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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THOMPSON DEAN FAMILY FOUNDATION		A Employer identification number 13-3942201
	Number and street (or P O box number if mail is not delivered to street address) Room/suite C/O REGEN, BENZ & MACKENZIE 57 W. 38 ST. 3RD FL		B Telephone number (212) 661-2720
	City or town, state, and ZIP code NEW YORK, NY 10018		C If exemption application is pending, check here ☐
			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,989,345.			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		76.	76.		Statement 1
4 Dividends and interest from securities		61,823.	61,823.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<973,230.>			
b Gross sales price for all assets on line 6a		4,347,769.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		<911,331.>	61,899.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes Stmt 3		4,297.	4,297.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 4		55,938.	34,915.		0.
24 Total operating and administrative expenses Add lines 13 through 23		60,235.	39,212.		0.
25 Contributions, gifts, grants paid		2,796,800.			2,796,800.
26 Total expenses and disbursements. Add lines 24 and 25		2,857,035.	39,212.		2,796,800.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<3,768,366.>			
b Net investment income (if negative, enter -0-)			22,687.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	123,550.	48,880.	48,880.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 5	5,537,673.	1,843,961.	1,939,630.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment, basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment, basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ Statement 6)	819.	835.	835.
	16 Total assets (to be completed by all filers)	5,662,042.	1,893,676.	1,989,345.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	5,662,042.	1,893,676.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	5,662,042.	1,893,676.		
31 Total liabilities and net assets/fund balances	5,662,042.	1,893,676.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,662,042.
2 Enter amount from Part I, line 27a	2	<3,768,366.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,893,676.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,893,676.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,347,769.		5,320,999.	<973,230.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<973,230.>

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<973,230.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	346,273.	5,674,211.	.061026
2007	457,158.	6,719,152.	.068038
2006	487,898.	6,854,116.	.071183
2005	187,100.	5,561,413.	.033643
2004	222,076.	5,179,421.	.042877

2 Total of line 1, column (d)	2	.276767
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055353
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,059,718.
5 Multiply line 4 by line 3	5	169,365.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	227.
7 Add lines 5 and 6	7	169,592.
8 Enter qualifying distributions from Part XII, line 4	8	2,796,800.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	227.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	227.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	227.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 1,735.	7	1,735.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	1,508.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax 1,508. Refunded			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	

Website address ► N/A

14 The books are in care of ► THOMPSON DEAN III Telephone no. ► N/A
 Located at ► 550 PARK AVENUE, NEW YORK, NY ZIP+4 ► 10021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b
Organizations relying on a current notice regarding disaster assistance check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMPSON DEAN III 550 PARK AVE, NEW YORK, NY	CHAIRMAN	0.00	0.	0.
CAROLINE W. DEAN 550 PARK AVE, NEW YORK, NY	PRESIDENT, DIR	0.00	0.	0.
HUME R. STEYER 24 TOWER HILL ROAD, TUXEDO PARK, NY	SECRETARY	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,020,098.
b	Average of monthly cash balances	1b	86,215.
c	Fair market value of all other assets	1c	0.
d	Total (add lines 1a, b, and c)	1d	3,106,313.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,106,313.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	46,595.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,059,718.
6	Minimum investment return. Enter 5% of line 5	6	152,986.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	152,986.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	227.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	227.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	152,759.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	152,759.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	152,759.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,796,800.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,796,800.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	227.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,796,573.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				152,759.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007	111,657.			
e From 2008	62,978.			
f Total of lines 3a through e	174,635.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 2,796,800.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				152,759.
e Remaining amount distributed out of corpus	2,644,041.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,818,676.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	2,818,676.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007	111,657.			
d Excess from 2008	62,978.			
e Excess from 2009	2,644,041.			

N/A

- ☐ 4942(i)(3) or ☐ 4942(i)(5)

- (4) Gross investment income**

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

THOMPSON DEAN III

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
See Statement 7					
Total				▶ 3a	2,796,800.
b Approved for future payment					
None					
Total				▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	76.	
4 Dividends and interest from securities				14	61,823.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income		532420		14		
8 Gain or (loss) from sales of assets other than inventory				18	<973,230.>	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0.		<911,331.>	0.
13 Total. Add line 12, columns (b), (d), and (e)					<911,331.>	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with another organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

Preparer's
signature

Date,

Check if self-employee	
------------------------	--

Preparer's identifying number

Firm's name (or yours if self-employed), address, and ZIP code

REGEN, BENZ & MACKENZIE, CPAS PC
57 WEST 38TH STREET, 3RD FLOOR
NEW YORK, NY 10018

Ein ▶

Phone no. 212-661-2720

Form **990-PF** (2009)

THOMPSON DEAN FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SMITH BARNEY ACC#232-0421B-14	P		
b	SMITH BARNEY ACC#232-0421B-14	P		
c	SMITH BARNEY ACC#232-71055-12	P		
d	SMITH BARNEY ACC#232-71055-12	P		
e	SMITH BARNEY ACC#232-71060-15	P		
f	SMITH BARNEY ACC#232-71060-15	P		
g	SMITH BARNEY ACC#232-71061-14	P		
h	SMITH BARNEY ACC#232-71061-14	P		
i	SMITH BARNEY ACC#232-72406-16	P		
j	SMITH BARNEY ACC#232-72406-16	P		
k	HF RENAISSANCE EQ LTD CL A	P	Various	11/27/09
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 512,056.		557,911.	<45,855.>
b 309,099.		437,172.	<128,073.>
c 98,898.		95,837.	3,061.
d 357,579.		572,517.	<214,938.>
e 703,615.		763,554.	<59,939.>
f 170,591.		196,706.	<26,115.>
g 336,842.		370,858.	<34,016.>
h 776,471.		1,106,325.	<329,854.>
i 637,094.		647,442.	<10,348.>
j 75,697.		107,677.	<31,980.>
k 369,827.		465,000.	<95,173.>
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<45,855.>
b			<128,073.>
c			3,061.
d			<214,938.>
e			<59,939.>
f			<26,115.>
g			<34,016.>
h			<329,854.>
i			<10,348.>
j			<31,980.>
k			<95,173.>
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<973,230.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
INTEREST	76.
Total to Form 990-PF, Part I, line 3, Column A	76.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
DIVIDENDS	61,823.	0.	61,823.
Total to Fm 990-PF, Part I, ln 4	61,823.	0.	61,823.

Form 990-PF Taxes Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAXES	4,297.	4,297.		0.
To Form 990-PF, Pg 1, ln 18	4,297.	4,297.		0.

Form 990-PF Other Expenses Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT MANAGEMENT FEES	32,245.	32,245.		0.
INVESTMENT EXPENSES	2,670.	2,670.		0.
PROFESSIONAL FEES	21,023.	0.		0.
To Form 990-PF, Pg 1, ln 23	55,938.	34,915.		0.

Form 990-PF	Corporate Stock	Statement	5
-------------	-----------------	-----------	---

Description	Book Value	Fair Market Value
COMMON STOCK	1,843,961.	1,939,630.
Total to Form 990-PF, Part II, line 10b	1,843,961.	1,939,630.

Form 990-PF	Other Assets	Statement	6
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Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
DIVIDEND AND OTHER INCOME RECEIVABLE	819.	835.	835.
To Form 990-PF, Part II, line 15	819.	835.	835.

Form 990-PF

Grants and Contributions
Paid During the Year

Statement 7

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
FREEDOM INSTITUTE	NONE CHARITABLE CONTRIBUTION	CHARITY	500.
HOUSING WORKS, INC	NONE CHARITABLE CONTRIBUTION	CHARITY	400.
MUSIC FOR AUTISM	NONE CHARITABLE CONTRIBUTION	CHARITY	1,000.
AMERICAN CANCER SOCIETY	NONE CHARITABLE CONTRIBUTION	CHARITY	9,000.
ASPCA	NONE CHARITABLE CONTRIBUTION	CHARITY	12,500.
BONE MARROW FOUNDATION	NONE CHARITABLE CONTRIBUTION	CHARITY	600.
LENOX HILL NEIGHBORHOOD	NONE CHARITABLE CONTRIBUTION	CHARITY	52,000.
MSK SPECIAL PROJECTS COMMITTEE	NONE CHARITABLE CONTRIBUTION	CHARITY	50,000.

THOMPSON DEAN FAMILY FOUNDATION			13-3942201
MUSEUM OF THE CITY OF NEW YORK	NONE CHARITABLE CONTRIBUTION	CHARITY	9,500.
PECONIC BAYKEEPER LAND TRUST	NONE CHARITABLE CONTRIBUTION	CHARITY	500.
THE BOYS CLUB OF NEW YORK	NONE CHARITABLE CONTRIBUTION	CHARITY	10,000.
THE BROWNING SCHOOL	NONE CHARITABLE CONTRIBUTION	CHARITY	34,300.
THE COLLEGE FOUNDATION	NONE CHARITABLE CONTRIBUTION	CHARITY	2025000.
THE SCHOOL OF AMERICAN BA	NONE CHARITABLE CONTRIBUTION	CHARITY	1,500.
WINDWARD SCHOOL	NONE CHARITABLE CONTRIBUTION	CHARITY	35,000.
EAGLEBROOK SCHOOL	NONE CHARITABLE CONTRIBUTION	CHARITY	6,000.
OVARIAN CANCER RESEARCH	NONE CHARITABLE CONTRIBUTION	CHARITY	1,300.
PLANNED PARENTHOOD OF NYC	NONE CHARITABLE CONTRIBUTION	CHARITY	400.

THOMPSON DEAN FAMILY FOUNDATION

13-3942201

METROPOLITAN MUSEUM	NONE CHARITABLE CONTRIBUTION	CHARITY	500.
THE NEW YORK PUBLIC LITRA	NONE CHARITABLE CONTRIBUTION	CHARITY	25,000.
TRUSTEES OF PHILIPS ACADEMY	NONE CHARITABLE CONTRIBUTION	CHARITY	20,000.
UVA COLLEGE FOUNDATION	NONE CHARITABLE CONTRIBUTION	CHARITY	500,000.
WORKS & PROCESS OF MCGUGG	NONE CHARITABLE CONTRIBUTION	CHARITY	900.
YOUTH COUNSELING LEAGUE	NONE CHARITABLE CONTRIBUTION	CHARITY	350.
CHARLEY'S FUND	NONE CHARITABLE CONTRIBUTION	CHARITY	50.
PUBLICOLOR	NONE CHARITABLE CONTRIBUTION	CHARITY	500.

Total to Form 990-PF, Part XV, line 3a

2,796,800.

Ref: 00000018 00006240

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160004900	*** WACOAL CORP ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 38.51				
160005000	*** WOLTERS KLUWER N V SP ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	38.52					
Totals		\$ 352.88	\$ 2,587.46				

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	535 866	AEGON N V-ADR AMER REG-ADR	10/24/08 02/20/09	05/18/09	\$ 3,270.15 5,293.37	\$ 2,359.03 3,577.27		\$ 911.12 1,716.10
125000090	211 55	ANGLOGOLD ASHANTI LTD ADR	03/05/08 03/19/08	03/03/09	6,152.39 1,603.70	7,703.25 1,839.36	(1,550.86) (235.66)	
125000100	233	ANGLOGOLD ASHANTI LTD ADR	07/22/08	05/18/09	8,071.19	5,735.20		2,335.99
125000170	243 206	CAMECO CORP	08/11/08 09/10/08	05/18/09	6,292.81 5,334.64	7,735.22 4,995.48	(1,442.41)	339.16
125000190	110	CARREFOUR SA UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/25/09	12/18/09	1,014.17	996.49		17.68
125000310	8	DAIWA HOUSE INDUSTRIES ADR -USD- Morgan Stanley Sml th Barney LLC acted as yo	09/03/08	06/17/09	812.03	743.89		68.14

2009 YEAR END SUMMARY



Ref: 00000018 00006239

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160004000	*** SUNCOR ENERGY INC NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 85				
160004100	*** SUNCOR ENERGY INC THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		6.26				
160004200	*** SWISSCOM AG SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		254.55				
160004300	*** TDK CORP AMER DEPOSIT SHS THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		28.33				
160004400	*** TNT N V SPONSORED ADR-USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		7.46				
160004500	*** TECHNIP ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		190.44				
160004600	*** TELECOM ITALIA S.P.A ADR (NEW) REPSTG SVG SHS THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		317.36				

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006242

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000830	25	NEXEN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/09	12/18/09	\$ 559.73	\$ 355.69		\$ 204.04
125000840	17	NINTENDO CO LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/03/09	12/18/09	501.99	577.14	(75.15)	
125000860	438	NOKIA CORP SPONSORED ADR	02/02/09	04/23/09	6,531.29	5,292.97		1,238.32
125000870	294 377	NOKIA CORP SPONSORED ADR	02/02/09 02/03/09	05/18/09	4,255.72 5,457.16	3,552.81 4,559.89		702.91 897.27
125000880	515 104	NOVARTIS AG ADR	02/13/09 04/13/09	05/18/09	20,234.13 4,086.12	22,093.04 3,892.02	(1,858.91)	194.10 717.14
125000890	53	NOVARTIS AG ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/13/09	10/26/09	2,700.57	1,983.43		
125000900	32	NOVARTIS AG ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/13/09	12/18/09	1,730.83	1,197.55		533.28
125000920	209	PETRO-CANADA-CAD	02/13/08	02/02/09	4,510.14	9,383.20	(4,873.06)	
125000930	1	PETRO-CANADA-CAD	02/13/08	02/03/09	21.76	44.90	(23.14)	
125000950	750	PROMISE CO LTD-UNSPON ADR	08/28/08	04/20/09	4,910.35	8,494.13	(3,583.78)	
125000960	232 109	ROHM CO LTD-JPY	01/16/09 01/30/09	05/18/09	7,384.37 3,469.38	5,766.45 2,759.39		1,617.92 709.99
125001020	90	SANOI-AVENTIS SPONS ADR	05/22/08	05/18/09	2,720.98	3,344.96	(623.98)	
125001110	47 106 50	SEVEN & I HLDGS CO LTD-JPY	12/26/08 01/07/09 01/08/09	05/18/09	2,307.64 5,204.46 2,454.94	2,963.00 6,255.88 2,958.45	(655.36) (1,051.42) (503.51)	
125001130	49	SHISEIDO LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/18/08	12/18/09	1,008.39	1,034.23	(25.84)	
125001140	235 41	SIEMENS A G SPONS ADR	09/29/08 10/24/08	05/18/09	16,406.26 2,862.37	21,923.36 2,065.23	(5,517.10)	797.14

2009 YEAR END SUMMARY



Ref: 00000018 00006241

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000330	197	ERICSSON L M TEL CO CL B ADR NEW -USD-	03/19/08	02/02/09	\$ 1,531.76	\$ 1,717.64	(\$ 185.88)	
125000340	741	ERICSSON L M TEL CO CL B ADR NEW -USD-	03/19/08	02/03/09	5,751.01	6,460.78	(709.77)	
125000390	403	GEMALTO NV-EUR	03/12/09	04/22/09	6,243.67	4,696.44		1,547.23
125000440	140	GOLD FIELDS LTD SPONS ADR	05/22/08	05/18/09	1,664.06	1,987.03	(322.97)	
125000460	85	HACHIJUNI BANK LTD-JPY ADR	06/23/08	03/24/09	4,993.70	5,462.58	(468.88)	
125000470	43 73	HACHIJUNI BANK LTD-JPY ADR	06/23/08 07/10/08	05/18/09	2,511.99 4,264.55	2,763.42 4,517.23	(251.43) (252.68)	
125000480	307 91	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR	09/02/08 09/05/08	05/18/09	5,710.05 1,692.55	7,791.75 2,235.37	(2,081.70) (542.82)	
125000570	91	KAO CORP-JPY SPONS ADR	03/16/09	05/18/09	18,836.51	17,614.52		1,221.99
125000580	6	KAO CORP-JPY SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo	03/16/09	09/02/09	1,546.94	1,161.39		385.55
125000590	24	KAO CORP-JPY SPONS ADR MorganStanley SmithBarney LLC acted as your agent	03/16/09	12/18/09	581.98	464.56		117.42
125000600	36	KINROSS GOLD CORP-CAD (NEW) MorganStanley SmithBarney LLC acted as your agent	07/07/09	12/18/09	645.19	665.81	(20.62)	
125000650	275	LIHIR GOLD LTD SPONS ADR -USD-	07/29/08	05/18/09	6,195.56	7,625.61	(1,430.05)	
125000670	86 181	LONMIN PUB LTD CO SPON ADR NEW	08/04/08 09/23/08	04/03/09	1,914.29 4,028.90	3,846.22 8,576.49	(1,931.93) (4,547.59)	
125000680	128 127 103	MAGNA INTERNATIONAL CL A	06/03/08 06/05/08 06/23/08	05/18/09	3,996.99 3,965.76 3,216.33	8,975.36 8,828.99 6,721.55	(4,978.37) (4,863.23) (3,505.22)	
125000740	115	NEWCREST MINING LTD SPON ADR	08/13/08	05/18/09	2,581.68	2,430.11		151.57
125000820	174 108	NEXEN INC	08/07/08 02/02/09	05/18/09	3,594.64 2,231.16	5,249.49 1,536.58	(1,654.85)	694.58

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006244

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001370	40	TDK CORP AMER DEPOSIT SHS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/12/08	08/20/09	\$ 2,237.58	\$ 1,331.25		\$ 906.33
125001390	.45	TNT N V SPONSORED ADR-USD CASH IN LIEU OF 45000 RECORD 04/13/09 PAY 04/28/09	04/01/09	04/28/09	8.28	7.39		.89
125001400	109	TNT N V SPONSORED ADR-USD	04/01/09	05/18/09	2,024.07	1,790.77		233.30
125001410	196	TNT N V SPONSORED ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/01/09	10/12/09	5,432.06	3,220.10		2,211.96
125001430	38	TECHNIP ADR	09/08/08	05/07/09	1,709.87	2,709.89	(1,000.02)	
125001440	85 172	TECHNIP ADR	09/08/08 11/21/08	05/18/09	3,752.65 7,593.60	6,061.60 3,731.33	(2,308.95)	3,862.27
125001450	63	TECHNIP ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/21/08	07/24/09	3,756.97	1,366.71		2,390.26
125001500	87	UNITED UTILS GROUP PLC-GBP	12/17/08	05/18/09	1,452.86	1,582.64	(129.78)	
125001520	378 107	VODAFONE GROUP PLC SPONS ADR NEW	09/29/08 11/10/08	05/18/09	7,392.32 2,092.54	8,268.90 1,789.49	(876.58)	303.05
125001570	208 43	WOLTERS KLUWER N V SP ADR	02/24/09 02/25/09	05/18/09	3,868.70 799.78	3,387.45 683.69		481.25 116.09
125001580	47 59	WOLTERS KLUWER N V SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/25/09 04/28/09	10/26/09	1,048.07 1,315.66	747.28 975.41		300.79 340.25
125001590	23	WOLTERS KLUWER N V SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/28/09	12/18/09	500.23	380.25		119.98
Total					\$ 336,841.98	\$ 370,858.17	(\$ 72,143.43)	\$ 38,127.24

2009 YEAR END SUMMARY



Ref: 00000018 00006243

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001160	295	SILVER STANDARD RESOURCES INC -CAD	06/23/08	03/03/09	\$ 3,885.18	\$ 8,171.41	(\$ 4,286.23)	
125001170	690 302	SOCIETE GENERALE SPON ADR	10/20/08 01/16/09	05/18/09	6,913.62 3,025.96	7,812.18 2,555.04	(898.56)	470.92
125001180	128	SOCIETE GENERALE SPON ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/16/09	09/02/09	1,917.62	1,082.93		834.69
125001190	255	SOCIETE GENERALE SPON ADR SALE OF RTS ON 255 0000 SHS	10/07/09	10/07/09	202.59	01		202.58
125001200	745	STORA ENSO OYJ ADR	08/05/08	05/18/09	4,410.29	7,037.57	(2,627.28)	
125001210	643	STORA ENSO OYJ ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/05/08	07/31/09	4,093.55	5,892.51	(1,798.96)	
125001260	63	SUNCOR ENERGY INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/28/09	08/20/09	2,004.90	1,292.18		712.72
125001270	62	SUNCOR ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/28/09	10/01/09	2,087.70	1,271.66		816.04
125001290	143 202	SUNCOR ENERGY INC	07/23/08 01/28/09	05/18/09	4,340.22 6,130.95	7,697.32 4,143.16	(3,357.10)	1,987.79
125001300	54	SUNCOR ENERGY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/28/09	07/15/09	1,582.00	1,107.58		474.42
125001340	106	TDK CORP AMER DEPOSIT SHS	07/03/08	01/16/09	3,985.25	6,476.02	(2,490.77)	
125001350	29 125 267 28	TDK CORP AMER DEPOSIT SHS	07/03/08 07/29/08 10/23/08 12/12/08	05/18/09	1,280.35 5,518.74 11,788.04 1,236.20	1,771.74 7,628.38 8,809.85 931.87	(491.39) (2,109.64)	2,978.19 304.33
125001360	49	TDK CORP AMER DEPOSIT SHS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/12/08	08/03/09	2,591.28	1,630.78		960.50

2009 YEAR END SUMMARY



Ref: 00000018 00006246

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	277	ANGLOGOLD ASHANTI LTD ADR	03/19/08	05/18/09	\$ 9,595.36	\$ 9,263.68		\$ 331.68
125000110	48	ANGLOGOLD ASHANTI LTD ADR	07/22/08	09/08/09	2,067.12	1,181.50		885.62
		Morgan Stanley Smith Barney LLC acted as your agent in this transaction.						
125000120	275	BP PLC SPONS ADR	02/23/07	05/18/09	12,964.60	17,313.59	(4,348.99)	
	255		02/26/07		12,021.72	16,408.10	(4,386.38)	
	12		06/04/07		565.72	817.84	(252.12)	
125000130	69	BP PLC SPONS ADR	06/04/07	10/27/09	4,006.71	4,702.56	(695.85)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000140	331	BARRICK GOLD CORP CAD	11/15/06	05/18/09	10,966.41	9,662.26		1,304.15
	115		03/20/08		3,810.08	4,951.70	(1,141.62)	
	231		04/30/08		7,653.29	8,842.52	(1,189.23)	
125000150	69	BARRICK GOLD CORP CAD	04/30/08	11/18/09	3,024.81	2,641.27		383.54
	12	MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/16/08		526.05	332.17		193.88
125000160	44	BARRICK GOLD CORP CAD	09/16/08	12/18/09	1,721.32	1,217.97		503.35
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000180	30	CAMECO CORP	09/10/08	12/18/09	907.77	727.50		180.27
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000200	143	CENTRAIS ELETRICAS	01/26/07	05/18/09	1,736.90	1,585.74		151.16
	109	BRASILEIRAS SP ADR SA REP PFD	01/29/07		1,323.93	1,200.30		123.63
	56		01/30/07		680.19	618.80		61.39
	17		01/31/07		206.49	188.73		17.76
	61		06/07/07		740.92	767.10	(26.18)	
	125		06/08/07		1,518.27	1,544.60	(26.33)	
	145		06/12/07		1,761.20	1,858.57	(97.37)	
	102		01/03/08		1,238.91	1,340.63	(101.72)	
	294		01/04/08		3,570.98	3,742.62	(171.64)	

2009 YEAR END SUMMARY



Ref: 00000018 00006245

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	222 239 53	UBS AG (NEW)	01/18/08 01/18/08 02/29/08	05/18/09	\$ 3,154.96 3,396.56 753.21	\$ 8,663.27 9,042.53 1,648.76	(\$ 5,508.31) (5,645.97) (895.55)	
125000020	75	UBS AG (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/29/08	12/18/09	1,135.47	2,333.15	(1,197.68)	
125000030	489.3543 37.6457	AEGON N V-ADR AMER REG-ADR	03/02/05 05/17/05	05/18/09	2,991.15 230.11	7,026.93 467.18	(4,035.78) c (237.07) c	
125000040	695 417 279 505 824	ALCATEL-LUCENT ADR	03/28/07 04/02/07 04/03/07 05/02/07 07/25/07	05/18/09	1,599.64 959.78 642.16 1,162.33 1,896.54	8,292.11 4,941.62 3,350.79 6,740.64 11,205.16	(6,692.47) (3,981.84) (2,708.63) (5,578.31) (9,308.62)	
125000050	423	ALCATEL-LUCENT ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/25/07	08/26/09	1,593.01	5,752.17	(4,159.16)	
125000060	560	ALCATEL-LUCENT ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/25/07	08/27/09	2,115.45	7,615.16	(5,499.71)	
125000070	75 230 752 94 234 118 215	ALUMINA LTD SPONSORED ADR	01/26/05 01/27/05 03/03/05 08/07/06 08/08/06 11/20/07 11/21/07	05/18/09	267.48 820.27 2,681.94 335.24 834.54 420.84 766.78	1,406.25 4,418.30 14,062.40 1,845.14 4,590.61 2,781.32 5,059.38	(1,138.77) c (3,598.03) c (11,380.46) c (1,509.90) (3,756.07) (2,360.48) (4,292.60)	
125000080	159 47	ANGLOGOLD ASHANTI LTD ADR	10/03/07 02/13/08	02/17/09	4,995.45 1,476.64	6,942.78 1,628.15	(1,947.33) (151.51)	
125000090	168	ANGLOGOLD ASHANTI LTD ADR	02/13/08	03/03/09	4,898.58	5,819.79	(921.21)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006248

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000350	233	FUJIFILM HLDGS CORP-JPY	03/02/05	04/20/09	\$ 5,953.92	\$ 8,655.95	(\$ 2,702.03) c	
125000360	64	FUJIFILM HLDGS CORP-JPY	03/02/05	05/18/09	1,687.25	2,377.60	(690.35) c	
	265		12/13/05		6,986.25	8,758.06	(1,771.81)	
	473		03/24/06		12,469.80	15,393.83	(2,924.03)	
125000370	56	FUJIFILM HLDGS CORP-JPY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/24/06	07/21/09	1,696.59	1,822.53	(125.94)	
125000380	51	FUJIFILM HLDGS CORP-JPY	03/24/06	12/18/09	1,487.12	1,659.80	(172.68)	
	71	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/05/08		2,070.30	2,606.87	(536.57)	
125000400	191	GOLD FIELDS LTD SPONS ADR	02/14/07	01/30/09	2,048.39	3,330.81	(1,282.42)	
	242		03/06/07		2,595.34	4,098.20	(1,502.86)	
	267		05/10/07		2,863.45	4,720.99	(1,857.54)	
	15		05/23/07		160.86	263.59	(102.73)	
125000410	373	GOLD FIELDS LTD SPONS ADR	05/23/07	03/06/09	4,144.82	6,554.58	(2,409.76)	
125000420	122	GOLD FIELDS LTD SPONS ADR	05/23/07	03/12/09	1,496.20	2,143.86	(647.66)	
	272		11/12/07		3,335.79	4,902.15	(1,566.36)	
	101		02/01/08		1,238.65	1,421.57	(182.92)	
125000430	239	GOLD FIELDS LTD SPONS ADR	02/01/08	03/18/09	2,932.68	3,363.93	(431.25)	
125000440	330	GOLD FIELDS LTD SPONS ADR	02/01/08	05/18/09	3,922.41	4,644.75	(722.34)	
	770		02/06/08		9,152.29	10,694.84	(1,542.55)	
	200		04/08/08		2,377.22	2,773.96	(396.74)	
125000450	101	GOLD FIELDS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/22/08	12/18/09	1,348.64	1,433.50	(84.86)	
125000490	36	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR Morgan Stanley Sml th Barney LLC acted as yo	09/05/08	09/11/09	888.21	884.32		3.89
125000500	26	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	09/05/08	12/18/09	666.10	638.68		27.42
125000510	119	IVANHOE MINES LIMITED-CAD	02/28/06	05/18/09	718.78	940.86	(222.08)	
	105		05/18/06		634.21	735.82	(101.61)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006247

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000210	2	CENTRAIS ELETRICAS	03/12/07	04/24/09	\$ 25.49	\$ 21.62		\$ 3.87
	196	BRASILEIRAS SPON ADR	03/13/07		2,498.10	2,055.65		442.45
	45		06/12/07		573.54	592.79	(19.25)	
125000220	77	CENTRAIS ELETRICAS	06/12/07	05/18/09	965.11	1,014.32	(49.21)	
	69	BRASILEIRAS SPON ADR	08/07/07		864.84	907.34	(42.50)	
	261		08/10/07		3,271.37	3,325.71	(54.34)	
125000230	24	CURRENCYSHARES EURO TRUST	10/19/06	02/05/09	3,079.38	3,036.99		42.39
125000240	35	CURRENCYSHARES EURO TRUST	10/19/06	05/18/09	4,733.62	4,428.93		304.69
125000250	27	CURRENCYSHARES EURO TRUST	10/19/06	08/06/09	3,870.77	3,416.61		454.16
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000260	874	DAI NIPPON PRTG LTD JAPAN	01/26/05	05/18/09	10,610.08	13,984.00	(3,373.92) ^c	
	1,122	SPONS ADR	03/03/05		13,620.73	19,074.00	(5,453.27) ^c	
125000270	56	DAI NIPPON PRTG LTD JAPAN	03/03/05	12/18/09	711.74	952.00	(240.26) ^c	
		SPONS ADR MorganStanley SmithBarney LLC acted as your agent						
125000280	25	DAIWA HOUSE INDUSTRIES ADR	10/24/07	03/19/09	1,977.23	3,117.57	(1,140.34)	
	31	-USD-	10/25/07		2,451.77	3,876.48	(1,424.71)	
	18		11/07/07		1,423.61	2,253.54	(829.93)	
	4		01/07/08		316.35	511.97	(195.62)	
125000290	47	DAIWA HOUSE INDUSTRIES ADR	01/07/08	04/09/09	4,023.84	6,015.67	(1,991.83)	
	7	-USD-	01/08/08		599.30	894.58	(295.28)	
125000300	7	DAIWA HOUSE INDUSTRIES ADR	01/08/08	05/18/09	634.60	894.57	(259.97)	
	52	-USD-	02/13/08		4,714.20	5,457.57	(743.37)	
	79		03/07/08		7,161.95	7,551.03	(389.08)	
125000310	3	DAIWA HOUSE INDUSTRIES ADR	03/07/08	06/17/09	304.51	286.75		17.76
		-USD- Morgan Stanley Sml th Barney LLC acted as yo						
125000320	9	DAIWA HOUSE INDUSTRIES ADR	09/03/08	12/18/09	947.22	836.88		110.34
		-USD- MorganStanley SmithBarney LLC acted as your agent						
125000330	88	ERICSSON L M TEL CO CL B	11/20/07	02/02/09	684.24	1,114.94	(430.70)	
	826	ADR NEW -USD-	11/21/07		6,422.53	9,814.90	(3,392.37)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006250

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000690	20	MAGNA INTERNATIONAL CL A	06/23/08	09/02/09	\$ 834.96	\$ 1,305.15	(\$ 470.19)	
	27	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/15/08		1,127.19	1,488.46	(361.27)	
125000700	72	MAGNA INTERNATIONAL CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/15/08	11/06/09	3,627.05	3,969.24	(342.19)	
125000710	468	MITSUMI SUMITOMO INS GROUP	10/25/07	05/18/09	6,702.10	8,626.89	(1,924.79)	
	486	HLDS INC ADR	11/21/07		6,959.88	8,957.71	(1,997.83)	
	180		12/05/07		2,577.73	3,253.26	(675.53)	
125000720	66	MITSUMI SUMITOMO INS GROUP HLDS INC ADR MorganStanley SmithBarney LLC acted as your agent	12/05/07	12/18/09	884.37	1,192.86	(308.49)	
125000730	1	NEWCREST MINING LTD SPON	06/29/06	02/09/09	21.42	14.66		6.76
	232	ADR	07/03/06		4,970.46	3,682.03		1,288.43
125000740	241	NEWCREST MINING LTD SPON ADR	07/03/06	05/18/09	5,410.31	3,824.86		1,585.45
125000750	75	NEWCREST MINING LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/13/08	12/18/09	2,276.19	1,584.86		691.33
125000760	227	NEWMONT MINING CORP	06/14/07	02/06/09	9,326.80	9,076.37		250.43
125000770	24	NEWMONT MINING CORP	06/14/07	02/27/09	1,010.00	959.62		50.38
	83		06/15/07		3,492.92	3,342.82		150.10
125000780	51	NEWMONT MINING CORP	06/15/07	05/18/09	2,156.84	2,054.03		102.81
	78		06/18/07		3,298.69	3,143.06		155.63
	81		06/25/07		3,425.56	3,170.04		255.52
	165		06/26/07		6,978.00	6,380.15		597.85
	73		08/16/07		3,087.24	2,845.84		241.40
125000790	64	NEWMONT MINING CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/16/07	07/07/09	2,535.21	2,494.98		40.23

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006249

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	800		05/19/06		\$ 4,832.11	\$ 5,461.44	(\$ 629.33)	
	90		01/10/08		543.61	949.86	(406.25)	
	28		01/11/08		169.13	296.81	(127.68)	
125000520	213	IVANHOE MINES LIMITED-CAD	01/11/08	09/02/09	2,342.81	2,257.89		84.92
	29	Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/20/08		318.97	281.40		37.57
125000530	172	IVANHOE MINES LIMITED-CAD	03/20/08	12/18/09	2,434.16	1,669.00		765.16
		Morgan Stanley SmithBarney LLC acted as your agent in this transaction.						
125000540	311	KT CORP SPONSORED ADR	01/26/05	02/05/09	4,386.19	6,773.58	(2,387.39) c	
	255		03/02/05		3,596.40	5,887.13	(2,290.73) c	
125000550	531	KT CORP SPONSORED ADR	03/02/05	04/14/09	7,752.13	12,259.08	(4,506.95) c	
125000560	494	KT CORP SPONSORED ADR	03/02/05	05/18/09	7,223.23	11,404.87	(4,181.64) c	
	210		09/09/05		3,070.60	4,496.10	(1,425.50)	
	570		03/23/06		8,334.50	11,912.83	(3,578.33)	
	181		05/16/08		2,646.57	4,134.69	(1,488.12)	
125000610	148	KOREA ELEC PWR CORP SP ADR	01/26/05	03/25/09	1,515.33	1,947.68	(432.35) c	
	59		03/02/05		604.08	834.26	(230.18) c	
125000620	953	KOREA ELEC PWR CORP SP ADR	03/02/05	05/18/09	11,517.09	13,475.42	(1,958.33) c	
	51		10/09/06		616.34	981.65	(365.31)	
	122		10/12/06		1,474.38	2,396.35	(921.97)	
	32		10/13/06		386.72	634.92	(248.20)	
	63		10/16/06		761.36	1,244.50	(483.14)	
	112		10/17/06		1,353.53	2,201.57	(848.04)	
125000630	29	KOREA ELEC PWR CORP SP ADR	10/17/06	12/18/09	396.46	570.05	(173.59)	
	56	Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	03/17/08		765.59	784.07	(18.48)	
125000640	124	LIHIR GOLD LTD SPONS ADR -USD-	03/03/05	03/05/09	2,434.58	1,095.54		1,339.04 c
125000650	100	LIHIR GOLD LTD	03/03/05	05/18/09	2,252.93	883.50		1,369.43 c
	88	SPONS ADR -USD-	04/29/08		1,982.58	2,469.03	(486.45)	
125000660	159	LONMIN PUB LTD CO SPON ADR	03/03/05	03/11/09	2,807.73	3,164.10	(356.37) c	
	40	NEW	09/26/07		706.35	2,641.37	(1,935.02)	
125000670	2	LONMIN PUB LTD CO SPON ADR	09/26/07	04/03/09	44.52	132.07	(87.55)	
		NEW						

2009 YEAR END SUMMARY



Ref: 00000018 00006252

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001000	118	SK TELECOM LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/29/08	12/18/09	\$ 1,934.27	\$ 2,622.21	(\$ 687.94)	
125001010	252	SANOFI-AVENTIS SPONS ADR	09/27/07	01/20/09	7,920.49	10,666.65	(2,746.16)	
125001020	62 323 148 133	SANOFI-AVENTIS SPONS ADR	09/27/07 10/03/07 04/08/08 04/14/08	05/18/09	1,874.46 9,765.33 4,474.52 4,021.02	2,624.34 13,727.76 5,686.10 5,071.04	(749.88) (3,962.43) (1,211.58) (1,050.02)	
125001030	70	SANOFI-AVENTIS SPONS ADR MorganStanley SmithBarney LLC acted as your agent	05/22/08	10/28/09	2,691.75	2,601.63		90.12
125001040	26	SANOFI-AVENTIS SPONS ADR MorganStanley SmithBarney LLC acted as your agent	05/22/08	12/18/09	1,015.34	966.32		49.02
125001050	1,235 1,290 433 474	SEGA SAMMY HLDGS INC-USD SPONSORED ADR	12/28/06 03/05/07 05/15/07 05/17/07	05/18/09	3,099.77 3,237.81 1,086.80 1,189.71	8,248.32 7,988.45 2,078.36 2,268.47	(5,148.55) (4,750.64) (991.56) (1,078.76)	
125001060	136 342	SEGA SAMMY HLDGS INC-USD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	05/17/07 01/08/08	12/18/09	428.39 1,077.27	650.87 1,108.15	(222.48) (30.88)	
125001070	517	SEKISUI HOUSE UNSPONS ADR	03/02/05	02/03/09	4,421.04	5,867.95	(1,446.91) c	
125001080	244 207 106	SEKISUI HOUSE UNSPONS ADR	03/02/05 09/06/07 09/13/07	04/09/09	2,035.13 1,726.52 884.11	2,769.40 2,508.57 1,244.82	(734.27) c (782.05) (360.71)	
125001090	307	SEKISUI HOUSE UNSPONS ADR	09/13/07	05/12/09	2,867.36	3,605.28	(737.92)	
125001100	41 800 441	SEKISUI HOUSE UNSPONS ADR	09/13/07 10/09/07 03/10/08	05/18/09	385.39 7,519.81 4,145.29	481.49 9,683.68 3,938.17	(96.10) (2,163.87)	207.12
125001120	1,177	SHISEIDO LTD SPONSORED ADR	03/02/05	05/18/09	19,973.17	15,907.16		4,066.01 c



Ref: 00000018 00006251

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000800	97	NEWMONT MINING CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/16/07	07/13/09	\$ 3,622.67	\$ 3,781.46	(\$ 158.79)	
125000810	96	NEWMONT MINING CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/16/07	10/29/09	4,090.18	3,742.47		347.71
125000820	23 292 302	NEXEN INC	09/24/07 10/02/07 10/10/07	05/18/09	475.15 6,032.39 6,238.98	695.85 8,887.14 9,284.84	(220.70) (2,854.75) (3,045.86)	
125000850	236 225 367 379	NIPPON TEL & TEL SPON ADR	03/03/06 05/15/07 05/31/07 06/18/07	05/18/09	4,889.65 4,661.74 7,603.82 7,852.46	5,275.94 5,464.08 8,535.47 8,612.36	(386.29) (802.34) (931.65) (759.90)	
125000910	695 219 193	PANASONIC CORP ADR	03/02/05 08/17/07 09/12/07	05/18/09	10,011.77 3,154.79 2,780.25	10,320.75 3,874.77 3,377.46	(308.98) c (719.98) (597.21)	
125000920	83 177	PETRO-CANADA-CAD	12/05/07 01/18/08	02/02/09	1,791.10 3,819.58	4,034.52 8,549.61	(2,243.42) (4,730.03)	
125000940	355 298	PROMISE CO LTD-UNSPON ADR	02/03/06 07/10/06	03/16/09	2,321.04 1,948.37	9,916.46 7,532.84	(7,595.42) (5,584.47)	
125000950	135 291 125 130 41	PROMISE CO LTD-UNSPON ADR	07/10/06 09/21/06 10/24/06 10/25/06 10/26/06	04/20/09	883.86 1,905.22 818.39 851.13 268.43	3,412.53 5,852.50 2,357.41 2,345.77 718.43	(2,528.67) (3,947.28) (1,539.02) (1,494.64) (450.00)	
125000970	120,7163 329 2837 71	ROYAL DUTCH SHELL PLC ADR CL B	01/26/05 03/02/05 03/06/06	05/18/09	6,093.89 16,622.60 3,584.16	7,361.72 21,816.02 4,604.11	(1,267.83) c (5,193.42) c (1,019.95)	
125000980	31	ROYAL DUTCH SHELL PLC ADR CL B MorganStanley SmithBarney LLC acted as your agent	03/06/06	12/18/09	1,726.96	2,010.25	(283.29)	
125000990	373 236 6	SK TELECOM LTD SPON ADR	02/19/08 04/23/08 04/29/08	05/18/09	6,001.79 3,797.38 96.54	8,444.35 5,182.56 133.33	(2,442.56) (1,385.18) (36.79)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006254

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001330	42	SWISSCOM AG SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/01/06	12/18/09	\$ 1,553.96	\$ 1,288.12		\$ 265.84
125001380	14	TDK CORP AMER DEPOSIT SHS MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/12/08	12/18/09	842.77	465.94		376.83
125001420	59 78 5	TECHNIP ADR	09/12/06 09/13/06 01/30/08	02/09/09	2,172.55 2,872.19 184.11	3,291.03 4,376.80 326.19	(1,118.48) (1,504.41) (142.06)	
125001430	43	TECHNIP ADR	01/30/08	05/07/09	1,934.86	2,805.27	(870.41)	
125001460	14	TECHNIP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/21/08	12/18/09	969.47	303.71		665.76
125001470	367 26 762 136 215 581	TELECOM ITALIA S.P.A ADR (NEW) REPSTG SVG SHS	01/26/05 02/01/05 03/03/05 06/02/05 09/15/05 11/30/05	05/18/09	3,571.22 253.00 7,414.91 1,323.40 2,092.13 5,653.62	11,673.87 847.08 24,006.27 3,609.52 5,824.35 13,945.10	(8,102.65) c (594.08) c (16,591.36) c (2,286.12) c (3,732.22) c (8,291.48)	
125001480	150	TELECOM ITALIA S.P.A ADR (NEW) REPSTG SVG SHS MorganStanley SmithBarney LLC acted as your agent	11/30/05	12/18/09	1,604.58	3,600.28	(1,995.70)	
125001490	320	TOPPAN PRTG LTD ADR	05/22/07	03/03/09	8,719.95	17,276.74	(8,556.79)	
125001500	719,8156 84,1844	UNITED UTILS GROUP PLC-GBP	03/02/05 08/08/05	05/18/09	12,020.61 1,405.84	22,130.70 2,491.41	(10,110.09) c (1,085.57) c	
125001510	68,5877 340,4123	VODAFONE GROUP PLC SPONS ADR NEW	02/21/06 06/23/06	02/05/09	1,370.75 6,803.27	1,667.08 8,163.48	(296.33) (1,360.21)	
125001520	307 260	VODAFONE GROUP PLC SPONS ADR NEW	06/23/06 08/02/06	05/18/09	6,003.81 5,084.66	7,362.21 5,637.01	(1,358.40) (552.35)	
125001530	42	VODAFONE GROUP PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	11/10/08	11/24/09	950.85	702.42		248.43



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref. 00000018 00006253

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001130	74	SHISEIDO LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/02/05	12/18/09	\$ 1,522.88	\$ 1,000.11		\$ 522.77 c
125001150	25	SIEMENS A G SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/24/08	10/26/09	2,405.02	1,259.28		1,145.74
125001200	644 1,045 560	STORA ENSO OYJ ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/20/05 11/08/05 04/17/08	05/18/09	3,812.38 6,186.24 3,315.11	8,267.86 13,425.95 6,723.81	(4,455.48) (7,239.71) (3,408.70)	
125001220	309	STORA ENSO OYJ ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/05/08	08/19/09	2,037.80	2,831.71	(793.91)	
125001230	298	STORA ENSO OYJ ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/05/08	09/02/09	1,938.49	2,730.90	(792.41)	
125001240	1,320 448	SUMITOMO TRUST & BANKING CO LT-JPY SPONS ADR	01/11/08 03/10/08	05/18/09	6,203.84 2,105.54	9,081.34 2,907.07	(2,877.50) (801.53)	
125001250	101	SUMITOMO TRUST & BANKING CO LT-JPY SPONS ADR MorganStanley SmithBarney LLC acted as your agent	03/10/08	12/18/09	508.01	655.39	(147.38)	
125001280	250	SUNCOR ENERGY INC	03/02/05	03/12/09	6,039.74	4,789.52		1,250.22 c
125001290	64	SUNCOR ENERGY INC	03/02/05	05/18/09	1,942.48	1,226.12		716.36 c
125001310	130 76 141 316 91	SWISSCOM AG SPONS ADR	03/03/05 03/07/05 03/11/05 03/14/05 02/01/06	05/18/09	3,615.70 2,113.79 3,921.65 8,788.94 2,530.99	5,050.50 2,944.56 5,456.70 12,260.80 2,790.92	(1,434.80) c (830.77) c (1,535.05) c (3,471.86) c (259.93)	
125001320	40	SWISSCOM AG SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/01/06	09/02/09	1,364.22	1,226.78		137.44



Ref: 00000018 00006256

THOMPSON DEAN
Account Number 232-71061-14 757

Details of Deposits and Withdrawals 2009 - continued

Deposits - continued

Reference number	Date	Description	Amount
210000900	10/30/09	CONSULTING & ADVISORY SERVICES FROM 10/26/09 TO 12/31/09	\$ 31.81
Total			\$ 1,573.97

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/08/09	FROM 232-71061-01 TO 232-71053-01		\$ 1,000.00
220000300	01/15/09	FROM 232-71061-01 TO 232-71053-01		75.00
220000500	01/20/09	FROM 232-71061-01 TO 232-71053-01		7,000.00
220000700	02/04/09	FROM 232-71061-01 TO 232-71053-01		21,023.00
220000900	02/09/09	FROM 232-71061-01 TO 232-71053-01		5,000.00
220001100	03/02/09	FROM 232-71061-01 TO 232-71053-01		20,000.00
220001300	03/24/09	FROM 232-71061-01 TO 232-71053-01		30,000.00
220001500	04/06/09	NOVARTIS AG ADR ADR FEE OF .00350 PER SHR RECORD 02/26/09 PAY 04/06/09		1.80
220001700	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		3,776.44
220001900	05/04/09	SEKISUI HOUSE UNSPONS ADR ADR FEE OF .01700 PER SHR RECORD 01/30/09 PAY 05/04/09		55.11

Reference number	Date	Description	Referral number	Amount
210001000	12/31/09	CONSULTING & ADVISORY SERVICES FROM 12/23/09 TO 12/31/09		\$ 12.21

Reference number	Date	Description	Referral number	Amount
220000200	01/14/09	FROM 232-71061-01 TO 232-71053-01		\$ 4,000.00
220000400	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		4,593.44
220000600	01/22/09	FROM 232-71061-01 TO 232-71053-01		12,500.00
220000800	02/04/09	SIEMENS A G SPONS ADR ADR FEE OF .02000 PER SHR RECORD 01/27/09 PAY 02/04/09		7.58
220001000	02/11/09	UNITED UTILS GROUP PLC-GBP ADR FEE OF .02000 PER SHR RECORD 12/19/08 PAY 02/11/09		16.08
220001200	03/23/09	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR ADR FEE OF .01200 PER SHR RECORD 03/13/09 PAY 03/23/09		7.12
220001400	03/27/09	KT CORP SPONSORED ADR ADR FEE OF .00350 PER SHR RECORD 12/31/08 PAY 03/27/09		8.93
220001600	04/13/09	SK TELECOM LTD SPON ADR ADR FEE OF .02350 PER SHR RECORD 12/31/08 PAY 04/10/09		18.59
220001800	04/17/09	FROM 232-71061-01 TO 232-71053-01		5,000.00
220002000	05/11/09	TNT N V SPONSORED ADR-USD ADR FEE OF 0.02125 PER SHARE RD 04/13/09 , PAY DT 04/28/09		6.33



Ref. 00000018 00006255

THOMPSON DEAN

Account Number 232-71061-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001540	42	VODAFONE GROUP PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	11/10/08	12/18/09	\$ 948.83	\$ 702.42		\$ 246.41
125001550	82	WACOAL CORP ADR	01/28/05	04/20/09	4,961.03	4,577.24		383.79 ^c
125001560	2	WACOAL CORP ADR	01/28/05	05/18/09	127.64	111.64		16.00 ^c
	96		03/02/05		6,126.88	6,192.00	(65.12) ^c	
	131		03/03/05		8,360.64	8,469.50	(108.86) ^c	
Total					\$ 776,470.56	\$ 1,106,325.14	(\$ 355,145.99)	\$ 25,291.41

^cBased on information supplied by Client or other financial institution, not verified by us.

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	01/30/09	CONSULTING & ADVISORY SERVICES FROM 01/22/09 TO 03/31/09	\$ 69.69
210000300	03/31/09	CONSULTING & ADVISORY SERVICES FROM 03/24/09 TO 03/31/09	16.44
210000500	05/29/09	CONSULTING & ADVISORY SERVICES FROM 05/22/09 TO 06/30/09	1,282.19
210000700	08/31/09	CONSULTING & ADVISORY SERVICES FROM 07/10/09 TO 09/30/09	51.16

Reference number	Date	Description	Amount
210000200	02/27/09	CONSULTING & ADVISORY SERVICES FROM 02/09/09 TO 03/31/09	\$ 54.54
210000400	04/30/09	CONSULTING & ADVISORY SERVICES FROM 04/17/09 TO 06/30/09	15.41
210000600	06/22/09	WOLTERS KLUWER N V SP ADR REV ADR FEE OF 02000/SHR RECORD 04/21/09 PAY 05/11/09	5.96
210000800	09/30/09	CONSULTING & ADVISORY SERVICES FROM 09/02/09 TO 09/30/09	34.56

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006210

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160004000	*** POTASH CORP SASK INC- THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 1.66				
160004500	*** TEVA PHARMACEUTICAL INDS LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		8.44				
Totals			\$ 137.47				

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	90	BUNGE LIMITED	03/12/09	05/18/09	\$ 5,071.36	\$ 4,493.97		\$ 577.39
125000020	55	BUNGE LIMITED	03/12/09	08/21/09	3,624.08	2,746.32		877.76
	65	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/23/09		4,283.01	3,879.28		403.73
125000030	20	INGERSOLL-RAND PUBLIC LTD CO MorganStanley SmithBarney LLC acted as your agent	10/21/09	12/18/09	716.60	701.28		15.32
125000040	735	MARVELL TECHNOLOGY GROUP LTD-USD	03/12/09	05/18/09	8,078.91	6,441.02		1,637.89
125000050	55	MARVELL TECHNOLOGY GROUP LTD-USD ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Sml	03/12/09	09/02/09	817.82	481.98		335.84

2009 YEAR END SUMMARY



Ref: 00000018 00006209

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
160004500	*** TEVA PHARMACEUTICAL INDS LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	\$ 42.23	\$ 42.23					
160004600	TEXAS INSTRUMENTS INC	71.80	71.80					
160004700	3M COMPANY	43.35	43.35					
160004800	WAL-MART STORES INC	239.71	239.71					
Totals		\$ 4,939.17	\$ 4,938.75					

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160000500	*** ARCELORMITTAL NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 12.10				
160000600	*** BANCO SANTANDER S.A. THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		47.12				
160001400	*** COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		13.55				
160003000	*** MECHTEL OAO SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		50.29				
160003600	*** NEXEN INC THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		4.31				

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006212

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000220	25	AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/05/09	12/18/09	\$ 1,010.72	\$ 921.86		\$ 88.86
125000230	205 170	ANSYS INC	07/31/08 08/11/08	01/16/09	5,050.06 4,187.86	9,345.75 8,130.42	(4,295.69) (3,942.56)	
125000240	10	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/15/09	12/18/09	1,006.37	913.08		93.29
125000250	80	APOLLO GROUP INC CL A	11/26/08	03/04/09	5,379.86	5,813.47	(433.61)	
125000310	10	ARCELOMITTAL NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/03/09	09/02/09	340.29	340.96	(.67)	
125000320	15	ARCELOMITTAL NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/03/09	12/18/09	645.13	511.43		133.70
125000330	35	BAIDU INC SPON ADR RPTNG ORD SHS CL A	03/04/09	05/18/09	8,313.33	5,563.40		2,749.93
125000340	15	BAIDU INC SPON ADR RPTNG ORD SHS CL A Morgan Stanley Sml th Barney LLC acted as pr	03/04/09	07/21/09	4,912.37	2,384.32		2,528.05
125000350	10 20	BAIDU INC SPON ADR RPTNG ORD SHS CL A MorganStanley SmithBarney LLC acted as principal	03/04/09 04/14/09	10/27/09	3,858.26 7,716.52	1,589.54 4,027.71		2,268.72 3,688.81
125000360	40	BANCO SANTANDER S.A. Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/12/09	09/02/09	577.58	452.70		124.88
125000370	55	BANCO SANTANDER S.A. MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/12/09	12/18/09	893.17	622.47		270.70
125000380	95	BAXTER INTL INC	07/30/08	05/18/09	4,683.37	6,482.77	(1,799.40)	

2009 YEAR END SUMMARY



Ref: 00000018 00006211

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000060	20	MARVELL TECHNOLOGY GROUP LTD-USD	03/12/09	12/18/09	\$ 392.59	\$ 175.27		\$ 217.32
	55	MorganStanley SmithBarney LLC acted as principal	04/13/09		1,079.62	556.51		523.11
125000070	5	ALCON INC Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	06/18/09	09/02/09	642.38	566.75		75.63
125000080	5	ALCON INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/18/09	12/18/09	798.02	566.75		231.27
125000090	20	WEATHERFORD INTERNATIONAL LTD.-CHF Morgan Stanley Smt th Barney LLC acted as yo	06/03/09	09/02/09	392.98	396.33	(3.35)	
125000100	165	WEATHERFORD INTERNATIONAL LTD -CHF MorganStanley SmithBarney LLC acted as your agent	06/03/09	10/22/09	3,225.99	3,269.74	(43.75)	
	165		06/19/09		3,226.00	3,353.79	(127.79)	
125000110	510	ACTIVISION BLIZZARD INC	07/30/08	05/18/09	5,936.96	9,057.57	(3,120.61)	
	265		10/14/08		3,084.89	3,477.62	(392.73)	
125000120	380	ACTIVISION BLIZZARD INC	10/14/08	05/22/09	4,222.87	4,986.78	(763.91)	
125000130	30	ACTIVISION BLIZZARD INC Morgan Stanley Smt th Barney LLC acted as pr	10/14/08	07/31/09	344.40	393.69	(49.29)	
	450	incipal in this transac	10/30/08		5,165.99	5,656.32	(490.33)	
125000140	10	AGRIUM INC USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/21/09	12/18/09	602.48	567.47		35.01
125000150	155	AMAZON COM INC	06/05/08	04/29/09	12,578.23	13,002.89	(424.66)	
125000160	75	AMAZON COM INC	06/05/08	05/18/09	5,575.19	6,291.72	(716.53)	
	45		08/22/08		3,345.12	3,810.38	(465.26)	
125000200	80	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	11/21/08	05/18/09	2,944.72	2,221.41		723.31

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006214

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000510	10	COCA-COLA CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/25/09	09/02/09	\$ 499.08	\$ 478.59		\$ 20.49
125000520	15	COCA-COLA CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/25/09	12/18/09	855.12	717.89		137.23
125000530	110 65	COLGATE PALMOLIVE CO	09/05/08 10/14/08	03/12/09	6,134.06 3,624.67	8,449.42 4,180.15	(2,315.36) (555.48)	
125000540	70	COLGATE PALMOLIVE CO	10/14/08	05/18/09	4,478.48	4,501.71	(23.23)	
125000550	5	COLGATE PALMOLIVE CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/14/08	09/02/09	357.54	321.55		35.99
125000570	10	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo	06/12/09	09/02/09	721.78	661.92		59.86
125000580	10	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR MorganStanley SmithBarney LLC acted as your agent	06/12/09	12/18/09	956.77	661.92		294.85
125000590	100	CONOCOPHILLIPS	06/26/08	04/13/09	4,028.99	9,286.57	(5,257.58)	
125000600	410	CORNING INC	03/31/09	05/18/09	5,806.27	5,513.27		293.00
125000610	30 650	CORNING INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/31/09 04/14/09	08/21/09	481.38 10,429.88	403.41 9,534.20		77.97 895.68
125000620	175 60	COSTCO WHOLESALE CORP NEW	01/31/08 03/25/08	01/27/09	8,338.12 2,858.78	12,015.50 3,988.41	(3,677.38) (1,129.63)	
125000640	20	CREE INC MorganStanley SmithBarney LLC acted as principal in this transaction.	10/29/09	12/18/09	1,040.37	864.36		176.01
125000650	165	DEERE & CO	11/24/08	05/18/09	7,220.85	5,158.87		2,061.98

2009 YEAR END SUMMARY



Ref: 00000018 00006213

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000390	40 70	BAXTER INTL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/30/08 09/05/08	06/03/09	\$ 1,988.01 3,479.01	\$ 2,729.59 4,674.29	(\$ 741.58) (1,195.28)	
125000400	40	BLACKROCK INC	12/08/08	01/20/09	4,307.98	5,476.26	(1,168.28)	
125000410	5	BUCYRUS INTERNATIONAL INC MorganStanley SmithBarney LLC acted as principal in this transaction.	12/07/09	12/18/09	258.74	258.19		55
125000420	15	CME GROUP INC CLASS A	04/14/09	05/18/09	4,249.54	3,909.54		340.00
125000430	25 10	CME GROUP INC CLASS A Morgan Stanley Sml th Barney LLC acted as pr	04/14/09 06/03/09	09/15/09	6,975.96 2,790.39	6,515.90 3,251.65	(461.26)	460.06
125000440	10	CVS CAREMARK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/09/09	09/02/09	364.79	310.60		54.19
125000450	200	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/09/09	11/05/09	5,718.97	6,211.98	(493.01)	
125000460	135	CATERPILLAR INC	12/09/08	05/22/09	4,826.01	5,846.45	(1,020.44)	
125000470	295 105	CELGENE CORP	07/09/08 07/17/08	03/04/09	12,443.32 4,428.98	21,180.09 7,499.52	(8,736.77) (3,070.54)	
125000480	10 215 55	CEPHALON INC	07/17/08 07/30/08 09/05/08	05/08/09	645.25 13,872.79 3,548.85	733.95 15,942.40 4,235.33	(88.70) (2,069.61) (686.48)	
125000490	5	CERNER CORP ORIGINAL S/D 09/02/09 B/C 6E Morgan Stanley Sml th Barney LLC acted as pr	07/08/09	09/02/09	308.89	285.30		23.59
125000500	15	CERNER CORP MorganStanley SmithBarney LLC acted as principal in this transaction.	07/08/09	12/18/09	1,156.77	855.91		300.86



Ref: 00000018 00006216

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000800	20	F5 NETWORKS INC MorganStanley SmithBarney LLC acted as principal in this transaction.	11/05/09	12/18/09	\$ 999.97	\$ 929.20		\$ 70.77
125000810	150 40 140	FLUOR CORP NEW	11/25/08 11/26/08 12/09/08	04/29/09	5,813.75 1,550.33 5,426.16	5,806.88 1,739.38 7,269.32	(189.05) (1,843.16)	6.87
125000820	155	FREEPORT MCMORAN COPPER & GOLD CL B	11/24/08	05/18/09	7,451.27	3,420.69		4,030.58
125000830	75 45	FREEPORT MCMORAN COPPER & GOLD CL B Morgan Stanley Sml th Barney LLC acted as yo	11/24/08 03/04/09	07/08/09	3,351.09 2,010.65	1,655.18 1,456.16		1,695.91 554.49
125000840	5	FREEPORT MCMORAN COPPER & GOLD CL B Morgan Stanley Sml th Barney LLC acted as yo	03/04/09	09/02/09	306.24	161.80		144.44
125000850	5	FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	03/04/09	12/18/09	384.50	161.79		222.71
125000890	20	GOOGLE INC CLASS A	12/08/08	05/18/09	7,809.39	6,077.92		1,731.47
125000900	5	GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as principal	01/16/09	12/18/09	2,984.32	1,502.13		1,482.19
125000910	120	HANSEN NATURAL CORP	02/06/09	05/18/09	5,028.35	4,311.34		717.01
125000920	40 125	HANSEN NATURAL CORP Morgan Stanley Sml th Barney LLC acted as pr incipal in this transac	02/06/09 04/29/09	06/12/09	1,257.56 3,929.88	1,437.11 5,140.65	(179.55) (1,210.77)	
125000930	240	HASBRO INC	08/11/08	01/30/09	5,828.17	9,839.62	(4,011.45)	
125000940	170 160 115	H J HEINZ CO	09/05/08 10/14/08 10/17/08	03/11/09	5,370.53 5,054.61 3,633.00	8,744.80 7,185.23 4,925.86	(3,374.27) (2,130.62) (1,292.86)	
125000950	85 30	HESS CORP	03/12/09 03/23/09	05/18/09	5,127.07 1,809.55	4,897.53 1,937.05	(127.50)	229.54



2009 YEAR END SUMMARY

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref: 00000018 00006215

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000660	245	DEERE & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/17/08	06/18/09	\$ 9,887 74	\$ 9,670 76		\$ 216.98
125000670	525	DIRECTV GROUP INC	06/04/08	05/18/09	12,836.97	14,988.49	(2,151.52)	
125000680	185 100	DIRECTV GROUP INC	06/04/08 06/30/08	05/22/09	4,373.65 2,364.14	5,281.66 2,606.02	(908.01) (241.88)	
125000690	290	DIRECTV GROUP INC Morgan Stanley Sml th Barney LLC acted as pr incipal in this transac	06/30/08	06/03/09	6,548.61	7,557.46	(1,008.85)	
125000700	25	DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as principal	08/21/09	12/18/09	785.97	633.75		152.22
125000710	515 105	EMC CORP-MASS	12/08/08 01/29/09	05/18/09	6,119.07 1,247.58	5,818.93 1,205.48		300.14 42.10
125000720	45	EMC CORP-MASS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/29/09	09/02/09	700.63	516.64		183.99
125000730	75	EMC CORP-MASS MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/29/09	12/18/09	1,288.46	861.06		427.40
125000740	285	EBAY INC	04/30/09	05/18/09	4,916.26	4,784.50		131.76
125000750	20	EBAY INC ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Sml th Barney LLC acted as pr	04/30/09	09/02/09	429.38	335.75		93.63
125000760	35	EBAY INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/30/09	12/18/09	801.12	587.57		213.55
125000770	90	EXPRESS SCRIPTS INC.COMMON	08/11/08	05/18/09	5,228.86	6,620.29	(1,391.43)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006218

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001120	60	MASCO CORP DE MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/21/09	12/18/09	\$ 833.97	\$ 855.80	(\$ 21.83)	
125001130	35	MASTERCARD INC	04/10/08	01/29/09	4,563.76	8,087.96	(3,524.20)	
125001160	20	MCDERMOTT INTERNATIONAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/22/09	09/02/09	469.38	396.56		72.82
125001170	35	MCDERMOTT INTERNATIONAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/22/09	12/18/09	816.17	693.98		122.19
125001180	10	MERCK & CO INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/07/09	12/18/09	374.59	369.08		5.51
125001190	20	MICROSOFT CORP ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Smi th Barney LLC acted as pr	06/18/09	09/02/09	479.58	470.40		9.18
125001200	35	MICROSOFT CORP MorganStanley SmithBarney LLC acted as principal in this transaction.	06/18/09	12/18/09	1,050.67	823.20		227.47
125001210	100	MONSANTO CO NEW	10/30/08	04/14/09	8,231.78	8,796.30	(564.52)	
125001220	35 10	MONSANTO CO NEW	10/30/08 02/05/09	05/18/09	3,148.16 899.48	3,078.71 801.84		69.45 97.64
125001230	55	MONSANTO CO NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/05/09	06/02/09	4,529.24	4,410.12		119.12
125001240	225	MORGAN STANLEY	03/12/09	05/18/09	6,143.24	5,320.31		822.93
125001250	80 230	MORGAN STANLEY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/12/09 04/30/09	07/31/09	2,281.83 6,560.26	1,891.66 5,430.30		390.17 1,129.96

2009 YEAR END SUMMARY



Ref: 00000018 00006217

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000960	155	HESS CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/23/09	08/21/09	\$ 8,148.16	\$ 10,008.12	(\$ 1,859.96)	
125000970	315 85	HEWLETT PACKARD CO	10/30/08 11/24/08	04/13/09	10,858.27 2,930.01	11,956.17 3,035.01	(1,097.90) (105.00)	
125000980	250	HUMANA INC	02/05/09	04/13/09	7,442.60	10,922.20	(3,479.60)	
125001010	55	INTEL CORP MorganStanley SmithBarney LLC acted as principal in this transaction.	07/31/09	12/18/09	1,057.62	1,071.73	(14.11)	
125001030	5	INTL BUSINESS MACHINES CORP	06/27/08	05/18/09	517.79	600.65	(82.86)	
125001040	65	INTL BUSINESS MACHINES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/27/08	06/18/09	6,938.08	7,808.45	(870.37)	
125001050	40	INTUITIVE SURGICAL INC	05/08/09	05/18/09	6,081.04	6,519.96	(438.92)	
125001060	5	INTUITIVE SURGICAL INC ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Sml th Barney LLC acted as pr incipal in this transac	05/08/09	09/02/09	1,063.52	815.00		248.52
125001070	40	INTUITIVE SURGICAL INC MorganStanley SmithBarney LLC acted as principal in this transaction.	05/08/09	10/21/09	10,040.84	6,519.96		3,520.88
125001080	295	JUNIPER NETWORKS INC Morgan Stanley Sml th Barney LLC acted as pr incipal in this transac	05/22/09	08/27/09	6,810.63	6,873.47	(62.84)	
125001090	350	KANSAS CITY SOUTHERN INDS NEW	07/30/08	01/16/09	6,225.83	17,535.70	(11,309.87)	
125001100	265 260 195	MARSH & MCLENNAN COS INC	09/05/08 09/08/08 09/26/08	02/04/09	5,100.00 5,003.78 3,752.83	8,506.18 8,514.01 6,457.05	(3,406.18) (3,510.23) (2,704.22)	
125001110	40	MASCO CORP DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/21/09	09/02/09	515.98	570.53	(54.55)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006220

THOMPSON DEAN
Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001390	95	PRECISION CASTPARTS CORP	01/30/09	05/18/09	\$ 7,525.70	\$ 6,168.91		\$ 1,356.79
125001400	75	PRECISION CASTPARTS CORP	01/30/09	07/08/09	5,160.73	4,870.19		290.54
	10	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/29/09		688.10	733.76	(45.66)	
125001410	5	PRECISION CASTPARTS CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/29/09	09/02/09	449.98	366.88		83.10
125001420	5	PRECISION CASTPARTS CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	04/29/09	12/18/09	556.73	366.88		189.85
125001430	140 85	QUALCOMM INC	05/23/08 05/30/08	05/18/09	5,838.12 3,544.58	6,560.05 4,154.75	(721.93) (610.17)	
125001460	300	QUANTA SERVICES INC	02/04/09	05/18/09	6,640.02	6,301.71		338.31
125001470	20	QUANTA SERVICES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/04/09	09/02/09	428.98	420.11		8.87
125001480	175 200	QUANTA SERVICES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/04/09 03/11/09	09/15/09	4,228.00 4,831.99	3,676.00 4,133.80		552.00 698.19
125001490	145	RESEARCH IN MOTION LTD-CAD	01/27/09	05/18/09	10,594.20	7,757.48		2,836.72
125001500	45 145	RESEARCH IN MOTION LTD-CAD Morgan Stanley Sml th Barney LLC acted as pr incpal in this transac	01/27/09 02/06/09	06/19/09	3,305.23 10,650.18	2,407.50 8,676.92		897.73 1,973.26
125001510	205	SALESFORCE.COM INC	11/24/08	02/06/09	5,775.67	5,071.23		704.44
125001520	110	SEARS HOLDINGS CORPORATION Morgan Stanley Sml th Barney LLC acted as pr incpal in this transac	06/03/09	08/21/09	7,259.87	7,036.39		223.48
125001530	155	SOUTHWESTERN ENERGY CO DEL	12/09/08	05/18/09	6,265.86	4,583.61		1,682.25

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006219

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001260	5	MURPHY OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/29/09	12/18/09	\$ 272.49	\$ 316.06	(\$ 43.57)	
125001270	10	NETFLIX INC MorganStanley SmithBarney LLC acted as principal in this transaction.	10/22/09	12/18/09	532.98	489.37		43.61
125001280	125	NEWMONT MINING CORP	01/29/09	05/18/09	5,346.42	4,910.14		436.28
125001290	160	NEWMONT MINING CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/29/09	07/08/09	6,054.88	6,284.97	(230.09)	
125001300	235	NEXEN INC	04/14/09	05/18/09	4,815.49	4,513.27		302.22
125001310	20	NEXEN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/14/09	09/02/09	376.79	384.11	(7.32)	
125001320	315	NEXEN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/14/09	09/15/09	7,347.41	6,049.70		1,297.71
125001330	25	NORDSTROM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/31/09	12/18/09	878.22	652.40		225.82
125001340	25	PACCAR INC -DEL- MorganStanley SmithBarney LLC acted as principal in this transaction.	09/15/09	12/18/09	899.72	981.34	(81.62)	
125001350	5	PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/21/09	12/18/09	222.89	225.11	(2.22)	
125001360	55 20	POTASH CORP SASK INC- Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/17/08 05/22/09	06/25/09	5,108.97 1,857.81	4,400.00 2,298.86	(441.05)	708.97



Ref: 00000018 00006222

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001670	130	WAL-MART STORES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/26/08	08/25/09	\$ 6,368.65	\$ 7,840.61	(\$ 1,471.96)	
125001680	15	WATSON PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/08/09	12/18/09	572.70	506.21		66.49
125001690	465	WELLS FARGO & CO NEW	09/26/08	01/16/09	8,733.58	16,590.74	(7,857.16)	
125001700	15	WHOLE FOODS MKT INC ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Sml th Barney LLC acted as pr	08/21/09	09/02/09	404.53	427.61	(23.08)	
125001710	240 155	WHOLE FOODS MKT INC MorganStanley SmithBarney LLC acted as principal in this transaction.	08/21/09 09/15/09	11/05/09	6,767.03 4,370.38	6,841.80 4,417.02	(74.77) (46.64)	
Total					\$ 703,614.68	\$ 763,553.68	(\$ 120,100.16)	\$ 60,161.16

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000170	10	AMAZON COM INC ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Sml th Barney LLC acted as pr	08/22/08	09/02/09	\$ 786.27	\$ 846.75	(\$ 56.48)	
125000180	60	AMAZON COM INC Morgan Stanley Sml th Barney LLC acted as pr Incipal in this transac	08/22/08	09/15/09	5,002.36	5,080.51	(78.15)	



Ref: 00000018 00006221

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001540	50 140	SOUTHWESTERN ENERGY CO DEL	12/09/08 02/05/09	07/08/09	\$ 1,740.71 4,873.98	\$ 1,478.59 4,533.47		\$ 262.12 340.51
		Morgan Stanley Sml th Barney LLC acted as yo						
125001550	180	TARGET CORP	04/14/09	05/18/09	7,458.64	7,149.29		309.35
125001560	15	TARGET CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/14/09	09/02/09	685.78	595.77		90.01
125001570	75 160	TARGET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/14/09 04/29/09	12/07/09	3,471.79 7,406.50	2,978.88 6,537.74		492.91 868.76
125001580	10	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as principal	07/08/09	12/18/09	526.48	487.30		39.18
125001590	15	TEXAS INSTRUMENTS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/19/09	09/02/09	361.64	324.00		37.64
125001600	40	TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/19/09	12/18/09	1,013.17	864.00		149.17
125001620	85	3M COMPANY	11/24/08	03/11/09	3,961.40	5,228.93	(1,267.53)	
125001630	20	URBAN OUTFITTERS INC ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Sml th Barney LLC acted as pr	07/31/09	09/02/09	548.38	481.40		66.98
125001640	30	URBAN OUTFITTERS INC MorganStanley SmithBarney LLC acted as principal in this transaction	07/31/09	12/18/09	993.57	722.09		271.48
125001650	210 25	WAL-MART STORES INC	09/08/08 09/26/08	01/29/09	10,059.34 1,197.54	12,858.30 1,507.81	(2,798.96) (310.27)	
125001660	105	WAL-MART STORES INC	09/26/08	05/18/09	5,195.47	6,332.80	(1,137.33)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006224

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000790	10	EXPRESS SCRIPTS INC.COMMON MorganStanley SmithBarney LLC acted as principal in this transaction.	08/11/08	12/18/09	\$ 843.97	\$ 735.59		\$ 108.38
125000860	185 280	GILEAD SCIENCES INC	01/10/08 01/30/08	03/23/09	8,278.72 12,529.96	8,954.52 12,315.41	(675.80)	214.55
125000870	20	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/27/08	10/21/09	3,646.81	3,509.57		137.24
125000880	10 35	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/27/08 08/11/08	12/07/09	1,662.09 5,817.33	1,754.79 6,382.60	(92.70) (565.27)	
125000990	130	ILLUMINA INC	02/01/08	05/18/09	4,669.21	4,357.91		311.30
125001000	145	ILLUMINA INC Morgan Stanley Sml th Barney LLC acted as pr incipal in this transac	02/01/08	08/21/09	5,179.23	4,860.75		318.48
125001020	120 45	INTL BUSINESS MACHINES CORP	03/12/08 03/19/08	04/13/09	11,928.94 4,473.35	14,134.87 5,313.47	(2,205.93) (840.12)	
125001030	45	INTL BUSINESS MACHINES CORP	03/19/08	05/18/09	4,660.07	5,313.46	(653.39)	
125001140	40	MASTERCARD INC	04/10/08	05/18/09	6,858.22	9,243.38	(2,385.16)	
125001150	5 40	MASTERCARD INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/10/08 04/18/08	06/02/09	845.49 6,763.88	1,155.42 9,420.17	(309.93) (2,656.29)	
125001370	105	PRAXAIR INC	11/20/07	05/18/09	7,519.70	8,412.67	(892.97)	
125001380	115	PRAXAIR INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/20/07	06/18/09	8,418.79	9,213.87	(795.08)	
125001440	15	QUALCOMM INC ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Sml th Barney LLC acted as pr	05/30/08	09/02/09	679.93	733.19	(53.26)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006223

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000190	5	AMAZON COM INC MorganStanley SmithBarney LLC acted as principal in this transaction.	10/28/08	12/18/09	\$ 638 58	\$ 259 12		\$ 379 46
125000210	15	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR MorganStanley SmithBarney LLC acted as your agent	11/21/08	12/18/09	699.43	416.51		282 92
125000260	75 40	APPLE INC	11/20/07 03/25/08	05/18/09	9,286 45 4,952.77	12,466 50 5,609.64	(3,180 05) (656 87)	
125000270	5	APPLE INC Morgan Stanley Smi th Barney LLC acted as pr incipal in this transac	03/25/08	09/02/09	832 57	701.21		131 36
125000280	25	APPLE INC MorganStanley SmithBarney LLC acted as principal in this transaction	03/25/08	10/21/09	5,139.21	3,506 02		1,633.19
125000290	35	APPLE INC MorganStanley SmithBarney LLC acted as principal in this transaction.	03/25/08	12/07/09	6,673 34	4,908 44		1,764.90
125000300	5	APPLE INC MorganStanley SmithBarney LLC acted as principal in this transaction.	03/25/08	12/18/09	969.47	701 20		268.27
125000560	10	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/22/08	12/19/09	820.28	605 18		215 10
125000630	215	COSTCO WHOLESALE CORP NEW	03/25/08	04/30/09	10,377 16	14,291 80	(3,914.64)	
125000780	10	EXPRESS SCRIPTS INC.COMMON ORIGINAL S/D 09/08/09 B/C 6E Morgan Stanley Smi th Barney LLC acted as pr	08/11/08	09/02/09	728.18	735.59	(7.41)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006226

THOMPSON DEAN
Account Number 232-71060-15 757

Details of Deposits and Withdrawals 2009 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
220001100	09/02/09	FROM 232-71060-01 TO 232-71053-01		\$ 15,000.00
220001300	09/03/09	FROM 232-71060-01 TO 232-71053-01		950.41
220001500	10/09/09	JAG 3RD QTR FEE FROM 07/01/2009 TO 09/30/2009		341.91
220001700	11/12/09	BANCO SANTANDER S.A. ADR FEE OF .00250 PER SHR RECORD 10/15/09 PAY 11/06/09		1.71
Total				\$ 306,433.12

As you requested, copies of this document have also been sent to:

JOSE ROSA

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Date	Description	Referral number	Amount
220001200	09/03/09	FROM 232-71060-01 TO 232-71053-01		\$ 9,049.59
220001400	09/04/09	FROM 232-71060-01 TO 232-71053-01		2,000.00
220001600	10/16/09	CONSULTING & ADVISORY SERVICES FROM 10/01/09 TO 12/31/09		1,132.24
220001800	12/23/09	FROM 232-71060-01 TO 232-71053-01		32,000.00



Ref. 00000018 00006225

THOMPSON DEAN

Account Number 232-71060-15 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001450	100	QUALCOMM INC	05/30/08	10/21/09	\$ 4,155.04	\$ 4,887.94	(\$ 732.90)	
	135	MorganStanley SmithBarney LLC acted as principal in this transaction.	06/04/08		5,609.30	6,494.72	(885.42)	
125001610	515	THERMO FISHER SCIENTIFIC INC	11/20/07	02/04/09	19,142.49	29,382.97	(10,240.48)	
Total					\$ 170,590.59	\$ 196,705.74	(\$ 31,880.30)	\$ 5,765.15

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	05/29/09	CONSULTING & ADVISORY SERVICES FROM 05/22/09 TO 06/30/09	\$ 302.46
210000300	12/31/09	CONSULTING & ADVISORY SERVICES FROM 12/23/09 TO 12/31/09	9.08
Total			\$ 334.51

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/15/09	MECHEL OAO SPONS ADR ADR FEE OF .02000 PER SHR RECORD,06/04/08-PAY 01/15/09		\$ 7.80
220000300	02/04/09	JAG 4TH QTR FEE FROM 10/01/2008 TO 12/31/2008		501.92
220000500	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		1,507.66
220000700	05/22/09	FROM 232-71060-01 TO 232-71053-01		240,000.00
220000900	07/17/09	CONSULTING & ADVISORY SERVICES FROM 07/01/09 TO 09/30/09		1,056.04

Reference number	Date	Description	Amount
210000200	09/30/09	CONSULTING & ADVISORY SERVICES FROM 09/04/09 TO 09/30/09	\$ 22.97

Reference number	Date	Description	Referral number	Amount
220000200	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		\$ 1,549.86
220000400	02/24/09	FROM 232-71060-01 TO 232-71053-01		500.00
220000600	04/22/09	JAG 1ST QTR FEE FROM 01/01/2009 TO 03/31/2009		449.57
220000800	07/10/09	JAG 2ND QTR FEE FROM 04/01/2009 TO 06/30/2009		382.60
220001000	08/10/09	BANCO SANTANDER S A ADR FEE OF 00250 PER SHR RECORD 07/31/09 PAY 08/10/09		1.81

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006155

THOMPSON DEAN
Account Number 232-71055-12 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA withholding
160004400	*** SONY CORP SPON ADR-NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 1.89				
Totals			\$ 1.89				

Details of Additional Summary Information 2009

Other Income This amount represents distributions with respect to investments such as limited partnerships, and certain trusts, which are subject to IRS Schedules K-1 reporting. Schedules K-1 are issued directly by the partnership or trust.

Reference number	Description	Amount	Federal income tax withheld	NRA tax withheld	Foreign tax withheld
170000100	*** LAZARD LTD CLASS A	\$ 39.66			
Totals		\$ 39.66			

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	31	INVESCO LTD	10/10/08	04/13/09	\$ 522.72	\$ 400.05		\$ 122.67
125000020	78	INVESCO LTD	10/10/08	05/18/09	1,192.63	1,006.57		186.06
	30		10/30/08		458.70	444.00		14.70
	25		11/21/08		382.25	222.70		159.55
	12		12/22/08		183.48	156.22		27.26
125000030	2	INVESCO LTD	12/22/08	05/26/09	30.41	26.04		4.37

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006154

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
160002600	HEWLETT PACKARD CO	\$ 39.04	\$ 39.04					
160002700	HOME DEPOT INC	241.44	241.44					
160002800	HONEYWELL INTL INC	311.27	311.27					
160002900	INTEL CORP	275.10	275.10					
160003000	INTL BUSINESS MACHINES CORP	295.60	295.60					
160003100	JPMORGAN CHASE & CO	295.80	295.80					
160003200	KLA-TENCOR CORP	96.45	96.45					
160003300	KIMBERLY CLARK CORP	593.08	593.08					
160003400	KRAFT FOODS INC CLASS A	575.07	575.07					
160003500	LENNAR CORP	81.16	81.16					
	CLASS A							
160003600	MACYS INC	18.15	18.15					
160003700	MARATHON OIL CORP	185.04	185.04					
160003800	MATTEL INC DE	204.75	204.75					
160003900	MEADWESTVACO CORP	407.33	407.33					
160004000	MOTOROLA INC DE	76.45	76.45					
160004100	PFIZER INC	660.48	660.48					
160004200	QWEST COMMUNICATIONS INTL	663.44	663.44					
160004300	SARA LEE CORP	201.85	201.85					
160004400	*** SONY CORP SPON ADR-NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	26.93	26.93					
160004500	TIME WARNER INC	103.50	103.50					
160004600	TIME WARNER INC	113.82	113.82					
160004700	TRAVELERS COMPANIES INC	328.26	328.26					
160004800	UNITED STATES STEEL CORP NEW	59.65	59.65					
160004900	VALERO ENERGY CORP-NEW	92.70	92.70					
160005000	WASTE MGMT INC DEL	160.37	160.37					
Totals		\$ 10,652.14	\$ 10,651.05					

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006157

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000140	3	LAZARD LTD CLASS A MorganStanley SmithBarney LLC acted as your agent	02/25/09	10/15/09	\$ 127.02	\$ 84.07		\$ 42.95
125000150	4	LAZARD LTD CLASS A MorganStanley SmithBarney LLC acted as your agent	02/25/09	10/22/09	159.96	112.09		47.87
125000160	6	LAZARD LTD CLASS A MorganStanley SmithBarney LLC acted as your agent	02/25/09	10/26/09	240.64	168.14		72.50
125000170	1 6	LAZARD LTD CLASS A MorganStanley SmithBarney LLC acted as your agent	02/25/09 03/03/09	12/15/09	36.39 218.35	28.02 143.37		8.37 74.98
125000180	4	LAZARD LTD CLASS A MorganStanley SmithBarney LLC acted as your agent	03/03/09	12/17/09	150.69	95.58		55.11
125000190	10	LAZARD LTD CLASS A MorganStanley SmithBarney LLC acted as your agent	03/03/09	12/18/09	369.89	238.95		130.94
125000200	3 15 22 3 1	LAZARD LTD CLASS A MorganStanley SmithBarney LLC acted as your agent	03/03/09 06/03/09 06/05/09 06/24/09 09/18/09	12/28/09	104.50 522.50 766.33 104.50 34.83	71.69 410.54 640.90 80.01 37.10	(2.27)	32.81 111.96 125.43 24.49
125000400	.012	AOL INC CASH IN LIEU OF .54545 RECORD 11/27/09 PAY 12/09/09	09/18/09	12/09/09	.28	.26		.02
125000410	.3516	AOL INC MorganStanley SmithBarney LLC acted as your agent	09/18/09	12/11/09	8.24	7.49		.75
125000500	25	ALCOA INC	01/07/08	01/07/09	272.99	828.56	(555.57)	
125000510	27	ALCOA INC	01/11/08	01/08/09	306.62	854.42	(547.80)	
125000530	12	ALCOA INC	01/23/08	01/21/09	99.05	336.98	(237.93)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006156

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	6	INVESCO LTD	12/22/08	08/11/09	\$ 111.17	\$ 78.10		\$ 33.07
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/15/09		92.64	61.29		31.35
125000050	8	INVESCO LTD	01/15/09	07/13/09	133.27	98.06		35.21
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000060	1	INVESCO LTD	01/15/09	07/21/09	18.52	12.26		6.26
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000070	18	INVESCO LTD	01/15/09	07/24/09	343.46	220.64		122.82
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000080	47	INVESCO LTD	01/15/09	07/27/09	924.31	576.11		348.20
	17	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/24/09		334.33	242.38		91.95
125000090	49	LAZARD LTD	12/11/08	05/18/09	1,420.96	1,474.04	(53.08)	
	23	CLASS A	01/15/09		666.98	543.23		123.75
125000100	1	LAZARD LTD	01/15/09	05/26/09	27.64	23.62		4.02
		CLASS A						
125000110	2	LAZARD LTD	01/15/09	07/29/09	69.35	47.24		22.11
		CLASS A						
		Morgan Stanley Sml th Barney LLC acted as yo						
125000120	5	LAZARD LTD	01/15/09	08/13/09	187.81	118.09		69.72
	1	CLASS A	02/25/09		37.56	28.02		9.54
		Morgan Stanley Sml th Barney LLC acted as yo						
125000130	6	LAZARD LTD	02/25/09	09/02/09	226.67	168.14		58.53
		CLASS A						
		Morgan Stanley Sml th Barney LLC acted as yo						

2009 YEAR END SUMMARY



Ref: 00000018 00006159

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000900	10		12/09/08		\$ 443.69	\$ 346.20		\$ 97.49
1			12/12/08		44.36	36.80		7.56
125000902	2	ANADARKO PETROLEUM CORP	12/12/08	05/26/09	87.49	73.59		13.90
125000910	1	ANADARKO PETROLEUM CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/12/08	07/13/09	42.37	36.79		5.58
125000920	4	ANADARKO PETROLEUM CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/12/08	09/02/09	213.07	147.18		65.89
125000930	4	ANADARKO PETROLEUM CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/19/08	09/16/09	258.73	149.71		109.02
125000940	2	ANADARKO PETROLEUM CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/19/08	09/22/09	126.78	74.86		51.92
125000950	1	ANADARKO PETROLEUM CORP	12/19/08	09/25/09	62.17	37.43		24.74
1		MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/08		62.16	35.83		26.33
125000960	3	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/08	10/14/09	205.48	107.48		98.00
125000970	1	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/08	10/26/09	64.90	35.83		29.07
125000980	6	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/08	12/18/09	367.19	214.96		152.23
125000990	15	BAKER HUGHES INC	01/29/09	05/18/09	555.73	523.10		32.63
8			02/02/09		296.39	262.86		33.53
13			02/04/09		481.64	446.81		34.83



Ref 00000018 00006158

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000540	19	ALCOA INC	01/23/08	01/23/09	\$ 160.10	\$ 533.54	(\$ 373.44)	
	29		04/08/08		244.36	1,087.30	(842.94)	
	11		06/23/08		92.68	414.87	(322.19)	
125000550	21	ALCOA INC	06/23/08	01/26/09	180.73	792.01	(611.28)	
	37		09/17/08		318.42	964.74	(646.32)	
125000560	30	ALCOA INC	10/02/09	12/18/09	440.08	388.00		52.08
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000810	1	AMERIPRISE FINANCIAL INC	07/24/09	07/29/09	26.39	24.57		1.82
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.						
125000820	3	AMERIPRISE FINANCIAL INC	07/24/09	09/02/09	84.47	73.72		10.75
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.						
125000830	3	AMERIPRISE FINANCIAL INC	07/24/09	10/26/09	109.34	73.72		35.62
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000840	1	AMERIPRISE FINANCIAL INC	07/24/09	10/27/09	36.21	24.57		11.64
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000850	9	AMERIPRISE FINANCIAL INC	07/24/09	12/18/09	341.72	221.16		120.56
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000860	8	ANADARKO PETROLEUM CORP	10/27/08	03/19/09	341.75	232.39		109.36
125000870	5	ANADARKO PETROLEUM CORP	10/27/08	04/14/09	219.99	145.25		74.74
125000880	14	ANADARKO PETROLEUM CORP	10/27/08	05/04/09	669.20	406.69		262.51
	2		11/03/08		95.60	64.43		31.17
125000890	16	ANADARKO PETROLEUM CORP	11/03/08	05/18/09	709.90	515.40		194.50
	20		11/14/08		887.38	759.23		128.15
	15		11/21/08		665.53	465.16		200.37
	11		11/21/08		488.06	341.12		146.94
	11		12/01/08		488.06	424.65		63.41

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006161

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001090	7	H & R BLOCK INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/14/09	09/02/09	\$ 116.54	\$ 97.81		\$ 18.73
125001100	7	H & R BLOCK INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/14/09	10/26/09	133.62	97.81		35.81
125001110	14	H & R BLOCK INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/14/09	11/23/09	289.55	195.63		93.92
125001120	13	H & R BLOCK INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/14/09	12/18/09	264.93	181.65		83.28
125001200	48 109 97 36	BRISTOL MYERS SQUIBB CO	07/11/08 07/22/08 08/01/08 08/27/08	05/18/09	976.87 2,218.31 1,974.09 732.65	1,014.10 2,360.83 2,059.96 770.43	(37.23) (142.52) (85.87) (37.78)	
125001210	1 6	BRISTOL MYERS SQUIBB CO	08/27/08 09/12/08	05/26/09	19.98 119.87	21.40 132.76	(1.42) (12.89)	
125001220	2	BRISTOL MYERS SQUIBB CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/12/08	07/13/09	38.95	44.25	(5.30)	
125001230	3	BRISTOL MYERS SQUIBB CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/12/08	07/29/09	64.52	66.38	(1.86)	
125001240	14	BRISTOL MYERS SQUIBB CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/12/08	09/02/09	305.19	309.79	(4.60)	
125001280	150	CVS CAREMARK CORP	10/10/08	05/18/09	4,654.68	4,451.46		203.22
125001290	4	CVS CAREMARK CORP	10/10/08	05/26/09	121.15	118.71		2.44

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006160

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	16		02/11/09		\$ 592.78	\$ 556.20		\$ 36.58
	6		02/12/09		222.29	198.92		23.37
	11		02/18/09		407.54	341.82		65.72
	11		02/23/09		407.54	328.35		79.19
	2		02/25/09		74.10	60.34		13.76
	5		02/26/09		185.24	150.83		34.41
	1		03/03/09		37.06	27.48		9.58
125001000	3	BAKER HUGHES INC	03/03/09	05/26/09	109.85	82.45		27.40
125001010	2	BAKER HUGHES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/03/09	07/13/09	69.33	54.97		14.36
125001020	1	BAKER HUGHES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/03/09	07/29/09	38.53	27.48		11.05
125001030	6	BAKER HUGHES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/03/09	09/02/09	210.35	164.90		45.45
125001040	2	BAKER HUGHES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/03/09	10/26/09	88.79	54.97		33.82
125001050	3	BAKER HUGHES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/03/09	10/27/09	133.43	82.45		50.98
125001060	8	BAKER HUGHES INC	03/05/09	12/18/09	324.39	220.90		103.49
	2	MorganStanley SmithBarney LLC	03/11/09		81.10	57.05		24.05
	1	acted as your agent in this transaction.	03/13/09		40.54	28.98		11.56
125001070	39	H & R BLOCK INC	05/14/09	05/18/09	553.39	544.96		8.43
125001080	3	H & R BLOCK INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/14/09	07/13/09	49.04	41.92		7.12



Ref: 00000018 00006163

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001940	3	DEVON ENERGY CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/04/09	09/02/09	\$ 184.16	\$ 195.01	(\$ 10.85)	
125001950	2	DEVON ENERGY CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	10/27/09	136.47	130.00		6.47
125001960	3 6 1	DEVON ENERGY CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09 06/08/09 06/09/09	12/18/09	209.06 418.13 69.69	195.01 380.55 63.66		14.05 37.58 6.03
125001970	1	ENSCO INTERNATIONAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/20/09	10/27/09	48.89	47.71		1.18
125001980	7 2	ENSCO INTERNATIONAL INC MorganStanley SmithBarney LLC acted as your agent	10/20/09 10/22/09	12/18/09	293.85 83.96	334.00 97.03	(40.15) (13.07)	
125001990	6 5 6 9 5 5 9 2 8	ENSCO INTERNATIONAL INC	10/22/09 10/27/09 10/28/09 11/02/09 11/09/09 11/10/09 11/17/09 11/23/09 12/09/09	12/23/09	253.08 210.90 253.08 379.62 210.90 210.90 379.62 84.36 337.44	253.08 210.90 253.08 379.62 210.90 210.90 379.62 84.36 325.21		12.23
125002190	2	HEWLETT PACKARD CO	09/30/08	05/20/09	69.05	91.05	(22.00)	
125002200	3	HEWLETT PACKARD CO	09/30/08	05/26/09	104.36	136.57	(32.21)	
125002210	6	HEWLETT PACKARD CO	09/30/08	05/29/09	206.93	273.13	(66.20)	
125002220	1	HEWLETT PACKARD CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/30/08	07/29/09	41.96	45.52	(3.56)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006182

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001300	1	CVS CAREMARK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/10/08	07/13/09	\$ 31.64	\$ 29.68		\$ 1.96
125001310	8	CVS CAREMARK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/10/08	09/02/09	291.27	237.41		53.86
125001350	75 26	CA INC	04/15/09 04/21/09	05/18/09	1,332.75 462.02	1,281.48 446.63		51.27 15.39
125001360	3	CA INC	04/21/09	05/26/09	52.19	51.54		.65
125001370	3	CA INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/21/09	07/13/09	50.21	51.53	(1.32)	
125001380	11	CA INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/29/09	09/02/09	221.86	194.02		27.84
125001390	9	CA INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/29/09	09/02/09	184.39	158.74		25.65
125001400	4	CA INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/29/09	10/26/09	85.03	70.55		14.48
125001410	17	CA INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/29/09	12/18/09	382.34	299.85		82.49
125001930	1	DEVON ENERGY CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/04/09	07/13/09	51.59	65.00	(13.41)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006165

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002490	4	INTEL CORP	09/26/08	05/26/09	\$ 61.79	\$ 74.85	(\$ 13.06)	
125002500	5	INTEL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/26/08	07/13/09	81.99	93.56	(11.57)	
125002510	5	INTEL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/26/08	07/29/09	96.64	93.56		3.08
125002520	20	INTEL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/26/08	09/02/09	392.18	374.24		17.94
125002700	4	INTERPUBLIC GROUP OF COS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/18/09	10/14/09	27.99	30.12	(2.13)	
125002780	18	KLA-TENCOR CORP	11/17/08	05/06/09	519.15	323.09		196.06
125002790	25 70 24 56	KLA-TENCOR CORP	11/17/08 11/21/08 12/08/08 01/14/09	05/18/09	653.78 1,830.59 627.63 1,464.48	448.73 1,085.71 465.88 1,144.01		205.05 744.88 161.75 320.47
125002800	6	KLA-TENCOR CORP	01/14/09	05/26/09	160.19	122.57		37.62
125002810	4	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/14/09	06/16/09	100.75	81.72		19.03
125002820	5	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/14/09	07/14/09	139.85	102.14		37.71
125002830	3 6	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/14/09 01/26/09	07/15/09	85.24 170.47	61.29 116.03		23.95 54.44



Ref: 00000018 00006164

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002230	5	HEWLETT PACKARD CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/30/08	08/31/09	\$ 221.43	\$ 227.61	(\$ 6.18)	
125002240	3	HEWLETT PACKARD CO	09/30/08	09/02/09	132.89	136.57	(3.68)	
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/21/08		44.30	32.92		11.38
125002250	4	HEWLETT PACKARD CO	11/21/08	09/02/09	176.55	131.67		44.88
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002260	3	HEWLETT PACKARD CO	11/21/08	10/26/09	143.51	98.75		44.76
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125002290	6	HEWLETT PACKARD CO	12/19/08	12/18/09	308.57	210.28		98.29
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125002300	34	HOLOGIC INC	05/28/08	05/18/09	400.92	811.84	(410.92)	
	22		06/04/08		259.42	538.19	(278.77)	
	29		06/10/08		341.96	687.66	(345.70)	
	44		06/23/08		518.83	1,011.61	(492.78)	
	18		06/25/08		212.25	409.00	(196.75)	
125002310	1	HOLOGIC INC	06/25/08	05/26/09	11.88	22.72	(10.84)	
	3		07/01/08		35.63	65.70	(30.07)	
125002360	30	HOME DEPOT INC	06/04/08	05/16/09	774.96	819.51	(44.55)	
	10		06/10/08		258.33	268.27	(9.94)	
125002370	5	HOME DEPOT INC	06/10/08	05/26/09	119.54	134.14	(14.60)	
125002390	7	HOME DEPOT INC	10/09/08	09/02/09	185.63	146.09		39.54
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002480	116	INTEL CORP	09/12/08	05/18/09	1,798.42	2,339.53	(541.11)	
	144		09/17/08		2,232.52	2,740.78	(508.26)	
	128		09/24/08		1,984.46	2,400.51	(416.05)	
	28		09/26/08		434.09	523.93	(89.84)	



Ref: 00000018 00006167

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002930	2	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/27/09	08/24/09	\$ 62.60	\$ 35.11		\$ 27.49
125002940	5 12	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/27/09 03/03/09	08/25/09	156.48 375.54	87.77 193.00		68.71 182.54
125002950	8	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/03/09	08/27/09	252.30	128.66		123.64
125002960	8	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/03/09	08/27/09	252.30	128.67		123.63
125002970	4 4	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/03/09 03/20/09	08/28/09	127.44 127.43	64.33 78.27		63.11 49.16
125002980	13 10 14	KLA-TENCOR CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/20/09 05/20/09 06/24/09	09/02/09	394.28 303.29 424.61	254.37 266.08 336.18		139.91 37.21 88.43
125003270	127 100	LSI CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/22/08 02/06/09	07/07/09	586.55 461.84	469.29 357.13		117.26 104.71
125003280	10	ESTEE LAUDER COS INC CL A	12/02/08	05/06/09	344.32	262.29		82.03
125003290	27 20 21 11 9 29 12 4	ESTEE LAUDER COS INC CL A	12/02/08 12/09/08 12/16/08 12/19/08 12/26/08 12/30/08 01/06/09 01/20/09	05/18/09	893.78 662.06 695.16 364.13 297.93 959.99 397.24 132.42	708.20 570.29 630.10 326.07 266.46 869.42 377.61 106.86		185.58 91.77 65.06 38.06 31.47 90.57 19.63 25.56
125003300	3	ESTEE LAUDER COS INC CL A	01/20/09	05/26/09	99.56	80.14		19.42

2009 YEAR END SUMMARY



Ref: 00000018 00006166

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002840	2	KLA-TENCOR CORP	01/26/09	07/20/09	\$ 59.69	\$ 38.67		\$ 21.02
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/20/09		29.85	17.17		12.68
125002850	14	KLA-TENCOR CORP	02/20/09	07/22/09	430.20	240.32		189.88
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002860	5	KLA-TENCOR CORP	02/20/09	07/24/09	154.94	85.83		69.11
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002870	5	KLA-TENCOR CORP	02/20/09	07/27/09	158.92	85.83		73.09
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002880	4	KLA-TENCOR CORP	02/20/09	07/28/09	127.42	68.67		58.75
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002890	4	KLA-TENCOR CORP	02/20/09	07/30/09	124.18	68.66		55.52
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/26/09		93.13	52.87		40.26
125002900	2	KLA-TENCOR CORP	02/26/09	08/04/09	64.59	35.25		29.34
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002910	6	KLA-TENCOR CORP	02/26/09	08/12/09	187.23	105.75		81.48
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002920	2	KLA-TENCOR CORP	02/26/09	08/14/09	61.41	35.25		26.16
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/27/09		92.11	52.67		39.44

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006169

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003400	1	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/25/09	10/19/09	\$ 41.69	\$ 23.60		\$ 18.09
125003410	1	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/26/09	10/20/09	41.90	25.23		16.67
125003420	4	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/26/09	10/21/09	171.12	100.91		70.21
125003430	4	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/26/09	10/26/09	167.03	100.91		66.12
125003440	1 1	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/26/09 04/06/09	10/27/09	41.81 41.80	25.23 26.42		16.58 15.38
125003450	2	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/26/09	10/27/09	82.97	50.45		32.52
125003460	42 6 1	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/06/09 04/14/09 09/18/09	11/02/09	1,805.21 257.89 42.98	1,109.73 159.25 36.98		695.48 98.64 6.00
125003470	38	LENNAR CORP CLASS A	10/15/08	04/21/09	303.88	335.49	(31.61)	
125003480	124 43 59 98 51	LENNAR CORP CLASS A	10/15/08 10/15/08 10/17/08 10/22/08 10/31/08	05/18/09	1,249.95 433.45 594.73 987.86 514.10	1,094.74 379.63 504.92 860.85 367.99		155.21 53.82 89.81 127.01 146.11
125003490	2	LENNAR CORP CLASS A	10/31/08	05/26/09	19.43	14.43		5.00

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006168

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003310	1	ESTEE LAUDER COS INC CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/20/09	07/13/09	\$ 31.15	\$ 26.71		\$ 4.44
125003320	1	ESTEE LAUDER COS INC CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/20/09	07/29/09	35.72	26.72		9.00
125003330	9	ESTEE LAUDER COS INC CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/20/09	07/30/09	331.45	240.43		91.02
125003340	5	ESTEE LAUDER COS INC CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/20/09	08/07/09	188.32	133.57		54.75
125003350	1	ESTEE LAUDER COS INC CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/20/09	08/13/09	37.12	26.72		10.40
125003360	1	ESTEE LAUDER COS INC CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/23/09	08/14/09	36.12	23.45		12.67
125003370	6	ESTEE LAUDER COS INC CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/23/09	09/02/09	203.99	140.67		63.32
125003380	1 3	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/23/09 02/25/09	10/09/09	39.42 118.26	23.45 70.79		15.97 47.47
125003390	6	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/25/09	10/19/09	250.18	141.59		108.59

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006171

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003590	9	LENNAR CORP CLASS A MorganStanley SmithBarney LLC acted as your agent	12/23/08	10/27/09	\$ 123.29	\$ 76.84		\$ 46.45
125003600	7 27	LENNAR CORP CLASS A MorganStanley SmithBarney LLC acted as your agent	12/23/08 01/13/09	12/18/09	85.82 331.00	59.76 229.18		26.06 101.82
125003610	8	MBIA INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/13/09	09/02/09	47.19	42.54		4.65
125003620	11	MBIA INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/13/09	10/27/09	48.83	58.49	(9.66)	
125003630	45	MBIA INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/13/09	12/18/09	155.24	239.30	(84.06)	
125003640	41 21 16 24 17 11 11 19 7 3	MARATHON OIL CORP MARATHON OIL CORP MARATHON OIL CORP MARATHON OIL CORP MARATHON OIL CORP MARATHON OIL CORP MARATHON OIL CORP MARATHON OIL CORP MARATHON OIL CORP MARATHON OIL CORP	12/19/08 12/26/08 12/30/08 01/22/09 02/04/09 02/06/09 02/06/09 02/11/09 02/12/09 02/18/09	05/18/09	1,214.06 621.84 473.78 710.67 503.39 325.72 325.72 562.61 207.28 88.84	1,069.59 514.96 420.88 676.26 460.06 295.57 295.57 507.69 188.01 73.10		144.47 106.88 52.90 34.41 43.33 30.15 30.15 54.92 19.27 15.74
125003650	4	MARATHON OIL CORP	02/18/09	05/26/09	119.15	97.47		21.68
125003660	4	MARATHON OIL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/18/09	07/13/09	114.83	97.47		17.36
125003670	1	MARATHON OIL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/18/09	07/29/09	30.95	24.37		6.58

2009 YEAR END SUMMARY



Ref: 00000018 00006170

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003500	14	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	10/31/08	07/13/09	\$ 116.33	\$ 101.02		\$ 15.31
125003510	9	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	10/31/08	07/29/09	103.76	64.94		38.82
125003520	12 13	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	10/31/08 11/03/08	07/30/09	141.93 153.75	86.58 103.58		55.35 50.17
125003530	9 19	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	11/03/08 11/21/08	08/07/09	123.58 260.89	71.71 66.11		51.87 194.78
125003540	21	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	11/21/08	08/21/09	304.50	73.07		231.43
125003550	4	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	11/21/08	08/24/09	59.98	13.92		46.06
125003560	18 3	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	11/21/08 12/23/08	09/02/09	253.07 42.18	62.62 25.61		190.45 16.57
125003570	19	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	12/23/08	09/17/09	329.58	162.22		167.36
125003580	4	LENNAR CORP CLASS A Morgan Stanley Smith Barney LLC acted as yo	12/23/08	10/26/09	54.87	34.15		20.72



Ref: 00000018 00006173

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004720	7	UNITED STATES STEEL CORP NEW	02/23/09	09/02/09	\$ 292.80	\$ 165.01		\$ 127.79
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/25/09		41.83	21.47		20.36
125004730	4	UNITED STATES STEEL CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/25/09	10/26/09	162.31	85.87		76.44
125004740	10	UNITED STATES STEEL CORP NEW	02/25/09	12/18/09	493.38	214.67		278.71
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/09		49.34	23.82		25.52
125004750	40	VALERO ENERGY CORP-NEW	06/19/08	05/18/09	835.59	1,749.76	(914.17)	
	20		06/20/08		417.80	860.63	(442.83)	
	10		06/23/08		208.90	427.96	(219.06)	
	16		06/26/08		334.24	662.04	(327.80)	
	10		07/01/08		208.90	401.67	(192.77)	
	6		07/08/08		125.34	215.84	(90.50)	
	29		08/18/08		605.80	970.37	(364.57)	
	20		09/03/08		417.79	676.77	(258.98)	
125004760	4	VALERO ENERGY CORP-NEW	09/03/08	05/22/09	82.76	135.35	(52.59)	
	5		09/05/08		103.44	165.31	(61.87)	
125004770	2	VALERO ENERGY CORP-NEW	09/05/08	05/26/09	41.43	66.12	(24.69)	
125004780	11	VALERO ENERGY CORP-NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/05/08	09/02/09	197.66	363.69	(166.03)	
125004990	44	WESTERN DIGITAL CORP	03/05/09	03/19/09	781.06	661.39		119.67
125005000	4	YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/14/09	10/26/09	66.87	59.56		7.31
125005010	3	YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/14/09	10/27/09	49.22	44.67		4.55

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006172

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003690	8	MARATHON OIL CORP	02/18/09	09/02/09	\$ 242.39	\$ 194.94		\$ 47.45
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/23/09		60.60	47.72		12.88
125003690	1	MARATHON OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/23/09	10/26/09	33.48	23.86		9.62
125003700	1	MARATHON OIL CORP	02/23/09	10/27/09	33.83	23.86		9.97
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/03/09		101.48	64.71		36.77
125003710	13	MARATHON OIL CORP	03/03/09	12/18/09	402.60	280.43		122.17
	4	MorganStanley SmithBarney LLC	03/05/09		123.88	85.60		38.28
	1	acted as your agent in this transaction	03/11/09		30.96	22.49		8.47
125004180	95	SARA LEE CORP	06/02/08	05/18/09	894.88	1,300.69	(405.81)	
125004190	5	SARA LEE CORP	06/02/08	05/26/09	46.84	68.46	(21.62)	
125004320	4	SONY CORP SPON ADR-NEW	07/14/08	05/14/09	105.38	161.01	(55.63)	
125004680	24	UNITED STATES STEEL CORP NEW	11/03/08	05/18/09	680.91	909.17	(228.26)	
	17		11/07/08		482.31	580.43	(98.12)	
	15		11/11/08		425.57	485.23	(59.66)	
	16		11/14/08		453.94	484.69	(30.75)	
	15		11/21/08		425.57	346.11		79.46
	24		11/25/08		680.91	645.84		35.07
	7		12/02/08		198.60	188.16		10.44
	12		01/15/09		340.46	355.18	(14.72)	
	6		02/13/09		170.22	185.54	(15.32)	
125004690	1	UNITED STATES STEEL CORP NEW	02/13/09	05/26/09	30.61	30.92	(.31)	
125004700	4	UNITED STATES STEEL CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/23/09	06/02/09	146.58	94.29		52.29
125004710	1	UNITED STATES STEEL CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/23/09	08/25/09	44.27	23.57		20.70

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006175

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000250	8	TYCO ELECTRONICS LTD	12/27/07	12/18/09	\$ 186.31	\$ 302.72	(\$ 116.41)	
	14	MorganStanley SmithBarney LLC acted as your agent	03/17/08		326.05	438.29	(112.24)	
125000260	22	TYCO ELECTRONICS LTD	03/17/08	12/18/09	512.36	688.73	(176.37)	
		MorganStanley SmithBarney LLC acted as your agent						
125000270	92.5	TYCO INTL LTD CHF	01/23/07	05/18/09	2,431.21	4,446.32	(2,015.11)	
	9.5		02/06/07		249.69	483.57	(233.88)	
	13.25		03/05/07		348.25	626.99	(278.74)	
	11		03/19/07		289.12	538.17	(249.05)	
	4.75		03/30/07		124.85	231.58	(106.73)	
	7.5		05/10/07		197.12	379.14	(182.02)	
	27.5		06/20/07		722.79	1,412.71	(689.92)	
	68		11/05/07		1,787.27	2,808.53	(1,021.26)	
	1		12/13/07		26.28	41.48	(15.20)	
125000280	5	TYCO INTL LTD CHF	12/13/07	05/26/09	134.49	207.39	(72.90)	
125000290	8	TYCO INTL LTD CHF	12/13/07	07/29/09	229.43	331.82	(102.39)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000300	5	TYCO INTL LTD CHF	12/13/07	09/02/09	155.69	207.39	(51.70)	
	9	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/27/07		280.25	360.63	(80.38)	
125000310	9	TYCO INTL LTD CHF	12/27/07	10/26/09	304.64	360.63	(55.99)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000320	20	TYCO INTL LTD CHF	12/27/07	12/18/09	709.98	801.41	(91.43)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000330	916.4737	FLEXTRONICS INTL LTD USD	01/23/07	05/18/09	3,346.67	8,792.84	(5,445.97)	
	51.5263		04/02/07		188.17	457.78	(269.61)	
125000340	61	FLEXTRONICS INTL LTD USD	04/02/07	07/23/09	338.55	541.94	(203.39)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						



Ref: 00000018 00006174

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005020	23	YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/14/09	12/18/09	\$ 369.60	\$ 342.49		\$ 27.11
Total					\$ 98,897.87	\$ 95,837.08	(\$ 12,323.25)	\$ 15,384.04

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000210	83.5 9.5 13.25 11 4.75 7.5 27.5 40 57 64 32 25	TYCO ELECTRONICS LTD	01/23/07 02/06/07 03/05/07 03/19/07 03/30/07 05/10/07 06/20/07 08/28/07 09/14/07 09/26/07 10/04/07 12/20/07	05/18/09	\$ 1,448.02 164.74 229.78 190.76 82.37 130.06 476.89 693.66 988.47 1,109.86 554.93 433.54	\$ 3,006.68 362.24 469.68 403.14 173.47 284.02 1,058.26 1,412.15 1,907.17 2,239.17 1,140.04 919.47	(\$ 1,558.66) (197.50) (239.90) (212.38) (91.10) (153.96) (581.37) (718.49) (918.70) (1,129.31) (585.11) (485.93)	
125000220	6 2	TYCO ELECTRONICS LTD	12/20/07 12/27/07	05/26/09	104.69 34.90	220.67 75.68	(115.98) (40.78)	
125000230	30	TYCO ELECTRONICS LTD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/27/07	09/02/09	648.88	1,135.18	(486.30)	
125000240	21	TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	12/27/07	10/26/09	474.37	794.63	(320.26)	



2009 YEAR END SUMMARY

Ref: 00000018 00006177

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000440	4	AT&T INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	\$ 94.51	\$ 141.76	(\$ 47.25)	
125000450	3	AT&T INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/29/09	77.48	106.32	(28.84)	
125000460	23	AT&T INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	586.94	815.12	(228.18)	
125000470	7	AT&T INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	177.23	248.08	(70.85)	
125000480	4	AT&T INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/27/09	102.43	141.76	(39.33)	
125000490	35	AT&T INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	954.42	1,240.40	(285.98)	
125000510	35	ALCOA INC	01/07/08	01/08/09	397.46	1,159.99	(762.53)	
125000520	49	ALCOA INC	01/11/08	01/13/09	463.21	1,550.60	(1,087.39)	
125000530	3	ALCOA INC	01/11/08	01/21/09	24.76	94.94	(70.18)	
	32		01/15/08		264.14	1,008.03	(743.89)	
125000570	15	AMEREN CORP	11/07/07	02/11/09	485.66	805.19	(319.53)	
125000580	9	AMEREN CORP	11/07/07	02/26/09	222.11	483.12	(261.01)	
125000590	14	AMEREN CORP	11/07/07	03/05/09	296.65	751.51	(454.86)	
	16		11/19/07		339.02	847.87	(508.85)	
	22		11/21/07		486.16	1,189.04	(722.88)	
125000600	2	AMEREN CORP	11/21/07	03/12/09	39.41	108.09	(68.68)	
	21		12/20/07		413.83	1,132.64	(718.81)	
	26		01/03/08		512.36	1,390.49	(878.13)	
125000610	34	AMEREN CORP	01/03/08	03/17/09	717.10	1,818.34	(1,101.24)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref 00000018 00006176

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000350	11 9333 12.0667 45	FLEXTRONICS INTL LTD USD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/07 04/03/07 10/25/07	07/29/09	\$ 60.98 61.66 229.94	\$ 106.02 108.79 518.38	(\$ 45.04) (47.13) (288.44)	
125000360	9	FLEXTRONICS INTL LTD USD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/25/07	09/02/09	51.20	103.68	(52.48)	
125000370	48 21	FLEXTRONICS INTL LTD USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/25/07 01/07/08	10/26/09	338.87 148.25	552.93 237.20	(214.06) (88.95)	
125000380	10	FLEXTRONICS INTL LTD USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/08	10/27/09	66.89	112.95	(46.06)	
125000390	69	FLEXTRONICS INTL LTD USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/08	12/18/09	485.74	779.38	(293.64)	
125000400	006 .0649 1299 04 .0509 .1948 .0469	AOL INC CASH IN LIEU OF .54545 RECORD 11/27/09 PAY 12/09/09 MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07 03/02/07 03/05/07 03/19/07 03/30/07 06/20/07 03/11/08	12/09/09	14 1.50 3.00 .92 1.18 4.50 1.08	22 2.12 4.17 1.28 1.64 6.95 1.12	(.08) (.62) (1.17) (.36) (.46) (2.45) (.04)	
125000410	1758 1.9048 3.8095 1.1722 1.4945 5.7143 1.3773	AOL INC MorganStanley SmithBarney LLC acted as your agent	01/23/07 03/02/07 03/05/07 03/19/07 03/30/07 06/20/07 03/11/08	12/11/09	4.12 44.66 89.31 27.48 35.04 133.96 32.29	6.46 62.19 122.47 37.49 48.02 203.78 32.76	(2.34) (17.53) (33.16) (10.01) (12.98) (69.82) (.47)	
125000420	431	AT&T INC	01/23/07	05/18/09	10,565.25	15,274.60	(4,709.35)	
125000430	8	AT&T INC	01/23/07	05/26/09	195.99	283.52	(87.53)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006179

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000760	3	AMERICAN EXPRESS CO	06/20/07	05/26/09	\$ 73.25	\$ 188.03	(\$ 114.78)	
125000770	2	AMERICAN EXPRESS CO	06/20/07	07/29/09	55.67	125.35	(69.68)	
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/25/08		27.84	47.84	(20.00)	
125000780	7	AMERICAN EXPRESS CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/25/08	08/25/09	228.25	334.85	(106.60)	
125000790	7	AMERICAN EXPRESS CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/25/08	09/02/09	225.88	334.85	(108.97)	
125000800	4	AMERICAN EXPRESS CO	04/25/08	12/18/09	161.39	191.35	(29.96)	
	10	MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/08		403.49	458.40	(54.91)	
125001130	640 25	BOSTON SCIENTIFIC CORP	01/23/07 02/06/07	05/18/09	5,615.22 219.34	11,334.40 437.57	(5,719.18) (218.23)	
125001140	8	BOSTON SCIENTIFIC CORP	02/06/07	05/26/09	72.71	140.02	(67.31)	
125001150	2	BOSTON SCIENTIFIC CORP	02/06/07	07/13/09	19.92	35.01	(15.09)	
	7	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/07		69.71	112.21	(42.50)	
125001160	22	BOSTON SCIENTIFIC CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/07	09/02/09	244.41	352.66	(108.25)	
125001170	38	BOSTON SCIENTIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/01/07	10/26/09	321.09	609.13	(288.04)	
125001180	15	BOSTON SCIENTIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/01/07	10/27/09	125.69	240.45	(114.76)	

2009 YEAR END SUMMARY



Ref: 00000018 00006178

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000620	32	AMEREN CORP	01/03/08	03/19/09	\$ 704.42	\$ 1,711.38	(\$ 1,006.96)	
125000630	37	AMERICAN ELECTRIC POWER CO INC	01/23/07	04/17/09	1,010.53	1,580.17	(569.64)	
125000640	270	AMERICAN ELECTRIC POWER CO INC	01/23/07	05/18/09	6,842.16	11,531.00	(4,688.84)	
125000650	4	AMERICAN ELECTRIC POWER CO INC	01/23/07	05/26/09	103.63	170.83	(67.20)	
125000660	3	AMERICAN ELECTRIC POWER CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	85.91	128.12	(42.21)	
125000670	2	AMERICAN ELECTRIC POWER CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/29/09	60.63	85.41	(24.78)	
125000680	13	AMERICAN ELECTRIC POWER CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	401.42	555.20	(153.78)	
125000690	3	AMERICAN ELECTRIC POWER CO INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	09/24/09	94.03	128.12	(34.09)	
125000700	2	AMERICAN ELECTRIC POWER CO INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	60.71	85.42	(24.71)	
125000710	4	AMERICAN ELECTRIC POWER CO INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/27/09	121.19	170.83	(49.64)	
125000720	23	AMERICAN ELECTRIC POWER CO INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	804.74	982.27	(177.53)	
125000730	15	AMERICAN EXPRESS CO	01/23/07	04/28/09	371.88	862.35	(490.47)	
125000740	11	AMERICAN EXPRESS CO	01/23/07	04/30/09	282.14	632.39	(350.25)	
125000750	108	AMERICAN EXPRESS CO	01/23/07	05/18/09	2,761.91	6,208.92	(3,447.01)	
	17		05/10/07		434.75	1,072.46	(637.71)	
	61		06/20/07		1,559.97	3,823.30	(2,263.33)	

2009 YEAR END SUMMARY



Ref: 00000018 00006181

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001460	9	CHEVRON CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	09/02/09	\$ 615.31	\$ 657.54	(\$ 42.23)	
125001470	8	CHEVRON CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	09/17/09	578.54	584.48	(5.94)	
125001480	1	CHEVRON CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	09/23/09	72.04	73.06	(1.02)	
125001490	8	CHEVRON CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/14/09	602.03	584.48		17.55
125001500	2	CHEVRON CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	151.21	146.12		5.09
125001510	2	CHEVRON CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/27/09	153.79	146.12		7.67
125001520	3	CHEVRON CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	11/25/09	239.37	219.18		20.19
125001530	11	CHEVRON CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	846.09	803.66		42.43
125001540	232 112 70 95	COMCAST CORP CL A	01/23/07 03/05/07 05/10/07 06/07/07	05/18/09	3,492.18 1,685.88 1,053.68 1,429.99	6,883.80 2,855.09 1,831.12 2,486.39	(3,391.62) (1,169.21) (777.44) (1,056.40)	
125001550	9	COMCAST CORP CL A	06/07/07	05/28/09	133.28	235.55	(102.27)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006180

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001190	24	BOSTON SCIENTIFIC CORP	05/01/07	12/18/09	\$ 213.14	\$ 384.71	(\$ 171.57)	
	27	MorganStanley SmithBarney LLC	05/16/07		239.78	424.18	(184.40)	
	26	acted as your agent in this transaction.	12/31/07		230.90	298.90	(68.00)	
125001250	8	BRISTOL MYERS SQUIBB CO	09/12/08	10/26/09	175.59	177.02	(1.43)	
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction						
125001260	6	BRISTOL MYERS SQUIBB CO	09/12/08	12/14/09	155.70	132.76		22.94
	5	MorganStanley SmithBarney LLC	09/23/08		129.75	101.46		28.29
		acted as your agent in this transaction						
125001270	22	BRISTOL MYERS SQUIBB CO	09/23/08	12/18/09	563.62	446.44		117.18
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction.						
125001320	3	CVS CAREMARK CORP	10/10/08	10/26/09	109.85	89.03		20.82
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction.						
125001330	1	CVS CAREMARK CORP	10/10/08	10/27/09	36.21	29.68		6.53
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction.						
125001340	15	CVS CAREMARK CORP	10/10/08	12/18/09	466.33	445.14		21.19
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction.						
125001420	191	CHEVRON CORP	01/23/07	05/18/09	12,575.87	13,954.46	(1,378.59)	
125001430	3	CHEVRON CORP	01/23/07	05/26/09	195.29	219.18	(23.89)	
125001440	3	CHEVRON CORP	01/23/07	07/13/09	186.59	219.18	(32.59)	
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001450	12	CHEVRON CORP	01/23/07	08/07/09	834.88	876.72	(41.84)	
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						



Ref: 00000018 00006183

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001700	38	CONOCOPHILLIPS	01/23/07	06/04/09	\$ 1,736.73	\$ 2,468.10	(\$ 731.37)	
	9	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/31/07		411.33	796.47	(385.14)	
125001710	4	CONOCOPHILLIPS	12/31/07	06/11/09	184.98	353.99	(169.01)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001720	45	CONOCOPHILLIPS	12/31/07	06/23/09	1,833.59	3,982.35	(2,148.76)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001730	32	DEAN FOODS CO NEW	01/07/08	01/22/09	609.62	797.93	(188.31)	
125001740	31	DEAN FOODS CO NEW	01/07/08	02/23/09	602.93	772.99	(170.06)	
125001750	6	DEAN FOODS CO NEW	01/07/08	05/18/09	111.14	149.61	(38.47)	
	87		01/11/08		1,611.55	2,201.98	(590.43)	
	35		01/23/08		648.32	935.69	(287.37)	
	28		02/13/08		518.66	701.43	(182.77)	
	36		02/15/08		666.85	895.52	(228.67)	
	96		03/27/08		1,778.25	1,914.83	(136.58)	
125001760	5	DEAN FOODS CO NEW	03/27/08	05/26/09	94.24	99.73	(5.49)	
125001770	2	DEAN FOODS CO NEW	03/27/08	07/13/09	39.83	39.89	(.06)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001780	2	DEAN FOODS CO NEW	03/27/08	07/29/09	42.57	39.89		2.68
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001790	3	DEAN FOODS CO NEW	03/27/08	08/03/09	63.70	59.84		3.86
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001800	15	DEAN FOODS CO NEW	03/27/08	09/02/09	266.24	299.19	(32.95)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						

2 0 0 9 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006182

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001560	3	COMCAST CORP CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/07/07	07/13/09	\$ 40.31	\$ 78.52	(\$ 38.21)	
125001570	5	COMCAST CORP CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/07/07	07/29/09	72.54	130.86	(58.32)	
125001580	27	COMCAST CORP CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/07/07	09/02/09	417.13	706.66	(289.53)	
125001590	10	COMCAST CORP CL A Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	06/07/07	10/26/09	147.79	261.72	(113.93)	
125001600	3	COMCAST CORP CL A Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	06/07/07	10/27/09	44.18	78.52	(34.34)	
125001610	44	COMCAST CORP CL A Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	06/07/07	12/18/09	755.50	1,151.59	(396.09)	
125001620	7	CONOCOPHILLIPS	01/23/07	01/30/09	335.86	454.65	(118.79)	
125001630	33	CONOCOPHILLIPS	01/23/07	02/04/09	1,513.32	2,143.35	(630.03)	
125001640	53	CONOCOPHILLIPS	01/23/07	03/17/09	1,959.14	3,442.35	(1,483.21)	
125001650	4	CONOCOPHILLIPS	01/23/07	04/13/09	157.59	259.80	(102.21)	
125001660	13	CONOCOPHILLIPS	01/23/07	04/23/09	513.14	844.35	(331.21)	
125001670	151	CONOCOPHILLIPS	01/23/07	05/18/09	6,855.30	9,807.45	(2,952.15)	
125001680	3	CONOCOPHILLIPS	01/23/07	05/26/09	134.39	194.85	(60.46)	
125001690	10	CONOCOPHILLIPS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	06/02/09	480.37	649.50	(169.13)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006185

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001900	5	DELL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/04/08	10/27/09	\$ 76.44	\$ 99.28	(\$ 22.84)	
125001910	11	DELL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/04/08	12/04/09	148.18	218.43	(70.25)	
125001920	9 28 5	DELL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/04/08 04/24/08 05/19/08	12/18/09	123.48 384.15 88.59	178.71 538.90 106.67	(55.23) (154.75) (38.08)	
125002000	56 16	GAP INC DELAWARE	06/20/07 08/26/07	05/14/09	869.08 248.31	1,082.97 307.27	(213.89) (58.96)	
125002010	34 245 111 71	GAP INC DELAWARE	06/26/07 07/25/07 09/26/07 01/24/08	05/18/09	536.27 3,864.28 1,750.76 1,119.85	652.95 4,375.43 2,036.23 1,291.40	(116.68) (511.15) (285.47) (171.55)	
125002020	9	GAP INC DELAWARE	01/24/08	05/26/09	153.89	163.70	(9.81)	
125002030	12	GAP INC DELAWARE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/24/08	07/13/09	183.71	218.26	(34.55)	
125002040	14 8	GAP INC DELAWARE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/24/08 04/09/08	09/02/09	275.93 157.67	254.64 150.12	21.29 7.55	
125002050	21	GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/09/08	10/26/09	472.48	394.07	78.41	
125002060	9 23	GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/09/08 04/11/08	12/18/09	188.18 480.92	168.89 414.66	19.29 66.26	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006184

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001810	12	DEAN FOODS CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/27/08	10/26/09	\$ 220.55	\$ 239.36	(\$ 18.81)	
125001820	20	DEAN FOODS CO NEW	03/27/08	12/18/09	344.59	398.92	(54.33)	
	11	MorganStanley SmithBarney LLC acted as your agent in this transaction	09/23/08		189.52	251.58	(62.06)	
125001830	95	DELL INC	12/26/07	05/18/09	1,067.11	2,366.33	(1,299.22)	
	200		12/31/07		2,246.54	4,925.02	(2,678.48)	
	44		01/02/08		494.24	1,067.75	(573.51)	
	44		01/25/08		494.24	894.29	(400.05)	
	27		02/04/08		303.28	540.41	(237.13)	
	35		02/13/08		393.15	710.83	(317.68)	
	74		02/29/08		831.22	1,493.04	(661.82)	
125001840	7	DELL INC	02/29/08	05/26/09	77.97	141.23	(63.26)	
	1		03/04/08		11.14	19.56	(8.42)	
125001850	3	DELL INC	03/04/08	07/13/09	38.85	58.68	(19.83)	
	6	Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	03/26/08		77.69	120.91	(43.22)	
125001860	5	DELL INC	03/26/08	07/29/09	68.19	100.76	(32.57)	
		Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa						
125001870	20	DELL INC	03/26/08	08/21/09	292.57	403.02	(110.45)	
	3	Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/04/08		43.88	59.57	(15.69)	
125001880	16	DELL INC	04/04/08	09/02/09	245.75	317.71	(71.96)	
		Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa						
125001890	11	DELL INC	04/04/08	10/26/09	168.62	218.43	(49.81)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006187

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002160	3	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/31/07	10/27/09	\$ 44.78	\$ 111.21	(\$ 66.43)	
125002170	9	GENERAL ELECTRIC CO	12/31/07	12/18/09	141.11	333.62	(192.51)	
	26	MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/16/08		407.67	834.33	(426.66)	
125002180	56	HEWLETT PACKARD CO	01/23/07	05/18/09	1,997.02	2,371.46	(374.44)	
	59		04/05/07		2,104.00	2,451.31	(347.31)	
125002190	12	HEWLETT PACKARD CO	04/05/07	05/20/09	414.27	498.57	(84.30)	
125002270	6	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/21/08	11/25/09	300.23	197.51		102.72
125002280	3	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/21/08	12/04/09	149.13	98.75		50.38
125002300	31	HOLOGIC INC	04/22/08	05/18/09	365.54	833.09	(467.55)	
	25		04/24/08		294.79	726.84	(432.05)	
	31		04/29/08		365.54	931.78	(566.24)	
	47		04/30/08		554.21	1,402.74	(848.53)	
	61		05/01/08		719.29	1,402.94	(683.65)	
125002320	3	HOLOGIC INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/01/08	07/29/09	44.48	65.70	(21.22)	
125002330	13	HOLOGIC INC	07/01/08	09/02/09	207.08	284.72	(77.64)	
	8	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/08/08		127.44	165.01	(37.57)	
125002340	8	HOLOGIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/08/08	10/26/09	124.31	165.01	(40.70)	

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Ref: 00000018 00006186

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002070	19	GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/11/08	12/23/09	\$ 395.39	\$ 342.54		\$ 52.85
125002080	68	GENERAL ELECTRIC CO	04/27/07	02/03/09	790.05	2,495.16	(1,705.11)	
125002090	7	GENERAL ELECTRIC CO	04/27/07	05/18/09	93.46	256.85	(163.39)	
	88		05/08/07		1,174.94	3,258.67	(2,083.73)	
	26		05/10/07		347.14	963.03	(615.89)	
	3		05/16/07		40.05	110.22	(70.17)	
	73		06/07/07		974.67	2,705.78	(1,731.11)	
	67		09/04/07		894.56	2,614.53	(1,719.97)	
	36		09/10/07		480.66	1,393.01	(912.35)	
	147		09/26/07		1,962.69	6,058.47	(4,095.78)	
	28		09/28/07		373.85	1,153.03	(779.18)	
	25		10/23/07		333.80	1,011.12	(677.32)	
125002100	9	GENERAL ELECTRIC CO	10/23/07	05/26/09	121.40	364.01	(242.61)	
125002110	3	GENERAL ELECTRIC CO	10/23/07	06/11/09	40.84	121.33	(80.49)	
	32	Morgan Stanley Sml	11/05/07		435.63	1,289.73	(854.10)	
	4	th Barney LLC acted as yo ur agent in this transa	12/31/07		54.45	148.28	(93.83)	
125002120	21	GENERAL ELECTRIC CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/31/07	06/11/09	285.88	778.45	(492.57)	
125002130	6	GENERAL ELECTRIC CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/31/07	07/13/09	68.45	222.42	(153.97)	
125002140	20	GENERAL ELECTRIC CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/31/07	09/02/09	264.59	741.39	(476.80)	
125002150	10	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction	12/31/07	10/26/09	149.89	370.69	(220.80)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006189

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002470	18	HONEYWELL INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	\$ 706.48	\$ 805.32	(\$ 98.84)	
125002530	11	INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/26/08	10/26/09	217.46	205.83		11.63
125002540	34 3	INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/26/08 10/08/08	12/18/09	664.68 58.65	636.21 49.91		28.47 8.74
125002550	117	INTL BUSINESS MACHINES CORP	01/23/07	05/18/09	12,188.97	11,372.40		816.57
125002560	2	INTL BUSINESS MACHINES CORP	01/23/07	05/26/09	210.97	194.40		16.57
125002570	1	INTL BUSINESS MACHINES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	103.13	97.20		5.93
125002580	5	INTL BUSINESS MACHINES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/21/09	581.58	486.00		95.58
125002590	1	INTL BUSINESS MACHINES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/29/09	116.53	97.20		19.33
125002600	5	INTL BUSINESS MACHINES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	580.98	486.00		94.98
125002610	1	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/19/09	122.10	97.20		24.90
125002620	5	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	600.93	486.00		114.93



MorganStanley SmithBarney

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Ref. 00000018 00006188

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002350	30	HOLOGIC INC	08/08/08	12/18/09	\$ 406.48	\$ 618.79	(\$ 212.31)	
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/17/08		81.30	115.24	(33.94)	
125002360	45	HOME DEPOT INC	03/13/08	05/18/09	1,162.44	1,169.44	(7.00)	
	36		03/17/08		929.95	918.32		11.63
	19		03/19/08		490.81	522.91	(32.10)	
	130		03/26/08		3,358.16	3,669.08	(310.92)	
125002380	3	HOME DEPOT INC	06/10/08	07/13/09	68.87	80.48	(11.61)	
		Morgan Stanley Smit						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002390	9	HOME DEPOT INC	06/10/08	09/02/09	238.67	241.45	(2.78)	
		Morgan Stanley Smit						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002400	8	HOME DEPOT INC	10/09/08	10/26/09	208.79	166.96		41.83
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						
125002410	17	HOME DEPOT INC	10/09/08	12/18/09	486.70	354.78		131.92
	10	MorganStanley SmithBarney LLC	10/15/08		286.29	202.59		83.70
		acted as your agent						
		in this transaction.						
125002420	220	HONEYWELL INTL INC	01/23/07	05/18/09	7,223.07	9,842.80	(2,619.73)	
125002430	3	HONEYWELL INTL INC	01/23/07	05/26/09	98.63	134.22	(35.59)	
125002440	4	HONEYWELL INTL INC	01/23/07	07/13/09	122.59	178.96	(56.37)	
		Morgan Stanley Smit						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002450	10	HONEYWELL INTL INC	01/23/07	09/02/09	358.09	447.40	(89.31)	
		Morgan Stanley Smit						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002460	5	HONEYWELL INTL INC	01/23/07	10/26/09	188.59	223.70	(35.11)	
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006191

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002750	2	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/23/07	10/26/09	\$ 87.87	\$ 99.28	(\$ 11.41)	
125002760	8	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/23/07	10/27/09	351.19	397.10	(45.91)	
125002770	30	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/23/07	12/18/09	1,231.76	1,489.14	(257.38)	
125002990	18	KIMBERLY CLARK CORP	01/23/07	02/26/09	848.35	1,254.86	(406.51)	
125003000	195	KIMBERLY CLARK CORP	01/23/07	05/18/09	10,083.42	13,594.34	(3,510.92)	
125003010	4	KIMBERLY CLARK CORP	01/23/07	05/26/09	208.99	278.86	(69.87)	
125003020	2	KIMBERLY CLARK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	106.09	139.43	(33.34)	
125003030	1	KIMBERLY CLARK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/29/09	58.30	69.71	(11.41)	
125003040	10	KIMBERLY CLARK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	584.18	697.15	(112.97)	
125003050	6	KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/23/07	10/26/09	367.43	418.29	(50.86)	
125003060	3	KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/23/07	11/05/09	190.53	209.14	(18.61)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006190

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002630	2	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	11/11/09	\$ 253.63	\$ 194.40		\$ 59.23
125002640	8	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/23/07	12/18/09	1,022.13	777.60		244.53
125002650	78	INTERPUBLIC GROUP OF COS INC	01/23/07	04/29/09	479.85	1,056.90	(577.05)	
125002660	163	INTERPUBLIC GROUP OF COS INC	01/23/07	05/18/09	849.32	2,208.65	(1,359.33)	
	42		04/05/07		218.84	509.88	(291.04)	
	57		04/20/07		297.00	733.95	(436.95)	
	95		05/10/07		495.01	1,119.91	(624.90)	
125002670	6	INTERPUBLIC GROUP OF COS INC	05/10/07	05/26/09	30.41	70.73	(40.32)	
	3		05/15/07		15.21	35.13	(19.92)	
125002680	35	INTERPUBLIC GROUP OF COS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/15/07	07/15/09	182.36	409.87	(227.51)	
125002690	8	INTERPUBLIC GROUP OF COS INC	05/15/07	09/02/09	48.40	93.69	(45.29)	
	8	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/20/07		48.39	93.78	(45.39)	
125002700	154	INTERPUBLIC GROUP OF COS INC	06/20/07	10/14/09	1,077.44	1,805.36	(727.92)	
	65	MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/21/07		454.77	755.07	(300.30)	
	10		01/02/08		69.96	79.40	(9.44)	
125002710	367	JPMORGAN CHASE & CO	01/23/07	05/18/09	13,333.49	18,217.14	(4,883.65)	
125002720	4	JPMORGAN CHASE & CO	01/23/07	05/26/09	144.43	198.55	(54.12)	
125002730	7	JPMORGAN CHASE & CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	238.76	347.47	(108.71)	
125002740	15	JPMORGAN CHASE & CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	615.88	744.57	(128.69)	



Ref: 00000018 00006193

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003190	12	KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/06/07	10/26/09	\$ 320.87	\$ 404.07	(\$ 83.20)	
125003200	34	KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/06/07	12/18/09	915.25	1,144.86	(229.61)	
125003210	49 345 15 194 460 68 305 113	LSI CORP	06/01/07 06/18/07 06/19/07 06/21/07 06/29/07 07/23/07 08/21/07 01/03/08	05/18/09	190.66 1,342.43 58.37 754.87 1,789.90 264.59 1,186.78 439.71	433.73 2,839.76 123.15 1,622.27 3,452.30 543.03 2,034.38 542.25	(243.07) (1,497.33) (64.78) (867.40) (1,662.40) (278.44) (847.60) (102.54)	
125003220	22	LSI CORP	01/03/08	05/26/09	93.05	105.57	(12.52)	
125003230	6	LSI CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/03/08	06/08/09	24.47	28.79	(4.32)	
125003240	130	LSI CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/03/08	06/18/09	582.08	623.83	(41.75)	
125003250	67	LSI CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/03/08	06/19/09	305.81	321.51	(15.70)	
125003260	56	LSI CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/03/08	06/22/09	255.40	268.73	(13.33)	
125003270	415 171	LSI CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/03/08 06/26/08	07/07/09	1,916.67 789.76	1,991.47 1,097.75	(74.80) (307.99)	
125003720	102	MATTEL INC DE	01/23/07	05/05/09	1,591.68	2,437.65	(845.97)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006192

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003070	2	KIMBERLY CLARK CORP	01/23/07	12/04/09	\$ 132.99	\$ 139.43	(\$ 6.44)	
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/06/07		66.50	69.52	(3.02)	
125003080	5	KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/06/07	12/16/09	326.55	347.60	(21.05)	
125003090	13	KIMBERLY CLARK CORP	02/06/07	12/18/09	822.74	903.76	(81.02)	
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/18/07		126.58	143.61	(17.03)	
125003100	20	KRAFT FOODS INC CLASS A	01/23/07	04/06/09	452.84	713.43	(260.59)	
125003110	40	KRAFT FOODS INC CLASS A	01/23/07	05/05/09	1,025.91	1,426.86	(400.95)	
125003120	389	KRAFT FOODS INC CLASS A	01/23/07	05/18/09	9,699.08	13,876.17	(4,177.09)	
125003130	5	KRAFT FOODS INC CLASS A	01/23/07	05/26/09	129.14	178.36	(49.22)	
125003140	4	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	01/23/07	07/13/09	107.59	142.69	(35.10)	
125003150	8	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	01/23/07	07/21/09	220.87	285.37	(64.50)	
125003160	2	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	01/23/07	07/29/09	56.91	71.34	(14.43)	
125003170	5	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	01/23/07	08/03/09	140.96	178.36	(37.40)	
125003180	9	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	01/23/07	09/02/09	252.08	321.04	(68.96)	
	7	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	02/06/07		196.06	235.71	(39.65)	

2009 YEAR END SUMMARY



Ref: 00000018 00006195

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003850	7	MEADWESTVACO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	08/24/09	\$ 156.27	\$ 209.02	(\$ 52.75)	
125003860	14	MEADWESTVACO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	297.91	418.04	(120.13)	
125003870	3	MEADWESTVACO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	09/22/09	69.01	89.58	(20.57)	
125003880	8	MEADWESTVACO CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/09/09	190.56	238.88	(48.32)	
125003890	8	MEADWESTVACO CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	185.11	238.88	(53.77)	
125003900	2	MEADWESTVACO CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/27/09	46.03	59.72	(13.69)	
125003910	25	MEADWESTVACO CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	688.48	746.50	(58.02)	
125003920	113 105 55 61 46 150 43 138 80	MOTOROLA INC DE	09/28/07 10/04/07 10/11/07 11/05/07 11/14/07 11/19/07 11/21/07 12/20/07 12/31/07	05/18/09	693.01 643.95 337.31 374.10 282.11 919.92 263.71 846.33 490.63	2,078.84 1,975.06 1,045.25 1,089.44 782.24 2,411.78 657.07 2,212.66 1,286.79	(1,385.83) (1,331.11) (707.94) (715.34) (500.13) (1,491.86) (393.36) (1,366.33) (796.16)	
125003930	11	MOTOROLA INC DE	12/31/07	05/26/09	65.99	176.93	(110.94)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006194

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003730	419	MATTEL INC DE	01/23/07	05/18/09	\$ 6,046.85	\$ 10,013.47	(\$ 3,966.62)	
125003740	6	MATTEL INC DE	01/23/07	05/26/09	88.61	143.39	(54.78)	
125003750	4	MATTEL INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	61.59	95.59	(34.00)	
125003760	16 8	MATTEL INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07 09/26/07	09/02/09	287.99 143.99	382.38 188.79	(94.39) (44.80)	
125003770	13	MATTEL INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/26/07	10/19/09	262.20	306.79	(44.59)	
125003780	11	MATTEL INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/26/07	10/26/09	215.48	259.59	(44.11)	
125003790	11 23	MATTEL INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/26/07 12/31/07	12/18/09	216.03 451.71	259.59 436.79	(43.56)	14.92
125003800	377	MEADWESTVACO CORP	01/23/07	05/18/09	5,833.55	11,257.22	(5,423.67)	
125003810	7	MEADWESTVACO CORP	01/23/07	05/26/09	114.16	209.02	(94.86)	
125003820	6	MEADWESTVACO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	96.71	179.16	(82.45)	
125003830	12	MEADWESTVACO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	07/30/09	234.20	358.32	(124.12)	
125003840	7	MEADWESTVACO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	08/04/09	145.04	209.02	(63.98)	



Ref: 00000018 00006197

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004050	31	PFIZER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/05/07	09/02/09	\$ 505.59	\$ 770.12	(\$ 264.53)	
125004060	17	PFIZER INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	03/05/07	10/26/09	291.20	422.32	(131.12)	
125004070	53	PFIZER INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	03/05/07	12/18/09	972.04	1,316.65	(344.61)	
125004080	2,221	QWEST COMMUNICATIONS INTL	01/23/07	05/18/09	9,465.65	18,167.78	(8,702.13)	
125004090	42	QWEST COMMUNICATIONS INTL	01/23/07	05/26/09	182.27	343.56	(161.29)	
125004100	24	QWEST COMMUNICATIONS INTL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	92.15	196.32	(104.17)	
125004110	25	QWEST COMMUNICATIONS INTL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/29/09	99.74	204.50	(104.76)	
125004120	105	QWEST COMMUNICATIONS INTL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	361.45	858.90	(497.45)	
125004130	43	QWEST COMMUNICATIONS INTL Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	150.49	351.74	(201.25)	
125004140	28	QWEST COMMUNICATIONS INTL Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/27/09	98.27	229.04	(130.77)	
125004150	181	QWEST COMMUNICATIONS INTL Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	772.87	1,480.58	(707.71)	

2 0 0 9 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref: 00000018 00006196

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003940	8	MOTOROLA INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/31/07	07/29/09	\$ 51.43	\$ 128.68	(\$ 77.25)	
125003950	34 14	MOTOROLA INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/31/07 01/24/08	09/02/09	254.99 105.00	546.89 142.58	(291.90) (37.58)	
125003960	44	MOTOROLA INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/24/08	09/10/09	349.74	448.10	(98.36)	
125003970	6	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/24/08	10/26/09	48.29	61.10	(12.81)	
125003980	16	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/24/08	10/27/09	125.91	162.95	(37.04)	
125003990	15 55	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/24/08 03/26/08	12/18/09	127.65 458.03	152.76 552.01	(25.11) (83.98)	
125004000	605	PFIZER INC	01/23/07	05/18/09	9,142.52	15,849.79	(6,707.27)	
125004010	42	PFIZER INC	01/23/07	05/18/09	634.20	1,100.31	(466.11)	
125004020	7 5	PFIZER INC	01/23/07 03/05/07	05/26/09	104.92 74.95	183.39 124.21	(78.47) (49.26)	
125004030	6	PFIZER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/05/07	07/13/09	88.19	149.05	(60.86)	
125004040	2	PFIZER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/05/07	07/29/09	31.33	49.68	(18.35)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006199

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004330	556	TENET HEALTHCARE CORP	01/23/07	04/21/09	\$ 1,133.77	\$ 4,197.19	(\$ 3,063.42)	
125004340	50	TENET HEALTHCARE CORP	01/23/07	04/27/09	107.75	377.44	(269.69)	
125004350	157	TENET HEALTHCARE CORP	01/23/07	04/30/09	373.01	1,185.18	(812.17)	
125004360	88	TENET HEALTHCARE CORP	01/23/07	05/12/09	220.73	664.30	(443.57)	
125004370	1,345 241 27	TENET HEALTHCARE CORP	01/23/07 02/06/07 11/30/07	05/18/09	2,981.19 535.97 60.05	10,153.27 1,745.97 143.93	(7,162.08) (1,210.00) (83.88)	
125004380	7	TENET HEALTHCARE CORP	11/30/07	05/21/09	23.71	37.32	(13.61)	
125004390	132	TENET HEALTHCARE CORP	11/30/07	05/26/09	414.79	703.66	(288.87)	
125004400	37	TENET HEALTHCARE CORP	11/30/07	06/01/09	140.41	197.24	(56.83)	
125004410	18	TENET HEALTHCARE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/30/07	07/29/09	72.53	95.95	(23.42)	
125004420	42	TENET HEALTHCARE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/30/07	08/03/09	176.21	223.89	(47.68)	
125004430	30	TENET HEALTHCARE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/30/07	08/04/09	129.49	159.92	(30.43)	
125004440	44	TENET HEALTHCARE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/30/07	09/02/09	193.15	234.56	(41.41)	
125004450	13	TENET HEALTHCARE CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	11/30/07	10/26/09	72.66	69.30		3.36
125004460	18	TENET HEALTHCARE CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	11/30/07	10/27/09	98.45	95.95		2.50

2 0 0 9 Y E A R E N D S U M M A R Y



Ref. 00000018 00006198

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004160	61	SARA LEE CORP	03/27/08	04/07/09	\$ 510.04	\$ 835.52	(\$ 325.48)	
125004170	43	SARA LEE CORP	03/27/08	04/14/09	360.27	588.97	(228.70)	
125004180	65	SARA LEE CORP	03/27/08	05/18/09	612.30	890.30	(278.00)	
	56		04/01/08		527.52	789.34	(261.82)	
	71		04/11/08		668.82	993.89	(325.07)	
	45		04/23/08		423.90	622.91	(199.01)	
125004200	9	SARA LEE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/02/08	07/29/09	97.55	123.22	(25.67)	
125004210	15	SARA LEE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/02/08	09/02/09	144.44	205.37	(60.93)	
125004220	3	SARA LEE CORP	06/02/08	10/26/09	34.50	41.08	(6.58)	
	10	MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/08		115.00	137.07	(22.07)	
125004230	12	SARA LEE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/08	11/23/09	150.71	164.48	(13.77)	
125004240	12	SARA LEE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/08	12/14/09	147.47	164.49	(17.02)	
125004250	6	SARA LEE CORP	06/04/08	12/18/09	71.70	82.24	(10.54)	
	18	MorganStanley SmithBarney LLC acted as your agent in this transaction	09/12/08		215.09	254.89	(39.80)	
125004260	43	SONY CORP SPON ADR-NEW	01/23/07	02/06/09	898.51	2,008.53	(1,110.02)	
125004270	3	SONY CORP SPON ADR-NEW	01/23/07	02/27/09	50.16	140.13	(89.97)	
125004280	39	SONY CORP SPON ADR-NEW	01/23/07	03/03/09	667.92	1,821.69	(1,153.77)	
125004290	50	SONY CORP SPON ADR-NEW	01/23/07	03/12/09	905.83	2,335.50	(1,429.67)	
125004300	14	SONY CORP SPON ADR-NEW	01/23/07	03/17/09	282.01	653.94	(371.93)	
125004310	15	SONY CORP SPON ADR-NEW	01/23/07	04/03/09	360.64	700.65	(340.01)	
125004320	188	SONY CORP SPON ADR-NEW	01/23/07	05/14/09	4,952.93	8,781.48	(3,828.55)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006201

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004580	2	TIME WARNER INC	01/23/07	12/18/09	\$ 58.70	\$ 95.17	(\$ 36.47)	
	21	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/02/07		616.33	887.75	(271.42)	
125004590	.3797	TIME WARNER CABLE INC	01/23/07	03/27/09	10.39	25.38	(14.99)	
	.0219	CASH IN LIEU OF .55752	03/02/07		.60	1.30	(.70)	
	.0438	RECORD 03/12/09 PAY 03/27/09	03/05/07		1.20	2.56	(1.36)	
	.0135		03/19/07		.37	.78	(.41)	
	.0172		03/30/07		.47	1.00	(.53)	
	.0656		06/20/07		1.79	4.26	(2.47)	
	.0158		03/11/08		.43	.68	(.25)	
125004600	94	TIME WARNER CABLE INC	01/23/07	04/03/09	2,462.76	6,283.53	(3,820.77)	
	5.4167		03/02/07		141.92	321.68	(179.76)	
	10.8333		03/05/07		283.83	633.42	(349.59)	
	3.3333		03/19/07		87.33	193.93	(106.60)	
	4.25		03/30/07		111.35	248.39	(137.04)	
	16.25		06/20/07		425.74	1,054.06	(628.32)	
	3.9167		03/11/08		102.61	169.45	(66.84)	
125004610	292	TRAVELERS COMPANIES INC	01/23/07	05/19/09	11,709.18	15,178.16	(3,468.98)	
125004620	3	TRAVELERS COMPANIES INC	01/23/07	05/26/09	122.30	155.94	(33.64)	
125004630	5	TRAVELERS COMPANIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	199.64	259.90	(60.26)	
125004640	7	TRAVELERS COMPANIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	08/13/09	331.46	363.86	(32.40)	
125004650	12	TRAVELERS COMPANIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	593.50	623.76	(30.26)	
125004660	9	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/23/07	10/26/09	462.04	467.82	(5.78)	



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006200

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004470	51	TENET HEALTHCARE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/30/07	11/17/09	\$ 280.64	\$ 271.87		\$ 8 77
125004480	43	TENET HEALTHCARE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/30/07	12/16/09	225 82	229 23	(3.41)	
125004490	84	TENET HEALTHCARE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/30/07	12/18/09	418 30	447 79	(29 49)	
125004500	28	TENET HEALTHCARE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/30/07	12/23/09	159 49	149 27		10 22
125004510	324	TIME WARNER INC	01/23/07	05/18/09	7,990 28	16,499 69	(8,509 41)	
125004520	5	TIME WARNER INC	01/23/07	05/26/09	117 44	254 62	(137.18)	
125004530	8	TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	06/11/09	208.39	407.40	(199 01)	
125004540	8	TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	194 15	407.40	(213 25)	
125004550	5	TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	07/21/09	134.91	254 63	(119 72)	
125004560	14	TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	384 43	712 95	(328 52)	
125004570	10	TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	306 79	509 25	(202 46)	



Ref: 00000018 00006203

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004890	145	WATSON PHARMACEUTICALS INC	01/23/07	04/01/09	\$ 4,407.32	\$ 3,857.00		\$ 550.32
125004900	269	WATSON PHARMACEUTICALS INC	01/23/07	05/18/09	8,246.78	7,155.40		1,091.38
125004910	5	WATSON PHARMACEUTICALS INC	01/23/07	05/26/09	150.14	133.00		17.14
125004920	3	WATSON PHARMACEUTICALS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	100.07	79.80		20.27
125004930	2	WATSON PHARMACEUTICALS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	08/03/09	69.36	53.20		16.16
125004940	10	WATSON PHARMACEUTICALS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	346.09	266.00		80.09
125004950	6	WATSON PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	209.03	159.60		49.43
125004960	1	WATSON PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/27/09	34.62	26.60		8.02
125004970	3	WATSON PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/17/09	113.88	79.80		34.08
125004980	22	WATSON PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	837.51	585.20		252.31
Total					\$ 357,578.70	\$ 572,517.08	(\$ 219,622.99)	\$ 4,684.61

2 0 0 9 Y E A R E N D S U M M A R Y



Ref. 00000018 00006202

THOMPSON DEAN

Account Number 232-71055-12 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004670	23	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	\$ 1,109.03	\$ 1,195.54	(\$ 86.51)	
125004790	4	VALERO ENERGY CORP-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/05/08	10/26/09	81.63	132.25	(50.62)	
125004800	2	VALERO ENERGY CORP-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/05/08	10/27/09	39.20	66.12	(26.92)	
	2		09/10/08		39.19	60.18	(20.99)	
125004810	11	VALERO ENERGY CORP-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/10/08	12/18/09	184.35	331.02	(146.67)	
	7		09/17/08		117.32	227.81	(110.49)	
125004820	150	WASTE MGMT INC DEL	01/23/07	05/18/09	4,155.30	5,619.00	(1,463.70)	
125004830	3	WASTE MGMT INC DEL	01/23/07	05/26/09	85.73	112.38	(26.65)	
125004840	2	WASTE MGMT INC DEL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	07/13/09	54.09	74.92	(20.83)	
125004850	7	WASTE MGMT INC DEL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/07	09/02/09	205.37	262.22	(56.85)	
125004860	2	WASTE MGMT INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/26/09	61.25	74.92	(13.67)	
125004870	2	WASTE MGMT INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	10/27/09	62.29	74.92	(12.63)	
125004880	12	WASTE MGMT INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/23/07	12/18/09	395.02	449.52	(54.50)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006109

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160005800	*** TEVA PHARMACEUTICAL INDS LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 21.17				
160006000	*** UNIBANCO UNIAO DE BANCOS BRASILEIROS SA *UBB-USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		33.23				
160006100	*** VALE S A SPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		21.60				
160006200	*** VIVO PARTICIPACOES SA ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		18.69				
Totals			\$ 1,570.04				

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	40	CREDICORP LTD -USD	11/14/08	04/06/09	\$ 2,047.06	\$ 1,636.30		\$ 410.76
	15		11/17/08		767.65	598.34		169.31
	15		11/18/08		767.64	590.39		177.25
125000020	1	CREDICORP LTD -USD	11/18/08	04/07/09	50.65	39.36		11.29
125000030	23	CREDICORP LTD -USD	12/15/08	05/18/09	1,222.42	999.84		222.58
	12		01/28/09		637.78	529.13		108.65
	34		02/02/09		1,807.05	1,364.86		442.19



2 0 0 9 Y E A R E N D S U M M A R Y

Ref: 00000018 00006110

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	7	CREDICORP LTD -USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/09	10/27/09	\$ 510.73	\$ 281.00		\$ 229.73
125000050	231	AU OPTRONICS CORP SPONSORED ADR	03/27/09	05/18/09	2,460.66	2,069.94		390.72
125000060	.83	AU OPTRONICS CORP SPONSORED ADR CASH IN LIEU OF .83000 RECORD 08/14/09 PAY 09/16/09	03/27/09	09/16/09	8.27	7.22		1.05
125000070	42	AU OPTRONICS CORP SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	03/27/09	12/18/09	469.99	365.39		104.60
125000080	96	AFRICAN BANK INVESTMENTS ADR	07/25/08	01/27/09	1,209.83	1,717.58	(507.75)	
125000090	104	AFRICAN BANK INVESTMENTS ADR	07/25/08	02/03/09	1,359.60	1,860.70	(501.10)	
125000100	4 125 145	AFRICAN BANK INVESTMENTS ADR	07/25/08 07/31/08 08/27/08	02/23/09	46.81 1,462.79 1,696.84	71.57 2,374.18 2,520.54	(24.76) (911.39) (823.70)	
125000110	63	AFRICAN BANK INVESTMENTS ADR	08/27/08	02/24/09	715.93	1,095.13	(379.20)	
125000120	118 24 116	AFRICAN BANK INVESTMENTS ADR	08/27/08 09/18/08 10/30/08	02/27/09	1,310.48 266.54 1,288.26	2,051.19 352.49 1,519.87	(740.71) (85.95) (231.61)	
125000130	154 129 224	AFRICAN BANK INVESTMENTS ADR	10/30/08 10/31/08 11/18/08	04/14/09	2,241.16 1,877.34 3,259.88	2,017.75 1,714.36 2,513.59		223.41 162.98 746.29
125000140	99 144 76	AFRICAN BANK INVESTMENTS ADR	11/18/08 12/05/08 12/09/08	04/15/09	1,438.22 2,091.95 1,104.08	1,110.92 1,763.54 995.57		327.30 328.41 108.51
125000180	14	ANGLO PLATINUM LTD-UN SPON ADR MorganStanley SmithBarney LLC acted as your agent	10/26/09	12/01/09	1,432.02	1,263.19		168.83
125000190	70 25	ANGLOGOLD ASHANTI LTD ADR	12/09/08 12/11/08	03/27/09	2,580.75 921.69	1,656.24 655.56		924.51 266.13



2009 YEAR END SUMMARY

Ref: 00000018 00006111

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000200	38	ANGLOGOLD ASHANTI LTD	12/11/08	05/18/09	\$ 1,312.11	\$ 996.45		\$ 315.66
	46	ADR	12/12/08		1,588.34	1,232.41		355.93
	21		12/17/08		725.11	569.84		155.27
	3		02/02/09		103.59	83.86		19.73
125000210	20	ANGLOGOLD ASHANTI LTD	02/02/09	09/02/09	761.75	559.08		202.67
		ADR						
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
125000220	5	BAIDU INC SPON ADR RPTNG	11/07/08	03/04/09	782.89	1,074.82	(291.93)	
	1	ORD SHS CL A	11/12/08		156.58	189.94	(33.36)	
125000230	9	BAIDU INC SPON ADR RPTNG	11/12/08	04/13/09	1,767.12	1,709.44		57.68
		ORD SHS CL A						
125000240	2	BAIDU INC SPON ADR RPTNG	11/12/08	05/18/09	480.43	379.87		100.56
	7	ORD SHS CL A	11/13/08		1,681.50	1,320.27		361.23
	3		11/14/08		720.64	549.52		171.12
	10		12/01/08		2,402.13	1,173.78		1,228.35
125000250	3	BAIDU INC SPON ADR RPTNG	12/01/08	10/08/09	1,252.87	352.14		900.73
	2	ORD SHS CL A	02/06/09		835.24	248.27		586.97
		MorganStanley SmithBarney LLC						
		acted as your agent						
125000260	1	BAIDU INC SPON ADR RPTNG	02/06/09	12/10/09	426.37	124.14		302.23
		ORD SHS CL A						
		MorganStanley SmithBarney LLC						
		acted as your agent						
125000270	8	BANCO BRADESCO SPONS ADR	09/18/08	05/18/09	108.88	110.11	(1.23)	
	152	(NEW)	09/25/08		2,068.74	2,517.68	(448.94)	
	108		10/01/08		1,469.90	1,741.38	(271.48)	
	15		10/09/08		204.15	173.80		30.35
125000300	45	BANCO SANTANDER-CHILE-CLP	10/08/08	03/02/09	1,514.71	1,480.64		34.07
	24	ADR	10/10/08		807.85	643.59		164.26
125000310	22	BANCO SANTANDER-CHILE-CLP	10/10/08	03/03/09	718.73	589.95		128.78
		ADR						
125000320	83	BANCO SANTANDER-CHILE-CLP	10/10/08	05/18/09	3,304.51	2,225.74		1,078.77
	4	ADR	10/21/08		159.25	127.86		31.39
	65		12/09/08		2,587.88	2,173.00		414.88

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006112

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000330	34	BANCO SANTANDER-CHILE-CLP ADR Morgan Stanley Smi th Barney LLC acted as yo	12/09/08	06/24/09	\$ 1,518.69	\$ 1,136.65		\$ 382.04
125000340	19 26	BANCO SANTANDER-CHILE-CLP ADR MorganStanley SmithBarney LLC acted as your agent	12/09/08 01/27/09	10/12/09	1,033.13 1,413.75	635.18 907.44		397.95 506.31
125000350	32	BANCO SANTANDER-CHILE-CLP ADR MorganStanley SmithBarney LLC acted as your agent	01/27/09	12/02/09	1,957.66	1,116.86		840.80
125000360	79	BANCOLOMBIA SA BANCOLOMBIA C-COP	11/28/08	02/24/09	1,285.56	1,594.92	(309.36)	
125000370	18 57	IPATH MSCI INDIA ETN	06/02/08 06/12/08	02/11/09	553.65 1,753.24	1,178.24 3,482.31	(624.59) (1,729.07)	
125000380	31	IPATH MSCI INDIA ETN	06/12/08	02/12/09	928.29	1,893.88	(965.59)	
125000390	45 9 61 144 34	IPATH MSCI INDIA ETN	06/12/08 07/10/08 07/14/08 11/06/08 12/19/08	03/23/09	1,345.78 269.16 1,824.27 4,306.48 1,016.81	2,749.19 482.64 3,102.63 4,494.96 1,155.17	(1,403.41) (213.48) (1,278.36) (188.48) (138.36)	
125000400	23	IPATH MSCI INDIA ETN MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/10/09	12/08/09	1,418.90	1,213.93		204.97
125000410	10	BRF-BRASIL FOODS S A ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	07/22/09	12/18/09	484.22	417.87		66.35
125000420	.7982	BRASIL TELECOM S A SPONSORED ADR REPSTG PFD SHS MERGER 11/16/09 105530109001	11/24/09	11/24/09	25.29	24.83		.46
125000430	.6626	BRASIL TELECOM SA ADR MERGER 11/16/09 105530109001	11/24/09	11/24/09	10.60	10.98	(.38)	
125000440	5 8	BRASIL TELECOM PARTICIPACO SA SPONSORED ADR	08/07/08 03/03/09	05/18/09	209.84 335.76	367.17 231.28	(157.33)	104.48



Ref: 00000018 00006113

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000450	56	BRASIL TELECOM PARTICIPACO	03/03/09	11/24/09	\$ 3,439.13	\$ 1,618.93		\$ 1,820.20
	25	SA SPONSORED ADR	03/03/09		1,535.33	730.25		805.08
125000460	18	CNOOC LTD SPONS ADR	09/23/08	03/03/09	1,382.46	2,262.53	(880.07)	
125000470	8	CNOOC LTD SPONS ADR	09/23/08	03/04/09	663.67	1,005.57	(341.90)	
125000480	15	CNOOC LTD SPONS ADR	09/23/08	05/18/09	1,940.89	1,885.45		55.44
	22		09/25/08		2,846.64	2,854.14	(7.50)	
	21		09/26/08		2,717.25	2,592.38		124.87
	48		10/14/08		6,210.85	4,286.37		1,924.48
	14		11/12/08		1,811.49	1,053.30		758.19
125000490	14	CNOOC LTD SPONS ADR	11/12/08	05/19/09	1,864.92	1,053.30		811.62
125000500	10	CNOOC LTD SPONS ADR	11/12/08	07/06/09	1,161.09	752.36		408.73
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125000510	2	CNOOC LTD SPONS ADR	11/12/08	09/02/09	258.39	150.47		107.92
	2	Morgan Stanley Sml	12/04/08		258.39	145.94		112.45
		th Barney LLC acted as yo						
		ur agent in this transa						
125000520	14	CNOOC LTD SPONS ADR	12/04/08	10/23/09	2,271.76	1,021.55		1,250.21
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						
125000530	3	CNOOC LTD SPONS ADR	12/04/08	10/27/09	477.36	218.90		258.46
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						
125000540	54	CHINA LIFE INSURANCE CO	06/12/08	01/27/09	2,178.35	2,981.89	(803.54)	
		LTD-SPONSORED ADR						
125000550	40	CHINA LIFE INSURANCE CO	06/12/08	03/03/09	1,578.47	2,208.80	(630.33)	
		LTD-SPONSORED ADR						
125000560	27	CHINA LIFE INSURANCE CO	06/12/08	03/04/09	1,146.34	1,490.94	(344.60)	
		LTD-SPONSORED ADR						
125000570	169	CHINA LIFE INSURANCE CO	06/12/08	05/18/09	9,202.15	9,332.20	(130.05)	
	184	LTD-SPONSORED ADR	07/10/08		10,018.91	9,903.86		115.05
	129		07/14/08		7,024.13	6,880.27		143.86
	1		07/24/08		54.45	57.62	(3.17)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006114

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y									
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain	
125000680	67	CHINA MOBILE LTD SPONSORED ADR	06/12/08	05/18/09	\$ 3,183.85	\$ 4,615.12	(\$ 1,431.27)		
125000710	3	CHINA MOBILE LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	01/14/09	10/21/09	150.06	135.05		15.01	
125000720	31 16	CHINA MOBILE LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	01/14/09 01/29/09	11/05/09	1,487.74 767.86	1,395.56 727.49		92.18 40.37	
125000730	44	CHINA MOBILE LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	01/29/09	12/08/09	2,043.11	2,000.60		42.51	
125000740	8	CHINA MOBILE LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	01/29/09	12/18/09	367.30	363.74		3.56	
125000750	27	CHINA PETROLEUM&CHEM ADR	03/26/09	05/18/09	2,223.12	1,752.19		470.93	
125000760	7	CHINA PETROLEUM&CHEM ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/26/09	12/18/09	600.49	454.27		146.22	
125000780	.1659 .0142	CHUNGHWA TELECOM LTD ADR MERGER 03/20/09 17133Q304001	02/24/09 02/25/09	03/20/09	3.10 .27	3.00 .26		.10 .01	
125000790	40.5564	CHUNGHWA TELECOM LTD ADR	02/24/09	05/18/09	735.37	733.08		2.29	
125000800	23	CHUNGHWA TELECOM LTD ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/24/09	12/10/09	414.25	377.94		36.31	
125000810	77 76 153 140 57	COMPANHIA ENERGETICA DE MINAS SP ADR	08/11/08 10/09/08 10/14/08 10/15/08 12/09/08	05/18/09	1,001.00 988.00 1,988.99 1,819.99 740.99	1,667.58 1,111.83 2,461.60 2,104.51 832.30	(666.58) (123.83) (472.61) (284.52) (91.31)		



Ref: 00000018 00006115

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000830	10.75	COMPANHIA ENERGETICA DE MINAS SP ADR MorganStanley SmithBarney LLC acted as your agent	01/16/09	12/11/09	\$ 210.49	\$ 123.18		\$ 87.31
125000840	47	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR	10/09/08	05/18/09	2,859.87	1,958.39		901.48
	40		10/14/08		2,433.94	1,892.31		541.63
125000850	62	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR	06/11/09	06/11/09	2.87	01		2.86
		SALE OF RTS ON 62 0000 SHS						
125000860	4	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR	10/14/08	10/02/09	335.44	189.23		146.21
	7	MorganStanley SmithBarney LLC acted as your agent	10/15/08		587.03	315.10		271.93
125000920	97	COMPANIA DE MINAS BUENAVENTURA S A SPONSORED ADR	11/26/08	03/18/09	1,957.61	1,551.91		405.70
	63	REPSTG SER B SHS-USD	12/01/08		1,271.44	939.64		331.80
125000930	49	COMPANIA DE MINAS BUENAVENTURA S A SPONSORED ADR	12/01/08	03/20/09	1,119.54	730.83		388.71
	34	REPSTG SER B SHS-USD			776.82	507.11		269.71
125000940	17	COMPANIA DE MINAS BUENAVENTURA S A SPONSORED ADR	12/01/08	03/27/09	394.63	253.55		141.08
	24		12/04/08		557.13	359.48		197.65
	3	REPSTG SER B SHS-USD	12/11/08		69.64	53.95		15.69
125000950	79	DBS GROUP HLDG LTD SP ADR	04/17/09	05/18/09	2,539.78	2,014.80		524.98
125000960	68	DBS GROUP HLDG LTD SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/17/09	09/30/09	2,507.55	1,734.26		773.29
125000990	9	EMPRESA NACIONAL DE ELECTRICIDAD CHILE SP ADR 1 ADR = 30 SH -USD- MorganStanley SmithBarney LLC	10/12/09	12/18/09	448.90	422.80		26.10
125001000	38	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR	01/30/08	01/29/09	1,074.25	1,386.92	(312.67)	
	1		02/14/08		28.27	41.79	(13.52)	
125001010	37	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR	06/16/08	05/18/09	1,170.46	1,629.14	(458.68)	
	12		09/19/08		379.61	492.42	(112.81)	
125001030	47	GAFISA S A SPON ADR-USD	05/29/08	02/03/09	503.00	1,961.65	(1,458.65)	
	58		06/20/08		620.72	2,143.78	(1,523.06)	

2009 YEAR END SUMMARY



Ref: 00000018 00006116

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001040	31 36 168	GAFISA S A SPON ADR-US	06/20/08 07/09/08 11/13/08	03/19/09	\$ 287.28 333.61 1,556.84	\$ 1,145.82 1,103.50 1,290.22	(\$ 858.54) (769.89)	266.62
125001050	42	GAFISA S A SPON ADR-US MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/28/09	12/01/09	1,431.46	1,234.71		196.75
125001080	9 4 47 11 19	GAZPROM OAO SPONS ADR	05/27/08 06/05/08 06/10/08 06/16/08 08/26/08	05/18/09	190.89 84.84 996.84 233.30 402.96	542.02 229.66 2,665.52 641.96 699.43	(351.13) (144.82) (1,668.68) (408.66) (296.47)	
125001090	33 16	GAZPROM OAO SPONS ADR Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	08/26/08 09/09/08	06/17/09	689.84 334.47	1,214.80 521.48	(524.96) (187.01)	
125001130	142	GERDAU SA SPONS ADR	05/27/08	05/18/09	1,220.10	3,451.50	(2,231.40)	
125001150	36	GLOBAL X CHINA FINANCIALS ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/11/09	12/18/09	503.02	549.25	(46.23)	
125001160	36	GLOBAL X CHINA INDUSTRIALS ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/02/09	12/18/09	541.14	591.47	(50.33)	
125001170	180 81 101	GOLD FIELDS LTD SPONS ADR	12/15/08 12/17/08 12/18/08	05/18/09	2,136.99 961.65 1,199.09	1,743.95 791.27 870.49		393.04 170.38 328.60
125001180	31	GOLD FIELDS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/18/08	12/18/09	423.79	267.18		156.61
125001190	108 18	GRUPO TELEvisa SA DE CV SPON ADR	06/27/08 07/16/08	01/27/09	1,636.54 272.76	2,571.50 403.24	(934.96) (130.48)	
125001200	96	GRUPO TELEvisa SA DE CV SPON ADR	07/16/08	02/09/09	1,378.12	2,150.63	(772.51)	
125001210	17 139	GRUPO TELEvisa SA DE CV SPON ADR	07/16/08 11/06/08	05/18/09	294.14 2,405.06	380.84 2,248.35	(86.70)	156.71



Ref. 00000018 00006117

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001220	37 2	GRUPO TELEVISA SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	11/13/08 11/17/08	11/04/09	\$ 640.20 34.61	\$ 553.49 28.26		\$ 86.71 6.35
125001230	474 397	HENDERSON LAND DEV LTD SPONS ADR -USD-	10/31/08 11/05/08	04/30/09	2,156.64 1,806.30	1,783.66 1,589.19		372.98 217.11
125001250	.0882 0018	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S CASH IN LIEU OF .80000 RECORD 06/02/09 PAY 07/31/09	09/29/08 06/30/09	07/31/09	.66 03	.55 01		11 02
125001290	21	HUANENG POWER INTL SP ADR	11/25/08	03/04/09	569.72	476.41		93.31
125001300	110 69 14	HUANENG POWER INTL SP ADR	11/25/08 12/01/08 12/03/08	05/18/09	2,879.73 1,806.37 366.51	2,495.46 1,619.51 368.79		384.27 186.86 (2.28)
125001310	15 10	HUANENG POWER INTL SP ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/03/08 12/04/08	09/02/09	414.49 276.32	395.13 243.39		19.36 32.93
125001330	183 89	ICICI BANK LTD-SPONS ADR- INR	09/18/08 10/01/08	05/18/09	5,548.18 2,698.29	4,471.28 2,174.27		1,076.90 524.02
125001340	77 25	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR	03/19/08 06/26/08	01/28/09	943.96 306.48	3,115.96 970.25	(2,172.00) (663.77)	
125001350	121 53	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR	06/26/08 09/16/08	02/05/09	1,405.32 615.55	4,696.01 1,191.12	(3,290.69) (575.57)	
125001360	156	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR	02/23/09	05/18/09	2,909.32	2,223.97		685.35
125001370	42	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR Morgan Stanley Smi th Barney LLC acted as yo	02/23/09	08/04/09	1,016.28	598.76		417.52
125001380	39 29	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	02/23/09 02/24/09	10/26/09	901.92 670.66	555.99 365.08		345.93 305.58
125001390	91	INFOSYS TECHNOLOGIE SP ADR	03/20/09	05/18/09	3,198.56	2,361.91		836.65

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006118

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001400	10	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/20/09	12/18/09	\$ 541.08	\$ 259.55		\$ 281.53
125001410	167	ISHARES MSCI SINGAPORE INDEX FUND	06/17/08	01/14/09	1,071.71	2,147.04	(1,075.33)	
125001420	210	ISHARES MSCI SINGAPORE INDEX FUND	06/17/08	02/06/09	1,395.15	2,699.87	(1,304.72)	
125001430	73	ISHARES MSCI TURKEY	07/09/08	04/17/09	2,075.25	3,325.78	(1,250.53)	
125001440	18 97	ISHARES MSCI TURKEY	07/09/08 07/15/08	05/08/09	593.45 3,198.06	820.06 4,448.15	(226.61) (1,250.09)	
125001450	36 13 24	ISHARES MSCI TURKEY	07/15/08 08/08/08 03/30/09	05/18/09	1,240.89 448.10 827.25	1,650.86 708.81 547.86	(409.97) (260.71)	279.39
125001460	9	ISHARES MSCI TURKEY MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/30/09	12/18/09	460.24	205.44		254.80
125001470	82	ISHARES INC MSCI TAIWAN INDEX FD	12/09/08	03/04/09	573.33	631.37	(58.04)	
125001480	294	ISHARES INC MSCI TAIWAN INDEX FD	12/09/08	05/06/09	3,159.35	2,263.68		895.67
125001490	55 413 531 296	ISHARES INC MSCI TAIWAN INDEX FD	12/09/08 12/11/08 01/07/09 02/06/09	05/18/09	585.40 4,395.86 5,651.82 3,150.54	423.48 3,389.99 4,040.91 2,157.90		161.92 1,005.87 1,610.91 992.64
125001500	125	ISHARES INC MSCI TAIWAN INDEX FD Morgan Stanley Sml th Barney LLC acted as yo	02/06/09	06/01/09	1,419.64	911.28		508.36
125001510	5 32	ISHARES INC MSCI TAIWAN INDEX FD Morgan Stanley Sml th Barney LLC acted as yo	02/06/09 04/29/09	09/02/09	56.19 359.59	36.45 307.07		19.74 52.52
125001520	62	ISHARES INC MSCI TAIWAN INDEX FD MorganStanley SmithBarney LLC acted as your agent	04/29/09	10/27/09	757.69	594.94		162.75

2 0 0 9 Y E A R E N D S U M M A R Y



Ref 00000018 00006119

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001530	20	ISHARES INC MSCI TAIWAN INDEX FD MorganStanley SmithBarney LLC acted as your agent	04/29/09	12/10/09	\$ 247.99	\$ 191.92		\$ 56.07
125001540	113	ISHARES INC MSCI TAIWAN INDEX FD MorganStanley SmithBarney LLC acted as your agent	04/29/09	12/18/09	1,394.61	1,084.32		310.29
125001550	76 2 132	ISHARES MSCI SOUTH KOREA INDEX FUND	08/11/08 08/12/08 11/17/08	05/18/09	2,744.85 72.23 4,767.37	3,794.80 98.81 3,233.35	(1,049.95) (26.58)	1,534.02
125001560	3 8	ISHARES MSCI SOUTH KOREA INDEX FUND Morgan Stanley Smit th Barney LLC acted as yo	11/17/08 12/30/08	09/02/09	127.20 339.19	73.49 218.19		53.71 121.00
125001570	43 8	ISHARES MSCI SOUTH KOREA INDEX FUND MorganStanley SmithBarney LLC acted as your agent	12/30/08 04/23/09	12/10/09	2,029.67 377.61	1,172.80 262.53		856.87 115.08
125001580	9	ISHARES MSCI SOUTH KOREA INDEX FUND MorganStanley SmithBarney LLC acted as your agent	04/23/09	12/18/09	416.16	295.34		120.82
125001590	15	ISHARES INC MSCI SOUTH AFRICA INDEX FD	09/22/08	02/09/09	544.82	772.41	(227.59)	
125001600	14 36 31 14 87 86 14	ISHARES INC MSCI SOUTH AFRICA INDEX FD	09/22/08 11/07/08 11/24/08 01/26/09 01/28/09 02/04/09 03/27/09	05/18/09	605.79 1,557.74 1,341.38 605.79 3,764.53 3,721.26 605.78	720.91 1,149.03 991.92 462.52 3,061.91 2,887.30 515.18	(115.12)	408.71 - 349.46 143.27 702.62 833.96 90.60
125001610	13	ISHARES INC MSCI SOUTH AFRICA INDEX FD MorganStanley SmithBarney LLC acted as your agent	03/27/09	09/30/09	704.67	478.38		226.29

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00008120

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001620	18	ISHARES INC MSCI SOUTH AFRICA INDEX FD MorganStanley SmithBarney LLC acted as your agent	03/27/09	12/18/09	\$ 1,006.47	\$ 662.38		\$ 344.09
125001630	464	ISHARES MSCI MALAYSIA FREE INDEX FUND	02/25/09	05/18/09	3,926.49	3,289.67		636.82
125001640	282	ISHARES MSCI MALAYSIA FREE INDEX FUND MorganStanley SmithBarney LLC acted as your agent	02/25/09	10/13/09	3,001.81	1,999.32		1,002.49
125001650	316	ISHARES MSCI HONG KONG INDEX FUND	03/19/09	05/07/09	4,041.37	3,148.72		892.65
125001660	10 314	ISHARES MSCI HONG KONG INDEX FUND	03/19/09 03/26/09	05/08/09	128.79 4,044.16	99.64 3,338.61		29.15 705.55
125001670	195 9	ISHARES TRFTSE XINHAI HK CHINA 25 INDEX	01/24/08 01/29/08	01/06/09	6,157.17 284.18	9,685.03 441.14	(3,527.86) (156.96)	
125001680	84 31	ISHARES TRFTSE XINHAI HK CHINA 25 INDEX	01/29/08 05/07/08	01/26/09	2,062.13 761.03	4,117.29 1,635.66	(2,055.16) (874.63)	
125001690	130	ISHARES TRFTSE XINHAI HK CHINA 25 INDEX	05/07/08	02/13/09	3,485.66	6,859.20	(3,373.54)	
125001700	154 111 94	ISHARES TRFTSE XINHAI HK CHINA 25 INDEX	05/07/08 05/09/08 06/24/08	02/24/09	3,915.84 2,822.45 2,390.19	8,125.52 5,628.96 4,185.28	(4,209.68) (2,806.51) (1,795.09)	
125001710	17 68	ISHARES TRFTSE XINHAI HK CHINA 25 INDEX	06/24/08 06/27/08	03/03/09	393.14 1,572.57	756.91 2,929.86	(363.77) (1,357.29)	
125001720	62	ISHARES TRFTSE XINHAI HK CHINA 25 INDEX	06/27/08	03/04/09	1,545.19	2,671.34	(1,126.15)	
125001730	14 60 63 31	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX	06/27/08 07/07/08 07/10/08 07/30/08	03/25/09	406.25 1,741.05 1,828.10 899.54	603.21 2,611.21 2,771.87 1,427.70	(196.96) (870.16) (943.77) (528.16)	
125001740	102	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX	07/30/08	04/03/09	3,139.63	4,697.60	(1,557.97)	
125001750	100 91 16 46 108	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX	07/30/08 09/18/08 09/24/08 09/25/08 09/26/08	05/18/09	3,547.01 3,227.78 567.52 1,631.62 3,830.77	4,605.49 3,010.34 575.06 1,707.18 3,846.68	(1,058.48) (7.54) (75.56) (15.91)	217.44



Ref 00000018 00006121

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001760	5	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX Morgan Stanley Smith Barney LLC acted as your agent	10/08/08	09/02/09	\$ 2,341.03	\$ 1,895.08		\$ 445.95
	112		10/09/08		3,972.65	3,143.77		828.88
	125		10/16/08		4,433.76	3,374.75		1,059.01
	23		10/24/08		815.81	499.56		316.25
	173		10/28/08		6,136.32	3,624.28		2,512.04
	79		10/31/08		2,802.14	2,005.57		796.57
125001770	12	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX Morgan Stanley Smith Barney LLC acted as your agent	10/31/08	10/27/09	527.18	304.64		222.54
	18		11/06/08		790.77	424.06		366.71
125001800	19	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX Morgan Stanley Smith Barney LLC acted as your agent	01/14/09	12/11/09	824.73	473.86		350.87
125001810	101	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX Morgan Stanley Smith Barney LLC acted as your agent	01/14/09	12/14/09	4,410.90	2,518.92		1,891.98
	1		01/29/09		43.67	25.05		18.62
125001820	13	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX Morgan Stanley Smith Barney LLC acted as your agent	01/29/09	12/18/09	540.55	325.65		214.90
125001830	0206	ITAU UNIBANCO BANCO MULTIPLO SA ADR	05/15/08	04/03/09	.26	46	(.20)	
	0083		05/29/08		.10	19	(.09)	
	002	MERGER 04/03/09 90458E107001	05/29/08		.03	05	(.02)	
	0242		06/27/08		.30	53	(.23)	
	0484		07/17/08		.60	107	(.47)	
	033		07/22/08		.41	71	(.30)	
	0363		08/11/08		.45	76	(.31)	
	0291		12/09/08		.36	35		01
	0536		12/17/08		.67	68	(.01)	
125001850	23,731	ITAU UNIBANCO BANCO MULTIPLO SA ADR	05/29/08	05/18/09	337.49	555.17	(217.68)	
	5,7469		05/29/08		81.73	148.17	(66.44)	
	64,3333		06/27/08		914.93	1,413.43	(498.50)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006122

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001860	0722 .1322	ITAU UNIBANCO BANCO HLDG S A ADR	12/09/08 12/17/08	09/03/09	\$ 1.25 2.31	\$.79 1.52		\$.46 .79
		CASH IN LIEU OF .50000 RECORD 09/02/09 PAY 09/03/09						
125001880	18 12	KB FINANCIAL GROUP INC ADR	04/09/08 04/16/08	03/04/09	332.63 221.76	1,135.82 808.24	(803.19) (586.48)	
125001890	4 39 28 8 119 24	KB FINANCIAL GROUP INC ADR	06/12/08 10/13/08 10/28/08 11/17/08 02/03/09 03/30/09	05/18/09	149.88 1,461.31 1,049.15 298.76 4,458.87 899.27	236.75 1,618.26 705.08 179.85 3,054.24 571.95	(86.87) (156.95)	344.07 119.91 1,404.63 327.32
125001900	12	KB FINANCIAL GROUP INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/30/09	12/18/09	602.31	285.98		316.33
125001920	203	MELCO CROWN ENTERTAINMENT LTD-ADR MorganStanley SmithBarney LLC acted as your agent	09/28/09	12/18/09	737.26	1,481.15	(743.89)	
125001930	28	MOBILE TELESYSTEMS OJSC SPON ADR	01/22/08	01/02/09	751.69	2,345.59	(1,593.90)	
125001940	11	MOBILE TELESYSTEMS OJSC SPON ADR	03/19/08	03/04/09	262.68	849.31	(586.63)	
125001950	13	MOBILE TELESYSTEMS OJSC SPON ADR	03/19/08	03/13/09	361.61	1,003.73	(642.12)	
125001960	88 32	MOBILE TELESYSTEMS OJSC SPON ADR	06/05/08 07/23/08	05/18/09	3,142.40 1,142.68	7,681.29 2,355.43	(4,538.89) (1,212.75)	
125001970	1 4	MOBILE TELESYSTEMS OJSC SPON ADR Morgan Stanley Sml th Barney LLC acted as yo	08/07/08 09/22/08	08/07/09	43.69 174.74	71.82 254.82	(28.13) (79.88)	
125001980	25	MOBILE TELESYSTEMS OJSC SPON ADR Morgan Stanley Sml th Barney LLC acted as yo	09/22/08	09/16/09	1,228.29	1,591.37	(363.08)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006123

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002010	13	NASPERS LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/29/09	12/18/09	\$ 514.61	\$ 445.02		\$ 69.59
125002020	10	LUKOIL OIL SPONS ADR	04/04/08	01/05/09	387.12	833.51	(446.39)	
	39		04/08/08		1,509.76	3,314.77	(1,805.01)	
	29		04/10/08		1,122.64	2,551.52	(1,428.88)	
	7		05/08/08		270.98	687.37	(416.39)	
125002030	10	LUKOIL OIL SPONS ADR	05/22/08	05/18/09	472.99	1,090.18	(617.19)	
	17		06/05/08		804.08	1,747.49	(943.41)	
	6		06/16/08		283.79	628.86	(345.07)	
	18		08/05/08		851.38	1,428.82	(577.44)	
	93		08/22/08		4,398.79	6,961.05	(2,562.26)	
	8		08/26/08		378.38	548.56	(170.18)	
125002060	488	ROSNEFT OIL CO OAO REGS	12/10/08	05/19/09	2,763.64	2,127.68		635.96
	535	GLOBAL DEPOSITARY RECEIPT	12/11/08		3,029.81	2,264.49		765.32
125002070	70	ROSNEFT OIL CO OAO REGS GLOBAL DEPOSITARY RECEIPT MorganStanley SmithBarney LLC acted as your agent	12/11/08	10/27/09	578.21	296.29		281.92
125002110	26	VIMPEL COMMUNICATIONS SP ADR	05/12/08	05/01/09	255.11	861.68	(606.57)	
125002120	109	VIMPEL COMMUNICATIONS SP	07/17/08	05/18/09	1,206.81	3,004.47	(1,797.66)	
	162	ADR	08/07/08		1,793.61	4,049.35	(2,255.74)	
	132		09/22/08		1,461.47	2,922.94	(1,461.47)	
125002130	31	VIMPEL COMMUNICATIONS SP	09/22/08	05/28/09	407.23	686.45	(279.22)	
	33	ADR	12/03/08		433.51	281.18		152.33
125002140	38	VIMPEL COMMUNICATIONS SP	12/03/08	06/17/09	410.80	323.78		87.02
	24	ADR Morgan Stanley Smi th Barney LLC acted as yo	01/14/09		259.46	171.35		88.11
125002150	55	VIMPEL COMMUNICATIONS SP ADR Morgan Stanley Smi th Barney LLC acted as yo	01/14/09	08/07/09	800.91	392.68		408.23

2009 YEAR END SUMMARY



Ref: 00000018 00006124

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002160	13 27	VIMPEL COMMUNICATIONS SP ADR Morgan Stanley Sml th Barney LLC acted as yo	01/14/09 03/13/09	09/22/09	\$ 250.74 520.76	\$ 92.82 150.51		\$ 157.92 370.25
125002210	133	PT TELEKOMUNAKASI INDONESIA SPONS ADR	03/02/09	05/18/09	3,813.54	2,729.96		1,083.58
125002220	71	PT TELEKOMUNAKASI INDONESIA SPONS ADR MorganStanley SmithBarney LLC acted as your agent	03/02/09	10/14/09	2,618.93	1,457.34		1,161.59
125002230	8	PETROCHINA CO LTD ADR	12/09/08	03/04/09	560.15	709.13	(148.98)	
125002240	10 35 15	PETROCHINA CO LTD ADR	12/09/08 12/10/08 12/11/08	05/19/09	1,079.87 3,779.55 1,619.81	886.41 3,259.34 1,414.88		193.46 520.21 204.93
125002250	5 10	PETROCHINA CO LTD ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/11/08 04/08/09	08/25/09	560.44 1,120.89	471.62 828.08		88.82 292.81
125002260	4	PETROCHINA CO LTD ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/09	10/27/09	521.83	331.23		190.60
125002330	67	PHILLIPPINE LONG DISTANCE TEL CO SPONS ADR -USD-	11/03/08	05/18/09	3,198.49	2,825.92		372.57
125002380	172	SK TELECOM LTD SPON ADR	01/08/09	02/05/09	2,780.31	2,990.43	(210.12)	
125002390	33 4 87 90	SK TELECOM LTD SPON ADR	01/08/09 01/09/09 01/14/09 01/26/09	05/18/09	530.46 64.30 1,398.49 1,446.71	573.74 67.37 1,452.85 1,549.63	(43.28) (3.07) (54.36) (102.92)	
125002400	24	SK TELECOM LTD SPON ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/26/09	09/02/09	374.17	413.23	(39.06)	
125002470	28	SASOL LTD SPONS ADR	12/01/08	03/04/09	686.55	736.12	(49.57)	
125002480	90 84	SASOL LTD SPONS ADR	12/01/08 12/10/08	05/18/09	3,144.34 2,934.71	2,366.12 2,516.43		778.22 418.28



Ref: 00000018 00006125

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002490	19	SASOL LTD SPONS ADR	12/10/08	10/15/09	\$ 783.98	\$ 569.19		\$ 214.79
	6	MorganStanley SmithBarney LLC	12/11/08		247.57	182.43		65.14
	6	acted as your agent in this transaction.	12/15/08		247.57	173.13		74.44
125002520	297	SHINHAN FINANCIAL GRP CO LTD ADR	02/18/09	02/18/09	249.63	.01		249.62
125002540	20	SALE OF RTS ON 297,0000 SHS SHINHAN FINANCIAL GRP CO LTD ADR	05/30/08	05/18/09	1,044.21	2,001.05	(956.84)	
125002570	175 6416 563 51	SILICONWARE PRECISION INDS SPON ADR	04/11/08 08/11/08 08/12/08	02/06/09	837.89 2,685.77 243.30	1,514.13 4,073.85 356.82	(676.24) (1,388.08) (113.52)	
125002580	7 10	SOUTHERN COPPER CORP DEL	02/12/08 02/13/08	01/22/09	100.80 144.01	306.02 333.13	(205.22) (189.12)	
125002620	99	STERLITE INDUSTRIES INDIA LTD ADS	05/30/08	05/18/09	1,150.75	2,184.47	(1,033.72)	
125002630	55 34	STERLITE INDUSTRIES INDIA LTD ADS	05/30/08 10/09/08	05/19/09	615.79 380.67	1,213.59 220.86	(597.80)	159.81
125002650	15 49	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	02/06/09 03/26/09	05/18/09	157.71 515.17	124.80 449.01		32.91 66.16
125002660	5949	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	03/26/09	08/14/09	6.15	5.42		.73
125002670	64	CASH IN LIEU OF .59490 RECORD 07/17/09 PAY 08/14/09 TAIWAN SEMICONDUCTOR MFG CO LTD ADR	03/26/09	12/18/09	705.64	583.54		122.10
125002680	282	TATA MOTORS LTD SPONS ADR	02/29/08	01/23/09	1,149.22	4,967.43	(3,818.21)	
125002690	298	TATA MOTORS LTD SPONS ADR	02/29/08	02/12/09	1,106.40	5,249.27	(4,142.87)	
125002700	395	TELEKOMUNIKACJA POLSKA GDR REG S	05/18/09	05/19/09	2,098.87	2,168.55	(69.68)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006126

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002710	71	TELEKOMUNIKACJA POLSKA GDR REG S MorganStanley SmithBarney LLC acted as your agent	05/18/09	10/27/09	\$ 460.95	\$ 389.79		\$ 71.16
125002720	258 70	TELEKOMUNIKACJA POLSKA GDR REG S MorganStanley SmithBarney LLC acted as your agent	05/18/09 10/30/09	12/01/09	1,515.69 411.24	1,416.42 424.20	(12.96)	99.27
125002730	46	TELKOM SA LTD SPONSORED ADR-USD	03/02/09	05/18/09	2,517.97	1,808.02		709.95
125002740	31	TELKOM SA LTD SPONSORED ADR-USD SALE OF RTS ON 31,0000 SHS	06/18/09	06/18/09	768.73	.01		768.72
125002750	31	TELKOM SA LTD SPONSORED ADR-USD MorganStanley SmithBarney LLC acted as your agent	03/02/09	12/01/09	640.42	1,218.44	(578.02)	
125002760	87	TEVA PHARMACEUTICAL INDS LTD ADR	10/15/08	02/04/09	3,684.23	3,458.60		225.63
125002770	16 25 47 61 21	TEVA PHARMACEUTICAL INDS LTD ADR	10/15/08 11/06/08 01/06/09 01/13/09 01/22/09	05/18/09	712.79 1,113.73 2,093.82 2,717.51 935.54	636.06 1,003.04 1,971.89 2,563.95 893.07		76.73 110.69 121.93 153.56 42.47
125002780	12	TEVA PHARMACEUTICAL INDS LTD ADR Morgan Stanley Smit th Barney LLC acted as yo	01/22/09	09/02/09	610.08	510.32		99.76
125002790	10	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as your agent	01/22/09	12/18/09	526.64	425.27		101.37
125002800	75 99 160	TURKCELL ILETISM HIZMET ADR	06/23/08 07/01/08 08/11/08	05/18/09	941.56 1,242.86 2,008.68	1,194.99 1,439.60 2,835.47	(253.43) (196.74) (826.79)	
125002870	15,2731 139,7585 47,9684	VIVO PARTICIPACOES SA ADR	06/19/08 09/03/08 09/24/08	03/03/09	228.46 2,090.55 717.53	409.17 2,884.83 809.59	(180.71) (794.28) (92.06)	

2009 YEAR END SUMMARY



Ref 00000018 00006127

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002880	285	VIVO PARTICIPACIOES SA ADR SALE OF RTS ON 285 0000 SHS RECORD 03/25/09 PAY 04/03/09	03/25/09	03/25/09	\$ 5.82	\$.01		\$ 5.81
125002890	115 42	VIVO PARTICIPACIOES SA ADR	09/24/08 10/23/08	05/18/09	2,152.11 785.99	1,940.93 376.32		211.18 409.67
125002910	8	WYNN RESORTS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	09/30/09	12/18/09	481.67	567.26	(85.59)	
125002920	400 5 242	YANZHOU COAL MINING CO LTD SPONS ADR REPSTG H SHS	02/26/08 06/12/08 08/19/08	02/06/09	3,056.98 38.21 1,849.48	6,717.66 99.79 3,493.49	(3,660.68) (61.58) (1,644.01)	
125002930	19	YANZHOU COAL MINING CO LTD SPONS ADR REPSTG H SHS MorganStanley SmithBarney LLC acted as your agent	10/22/09	12/18/09	397.93	298.29		99.64
Total					\$ 512,056.35	\$ 557,910.83	(\$ 122,825.06)	\$ 76,970.58

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000150	19 29	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	03/07/07 03/09/07	03/03/09	\$ 457.24 697.89	\$ 816.80 1,290.25	(\$ 359.56) (592.36)	
125000160	9 34 66 62 20 40 42	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	03/09/07 03/19/07 03/30/07 07/25/07 08/23/07 10/17/07 10/25/07	05/18/09	333.90 1,261.40 2,448.60 2,300.20 742.00 1,484.00 1,558.20	400.42 1,540.07 3,156.72 3,826.84 1,177.00 2,697.48 2,690.14	(66.52) (278.67) (708.12) (1,526.64) (435.00) (1,213.48) (1,131.94)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006128

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000170	6	AMERICA MOVIL S.A.B DE CV	10/25/07	12/18/09	\$ 281.23	\$ 384.30	(\$ 103.07)	
	13	SER L SPONS ADR MorganStanley SmithBarney LLC acted as your agent	11/12/07		609.34	726.57	(117.23)	
125000280	52	BANCO BRADESCO SPONS ADR (NEW) MorganStanley SmithBarney LLC acted as your agent	10/09/08	10/28/09	1,020.27	602.52		417.75
125000290	46	BANCO BRADESCO SPONS ADR (NEW) MorganStanley SmithBarney LLC acted as your agent	10/09/08	11/23/09	962.06	533.01		429.05
125000440	113	BRASIL TELECOM PARTICIPACO SA SPONSORED ADR	02/25/08	05/18/09	4,742.48	7,939.27	(3,196.79)	
125000580	18	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR Morgan Stanley Sml th Barney LLC acted as yo	07/24/08	09/02/09	1,135.83	1,037.14		98.69
125000590	20	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	07/24/08	09/25/09	1,321.59	1,152.38		169.21
125000600	26	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	07/24/08	10/01/09	1,657.67	1,498.09		159.58
125000610	18	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	07/24/08	10/27/09	1,306.57	1,037.14		269.43
125000620	7	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR	07/24/08	12/08/09	543.53	403.33		140.20
	10	MorganStanley SmithBarney LLC acted as your agent	09/10/08		776.47	549.22		227.25
125000630	16	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR	09/10/08	12/16/09	1,207.25	878.74		328.51
	16	MorganStanley SmithBarney LLC acted as your agent	09/18/08		1,207.25	823.84		383.41



Ref. 00000018 00006129

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000640	16	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	09/18/08	12/18/09	\$ 1,170.15	\$ 823.84		\$ 346.31
125000650	35	CHINA MOBILE LTD SPONSORED ADR	01/24/07	01/27/09	1,602.23	1,755.60	(153.37)	
125000660	3	CHINA MOBILE LTD SPONSORED ADR	01/24/07	02/06/09	149.75	150.48	(.73)	
125000670	85	CHINA MOBILE LTD SPONSORED ADR	05/11/07		4,243.00	4,037.78		205.22
	14	CHINA MOBILE LTD SPONSORED ADR	05/11/07	03/03/09	581.80	665.05	(83.25)	
125000680	10	CHINA MOBILE LTD SPONSORED ADR	05/11/07	05/18/09	475.20	475.03		.17
	24	CHINA MOBILE LTD SPONSORED ADR	05/14/07		1,140.49	1,132.78		7.71
	156	CHINA MOBILE LTD SPONSORED ADR	07/12/07		7,413.18	8,999.81	(1,586.63)	
	45	CHINA MOBILE LTD SPONSORED ADR	07/24/07		2,138.42	2,623.37	(484.95)	
	16	CHINA MOBILE LTD SPONSORED ADR	11/01/07		760.33	1,605.40	(845.07)	
	17	CHINA MOBILE LTD SPONSORED ADR	01/29/08		807.85	1,293.97	(486.12)	
	22	CHINA MOBILE LTD SPONSORED ADR	04/03/08		1,045.45	1,758.02	(712.57)	
	46	CHINA MOBILE LTD SPONSORED ADR	04/04/08		2,185.94	3,808.64	(1,622.70)	
	47	CHINA MOBILE LTD SPONSORED ADR	05/09/08		2,233.46	3,913.62	(1,680.16)	
125000690	45	CHINA MOBILE LTD SPONSORED ADR	06/12/08	10/16/09	2,252.40	3,099.71	(847.31)	
	1	CHINA MOBILE LTD SPONSORED ADR	08/18/08		50.05	59.79	(9.74)	
	5	MorganStanley SmithBarney LLC acted as your agent	09/19/08		250.27	268.87	(18.60)	
	40	CHINA MOBILE LTD SPONSORED ADR	09/22/08		2,002.13	2,144.40	(142.27)	
125000700	7	CHINA MOBILE LTD SPONSORED ADR	09/22/08	10/19/09	358.65	375.27	(16.62)	
		MorganStanley SmithBarney LLC acted as your agent						
125000710	36	CHINA MOBILE LTD SPONSORED ADR	09/22/08	10/21/09	1,800.76	1,929.95	(129.19)	
		MorganStanley SmithBarney LLC acted as your agent						
125000770	58	CHUNGHWA TELECOM CO LTD SPONS ADR	01/24/07	02/03/09	864.19	971.06	(106.87)	
125000780	1626	CHUNGHWA TELECOM LTD ADR	01/24/07	03/20/09	3.04	3.26	(.22)	
	0548	MERGER 03/20/09 17133Q304001	06/06/07		1.02	1.00		.02
	.0065		01/28/08		.12	.13	(.01)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006130

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y									
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain	
125000790	152.1009 51.2995 6.0432	CHUNGHWA TELECOM LTD ADR	01/24/07 06/06/07 01/28/08	05/18/09	\$ 2,757.90 930.16 109.58	\$ 3,048.55 932.19 123.97	(\$ 290.65) (2.03) (14.39)		
125000810	40	COMPANHIA ENERGETICA DE MINAS SP ADR	04/04/08	05/18/09	520.00	777.50	(257.50)		
125000820	23	COMPANHIA ENERGETICA DE MINAS SP ADR MorganStanley SmithBarney LLC acted as your agent	10/15/08	10/28/09	363.17	345.74		17.43	
125000830	113.25 2	COMPANHIA ENERGETICA DE MINAS SP ADR MorganStanley SmithBarney LLC acted as your agent	Unavailable 12/09/08	12/11/09	2,217.51 39.16	29.20		9.96	
125000870	5 1	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR MorganStanley SmithBarney LLC acted as your agent	10/15/08 10/29/08	12/18/09	480.48 96.10	225.07 46.41		255.41 49.69	
125000880	23 36 12 21	COMPANHIA VALE DI RIO ADR DOCE	08/18/06 08/29/06 09/21/06 01/24/07	01/30/09	331.96 519.59 173.20 303.09	257.82 379.56 122.10 346.54	(43.45)	74.14 140.03 51.10	
125000890	63	COMPANHIA VALE DI RIO ADR DOCE	01/24/07	03/03/09	759.57	1,039.63	(280.06)		
125000900	44	COMPANHIA VALE DI RIO ADR DOCE	01/24/07	03/04/09	576.48	726.09	(149.61)		
125000910	702	COMPANHIA VALE DI RIO ADR DOCE	01/24/07	05/18/09	13,017.20	11,584.44		1,432.76	
125000970	21 79	DESARROLLADORA HOMEX S.A DE C.V ADR-USD	11/27/07 01/14/08	05/18/09	486.45 1,829.99	968.89 3,921.16	(482.44) (2,091.17)		
125000980	20 5	DESARROLLADORA HOMEX S.A DE C.V ADR-USD Morgan Stanley Sml th Barney LLC acted as yo	01/14/08 01/15/08	06/11/09	572.63 143.16	992.70 247.86	(420.07) (104.70)		
125001010	11 107 6	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR	02/14/08 02/25/08 02/26/08	05/18/09	347.98 3,384.86 189.80	459.70 4,603.99 258.86	(111.72) (1,219.13) (69.06)		



Ref: 00000018 00006131

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001020	16	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR MorganStanley SmithBarney LLC acted as your agent	09/19/08	10/02/09	\$ 712.08	\$ 656.57		\$ 55.51
125001060	29 107 20 46	GAZPROM OAO SPONS ADR	01/24/07 02/07/07 02/22/07 02/23/07	01/05/09	481.86 1,777.90 332.32 764.33	1,267.30 4,644.87 859.80 2,000.52	(785.44) (2,866.97) (527.48) (1,236.19)	
125001070	13 77	GAZPROM OAO SPONS ADR	02/23/07 03/22/07	01/06/09	233.55 1,383.30	565.36 3,099.25	(331.81) (1,715.95)	
125001080	51 10 78 26 38 11 61 45 24 43 23 2 38 67 62 2	GAZPROM OAO SPONS ADR	03/22/07 04/13/07 06/04/07 06/14/07 06/18/07 07/12/07 07/13/07 08/08/07 11/28/07 12/03/07 12/05/07 12/10/07 12/18/07 01/31/08 03/14/08 05/09/08	05/18/09	1,081.68 212.09 1,654.34 551.45 805.96 233.30 1,293.78 954.43 509.03 912.01 487.82 42.42 805.96 1,421.03 1,314.99 42.42	2,052.75 423.27 2,985.07 1,038.70 1,566.36 492.16 2,763.30 1,983.12 1,224.04 2,281.15 1,304.40 117.62 2,151.58 3,227.77 3,236.66 122.24	(971.07) (211.18) (1,330.73) (487.25) (760.40) (258.86) (1,469.52) (1,028.69) (715.01) (1,369.14) (816.58) (75.20) (1,345.62) (1,806.74) (1,921.67) (79.82)	
125001100	16	GAZPROM OAO SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/09/08	10/27/09	412.03	521.48	(109.45)	
125001110	27	GAZPROM OAO SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/09/08	12/18/09	642.60	880.00	(237.40)	
125001120	109	GERDAU SA SPONS ADR	01/24/07	03/04/09	567.01	896.21	(329.20)	
125001130	206 50 78	GERDAU SA SPONS ADR	01/24/07 09/06/07 02/25/08	05/18/09	1,770.01 429.61 670.20	1,693.75 602.60 1,226.62	(76.26) (172.99) (556.42)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006132

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001140	41	GERDAU SA SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/08	12/18/09	\$ 665.95	\$ 996.56	(\$ 330.61)	
125001240	689.9008 13.798 13.798 27.596 2,138.6927 124.1821 48.293 44.7394	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S	08/30/06 11/16/06 12/16/06 01/12/07 01/24/07 02/08/07 08/10/07 11/14/07	05/19/09	4,748.24 94.96 94.96 189.93 14,719.55 854.68 332.38 307.93	5,629.43 139.68 140.08 291.88 23,526.24 1,276.17 564.37 512.66	(881.19) (44.72) (45.12) (101.95) (8,806.69) (421.49) (231.99) (204.73)	
125001250	.059 .1037 .1405 .0599 .0106 .0124 .0046 .3193	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S CASH IN LIEU OF .80000 RECORD 06/02/09 PAY 07/31/09	11/14/07 11/30/07 12/13/07 01/07/08 02/29/08 05/07/08 05/20/08 05/29/08	07/31/09	.44 .78 1.05 .45 .08 .09 .03 2.39	.59 1.00 1.19 .47 .10 .10 .04 2.73	(.15) (.22) (.14) (.02) (.02) (.01) (.01) (.34)	
125001260	169.3163 255.6837	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S MorganStanley SmithBarney LLC acted as your agent	11/14/07 11/30/07	10/27/09	1,434.14 2,165.70	1,687.08 2,457.50	(252.94) (291.80)	
125001270	10	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S MorganStanley SmithBarney LLC acted as your agent	11/30/07	10/28/09	82.95	96.12	(13.17)	
125001280	31.7321 169.2679	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S MorganStanley SmithBarney LLC acted as your agent	11/30/07 12/13/07	12/18/09	279.58 1,491.35	304.99 1,432.53	(25.41)	58.82
125001320	29	HUANENG POWER INTL SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/04/08	12/18/09	650.99	705.84	(54.85)	
125001340	115 15	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR	10/24/07 01/08/08	01/28/09	1,409.81 183.89	4,044.54 545.42	(2,634.73) (361.53)	



Ref: 00000018 00006133

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001670	119 12	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX	09/06/07 12/04/07	01/06/09	\$ 3,757.45 378.90	\$ 5,962.89 737.94	(\$ 2,205.44) (359.04)	
125001780	33	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX MorganStanley SmithBarney LLC acted as your agent	11/06/08	12/02/09	1,484.31	777.45		706.86
125001790	41	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX MorganStanley SmithBarney LLC acted as your agent	11/06/08	12/03/09	1,829.83	965.92		863.91
125001800	84	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX MorganStanley SmithBarney LLC acted as your agent	11/06/08	12/11/09	3,646.17	1,978.95		1,667.22
125001830	0383 .0888 .0448 0888	ITAU UNIBANCO BANCO MULTIPLO SA ADR MERGER 04/03/09 90458E107001	01/24/07 02/23/07 08/17/07 03/19/08	04/03/09	48 1 10 56 1 10	.64 1 44 .67 1.92	(.16) (.34) (.11) (.82)	
125001840	56	ITAU UNIBANCO BANCO MULTIPLO SA ADR	01/24/07	04/06/09	692.57	935.03	(242.46)	
125001850	53 1914 252.8642 127.5662 252.8642 58 7028	ITAU UNIBANCO BANCO MULTIPLO SA ADR MULTIPLO SA ADR	01/24/07 02/23/07 08/17/07 03/19/08 05/15/08	05/18/09	756.47 3,596.14 1,814.20 3,596.14 834.85	888.14 4,097.57 1,898.15 5,480.21 1,300.12	(131.67) (501.43) (83.95) (1,884.07) (465.27)	
125001860	004 .1199 .0817 09	ITAU UNIBANCO BANCO HLDG S A ADR CASH IN LIEU OF 50000 RECORD 09/02/09 PAY 09/03/09	06/27/08 07/17/08 07/22/08 08/11/08	09/03/09	07 2 08 1.42 1.56	.08 2 41 1 60 1.72	(.01) (.33) (.18) (.16)	
125001870	5 0886 37.9114	ITAU UNIBANCO BANCO HLDG S A ADR MorganStanley SmithBarney LLC acted as your agent	06/27/08 07/17/08	12/18/09	110.67 824.50	101.64 762.64		9.03 61.86
125001890	16	KB FINANCIAL GROUP INC ADR	04/16/08	05/18/09	599.51	1,077.66	(478.15)	
125001910	.041	RTS KB FINANCIAL GP EXP 8/21/2009 RECORD 07/27/09 PAY 07/28/09 FROM. 48241A105001	Unavailable	07/28/09	55			

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006134

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001940	20	MOBILE TELESYSTEMS OJSC SPON ADR	01/22/08	03/04/09	\$ 477.59	\$ 1,675.43	(\$ 1,197.84)	
125001960	78	MOBILE TELESYSTEMS OJSC SPON ADR	03/19/08	05/18/09	2,785.31	6,022.38	(3,237.07)	
125001970	12	MOBILE TELESYSTEMS OJSC SPON ADR Morgan Stanley Sml th Barney LLC acted as yo	07/23/08	08/07/09	524.22	883.29	(359.07)	
125001990	4	MOBILE TELESYSTEMS OJSC SPON ADR MorganStanley SmithBarney LLC acted as your agent	09/22/08	12/18/09	194.51	254.62	(60.11)	
125002000	75 263	MTN GROUP LTD SPONSORED ADR	01/07/08 03/28/08	05/18/09	997.47 3,497.81	1,381.95 4,220.89	(384.48) (723.08)	
125002030	19 25	LUKOIL OIL SPONS ADR	05/09/08 05/12/08	05/18/09	898.68 1,182.47	1,865.73 2,555.10	(967.05) (1,372.63)	
125002040	8	LUKOIL OIL SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/26/08	09/02/09	389.28	548.56	(159.28)	
125002050	13 3	LUKOIL OIL SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/26/08 09/09/08	12/18/09	705.62 162.83	891.40 188.41	(185.78) (25.58)	
125002080	75	ROSNEFT OIL CO OAO REGS GLOBAL DEPOSITORY RECEIPT MorganStanley SmithBarney LLC acted as your agent	12/11/08	12/18/09	632.01	317.45		314.56
125002090	272 22	VIMPEL COMMUNICATIONS SP ADR	01/24/07 07/31/07	01/02/09	2,030.14 164.20	4,699.96 471.59	(2,669.82) (307.39)	
125002100	148 39	VIMPEL COMMUNICATIONS SP ADR	07/31/07 08/08/07	04/13/09	1,406.02 370.50	3,172.52 872.35	(1,766.50) (501.85)	
125002110	181	VIMPEL COMMUNICATIONS SP ADR	08/08/07	05/01/09	1,775.96	4,048.62	(2,272.66)	
125002120	22	VIMPEL COMMUNICATIONS SP ADR	05/12/08	05/18/09	243.58	729.12	(485.54)	
125002170	21	POSCO SPON ADR	06/21/07	03/03/09	1,023.87	2,618.86	(1,594.99)	

2009 YEAR END SUMMARY



Ref: 00000018 00006135

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002180	88	POSCO SPON ADR	04/09/08	05/18/09	\$ 7,317.89	\$ 11,114.87	(\$ 3,796.98)	
	44		04/24/08		3,658.95	5,378.94	(1,719.99)	
125002190	3	POSCO SPON ADR	04/24/08	10/27/09	336.91	366.75	(29.84)	
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/29/08		336.91	359.87	(22.96)	
125002200	6	POSCO SPON ADR	04/29/08	12/18/09	750.78	719.74		31.04
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125002270	164	PETROLEO BRASILEIRO SA ADR	01/24/07	01/26/09	3,436.40	3,594.67	(158.27)	
125002280	37	PETROLEO BRASILEIRO SA ADR	01/24/07	03/04/09	795.93	810.99	(15.06)	
125002290	74	PETROLEO BRASILEIRO SA ADR	01/24/07	05/14/09	2,253.42	1,621.98		631.44
125002300	583	PETROLEO BRASILEIRO SA ADR	01/24/07	05/18/09	18,247.43	12,778.61		5,468.82
125002310	17	PETROLEO BRASILEIRO SA ADR	01/24/07	09/02/09	574.76	372.62		202.14
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002320	31	PETROLEO BRASILEIRO SA ADR	01/24/07	12/18/09	1,307.90	679.48		628.42
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125002340	8	PHILLIPPINE LONG DISTANCE TEL CO SPONS ADR -USD-	11/03/08	12/18/09	441.73	337.42		104.31
		MorganStanley SmithBarney LLC acted as your agent						
125002350	29	RELiance INDS LTD GLOBAL	09/19/07	05/19/09	2,685.07	3,146.05	(460.98)	
	2	DEP RCPTS RULE 144A	09/28/07		185.18	230.46	(45.28)	
	30		10/02/07		2,777.66	3,519.00	(741.34)	
	19		10/22/07		1,759.19	2,322.64	(563.45)	
	10		11/06/07		925.89	1,363.00	(437.11)	
	6		11/30/07		555.53	873.24	(317.71)	
125002360	1	RELiance INDS LTD GLOBAL	11/30/07	09/03/09	78.61	145.54	(66.93)	
	7	DEP RCPTS RULE 144A	12/13/07		550.24	997.74	(447.50)	
	5	Morgan Stanley Sml	02/07/08		393.03	613.73	(220.70)	
	2	th Barney LLC acted as yo	02/29/08		157.20	244.49	(87.29)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006136

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002370	3	RELIANCE INDS LTD GLOBAL	02/29/08	12/18/09	\$ 130.97	\$ 366.73	(\$ 235.76)	
	10	DEP RCPTS RULE 144A	06/04/08		436.58	1,084.67	(648.09)	
	4	MorganStanley SmithBarney LLC	06/23/08		174.63	376.75	(202.12)	
	2		08/11/08		87.32	224.50	(137.18)	
125002410	2	SAMSUNG ELECTRS LTD GDR	02/27/07	02/03/09	353.50	611.22	(257.72)	
	10	1995 REPTG COM 144A	05/02/07		1,767.49	3,075.50	(1,308.01)	
125002420	7	SAMSUNG ELECTRS LTD GDR	05/24/07	03/04/09	1,081.95	2,103.15	(1,021.20)	
	3	1995 REPTG COM 144A	05/31/07		463.69	862.61	(398.92)	
125002430	5	SAMSUNG ELECTRS LTD GDR	05/31/07	05/19/09	1,137.38	1,437.68	(300.30)	
	6	1995 REPTG COM 144A	07/19/07		1,364.86	2,092.18	(727.32)	
	1		08/24/07		227.48	314.88	(87.40)	
	3		08/31/07		682.43	943.68	(261.25)	
	33		09/28/07		7,506.73	10,355.40	(2,848.67)	
	3		10/02/07		682.43	955.91	(273.48)	
	6		12/13/07		1,364.86	1,899.22	(534.36)	
	7		02/11/08		1,592.34	2,145.26	(552.92)	
	11		02/13/08		2,502.24	3,336.30	(834.06)	
	3		02/29/08		682.43	895.79	(213.36)	
	6		04/25/08		1,364.86	2,081.14	(716.28)	
	5		04/29/08		1,137.39	1,762.75	(625.36)	
125002440	2	SAMSUNG ELECTRS LTD GDR	04/29/08	09/03/09	617.76	705.10	(87.34)	
	1	1995 REPTG COM 144A	05/20/08		308.88	339.68	(30.80)	
		Morgan Stanley Sml th Barney LLC acted as yo						
125002450	2	SAMSUNG ELECTRS LTD GDR	05/20/08	10/27/09	620.75	679.35	(58.60)	
		1995 REPTG COM 144A						
		MorganStanley SmithBarney LLC acted as your agent						
125002460	5	SAMSUNG ELECTRS LTD GDR	05/20/08	12/18/09	1,646.33	1,698.39	(52.06)	
	1	1995 REPTG COM 144A	05/30/08		329.27	355.76	(26.49)	
		MorganStanley SmithBarney LLC acted as your agent						
125002500	41	SHINHAN FINANCIAL GRP CO LTD ADR	02/22/07	01/08/09	1,972.86	5,061.02	(3,088.16)	
125002510	7	SHINHAN FINANCIAL GRP CO	02/22/07	01/22/09	267.40	864.08	(596.68)	
	6	LTD ADR	02/27/07		229.20	690.62	(461.42)	
	49		10/30/07		1,871.79	6,388.69	(4,516.90)	
	4		11/06/07		152.80	488.40	(335.60)	
	59		11/29/07		2,253.79	* 6,439.77	(4,185.98)	



Ref: 00000018 00006137

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	44		12/04/07		\$ 1,680.79	\$ 4,604.79	(\$ 2,924.00)	
	18		12/14/07		687.60	2,036.92	(1,349.32)	
	11		12/27/07		420.20	1,263.33	(843.13)	
125002530	22	SHINHAN FINANCIAL GRP CO LTD ADR	12/27/07	03/03/09	605.47	2,526.65	(1,921.18)	
125002540	27	SHINHAN FINANCIAL GRP CO LTD ADR	12/27/07	05/18/09	1,409.68	3,100.89	(1,691.21)	
	20		12/31/07		1,044.20	2,297.74	(1,253.54)	
	10		01/02/08		522.10	1,106.44	(584.34)	
	4		01/10/08		208.84	406.03	(197.19)	
	14		01/14/08		730.94	1,376.54	(645.60)	
	45		04/09/08		2,349.46	4,961.89	(2,612.43)	
	26		04/17/08		1,357.47	2,928.98	(1,571.51)	
125002550	9	SHINHAN FINANCIAL GRP CO LTD ADR	05/30/08	09/02/09	610.55	900.47	(289.92)	
		Morgan Stanley Sml th Barney LLC acted as yo						
125002560	4	SHINHAN FINANCIAL GRP CO LTD ADR	05/30/08	12/18/09	303.54	400.21	(96.67)	
		MorganStanley SmithBarney LLC acted as your agent						
125002570	252,5282	SILICONWARE PRECISION INDS 302 8302 SPON ADR	06/29/07	02/06/09	1,204.68	2,717.01	(1,512.33)	
			01/08/08		1,444.64	2,357.49	(912.85)	
125002590	92	SOUTHERN COPPER CORP DEL	02/13/08	05/18/09	1,744.46	3,064.80	(1,320.34)	
	63		02/19/08		1,194.57	2,305.92	(1,111.35)	
125002600	15	SOUTHERN COPPER CORP DEL	02/19/08	06/11/09	372.08	549.03	(176.95)	
	25	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/06/08		620.13	942.22	(322.09)	
125002610	16	SOUTHERN COPPER CORP DEL	03/06/08	08/14/09	436.97	603.02	(166.05)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125002620	63	STERLITE INDUSTRIES INDIA LTD ADS	11/01/07	05/18/09	732.29	1,584.57	(852.28)	
	32		02/28/08		371.96	689.00	(317.04)	
125002640	72	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	05/11/07	03/03/09	549.32	741.41	(192.09)	



Ref: 00000018 00006138

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002650	37,641 55,502 536,857	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	05/11/07 05/14/07 05/22/07	05/18/09	\$ 395.75 583.53 5,644.37	\$ 387.60 570.83 5,616.01		\$ 8.15 12.70 28.36
125002800	114 150	TURKCELL ILETISM HIZMET ADR	05/09/08 05/14/08	05/18/09	1,431.17 1,883.12	2,021.76 2,782.46	(590.59) (899.34)	
125002810	62	TURKCELL ILETISM HIZMET ADR Morgan Stanley Sml th Barney LLC acted as yo	08/11/08	09/17/09	1,044.61	1,098.75	(54.14)	
125002820	60	TURKCELL ILETISM HIZMET ADR MorganStanley SmithBarney LLC acted as your agent	08/11/08	11/06/09	1,002.14	1,063.30	(61.16)	
125002830	57 7	TURKCELL ILETISM HIZMET ADR MorganStanley SmithBarney LLC acted as your agent	08/11/08 09/19/08	11/09/09	959.26 117.80	1,010.14 110.76	(50.88)	7.04
125002840	36	TURKCELL ILETISM HIZMET ADR MorganStanley SmithBarney LLC acted as your agent	09/19/08	12/18/09	590.44	569.62		20.82
125002850	24	UNIBANCO UNIAO DE BANCOS BRASILEIROS SA *UBB-USD	01/24/07	01/16/09	1,403.09	2,303.76	(900.67)	
125002860	40	VALE S A SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/24/07	12/18/09	1,114.20	660.08		454.12
125002900	17	VIVO PARTICIPACOES SA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/23/08	10/27/09	448.71	152.32		296.39
Total					\$ 309,098.51	\$ 437,171.67	(\$ 147,212.03)	\$ 16,920.81



Ref: 00000018 00006139

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	03/31/09	CONSULTING & ADVISORY SERVICES FROM 03/02/09 TO 03/31/09	\$ 30.82
210000300	09/30/09	CONSULTING & ADVISORY SERVICES FROM 09/02/09 TO 09/30/09	20.26
210000500	12/31/09	CONSULTING & ADVISORY SERVICES FROM 12/23/09 TO 12/31/09	11.84
Total			\$ 787.69

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/02/09	AFRICAN BANK INVESTMENTS ADR ADR FEE OF .02000 PER SHR RECORD 12/19/08 PAY 01/02/09		\$ 32.42
220000300	01/15/09	GAZPROM OAO SPONS ADR ADR FEE OF .02000 PER SHR RECORD 05/08/08 PAY 01/15/09		34.46
220000500	02/09/09	BANCO ITAU HOLDING FINANCEIRA S A SPONS ADR ADR FEE OF 01000 PER SHR RECORD 11/26/08 PAY 02/09/09		3.04
220000700	03/02/09	FROM 232-0421B-01 TO 232-71053-01		23,617.54
220000900	03/13/09	BANCO BRADESCO SPONS ADR (NEW) ADR FEE OF 02000 PER SHR RECORD 12/11/08 PAY 03/13/09		9.68
220001100	03/23/09	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR ADR FEE OF .01200 PER SHR RECORD 03/13/09 PAY 03/23/09		3.19

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Date	Description	Referral number	Amount
210000200	05/29/09	CONSULTING & ADVISORY SERVICES FROM 05/26/09 TO 06/30/09		\$ 702.74
210000400	10/30/09	CONSULTING & ADVISORY SERVICES FROM 10/26/09 TO 12/31/09		22.03
220000200	01/08/09	ALUMINUM CORP OF CHINA ADR ADR FEE OF 02000 PER SHR RECORD 09/25/08 PAY 01/08/09		\$ 8.34
220000400	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		2,525.89
220000600	03/02/09	FROM 232-0421B-01 TO 232-71053-01		1,382.46
220000800	03/03/09	SAMSUNG ELECTRS LTD GDR 1995 REPSTG COM 144A ADR FEE OF 0.0235 PER SHARE RD 06/30/08 , PAY DT 09/02/08		5.31
220001000	03/20/09	SHINHAN FINANCIAL GRP CO LTD ADR ADR FEE OF .02000 PER SHR RECORD 02/18/09 PAY 03/16/09		5.94
220001200	03/23/09	POSCO SPON ADR ADR FEE OF .00350 PER SHR RECORD 12/30/08 PAY 03/23/09		89



Ref: 00000018 00006140

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Deposits and Withdrawals 2009 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
220001300	03/26/09	EVRAZ GROUP S A-USD SPONSORED GDR REG S GDR FEE OF .02000 PER SHR RECORD 09/18/08 PAY 02/27/09		\$ 3.52
220001500	04/06/09	VIVO PARTICIPACOES SA ADR ADR FEE OF .00200 PER SHR RECORD 03/25/09 PAY 04/03/09		.57
220001700	04/16/09	MTN GROUP LTD SPONSORED ADR ADR FEE OF .02000 PER SHR RECORD 04/03/09 PAY 04/16/09		10.90
220001900	04/20/09	SAMSUNG ELECTRS LTD GDR 1995 REPSTG COM 144A GDR FEE OF .02350 PER SHR RECORD 12/31/08 PAY 04/20/09		3.81
220002100	05/01/09	HENDERSON LAND DEV LTD SPONS ADR -USD- ADR FEE OF .00770 PER SHR RECORD 04/16/09 PAY 05/01/09		6.71
220002300	05/07/09	COMPANHIA VALE DI RIO ADR DOCE ADR FEE OF .01500 PER SHR RECORD 04/20/09 PAY 05/07/09		18.26
220002500	05/19/09	COMPANHIA ENERGETICA DE MINAS SP ADR ISSUANCE FEE OF .05000 PER SHR RECORD 05/04/09 PAY 05/13/09		9.10
220002700	05/22/09	FROM 232-0421B-01 TO 232-71053-01		31,318.14
220002900	06/08/09	GRUPO TELEVIS SA DE CV SPON ADR ADR FEE OF .01000 PER SHR RECORD 05/28/09 PAY 06/08/09		1.18

Reference number	Date	Description	Referral number	Amount
220001400	03/27/09	CHUNGHWA TELECOM CO LTD SPONS ADR ADR FEE OF .02820 PER SHR RECORD 03/26/09 PAY 03/26/09		\$ 12.77
220001600	04/07/09	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S TAX W/H AND ADR FEES RD 09/16/08 , PAY DT 11/21/08 X/D 09/12/08		116.54
220001800	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		2,473.33
220002000	04/28/09	PHILIPPINE LONG DISTANCE TEL CO SPONS ADR -USD- ADR FEE OF .01000 PER SHR RECORD 03/17/09 PAY 04/28/09		1.17
220002200	05/01/09	PHILIPPINE LONG DISTANCE TEL CO SPONS ADR -USD- ADR FEE OF .00500 PER SHR RECORD 03/17/09 PAY 04/28/09		.59
220002400	05/12/09	BANCO SANTANDER-CHILE-CLP ADR ADR FEE OF .01000 PER SHR RECORD 04/23/09 PAY 05/08/09		2.63
220002600	05/22/09	FROM 232-0421B-01 TO 232-71053-01		401,192.55
220002800	05/26/09	FROM 232-0421B-01 TO 232-71053-01		42,489.31
220003000	06/22/09	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR ADR FEE OF .00500 PER SHR RECORD 06/11/09 PAY 06/22/09		.31

2 0 0 9 Y E A R E N D S U M M A R Y



THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Deposits and Withdrawals 2009 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
220003100	06/24/09	INFOSYS TECHNOLOGIE SP ADR ADR FEE OF .02000 PER SHR RECORD 06/06/09 PAY 06/24/09		\$ 1.16
220003300	07/06/09	TEKOM SA LTD SPONSORED ADR-USD ADR FEE OF .02000 PER SHR RECORD 06/18/09 PAY 06/29/09		.62
220003500	07/09/09	COMPANHIA ENERGETICA DE MINAS SP ADR ADR FEE OF .02000 PER SHR RECORD 05/04/09 PAY 07/07/09		14.56
220003700	07/15/09	TELEKOMUNIKACJA POLSKA GDR REG S ADR FEE OF .02000 PER SHR RECORD 06/18/09 PAY 07/13/09		6.58
220003900	07/20/09	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S ADR FEE OF 01330 PER SHR RECORD 06/02/09 PAY 07/16/09		26.49
220004100	08/10/09	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR ADR FEE OF 02000 PER SHR RECORD 04/23/09 PAY 08/10/09		17.18
220004300	08/17/09	POSCO SPON ADR ADR FEE OF 00500 PER SHR RECORD 06/29/09 PAY 08/17/09		.51
220004500	08/28/09	BRASIL TELECOM PARTICIPACO SA SPONSORED ADR ADR FEE OF 02000 PER SHR RECORD 04/11/08 PAY 08/17/09		2.68
220004700	09/02/09	FROM 232-0421B-01 TO 232-71053-01		17,000.00

Reference number	Date	Description	Referral number	Amount
220003200	06/29/09	PETROCHINA CO LTD ADR ADR FEE OF .02000 PER SHR RECORD 05/19/09 PAY 06/29/09		\$ 2.02
220003400	07/08/09	CHINA PETROLEUM&CHEM ADR ADR FEE OF .02000 PER SHR RECORD 06/05/09 PAY 07/07/09		50
220003600	07/10/09	HUANENG POWER INTL SP ADR ADR FEE OF 02000 PER SHR RECORD 05/14/09 PAY 07/10/09		6.74
220003800	07/17/09	CONSULTING & ADVISORY SERVICES FROM 07/01/09 TO 09/30/09		1,355.74
220004000	08/06/09	PT TELEKOMUNAKASI INDONESIA SPONS ADR ADR FEE OF 00350 PER SHR RECORD 07/09/09 PAY 08/06/09		.25
220004200	08/12/09	HON HAI PRECISION GLOBAL DEPOSITORY RECPT REG S GDR FEE OF 0.05 FOR RD 06/02/09, PAY DT 07/31/09		14.90
220004400	08/19/09	SK TELECOM LTD SPON ADR ADR FEE OF 00500 PER SHR RECORD 06/30/09 PAY 08/19/09		1.33
220004600	08/31/09	KB FINANCIAL GROUP INC ADR ADR FEE OF 0.0200 PER SHARE RD 07/27/09, PAY DT 07/28/09		3.10
220004800	09/03/09	SAMSUNG ELECTRS LTD GDR 1995 REPSTG COM 144A ADR FEE OF 02500 PER SHR RECORD 06/30/09 PAY 08/31/09		1.58

2009 YEAR END SUMMARY



Ref: 00000018 00006142

THOMPSON DEAN

Account Number 232-0421B-14 757

Details of Deposits and Withdrawals 2009 - continued

Withdrawals - continued

Reference number	Date	Description	Referral number	Amount
220004900	09/04/09	ITAU UNIBANCO BANCO HLDG S A ADR ISSUANCE FEE OF .05000 PER SHR RECORD 09/02/09 PAY 09/03/09		\$ 2.85
220005100	09/10/09	CHUNGHWA TELECOM LTD ADR ADR FEE OF .02000 PER SHR RECORD 08/03/09 PAY 09/10/09		3.40
220005300	09/16/09	AU OPTRONICS CORP SPONSORED ADR ADR FEE OF .01090 PER SHR RECORD 08/14/09 PAY 09/16/09		1.75
220005500	09/25/09	CHUNGHWA TELECOM LTD ADR ADR FEE OF .05000 PER SHR RECORD 08/03/09 PAY 09/25/09		.85
220005700	09/30/09	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR ADR FEE OF .02000 PER SHR RECORD 09/18/09 PAY 09/28/09		1.36
220005900	10/16/09	LUKOIL OIL SPONS ADR ADR FEE OF .01000 PER SHR RECORD 05/08/09 PAY 10/13/09		3.42
220006100	10/22/09	INFOSYS TECHNOLOGIE SP ADR ADR FEE OF .01700 PER SHR RECORD 10/15/09 PAY 10/22/09		.99
220006300	10/26/09	FROM 232-0421B-01 TO 232-71053-01		2,000.00
220006500	11/02/09	RELIANCE INDS LTD GLOBAL DEP RCPTS RULE 144A ADR FEE OF .01000 PER SHR RECORD 10/20/09 PAY 11/02/09		.89
220006700	11/12/09	LUKOIL OIL SPONS ADR ADR FEE OF .01000 PER SHR RECORD 05/08/09 PAY 11/12/09		3.42

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Reference number	Date	Description	Referral number	Amount
220005000	09/09/09	LUKOIL OIL SPONS ADR ADR FEE OF .01000 PER SHR RECORD 05/08/09 PAY 09/08/09		\$ 3.42
220005200	09/10/09	ITAU UNIBANCO BANCO HLDG S A ADR ADR FEE OF .01000 PER SHR RECORD 08/26/09 PAY 09/10/09		5.75
220005400	09/16/09	AU OPTRONICS CORP SPONSORED ADR ADR FEE OF .05000 PER SHR RECORD 08/14/09 PAY 09/16/09		.20
220005600	09/29/09	PHILIPPINE LONG DISTANCE TEL CO SPONS ADR -USD- ADR FEE OF .01000 PER SHR RECORD 08/19/09 PAY 09/29/09		.86
220005800	10/16/09	CONSULTING & ADVISORY SERVICES FROM 10/01/09 TO 12/31/09		1,575.07
220006000	10/22/09	CHINA PETROLEUM&CHEM ADR ADR FEE OF .02000 PER SHR RECORD 09/14/09 PAY 10/22/09		1.32
220006200	10/23/09	FROM 232-0421B-01 TO 232-71053-01		6,000.00
220006400	10/26/09	PETROCHINA CO LTD ADR ADR FEE OF .02000 PER SHR RECORD 09/10/09 PAY 10/26/09		.52
220006600	11/06/09	VALE S A SPON ADR ADR FEE OF .01500 PER SHR RECORD 10/20/09 PAY 11/06/09		7.73
220006800	12/14/09	VIVO PARTICIPACOES SA ADR ADR FEE OF .02000 PER SHR RECORD 03/24/09 PAY 12/09/09		5.70



Ref: 00000018 00006267

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
160015300	W&T OFFSHORE INC	\$ 2.64	\$ 2.64					
160015400	WILLIAMS COS INC	3.96	3.96					
160015500	WILLIAMS SONOMA INC	9.84	9.84					
Totals		\$ 4,863.19	\$ 4,862.91					

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	2	ALLIED WORLD ASSURANCE CO HLDGS LTD Morgan Stanley Smi th Barney LLC acted as yo	07/15/09	09/02/09	\$ 91.03	\$ 83.57		\$ 7.46
125000020	3	ALLIED WORLD ASSURANCE CO HLDGS LTD MorganStanley SmithBarney LLC acted as your agent	07/15/09	12/18/09	135.95	125.35		10.60
125000030	3	ARCH CAPITAL GROUP LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/15/09	10/13/09	207.40	182.00		25.40
125000040	5	AXIS CAPITAL HOLDINGS LTD-USD MorganStanley SmithBarney LLC acted as your agent	10/05/09	11/06/09	148.36	151.22	(2.86)	
	5		10/09/09		148.36	155.86	(7.50)	
125000050	7	BUNGE LIMITED	03/02/09	05/12/09	396.66	306.04		90.62
	4		03/09/09		226.67	194.67		32.00
125000060	2	BUNGE LIMITED	03/09/09	05/18/09	114.43	97.34		17.09
	15		03/31/09		858.25	848.63		9.62
	14		04/06/09		801.03	814.11	(13.08)	
	10		04/07/09		572.16	561.35		10.81

2 0 0 9 Y E A R E N D S U M M A R Y



Ref. 00000018 00006266

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Earnings 2009 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
160012100	REINSURANCE GROUP OF AMERICA	\$ 72	\$.72					
160012200	REYNOLDS AMERICAN INC	13.60	13.60					
160012300	ROSS STORES INC DE	4.62	4.62					
160012400	RYDER SYSTEM INC	10.81	10.81					
160012500	RYLAND GROUP INC	3.57	3.57					
160012600	SPX CORP	2.00	2.00					
160012700	SAFeway INC NEW	28.64	28.64					
160012800	ST MARY LAND & EXPL CO	1.65	1.65					
160012900	SEMPRA ENERGY	142.20	142.20					
160013000	SOUTHERN UNION COMPANY	11.40	11.40					
160013100	SOUTHWEST AIRLINES CO	3.21	3.21					
160013200	STERIS CORP	2.64	2.64					
160013300	STRAYER EDUCATION INC	2.75	2.75					
160013400	SUNOCO INC	27.60	27.60					
160013500	SYNTEL INC	.60	.60					
160013600	TEXAS INDUSTRIES INC	2.93	2.93					
160013700	3M COMPANY	2.55	2.55					
160013800	TIFFANY & CO NEW	2.21	2.21					
160013900	TIME WARNER INC	4.81	4.81					
160014000	TIME WARNER INC	17.07	17.07					
160014100	TIMKEN CO	1.98	1.98					
160014200	TORCHMARK CORP	54.32	54.32					
160014300	TRANSDIGM GROUP INC	45.90	45.90					
160014400	TRAVELERS COMPANIES INC	182.43	182.43					
160014500	TRINITY INDUSTRIES INC	3.12	3.12					
160014600	US BANCORP DEL NEW	6.00	6.00					
160014700	UNION PACIFIC CORP	156.60	156.60					
160014800	UNITED TECHNOLOGIES CORP	53.91	53.91					
160014900	UNITEDHEALTH GROUP INC	4.83	4.83					
160015000	UNITRIN INC	7.20	7.20					
160015100	UNUM GROUP	60.55	60.55					
160015200	V F CORP	3.54	3.54					

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006269

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000170	8	GARMIN LTD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/12/09	08/19/09	\$ 229.41	\$ 250.49	(\$ 21.08)	
125000180	2	MONTPELIER RE HOLDINGS LTD -USD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/31/09	09/02/09	31.83	31.42		.41
125000190	9	MONTPELIER RE HOLDINGS LTD -USD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/31/09	11/30/09	148.49	141.38		7.11
125000200	5	MONTPELIER RE HOLDINGS LTD -USD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/31/09	12/18/09	86.75	78.54		8.21
125000210	1	PLATINUM UNDERWRITERS HOLDINGS LTD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/28/09	12/18/09	36.73	33.32		3.41
125000220	2	RENAISSANCE RE HOLDINGS LTD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/04/09	12/18/09	102.51	103.17	(.66)	
125000230	2	XL CAPITAL LTD CLASS A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	11/20/09	12/18/09	36.21	35.56		.65
125000240	21 16 8 11 13	TRANSOCEAN LTD SWITZERLAND NEW	01/28/09 02/02/09 02/18/09 02/19/09 02/24/09	05/18/09	1,478.89 1,126.77 563.39 774.66 915.49	1,180.32 859.30 454.16 658.84 737.48		298.57 267.47 109.23 115.82 178.01
125000250	7	TRANSOCEAN LTD SWITZERLAND NEW	02/24/09	06/01/09	582.61	397.11		185.50

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006268

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000070	4	BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/30/09	10/29/09	\$ 241.15	\$ 249.77	(\$ 8.62)	
125000080	4	BUNGE LIMITED	10/02/09	11/10/09	233.82	245.02	(11.20)	
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/05/09		116.91	122.08	(5.17)	
125000090	3	BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/05/09	11/12/09	173.89	183.11	(9.22)	
125000100	1	ENDURANCE SPECIALTY HOLDINGS L-USD Morgan Stanley Smi th Barney LLC acted as yo	08/04/09	09/02/09	33.94	31.82		2.12
125000110	2	ENDURANCE SPECIALTY HOLDINGS L-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/04/09	12/18/09	71.07	63.63		7.44
125000120	2	EVEREST REINSURANCE GP LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/20/09	12/18/09	169.99	164.06		5.93
125000130	13	FRESH DEL MONTE PRODUCE	01/06/09	05/18/09	224.76	289.35	(64.59)	
125000140	8	FRESH DEL MONTE PRODUCE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/09	07/15/09	130.25	178.06	(47.81)	
125000150	2	FRESH DEL MONTE PRODUCE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/09	07/17/09	33.95	44.52	(10.57)	
125000160	3	FRESH DEL MONTE PRODUCE MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/02/09	12/18/09	63.14	68.44	(5.30)	

2009 YEAR END SUMMARY



Ref: 00000018 00006271

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000400	19 6		09/02/09		\$ 495.59	\$ 483.74		\$ 11.85
			09/08/09		156.51	155.50		1.01
	1	AT&T INC	09/09/09	11/30/09	26.78	25.92		.86
	26	MorganStanley SmithBarney LLC	09/11/09		696.25	689.59		6.66
	33	acted as your agent	09/14/09		883.70	874.80		8.90
	49	in this transaction.	09/21/09		1,312.16	1,310.55		1.61
125000410	40	ABBOTT LABORATORIES	05/07/09	05/08/09	1,800.41	1,777.02		23.39
125000420	13 7	AEROPOSTALE INC	04/21/09	05/18/09	417.16	398.50		18.66
			04/28/09		224.62	234.50	(9.88)	
125000430	4	AEROPOSTALE INC	04/28/09	07/28/09	143.80	134.00		9.80
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125000440	1 3	AEROPOSTALE INC	04/28/09	08/04/09	37.71	33.50		4.21
		Morgan Stanley Sml	04/29/09		113.11	100.80		12.31
		th Barney LLC acted as yo						
		ur agent in this transa						
125000450	4	AEROPOSTALE INC	04/29/09	08/10/09	144.66	134.39		10.27
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125000460	2	AEROPOSTALE INC	04/29/09	08/12/09	72.74	67.20		5.54
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125000470	16 2	ALASKA AIR GROUP INC	12/08/08	05/01/09	271.76	434.60	(162.84)	
			01/06/09		33.97	60.84	(26.87)	
125000480	13 4	ALASKA AIR GROUP INC	01/06/09	05/12/09	211.26	395.48	(184.22)	
			01/16/09		65.00	119.01	(54.01)	
125000490	8 13 11	ALASKA AIR GROUP INC	01/16/09	05/18/09	129.02	238.02	(109.00)	
			01/28/09		209.66	340.14	(130.48)	
			02/02/09		177.40	291.03	(113.63)	
125000500	3	ALASKA AIR GROUP INC	09/18/09	12/18/09	102.98	78.62		24.36
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						
125000510	30	ALCOA INC	01/06/09	01/20/09	259.80	362.93	(103.13)	



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref. 00000018 00006270

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000260	1 15	TRANSOCEAN LTD SWITZERLAND NEW	02/24/09 03/02/09	06/04/09	\$ 81.83 1,227.38	\$ 56.73 835.73		\$ 25.10 391.65
125000270	15 40	AFLAC INC	12/02/08 01/21/09	04/17/09	433.01 1,154.69	645.36 1,429.26	(212.35) (274.57)	
125000280	12	AGCO CORP	10/24/08	01/06/09	336.26	286.94		49.32
125000290	2 11	AGCO CORP	10/24/08 10/30/08	01/14/09	42.19 232.05	47.82 321.79	(5.63) (89.74)	
125000300	4 10	AGCO CORP	10/30/08 12/02/08	01/16/09	82.53 206.31	117.02 208.15	(34.49) (1.84)	
125000310	4 13	AGCO CORP	12/02/08 12/03/08	01/30/09	83.38 270.98	83.26 279.87	(8.89)	.12
125000320	10 2	AGL RESOURCES INC	04/06/09 05/07/09	05/18/09	289.99 58.00	271.51 62.55	(4.55)	18.48
125000330	1	AGL RESOURCES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	09/02/09	33.39	31.28		2.11
125000340	4	AGL RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/07/09	10/09/09	144.36	125.10		19.26
125000350	4	AGL RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/07/09	10/13/09	145.07	125.10		19.97
125000360	182	AT&T INC	01/06/09	02/18/09	4,189.90	5,154.40	(964.50)	
125000370	30 84	AT&T INC	01/06/09 01/14/09	02/19/09	700.13 1,960.37	849.63 2,125.60	(149.50) (165.23)	
125000380	106	AT&T INC	05/08/09	05/18/09	2,607.74	2,687.92	(80.18)	
125000390	8 68 60 17 23	AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/08/09 05/12/09 07/09/09 07/23/09 07/30/09	11/20/09	208.67 1,773.69 1,565.02 443.42 599.92	202.86 1,739.99 1,406.65 435.77 606.34	5.81 33.70 158.37 7.65 (6.42)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006273

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000710	6	ALLSTATE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/09	07/27/09	\$ 160.16	\$ 126.48		\$ 33.68
125000720	9	ALTRIA GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	164.97	148.16		16.81
125000730	1 28 22 34	ALTRIA GROUP INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	07/07/09 07/15/09 07/17/09 07/23/09	11/20/09	18.99 531.58 417.67 645.49	16.46 468.04 380.81 592.52		2.53 63.54 36.86 52.97
125000740	10 1	AMAZON COM INC	03/30/09 03/31/09	05/18/09	750.27 75.03	707.81 73.41		42.46 1.62
125000750	2	AMAZON COM INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/31/09	09/02/09	156.46	146.82		9.64
125000760	2 4 3	AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/31/09 05/13/09 06/02/09	12/18/09	256.18 512.36 384.28	146.82 304.50 252.49		109.36 207.86 131.79
125000770	6 12 7	AMEDISYS INC	10/24/08 10/30/08 11/06/08	05/18/09	187.59 375.19 218.86	288.93 659.10 376.85	(101.34) (283.91) (157.99)	
125000780	21 16 5	AMEREN CORP	03/31/09 04/06/09 04/07/09	05/18/09	484.12 368.85 115.27	487.62 370.84 114.60	(3.50) (1.99)	.67
125000790	3	AMEREN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/09	09/02/09	79.98	68.76		11.22
125000800	5 11	AMEREN CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/07/09 04/17/09	11/20/09	126.16 277.56	114.61 246.16		11.55 31.40

2009 YEAR END SUMMARY



Ref: 00000018 00006272

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000520	32	ALCOA INC	01/06/09	01/21/09	\$ 262.11	\$ 387.13	(\$ 125.02)	
125000530	32	ALCOA INC	01/06/09	01/28/09	281.56	387.13	(105.57)	
125000540	10	ALCOA INC	01/06/09	02/02/09	77.67	120.98	(43.31)	
125000550	13	ALLEGHENY TECHNOLOGIES INC	01/16/09	04/28/09	391.55	335.71		55.84
	22		01/28/09		662.63	543.84		118.79
	2		02/02/09		60.24	43.76		16.48
125000560	19	ALLEGHENY TECHNOLOGIES INC	02/02/09	05/07/09	714.66	415.73		298.93
	16		02/03/09		601.81	365.44		236.37
125000570	1	ALLEGHENY TECHNOLOGIES INC	02/03/09	05/12/09	36.98	22.84		14.14
	12		02/05/09		443.74	294.18		149.56
	10		03/02/09		369.79	181.69		188.10
125000580	18	ALLEGHENY TECHNOLOGIES INC	03/02/09	05/18/09	592.18	327.04		265.14
	15		03/13/09		493.49	304.29		189.20
125000590	7	ALLIANCE DATA SYSTEMS CORP	10/24/08	03/02/09	195.09	319.55	(124.46)	
	7		10/30/08		195.10	340.28	(145.18)	
125000600	3	ALLIANCE DATA SYSTEMS CORP	10/30/08	03/09/09	76.43	145.83	(69.40)	
	7		11/07/08		178.32	353.35	(175.03)	
125000610	7	ALLIANCE DATA SYSTEMS CORP	11/07/08	03/24/09	250.72	353.35	(102.63)	
	13		11/13/08		465.63	574.82	(109.19)	
	1		11/18/08		35.82	40.04	(4.22)	
125000620	10	ALLIANCE DATA SYSTEMS CORP	11/18/08	03/31/09	359.13	400.39	(41.26)	
	7		11/19/08		251.39	279.88	(28.49)	
	7		11/20/08		251.39	268.89	(17.50)	
125000630	5	ALLIANCE DATA SYSTEMS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/21/09	11/16/09	308.05	312.95	(4.90)	
125000690	17	ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/02/09	07/17/09	412.02	358.37		53.65
125000700	38	ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/02/09	07/23/09	980.58	801.07		179.51

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006275

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000950	4	AMERICAN FINL GROUP INC OHIO	07/17/09	12/01/09	\$ 97.96	\$ 91.61		\$ 6.35
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/23/09		122.45	121.24		1.21
125000960	3	AMERICAN GREETINGS CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/13/09	12/18/09	66.23	70.17	(3.94)	
125000970	29 12 9	AMERICAN TOWER CORP-CLASS A	11/13/08 11/19/08 01/27/09	03/09/09	787.03 325.67 244.25	757.79 271.44 268.94		29.24 \$4.23
125000980	4	AMERICAN TOWER CORP-CLASS A	01/27/09	03/13/09	115.36	119.53	(4.17)	
125000990	1	AMERIGROUP CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/09/09	09/02/09	24.06	27.99	(3.93)	
125001000	4 3	AMERIGROUP CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/09/09 06/11/09	09/29/09	90.89 68.17	111.94 84.62	(21.05) (16.45)	
125001010	2	AMERIGROUP CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/11/09	09/30/09	43.99	56.42	(12.43)	
125001020	11 9 9 10	AMERIPRISE FINANCIAL INC	01/28/08 02/05/08 02/08/08 03/18/08 04/14/08	01/05/09	263.15 215.30 215.30 239.22 239.22	551.25 477.76 467.92 508.49 501.49	(288.10) (262.46) (252.62) (269.27) (262.27)	
125001030	5	AMERIPRISE FINANCIAL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/09	06/09/09	142.79	142.42		.37
125001040	5	AMERIPRISE FINANCIAL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/09	06/11/09	130.47	142.42	(11.95)	



Ref: 00000018 00006274

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000810	12	AMEREN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/17/09	11/30/09	\$ 310.37	\$ 268.53		\$ 41.84
125000820	22 10	AMERICAN ELECTRIC POWER CO INC	12/11/08 12/17/08	01/21/09	700.22 318.28	672.01 298.93		28.21 19.35
125000830	24 1	AMERICAN ELECTRIC POWER CO INC	12/17/08 01/28/09	04/06/09	630.11 26.25	717.42 31.60	(87.31) (5.35)	
125000840	12 9	AMERICAN ELECTRIC POWER CO INC	01/28/09 02/10/09	04/07/09	315.49 236.61	379.14 288.08	(63.65) (51.47)	
125000850	1 18	AMERICAN ELECTRIC POWER CO INC	02/10/09 02/20/09	04/13/09	26.11 469.98	32.01 532.35	(5.90) (62.37)	
125000860	20 5	AMERICAN ELECTRIC POWER CO INC	02/20/09 03/02/09	04/21/09	534.98 133.75	591.49 137.25	(56.51) (3.50)	
125000870	7 21	AMERICAN ELECTRIC POWER CO INC	03/02/09 03/09/09	04/28/09	184.68 554.06	192.15 512.34	(7.47)	41.72
125000880	4 11	AMERICAN ELECTRIC POWER CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/02/09 09/02/09	12/03/09	136.28 374.77	123.58 339.48		12.70 35.29
125000900	18 14	AMERICAN FINL GROUP INC OHIO	05/06/08 07/23/08	02/13/09	340.78 265.05	497.07 410.28	(156.29) (145.23)	
125000910	1 10	AMERICAN FINL GROUP INC OHIO	07/23/08 05/06/09	05/18/09	20.53 205.33	29.31 206.44	(8.78) (1.11)	
125000920	3	AMERICAN FINL GROUP INC OHIO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/06/09	09/02/09	74.37	61.93		12.44
125000930	4 3	AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	05/06/09 07/15/09	11/20/09	98.01 73.51	82.58 67.71		15.43 5.80
125000940	6 3	AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09 07/17/09	11/30/09	145.09 72.55	135.42 68.70		9.67 3.85

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006277

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001150	12	AMGEN INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	07/17/09	09/16/09	\$ 711.99	\$ 702.83		\$ 9.16
125001160	3	AON CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	123.96	111.05		12.91
125001170	6	AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09	09/29/09	249.52	222.11		27.41
125001180	1 9 4	AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09 07/15/09 07/30/09	11/20/09	38.89 350.02 155.57	37.02 339.50 158.94	(3.37)	1.87 10.52
125001190	1	AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/30/09	12/18/09	37.59	39.73	(2.14)	
125001200	3	APACHE CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	250.39	200.94		49.45
125001210	2	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09	11/30/09	190.91	133.96		56.95
125001220	5	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09	12/18/09	498.73	334.91		163.82
125001230	7 5 3	APOLLO GROUP INC CL A	11/18/08 11/19/08 11/20/08	01/05/09	542.54 387.53 232.51	489.14 338.63 204.02		53.40 48.90 28.49
125001240	3	APOLLO GROUP INC CL A	11/20/08	01/06/09	233.69	204.02		29.67
125001250	10 9	APOLLO GROUP INC CL A	03/24/09 04/06/09	05/18/09	625.36 562.82	774.05 624.83	(148.69) (62.01)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006276

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001050	1	AMERIPRISE FINANCIAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09	07/01/09	\$ 24.59	\$ 28.49	(\$ 3.90)	
125001060	7	AMERIPRISE FINANCIAL INC	09/02/09	12/18/09	265.24	198.47		66.77
	9	MorganStanley SmithBarney LLC	09/02/09		341.02	253.57		87.45
	5	acted as your agent in this transaction.	09/21/09		189.46	162.95		26.51
125001070	39	AMGEN INC	04/06/09	05/18/09	1,914.48	1,832.83		81.65
	6		04/07/09		294.53	285.00		9.53
125001080	1	AMGEN INC	04/07/09	07/31/09	62.37	47.50		14.87
	3	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/04/09		187.09	145.23		41.86
125001090	4	AMGEN INC	05/04/09	08/04/09	254.62	193.63		60.99
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001100	5	AMGEN INC	05/04/09	08/10/09	301.17	242.04		59.13
	2	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/05/09		120.47	95.84		24.63
125001110	18	AMGEN INC	05/05/09	08/20/09	1,084.84	862.54		222.30
	21	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/15/09		1,265.64	1,213.67		51.97
125001120	11	AMGEN INC	07/15/09	08/26/09	668.00	635.73		32.27
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001130	9	AMGEN INC	07/17/09	08/31/09	536.35	527.12		9.23
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001140	8	AMGEN INC	07/17/09	09/02/09	473.67	468.56		5.11
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						

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Ref: 00000018 00006279

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001360	12	ARCHER-DANIELS-MIDLAND CO	11/20/08	07/08/09	\$ 319.31	\$ 278.32		\$ 40.99
	25	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/02/08		665.24	648.12		17.12
125001370	20	ARCHER-DANIELS-MIDLAND CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/02/08	07/09/09	539.18	518.50		20.68
125001380	14	ARCHER-DANIELS-MIDLAND CO Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	09/30/09	10/09/09	412.59	407.78		4.81
125001390	17	ARROW ELECTRONICS INC	10/01/08	05/18/09	365.56	442.10	(76.54)	
	26		10/06/08		559.09	589.54	(30.45)	
	15		02/26/09		322.54	259.60		62.94
125001400	1	AUTOZONE INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	07/14/09	12/18/09	158.38	154.91		3.47
125001410	10	AVERY DENNISON CORP	03/02/09	04/21/09	276.22	190.32		85.90
125001420	2	AVERY DENNISON CORP	03/02/09	04/24/09	59.13	38.06		21.07
125001430	16	AVNET INC	02/02/09	05/12/09	333.53	302.04		31.49
	4		02/03/09		83.38	75.58		7.80
125001440	11	AVNET INC	02/03/09	05/18/09	234.54	207.84		26.70
	14		03/02/09		298.50	229.97		68.53
	14		03/09/09		298.50	218.84		79.66
	32		03/31/09		682.28	562.09		120.19
125001450	20	BB&T CORP	01/28/09	03/09/09	286.97	443.92	(156.95)	
125001460	11	BB&T CORP	01/28/09	05/07/09	287.01	244.16		42.85
	29		02/02/09		756.67	562.22		194.45
	23		02/03/09		600.12	430.36		169.76
	21		02/05/09		547.94	365.57		182.37
	3		03/23/09		78.28	53.78		24.50
125001470	27	BB&T CORP	03/23/09	05/12/09	615.85	484.00		131.85
	19		03/24/09		433.38	352.46		80.92
125001480	9	BJ'S WHOLESALE CLUB INC	04/01/08	01/06/09	307.17	331.46	(24.29)	

2 0 0 9 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001260	14 1	APOLLO GROUP INC CL A Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/13/09 04/28/09		\$ 875.50 62.54	\$ 848 10 61.95		\$ 27 40 59
125001270	9	APOLLO GROUP INC CL A Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/28/09	06/04/09	559.48	557.54		1.94
125001270	2	APOLLO GROUP INC CL A Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/28/09	09/02/09	128.93	123.90		5.03
125001280	2	APOLLO GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/28/09	12/18/09	116.87	123.90	(7.03)	
125001290	15 25	APPLE INC	04/17/09 04/21/09	05/18/09	1,890.10 3,150.17	1,839 11 3,026.58		50.99 123.59
125001300	1 28 16	APPLE INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/21/09 04/28/09 05/28/09	07/07/09	136.70 3,827.49 2,187 13	121.06 3,510 16 2,145 82		15.64 317.33 41.31
125001310	9	APPLE INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	05/28/09	07/09/09	1,232.14	1,207.03		25 11
125001320	45	ARCHER-DANIELS-MIDLAND CO	10/30/08	03/09/09	1,180.33	995.89		184.44
125001330	16 111 70 32	ARCHER-DANIELS-MIDLAND CO	10/30/08 11/07/08 11/13/08 11/18/08	05/18/09	394.13 2,734 30 1,724.34 788.27	354 10 2,492.53 1,727.71 818 62		40.03 241 77 (3 37) (30 35)
125001340	29 36	ARCHER-DANIELS-MIDLAND CO Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	11/18/08 11/19/08	06/05/09	808.52 1,003.69	741 88 847 29		66 64 156 40
125001350	6 37	ARCHER-DANIELS-MIDLAND CO Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	11/19/08 11/20/08	06/11/09	175 40 1,081 64	141 22 858 16		34 18 223 48

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006281

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001600	5	BIG LOTS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	09/02/09	\$ 122.65	\$ 135.93	(\$ 13.28)	
125001610	7	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/01/09	10/09/09	183.29	190.30	(7.01)	
125001620	1 6	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/01/09 05/07/09	10/13/09	26.14 156.81	27.18 153.30	(1.04)	3.51
125001630	19 9	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/07/09 05/12/09	12/16/09	557.24 263.96	485.47 221.92		71.77 42.04
125001640	5	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/12/09	12/18/09	146.44	123.29		23.15
125001650	6 6	BIOGEN IDEC INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/17/09 07/28/09	11/20/09	276.59 276.59	278.34 294.97	(1.75) (18.38)	
125001660	1 5 4 3 1	BIOGEN IDEC INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/28/09 07/31/09 08/04/09 09/02/09 09/16/09	11/30/09	47.18 235.90 188.72 141.54 47.18	49.16 239.03 193.71 148.02 51.48	(1.98) (3.13) (4.99) (6.48) (4.30)	
125001670	3 4	BIOGEN IDEC INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/16/09 09/24/09	12/03/09	143.13 190.84	154.43 201.66	(11.30) (10.82)	
125001680	24 42 13 12	BOEING CO	06/19/08 07/17/08 10/08/08 10/24/08	05/18/09	1,058.19 1,851.84 573.19 529.09	1,837.41 2,755.67 630.29 550.72	(779.22) (903.83) (57.10) (21.63)	

2009 YEAR END SUMMARY



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref. 00000018 00006280

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001490	4	BJ'S WHOLESALE CLUB INC	04/01/08	01/14/09	\$ 123.03	\$ 147.32	(\$ 24.29)	
	6		04/10/08		184.55	219.69	(35.14)	
125001500	8	BJ'S WHOLESALE CLUB INC	04/10/08	01/16/09	255.03	292.92	(37.89)	
125001510	20	BANK NEW YORK MELLON CORP	12/31/08	04/06/09	559.86	549.16		10.70
	48		01/05/09		1,343.67	1,346.33	(2.66)	
	25		01/26/09		699.83	597.34		102.49
	27		01/28/09		755.82	728.11		27.71
	52		02/18/09		1,455.65	1,227.34		228.31
125001520	12	BANK NEW YORK MELLON CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/11/09	06/22/09	340.22	350.06	(9.84)	
125001530	18	BEST BUY INC	04/29/09	05/18/09	648.75	690.17	(41.42)	
	27		05/12/09		973.12	993.26	(20.14)	
125001540	6	BEST BUY INC	05/12/09	07/28/09	223.03	220.73		2.30
	4	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/15/09		148.68	142.92		5.76
125001550	8	BEST BUY INC	05/15/09	07/29/09	295.16	285.85		9.31
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001560	4	BEST BUY INC	05/15/09	07/31/09	149.95	142.92		7.03
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001570	4	BEST BUY INC	05/15/09	08/03/09	151.81	142.92		8.89
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001580	6	BEST BUY INC	05/15/09	08/04/09	231.36	214.39		16.97
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001590	15	BIG LOTS INC	05/01/09	05/18/09	365.99	407.77	(41.78)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006283

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001790	8	BROADCOM CORP CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	08/03/09	\$ 226.78	\$ 182.47		\$ 44.31
125001800	9	BROADCOM CORP CL A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	08/04/09	258.31	205.27		53.04
125001810	14 1	BUCYRUS INTERNATIONAL INC	10/30/08 11/07/08	01/06/09	320.42 22.89	320.84 22.61	(.42)	.28
125001820	15 1	BUCYRUS INTERNATIONAL INC	11/07/08 11/13/08	01/16/09	269.19 17.95	339.19 23.21	(70.00) (5.26)	
125001830	12 12 10	BUCYRUS INTERNATIONAL INC	11/13/08 11/18/08 11/19/08	02/03/09	177.78 177.78 148.14	278.52 260.34 197.88	(100.74) (82.56) (49.74)	
125001840	3 13	BUCYRUS INTERNATIONAL INC	11/19/08 11/20/08	02/05/09	47.13 204.21	59.37 199.62	(12.24)	4.59
125001850	1	C I G N A CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	29.77	25.14		4.63
125001860	9 3	C I G N A CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09 07/21/09	11/05/09	272.58 90.86	226.24 74.88		46.34 15.98
125001870	3	C I G N A CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/21/09	12/18/09	107.03	74.88		32.15
125001880	29 2	CMS ENERGY CORP	10/06/08 10/07/08	03/09/09	312.40 21.55	313.94 21.05	(1.54)	.50
125001890	35 21	CMS ENERGY CORP	10/07/08 10/30/08	05/18/09	388.62 233.18	368.45 214.90		20.17 18.28
125001900	4	CMS ENERGY CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08	09/02/09	52.16	40.93		11.23

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MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006282

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001690	26 10	BOEING CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/24/08 10/30/08	06/09/09	\$ 1,344.60 517.15	\$ 1,193.23 495.39		\$ 151.37 21.76
125001700	22	BOEING CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08	06/11/09	1,149.02	1,089.85		59.17
125001710	3 3	BOEING CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08 07/14/09	09/02/09	145.44 145.44	148.62 121.82	(3.18)	23.62
125001720	2 6 12 5	BOEING CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/14/09 07/23/09 07/27/09 07/30/09	09/16/09	104.44 313.32 626.64 261.10	81.21 245.02 501.99 218.99		23.23 68.30 124.65 42.11
125001730	3 5 10	BOEING CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/30/09 08/03/09 08/04/09	09/18/09	157.95 263.26 526.52	131.39 217.13 439.09		26.56 46.13 87.43
125001740	7	BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/12/09	09/24/09	363.23	319.97		43.26
125001750	63	BRISTOL MYERS SQUIBB CO	04/06/09	05/18/09	1,283.27	1,287.40	(4.13)	
125001760	4 18 5	BRISTOL MYERS SQUIBB CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09 04/28/09 05/07/09	07/31/09	85.67 385.52 107.09	81.74 365.47 99.49		3.93 20.05 7.60
125001770	18 19 18 13	BRISTOL MYERS SQUIBB CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09 07/15/09 07/17/09 07/23/09	08/10/09	393.90 415.78 393.90 284.49	358.18 375.07 359.58 270.63		35.72 40.71 34.32 13.86
125001780	18 6	BROADCOM CORP CL A	05/05/09 05/07/09	05/18/09	389.14 129.72	427.47 136.85	(38.33) (7.13)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006285

THOMPSON DEAN FAMILY FOUNDATION
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002010	5	CSX CORP	04/17/09	12/03/09	\$ 243.37	\$ 158.71		\$ 84.66
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/10/09		146.02	104.29		41.73
125002020	9	CSX CORP	06/10/09	12/11/09	441.94	312.85		129.09
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125002030	3	CSX CORP	06/10/09	12/14/09	148.21	104.29		43.92
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125002040	1	CSX CORP	06/10/09	12/16/09	49.80	34.76		15.04
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125002050	1	CVS CAREMARK CORP	08/10/09	09/02/09	36.44	34.63		1.81
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.						
125002060	6	CVS CAREMARK CORP	08/10/09	12/18/09	187.03	207.76	(20.73)	
	6	MorganStanley SmithBarney LLC	08/20/09		187.03	206.66	(19.63)	
	3	acted as your agent in this transaction.	09/16/09		93.51	109.87	(16.36)	
125002070	2	CA INC	07/07/09	09/02/09	40.55	33.55		7.00
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.						
125002080	4	CA INC	07/07/09	12/18/09	89.87	67.09		22.78
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125002090	15	CABOT CORP	12/02/08	02/09/09	199.18	279.45	(80.27)	
125002100	6	CAMPBELL SOUP CO	07/15/09	12/18/09	197.75	178.74		19.01
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006284

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001910	3	CMS ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/29/08	12/18/09	\$ 46.71	\$ 28.13		\$ 18.58
125001920	2	CNA FINANCIAL CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	08/10/09	09/02/09	46.21	41.78		4.43
125001930	3	CNA FINANCIAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	12/18/09	67.43	62.68		4.75
125001940	17	CSX CORP	12/02/08	03/02/09	389.75	573.50	(183.75)	
	17		12/03/08		389.75	574.37	(184.62)	
125001950	8	CSX CORP	12/03/08	03/09/09	169.68	270.29	(100.61)	
	24		12/05/08		509.04	791.17	(282.13)	
125001960	4	CSX CORP	12/05/08	05/18/09	113.84	131.86	(18.02)	
	9		12/09/08		256.15	309.56	(53.41)	
	28		12/11/08		796.91	985.73	(188.82)	
	30		12/18/08		853.84	1,014.05	(160.21)	
	13		01/06/09		370.00	472.06	(102.06)	
125001970	27	CSX CORP	01/06/09	05/28/09	763.29	980.42	(197.13)	
	3		04/16/09		87.03	92.79	(5.76)	
125001980	5	CSX CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/16/09	09/02/09	210.54	154.66		55.88
125001990	3	CSX CORP	04/16/09	11/23/09	145.93	92.79		53.14
	8	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/17/09		389.16	253.94		135.22
125002000	10	CSX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/17/09	11/30/09	474.52	317.43		157.09

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006287

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002240	4	CARNIVAL CORP	11/19/08	04/07/09	\$ 94.61	\$ 68.53		\$ 26.08
	28	(PAIRED STOCK)	12/02/08		662.25	554.22		108.03
125002250	18	CARNIVAL CORP	12/02/08	04/17/09	483.46	356.28		127.18
	14	(PAIRED STOCK)	12/03/08		376.02	291.52		84.50
125002260	27	CARNIVAL CORP	12/03/08	04/21/09	682.05	562.21		119.84
		(PAIRED STOCK)						
125002270	2	CARNIVAL CORP	12/03/08	04/29/09	53.09	41.64		11.45
	32	(PAIRED STOCK)	12/05/08		849.42	651.73		197.69
	2		12/11/08		53.09	44.66		8.43
125002280	32	CARNIVAL CORP	12/11/08	05/05/09	930.26	714.52		215.74
		(PAIRED STOCK)						
125002290	12	CARNIVAL CORP	06/09/09	06/22/09	296.19	300.32	(4.13)	
	4	(PAIRED STOCK)	06/11/09		98.73	95.72		3.01
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
125002300	4	CARNIVAL CORP	06/11/09	08/10/09	119.81	95.71		24.10
		(PAIRED STOCK)						
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
125002310	51	CATERPILLAR INC	10/01/08	01/06/09	2,348.97	2,919.93	(570.96)	
	7		10/06/08		322.41	333.39	(10.98)	
125002320	9	CATERPILLAR INC	10/06/08	02/02/09	273.90	428.64	(154.74)	
	24		10/24/08		730.41	805.19	(74.78)	
125002330	5	CATERPILLAR INC	10/24/08	02/03/09	150.89	167.75	(16.86)	
	20		10/30/08		603.57	738.26	(134.69)	
125002340	9	CATERPILLAR INC	10/30/08	02/05/09	285.64	332.21	(46.57)	
	12		11/25/08		380.85	436.48	(55.63)	
125002350	7	CATERPILLAR INC	11/25/08	02/12/09	213.45	254.61	(41.16)	
	13		01/28/09		396.42	435.19	(38.77)	
125002400	5	CEPHALON INC	10/30/08	03/31/09	339.55	348.01	(8.46)	
	1		11/07/08		67.91	73.50	(5.59)	
125002410	3	CEPHALON INC	11/07/08	04/07/09	202.33	220.48	(18.15)	
	2		11/13/08		134.88	143.24	(8.36)	
125002420	3	CEPHALON INC	11/13/08	05/12/09	200.39	214.86	(14.47)	
	2		12/02/08		133.60	142.83	(9.23)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref. 00000018 00006286

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002110	5	CAPELLA EDUCATION CO	03/02/09	05/18/09	\$ 262.34	\$ 269.73	(\$ 7.39)	
	1		03/09/09		52.47	47.79		4.68
125002120	3	CAPELLA EDUCATION CO	03/09/09	07/15/09	175.61	143.36		32.25
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002130	1	CAPELLA EDUCATION CO	03/09/09	07/17/09	60.17	47.78		12.39
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002140	39	CAPITAL ONE FINL CORP	02/02/09	05/18/09	998.37	634.74		363.63
	35		02/03/09		895.97	551.49		344.48
	26		02/05/09		665.58	381.32		284.26
125002150	8	CAPITAL ONE FINL CORP	11/20/09	12/11/09	319.02	305.07		13.95
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125002160	7	CAPITAL ONE FINL CORP	11/20/09	12/14/09	284.51	266.93		17.58
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125002170	4	CAPITAL ONE FINL CORP	11/20/09	12/18/09	154.49	152.53		1.96
	12	MorganStanley SmithBarney LLC	11/30/09		483.46	451.54		11.92
		acted as your agent						
		in this transaction.						
125002180	20	CARDINAL HEALTH INC	03/31/09	05/18/09	702.38	633.77		68.61
125002190	4	CARDINAL HEALTH INC	03/31/09	07/07/09	121.49	126.76	(5.27)	
	11	Morgan Stanley Smi	04/06/09		334.10	360.47	(26.37)	
		th Barney LLC acted as yo						
		ur agent in this transa						
125002200	49	CARNIVAL CORP	11/07/08	02/02/09	874.74	1,087.03	(212.29)	
		(PAIRED STOCK)						
125002210	8	CARNIVAL CORP	11/07/08	02/03/09	147.48	177.48	(30.00)	
	35	(PAIRED STOCK)	11/13/08		645.22	663.84	(18.62)	
125002220	10	CARNIVAL CORP	11/13/08	02/05/09	189.42	189.67	(.25)	5.64
	28	(PAIRED STOCK)	11/18/08		530.38	524.74		
125002230	10	CARNIVAL CORP	11/18/08	04/06/09	245.32	187.41		57.91
	28	(PAIRED STOCK)	11/19/08		686.88	479.69		207.19

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006289

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002550	2	CHUBB CORP	02/13/09	09/02/09	\$ 97.30	\$ 85.25		\$ 12.05
	4	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/06/09		194.61	159.79		34.82
125002560	11	CHUBB CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/06/09	12/18/09	525.15	439.42		85.73
125002570	8	CIMAREX ENERGY CO	05/19/08	01/06/09	240.87	570.41	(329.54)	
	7		05/23/08		210.76	484.04	(273.28)	
	1		05/30/08		30.11	68.09	(37.98)	
125002580	7	CIMAREX ENERGY CO	05/30/08	01/13/09	184.15	476.61	(292.46)	
125002590	4	COCA-COLA CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/03/09	12/18/09	227.15	230.38	(3.23)	
125002600	3	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/31/09	10/06/09	232.67	217.90		14.77
125002610	2	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/31/09	10/09/09	156.63	145.26		11.37
125002620	1	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/21/09	12/18/09	81.77	79.64		2.13
125002630	30	COMERICA INC	03/31/09	05/18/09	637.12	554.87		82.25
	27		04/06/09		573.41	503.26		70.15
	20		04/07/09		424.75	367.66		57.09
125002640	2	COMERICA INC	04/07/09	09/02/09	50.12	36.77		13.35
	6	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/13/09		150.35	125.70		24.65

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006288

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002430	4	CEPHALON INC	12/02/08	05/18/09	\$ 258.47	\$ 285.67	(\$ 27.20)	
	4		12/03/08		258.47	295.75	(37.28)	
	5		12/11/08		323.09	372.09	(49.00)	
125002440	3	CEPHALON INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/13/09	08/10/09	169.83	157.98		11.85
125002450	3	CEPHALON INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/13/09	08/19/09	167.97	157.97		10.00
125002460	3	CHESAPEAKE ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/02/09	66.90	66.50		.40
125002470	32	CHESAPEAKE ENERGY CORP	08/19/09	12/18/09	835.59	709.31		126.28
	8	MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/16/09		208.90	229.69	(20.79)	
125002480	58	CHEVRON CORP	02/05/09	05/18/09	3,828.02	4,166.55	(338.53)	
125002490	7	CHEVRON CORP	02/05/09	10/29/09	541.62	502.86		38.76
	9	MorganStanley SmithBarney LLC	02/12/09		696.36	621.34		75.02
	3	acted as your agent in this transaction	02/17/09		232.12	199.78		32.34
125002500	19	CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/17/09	12/18/09	1,461.86	1,265.25		196.61
125002510	2	CHIPOTLE MEXICAN GRILL CLASS B Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/04/09	08/10/09	161.44	152.73		8.71
125002520	2	CHIPOTLE MEXICAN GRILL CLASS B Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/09/09	09/02/09	144.75	146.63	(1.88)	
125002530	7	CHUBB CORP	02/29/08	01/28/09	306.03	358.85	(52.82)	
125002540	15	CHUBB CORP	02/13/09	05/18/09	591.19	639.40	(48.21)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006291

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002880	9	CONSOLIDATED EDISON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	06/25/09	\$ 325.23	\$ 313.65		\$ 11.58
125002890	10	CONSOLIDATED EDISON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	06/30/09	370.58	348.50		22.08
125002900	2	CONSTELLATION ENERGY GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/11/09	12/18/09	70.07	67.80		2.27
125002910	26 30	CORINTHIAN COLLEGES INC	09/22/08 10/06/08	05/18/09	431.38 497.74	428.15 383.87		3.23 113.87
125002920	2 7	CORINTHIAN COLLEGES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/06/08 10/30/08	08/10/09	32.22 112.78	25.59 92.97		6.63 19.81
125002930	15 9	CORINTHIAN COLLEGES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08 04/03/09	09/02/09	291.77 175.06	199.21 174.50		92.56 .56
125002940	7	CORINTHIAN COLLEGES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/03/09	09/02/09	135.80	135.73		.07
125002950	11	CORN PRODUCTS INTERNATIONAL	01/20/09	03/09/09	200.03	296.94	(96.91)	
125002960	21 12 11	CORNING INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/01/09 06/02/09 06/11/09	07/17/09	347.29 198.45 181.92	326.19 185.41 176.58		21.10 13.04 5.34
125002970	42 10 8	CORNING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/12/09 08/31/09 09/02/09	12/18/09	775.79 184.71 147.77	677.78 154.59 121.27		98.01 30.12 26.50
125002980	18 6	CREE INC	03/23/09 03/24/09	05/18/09	487.42 162.48	433.15 144.47		54.27 18.01

2009 YEAR END SUMMARY



Ref: 00000018 00006290

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002650	13	COMERICA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/13/09	12/18/09	\$ 364.77	\$ 272.35		\$ 92.42
125002660	20 10	COMMERCIAL METALS CO	07/22/08 07/30/08	01/06/09	267.38 133.69	603.14 303.72	(335.76) (170.03)	
125002670	13 22	COMMERCIAL METALS CO	07/30/08 08/06/08	01/14/09	137.36 232.45	394.83 605.74	(257.47) (373.29)	
125002680	4 25	COMMERCIAL METALS CO	08/06/08 08/26/08	01/16/09	44.09 275.56	110.14 632.18	(66.05) (356.62)	
125002690	5 20	COMMERCIAL METALS CO	08/26/08 09/11/08	01/28/09	63.84 255.35	126.44 420.29	(62.60) (164.94)	
125002800	7 5	COMTECH TELECOMMUNICATIONS CORP	12/02/08 12/03/08	02/02/09	260.81 186.30	318.95 225.93	(58.14) (39.63)	
125002810	1	COMTECH TELECOMMUNICATIONS CORP	12/03/08	02/03/09	38.42	45.19	(6.77)	
125002820	81	CONOCOPHILLIPS	05/12/09	05/18/09	3,685.60	3,672.93		12.67
125002830	19 6	CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/12/09 05/13/09	06/11/09	877.31 277.04	861.55 270.38		15.76 6.66
125002840	22	CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/09	06/19/09	956.92	991.39	(34.47)	
125002850	11	CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/09	06/22/09	447.62	495.70	(48.08)	
125002860	23	CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	1,025.13	917.51		107.62
125002870	25	CONOCOPHILLIPS MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09	12/18/09	1,242.78	997.29		245.49



Ref: 00000018 00006293

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003170	2	DST SYS INC DEL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/03/09	09/02/09	\$ 88.99	\$ 65.34		\$ 23.65
125003180	2	DST SYS INC DEL Morgan Stanley Sml	02/03/09	09/18/09	93.55	65.34		28.21
1		th Barney LLC acted as yo ur agent in this transa	02/20/09		46.77	30.12		16.65
125003190	4	DST SYS INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/20/09	10/13/09	176.08	120.47		55.61
125003200	4	DST SYS INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/20/09	10/15/09	178.60	120.47		58.13
125003210	3	DST SYS INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/20/09	10/22/09	132.64	90.36		42.28
125003220	10	DTE ENERGY COMPANY	04/06/09	05/18/09	294.19	286.25		7.94
9			04/07/09		264.77	255.62		9.15
125003230	1	DTE ENERGY COMPANY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/09	09/02/09	34.19	28.40		5.79
125003240	4	DTE ENERGY COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/17/09	10/14/09	143.12	115.96		27.16
125003250	9	DTE ENERGY COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/17/09	11/17/09	362.73	260.91		101.82
125003260	1	DANAHER CORP DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/03/09	12/18/09	74.83	68.29		6.54

2 0 0 9 Y E A R E N D S U M M A R Y



Ref. 00000018 00006292

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002990	6	CREE INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	03/24/09	08/04/09	\$ 193.51	\$ 144.47		\$ 49.04
125003000	7	CREE INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/06/09	08/10/09	221.05	188.06		32.99
125003010	4	CREE INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/06/09	08/12/09	137.77	107.46		30.31
125003020	4	CUBIC CORP DE Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	05/18/09	08/27/09	145.30	141.84		3.46
125003030	4	CUBIC CORP DE Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	05/18/09	08/31/09	140.47	141.84	(1.37)	
125003040	2	CUBIC CORP DE Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	05/18/09	09/02/09	72.57	70.92		1.65
125003050	23	CUMMINS INC	06/26/08	01/06/09	700.10	1,555.16	(855.06)	
125003060	3	CUMMINS INC	06/26/08	03/02/09	56.37	202.85	(146.48)	
	22		07/01/08		413.41	1,412.53	(999.12)	
	1		07/17/08		18.79	66.39	(47.60)	
125003070	22	CUMMINS INC	07/17/08	03/09/09	433.62	1,460.65	(1,027.03)	
125003080	10	CUMMINS INC	07/17/08	03/23/09	256.40	663.93	(407.53)	
	37		07/22/08		948.67	2,567.07	(1,618.40)	
	10		09/23/08		256.39	580.32	(323.93)	
125003090	1	CUMMINS INC	09/23/08	03/31/09	24.89	58.03	(33.14)	
	11		09/25/08		273.78	558.85	(285.07)	
125003160	8	DST SYS INC DEL	12/03/08	05/18/09	306.95	283.96		22.99
	8		12/11/08		306.95	295.86		11.09
	5		02/03/09		191.84	163.34		28.50

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Ref: 00000018 00006295

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003480	9	DILLARDS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/30/09	12/18/09	\$ 170.00	\$ 151.23		\$ 18.77
125003490	21 67 87 31	WALT DISNEY CO	01/20/09 01/21/09 02/17/09 02/18/09	05/07/09	532.72 1,699.62 2,206.97 786.40	436.22 1,398.78 1,560.25 548.63		96.50 300.84 646.72 237.77
125003500	18	DISCOVERY COMMUNICATIONS INC SER A	01/16/09	05/12/09	350.71	262.96		87.75
125003510	4 28 19 14 34	DISCOVERY COMMUNICATIONS INC SER A	01/16/09 02/02/09 03/02/09 03/09/09 03/31/09	05/18/09	78.84 551.91 374.51 275.96 670.19	58.43 405.48 290.37 204.81 550.89		20.41 146.43 84.14 71.15 119.30
125003520	6	DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as your agent	09/16/09	11/20/09	185.75	177.24		8.51
125003530	1 4	DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as your agent	09/16/09 09/21/09	11/30/09	31.74 126.95	29.54 118.34		2.20 8.61
125003540	2	DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as your agent	09/21/09	12/03/09	63.99	59.17		4.82
125003550	3	DOLLAR TREE INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/04/09	08/04/09	137.79	131.85		5.94
125003560	1 2	DOLLAR TREE INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/04/09 06/09/09	08/10/09	46.08 92.15	43.95 88.01		2.13 4.14

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Ref: 00000018 00006294

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003270	6	DARDEN RESTAURANTS INC	05/06/09	05/18/09	\$ 211.43	\$ 235.83	(\$ 24.40)	
125003280	5	DARDEN RESTAURANTS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/06/09	07/13/09	159.55	196.53	(36.98)	
125003290	4	DECKERS OUTDOOR CORP	08/26/08	02/03/09	219.46	436.76	(217.30)	
	2		09/03/08		109.73	230.40	(120.67)	
125003300	2	DECKERS OUTDOOR CORP	09/03/08	02/20/09	110.56	230.39	(119.83)	
	3		09/11/08		165.83	336.64	(170.81)	
125003310	1	DECKERS OUTDOOR CORP	09/11/08	03/02/09	37.95	112.21	(74.26)	
	4		10/24/08		151.79	298.80	(147.01)	
	1		10/30/08		37.95	75.40	(37.45)	
125003320	3	DECKERS OUTDOOR CORP	10/30/08	03/09/09	118.92	226.20	(107.28)	
125003330	16	DEERE & CO	08/06/08	03/31/09	531.41	1,075.23	(543.82)	
	11		09/15/08		365.34	681.31	(315.97)	
	7		09/23/08		232.49	432.97	(200.48)	
125003340	4	DEERE & CO	09/23/08	04/06/09	145.16	247.41	(102.25)	
	12		10/30/08		435.47	453.60	(18.13)	
	20		11/06/08		725.79	723.45		2.34
125003350	17	DEERE & CO	12/09/08	04/07/09	605.85	641.47	(35.62)	
125003360	3	DEERE & CO	12/09/08	04/13/09	112.01	113.20	(1.19)	
125003370	9	DEERE & CO	10/09/09	10/22/09	429.01	385.72		43.29
	14	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/13/09		667.36	595.59		71.77
125003380	63	DELL INC	10/24/08	05/18/09	703.09	739.80	(36.71)	
125003390	7	DELL INC	10/24/08	09/02/09	107.82	82.20		25.62
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08		15.40	12.27		3.13
125003410	10	DEVON ENERGY CORP NEW	02/26/09	04/06/09	480.25	467.71		12.54
	14		02/27/09		672.35	621.82		50.53
125003460	11	DIEBOLD INC	10/24/08	02/03/09	267.96	260.73		7.23
125003470	1	DIEBOLD INC	10/24/08	02/18/09	25.17	23.70		1.47
	12		10/30/08		302.10	339.97	(37.87)	

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Ref: 00000018 00006297

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003670	2	ENSCO INTERNATIONAL INC	06/19/08	01/14/09	\$ 53.56	\$ 160.61	(\$ 107.05)	
	10		09/03/08		267.82	624.25	(356.43)	
	18		09/17/08		482.08	1,029.91	(547.83)	
	2		09/22/08		53.57	120.60	(67.03)	
125003680	9	ENSCO INTERNATIONAL INC	09/22/08	01/16/09	244.36	542.68	(298.32)	
	9		10/07/08		244.37	422.62	(178.25)	
125003690	7	EASTMAN CHEMICAL CO	10/01/08	01/06/09	232.79	382.08	(149.29)	
	3		10/30/08		99.76	118.69	(18.93)	
125003700	10	EASTMAN CHEMICAL CO	10/30/08	01/14/09	296.32	395.62	(99.30)	
125003710	10	EASTMAN CHEMICAL CO	10/30/08	01/16/09	300.34	395.62	(95.28)	
125003720	1	EASTMAN CHEMICAL CO	10/30/08	05/07/09	40.76	39.56		1.20
	8		11/07/08		326.07	317.45		8.62
	11		11/19/08		448.34	357.51		90.83
125003730	11	EASTMAN CHEMICAL CO	02/03/09	05/12/09	435.76	279.75		156.01
125003740	44	EBAY INC	11/07/08	05/18/09	769.12	622.65		146.47
125003750	32	EBAY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/07/08	06/02/09	577.00	452.84		124.16
125003760	17	EDISON INTERNATIONAL	07/16/08	05/18/09	475.65	852.00	(376.35)	
	13		08/21/08		363.73	595.87	(232.14)	
	11		09/22/08		307.77	438.09	(130.32)	
	41		09/30/08		1,147.15	1,619.38	(472.23)	
	12		10/01/08		335.78	479.41	(143.63)	
125003770	13	EDISON INTERNATIONAL	10/01/08	07/07/09	401.82	519.36	(117.54)	
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08		154.55	173.62	(19.07)	
125003780	16	EDISON INTERNATIONAL	10/30/08	07/15/09	495.26	555.59	(60.33)	
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/25/08		61.91	65.67	(3.76)	
125003790	16	EDISON INTERNATIONAL	11/25/08	07/17/09	495.82	525.35	(29.53)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006296

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003570	3	DOLLAR TREE INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	06/09/09	08/12/09	\$ 138.09	\$ 132.02		\$ 6.07
125003580	1	DOLLAR TREE INC	06/09/09	08/19/09	45.14	44.01		1.13
	2	Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	06/11/09		90.27	87.51		2.76
125003590	2	DOLLAR TREE INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	06/11/09	08/26/09	100.99	87.50		13.49
125003600	13	DOMINION RESOURCES INC VA NEW	08/04/09	11/20/09	469.49	438.10		31.39
	11	MorganStanley SmithBarney LLC	08/10/09		397.26	366.30		30.96
	9	acted as your agent	08/12/09		325.03	303.80		21.23
	4	in this transaction	09/02/09		144.46	131.32		13.14
	9		09/21/09		325.03	306.45		18.58
125003610	14	DOW CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/21/09	10/26/09	355.61	369.60	(13.99)	
125003620	63	E I DU PONT DE NEMOURS & CO	02/26/09	03/31/09	1,401.97	1,227.64		174.33
	26		03/02/09		578.59	462.09		116.50
125003630	1	DUKE ENERGY CORP (HOLDING COMPANY) NEW Morgan Stanley Smit th Barney LLC acted as yo	08/26/09	09/02/09	15.18	15.52	(.34)	
125003640	17	DUKE ENERGY CORP	08/26/09	10/09/09	265.12	263.87		1.25
	7	(HOLDING COMPANY) NEW MorganStanley SmithBarney LLC acted as your agent	09/09/09		109.16	108.38		.78
125003650	2	DUKE ENERGY CORP	09/09/09	10/13/09	31.19	30.96		.23
	11	(HOLDING COMPANY) NEW MorganStanley SmithBarney LLC acted as your agent	09/14/09		171.56	171.37		.19
125003660	7	ENSCO INTERNATIONAL INC	06/13/08	01/06/09	219.72	535.35	(315.63)	
	9		06/16/08		282.49	701.72	(419.23)	
	5		06/19/08		156.94	401.54	(244.60)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006299

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003910	7	ENCORE ACQUISITION COMPANY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/21/09	07/14/09	\$ 201.61	\$ 185.89		\$ 15.72
125003920	17	ENDO PHARMACEUTICALS HLDGS INC	03/31/09	05/18/09	272.50	302.93	(30.43)	
125003930	9	ENERGEN CORP	10/01/08	05/18/09	331.48	387.95	(56.47)	
	10		10/02/08		368.31	396.58	(28.27)	
	10		12/11/08		368.31	307.62		60.69
	10		01/06/09		368.31	334.37		33.94
	15		02/02/09		552.46	447.08		105.38
	1		02/03/09		36.83	30.47		6.36
125003940	5	ENERGEN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/03/09	07/07/09	184.48	152.36		32.12
125003950	5	ENERGEN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/03/09	07/17/09	193.49	152.36		41.13
125003960	1	ENERGEN CORP	02/03/09	09/02/09	41.70	30.47		11.23
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/20/09		83.40	52.75		30.65
125003970	4	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/20/09	10/09/09	180.45	105.51		74.94
125003980	4	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/20/09	10/13/09	180.54	105.51		75.03
125003990	3	ENERGEN CORP	02/20/09	10/14/09	136.97	79.13		57.84
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/02/09		45.66	25.22		20.44

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006298

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003800	8 7	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/25/08 12/11/08	07/23/09	\$ 255.17 223.28	\$ 262.67 224.17	(\$ 7.50) (.89)	
125003810	11 5	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/11/08 12/18/08	08/04/09	352.61 160.27	352.27 158.30		34 1.97
125003820	16	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/18/08	08/10/09	506.20	506.58	(.38)	
125003830	1 12	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/18/08 01/14/09	08/12/09	32.10 385.17	31.66 368.03		44 17.14
125003840	3	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/14/09	09/02/09	98.76	92.01		6.75
125003850	4	EDISON INTERNATIONAL MorganStanley SmithBarney LLC acted as your agent in this transaction	01/14/09	12/18/09	140.07	122.67		17.40
125003860	60 29	EL PASO CORP	09/22/08 10/01/08	01/06/09	549.08 265.39	870.90 355.94	(321.82) (90.55)	
125003870	93 44	EL PASO CORP	10/01/08 10/06/08	01/14/09	706.58 334.29	1,141.46 418.54	(434.88) (84.25)	
125003880	39	EL PASO CORP	10/06/08	01/16/09	313.31	370.98	(57.67)	
125003890	10 8	ENCORE ACQUISITION COMPANY	04/06/09 04/07/09	05/18/09	331.19 264.95	259.97 199.80		71.22 65.15
125003900	3 4	ENCORE ACQUISITION COMPANY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/09 04/21/09	06/11/09	115.51 154.02	74.93 106.22		40.58 47.80

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006301

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004100	6	EXELON CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	09/21/09	12/01/09	\$ 293.12	\$ 304.48	(\$ 11.36)	
125004110	5	EXELON CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	09/21/09	12/08/09	250.01	253.73	(3.72)	
125004120	7	EXPEDIA INC	10/22/09	11/16/09	166.03	179.56	(11.53)	
	6	MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/26/09		144.02	160.56	(16.54)	
125004150	13	EXPRESS SCRIPTS INC.COMMON	03/04/08	01/28/09	735.03	801.05	(66.02)	
	8		03/11/08		452.32	455.60	(3.28)	
125004160	4	EXPRESS SCRIPTS INC.COMMON	03/11/08	02/02/09	222.98	227.80	(4.82)	
	11		03/26/08		613.20	691.58	(78.38)	
125004170	3	EXPRESS SCRIPTS INC.COMMON	08/26/09	09/16/09	232.30	217.67		14.63
	3	Morgan Stanley Sml	08/27/09		232.30	216.86		15.44
	1	th Barney LLC acted as yo ur agent in this transa	09/02/09		77.44	72.85		4.59
125004180	1	EXPRESS SCRIPTS INC.COMMON	09/02/09	09/18/09	79.06	72.85		6.21
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125004190	7	EXPRESS SCRIPTS INC.COMMON	09/21/09	10/13/09	568.68	553.58		15.10
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
125004200	12	EXXON MOBIL CORP	06/02/09	06/03/09	861.31	871.62	(10.31)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125004210	7	EXXON MOBIL CORP	06/02/09	06/04/09	509.98	508.45		1.53
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						

2 0 0 9 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref: 00000018 00006300

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004000	6	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/02/09	11/20/09	\$ 256.57	\$ 151.30		\$ 105.27
125004010	6	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/02/09	11/30/09	260.85	151.30		109.55
125004020	6	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/02/09	12/11/09	267.94	151.30		116.64
125004030	9	ENERGY CORPORATION-NEW	03/30/09	05/01/09	592.16	601.25	(9.09)	
125004040	5	ENERGY CORPORATION-NEW	03/30/09	05/18/09	363.59	334.03		29.56
	4		03/31/09		290.87	272.19		18.68
	4		04/06/09		290.87	272.75		18.12
125004050	2	ENERGY CORPORATION-NEW	04/06/09	12/18/09	165.34	136.38		28.96
	7	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/16/09		578.67	459.20		119.47
	3		08/10/09		248.00	232.31		15.69
	4		08/20/09		330.67	318.78		11.89
125004060	4	EXELON CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/10/09	09/02/09	195.96	199.27	(3.31)	
125004070	14	EXELON CORP	08/10/09	10/22/09	707.38	697.45		9.93
	11	MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/20/09		555.80	540.73		15.07
125004080	5	EXELON CORP	08/20/09	10/23/09	249.53	245.79		3.74
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/27/09		149.72	151.26	(1.54)	
	7		09/14/09		349.34	341.69		7.65
	3		09/21/09		149.72	152.24	(2.52)	
125004090	9	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/21/09	11/30/09	432.34	456.71	(24.37)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006303

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004350	7	F5 NETWORKS INC	11/07/08	04/06/09	\$ 160.06	\$ 164.47	(\$ 4.41)	
	5		11/13/08		114.33	105.64		8.69
125004360	8	F5 NETWORKS INC	11/13/08	04/07/09	181.47	169.02		12.45
	4		11/18/08		90.74	88.76		1.98
125004370	8	F5 NETWORKS INC	11/18/08	04/13/09	209.56	177.52		32.04
	3		11/19/08		78.59	64.17		14.42
125004380	9	F5 NETWORKS INC	11/19/08	04/17/09	240.19	192.52		47.67
	2		12/02/08		53.37	45.40		7.97
125004390	10	F5 NETWORKS INC	12/02/08	04/21/09	266.52	227.02		39.50
125004400	9	FIRST SOLAR INC	12/02/08	03/02/09	929.52	1,031.03	(101.51)	
	6		12/03/08		619.68	736.64	(116.96)	
	6		01/05/09		619.67	917.08	(297.41)	
125004410	6	FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/13/09	12/14/09	281.28	276.65		4.63
125004420	6	FIRSTENERGY CORP	10/13/09	12/16/09	279.90	276.65		3.25
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/26/09		93.30	92.20		1.10
125004430	9	FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/26/09	12/18/09	419.75	414.91		4.84
125004440	6	FLOWERVE CORP	02/03/09	05/18/09	420.29	324.28		96.01
	2		02/19/09		140.09	101.08		39.01
125004450	6	FLOWERVE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/19/09	07/08/09	369.88	303.22		66.66
125004460	23	FOREST LABORATORIES INC	05/21/08	05/18/09	536.62	800.96	(264.34)	
125004500	10	FOREST LABORATORIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/03/09	07/23/09	256.00	252.78		3.22

2009 YEAR END SUMMARY



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006302

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004220	13	EXXON MOBIL CORP	06/19/09	09/02/09	\$ 892.32	\$ 924.49	(\$ 32.17)	
	1	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/22/09		68.64	69.30	(.66)	
125004230	24	EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/22/09	12/17/09	1,647.61	1,663.13	(15.52)	
125004240	13	EXXON MOBIL CORP	07/01/09	12/18/09	881.66	919.27	(37.61)	
	4	MorganStanley SmithBarney LLC	07/02/09		271.28	275.91	(4.63)	
	7	acted as your agent in this transaction.	07/07/09		474.74	468.56		6.18
125004250	6	EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09	12/18/09	407.32	401.63		5.69
125004260	11	FLIR SYSTEMS INC	07/30/08	02/20/09	225.74	448.77	(223.03)	
125004270	4	FMC CORP-NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/15/09	08/10/09	197.86	176.24		21.62
125004280	1	FTI CONSULTING INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/17/09	09/02/09	43.85	53.23	(9.38)	
125004290	2	FTI CONSULTING INC	07/17/09	11/20/09	91.84	106.46	(14.62)	
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/31/09		229.59	272.34	(42.75)	
125004300	13	F5 NETWORKS INC	10/24/08	02/02/09	285.08	290.73	(5.65)	
125004310	7	F5 NETWORKS INC	10/24/08	02/03/09	152.61	156.54	(3.93)	
	6		10/27/08		130.80	140.86	(10.06)	
125004320	12	F5 NETWORKS INC	10/27/08	03/02/09	234.52	281.73	(47.21)	
125004330	12	F5 NETWORKS INC	10/30/08	03/09/09	225.49	301.41	(75.92)	
125004340	6	F5 NETWORKS INC	10/30/08	03/31/09	126.84	150.71	(23.87)	
	6		11/07/08		126.85	140.98	(14.13)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006305

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004660	4	GAMESTOP CORP NEW CL A	05/07/09	08/12/09	\$ 101.81	\$ 106.77	(\$ 4.96)	
	10	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/12/09		254.53	259.38	(4.85)	
125004670	17	GAMESTOP CORP NEW CL A	05/12/09	08/19/09	425.92	440.94	(15.02)	
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125004680	35	GAP INC DELAWARE	02/03/09	03/17/09	421.87	394.03		27.84
	33		02/05/09		397.76	371.61		26.15
125004690	14	GAYLORD ENTERTAINMENT CO	10/01/08	05/18/09	206.63	414.84	(208.21)	
	2	NEW	10/06/08		29.52	44.47	(14.95)	
125004700	10	GAYLORD ENTERTAINMENT CO	10/06/08	08/04/09	158.71	222.35	(63.64)	
		NEW Morgan Stanley Smi th Barney LLC acted as yo						
125004710	2	GAYLORD ENTERTAINMENT CO	10/06/08	08/10/09	37.00	44.47	(7.47)	
		NEW Morgan Stanley Smi th Barney LLC acted as yo						
125004720	35	GENERAL DYNAMICS CORP	03/17/09	05/18/09	1,926.70	1,370.98		555.72
125004730	2	GENERAL DYNAMICS CORP	03/17/09	07/07/09	105.16	78.34		26.82
	7	Morgan Stanley Smi	03/23/09		368.05	272.08		95.97
	8	th Barney LLC acted as yo ur agent in this transa	03/24/09		420.62	319.26		101.36
125004740	8	GENERAL DYNAMICS CORP	03/24/09	07/13/09	414.70	319.26		95.44
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125004750	6	GENERAL DYNAMICS CORP	08/04/09	12/18/09	408.94	337.80		71.14
		Morgan Stanley SmithBarney LLC acted as your agent in this transaction.						
125004760	29	GENERAL ELECTRIC CO	01/30/09	03/23/09	293.18	353.03	(59.85)	
125004770	5	GENERAL MILLS INC	08/27/09	11/13/09	337.70	298.08		39.62
	1	Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	09/02/09		67.54	58.59		8.95



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref. 00000018 00006304

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004510	3	FOREST LABORATORIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/03/09	07/27/09	\$ 77.46	\$ 75.83		\$ 1.63
125004520	14	FOSSIL INC	10/01/08	03/31/09	221.70	392.31	(170.61)	
125004530	35	FREEMPORT MCMORAN COPPER & GOLD CL B	12/11/08	02/02/09	867.03	841.32		25.71
125004540	23	FREEMPORT MCMORAN COPPER & GOLD CL B	12/11/08	02/05/09	654.09	552.86		101.23
125004550	73	FREEMPORT MCMORAN COPPER & GOLD CL B	01/06/09	02/26/09	284.39	302.26	(17.87)	
125004560	48	FREEMPORT MCMORAN COPPER & GOLD CL B	01/06/09	02/26/09	2,259.82	2,206.47		53.35
125004570	11	FREEMPORT MCMORAN COPPER & GOLD CL B	01/14/09	03/02/09	495.30	373.06		122.24
125004580	11	FREEMPORT MCMORAN COPPER & GOLD CL B	01/14/09	03/02/09	1,297.67	1,119.16		178.51
125004590	4	FUEL SYSTEMS SOLUTIONS INC	10/27/08	04/13/09	56.01	107.40	(51.39)	
125004600	11	GAMESTOP CORP NEW CL A	10/24/08	01/02/09	250.23	283.18	(32.95)	
125004610	27	GAMESTOP CORP NEW CL A	10/27/08	05/18/09	700.37	790.95	(90.58)	
125004620	15	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	07/17/09	328.25	439.42	(111.17)	
125004630	10	GAMESTOP CORP NEW CL A	05/01/09	07/28/09	229.22	292.95	(63.73)	
125004640	6	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/07/09	08/04/09	137.53	160.16	(22.63)	
125004650	18	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/07/09	08/10/09	485.03	533.85	(48.82)	
125004660	18	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/07/09	08/10/09	436.04	480.46	(44.42)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006307

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004860	4	GOODRICH CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/15/09	10/26/09	\$ 231.88	\$ 205.63		\$ 26.25
125004870	2	GOODRICH CORP	07/15/09	11/03/09	109.33	102.81		6.52
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/30/09		218.67	210.03		8.64
125004880	2	GREEN MOUNTAIN COFFEE ROASTER INC	05/07/09	05/18/09	158.27	149.08		9.19
125004890	3	GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent	05/07/09	12/11/09	197.10	149.09		48.01
125004900	3	GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent	05/07/09	12/14/09	198.13	149.08		49.05
125004910	11	HCC INSURANCE HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/21/09	11/20/09	288.92	305.53	(16.61)	
125004920	6	HCC INSURANCE HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/21/09	11/30/09	155.81	166.65	(10.84)	
125004950	12	HANOVER INSURANCE GROUP INC	05/20/08	05/18/09	397.90	545.85	(147.95)	
125004960	15	HARLEY-DAVIDSON INC	10/24/08	01/28/09	191.67	297.78	(106.11)	
	19		10/30/08		242.78	456.45	(213.67)	
	2		11/07/08		25.56	42.09	(16.53)	
125004970	14	HARLEY-DAVIDSON INC	11/07/08	02/05/09	181.11	294.64	(113.53)	
	16		11/13/08		206.98	276.84	(69.86)	
125004980	27	HARLEY-DAVIDSON INC	04/17/09	05/04/09	579.61	490.44		89.17
125004990	13	HARMAN INTL INDS INC NEW	04/06/09	05/18/09	220.73	195.42		25.31
125005000	5	HARMAN INTL INDS INC NEW	04/06/09	07/15/09	114.15	75.16		38.99
	7	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/13/09		159.82	122.61		37.21

2009 YEAR END SUMMARY



Ref: 00000018 00006306

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004780	25	GILEAD SCIENCES INC	09/22/08	05/18/09	\$ 1,078.73	\$ 1,138.45	(\$ 59.72)	
	18		09/26/08		776.69	856.62	(79.93)	
	12		10/06/08		517.79	517.48		31
	10		12/16/08		431.49	488.39	(36.90)	
	25		12/17/08		1,078.73	1,203.21	(124.48)	
	12		12/29/08		517.79	595.02	(77.23)	
	78		01/16/09		3,365.64	3,753.58	(387.94)	
	7		01/21/09		302.04	341.01	(38.97)	
125004790	15	GILEAD SCIENCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/21/09	07/15/09	679.85	730.72	(50.87)	
125004800	7	GILEAD SCIENCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/21/09	07/28/09	345.51	341.01		4.50
125004810	11	GILEAD SCIENCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/21/09	09/02/09	502.46	535.87	(33.41)	
125004820	19	GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/21/09	12/18/09	813.17	925.58	(112.41)	
125004830	4	GOLDMAN SACHS GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/07/09	09/11/09	707.66	578.19		129.47
125004840	8	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/07/09	12/18/09	1,295.96	1,156.39		139.57
125004850	1	GOODRICH CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/15/09	09/02/09	54.17	51.41		2.76

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006309

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005100	9 75 3	HEWLETT PACKARD CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/14/08 12/09/08 01/06/09	07/07/09	\$ 334.28 2,785.65 111.42	\$ 273.56 2,682.17 114.21	(2.79)	\$ 60.72 103.48
125005110	46 20 30	HEWLETT PACKARD CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/09 02/02/09 02/20/09	07/14/09	1,704.74 741.19 1,111.79	1,751.17 692.23 936.86	(46.43)	48.96 174.93
125005120	1	HEWLETT PACKARD CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	09/02/09	44.39	43.05		1.34
125005130	5	HEWLETT PACKARD CO Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	12/18/09	257.29	215.24		42.05
125005140	41 42 26	HONEYWELL INTL INC	10/06/08 10/24/08 10/30/08	01/05/09	1,412.79 1,447.25 895.91	1,451.13 1,163.65 779.56	(38.34)	283.60 116.35
125005150	49 27 4	HONEYWELL INTL INC	02/19/09 02/26/09 03/02/09	05/12/09	1,617.86 891.47 132.07	1,489.25 754.89 101.65		128.61 136.58 30.42
125005160	16	HONEYWELL INTL INC	03/02/09	05/13/09	501.74	406.58		95.16
125005170	5	HONEYWELL INTL INC	03/02/09	05/18/09	164.20	127.06		37.14
125005180	13	HUDSON CITY BANCORP INC	01/28/08	01/28/09	161.81	206.75	(44.94)	
125005190	17	HUDSON CITY BANCORP INC	02/08/08	02/02/09	196.88	273.13	(76.25)	
125005200	19 7	HUDSON CITY BANCORP INC	02/08/08 03/11/08	02/03/09	221.51 81.61	305.26 111.83	(83.75) (30.22)	
125005210	21 2	HUDSON CITY BANCORP INC	03/11/08 04/01/08	02/05/09	257.41 24.51	335.50 36.63	(78.09) (12.12)	
125005220	24 27	HUDSON CITY BANCORP INC	04/01/08 04/08/08	02/19/09	258.21 290.48	439.50 487.09	(181.29) (196.61)	
125005230	21	HUDSON CITY BANCORP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/09/09	07/01/09	279.53	265.67		13.86

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MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006308

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005010	8	HARMAN INTL INDS INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/13/09	07/17/09	\$ 196 66	\$ 140 12		\$ 56 54
125005020	1	HARMAN INTL INDS INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/13/09	07/20/09	23 38	17.51		5 87
125005030	19 20 7	HEALTH NET INC	04/06/09 04/17/09 04/21/09	05/18/09	303 47 319.44 111 81	286.21 273.04 91 92		17 26 46 40 19 89
125005040	3	HEALTH NET INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/21/09	09/02/09	48 69	39.40		9 29
125005050	9	HEALTH NET INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/21/09	09/30/09	137 47	118.19		19.28
125005060	1 8	HEALTH NET INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/21/09 04/28/09	10/01/09	15.34 122.71	13.13 115.05		2 21 7 66
125005070	9	HEALTH NET INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/28/09	10/13/09	147.35	129.44		17 91
125005080	3	HEALTH NET INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/28/09	10/15/09	47 91	43.14		4 77
125005090	44 88 109 14	HEWLETT PACKARD CO	10/28/08 11/07/08 11/13/08 11/14/08	05/18/09	1,566 03 3,132 06 3,879.48 498 28	1,410 91 3,025.48 3,215 61 425 53		155 12 106 58 663 87 72 75

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006311

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005340	5	ITT EDUCATIONAL SERVICES INC	12/03/08		\$ 499.79	\$ 453.25		\$ 46.54
	4	Morgan Stanley Sml	12/05/08		399.83	351.52		48.31
	2	th Barney LLC acted as yo	12/11/08		199.91	171.07		28.84
		ur agent in this transa						
125005350	2	ITT EDUCATIONAL SERVICES INC	12/11/08	09/02/09	204.17	171.07		33.10
	1	Morgan Stanley Sml	01/06/09		102.08	91.47		10.61
		th Barney LLC acted as yo						
		ur agent in this transa						
125005360	5	ITT EDUCATIONAL SERVICES INC	01/06/09	12/18/09	454.03	457.36	(3.33)	
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						
125005370	10	IDACORP INC	01/16/09	03/02/09	242.29	294.95	(52.66)	
	20	INGRAM MICRO INC CLASS A	03/31/09	05/18/09	316.99	253.46		63.53
	3		04/06/09		47.55	39.47		8.08
125005380	8	INGRAM MICRO INC CLASS A	04/06/09	06/10/09	137.87	105.25		32.62
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125005390	7	INGRAM MICRO INC CLASS A	04/06/09	07/08/09	116.29	92.09		24.20
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125005400	2	INGRAM MICRO INC CLASS A	04/06/09	07/15/09	35.48	26.31		9.17
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125005410	1	INGRAM MICRO INC CLASS A	08/26/09	09/02/09	16.51	16.75	(.24)	
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125005420	9	INTL BUSINESS MACHINES CORP	11/25/08	02/02/09	818.24	723.00		95.24
125005430	12	INTL BUSINESS MACHINES CORP	11/25/08	02/17/09	1,094.71	963.99		130.72
	14		12/02/08		1,277.16	1,100.78		176.38
	21		12/03/08		1,915.75	1,655.58		260.17
	18		12/05/08		1,642.07	1,368.86		273.21
125005440	18	INTL BUSINESS MACHINES CORP	12/05/08	02/19/09	1,605.26	1,368.86		236.40
125005450	6	INTL BUSINESS MACHINES CORP	12/05/08	02/20/09	530.32	456.28		74.04
	5		12/11/08		441.93	409.58		32.35

2009 YEAR END SUMMARY



Ref: 00000018 00006310

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005240	9 5	HUMANA INC	08/06/08 08/26/08	02/05/09	\$ 392.19 217.88	\$ 436.16 229.64	(\$ 43.97) (11.76)	
125005250	11 21 5	HUMANA INC	08/26/08 09/11/08 10/30/08	03/23/09	271.28 517.91 123.31	505.20 991.43 147.42	(233.92) (473.52) (24.11)	
125005260	5 18 10 11	HUMANA INC	10/30/08 11/18/08 11/19/08 11/20/08	03/31/09	132.52 477.06 265.03 291.53	147.41 532.13 285.90 292.72	(14.89) (55.07) (20.87) (1.19)	
125005270	1	HUMANA INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	37.28	32.47		4.81
125005280	6 4	HUMANA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/07/09 07/29/09	11/20/09	242.16 161.44	194.82 130.20		47.34 31.24
125005290	2	HUMANA INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/29/09	11/23/09	83.34	65.10		18.24
125005300	19 17 3	IAC/INTERACTIVECORP	03/31/09 04/06/09 04/07/09	05/18/09	287.66 257.38 45.42	288.47 270.44 47.92	(.81) (13.06) (2.50)	
125005310	14 5	IAC/INTERACTIVECORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/07/09 04/13/09	07/08/09	220.45 78.73	223.61 82.74	(3.16) (4.01)	
125005320	12	IAC/INTERACTIVECORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	04/13/09	07/14/09	194.11	198.57	(4.46)	
125005330	4 6 5 5 4 4 6	ITT EDUCATIONAL SERVICES INC	10/24/08 10/30/08 11/07/08 11/13/08 11/18/08 11/19/08 12/02/08	05/18/09	399.83 599.74 499.79 499.79 399.83 399.83 599.74	294.21 496.07 428.36 404.11 324.68 322.11 544.13		105.62 103.67 71.43 95.68 75.15 77.72 55.61

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006313

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005580	5	IRON MTN INC (DEL)	06/04/09	10/02/09	\$ 127.16	\$ 140.64	(\$ 13.48)	
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/05/09		101.73	113.74	(12.01)	
125005590	7	IRON MTN INC (DEL)	06/05/09	10/05/09	181.73	199.05	(17.32)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005600	7	IRON MTN INC (DEL)	06/05/09	11/20/09	170.10	199.05	(28.95)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005610	8	IRON MTN INC (DEL)	06/05/09	11/30/09	192.50	227.48	(34.98)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005620	1	IRON MTN INC (DEL)	06/05/09	12/03/09	24.00	28.43	(4.43)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005630	1	J.CREW GROUP INC	11/30/09	12/18/09	43.98	42.48		1.50
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005640	12	JPMORGAN CHASE & CO	10/09/09	12/08/09	491.02	545.64	(54.62)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005650	18	JPMORGAN CHASE & CO	10/09/09	12/18/09	733.66	818.47	(84.81)	
	18	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/14/09		733.66	848.42	(114.76)	
125005660	15	JOHNSON & JOHNSON	04/28/09	05/08/09	827.98	763.89		64.09
	5		04/29/09		275.99	253.73		22.26
125005670	15	JOHNSON & JOHNSON	04/29/09	05/13/09	827.89	761.20		66.69
	13		05/07/09		717.50	712.57		4.93
125005680	30	JOHNSON CONTROLS INC	11/06/08	03/09/09	284.97	518.41	(233.44)	
125005690	12	JOHNSON CONTROLS INC	11/06/08	03/17/09	114.85	207.36	(92.51)	

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Ref. 00000018 00006312

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005460	6	INTL BUSINESS MACHINES CORP	12/11/08	02/23/09	\$ 516.70	\$ 491.49		\$ 25.21
125005470	20	INTL BUSINESS MACHINES CORP	12/11/08	02/24/09	1,706.28	1,638.31		67.97
125005480	10	INTL BUSINESS MACHINES CORP	12/11/08	02/27/09	911.58	819.15		92.43
125005490	7	INTL BUSINESS MACHINES CORP	12/11/08	03/02/09	629.72	573.41		56.31
	11		01/02/09		989.55	950.68		38.87
	19		01/05/09		1,709.23	1,651.23		58.00
125005500	8	INTL BUSINESS MACHINES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	930.64	806.51		124.13
125005510	11	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09	12/18/09	1,404.24	1,108.96		295.28
125005520	20	INTERNATIONAL RECTIFIER CORP	02/03/09	05/18/09	270.39	271.92	(1.53)	
	3		02/20/09		40.56	37.42		3.14
125005530	8	INTERNATIONAL RECTIFIER CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/20/09	08/10/09	132.75	99.80		32.95
125005540	8	INTERNATIONAL RECTIFIER CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/20/09	08/12/09	135.01	99.80		35.21
125005550	1	INTERNATIONAL RECTIFIER CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/20/09	08/19/09	17.15	12.47		4.68
125005560	5	IRON MTN INC (DEL) Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/04/09	09/02/09	140.71	140.65		06
125005570	11	IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	09/30/09	293.02	309.42	(16.40)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006315

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005850	7	KOHL'S CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/26/09	07/02/09	\$ 291.70	\$ 309.39	(\$ 17.69)	
125005860	2	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/10/09	09/02/09	56.12	51.92		4.20
125005870	9	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/10/09	11/13/09	242.54	233.65		8.89
	5	Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/31/09		134.75	143.03	(8.28)	
125005880	18	KROGER CO	04/28/09	05/18/09	390.58	380.31		10.27
125005890	3	KROGER CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/28/09	09/02/09	64.92	63.38		1.54
125005900	11	KROGER CO	04/28/09	12/11/09	220.40	232.41	(12.01)	
	4	Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/07/09		80.14	86.21	(6.07)	
125005910	3	KROGER CO	07/07/09	12/14/09	59.81	64.65	(4.84)	
	8	Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/17/09		159.51	174.89	(15.38)	
125005920	3	KROGER CO	07/17/09	12/18/09	60.55	65.58	(5.03)	
	8	Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	09/18/09		161.46	165.81	(4.35)	
	9	Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	09/21/09		181.63	185.65	(4.02)	
125005930	8	LHC GROUP LLC	12/02/08	05/18/09	187.83	280.29	(92.46)	
	1		12/03/08		23.48	33.90	(10.42)	
125005940	1	LHC GROUP LLC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/03/08	09/02/09	25.28	33.90	(8.62)	

2009 YEAR END SUMMARY



Ref: 00000018 00006314

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005700	38 18	KBR INC	10/20/08	05/18/09	\$ 667 35	\$ 639.89		\$ 27 46
			10/24/08		316.12	243.89		72 23
125005710	2	KBR INC	10/24/08	09/02/09	43.36	27.10		16.26
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/11/08		43.36	30 67		12.69
125005720	6	KBR INC	12/11/08	09/16/09	139 29	92.00		47.29
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125005730	7	KBR INC	12/11/08	09/19/09	162.28	107.34		54 94
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125005740	4	KBR INC	12/11/08	09/29/09	93 10	61 33		31.77
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/17/08		69 82	47.77		22 05
125005750	6	KBR INC	12/17/08	09/30/09	138 25	95 55		42 70
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125005760	8	KBR INC	12/17/08	10/13/09	185 73	127.39		58.34
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005770	2	KBR INC	12/17/08	10/15/09	47.89	31.85		16.04
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005830	4	KELLOGG CO	08/04/09	12/15/09	213.60	187 73		25 87
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/02/09		53.40	46.74		6 66
125005840	1	KIMBERLY CLARK CORP	11/30/09	12/18/09	63.33	65 83	(2 50)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						

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Ref: 00000018 00006317

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006080	10	ELI LILLY & CO	12/11/08	05/18/09	\$ 345.23	\$ 359.63	(\$ 14.40)	
	36		02/02/09		1,242.83	1,333.32	(90.49)	
	23		03/24/09		794.03	774.24		19.79
125006090	3	LINEAR TECHNOLOGY CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/04/09	12/18/09	87.50	82.05		5.45
125006100	19	LOCKHEED MARTIN CORP	03/02/09	05/18/09	1,525.28	1,161.64		363.64
	18		03/09/09		1,445.00	1,057.46		387.54
	9		03/17/09		722.50	583.96		138.54
125006110	3	LOCKHEED MARTIN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/17/09	09/02/09	224.61	194.65		29.96
125006120	3	LOCKHEED MARTIN CORP	03/17/09	10/26/09	214.94	194.65		20.29
	11	MorganStanley SmithBarney LLC	03/23/09		788.10	751.10		37.00
	10	acted as your agent in this transaction.	03/24/09		716.45	692.56		23.89
125006130	6	LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/09	10/29/09	412.87	415.54	(2.67)	
125006140	6	MDC HOLDINGS INC	06/26/08	01/06/09	199.11	240.93	(41.82)	
	4		09/11/08		132.74	159.13	(26.39)	
125006150	9	MDC HOLDINGS INC	09/11/08	01/14/09	283.10	358.03	(74.93)	
125006160	9	MDC HOLDINGS INC	10/24/08	01/16/09	283.30	254.57		28.73
125006170	1	MDC HOLDINGS INC	10/24/08	01/28/09	32.99	28.28		4.71
125006180	9	MARATHON OIL CORP	06/01/09	06/30/09	269.54	297.17	(27.63)	
	6	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/02/09		179.70	199.89	(20.19)	
125006190	35	MARSHALL & ILSLEY CORP NEW	01/16/09	05/18/09	301.83	277.18		24.65
	17		01/28/09		146.60	117.61		28.99
125006200	37	MARSHALL & ILSLEY CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/28/09	06/03/09	227.42	255.99	(28.57)	

2009 YEAR END SUMMARY



Ref: 00000018 00006316

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005950	5	LHC GROUP LLC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/03/08	09/30/09	\$ 148.30	\$ 169.52	(\$ 21.22)	
125005960	1	LHC GROUP LLC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/03/08	10/13/09	29.89	33.91	(4.02)	
125005970	9	L 3 COMMUNICATIONS HLDGS INC	03/09/09	05/18/09	295.63	232.29		63.34
125005980	1	L 3 COMMUNICATIONS HLDGS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/23/09	09/02/09	665.17	589.28		75.89
125005990	2	L 3 COMMUNICATIONS HLDGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/23/09	12/18/09	75.09	65.48		9.61
125006000	5 3	LEAP WIRELESS INTERNATIONAL INC NEW Morgan Stanley Smi th Barney LLC acted as yo	07/15/09 07/28/09	08/10/09	90.83 54.49	144.28 81.84	(53.45) (27.35)	
125006010	4	LEAP WIRELESS INTERNATIONAL INC NEW Morgan Stanley Smi th Barney LLC acted as yo	07/28/09	08/19/09	64.69	109.12	(44.43)	
125006050	4	LEXMARK INTL GROUP INC CL A Morgan Stanley Smi th Barney LLC acted as yo	05/12/09	09/02/09	72.72	68.93		3.79
125006060	6	LEXMARK INTL GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/12/09	12/18/09	151.13	103.40		47.73
125006070	41 10 13	ELI LILLY & CO	10/23/08 11/25/08 12/11/08	05/12/09	1,476.50 360.12 468.16	1,339.14 326.02 467.52		137.36 34.10 .64

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006319

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006340	17	MEDTRONIC INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/19/09	07/01/09	\$ 588.96	\$ 544.65		\$ 44.31
125006350	1	MEDTRONIC INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	11/30/09	12/18/09	43.01	42.35		.66
125006360	43	MERCK & CO INC	04/01/08	03/24/09	1,196.71	1,645.74	(449.03)	
	21		04/08/08		584.44	863.72	(279.28)	
	17		04/24/08		473.12	677.90	(204.78)	
	21		07/08/08		584.44	780.79	(196.35)	
	25		07/16/08		695.76	914.66	(218.90)	
	27		10/06/08		751.42	805.54	(54.12)	
	45		10/30/08		1,252.38	1,355.86	(103.48)	
125006370	33	MERCK & CO INC	10/30/08	03/30/09	874.82	994.30	(119.48)	
	28		11/04/08		742.27	857.71	(115.44)	
125006380	25	MERCK & CO INC	11/04/08	03/31/09	671.74	765.82	(94.08)	
	38		11/25/08		1,021.05	968.29		52.76
125006390	4	METLIFE INC	03/03/08	01/06/09	144.72	229.90	(85.18)	
	95		12/02/08		3,437.19	2,234.34		1,202.85
125006400	21	METLIFE INC	09/21/09	12/18/09	739.38	805.82	(66.44)	
	3	Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	09/29/09		105.63	116.01	(10.38)	
125006410	127	MICROSOFT CORP	12/18/08	05/18/09	2,593.53	2,489.38		104.15
	62		12/31/08		1,266.13	1,212.47		53.66
	37		01/02/09		755.59	742.73		12.86
	66		01/05/09		1,347.82	1,344.78		3.04
	49		01/28/09		1,000.65	883.64		117.01
125006420	74	MICROSOFT CORP	01/28/09	06/02/09	1,588.46	1,334.47		253.99
		Morgan Stanley Smith Barney LLC acted as your agent in this transaction.						
125006430	23	MICROSOFT CORP	01/28/09	06/04/09	499.94	414.77		85.17
	88	Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/02/09		1,912.81	1,574.59		338.22

2009 YEAR END SUMMARY



MorganStanley SmithBarney

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Ref: 00000018 00006318

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006210	1	MASTERCARD INC	09/21/09	12/18/09	\$ 253.24	\$ 223.26		\$ 29.98
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction	11/20/09		506.49	460.46		46.03
125006220	1	MCAFEЕ INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/16/09	12/18/09	38.88	42.69	(3.81)	
125006230	3	MCAFEЕ INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/16/09	12/24/09	122.27	128.08	(5.81)	
125006240	2	MCAFEЕ INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/16/09	12/28/09	82.01	85.38	(3.37)	
125006250	6	MCKESSON CORPORATION	05/05/09	05/18/09	234.05	239.72	(5.67)	
125006260	5	MCKESSON CORPORATION	05/05/09	08/10/09	269.63	199.76		69.87
	4	Morgan Stanley Smi	07/07/09		215.70	179.29		36.41
	1	th Barney LLC acted as yo ur agent in this transa	07/15/09		53.93	43.95		9.98
125006270	5	MCKESSON CORPORATION	07/15/09	08/19/09	274.19	219.78		54.41
	4	Morgan Stanley Smi	07/17/09		219.35	181.84		37.51
	6	th Barney LLC acted as yo ur agent in this transa	07/30/09		329.04	307.11		21.93
125006280	24	MEADWESTVACO CORP	12/11/08	05/12/09	369.02	289.13		99.89
	30		01/06/09		461.27	367.16		94.11
	5		01/14/09		76.88	55.10		21.78
125006290	24	MEADWESTVACO CORP	01/14/09	05/18/09	370.44	264.48		105.96
	24		02/03/09		370.44	275.44		95.00
125006300	16	MEDCO HEALTH SOLUTIONS INC	02/02/09	03/09/09	601.68	722.21	(120.53)	
125006310	6	MEDCO HEALTH SOLUTIONS INC	02/02/09	03/13/09	240.67	270.83	(30.16)	
	6		02/03/09		240.67	283.53	(42.86)	
125006320	1	MEDCO HEALTH SOLUTIONS INC	02/03/09	03/17/09	40.75	47.25	(6.50)	
125006330	15	MEDCO HEALTH SOLUTIONS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	12/18/09	944.85	785.68		159.17

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006321

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006660	21 4	MONSANTO CO NEW	06/06/08 12/15/08	04/07/09	\$ 1,642.12 312.79	\$ 2,308.80 287.97	(\$ 666.68)	24.82
125006670	1	MONSANTO CO NEW	12/15/08	04/13/09	82.77	71.99		10.78
125006680	8 9	MORGAN STANLEY MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/23/09 11/18/09	12/18/09	232.48 261.55	281.92 298.30	(49.44) (36.75)	
125006690	38 18 4	MOSAIC COMPANY	12/22/08 03/02/09 03/09/09	05/18/09	2,069.58 980.33 217.84	1,181.45 687.58 161.36		888.13 292.75 56.48
125006700	13 9	MOSAIC COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/09/09 03/24/09	07/07/09	547.86 379.29	524.40 399.92	(20.63)	23.46
125006710	22	MOSAIC COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/24/09	07/13/09	897.75	977.58	(79.83)	
125006720	113 120	MOTOROLA INC DE	12/11/08 12/18/08	03/31/09	478.16 507.79	479.45 517.94	(1.29) (10.15)	
125006730	12 9	MURPHY OIL CORP	04/06/09 04/07/09	05/05/09	616.23 462.17	565.73 416.27		50.50 45.90
125006740	3	MURPHY OIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/07/09	07/16/09	163.47	152.30		11.17
125006750	3	MURPHY OIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/07/09	07/17/09	165.99	152.29		13.70
125006760	2	MURPHY OIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/07/09	07/27/09	117.16	101.53		15.63
125006770	6	MURPHY OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/09/09	12/18/09	321.83	366.30	(44.47)	

2009 YEAR END SUMMARY



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006320

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006440	10	MICROSOF CORP	02/02/09	06/05/09	\$ 220.55	\$ 178.93		\$ 41.62
	38	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/03/09		838.08	688.20		149.88
125006450	12	MICROSOF CORP	02/03/09	06/08/09	261.24	217.32		43.92
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125006460	2	MICROSOF CORP	11/13/09	12/18/09	59.99	59.04		95
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125006500	23	MIRANT CORP NEW	07/22/08	01/06/09	456.94	794.77	(337.83)	
	3		07/31/08		59.60	91.89	(32.29)	
125006510	33	MIRANT CORP NEW	07/31/08	01/14/09	597.35	1,010.80	(413.45)	
125006520	6	MIRANT CORP NEW	07/31/08	01/16/09	107.00	183.78	(76.78)	
	19		08/06/08		338.84	539.65	(200.81)	
125006530	11	MIRANT CORP NEW	08/06/08	01/26/09	197.84	312.43	(114.59)	
125006540	5	MIRANT CORP NEW	06/25/09	09/02/09	80.74	71.51		9.23
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125006550	8	MIRANT CORP NEW	06/25/09	12/18/09	123.13	114.41		8.72
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125006560	21	MOLEX INC	03/02/09	05/18/09	324.23	222.57		101.66
	4		03/09/09		61.76	39.42		22.34
125006570	11	MOLEX INC	03/09/09	09/02/09	194.44	108.42		86.02
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125006580	7	MOLEX INC	03/09/09	09/02/09	123.69	68.99		54.70
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125006650	28	MONSANTO CO NEW	04/30/08	04/06/09	2,201.29	3,215.24	(1,013.95)	
	5		08/06/08		393.10	549.71	(156.61)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006323

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006900	2 18 3	NCR CORP NEW	10/30/08 11/25/08 12/03/08	02/05/09	\$ 21.09 189.77 31.62	\$ 35.91 253.92 42.02	(\$ 14.82) (64.15) (10.40)	
125006910	15 20	NCR CORP NEW	12/03/08 02/18/09	03/02/09	110.67 147.55	210.09 193.22	(99.42) (45.67)	
125006920	8	NCR CORP NEW	02/18/09	03/04/09	59.45	77.29	(17.84)	
125006930	6	NRG ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/09/09	10/09/09	164.98	159.62		5.36
125006940	4	NRG ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/09/09	10/13/09	112.12	106.42		5.70
125006950	3	NETAPP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/09	12/18/09	99.44	91.05		8.39
125006960	12 12 9 8 18	NETFLIX INC	01/28/09 02/02/09 02/03/09 02/05/09 03/02/09	05/18/09	460.23 460.23 345.17 306.82 690.35	428.43 442.53 329.74 291.04 624.02		31.80 17.70 15.43 15.78 66.33
125006970	2 15 18 7	NETFLIX INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/02/09 03/09/09 03/23/09 03/31/09	09/02/09	83.82 628.64 754.36 293.36	69.34 587.60 764.12 292.56		14.48 41.04 (9.76) .80
125006980	2	NEWFIELD EXPLORATION COMPANY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/03/09	09/02/09	76.09	69.03		7.06
125006990	4 3	NEWFIELD EXPLORATION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/03/09 06/04/09	10/13/09	184.00 138.00	138.05 108.21		45.95 29.79



Ref: 00000018 00006322

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006780	16	MYLAN INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/12/09	09/02/09	\$ 228.33	\$ 220.85		\$ 7.48
125006790	20	MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/12/09	11/18/09	352.46	276.06		76.40
125006800	17	MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/12/09	11/20/09	297.63	234.66		62.97
125006810	15	MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/12/09	11/23/09	269.79	207.05		62.74
125006820	8	MYLAN INC	05/12/09	11/30/09	143.05	110.42		32.63
7		MorganStanley SmithBarney LLC acted as your agent in this transaction	05/18/09		125.16	91.83		33.33
125006830	16	MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/18/09	12/11/09	294.78	209.89		84.89
125006840	13	MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/18/09	12/14/09	243.48	170.53		72.95
125006850	45	MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/18/09	12/18/09	828.13	590.31		237.82
125006860	5	MYRIAD GENETICS INC	12/02/08	02/02/09	358.34	286.62		71.72
125006870	2	MYRIAD GENETICS INC	12/02/08	03/31/09	92.49	57.33		35.16
125006880	21	NCR CORP NEW	10/24/08	01/16/09	283.93	341.63	(57.70)	
125006890	9	NCR CORP NEW	10/24/08	02/03/09	108.81	146.41	(37.60)	
18			10/30/08		217.61	323.19	(105.58)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006325

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007120	6	NORTHROP GRUMMAN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/18/09	06/05/09	\$ 291.27	\$ 274.19		\$ 17.08
125007130	1	NORTHROP GRUMMAN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/18/09	06/08/09	48.24	45.70		2.54
125007140	6	NORTHROP GRUMMAN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/14/09	12/18/09	333.23	269.35		63.88
125007150	18	NOVELLUS SYS INC	09/22/08	03/31/09	299.43	367.20	(67.77)	
125007160	3 13	NOVELLUS SYS INC	09/22/08 10/30/08	04/07/09	49.69 215.31	61.20 190.31	(11.51)	25.00
125007170	7 9	NOVELLUS SYS INC	10/30/08 12/02/08	04/13/09	123.74 159.09	102.47 103.41		21.27 55.68
125007180	13	NOVELLUS SYS INC	12/02/08	04/17/09	229.87	149.36		80.51
125007190	1 8	OGE ENERGY CORP	10/24/08 10/30/08	05/18/09	25.73 205.83	25.08 228.86	(23.03)	.65
125007200	5	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/30/08	10/09/09	164.90	143.04		21.86
125007210	2 3	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/30/08 09/02/09	10/13/09	66.66 99.98	57.22 92.58		9.44 7.40
125007220	1 4	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/02/09 09/09/09	11/16/09	34.32 137.26	30.86 125.47		3.46 11.79
125007230	15	OIL STATES INTERNATIONAL INC	03/25/08	01/06/09	326.28	662.75	(336.47)	
125007240	6 8	OIL STATES INTERNATIONAL INC	03/25/08 03/26/08	01/14/09	115.18 153.57	265.10 362.29	(149.92) (208.72)	
125007250	6 9	OIL STATES INTERNATIONAL INC	03/26/08 04/01/08	01/16/09	117.05 175.57	271.72 412.14	(154.67) (236.57)	

2009 YEAR END SUMMARY



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006324

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007000	4	NEWFIELD EXPLORATION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	10/15/09	\$ 192.56	\$ 144.27		\$ 48.29
125007010	2	NEWFIELD EXPLORATION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/09/09	12/18/09	94.79	71.76		23.03
125007020	2	NEWMARKET CORP (HOLDING COMPANY) Morgan Stanley Sml th Barney LLC acted as yo	06/11/09	08/04/09	158.16	151.18		6.98
125007030	6	NORFOLK SOUTHERN CORP	12/08/08	01/07/09	290.37	292.51	(2.14)	
125007040	54	NORFOLK SOUTHERN CORP	01/16/09	03/31/09	1,818.19	2,042.41	(224.22)	
125007050	14	NORFOLK SOUTHERN CORP	01/16/09	04/16/09	521.04	529.51	(8.47)	
	7	NORFOLK SOUTHERN CORP	02/05/09		260.52	276.40	(15.88)	
125007060	19	NORFOLK SOUTHERN CORP	02/05/09	04/17/09	706.43	750.22	(43.79)	
125007070	14	NORFOLK SOUTHERN CORP	06/09/09	07/07/09	508.23	575.28	(67.05)	
	28	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09		1,016.47	1,141.08	(124.61)	
125007080	1	NORFOLK SOUTHERN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09	07/09/09	36.37	40.75	(4.38)	
125007090	19	NORTHROP GRUMMAN CORP	10/24/08	01/21/09	894.17	832.81		61.36
	8		10/27/08		376.49	345.91		30.58
125007100	6	NORTHROP GRUMMAN CORP	10/27/08	05/18/09	285.59	259.43		26.16
	12		10/30/08		571.18	550.65		20.53
	9		11/06/08		428.39	405.92		22.47
	6		02/18/09		285.59	274.20		11.39
125007110	17	NORTHROP GRUMMAN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/18/09	06/04/09	815.56	776.89		38.67

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006327

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007370	3	ORACLE CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	07/21/09	09/02/09	\$ 65.64	\$ 64.71		\$.93
125007380	9 6 11	ORACLE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/21/09 07/30/09 08/03/09	11/30/09	197.55 131.70 241.45	194.13 134.01 244.17	(2.31) (2.72)	3.42
125007390	7	OVERSEAS SHIPHOLDING GROUP INC	01/15/08	01/06/09	318.73	450.99	(132.26)	
125007400	1 6	OVERSEAS SHIPHOLDING GROUP INC	01/15/08 01/16/08	01/14/09	39.70 238.21	64.43 372.02	(24.73) (133.81)	
125007410	2 5	OVERSEAS SHIPHOLDING GROUP INC	01/16/08 01/24/08	01/16/09	79.73 199.31	124.01 311.68	(44.28) (112.37)	
125007440	9	OVERSEAS SHIPHOLDING GROUP INC	10/30/08	03/23/09	233.56	320.04	(86.48)	
125007450	17 16 7	OWENS CORNING INC NEW	10/01/08 10/24/08 10/30/08	03/09/09	91.88 86.48 37.83	408.59 268.96 109.31	(316.71) (182.48) (71.48)	
125007460	12	OWENS CORNING INC NEW	10/30/08	04/03/09	124.86	187.39	(62.53)	
125007470	1	OWENS ILLINOIS INC NEW Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	33.09	26.70		6.39
125007480	1	OWENS ILLINOIS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09	12/18/09	32.27	26.70		5.57
125007490	21	PNC FINANCIAL SERVICES GROUP	02/02/09	02/09/09	689.79	655.11		34.68
125007500	8 7	PPG INDUSTRIES INC	12/02/08 12/03/08	01/02/09	344.04 301.04	328.44 296.85		15.60 4.19
125007510	16	PPG INDUSTRIES INC	02/26/09	03/31/09	589.50	515.76		73.74
125007520	1	PPL CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	07/28/09	09/02/09	29.20	33.12	(3.92)	



Ref: 00000018 00006326

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007260	2	OIL STATES INTERNATIONAL INC	04/01/08	02/05/09	\$ 37.23	\$ 91.59	(\$ 54.36)	
125007270	69	OLD REPUBLIC INTERNATIONAL CORP	11/20/08	05/18/09	685.32	543.90		141.42
125007280	2	OLD REPUBLIC INTERNATIONAL CORP	11/20/08	07/07/09	18.84	15.76		3.08
		Morgan Stanley Sml th Barney LLC acted as yo						
125007290	14	OLD REPUBLIC INTERNATIONAL CORP	11/20/08	07/15/09	132.37	110.36		22.01
		Morgan Stanley Sml th Barney LLC acted as yo						
125007300	5	OLD REPUBLIC INTERNATIONAL CORP	11/20/08	07/17/09	46.49	39.41		7.08
10		Morgan Stanley Sml th Barney LLC acted as yo	02/20/09		92.98	93.18	(.20)	
125007310	14	OLD REPUBLIC INTERNATIONAL CORP	02/20/09	07/23/09	136.49	130.44		6.05
		Morgan Stanley Sml th Barney LLC acted as yo						
125007320	5	OLD REPUBLIC INTERNATIONAL CORP	02/20/09	07/27/09	51.37	46.59		4.78
		Morgan Stanley Sml th Barney LLC acted as yo						
125007330	10	OMNICARE INC	02/02/09	05/18/09	265.21	283.73	(18.52)	
10			02/03/09		265.21	283.13	(17.92)	
14			03/09/09		371.30	326.47		44.83
6			03/23/09		159.13	143.05		16.08
125007340	5	OMNICARE INC	03/23/09	09/02/09	115.25	119.20	(3.95)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125007350	7	OMNICARE INC	03/23/09	12/18/09	165.33	166.89	(1.56)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125007360	16	OMNICOM GROUP INC	11/19/08	05/01/09	509.97	380.11		129.86
11			11/20/08		350.61	250.40		100.21

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006329

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007630	2	PENN NATIONAL GAMING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/21/09	12/18/09	\$ 54.07	\$ 54.73	(\$ 66)	
125007640	13 4	PENN VIRGINIA CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/12/09 05/18/09	06/03/09	260.89 80.28	294.26 79.16	(33.37)	1.12
125007650	20 22	J C PENNEY CO INC	12/09/08 12/11/08	01/02/09	402.13 442.35	435.45 463.62	(33.32) (21.27)	
125007660	28	J C PENNEY CO INC	01/06/09	04/21/09	719.79	619.95		99.84
125007670	32 40 30	J C PENNEY CO INC	01/16/09 03/31/09 04/06/09	05/18/09	858.79 1,073.49 805.12	608.73 798.11 658.97		250.06 275.38 146.15
125007680	6 7	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09 04/07/09	07/07/09	162.02 189.03	131.80 147.93		30.22 41.10
125007690	16	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/09	07/15/09	448.36	338.13		110.23
125007700	5 8	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/09 04/13/09	07/17/09	142.71 228.34	105.67 210.57		37.04 17.77
125007710	12	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/13/09	07/21/09	349.77	315.85		33.92
125007720	6 4	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/13/09 04/17/09	07/23/09	177.96 118.64	157.92 104.93		20.04 13.71
125007730	9	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/17/09	07/30/09	266.08	236.09		29.99



Ref: 00000018 00006328

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007530	2	PPL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/28/09	12/18/09	\$ 64.99	\$ 66.24	(\$ 1.25)	
125007540	8	PARKER-HANNIFIN CORP	10/24/08	01/28/09	332.71	297.22		35.49
125007550	9	PARKER-HANNIFIN CORP	10/30/08		374.29	337.36		36.93
125007560	1	PARKER-HANNIFIN CORP	10/30/08	02/03/09	38.42	37.48		.94
125007560	13	PARKER-HANNIFIN CORP	11/25/08		499.41	502.61	(3.20)	
125007560	24	PATTERSON UTI ENERGY INC	01/06/09	05/18/09	309.84	309.22		.62
125007560	28	PATTERSON UTI ENERGY INC	03/02/09		361.48	221.80		139.68
125007560	25	PATTERSON UTI ENERGY INC	03/09/09		322.76	205.09		117.67
125007570	3	PATTERSON UTI ENERGY INC	03/09/09	07/07/09	35.15	24.61		10.54
125007570	12	Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	03/31/09		140.62	109.50		31.12
125007580	13	PATTERSON UTI ENERGY INC	03/31/09	07/15/09	178.94	118.63		60.31
125007580		Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa						
125007590	8	PATTERSON UTI ENERGY INC	03/31/09	07/17/09	113.67	73.00		40.67
125007590	3	Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	05/07/09		42.62	43.32	(.70)	
125007600	10	PATTERSON UTI ENERGY INC	05/07/09	07/23/09	146.22	144.41		1.81
125007600		Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa						
125007610	1	PATTERSON UTI ENERGY INC	05/07/09	09/02/09	12.94	14.44	(1.50)	
125007610		Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa						
125007620	6	PATTERSON UTI ENERGY INC	05/07/09	10/13/09	98.91	86.65		12.26
125007620		MorganStanley SmithBarney LLC acted as your agent in this transaction						

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006331

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007850	11	PIONEER NATURAL RESOURCES CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/12/09	09/02/09	\$ 313.18	\$ 293.37		\$ 19.81
125007860	9	PLAINS EXPLORATION& PRODUCTION COMPANY	04/21/09	05/18/09	209.06	174.68		34.38
125007870	6	PLAINS EXPLORATION& PRODUCTION COMPANY	04/21/09	06/01/09	181.24	116.46		64.78
125007880	6 12	PLAINS EXPLORATION& PRODUCTION COMPANY MorganStanley SmithBarney LLC acted as your agent	08/27/09 09/02/09	10/09/09	168.11 336.21	154.61 316.80		13.50 19.41
125007890	7 4	PLAINS EXPLORATION& PRODUCTION COMPANY MorganStanley SmithBarney LLC acted as your agent	09/02/09 09/02/09	10/13/09	197.81 113.04	184.80 105.83		13.01 7 21
125007900	2	PLAINS EXPLORATION& PRODUCTION COMPANY MorganStanley SmithBarney LLC acted as your agent	09/02/09	10/14/09	57.74	52.91		4.83
125007910	1	PRICELINE.COM INC (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/04/09	12/18/09	215.49	131.08		84.41
125007920	6	PROCTER & GAMBLE CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/10/09	09/02/09	317.75	311.56		6.19
125007930	38 19	PROCTER & GAMBLE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09 08/12/09	12/18/09	2,311.17 1,155.59	1,973.18 986.98		337.99 168.61
125007940	12	PROTECTIVE LIFE CORP	09/22/08	03/23/09	63.22	376.27	(313.05)	
125007950	7 2	PRUDENTIAL FINANCIAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/09/09 10/13/09	12/18/09	346.94 99.12	357.17 99.25	(10.23) (.13)	
125007960	30	PUBLIC SERVICE ENTERPRISE GRP	01/06/09	01/21/09	911.69	926.68	(14.99)	



2009 YEAR END SUMMARY

Ref: 00000018 00006330

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007740	3	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/16/09	12/18/09	\$ 177.71	\$ 186.91	(\$ 9.20)	
125007750	92	PFIZER INC	07/08/08	01/28/09	1,394.24	1,655.90	(261.66)	
	74		07/17/08		1,121.46	1,346.76	(225.30)	
	56		10/02/08		848.67	1,060.83	(212.16)	
	32		10/06/08		484.95	576.76	(91.81)	
	39		10/09/08		591.04	652.73	(61.69)	
125007760	44	PFIZER INC	10/09/08	02/02/09	658.32	736.42	(78.10)	
	42		10/15/08		628.40	700.94	(72.54)	
	122		10/24/08		1,825.35	2,030.24	(204.89)	
	75		10/30/08		1,122.15	1,320.28	(198.13)	
125007770	127	PFIZER INC	10/30/08	02/03/09	1,918.33	2,235.67	(317.34)	
125007780	4	PFIZER INC	10/30/08	02/05/09	58.05	70.41	(12.36)	
	21		11/25/08		304.77	333.66	(28.89)	
125007790	4	PHILIP MORRIS INTL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	182.87	177.40		5.47
125007800	6	PHILIP MORRIS INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09	12/18/09	291.53	266.09		25.44
125007810	13	PIONEER NATURAL RESOURCES CO	04/08/09	05/18/09	337.02	223.29		113.73
125007820	3	PIONEER NATURAL RESOURCES CO	04/08/09	08/10/09	91.55	51.53		40.02
	8	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/09		244.13	133.36		110.77
125007830	8	PIONEER NATURAL RESOURCES CO	04/07/09	08/20/09	236.25	133.36		102.89
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/21/09		88.60	63.48		25.12
125007840	11	PIONEER NATURAL RESOURCES CO	04/21/09	09/02/09	312.54	232.78		79.76
	18	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09		511.43	530.17	(18.74)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006333

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008170	15 5	RAYTHEON COMPANY NEW	10/30/08 11/18/08	01/06/09	\$ 780.60 260.20	\$ 726.23 236.73		\$ 54.37 23.47
125008180	2 6 6	RAYTHEON COMPANY NEW	11/18/08 11/19/08 12/08/08	01/14/09	101.56 304.68 304.69	94.69 283.30 303.48		6.87 21.38 1.21
125008190	65	RAYTHEON COMPANY NEW	03/31/09	05/06/09	3,075.28	2,551.09		524.19
125008200	1	RAYTHEON COMPANY NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/08/09	09/02/09	46.42	42.16		4.26
125008210	5	RAYTHEON COMPANY NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/08/09	12/18/09	260.79	210.81		49.98
125008220	40 28 45	RED HAT INC	03/02/09 03/09/09 03/31/09	05/18/09	769.26 538.48 865.42	540.45 374.28 812.83		228.81 164.20 52.59
125008230	3 4	RED HAT INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/31/09 04/06/09	08/20/09	65.16 86.87	54.19 73.02		10.97 13.85
125008240	11	RED HAT INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09	08/26/09	245.20	200.82		44.38
125008250	24 24 5	RED HAT INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09 04/07/09 04/13/09	09/02/09	542.97 542.97 113.11	438.14 438.25 93.56		104.83 104.72 19.55
125008260	11	RED HAT INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/13/09	09/02/09	248.55	205.82		42.73
125008270	2	RED HAT INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/09	12/18/09	58.23	54.08		4.15



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref: 00000018 00006332

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007970	12	PUBLIC SERVICE ENTERPRISE GRP	01/06/09	01/27/09	\$ 390.88	\$ 370.67		\$ 20.21
125007980	34	PUBLIC SERVICE ENTERPRISE GRP	03/19/09	05/18/09	1,051.34	945.83		105.51
	2		03/31/09		61.84	59.02		2.82
125007990	3	PUBLIC SERVICE ENTERPRISE GRP	03/31/09	09/02/09	93.30	88.53		4.77
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125008000	5	PUBLIC SERVICE ENTERPRISE GRP	03/31/09	12/18/09	167.09	147.54		19.55
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125008050	17	PULTE HOMES INC	11/06/08	05/07/09	182.29	178.05		4.24
125008060	12	PULTE HOMES INC	11/06/08	05/12/09	126.48	125.69		.79
125008070	8	QLOGIC CORP	09/16/09	11/16/09	155.50	141.39		14.11
	8	MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/18/09		155.50	141.95		13.55
125008080	3	QUEST DIAGNOSTICS INC	07/17/09	11/16/09	177.97	167.23		10.74
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125008090	17	QUESTAR CORP	10/24/08	01/06/09	632.79	454.54		178.25
125008100	3	QUESTAR CORP	10/24/08	01/16/09	100.58	80.21		20.37
	18		10/30/08		603.49	584.07		19.42
	2		11/13/08		67.06	55.65		11.41
125008110	13	QUESTAR CORP	11/13/08	01/28/09	464.72	361.71		103.01
	12		11/18/08		428.98	341.06		87.92
125008120	12	QUESTAR CORP	11/18/08	02/02/09	405.96	341.05		64.91
	10		12/05/08		338.30	253.07		85.23
125008130	17	RAYMOND JAMES FINANCIAL CORP	01/06/09	03/09/09	201.66	321.84	(120.18)	
	2		02/18/09		23.73	36.07	(12.34)	
125008140	22	RAYMOND JAMES FINANCIAL CORP	02/18/09	05/07/09	389.49	396.75	(7.26)	
	5		03/18/09		88.52	89.84	(1.32)	
125008150	10	RAYMOND JAMES FINANCIAL CORP	03/18/09	05/12/09	169.99	179.67	(9.68)	
	8		03/23/09		135.99	149.60	(13.61)	
125008160	11	RAYMOND JAMES FINANCIAL CORP	03/23/09	05/18/09	180.06	205.69	(25.63)	
	19		04/07/09		311.02	343.86	(32.84)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006335

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008390	9	ROSS STORES INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/12/09	08/19/09	\$ 398.50	\$ 320.56		\$ 77.94
125008420	3	RYDER SYSTEM INC	10/30/08	04/21/09	71.93	113.69	(41.76)	
125008430	5	RYDER SYSTEM INC	10/30/08	04/28/09	129.30	189.48	(60.18)	
	6		11/19/08		155.16	203.03	(47.87)	
125008440	2	RYDER SYSTEM INC	11/19/08	04/29/09	52.30	67.68	(15.38)	
125008480	2	SPX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	12/18/09	109.91	116.16	(6.25)	
125008490	30	SAFEWAY INC NEW	07/29/08	01/21/09	685.71	803.08	(117.37)	
	18		08/29/08		411.42	475.14	(63.72)	
	20		09/11/08		457.14	526.48	(69.34)	
	28		10/01/08		639.99	668.44	(28.45)	
125008500	2	SAFEWAY INC NEW	10/01/08	01/26/09	47.09	47.75	(.66)	33.99
	16		10/06/08		376.76	342.77		
125008510	21	SAFEWAY INC NEW	02/02/09	05/18/09	408.73	453.70	(44.97)	
	20		02/03/09		389.27	429.88	(40.61)	
	19		02/05/09		369.81	393.06	(23.25)	
	33		03/02/09		642.30	610.06		32.24
	4		03/05/09		77.85	71.90		5.95
125008520	15	SAFEWAY INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/05/09	07/28/09	282.09	269.62		12.47
125008530	15	SAFEWAY INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/23/09	08/04/09	280.55	303.33	(22.78)	
125008540	7	SAFEWAY INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/23/09	08/10/09	131.16	141.55	(10.39)	
	7		04/13/09		131.16	140.59	(9.43)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006334

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008280	67	REGIONS FINANCIAL CORP (NEW)	01/06/09	02/02/09	\$ 203 05	\$ 588 03	(\$ 384 98)	
125008290	1	REINSURANCE GROUP OF AMERICA MorganStanley SmithBarney LLC acted as your agent in this transaction	09/30/09	12/18/09	45.98	44.87		1 11
125008300	8	RESMED INC	02/19/09	05/01/09	303.17	328.53	(25 36)	
125008310	5	RESMED INC	02/19/09	05/06/09	190 57	205 33	(14 76)	
125008320	2	REYNOLDS AMERICAN INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/15/09	09/02/09	91.09	79 10		11 99
125008330	9	REYNOLDS AMERICAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/15/09	11/20/09	455 81	355 94		99 87
125008340	3	REYNOLDS AMERICAN INC	07/15/09	11/30/09	150.55	118 65		31 90
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/23/09		200 74	167.72		33.02
125008350	10	ROSS STORES INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	07/28/09	440.40	368.36		72 04
125008360	8	ROSS STORES INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	08/04/09	343.25	294.69		48 56
125008370	7	ROSS STORES INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	08/10/09	311 46	257.86		53 60
	1		05/12/09		44 49	35 62		8.87
125008380	7	ROSS STORES INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/12/09	08/12/09	312 49	249.33		63.16

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006337

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008650	3 12	SANDISK CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/02/09 03/23/09	07/17/09	\$ 53.95 215.81	\$ 24.80 131.36		\$ 29.15 84.45
125008660	14	SANDISK CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/23/09	07/23/09	234.35	153.25		81.10
125008670	24	SANDISK CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/31/09	08/04/09	433.86	306.60		127.26
125008680	19	SANDISK CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/31/09	08/10/09	329.18	242.73		86.45
125008690	1 4	SEACOR HOLDINGS INC ur agent in this transa	10/30/08 11/07/08	01/06/09	70.78 283.10	62.25 262.75		8.53 20.35
125008700	1 4	SEACOR HOLDINGS INC ur agent in this transa	11/07/08 11/18/08	01/16/09	64.91 259.65	65.69 260.94	(.78) (1.29)	
125008710	2	SEACOR HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/23/09	12/11/09	147.88	162.51	(14.63)	
125008720	2	SEACOR HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/04/09	12/18/09	148.47	163.19	(14.72)	
125008730	13 3	SEARS HOLDINGS CORPORATION	10/24/08 10/27/08	04/17/09	749.60 172.99	629.87 149.41		119.73 23.58
125008740	11 2	SEARS HOLDINGS CORPORATION	10/27/08 11/07/08	04/21/09	660.08 120.01	547.85 106.50		112.23 13.51
125008750	6 11 8	SEARS HOLDINGS CORPORATION	11/07/08 11/13/08 11/18/08	04/28/09	357.60 655.60 476.79	319.48 461.14 243.08		38.12 194.46 233.71
125008760	3 10 9	SEARS HOLDINGS CORPORATION	11/18/08 11/19/08 11/20/08	05/18/09	155.35 517.84 466.05	91.15 281.61 260.05		64.20 236.23 206.00

2 0 0 9 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006336

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008550	12	SAFEWAY INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/13/09	08/12/09	\$ 230.48	\$ 241.01	(\$ 10.53)	
125008560	14	SAFEWAY INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/13/09	08/19/09	261.98	281.18	(19.20)	
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09		56.14	63.16	(7.02)	
125008570	8	SAFEWAY INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09	08/26/09	155.94	168.41	(12.47)	
125008580	7	ST MARY LAND & EXPL CO	04/06/09	05/18/09	146.57	104.26		42.31
125008590	9	ST MARY LAND & EXPL CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09	07/15/09	179.10	134.06		45.04
125008600	1	ST MARY LAND & EXPL CO	04/06/09	07/17/09	21.64	14.89		6.75
	6	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/21/09		129.84	104.54		25.30
125008610	10	ST MARY LAND & EXPL CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/21/09	07/30/09	240.17	174.24		65.93
125008620	1	SALESFORCE.COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/17/09	12/18/09	66.85	65.19		1.66
125008630	37	SANDISK CORP	11/19/08	05/18/09	538.80	237.15		301.65
	35		12/02/08		509.68	252.10		257.58
	37		12/03/08		538.80	309.85		228.95
	10		03/02/09		145.62	82.68		62.94
125008640	14	SANDISK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/02/09	07/07/09	194.38	115.76		78.62

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006339

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008860	14	SEMPRA ENERGY	09/03/08	05/18/09	\$ 619.37	\$ 797.42	(\$ 178.05)	
	10		09/11/08		442.41	574.42	(132.01)	
	8		09/22/08		353.93	424.98	(71.05)	
	18		10/01/08		796.34	915.78	(119.44)	
	26		10/24/08		1,150.26	983.60		166.66
	2		10/30/08		88.48	82.57		5.91
125008870	6	SEMPRA ENERGY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08	09/02/09	296.47	247.72		48.75
125008880	4	SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/05/09	12/18/09	220.52	174.54		45.98
125008890	10	THE SHAW GROUP INC	04/06/09	04/21/09	267.76	287.66	(19.90)	
125008900	7	THE SHAW GROUP INC	04/06/09	04/28/09	212.51	201.36		11.15
	8		04/07/09		242.87	228.49		14.38
125008910	2	THE SHAW GROUP INC	04/07/09	04/29/09	65.23	57.12		8.11
125008920	4	SILICON LABORATORIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/09/09	08/10/09	165.66	151.97		13.69
125008930	1	SILICON LABORATORIES INC	06/09/09	08/19/09	41.06	37.99		3.07
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09		123.19	115.13		8.06
125008940	1	SILICON LABORATORIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09	08/26/09	42.52	38.37		4.15
125008950	17	SOUTHERN UNION COMPANY	04/06/09	05/18/09	268.76	265.33		3.43
	3		04/07/09		47.43	46.21		1.22
125008960	8	SOUTHERN UNION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/09	12/18/09	177.59	123.24		54.35
125008970	44	SOUTHWEST AIRLINES CO	02/08/08	01/28/09	335.43	559.71	(224.28)	
	36		02/25/08		274.44	458.57	(184.13)	



Ref: 00000018 00006338

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	10		12/02/08		\$ 517.84	\$ 359.61		\$ 158.23
	9		12/03/08		466.05	323.69		142.36
	3		12/05/08		155.35	130.50		24.85
125008770	4	SEARS HOLDINGS CORPORATION	12/05/08	06/26/09	260.94	173.99		86.95
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/11/08		65.24	45.52		19.72
125008780	5	SEARS HOLDINGS CORPORATION	12/11/08	07/08/09	294.21	227.58		66.63
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/28/09		58.84	46.24		12.60
125008790	5	SEARS HOLDINGS CORPORATION	01/28/09	07/15/09	312.66	231.22		81.44
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008800	1	SEARS HOLDINGS CORPORATION	01/28/09	07/17/09	62.48	46.24		16.24
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/09		187.44	120.04		67.40
125008810	4	SEARS HOLDINGS CORPORATION	02/02/09	07/21/09	257.11	160.05		97.06
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008820	3	SEARS HOLDINGS CORPORATION	02/03/09	07/23/09	202.60	118.14		84.46
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008830	4	SEARS HOLDINGS CORPORATION	02/03/09	07/30/09	270.64	157.51		113.13
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008840	5	SEARS HOLDINGS CORPORATION	09/29/09	12/18/09	382.99	328.22		54.77
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125008850	13	SEMPRA ENERGY	08/26/08	03/31/09	601.86	747.97	(146.11)	
	9		09/03/08		416.67	512.63	(95.96)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006341

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009130	4	STERICYCLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/03/08	09/24/09	\$ 188.84	\$ 211.49	(\$ 22.65)	
125009140	1	STERICYCLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/03/08	09/29/09	48.58	52.87	(4.29)	
125009150	12	STERIS CORP	09/22/08	01/06/09	283.68	450.74	(167.06)	
	1		10/01/08		23.64	36.04	(12.40)	
125009160	12	STERIS CORP	10/01/08	01/16/09	286.22	432.45	(146.23)	
125009170	2	STERIS CORP	10/01/08	03/02/09	42.60	72.08	(29.48)	
	9		10/06/08		191.68	293.29	(101.61)	
125009180	2	STERIS CORP	10/06/08	03/09/09	39.09	65.17	(26.08)	
	9		10/30/08		175.88	304.26	(128.38)	
125009190	2	STERIS CORP	10/30/08	03/23/09	43.44	67.61	(24.17)	
	9		11/07/08		195.47	300.14	(104.67)	
125009200	1	STRAYER EDUCATION INC	11/19/08	05/18/09	190.40	216.94	(26.54)	
125009210	8	SUNOCO INC	11/18/08	03/31/09	212.36	300.47	(88.11)	
	7		11/19/08		185.81	235.39	(49.58)	
125009220	8	SUNOCO INC	11/20/08	04/07/09	228.72	237.59	(8.87)	
	4		12/03/08		114.36	140.13	(25.77)	
125009230	4	SUNOCO INC	12/03/08	04/13/09	113.12	140.12	(27.00)	
	7		12/11/08		197.97	269.21	(71.24)	
125009240	1	SUNOCO INC	12/11/08	04/21/09	26.96	38.46	(11.50)	
	11		02/26/09		296.50	384.08	(87.58)	
125009250	4	SUNOCO INC	02/26/09	04/28/09	108.65	139.66	(31.01)	
	7		02/27/09		190.13	236.87	(46.74)	
125009260	13	SUNOCO INC	03/02/09	05/12/09	381.36	401.14	(19.78)	
125009270	12	SUNOCO INC	03/02/09	05/18/09	338.89	370.29	(31.40)	
	28		03/09/09		790.73	769.34	21.39	
125009280	19	SUNTRUST BANKS INC	12/05/08	01/28/09	280.00	582.76	(302.76)	
	3		12/11/08		44.21	82.68	(38.47)	
125009290	22	SUNTRUST BANKS INC	12/11/08	02/02/09	262.97	606.30	(343.33)	
125009300	23	SUNTRUST BANKS INC	01/06/09	02/03/09	235.26	640.04	(404.78)	
125009310	24	SUNTRUST BANKS INC	01/06/09	02/05/09	254.13	667.86	(413.73)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006340

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008980	6	SOUTHWEST AIRLINES CO	02/25/08	02/02/09	\$ 40.30	\$ 76.43	(\$ 36.13)	
	39		03/11/08		261.94	476.06	(214.12)	
	21		04/01/08		141.05	264.27	(123.22)	
125008990	16	SOUTHWEST AIRLINES CO	04/01/08	02/03/09	108.50	201.35	(92.85)	
	37		04/02/08		250.91	476.73	(225.82)	
	4		04/14/08		27.13	50.95	(23.82)	
125009000	33	SOUTHWEST AIRLINES CO	04/14/08	02/05/09	220.38	420.34	(199.96)	
	21		06/02/08		140.25	271.76	(131.51)	
125009010	30	SOUTHWEST AIRLINES CO	06/02/08	03/02/09	165.33	388.24	(222.91)	
	31		06/16/08		170.85	431.61	(260.76)	
125009020	20	SOUTHWEST AIRLINES CO	06/16/08	03/09/09	104.78	278.46	(173.68)	
	42		07/17/08		220.04	805.09	(385.05)	
125009030	7	SOUTHWEST AIRLINES CO	07/17/08	03/17/09	39.66	100.85	(61.19)	
	47		09/22/08		266.26	689.38	(423.12)	
	51		10/01/08		288.92	723.78	(434.86)	
125009040	1	SPIRIT AEROSYSTEMS HLDGS INC	10/06/08	01/06/09	11.88	13.19	(1.31)	
	20	CLASS A	10/24/08		237.60	286.60	(49.00)	
125009050	7	STANCORP FINANCIAL GROUP	04/21/08	01/06/09	289.62	353.68	(64.06)	
125009060	9	STANLEY INC	11/19/08	04/13/09	237.35	282.65	(45.30)	
125009070	9	STARENT NETWORKS CORP	05/01/09	05/18/09	167.75	176.56	(8.81)	
125009080	6	STARENT NETWORKS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	07/15/09	152.28	117.70		34.58
125009090	1	STARENT NETWORKS CORP	05/01/09	07/17/09	25.66	19.62		6.04
	4	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/12/09		102.62	76.73		25.89
125009100	10	STARENT NETWORKS CORP	05/12/09	08/04/09	232.22	191.83		40.39
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125009110	1	STARENT NETWORKS CORP	05/12/09	08/06/09	22.34	19.18		3.16
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125009120	7	STERICYCLE INC	12/02/08	05/18/09	365.60	369.01	(3.41)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006343

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009420	2	SYNTEL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	08/04/09	\$ 78.27	\$ 58.32		\$ 19.95
125009430	2	SYNNEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/29/09	12/18/09	56.07	61.64	(5.57)	
125009440	26	TARGET CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/03/09	07/07/09	964.13	1,067.27	(103.14)	
125009450	4 10 16	TARGET CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/03/09 06/04/09 06/09/09	07/14/09	153.24 383.11 612.97	164.20 397.85 658.28	(10.96) (14.74) (45.31)	
125009460	24 9	TEREX CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09 04/07/09	05/18/09	366.52 137.45	260.40 94.86		106.12 42.59
125009470	10	TEREX CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/09	07/28/09	143.83	105.41		38.42
125009480	6 6	TEREX CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/09 05/07/09	08/04/09	94.89 94.89	63.24 93.39		31.65 1.50
125009490	10	TEREX CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	08/10/09	162.64	155.64		7.00
125009500	6	TEREX CORP NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	08/17/09	87.44	93.39	(5.95)	
125009510	18	TESORO CORP	03/02/09	04/07/09	264.96	242.23		22.73
125009520	31 43 84	TESORO CORP	03/02/09 03/09/09 04/17/09	05/18/09	515.18 714.60 1,395.97	417.17 544.74 1,330.03		98.01 169.86 65.94



Ref: 00000018 00006342

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009320	47	SYMANTEC CORP	12/03/08	02/02/09	\$ 697.40	\$ 549.43		\$ 147.97
125009330	2	SYMANTEC CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/04/09	09/02/09	29.99	31.02	(1.03)	
125009340	8	SYMANTEC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/04/09	12/18/09	140.55	124.08		16.47
125009350	10 4	SYNAPTICS INC	10/24/08 10/27/08	01/16/09	202.38 80.95	278.68 114.08	(76.30) (33.13)	
125009360	8 10 11 2	SYNAPTICS INC	10/27/08 10/28/08 10/30/08 01/28/09	05/18/09	265.86 332.33 365.56 66.46	228.15 274.35 327.50 50.42		37.71 57.98 38.06 16.04
125009370	2	SYNAPTICS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/28/09	09/02/09	51.28	50.42		.86
125009380	6	SYNAPTICS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/28/09	11/30/09	161.57	151.26		10.31
125009390	2	SYNAPTICS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/28/09	12/18/09	53.99	50.42		3.57
125009400	4	SYNTEL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	07/31/09	162.35	116.64		45.71
125009410	4	SYNTEL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	08/03/09	154.04	116.64		37.40

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006345

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009670	13	TIFFANY & CO NEW	11/07/08	01/05/09	\$ 331.37	\$ 298.56		\$ 32.81
125009680	.3636	TIME WARNER INC	12/04/08	03/27/09	7.97	7.74		.23
	.303	REVSPLT 03/27/09 887317105000	12/09/08		6.65	6.62		.03
125009690	13.6364	TIME WARNER INC	12/04/08	05/18/09	334.00	290.31		43.69
	11.3636		12/09/08		278.33	248.25		30.08
	31		04/06/09		759.29	661.39		97.90
125009700	16	TIME WARNER INC	04/06/09	06/10/09	418.74	341.37		77.37
	25	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09		654.27	619.16		35.11
125009710	5	TIME WARNER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/30/09	09/02/09	137.75	126.98		10.77
125009720	27	TIME WARNER INC	06/30/09	11/18/09	879.78	685.67		194.11
	18	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/09/09		586.52	428.37		158.15
125009730	.2414	TIME WARNER CABLE INC	12/04/08	03/30/09	6.60	6.74	(.14)	
	.2011	CASH IN LIEU OF .44259 RECORD 03/12/09 PAY 03/27/09 FROM: 887317105000 (SPINOFF)	12/09/08		5.51	5.77	(.26)	
125009740	3.2727	TIME WARNER CABLE INC	12/04/08	05/18/09	105.12	91.46		13.66
	2.7273		12/09/08		87.60	78.20		9.40
	5		04/06/09		160.59	134.81		25.78
125009750	8	TIME WARNER CABLE INC	04/06/09	05/28/09	256.73	215.70		41.03
125009760	1	TIME WARNER CABLE INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/07/09	09/02/09	35.95	30.10		5.85
125009770	4	TIME WARNER CABLE INC	07/07/09	11/18/09	168.12	120.42		47.70
	7	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/08/09		294.21	210.00		84.21
125009780	15	TIMKEN CO	06/13/08	01/08/09	307.82	539.10	(231.28)	
125009790	16	TIMKEN CO	06/13/08	01/14/09	278.74	575.04	(296.30)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006344

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009530	46 19	TEXAS INDUSTRIES INC	04/28/09 05/07/09		\$ 764.46 315.75	\$ 688.87 323.64	(7.89)	\$ 75.59
125009540	12 1	TEXAS INDUSTRIES INC	02/03/09 02/20/09	05/18/09	413.62 34.47	279.07 17.14		134.55 17.33
125009550	6	TEXAS INDUSTRIES INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	02/20/09	07/15/09	203.36	102.87		100.49
125009560	5	TEXAS INDUSTRIES INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	02/20/09	07/17/09	172.16	85.72		86.44
125009570	3	TEXAS INDUSTRIES INC Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	02/20/09	07/23/09	124.82	51.43		73.39
125009580	18	THERMO FISHER SCIENTIFIC INC	04/28/09	05/18/09	629.98	603.02		26.96
125009590	14	THERMO FISHER SCIENTIFIC INC	04/28/09	05/28/09	530.99	469.02		61.97
125009600	11	THORATEC CORP	10/24/08	04/17/09	289.54	275.26		14.28
125009610	10	THORATEC CORP	10/24/08	04/21/09	267.48	250.23		17.25
125009620	2	THORATEC CORP	10/24/08	04/29/09	57.63	50.05		7.58
125009630	8	THORATEC CORP	11/18/08		230.51	179.58		50.93
125009640	10	THORATEC CORP	11/18/08	05/01/09	289.36	224.48		64.88
125009650	12	THORATEC CORP	11/19/08	05/18/09	332.87	257.17	(1.14)	75.70
125009660	1	THORATEC CORP	12/11/08		27.74	28.88	(8.32)	
125009670	5	THORATEC CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	12/11/08	08/10/09	136.06	144.38		
125009680	4	THORATEC CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	12/11/08	08/26/09	110.97	115.50	(4.53)	
125009690	2	3M COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/16/09	12/18/09	161.71	156.05		5.66

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006347

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009970	9	TRANSDIGM GROUP INC	03/09/09	05/18/09	\$ 327.32	\$ 278.76		\$ 48.56
	1		03/11/09		36.37	31.30		5.07
125009980	1	TRANSDIGM GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/11/09	09/02/09	42.98	31.30		11.68
125009990	5	TRANSDIGM GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/11/09	11/05/09	205.52	156.52		49.00
125010000	1	TRANSDIGM GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/11/09	11/06/09	40.34	31.30		9.04
125010110	8	TRAVELERS COMPANIES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/05/08	10/14/09	386.92	316.94		69.98
125010120	5	TRAVELERS COMPANIES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/05/08	10/15/09	241.14	198.08		43.06
125010140	18	TRINITY INDUSTRIES INC	10/01/08	01/06/09	315.69	460.47	(144.78)	
125010150	14	TRINITY INDUSTRIES INC	10/01/08	01/16/09	186.99	358.14	(171.15)	
	7		10/06/08		93.49	133.27	(39.78)	
125010160	18	TRINITY INDUSTRIES INC	10/06/08	02/05/09	205.13	342.71	(137.58)	
125010170	8	TRIUMPH GROUP INC NEW	07/30/08	01/06/09	336.06	430.50	(94.44)	
125010180	8	TRIUMPH GROUP INC NEW	07/31/08	01/16/09	312.07	430.48	(118.41)	
125010190	40	US BANCORP DEL NEW	01/21/09	04/13/09	717.36	542.10		175.26
	7		01/28/09		125.54	105.65		19.89
125010200	27	US BANCORP DEL NEW	01/28/09	04/17/09	487.54	407.53		80.01
	26		02/02/09		469.48	391.63		77.85
	20		03/13/09		361.14	272.64		88.50
125010210	18	USG CORPORATION NEW	07/17/08	05/18/09	227.19	464.50	(237.31)	
	17		07/22/08		214.57	475.31	(260.74)	
	9		09/18/08		113.59	243.34	(129.75)	

2009 YEAR END SUMMARY



Ref: 00000018 00006346

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009800	10	TIMKEN CO	06/13/08	01/16/09	\$ 171.60	\$ 359.40	(\$ 187.80)	
	6		07/17/08		102.96	172.11	(69.15)	
125009810	9	TIMKEN CO	07/17/08	02/03/09	130.19	258.17	(127.98)	
	9		09/11/08		130.18	259.22	(129.04)	
125009820	15	TIMKEN CO	09/11/08	02/05/09	220.51	432.03	(211.52)	
	4		09/22/08		58.80	115.03	(56.23)	
125009830	11	TIMKEN CO	09/22/08	03/19/09	160.26	316.33	(156.07)	
125009840	16	TOLL BROS INC	10/24/08	05/18/09	310.75	290.21		20.54
	5		10/30/08		97.11	104.37	(7.26)	
125009850	11	TOLL BROS INC	10/30/08	08/27/09	253.58	229.61		23.97
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/18/08		115.26	85.95		29.31
125009860	18	TOLL BROS INC	11/18/08	09/02/09	386.57	309.43		77.14
	16	Morgan Stanley Sml	11/19/08		343.62	259.61		84.01
	12	th Barney LLC acted as yo ur agent in this transa	12/02/08		257.71	216.16		41.55
125009870	5	TOLL BROS INC	12/02/08	09/02/09	106.78	90.06		16.72
	13	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/11/08		277.62	278.92	(1.30)	
125009880	12	TORCHMARK CORP	10/24/08	05/18/09	440.34	433.13		7.21
125009890	4	TORCHMARK CORP	10/24/08	09/02/09	162.22	144.37		17.85
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08		121.67	118.28		3.39
125009900	7	TORCHMARK CORP	10/30/08	10/29/09	297.83	276.00		21.83
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125009910	2	TORCHMARK CORP	11/07/08	11/06/09	84.01	83.00		1.01
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125009960	6	TORCHMARK CORP	02/18/09	12/18/09	254.11	162.49		91.62
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006349

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010320	15	UNION PACIFIC CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	03/31/09	09/16/09	\$ 946.35	\$ 613.97		\$ 332.38
125010330	3	UNION PACIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/31/09	10/22/09	182.47	122.79		59.68
125010340	25 10	UNITED RENTALS INC	09/22/08 10/01/08	01/06/09	225.40 90.16	421.65 139.97	(196.25) (49.81)	
125010350	16	UNITED RENTALS INC	10/01/08	01/16/09	99.83	223.96	(124.13)	
125010360	8	UNITED STATES STEEL CORP NEW	10/01/08	01/06/09	317.30	570.16	(252.86)	
125010370	9	UNITED STATES STEEL CORP NEW	10/01/08	01/14/09	274.61	641.44	(366.83)	
125010380	9	UNITED STATES STEEL CORP NEW	10/01/08	01/16/09	291.49	641.44	(349.95)	
125010390	7	UNITED STATES STEEL CORP NEW	10/01/08	01/28/09	238.20	498.89	(260.69)	
125010400	22	UNITED TECHNOLOGIES CORP	02/19/09	05/15/09	1,126.18	993.90		132.28
125010410	17 36 9 7	UNITED TECHNOLOGIES CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	02/19/09 03/02/09 03/24/09 04/29/09	05/18/09	897.03 1,899.59 474.90 369.37	768.01 1,418.49 391.11 346.08		129.02 481.10 83.79 23.29
125010420	5	UNITED TECHNOLOGIES CORP Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	07/08/09	09/02/09	294.95	249.85		45.10
125010430	9 9	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/08/09 07/23/09	10/09/09	558.49 558.49	449.74 481.08		108.75 77.41
125010440	5	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/23/09	10/13/09	308.53	267.27		41.26
125010450	4 10	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/23/09 07/28/09	10/14/09	253.58 633.96	213.81 521.61		39.77 112.35

2 0 0 9 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref. 00000018 00006348

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010220	11 1	USG CORPORATION NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/18/08 05/07/09	07/15/09	\$ 116.81 10.62	\$ 297.41 15.69	(\$ 180.60) (5.07)	
125010230	12	USG CORPORATION NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/07/09	07/17/09	137.06	188.28	(51.22)	
125010240	7	USG CORPORATION NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/07/09	08/04/09	103.68	109.83	(6 15)	
125010250	3 31	UNION PACIFIC CORP	07/31/08 08/04/08	01/06/09	161.15 1,665.17	250.12 2,484.50	(88.97) (819 33)	
125010260	22 5	UNION PACIFIC CORP	08/04/08 09/22/08	02/05/09	1,038.24 235.96	1,763.19 372.32	(724 95) (136.36)	
125010270	35 36 47 2	UNION PACIFIC CORP	09/22/08 10/24/08 12/17/08 01/14/09	05/18/09	1,683.82 1,731.93 2,261.13 96.23	2,606.24 2,068.60 2,298.32 85.81	(922 42) (336 67) (37.19)	10 42
125010280	17 15	UNION PACIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/14/09 03/02/09	06/09/09	916.12 808.34	729.37 539.68		186 75 268 66
125010290	8 9	UNION PACIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/02/09 03/09/09	09/02/09	473.12 532.26	287.83 307.91		185.29 224.35
125010300	11 3	UNION PACIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/09/09 03/31/09	09/09/09	688.57 187.79	376.34 122.79		312 23 65.00
125010310	22	UNION PACIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/31/09	09/14/09	1,376.27	900.49		475 78

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 0006351

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010590	11	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/24/08	09/30/09	\$ 235.18	\$ 164.00		\$ 71.18
125010600	7 5	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/24/08 10/30/08	10/01/09	144.75 103.40	104.37 75.49		40.38 27.91
125010610	10	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/30/08	10/05/09	208.85	150.99		57.86
125010620	9 1	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/30/08 11/07/08	10/09/09	195.08 21.68	135.89 16.52		59.19 5.16
125010630	10	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/07/08	10/13/09	217.40	165.24		52.16
125010640	3	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/08	11/20/09	57.04	33.33		23.71
125010690	18 3	URBAN OUTFITTERS INC	08/16/08 06/19/08	01/06/09	266.43 44.40	606.32 100.01	(339.89) (55.61)	
125010700	13 7	URBAN OUTFITTERS INC	06/19/08 09/11/08	01/14/09	189.76 102.18	433.36 255.29	(243.60) (153.11)	
125010710	8 10	URBAN OUTFITTERS INC	09/11/08 09/22/08	01/16/09	126.68 158.35	291.76 341.89	(165.08) (183.54)	
125010720	2 16 20	URBAN OUTFITTERS INC	09/22/08 10/01/08 10/24/08	01/28/09	34.08 272.63 340.78	68.38 502.47 382.84	(34.30) (229.84) (42.06)	
125010730	9	V F CORP	11/13/08	02/02/09	494.27	417.86		76.41
125010740	1 6	V F CORP	11/13/08 11/14/08	02/09/09	56.92 341.52	46.43 286.70		10.49 54.82
125010750	7	V F CORP	05/07/09	05/18/09	388.07	413.83	(25.76)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006350

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010460	6	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/28/09	10/21/09	\$ 395.27	\$ 312.96		\$ 82.31
125010470	1	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/28/09	10/23/09	66.07	52.16		13.91
125010480	50	UNITEDHEALTH GROUP INC	09/23/08	04/28/09	1,203.77	1,299.14	(95.37)	89.65
	50		10/24/08		1,203.77	1,114.12		29.06
125010490	17	UNITEDHEALTH GROUP INC	10/24/08	04/29/09	407.86	378.80		50.02
	22		10/29/08		527.82	477.80		
	10		02/24/09		239.93	242.12	(2.19)	
125010500	12	UNITEDHEALTH GROUP INC	02/24/09	05/01/09	275.70	290.55	(14.85)	91.71
125010510	13	UNITEDHEALTH GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/08/09	12/18/09	409.65	317.94		
125010520	18	UNITRIN INC	04/06/09	05/18/09	274.49	272.08		2.41
	3		04/07/09		45.75	45.58		17
125010530	9	UNITRIN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/07/09	06/09/09	130.67	136.73	(6.06)	
125010540	6	UNITRIN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/07/09	06/11/09	87.27	91.15	(3.88)	
125010550	1	UNIVERSAL CORP VA MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/09	12/18/09	44.84	45.08	(.24)	
125010580	10	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/24/08	09/29/09	217.17	149.09		68.08

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006353

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010920	1	VIACOM INC NEW CLASS B Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	10/24/08	09/02/09	\$ 24.22	\$ 18.66		\$ 5.56
125010930	6	VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/17/09	11/20/09	180.01	135.07		44.94
125010940	5	VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/17/09	11/23/09	152.00	112.55		39.45
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/30/09		182.41	141.72		40.69
125010950	7	VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/16/09	11/30/09	206.35	194.20		12.15
125010960	22	VISA INC COM CL A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	06/04/09	07/07/09	1,323.44	1,542.06	(218.62)	
125010970	22	VISA INC COM CL A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	06/04/09	07/15/09	1,355.45	1,542.05	(186.60)	
125010980	17	VISA INC COM CL A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	06/04/09	07/17/09	1,099.96	1,191.59	(91.63)	
	2	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	06/05/09		129.41	139.62	(10.21)	
125010990	22	VISA INC COM CL A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	06/05/09	07/23/09	1,480.49	1,535.77	(55.28)	
125011000	7	VISA INC COM CL A Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	06/05/09	07/30/09	477.74	488.66	(10.92)	
	5	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	06/08/09		341.24	349.83	(8.59)	
	12	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	06/09/09		818.99	828.41	(9.42)	
125011010	21	W&T OFFSHORE INC	12/11/08	05/18/09	173.24	290.27	(117.03)	
	4		12/23/08		33.00	49.00	(16.00)	

2009 YEAR END SUMMARY



Ref: 00000018 00006352

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010760	5	V F CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	07/28/09	\$ 328.83	\$ 295.59		\$ 33.24
125010770	1	V F CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/07/09	07/31/09	64.91	59.12		5.79
125010780	17	VARIAN MEDICAL SYSTEMS INC	10/30/08	01/16/09	605.63	766.88	(161.25)	
125010790	8	VARIAN MEDICAL SYSTEMS INC	10/30/08	01/20/09	276.07	360.89	(84.82)	
	5		11/07/08		172.55	215.32	(42.77)	
125010800	15	VARIAN MEDICAL SYSTEMS INC	11/07/08	01/21/09	511.17	645.96	(134.79)	
125010810	4	VARIAN MEDICAL SYSTEMS INC	11/07/08	01/28/09	141.98	172.25	(30.27)	
	10		11/13/08		354.95	403.18	(48.23)	
125010820	11	VARIAN MEDICAL SYSTEMS INC	11/13/08	02/03/09	401.39	443.49	(42.10)	
125010830	2	VARIAN MEDICAL SYSTEMS INC	11/13/08	02/05/09	75.54	80.64	(5.10)	
	10		11/18/08		377.70	394.30	(16.60)	
125010840	11	VARIAN MEDICAL SYSTEMS INC	11/18/08	02/10/09	414.55	433.74	(19.19)	
125010850	1	VARIAN MEDICAL SYSTEMS INC	11/18/08	03/02/09	29.59	39.43	(9.84)	
	10		11/19/08		295.92	377.49	(81.57)	
125010860	6	VARIAN MEDICAL SYSTEMS INC	11/19/08	03/09/09	165.74	226.50	(60.76)	
	5		11/20/08		138.11	181.78	(43.67)	
125010870	9	VARIAN MEDICAL SYSTEMS INC	11/20/08	03/11/09	260.94	327.20	(66.26)	
125010880	1	VARIAN MEDICAL SYSTEMS INC	11/20/08	03/19/09	29.79	36.36	(6.57)	
	11		12/02/08		327.69	413.38	(85.69)	
125010890	18	VERIZON COMMUNICATIONS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/10/09	06/22/09	534.42	531.80		2.62
125010900	10	VERIZON COMMUNICATIONS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/08/09	07/10/09	284.30	288.00	(3.70)	
125010910	20	VIACOM INC NEW CLASS B	10/24/08	05/18/09	421.18	373.30		47.88

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006355

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125011140	3	WELLPOINT INC	06/11/09	12/18/09	\$ 175.62	\$ 136.93		\$ 38.69
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/09		292.69	257.92		34.77
125011150	10	WEYERHAEUSER CO	02/02/09	04/03/09	300.73	264.55		36.18
	2		02/03/09		60.15	54.05		6.10
125011160	9	WEYERHAEUSER CO	02/03/09	04/13/09	286.25	243.22		43.03
125011170	10	WHOLE FOODS MKT INC Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	05/19/09	07/23/09	238.62	203.94		34.68
125011180	4	WHOLE FOODS MKT INC	05/19/09	08/04/09	98.47	81.57		16.90
	5	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	06/01/09		123.09	101.73		21.36
125011190	4	WHOLE FOODS MKT INC	06/01/09	08/10/09	111.85	81.39		30.46
	6	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	06/05/09		167.77	126.94		40.83
125011200	2	WHOLE FOODS MKT INC	06/05/09	08/12/09	55.95	42.31		13.64
	6	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	06/09/09		167.83	129.09		38.74
125011210	1	WHOLE FOODS MKT INC	06/09/09	08/19/09	27.72	21.52		6.20
	7	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	06/11/09		194.03	146.36		47.67
125011220	36	WILLIAMS COS INC	02/26/09	03/17/09	406.98	433.83	(26.85)	
125011230	43	WILLIAMS SONOMA INC	03/31/09	05/18/09	529.83	433.93		95.90
125011240	12	WILLIAMS SONOMA INC Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	03/31/09	07/15/09	140.29	121.10		19.19
125011250	11	WILLIAMS SONOMA INC Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	03/31/09	07/17/09	134.16	111.01		23.15



Ref: 00000018 00006354

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125011020	14	W&T OFFSHORE INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/23/08	06/04/09	\$ 155.30	\$ 171.49	(\$ 16.19)	
125011030	5	W&T OFFSHORE INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/23/08	06/05/09	57.57	61.24	(3.67)	
125011040	13	WMS INDUSTRIES INC	10/30/08	03/02/09	225.04	305.79	(80.75)	
125011050	13	WMS INDUSTRIES INC	11/07/08	03/09/09	217.82	308.03	(90.41)	
125011060	4	WARNACO GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/09/09	07/28/09	146.48	143.50		2.98
125011070	4	WARNACO GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/10/09	08/10/09	156.39	139.58		16.81
125011080	4	WARNACO GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09	08/12/09	153.17	137.97		15.20
125011090	5	WELLCARE HLTH PLANS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	11/30/09	163.47	118.31		45.16
125011100	4	WELLCARE HLTH PLANS INC	08/10/09	12/18/09	145.52	94.64		50.88
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction	09/02/09		181.89	118.45		63.44
125011110	23	WELLPOINT INC	09/30/08	04/28/09	970.96	1,061.45	(90.49)	
	8		10/06/08		337.73	338.45	(.72)	
125011120	7	WELLPOINT INC	10/06/08	05/18/09	329.34	296.15		33.19
	26		10/24/08		1,223.27	1,044.82		178.45
125011130	3	WELLPOINT INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09	09/02/09	161.27	136.94		24.33

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006357

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125011370	2	ZIMMER HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/03/09	10/06/09	\$ 100.12	\$ 73.12		\$ 27.00
Total					\$ 637,094.27	\$ 647,441.80	(\$ 69,275.24)	\$ 58,927.71

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000640	14	ALLSTATE CORP	03/17/06	02/26/09	\$ 258.90	\$ 766.17	(\$ 507.27)	
	28		07/05/06		517.80	1,521.72	(1,003.92)	
	30		11/12/07		554.79	1,620.46	(1,065.67)	
	1		11/27/07		18.50	50.77	(32.27)	
125000650	29	ALLSTATE CORP	11/27/07	03/17/09	502.81	1,472.25	(969.44)	
125000660	6	ALLSTATE CORP	11/27/07	03/23/09	117.73	304.60	(186.87)	
	26		11/28/07		510.16	1,343.05	(832.89)	
	14		11/30/07		274.70	718.52	(443.82)	
	69		12/14/07		1,353.89	3,588.11	(2,234.22)	
125000670	12	ALLSTATE CORP	12/14/07	07/07/09	291.43	624.02	(332.59)	
	19	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/21/07		461.42	972.53	(511.11)	
125000680	34	ALLSTATE CORP	12/21/07	07/15/09	836.22	1,740.32	(904.10)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000690	13	ALLSTATE CORP	12/21/07	07/17/09	315.08	665.41	(350.33)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						

2 0 0 9 Y E A R E N D S U M M A R Y



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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Ref: 00000018 00006356

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125011260	8	WILLIAMS SONOMA INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/31/09	07/23/09	\$ 111.00	\$ 80.73		\$ 30.27
125011270	26	WORTHINGTON INDUSTRIES INC	10/01/08	02/03/09	267.70	375.08	(107.38)	
	1		10/24/08		10.30	11.11	(.81)	
125011280	24	WORTHINGTON INDUSTRIES INC	10/24/08	02/05/09	252.67	266.60	(13.93)	
	2		10/30/08		21.06	22.60	(1.54)	
125011290	24	WORTHINGTON INDUSTRIES INC	10/30/08	02/20/09	226.26	271.15	(44.89)	
125011300	14	WYNN RESORTS LTD	03/09/09	03/31/09	273.45	219.34		54.11
125011310	28	XILINX INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	07/23/09	587.09	532.56		54.53
125011320	7	XILINX INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	07/27/09	148.84	133.14		15.70
125011330	9	ZIMMER HOLDINGS INC	12/01/08	05/18/09	398.78	326.62		72.16
	8		12/03/08		354.47	290.80		63.67
	2		12/11/08		88.61	80.83		7.78
125011340	1	ZIMMER HOLDINGS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/11/08	09/02/09	46.46	40.41		6.05
125011350	5	ZIMMER HOLDINGS INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction	12/11/08	09/30/09	268.01	202.07		65.94
125011360	6	ZIMMER HOLDINGS INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	02/03/09	10/05/09	308.21	219.38		88.83

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006359

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002710	8	COMPUTER SCIENCES CORP	01/29/08	07/28/09	\$ 378.35	\$ 324.98		\$ 53.37
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/04/08		47.29	41.93		5.36
125002720	9	COMPUTER SCIENCES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/04/08	08/04/09	437.71	377.36		60.35
125002730	2	COMPUTER SCIENCES CORP	02/04/08	08/10/09	99.14	83.86		15.28
	8	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/05/08		396.54	362.57		33.97
125002740	3	COMPUTER SCIENCES CORP	02/05/08	08/12/09	149.56	135.97		13.59
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/08/08		249.26	217.86		31.40
125002750	6	COMPUTER SCIENCES CORP	02/08/08	08/19/09	290.67	261.44		29.23
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/26/08		96.89	82.83		14.06
125002760	8	COMPUTER SCIENCES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/26/08	08/26/09	400.92	331.31		69.61
125002770	4	COMPUTER SCIENCES CORP	03/26/08	08/27/09	198.04	165.65		32.39
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/08		99.02	85.09		13.93
125002780	7	COMPUTER SCIENCES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/08	09/02/09	332.67	297.83		34.84
125002790	5	COMPUTER SCIENCES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/08	09/02/09	237.35	212.74		24.61
125003100	36	D R HORTON INC	10/12/07	05/18/09	345.37	509.26	(163.89)	
	40		10/17/07		383.75	508.74	(124.99)	
	38		11/15/07		364.56	470.40	(105.84)	



Ref: 00000018 00006358

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000890	1.5 13.5	AMERICAN FINL GROUP INC OHIO	05/09/06 05/25/06	01/28/09	\$ 28.22 253.96	\$ 44.29 383.55	(\$ 16.07) (129.59)	
125000900	6	AMERICAN FINL GROUP INC OHIO	05/25/06	02/13/09	113.59	170.46	(56.87)	
125001020	3 8 9 15	AMERIPRISE FINANCIAL INC	10/10/07 10/17/07 11/27/07 12/18/07	01/05/09	71.77 191.38 215.30 358.84	200.25 510.01 497.09 811.02	(128.48) (318.63) (281.79) (452.18)	
125001910	4	CMS ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/30/08	12/18/09	62.27	40.93		21.34
125002360	14 12	CENTEX CORP	04/12/07 04/19/07	04/21/09	141.75 121.50	607.63 553.72	(465.88) (432.22)	
125002370	1 11 11 7	CENTEX CORP	04/19/07 05/02/07 05/04/07 05/09/07	04/28/09	11.03 121.32 121.32 77.21	46.14 516.02 516.35 325.90	(35.11) (394.70) (395.03) (248.69)	
125002380	4 11 10	CENTEX CORP	05/09/07 05/15/07 05/21/07	04/29/09	45.37 124.76 113.41	186.23 503.41 478.15	(140.86) (378.65) (364.74)	
125002390	1	CENTEX CORP	05/21/07	05/01/09	10.63	47.82	(37.19)	
125002480	18 9 31 42	CHEVRON CORP	08/03/07 08/06/07 10/09/07 10/25/07	05/18/09	1,188.01 594.00 2,046.01 2,772.01	1,490.41 717.72 2,849.68 3,799.64	(302.40) (123.72) (803.67) (1,027.63)	
125002540	6 10 12 10	CHUBB CORP	02/29/08 03/14/08 04/29/08 04/30/08	05/18/09	236.48 394.13 472.95 394.13	307.59 496.79 641.79 532.99	(71.11) (102.66) (168.84) (138.86)	
125002700	11 11 11 11 9 13 14 12 4	COMPUTER SCIENCES CORP	12/18/06 12/27/06 02/22/07 03/08/07 11/01/07 01/16/08 01/24/08 01/28/08 01/29/08	05/18/09	405.93 405.93 405.93 405.93 332.13 479.74 516.64 442.83 147.62	584.54 592.55 598.52 566.86 515.13 516.62 540.63 474.71 162.49	(178.61) (186.62) (192.59) (160.93) (183.00) (36.88) (23.99) (31.88) (14.87)	



Ref: 00000018 00006361

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003450	1	DEVRY INC (DEL)	02/04/08	12/18/09	\$ 56.58	\$ 54.76		\$ 1.82
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/17/08		56.57	41.82		14.75
125003760	19	EDISON INTERNATIONAL	03/11/08	05/18/09	531.61	949.78	(418.17)	
	16		03/25/08		447.67	775.22	(327.55)	
	14		03/26/08		391.71	686.06	(294.35)	
	11		04/01/08		307.77	556.50	(248.73)	
	10		04/02/08		279.79	511.88	(232.09)	
	10		04/08/08		279.79	515.88	(236.09)	
	10		04/09/08		279.79	516.25	(236.46)	
	10		04/18/08		279.79	534.41	(254.62)	
125004130	10	EXPRESS SCRIPTS INC.COMMON	06/05/07	01/06/09	568.88	504.46		64.42
	6		06/06/07		341.33	298.82		42.51
125004140	6	EXPRESS SCRIPTS INC.COMMON	06/06/07	01/16/09	317.65	298.82		18.83
	12		06/15/07		635.29	607.26		28.03
125004150	4	EXPRESS SCRIPTS INC.COMMON	06/15/07	01/28/09	226.16	202.42		23.74
125004460	3	FOREST LABORATORIES INC	01/15/08	05/18/09	69.99	113.75	(43.76)	
125004470	3	FOREST LABORATORIES INC	05/21/08	07/07/09	74.19	104.47	(30.28)	
	11	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	06/13/08		272.02	365.36	(93.34)	
125004480	16	FOREST LABORATORIES INC	06/13/08	07/15/09	392.03	531.42	(139.39)	
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction						
125004490	14	FOREST LABORATORIES INC	06/13/08	07/17/09	348.06	465.00	(116.94)	
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction						
125004500	7	FOREST LABORATORIES INC	06/13/08	07/23/09	179.20	232.50	(53.30)	
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction						
125004930	9	HANOVER INSURANCE GROUP INC	02/08/08	04/21/09	281.47	403.25	(121.78)	
125004940	1	HANOVER INSURANCE GROUP INC	02/08/08	05/12/09	33.35	44.81	(11.46)	
	8		02/20/08		266.77	349.99	(83.22)	
125004950	3	HANOVER INSURANCE GROUP INC	02/20/08	05/18/09	99.48	131.24	(31.76)	



Ref: 00000018 00006360

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003110	31 6	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/24/08 01/29/08		\$ 297.40 57.57	\$ 456.40 98.54	(\$ 159.00) (40.97)	
125003120	23 7	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/29/08 02/04/08	07/07/09	197.93 60.24	377.74 115.00	(179.81) (54.76)	
125003130	20 6	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/04/08 04/02/08	07/15/09	186.61 55.98	328.59 105.58	(141.98) (49.60)	
125003140	1 16	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/08 04/07/08	07/17/09	207.49	369.54	(162.05)	
125003150	14	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/07/08	07/30/09	158.65	244.91	(86.26)	
125003400	13	DELL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/30/08	12/18/09	178.38	159.49		18.89
125003420	5 9 8	DEVRY INC (DEL)	12/14/07 12/18/07 01/16/08	04/21/09	210.54 378.98 336.87	295.90 494.64 455.64	(85.36) (115.66) (118.77)	
125003430	10 7	DEVRY INC (DEL)	01/24/08 02/04/08	05/18/09	444.69 311.28	563.51 383.36	(118.82) (72.08)	
125003440	1	DEVRY INC (DEL) Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/04/08	09/02/09	50.92	54.77	(3.85)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006363

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006640	9	MONSANTO CO NEW	07/26/07	03/31/09	\$ 747.34	\$ 580.65		\$ 166.69
	1		07/30/07		83.04	64.61		18.43
125006650	7	MONSANTO CO NEW	07/30/07	04/06/09	550.32	452.27		98.05
	28		03/25/08		2,201.29	3,205.32	(1,004.03)	
125007420	3	OVERSEAS SHIPHOLDING GROUP INC	01/24/08	02/05/09	99.48	187.00	(87.52)	
	6		01/28/08		198.96	369.95	(170.99)	
125007430	2	OVERSEAS SHIPHOLDING GROUP INC	01/28/08	02/26/09	54.47	123.32	(68.85)	
	7		02/04/08		190.65	443.75	(253.10)	
125007440	1	OVERSEAS SHIPHOLDING GROUP INC	02/04/08	03/23/09	25.95	63.39	(37.44)	
125008010	17	PULTE HOMES INC	09/26/06	04/13/09	173.62	564.96	(391.34)	
	24		10/02/06		245.10	776.62	(531.52)	
125008020	24	PULTE HOMES INC	10/02/06	04/21/09	256.09	776.62	(520.53)	
	9		04/19/07		96.03	251.31	(155.28)	
125008030	11	PULTE HOMES INC	04/19/07	04/28/09	127.91	307.15	(179.24)	
	27		05/01/07		313.96	723.54	(409.58)	
	19		05/29/07		220.93	527.78	(306.85)	
	8		02/08/08		93.02	116.13	(23.11)	
125008040	25	PULTE HOMES INC	02/08/08	05/06/09	274.38	362.90	(88.52)	
	30		04/14/08		329.26	408.86	(79.60)	
125008050	9	PULTE HOMES INC	04/14/08	05/07/09	96.50	122.66	(26.16)	
125008400	11	RYDER SYSTEM INC	01/04/08	03/02/09	234.32	461.15	(226.83)	
125008410	1	RYDER SYSTEM INC	01/04/08	03/09/09	19.49	41.92	(22.43)	
	10		01/16/08		194.88	463.61	(268.73)	
125008420	9	RYDER SYSTEM INC	03/27/08	04/21/09	215.78	566.58	(350.80)	
125008450	15	RYLAND GROUP INC	01/24/08	05/18/09	316.34	454.20	(137.86)	
	13		01/28/08		274.16	370.07	(95.91)	
125008460	2	RYLAND GROUP INC	01/28/08	07/15/09	33.37	56.93	(23.56)	
	15	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/15/08		250.27	486.91	(236.64)	
125008470	4	RYLAND GROUP INC	04/15/08	07/17/09	69.95	129.84	(59.89)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						

2009 YEAR END SUMMARY



Ref. 00000018 00006362

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005180	28	HUDSON CITY BANCORP INC	01/24/08	01/28/09	\$ 348.52	\$ 438.54	(\$ 90.02)	
125005190	15	HUDSON CITY BANCORP INC	01/28/08	02/02/09	173.71	238.56	(64.85)	
125005780	15	KB HOME	07/25/07	03/31/09	195.73	471.53	(275.80)	
	7		08/13/07		91.34	237.00	(145.66)	
125005790	6	KB HOME	08/13/07	04/07/09	81.42	203.15	(121.73)	
	13		08/16/07		176.41	378.36	(201.95)	
125005800	6	KB HOME	08/16/07	04/17/09	103.37	174.63	(71.26)	
	11		01/24/08		189.51	255.90	(66.39)	
125005810	9	KB HOME	01/24/08	04/21/09	148.90	209.37	(60.47)	
	8		04/01/08		132.36	206.36	(74.00)	
125005820	11	KB HOME	04/01/08	04/28/09	201.51	283.75	(82.24)	
125006020	13	LENNAR CORP	06/28/07	05/18/09	130.80	485.17	(354.37)	
	16	CLASS A	07/25/07		160.99	502.45	(341.46)	
	20		08/13/07		201.23	672.86	(471.63)	
125006030	4	LENNAR CORP	08/13/07	09/02/09	56.85	134.57	(77.72)	
	18	CLASS A	02/08/08		255.80	345.68	(89.88)	
		Morgan Stanley Sml th Barney LLC acted as yo						
125006040	13	LENNAR CORP	02/08/08	09/02/09	183.73	249.66	(65.93)	
		CLASS A						
		Morgan Stanley Sml th Barney LLC acted as yo						
125006470	60	MICRON TECHNOLOGY INC	02/04/08	04/21/09	278.95	453.66	(174.71)	
	35		02/05/08		162.72	274.17	(111.45)	
125006480	24	MICRON TECHNOLOGY INC	02/05/08	04/28/09	109.60	188.01	(78.41)	
	75		02/20/08		342.50	586.81	(244.31)	
	66		04/17/08		301.39	471.96	(170.57)	
125006490	5	MICRON TECHNOLOGY INC	04/17/08	04/29/09	24.31	35.75	(11.44)	
125006590	24	MONSANTO CO NEW	07/20/07	02/20/09	1,880.91	1,659.13		221.78
125006600	6	MONSANTO CO NEW	07/20/07	03/02/09	436.07	414.78		21.29
	20		07/25/07		1,453.55	1,326.24		127.31
125006610	16	MONSANTO CO NEW	07/25/07	03/04/09	1,184.89	1,060.99		123.90
	11		07/26/07		814.61	709.68		104.93
125006620	3	MONSANTO CO NEW	07/26/07	03/18/09	246.73	193.55		53.18
125006630	5	MONSANTO CO NEW	07/26/07	03/19/09	411.35	322.58		88.77

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006365

THOMPSON DEAN FAMILY FOUNDATIO

Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010010	20	TRAVELERS COMPANIES INC	06/13/06	05/18/09	\$ 799.41	\$ 852.16	(\$ 52.75)	
	21		06/14/06		839.38	888.89	(49.51)	
	21		06/15/06		839.38	914.08	(74.70)	
	22		06/16/06		879.35	961.51	(82.16)	
	23		06/19/06		919.32	1,013.74	(94.42)	
	26		06/20/06		1,039.23	1,151.78	(112.55)	
	29		06/21/06		1,159.14	1,286.11	(126.97)	
	18		06/22/06		719.47	801.07	(81.60)	
	4		07/17/06		159.89	174.63	(14.74)	
125010020	5	TRAVELERS COMPANIES INC	07/17/06	08/20/09	238.49	218.28		20.21
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125010030	4	TRAVELERS COMPANIES INC	07/17/06	08/26/09	192.43	174.63		17.80
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125010040	12	TRAVELERS COMPANIES INC	07/17/06	09/02/09	595.44	523.88		71.56
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125010050	5	TRAVELERS COMPANIES INC	07/17/06	09/29/09	244.77	218.29		26.48
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						
125010060	15	TRAVELERS COMPANIES INC	07/17/06	09/30/09	731.36	654.85		76.51
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						
125010070	14	TRAVELERS COMPANIES INC	07/17/06	10/01/09	687.60	611.20		76.40
	1	MorganStanley SmithBarney LLC	11/28/07		49.11	51.60	(2.49)	
		acted as your agent						
		in this transaction.						
125010080	8	TRAVELERS COMPANIES INC	11/28/07	10/05/09	390.31	412.80	(22.49)	
	3	MorganStanley SmithBarney LLC	07/25/08		146.36	126.85		19.51
		acted as your agent						
		in this transaction.						



Ref: 00000018 00006364

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009880	5	SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/30/08	12/18/09	\$ 275.66	\$ 206.44		\$ 69.22
125009840	22	TOLL BROS INC	08/30/07	05/18/09	427.28	466.13	(38.85)	
	22		10/12/07		427.28	505.23	(77.95)	
	23		10/16/07		446.71	499.59	(52.88)	
	24		10/17/07		466.13	510.04	(43.91)	
125009880	10	TORCHMARK CORP	01/28/08	05/18/09	366.95	595.76	(228.81)	
	8		02/04/08		293.56	492.75	(199.19)	
	8		02/05/08		293.56	482.94	(189.38)	
	9		02/25/08		330.25	550.59	(220.34)	
	8		03/03/08		293.56	480.09	(186.53)	
	14		04/21/08		513.73	884.99	(371.26)	
125009910	7	TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/30/08	11/06/09	294.04	275.99		18.05
125009920	7	TORCHMARK CORP	11/07/08	11/20/09	295.68	290.48		5.20
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/13/08		42.24	37.82		4.42
125009930	7	TORCHMARK CORP	11/13/08	11/30/09	301.19	264.74		36.45
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/18/08		172.11	140.47		31.64
125009940	6	TORCHMARK CORP	11/18/08	12/11/09	263.54	210.70		52.84
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction	12/03/08		43.92	33.67		10.25
125009950	4	TORCHMARK CORP	12/03/08	12/14/09	179.55	134.66		44.89
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125009960	3	TORCHMARK CORP	12/03/08	12/18/09	127.05	101.00		26.05
		MorganStanley SmithBarney LLC acted as your agent in this transaction.,						

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000018 00006367

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010670	8	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/08	12/14/09	\$ 154.48	\$ 88.87		\$ 65.61
125010680	5	UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/08	12/18/09	94.74	55.54		39.20
125010930	13	VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/24/08	11/20/09	390.01	242.65		147.36
Total					\$ 75,697.29	\$ 107,677.03	(\$ 34,872.71)	\$ 2,892.97

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	03/31/09	CONSULTING & ADVISORY SERVICES FROM 03/02/09 TO 03/31/09	\$ 6.17
210000300	09/11/09	TRANSACTION FEE ADJUSTMENT	.11
Total			\$ 347.24

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		\$ 1,330.95
220000300	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		1,186.74
220000500	05/22/09	FROM 232-72406-01 TO 232-71053-01		1,404.04

Reference number	Date	Description	Amount
210000200	05/29/09	CONSULTING & ADVISORY SERVICES FROM 05/22/09 TO 06/30/09	\$ 328.76
210000400	12/31/09	CONSULTING & ADVISORY SERVICES FROM 12/23/09 TO 12/31/09	12.20

Reference number	Date	Description	Referral number	Amount
220000200	03/02/09	FROM 232-72406-01 TO 232-71053-01		\$ 5,000.00
220000400	05/22/09	FROM 232-72406-01 TO 232-71053-01		198,595.96
220000600	07/17/09	CONSULTING & ADVISORY SERVICES FROM 07/01/09 TO 09/30/09		584.27

2 0 0 9 Y E A R E N D S U M M A R Y





MorganStanley
SmithBarney

Reserved
Client Statement
2009 Year End Summary

Ref. 00000018 00006366

THOMPSON DEAN FAMILY FOUNDATIO
Account Number 232-72406-16 757

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010090	7	TRAVELERS COMPANIES INC	07/25/08	10/09/09	\$ 340.81	\$ 295.98		\$ 44.83
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/28/08		292.12	256.10		36.02
125010100	4	TRAVELERS COMPANIES INC	07/28/08	10/13/09	192.99	170.73		22.26
	9	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/31/08		434.24	396.71		37.53
125010110	1	TRAVELERS COMPANIES INC	07/31/08	10/14/09	48.36	44.08		4.28
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125010130	1	TRAVELERS COMPANIES INC	12/05/08	12/18/09	48.02	39.62		8.40
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125010560	25	UNUM GROUP	03/26/08	05/18/09	429.05	548.79	(119.74)	
	45		04/01/08		772.29	1,002.90	(230.61)	
	35		04/02/08		600.67	800.55	(199.88)	
	30		04/08/08		514.86	696.43	(181.57)	
	22		04/09/08		377.57	510.92	(133.35)	
	21		04/29/08		360.40	498.84	(138.44)	
	1		05/06/08		17.17	24.56	(7.39)	
125010570	21	UNUM GROUP	05/06/08	09/02/09	444.77	515.80	(71.03)	
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.						
125010640	7	UNUM GROUP	11/07/08	11/20/09	133.10	115.66		17.44
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125010650	9	UNUM GROUP	11/20/08	11/30/09	169.05	99.98		69.07
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125010660	13	UNUM GROUP	11/20/08	12/11/09	247.26	144.42		102.84
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						

2 0 0 9 Y E A R E N D S U M M A R Y



**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization	Employer identification number
	THOMPSON DEAN FAMILY FOUNDATION	13-3942201
	Number, street, and room or suite no. If a P.O. box, see instructions	
	C/O REGEN, BENZ & MACKENZIE 57 W. 38 ST., No. 3RD FL	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10018	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

THOMPSON DEAN III

- The books are in the care of ▶ **550 PARK AVENUE - NEW YORK, NY 10021**

Telephone No ▶ **N/A**

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **August 16, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
- ▶ ☒ calendar year **2009** or
- ▶ ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	0.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,735.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2009)