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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

Department of the Treasury  
Internal Revenue Service

*Note.* The foundation may be able to use a copy of this return to satisfy state reporting requirements.

**2009**

For calendar year **2009**, or tax year beginning \_\_\_\_\_, **2009**, and ending \_\_\_\_\_, **20**

**G** Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>CRAIG E WISHMAN FOUNDATION</b>	A Employer identification number <b>13-3963942</b>
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite <b>180 WEST END AVE 20D</b>	B Telephone number (see page 10 of the instructions) <b>212 579-8870</b>
	City or town, state, and ZIP code <b>NEW YORK NY 10023</b>	C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ <b>\$ 28569</b>		
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>		

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)	500			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	2	2	2	
	4 Dividends and interest from securities	78	78	78	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	580	80	80		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	29	29	29	29
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	109	109	109	109
	24 Total operating and administrative expenses. Add lines 13 through 23	138	138	138	138
	25 Contributions, gifts, grants paid	1000			1000
26 Total expenses and disbursements. Add lines 24 and 25	1138	138	138	1138	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	558				
b Net investment income (if negative, enter -0-)		0			
c Adjusted net income (if negative, enter -0-)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	18093	17460	17460
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	9367	9441	11109
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item l)	27460	26901	28569	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	26032	25532	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1428	1369	
	30	Total net assets or fund balances (see page 17 of the instructions)	27460	26901	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	27460	26901		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	27460
2	Enter amount from Part I, line 27a	2	<558>
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	26902
5	Decreases not included in line 2 (itemize) ▶ FEDERAL TAX	5	1
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	26901

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	N/A			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/89				(i) Gains (Col. (h) gain minus col. (g), but not less than -0-) or Losses (from col. (h))
(j) F.M.V. as of 12/31/89	(k) Adjusted basis as of 12/31/89	(l) Excess of col. (j) over col. (k), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8			3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008			
2007			
2006			
2005			
2004			
2	Total of line 1, column (d)		2
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5		4
5	Multiply line 4 by line 3		5
6	Enter 1% of net investment income (1% of Part I, line 27b)		6
7	Add lines 5 and 6		7
8	Enter qualifying distributions from Part XII, line 4		8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		0
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		0
<b>3</b>	Add lines 1 and 2		0
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		0
<b>5</b>	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		0
<b>6</b>	Credits/Payments:		
<b>a</b>	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	0
<b>b</b>	Exempt foreign organizations—tax withheld at source	6b	0
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	6c	0
<b>d</b>	Backup withholding erroneously withheld	6d	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d		0
<b>8</b>	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		0
<b>9</b>	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		0
<b>10</b>	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		0
<b>11</b>	Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		

**Part VII A Statements Regarding Activities**

	Yes	No
<b>1a</b>		X
<b>1b</b>		X
<b>1c</b>		N/A
<b>2</b>		X
<b>3</b>		X
<b>4a</b>		X
<b>4b</b>		N/A
<b>5</b>		X
<b>6</b>	X	
<b>7</b>	X	
<b>8a</b>		
<b>b</b>	X	
<b>9</b>		X
<b>10</b>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	X	
14	The books are in care of ▶ <u>HARVEY B. WISHMAN</u> Telephone no. ▶ <u>212 579-8870</u> Located at ▶ <u>180 WEST END AVE Apt 20D NY NY</u> ZIP+4 ▶ <u>10023-4022</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041- Check here. and enter the amount of tax-exempt interest received or accrued during the year ▶	15		0

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <u>N/A</u>	1b	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

**Part VII E Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here  **5b**
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **6b** X  
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARVEY B. WISHMAN 180 WEST END AV APT 20D NEW YORK NY 10023	TRUSTEE 2 hrs	-0-	-0-	-0-
FERN R. WISHMAN 180 WEST END AV APT 20D NEW YORK NY 10023	TRUSTEE 1 hr	-10-	-10-	10-

**2** Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		-0-	0-	0-

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		-0-
Total number of others receiving over \$50,000 for professional services		-0-

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 CONTRIBUTE TO "JAZZ AT LINCOLN CENTER" A NOT FOR PROFIT ARTS ORG. THAT MAINTAINS A MUSIC EDUCATION FACILITY	1000
2 AWARD SCHOLARSHIPS TO NEEDY MUSIC STUDENTS - NO AWARDS THIS YEAR	-0-
3	
4	

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	-0-
2	-0-
All other program-related investments. See page 24 of the instructions.	
3	-0-
Total. Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	1a	9213
<b>b</b>	Average of monthly cash balances	1b	17998
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	1c	-
<b>d</b>	Total (add lines 1a, b, and c)	1d	27211
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	-
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	2	-
<b>3</b>	Subtract line 2 from line 1d	3	27211
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	408
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	26803
<b>6</b>	Minimum investment return. Enter 5% of line 5	6	1340

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	1	1340
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	2a	0
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	2b	0
<b>c</b>	Add lines 2a and 2b	2c	0
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	3	1340
<b>4</b>	Recoveries of amounts treated as qualifying distributions	4	-
<b>5</b>	Add lines 3 and 4	5	1340
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	6	-
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1340

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1138
<b>b</b>	Program-related investments—total from Part IX-B	1b	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	3a	0
<b>b</b>	Cash distribution test (attach the required schedule)	3b	0
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1138
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1138

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1340
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			124	
b Total for prior years: 20__20__20__		0		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 1138				
a Applied to 2008, but not more than line 2a			124	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				1014
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				326
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2009, (b) 2008, (c) 2007, (d) 2006, (e) Total. Rows include 2a (Income), b (85% of line 2a), c (Qualifying distributions), d (Amounts included in line 2c), e (Qualifying distributions made directly), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) HARVEY B. WISHMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed: 212579-8870 HARVEY B. WISHMAN, 180 WEST END AVE 20D NY NY 10023

b The form in which applications should be submitted and information and materials they should include: SCHOLARSHIP APPLICATION - SEE ATTACHED

c Any submission deadlines: NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: SCHOLARSHIPS ARE FOR NEEDY HIGH SCHOOL SENIOR PURSUING MUSIC EDUCATION

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a Paid during the year</p> <p>JAZZ AT LINCOLN CENTER            33 WEST 60<sup>th</sup> ST            NEW YORK NY 10023</p>		501 (EX3)	EDUCATION PROGRAMS	1,000
<b>Total</b>				▶ 3a 1,000
b Approved for future payment				
<b>Total</b>				▶ 3b -0-





The Craig E. Wishman  
Foundation  
180 West End Ave.-Apt. 20D  
New York, NY 10023

13-3963942

YR 2009

PART 1 LINE 1 CONTRIBUTIONS  
HARVEY B. WISHMAN NY, NY

500

PART 1 LINE 15 TAXES

FOREIGN TAXES

4

NY STATE CHARITIES BUREAU

25

29

PART 1 LINE 23 OTHER EXP  
OFFICE SUPPLIES

109

# The Craig E. Wishman Foundation

180 West End Avenue # 20 D  
New York, New York 10023  
(212) 579-8870  
E-mail: [wewish@rcn.com](mailto:wewish@rcn.com)

## SCHOLARSHIP APPLICATION

Student's Name: \_\_\_\_\_

Graduation Date: \_\_\_\_\_

# The Craig E. Wishman Foundation

## APPLICANT INFORMATION

1. Your name: \_\_\_\_\_
2. Address: \_\_\_\_\_  
\_\_\_\_\_
3. Telephone: \_\_\_\_\_
4. Date of Graduation: \_\_\_\_\_ Grade point average: \_\_\_\_\_
5. Parent(s) or legal guardian(s): \_\_\_\_\_
6. Attach a copy of the FAFSA, Free Application for Federal Student Aid form you filed with the College Scholarship Service.
7. The school you plan to attend to further your education?  
  
Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_
8. Submit personal character references from two teachers in your high school that know you well. One must be a member of your school's music department.
9. Attach a brief essay in which you tell us about your musical interests and experiences, what you plan to study in college, and your long term goals after college.

The information contained in this application for The Craig E. Wishman Scholarship is true and correct to the best of my knowledge.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

The Craig E. Wishman Scholarship will be awarded by the Foundation to a deserving high school graduating senior who has demonstrated financial need, high academic achievement, and has chosen to continue his or her musical studies at an accredited educational institution.

Schwab One® Trust Account of  
**H WISHMAN & F WISHMAN TTEE**  
**CRAIG E WISHMAN FOUNDATION**  
 U/A DTD 09/02/1997

*Charles SCHWAB*

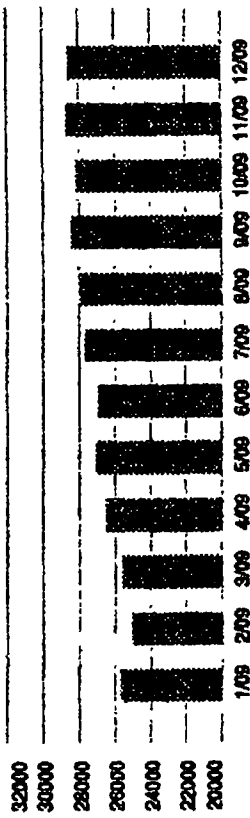
Account Number  
**2561-9048**

Statement Period  
**December 1-31, 2009**

**Change in Account Value** Account Value (\$) Over Last 12 Months

	This Period	Year to Date
Starting Value	\$ 28,699.90	\$ 27,213.64
Cash Value of Purchases & Sales	(61.98)	(73.83)
Investments Purchased/Sold	61.98	73.83
Deposits & Withdrawals	(534.99)	(1,634.97)
Dividends & Interest	61.98	75.81
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(61.98)	(73.83)
Change in Value of Investments	410.67	2,988.93
Ending Value on 12/31/2009	\$ 28,569.58	\$ 28,569.58

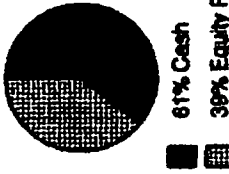
**Total Change in Account Value** \$ 124.33  
 (Includes Deposits & Withdrawals) 1.05%



**Asset Composition**

	Market Value	% of Account Assets
Cash	\$ 17,460.47	61%
Equity Funds	11,109.11	39%
<b>Total Assets Long</b>	<b>\$ 28,569.58</b>	
Margin Loan Balance	0.00	
<b>Total Account Value</b>	<b>\$ 28,569.58</b>	<b>100%</b>

**Overview**



**Gain or (Loss) Summary**

Realized Gain or (Loss) This Period	\$0.00
Short Term	\$0.00
Long Term	\$0.00
Unrealized Gain or (Loss)	\$1,669.92
All Investments	\$1,669.92

Values may not reflect all of your gains/losses.



	This Period		Year to Date	
	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Schwab One Interest	0.00	0.00	0.00	1.98
Cash Dividends	0.00	61.98	0.00	73.83
<b>Total Income</b>	<b>0.00</b>	<b>61.98</b>	<b>0.00</b>	<b>75.81</b>

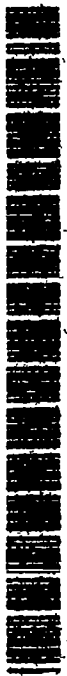
Margin Loan Information	Margin Loan Balance	Funds Available to Withdraw*	Securities Buying Power*	Margin Loan Rates Vary by Balance
This Period	0.00	23,569.47	54,646.00	6.00% - 8.50%

The opening margin loan balance for the statement period was \$0.00.  
 \*Values include any cash plus the amount available using margin borrowing.

Investment Detail - Cash	Market Value	% of Account Assets
Cash	17,460.47	61%
<b>Total Cash</b>	<b>17,460.47</b>	<b>61%</b>

Investment Detail - Mutual Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Unrealized Gain or (Loss)
JANUS ENTERPRISE FD CL J (M,9)	98.9500	46.9700	4,647.68	16%	32.09	1,472.70
Accounting Method Mutual Funds: Average			3,174.98			
<b>SYMBOL: JAENX</b>						
JANUS FUND CL J (M,9)	133.6610	26.2600	3,509.94	12%	27.77	(202.27)
<b>SYMBOL: JANSX</b>						

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see the "Notes for Your Account" section for an explanation of the endnote codes and symbols in this statement.



**Charles SCHWAB**

Schwab One® Trust Account of  
**H WISHMAN & F WISHMAN TTEE**  
**CRAIG E WISHMAN FOUNDATION**  
 U/A DTD 09/02/1997

Account Number  
**2561-5048**

Statement Period  
**December 1-31, 2009**

Accounting Method  
 Mutual Funds: Average

**Investment Detail - Mutual Funds (continued)**

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
CHWAB INTL INDEX FUND (M) 2	94.3850	16.6200	1,568.68	5%	14.04	1,325.46	243.22
SYMBOL: SWISX							
CHWAB S&P 500 INDEX FD (M) 1	79.7470	17.3400	1,382.81	5%	15.38	1,226.54	156.27
SYMBOL: SWPPX							
<b>Total Equity Funds</b>			<b>1,109.11</b>	<b>30%</b>		<b>9,436.19</b>	<b>1,653.59</b>
<b>Total Mutual Funds</b>			<b>1,109.11</b>	<b>30%</b>		<b>9,436.19</b>	<b>1,653.57</b>

Total Investment Detail  
 26,536.59

Total Account Value  
 26,403.39

Total Cost Basis  
 9,436.19

**Transaction Detail - Purchases & Sales**

Equity Funds Activity	Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
	12/10/09	12/10/09	Reinvested Shares	SCHWAB INTL INDEX FUND: SWISX	2.4600	16.4700	(40.52)
	12/10/09	12/10/09	Reinvested Shares	SCHWAB S&P 500 INDEX FD: SWPPX	1.1210	17.1300	(19.20)
	12/22/09	12/22/09	Reinvested Shares	JANUS FUND CL J: JANSX	0.0670	26.1200	(2.26)
<b>Total Equity Funds Activity</b>							<b>(61.98)</b>
<b>Total Purchases &amp; Sales</b>							<b>(61.98)</b>

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