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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE ROBY FOUNDATION
C\O JPMORGAN SERVICES INC

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
PO BOX 6089

City or town, state, and ZIP code
NEWARK DE 19714-6089

A Employer identification number
13-3932915

B Telephone number (see page 10 of the instructions)
212-464-2765

C If exemption application is pending, check here ▶ [ ]
D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 570,541

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	158	158		
	4	Dividends and interest from securities	14,787	14,787		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-172,107			
	b	Gross sales price for all assets on line 6a 557,953				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns & allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total** Add lines 1 through 11	-157,162	14,945	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	8,095	6,476		1,619
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) STMT 1	-1,090	119		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att. sch) STMT 2	220			220
	24	**Total operating and administrative expenses.** Add lines 13 through 23	7,225	6,595		1,839
	25	Contributions, gifts, grants paid	357,950			357,950
	26	**Total expenses and disbursements.** Add lines 24 and 25	365,175	6,595	0	359,789
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-522,337			
	b	**Net investment income** (if negative, enter -0-)		8,350		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	73,009	26,841	26,841
	3	Accounts receivable ▶ 537			
		Less allowance for doubtful accounts ▶	1,190	537	537
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) SEE STATEMENT 3	1,039,236	563,720	543,163
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch.) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,113,435	591,098	570,541
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,112,237	590,561	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,198	537	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,113,435	591,098	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,113,435	591,098	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,113,435
2	Enter amount from Part I, line 27a	2	-522,337
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	591,098
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	591,098

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
b	LONG TERM CAP GAIN DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	557,936		730,060	-172,124
b	17			17
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				-172,124
b				17
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -172,107

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	198,000	1,168,087	0.169508
2007	758,738	1,736,608	0.436908
2006	439,364	2,191,445	0.200491
2005	702,550	2,574,952	0.272840
2004	596,087	3,005,319	0.198344

2	**Total** of line 1, column (d)	2	1.278091
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.255618
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	657,573
5	Multiply line 4 by line 3	5	168,087
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	84
7	Add lines 5 and 6	7	168,171
8	Enter qualifying distributions from Part XII, line 4	8	359,789

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter **(attach copy of letter if necessary—see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	84
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	84
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	84
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 100		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	100
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	16
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 16 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)		11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	

Website address ▶ N/A

14 The books are in care of ▶ JP MORGAN SVCS INC Telephone no ▶ 302-634-2931
PO BOX 6089
Located at ▶ NEWARK, DE ZIP+4 ▶ 19714-6089

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK NEW YORK 270 PARK AVENUE NY 10017	TRUSTEE 5.00	8,095	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions. 3	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	536,448
b	Average of monthly cash balances	1b	131,139
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	667,587
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	667,587
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	10,014
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	657,573
6	**Minimum investment return.** Enter 5% of line 5	6	32,879

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	32,879
2a	Tax on investment income for 2009 from Part VI, line 5	2a	84		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	84
3	Distributable amount before adjustments Subtract line 2c from line 1			3	32,795
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	32,795
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	32,795

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	359,789
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	359,789
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	84
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	359,705

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

			(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7					32,795
2	Undistributed income, if any, as of the end of 2009					
a	Enter amount for 2008 only					
b	Total for prior years: 20____, 20____, 20____					
3	Excess distributions carryover, if any, to 2009					
a	From 2004	451,337				
b	From 2005	576,686				
c	From 2006	333,389				
d	From 2007	675,652				
e	From 2008	140,008				
f	**Total** of lines 3a through e		2,177,072			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 359,789					
a	Applied to 2008, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)					
d	Applied to 2009 distributable amount					32,795
e	Remaining amount distributed out of corpus		326,994			
5	Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,504,066			
b	Prior years' undistributed income. Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions					
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions					
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		451,337			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		2,052,729			
10	Analysis of line 9					
a	Excess from 2005	576,686				
b	Excess from 2006	333,389				
c	Excess from 2007	675,652				
d	Excess from 2008	140,008				
e	Excess from 2009	326,994				

Part XIV **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JOE L. ROBY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

JOE L. ROBY
834 FIFTH AVE 8B NEW YORK NY 10021

b The form in which applications should be submitted and information and materials they should include

NO FORMAL APPLICATION PROCESS

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENT				357,950
Total			▶ 3a	357,950
b Approved for future payment N/A				
Total			▶ 3b	

P45760009 04/23/2010 1 17 PM

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	158	
4 Dividends and interest from securities			14	14,787	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-172,107	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		-157,162	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 -157,162

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions·			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ [signature] VP 4/26/10 ▶

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature ▶ [signature]	Date 04/23/10	Check if self-employed ▶ ☐	Preparer's identifying number (see Signature on page 30 of the instructions) P00764877
Firm's name (or yours if self-employed), address, and ZIP code ▶ J. P. MORGAN SERVICES INC. P. O. BOX 6089 NEWARK, DE 19714-6089		EIN ▶ 22-2189421	Phone no 302-634-2931

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES	$ 119	$ 119	$	$
FEDERAL ESTIMATES TAX	100			
2008 FEDERAL REFUND	-1,309			
TOTAL	$ -1,090	$ 119	$ 0	$ 0

Statement 2 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
NYS ATTORNEY GENERAL FEES	100			100
AMERICAN LAWYER, INC ADVERTIS	120			120
TOTAL	$ 220	$ 0	$ 0	$ 220

Federal Statements

Statement 3 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED				
	$ 1,039,236	$ 563,720	COST	$ 543,163
TOTAL	$ 1,039,236	$ 563,720		$ 543,163

Federal Statements

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
JOE L. ROBY	$
TOTAL	$ 0

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
NO FORMAL APPLICATION PROCESS

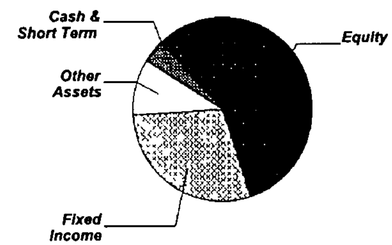
The Roby Foundation 2009 Contributions						
[redacted]						
Community						
The American-Scandinavian Foundation	Scandinavia House 58 Park Avenue, Attn Development Dept.	New York	NY 10016	02/19/09	Online	$ 5,000 00
The Thursday Club	Attn Mary-Jean Keenan, One Lewis Road	Irvington	NY 10533	03/11/09	Online	$ 750 00
The American-Scandinavian Foundation	Scandinavia House 58 Park Avenue, Attn Development Dept	New York	NY 10016	12/30/09	Online	$ 10,000 00
						$ 15,750.00
Conservation						
Central Park Conservancy, Inc	14 East 60th Street, Attn Diane Schoenthal	New York	NY 10022	06/17/09	Online	$25,000 00
CPC Storm Damage Fund	Attn Rebecca Sullivan, 14 East 60th Street	New York	NY 10022	10/09/09	Online	$12,500.00
						$37,500.00
Cultural						
Educational						
Harvard Business School Fund	Soldiers Field, Attn Wendy L Baker	Boston	MA 02163-9904	6/17/2009	Online	$ 50,000 00
Vanderbilt University	102 Alumni Hall, Attn Robert L Early	Nashville	TN 37240	6/17/2009	Online	$ 250,000 00
Dartmouth College-Sponsor Program	6083 Alumni Gymasium	Hanover	NH 03755-3512	10/02/08	Online	$ 1,200 00
						$ 301,200 00
Medical						
Tourette Syndrome Association, Inc	c/o Plan A, 457 N Canon Drive, Suite C	Beverly Hills	CA 90210	03/31/09	Online	$ 2,500 00
The Buoniconti Fund to Cure Paralysis, Inc (2009 Great Sports Legends Dinner)	1095 NW 14th Terrace, 2nd Fl Room R-48 **Mailed to: 162 West 56th Street, Suite 405**	Miami **New York**	FL 33136 **NY 10019-3831**	9/2/2009	Online	$ 1,000 00
						$ 3,500.00
Religious						
Total Contributions						$ 357,950.00

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	316,291 88	321,669 37	5,377 49	5,820 14	57%
Cash & Short Term	31,441 74	21,458 51	(9,983 23)		4%
Fixed Income	164,041 70	163,259 47	(782 23)	4,934 84	29%
Other Assets	57,508 00	58,235 00	727.00		10%
Market Value	**$569,283.32**	**$564,622.35**	**($4,660.97)**		**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	3,713.98	5,382 17	1,668 19
Accruals	338 64	385 05	46 41
Market Value	**$4,052.62**	**$5,767.22**	**$1,714.60**

Account Summary CONTINUED

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**569,283.32**	**758,929.11**	**3,713.98**	**7.70**
Additions		91,414 95		1,518 40
Withdrawals & Fees	(10,000 00)	(355,066 86)		(11,174 12)
Securities Transferred In		713 00	--	--
Securities Transferred Out		(2,704 25)	--	--
Net Additions/Withdrawals	**($10,000.00)**	**($265,643.16)**	**$0.00**	**($9,655.72)**
Income			1,668 19	15,030 19
Change In Investment Value	5,339.03	71,336 40		
Ending Market Value	**$564,622.35**	**$564,622.35**	**$5,382.17**	**$5,382.17**
Accruals	--	--	385.05	385 05
Market Value with Accruals	**--**	**--**	**$5,767.22**	**$5,767.22**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	1,667 61	14,837 98
Foreign Dividends		36 49
Interest Income	0 58	155 72
Taxable Income	**$1,668.19**	**$15,030.19**

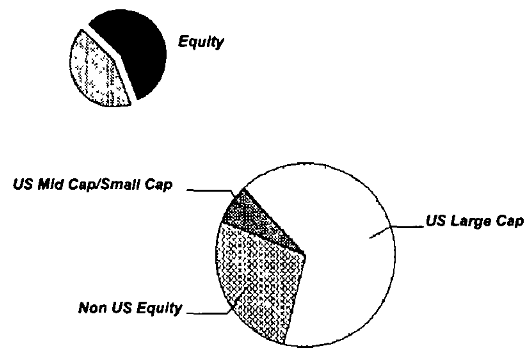
	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	16 77	16 77
ST Realized Gain/Loss		(13,660 54)
LT Realized Gain/Loss		(111,345 61)
Realized Gain/Loss	**$16.77**	**($124,989.38)**

	To-Date Value
Unrealized Gain/Loss	**($20,555.75)**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap	210,051 44	213,112 76	3,061 32	38%
US Mid Cap/Small Cap	21,180 67	22,582 90	1,402 23	4%
Non US Equity	85,059 77	85,973 71	913 94	15%
Total Value	**$316,291.88**	**$321,669.37**	**$5,377.49**	**57%**

Asset Categories



Market Value/Cost	Current Period Value
Market Value	321,669 37
Tax Cost	351,631 81
Unrealized Gain/Loss	(29,962 44)
Estimated Annual Income	5,820 14
Yield	1 81%

Equity Detail

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Large Cap							
CULLEN HIGH DIVIDEND EQUITY FUND 230001-40-6 CHDV X	8,818.717	11 12	98,064.13	127,254.09	(29,189.96)	3,051 27	3 11%
FMI FDS INC LARGE CAP FD 302933-20-5 FMIH X	4,170 142	14 14	58,965 81	50,000 00	8,965 81	713 09	1.21%
HARTFORD CAPITAL APPRECIATION FUND 416649-30-9 ITHI X	1,832 173	30 61	56,082 82	50,000 00	6,082 82	786 00	1 40%
Total US Large Cap			**$213,112.76**	**$227,254.09**	**($14,141.33)**	**$4,550.36**	**2.14%**
US Mid Cap/Small Cap							
ISHARES RUSSELL 2000 INDEX FUND 464287-65-5 IWM	197 000	62 44	12,300 68	10,954 82	1,345 86	199 56	1 62%
ISHARES S&P MIDCAP 400 INDEX FUND 464287-50-7 IJH	142 000	72 41	10,282 22	10,003 77	278 45	191 13	1.86%
Total US Mid Cap/Small Cap			**$22,582.90**	**$20,958.59**	**$1,624.31**	**$390.69**	**1.73%**
Non US Equity							
DODGE & COX INTERNATIONAL STOCK 256206-10-3 DODF X	1,764 607	31 85	56,202 73	78,419 13	(22,216 40)	769 36	1 37%

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
Non US Equity							
JPMORGAN ASIA EQUITY FUND SELECT SHARE CLASS FUND 1134 4812A0-70-6 JPAS X	954 198	31 20	29,770 98	25,000 00	4,770 98	109 73	0 37%
Total Non US Equity			**$85,973.71**	**$103,419.13**	**($17,445.42)**	**$879.09**	**1.02%**

Cash & Short Term Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	1,401 07	0.00	(1,401 07)	
Short Term	30,040 67	21,458 51	(8,582.16)	4%
Total Value	**$31,441.74**	**$21,458.51**	**($9,983.23)**	**4%**

Asset Categories

Market Value/Cost	Current Period Value
Market Value	21,458 51
Tax Cost	21,458 51
Accrued Interest	0 56

Cash & Short Term Summary CONTINUED

SUMMARY BY MATURITY

Short Term	Market Value
Less than 3 months	21,458 51

SUMMARY BY TYPE

Short Term	Market Value	% of Bond Portfolio
Mutual Funds	21,458 51	100%

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

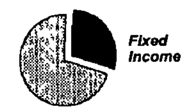
Cash & Short Term Detail

	Quantity	Price	Market Value	Tax Cost Adjusted / Original	Unrealized Gain/Loss	Estimated Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL		1 00			N/A	0 56	0 12% [1]
Short Term							
JPMORGAN PRIME MONEY MARKET FUND PREMIER SHARE CLASS FUND 350 4812A2-80-1	21,458 51	1 00	21,458 51	21,458 51			

Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Fixed Income - Taxable	164,041 70	163,259 47	(782 23)	29%

Asset Categories



Market Value/Cost	Current Period Value
Market Value	163,259 47
Tax Cost	162,687 78
Unrealized Gain/Loss	571 69
Estimated Annual Income	4,934 84
Accrued Interest	384 49
Yield	3 02 %

Fixed Income Summary CONTINUED

SUMMARY BY MATURITY

Fixed Income	Market Value	% of Bond Portfolio
Less than 5 years[1]	163,259 47	100%

SUMMARY BY TYPE

Fixed Income	Market Value	% of Bond Portfolio
Mutual Funds	163,259 47	100%

[1]The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

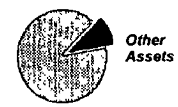
Fixed Income Detail

	Quantity	Price	Market Value	Tax Cost Adjusted / Original	Unrealized Gain/Loss	Estimated Annual Income / Accrued Interest	Yield
US Fixed Income - Taxable							
JPMORGAN STRATEGIC INCOME *OPPORTUNITIES FUND 3844* 30-Day Annualized Yield 2 76% 4812A4-35-1	3,359 925	11 59	38,941 53	38,599 00	342 53	1,394 36 120 96	3 58 %
JPMORGAN SHORT DURATION BOND FUND SELECT CLASS FUND 3133 30-Day Annualized Yield· 2 04% 4812C1-33-0	11,457 875	10 85	124,317.94	124,088 78	229 16	3,540 48 263 53	2 85 %
Total US Fixed Income - Taxable			**$163,259.47**	**$162,687.78**	**$571.69**	**$4,934.84** **$384.49**	**3.02 %**

Other Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Structured Investments	57,508 00	58,235 00	727 00	10%

Asset Categories



Other Assets Detail

	Quantity	Price	Estimated Value	Cost	Estimated Gain/Loss
Structured Investments					
BARCLAYS BREN S&P GSCI AG 7/22/11 AG EXCESS RET INDEX, BUFFER 20%, UP LEVERAGE 153%, MAX RET 38 25% INT STRIKE 53 33449, MAX LOSS 100% DD 07/17/09 06739J-MM-2	20,000 000	108 25	21,650 00	19,700 00	1,950 00
CREDIT SUISSE BREN SPX 4/28/10 10%BUFFER 3XLEV 8 07%CAP 24 21%MAXPY DD 04/16/09 22546E-HE-7	30,000 000	121 95	36,585 00	29,700 00	6,885 00
Total Structured Investments			**$58,235.00**	**$49,400.00**	**$8,835.00**

Portfolio Activity Summary

	PRINCIPAL		INCOME	
Transactions	Current Period Value	Year-To-Date Value*	Current Period Value	Year-To-Date Value*
Beginning Cash Balance	**1,401.07**	--	**3,713.98**	--
INFLOWS				
Income			1,668 19	15,030 19
Contributions		91,414 95		1,518 40
Total Inflows	**$0.00**	**$91,414.95**	**$1,668.19**	**$16,548.59**
OUTFLOWS				
Withdrawals	(10,000 00)	(355,066 86)		(3,170 00)
Fees				(7,904 12)
Tax Payments				(100 00)
Total Outflows	**($10,000.00)**	**($355,066.86)**	**$0.00**	**($11,174.12)**
TRADE ACTIVITY				
Settled Sales/Maturities/Redemptions	8,598.93	504,877 28		
Settled Securities Purchased		(288,939.99)		
Total Trade Activity	**$8,598.93**	**$215,937.29**	**$0.00**	**$0.00**
Ending Cash Balance	**$0.00**	--	**$5,382.17**	--

* Year to date information is calculated on a calendar year basis.

TRUST YEAR ENDING 12/31/2009

TAX PREPARER· AGA
TRUST ADMINISTRATOR LM1
INVESTMENT OFFICER MI1

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
5 0000 AFLAC INC - 001055102 - MINOR = 31000						
SOLD		01/21/2009	176 91			
ACQ	5 0000	01/03/2008	176 91	315 75	-138 84	LT15
10 0000 AFLAC INC - 001055102 - MINOR = 31000						
SOLD		02/23/2009	164 20			
ACQ	10 0000	01/03/2008	164 20	631 50	-467 30	LT15
10 0000 AT&T INC - 00206R102 - MINOR = 31000						
SOLD		01/28/2009	253 41			
ACQ	10 0000	10/10/2007	253 41	420 36	-166 95	LT15
65 0000 AT&T INC - 00206R102 - MINOR = 31000						
SOLD		06/22/2009	1561 90			
ACQ	15 0000	10/10/2007	360 44	630 54	-270 10	LT15
	25 0000	12/03/2007	600 73	958 58	-357 85	LT15
	25 0000	12/05/2007	600 73	956 53	-355 80	LT15
14 0000 ABBOTT LABS - 002824100 - MINOR = 31001						
SOLD		01/07/2009	713 83		SUB TRUST A10777000	
ACQ	14 0000	02/25/2008	713 83	769 72	-55 89	ST
5 0000 ABBOTT LABS - 002824100 - MINOR = 31001						
SOLD		02/06/2009	284 84			
ACQ	5 0000	08/01/2007	284 84	253 81	31 03	LT15
61 0000 ABBOTT LABS - 002824100 - MINOR = 31001						
SOLD		02/23/2009	3292 76		SUB TRUST A10777000	
ACQ	61 0000	02/25/2008	3292 76	3353 78	-61 02	ST
5 0000 ABBOTT LABS - 002824100 - MINOR = 31001						
SOLD		02/27/2009	242 12			
ACQ	5 0000	08/01/2007	242 12	253 81	-11 69	LT15
5 0000 ABBOTT LABS - 002824100 - MINOR = 31001						
SOLD		03/10/2009	235 20			
ACQ	5 0000	11/08/2006	235 20	236 98	-1 78	LT15
55 0000 ABBOTT LABS - 002824100 - MINOR = 31001						
SOLD		06/22/2009	2580 53			
ACQ	45 0000	11/08/2006	2111.34	2132 84	-21 50	LT15
	10 0000	06/08/2009	469 19	446 98	22 21	ST
75 0000 ADOBE SYS INC - 00724F101 - MINOR = 31001						
SOLD		02/23/2009	1291 49		SUB TRUST A10777000	
ACQ	75 0000	02/25/2008	1291 49	2653 50	-1362 01	ST
5 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000						
SOLD		01/21/2009	155 87			
ACQ	5 0000	01/07/2008	155 87	179 45	-23 58	LT15
5 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000						
SOLD		02/04/2009	167 64			
ACQ	5 0000	01/07/2008	167 64	179 45	-11.81	LT15
5 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000						
SOLD		03/02/2009	181 56			
ACQ	5 0000	01/07/2008	181 56	179 45	2 11	LT15
10 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000						
SOLD		03/10/2009	379 46			
ACQ	5 0000	01/07/2008	189 73	179 45	10 28	LT15
	5 0000	04/28/2008	189 73	176 10	13 63	ST
15 0000 ADVANCED AUTO PARTS INC - 00751Y106 - MINOR = 31000						
SOLD		06/22/2009	634 03			
ACQ	10 0000	04/28/2008	422 69	352 20	70 49	LT15
	5 0000	10/07/2008	211 34	150 39	60 95	ST
20 0000 AETNA INC - 00817Y108 - MINOR = 31000						
SOLD		04/01/2009	482 69			
ACQ	20 0000	01/07/2008	482 69	1122 00	-639 31	LT15
10 0000 AETNA INC - 00817Y108 - MINOR = 31000						
SOLD		04/09/2009	252 65			
ACQ	10 0000	01/07/2008	252 65	561 00	-308 35	LT15
30 0000 AETNA INC - 00817Y108 - MINOR = 31000						
SOLD		06/22/2009	733.18			
ACQ	10 0000	06/03/2009	244 39	259 84	-15 45	ST
	10 0000	12/08/2008	244 39	219 85	24 54	ST
	10 0000	02/27/2009	244 39	243 93	0 46	ST
73 0000 AKAMAI TECHNOLOGIES INC - 00971T101 - MINOR = 31000						
SOLD		02/23/2009	1284 79		SUB TRUST A10777000	
ACQ	73 0000	02/25/2008	1284 79	2364 47	-1079 68	ST
65 0000 ALTRIA GROUP INC - 02209S103 - MINOR = 31000						
SOLD		06/22/2009	1065 97			
ACQ	30 0000	12/16/2008	491 99	434 97	57 02	ST
	20 0000	01/13/2009	327 99	324 08	3 91	ST
	15 0000	01/20/2009	245 99	259 76	-13 77	ST
56 0000 AMAZON COM INC - 023135106 - MINOR = 31000						
SOLD		02/23/2009	3571 66		SUB TRUST A10777000	
ACQ	22 0000	03/14/2008	1403 15	1501 44	-98 29	ST
	23 0000	07/24/2008	1466 93	1873 66	-406 73	ST
	11 0000	08/22/2008	701 58	931 60	-230 02	ST
58 0000 AMERICA MOVIL S A DE C V - 02364W105 - MINOR = 31000						
SOLD		02/23/2009	1541 05		SUB TRUST A10777000	
ACQ	58 0000	02/25/2008	1541 05	3569 90	-2028 85	ST
25 0000 AMERICAN EXPRESS CO - 025816109 - MINOR = 31001						
SOLD		05/05/2009	664 16			
ACQ	10 0000	03/17/2009	265 66	125 01	140 65	ST
	15 0000	03/18/2009	398 50	206 70	191.80	ST

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LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
10 0000 AMERIPRISE FINANCIAL INC - 03076C106 - MINOR = 31000						
SOLD		02/18/2009	182.09			
ACQ	10 0000	01/04/2008	182 09	538 75	-356.66	LT15
20 0000 AMERIPRISE FINANCIAL INC - 03076C106 - MINOR = 31000						
SOLD		06/22/2009	464 78			
ACQ	10 0000	01/04/2008	232 39	538 75	-306 36	LT15
	10 0000	12/12/2008	232 39	198 04	34 35	ST
34 0000 AMGEN INC - 031162100 - MINOR = 31001						
SOLD		02/23/2009	1924 38		SUB TRUST A10777000	
ACQ	18 0000	11/04/2008	1018 79	1106.74	-87 95	ST
	16 0000	01/20/2009	905 59	889 32	16 27	ST
20 0000 ANADARKO PETE CORP - 032511107 - MINOR = 31001						
SOLD		06/22/2009	861 09			
ACQ	10 0000	04/09/2009	430 55	431 18	-0 63	ST
	10 0000	05/22/2009	430 55	436 33	-5 78	ST
24 0000 APACHE CORP - 037411105 - MINOR = 31001						
SOLD		06/22/2009	1716 43			
ACQ	20 0000	01/04/2008	1430 36	2196 00	-765 64	LT15
	4 0000	03/17/2009	286 07	257 53	28 54	ST
16 0000 APOLLO GROUP INC - 037604105 - MINOR = 31000						
SOLD		02/23/2009	1274 39		SUB TRUST A10777000	
ACQ	16 0000	11/03/2008	1274 39	1093 11	181 28	ST
1500 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000						
SOLD		01/28/2009	12142 43			
ACQ	1500 0000	05/13/2008	12142 43	25665 00	-13522 57	ST
100 0000 APOLLO INVESTMENT CORP - 03761U106 - MINOR = 31000						
SOLD		01/29/2009	752 98			
ACQ	100 0000	05/13/2008	752 98	1711 00	-958 02	ST
5 0000 APPLE COMPUTER INC - 037833100 - MINOR = 31001						
SOLD		01/14/2009	428 45			
ACQ	5 0000	10/13/2008	428 45	528 74	-100 29	ST
26 0000 APPLE COMPUTER INC - 037833100 - MINOR = 31001						
SOLD		02/23/2009	2305 66		SUB TRUST A10777000	
ACQ	26 0000	02/25/2008	2305 66	3060 20	-754 54	ST
15 0000 APPLE COMPUTER INC - 037833100 - MINOR = 31001						
SOLD		06/22/2009	2051 64			
ACQ	3 0000	04/06/2006	410 33	207 78	202 55	LT15
	12 0000	04/07/2006	1641 31	830 62	810 69	LT15
25 0000 BB & T CORP - 054937107 - MINOR = 31000						
SOLD		06/22/2009	541 98			
ACQ	10 0000	03/02/2009	216 79	155 96	60 83	ST
	5 0000	03/13/2009	108 40	85 14	23 26	ST
	10 0000	05/13/2009	216 79	218 40	-1 61	ST
20 0000 BAKER HUGHES INC - 057224107 - MINOR = 31001						
SOLD		01/23/2009	613 76			
ACQ	10 0000	02/08/2008	306 88	639 32	-332 44	ST
	10 0000	07/18/2008	306 88	817 99	-511 11	ST
35 0000 BANK OF AMERICA CORP NEW - 060505104 - MINOR = 31000						
SOLD		04/29/2009	302 04			
ACQ	35 0000	03/07/2003	302 04	1199 10	-897 06	LT15
160 0000 BANK OF AMERICA CORP NEW - 060505104 - MINOR = 31000						
SOLD		06/22/2009	1979 14			
ACQ	25 0000	07/16/2008	309 24	499 85	-190 61	ST
	65 0000	03/07/2003	804 03	2226 90	-1422 87	LT15
	35 0000	07/18/2008	432 94	968 29	-535 35	ST
	10 0000	10/13/2008	123 70	223 80	-100 10	ST
	25 0000	02/05/2009	309 24	116 58	192 66	ST
10 0000 BANK OF NEW YORK MELLON CORP - 064058100 - MINOR = 31001						
SOLD		02/05/2009	282 69			
ACQ	10 0000	01/07/2008	282 69	485 80	-203 11	LT15
10 0000 BANK OF NEW YORK MELLON CORP - 064058100 - MINOR = 31001						
SOLD		02/17/2009	237 20			
ACQ	10 0000	01/07/2008	237 20	485 80	-248 60	LT15
60 0000 BANK OF NEW YORK MELLON CORP - 064058100 - MINOR = 31001						
SOLD		06/22/2009	1695 55			
ACQ	25 0000	01/07/2008	706 48	1214 50	-508 02	LT15
	20 0000	07/17/2008	565 18	726 55	-161 37	ST
	5 0000	10/14/2008	141 30	161 44	-20 14	ST
	10 0000	04/29/2009	282 59	262 37	20 22	ST
3 0000 C R BARD INC - 067383109 - MINOR = 31001						
SOLD		02/06/2009	259 98			
ACQ	3.0000	01/03/2008	259 98	281 52	-21 54	LT15
12 0000 C R BARD INC - 067383109 - MINOR = 31001						
SOLD		06/05/2009	888 85			
ACQ	12 0000	01/03/2008	888 85	1126 07	-237 22	LT15
50000 0000 BUFFERED RETURN ENHANCED NOTE - 06738RCX2						
SOLD		02/20/2009	33509 80			
ACQ	50000 0000	02/01/2008	33509 80	50000 00	-16490 20	LT15
15 0000 BAXTER INTL INC - 071813109 - MINOR = 31000						
SOLD		06/22/2009	758 98			
ACQ	15 0000	06/05/2009	758 98	714 92	44 06	ST
54 0000 BEST BUY INC - 086516101 - MINOR = 31000						
SOLD		02/23/2009	1556 27		SUB TRUST A10777000	
ACQ	54 0000	08/06/2008	1556 27	2219 89	-663 62	ST

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LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
46 0000 BOEING CO - 097023105 - MINOR = 31001						
SOLD		02/23/2009	1645 41		SUB TRUST A10777000	
ACQ	37 0000	02/25/2008	1323 48	3095 05	-1771 57	ST
	9 0000	04/25/2008	321 93	757 08	-435 15	ST
15 0000 BOEING CO - 097023105 - MINOR = 31001						
SOLD		04/06/2009	551 59			
ACQ	15 0000	09/27/2005	551 59	986 32	-434 73	LT15
10 0000 BOEING CO - 097023105 - MINOR = 31001						
SOLD		06/22/2009	468 48			
ACQ	10 0000	09/27/2005	468 48	657 54	-189 06	LT15
5 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000						
SOLD		01/06/2009	111 71			
ACQ	5 0000	07/09/2008	111 71	107 85	3 86	ST
10 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000						
SOLD		01/13/2009	219 43			
ACQ	10 0000	07/09/2008	219.43	215 70	3 73	ST
5 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000						
SOLD		02/05/2009	113 34			
ACQ	5 0000	07/07/2008	113 34	102 91	10 43	ST
15 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000						
SOLD		02/18/2009	312 59			
ACQ	5 0000	06/26/2008	104 20	99 37	4 83	ST
	10 0000	07/07/2008	208 39	205 82	2 57	ST
25 0000 BRISTOL MYERS SQUIBB CO - 110122108 - MINOR = 31000						
SOLD		06/22/2009	508 73			
ACQ	25 0000	06/26/2008	508 73	496 84	11 89	ST
108 0000 BROADCOM CORP - 111320107 - MINOR = 31000						
SOLD		02/23/2009	1729 07		SUB TRUST A10777000	
ACQ	58 0000	06/02/2008	928 57	1625 46	-696 89	ST
	50 0000	02/17/2009	800 50	878 87	-78 37	ST
50 0000 BURLINGTON NORTHERN SANTA FE CORP - 12189T104 - MINOR = 31000						
SOLD		02/23/2009	3077 98		SUB TRUST A10777000	
ACQ	42 0000	02/25/2008	2585 50	3707 76	-1122 26	ST
	8 0000	08/27/2008	492 48	837 97	-345 49	ST
65 0000 CVS CORP - 126650100 - MINOR = 31000						
SOLD		06/22/2009	2030 54			
ACQ	50 0000	12/29/2003	1561 95	881 68	680 27	LT15
	10 0000	01/21/2009	312 39	262 09	50 30	ST
	5 0000	01/28/2009	156 20	141 09	15 11	ST
52 0000 CAPITAL ONE FINANCIAL CORP - 14040H105 - MINOR = 31000						
SOLD		02/23/2009	493 99		SUB TRUST A10777000	
ACQ	52 0000	08/08/2008	493 99	2231 41	-1737 42	ST
10 0000 CARDINAL HLTH INC - 14149Y108 - MINOR = 31000						
SOLD		06/22/2009	307 09			
ACQ	10 0000	06/08/2009	307 09	303.01	4 08	ST
30 0000 CATERPILLAR INC - 149123101 - MINOR = 31001						
SOLD		06/22/2009	971 37			
ACQ	10.0000	02/06/2009	323 79	337 11	-13 32	ST
	5 0000	02/27/2009	161 90	121 36	40.54	ST
	5 0000	04/15/2009	161 90	164 04	-2 14	ST
	5.0000	04/21/2009	161 90	155 53	6 37	ST
	5 0000	04/30/2009	161 90	181 58	-19 69	ST
29 0000 CELGENE CORP - 151020104 - MINOR = 31000						
SOLD		02/23/2009	1563 96		SUB TRUST A10777000	
ACQ	29 0000	02/25/2008	1563 96	1636 76	-72 80	ST
35 0000 CELGENE CORP - 151020104 - MINOR = 31000						
SOLD		06/22/2009	1631 30			
ACQ	20 0000	12/17/2007	932 17	997 30	-65 13	LT15
	5 0000	01/13/2009	233 04	252 87	-19 83	ST
	5 0000	02/05/2009	233 04	272 40	-39 36	ST
	5 0000	04/01/2009	233 04	193 70	39 34	ST
49 0000 CHEVRONTEXACO CORP COM - 166764100 - MINOR = 31000						
SOLD		02/23/2009	3205 56		SUB TRUST A10777000	
ACQ	42 0000	02/25/2008	2747 62	3615 78	-868 16	ST
	7 0000	09/18/2008	457 94	567 82	-109.88	ST
20 0000 CHEVRONTEXACO CORP COM - 166764100 - MINOR = 31000						
SOLD		06/22/2009	1320 76			
ACQ	20 0000	06/04/2009	1320 76	1387 46	-66.70	ST
25 0000 CISCO SYS INC - 17275R102 - MINOR = 31000						
SOLD		01/29/2009	402 98			
ACQ	25 0000	12/29/2003	402 98	598 50	-195 52	LT15
64 0000 CISCO SYS INC - 17275R102 - MINOR = 31000						
SOLD		02/23/2009	936 31		SUB TRUST A10777000	
ACQ	64 0000	02/25/2008	936 31	1502 14	-565 83	ST
15 0000 CISCO SYS INC - 17275R102 - MINOR = 31000						
SOLD		03/17/2009	234 80			
ACQ	15 0000	12/29/2003	234 80	359 10	-124 30	LT15
15 0000 CISCO SYS INC - 17275R102 - MINOR = 31000						
SOLD		03/23/2009	248 30			
ACQ	15 0000	12/29/2003	248 30	359 10	-110 80	LT15
15 0000 CISCO SYS INC - 17275R102 - MINOR = 31000						
SOLD		04/01/2009	259 75			
ACQ	15 0000	12/29/2003	259 75	359 10	-99 35	LT15

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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
145 0000 CISCO SYS INC - 17275R102 - MINOR = 31000						
SOLD		06/22/2009	2666 48			
ACQ	85 0000	12/29/2003	1563 11	2034 90	-471 79	LT15
	30 0000	07/09/2008	551 69	649 57	-97 88	ST
	30 0000	07/29/2008	551 69	671 11	-119 42	ST
10 0000 COLGATE PALMOLIVE CO - 194162103 - MINOR = 31001						
SOLD		01/20/2009	631 42			
ACQ	10 0000	01/07/2008	631 42	794 40	-162 98	LT15
5 0000 COLGATE PALMOLIVE CO - 194162103 - MINOR = 31001						
SOLD		01/23/2009	303 51			
ACQ	5 0000	01/07/2008	303 51	397 20	-93 69	LT15
31 0000 COLGATE PALMOLIVE CO - 194162103 - MINOR = 31001						
SOLD		02/23/2009	1850 37		SUB TRUST A10777000	
ACQ	31 0000	02/25/2008	1850 37	2373 67	-523 30	ST
57 0000 COMPANHIA VALE DO RIO DOCE ADR ISIN #US2044122099 - 204412209 - MINOR = 31000						
SOLD		02/23/2009	712 49		SUB TRUST A10777000	
ACQ	57 0000	05/21/2008	712 49	2398 13	-1685 64	ST
33 0000 CONOCOPHILLIPS - 20825C104 - MINOR = 31000						
SOLD		02/23/2009	1288 64		SUB TRUST A10777000	
ACQ	33 0000	02/25/2008	1288 64	2688 18	-1399 54	ST
25 0000 CONOCOPHILLIPS - 20825C104 - MINOR = 31000						
SOLD		06/04/2009	1143 78			
ACQ	10 0000	10/15/2008	457 51	540 68	-83 17	ST
	5 0000	10/17/2008	228 76	272 90	-44 14	ST
	5 0000	03/04/2009	228 76	185 64	43 12	ST
	5 0000	03/17/2009	228 76	187 48	41 28	ST
20 0000 CONSOLIDATED EDISON INC - 209115104 - MINOR = 31000						
SOLD		05/14/2009	699 28			
ACQ	20 0000	04/01/2009	699 28	788 37	-89 09	ST
25 0000 CORNING INC - 219350105 - MINOR = 31000						
SOLD		05/01/2009	356 33			
ACQ	10 0000	05/31/2005	142 53	155 90	-13 37	LT15
	15 0000	08/06/2008	213 80	305 99	-92 19	ST
95 0000 CORNING INC - 219350105 - MINOR = 31000						
SOLD		06/22/2009	1436 36			
ACQ	80 0000	05/31/2005	1209 57	1247 19	-37 62	LT15
	15 0000	01/08/2009	226 79	162 86	63 93	ST
55 0000 COSTCO WHOLESALE CORP - 22160K105 - MINOR = 31000						
SOLD		02/23/2009	2311 08		SUB TRUST A10777000	
ACQ	45 0000	04/04/2008	1890.88	3006 18	-1115 30	ST
	10 0000	08/22/2008	420 20	674 91	-254 71	ST
50 0000 CUMMINS INC - 231021106 - MINOR = 31001						
SOLD		02/23/2009	1029 49		SUB TRUST A10777000	
ACQ	50 0000	02/25/2008	1029 49	2614 00	-1584 51	ST
20 0000 D R HORTON INC - 23331A109 - MINOR = 31000						
SOLD		04/08/2009	193 83			
ACQ	20 0000	01/26/2009	193 83	129 83	64 00	ST
20 0000 D R HORTON INC - 23331A109 - MINOR = 31000						
SOLD		05/14/2009	185 02			
ACQ	20 0000	01/26/2009	185 02	129 83	55 19	ST
5 0000 DANAHER CORP - 235851102 - MINOR = 31000						
SOLD		01/13/2009	265 01			
ACQ	5 0000	01/04/2008	265 01	428 84	-163 83	LT15
5 0000 DANAHER CORP - 235851102 - MINOR = 31000						
SOLD		01/26/2009	278 57			
ACQ	5 0000	01/04/2008	278 57	428 84	-150 27	LT15
7 0000 DANAHER CORP - 235851102 - MINOR = 31000						
SOLD		03/12/2009	373 00			
ACQ	7 0000	01/04/2008	373 00	600 38	-227 38	LT15
5 0000 DANAHER CORP - 235851102 - MINOR = 31000						
SOLD		03/19/2009	275 13			
ACQ	5 0000	01/04/2008	275 13	428 84	-153 71	LT15
10 0000 DANAHER CORP - 235851102 - MINOR = 31000						
SOLD		04/15/2009	538 85			
ACQ	10 0000	01/04/2008	538 85	857 69	-318 84	LT15
8 0000 DANAHER CORP - 235851102 - MINOR = 31000						
SOLD		06/08/2009	504 69			
ACQ	3.0000	01/04/2008	189 26	257 31	-68 05	LT15
	5 0000	04/21/2008	315 43	376 66	-61 23	LT15
20 0000 DEERE & CO - 244199105 - MINOR = 31001						
SOLD		06/22/2009	782 97			
ACQ	10 0000	02/27/2009	391 49	284 15	107 34	ST
	10 0000	04/15/2009	391 49	385 87	5 62	ST
5 0000 DEVON ENERGY CORP - 25179M103 - MINOR = 31000						
SOLD		01/06/2009	357 37			
ACQ	5 0000	10/05/2006	357 37	310 26	47.11	LT15
13 0000 DEVON ENERGY CORP - 25179M103 - MINOR = 31000						
SOLD		02/23/2009	617 23		SUB TRUST A10777000	
ACQ	13 0000	11/13/2008	617 23	938 02	-320 79	ST
10 0000 DEVON ENERGY CORP - 25179M103 - MINOR = 31000						
SOLD		06/08/2009	640 73			
ACQ	10 0000	10/05/2006	640 73	620 51	20 22	LT15
22 0000 DEVON ENERGY CORP - 25179M103 - MINOR = 31000						
SOLD		06/22/2009	1246.26			
ACQ	5.0000	10/05/2006	283 24	310 25	-27.01	LT15
	10 0000	02/19/2009	566 48	504 74	61 74	ST
	5 0000	03/12/2009	283 24	213 77	69 47	ST

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LEGEND F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
	2 0000	03/17/2009	113 30	93 99	19 31	ST
105 0000 DISNEY WALT CO HOLDING COMPANY - 254687106 - MINOR = 31001						
SOLD		06/22/2009	2387 63			
ACQ	50.0000	01/07/2008	1136 97	1567 50	-430 53	LT15
	5.0000	01/09/2008	113 70	152 11	-38 41	LT15
	15 0000	02/08/2008	341 09	481 54	-140 45	LT15
	20 0000	02/17/2009	454 79	359 79	95 00	ST
	15 0000	02/20/2009	341 09	265 78	75 31	ST
60 0000 DOW CHEM CO - 260543103 - MINOR = 31001						
SOLD		06/22/2009	923 52			
ACQ	50 0000	04/22/2009	769 60	628 14	141 46	ST
	10.0000	05/22/2009	153 92	171 94	-18 02	ST
10 0000 ECOLAB INC - 278865100 - MINOR = 31001						
SOLD		02/09/2009	353 29			
ACQ	10 0000	01/13/2009	353 29	338 09	15 20	ST
15 0000 ECOLAB INC - 278865100 - MINOR = 31001						
SOLD		04/22/2009	562 22			
ACQ	15 0000	11/13/2008	562 22	485 00	77 22	ST
35 0000 EDISON INTERNATIONAL - 281020107 - MINOR = 31000						
SOLD		06/22/2009	1117 87			
ACQ	10 0000	05/13/2009	319 39	293 11	26 28	ST
	23 0000	06/19/2006	734 60	914 30	-179 70	LT15
	2 0000	06/19/2006	63 88	78 99	-15 11	LT15
45 0000 EMERSON ELEC CO - 291011104 - MINOR = 31001						
SOLD		02/23/2009	1292 84		SUB TRUST A10777000	
ACQ	45 0000	08/04/2008	1292 84	2169 90	-877 06	ST
20 0000 EMERSON ELEC CO - 291011104 - MINOR = 31001						
SOLD		06/22/2009	636 58			
ACQ	20 0000	06/08/2009	636 58	696 63	-60 05	ST
25 0000 EXELON CORP - 30161N101 - MINOR = 31000						
SOLD		06/22/2009	1238 71			
ACQ	10 0000	06/06/2008	495 48	885 93	-390 45	LT15
	15 0000	07/09/2008	743 23	1354 03	-610 80	ST
5 0000 EXXON MOBIL CORPORATION - 30231G102 - MINOR = 31001						
SOLD		01/28/2009	392 14			
ACQ	5 0000	03/07/2003	392 14	173 85	218 29	LT15
90 0000 EXXON MOBIL CORPORATION - 30231G102 - MINOR = 31001						
SOLD		06/22/2009	6250 33			
ACQ	85 0000	03/07/2003	5903 09	2955 45	2947 64	LT15
	5 0000	05/13/2009	347 24	348 80	-1 56	ST
31 0000 FEDEX CORP - 31428X106 - MINOR = 31000						
SOLD		02/23/2009	1401 81		SUB TRUST A10777000	
ACQ	31 0000	02/25/2008	1401 81	2743 81	-1342 00	ST
20 0000 FIRSTENERGY CORP - 337932107 - MINOR = 31000						
SOLD		04/01/2009	772 95			
ACQ	20 0000	01/04/2008	772 95	1456 40	-683 45	LT15
559 4130 JPMORGAN MID CAP VALUE FUND - 339183105 - MINOR = 08010						
SOLD		09/18/2009	10304 39			
ACQ	559 4130	10/26/2004	10304 39	11539 07	-1234 68	LT15
25 0000 FREEPORT-MCMORAN COPPER & GOLD INC - 35671D857 - MINOR = 31000						
SOLD		06/22/2009	1150 97			
ACQ	15 0000	01/07/2008	690 58	1489 95	-799 37	LT15
	10 0000	02/09/2009	460 39	298 65	161 74	ST
16 0000 GENENTECH INC - 368710406 - MINOR = 31000						
SOLD		01/06/2009	1347 34		SUB TRUST A10777000	
ACQ	16 0000	02/25/2008	1347 34	1247 52	99 82	ST
21 0000 GENENTECH INC - 368710406 - MINOR = 31000						
SOLD		02/23/2009	1791 28		SUB TRUST A10777000	
ACQ	21 0000	02/25/2008	1791 28	1637 37	153 91	ST
15 0000 GENERAL ELEC CO - 369604103 - MINOR = 31001						
SOLD		02/27/2009	129 90			
ACQ	15 0000	12/29/2003	129 90	459 75	-329 85	LT15
30 0000 GENERAL ELEC CO - 369604103 - MINOR = 31001						
SOLD		02/27/2009	261 52			
ACQ	30 0000	12/29/2003	261 52	919 50	-657 98	LT15
95 0000 GENERAL ELEC CO - 369604103 - MINOR = 31001						
SOLD		06/22/2009	1093 42			
ACQ	15 0000	10/02/2008	172 65	335 10	-162 45	ST
	80 0000	12/29/2003	920 77	2452 00	-1531 23	LT15
10 0000 GENERAL MLS INC - 370334104 - MINOR = 31001						
SOLD		06/22/2009	548 68			
ACQ	5 0000	01/20/2009	274 34	302 13	-27 79	ST
	5 0000	03/23/2009	274 34	245 81	28 53	ST
5 0000 GILEAD SCIENCES INC - 375558103 - MINOR = 31000						
SOLD		03/03/2009	226 13			
ACQ	5 0000	01/07/2008	226 13	233 50	-7 37	LT15
5 0000 GILEAD SCIENCES INC - 375558103 - MINOR = 31000						
SOLD		04/01/2009	225 71			
ACQ	5 0000	01/07/2008	225 71	233 50	-7 79	LT15
30 0000 GILEAD SCIENCES INC - 375558103 - MINOR = 31000						
SOLD		06/22/2009	1384 46			
ACQ	20 0000	01/07/2008	922 97	934.00	-11 03	LT15
	10.0000	12/04/2008	461 49	465 04	-3 55	ST

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LEGEND F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
28 0000 GOLDMAN SACHS GROUP INC - 38141G104 - MINOR = 31001						
SOLD		02/23/2009	2329 86		SUB TRUST A10777000	
ACQ	28 0000	02/25/2008	2329 86	4849 60	-2519 74	ST
20 0000 GOLDMAN SACHS GROUP INC - 38141G104 - MINOR = 31001						
SOLD		06/22/2009	2785 12			
ACQ	10 0000	04/04/2008	1392 56	1777 15	-384 59	LT15
	3 0000	10/14/2008	417 77	362 95	54 82	ST
	5 0000	12/16/2008	696 28	370 38	325 90	ST
	2 0000	12/23/2008	278 51	150 78	127 73	ST
9 0000 GOOGLE INC - 38259P508 - MINOR = 31000						
SOLD		02/23/2009	3058 72		SUB TRUST A10777000	
ACQ	9 0000	02/25/2008	3058 72	4436 46	-1377 74	ST
7 0000 GOOGLE INC - 38259P508 - MINOR = 31000						
SOLD		06/22/2009	2824 77			
ACQ	3 0000	09/07/2007	1210 62	1559 05	-348 43	LT15
	1 0000	10/16/2008	403 54	329 29	74 25	ST
	1 0000	12/04/2008	403 54	280 67	122 87	ST
	1 0000	01/14/2009	403 54	300 67	102 87	ST
	1 0000	01/15/2009	403 54	302 14	101 40	ST
0000 HCA-HEALTHCARE CO - 404119109 - MINOR = 31000						
SOLD		10/28/2009	17 46			
ACQ	0000		17 46	0 00	17 46	LT15
CHANGED 12/22/09 AW4						
30000 0000 HSBC SARN SPX 3/01/11 - 4042K0VF9						
SOLD		08/17/2009	31800 00			
ACQ	30000 0000	02/12/2009	31800 00	29550 00	2250 00	ST
15 0000 HESS CORP - 42809H107 - MINOR = 31000						
SOLD		06/22/2009	760 18			
ACQ	10 0000	08/06/2008	506 79	994 75	-487 96	ST
	5 0000	01/28/2009	253 39	299 26	-45 87	ST
95 0000 HEWLETT PACKARD CO - 428236103 - MINOR = 31001						
SOLD		06/22/2009	3581 40			
ACQ	65 0000	06/30/2003	2450 43	1383 85	1066 58	LT15
	10 0000	02/19/2009	376 99	313 94	63 05	ST
	5 0000	03/10/2009	188 49	133.99	54 50	ST
	10 0000	03/17/2009	376 99	292 41	84 58	ST
	5 0000	03/18/2009	188 49	144 81	43 68	ST
150 0000 INTEL CORP - 458140100 - MINOR = 31001						
SOLD		02/23/2009	1881 24		SUB TRUST A10777000	
ACQ	150 0000	02/25/2008	1881 24	2947 13	-1065 89	ST
23 0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001						
SOLD		02/23/2009	2009 26		SUB TRUST A10777000	
ACQ	18 0000	11/03/2008	1572 46	1669 85	-97 39	ST
	5 0000	01/20/2009	436 80	414 15	22 65	ST
2 0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001						
SOLD		03/18/2009	181 90			
ACQ	2 0000	03/17/2006	181 90	166 73	15 17	LT15
2 0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001						
SOLD		04/01/2009	194 99			
ACQ	2 0000	03/17/2006	194 99	166 73	28 26	LT15
3 0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001						
SOLD		04/29/2009	312 67			
ACQ	3 0000	03/17/2006	312 67	250 09	62 58	LT15
3 0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001						
SOLD		05/22/2009	309 22			
ACQ	3 0000	03/17/2006	309 22	250 09	59 13	LT15
15 0000 INTERNATIONAL BUSINESS MACHS CORP - 459200101 - MINOR = 31001						
SOLD		06/22/2009	1566 25			
ACQ	15 0000	03/17/2006	1566 25	1250 46	315 79	LT15
111 0000 J P MORGAN CHASE & CO COM - 46625H100 - MINOR = 31001						
SOLD		02/23/2009	2262 27		SUB TRUST A10777000	
ACQ	51 0000	04/23/2008	1039 42	2314 95	-1275 53	ST
	30 0000	08/27/2008	611 42	1106 29	-494 87	ST
	30 0000	09/17/2008	611 42	1127 09	-515 67	ST
10 0000 JOHNSON CTLS INC - 478366107 - MINOR = 31001						
SOLD		01/14/2009	167 78			
ACQ	10 0000	07/20/2006	167 78	235 98	-68 20	LT15
20 0000 JOHNSON CTLS INC - 478366107 - MINOR = 31001						
SOLD		05/13/2009	352 84			
ACQ	20 0000	07/20/2006	352 84	471 96	-119 12	LT15
65 0000 JOHNSON CTLS INC - 478366107 - MINOR = 31001						
SOLD		06/22/2009	1301 26			
ACQ	15 0000	03/19/2009	300 29	170 29	130 00	ST
	50 0000	07/20/2006	1000 97	1179 89	-178 92	LT15
599 9750 JPMORGAN ASIA EQUITY FUND - 4812A0706 - MINOR = 08010						
SOLD		02/20/2009	9971 58			
ACQ	599 9750	01/08/2008	9971 58	24148 99	-14177 41	LT15
4016 0640 JPMORGAN HIGHYIELD BOND FUND - 4812C0803 - MINOR = 08030						
SOLD		09/23/2009	30000 00			
ACQ	4016 0640	01/28/2008	30000 00	31164 66	-1164 66	LT15
1138 5750 JPMORGAN HIGHYIELD BOND FUND - 4812C0803 - MINOR = 08030						
SOLD		10/29/2009	8607 63			
ACQ	1138 5750	01/28/2008	8607 63	8835 34	-227 71	LT15

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LEGEND F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
7009 3460 JPMORGAN SHORT DURATION BOND FUND - 4812C1330 - MINOR = 08030						
SOLD		06/18/2009	75000 00			
ACQ	7009 3460	01/28/2008	75000 00	75911 22	-911 22	LT15
55 0000 JUNIPER NETWORKS INC - 48203R104 - MINOR = 31000						
SOLD		06/22/2009	1189 06			
ACQ	20 0000	02/06/2009	432 39	328 29	104 10	ST
	20 0000	03/23/2009	432 39	324 53	107.86	ST
	15 0000	04/01/2009	324 29	238 02	86 27	ST
10 0000 KOHLS CORP - 500255104 - MINOR = 31000						
SOLD		05/22/2009	411 16			
ACQ	10 0000	12/17/2007	411 16	475 75	-64 59	LT15
25 0000 LAM RESEARCH CORP - 512807108 - MINOR = 31001						
SOLD		06/22/2009	604 98			
ACQ	20 0000	10/28/2008	483 98	398 47	85 51	ST
	5 0000	01/29/2009	121 00	103 50	17 50	ST
10 0000 MARATHON OIL CORP - 565849106 - MINOR = 31001						
SOLD		02/05/2009	270 89			
ACQ	10 0000	02/22/2008	270.89	506 26	-235 37	ST
15 0000 MARATHON OIL CORP - 565849106 - MINOR = 31001						
SOLD		03/17/2009	370 33			
ACQ	15 0000	02/22/2008	370 33	759 39	-389 06	LT15
23 0000 MASTERCARD INC - CLASS A - 57636Q104 - MINOR = 31000						
SOLD		02/23/2009	3566 82		SUB TRUST A10777000	
ACQ	15 0000	09/26/2008	2326 19	2697 26	-371 07	ST
	8 0000	11/06/2008	1240 63	1141 54	99 09	ST
4 0000 MASTERCARD INC - CLASS A - 57636Q104 - MINOR = 31000						
SOLD		06/22/2009	639 70			
ACQ	4 0000	05/05/2009	639 70	731 25	-91 55	ST
49 0000 MCAFEE INC - 579064106 - MINOR = 31000						
SOLD		02/23/2009	1429 81		SUB TRUST A10777000	
ACQ	16 0000	02/17/2009	466 88	487 09	-20 21	ST
	33 0000	02/17/2009	962 93	999 61	-36 68	ST
10 0000 MCKESSON CORP - 58155Q103 - MINOR = 31001						
SOLD		06/22/2009	436 38			
ACQ	10 0000	04/01/2009	436 38	347 43	88 95	ST
50 0000 MEDTRONIC INC - 585055106 - MINOR = 31001						
SOLD		02/11/2009	1620 16		SUB TRUST A10777000	
ACQ	50 0000	02/25/2008	1620 16	2453 50	-833 34	ST
25 0000 MERCK & CO INC - 589331107 - MINOR = 31001						
SOLD		02/18/2009	707 78			
ACQ	5 0000	01/28/2008	141 56	245 92	-104 36	LT15
	5 0000	01/30/2007	141 56	224 60	-83 04	LT15
	15 0000	04/02/2007	424 67	675 37	-250 70	LT15
20 0000 MERCK & CO INC - 589331107 - MINOR = 31001						
SOLD		03/23/2009	543 26			
ACQ	20 0000	01/30/2007	543 26	898 40	-355 14	LT15
10 0000 MERCK & CO INC - 589331107 - MINOR = 31001						
SOLD		06/03/2009	273 93			
ACQ	10 0000	01/30/2007	273 93	449 20	-175 27	LT15
20 0000 MERCK & CO INC - 589331107 - MINOR = 31001						
SOLD		06/08/2009	511 55			
ACQ	15 0000	01/30/2007	383 66	673 81	-290 15	LT15
	5 0000	02/27/2007	127 89	216 13	-88 24	LT15
30 0000 MERCK & CO INC - 589331107 - MINOR = 31001						
SOLD		06/22/2009	756 58			
ACQ	10 0000	10/10/2008	252 19	250 46	1 73	ST
	20 0000	02/27/2007	504 39	864 53	-360 14	LT15
10 0000 METLIFE INC - 59156R108 - MINOR = 31000						
SOLD		06/02/2009	323 52			
ACQ	5 0000	12/10/2008	161 76	159 32	2 44	ST
	5 0000	12/23/2008	161 76	157 71	4 05	ST
15 0000 METLIFE INC - 59156R108 - MINOR = 31000						
SOLD		06/22/2009	440 98			
ACQ	5 0000	12/12/2008	146 99	146 61	0 38	ST
	10 0000	01/21/2009	293 99	250 89	43 10	ST
140 0000 MICROSOFT CORP - 594918104 - MINOR = 31001						
SOLD		02/23/2009	2440 18		SUB TRUST A10777000	
ACQ	140 0000	02/25/2008	2440 18	3875 20	-1435 02	ST
200 0000 MICROSOFT CORP - 594918104 - MINOR = 31001						
SOLD		06/22/2009	4683 87			
ACQ	160 0000	12/29/2003	3747 10	4392 00	-644 90	LT15
	10 0000	08/06/2008	234 19	269 47	-35 28	ST
	10 0000	01/15/2009	234 19	186 31	47 88	ST
	20 0000	01/29/2009	468 39	356 66	111 73	ST
24 0000 MONSANTO COMPANY NEW - 61166W101 - MINOR = 31000						
SOLD		02/23/2009	1893 10		SUB TRUST A10777000	
ACQ	24 0000	10/07/2008	1893 10	1821 94	71 16	ST
2 0000 MONSANTO COMPANY NEW - 61166W101 - MINOR = 31000						
SOLD		05/22/2009	171 94			
ACQ	2 0000	01/03/2008	171 94	234 39	-62 45	LT15
8 0000 MONSANTO COMPANY NEW - 61166W101 - MINOR = 31000						
SOLD		06/22/2009	630 86			
ACQ	8 0000	01/03/2008	630.86	937 55	-306 69	LT15

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LEGEND F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
50000 0000 BREN LKD TO ASIA BASKET - 6174462W8						
SOLD		02/10/2009	30429 05			
ACQ	50000 0000	01/18/2008	30429 05	50000 00	-19570 95	LT15
10 0000 MORGAN ST DEAN WITTER DISCOVER - 617446448 - MINOR = 31000						
SOLD		03/13/2009	251 66			
ACQ	10 0000	09/24/2004	251 66	417 46	-165 80	LT15
15 0000 MORGAN ST DEAN WITTER DISCOVER - 617446448 - MINOR = 31000						
SOLD		03/17/2009	333 92			
ACQ	15 0000	09/24/2004	333 92	626 18	-292 26	LT15
55 0000 MORGAN ST DEAN WITTER DISCOVER - 617446448 - MINOR = 31000						
SOLD		06/22/2009	1516 31			
ACQ	10 0000	04/29/2009	275 69	221 87	53 82	ST
	20 0000	07/16/2008	551 39	657 27	-105 88	ST
	15 0000	09/18/2008	413 54	266 34	147 20	ST
	10 0000	09/19/2008	275 69	294 47	-18 78	ST
32000 0000 RETURN ENHANCED NOTE - 617482DA9						
SOLD		04/30/2009	30088 27			
ACQ	32000 0000	10/23/2008	30088 27	31840 00	-1751 73	ST
80 0000 NATIONAL SEMICONDUCTOR CORP - 637640103 - MINOR = 31001						
SOLD		06/22/2009	1019 17			
ACQ	50 0000	04/06/2009	636 98	590 95	46.03	ST
	30 0000	04/09/2009	382 19	376 42	5 77	ST
15 0000 NETAPP INC - 64110D104 - MINOR = 44						
SOLD		06/22/2009	284 99			
ACQ	15 0000	03/23/2009	284 99	232 98	52 01	ST
70 0000 NEWS CORP INC - 65248E104 - MINOR = 31000						
SOLD		01/15/2009	560 15			
ACQ	25 0000	05/10/2007	200 05	532 88	-332 83	LT15
	45 0000	11/13/2007	360 10	936 42	-576 32	LT15
85 0000 NEWS CORP INC - 65248E104 - MINOR = 31000						
SOLD		02/20/2009	512 56			
ACQ	30 0000	04/14/2008	180 90	527 88	-346 98	ST
	50 0000	08/13/2007	301 51	1040 42	-738 91	LT15
	5 0000	11/13/2007	30 15	104 05	-73 90	LT15
37 0000 NIKE INC B - 654106103 - MINOR = 31001						
SOLD		02/23/2009	1562 87		SUB TRUST A10777000	
ACQ	37 0000	02/27/2008	1562 87	2317 31	-754 44	ST
5 0000 NIKE INC B - 654106103 - MINOR = 31001						
SOLD		02/27/2009	203 65			
ACQ	5 0000	01/07/2008	203 65	310 10	-106 45	LT15
10 0000 NIKE INC B - 654106103 - MINOR = 31001						
SOLD		06/18/2009	556 70			
ACQ	10 0000	01/07/2008	556 70	620 20	-63 50	LT15
15 0000 NIKE INC B - 654106103 - MINOR = 31001						
SOLD		06/22/2009	830 07			
ACQ	10 0000	01/07/2008	553 38	620 20	-66 82	LT15
	5 0000	10/08/2008	276 69	280 95	-4 26	ST
75 0000 NORFOLK SOUTHN CORP - 655844108 - MINOR = 31001						
SOLD		06/22/2009	2730 67			
ACQ	60 0000	10/27/2005	2184 54	2372 37	-187 83	LT15
	5 0000	01/12/2009	182 04	217 53	-35 49	ST
	10 0000	01/14/2009	364 09	395 06	-30 97	ST
5 0000 OCCIDENTAL PETE CORP - 674599105 - MINOR = 31001						
SOLD		04/09/2009	292 78			
ACQ	5 0000	04/25/2008	292 78	431 26	-138 48	ST
20 0000 OCCIDENTAL PETE CORP - 674599105 - MINOR = 31001						
SOLD		06/22/2009	1227 36			
ACQ	15 0000	01/12/2007	920 52	665 18	255 34	LT15
	5 0000	10/15/2008	306 84	247 55	59 29	ST
35 0000 PACCAR INC - 693718108 - MINOR = 31001						
SOLD		06/22/2009	1060 82			
ACQ	20 0000	08/07/2008	606 18	850 64	-244 46	ST
	5 0000	10/08/2008	151 55	163.63	-12 08	ST
	10 0000	03/12/2009	303 09	229 15	73 94	ST
25 0000 PAYCHEX INC - 704326107 - MINOR = 31001						
SOLD		06/22/2009	661 48			
ACQ	10.0000	04/01/2009	264 59	257 67	6 92	ST
	5 0000	04/08/2009	132 30	132 40	-0 10	ST
	10 0000	05/22/2009	264 59	269 19	-4 60	ST
10 0000 PEPSICO INC - 713448108 - MINOR = 31001						
SOLD		02/06/2009	533 55			
ACQ	10 0000	01/07/2008	533 55	757 80	-224 25	LT15
60 0000 PEPSICO INC - 713448108 - MINOR = 31001						
SOLD		02/23/2009	3088 18		SUB TRUST A10777000	
ACQ	60 0000	02/25/2008	3088 18	4270 20	-1182 02	ST
35 0000 PEPSICO INC - 713448108 - MINOR = 31001						
SOLD		06/22/2009	1868 95			
ACQ	20 0000	01/07/2008	1067 97	1515 60	-447 63	LT15
	5 0000	10/14/2008	266 99	266 88	0 11	ST
	5 0000	10/15/2008	266 99	270 08	-3 09	ST
	5 0000	10/16/2008	266 99	260 37	6 62	ST
120 0000 PFIZER INC - 717081103 - MINOR = 31001						
SOLD		06/22/2009	1801 15			
ACQ	90 0000	02/18/2009	1350 86	1282.50	68 36	ST
	10 0000	02/20/2009	150 10	138 00	12 10	ST
	20 0000	03/03/2009	300 19	240 40	59 79	ST

LEGEND F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
10 0000 PHILIP MORRIS INTERNATIONAL - 718172109 - MINOR = 44						
SOLD		01/13/2009	423 05			
ACQ	10 0000	03/29/2005	423 05	336 32	86 73	LT15
40 0000 PHILIP MORRIS INTERNATIONAL - 718172109 - MINOR = 44						
SOLD		06/22/2009	1660 35			
ACQ	20 0000	03/29/2005	830 18	672 64	157 54	LT15
	10 0000	02/27/2009	415 09	339 46	75 63	ST
	5 0000	05/13/2009	207 54	209 32	-1 78	ST
	5 0000	05/22/2009	207 54	214 41	-6 87	ST
5 0000 PRAXAIR INC - 74005P104 - MINOR = 31000						
SOLD		01/12/2009	303 62			
ACQ	5 0000	10/22/2008	303 62	306 14	-2 52	ST
5 0000 PRAXAIR INC - 74005P104 - MINOR = 31000						
SOLD		01/14/2009	300 82			
ACQ	5 0000	02/09/2005	300 82	220 55	80 27	LT15
27 0000 PRAXAIR INC - 74005P104 - MINOR = 31000						
SOLD		06/22/2009	1887 52			
ACQ	27 0000	02/09/2005	1887 52	1190 97	696 55	LT15
36 0000 PROCTER & GAMBLE CO - 742718109 - MINOR = 31001						
SOLD		02/23/2009	1797 10		SUB TRUST A10777000	
ACQ	36 0000	06/05/2008	1797 10	2388 83	-591 73	ST
60 0000 PROCTER & GAMBLE CO - 742718109 - MINOR = 31001						
SOLD		06/22/2009	3037 12			
ACQ	50 0000	02/16/2005	2530 93	2670 50	-139 57	LT15
	10 0000	07/31/2007	506 19	629 03	-122 84	LT15
15 0000 PRUDENTIAL FINANCIAL INC - 744320102 - MINOR = 31000						
SOLD		06/22/2009	537 13			
ACQ	10 0000	04/02/2009	358 09	223 95	134 14	ST
	5 0000	06/02/2009	179 04	210 26	-31 22	ST
75 0000 QUALCOMM INC - 747525103 - MINOR = 31000						
SOLD		02/23/2009	2518 48		SUB TRUST A10777000	
ACQ	75 0000	02/25/2008	2518 48	3292 50	-774 02	ST
5 0000 QUALCOMM INC - 747525103 - MINOR = 31000						
SOLD		04/03/2009	205 64			
ACQ	5.0000	09/29/2008	205 64	205 57	0 07	ST
10 0000 QUALCOMM INC - 747525103 - MINOR = 31000						
SOLD		04/09/2009	416 36			
ACQ	5 0000	01/07/2008	208 18	185 90	22 28	LT15
	5 0000	09/29/2008	208 18	205 56	2 62	ST
50 0000 QUALCOMM INC - 747525103 - MINOR = 31000						
SOLD		06/22/2009	2223 94			
ACQ	50 0000	04/14/2005	2223.94	1666 17	557 77	LT15
23 0000 RESEARCH IN MOTION LTD - 760975102 - MINOR = 31000						
SOLD		02/23/2009	914 47		SUB TRUST A10777000	
ACQ	23 0000	02/25/2008	914 47	2482 85	-1568 38	ST
10 0000 ROCKWELL AUTOMATION INC - 773903109 - MINOR = 31000						
SOLD		06/22/2009	299 69			
ACQ	10 0000	04/09/2009	299 69	270 53	29 16	ST
56 0000 ROSS STORES INC - 778296103 - MINOR = 31000						
SOLD		02/23/2009	1706 31		SUB TRUST A10777000	
ACQ	56 0000	08/05/2008	1706 31	2193 72	-487 41	ST
70 0000 SAFEWAY INC - 786514208 - MINOR = 31000						
SOLD		06/22/2009	1465 76			
ACQ	10 0000	02/27/2009	209 39	182 44	26 95	ST
	60 0000	03/29/2005	1256 37	1105 20	151 17	LT15
43 0000 ST JUDE MEDICAL INC - 790849103 - MINOR = 31001						
SOLD		02/23/2009	1592 71		SUB TRUST A10777000	
ACQ	43 0000	02/10/2009	1592 71	1547 21	45 50	ST
15 0000 SCHERING PLOUGH CORP - 806605101 - MINOR = 31001						
SOLD		01/28/2009	279 00			
ACQ	15.0000	10/22/2007	279 00	429 21	-150 21	LT15
95 0000 SCHERING PLOUGH CORP - 806605101 - MINOR = 31001						
SOLD		06/22/2009	2223 89			
ACQ	50 0000	08/08/2007	1170.47	1425 43	-254 96	LT15
	25 0000	08/10/2007	585 23	679 91	-94 68	LT15
	20 0000	10/22/2007	468 19	572 29	-104 10	LT15
33 0000 SCHLUMBERGER LTD - 806857108 - MINOR = 31001						
SOLD		02/23/2009	1217 69		SUB TRUST A10777000	
ACQ	21 0000	07/18/2008	774 89	2137 53	-1362 64	ST
	12 0000	09/18/2008	442 80	992 45	-549 65	ST
5 0000 SCHLUMBERGER LTD - 806857108 - MINOR = 31001						
SOLD		04/09/2009	223 78			
ACQ	5 0000	01/12/2007	223 78	287 64	-63 86	LT15
5 0000 SCHLUMBERGER LTD - 806857108 - MINOR = 31001						
SOLD		05/13/2009	265 84			
ACQ	5 0000	01/12/2007	265 84	287 64	-21 80	LT15
20 0000 SCHLUMBERGER LTD - 806857108 - MINOR = 31001						
SOLD		05/22/2009	1046 73			
ACQ	13 0000	01/12/2007	680.37	747 88	-67 51	LT15
	2 0000	01/27/2009	104 67	83 73	20 94	ST
	5 0000	02/05/2009	261 68	220 29	41 39	ST
23 0000 SCHLUMBERGER LTD - 806857108 - MINOR = 31001						
SOLD		06/22/2009	1207 46			
ACQ	15.0000	01/23/2009	787 47	594 23	193 24	ST
	8 0000	01/27/2009	419 99	334 94	85 05	ST

TRUST YEAR ENDING 12/31/2009

TAX PREPARER AGA
TRUST ADMINISTRATOR LM1
INVESTMENT OFFICER MI1

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
22 0000 SMITH & NEPHEW PLC - 83175M205 - MINOR = 31000						
SOLD		02/23/2009	833.57		SUB TRUST A10777000	
ACQ	22 0000	04/15/2008	833 57	1456 81	-623 24	ST
25 0000 SOUTHERN CO - 842587107 - MINOR = 31001						
SOLD		06/22/2009	780 22			
ACQ	25 0000	05/14/2009	780 22	713 31	66 91	ST
10.0000 SOUTHWESTERN ENERGY CO - 845467109 - MINOR = 31001						
SOLD		06/22/2009	382 39			
ACQ	10 0000	06/08/2009	382 39	427 99	-45 60	ST
70 0000 STAPLES INC - 855030102 - MINOR = 31000						
SOLD		06/22/2009	1404 16			
ACQ	25 0000	07/18/2008	501 49	568 95	-67 46	ST
	30 0000	08/19/2008	601 78	694 77	-92 99	ST
	15 0000	03/10/2009	300 89	233 10	67 79	ST
25 0000 STARWOOD HOTELS & RESORTS - 85590A401 - MINOR = 31000						
SOLD		06/22/2009	530 48			
ACQ	10 0000	05/06/2009	212 19	209 51	2 68	ST
	15 0000	05/22/2009	318 29	323 80	-5 51	ST
10 0000 STATE STREET CORP - 857477103 - MINOR = 31000						
SOLD		03/02/2009	223 51			
ACQ	10 0000	10/14/2008	223 51	550 97	-327 46	ST
35 0000 SYSCO CORP - 871829107 - MINOR = 31001						
SOLD		06/22/2009	819 67			
ACQ	35 0000	05/02/2008	819 67	1101 01	-281 34	LT15
35 0000 TD AMERITRADE HOLDING CORPORATION - 87236Y108 - MINOR = 31000						
SOLD		06/22/2009	592 18			
ACQ	35 0000	04/18/2007	592 18	548 17	44 01	LT15
55 0000 TEVA PHARMACEUTICAL INDUSTRIES LTD - 881624209 - MINOR = 31000						
SOLD		02/23/2009	2511 83		SUB TRUST A10777000	
ACQ	55 0000	02/25/2008	2511 83	2712.05	-200 22	ST
1269 3510 THIRD AVENUE FUND INC - 884116104 - MINOR = 08010						
SOLD		01/22/2009	38270 93			
ACQ	1269 3510	10/06/2006	38270 93	75678 71	-37407 78	LT15
75 0000 TIME WARNER INC - 887317303 - MINOR = 44						
SOLD		06/22/2009	1826 95			
ACQ	23 0000	02/08/2008	560 26	817 00	-256 74	LT15
	8 0000	05/05/2008	194 87	301 67	-106 80	LT15
	7 0000	10/10/2008	170 52	139 45	31 07	ST
	12 0000	10/21/2008	292 31	277 44	14 87	ST
	8 0000	12/05/2008	194 87	165 97	28 90	ST
	10 0000	01/15/2009	243 59	213 98	29 61	ST
	7 0000	02/20/2009	170 52	112 13	58 39	ST
0000 TIME WARNER CABLE INC - 88732J207 - MINOR = 44						
SOLD		04/23/2009	23 34			
ACQ	0000		23 34	0 00	23 34	LT15
CHANGED 05/11/09 AW4						
18 0000 TIME WARNER CABLE INC - 88732J207 - MINOR = 44						
SOLD		06/22/2009	552 58			
ACQ	5 0000	02/08/2008	153 49	269 19	-115 70	LT15
	2 0000	05/05/2008	61 40	99 40	-38 00	LT15
	2 0000	10/10/2008	61 40	45.95	15 45	ST
	3 0000	10/21/2008	92 10	91 41	0 69	ST
	2 0000	12/05/2008	61 40	54 68	6 72	ST
	3 0000	01/15/2009	92 10	70 50	21 60	ST
	1 0000	02/20/2009	30 70	36 94	-6 24	ST
20 0000 THE TRAVELERS COMPANIES INC - 89417E109 - MINOR = 31000						
SOLD		06/22/2009	842 17			
ACQ	10 0000	09/16/2008	421 09	487 91	-66 83	ST
	5 0000	10/30/2008	210 54	204 09	6 45	ST
	5 0000	03/02/2009	210 54	182 68	27 86	ST
80 0000 US BANCORP DEL COM NEW - 902973304 - MINOR = 31001						
SOLD		06/22/2009	1392 76			
ACQ	60 0000	12/20/2007	1044 57	1896 38	-851 81	LT15
	20 0000	04/02/2009	348 19	303 70	44 49	ST
5 0000 UNITED TECHNOLOGIES CORP - 913017109 - MINOR = 31001						
SOLD		02/06/2009	245 52			
ACQ	5 0000	11/22/2005	245 52	269 52	-24 00	LT15
40 0000 UNITED TECHNOLOGIES CORP - 913017109 - MINOR = 31001						
SOLD		06/22/2009	2105 14			
ACQ	40 0000	11/22/2005	2105 14	2156 17	-51 03	LT15
35 0000 URBAN OUTFITTERS INC - 917047102 - MINOR = 31000						
SOLD		06/22/2009	721.33			
ACQ	25 0000	01/14/2009	515 24	360 97	154 27	ST
	10 0000	04/01/2009	206 09	154 68	51 41	ST
10 0000 V F CORP - 918204108 - MINOR = 31001						
SOLD		06/22/2009	579 08			
ACQ	5 0000	04/29/2009	289 54	288 44	1 10	ST
	5 0000	06/17/2009	289 54	284 61	4 93	ST
48 0000 VALERO REFNG & MARKETING CO - 91913Y100 - MINOR = 31000						
SOLD		02/23/2009	915 35		SUB TRUST A10777000	
ACQ	48 0000	05/01/2008	915 35	2307 07	-1391 72	ST
80 0000 VERIZON COMMUNICATIONS - 92343V104 - MINOR = 31001						
SOLD		06/22/2009	2387 93			
ACQ	10 0000	02/27/2008	298 49	364 89	-66 40	LT15
	70 0000	12/29/2003	2089 44	2307 55	-218 11	LT15

TRUST YEAR ENDING 12/31/2009

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LEGEND F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
40 0000 WAL MART STORES INC - 931142103 - MINOR = 31001						
SOLD		06/22/2009	1937 15			
ACQ	10 0000	03/02/2009	484 29	485 77	-1 48	ST
	25 0000	05/04/2006	1210 72	1161.84	48 88	LT15
	5 0000	09/11/2006	242 14	232 39	9 75	LT15
33 0000 WELLPOINT INC - 94973V107 - MINOR = 31000						
SOLD		02/23/2009	1273 13		SUB TRUST A10777000	
ACQ	33 0000	02/25/2008	1273 13	2460 48	-1187 35	ST
5 0000 WELLPOINT INC - 94973V107 - MINOR = 31000						
SOLD		06/08/2009	229.97			
ACQ	5 0000	04/09/2009	229 97	201 74	28 23	ST
5 0000 WELLPOINT INC - 94973V107 - MINOR = 31000						
SOLD		06/22/2009	244 69			
ACQ	5 0000	04/09/2009	244 69	201 73	42 96	ST
55 0000 WELLS FARGO & CO NEW - 949746101 - MINOR = 31000						
SOLD		02/23/2009	636 89		SUB TRUST A10777000	
ACQ	55 0000	12/12/2008	636 89	1420 10	-783 21	ST
35 0000 WELLS FARGO & CO NEW - 949746101 - MINOR = 31000						
SOLD		04/02/2009	527 53			
ACQ	15 0000	06/13/2007	226 08	536 03	-309 95	LT15
	20 0000	11/30/2007	301 45	646 92	-345 47	LT15
15 0000 WELLS FARGO & CO NEW - 949746101 - MINOR = 31000						
SOLD		04/29/2009	307.35			
ACQ	5 0000	11/30/2007	102 45	161 73	-59 28	LT15
	10 0000	12/17/2007	204 90	305 20	-100 30	LT15
75 0000 WELLS FARGO & CO NEW - 949746101 - MINOR = 31000						
SOLD		06/22/2009	1738 45			
ACQ	5.0000	02/05/2009	115 90	85 15	30 75	ST
	40 0000	12/17/2007	927 17	1220 80	-293 63	LT15
	15 0000	03/13/2009	347 69	202 85	144 84	ST
	15 0000	03/17/2009	347 69	204 93	142 76	ST
20 0000 WYETH - 983024100 - MINOR = 31001						
SOLD		06/22/2009	897 97			
ACQ	15 0000	01/23/2009	673 48	632 21	41 27	ST
	5 0000	01/28/2009	224 49	217 30	7 19	ST
10 0000 XTO ENERGY CORP - 98385X106 - MINOR = 31000						
SOLD		01/27/2009	367 55			
ACQ	10 0000	01/03/2008	367 55	534 70	-167 15	LT15
10 0000 XTO ENERGY CORP - 98385X106 - MINOR = 31000						
SOLD		02/19/2009	320 40			
ACQ	10 0000	01/03/2008	320 40	534 70	-214 30	LT15
106 0000 XILINX INC - 983919101 - MINOR = 31001						
SOLD		02/23/2009	1744 75		SUB TRUST A10777000	
ACQ	56 0000	08/19/2008	921 75	1487 30	-565 55	ST
	28 0000	08/22/2008	460 88	742 13	-281 25	ST
	22 0000	02/17/2009	362 12	388 25	-26 13	ST
15 0000 XILINX INC - 983919101 - MINOR = 31001						
SOLD		04/03/2009	298 78			
ACQ	5 0000	11/09/2007	99 59	116 26	-16 67	LT15
	10 0000	12/11/2007	199 19	227 66	-28 47	LT15
15 0000 XILINX INC - 983919101 - MINOR = 31001						
SOLD		04/29/2009	301 90			
ACQ	15 0000	12/11/2007	301 90	341.49	-39 59	LT15
25 0000 XILINX INC - 983919101 - MINOR = 31001						
SOLD		06/22/2009	493 98			
ACQ	25 0000	12/11/2007	493 98	569 14	-75 16	LT15
45 0000 YAHOO INC - 984332106 - MINOR = 31000						
SOLD		06/22/2009	671 83			
ACQ	45 0000	08/15/2007	671 83	1072 11	-400 28	LT15
10 0000 YUM BRANDS INC - 988498101 - MINOR = 31001						
SOLD		01/14/2009	286 26			
ACQ	5 0000	01/07/2008	143 13	184 50	-41 37	LT15
	5 0000	01/09/2008	143 13	185 41	-42 28	LT15
30 0000 YUM BRANDS INC - 988498101 - MINOR = 31001						
SOLD		06/22/2009	1000 77			
ACQ	15 0000	01/07/2008	500 39	553 50	-53 12	LT15
	15 0000	07/18/2008	500 39	526 61	-26 23	ST
45 0000 ZIMMER HOLDINGS INC - 98956P102 - MINOR = 31000						
SOLD		02/23/2009	1801 78		SUB TRUST A10777000	
ACQ	45 0000	02/25/2008	1801 78	3502 80	-1701 02	ST
15 0000 ZIMMER HOLDINGS INC - 98956P102 - MINOR = 31000						
SOLD		06/22/2009	630 43			
ACQ	15 0000	02/06/2009	630 43	599 70	30 73	ST
10 0000 AXIS CAPITAL HOLDINGS LTD - G0692U109 - MINOR = 31000						
SOLD		06/22/2009	260 69			
ACQ	10 0000	09/16/2008	260 69	339 91	-79 22	ST
30 0000 COVIDIEN PLC - G2554F105 - MINOR = 49						
SOLD		06/22/2009	1077.57			
ACQ	10 0000	11/18/2008	359 19	372 88	-13 69	ST
	10 0000	12/17/2008	359 19	377 91	-18 72	ST
	10 0000	06/05/2009	359 19	360 52	-1 33	ST
15 0000 ACE LTD NEW - H0023R105 - MINOR = 49						
SOLD		06/22/2009	643 18			
ACQ	5 0000	11/19/2008	214 39	210 99	3 40	ST
	5.0000	12/10/2008	214 39	241 43	-27 04	ST
	5 0000	04/29/2009	214 39	236 47	-22 08	ST

TRUST YEAR ENDING 12/31/2009

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LEGEND F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
15 0000 NOBLE CORPORATION - H5833N103 - MINOR = 49						
SOLD		06/22/2009	470 83			
ACQ	15 0000	05/22/2009	470 83	463 27	7 56	ST
		TOTALS	557936 01	730060 89		

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
SUBTOTAL FROM ABOVE	-60779 25	0 00	-111345 63	0 00	0 00	0 00*
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	16 77			0 00
	-60779 25	0 00	-111328 86	0 00	0 00	0 00
STATE						
SUBTOTAL FROM ABOVE	-60779 25	0 00	-111345 63	0 00	0 00	0 00*
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	16 77			0 00
	-60779 25	0 00	-111328 86	0 00	0 00	0 00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0 00	0 00
UNKNOWN	N/A	N/A