Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation The Edward T. Cone Foundation		A Employer identification number 13-3646357
	Number and street (or P O box number if mail is not delivered to street address) c/o McLaughlin & Stern, LLP, 260 Madison Avenue	Room/suite 1800	B Telephone number (see page 10 of the instructions) 212-448-1100
	City or town, state, and ZIP code New York NY 10016		C If exemption application is pending, check here ▶ ☐ D 1. Foreign organizations, check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 14,410,753

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	645,327			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	0	0		
	4	Dividends and interest from securities	333,324	333,324		
	5 a	Gross rents		0		
	b	Net rental income or (loss) 0				
	6 a	Net gain or (loss) from sale of assets not on line 10	-1,591,943			
	b	Gross sales price for all assets on line 6a 5,567,829				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10 a	Gross sales less returns and allowances 0				
	b	Less Cost of goods sold 0				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	0	0	0	
	12	**Total.** Add lines 1 through 11	-613,292	333,324	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	171,071	85,535		57,024
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)	61,105	30,558	0	30,558
	b	Accounting fees (attach schedule)	0	0	0	0
	c	Other professional fees (attach schedule)	0	0	0	0
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	0	0	0	0
	19	Depreciation (attach schedule) and depletion	0	0	0	
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) Inv Mgmt	53,896	26,948	0	26,948
	24	**Total operating and administrative expenses.** Add lines 13 through 23	286,072	143,041	0	114,530
	25	Contributions, gifts, grants paid	1,872,000			1,872,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,158,072	143,041	0	1,986,530
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-2,771,364			
	b	**Net investment income** (if negative, enter -0-)		190,283		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	110,823	375,184	375,184
	2	Savings and temporary cash investments	198,825	137,131	1,371,477
	3	Accounts receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	4	Pledges receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0
	b	Investments—corporate stock (attach schedule)	10,357,793	7,691,456	8,360,271
	c	Investments—corporate bonds (attach schedule)	4,529,005	3,530,005	3,718,371
	11	Investments—land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	0	494,903	585,450
	14	Land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15	Other assets (describe ▶)	0	0	0
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	15,196,446	12,228,679	14,410,753
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶)	0	0	
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	16,094,536	13,323,172	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	16,094,536	13,323,172	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	16,094,536	13,323,172	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,094,536
2	Enter amount from Part I, line 27a	2	-2,771,364
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	13,323,172
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,323,172

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d	.			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-1,475,923
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	-116,020

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	3,032,367	16,372,963	0 185206
2007	2,956,018	19,728,107	0 149838
2006	2,687,618	17,084,252	0.157316
2005	2,377,121	14,508,477	0.163844
2004	2,462,798	12,907,586	0 190802

2 **Total** of line 1, column (d)	2	0 847006
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 169401
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	13,819,209
5 Multiply line 4 by line 3	5	2,340,988
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,903
7 Add lines 5 and 6	7	2,342,891
8 Enter qualifying distributions from Part XII, line 4	8	1,986,530

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	3,806
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	3,806
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,806
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 5,000		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	5,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,194
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 1,194 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ 260 Madison Avenue, New York, NY

14 The books are in care of ▶ T Randolph Harris, Trustee Telephone no ▶ 212-448-1100

Located at ▶ ZIP+4 ▶ 10016

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20_____ , 20_____ , 20_____ , 20_____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☐ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		**5b**		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*	☐ Yes ☐ No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		**6b**		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
T Randolph Harris 260 Madison Avenue New York NY 10016	Trustee 4.00	114,047	0	0
George W Pitcher 18 College Road West Princeton NJ 08540	Trustee 2.00	57,024	0	0
	.00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	12,415,946
b	Average of monthly cash balances	1b	1,028,258
c	Fair market value of all other assets (see page 24 of the instructions)	1c	585,450
d	**Total** (add lines 1a, b, and c)	1d	14,029,654
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	14,029,654
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	210,445
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,819,209
6	**Minimum investment return.** Enter 5% of line 5	6	690,960

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	690,960
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,806		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,806
3	Distributable amount before adjustments Subtract line 2c from line 1			3	687,154
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	687,154
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	687,154

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,986,530
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,986,530
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,986,530

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				687,154
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only			0	
b	Total for prior years 20____, 20____, 20___		0		
3	Excess distributions carryover, if any, to 2009				
a	From 2004 — 0				
b	From 2005 — 1,657,067				
c	From 2006 — 1,858,880				
d	From 2007 — 1,988,338				
e	From 2008 — 2,238,471				
f	**Total** of lines 3a through e	7,742,756			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 1,986,530				
a	Applied to 2008, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d	Applied to 2009 distributable amount				687,154
e	Remaining amount distributed out of corpus	1,299,376			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,042,132			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	9,042,132			
10	Analysis of line 9				
a	Excess from 2005 — 1,657,067				
b	Excess from 2006 — 1,858,880				
c	Excess from 2007 — 1,988,338				
d	Excess from 2008 — 2,238,471				
e	Excess from 2009 — 1,299,376				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2009	Prior 3 years (b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0	0	0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0	0	0	0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0	0	0	0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached schedule, all made to public charities				1,872,000
Total ▶ 3a				1,872,000
b *Approved for future payment*				
Total ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				0	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		0		0	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 0

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		
(2) Other assets	1a(2)		
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
(3) Rental of facilities, equipment, or other assets	1b(3)		
(4) Reimbursement arrangements	1b(4)		
(5) Loans or loan guarantees	1b(5)		
(6) Performance of services or membership or fundraising solicitations	1b(6)		
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Randolph Harris 11/15/10 Trustee

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code			EIN
			Phone no

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of the organization	**Employer identification number**
The Edward T Cone Foundation	13-3646357

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
The Edward T Cone Foundation	13-3646357

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ETC Charitable Lead Annuity Trust #3 260 Madison Avenue, Suite 1700 New York, NY 10016 Foreign State or Province Foreign Country	$ 201,099	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
2	ETC Charitable Lead Annuity #6 260 Madison Avenue, Suite 1700 New York, NY 10016 Foreign State or Province Foreign Country	$ 320,583	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
3	ETX Charitable Lead Unitrust #2 260 Madison Avenue, Suite 1700 New York, NY 10016 Foreign State or Province Foreign Country	$ 123,645	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
4	Foreign State or Province Foreign Country	$ 0	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
5	Foreign State or Province Foreign Country	$ 0	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
6	Foreign State or Province Foreign Country	$ 0	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

2009 Tax Information Statement

Account Number: 286126
Recipient's Tax ID number: XX-XXX6357
Payer's Federal ID number: 13-4941247
Questions? (714)430-1202

☐ Corrected ☐ 2nd TIN notice

Revised: 03/05/2010

Payer's Name and Address
DEUTSCHE BANK TRUST CO. AMERICA
280 PARK AVENUE
NEW YORK, NY 10017

Recipient's Name and Address
THE EDWARD T. CONE FOUNDATION
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
1190 0000	002824100	ABBOTT LABS COM	VARIOUS	08/07/2009	52,299 15	61,508 12	-9,208 97	0 00
750 0000	031162100	AMGEN INC COM	VARIOUS	05/07/2009	35,399 15	38,256 00	-2,856 85	0 00
435.0000	032511107	ANADARKO PETROLEUM CORP COM	07/24/2009	09/22/2009	28,008 91	21,092.68	6,916 23	0 00
4655.0000	00206R102	AT & T INC COM	09/18/2008	07/16/2009	110,553 38	137,089 75	-26,536 37	0 00
900.0000	12189T104	BURLINGTON NORTHN SANTA FE CORP COM	07/24/2009	11/04/2009	87,315 75	70,404 93	16,910.82	0 00
900 0000	151020104	CELGENE CORP	09/18/2008	01/08/2009	45,377 83	59,211 00	-13,833.17	0 00
635.0000	151020104	CELGENE CORP	09/18/2008	05/07/2009	26,536 02	41,776.65	-15,240 63	0 00
200 0000	194162103	COLGATE PALMOLIVE	02/26/2008	01/08/2009	13,029 91	15,337 84	-2,307.93	0 00
1400 0000	278865100	ECOLAB INC COM	02/13/2009	07/24/2009	55,788 56	46,267 90	9,520 66	0 00
1000 0000	281020107	EDISON INTERNATIONAL	09/26/2008	05/01/2009	29,099 25	39,840 00	-10,740 75	0 00
465 0000	302182100	EXPRESS SCRIPTS INC COM	07/24/2009	10/20/2009	37,617 52	32,591 85	5,025 67	0 00
1790 0000	418056107	HASBRO INC COM	05/01/2009	09/23/2009	50,619 89	46,823 72	3,796.17	0 00
4571 2540	425067709	HENDERSON EUROPEAN FOCUS FUND CL A	VARIOUS	03/02/2009	51,609 46	50,832.35	777 11	0 00
575 0000	478160104	JOHNSON & JOHNSON COM	10/31/2008	05/01/2009	30,088 96	35,059.07	-4,970 11	0 00
3483	571903202	MARRIOTT INTL INC NEW CL A	07/24/2009	09/03/2009	7.84	7 39	0 45	0 00

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2009 Tax Information Statement

Account Number: 286126
Recipient's Tax ID number: XX-XXX6357
Payer's Federal ID number: 13-4941247
Questions? (714)430-1202

☐ **Corrected** ☐ **2nd TIN notice**

Revised: 03/05/2010

Payer's Name and Address
DEUTSCHE BANK TRUST CO. AMERICA
280 PARK AVENUE
NEW YORK, NY 10017

Recipient's Name and Address
THE EDWARD T. CONE FOUNDATION
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
7322	571903202	MARRIOTT INTL INC NEW CL A	07/24/2009	12/03/2009	19 69	15 49	4 20	0.00
690 0000	580135101	MCDONALDS CORP COM	09/18/2008	09/11/2009	37,410 83	43,545 90	-6,135 07	0 00
2140 0000	585055106	MEDTRONIC INC COM	06/26/2008	05/07/2009	71,773.75	109,653 60	-37,879 85	0 00
350 0000	61945A107	MOSAIC CO COM	07/24/2009	08/11/2009	18,217 02	18,290 20	-73 18	0 00
400.0000	742718109	PROCTER & GAMBLE CO COM	06/20/2008	01/08/2009	24,095 86	25,484.00	-1,388.14	0 00
625 0000	742718109	PROCTER & GAMBLE CO COM	10/13/2008	08/07/2009	32,549.16	39,260 63	-6,711 47	0 00
900 0000	760975102	RESEARCH IN MOTION LTD COM	09/18/2008	08/11/2009	64,843 33	85,932.00	-21,088 67	0 00
Total Short Term Sales Reported on 1099-B					**902,261 21**	**1,018,281.07**	**-116,019.86**	**0.00**
Long Term 15% Sales Reported on 1099-B								
1140 0000	002824100	ABBOTT LABS COM	02/26/2008	05/01/2009	47,194 76	63,736 49	-16,541.73	0 00
710 0000	002824100	ABBOTT LABS COM	02/26/2008	08/07/2009	31,203 69	39,695.53	-8,491 84	0.00
500000.0000	002824AR1	ABBOTT LABS DTD 5/12/2006 5 375% 5/15/2009	08/23/2006	05/15/2009	500,000 00	502,275 00	-2,275.00	0 00
200 0000	037833100	APPLE COMPUTER INC COM	06/20/2008	10/20/2009	39,792 97	35,311.98	4,480.99	0 00
4830 0000	064058100	BANK NEW YORK MELLON CORP COM	06/20/2008	07/24/2009	128,818 09	195,953 10	-67,135 01	0 00
835 0000	12189T104	BURLINGTON NORTHN SANTA FE CORP COM	09/18/2008	11/04/2009	81,009 61	82,147 30	-1,137 69	0 00
460 0000	13342B105	CAMERON INTL CORP COM	09/18/2008	09/22/2009	17,934 92	18,804 80	-869 88	0 00
420 0000	166764100	CHEVRONTEXACO CORP COM	VARIOUS	10/20/2009	32,171 17	35,695 80	-3,524 63	0 00
405 0000	194162103	COLGATE PALMOLIVE	02/26/2008	07/17/2009	29,714.07	31,059 13	-1,345 06	0 00

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2009 Tax Information Statement

Payer's Name and Address
DEUTSCHE BANK TRUST CO. AMERICA
280 PARK AVENUE
NEW YORK, NY 10017

Account Number: 286126
Recipient's Tax ID number: XX-XXX6357
Payer's Federal ID number: 13-4941247
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Revised: 03/05/2010

Recipient's Name and Address
THE EDWARD T. CONE FOUNDATION
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Number of shares *(Box 5)*	CUSIP *(Box 1b)*	Description *(Box 7)*	Date Acquired	Date of Sale *(Box 1a)*	Stocks Bonds, etc. *(Box 2)*	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*
1950 0000	281020107	EDISON INTERNATIONAL	02/26/2008	05/01/2009	56,743 54	100,626 43	-43,882 89	0.00
300 0000	28176E108	EDWARDS LIFESCIENCES CORP	03/17/2008	03/26/2009	18,838 90	13,256 67	5,582 23	0 00
95 0000	302182100	EXPRESS SCRIPTS INC COM	02/26/2008	10/20/2009	7,685 30	6,115 34	1,569 96	0 00
410 0000	302182100	EXPRESS SCRIPTS INC COM	02/26/2008	11/06/2009	34,619 49	26,392 52	8,226 97	0 00
1725 0000	30231G102	EXXON MOBIL CORPORATION	03/15/2004	05/20/2009	120,108 66	72,660 28	47,448.38	0 00
1940 0000	337932107	FIRSTENERGY CORP COM	07/15/2008	11/06/2009	82,021 08	159,584 40	-77,563.32	0 00
500000 0000	36962GZH0	GECC MTN DTD 9/24/2002 4 625% 9/15/2009	03/16/2005	09/15/2009	500,000 00	501,880.00	-1,880 00	0 00
18750 0000	411511843	HARBOR FD SMALL CAP VALUE FD	08/23/2006	03/03/2009	172,125 00	371,812 50	-199,687.50	0 00
29706 0460	425067709	HENDERSON EUROPEAN FOCUS FUND CL A	VARIOUS	03/02/2009	335,381.26	900,510 63	-565,129 37	0 00
1875 0000	458140100	INTEL CORP COM	03/20/1997	03/26/2009	29,454 39	31,333 01	-1,878.62	0 00
500000 0000	459200AZ4	INTERNATIONAL BUSINESS MACHS CORP DTD 9/10/2002 4 25% 9/15/2009	01/18/2005	09/15/2009	500,000 00	506,460.00	-6,460 00	0 00
24000 0000	464287234	ISHARES TR MSCI EMERGING MKTS INDEX FD	05/04/2007	03/02/2009	494,157 23	1,000,480 00	-506,322 77	0 00
735 0000	487836108	KELLOGG CO COM	06/12/2007	07/24/2009	35,102 69	38,084 17	-2,981 48	0.00
5005 0000	501044101	KROGER CO	09/18/2008	09/22/2009	102,292 54	137,887 75	-35,595 21	0 00
1130 0000	580135101	MCDONALDS CORP COM	09/18/2008	09/23/2009	63,221 87	71,314.30	-8,092 43	0 00
975 0000	592688105	METTLER-TOLEDO INTL INC COM	02/26/2008	07/24/2009	80,708 42	101,496 72	-20,788.30	0 00
865.0000	742718109	PROCTER & GAMBLE CO COM	06/20/2008	08/07/2009	45,048 03	55,109 15	-10,061.12	0 00

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2009 Tax Information Statement

Payer's Name and Address
DEUTSCHE BANK TRUST CO AMERICA
280 PARK AVENUE
NEW YORK, NY 10017

Account Number: 286126
Recipient's Tax ID number: XX-XXX6357
Payer's Federal ID number: 13-4941247
Questions? (714)430-1202

☐ **Corrected** ☐ **2nd TIN notice**

Revised: 03/05/2010

Recipient's Name and Address
THE EDWARD T. CONE FOUNDATION
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)		(Box 1a)	(Box 2)			(Box 4)
1270 0000	760975102	RESEARCH IN MOTION LTD COM	VARIOUS	12/22/2009	85,595 78	57,932 74	27,663 04	0 00
2800.0000	595635103	S & P MIDCALF 400 INVESTMENT TRUST	06/06/2003	03/03/2009	213,473.88	252,028 00	-38,554 12	0 00
680.0000	806857108	SCHLUMBERGER LTD COM	02/13/1998	07/24/2009	38,079 02	23,266 98	14,812.04	0 00
1940.0000	913017109	UNITED TECHNOLOGIES CORP COM	08/18/1999	09/22/2009	122,313 85	65,485 67	56,828.18	0 00
500000 0000	91159HGK0	US BANCORP DTD 4/28/2006 5 30% 4/28/2009	08/23/2006	04/28/2009	500,000.00	500,615 00	-615.00	0 00
1900.0000	92343V104	VERIZON COMMUNICATIONS INC	10/10/2001	09/11/2009	59,259 47	98,459 68	-39,200 21	0.00
2000 0000	931422109	WALGREEN CO COM	07/30/1998	05/08/2009	61,498 41	44,020.00	17,478 41	0 00
Total Long Term 15% Sales Reported on 1099-B					**4,665,568 10**	**6,141,491 07**	**-1,475,922.97**	**0.00**

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This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Grants Made - Rider to Cone Fndn 990-PF - 2009

01/01/09 through 12/31/09

Date	Num	Description	Memo	Amount
03/02/09	1760	ACLU Foundation	2009 Workplan	-5,000
03/02/09	1761	American Bird Conservancy	Bird Conservation Alliance	-5,000
03/02/09	1762	American Composers Orchestra	Francis Thorne Endowment - Final Install	-20,000
03/02/09	1763	American Composers Orchestra	Fundraising Campaign	-15,000
03/02/09	1764	American Farm School	General Operations	-10,000
03/02/09	1765	American Music Center	Composer Assistance Program	-5,000
03/02/09	1766	Answer/Rutgers University Foundation	Answer's Operations	-10,000
03/02/09	1828	Canine Companions for Independence	Annual Campaign	-5,000
03/02/09	1829	Composers Guild of New Jersey	2008-2009 Concert Series	-3,000
03/02/09	1830	Concert Artists Guild	New Music/New Places	-3,000
03/02/09	1770	Delaware and Raritan Greenway	2009 Operations	-50,000
03/02/09	1772	Doctors Without Borders	General Support	-10,000
03/02/09	1773	Ebb & Flow Arts	General Operating Support	-10,000
03/02/09	1774	Elevator Repair Service Theater, Inc	New Project	-50,000
03/02/09	1775	Environmental Defense Fund, Inc	Fundraising Campaign	-10,000
03/02/09	1776	Friends Of Music At Princeton	General Support	-5,000
03/02/09	1826	From The Top	General Operating Support	-3,000
03/02/09	1778	Human Rights Watch	General Support	-5,000
03/02/09	1779	Human Rights Watch	International Justice Program	-10,000
03/02/09	1767	Humane Society Veterinary Medical Association	General Support	-10,000
03/02/09	1781	Institute for Advanced Study	Concert Series - 2nd of 4 installments	-250,000
03/02/09	1777	John Simon Guggenheim Memorial Found.	Guggenheim Fellows Program	-5,000
03/02/09	1782	Joy In Singing	Third and Final Installment	-10,000
03/02/09	1783	Joy In Singing	2009 Support	-25,000
03/02/09	1784	Kaufman Center	Current Season	-15,000
03/02/09	1785	League of Composers-ISCM	Fundraising Campaign	-10,000
03/02/09	1786	Look & Listen Festival	Look & Listen Festival	-5,000
03/02/09	1787	MATA	General Support	-3,000
03/02/09	1788	McCarter Theatre	General Support	-5,000
03/02/09	1789	Medical Center at Princeton Foundation, Inc	Fundraising Campaign	-10,000
03/02/09	1769	Millay Colony for the Arts	Fellowship for Composers	-10,000
03/02/09	1790	MIMA Music, Inc	After-School Music Initiative	-10,000
03/02/09	1791	National Audubon Society	Important Bird Area Program	-10,000
03/02/09	1792	Natural Resources Defense Council, Inc.	Annual Campaign	-10,000
03/02/09	1793	Nature Conservancy	Fundraising Campaign	-10,000
03/02/09	1794	New Israel Fund	General Operating Support	-5,000
03/02/09	1795	New Jersey Conservation Foundation	General Purposes	-10,000
03/02/09	1796	New Jersey Symphony Orchestra	Annual Fund	-30,000
03/02/09	1831	New York Foundation for the Arts	Bridge Records - Roger Sessions Violin C..	-9,000
03/02/09	1797	New York Philharmonic	General Support	-5,000
03/02/09	1798	New York Philomusica Chamber Ensemble	General Operating Support	-5,000
03/02/09	1758	Opera New Jersey	2009 Season	-100,000
03/02/09	1799	Orpheus Chamber Orchestra	New Music Initiative	-10,000
03/02/09	1835	Oslo Elsewhere	General Operating Support	-3,000
03/02/09	1800	Part of the Solution	General Operations	-5,000
03/02/09	1801	People & Stories/Gente y Cuentos	General Support	-15,000
03/02/09	1802	Ploughshares Fund	Fundraising Campaign	-10,000
03/02/09	1834	Pocket Opera Players	The Curious Case of Benjamin Button	-10,000
03/02/09	1803	Princeton Future, Inc	General Support	-5,000
03/02/09	1804	Princeton Pro Musica	General Support	-25,000
03/02/09	1805	Princeton Public Library Foundation	General Operating Support	-5,000

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Date	Num	Description	Memo	Amount
03/02/09	1806	Princeton Symphony Orchestra	Annual Fundraising Campaign 4th of 5 ins...	-250,000
03/02/09	1832	Princeton University	Class of 1939 - Annual Giving	-25,000
03/02/09	1807	Prospect Theater Company	2009 Season	-5,000
03/02/09	1808	Rescue Mission of Trenton	General Operations	-5,000
03/02/09	1809	Riverdale Country School	Annual Fund	-15,000
03/02/09	1771	Riverside Symphony	2008 - 2009 Season	-5,000
03/02/09	1810	Rockefeller University	Annual Campaign	-5,000
03/02/09	1811	Rodfei Shalom Fellowship, Inc	General Operating Support	-5,000
03/02/09	1833	Salzburg Global Seminar	2009 Seminar on Translation in a Global . .	-300,000
03/02/09	1759	Shakespeare Theatre Of New Jersey	Annual Fundraising Campaign	-50,000
03/02/09	1812	Simon Wiesenthal Center	Museum of Tolerance in New York	-20,000
03/02/09	1813	Small Dog Rescue, Inc	General Operating Expenses	-5,000
03/02/09	1814	Southern Law Poverty Center	Annual Campaign	-10,000
03/02/09	1815	TAKE Dance Company	General Support	-10,000
03/02/09	1768	The Bogliasco Foundation	Roger Sessions Memoral Fellowship	-30,000
03/02/09	1780	The Hummingbirds Institute	Music Program Support	-20,000
03/02/09	1816	The New Group	Current Season	-10,000
09/01/09	1845	The New Group	Matching Funds	-10,000
03/02/09	1818	The Princeton Festival	A Midsummer Night's Dream	-110,000
09/01/09	1843	The Princeton Festival	Supplemental 2009 Grant	-9,000
09/01/09	1844	The Princeton Singers	Fall Season	-4,000
03/02/09	1819	The Walden School	Summer Music Education Program	-5,000
03/02/09	1822	The Wooster Group	Summer Institute	-15,000
03/02/09	1825	Trenton Children's Chorus	Trenton Children's Chorus Two Projects	-10,000
02/27/09	1757	Trustees Of Columbia University	Manhattan Sinfonietta	-5,000
03/02/09	1820	Volunteer Lawyers for the Arts	Annual Campaign	-15,000
03/02/09	1827	VZV Research Foundation, Inc.	Annual Campaign	-5,000
03/02/09	1821	Washington Square Contemporary Music Soc	Concert Series 2008-09	-5,000
03/02/09	1823	Yellow Barn Music School & Festival		-5,000
03/02/09	1824	Young Audiences Arts For Learning New Jersey	Classical Initiative	-20,000
01/01/09 - 12/31/09				**-1,872,000**

OVERALL TOTAL	**-1,872,000**
TOTAL INFLOWS	**0**
TOTAL OUTFLOWS	**-1,872,000**
NET TOTAL	**-1,872,000**