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Form **990-PF**Department of the Treasury
Internal Revenue Service (77)**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation Anne Anastasi Charitable Foundation		A Employer identification number 13-4013290
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) (800) 839-1754
	c/o Foundation Source, 501 Silverside Road		
	City or town, state, and ZIP code Wilmington DE 19809-1377		
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			C If exemption application is pending, check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 5,633,291			D 1. Foreign organizations, check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			2. Foreign organizations meeting the 85% test, check here and attach computation ☐
			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	13,555			
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	20,104	20,104		
4 Dividends and interest from securities	190,002	190,002		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10	-593,452			
b Gross sales price for all assets on line 6a 6,818,085				
7 Capital gain net income (from Part IV, line 2)		0		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	5,700			
12 Total. Add lines 1 through 11	-364,091	210,106		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	70,977	37,977		33,000
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	2,991	891		
19 Depreciation (attach schedule) and depletion				
20 Occupancy	33,503			33,503
21 Travel, conferences, and meetings	408			408
22 Printing and publications				
23 Other expenses (attach schedule)	29,747	3,578		26,169
24 Total operating and administrative expenses. Add lines 13 through 23	137,626	42,446		93,080
25 Contributions, gifts, grants paid	191,325			191,325
26 Total expenses and disbursements. Add lines 24 and 25	328,951	42,446		284,405
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-693,042			
b Net investment income (if negative, enter -0-)		167,660		
c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

(HTA)

Form **990-PF** (2009)

916-19

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	545,743	333,887	333,887
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	333		
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)	1,653,363	1,176,795	1,191,256
	b Investments—corporate stock (attach schedule)	3,545,178	2,499,246	2,553,388
	c Investments—corporate bonds (attach schedule)	417,058	1,458,705	1,554,760
	11 Investments—land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,161,675	5,468,633	5,633,291	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	6,161,675	5,468,633	
30 Total net assets or fund balances (see page 17 of the instructions)	6,161,675	5,468,633		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	6,161,675	5,468,633		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,161,675
2 Enter amount from Part I, line 27a	2	-693,042
3 Other increases not included in line 2 (itemize) ▶	3	0
4 Add lines 1, 2, and 3	4	5,468,633
5 Decreases not included in line 2 (itemize) ▶	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,468,633

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly-traded Securities				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 6,818,085		7,302,992	-484,907	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-484,907
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008			0.000000
2007			0.000000
2006			0.000000
2005			0.000000
2004			0.000000
2 Total of line 1, column (d)			2 0.000000
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.000000
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 0
5 Multiply line 4 by line 3			5 0
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 0
7 Add lines 5 and 6			7 0
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 0

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		1	3,353
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2		3	3,353
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	3,353
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	6,000	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	0	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	6,000	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	0	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,647	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax 2,647 Refunded	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶				
14	The books are in care of ▶ Foundation Source Telephone no ▶ (800) 839-1754			
Located at ▶ 501 Silverside Road, Suite 123, Wilmington, DE ZIP+4 ▶ 19809-1377				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☒ Yes ☐ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?
☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)
☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHMENT				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,858,270
b	Average of monthly cash balances	1b	492,197
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	5,350,467
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,350,467
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	80,257
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,270,210
6	Minimum investment return. Enter 5% of line 5	6	263,511

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	263,511
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,353
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,353
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	260,158
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	260,158
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	260,158

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	284,405
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	284,405
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	284,405

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				260,158
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			284,405	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 284,405				
a Applied to 2008, but not more than line 2a			284,405	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				0
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				260,158
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2005	0			
b Excess from 2006	0			
c Excess from 2007	0			
d Excess from 2008	0			
e Excess from 2009	0			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a	<i>Paid during the year</i>				
	AMERICAN RED CROSS 106 N BROADWAY WHITE PLAINS NY 10603	N/A	509(a)(1)	General & Unrestricted	2,500
	AMERICAN PSYCHOLOGICAL ASSOCIATION 750 1ST ST NE WASHINGTON DC 20002	N/A	509(a)(2)	General & Unrestricted	10,000
	ARTS ON THE LAKE INC 102 RTE 311 CARMEL NY 10512	N/A	509(a)(1)	General & Unrestricted	1,000
	ARTS ON THE LAKE INC 102 RTE 311 CARMEL NY 10512	N/A	509(a)(1)	Arts on the Lake Program	10,000
	BLYTHEDALE CHILDRENS HOSPITAL BRADHURST AVE VALHALLA NY 10595	N/A	509(a)(2)	General & Unrestricted	10,000
	BOSTON COLLEGE LYONS HALL CHESTNUT HILL MA 02467	N/A	509(a)(1)	General & Unrestricted	1,500
	BOSTON COLLEGE LYONS HALL CHESTNUT HILL MA 02467	N/A	509(a)(1)	President's Scholarship Fund	1,000
	CARD MCCLOSKEY EMER RESIDENTIAL SCHL 2 HOLLAND AVE WHITE PLAINS NY 10603	N/A	509(a)(1)	General & Unrestricted	5,000
	CARD MCCLOSKEY SCHL & HOME FOR CHILD 2 HOLLAND AVE WHITE PLAINS NY 10603	N/A	509(a)(2)	General & Unrestricted	5,000
	CHILD HOSPITAL FDN-WESTCHESTER MED CTR 90 WOODS RD VALHALLA NY 10595	N/A	509(a)(1)	General & Unrestricted	10,000
	COLLABORATIVE CONCEPTS INC 126 BRYANT POND RD PUTNAM VALLEY NY 10579	N/A	509(a)(2)	General & Unrestricted	5,000
	DANBURY HOSPITAL DEVELOPMENT FUND INC 24 HOSPITAL AVE DANBURY CT 06810	N/A	509(a)(1)	General & Unrestricted	10,000
	JOSHUAS PLACE INC 30 SANFORD PL SOUTHAMPTON NY 11968	N/A	509(a)(2)	General & Unrestricted	5,000
	KENT FIRE DISTRICT NUMBER ONE 2490 RTE 301 CARMEL NY 10512	N/A	509(a)(1)	General & Unrestricted	10,000
	KIDNEYLIFE FOUNDATION INC PO BOX 460 BABYLON NY 11702	N/A	509(a)(1)	General & Unrestricted	5,000
	MAYORS ALLIANCE FOR NYCS ANIMALS INC 244 5TH AVE STE R290 NEW YORK NY 10001	N/A	509(a)(1)	Le Cats on the Water Initiative	5,000
Total See Attached Statement				► 3a	191,325
b	<i>Approved for future payment</i>				
Total				► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	20,104	
4 Dividends and interest from securities			14	190,002	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-593,452	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a <u>Federal Tax Refund</u>			01	5,700	
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e)		0		-377,646	0
13 Total. Add line 12, columns (b), (d), and (e)				13	-377,646

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| (1) | Cash | 1a(1) | X |
| (2) | Other assets | 1a(2) | X |
| b | Other transactions | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) | X |
| (4) | Reimbursement arrangements | 1b(4) | X |
| (5) | Loans or loan guarantees | 1b(5) | X |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

10/1/2010
Date

► President
Title

Sign Here

**Paid
Preparer's
Use Only**

Date _____

Check if self-employed ☐

Preparer's identifying
number (see **Signature** on
page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code

FOUNDATION SOURCE
55 WALLS DRIVE, FAIRFIELD, CT 06824

Ein ▶

Phone no (800) 839-1754

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

Anne Anastasi Charitable Foundation

13-4013290

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Anne Anastasi Charitable Foundation

Employer identification number

13-4013290

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Anne Anastasi Charitable Remainder Annuity Trust II c/o Jonathan Galente, 62 Seifert Lane Putnam Valley NY 10579 Foreign State or Province _____ Foreign Country _____	\$ 13,555	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
6	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Form 990-PF, Part I, Line 11 - Other Income

TOTAL:			5,700	0
		(a) Expenses per Books	(b) Net Investment Income	
1	Federal Tax Refund	5,700	0	
2				
3				
4				
5				
6				
7				
8				
9				
10				

Form 990-PF, Part I, Line 16c - Other Professional Fees

TOTAL:					70,977	37,977	0	33,000
		(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose			
1	Investment Management Services	37,977	37,977	0	0			
2	Philanthropic Consulting Services	33,000	0	0	33,000			
3								
4								
5								
6								
7								
8								
9								
10								

Form 990-PF, Part I, Line 18 - Taxes

TOTAL:					2,991	891	0	0
		(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose			
1	Estimated Tax for 2009	2,100	0	0	0			
2	Foreign Tax Paid	891	891	0	0			
3								
4								
5								
6								
7								
8								
9								
10								

Form 990-PF, Part I, Line 23 - Other Expenses

TOTAL:					29,747	3,578	0	26,169
		(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose			
1	Administrative Fees	24,888	0	0	24,888			
2	Bank Charges	3,578	3,578	0	0			
3	Local Newspaper Ad in Satisfaction of Public Disclosure Requirements	235	0	0	235			
4	Office Supplies	795	0	0	795			
5	State or Local Filing Fees	250	0	0	250			
6								
7								
8								
9								
10								

Form 990-PF, Part II, Line 10a - Investments: US & State Government Obligations

TOTAL:				1,176,795	1,191,256
	Description/Symbol/CUSIP Number	Shares	Line 10a - Column (b) Book Value	Line 10a - Column (c) Fair Market Value	
1	FEDL HOME LN MTG CRPPPOOL #G11777 5.00000% 10/01/20 (31283K6N3)	66,926	69,883	70,364	
2	FEDL HOME LN MTG CRPPPOOL #G11807 5.50000% 10/01/20 (31336WAF6)	65,805	69,242	70,049	
3	FEDL HOME LN MTG CRPPPOOL #G12454 5.50000% 11/01/20 (3128M1TK5)	83,151	87,223	88,254	
4	FEDL HOME LN MTG CRPPPOOL #G18009 5.00000% 09/01/20 (3128MMAK9)	88,301	92,381	92,948	
5	FEDL NATL MTG ASSN POOL #256022 5.50000% 12/01/203 (31371MLB1)	158,424	165,317	166,412	
6	FHLMC - 3.750% - 03/27/2019 (3137EACA5)	135,000	135,052	132,280	
7	FNMA 5% 2/13/17 (31359M4D2)	35,000	37,825	37,938	
8	FNMA POOL #735580 5.000% 06/01/2035 (31402RFV6)	91,315	72,357	93,769	
9	FNMA POOL #745275 5.000% 02/01/2036 (31403C6L0)	124,454	127,751	127,799	
10	FNMA POOL #889579 6.000% 05/01/2038 (31410KJY1)	109,517	112,244	116,122	
11	SLM CORP MTN BOOK ENTRY 4.00000% 01/15/2010 (78442FDE5)	50,000	48,100	49,997	
12	UNITED STATES TREAS BDS 6.750% 08/15/2026 (912810EX2)	45,000	63,848	56,777	
13	US T NTS - 4.250% - 11/15/2017 (912828HH6)	45,000	51,896	47,110	
14	US TREASURY NOTE 2.750% DUE 02/15/2019 (912828KD1)	45,000	43,676	41,435	

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:			2,499,246	2,553,388
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
1	3M CO (MMM)	227	17,597	18,766
2	ABBOTT LABS (ABT)	150	8,188	8,099
3	ABM INDS INC. (ABM)	832	19,268	17,189
4	ACE LTD (ACE)	49	2,318	2,470
5	ACOM CO LTD SP ADR (ACMUY PK)	355	3,130	1,392
6	ADOBE SYSTEMS, INC. (ADBE)	183	6,437	6,731
7	AEGON N V ORD AMER REG (AEG)	1,234	18,424	7,910
8	AFFILIATED COMPUTER SERVICES (ACS)	41	1,950	2,447
9	AFFILIATED MANAGERS GROUP 5 1% 4/15/36 (AMGZO PK)	312	12,633	12,402
10	AIR PRODS & CHEM INC (APD)	57	4,241	4,620
11	ALCATEL LUCENT (ALU)	1,730	6,155	5,744
12	ALEXANDRIA REAL ESTATE EQUITIES INC (ARE)	95	4,772	6,108
13	ALLSTATE CORP (ALL)	106	2,966	3,184
14	AMB PPTY CORP (AMB)	135	3,207	3,449
15	AMEREN CORPORATION (AEE)	38	1,005	1,062
16	AMERICAN CAMPUS COMMUNITIES (ACC)	180	4,739	5,058
17	AMERICAN ELECTRIC POWER INC (AEP)	230	7,063	8,002
18	AMERICAN EXPRESS CO (AXP)	249	8,620	10,089
19	AMERICAN TOWER CORP CL A (AMT)	238	9,822	10,284
20	AMGEN INC (AMGN)	94	5,931	5,318
21	ANADARKO PETROLEUM CORP (APC)	230	13,839	14,357
22	ANALOG DEVICES INC (ADI)	54	1,485	1,705
23	ANNALY MTG MGMT INC COM (NLY)	233	4,077	4,043
24	AON CORP (AON)	24	966	920
25	APACHE CORPORATION (APA)	115	10,121	11,865
26	APPLE INC. (AAPL)	195	36,859	41,093
27	APPLIED MATERIALS INC (AMAT)	231	3,104	3,220
28	ARCHER DANIELS MIDLAND CO CORPUNIT 6 25% 06/11/11 (039483201)	321	12,917	13,999
29	ARES CAPITAL CORPORATION - CLOSED END FUND (ARCC)	1,663	19,903	20,704
30	ASSOCIATED ESTATES REALTY CORP (AEC)	50	554	564
31	ASTRAZENECA (AZN)	325	15,525	15,256
32	AT&T CORP COM NEW (T)	419	11,666	11,745
33	AUTOLIV INC. PRFD 'Z' (ALV-PZ)	76	3,825	4,532
34	AVALONBAY CMNTYS INC (AVB)	107	6,719	8,786
35	BALCHEM CORP (BCPC)	240	5,359	8,042
36	BANK OF AMERICA CORP (BAC)	1,834	29,260	27,620
37	BARCLAYS PLC ADR (BCS)	330	12,983	5,808
38	BAXTER INTERNATIONAL INC. (BAX)	119	6,870	6,983
39	BEST BUY INC. (BBY)	252	11,002	9,944
40	BHP BILLITON LIMITED (BHP)	93	7,228	7,122
41	BOSTON PPTY'S INC (BXP)	136	7,790	9,122
42	BOSTON SCIENTIFIC (BSX)	381	4,099	3,429
43	BRANDYWINE RLTY TR (BDN)	310	3,269	3,534
44	BRASIL TELECOM PARTICIPACOES S.A. SPON (BTM)	128	3,098	3,729

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:			2,499,246	2,553,388
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
45	BRAZIL TELECOM SP ADR (BTM-C)	72	920	1,151
46	BRISTOL-MYERS SQUIBB CO (BMY)	191	4,127	4,823
47	BRITISH SKY BROADCASTING ADR (BSYBY.PK)	140	5,972	5,071
48	BROADRIDGE FINANCIAL SOLUTIONS (BR)	103	1,860	2,324
49	BUNGE LIMITED 4.875% WITH CONV PERP PREF (BGEFP.PK)	39	4,778	3,559
50	BUNGE LIMITED PFD (BHLIF.PK)	5	2,477	3,250
51	CABLEVISION SYSTEMS CORP CL A (CVC)	94	1,993	2,427
52	CAMDEN PPTY TR (CPT)	60	2,195	2,542
53	CANON INC (CAJ)	330	12,025	13,966
54	CAPITAL ONE FINANCIAL CORP (COF)	372	13,524	14,262
55	CARBO CERAMICS INC (CRR)	334	16,408	22,769
56	CARNIVAL CORP (CCL)	153	4,405	4,849
57	CARREFOUR S A (CRERY.PK)	1,715	16,133	16,258
58	CASS INFORMATION SYSTEMS, INC (CASS)	171	5,284	5,198
59	CATERPILLAR INC. (CAT)	81	4,859	4,616
60	CEDAR SHOPPING CENTERS INC (CDR)	125	676	850
61	CELGENE CORP (CELG)	116	5,062	6,459
62	CEMEX SA DE CV A ADS (CX)	700	8,152	8,274
63	CENTRAIS ELEC BRAS S/AR (CAIFY PK)	490	7,949	10,334
64	CHATTEN INC (CHTT)	235	13,973	21,926
65	CHEVRONTXACO CORP (CVX)	176	13,117	13,550
66	CHIMERA INVESTMENT CORP COMMON STOCK (CIM)	390	1,505	1,513
67	CHUBB CORP (CB)	41	1,984	2,016
68	CINTAS CRP (CTAS)	39	996	1,017
69	CISCO SYSTEMS INC (CSCO)	1,380	30,759	33,037
70	CLARCOR INC (CLC)	414	13,572	13,430
71	CLOROX CO (CLX)	35	2,045	2,135
72	COMPUTER SERVICES INC (CSVI PK)	402	10,352	14,468
73	CONS EDISON INC (ED)	37	1,464	1,681
74	COVIDIEN LTD (COV)	113	4,314	5,412
75	DAI NIPPON PRINTING LTD JAPANSPONSORED ADR (DNPLY.PK)	640	7,968	8,166
76	DAIICHI SANKYO CO LT (DSKY PK)	220	4,697	4,606
77	DAIMLERCHRYSLER AG (DDAIF PK)	90	4,811	4,797
78	DELL INC (DELL)	109	1,485	1,565
79	DEUTSCHE BANK AG (DB)	45	3,130	3,191
80	DEUTSCHE POST AG (DPGY PK)	410	7,999	7,954
81	DEUTSCHE TELE AG ADS (DEGY PK)	1,100	16,122	16,170
82	DIGITAL RLTY TR INC (DLR)	95	4,356	4,777
83	DIRECTV GROUP (DTV)	507	15,775	16,908
84	DOUGLAS EMMETT INC (DEI)	180	2,228	2,565
85	DOW CHEMICAL PV (DOW)	674	18,802	18,623
86	DREAMWORKS ANIMATION (DWA)	32	996	1,278
87	EASTGROUP PROPERTIES INC (EGP)	80	2,929	3,062

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

		TOTAL:		2,499,246		2,553,388	
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (b) Fair Market Value	Line 10b - Column (c) Fair Market Value		
88	EDUCATION RLTY TRUST (EDR)	130	741		629		
89	EMERSON ELECTRIC CO (EMR)	73	2,710		3,110		
90	ENDURANCE SPCLTY HLDGS LTD (ENH)	58	1,886		2,159		
91	ENI S.P.A. (E)	255	13,072		12,906		
92	ENTERGY CORP (ETR)	25	1,930		2,046		
93	ENTERTAINMENT PROPERTIES TRUST SBI (EPR)	581	14,280		20,492		
94	ERICSSON L M TEL CO (ERIC)	1,768	17,643		16,248		
95	EXPRESS SCRIPTS INC. (ESRX)	221	18,542		19,099		
96	EXXON MOBIL CORP (XOM)	183	12,935		12,479		
97	FEDERAL RLTY INVT TR (FRT)	45	2,776		3,047		
98	FEDERATED INVESTRS B (FII)	577	11,622		15,868		
99	FEDEX CORPORATION COMMON STOCK (FDX)	74	6,783		6,175		
100	FIFTH THIRD BANCORP (FITB)	260	2,702		2,535		
101	FINANCIAL FED CP (FIF)	507	12,954		13,943		
102	FIRST PAC CO LTD SPON ADR (FPAFY PK)	2,345	4,385		7,035		
103	FLEXTRONICS INTERNATIONAL (FLEX)	440	3,256		3,216		
104	FPL GROUP INC 8 375 6/1/2012 (FPLZ)	243	12,650		12,672		
105	FRANCE TELECOM ADS (FTE)	485	12,416		12,241		
106	FREEMPORT-MCMORAN COPPER & GOLD INC (FCX)	258	21,910		20,715		
107	FRPRT-MCMRN C&G PR M (FCX-PM)	105	8,322		12,076		
108	FUJI HEAVY INDUS LTD ADR (FUJHY PK)	150	8,164		7,260		
109	FUJIFILM HOLDINGS (FUJI)	410	13,759		12,382		
110	GEN DYNAMICS CP (GD)	378	25,829		25,768		
111	GENERAL ELECTRIC CO (GE)	434	6,197		6,566		
112	GENUINE PARTS COMPANY (GPC)	28	984		1,063		
113	GENZYME CORP (GENZ)	40	1,970		1,960		
114	GETTY RLTY HLDG CO (GTY)	35	834		824		
115	GILEAD SCIENCES INC (GILD)	234	11,055		10,125		
116	GLAXOSMITHKLINE PLC (GSK)	370	18,742		15,633		
117	GOLDMAN SACHS GROUP (GS)	247	41,481		41,703		
118	GOOGLE INC CL A (GOOG)	70	37,914		43,399		
119	GRACO INC (GGG)	322	8,882		9,200		
120	GREAT PLAINS ENERGY (GXP)	90	1,508		1,745		
121	H LUNDBECK A/S UNSPON/ADR (HLUKY PK)	485	11,513		8,822		
122	H.J. HEINZ COMPANY (HNZ)	50	2,126		2,138		
123	HALLIBURTON COMPANY (HAL)	258	8,134		7,763		
124	HASBRO INC (HAS)	53	1,452		1,699		
125	HEALTH CARE PPTY INVS INC (HCP)	116	3,366		3,543		
126	HEALTH CARE REIT INC (HCN)	135	5,863		5,983		
127	HESS CORP (HES)	190	10,166		11,495		
128	HEWLETT PACKARD CO (HPQ)	874	43,382		45,020		
129	HITACHI LTD (HIT)	155	8,431		4,755		
130	HONDA MOTOR CO LTD (HMC)	150	4,625		5,085		

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:		Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value	2,553,388
	Description/Symbol/CUSIP Number				
131	HOSPITALITY PROPERTIES TRUST (HPT)	140	2,592	3,319	
132	HOST HOTELS & RESORTS INC (HST)	220	2,632	2,567	
133	INTEGRYS ENERGY GROUP (TEG)	29	990	1,218	
134	INTEL CORP (INTC)	220	4,325	4,488	
135	INTERNATIONAL BUSINESS MACHINES (IBM)	315	40,249	41,234	
136	INTESA SANPAOLO S/ADR (ISNPK)	230	5,418	6,233	
137	INVESCO PLC (IVZ)	126	2,476	2,960	
138	ITALCEMENTI FABRICHERIUNITE SPA ADR (ITALY.PK)	315	4,772	4,300	
139	IVANHOE MINES LIMITED COM (IVN)	183	1,480	2,674	
140	J SAINSBURY PLC S/ADR NEW (JSAIN.PK)	465	12,432	9,607	
141	JP MORGAN CHASE & CO (JPM)	843	35,878	35,128	
142	KELLOGG CO (K)	62	3,338	3,298	
143	KINGFISHER PLC (KGFHY PK)	1,660	12,681	12,118	
144	KITE RLTY GROUP TRUST (KRG)	190	678	773	
145	KOHL'S CORP (KSS)	178	8,703	9,600	
146	KONINKLIJKE Ahold (AHONY PK)	700	9,562	9,275	
147	KT CORP ADS (KT)	160	3,707	2,691	
148	LANDAUR INC (LDR)	249	13,293	15,289	
149	LANDSTAR SYSTEM (LSTR)	401	13,466	15,547	
150	LEGG MASON INC CORP UNIT 524901303 (524901303)	238	5,255	8,128	
151	LINCOLN ELECTRIC HOLDING INC (LECO)	340	15,262	18,176	
152	LINCOLN NATIONAL CORP (LNC)	136	2,964	3,384	
153	LTC PROPERTIES INC (LTC)	90	2,382	2,408	
154	LUCENT TECH CAP TRUST I 7 75% 3/15/17 (LUTHP.OB)	17	12,665	13,209	
155	MAGNA INTERNATIONAL INC (MGA)	130	7,434	6,575	
156	MARKS & SPENCER GRP ADR (MAKSY PK)	1,130	16,328	14,690	
157	MASTERCARD INC (MA)	27	5,675	6,911	
158	MATTELL INC (MAT)	57	988	1,139	
159	MATTHEWS INTERNATIONAL CORPORATION (MATW)	412	12,998	14,597	
160	MCDERMOTT INT PANAMA (MDR)	46	989	1,104	
161	MCDONALD'S CORP (MCD)	178	11,347	11,114	
162	MCGRATH RENTCORP (MGRC)	621	10,303	13,886	
163	MEDCO HEALTH SOLUTIONS INC (MHS)	276	11,325	17,639	
164	MERCK & CO INC. (MRK)	561	16,907	20,499	
165	METLIFE INC. (MET)	182	6,253	6,434	
166	MFA MTG INVTS INC (MFA)	282	2,176	2,073	
167	MICROSOFT CORPORATION (MSFT)	920	19,774	28,042	
168	MILLICOM INTL CELLULAR S.A. (MICC)	19	1,424	1,402	
169	MITSUBISHI TOKYO FIN (MTU)	1,405	15,291	6,913	
170	MIZUHO FINANCIAL GRO (MFG)	1,765	19,469	6,283	
171	MONMOUTH REAL ESTATE INVESTMENT CORPORATION (MNRTA)	20	148	149	
172	MS&AD INSURANCE GR HOLDINGS (MSGY PK)	810	16,642	10,328	
173	MYLAN INC. - MANDATORY CONVERTIBLE PREFERRED STOCK (MYLNP)	9	7,724	10,375	

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:			2,499,246	2,553,388
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
174	NATIONAL RETAIL PROPERTIES INC (NNN)	40	850	849
175	NEC CORP ADR (NIPNY)	1,455	7,949	3,739
176	NESTLE S.A. (NSRGY.PK)	179	8,716	8,655
177	NEW YORK COMINTY BANCORP INC (NYB)	155	1,925	2,249
178	NIKE INC-CL B (NKE)	101	5,668	6,673
179	NIPPON TELEPHONE ADR (NTT)	760	17,469	15,002
180	NISOURCE INC (NI)	115	1,495	1,769
181	NISSAN MOTOR LTD ASR (NSANY.PK)	220	3,109	3,879
182	NOBLE ENERGY INC. (NBL)	16	971	1,140
183	NOKIA (NOK)	1,265	18,200	16,255
184	NORTHERN TRUST CORPORATION (NTRS)	73	4,381	3,825
185	OCCIDENTAL PETE CORP (OXY)	444	31,067	36,119
186	OLD REP INTL CORP (ORI)	88	1,003	884
187	ORACLE CORP (ORCL)	730	16,146	17,907
188	OWENS AND MINOR INC (OMI)	382	13,061	16,399
189	PACCAR INC (PCAR)	71	2,779	2,575
190	PEPSICO INC (PEP)	101	5,737	6,141
191	PFIZER INC (PFE)	946	19,440	17,208
192	PG & E CORP (PCG)	192	8,141	8,573
193	PHILIP MORRIS INTL (PM)	205	7,797	9,879
194	PLUM CREEK TIMBER REIT (PCL)	55	1,923	2,077
195	PNC FINANCIAL GROUP INC. (PNC)	234	13,118	12,353
196	PORTUGAL TELECOM SGPS (PT)	910	11,120	11,047
197	POTLATCH CORP (PCH)	115	3,817	3,666
198	PRAXAIR INC (PX)	73	5,450	5,863
199	PRECISION CASTPARTS (PCP)	134	14,514	14,787
200	PROGRESS ENERGY INC (PGN)	64	2,464	2,625
201	PROLOGIS (PLD)	135	1,474	1,848
202	PROMISE CO LTD ADR (PMSEY.PK)	580	9,988	2,175
203	PRUDENTIAL FINCL INC (PRU)	216	10,845	10,748
204	PUBLIC STORAGE INC (PSA)	50	3,481	4,073
205	R L I CP (RLI)	240	12,558	12,780
206	RAMCO-GERSENHON PROPERTIES (RPT)	55	572	525
207	RANGE RESOURCES CORP DEL (RRC)	30	1,455	1,496
208	REALTY INCOME CORP (O)	115	3,007	2,980
209	ROCKWELL COLLINS INC (COL)	22	959	1,218
210	ROPER INDUSTRIES NEW COMMON (ROP)	348	17,566	18,225
211	ROYAL BANK ADS (RBS)	83	13,970	779
212	SALESFORCE COM (CRM)	92	6,758	6,787
213	SANOFI-AVENTIS SPONSORED ADR (SNY)	495	20,914	19,439
214	SCANNA CORPORATION NEW (SCG)	58	1,972	2,185
215	SEIKO EPSON CORP ADR (SEKEY PK)	1,290	14,196	10,256
216	SEMPRA ENERGY COM (SRE)	38	1,942	2,127

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

		TOTAL:		2,499,246		2,553,388	
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value			
217	SIMON PPTY GROUP INC NEW (SPG)	95	6,327	7,581			
218	SIMON PROPERTY6.000% (SPGPRI)	157	7,714	10,676			
219	SK TELECOM ADS ADS (SKM)	415	9,551	6,748			
220	SL GREEN RLTY CORP (SLG)	65	2,108	3,266			
221	SONY CORP ADR (SNE)	340	13,327	9,860			
222	SOUTHERN CO (SO)	167	5,558	5,564			
223	SOVRAN STORAGE (SSS)	20	734	715			
224	ST JUDE MED INC. (STJ)	52	1,979	1,913			
225	STANLEY WORKS EQTY UNIT (SWKA PK)	8	7,432	6,320			
226	STAPLES INC. (SPLS)	750	15,942	18,443			
227	STMICROELECTRONICS (STM)	1,335	12,983	12,375			
228	STREETTRACKS GOLD SHARES (GLD)	31	2,946	3,327			
229	SUFFOLK BANCORP (SUBK)	291	7,544	8,643			
230	SUMITOMO MITSUI FINCL GRP (SMFJY PK)	2,000	13,757	5,700			
231	SUNOCO INC (SUN)	56	1,473	1,462			
232	SUNSTONE HOTEL INVESTORS, INC (SHO)	120	788	1,066			
233	SWISS REINSURANCE CO ADR (SWCEY PK)	165	7,078	7,951			
234	SWISSCOM AG S/ADR (SCMWY PK)	325	11,648	12,337			
235	SYNTEL INC (SYNT)	457	18,019	17,380			
236	TAKEDA PHARM CO LTD ADR (TKPHY.PK)	280	7,817	5,754			
237	TANGER FACTORY OUTLT CENREIT (SKT)	50	1,761	1,949			
238	TARGET CORPORATION (TGT)	122	5,217	5,901			
239	TDK CORP (TTDKY PK)	130	6,944	7,949			
240	TDSS (TDS-S)	82	2,012	2,476			
241	TELE NORTE LESTE (TNE)	490	6,466	10,496			
242	TELECOM ARGENTINA (TEO)	400	8,264	6,728			
243	TELECOM CORP NEW ZEALAND LTD (NZT)	296	5,424	2,661			
244	TELECOM ITALIA ADR (TI)	1,149	27,857	17,729			
245	TELEFONICA S A ADR (TEF)	170	9,992	14,198			
246	TELEFONOS DE MEX L (TMX)	535	9,720	8,870			
247	TEMPUR PEDIC INTERNATIONAL INC (TPX)	704	10,579	16,635			
248	THERMO FISHER SCIENTIFIC INC (TMO)	73	3,332	3,481			
249	TIM PARTICIPACOE S A SPONSORED ADR REPSTG PFD (TSU)	84	1,428	2,496			
250	TIME WARNER CABLE INC (TWC)	43	1,501	1,780			
251	TJX COMPANIES INC (TJX)	110	4,039	4,021			
252	TNT N.V. ADS (TNTTY PK)	154	2,805	4,743			
253	TOKIO MARINE HOLDINGS INC (TKOMY.PK)	352	12,267	9,578			
254	TOMKINS PLC (TKS)	485	3,545	6,058			
255	TOTAL FINA ELF S.A. (TOT)	143	8,708	9,158			
256	TOYOTA MTR CORP (TM)	60	4,550	5,050			
257	TRANSOCEAN LTD. (RIG)	358	27,538	29,642			
258	TRAVELERS COMPANIES INC (THE) (TRV)	53	2,793	2,643			
259	TYCO ELECTRONICS (TEL)	395	13,863	9,697			

Form 990-PF, Part II, Line 10b - Investments: Corporate Stock

TOTAL:		2,499,246	2,553,388	
	Description/Symbol/CUSIP Number	Shares	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
260	TYCO INTERNATIONAL LTD (TYC)	77	2,734	2,747
261	UDR INC (UDR)	109	1,427	1,792
262	ULTRA PETROLEUM CORP (UPL)	21	990	1,047
263	UNILEVER N V N Y (UN)	435	9,962	14,064
264	UNION PACIFIC (UNP)	416	21,644	26,582
265	UNITED STATES STEEL CORP (X)	88	3,400	4,851
266	UNITED TECHNOLOGIES CORP (UTX)	491	31,796	34,080
267	UNITEDHEALTH GROUP INC (UNH)	102	2,873	3,109
268	UPM-KYMMENE OYJ (UPMKY.PK)	395	5,544	4,677
269	US BANCORP DEL NEW (USB)	177	3,612	3,984
270	VALE CAP LTD GTD NT SER RIO CONV INTO COMPANHIA VA (CJA)	273	13,392	14,728
271	VALE SA (VALE)	241	3,239	6,996
272	VENTAS INC (VTR)	65	2,510	2,843
273	VERIZON COMMUNICATIONS (VZ)	277	9,635	9,177
274	VISA INC (V)	166	11,765	14,518
275	VIVO PARTICIPACOES SASPONSORED ADR REPSTG PFD (VIV)	159	1,113	4,926
276	VODAFONE GROUP PLC (VOD)	420	9,533	9,698
277	VORNADO RLTY TR (VNO)	119	7,196	8,323
278	WAL-MART STORES INC (WMT)	83	4,149	4,436
279	WALT DISNEY HOLDINGS CO (DIS)	448	13,491	14,448
280	WASH REAL EST INV TR MARYLAND (WRE)	85	2,412	2,342
281	WASTE MANAGEMENT INC (WM)	248	8,119	8,385
282	WD-40 COMPANY (WDFC)	436	14,417	14,109
283	WEINGARTEN REALTY INVESTORS SBI (WRI)	140	2,639	2,771
284	WELLS FARGO & CO. (NEW) PRFD 'L' (WFC-PL)	4	3,346	3,672
285	WELLS FARGO & CO. (WFC)	501	14,102	13,522
286	WHITING PETROLEUM CORP PRFD 'A' (WILL-A)	45	7,182	8,085
287	WOLSELEY PLC (WOSLY.PK)	3,005	7,454	6,250
288	WOLTERS KLUWER SIADR (WTKWY PK)	382	10,611	8,347
289	WORLD FUEL SVCS CP (INT)	810	22,100	21,700
290	XCEL ENERGY INC (XEL)	76	1,487	1,613
291	XL CAPITAL LTD (G98255600)	230	5,380	6,428
292	XTO ENERGY INC (XTO)	103	4,125	4,793
293	YOUNG INNOVATIONS, INC. (YDNT)	268	4,149	6,641
294	ZIMMER HOLDINGS INC (ZMH)	21	972	1,241

Form 990-PF, Part II, Line 10c - Investments: Corporate Bonds

TOTAL:		Shares	Line 10c - Column (b) Book Value	Line 10c - Column (c) Fair Market Value	1,554,760
Description/Symbol/CUSIP Number					
1	A D C TELECOMMUNICATIONS 1.596% 06/15/2013 (000886AB7)	17,000	14,025	13,728	
2	ALCOA INC SR NT CV 5.25000% 03/15/2014 (013817AT8)	5,000	11,225	12,969	
3	ALLEGHENY TECHNOLOGIES LNKD TO04.25000% 06/01/2014 (01741RAD4)	9,000	10,781	12,319	
4	ALLERGAN INC NT CONV 1.500% 04/01/2026 (018490AL6)	9,000	9,781	10,361	
5	AMGEN INC SR CV 0.125% 02/01/2011 (031162AN0)	9,000	8,322	8,872	
6	AT&T INC NOTES 05.60000% 05/15/2018 (00206RAM4)	40,000	40,867	41,650	
7	BEAR STEARNS COS IN NTS 06.400% 10/02/17 (073902PR3)	40,000	42,874	44,115	
8	BECKMAN COULTER INC SR NT CV 2.500% 12/15/2036 (075811AD1)	8,000	9,081	9,160	
9	BEST BUY CONV COMPANY GUARNT 02.250% JAN 15 2022 (086516AF8)	9,000	8,922	9,641	
10	BORGWARNER INC SR NT CV 3.50% 04/15/2012 (099724AF3)	9,000	10,981	11,351	
11	CARNIVAL CORPORATION CONV SENIOR NOTES 2.00% APR 15 2021 (143658AN2)	9,000	9,652	9,281	
12	CENTRAL EUROPEAN DIST CORP 3.00% 3/15/2013SR NT CV (153435AA0)	9,000	7,509	7,639	
13	CHESAPEAKE ENERGY COCNTIN SRNT CON 2.750% 11/15/35 (165167BW6)	9,000	8,242	8,460	
14	COMPANHIA BRASILEIRA DE BEBIDA 8.750% 09/15/2013 G (20441XAD4)	45,000	49,714	52,567	
15	CVS CAREMARK CORPORATION 5.750% 06/01/2017 SR NT (126650BH2)	45,000	48,139	47,493	
16	DANAHER CORP 0% 01/22/21 (235851AF9)	4,000	4,618	4,400	
17	DIRECTV HLDGS LLC 6.375% 06/15/2015 (25459HAD7)	45,000	46,238	46,744	
18	E M C CORP MASS SR NT CV 1.750% 12/01/2011 (268648AK8)	13,000	14,562	15,795	
19	FEDL HOME LN MTG CRPPPOOL #E01489 4.50000% 11/01/20 (31294KUN3)	123,031	128,184	127,481	
20	FISHER SCIENTIFIC INTL 3.25% 03/01/2024 (338032AX3)	8,000	12,360	10,620	
21	FORD MOTOR CR GLBL BD - 7.375% - 02/01/2011 (345397TS2)	40,000	40,400	40,800	
22	FREEMONT-MCMORAN COPPER & GOLD 8.25000% 04/01/2015 (35671DAR6)	50,000	48,125	54,125	
23	GENERAL ELEC CAP CORP MTN BE FR 6.750% 03/15/2032 (36962GXZ2)	50,000	41,588	50,947	
24	GILEAD SCIENCES INC SR CV 0.625% 05/01/2013 (375558AH6)	8,000	10,638	9,870	
25	GOLDMAN SACHS GROUP INC 5.75000% 10/01/2016SR NT (38141GER1)	45,000	42,611	47,557	
26	HASBRO INC SR DEB CV 2.75000% 12/01/2021 (418056AN7)	9,000	11,730	13,433	
27	HOME DEPOT INC 5.4% 03/01/16 (437076AP7)	45,000	47,075	46,562	
28	ICONIX BRAND GROUP INC 1.87500% 07/01/2012 (451055AB3)	5,000	4,394	4,469	
29	INGERSOLL-RAND CO LTD (45687AAD4)	4,000	5,509	8,210	
30	INTEL CORP JR SUB DB CV 2.950% 12/15/2035 (458140AD2)	17,000	15,959	16,405	
31	INVITROGEN CORPORATION (46185RAM2)	13,000	12,814	15,340	
32	JANUS CAP GROUP INC SR NT CV 3.25000% 07/15/2014 (47102XAG0)	6,000	7,185	7,230	
33	JEFFERIES GROUP INC NEW 3.87500% 11/01/2029 (472319AG7)	9,000	8,936	8,888	
34	KRAFT FOODS INC NT 6.75000% 02/19/2014 (50075NAX2)	40,000	43,333	44,233	
35	MERRILL LYNCH CO INC MTN BE 5.45000% 02/05/2013 (59018YM40)	50,000	42,269	52,684	
36	MICRON TEHCNOLOGY CONV NOTES 1.875% 06/01/2014 INC (595112AH6)	8,000	6,790	7,700	
37	MOLSON COORS BREWINGCO 2.500% 07/30/2013 LINKED TO (60871RAA8)	18,000	20,112	19,890	
38	NETAPP INC SR NT CV 1.75000% 06/01/2013 (64110DAB0)	5,000	4,925	6,200	
39	NEWMONT MINING CORP SR NT CV 3.00000% 02/15/2012 (651639AK2)	4,000	4,528	5,020	
40	NII HLDGS INC NT CV 3.12500% 06/15/2012 (62913FAJ1)	13,000	9,559	11,901	
41	OMNICOM GROUP 0% 2038 (681919AT3)	9,000	9,128	8,843	
42	ON SEMICONDUCTOR CORP PREFERRED (682189AG0)	9,000	7,871	9,630	
43	PROLOGIS CONV NOTES 02.62500% 05/15/2038LINKED TO (743410AS1)	17,000	12,823	15,236	
44	ROYAL CARIBBEAN CRUISES LTD 8.75000% 02/02/2011 SR NT (780153AL6)	45,000	43,339	47,081	

Form 990-PF, Part II, Line 10c - Investments: Corporate Bonds

		TOTAL:		1,458,705	1,554,760
	Description/Symbol/CUSIP Number	Shares	Line 10c - Column (b) Book Value	Line 10c - Column (c) Fair Market Value	
45	SBA COMMUNICATIONS CORP CONV 01 87500% 05/01/2013L (78388JAN6)	5,000	5,091	5,131	
46	SMITHFIELD FOODS INCSR NT CV 4 00000% 06/30/2013 (832248AR9)	9,000	9,363	8,854	
47	SPRINT NEXTEL CORP NOTE 08.37500% 08/15/2017 (852061AF7)	50,000	49,250	51,000	
48	SUNTECH PWR HLDGS CO LTD 3.00000% 03/15/2013 (86800CAE4)	14,000	10,697	10,500	
49	TECH DATA CORP SR DB CV 2 75000% 12/15/2026 (878237AE6)	13,000	12,500	14,008	
50	TESORO CORP 6.250% 11/01/2012 (881609AQ4)	50,000	45,276	50,000	
51	TEVA PHARMACEUTICAL FIN II LLC 0.25000% 02/01/2024 (88164RAB3)	9,000	11,755	14,670	
52	TIME WARNER INC 5.875% 11/15/2016 MAKE WHOLE (887317AC9)	50,000	49,076	53,902	
53	TRANSOCEAN SEDCO FOREX INC 1.62500% 12/15/2037 (893830AU3)	18,000	18,402	17,820	
54	UNITED AUTO GROUP INC 3.50000% 04/01/2026CV SB INT (909440AH2)	5,000	3,109	5,044	
55	VALE OVERSEAS LTD GTD NT 5.62500% 09/15/2019 (91911TAJ2)	40,000	40,702	40,196	
56	VERIZON N J INC DEB A 5.875% 01/17/2012 MAKE WHOLE (92344UAA3)	40,000	41,392	42,618	
57	VORNADO REALTY LP 3.875% (929043AC1)	8,000	8,124	8,760	
58	WACHOVIA CORP - 5.500% - 05/01/2013 (92976WBJ4)	50,000	48,122	53,310	
59	WAL-MART STORES DUE 09/01/35 5.25% (931142CB7)	50,000	45,943	48,791	
60	WESTCO INTERNATIONAL INC 6.00% 9/15/29 (95082PHA8)	3,000	2,910	3,585	
61	WYNDHAM WORLDWIDE CONVERTIBLE NT 03.50% 05/01/2012 (98310WAC2)	9,000	13,025	15,131	
62	YUM BRANDS INC NOTE 6.250% 03/15/2018 MAKE WHOLE (988498AC5)	50,000	46,250	54,540	

TOTAL:	0	0	0
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[illegible]

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NATIONAL ARBOR DAY FOUNDATION

☐ Person ☒ Business**Street**

211 N 12TH ST STE 501

City

LINCOLN

State

NE

Zip code

68508

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,000

Name

NY FOUNDLING HOSPITAL CTR FOR PEDIATRIC MED & REHAB

☐ Person ☒ Business**Street**

590 AVE OF AMERICAS

City

NEW YORK

State

NY

Zip code

10011

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Elizabeth Seton Pediatric Center

Amount

15,000

Name

NEWBURGH MINISTRY INC

☐ Person ☒ Business**Street**

9 JOHNSTON ST

City

NEWBURGH

State

NY

Zip code

12550

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

8,025

Name

PUTNAM ARTS COUNCIL

☐ Person ☒ Business**Street**

PO BOX 156

City

MAHOPAC

State

NY

Zip code

10541

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

5,000

Name

PUTNAM FELINES INC

☐ Person ☒ Business**Street**

328 LAKE SHORE RD

City

PUTNAM VALLEY

State

NY

Zip code

10579

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,500

Name

SAN MIGUEL ACADEMY OF NEWBURGH

☐ Person ☒ Business**Street**

15 CHESTER AVE

City

WHITE PLAINS

State

NY

Zip code

10601

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

15,000

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

SERVANTS OF RELIEF FOR INCURABLE CANCER

☐ Person ☒ Business**Street**

600 LINDA AVE

City

HAWTHORNE

State

NY

Zip code

10532

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

10,000

Name

ST BARNABAS HOSPITAL

☐ Person ☒ Business**Street**

183RD ST AND 3RD AVE

City

BRONX

State

NY

Zip code

10457

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

15,000

Name

ST BARNABAS HOSPITAL

☐ Person ☒ Business**Street**

183RD ST AND 3RD AVE

City

BRONX

State

NY

Zip code

10457

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Charitable Event

Amount

200

Name

ST JUDE CHILDRENS RESEARCH HOSPITAL INC

☐ Person ☒ Business**Street**

332 N LAUDERDALE ST STOP 512

City

MEMPHIS

State

TN

Zip code

38105

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

10,000

Name

THE PUTNAM VALLEY HISTORICAL SOCIETY

☐ Person ☒ Business**Street**

PO 8297

City

PUTNAM VALLEY

State

NY

Zip code

10579

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

1,000

Name

TOWN OF PUTNAM VALLEY

☐ Person ☒ Business**Street**

265 OSCAWANA LAKE RD

City

PUTNAM VALLEY

State

NY

Zip code

10579

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

General & Unrestricted

Amount

2,600

Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

UNIVERSITY OF AKRON FOUNDATION

☐ Person ☒ Business**Street**

302 BUCHTEL MALL

City

AKRON

State

OH

Zip code

44325

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Archives of the History of American Psychology Association

Amount

10,000

Name

WESTCHESTER CHILDRENS ASSOCIATION INC

☐ Person ☒ Business**Street**

175 MAIN ST STE 702

City

WHITE PLAINS

State

NY

Zip code

10601

Foreign Country**Relationship**

N/A

Foundation Status

509(a)(2)

Purpose of grant/contribution

General & Unrestricted

Amount

1,000

Name☐ Person ☐ Business**Street****City****State****Zip code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

0

Name☐ Person ☐ Business**Street****City****State****Zip code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

0

Name☐ Person ☐ Business**Street****City****State****Zip code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

0

Name☐ Person ☐ Business**Street****City****State****Zip code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

0