 Acquired 11/16/09 S Acquired 11/16/09 S		150,000 15,000	100.85 100.85	151,275.00 15,127.50		151,476.00 15,147.60	201.00 20.10		
Total	0.65	165,000		\$166,402.50	100.9840	\$166,623.60	\$221.10	\$819.79	\$10,540.20 6.33
NEW YORK NY SER D 1 BUILD AMER BDS G/O B/E TXBL CPN 5.199% DUE 12/01/22 DTD 12/17/09 FC 06/01/10 CALL 12/01/19 @ 100.000 Moody AA3, S&P AA CUSIP 64966HTT7 Acquired 12/28/09 S Acquired 12/30/09 S		350,000 105,000	98.42 98.55	344,487.50 103,477.50		338,450.00 101,535.00	-6,037.50 -1,942.50		

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION Acquired 12/31/09 S	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Total	2.38	630,000	98.67	\$620,646.25	96.7000	\$609,210.00	-\$11,436.25	\$1,273.76	\$32,753.70	5.38
NY MUN BD BK AGY RECOVERY										
ACT SUB SER A2 REV										
B/E TAXABLE										
CPN 5.161% DUE 12/15/22										
DTD 12/30/09 FC 06/15/10										
CALL 06/15/21 @ 100 000										
Moody NR S&P AA+										
CUSIP 64988VBY8										
Acquired 12/28/09 S	1.38	360,000	99.45	358,020.00	98.1560	353,361.60	-4,658.40	N/A	18,579.60	5.25
OGLE CNTY IL CMNTY UNIT										
SCH DIST NO 226 TAXABLE										
SER A BABS G/O B/E										
CPN 5.898% DUE 01/01/23										
DTD 12/30/09 FC 07/01/10										
CALL 01/01/20 @ 100 000										
Moody A2 S&P A+										
CUSIP 676822EM7										
Acquired 12/23/09 S	0.96	250,000	101.60	254,000.00	98.3810	245,952.50	-8,047.50	N/A	14,745.00	5.99
ITHACA CITY NY PUB IMPT										
SER B G/O UNLTD B/E TXBL										
CPN 6.000% DUE 01/15/23										
DTD 01/15/10 FC 01/15/11										
CALL 01/15/19 @ 100 000										
Moody A1 S&P NR										
CUSIP 465650QR3										
Acquired 12/31/09 S	1.26	315,000	103.79	326,960.55	102.5190	322,934.85	-4,025.70	N/A	18,900.00	5.85
GRAND HAVEN MI CAP IMPT										
G/O LTD B/E TXBL										
CPN 5.125% DUE 04/01/23										
DTD 10/21/09 FC 04/01/10										
CALL 04/01/19 @ 100 000										
Moody NR S&P AA										
CUSIP 385532HA7										
Acquired 10/06/09 S	0.87	235,000	99.27	233,300.95	95.2300	223,790.50	-9,510.45	2,341.84	12,043.75	5.38

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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 1594-0357

**WELLS
FARGO**

ADVISORS

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ONEIDA CNTY NY PUB IMPT SER B G/O UNLTD BIE ASSURED GUAR CPN 5.500% DUE 04/15/23 DTD 04/15/09 FC 04/15/10 Moody AA3, S&P AAA CUSIP 6824543V3 Acquired 10/27/09 S Acquired 10/28/09 S	1.00	250,000	109.30 109.30	163,950.00 109,300.00	102.3890	\$255,972.50 102,389.00	-10,366.50 -6,911.00	\$11,555.56	\$16,250.00	6.35
Total				\$273,250.00	102.3890	162,257.70	-5,134.80	1,165.31	9,322.50	5.74
ADRIAN MI BUILD AMER BD B DIRECT PAYMENT G/O LTD BIE TXBL CPN 5.650% DUE 05/01/23 DTD 11/16/09 FC 05/01/10 CALL 05/01/19 @ 100.000 Moody NR, S&P AAA CUSIP 007248DM6 Acquired 10/16/09 S	0.63	165,000	101.45	167,392.50	98.3380	146,194.50	-7,105.50	3,762.50	9,030.00	6.17
SAN BERNARDINO CNTY CA PENSION OBLG RFDG REV BIE FSA TXBL CPN 6.020% DUE 08/01/23 DTD 04/16/08 FC 08/01/08 Moody AA3, S&P AAA CUSIP 796839BP2 Acquired 10/20/09 S	0.57	150,000	102.20	153,300.00	97.4630	146,194.50	-7,105.50	3,762.50	9,030.00	6.17
POTTAWATOMIE CNTY KS USD NO 320 TXBL SER B BABS DIRECT PMT TO ISSUER CPN 5.900% DUE 09/01/23 DTD 12/15/09 FC 03/01/11 CALL 09/01/19 @ 100.000 Moody NR, S&P A+ CUSIP 737823DD3 Acquired 12/16/09 S	0.58	150,000	102.67	154,012.50	99.5920	149,388.00	-4,624.50	49.17	8,850.00	5.92

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
DALLAS TX STEP-UP-TXBL- PENSION-SER C G/O LTD B/E MBIA CPN 5.500% DUE 02/15/24 DTD 02/16/05 FC 02/15/06 CALL 02/15/10 @ 100 000 Moody A1, S&P AA+ CUSIP 235218M84 Acquired 01/21/05 L	0.74	200,000	100.00	200,000.00	94.9100	189,820.00	-10,180.00	4,155.56	11,000.00	5.79
LANSING MI BLDG AUTH RFDG TAXABLE G/O LTD B/E TXBL CPN 5.800% DUE 06/01/24 DTD 10/08/09 FC 06/01/10 CALL 06/01/19 @ 100 000 Moody AA3, S&P AA+ CUSIP 516393KA2 Acquired 10/05/09 S	0.65	170,000	102.75	174,675.00	98.1770	166,900.90	-7,774.10	2,273.28	9,860.00	5.90
NAUGATUCK CT PENSION G/O UNLTD B/E FGIC TXBL CPN 5.810% DUE 06/01/24 DTD 10/23/03 FC 06/01/04 Moody A1, S&P NR CUSIP 639064RP1 Acquired 10/29/09 S Acquired 10/30/09 S	1.06	265,000	104.05	\$275,732.50	101.9970	\$270,292.05	-\$5,440.45	\$1,283.04	\$15,396.50	5.70
SAN FRANCISCO CA CITY & CNTY REDV A FINGATH TAX ALLOC REV TXBL OID B/E CPN 8.250% DUE 08/01/24 DTD 09/03/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody A2, S&P A CUSIP 79771PP41 Acquired 09/11/09 S	0.43	100,000	107.31	107,314.00	110.8230	110,823.00	3,509.00	2,704.17	8,250.00	7.44

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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
HONOLULU HI CITY & CNTY SER E BUILD AMER BNDS G/O B/E TXBL										
CPN 5.780% DUE 09/01/24										
DTD 11/19/09 FC 09/01/10										
CALL 09/01/19 @ 100.000										
Moody AA2 S&P AA										
CUSIP 438670VA6										
Acquired 11/06/09 S	0.82	215,000	101.50	218,225.00	97.4840	209,590.60	- 8,634.40	1,449.82	12,427.00	5.92
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL										
BABS DIRECT PAY ASSURED										
CPN 6.638% DUE 10/01/24										
DTD 12/03/09 FC 04/01/10										
CALL 10/01/19 @ 100.000										
Moody AA3 S&P AAA										
CUSIP 34440KBH4										
Acquired 11/19/09 S	0.41	105,000	101.12	106,181.25	101.1730	106,231.65	50.40	542.10	6,969.90	6.56
NEW YORK NY SER D 1 BUILD AMER BDS G/O UNLTD										
B/E TXBL										
CPN 5.399% DUE 12/01/24										
DTD 12/17/09 FC 06/01/10										
Moody AA3 S&P AA										
CUSIP 64966HTY6										
Acquired 12/21/09 S		200,000	99.75	199,502.00		191,324.00	- 8,178.00			
Acquired 12/30/09 S		250,000	98.20	245,500.00		239,155.00	- 6,345.00			
Total	1.68	450,000		\$445,002.00	95.6620	\$430,479.00	- \$14,523.00	\$944.83	\$24,295.50	5.64
HUNTINGTON PK CA PENSION OBLIG B/E										
AMBAC										
CPN 5.757% DUE 08/01/25										
DTD 04/05/07 FC 08/01/07										
CALL 08/01/17 @ 100.000										
Moody NR S&P A-										
CUSIP 44668WAC4										
Acquired 08/25/09 S		150,000	85.12 84.94	127,686.08 127,410.00		124,750.50	- 2,935.58			

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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION Acquired 08/31/09 S	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME YIELD (%)
Total	0.76	235,000	84.99	\$200,077.11 \$199,651.50	83.1670	\$195,442.45	-\$4,634.66	\$5,637.06	\$13,528.95 6.92
TOLEDO OH TAXABLE STR									
IMPT G/O LTD BIE ASSURED									
GUAR TXBL									
CPN 6 300% DUE 12/01/25									
DTD 10/15/09 FC 06/01/10									
CALL 12/01/19 @ 100.000									
Moody AA3, S&P AAA									
CUSIP 889278PQ4									
Acquired 10/15/09 S									
0.92	235,000	103.50	243,225.00	100.3000	235,705.00	-7,520.00	3,125.50	14,805.00	6.28
GRAND HAVEN MI CAP IMPT									
G/O LTD BIE TXBL									
CPN 5.400% DUE 04/01/26									
DTD 10/21/09 FC 04/01/10									
CALL 04/01/19 @ 100.000									
Moody NR, S&P AA									
CUSIP 385532HD1									
Acquired 10/06/09 S									
0.61	165,000	99.95	164,917.50	94.6100	156,106.50	-8,811.00	1,732.50	8,910.00	5.70
DE WITT MI PUB SCH BLDG									
& SITE BUILD AMER BDS									
DRCT PMT G/O UT B/E TXBL									
CPN 6 100% DUE 05/01/26									
DTD 12/22/09 FC 11/01/10									
CALL 05/01/19 @ 100.000									
Moody NR, S&P AA-									
CUSIP 242109QNG									
Acquired 12/11/09 S									
Acquired 12/15/09 S									
0.44	115,000	101.30	101,300.00	98.9720	\$113,817.80	-2,328.00	\$175.38	\$7,015.00	6.16
Total	0.44	115,000	101.30	\$116,495.00	98.9720	\$113,817.80	-\$2,677.20	\$175.38	\$7,015.00 6.16

Stunt 7.2
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KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 1594-0357

WELLS
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ADVISORS

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCURED INTEREST	ANNUAL INCOME YIELD (%)
GRAND HAVEN MI CAP IMPT G/O LTD B/E TXBL CPN 5.500% DUE 04/01/27 DTD 10/21/09 FC 04/01/10 CALL 04/01/19 @ 100 000 Moody NR, S&P AA CUSIP 385532HE9 Acquired 10/13/09 S	0.37	100,000	99.40	99,400.00	93.9470	93,947.00	- 5,453.00	1,069.44	5,500.00 5.85
GLENDAL AZ SER B BUILD AMER BDS DIRECT PMT TO ISSUER G/O B/E O/D TXBL CPN 5.625% DUE 07/01/27 DTD 12/22/09 FC 07/01/10 CALL 01/01/20 @ 100 000 Moody AA2, S&P AA CUSIP 378280SH5 Acquired 12/15/09 S	0.38	100,000	101.15	101,150.00	97.7700	97,770.00	- 3,380.00	140.63	5,625.00 5.75
COOK CNTY IL S/D NO 104 ARGO SUMMIT TXBL SER A G/O LTD O/D ASSURED GUAR CPN 5.900% DUE 12/01/27 DTD 10/01/09 FC 12/01/09 CALL 12/01/19 @ 100 000 Moody NR, S&P AAA CUSIP 214471MU5 Acquired 09/22/09 S	0.77	200,000	100.95	201,900.00	98.1530	196,306.00	- 5,594.00	983.33	11,800.00 6.01
QUINCY IL SER C RECOVERY ZNE BLD AMR BDS ECON DEV G/O UT TXBL ASSURED GUAR CPN 6.050% DUE 01/01/28 DTD 12/15/09 FC 01/01/11 CALL 01/01/19 @ 100 000 Moody NR, S&P AAA CUSIP 748437KA7 Acquired 11/25/09 S	0.41	110,000	101.55	111,705.00	95.9820	105,580.20	- 6,124.80	295.78	6,655.00 6.30

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KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME YIELD (%)
PHILADELPHIA PA AUTH IND DEV PENSN FDG RETIREMENT SY A FSA TAXABLE OY-6 36 CPN 6 350% DUE 04/15/28 DTD 01/15/99 FC 04/15/99 Moody AA3 S&P AAA CUSIP 71781LAM1 Acquired 09/21/09 S	0.57	150,000	101.02	151,537.50	97.0350	145,552.50	-5,985.00	2,010.83	9,525.00 6.54
SOUTH CRRCTNL ENTITY FAC PDA WA BUILD AMR BD B DRCT PMT RV B/E OID TXBL CPN 6 416% DUE 01/01/29 DTD 11/04/09 FC 01/01/10 CALL 01/01/20 @ 100.000 Moody A1 S&P AA CUSIP 83748CAN6 Acquired 12/02/09 S	0.58	150,000	102.62	153,937.50	99.4140	149,121.00	-4,816.50	1,523.80	9,624.00 6.45
BLUE SPRINGS MO SER B BUILD AMER BDS ISSUER SUBSIDY G/O BIE TXBL CPN 5 890% DUE 03/01/29 DTD 12/01/09 FC 03/01/10 CALL 03/01/18 @ 100.000 Moody NR S&P AA CUSIP 096045FU8 Acquired 11/30/09 S	0.38	100,000	101.55	101,550.00	96.0010	96,001.00	-5,549.00	490.83	5,890.00 6.13
AVONDALE AZ PJS OF 1998& 2007 G/O UT B/E B/O TXBL CPN 7 800% DUE 07/01/29 DTD 07/21/09 FC 01/01/10 CALL 07/01/19 @ 100.000 Moody A2 S&P A+ CUSIP 054314DX4 Acquired 07/08/09 S	0.43	100,000	103.37	103,370.00	109.8510	109,851.00	6,481.00	3,466.67	7,800.00 7.10

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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
MIAMI DADE CNTY FL TRAN SYS SALES SURTAX RV SR B BLD AMER BDS DRCT TXBL CPN 6 710% DUE 07/01/29 DTD 09/24/09 FC 01/01/10 CALL 07/01/19 @ 100 000 Moody A1, S&P AA CUSIP 59334PCN5 Acquired 09/21/09 S	0.39	100,000	103.05	103,050.00	100.8370	100,837.00	-2,213.00	1,807.97	6,710.00	6.65
BONITA CA USD ELCTN 2008 A 1 BUILD AMER BDS DRCT PMT G/O UT B/E TAXABLE CPN 6 800% DUE 08/01/29 DTD 09/23/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody NR, S&P AA- CUSIP 098203RQ7 Acquired 09/24/09 S Acquired 09/24/09 S		140,000 100,000 240,000	103.25 103.25	144,550.00 103,250.00 \$247,800.00	101.4670	\$243,520.80	-4,279.20	\$4,442.67	\$16,320.00	6.70
EL MONTE CA S/D ELEC 08 SR A 1 BLD AMR BDS DRCT PMT G/O UT TXBL ASSURED CPN 7 125% DUE 08/01/29 DTD 10/14/09 FC 08/01/10 CALL 08/01/19 @ 100 000 Moody AA3, S&P AAA CUSIP 283309HH8 Acquired 09/30/09 S	0.40	100,000	106.45	106,455.00	102.6070	102,607.00	-3,848.00	1,523.96	7,125.00	6.94
SANTA MONICA MALIBU UNI SCH DIST CA ELCTN 2006 B 1 BLD G/O B/E TXBL CPN 7 406% DUE 08/01/29 DTD 08/05/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA2, S&P AA CUSIP 802498JK2 Acquired 07/27/09 S	0.42	100,000	101.75	101,750.00	106.9680	106,968.00	5,218.00	3,003.54	7,406.00	6.92

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
PUEBLO CNTY CO CTF PARTN TAXABLE SER A BUILD AMER REV BIE OID ASSURED GTY CPN 6 600% DUE 09/15/29 DTD 12/29/09 FC 03/15/10 Moody AA3 S&P AAA CUSIP 744829BU5 Acquired 12/18/09 S	0.78	200,000	98.36	196,728.00	100.0960	200,192.00	3,464.00	73.33	13,200.00	6.59
WEST CONTRA COSTA CA UNI SCH DIST ELECTION 2005 SER C 2 G/O BIE TXBL CPN 8 460% DUE 08/01/34 DTD 08/26/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody A2 S&P A CUSIP 952347VB5 Acquired 09/02/09 S	0.51	125,000	105.12	131,406.25	104.8880	131,110.00	-296.25	3,466.25	10,575.00	8.06
CORONA NORCO CA USD BUILD AMER BDS D DIRECT PMT G/O UNLTD B/E TXBL CPN 7 343% DUE 08/01/35 DTD 12/17/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA3 S&P AAA CUSIP 219764LM7 Acquired 12/03/09 S	0.79	200,000	101.85	203,700.00	101.4520	202,904.00	-796.00	571.12	14,686.00	7.23
CLARK CNTY NV FLOOD CTL SR B BUILD AMER BDS DRCT PAY G/O LTD B/E TXBL CPN 7 250% DUE 11/01/38 DTD 06/23/09 FC 11/01/09 CALL 11/01/19 @ 100.000 Moody AA1 S&P AA+ CUSIP 180848DY6 Acquired 07/12/09 S	0.63	155,000	103.00	159,650.00	104.7820	162,412.10	2,762.10	1,872.92	11,237.50	6.91

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KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

WELLS
FARGO

ADVISORS

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
WATERBURY CT PENSION G/O BIE TXBL CPN 7.089% DUE 12/01/38 DTD 09/17/09 FC 12/01/09 Moody NR S&P A- CUSIP 941247N20 Acquired 09/08/09 S	0.39	100,000	101.05	101,050.00	99.2500	99,250.00	-1,800.00	590.75	7,089.00	7.14
SAN BERNARDINO CA CCD ELEC OF 2008 SER C BUILD AMER BDS G/O LTD TXBL CPN 7.430% DUE 08/01/39 DTD 06/18/09 FC 02/01/10 Moody AA3 S&P AA- CUSIP 796720GJ3 Acquired 07/22/09 S	0.66	150,000	105.01	157,522.50	112.1430	168,214.50	10,692.00	5,974.96	11,145.00	6.62
NAPA VLY CA UNI SCH DIST BUILD AMER BDS SER B G/O BIE TXBL CPN 8.100% DUE 08/01/44 DTD 08/04/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA3 S&P AA- CUSIP 630361Z97 Acquired 07/28/09 S	0.43	100,000	103.10	103,107.00	110.0720	110,072.00	6,965.00	3,307.50	8,100.00	7.35
Total Taxable Municipal Bonds	37.64	9,650,000		\$9,803,024.61 \$9,802,599.00		\$9,634,992.00	-\$168,032.61	\$101,595.37	\$592,813.35	6.15
Total Fixed Income Securities	72.81			\$18,453,850.52 \$18,442,322.51		\$18,639,982.21	\$186,131.69	\$214,129.61	\$1,059,591.89	5.68

Sheet 7.2
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FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 8DESCRIPTION

	ENDING BOOK VALUE	ENDING FMV
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SB I - PREFERRED		
SB I - MUTUAL FUNDS		
SB I - CL. END FUNDS		
SB II - COMMON STOCK		
SB II - MUTUAL FUNDS		
SB II - CL. END FUNDS		
GOLDMAN SACHS - GLOBAL EQUITY	99,250.	74,106.
GOLDMAN SACHS - HEDGE FUNDS	1,000,000.	939,488.
GOLDMAN SACHS - PRIVATE EQUITY	330,000.	304,873.
WF I - STOCK - CITIGROUP INC	150,000.	145,130.
WF I - MUTUAL FUNDS - STMT 8.1 (p. 11)	173,028.	120,492.
WF I - PREFERRED - STMT 8.2 (p. 6/6)	4,967,398.	4,014,624.
WF II - STOCK, OPTIONS - STMT 8.3 (p. 7/7)	3,948,068.	3,240,563.
WF II - MUTUAL FUNDS - STMT 8.4 (p. 6/6)	7,926,314.	7,830,618.
TOTALS	<u>18,594,058.</u>	<u>16,669,894.</u>

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NUVEEN MULTI-STRATEGY INCOME AND GROWTH FUND									
JPC - HELD IN MARGIN									
Acquired 12/27/05 L		3,400	11 90	38,652.84		25,466.00	- 13,186.84		
Acquired 12/27/05 L		500	11 91	5,689.20		3,745.00	- 1,944.20		
Acquired 12/27/05 L		1,500	11 91	17,067.69		11,235.00	- 5,832.69		
Acquired 12/27/05 L		2,100	11 92	23,915.76		15,729.00	- 8,186.76		
Total	0.22	7,500		\$85,325.49	7.4900	\$56,175.00	- \$29,150.49	\$5,100.00	9.08
WESTERN ASSET HIGH INC OPPORTUNITY FUND INC									
HIO - HELD IN MARGIN									
Acquired UNKNOWN S		168	6 81	1,144.83		1,004.64	- 140.19		
Acquired 12/19/00 L		10,000	8 32	83,276.00		59,799.90	- 23,476.10		
Reinvestments S		587	5 58	3,281.45		3,512.60	231.15		
Total	0.25	10,755	37500	\$87,702.28	5.9800	\$64,317.14	- \$23,385.14	\$6,775.88	10.54
Client Investment (Excluding Reinvestments) \$84,420.83									
Gain/Loss on Client Investment (Including Reinvestments) -\$20,103.69									
Total Closed End Mutual Funds	0.47			\$173,027.77		\$120,492.14	- \$52,535.63	\$11,875.88	9.86
Total Mutual Funds	0.47			\$173,027.77		\$120,492.14	- \$52,535.63	\$11,875.88	9.86

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN INTL GRP 7 70%									
DUE 12/18/2062									
CALL STARTING 12/18/12									
AVF - HELD IN MARGIN									
Acquired 09/24/08 L	0.24	4,000	6 19	24,768.80	15 4700	61,880.00	37,111.20	7,700.00	12.44

Stmnt. 8.1
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KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 1594-0357

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NUVEEN MULTI-STRATEGY INCOME AND GROWTH FUND									
JPC - HELD IN MARGIN									
Acquired 12/27/05 L		3,400	11.90	38,652.84		25,466.00	- 13,186.84		
Acquired 12/27/05 L		500	11.91	5,689.20		3,745.00	- 1,944.20		
Acquired 12/27/05 L		1,500	11.91	17,067.69		11,235.00	- 5,832.69		
Acquired 12/27/05 L		2,100	11.92	23,915.76		15,729.00	- 8,186.76		
Total	0.22	7,500		\$85,325.49	7.4900	\$56,175.00	- \$29,150.49	\$5,100.00	9.08
WESTERN ASSET HIGH INC OPPORTUNITY FUND INC									
HIO - HELD IN MARGIN									
Acquired UNKNOWN S		168	6.81	1,144.83		1,004.64	- 140.19		
Acquired 12/19/00 L		10,000	8.32	83,276.00		59,799.90	- 23,476.10		
Reinvestments S		587	5.58	3,281.45		3,512.60	231.15		
Total	0.25	10,755.37500		\$87,702.28	5.9800	\$64,317.14	- \$23,385.14	\$6,775.88	10.54
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$84,420.83			
						-\$20,103.69			
Total Closed End Mutual Funds	0.47			\$173,027.77		\$120,492.14	- \$52,535.63	\$11,875.88	9.86
Total Mutual Funds	0.47			\$173,027.77		\$120,492.14	- \$52,535.63	\$11,875.88	9.86

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN INTL GRP 7 70% DUE 12/18/2062 CALL STARTING 12/18/12 AVF - HELD IN MARGIN Acquired 09/24/08 L	0.24	4,000	6.19	24,768.80	15.4700	61,880.00	37,111.20	7,700.00	12.44

Sum 8.2

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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN INTNL GRP 6.45% JR SUB NTS DUE 06/15/77 CALL STARTING 6/15/12 AFF - HELD IN MARGIN Acquired 05/31/07 L	0.41	8,000	25.00	200,000.00	13.1000	104,800.00	- 95,200.00	12,896.00	12.30
BAC CAP TRUST X 6.25% DUE 3/29/2055 CALL START 03/29/2011 BAC'B - HELD IN MARGIN Acquired 03/21/06 L	0.45	6,000	25.00	150,000.00	19.3900	116,340.00	- 33,660.00	9,378.00	8.06
BARCLAY BANK PLC 7.75% NON CUM PERPETUAL PFD CALL STARTING 3/15/2013 BCS'C Acquired 11/30/07 L	0.47	5,000	25.00	125,000.00	23.8300	119,150.00	- 5,850.00	9,690.00	8.13
CBS CORP 6.75% SR NOTE DUE 3/27/2056 CALL STARTING 3/27/2012 CPV - HELD IN MARGIN Acquired 03/20/07 L	0.33	4,000	25.00	100,000.00	21.0900	84,360.00	- 15,640.00	6,752.00	8.00
CITIGROUP CAP XV 6.50% DUE 9/15/66 CALL STARTING 9/15/11 C'U - HELD IN MARGIN Acquired 09/07/06 L	0.29	4,000	25.00	100,000.00	18.6300	74,520.00	- 25,480.00	6,500.00	8.72
CITIGROUP CAP XVI 6.45% DUE 12/31/2066 CALL STARTING 12/31/2011 C'W - HELD IN MARGIN Acquired 11/15/06 L	0.29	4,000	25.00	100,000.00	18.5800	74,320.00	- 25,680.00	6,448.00	8.67
CITIGROUP CAPITAL X 6.1% TRUPS DUE 9/30/33 CALLABLE 9/30/08 C'R - HELD IN MARGIN Acquired 09/05/03 L	0.29	4,000	25.00	100,000.00	18.3400	73,360.00	- 26,640.00	6,100.00	8.31
FIFTH THIRD CAP V 7.25% DUE 08/15/67 CALL STARTING 08/15/12 FTBA - HELD IN MARGIN Acquired 08/01/07 L	0.32	4,000	25.00	100,000.00	20.4500	81,800.00	- 18,200.00	7,252.00	8.86

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
GENL AMERICAN INV 5.95% SER B CUMULATIVE PFD CALLABLE 9/24/08 GAMB - HELD IN MARGIN Acquired 09/17/03 L	0.38	4,000	25.00	100,000.00	24.5300	98,120.00	- 1,880.00	5,948.00	6.06
GOLDMAN SACHS GRP 6.20% NON-CUM PERP PFD CALL STARTING 11/10/10 GSB - HELD IN MARGIN Acquired 10/21/05 L	0.39	4,000	25.00	100,000.00	25.2400	100,960.00	960.00	6,200.00	6.14
HSBC FINANCE 6.875% PFD DUE 1/30/33 CALLABLE 1/30/08 @ 25.00 HTB - HELD IN MARGIN Acquired 01/22/03 L	0.15	1,600	25.00	40,000.00	24.5900	39,344.00	- 656.00	2,750.40	6.99
HSBC HOLDING PLC 8.125% NON-CUM PERP PFD CALL STARTING 4/15/2013 HCS - HELD IN MARGIN Acquired 04/02/08 L	0.61	6,000	25.00	150,000.00	26.1000	156,600.00	6,600.00	12,186.00	7.78
JP MORGAN CHASE 5.875% CAP XI DUE 6/15/33 CALLABLE 6/15/08 JPMK - HELD IN MARGIN Acquired 06/06/03 L Acquired 06/06/03 L Acquired 10/30/07 L		3,000 1,000 3,000	25.00 25.00 21.66	75,000.00 25,000.00 64,980.00		66,390.00 22,130.00 66,390.00	- 8,610.00 - 2,870.00 1,410.00		
Total	0.61	7,000		\$164,980.00	22.1300	\$154,910.00	- \$10,070.00	\$10,283.00	6.64
JP MORGAN CHASE 6.25% CAP XII DUE 10/15/33 CALLABLE 10/15/08 JPMX - HELD IN MARGIN Acquired 09/26/03 L	0.37	4,000	25.00	100,000.00	23.9300	95,720.00	- 4,280.00	6,252.00	6.53
JP MORGAN CHASE 8.625% NON-CUM PERPETUAL MAT CALLABLE START 09/01/13 JPM1 - HELD IN MARGIN Acquired 08/14/08 L	0.66	6,000	25.00	150,000.00	28.2400	169,440.00	19,440.00	12,937.80	7.63

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KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

WELLS
FARGO

ADVISORS

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
LEHMAN BROS HLDG 7 95% NON-CUM PERP PFD CALL STARTING 2/15/2013 LEHJQ - HELD IN MARGIN Acquired 02/05/08 L Acquired 02/05/08 L		10,000 5,000	25 00 25 00	250,000 00 125,000 00		800 00 400 00	- 249,200 00 - 124,600 00		
Total	0.00	15,000		\$375,000.00	0.0800	\$1,200.00	- \$373,800.00	N/A	N/A
MERRILL LYNCH 6 45% CAP TR DUE 12/15/2066 CALL STARTING 12/15/11 MERK - HELD IN MARGIN Acquired 12/07/06 L	0.30	4,000	25 00	100,000 00	19 1400	76,560 00	- 23,440 00	6,450 00	8.42
MERRILL LYNCH 6 45% PFD CAP TR II DUE 06/15/62 VARIABLE/CALLABLE MER M - HELD IN MARGIN Acquired 04/25/07 L Acquired 10/30/07 L		6,000 3,000	25 00 22 68	150,000 00 68,040 00		114,120 00 57,060 00	- 35,880 00 - 10,980 00		
Total	0.67	9,000		\$218,040.00	19.0200	\$171,180.00	- \$46,860.00	\$14,508.00	8.48
MERRILL LYNCH 7 375% PFD CAP TR III DUE 9/15/2062 CALL STARTING 9/15/2012 MER P - HELD IN MARGIN Acquired 08/15/07 L	0.34	4,000	25 00	100,000 00	21.7700	87,080 00	- 12,920 00	7,376.00	8.47
MORGAN STANLEY 6 60% TR PFD CAP VI DUE 2/1/46 CALL STARTING 2/1/11 MSJ - HELD IN MARGIN Acquired 01/19/06 L	0.35	4,000	25 00	100,000 00	22 4500	89,800 00	- 10,200 00	6,600 00	7.34
MORGAN STANLEY 6 45% PFD CAP TRUST VIII 4/15/2067 CALL STARTING 7/15/12 MSK - HELD IN MARGIN Acquired 04/19/07 L	0.67	8,000	25 00	200,000 00	21.4500	171,600 00	- 28,400 00	12,900.00	7.51
NATIONAL CITY CAP 6 625% DUE 11/15/2066 CALL STARTING 11/15/11 NCCA - HELD IN MARGIN Acquired 10/27/06 L	0.44	5,000	25 00	125,000 00	22 3220	111,610 00	- 13,390 00	8,280 00	7.41

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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PRUDENTIAL PLC 6.50% PERPETUAL EXCH CAP SEC CALL STARTING 9/23/10 PUK'A - HELD IN MARGIN Acquired 07/12/05 L	0.35	4,000	25.00	100,000.00	22.4500	89,800.00	- 10,200.00	6,500.00	7.23
RBS CAP FDG TR V 5.9% PFD GTD TR SECS RBS'E - HELD IN MARGIN Acquired 06/26/03 L Acquired 07/30/07 L Acquired 10/30/07 L		5,000 2,000 3,000	25.00 22.00 21.38	125,000.00 44,003.00 64,140.00		50,000.00 20,000.00 30,000.00	- 75,000.00 - 24,003.00 - 34,140.00		
Total	0.39	10,000		\$233,143.00	10.0000	\$100,000.00	- \$133,143.00	\$14,750.00	14.75
ROYAL BK SCOTLAND 5.75% SER L NON CUM PFD CALLABLE 9/30/09 RBS'L - HELD IN MARGIN Acquired 06/16/03 L	0.20	4,000	25.00	100,000.00	12.9600	51,840.00	- 48,160.00	5,752.00	11.09
USB CAPITAL 6.35% DUE 12/29/2065 CALL STARTING 12/29/10 USB'G - HELD IN MARGIN Acquired 12/21/05 L	0.36	4,000	25.00	100,000.00	23.1500	92,600.00	- 7,400.00	6,348.00	6.85
USB CAPITAL 6.50% DUE 04/12/2066 CALL STARTING 04/12/11 USB'I - HELD IN MARGIN Acquired 04/05/06 L	0.37	4,000	25.00	100,000.00	23.8699	95,479.60	- 4,520.40	6,500.00	6.80
WACHOVIA 6.375% PFD CAP TR IX DUE 6/1/2067 CALL STARTING 6/15/12 WBC - HELD IN MARGIN Acquired 05/01/07 L Acquired 07/30/07 L		8,000 2,000	25.00 23.16	200,000.00 46,320.00		176,080.00 44,020.00	- 23,920.00 - 2,300.00		
Total	0.86	10,000		\$246,320.00	22.0100	\$220,100.00	- \$26,220.00	\$15,940.00	7.24
WACHOVIA 7.85% PFD CUM CAP TR X 12/1/2067 CALL STARTING 12/15/2012 WB'D - HELD IN MARGIN Acquired 11/14/07 L	0.40	4,000	25.00	100,000.00	25.3700	101,480.00	1,480.00	7,850.00	7.73

Sheet 8.2
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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
WELLS FARGO & CO 8% PFD CALLABLE 12/15/17 SERIES J									
WFCJ - HELD IN MARGIN Acquired 12/18/07 L	0.80	8,000	25.00	200,000.00	25.7000	205,600.00	5,600.00	16,000.00	7.78
WELLS FARGO CAP XI 6 25% ENHANCED TRUPS 6/15/2067 CALL STARTING 6/15/12 FWF - HELD IN MARGIN									
Acquired 05/16/07 L		6,000	25.00	150,000.00		134,940.00	- 15,060.00		
Acquired 05/16/07 L		4,000	25.00	100,000.00		89,960.00	- 10,040.00		
Acquired 07/30/07 L		2,000	22.65	45,300.00		44,980.00	- 320.00		
Acquired 10/30/07 L		3,000	23.28	69,846.00		67,470.00	- 2,376.00		
Total	1.32	15,000		\$365,146.00	22.4900	\$337,350.00	- \$27,796.00	\$23,445.00	6.95
WELLS FARGO CAP 7 875% CAP XII DUE 3/15/68 CALL STARTING 3/15/2013 BWF - HELD IN MARGIN									
Acquired 03/05/08 L	0.80	8,000	25.00	200,000.00	25.6700	205,360.00	5,360.00	15,749.60	7.66
WESTAR ENERGY 6 10% FGIC INSURED DUE 5/15/2047 CALLABLE START 05/15/12 WRS - HELD IN MARGIN									
Acquired 05/11/07 L	0.78	8,000	25.00	200,000.00	25.0450	200,360.00	360.00	12,200.00	6.08
Total Preferreds/Fixed Rate Cap Securities	15.68			\$1,967,397.80		\$4,014,623.60	- \$952,774.20	\$316,421.80	7.88

Bank Deposit Sweep Allocation

Monies on deposit at each bank, together with any other deposits held in the same insurable capacity at each bank, are eligible for FDIC insurance up to \$250,000 per depositor, per bank in accordance with FDIC rules for a total of up to \$750,000 in FDIC insurance when deposited at multiple banks. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2 PM ET on the last business day of the month. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

DESCRIPTION	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	3,906,699.47	12/31
WELLS FARGO BANK SOUTH CENTRAL, N.A.	250,000.00	12/31
WELLS FARGO BANK NORTHWEST, N.A.	250,000.00	12/31

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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 6180-3558

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	18 97	18 97

Portfolio detail**Cash and Sweep Balances**

Bank Deposit Sweep - Consists of monies held in one or more FDIC-insured depository account(s) established with Wells Fargo Bank, N.A. Additional funds over \$250,000 are deposited at one or more additional banks affiliated with Wells Fargo Advisors. These assets are not covered by SIPC, but are instead covered by FDIC insurance in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP Interest Period 12/01/09 - 12/31/09	0.05	0.19	5,610.27	10.65
Total Cash and Sweep Balances	0.05		\$5,610.27	\$10.65

* APY measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable)

Stocks and Options**Stocks**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
ALCATEL-LUCENT ADR									
ALU									
Acquired 08/30/00 L		44	48540	172.52		147 69	- 7,523.83		
Acquired 09/19/00 L		214	62260	141.15		712 55	- 29,568.71		
Acquired 11/21/03 L		585	33450	15.68		1,943.30	- 7,232.54		
Acquired 12/08/05 L		975	55750	14.37		3,238.86	- 10,779.78		
Total	0.05	1,820			3.3200	\$6,042.40	- \$55,104.86	N/A	N/A
AMERICAN INTL GROUP INC									
AIG									
Acquired 10/25/04 L		200		1,112.00		5,996.00	- 216,614.00		
Acquired 11/21/07 L		25		1,034.20		749.50	- 25,130.50		
Acquired 01/22/08 L		100		1,003.00		2,998.00	- 97,395.00		
Acquired 11/11/08 L		325		42.60		9,743.50	- 4,165.85		
Total	0.18	650			29.9800	\$19,487.00	- \$343,305.35	N/A	N/A

Sum 8.3
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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

WELLS
FARGO

ADVISORS

Stocks and Options

Stocks continued

STOCKS CONTINUED

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AOL INC									
AOL		30	16128	74 45	2,245.11	702 15	- 1,542.96		
Acquired 12/19/00 L		6	03226	67 27	405 75	140 43	- 265.32		
Acquired 11/21/03 L		36	19355	26 34	953 35	842 59	- 110.76		
Acquired 11/16/04 L		114	61291	29 41	3,369.91	2,668 19	- 701.72		
Total	0.04	187		\$6,974.12	23.2800	\$4,353.36	-\$2,620.76	N/A	N/A
CITIGROUP INC									
C		1,000	42 32	42 360.00		3,310.00	- 39,050.00		
Acquired 10/22/07 L		2,010	42 25	85,018.98		6,653 10	- 78,365.88		
Acquired 10/22/08 L		5,000	23 90	119,650.00		16,550.00	- 103,100.00		
Acquired 08/12/09 S		9,600	3 94	37,858.56		31,776.00	- 6,082.56		
Acquired 08/12/09 S		400	3 93	1,577.08		1,324.00	- 253.08		
Total	0.54	18,010		\$286,464.62	3.3100	\$59,613.10	-\$226,851.52	N/A	N/A
DIAMONDS TRUST I									
UNIT SER 1		600	83 00	49,800.00		62,441.99	12,641.99		
DIA		600	82 54	49,526.40		62,442.00	12,915.60		
On Reinvestment		325	76 00	24,700.00		33,822.76	9,122.76		
Acquired 10/24/08 L		21	95.65	2,063.16		2,244.58	181.42		
Acquired 11/20/08 L									
Reinvestments S									
Total	1.45	1,546.56800		\$126,089.56	104.0700	\$160,951.33	\$34,861.77	\$4,342.76	2.70
E M C CORP MASS									
EMC		4,000	12 62	50,707.20		69,880.00	19,172.80	N/A	N/A
Acquired 05/30/06 L	0.63				17.4700				
FINANCIAL SELECT ET									
SECTOR SPDR		15,000	24 91	373,887.00		215,999.85	- 157,887.15		
XLF		5,000	20 30	121,584.00		72,000.00	- 49,584.00		
On Reinvestment		5,000	20 80	104,050.00		72,000.00	- 32,050.00		
Acquired 01/22/08 L		3,500	14 00	49,000.00		50,400.00	1,400.00		
Acquired 03/06/08 L		3,250	11 80	38,366.58		46,800.00	8,433.42		
Acquired 06/27/08 L		5,000	9 65	48,290.00		72,000.00	23,710.00		
Acquired 10/24/08 L		10,000	14 46	144,636.00		144,000.10	- 635.90		
Acquired 11/18/08 L									
Acquired 01/30/09 S									
Acquired 10/29/09 S									

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

Stocks and Options

Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		472,597.00	13.59	6,426.44	14.4000	6,805.44	379.00	\$9,869.52	1.45
Total	6.14	47,222.59700		\$886,240.02		\$680,005.39	- \$206,234.63		
GENERAL ELECTRIC COMPANY									
GE		1,300	19.11	24,843.00		19,669.00	- 5,174.00		
Acquired 10/22/08 L		1,000	17.87	17,878.00		15,130.00	- 2,748.00		
Acquired 11/11/08 L		2,300		\$42,721.00	15.1300	\$34,799.00	- \$7,922.00	\$920.00	2.64
Total	0.31	2,300		\$42,721.00		\$34,799.00	- \$7,922.00		
HEWLETT-PACKARD COMPANY									
HPQ		2,000	31.00	62,200.00		103,019.98	40,819.98		
Acquired 12/26/00 L		4,114.00	38.89	160.00		211.93	51.93		
Reinvestments S		2,004.11400		\$62,360.00	51.5100	\$103,231.91	\$40,871.91	\$641.31	0.62
Total	0.93	2,004.11400		\$62,360.00		\$103,231.91	\$40,871.91		
HOVNANIAN ENTERPRISES									
INC CL A		5,000	4.75	23,900.00	3.8400	19,200.00	- 4,700.00	N/A	N/A
HOV	0.17								
Acquired 07/07/08 L		5,000	4.75	23,900.00	3.8400	19,200.00	- 4,700.00	N/A	N/A
INTEL CORP									
INTC		1,000	23.38	23,430.00	20.4000	20,400.00	- 3,030.00	560.00	2.74
Acquired 12/30/04 L	0.18								
Reinvestments S		1,000	23.38	23,430.00	20.4000	20,400.00	- 3,030.00	560.00	2.74
INTERNATIONAL BUSINESS MACHINE CORP									
IBM		1,000	89.24	89,340.00	130.9000	130,900.00	41,560.00	2,200.00	1.68
Acquired 04/10/02 L	1.18								
Reinvestments S		1,000	89.24	89,340.00	130.9000	130,900.00	41,560.00	2,200.00	1.68
ISHARES FTSE/CHINA 25 INDEX FUND									
FXI		1,100	22.09	24,299.44		46,485.99	22,186.55		
Acquired 10/24/08 L		15,149.00	39.96	605.41		640.20	34.79		
Reinvestments S		1,115.14900		\$24,904.85	42.2600	\$47,126.19	\$22,221.34	\$495.12	1.05
Total	0.43	1,115.14900		\$24,904.85		\$47,126.19	\$22,221.34		
ISHARES MSCI BRAZIL INDEX									
EWZ		800	30.27	24,220.80		59,687.99	35,467.19		
Acquired 10/24/08 L		800	30.27	24,220.80		59,687.99	35,467.19		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

WELLS
FARGO

ADVISORS

Stocks and Options

Stocks continued

DESCRIPTION	REINVESTMENTS S	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Total	829.72600	0.56	829.72600	70.73	2,102.70	74.6100	\$61,905.85	\$35,582.35	\$184.19	0.30
ISHARES TR -RUSSELL 2000 INDEX FD IWM										
On Reinvestment			2,600	76.22	198,006.04		162,343.97	- 35,662.07		
Acquired 03/14/07 L			1,000	45.83	45,838.00		62,440.01	16,602.01		
Acquired 10/27/08 L			600	40.98	24,588.00		37,464.01	12,876.01		
Acquired 11/20/08 L			42	56.96	2,441.52		2,676.25	234.73		
Total	4,242.86100	2.39	4,242.86100		\$270,873.56	62.4400	\$264,924.24	- \$5,949.32	\$4,298.01	1.62
JPMORGAN CHASE & CO JPM										
Acquired 08/30/00 L			185	53.45	9,970.00		7,708.95	- 2,261.05		
Acquired 09/19/00 L			849	46.97	39,976.00		35,377.83	- 4,598.17		
Acquired 09/07/07 L			1,000	43.33	43,389.00		41,670.00	- 1,719.00		
Acquired 01/08/08 L			1,000	39.62	39,660.00		41,670.00	2,010.00		
Acquired 01/22/08 L			1,000	38.88	38,928.50		41,670.00	2,741.50		
Total	4,034	1.52	4,034		\$171,923.50	41.6700	\$168,096.78	- \$3,826.72	\$806.80	0.48
MERIDIAN INTERSTATE BANCORP										
EBSB			20,000	10.00	200,000.00	8.7000	174,000.00	- 26,000.00	N/A	N/A
MICROSOFT CORP MSFT										
Acquired 08/30/00 L			284	35.25	10,012.00		8,656.32	- 1,355.68		
Acquired 09/19/00 L			1,200	32.13	38,559.00		36,576.00	- 1,983.00		
Acquired 12/19/00 L			1,516	23.53	35,667.00		46,207.68	10,540.68		
Acquired 11/11/08 L			1,000	21.19	21,198.00		30,480.00	9,282.00		
Total	4,000	1.10	4,000		\$105,436.00	30.4800	\$121,920.00	\$16,484.00	\$2,080.00	1.71
NORTEL NETWORKS CORP NRTLQ										
Acquired 12/30/04 L			1,242	35.31	43,842.60	0.0230	28.56	- 43,814.04	N/A	N/A
PEPSICO INCORPORATED PEP										
Acquired 01/27/06 L			300	56.00	16,815.00		18,240.00	1,425.00		
Acquired 07/22/08 L			2,700	66.13	178,596.36		164,159.97	- 14,436.39		

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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 6180-3558

Stocks and Options

Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		24,488.00	55.12	1,350.00	60.8000	1,488.90	138.90		
Total	1.66	3,024.48800		\$196,761.36	60.8000	\$183,888.87	-\$12,872.49	\$5,444.07	2.96
POWERSHARES QQQ ET									
SERIES 1									
On Reinvestment									
Acquired 10/24/08 L		1,625	29.50	47,937.50		74,343.73	26,406.23		
Acquired 11/19/08 L		1,000	27.00	27,000.00		45,750.01	18,750.01		
Reinvestments S		9	43.20	426.57		451.74	25.17		
Total	1.09	2,634.87400		\$75,364.07	45.7500	\$120,545.48	\$45,181.41	\$816.81	0.68
PULTE HOMES INC									
PHM									
Acquired 10/19/07 L		4,000	13.73	55,083.20		40,000.00	-15,083.20		
Acquired 11/21/07 L		4,000	10.09	40,515.20		40,000.00	-515.20		
Acquired 07/11/08 L		1,600	8.42	13,520.00		16,000.00	2,480.00		
Acquired 07/15/08 L		2,400	8.42	20,280.00		24,000.00	3,720.00		
Total	1.08	12,000		\$129,398.40	10.0000	\$120,000.00	-\$9,398.40	N/A	N/A
S&P MIDCAP 400 ET									
INDEX SPDR TRUST									
SERIES 1									
MDY									
On Reinvestment									
Acquired 10/24/08 L		500	90.25	45,125.00		65,870.00	20,745.00		
Acquired 10/27/08 L		500	88.62	44,311.00		65,870.00	21,559.00		
Acquired 11/20/08 L		300	80.00	24,000.00		39,521.99	15,521.99		
Reinvestments S		8	118.01	1,019.20		1,137.71	118.51		
Total	1.56	1,308.63600		\$114,455.20	131.7400	\$172,399.70	\$57,944.50	\$2,555.76	1.48
SINOPEC SHANGHAI									
SPONS ADR									
SHI									
Acquired 10/25/07 L		100	81.25	8,130.00		3,910.00	-4,220.00		
Acquired 10/25/07 L		900	81.35	73,266.12		35,190.00	-38,076.12		
Acquired 01/22/08 L		1,000	43.06	43,100.00		39,100.00	-4,000.00		
Total	0.71	2,000		\$124,496.12	39.1000	\$78,200.00	-\$46,296.12	N/A	N/A
SPDR TR UNIT SER 1 ET									
SPY									
On Reinvestment									
Acquired 11/20/08 L		325	79.00	25,675.00		36,217.99	10,542.99		

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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 6180-3558

WELLS
FARGO

ADVISORS

Stocks and Options

Stocks continued

DESCRIPTION Reinvestments S	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.33	328.26900		\$26,009.48	111.4400	\$36,582.29	\$10,572.81	\$775.04	2.12
TIME WARNER CABLE									
TWC									
Acquired 12/19/00 L		83.54840	130.51	10,888.63		3,458.07	-7,430.56		
Acquired 12/26/00 L		16.70970	117.93	1,967.88		691.61	-1,276.27		
Acquired 11/21/03 L		100.25810	46.18	4,623.71		4,149.68	-474.03		
Acquired 11/16/04 L		317.48380	51.55	16,343.83		13,140.66	-3,203.17		
Total	0.19	518		\$33,824.05	41.3900	\$21,440.02	-\$12,384.03	N/A	N/A
TIME WARNER INC NEW									
TWX									
Acquired 12/19/00 L		333.22580	92.54	30,829.92		9,710.20	-21,119.72		
Acquired 12/26/00 L		66.64520	83.62	5,571.81		1,942.04	-3,629.77		
Acquired 11/21/03 L		399.87100	32.74	13,091.51		11,652.24	-1,439.27		
Acquired 11/16/04 L		1,266.25800	36.55	46,275.71		36,898.76	-9,376.95		
Total	0.54	2,066		\$95,768.95	29.1400	\$60,203.24	-\$35,565.71	\$1,549.50	2.57
TOLL BROTHERS									
TOL									
Acquired 10/19/07 L		2,500	20.60	51,600.00		47,025.00	-4,575.00		
Acquired 11/21/07 L		2,500	18.88	47,296.50		47,025.00	-271.50		
Total	0.85	5,000		\$98,896.50	18.8100	\$94,050.00	-\$4,846.50	N/A	N/A
ULTRA FINANCIAL ET									
PROSHRS									
NON-TRADITIONAL ETF									
UYG									
On Reinvestment		15,000	3.51	52,650.00		84,449.85	31,799.85		
Acquired 01/30/09 S		65.63500	4.77	313.59		369.67	56.08		
Total	0.77	15,065.63500		\$52,963.59	5.6300	\$84,819.52	\$31,855.93	\$105.45	0.12
UNITED TECHNOLOGIES CORP									
UTX									
Acquired 01/22/08 L	0.94	1,500	66.99	100,545.00	69.4100	104,115.00	3,570.00	2,310.00	2.21

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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

Stocks and Options

Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VODAFONE GROUP PLC SPONSORED ADR NEW VOD Acquired 12/19/00 L	0.18	875	42.61	37,288.00	23.0900	20,203.75	- 17,084.25	1,129.62	5.59
Total Stocks	29.28			\$3,951,240.86		\$3,243,312.98	-\$707,927.88	\$41,083.96	1.27

Options

Listed options are priced based on the closing "bid-ask" prices and the last reported trade

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CALL INTL BUSINESS MACHI \$130 EXP 01/16/10 IBMAF Acquired 12/01/09 S	-0.01	- 5	2.49	- 1,208.96	2.3800	- 1,190.00	18.96		
CALL MICROSOFT CORP \$30 EXP 01/16/10 MSQAF Acquired 12/03/09 S	-0.01	- 20	1.00	- 1,963.94	0.7800	- 1,560.00	403.94		
Total Options	-0.02			-\$3,172.90		-\$2,750.00	\$422.90		
Total Stocks and Options	29.26			\$3,948,067.96		\$3,240,562.98	-\$707,504.98		

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return if a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

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Stmnt

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

WELLS
FARGO

ADVISORS

Mutual Funds

Open End Mutual Funds

Open End Mutual Funds									
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CAPITAL INCOME BLDR									
CL A									
On Reinvestment									
Acquired 01/24/05 L		3,838	77200	52 10		183,838.75	- 16,161.25		
Acquired 06/22/05 L		0	00400	52 74		0 19	- 0 02		
Acquired 09/27/05 L		0	00400	53 94		0 19	- 0 02		
Acquired 12/23/05 L		0	00600	52 93		0 29	- 0 02		
Acquired 12/23/05 L		0	00200	52 93		0 10	0 00		
Acquired 12/27/05 L		0	00400	52 93		0 19	- 0 02		
Acquired 06/21/06 L		0	00400	54 31		0 19	- 0 02		
Acquired 09/21/06 L		0	00400	58 11		0 19	- 0 04		
Acquired 12/26/06 L		0	00900	60 76		0 43	- 0 11		
Acquired 12/27/06 L		0	00400	60 76		0 19	- 0 05		
Acquired 03/22/07 L		0	00400	61 41		0 19	- 0 05		
Acquired 09/25/07 L		0	00400	65 85		0 19	- 0 07		
Acquired 11/15/07 L		1,527	18400	65 48		73,136.84	- 26,863.16		
Acquired 12/26/07 L		0	00400	61 61		0 19	- 0 05		
Acquired 12/26/07 L		0	01900	61 61		0 91	- 0 26		
Acquired 12/27/07 L		0	00400	61 61		0 19	- 0 05		
Acquired 01/08/08 L		1,625	75200	61 51		77,857.28	- 22,142.72		
Acquired 06/23/08 L		0	00500	57 07		0 24	- 0 04		
Acquired 09/26/08 L		0	00500	52 28		0 24	- 0 02		
Acquired 12/29/08 L		0	00600	40 69		0 24	0 05		
Acquired 12/30/08 L		0	00700	40 69		0 34	0 06		
Acquired 03/23/09 S		0	00600	37 17		0 29	0 07		
Reinvestments L		1,001	77400	56 07		47,974.96	- 8,199.49		
Reinvestments S		368	52500	43.17		17,648.68	1,736.08		
Total	3.62	8,362.11200		\$472,092.74	47.8900	\$400,461.54	-\$71,631.20	\$14,968.18	3.74
Client Investment (Excluding Reinvestments)									
						\$400,005.69			
Gain/Loss on Client Investment (Including Reinvestments)						\$455.85			

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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	MARKET VALUE	CURRENT UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S	6.35	20,647.08900	28.62	17,828.49	34.0800	21,224.02	3,395.53	\$16,517.67	2.35
Total									
COLUMBIA ACORN SELECT									
FUND CLASS A									
LTFAX									
On Reinvestment		3,405.99500	29.36	100,000.00		77,690.71	-22,309.29		
Acquired 07/26/07 L		889.36300	28.11	25,000.00		20,286.37	-4,713.63		
Acquired 08/14/07 L		1,379.69100	27.18	37,500.00		31,470.75	-6,029.25		
Acquired 08/16/07 L		3,850.59700	25.97	100,000.00		87,832.12	-12,167.88		
Acquired 01/08/08 L		349.20400	26.52	9,262.31		7,965.37	-1,296.94		
Reinvestments L									
Total	2.03	9,874.85000		\$271,762.31	22.8100	\$225,245.32	-\$46,516.99	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$756,253.56			
						-\$52,600.77			
FIDELITY									
ADVISOR NEW INSIGHTS FD									
CLASS A									
On Reinvestment		5,668.93400	17.64	100,000.00		97,732.37	-2,267.63		
Acquired 01/17/06 L		5,790.38800	17.27	100,000.00		99,826.29	-1,737.71		
Acquired 01/20/06 L		5,750.43100	17.39	100,000.00		99,137.43	-862.57		
Acquired 02/23/06 L		5,443.65800	18.37	100,000.00		93,848.66	-6,151.34		
Acquired 12/29/06 L		7,820.64700	19.18	150,000.00		134,827.95	-15,172.05		
Acquired 01/22/08 L		4,873.29400	20.52	100,000.00		84,015.64	-15,984.36		
Acquired 06/03/08 L		745.06500	20.69	15,420.99		12,844.92	-2,576.07		
Reinvestments L		20.98400	17.19	360.92		361.77	0.85		
Total	5.62	36,113.40100		\$665,781.91	17.2400	\$622,595.03	-\$43,186.88	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$650,000.00			
						-\$27,404.97			
IVY FDS INC									
ASSET STRATEGY FD CL A									
WASAX									
On Reinvestment		3,668.37900	27.26	100,000.00		81,731.45	-18,268.55		
Acquired 06/03/08 L		3,657.64400	27.34	100,000.00		81,492.31	-18,507.69		
Acquired 06/18/08 L									

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

WELLS FARGO ADVISORS

Mutual Funds

Open End Mutual Funds continued

Open End Mutual Funds continued										
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT		UNREALIZED GAIN/LOSS	ESTIMATED	
						MARKET VALUE	ANNUAL INCOME		ANNUAL YIELD (%)	
Reinvestments L		1,010.69800	17.55	17,741.91		22,518.38		4,776.47		
Reinvestments S		34.53800	22.11	763.64		769.51		5.87		
Total	1.68	8,371.25900		\$218,505.55	22.2800	\$186,511.65		-\$31,993.90	\$770.15	0.41
Client Investment (Excluding Reinvestments)										
Gain/Loss on Client Investment (Including Reinvestments)										
					\$200,000.00					
					-\$13,488.35					
MUTUAL EUROPEAN FUND										
CL Z										
MEURX										
On Reinvestment										
Acquired 12/19/00 L		62,213.69100	15.20	945,648.10		1,268,537.16		322,889.06		
Acquired 12/21/00 L		11,270.32900	15.17	170,970.89		229,802.01		58,831.12		
Acquired 06/26/01 L		40.77300	15.86	646.66		831.36		184.70		
Acquired 06/26/01 L		194.59800	15.86	3,086.32		3,967.85		881.53		
Acquired 12/18/01 L		1,622.66200	14.12	22,911.99		33,086.08		10,174.09		
Reinvestments L		26,674.81000	22.42	598,230.07		543,899.33		-54,330.74		
Reinvestments S		4,146.10400	19.44	80,601.72		84,539.10		3,937.38		
Total	19.54	106,162.96700		\$1,822,095.75	20.3900	\$2,164,662.89		\$342,567.14	\$83,231.76	3.85
Client Investment (Excluding Reinvestments)										
Gain/Loss on Client Investment (Including Reinvestments)										
					\$1,143,263.96					
					\$1,021,398.93					

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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

Open End Mutual Funds continued									
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DAVIS FINANCIAL FUND									
CL A									
RPFGX									
On Reinvestment		6,375	92500	39 21		183,371.54	-66,628.46		
Acquired 01/04/08 L		3,888	02500	38 58		111,819.60	-38,180.40		
Acquired 01/08/08 L		2,748	00800	36 39		79,032.71	-20,967.29		
Acquired 06/03/08 L		10,992	03100	36 39		316,130.81	-83,869.19		
Acquired 06/03/08 L		2,944	47100	17 32		84,683.04	33,655.36		
Reinvestments L		33	13000	28 46		952.82	9.62		
Reinvestments S									
Total	7.01	26,981.59000			28.7600	\$775,990.52	-\$175,980.36	\$944.35	0.12
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
DAVIS NEW YORK VENTURE									
FUND CL A									
NYVTX									
On Reinvestment		2,890	17300	34 60		89,537.53	-10,462.47		
Acquired 01/17/06 L		2,931	69200	34 11		90,823.82	-9,176.18		
Acquired 01/20/06 L		2,900	23200	34 48		89,849.19	-10,150.81		
Acquired 02/23/06 L		2,596	05400	38 52		80,425.75	-19,574.25		
Acquired 12/29/06 L		1,309	58600	38 52		40,570.97	-9,429.03		
Acquired 01/09/07 L		5,265	92900	37 98		163,138.48	-36,861.52		
Acquired 01/11/08 L		485	10400	28 64		15,028.54	1,133.72		
Reinvestments L		126	45900	30 52		3,917.71	58.17		
Reinvestments S									
Total	5.18	18,505.22900			30.9800	\$573,291.99	-\$94,462.37	\$3,886.09	0.68
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
OPPENHEIMER DEVL MKTS									
MARKETS FUNDS CL A									
ODMAX									
On Reinvestment		2,617	80100	38 20		75,287.93	-24,712.07		
Acquired 01/17/06 L		2,636	43600	37 93		75,823.90	-24,176.10		
Acquired 01/20/06 L		5,207	76100	22 83		149,775.22	30,846.45		
Reinvestments L									

Spent 8.4
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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 6180-3558

WELLS
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ADVISORS

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		42 61400	28.53	1,215.79		1,225.59	9.80		
Total	2.73	10,504.61200		\$320,144.56	28.7600	\$302,112.64	- \$18,031.92	\$1,218.53	0.40
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
					\$200,000.00				
					\$102,112.64				
OPPENHEIMER INTL SMALL									
CO FD CL A									
OSMAX									
On Reinvestment									
Acquired 07/10/07 L		1,420 85800	35.19	50,000.00		27,848.80	- 22,151.20		
Acquired 08/16/07 L		897 02200	27.87	25,000.00		17,581.63	- 7,418.37		
Acquired 10/19/07 L		2,874 38900	34.79	100,000.00		56,338.02	- 43,661.98		
Acquired 11/15/07 L		2,975 30500	33.61	100,000.00		58,315.98	- 41,684.02		
Acquired 12/17/07 L		1,928 26800	25.93	50,000.00		37,794.05	- 12,205.95		
Acquired 01/04/08 L		1,884 65900	26.53	50,000.00		36,939.32	- 13,060.68		
Acquired 01/22/08 L		3,488 37200	21.50	75,000.00		68,372.09	- 6,627.91		
Acquired 08/12/08 L		2,941 17600	17.00	50,000.00		57,647.05	- 7,647.05		
Reinvestments L		1,772 56400	27.40	48,580.45		34,742.25	- 13,838.20		
Reinvestments S		748 95600	18.81	14,087.87		14,679.56	591.69		
Total	3.70	20,931.56900		\$562,668.32	19.6000	\$410,258.75	- \$152,409.57	\$14,610.23	3.56
Client Investment (Excluding Reinvestments)									
					\$500,000.00				
					-\$89,741.25				
Total Open End Mutual Funds	69.12			\$7,790,080.85		\$7,656,671.80	- \$133,409.05	\$150,485.34	1.97

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
THE INDIA FUND INC									
FN									
On Reinvestment									
Acquired 03/05/07 L		1,250	36.10	45,125.00		38,375.00	- 6,750.00		
Acquired 01/30/09 S		3,000	17.89	53,697.90		92,100.00	38,402.10		
Acquired 08/21/09 S		1,416	##	37,410.72		43,471.20	6,060.48		
Total	1.57	5,666		\$136,233.62	30.7000	\$173,946.20	\$37,712.58	\$1,473.16	0.85

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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6180-3558

Mutual Funds

Closed End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total Closed End Mutual Funds	1.57			\$136,233.62		\$173,946.20	\$37,712.58	\$1,473.16	0.85
Total Mutual Funds	70.69			\$7,926,314.47		\$7,830,618.00	-\$95,696.47	\$151,958.50	1.94

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor

Bank Deposit Sweep Allocation

Monies on deposit at each bank, together with any other deposits held in the same insurable capacity at each bank, are eligible for FDIC insurance up to \$250,000 per depositor, per bank in accordance with FDIC rules for a total of up to \$750,000 in FDIC insurance when deposited at multiple banks. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2 PM ET on the last business day of the month. If you have questions about your sweep option, including rates, please contact Your Financial Advisor

DESCRIPTION	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	5,609.51	12/31
Total Bank Deposits	\$5,609.51	

Activity detail by date

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			242.76
12/01	Cash	DIVIDEND		INTEL CORP 120109 1,000		140.00	
12/01	Cash	SALE	- 5.00000	CALL INTL BUSINESS MACHI \$130 EXP 01/16/10	2.4900	1,208.96	1,591.72
12/02	Cash	DIVIDEND		DAVIS FINANCIAL FUND CL A 120209 26,981.59000		943.20	
12/02	Cash	DIVIDEND		DAVIS NEW YORK VENTURE FUND CL A 120209 18,505.22900		3,859.54	
12/02	Cash	REINVEST DIV	33.13000	DAVIS FINANCIAL FUND CL A	28.4700	-943.20	

Stunt 8.4
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FORM 990PF, PART II - CORPORATE BONDS

<u>ATTACHMENT 9</u>	
<u>DESCRIPTION</u>	ENDING BOOK VALUE
SB I - CORPORATE	ENDING FMV
WF I -CORPORATE BONDS-STMT 9.1 (p. 2/2)	4,354,232.
TOTALS	4,354,232.
	4,204,098.
	4,204,098.

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 1594-0357

**WELLS
FARGO**

ADVISORS

Stocks and Options

Stocks

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CITIGROUP INC C - HELD IN MARGIN Acquired 01/18/08 L	0.57	43,846	3.42	149,999.52	3.3100	145,130.26	-4,869.26	N/A	N/A
Total Stocks	0.57			\$149,999.52		\$145,130.26	-\$4,869.26		
Total Stocks and Options	0.57			\$149,999.52		\$145,130.26	-\$4,869.26		

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PAINE WEBBER GROUP INC SR NOTES SERIES C CPN 6.640% DUE 04/14/10 DTD 04/14/98 FC 09/01/98 Moody AA3, S&P A+ CUSIP 69563AJQ5 Acquired 06/10/02 L	0.08	21,000	104.32	21,913.88	100.8580	21,180.18	-733.70	464.80	1,394.40	6.58
LEHMAN BROS HOLDINGS INC GLOBAL NOTES CPN 6.625% DUE 01/18/12 DTD 01/10/02 FC 07/18/02 Moody WR, S&P NR CUSIP 52517PSC6 Acquired 03/22/02 L	0.02	25,000	102.23	25,564.00	19.7500	4,937.50	-20,626.50	N/A	N/A	N/A

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KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED			
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)	
GENERAL ELEC CAP CORP											
STEP BOND NOTES											
CALLABLE											
CPN 5.250% DUE 04/15/13											
DTD 04/15/03 FC 05/15/03											
CALL 01/15/10 @ 100.000											
Moody AA2, S&P AA+											
CUSIP 36962GB45											
Acquired 01/03/05 L		55,000	99.92	54,957.65		54,295.45	-662.20				
Acquired 01/13/05 L		115,000	99.95	114,942.50		113,526.85	-1,415.65				
Acquired 02/01/05 L		125,000	99.96	124,950.00		123,398.75	-1,551.25				
Acquired 02/23/05 L		47,000	99.94	46,972.74		46,397.93	-574.81				
Acquired 05/31/05 L		10,000	100.15	10,015.00		9,871.90	-143.10				
Acquired 07/26/05 L		100,000	99.92	99,925.00		98,719.00	-1,206.00				
Acquired 08/04/05 L		25,000	100.08	25,020.50		24,679.75	-340.75				
Acquired 08/05/05 L		55,000	100.08	55,045.10		54,295.45	-749.65				
Acquired 08/08/05 L		20,000	100.08	20,016.40		19,743.80	-272.60				
Acquired 11/21/05 L		85,000	98.78	83,963.00		83,911.15	-51.85				
Acquired 01/19/06 L		20,000	98.80	19,760.00		19,743.80	-16.20				
Acquired 02/28/06 L		25,000	99.50	24,875.00		24,679.75	-195.25				
Acquired 03/07/06 L		20,000	99.11	19,822.00		19,743.80	-78.20				
Total	2.71	702,000		\$700,264.89	98.7190	\$693,007.38	-\$7,257.51	\$1,638.00	\$36,855.00	5.32	
NEXTTEL COMMUNICATIONS											
SERIES E											
CALLABLE											
CPN 6.875% DUE 10/31/13											
DTD 04/30/05 FC 10/31/05											
CALL 10/31/10 @ 100.859											
Moody BAA3, S&P BB											
CUSIP 65332VBH5											
Acquired 10/06/05 L	0.50	130,000	108.37	140,887.50	97.7500	127,075.00	-13,812.50	1,489.58	8,937.50	7.03	

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KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

WELLS FARGO ADVISORS

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GENERAL ELEC CAP CORP GLOBAL STEP CPN NOTES SEMI ANNUAL PAY - CALLABLE CPN 6.000% DUE 05/15/15 DTD 05/15/03 FC 11/15/03 CALL 05/15/10 @ 100.000 Moody A2 S&P AA+		100,000	100.00	100,000.00		97,976.00	-2,024.00			
CUSIP 36962GB86		110,000	100.36	110,403.70		107,773.60	-2,630.10			
Acquired 04/29/03 L		100,000	100.14	100,146.00		97,976.00	-2,170.00			
Acquired 10/21/04 L		155,000	100.08	155,124.00		151,862.80	-3,261.20			
Acquired 01/04/05 L		125,000	100.02	125,027.50		122,470.00	-2,557.50			
Acquired 01/13/05 L		59,000	99.78	58,871.97		57,805.84	-1,066.13			
Acquired 02/16/05 L		10,000	98.67	9,867.00		9,797.60	-69.40			
Acquired 05/11/05 L		15,000	98.53	14,779.50		14,696.40	-83.10			
Acquired 07/21/05 L		37,000	98.89	36,589.30		36,251.12	-338.18			
Acquired 10/17/06 L		46,000	99.27	45,664.20		45,068.96	-595.24			
Acquired 12/28/06 L		145,000	99.62	144,456.25		142,065.20	-2,391.05			
Acquired 02/21/07 L		12,000	100.00	12,000.72		11,757.12	-243.60			
Acquired 04/24/07 L										
Total	3.50	914,000		\$912,930.14	97.9760	\$895,500.64	-\$17,429.50	\$7,007.33	\$54,840.00	6.12
HSBC FINANCE CORP NOTES CPN 5.500% DUE 01/19/16 DTD 01/19/06 FC 07/19/06 Moody A3 S&P A CUSIP 40429CFN7										
Acquired 10/24/08 L	0.19	170,000	86.25	146,638.60	104.3300	177,361.00	30,722.40	4,207.50	9,350.00	5.27
			84.08	142,942.80						
LASALLE FUNDING LLC STEP CPN LASALLE NOTES CALLABLE SEMI ANNUAL PAY CPN 5.000% DUE 03/15/16 DTD 03/08/04 FC 09/15/04 CALL 03/15/10 @ 100.000 Moody A2 S&P A CUSIP 51803XEQ8		50,000	100.00	50,000.00		45,106.50	-4,893.50			
Acquired 02/04/05 L										

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME YIELD (%)
Acquired 02/22/05 L		30,000	99.97	29,991.00		27,063.90	-2,927.10		
Total	0.28	80,000		\$79,991.00	90.2130	\$72,170.40	-\$7,820.60	\$1,177.78	\$4,000.00 5.54
BEAR STEARNS CO INC									
INCOMENOTES									
CALLABLE SEMI-ANNUAL PAY									
CPN 7.400% DUE 02/15/18									
DTD 02/22/08 FC 08/15/08									
CALL 02/15/10 @ 100.000									
Moody AA3, S&P A+									
CUSIP 07387EHV2									
Acquired 02/19/08 L	0.98	250,000	100.00	250,000.00	100.3000	250,750.00	750.00	6,988.89	18,500.00 7.37
GENERAL ELEC CAP CORP									
STEP CPN GLOBAL NOTES									
SEMI ANNUAL PAY - CALLABLE									
CPN 7.000% DUE 05/09/18									
DTD 05/09/03 FC 11/09/03									
CALL 05/09/10 @ 100.000									
Moody AA2, S&P AA+									
CUSIP 36962GB60									
Acquired 01/04/05 L		20,000	98.75	19,751.80		19,932.60	180.80		
Acquired 01/26/05 L		10,000	99.25	9,925.80		9,966.30	40.50		
Acquired 03/15/05 L		41,000	98.42	40,352.20		40,861.83	509.63		
Acquired 04/11/05 L		20,000	98.19	19,638.00		19,932.60	294.60		
Acquired 08/04/05 L		4,000	98.66	3,946.40		3,966.52	40.12		
Acquired 08/05/05 L		15,000	98.62	14,793.00		14,949.45	156.45		
Acquired 09/23/05 L		10,000	98.94	9,894.00		9,966.30	72.30		
Total	0.47	120,000		\$118,301.20	99.6630	\$119,595.60	\$1,294.40	\$1,213.33	\$8,400.00 7.02
GENERAL ELEC CAP CORP									
MEDIUM TERM NOTES SER A									
CALLABLE									
CPN 6.350% DUE 05/12/18									
DTD 05/12/06 FC 11/12/06									
CALL 05/12/10 @ 100.000									
Moody AA2, S&P AA+									
CUSIP 36962GW91									
Acquired 05/04/06 L	0.38	100,000	100.00	100,000.00	98.1520	98,152.00	-1,848.00	864.31	6,350.00 6.46

KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

WELLS
FARGO

ADVISORS

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GENERAL ELEC CAP CORP STEP CPN BOND MONTHLY PAY - CALLABLE CPN 5.500% DUE 12/18/18 DTD 12/18/03 FC 01/18/04 CALL 01/18/10 @ 100.000 Moody AA2, S&P AA+ CUSIP 36962GE67 Acquired 10/14/04 L Acquired 11/03/04 L		51,000 125,000	101.50 100.93	51,768.06 126,166.25		49,491.42 121,302.50	-2,276.64 -4,863.75			
Total	0.67	176,000		\$177,934.31	97.0420	\$170,793.92	-\$7,140.39	\$349.56	\$9,680.00	5.67
GENERAL ELEC CAP CORP STEP UP NOTES CALLABLE CPN 5.000% DUE 02/13/19 DTD 02/13/04 FC 08/13/04 CALL 02/13/10 @ 100.000 Moody AA2, S&P AA+ CUSIP 36962GF74 Acquired 10/25/04 L Acquired 01/07/05 L Acquired 01/18/05 L Acquired 01/18/05 L Acquired 01/28/05 L		100,000 100,000 25,000 65,000 36,000	100.99 100.40 100.00 100.40 100.39	100,996.00 100,437.00 25,001.75 65,264.55 36,142.92		99,665.00 99,665.00 24,916.25 64,782.25 35,879.40	-1,331.00 -772.00 -85.50 -482.30 -263.52			
Total	1.27	326,000		\$327,842.22	99.6650	\$324,907.90	-\$2,934.32	\$6,248.33	\$16,300.00	5.02
CHES & POT TEL MD DEB CALLABLE 5/01/13 @ 100 CPN 7.150% DUE 05/01/23 DTD 05/01/93 FC 11/01/93 CALL 05/01/13 @ 100.000 Moody BAA1, S&P A CUSIP 165069AS4 Acquired 01/06/06 L Acquired 01/09/06 L		100,000 30,000	105.25 105.25	105,250.00 31,575.00		100,045.00 30,013.50	-5,205.00 -1,561.50			
Total	0.51	130,000		\$136,825.00	100.0450	\$130,058.50	-\$6,766.50	\$1,549.17	\$9,295.00	7.15

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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
LEHMAN BROTHERS HOLDINGS										
LEHMAN NOTES										
MONTHLY PAY - CALLABLE										
CPN 6 100% DUE 08/12/23										
DTD 08/12/03 FC 09/12/03										
CALL 10/12/09 @ 100 000										
Moody WR , S&P NR										
CUSIP 52519FBN0										
Acquired 11/23/04 L	0.03	50,000	103.52	51,760.50	16.0000	8,000.00	- 43,760.50	N/A	N/A	N/A
GENERAL ELEC CAP CORP										
STEP CPN MED TERM NOTES										
SERIES A/CALLABLE										
CPN 5 500% DUE 04/30/24										
DTD 04/30/04 FC 10/30/04										
CALL 04/30/10 @ 100 000										
Moody AA2 , S&P AA+										
CUSIP 36962GH98										
Acquired 12/07/04 L		50,000	101.00	50,505.00		48,082.50	- 2,422.50			
Acquired 12/31/04 L		10,000	100.88	10,088.20		9,616.50	- 471.70			
Acquired 04/12/05 L		43,000	98.50	42,355.00		41,350.95	- 1,004.05			
Acquired 06/13/05 L		18,000	100.00	18,000.00		17,309.70	- 690.30			
Acquired 08/09/05 L		54,000	99.25	53,595.00		51,929.10	- 1,665.90			
Acquired 02/28/06 L		25,000	96.00	24,000.00		24,041.25	41.25			
Acquired 04/09/07 L		50,000	97.00	48,500.00		48,082.50	- 417.50			
Total	0.94	250,000		\$247,043.20	96.1650	\$240,412.50	- \$6,630.70	\$2,291.67	\$13,750.00	5.72
GENERAL ELEC CAP CORP										
STEP COUPON MEDIUM TERM										
NOTES SERIES A CALLABLE										
CPN 5 250% DUE 11/05/24										
DTD 11/05/04 FC 05/05/05										
CALL 05/05/10 @ 100.000										
Moody AA2 , S&P AA+										
CUSIP 36962GL93										
Acquired 01/24/05 L		50,000	98.31	49,159.50		47,213.50	- 1,946.00			
Acquired 02/15/07 L		25,000	95.05	23,762.50		23,606.75	- 155.75			
Total	0.28	75,000		\$72,922.00	94.4270	\$70,820.25	- \$2,101.75	\$612.50	\$3,937.50	5.56

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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

**WELLS
FARGO**

ADVISORS

**Fixed Income Securities
Corporate Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
REPUBLIC NY CAPITAL I										
NOTES										
CALLABLE										
CPN 7.750% DUE 11/15/26										
DTD 05/15/97 FC 11/15/97										
CALL 11/15/10 @ 102.200										
Moody A2, S&P A-										
CUSIP 760715AB8										
Acquired 02/21/07 L	0.88	240,000	103.79	249,100.80	93.9220	225,412.80	- 23,688.00	2,376.67	18,600.00	8.25
BANK OF AMERICA										
INTERNOTES										
CALLABLE/SEMI-ANNUAL PAY										
CPN 6.750% DUE 05/15/27										
DTD 05/16/02 FC 11/15/02										
CALL 05/15/10 @ 100.000										
Moody A3, S&P A-										
CUSIP 06050XFS7										
Acquired 08/12/03 L	0.14	35,000	103.35	36,173.20	100.1010	35,035.35	- 1,137.85	301.88	2,362.50	6.74
SLM CORP										
EDNOTES										
MONTHLY PAY - CALLABLE										
CPN 6.000% DUE 12/15/28										
DTD 09/11/03 FC 10/15/03										
Moody BA1, S&P BBB-										
CUSIP 78490FFY0										
Acquired 03/17/04 L	0.09	40,000	102.03	40,814.00	58.2670	23,306.80	- 17,507.20	106.67	2,400.00	10.29
BEAR STEARNS CO INC										
INCOME NOTES										
SEMI-ANNUAL PAY CALLABLE										
CPN 6.260% DUE 06/15/29										
DTD 06/04/04 FC 12/15/04										
CALL 01/15/10 @ 100.000										
Moody AA3, S&P A+										
CUSIP 07387EFJ1										
Acquired 09/22/04 L	0.29	75,000	104.88	78,661.50	99.9960	74,997.00	- 3,664.50	208.67	4,695.00	6.26

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**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GENERAL ELEC CAP CORP MEDIUM TERM NOTES CPN 6.750% DUE 03/15/32 DTD 03/20/02 FC 09/15/02 Moody A2, S&P AA+ CUSIP 36962GXZ2 Acquired 08/15/08 L	0.50	125,000	104.27	130,337.50	102.3850	127,981.25	-2,356.25	2,484.38	8,437.50	6.59
PACIFIC BELL DEBENTURE EXTRAORDINARY CALL CPN 6.625% DUE 10/15/34 DTD 10/15/93 FC 04/15/94 CALL 10/15/13 @ 101.120 Moody A2, S&P A CUSIP 694032AX1 Acquired 02/09/06 L	0.50	125,000	102.25	127,812.50	101.3920	126,740.00	-1,072.50	1,748.26	8,281.25	6.53
GENERAL ELEC CAP CORP SENIOR NOTES CPN 5.875% DUE 01/14/38 DTD 01/14/08 FC 07/14/08 Moody A2, S&P AA+ CUSIP 36962G3P7 Acquired 08/22/08 L	0.73	200,000	92.10	184,210.00	92.9510	185,902.00	1,692.00	5,450.69	11,750.00	6.32
Total Corporate Bonds	16.42	4,359,000		\$4,357,927.94 \$4,354,232.14		\$4,204,097.97	- \$153,829.97	\$48,779.97	\$258,115.65	6.14

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Sheet 9.1

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ATTACHMENT 10</u>	
	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
LIPPER CONVERTIBLES	16,522.	16,522.
LONGVIEW FUND	317,848.	317,848.
TOTALS	<u>334,370.</u>	<u>334,370.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 11

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DIVIDENDS RECEIVABLE	1,544.	1,544.
TOTALS	<u>1,544.</u>	<u>1,544.</u>

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
SARAH DINA KLEIN IRREVOCABLE TRUST 614 AVENUE J BROOKLYN, NY 11230		200,000.
TOTAL CONTRIBUTION AMOUNTS		<u>200,000.</u>

KLEIN FAMILY FOUNDATION

13-4092608

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ABRAHAM KLEIN 614 AVENUE J BROOKLYN, NY 11230	TRUSTEE	0.	0.	0.
SARA DINAH KLEIN 614 AVENUE J BROOKLYN, NY 11230	TRUSTEE	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FRIENDS OF MOSDOT GOOR 1310 48TH STREET BROOKLYN, NY 11219	NONE TAX EXEMPT		CHARITY	239,960
KUPATH RANBAHN KOLEL POLEN 1349 49TH STREET BROOKLYN, NY 11219	NONE TAX EXEMPT		CHARITY	51,500
BAIS HOROAH HAYASHAR VHATOV 1 KAV YENAKI STREET 94392 JERUSALEM ISRAEL	NONE TAX EXEMPT		CHARITY	135,500
AFULA EDUCATIONAL CENTER 1274 49TH STREET BROOKLYN, NY 11219	NONE TAX EXEMPT		CHARITY	500
ALEXANDER INSTITUTIONS - ALEXANDER MOSDOS 13 RECHOV ADMOREI ALEXANDER, POB 314 BNEI BRAK ISRAEL	NONE TAX EXEMPT		CHARITY	25,000.
AMERICAN FRIENDS OF TIEFERES MOSHE BEZALEL 1368 44TH STREET BROOKLYN, NY 11219	NONE TAX EXEMPT		CHARITY	73,500

ATTACHMENT 14

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
AMERICAN FRIENDS OF CONGREGATION LETZIRIM 4420 18TH AVENUE BROOKLYN, NY 11204	NONE TAX EXEMPT		CHARITY	70,000.
AMERICAN FRIENDS OF MOSDOS BET SHEMESH 36 CHAZON ISH STREET BET SHEMESH ISRAEL	NONE EXEMPT		CHARITY	2,500
AMERICAN FRIENDS OF ZIR CHEMED P O BOX 514 WEST HEMPSTEAD, NY 11552	NONE EXEMPT		CHARITY	500
ARI SABICHABURA 5 RECHOV YEHUDA HAMACABI JERUSALEM ISRAEL	NONE EXEMPT		CHARITY	10,000.
BAIS AHARON 4609 16TH AVENUE BROOKLYN, NY 11204	NONE EXEMPT		CHARITY	180
BAIS HAMEDRASH AND MESIVTA OF BALTIMORE 6823 OLD PIMLICO ROAD BALTIMORE, MD 21209	NONE EXEMPT		CHARITY	720

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
BAIS HATOVSIL RECHOV ACHIEZER BNEI BRAK ISRAEL	NONE EXEMPT		CHARITY	1,000
BAIS YAAKOV D'RAV HIRSH 235 NORTH MAIN STREET SPRING VALLEY, NY 10977	NONE EXEMPT		CHARITY	5,000.
GALEAD INSTITUTE RECHOV AMOS 4 BNEI BRAK ISRAEL	NONE EXEMPT		CHARITY	16,500
KOLLEL L'AVREICHIM METZUYANIM D'CHASIDEI GUR RECHOV RALBACH JERUSALEM ISRAEL	NONE		CHARITY	32,650
BETH MEDRASH GOVOHA 617 SIXTH STREET LAKEWOOD, NJ 08701	NONE EXEMPT		CHARITY	1,800
YESHIVA GEVOHA D'CHASEDAI GUR 1801 51ST STREET BROOKLYN, NY 11204	NONE EXEMPT		CHARITY	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
BETH TALMUD LEHORO DECHASSIDE GUR BNEI-BRAK 15 MELTZER ST, P O BOX 52 51100 BNEI BRAK ISRAEL	NONE	EXEMPT	CHARITY	3,000
BINA EZRAS CHOLIM INC 1002 QUENTIN ROAD, SUITE 3002 BROOKLYN, NY 11223	NONE	EXEMPT	CHARITY	5,000.
AGUDATH ISRAEL OF AMERICA 42 BROADWAY, 14TH FLOOR NEW YORK, NY 10004	NONE	EXEMPT	CHARITY	7,500.
CHAI LIFELINE 151 WEST 30TH STREET NEW YORK, NY 10001	NONE	EXEMPT	CHARITABLE	1,000
CONGREGATION BIRCHAS SHIMON 1513 41ST STREET BROOKLYN, NY 11218	NONE	EXEMPT	CHARITABLE	5,000
CONGREGATION MEORH YISROEL 1821 48TH STREET BROOKLYN, NY 11219	NONE	EXEMPT	CHARITABLE	1,800

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
CONGREGATION PNEI MENACHEM 4533 18TH STREET BROOKLYN, NY 11204	NONE EXEMPT		CHARITABLE	10,000
CHAYIM V'CHESED INC 169 HEWES STREET, #1D BROOKLYN, NY 11211	NONE EXEMPT		CHARITABLE	1,800.
CONGREGATION ZICHRON MEIR TZVI 1415 59TH STREET BROOKLYN, NY 11219	NONE EXEMPT		CHARITABLE	2,800
CHUMASH SORASI BAMIDINOS 1157 EAST 9TH STREET BROOKLYN, NY 11230	NONE EXEMPT		CHARITABLE	500
EZRAS YISROEL 1423 44TH STREET BROOKLYN, NY 11219	NONE EXEMPT		CHARITABLE	180.
CONGREGATION AGUDAS SHOMREI HADAS 98 RUPERT AVENUE STATEN ISLAND, NY 10314	NONE EXEMPT		CHARITABLE	500

FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
HEICHAL MORDECHAI TZVI RECHOV HAZIVONI 19, POB 11352 ASHDOD ISRAEL	NONE EXEMPT		CHARITABLE	3,000
KEHILAS SANZ RECHOV GURLITZ 8 KIRYAT SANZ, NETANYA ISRAEL	NONE EXEMPT		CHARITABLE	500.
KEREN HATZOLAS DOROS 5014 16TH AVENUE BROOKLYN, NY 11204	NONE EXEMPT		CHARITABLE	1,800.
KEREN ZICHRON MORDECHAI	NONE EXEMPT		CHARITABLE	12,000
KHAL TORATH CHAIM 5228 NEW UTRECHT AVENUE BROOKLYN, NY 11219	NONE EXEMPT		CHARITABLE	360
KOLLEL AVREICHIM D'CHASSIDAI GUR 1573 51ST STREET BROOKLYN, NY 11219	NONE EXEMPT		CHARITABLE	17,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
KOLLEL BEIT VARDA RECHOV ALEXAROV 6 JERUSALEM ISRAEL	NONE EXEMPT		CHARITABLE	1,500
KUPAH PNEI MENACHEM 4533 18TH AVENUE BROOKLYN, NY 11204	NONE EXEMPT		CHARITABLE	250
KUPAS BORO PARK 5314 16TH AVENUE, SUITE 208 BROOKLYN, NY 11204	NONE EXEMPT		CHARITABLE	2,500
KUPAS EZRA KEREN EZRIEL YECHIEL 645 BEDFORD AVENUE BROOKLYN, NY 11211	NONE EXEMPT		CHARITABLE	180
L'NEFESH TIDRISHENU	NONE EXEMPT		CHARITABLE	500
MACHON ACHIYA BEN YAAKOV 25 51102 BNEI BRAK ISRAEL	NONE EXEMPT		CHARITABLE	1,800

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
MAOR HAUMA	NONE EXEMPT		CHARITABLE	1,800
MEOR HERITAGE FOUNDATION - RETREATS 199 AYCRIGG AVENUE PASSAIC, NJ 07055	NONE EXEMPT		CHARITABLE	4,000
MERCAZ CHINUCH 5014 16TH AVENUE, #279 BROOKLYN, NY 11204	NONE EXEMPT		CHARITABLE	500.
MESIVTA BAIS YISROEL 5407 16TH AVENUE BROOKLYN, NY 11204	NONE EXEMPT		CHARITABLE	37,500
MOKIR SHABBOS 1345 46TH STREET BROOKLYN, NY 11219	NONE EXEMPT		CHARITABLE	3,000
MERCOZ HATORAH VCHESED NEIZER RACHEL RECHOV PANIM MEIRIM 17 BEITAR EILIT ISRAEL	NONE EXEMPT		CHARITABLE	500.

FORM 990PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
MOSDOS LEV SIMCHA ASHDOD 3 RECHOV ADMOR M'GUR ASHDOD ISRAEL	NONE EXEMPT		CHARITABLE	2,500
MOSDOS PNEI YITZCHOA 29 RECHOV HARODEM ASHDOD ISRAEL	NONE EXEMPT		CHARITABLE	2,500.
TALMUD TORAH CHASEDAI GUR RECHOV YEIRMEIYAH JERUSALEM ISRAEL	NONE EXEMPT		CHARITABLE	5,000
NOVOMINSKER YESHIVA 1569 47TH STREET BROOKLYN, NY 11219	NONE EXEMPT		CHARITABLE	10,000
VHALACHTA B'DRACHAV 23 RECHOV MELTZER BNEI BRAK ISRAEL	NONE EXEMPT		CHARITABLE	3,500
OHEL ROCHEL LEAH 508 AVENUE N BROOKLYN, NY 11230	NONE EXEMPT		CHARITABLE	1,000

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PELEH YOETZ 22 RECHOV EZRA JERUSALEM ISRAEL	NONE EXEMPT	CHARITABLE	7,200
REFUAH V'CHESED 5220 NEW UTRICHT AVENUE, SUITE A1 BROOKLYN, NY 11219	NONE EXEMPT	CHARITABLE	5,000.
SHAAR HASHOMAIM 71 RASHI ST , P O B 193 91001 JERUSALEM ISRAEL	NONE EXEMPT	CHARITABLE	2,000
TALMUD TORAH KOL MENACHIM	NONE EXEMPT	CHARITABLE	1,500
YACHAD RECHOV MOSEH LEVI 14/52 ASHDOD ISRAEL	NONE EXEMPT	CHARITABLE	1,000.
YESHIVA AND MESIFTA WISNITZ OF USA 4 DAHL COURT BROOKLYN, NY 11204	NONE EXEMPT	CHARITABLE	180

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
YESHIVA GEVOAH	NONE EXEMPT		CHARITABLE	10,000
YESHIVAS MAOR YISROEL 34 MELTZER STREET BNEI BRAK ISRAEL	NONE EXEMPT		CHARITABLE	5,000.
YESHIVA TIFERES NAFTOLI 3059 ENGLISHTOWN ROAD JAMESBURG, NJ 08831	NONE EXEMPT		CHARITABLE	500.
YESHIVA TORAH TEMIMAH 555 OCEAN PARKWAY BROOKLYN, NY 11218	NONE EXEMPT		CHARITABLE	9,000
YESHIVA CHASEDAI GUR HAIFA	NONE EXEMPT		CHARITABLE	11,800.
YESHIVA SFAS EMES	NONE EXEMPT		CHARITABLE	5,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
YESHIVA TORAH VODARATH AND MESIVTA 425 EAST 9TH STREET BROOKLYN, NY 11218	NONE EXEMPT	CHARITABLE	7,500
MIFAL CHESED, INC 18 RECHOV AVNEI NEZER BNEI BRAK ISRAEL	NONE EXEMPT	CHARITABLE	1,000.
			TOTAL CONTRIBUTIONS PAID
			896,260.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>ATTACHMENT 15</u>	
				<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
BOOK/TAX DIFFERENCES				6,814.	
NONDIVIDEND DISTRIBUTIONS				450.	
MISCELLANEOUS INCOME				200.	
TOTALS				<u>7,464.</u>	

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	252,806.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►	5	252,806.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	187,378.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	3,164.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ►	12	190,542.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.

		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		252,806.
14	Net long-term gain or (loss):			
a	Total for year	14a		190,542.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		3,164.
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		443,348.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000	16	()
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Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23.	25		
26	Subtract line 25 from line 24.	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)	30		
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36).	34		

Schedule D (Form 1041) 2009

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2009

► See instructions for Schedule D (Form 1041).

► **Attach to Schedule D** to list additional transactions for lines 1a and 6a.

Name of estate or trust

KLEIN FAMILY FOUNDATION

Employer identification number

13-4092608

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	252,806.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000010	20,000	CHICAGO ILL SINGLE FAMILY MTG REV AMT-COLL-SER A AMT FNMA B/E DD 2/14/07 FC 6/1/07 DUE 06/01/2043 RATE 5.500	11/24/08	06/01/09	\$ 20,000.00	\$ 17,690.00 \$ 17,690.00	\$ 2,310.00 \$ 2,310.00	\$ 2,310.00 \$ 0.00
125000030	5,000	FLORIDA HFC HOMEOWNER MTG REV SER 4 -AMT-BOOK/ENTRY DD 8/17/06 F/C 1/1/07 DUE 07/01/2037 RATE 5.150	10/30/08	01/02/09	5,000.00	3,837.00 3,837.00	1,163.00 ✓ 1,163.00	1,163.00 0.00
125000040	90,000	FLORIDA HFC HOMEOWNER MTG REV SER 4 -AMT-BOOK/ENTRY DD 8/17/06 F/C 1/1/07 DUE 07/01/2037 RATE 5.150	10/30/08	04/01/09	90,000.00	69,066.00 69,066.00	20,934.00 ✓ 20,934.00	20,934.00 0.00
125000060	200,000	KRAFT FOODS INC DTD 12/12/07 DUE 02/01/2018 RATE 6.125 YTM 6.161	10/27/08	02/23/09	199,500.00	174,684.00 174,684.00	24,816.00 ✓ 24,816.00	620.00 24,196.00
125000070	5,000	MISSISSIPPI HOME CORP SINGLE FAMILY REV SER B-2 GNMA/FNMA AMT B/E DD 6/25/02 DUE 12/01/2033 RATE 6.450	12/18/08	03/02/09	5,000.00	4,868.75 4,868.75	131.25 ✓ 131.25	0.00 131.25
125000080	5,000	MISSISSIPPI HOME CORP SINGLE FAMILY REV SER B-2 GNMA/FNMA AMT B/E DD 6/25/02 DUE 12/01/2033 RATE 6.450	12/18/08	04/01/09	5,000.00	4,868.75 4,868.75	131.25 ✓ 131.25	0.00 131.25
125000090	5,000	MISSISSIPPI HOME CORP SINGLE FAMILY REV SER B-2 GNMA/FNMA AMT B/E DD 6/25/02 DUE 12/01/2033 RATE 6.450	12/18/08	06/01/09	5,000.00	4,868.75 4,868.75	131.25 ✓ 131.25	0.00 131.25
125000100	10,000	NEW YORK ST MTG AGY HOMEOWNER MTG REV SER 101-AMT B/E DD 6/1/02 F/C 10/1/02 DUE 04/01/2032 RATE 5.400	01/30/09	04/01/09	10,000.00	9,218.30 9,218.30	781.70 ✓ 781.70	781.70 0.00



Morgan Stanley
Smith Barney

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Private Wealth Management Statement

2009 Year End Summary

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KLEIN FAMILY FOUNDATION

Account Number 415-19054-13 510

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000110	1,488	PHOENIX ARIZ & PIMA CNTY ARIZ IDA S/F MTG REV SR 2007-1 AMT GNMA/FNMA B/E D3/20/07 DUE 08/01/2038 RATE 5.250	02/26/09	04/01/09	\$ 1,488.00	\$ 1,245.35 \$ 1,245.35	\$ 242.65 \$ 242.65	\$ 242.65 \$ 0.00
125000120	1,552	PHOENIX ARIZ & PIMA CNTY ARIZ IDA S/F MTG REV SR 2007-1 AMT GNMA/FNMA B/E D3/20/07 DUE 08/01/2038 RATE 5.250	02/26/09	06/01/09	1,552.00	1,298.92 1,298.92	253.08 253.08	253.08 0.00
Total					\$ 342,540.00	\$ 291,645.82 \$ 281,645.82	\$ 50,894.18 \$ 50,894.18	\$ 26,304.43 \$ 24,589.75

Based on information supplied by Client or other financial institution, not verified by us.

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000020	100,000	FEDERAL NATL MTG ASSN MEDIUM TERM STEP UP NOTES BK/ENTRY DTD 11/19/2004 DUE 11/19/2019 RATE 5.000	11/08/04	04/02/09	\$ 100,000.00	\$ 100,000.00 \$ 100,000.00	\$ 0.00 \$ 0.00	\$ 0.00 \$ 0.00
125000050	50,000	INTL LEASE FINANCE CORP DTD 3/8/02 DUE 03/15/2009 RATE 6.375	08/19/02	03/16/09	50,000.00	51,560.50 50,000.00	(1,560.50) 0.00	0.00 0.00
125000130	.2463	WESTERN ASSET HIGH INCOME OPPORTUNITY FD INC LIQUIDATION OF FRACTIONAL SHS	12/19/00	04/27/09	1.06	2.05 2.05	(.99) (.98)	0.00 0.00
Total					\$ 150,001.06	\$ 151,562.55 \$ 150,002.05	(\$ 1,561.49) (\$.98)	\$ 0.00 \$ 0.00

Based on information supplied by Client or other financial institution, not verified by us.

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2009 YEAR END SUMMARY

Realized Gain/Loss

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Amended Date: 2/19/10

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Realized Gain/Loss Detail for Year

Short Term	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	CA RURAL HOME MTG FIN AUTH REV MTG BKD SECS PG SR D AMT GNMA/FNMA/FHLMC CPN 5.750% DUE 02/01/46 DTD 06/19/07 FC 02/01/08 PUT 06/23/32 @ 100.000	1,485,000.0000	99.4500	02/19/09	09/30/09	1,511,730.00	1,476,832.50	34,897.50
	CALIFORNIA HM MTG FIN ATH HMBYRS FD SF REV AMT BKD SECS PG SR E FHLMC CPN 6.000% DUE 02/01/49 DTD 07/27/07 FC 02/01/08 CALL 02/01/17 @ 105.000	500,000.0000	92.2500	01/07/09	09/23/09	509,500.00	461,250.00	48,250.00
	CALIFORNIA ST VAR PURP G/O UNLTD B/E CPN 6.000% DUE 04/01/35 DTD 04/01/09 FC 10/01/09 CALL 04/01/19 @ 100.000	750,000.0000	100.0000	03/25/09	06/15/09	752,437.50	750,000.00	2,437.50
	CALIFORNIA ST VAR PURP 4 G/O BIE TXBL CPN 7.500% DUE 04/01/34 DTD 04/28/09 FC 10/01/09	200,000.0000 600,000.0000	95.7290 95.7290	06/15/09 06/15/09	08/18/09 09/01/09	203,600.00 634,188.00	191,458.00 574,374.00	12,142.00 59,814.00
	CHICAGO IL SINGLE FMLY MTG REV COLL SER A BIE GUAR FHLMC AMT CPN 5.500% DUE 06/01/43 DTD 02/14/07 FC 06/01/07 CALL 12/01/16 @ 103.000	470,000.0000	0.8853 88.4500	11/24/08	10/13/09	471,386.50	416,132.68 415,714.52	55,253.82

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Realized Gain/Loss

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Amended Date: 2/19/10

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Short Term	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION							
CHICAGO IL SINGLE FMLY							
MTG REV COLL SER A B/E							
GUAR FHLMC AMT							
CPN 5 500% DUE 08/01/09		10,000.0000	0.8851	11/24/08	10,000.00	8,851.87	1,148.13
DTD 02/14/07 FC 06/01/07			88.4500			8,844.99	
DENVER CO CITY & CNTY							
MLTI FMLY HSG RV CLYBURN							
STAPLETON PJ AMT GNMA							
CPN 5 500% DUE 12/20/43							
DTD 08/21/02 FC 12/20/02		500,000.0000	0.8008	12/03/08	500,650.00	400,404.42	100,245.58
CALL 06/20/12 @ 100.000			79.9500	10/26/09		399,755.00	
FEDERAL HOME LOAN BANK							
BONDS							
CALLABLE							
CPN 5 850% DUE 07/09/18							
DTD 07/09/08 FC 01/09/09							
CALL 07/09/09 @ 100.000		100,000.0000	99.8700	10/29/08	100,000.00	99,875.00	125.00
FLORIDA HSG FIN CORP REV							
HOMEOWNER MTG SER 4 AMT							
B/E GNMA/FNMA/FHLMC							
CPN 5 150% DUE 10/01/09		25,000.0000	0.7699	10/28/08	25,000.00	19,248.84	5,751.16
DTD 08/17/06 FC 01/01/07			76.7400	10/01/09		19,185.00	
FLORIDA HSG FIN CORP REV							
HOMEOWNER MTG SER 4 AMT							
B/E GNMA/FNMA/FHLMC							
CPN 5 150% DUE 07/01/09		30,000.0000	0.8151	01/07/09	30,000.00	24,453.56	5,546.44
DTD 08/17/06 FC 01/01/07			81.4000	07/01/09		24,420.00	

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Realized Gain/Loss

33,917

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Amended Date: 2/19/10

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Short Term	Continued	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
MISSISSIPPI HM CORP		SINGLE FMYL REV SER B 2							
BE GUAR FNMA AMT		CPN 6 450% DUE 12/01/09							
DTD 06/25/02 FC 12/01/02			5,000.0000	97.3700	12/18/08	12/01/09	5,000.00	4,868.75	131.25
NEW YORK ST MTG AGY RV		SR 101 B/E LT AMT							
DTD 06/01/02 FC 10/01/02			5,000.0000	0.9229 92.1800	01/30/09	10/01/09	5,000.00	4,614.95 4,609.15	385.05
OHIO HSG FIN AGY MLTI		FAMILY HSG REV COLL							
UPTOWN TWRS APTS F AMT		CPN 5 250% DUE 04/20/48							
DTD 05/12/06 FC 10/20/06		CALL 04/20/16 @ 102.000	460,000.0000	90.8100	03/09/09	12/11/09	437,575.00	417,739.80	19,835.20
PHOENIX AZ & PIMA CNTY		INDL A THS S/F MTG RV AMT							
2007-1 GNMA/FNMA/FHLMC		CPN 5 250% DUE 12/01/09							
DTD 03/20/07 FC 05/01/07			1,171.0000	0.8383 83.6900	02/26/09	12/01/09	1,171.00	981.74 980.05	189.26
PHOENIX AZ & PIMA CNTY		INDL A THS S/F MTG RV AMT							
2007-1 GNMA/FNMA/FHLMC		CPN 5 250% DUE 05/01/09							
DTD 03/20/07 FC 05/01/07			787.0000	0.8372 83.6900	02/26/09	05/01/09	787.00	658.93 658.67	128.07

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Realized Gain/Loss

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Amended Date: 2/19/10

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Short Term	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION								
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5.250% DUE 07/01/09								
DTD 03/20/07 FC 05/01/07		37.0000	0.8375 83.6900	02/26/09	07/01/09	37.00	30.99 30.97	6.01
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5.250% DUE 08/01/09								
DTD 03/20/07 FC 05/01/07		3,083.0000	0.8377 83.6900	02/26/09	08/03/09	3,083.00	2,582.78 2,580.26	500.22
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5.250% DUE 09/01/09								
DTD 03/20/07 FC 05/01/07		5,289.0000	0.8378 83.6900	02/26/09	09/01/09	5,289.00	4,431.64 4,426.53	857.36
PHOENIX AZ & PIMA CNTY								
INDL ATHS S/F MTG RV AMT								
2007-1 GNMA/FNMA/FHLMC								
CPN 5.250% DUE 10/01/09								
DTD 03/20/07 FC 05/01/07		1,505.0000	0.8380 83.6900	02/26/09	10/01/09	1,505.00	1,261.27 1,259.58	243.73

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Realized Gain/Loss

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Amended Date: 2/19/10

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Short Term		Continued							
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		
PHOENIX AZ & PIMA CNTY									
INDL ATHS S/F MTG RV AMT									
2007-1 GNMA/FNMA/FHLMC									
CPN 5.250% DUE 11/01/09									
DTD 03/20/07 FC 05/01/07	688.0000	0.8382	02/26/09	11/02/09	688.00	576.70	111.30		
		83.6900				575.81			
Total - Short Term					\$5,208,627.00	\$4,860,628.42	\$347,998.58		
						\$4,859,438.58	349,188.42		

Long Term									
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		
ALLSTATE CORP									
SENIOR NOTES									
CALL@PAR OR MK WHL +15BP									
CPN 7.200% DUE 12/01/09									
DTD 11/17/99 FC 06/01/00	45,000.0000	110.7700	09/05/02	12/01/09	45,000.00	49,851.95	-4,851.95		
AMERICAN EXPRESS									
SENIOR NOTES									
CPN 7.000% DUE 03/19/18									
DTD 03/19/08 FC 09/19/08	200,000.0000	99.8700	08/18/08	11/16/09	219,788.00	199,752.00	20,036.00		
CALLABLE ASSET SWAP TRST									
STEP CPN NOTES									
CALLABLE									
CPN 5.250% DUE 03/01/13	20,000.0000	99.6000	08/29/05	09/16/09	20,000.00	19,920.00	80.00		
DTD 07/25/03 FC 09/01/03	57,000.0000	99.4900	10/25/05	09/16/09	57,000.00	56,709.30	290.70		
CALL 10/01/09 @ 100.000	20,000.0000	99.1100	01/09/06	09/16/09	20,000.00	19,822.00	178.00		
	100,000.0000	98.5300	02/01/06	09/16/09	100,000.00	98,530.00	1,470.00		
	25,000.0000	98.5300	02/03/06	09/16/09	25,000.00	24,632.50	367.50		

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D-1.2
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Employer identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	187,378.
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Schedule D-1 (Form 1041) 2009



Morgan Stanley
Smith Barney

Corrected Copy as of 03/11/10
Ref: 00003055 00048546



Private Wealth Management Statement

2009 Year End Summary

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KLEIN FAMILY FOUNDATION

Account Number 415-19054-13 510

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000110	1,488	PHOENIX ARIZ & PIMA CNTY ARIZ IDA S/F MTG REV SR 2007-1 AMT GNMA/FNMA B/E D3/20/07 DUE 08/01/2038 RATE 5.250	02/26/09	04/01/09	\$ 1,488.00	\$ 1,245.35 \$ 1,245.35	\$ 242.65 / \$ 242.65	\$ 242.65 \$ 0.00
125000120	1,552	PHOENIX ARIZ & PIMA CNTY ARIZ IDA S/F MTG REV SR 2007-1 AMT GNMA/FNMA B/E D3/20/07 DUE 08/01/2038 RATE 5.250	02/26/09	06/01/09	1,552.00	1,298.92 1,298.92	253.08 253.08	253.08 0.00
Total					\$ 342,540.00	\$ 291,645.82 \$ 291,645.82	\$ 50,894.18 \$ 50,894.18	\$ 26,304.43 \$ 24,589.75

Based on information supplied by Client or other financial institution, not verified by us.

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000020	100,000	FEDERAL NATL MTG ASSN MEDIUM TERM STEP UP NOTES BK/ENTRY DTD 11/19/2004 DUE 11/19/2019 RATE 5.000	11/08/04	04/02/09	\$ 100,000.00	\$ 100,000.00 \$ 100,000.00	\$ 0.00 \$ 0.00	\$ 0.00 \$ 0.00
125000060	50,000	INTL LEASE FINANCE CORP DTD 3/8/02 DUE 03/15/2009 RATE 6.375	08/19/02	03/16/09	50,000.00	51,560.50 50,000.00	(1,560.50) 0.00	0.00 0.00
125000130	.2463	WESTERN ASSET HIGH INCOME OPPORTUNITY FD INC LIQUIDATION OF FRACTIONAL SHS	12/19/00	04/27/09	1.06	2.05 2.05	(.99) (.99)	0.00 0.00
Total					\$ 150,001.06	\$ 151,562.55 \$ 150,002.05	(\$ 1,561.49) (\$.99)	\$ 0.00 \$ 0.00

Based on information supplied by Client or other financial institution, not verified by us.

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Realized Gain/Loss

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Amended Date: 2/19/10

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEN FAMILY FOUNDATION
 ACCOUNT #1

Short Term		Continued					
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
PHOENIX AZ & PIMA CNTY							
INDL ATHS S/F MTG RV AMT							
2007-1 GNMA/FNMA/FHLMC							
CPN 5 250% DUE 11/01/09							
DTD 03/20/07 FC 05/01/07	688.0000	0.8382 83.6900	02/26/09	11/02/09	688.00	576.70 575.81	111.30
Total - Short Term					\$5,208,627.00	\$4,860,628.42 \$4,859,438.58	\$347,998.58 349,188.42

Long Term							
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
ALLSTATE CORP							
SENIOR NOTES							
CALL@PAR OR MK WHL +15BP							
CPN 7.200% DUE 12/01/09							
DTD 11/17/99 FC 06/01/00	45,000.0000	110.7700	09/05/02	12/01/09	45,000.00	49,851.95	-4,851.95
AMERICAN EXPRESS							
SENIOR NOTES							
CPN 7.000% DUE 03/19/18							
DTD 03/19/08 FC 09/19/08	200,000.0000	99.8700	08/18/08	11/16/09	219,788.00	199,752.00	20,036.00
CALLABLE ASSET SWAP TRST							
STEP CPN NOTES							
CALLABLE							
CPN 5.250% DUE 03/01/13							
DTD 07/25/03 FC 09/01/03							
CALL 10/01/09 @ 100.000	20,000.0000	99.6000	08/29/05	09/16/09	20,000.00	19,920.00	80.00
	57,000.0000	99.4900	10/25/05	09/16/09	57,000.00	56,709.30	290.70
	20,000.0000	99.1100	01/09/06	09/16/09	20,000.00	19,822.00	178.00
	100,000.0000	98.5300	02/01/06	09/16/09	100,000.00	98,530.00	1,470.00
	25,000.0000	98.5300	02/03/06	09/16/09	25,000.00	24,632.50	367.50

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Realized Gain/Loss

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Amended Date: 2/19/10

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1

Long Term	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION								
CALLABLE ASSET SWAP TRST								
STEP COUPON NOTES								
CALLABLE								
CPN 5 250% DUE 01/15/13								
DTD 08/07/03 FC 01/15/04								
PRE 10/01/09 @ 100.000		136,000.0000	100.0000	01/24/06	10/01/09	136,000.00	136,000.00	0.00
CITIGROUP INC		0.1400	3.4210	01/18/08	07/30/09	0.43	0.48	-0.05
COMMERCIAL CREDIT								
NOTE								
CPN 8 700% DUE 06/15/09								
DTD 06/15/89 FC 12/15/89		25,000.0000	115.4500	05/22/02	06/15/09	25,000.00	28,869.25	-3,869.25
FEDERAL NATL MTG ASSN								
STEP COUPON BONDS								
CALLABLE								
CPN 5 000% DUE 03/23/20								
DTD 03/23/05 FC 09/23/05								
CALL 12/23/09 @ 100.000		100,000.0000	99.5000	08/02/05	09/23/09	100,000.00	99,500.00	500.00
GENERAL ELEC CAP CORP								
STEP CPN MEDIUM TERM NTS								
CALLABLE								
CPN 5 250% DUE 03/11/25		100,000.0000	94.7800	12/27/06	12/01/09	97,825.00	94,780.00	3,045.00
DTD 03/11/05 FC 09/11/05		65,000.0000	94.4500	01/17/07	12/01/09	63,586.25	61,392.50	2,193.75
CALL 03/11/10 @ 100.000		43,000.0000	94.2500	01/22/07	12/01/09	42,064.75	40,527.50	1,537.25
		10,000.0000	94.1200	01/29/07	12/01/09	9,782.50	9,412.00	370.50
		20,000.0000	94.1200	01/30/07	12/01/09	19,565.00	18,824.00	741.00
		15,000.0000	94.1200	02/05/07	12/01/09	14,673.75	14,118.00	555.75
		10,000.0000	95.2500	02/16/07	12/01/09	9,782.50	9,525.00	257.50



Realized Gain/Loss

33,921

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Amended Date: 2/19/10



Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1

Long Term	Continued								
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		

TEXAS ST AFFORDABLE HSG CORP SINGLE FMLY MTG REV PROFESSIONAL EDUCATORS CPN 5 100% DUE 08/01/39 DTD 07/27/05 FC 03/01/06 CALL 03/01/15 @ 102.800	25,000.0000 50,000.0000	95.7400 95.7400	04/09/07 04/13/07	12/01/09 12/01/09	24,456.25 48,912.50	23,935.00 47,870.00	521.25 1,042.50		
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WELLS FARGO & COMPANY NOTES CPN 5 375% DUE 02/07/35 DTD 02/07/05 FC 08/07/05	600,000.0000 200,000.0000	0.7297 72.7000 0.8403 83.9200	12/09/08 08/19/08	12/15/09 11/23/09	583,050.00 190,200.00	437,860.84 436,254.00 168,061.62 167,844.00	145,189.16 22,138.38		
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Total - Long Term					\$1,851,686.93	\$1,659,893.94 \$1,658,069.48	\$191,792.99 193,617.45		
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Other Term									
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		

PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 07/01/09 DTD 03/20/07 FC 05/01/07	1,552.0000	0.0000	UNKNOWN	07/01/09	1,552.00 \$1,552.00	0.00 \$0.00	0.00 \$0.00		
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Total - Other Term

disregard. was included
with gains/losses from S&P.

7-1.4
3/3

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					3,164.	
342,540.		SMITH BARNEY #19054 - ST (SEE ATTACHED) 291,646.					01/02/2009 50,894.	12/31/2009
150,001.		SMITH BARNEY # 19054 - LT (SEE ATTACHED) 151,563.					01/02/2008 -1,562.	12/31/2009
4,000.		-40 CALL EMC					03/24/2009 4,000.	05/18/2009
15.		.6666 TIME WARNER INC 33.					12/19/2000 -18.	03/27/2009
21.		.754 TIME WARNER CABLE INC 49.					12/19/2000 -28.	03/30/2009
5,208,627.		WELLS FARGO #0357 - ST (SEE ATTACHED) 4,859,439.					01/02/2009 349,188.	12/31/2009
1,851,687.		WELLS FARGO #0357 - LT (SEE ATTACHED) 1,658,069.					01/02/2008 193,618.	12/31/2009
19.		.818 AOL INC 31.					12/19/2000 -12.	12/09/2009
		2000 CHARTER COMMUNICATIONS INC 4,620.					12/30/2004 -4,620.	12/22/2009
		LONGVIEW K-1 151,276.					-151,276.	
TOTAL GAIN(LOSS)							<u>443,348.</u>	