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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE JAMES MARSTON FITCH CHARITABLE FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR		A Employer identification number 13-3993856
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 232 EAST 11TH STREET		B Telephone number (212) 252-6809
	City or town, state, and ZIP code NEW YORK, NY 10003		C If exemption application is pending, check here <input type="checkbox"/>
	H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 450,149.		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	36,136.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	461.	461.		STATEMENT 1
	4 Dividends and interest from securities	7,019.	7,019.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<3,322.>			
	b Gross sales price for all assets on line 6a	60,692.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	13,744.	0.		STATEMENT 3	
12 Total. Add lines 1 through 11	54,038.	7,480.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	3,875.	1,163.		2,712.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	<53.>	<53.>		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	35,627.	2,955.		32,672.
	24 Total operating and administrative expenses. Add lines 13 through 23	39,449.	4,065.		35,384.
	25 Contributions, gifts, grants paid	62,650.			62,650.
26 Total expenses and disbursements. Add lines 24 and 25	102,099.	4,065.		98,034.	
27 Subtract line 26 from line 12	<48,061.>				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		3,415.			
c Adjusted net income (if negative, enter -0-)			N/A		

SCANNED MAY 19 2010

**THE JAMES MARSTON FITCH CHARITABLE
FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR**

13-3993856

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use	29,628.	29,628.	29,628.
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	470,843.	422,782.	420,521.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	500,471.	452,410.	450,149.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	500,471.	452,410.	
	30 Total net assets or fund balances	500,471.	452,410.	
Total	31 Total liabilities and net assets/fund balances	500,471.	452,410.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	500,471.
2 Enter amount from Part I, line 27a	2	<48,061.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	452,410.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	452,410.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a UBS A/C 31276 - PER SCHEDULE	P		
b UBS A/C 39143 - PER SCHEDULE	P		
c UBS A/C 39143 - PER SCHEDULE	P		
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 45,004.		46,424.	<1,420.>
b 5,826.		5,136.	690.
c 9,849.		12,454.	<2,605.>
d 13.			13.
e			

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			<1,420.>
b			690.
c			<2,605.>
d			13.
e			

2 Capital gain net income or (net capital loss)	<div> <div> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div>	2	<3,322.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	41,425.	637,196.	.065011
2007	52,721.	440,935.	.119566
2006	48,450.	412,032.	.117588
2005	39,633.	318,695.	.124360
2004	31,206.	199,820.	.156171
2 Total of line 1, column (d)			2 .582696
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .116539
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 431,100.
5 Multiply line 4 by line 3			5 50,240.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 34.
7 Add lines 5 and 6			7 50,274.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 98,034.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	34.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	34.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	34.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	240.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	240.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	206.
11	Enter the amount of line 10 to be Credited to 2010 estimated tax <input checked="" type="checkbox"/> 206. Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://WWW.FITCHFOUNDATION.ORG/HISTORY.HTM</u>	13	X	
14	The books are in care of ► <u>ROBERT SILVAN</u> Telephone no ► <u>(212) 620-7970</u> Located at ► <u>88 UNIVERSITY PLACE, NEW YORK, NY</u> ZIP+4 ► <u>10003</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐ Yes ☒ No

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No **6b** **X**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **N/A** **7b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	N/A	
2		
	All other program-related investments See instructions	
3		
Total. Add lines 1 through 3		0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	189,120.
b	Average of monthly cash balances	1b	248,545.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	437,665.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	437,665.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,565.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	431,100.
6	Minimum investment return. Enter 5% of line 5	6	21,555.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	21,555.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	34.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	34.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	21,521.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	21,521.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,521.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	98,034.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	98,034.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	34.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	98,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				21,521.
2 Undistributed Income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	26,226.			
b From 2005	39,633.			
c From 2006	48,450.			
d From 2007	52,721.			
e From 2008	41,425.			
f Total of lines 3a through e	208,455.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$	98,034.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	98,034.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	21,521.			21,521.
6 Enter the net total of each column as indicated below:	284,968.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	4,705.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	280,263.			
10 Analysis of line 9				
a Excess from 2005	39,633.			
b Excess from 2006	48,450.			
c Excess from 2007	52,721.			
d Excess from 2008	41,425.			
e Excess from 2009	98,034.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

2009.03040 THE JAMES MARSTON FITCH CHA FITCHF01

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE				
Total			3a	62,650.
b Approved for future payment SEE ATTACHED SCHEDULE				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					461.
4 Dividends and interest from securities					7,019.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					<3,322.>
9 Net income or (loss) from special events					<3,947.>
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	211.
13 Total. Add line 12, columns (b), (d), and (e)					211.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

Preparer's signature 

Date

Check if self-employed	
------------------------	--

Preparer's identifying number

Firm's name (or yours
if self-employed),
address, and ZIP code

GRAYSON & BOCK
74 TRINITY PLACE - SUITE 1500
NEW YORK, NY 10006

EIN ▶

Phone no 212-483-1080

Form **990-PF** (2009)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

THE JAMES MARSTON FITCH CHARITABLE
FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR

Employer identification number

13-3993856

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization
**THE JAMES MARSTON FITCH CHARITABLE
 FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR**

Employer identification number

13-3993856

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ANNE VAN INGEN 175 VARICK STREET NEW YORK, NY 10014	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	ROBERT SILMAN ASSOCIATES 88 UNIVERSITY PLACE NEW YORK, NY 10003	\$ 2,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3	CONTRIBUTIONS OF LESS THAN \$ 1,000 VARIOUS VARIOUS	\$ 2,136.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4	MARTICA SAWIN 61 JANE STREET NEW YORK, NY 10014	\$ 1,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5	JOHN & LINDA STUBBS 497 10TH STREET BROOKLYN, NY 11216	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
6	MARY DIERICKX 125 CEDAR STREET - SUITE 11S NEW YORK, NY 10006	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization
**THE JAMES MARSTON FITCH CHARITABLE
 FOUNDATION, INC.-C/O NEIGHBORHD PRES CTR**

Employer identification number
13-3993856

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	WIDGEON PT CHARITABLE FOUNDATION 10 CLIFFDALE ROAD GREENWICH, CT 06831	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
8	WILLIAM HIGGINS 130 EAST 94TH STREET NEW YORK, NY 10128	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
9	EDWARD MOHYLOWSKI 257 PARK AVENUE SOUTH NEW YORK, NY 10010	\$ 1,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
10	SAMUEL KRESS FOUNDATION 174 EAST 80TH STREET NEW YORK, NY 10128	\$ 20,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
UBS FINANCIAL SERVICES	461.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	461.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS FINANCIAL SERVICES - NOMINEE	7,032.	13.	7,019.
TOTAL TO FM 990-PF, PART I, LN 4	7,032.	13.	7,019.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GROSS INCOME FROM SPECIAL FUNDRAISING EVENTS	13,744.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	13,744.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,875.	1,163.		2,712.
TO FORM 990-PF, PG 1, LN 16B	3,875.	1,163.		2,712.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAX	<99.>	<99.>			0.
FOREIGN TAXES	46.	46.			0.
TO FORM 990-PF, PG 1, LN 18	<53.>	<53.>			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ADMINISTRATION EXPENSES	11,870.	0.			11,870.
INVESTMENT FEES	2,955.	2,955.			0.
NYS FILING FEE	100.	0.			100.
OFFICE & MISCELLANESOUS EXPENSES	3,011.	0.			3,011.
SYMPOSIUM EXPENSES	17,691.	0.			17,691.
TO FORM 990-PF, PG 1, LN 23	35,627.	2,955.			32,672.

FOOTNOTES

STATEMENT 7

PART XVI-A - ANALYSIS OF INCOME-PRODUCING
ACTIVITIES - LINE 9

SYMPOSIUM

GROSS REVENUES	10,760.
CONTRIBUTIONS	2,984.
REGISTRATION	
	<u>13,744.</u>
RELATED EXPENSES	
SPEAKERS' FEES	500.
ADMINISTRATIVE EXPENSES	6,720.
AUDIO VISUAL EXPENSES	1,000.
CATERING	3,180.
SPEAKERS' TRAVEL EXPENSES	1,360.
OTHER EXPENSES	4,931.
	<u>17,691.</u>
NET LOSS FROM SPECIAL EVENTS	<u><3,947.></u>

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS FINANCIAL SERVICES	COST	422,782.	420,521.
TOTAL TO FORM 990-PF, PART II, LINE 13		422,782.	420,521.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	9
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NAME OF CONTRIBUTOR	ADDRESS
ROBERT SILMAN ASSOCIATES	88 UNIVERSITY PLACE NEW YORK, NY 10003
ANNE VAN INGEN	175 VARICK STREET NEW YORK, NY 10014
WIDGEON PT CHARITABLE FOUNDATION	10 CLIFFDALE ROAD GREENWICH, CT 06831
MARY DIERICKX	125 CEDAR STREET, SUITE 11S NEW YORK, NY 10006
MARTICA SAWIN	61 JANE STREET NEW YORK, NY 10014
SAMUEL KRESS FOUNDATION	174 EAST 80TH STREET NEW YORK, NY 10128
JOHN & LINDA STUBBS	497 10TH STREET BROOKLYN, NY 11216
WILLIAM HIGGINS	130 EAST 94TH STREET NEW YORK, NY 10128
EDWARD MOHYLOWSKI	257 PARK AVENUE SOUTH NEW YORK, NY 10010

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT
JOHN STUBBS 95 MADISON AVENUE NEW YORK, NY 10016	CHAIRMAN - TRUSTEE 0.00	0.	0. 0.
WILLIAM J. HIGGINS 11 HANOVER SQUARE NEW YORK, NY 10005	VICE-CHAIRMAN - TRUSTEE 0.00	0.	0. 0.
ANNE VAN INGEN 175 VARICK STREET NEW YORK, NY 10014	SECRETARY - TRUSTEE 0.00	0.	0. 0.
ROBERT SILMAN 88 UNIVERSITY PLACE NEW YORK, NY 10003	TREASURER - TRUSTEE 0.00	0.	0. 0.
PAMELA HAWKES 33 KINGSTON STREET BOSTON, MA 02111	TRUSTEE 0.00	0.	0. 0.
FREDERICK BLAND 41 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0. 0.
AMY FREITAG 41 SUMMIT AVENUE UPPER MONTCLAIR, NJ 07043	TRUSTEE 0.00	0.	0. 0.
THEODORE PRUDON 135 WEST 70TH STREET NEW YORK, NY 10023	TRUSTEE 0.00	0.	0. 0.
MARTICA SAWIN 61 JANE STREET NEW YORK, NY 10014	TRUSTEE 0.00	0.	0. 0.
FELICIA MAYRO 252 EAST 11TH STREET NEW YORK, NY 10003	TRUSTEE 0.00	0.	0. 0.
EDWARD MOHYLOWSKI 257 PARK AVENUE SOUTH NEW YORK, NY 10010	TRUSTEE 0.00	0.	0. 0.

THE JAMES MARSTON FITCH CHARITABLE FOUND

13-3993856

ANNE LEBLEU	EXECUTIVE DIRECTOR			
101 WEST 79TH STREET, APT. 16D	0.00	0.	0.	0.
NEW YORK, NY 10024				

MARY DIERICKX	TRUSTEE			
125 CEDAR STREET	0.00	0.	0.	0.
NEW YORK, NY 10006				

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.	0.	0.
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THE JAMES MARSTON FITCH CHARITABLE FOUNDATION, INC.

FORM 990-PF

YEAR 2009

EIN 13-3993856

ELECTION

I, John Stubbs, as Chairman of The James Marston Fitch Charitable Foundation, Inc. per section 4946(b)(1) hereby elect under this subparagraph that the \$98,034 qualifying distributions made in the year 2009 be treated as made out of corpus.

A handwritten signature in black ink, appearing to read "John Stubbs", followed by the date "5/19/10". The signature is written over a horizontal line.

John Stubbs, Chairman

990-PF - SCHEDULE 1

1/4

JAMES MARSTON FITCH CHAR FOUNDATION
EIN 13-3993856

	CALENDAR YEAR	2009	2009
	BEGINNING OF YEAR (a) BOOK VALUE	END OF YEAR (b) BOOK VALUE	END OF YEAR Fair Market Value
<u>PART II - LINE 13.</u>			
RMA MM PORTFOLIO	\$ 253,040 30	\$ 212,885 19	\$ 210,032 89
CORPORATE BONDS:			
20,000 PROCTER & GAMBLE 6 875% MATURES 1/25/31	21,424 50	-	-
MUTUAL FUNDS:			
168 902 KEELEY SMALL CAP VALUE FUND CLASS A	4,772 86	4,779 09	3,347 63
PREFERRED SECURITIES:			
3 Blackrock Global Floating Rate Income Tr Ser R7	25,000 00	-	-
OTHER EQUITY INVESTMENTS:			
80 Alliance Bernstein Holding LP MLP	3,632 00	3,632 00	2,248 00

JAMES MARSTON FITCH CHAR FOUNDATION
EIN 13-3993856

	CALENDAR YEAR	2009	2009
	BEGINNING OF YEAR (a) BOOK VALUE	END OF YEAR (b) BOOK VALUE	END OF YEAR Fair Market Value
<u>PART II - LINE 13.</u>			
CERTIFICATES OF DEPOSIT:			
20,000 Westernbank PR 5 05% matures 3/29/10	\$ 20,000 00	\$ 20,000 00	\$ 20,103 20
COMMON STOCK:			
92 000 ABBOTT LABS		4,308 17	4,967 08
220 000 ALTRIA GROUP, INC	4,124 25	4,489 41	4,318 60
38 000 APPLE, INC		3,626 45	8,007 81
200 000 AQUA AMER, INC	4,500 00	4,500 00	3,502 00
1 000 BERKSHIRE HATHAWAY, INC.		2,391 00	3,286 00
75 000 BHP BILLITON LTD		4,776 00	5,743 50
75 000 BP PLC SPON ADR		3,909 75	4,347 75
35 000 BURLINGTON SANTA FE CORP	3,137 32	3,137 32	3,451 70
85 000 CAMPBELL SOUP CO	3,183 25		
75 000 CENOVUS ENERGY, INC		1,951 88	1,890 00
65 000 CONOCOPHILLIPS	5,182 60	5,182 60	3,319 55
60 000 DEERE AND CO	3,432 90	3,432 90	3,245 40
65 000 DIAGEO PLC NEW GB SPON ADR	4,951 00	4,951 00	4,511 65
115 000 DOW CHEMICAL	3,774.13	3,774 13	3,177 45
275 000 DUKE ENERGY HOLDING CORP	4,696 97	4,696 97	4,732 75
75 000 ENCANA CORP		2,072 62	2,429 25
75 000 EXXON MOBIL CORP	4,406 60	5,831 00	5,114 25
60 000 FPL GROUP, INC	3,615 00	3,615 00	3,169 20
55 000 FREEPORT-MCMORAN COPPER & GOLD, INC	3,407 80	3,407 80	4,415 95
175 000 GENERAL ELECTRIC CO	4,750 99	4,750 99	2,647 75

JAMES MARSTON FITCH CHAR FOUNDATION
EIN 13-3993856

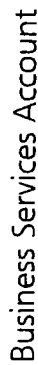
	CALENDAR YEAR	2009	2009
	BEGINNING OF YEAR (a) BOOK VALUE	END OF YEAR (b) BOOK VALUE	END OF YEAR Fair Market Value
PART II - LINE 13.			
COMMON STOCK			
80 000 GENUINE PARTS CO	\$ 3,540 00		
117 000 GOLDCORP, INC - NEW		\$ 3,904 36	\$ 4,602 78
80 000 ITT CORP	4,884 30	4,884 30	3,979 20
85 000 KIMBERLY CLARK CORP	4,280 55	5,470 75	5,415 35
127 000 KRAFT FOODS, INC CL A	2,877 01	3,736 51	3,451 86
100 000 LEGG MASON, INC		2,844 90	3,016 00
50 000 LORILLARD, INC	2,772 40	3,546 21	4,011 50
40 000 MARATHON OIL CORP	1,733 60		
75 000 MCDONALDS CORP		4,219 17	4,683 00
165 000 MERCK & CO, INC		3,834 77	6,029 10
300 000 MICROSOFT CORP	4,843 80	7,799 35	9,144 00
390 000 NOKIA CORP	4,800 73	7,336 29	5,011 50
180 000 PARAGON SHIPPING, INC CI A	2,867 40	2,867 40	819 00
120 000 PETROLEO BRASILEIRO S A	6,670 67	6,670 67	5,721 60
380 000 PFIZER, INC	6,840 00	6,840 00	6,912 20
80 000 PHILIP MORRIS INT'L, INC	3,404 53	3,876 89	3,855 20
131 000 PRECISION DRILLING TRUST	2,709 39	2,753 01	949 75
70 000 PROCTOR & GAMBLE CO	4,475 80	4,475 80	4,244 10
60 000 J M SMUCHER CO	2,791 20	2,791 20	3,705 00
105 000 SPECTRA ENERGY CORP	2,754 68	2,754 68	2,153 55
108 000 STRYKER CORP		3,625 78	5,439 96
50 000 STATE STREET CORP	3,997 50		
12 000 TECK COMINEO LTD CANADA	127 32		

990-PF - SCHEDULE 1

4/4

JAMES MARSTON FITCH CHAR FOUNDATION
EIN 13-3993856

	CALENDAR YEAR	2009	2009
	BEGINNING OF YEAR (a) BOOK VALUE	END OF YEAR (b) BOOK VALUE	END OF YEAR Fair Market Value
<u>PART II - LINE 13.</u>			
COMMON STOCK			
250 000 TELSTRA CORP LTD		\$ 3,962 50	\$ 3,856 00
60 000 UNITED TECHNOLOGIES CORP	\$ 3,622 20	3,622 20	4,164 60
80 000 WAL MART STORES, INC	3,903.40	3,903 40	4,276 00
90 000 WYETH	3,105 32		
55 000 YUM! BRANDS, INC	1,626 62	1,626 63	1,923 35
55 000 3M CO	3,749 35	3,749 35	4,546 85
<u>Closed end mutual funds</u>			
110 000 ISHARES DOW JONES US PHARMACEUTICAL INDEX FUND	5,584 70	5,584 70	6,342 60
72 000 KAYNE ANDERSON ENERGY TOTAL RETURN FUND, INC	1,847 94		
<u>Accured interest</u>	<u>-</u>	<u>-</u>	<u>257 34</u>
TOTAL	<u>\$ 470,842 88</u>	<u>\$ 422,782 09</u>	<u>\$ 420,520 75</u>



Account name: THE JAMES MARSTON FITCH
Account number: WE 31276 2M

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security/lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
PROCTER & GAMBLE CO									
06.875% 091509 DTD091699	FIFO	20,000.000	Jun 22, 01	Sep 15, 09	20,000.00	21,424.50	-1,424.50		
FC031500 GLOBAL									
BLACKROCK GLOBAL									
FLOATING RATE INCOME TRUST SER R7 AUCTION PFD	FIFO	1 000	Oct 21, 04	Jan 16, 09	25,004.14	25,000.00		4.14	
Total					\$45,004.14	\$46,424.50	-\$1,424.50	\$4.14	-\$1,420.36
Net capital gains/losses:									-\$1,420.36

Net capital gains/losses:



Account name: THE JAMES MARSTON FITCH
Account number: WE 39143 2M

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
TECK RESOURCES LTD CL B									
ORD	FIFO	12,000	Oct 31, 08	Aug 25, 09	316.55	127.32		189.23	✓
WYETH ***MERGER EFF: 10/09***	FIFO	90,000	Nov 14, 08	Sep 11, 09	4,314.57	3,105.31		1,209.26	✓
KAYNE ANDERSON ENERGY									
TOTAL RETURN FUND INC	FIFO	2,000	Oct 10, 08	May 12, 09	31.44	27.94		3.50	✓
	FIFO	70,000	Jul 16, 08	May 12, 09	1,100.41	1,820.00	-719.59	✓	
	FIFO	4,000	Earnings	May 12, 09	62.88	55.36		7.52	✓
Total					\$5,825.85	\$5,135.93	-\$719.59	\$1,409.51	\$689.92

Long-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
CAMPBELL SOUP CO	FIFO	85,000	Jul 31, 07	Sep 11, 09	2,816.31	3,183.25	-366.94	✓	
GENUINE PARTS CO	FIFO	80,000	Feb 05, 08	Aug 25, 09	3,086.32	3,540.00	-453.68	✓	
MARATHON OIL CORP	FIFO	40,000	Jul 16, 08	Aug 25, 09	1,273.16	1,733.60	-460.44	✓	
STATE STREET CORP	FIFO	50,000	Apr 09, 08	Aug 25, 09	2,673.43	3,997.50	-1,324.07	✓	
Total					\$9,849.22	\$12,454.35	-\$2,605.13		-\$2,605.13

EIN 13-3993856

PART XV - LINES 2b,c, & d

JAMES MARSTON FITCH CHARITABLE FOUNDATION
MID-CAREER GRANT PROGRAMGoals and Objectives

The James Marston Fitch Charitable Foundation, Inc. (the "Foundation") is being established in recognition of the unique contribution of Dr. Fitch to historic preservation in the United States. Its purpose is to advance the study and the practice of the preservation of the historic architectural heritage in the United States. The Foundation advances and supports preservation through a special research grant program as well as through such educational activities as seminars and lectures.

Mid-Career Grant Program

The Foundation will provide annual research grants in historic preservation to mid-career professionals. The grants are intended to support innovative original research and creative design which advance the practice of preservation. Projects must demonstrate usefulness to practitioners and the results must be in publishable form. The grants are to be regarded as incentives for innovative work rather than as a prize for past accomplishments.

Eligibility

Grants will be available to qualified mid-career professionals with established backgrounds in historic preservation or related fields. Candidates must have an advanced or professional degree and 10 years professional experience in historic preservation or in related fields such as architecture, landscape architecture, urban design, planning, law, engineering, archaeology, architectural history and the decorative arts. The project must be relevant and useful to the preservation community in the United States. The Foundation will not discriminate based on race, sex, ethnicity, age, religion, disability or sexual orientation.

Application Process

An announcement of the grant program will be made each year. Approximately seven (7) months before the application is due, a press release describing the Foundation, its goals, and the requirements of the grant program and application will be mailed to approximately two dozen publications in the United States specializing in the field of historic preservation, architecture, architectural history, and related fields as well as to all existing university programs in historic preservation. The announcement will describe the criteria for selection, the details for the proposals and the submission deadline for that year. The administrator's name and the Foundation's address and telephone number will be given in the announcement.

Proposal Requirements

Applicants will be required to submit three (3) copies of their proposals. The proposal must contain: a brief description of the project and the work product, not to exceed three (3) pages; a schedule of work and budget showing how the grant money will be applied; a curriculum vita, including professional and academic background and past and present grants received; and, two (2) letters of support for the project.

EIN 13-3993856

PART XV - LINES 2b,c, & d

JAMES MARSTON FITCH CHAR. FOUNDATION

Criteria for Selection

- demonstrated need for the proposed study and evidence of its value to the preservation community in the United States
- background, qualifications and professional experience of the applicant
- feasibility of the proposed research, work product, schedule and budget
- complete submittal of all of the requirements

Selection Process

The administrator will answer all inquiries by mail and telephone. No applications will be considered which arrive in person after, or are postmarked after, the deadline stated in the grant announcement. As applications are received, the administrator will log them in and hold them until all the applications are received. The administrator then sends the applications to the Board members and makes sure that everyone has had at least two weeks to review them.

All members of the Board of Directors will review each of the applications and grade them privately, using the letters A, B, C, F, and Does Not Apply. The applications are discussed at a special grant review Board meeting. If it is clear that there will not be a quorum, the meeting date will be rescheduled until a time when there will be a quorum. Board members will discuss each application in that meeting. When a Board member cannot attend, the member will have the option to hand in his or her grading sheet, which is consulted during the discussion at the Board meeting. Members can also consult by telephone. As a result of the discussions, the applications are narrowed to approximately ten (10). At the end of the discussion, the Board members present at the meeting vote to determine who will be awarded the grant(s).

Grant Award

The recipient(s) will be notified by mail. The main award will be divided into four (4) equal payments, to be made by check to the awardee. The first payment is upon award of the grant. Progress reports are required for the second and third payments. The final payment will be made only after the final report is submitted and approved. Projects are required to be completed within twelve (12) months of the grant award, except when an extension is approved by the Board of Directors. A Board member will be assigned to follow the progress of the awardee and to review and approve the progress reports and the final report, in consultation with the administrator and the chairperson.

Final and completed studies are required to consist of one complete original with appropriate illustrations and two complete copies. The Foundation requires that its grant is acknowledged in all publications and reserves the right to publish the results if it chooses to do so. Proper credit will be given to the author/recipient.

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990-PF- SCHEDULE

JAMES MARSTON FITCH CHAR. FOUNDATION
EIN 13-3993856

CALENDAR YEAR

2009**PART XV - LINE 3a & 3b**

	<u>PAYMENTS MADE IN PRIOR YEARS</u>	<u>PAYMENTS MADE 2009</u>	<u>AMOUNTS PAYABLE IN FUTURE YEARS</u>	<u>TOTAL GRANT</u>
AWARDEE:				
MARJORIE PEARSON	\$ 15,000	-	\$ 5,000	\$ 20,000
ELIZABETH WATSON	18,750	-	6,250	25,000
ALISON ISENBERG	7,500	\$ 7,500	-	15,000
GREGORY FREE	12,500	12,500	-	25,000
SUSANNAH C. DRAKE	5,000	-	10,000	15,000
SAMUEL GRUBER	11,250	3,750	-	15,000
DAVID OWEN TRYBA	3,750	-	11,250	15,000
KIRK HUFFAKER	5,700	11,400	5,700	22,800
SARA WERMIEL	-	10,000	10,000	20,000
PAUL MARRIOTT	-	5,000	5,000	10,000
VICKI WEINER	-	12,500	7,500	20,000
NED KAUFFMAN	-	-	5,000	5,000
LAURA BLOKKER & HEATHER KNIGHT	<u>-</u>	<u>-</u>	<u>10,000</u>	<u>10,000</u>
	<u>\$ 79,450</u>	<u>\$ 62,650</u>	<u>\$ 75,700</u>	<u>\$ 217,800</u>



James
Marston
Fitch
Charitable
Foundation

2009 James Marston Fitch Charitable Foundation Grantees

This year, the Fitch Foundation will award two grants to three individuals. While the projects vary in topic and scope, the Board believes that in their unique way, each tackles subjects that are much discussed in the field today.

Ned Kauffman, *Intangible Cultural Heritage: Identifying and Protecting the Intangible Dimensions of Buildings, Sites and Places* \$5000:

Kauffman will attend the ICOMOS International Scientific Committee on Intangible Heritage meetings in Dublin from October 26-31, 2010 using a portion of the grant to cover airfare, food, and lodging for 6 nights. Between now and then he will work with Marilyn Truscott, Chairman of the Committee, as one of a small group of people developing the agenda for discussion. Following the meetings Kauffman will continue to work as part of a core group on follow-up projects which as of now are expected to include: development of an online series of articles and case studies; development of a formal ICOMOS statement on intangible heritage; and organization of a 2011 conference on intangible heritage. Kauffman will contribute a short article to the online series.

Laura Blokker and Heather Knight:

Louisiana's Bousillage Tradition: Investigation of Past Techniques for Future Practice, \$10,000

Blokker-Knight's project addresses the Colonial building technique of *bousillage*, which was used in Creole and Arcadian Louisiana during the eighteenth and nineteenth centuries. Based on the European tradition but adapted to the new world climate, *bousillage* is a multi-cultural building tradition that has had very few published comprehensive studies. Blokker and Knight propose to publish such a report: one that will combine materials analysis with oral history, field studies, trials and mock-ups and will outline next steps for a professional primer. They will present their findings at conferences next year.

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The Fitch Foundation is
a 501(c)(3) organization.
All contributions are
tax-deductible to the
fullest extent of the law.

The James Marston Fitch Charitable Foundation

2008 Awards

Kress Mid-Career Grant Award

Sara Wermiel

Project: American Architect - Engineers in the Nineteenth Century

Sara will complete a book-length publishable study of American architect engineers in the nineteenth century, their accomplishments and technological contributions, and why this type of professional disappeared in the twentieth century.

Fitch Mid-Career Grant Awards

Paul Marriott

Project: Developing a Uniform Taxonomy for Historic Roads.

Paul will prepare a SHPO guidebook establishing a uniform national taxonomy for historic roads, defining essential preservation terminology within standard DOT nomenclature.

Vicki Weiner

Project: Historic Preservation and Community Development: Protecting "Place" in Low Income Communities.

Vicki will propose strategies for imbedding the values and tools of historic preservation into community development activities in New York City's low-income communities.

The James Marston Fitch Charitable Foundation

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Erin M. Tobin, Executive Director

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law.*

FOR IMMEDIATE RELEASE

Contact: Erin Tobin, Executive Director
info@fitchfoundation.org or 518-894-5314.

*The James Marston Fitch Charitable Foundation announces our
inaugural Richard Blinder Award, as well as our latest Mid-Career Grant
Award.*

New York, April 28, 2008 – John H. Stubbs, Chair of the James Marston Fitch Charitable Foundation, announced on behalf of the Foundation's trustees the inaugural Richard Blinder Award and latest Fitch Mid-Career Grant Award. "The Foundation is delighted to award our first-ever Richard Blinder Award, in memory of our late Trustee, Richard Blinder, in addition to our latest Fitch Mid-Career Grant," stated Stubbs.

David Owen Tryba, FAIA, received the Richard Blinder Award for his proposal, *Denver's Civic Center Park*. David Tryba is Founding Principal of the firm, David Owen Tryba Architects, with a design philosophy grounded in modern contextual urbanism and the respect for historic context while using modernist design techniques.

Mr. Tryba's *Denver's Civic Center Park* project will document the process of achieving consensus to relocate the Colorado Historical Society and Museum within Denver's Civic Center Park. While bringing together diverse constituencies to site the Historical Society, History Museum, and Stephen H. Hart Reading Room within Civic Center Park, Mr. Tryba will document and illustrate how he builds support among these groups and the processes he employs. This documentation will provide guidance and insight to other cultural institutions contemplating the marriage of a new structure with a historic building within a beloved historic landscape.

The Richard Blinder Award is generously underwritten by Beyer Blinder Belle, Mrs. Richard Blinder, and donations made to the Fitch Foundation in memory of Richard Blinder.

Kirk Huffaker received the Fitch Mid-Career Grant Award for his proposal, *The Architecture of W.A. Sarmiento: Defining Downtown Banks at Mid-Century*. Mr. Huffaker is Executive Director of Utah Heritage Foundation and actively involved with the Recent Past Preservation Network.

continued

The James Marston Fitch Charitable Foundation

Mr. Huffaker's project will include research and photography of prominent bank architect W.A. Sarmiento's work throughout the United States. As Director of Design for the Bank Building and Equipment Corporation (BBC) from 1954-1965, Sarmiento helped the BBC to become the largest bank designer in the United States. Sarmiento's innovative design strategies and use of cutting-edge building techniques brought the best of mid-century modern architecture to Main Street. First Security Bank in Salt Lake City, Utah, designed by Sarmiento in 1955, was saved from demolition by the Utah Heritage Foundation and received a National Honor Award from the National Trust for Historic Preservation for its rehabilitation in 2006.

The James Marston Fitch Charitable Foundation, founded in 1988, was established to recognize the unique contribution of Dr. Fitch to the field of historic preservation in the United States. The purpose of the foundation is to advance the study and practice of preservation by supporting preservation endeavors through a research grant program as well as publications, seminars and lectures.

#####

The James Marston Fitch Charitable Foundation

Grants Awarded: 2006

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deductible to the fullest extent of the
law.

John Matteo \$25,000

John Matteo's proposal, *Preservation Engineering – A New Curriculum*, has received the Fitch Foundation's 2006 Kress Mid-Career Grant. John Matteo is Associate and Director of Preservation in the Washington DC office of Robert Silman Associates. Matteo's depth of experience working on historic preservation projects includes Fallingwater, which would not have been saved without the innovative structural reinforcement solutions designed by the engineering team. This experience has provided him with the perspective necessary to develop this important connection between academic training and professional service within the fields of historic preservation and engineering.

Samuel Gruber \$15,000

Samuel Gruber has received this year's Fitch Research Grant, given in memory of Richard Blinder, for his study, *Saving American Synagogues: Preservation materials pertaining to the history, architecture and religious significance of older American synagogues*. Gruber's project will produce a preservation manual pertaining to the history, architecture and religious significance of older American synagogues. This manual will present various materials relevant to professional, community and congregation efforts to document, designate, protect and preserve historic American synagogues. Since 1995, Gruber has directed the Jewish Heritage Research Center in Syracuse, NY and has acted as Research Director for the United State Commission for the Preservation of America's Heritage Abroad.

The James Marston Fitch Charitable Foundation

Grants Awarded: 2005

2005 Grants

Kress Mid-Career Grant

Gregory Free

\$25,000

Preservationist Gregory Free received the 2005 Kress Mid-Career grant for "American Gulf: An Architecture Story." The architecture of the Gulf Coast shares a cultural affinity from one end of its 1500 mile stretch to the other. The study focuses on the recognizable unifying elements of the architecture that is distinct to the Gulf Coast as well as the forces that created this cohesive architectural tradition. This grant was made possible in part through the generous support of the Samuel H. Kress Foundation.

Fitch Research Grant

Alison Isenberg

\$15,000

"Second-Hand Cities, Antique Districts, and Salvage Shops: Finding the Popular past in a Modern Century"

This study focuses on the role that antique dealers and the second-hand market, their stores and districts, have played in creating a popular preservation mind set during the first half of the 20th century. This study examines the people, markets, and most notably the business districts that dealt in old artifacts between the 1920s and 1970s in the decades before historic preservation became mainstream.

Fitch Research Grant

Susannah C. Drake

\$5,000

"Campus Landscapes of Beatrix Farrand"

This grant will enable research and travel for an analysis of this important landscape architect's campus planning and landscapes, in particular Princeton, Yale, and University of Chicago.

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Prof. Angelo Mangiarotti
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Grants Awarded 2004-1990

2004

Marilyn E. Kaplan
"New Codes for Old Buildings: the Preservation Perspective"
\$17,500

Marilyn E. Kaplan received 2004 Kress Mid-Career grant for "New Codes for Old Buildings: the Preservation Perspective." In the last ten years there have been more changes in the building regulatory field since World War II. A new regulatory approach, proportional rehabilitation codes, has been developed to remove code-related obstacles to rehabilitation of historic buildings. This is a study of the impact of the code revisions on historic preservation. Marilyn Kaplan has more than 25 years of experience in the preservation field and is an acknowledged expert on building codes and preservation. This grant was made possible in part through the generous support of the Samuel H. Kress Foundation

2003

Donna Ann Harris
"Saving Houses, Saving Legacies: New Futures for House Museums."
\$25,000

Donna Ann Harris received the 2003 Kress Mid-Career grant for *Saving Houses, Saving Legacies: New Futures for House Museums*. Historic house museums are the backbone of the preservation movement. This project will provide historic house museum boards with the tools and resources to make changes, including new uses, to ensure the long-term preservation of their beloved buildings. Donna Ann Harris is a historic preservationist based in Illinois with a great deal of experience in the government and not-for-profit arena. This grant was made possible through the generous support of the Samuel H. Kress Foundation.

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The James Marston Fitch Charitable Foundation

Grants Awarded: 1990-2004

2002

Ronald W. Anthony

"Investigating Wood in Historic Structures Using Real-time X-ray Technology"

\$25,000

Ronald W. Anthony received the 2002 Kress Mid-career grant for a study of real-time digital radiography, a non-destructive investigation technique. This technology can produce three-dimensional images inside walls in historic buildings. Mr. Anthony is a wood scientist based in Colorado and the author of numerous papers on nondestructive wood investigation. This grant was made possible through the generous support of the Samuel H. Kress Foundation.

Jeffrey Cohen

"Picturing the City of Commerce: American Street Panoramas of the 19th Century"

\$10,000

Dr. Jeffrey Cohen is a professor at Bryn Mawr College. The project focuses on the street panorama, a dispersed and often rare species of image depicting key commercial parts of the 19th-century American downtown. These images record the city of commerce during a moment of dramatic transformation, at the dawn of the modern consumer economy that would redefine the city. The goal is to locate these views, interpret them, and make them more widely accessible through publication.

2001

Andrew Dolkart

"Early 20th Century Redesign of 19th Century Rowhouses"

\$25,000

Andrew Dolkart received the 2001 Kress Mid-career grant for a book on early twentieth century redesign of 19th century New York City rowhouses. Professor Dolkart will examine the impact of this remodeling of facades on the upgrading of neighborhoods and the subsequent fate of such architectural remodeling when subjected to later attempts at restoration. The recipient of this year's award, a member of the faculty at Columbia University's School of Architecture, Preservation and Planning, is the author of *Morningside Heights: A History of its Architecture and Development*, as well as

The James Marston Fitch Charitable Foundation

Grants Awarded: 1990-2004

comprehensive guides to the architecture of New York City. This grant was made possible through the generous support of the Samuel H. Kress Foundation.

Robert Weyeneth

The Architecture of Racial Segregation

\$18,000

Robert Weyeneth of the University of South Carolina has been awarded a research grant to further his study, "The Architecture of Racial Segregation: The Challenges of Preserving the Problematical Past." Professor Weyeneth's proposed research will continue his exploration of how societies choose to preserve or demolish the physical remnants of recent history when they are associated with a past that they would prefer to forget because it is embarrassing, shameful, or controversial. Professor Weyeneth's report will serve as a useful asset to contemporary preservationists and social historians concerned over the issue of remembering and preserving chapters of the recent past that are problematical.

2000

A. Elizabeth Watson

"Lasting Landscapes"

\$25,000

A. Elizabeth Watson received the 2000 Kress Mid-career grant for a book on historic landscape preservation. This grant was made possible by a generous donation from the Kress Foundation.

1999

Marjorie Pearson

"New York City Landmarks Preservation Commission (1962-1999): Paradigm for Changing Attitudes Towards Historic Preservation"

\$20,000

Marjorie Pearson, an architectural historian and preservationist was formerly Director of Research at the NYC Landmarks Preservation. She received the 1999 Kress Mid-career grant for a study of preservation commissions, focusing on the NYC Landmarks Preservation Commission. This grant was made possible by a generous donation from the

The James Marston Fitch Charitable Foundation

Grants Awarded: 1990-2004

Kress Foundation.

1998

Wellington Reiter
"The American Garden Cemetery"
\$20,000

Wellington Reiter, an architect, received the 1998 Kress Mid-career grant for a study of the social and design esthetics of the American Garden Cemetery, particularly the first example of the type, the Mt. Auburn Cemetery in Cambridge, Mass. Mr. Reiter proposes to make the properties of these singular man-made landscapes available to a new audience. Insights from this study can be applied to a number of issues of concern in the built environment, particularly urban public space. This grant was made possible by a generous donation from the Kress Foundation.

Margaret Crawford
"Public Space from the Bottom Up"
\$10,000

Margaret Crawford, a professor and chair of the history and theory program at the Southern California Institute of Architecture in Los Angeles, received a special 1998 award to fund a chapter of her book *Public Space from the Bottom Up*. This is a study of the creation of new forms of urban public space in Los Angeles, where areas of activities such as street vending, garage sales, and uses of vacant lots demonstrate ways urban residents are redefining public space.

1997

Charles Belfoure
"Baltimore Rowhouses"
\$10,000

Charles Belfoure, an architectural historian based in Baltimore, received the 1997 Kress Mid-career grant to support the photography for his book *The Baltimore Rowhouse*, to be published by the Princeton Architectural Press in 1999. The classic rowhouses are in great danger of demolition from both development and abandonment. The purpose of this book is to document them and heighten public awareness of their major significance

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Grants Awarded: 1990-2004

by documenting the 500+ surviving blocks of rowhouses dating from the 1790's to about 1915 that are targeted for removal by the City. This grant was made possible by a generous donation from the Kress Foundation.

Anat Geva
Frank Lloyd Wright's Architecture
\$5,300

Anat Geva, an architect, received a special award in 1997 to study the question of how architects shape the design of buildings for energy performance. Dr. Geva's research was to assess and compare the energy performance and comfort level of the Unity Temple (1904) and three single family houses in Oak Park, Illinois designed by Frank Lloyd Wright in the same period

1996

Carolyn Douthat
"Historic Resource Mitigations"
\$10,000

Carolyn Douthat, an attorney and preservationist practicing in Oakland, California, received the 1996 award for a study of historic resource mitigation. The grant supports research and a report on methods of mitigation, or alleviation, of the adverse effects of Federal or Federally sponsored or licensed projects on historic and cultural resources. While the standard mitigation today is documentation and salvage, the possibilities for mitigation are unlimited. The report, analyzing methods of mitigation and recommending new approaches, will be distributed to agencies and preservation organizations.

1995

Natalie Shivers
"Preservation of Southern California's Early Modern Architecture"
\$10,000

Natalie Shivers, an architect, architectural historian and preservation administrator, received the 1995 Kress mid-career award for research on the early modern architecture of Southern California. The innovative period in architecture and planning in Southern

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California between the two World Wars produced one of America's most valuable collections of Modernist architecture. This collection has not been studied in depth and is in danger today from development. The report will document and analyze prototypes of the early modern movement and develop criteria for significance. The research is the core of a book: *The Early Moderns: Architecture, Art, and Culture in Southern California Between the World Wars*, published by in 1998. This grant was made possible by a generous donation from the Kress Foundation.

McCluster Billups

"Central Avenue, Los Angeles, California"

\$2500/\$500

McCluster Billups, a long-time design professional, received a special 1995 award for research and development of an informational brochure on Central Avenue in Los Angeles. This street, known as "The Avenue" played an exceptionally significant role in the cultural history of African Americans in the first half of the 20th century. The brochure identifies the architectural artifacts of Central Avenue and their historical and cultural significance. The Trust also gave \$500 for printing in 1998.

1994

Julie L. Sloan

"Manufacturing Processes of Colored Glass and Lead Came in 19th Century America"

\$10,000

Julie Sloan, a stained glass conservationist and president of McKernan Satterlee Associates, a stained glass conservation firm, received the 1994 award for the study of a neglected type of 19th century decorative window glass, opalescent glass. This important glass type, used extensively by Tiffany and LaFarge, has not in the past been accurately understood, thus hampering conservation efforts. The grant supported research and a report entitled "Origins of Early Opalescent Window Glass." This grant was made possible by a generous donation from the Kress Foundation.

Dr. Richard Burnham

"The Historic and Modern Rural Housing Form and Associated Land Forms for Affordable Low-Cost Incremental Single Family Housing in the Hilltowns of New England"

\$5,000

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Grants Awarded: 1990-2004

Dr. Richard Burnham, a Massachusetts architect and planner, received a special 1994 award for research into low cost housing. The study focused on historical low-cost New England housing - early settler, self-built housing - and its lessons for the present. A prototype for modern low-cost but environmentally sensitive housing was developed from this research. The accompanying report "Housing Ourselves: Affordable Self-Help, Environmentally Sensitive, Sustainable and Incremental Shelter for the Countryside" is addressed to policy makers and designers, planners and other housing professionals.

1993

William T. and Kathleen O. Frazier

"Impact of Design Guideline Publications on 8 Virginia Historic Districts"

\$5,000

William T. and Kathleen O. Frazier as principals in Frazier Associates, an established preservation consulting firm in Virginia, received a special 1993 award for a retrospective study of the effectiveness of design guidelines on local historic districts and commissions. The research report was the basis for an article in the professional preservationists' publication, *Preservation Forum* (v. 10, #3, Spring, 1996)

Linda Laird

"Preserving the American Grain Elevator"

\$2500

Linda Laird, a preservation planner, received a special 1993 award for a study of an endangered building type, the Mid-western American grain elevator. The grant supported research, documentation, a report, and an informational brochure. The report, *Preserving the American Grain Elevator* contains over 100 original color photographs of this disappearing structure.

Medicine Wheel Coalition for Sacred Sites of North America

"Travel for Protection of Sacred Sites"

\$2500

The Medicine Wheel Coalition is a not-for-profit group established in 1980 of Native American Indian traditional tribal elders representing 11 western United States tribes. The special 1993 award supported travel to meetings for tribal elders and to sacred sites and compensation for time spent.

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Grants Awarded: 1990-2004

1992

Partnerships in Education, Pittsburgh**Carol A. Crumby, Claire Gallagher, and Diane LaBelle****"Our Town - An Architectural Perspective"****\$10,000**

"Our Town - An Architectural Perspective" is a program for "at risk" third, fourth and fifth grade students, designed to encourage an awareness of the built environment through field trips, sketches, maps and construction of a model town. The Fitch Trust grant funded a model program to educate the students about the built environment and the role that preservation plays in the history of a neighborhood. The program includes field trips, a video documenting the students' neighborhood, and computer labs for mapping and design. The three principals of Partnerships in Education are mid-career educators. This grant was made possible by a generous donation from the Kress Foundation.

Dr. William Chapman**"Preservation in the Caribbean: Colonial Inheritance, Tourism Pressures, and Technical Treatments"****\$5,000**

Dr. William Chapman, Director of the Pacific Preservation Consortium at the University of Hawaii, received a special 1992 award for travel and research for a book on preservation planning in the Caribbean. His research focuses on the economic pressures, tourism, technical treatments, ambivalence toward a Colonial heritage, and other issues common to the region. This grant was made possible by a generous donation from the Kress Foundation.

Dr. A. Elena Charola**"Stone Conservation: Water Repellent Treatments on Porous Building Materials"****\$5,000**

Dr. Elena Charola, professor and consultant to the World Monuments Fund for the conservation of Easter Island, received a special 1992 award for a comprehensive study of water repellent treatments for porous building materials. Dr. Charola produced a report entitled "Hydrophobization Agents and Treatments: a Practical Overview," a systematic study designed for use by the preservation practitioner.

The James Marston Fitch Charitable Foundation

Grants Awarded: 1990-2004

1991

Dr. Andrew Gulliford

"Management of Tribal Cultural Resources from the Perspective of Native Americans: Museums, Language, Customs, and Interpretation of History"

\$10,000

Dr. Andrew Gulliford, Director of the Public History and Historic Preservation at Middle Tennessee State University, received the 1991 grant for research on Tribal history preservation. The issues include the establishment of tribal museums, the preservation of language and customs, the re-orientation of interpretation at historic sites, and the identification of opportunities for appropriate cultural tourism. His research has produced three articles on the subject geared to professionals in the field: "Native Americans and Museums: Curation and Repatriation of Sacred Tribal Objects" (*The Public Historian*, v. 14, #3, Summer, 1992); "Tribal Preservation and Cultural Management" (*Historic Preservation Forum*, v. 6, #6), and "Bones of Contention: The Repatriation of Native American Human Remains" (*The Public Historian*, v. 18, #4, Fall, 1996).

1990

Barbara Paca, St. Clair Wright, Dr. Anne Yentsch

"New Techniques in Recovery and Reconstruction of Four 18th Century Ornamental American Gardens"

\$10,000

The team of Barbara Paca, St. Clair Wright and Dr. Anne Yentsch, received the 1990 grant for a new approach to the examination of historic gardens. The team used a technique called geometrical analysis and applied it to archaeological investigation to successfully determine the plans of Long Hall Garden in Maryland, Belmont Mansion in Philadelphia, Morven in Princeton and Drayton Hall in South Carolina. The technique targets and streamlines archaeological investigation and recovery. The grant was awarded to the team to refine this technique.