Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE JOHN AND WENDY NEU FAMILY FOUNDATION, INC.

A Employer identification number
13-3731089

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
120 FIFTH AVENUE 600

B Telephone number
(646) 467-6700

City or town, state, and ZIP code
NEW YORK , NY 10011

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 19,683,152.

J Accounting method: ☐ Cash [X] Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	123,671.	123,671.		STATEMENT 1
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total** Add lines 1 through 11	123,671.	123,671.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	8,903.	0.		8,903.
	b Accounting fees STMT 3	7,790.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	3,117.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	750.	0.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	20,560.	0.		8,903.
	25 Contributions, gifts, grants paid	7,946,490.			7,946,490.
	26 **Total expenses and disbursements** Add lines 24 and 25	7,967,050.	0.		7,955,393.
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	-7,843,379.			
	b **Net investment income** (if negative, enter -0-)		123,671.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	27,337,554.	19,547,292.	19,547,292.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	22,977.	19,860.	19,860.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 6	450,000.	400,000.	116,000.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	27,810,531.	19,967,152.	19,683,152.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	27,810,531.	19,967,152.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	27,810,531.	19,967,152.	
	31 Total liabilities and net assets/fund balances	27,810,531.	19,967,152.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	27,810,531.
2 Enter amount from Part I, line 27a	2	-7,843,379.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	19,967,152.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	19,967,152.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	NONE			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	4,808,829.	29,868,100.	.161002
2007	2,738,610.	20,205,293.	.135539
2006	2,121,523.	7,388,110.	.287154
2005	1,265,020.	4,473,073.	.282808
2004	1,104,314.	4,278,404.	.258114

2	Total of line 1, column (d)	2	1.124617
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.224923
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	23,078,799.
5	Multiply line 4 by line 3	5	5,190,953.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,237.
7	Add lines 5 and 6	7	5,192,190.
8	Enter qualifying distributions from Part XII, line 4	8	7,955,393.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	1,237.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	1,237.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,237.
6	Credits/Payments:				
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	21,097.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	21,097.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	19,860.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 19,860. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOHN L. NEU Telephone no. ▶ (646) 467-6700

Located at ▶ 120 FIFTH AVE., SUITE 600, NEW YORK, NY ZIP+4 ▶ 10011

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN L. NEU 63 WEST 17TH STREET, APT. 8A NEW YORK, NY 10011	PRESIDENT/DIRECTOR 0.00	0.	0.	0.
WENDY K. NEU 63 WEST 17TH STREET, APT. 8A NEW YORK, NY 10011	DIRECTOR 0.00	0.	0.	0.
ROBERT T. NEU 130 E. 75TH STREET NEW YORK, NY 10021	DIRECTOR 0.00	0.	0.	0.
DAVID M.K. SILVER 130 W. 86TH STREET, APT. 6A NEW YORK, NY 10024	SECRETARY/TRESURER 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	202,250.
b	Average of monthly cash balances		1b	23,228,003.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	23,430,253.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	23,430,253.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	351,454.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	23,078,799.
6	**Minimum investment return.** Enter 5% of line 5		6	1,153,940.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	1,153,940.
2a	Tax on investment income for 2009 from Part VI, line 5	2a 1,237.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1,237.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	1,152,703.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	1,152,703.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	1,152,703.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,955,393.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,955,393.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,237.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,954,156.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,152,703.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: ______, ______, ______		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004 891,470.				
b From 2005 1,043,460.				
c From 2006 1,758,803.				
d From 2007 2,287,806.				
e From 2008 3,332,986.				
f Total of lines 3a through e	9,314,525.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 7,955,393.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,152,703.
e Remaining amount distributed out of corpus	6,802,690.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,117,215.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	891,470.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	15,225,745.			
10 Analysis of line 9:				
a Excess from 2005 1,043,460.				
b Excess from 2006 1,758,803.				
c Excess from 2007 2,287,806.				
d Excess from 2008 3,332,986.				
e Excess from 2009 6,802,690.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CONTRIBUTIONS TO CHARITABLE ORGANIZATIONS - SEE ATTACHED LIST			FOR GENERAL PURPOSES	7,946,490.
Total			▶ 3a	7,946,490.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	123,671.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		123,671.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 123,671.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/15/10 | Title PRESIDENT

Paid Preparer's Use Only

Preparer's signature	WILLIAM J. SPIEGEL, CPA	Date 11/12/10	Check if self-employed ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code	PARENTEBEARD LLC 350 FIFTH AVE., 68TH FL. NEW YORK, NY 10118	EIN	Phone no. (212) 736-1900

Form **990-PF** (2009)

923622 02-02-10

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BLACKROCK TEMP INVESTMENT FUND	123,172.
JPMORGAN CHECKING ACCOUNT	499.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	123,671.

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WITHES BERGMAN	2,470.	0.		2,470.
VYZAS ASSOCAITES PC	6,433.	0.		6,433.
TO FM 990-PF, PG 1, LN 16A	8,903.	0.		8,903.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LAGUARDIA & PETRELLA - PARENTEBEARD LLC	7,790.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	7,790.	0.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	1,237.	0.		0.
INTEREST/PENALTY	1,880.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,117.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	750.	0.		0.
TO FORM 990-PF, PG 1, LN 23	750.	0.		0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 6

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LIBERTY HUMANE SOCIETY	COST	0.	0.
NEW RESOURCE BANK INVESTMENT	COST	400,000.	116,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		400,000.	116,000.

● If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note: Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

● If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print	Name of Exempt Organization THE JOHN AND WENDY NEU FAMILY FOUNDATION, INC.	Employer identification number 13-3731089
File by the extended due date for filing the return See instructions	Number, street, and room or suite no If a P O box, see instructions. 120 FIFTH AVENUE, NO. 600	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK , NY 10011	

Check type of return to be filed (File a separate application for each return).

[ ] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [X] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

● The books are in the care of ▶ JOHN L. NEU 120 FIFTH AVE., SUITE 600 - NEW YORK, NY 10011
Telephone No. ▶ (646) 467-6700 FAX No ▶ (646) 467-6737

● If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

● If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010

5 For calendar year 2009 , or other tax year beginning ______ , and ending ______

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
SEE STATEMENT 7

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	8a	$ 3,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 21,097.
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ PRESIDENT Date ▶

FORM 8688 EXPLANATION FOR EXTENSION STATEMENT 7

EXPLANATION

THE ABOVE REFERENCED CHARITY HEREBY REQUESTS AN ADDITIONAL THREE MONTHS OF TIME TO FILE ITS TAX RETURN UNTIL 11/15/10. THE REQUEST IS BEING MADE BECAUSE ALL OF THE INFORMATION REQUIRED TO FILE A COMPLETE TAX RETURN IS NOT YET AVAILABLE.

Form 990-PF
13-3731089
PART XV - Supplementary Information
Line 3a

Date	Organization	Amount
05/29/2009	92ND STREET Y	10,000.00
	1395 Lexington Avenue, NY, NY 10128	10,000 00
12/10/2009	ACTION AGAINST HUNGER	150,000 00
	247 West 37th Street,10th Fl , NY, NY 10021	150,000 00
12/08/2009	ANIMAL PROTECTION LEAGUE OF NJ	5,000 00
	P O. Box 174, Englishtown, NJ 07726	5,000 00
05/29/2009	BARD COLLEGE - BARD PRISON INITIATIVE	125,000 00
	Annandale-on-Hudson, NY, 12504	125,000 00
08/03/2009	BOYS AND GIRLS CLUB OF LOS ANGELES HARBOR	10,000 00
12/22/2009	BOYS AND GIRLS CLUB OF LOS ANGELES HARBOR	10,000 00
	1200 S. Cabrillo Ave., San Pedro, CA 90731	20,000.00
10/19/2009	BRENNAN CENTER FOR JUSTICE	75,000 00
	161 Ave of the Americas, 12th Fl , NY, NY 10013	75,000.00
10/01/2009	COLLEGIATE SCHOOL	50,000.00
	260 West 78th Street, NY, NY 10024	50,000 00
03/06/2009	COMPANION ANIMAL PLACEMENT, INC	60,000 00
05/07/2009	COMPANION ANIMAL PLACEMENT, INC	65,000 00
08/03/2009	COMPANION ANIMAL PLACEMENT, INC	90,000.00
11/04/2009	COMPANION ANIMAL PLACEMENT, INC	85,000 00
12/10/2009	COMPANION ANIMAL PLACEMENT, INC	75,000 00
	c/o Robin Murphy, 51 Chestnut Street, Weehawken, NJ 07087	375,000 00
03/17/2009	CORNELL UNIVERSITY	300,000 00
	55 Brown Rd , /130 East Seneca St Ithaca, NY 14850	300,000 00
02/23/2009	CRSI FOUNDATION	10,000 00
02/23/2009	CRSI FOUNDATION	10,000 00
	933 North Plum Grove Road, Schaumburg, IL 60173	20,000 00
05/29/2009	DOROT, INC	2,000 00
	171 W 85th Street, New York, NY 10024	2,000 00
03/02/2009	EDISON WETLANDS ASSOCIATION, INC.	30,000 00
	206 Tyler Rd , Edison, NJ 08820-2420	30,000 00
07/10/2009	EISENHOWER MEDICAL CENTER	10,000 00
	39000 Bob Hope Drive, Rancho Mirage, CA 92270-3221	10,000.00
05/29/2009	FRANKLIN AND MARSHALL COLLEGE	2,500 00
	P.O. Box 3003, Lancaster, PA 17604-3003	2,500.00
02/23/2009	FRIENDS OF CABRILLO MARINE AQUARIUM	20,000 00
	3730 Stephen White Drive, San Pedro, CA 90731	20,000 00

Form 930-PF
13-3731089
PART XV - Supplementary Information
Line 3a

Date	Organization	Amount
09/02/2009	FRIENDS OF GOOD SHEPHERD, MONTEGO BAY INC	1,000.00
	P O Box 278844, Miramar, FL 33027	1,000 00
09/02/2009	GAIA INSTITUTE	40,000 00
	440 City Island Ave , Bronx, NY 10464-1301	40,000 00
02/02/2009	GENESIS FOUNDATION	500 00
	22 Barbican Road, Kingston 6	500 00
09/02/2009	HARLEM HOSPITAL CENTER	5,000 00
	506 Lenox Avenue, Rom 3162B, NY, NY 10037	5,000 00
07/07/2009	HISPANIC HERITAGE FOUNDATION	10,000 00
	156 Mercer Street, Jersey City, NJ 07302-3420	10,000 00
12/22/2009	J STREET EDUCATION FUND, INC	20,000.00
	1828 L Street NW, Suite 240, Washington, DC 20036	20,000 00
01/15/2009	LAFD HISTORICAL SOCIETY	25,000 00
08/03/2009	LAFD HISTORICAL SOCIETY	5,000 00
	1355 N Cahuenga Blvd, Los Angeles, CA 90028	30,000 00
01/02/2009	LIBERTY HUMANE SOCIETY	50,000 00
06/26/2009	LIBERTY HUMANE SOCIETY	50,000 00
08/19/2009	LIBERTY HUMANE SOCIETY	103,989 50
10/01/2009	LIBERTY HUMANE SOCIETY	25,000 00
	P O Box 3766, Jersey City, NJ 07303	228,989 50
	883 Blvd East, Unit A, Weehawken, NJ 07086	
08/14/2009	LOMPOC HOSPITAL FOUNDATION	10,000 00
	P O. Box 883, Lompoc, CA 93438-0883	10,000 00
08/03/2009	LOS ANGELES POLICE FOUNDATION	25,000 00
	P.O. Box 86011, Los Angeles, CA 90086	25,000 00
03/25/2009	McBURNEY YMCA STRONG KIDS CAMPAIGN	10,000 00
	125 West 14th Street, NY, NY 10011	10,000 00
03/12/2009	METRO INDUSTRIAL AREAS FOUNDATION	100,000.00
	637 S Dearborn Street, Chicago, 60605	100,000.00
10/19/2009	METROPOLITAN WATERFRONT ALLIANCE	50,000 00
	457 Madison Ave , NY, NY 10022	50,000 00
12/11/2009	MOUNT SINAI MEDICAL CENTER	250,000 00
	P O Box 1049, One Gustave L Levy Pl., NY, NY 10029-6574	250,000 00
04/29/2009	MOUNTAINSIDE HEALTH FOUNDATION	2,500 00
	1 Bay Avenue, Montclair, NJ 07042	2,500 00

The John & Wendy Neu Family Foundation, Inc
Grants and Contributions Paid During 2009

Form 990-PF
13-3731089
PART XV - Supplementary Information
Line 3a

Date	Organization	Amount
01/15/2009	NATURAL RESOURCES DEFENSE COUNCIL	1,000,000 00
12/10/2009	NATURAL RESOURCES DEFENSE COUNCIL	1,000,000 00
	40 West 20th Street, NY, NY 10011	2,000,000.00
03/26/2009	NEW YORK LEAGUE OF CONSERVATION VOTERS	10,000 00
	1920 L St., N W , Suite 800, Washington, DC 20036	10,000 00
05/29/2009	NEW YORK PRESBYTERIAN HOSPITAL	10,000 00
05/29/2009	NEW YORK PRESBYTERIAN HOSPITAL	10,000 00
	525 East 68th Street, P O Box 123, NY, NY 10021	20,000.00
12/11/2009	NEW YORK TIMES NEEDIEST CASES	4,000.00
	230 West 41st Street, NY, NY 10036	4,000 00
10/13/2009	NJN FOUNDATION	10,000.00
	P.O. Box 777, Trenton, NJ 08625-0777	10,000.00
11/09/2009	NY LEAGUE OF HUMANE VOTERS	15,000.00
	151 First Avenue, STE# 237, NY, NY 10003	15,000 00
04/30/2009	NY/NJ BAYKEEPER	100,000 00
	52 West Front Street, Keyport, NJ 07735	100,000.00
11/20/2009	OVARIAN CANCER RESEARCH FUND	5,000.00
	P.O. Box 32861, Charlotte, NC 28232-2861	5,000.00
03/03/2009	PAN AFRICAN SANCTUARY ALLIANCE	75,000 00
	P.O. Box 86645, Portland, OR 97206	75,000 00
08/03/2009	RIVERDALE COUNTRY SCHOOL	10,000 00
	5250 Fieldston Rd., Bronx, NY 10471	10,000 00
06/03/2009	RIVERKEEPER, INC	100,000 00
	828 South Broadway, Tarrytown, NY 10591-6600	100,000.00
08/03/2009	SANTA MONICA BAYKEEPER	25,000 00
12/22/2009	SANTA MONICA BAYKEEPER	25,000 00
	P.O. Box 10096, Marina Del Rey, CA 90295	50,000 00
05/27/2009	SHUANG WEN SCHOOL - PS-184	2,500.00
	327 Cherry Street, NY, NY 10002	2,500.00
06/05/2009	SOLOMON SCHECHTER DAY SCHOOL	2,500 00
	Eric F Ross Campus	2,500.00
	1418 Pleasant Valley Way, West Orange, NJ 07052	
01/16/2009	THE ABINGDON SQUARE PAINTERS, INC	2,500 00
	242 West 14th Street, #2, NY, NY 10011	2,500 00
02/13/2009	THE AMERICAN SECURITY PROJECT	100,000 00
05/12/2009	THE AMERICAN SECURITY PROJECT	1,000,000.00
10/27/2009	THE AMERICAN SECURITY PROJECT	500,000 00
	1100 New York Avenue NW, Suite 710W, Washington, DC 20005	1,600,000 00

Form 990-PF
13-3731089
PART XV - Supplementary Information
Line 3a

The John & Wendy Neu Family Foundation, Inc
Grants and Contributions Paid During 2009

Date	Organization	Amount
06/09/2009	THE HUDSON SCHOOL	15,000 00
	601 Park Ave , Hoboken, NJ 07030	15,000.00
06/12/2009	THE INNOCENCE PROJECT	30,000 00
	Dev Dept 100 Fifth Ave., 3rd Fl , NY, NY 10011	30,000 00
06/26/2009	THE JEWISH COMMUNITY CENTER OF S.B.	10,000.00
12/22/2009	THE JEWISH COMMUNITY CENTER OF S B.	10,000.00
	2108 Vail Ave., Redondo Beach, CA 90278	20,000 00
04/14/2009	THE LAST GREAT APE ORGANIZATION	50,000.00
	Vallee Nlongkak, Yaounde, Cameroon	50,000 00
05/29/2009	THE SPENCE SCHOOL	50,000 00
	22 East 91st Street, NY, NY 10128	50,000 00
03/02/2009	THE URBAN ASSEMBLY NEW YORK HARBOR SCHOOL	5,000 00
	90 Broad Street, Suite 2101, NY, NY 10004	5,000.00
02/13/2009	THIRTEEN/WNET	10,000.00
05/12/2009	THIRTEEN/WNET	100,000.00
	450 West 33rd Street, NY, NY 10001	110,000 00
08/14/2009	TORRANCE MEMORIAL HEALTHCARE FOUNDATION	25,000 00
12/22/2009	TORRANCE MEMORIAL HEALTHCARE FOUNDATION	50,000 00
	3330 Lomita Blvd., Torrance, CA 90505-5073	75,000.00
05/29/2009	TRINITY COLLEGE	2,500 00
	300 Summit Street, Hartford, CT 06106-3100	2,500 00
06/26/2009	TRUST FOR PUBLIC LAND	20,000.00
07/29/2009	TRUST FOR PUBLIC LAND	65,000.00
	666 Broadway, 9th Floor, NY, NY 10012	85,000.00
02/24/2009	TRUSTEES OF COLUMBIA UNIVERSITY	500,000 00
	535 West 116th Street, NY, NY 10027	500,000.00
03/03/2009	U S FRIENDS OF THE DAVID SHELDRICK	20,000 00
	One Indiana Square, Suite 2800, Indianapolis, IN 46204	20,000.00
08/05/2009	UPROSE, INC.	70,000 00
08/05/2009	UPROSE, INC.	10,000.00
	5417 4th Ave., Brooklyn, NY 11220	80,000.00
09/04/2009	URBAN AGENDA	20,000 00
	275 7th Avenue, 18th Floor, NY, NY 10001	20,000 00
06/17/2009	WATERKEEPER ALLIANCE	10,000 00
	50 S Buckhout Street, Suite 302, Irvington, NY 10533	10,000 00

Form 990-PF
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PART XV - Supplementary Information
Line 3a

Date	Organization	Amount
01/06/2009	WETA	100,000 00
	2775 South Quincy Street, Arlington, VA 22206	100,000 00
03/03/2009	WILDLIFE CONSERVATION SOCIETY	775,000 00
	2300 Southern Blvd , Bronx, NY 10460	775,000 00
06/03/2009	WNYC RADIO	10,000 00
	One Centre Street, NY, NY 10011	10,000.00
04/29/2009	YOUNG DANCERS IN REPERTORY, INC	5,000 00
	P O Box 205037, Sunset Station, Brooklyn, NY 11220	5,000 00
TOTAL		7,946,489.50