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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2009Open to Public
Inspection**A For the 2009 calendar year, or tax year beginning and ending**

| | | | | |
|---|--|--|--|--|
| B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending | Please use IRS label or print or type See Specific Instructions | C Name of organization EAST-WEST MANAGEMENT INSTITUTE, INC. | | D Employer identification number 13-3586432 |
| | | Doing Business As | | E Telephone number 212-843-7660 |
| | | Number and street (or P.O. box if mail is not delivered to street address) Room/suite 575 MADISON AVENUE, 25TH FLOOR | | G Gross receipts \$ 12,175,938. |
| | | City or town, state or country, and ZIP + 4 NEW YORK, NY 10022 | | H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions) H(c) Group exemption number ▶ |
| F Name and address of principal officer ADRIAN HEWRYK SAME AS C ABOVE | | I Tax-exempt status: <input checked="" type="checkbox"/> 501(c) (3) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 J Website: ▶ WWW.EWMI.ORG K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶ L Year of formation: 1988 M State of legal domicile: NY | | |

Part I Summary

| | | | | |
|------------------------------------|--|--|---|--------------------|
| Activities & Governance | 1 Briefly describe the organization's mission or most significant activities: WORKING IN PARTNERSHIP WITH GRASS ROOTS GROUPS, LOCAL GOVERNMENTS AND INTERNATIONAL | | | |
| | 2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets | | | |
| | 3 | Number of voting members of the governing body (Part VI, line 1a) | 4 | |
| | 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | |
| | 5 | Total number of employees (Part V, line 2a) | 21 | |
| | 6 | Total number of volunteers (estimate if necessary) | 10 | |
| | 7a | Total gross unrelated business revenue from Part VIII, column (C), line 12 | 0. | |
| | 7b | Net unrelated business taxable income from Form 990-T, line 34 | 0. | |
| | Revenue | 8 | Contributions and grants (Part VIII, line 1h) | 10,332,475. |
| | | 9 | Program service revenue (Part VIII, line 2g) | 607,979. |
| 10 | | Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 5,827. | |
| 11 | | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 3,635. | |
| 12 | | Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 10,949,916. | |
| 13 | | Grants and similar amounts paid (Part IX, column (A), lines 1-3) | 3,022,426. | |
| 14 | | Benefits paid to or for members (Part IX, column (A), line 4) | 4,236,743. | |
| 15 | | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) | 4,774,807. | |
| 16a | | Professional fundraising fees (Part IX, column (A), line 11e) | | |
| 16b | | Total fundraising expenses (Part IX, column (D), line 25) | | |
| Expenses | 17 | Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f) | 3,848,437. | |
| | 18 | Total expenses - add lines 13-17 (must equal Part IX, column (A), line 25) | 11,107,606. | |
| | 19 | Revenue less expenses - subtract line 18 from line 12 | -157,690. | |
| | 20 | Total assets (Part X, line 16) | 2,377,954. | |
| | 21 | Total liabilities (Part X, line 26) | 1,005,606. | |
| | 22 | Net assets or fund balances. Subtract line 21 from line 20 | 1,372,348. | |
| | Beginning of Current Year | | End of Year | |
| | 10,949,916. | | 12,175,938. | |
| | 3,022,426. | | 3,554,690. | |
| | 4,236,743. | | 4,774,807. | |

Part II Signature Block

| | | | | |
|---------------------------------|---|-----------------------|--|--|
| Sign Here | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. | | | |
| | Signature of officer: ADRIAN HEWRYK, PRESIDENT Type or print name and title | | Date: Nov 15, 2010 | |
| Paid Preparer's Use Only | Preparer's signature: | Date: 11/15/10 | Check if self-employed: <input type="checkbox"/> | Preparer's identifying number (see instructions): P00029738 |
| | Firm's name (or yours if self-employed), address, and ZIP + 4: RSM MCGLADREY, INC. 1185 AVENUE OF THE AMERICAS NEW YORK, NY 10036-2602 | | EIN ▶ 41-1944416 Phone no. ▶ 212-372-1000 | |

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

932001 02-04-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2009)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

SCANNED DEC 21 2010

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Part III Statement of Program Service Accomplishments

- 1 Briefly describe the organization's mission: **SEE SCHEDULE O FOR CONTINUATION**
THE PURPOSES OF THE EAST-WEST MANAGEMENT INSTITUTE, INC. (EWMI) ARE AS
FOLLOWS: TO PROMOTE AND FOSTER DEMOCRACY AND ECONOMIC DEVELOPMENT
THROUGHOUT THE WORLD BY VARIOUS MEANS, INCLUDING, BUT NOT LIMITED TO,
COOPERATIVE AND OTHER PROJECTS AND ACTIVITIES WITH GOVERNMENTS AND
- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
 If "Yes," describe these new services on Schedule O.
- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
 If "Yes," describe these changes on Schedule O.
- 4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.
SEE SCHEDULE O FOR CONTINUATION(S)
- 4a (Code:) (Expenses \$ 5,112,113. including grants of \$ 2,943,096.) (Revenue \$)
CAMBODIA HUMAN RIGHTS PROGRAM - PROGRAM ON RIGHTS AND JUSTICE II (PRAJ
II) - IN OCTOBER 2008, EWMI LAUNCHED PRAJ II, A FIVE-YEAR FOLLOW UP
PROJECT TO THE SUCCESSFUL PROGRAM ON RIGHTS AND JUSTICE (PRAJ) THAT
CONCLUDED IN 2008. PRAJ II AIMS TO IMPROVE THE COLLECTION AND USE OF
JUSTICE SYSTEM DATA, IMPROVE THE COMPETENCE OF LEGAL PROFESSIONALS,
STRENGTHEN JUDICIAL ADMINISTRATION, BROADEN AND STRENGTHEN THE
CONSTITUENCIES SUPPORTING JUSTICE SECTOR REFORM, AND EXPAND AND IMPROVE
LEGAL AID FOR THE POOR, ESPECIALLY INDIGENT CRIMINAL DEFENSE. UNDER
PRAJ II, EWMI AND ITS PRINCIPAL SUBPARTNER, THE ASIA FOUNDATION, HAVE
PROVIDED MORE THAN \$2.9 MILLION TO 15 CAMBODIAN HUMAN RIGHTS AND LEGAL
AID NGOS. IN 2009 ALONE, SUPPORT TO LEGAL AID NGOS RESULTED IN OVER
19,000 DIRECT LEGAL CONSULTATIONS PROVIDED TO INDIGENT PEOPLE AND LEGAL
- 4b (Code:) (Expenses \$ 1,373,840. including grants of \$ 14,913.) (Revenue \$)
SEPARATION OF POWERS PROGRAM (SPP) - THE SEPARATION OF POWERS PROGRAM
IS A FIVE-YEAR PROJECT LAUNCHED BY EWMI IN SEPTEMBER 2008. THE OVERALL
PURPOSE OF THE SEPARATION OF POWERS PROGRAM IS TO PROVIDE TECHNICAL
ASSISTANCE TO HELP SERBIA MOVE CLOSER TO EU ACCESSION BY STRENGTHENING
THE DIVISION OF POWER AND AUTHORITY MORE EQUALLY AMONG SERBIA'S THREE
BRANCHES OF GOVERNMENT, AND TO PROMOTE JUDICIAL INDEPENDENCE. IN 2009,
SPP PROVIDED THREE SEPARATE TRAININGS IN COURT MANAGEMENT ISSUES THAT
REACHED REPRESENTATIVES OF OVER 100 SERBIAN COURTS AND JUDICIAL
INSTITUTIONS, AND INCLUDED TRAININGS ON THE NEW MANAGEMENT REQUIREMENTS
FOR COURT PRESIDENTS AND JUDGES WITH ADMINISTRATIVE RESPONSIBILITIES.
ONE IMPORTANT ACCOMPLISHMENT OF EWMI SPP TOWARD MORE PROFESSIONAL
MANAGEMENT OF THE SERBIAN COURTS WAS CREATION OF THE COURT MANAGER
- 4c (Code:) (Expenses \$ 1,071,721. including grants of \$ 207,707.) (Revenue \$)
BIH (BOSNIA HERZEGOVINA) JUDICIAL SECTOR DEVELOPMENT PROGRAM (JSDP):
THE JUSTICE SECTOR DEVELOPMENT PROJECT IN BOSNIA AND HERZEGOVINA
PROVIDED ASSISTANCE TO THE BOSNIAN JUDICIARY IN ORDER TO IMPROVE
PRACTICES IN THE FIELDS OF PUBLIC ACCESS TO JUSTICE, GOVERNANCE,
STABILITY AND ADMINISTRATION, EFFICIENT AND EFFECTIVE JUSTICE AND
TRANSPARENCY AND ACCOUNTABILITY. EWMI HELPED THE HIGH JUDICIAL AND
PROSECUTORIAL COUNCIL SET NEW STANDARDS FOR JUDICIAL APPOINTMENTS,
PERFORMANCE AND DISCIPLINE OF JUDGES, AND CODES OF ETHICS FOR JUDGES
AND PROSECUTORS, AND HELPED IT ADOPT AND PUBLISH A NEW BOOK OF RULES
FOR THE COURTS - THE FIRST SINCE 1974 - THAT MANDATES NATIONWIDE
IMPLEMENTATION OF A NUMBER OF KEY REFORMS INTRODUCED BY EWMI. EWMI'S
MODEL COURTS INITIATIVE HELPED 32 COURTS - ALMOST HALF OF ALL BIH
- 4d Other program services. (Describe in Schedule O.)
 (Expenses \$ 2,658,662. including grants of \$ 388,974.) (Revenue \$ 566,397.)
- 4e Total program service expenses ► \$ 10,216,336.

Part IV Checklist of Required Schedules

| | Yes | No |
|---|------------------------|----|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> | 1 X | |
| 2 Is the organization required to complete Schedule B, Schedule of Contributors? | 2 X | |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> | 3 | X |
| 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i> | 4 | X |
| 5 Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i> | 5 | |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> | 6 | X |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> | 7 | X |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> | 8 | X |
| 9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> | 9 | X |
| 10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> | 10 | X |
| 11 Is the organization's answer to any of the following questions "Yes"? <i>If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i> | 11 X | |
| • Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> | | |
| • Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> | | |
| • Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> | | |
| • Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> | | |
| • Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> | | |
| • Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? <i>If "Yes," complete Schedule D, Part X.</i> | | |
| 12 Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i> | 12 X | |
| 12A Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional</i> | 12A Yes No X | |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> | 13 | X |
| 14a Did the organization maintain an office, employees, or agents outside of the United States? | 14a X | |
| b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Part I</i> | 14b X | |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i> | 15 X | |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i> | 16 X | |
| 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> | 17 | X |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> | 18 | X |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> | 19 | X |
| 20 Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i> | 20 | X |

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Part IV Checklist of Required Schedules (continued)

| | Yes | No |
|---|--------------|----|
| 21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> | 21 X | |
| 22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> | 22 X | |
| 23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> | 23 X | |
| 24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i> | 24a | X |
| b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | |
| c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | |
| d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | |
| 25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> | 25a | X |
| b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> | 25b | X |
| 26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> | 26 | X |
| 27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> | 27 | X |
| 28 Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): | | |
| a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> | 28a | X |
| b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> | 28b X | |
| c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> | 28c | X |
| 29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> | 29 X | |
| 30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> | 30 | X |
| 31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> | 31 | X |
| 32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> | 32 | X |
| 33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> | 33 | X |
| 34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> | 34 | X |
| 35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> | 35 | X |
| 36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> | 36 | X |
| 37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> | 37 | X |
| 38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? | 38 X | |

Note. All Form 990 filers are required to complete Schedule O

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Part V Statements Regarding Other IRS Filings and Tax Compliance

| | | Yes | No |
|------------|--|-----|----|
| 1a | Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable | | |
| 1b | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable | | |
| 1c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return | | |
| 2b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions) | X | |
| 3a | Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? | | X |
| 3b | If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | X | |
| 4b | If "Yes," enter the name of the foreign country: BOSNIA-HERZEGOVINA, CAMBODIA, SERBIA See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | X |
| 5b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | X |
| 5c | If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction? | | |
| 6a | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? | | X |
| 6b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | |
| 7 | Organizations that may receive deductible contributions under section 170(c). | | |
| 7a | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | | X |
| 7b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | | |
| 7c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | X |
| 7d | If "Yes," indicate the number of Forms 8282 filed during the year | | |
| 7e | Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | X |
| 7f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | X |
| 7g | For all contributions of qualified intellectual property, did the organization file Form 8899 as required? | | |
| 7h | For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? | | |
| 8 | Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | |
| 9 | Sponsoring organizations maintaining donor advised funds. | | |
| 9a | Did the organization make any taxable distributions under section 4966? | | |
| 9b | Did the organization make a distribution to a donor, donor advisor, or related person? | | |
| 10 | Section 501(c)(7) organizations. Enter | | |
| 10a | Initiation fees and capital contributions included on Part VIII, line 12 | | |
| 10b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | | |
| 11 | Section 501(c)(12) organizations. Enter | | |
| 11a | Gross income from members or shareholders | | |
| 11b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) | | |
| 12a | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | | |
| 12b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | | |

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.**Section A. Governing Body and Management**

| | Yes | No |
|--|-----|----|
| 1a Enter the number of voting members of the governing body | 4 | |
| 1b Enter the number of voting members that are independent | 4 | |
| 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | | X |
| 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | | X |
| 4 Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? | | X |
| 5 Did the organization become aware during the year of a material diversion of the organization's assets? | | X |
| 6 Does the organization have members or stockholders? | | X |
| 7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? | | X |
| 7b Are any decisions of the governing body subject to approval by members, stockholders, or other persons? | | X |
| 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | |
| a The governing body? | X | |
| b Each committee with authority to act on behalf of the governing body? | X | |
| 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | | X |

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | Yes | No |
|---|-----|----|
| 10a Does the organization have local chapters, branches, or affiliates? | | X |
| 10b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? | | |
| 11 Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? | X | |
| 11A Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | |
| 12a Does the organization have a written conflict of interest policy? If "No," go to line 13 | X | |
| 12b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | X | |
| 12c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done | X | |
| 13 Does the organization have a written whistleblower policy? | X | |
| 14 Does the organization have a written document retention and destruction policy? | X | |
| 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | |
| a The organization's CEO, Executive Director, or top management official | X | |
| b Other officers or key employees of the organization | X | |
| If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.) | | |
| 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | | X |
| 16b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? | | |

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **NY**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization **ADRIAN B. HEWRYK - 212-843-7660**
575 MADISON AVENUE, NEW YORK, NY 10022

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

• List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's **current** key employees. See instructions for definition of "key employee."

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any current officer, director, or trustee.

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|-------------------------------|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| DOUGLAS RUTZEN CHAIRMAN AND DIRECTOR | 0.50 | X | | | | | | 0. | 0. | 0. |
| STEWART PAPERIN DIRECTOR | 0.50 | X | | | | | | 0. | 0. | 0. |
| MICHELLE SCOTT DIRECTOR | 0.50 | X | | | | | | 0. | 0. | 0. |
| ROBERTA THAMI DIRECTOR | 0.50 | X | | | | | | 0. | 0. | 0. |
| ADRIAN HEWRYK PRESIDENT | 40.00 | | | X | | | | 187,060. | 0. | 22,563. |
| RACHEL TRITT EXECUTIVE VICE PRESIDENT | 40.00 | | | X | | | | 154,955. | 0. | 36,776. |
| FRON NAHZI VICE PRESIDENT, PROGRAMS | 40.00 | | | X | | | | 141,590. | 0. | 19,949. |
| HERBERT BOWMAN CHIEF OF PARTY, CAMBODIA | 40.00 | | | | X | | | 228,973. | 0. | 33,259. |
| PATRICIA BAARS PROGRAM DIRECTOR | 40.00 | | | | X | | | 175,967. | 0. | 11,271. |
| KENNETH STUART CHIEF OF PARTY | 40.00 | | | | X | | | 201,001. | 0. | 21,906. |
| MARK DIETRICH SENIOR LEGAL ADVISOR | 40.00 | | | | | X | | 145,638. | 0. | 19,704. |
| NEIL WEINSTEIN LEGAL ADVISOR | 40.00 | | | | | X | | 180,815. | 0. | 19,670. |
| GEOFFREY MAZULLO CHIEF OF PARTY | 40.00 | | | | | X | | 206,929. | 0. | 17,699. |
| NICOLAS MANSFIELD DIRECTOR OF LEGAL PROGRA | 40.00 | | | | | X | | 145,500. | 0. | 29,751. |
| MARK WEST JUSTICE INFORMATION SPEC | 40.00 | | | | | X | | 196,404. | 0. | 31,440. |
| | | | | | | | | | | |
| | | | | | | | | | | |

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A) Name and title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|-----------------------|--|---|-----------------------|---------|--------------|------------------------------|--------|---|---|--|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| | | | | | | | | | | |
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| | | | | | | | | | | |
| 1b Total | | | | | | | | 1,964,832. | 0. | 263,988. |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **15**

- 3** Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person

| | Yes | No |
|----------|----------|----------|
| 3 | | X |
| 4 | X | |
| 5 | | X |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|--------------------------------------|---------------------|
| THE RESEARCH FOUNDATION OF STATE UNIVERSITY 1400 WASHINGTON AVENUE, ALBANY, NY 12222 | TECHNICAL ASSISTANCE FOR PROMO | 354,255. |
| ROMANIAN INSTITUTE OF TRAINING, SPLAIUL UNIRII NR. 4, BL B3. TR II, BUCHAREST, | TRAINING AND CURRICULUM DEVELOPME | 132,230. |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **2**

Part VIII Statement of Revenue

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
|---|---|----------------|---------------|----------------------|---|---|--|
| Contributions, gifts, grants and other similar amounts | 1 a Federated campaigns | 1a | | | | | |
| | b Membership dues | 1b | | | | | |
| | c Fundraising events | 1c | | | | | |
| | d Related organizations | 1d | | | | | |
| | e Government grants (contributions) | 1e | 11211815. | | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above | 1f | 395,485. | | | | |
| | g Noncash contributions included in lines 1a-1f \$ | | 62,306. | | | | |
| | h Total. Add lines 1a-1f | | | 11607300. | | | |
| Program Service Revenue | 2 a OTHER CONTRACTS | Business Code | 900099 | 566,397. | 566,397. | | |
| | b | | | | | | |
| | c | | | | | | |
| | d | | | | | | |
| | e | | | | | | |
| | f All other program service revenue | | | | | | |
| | g Total. Add lines 2a-2f | | | 566,397. | | | |
| Other Revenue | 3 Investment income (including dividends, interest, and other similar amounts) | | | 1,003. | | | 1,003. |
| | 4 Income from investment of tax-exempt bond proceeds | | | | | | |
| | 5 Royalties | | | | | | |
| | 6 a Gross Rents | (i) Real | (ii) Personal | | | | |
| | b Less: rental expenses | | | | | | |
| | c Rental income or (loss) | | | | | | |
| | d Net rental income or (loss) | | | | | | |
| | 7 a Gross amount from sales of assets other than inventory | (i) Securities | (ii) Other | | | | |
| | b Less: cost or other basis and sales expenses | | | | | | |
| | c Gain or (loss) | | | | | | |
| | d Net gain or (loss) | | | | | | |
| | 8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18 | a | | | | | |
| | b Less: direct expenses | b | | | | | |
| | c Net income or (loss) from fundraising events | | | | | | |
| | 9 a Gross income from gaming activities. See Part IV, line 19 | a | | | | | |
| | b Less: direct expenses | b | | | | | |
| | c Net income or (loss) from gaming activities | | | | | | |
| | 10 a Gross sales of inventory, less returns and allowances | a | | | | | |
| | b Less: cost of goods sold | b | | | | | |
| | c Net income or (loss) from sales of inventory | | | | | | |
| Miscellaneous Revenue | | | Business Code | | | | |
| 11 a OTHER INCOME | | 900099 | 1,238. | | | 1,238. | |
| b | | | | | | | |
| c | | | | | | | |
| d All other revenue | | | | | | | |
| e Total. Add lines 11a-11d | | | 1,238. | | | | |
| 12 Total revenue. See instructions. | | | 12175938. | 566,397. | 0. | 2,241. | |

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21 | 1,980,840. | 1,980,840. | | |
| 2 Grants and other assistance to individuals in the U.S. See Part IV, line 22 | 13,343. | 13,343. | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16 | 1,560,507. | 1,560,507. | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 1,018,164. | 744,180. | 273,984. | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 Other salaries and wages | 2,961,329. | 2,164,444. | 796,885. | |
| 8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions) | 157,733. | 115,287. | 42,446. | |
| 9 Other employee benefits | 480,075. | 350,888. | 129,187. | |
| 10 Payroll taxes | 157,506. | 115,122. | 42,384. | |
| 11 Fees for services (non-employees): | | | | |
| a Management | | | | |
| b Legal | 53,360. | | 53,360. | |
| c Accounting | 5,118. | | 5,118. | |
| d Lobbying | | | | |
| e Professional fundraising services. See Part IV, line 17 | | | | |
| f Investment management fees | | | | |
| g Other | 20,028. | 17,575. | 2,453. | |
| 12 Advertising and promotion | | | | |
| 13 Office expenses | 406,033. | 320,580. | 85,453. | |
| 14 Information technology | | | | |
| 15 Royalties | | | | |
| 16 Occupancy | 356,225. | 172,815. | 183,410. | |
| 17 Travel | 352,275. | 282,563. | 69,712. | |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | |
| 19 Conferences, conventions, and meetings | 148,733. | 147,734. | 999. | |
| 20 Interest | 9,428. | | 9,428. | |
| 21 Payments to affiliates | | | | |
| 22 Depreciation, depletion, and amortization | 1,319. | | 1,319. | |
| 23 Insurance | 73,008. | 20,402. | 52,606. | |
| 24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.) | | | | |
| a CONSULTING FEES | 1,003,324. | 870,410. | 132,914. | |
| b SUBCONTRACTS EXPENSE | 947,937. | 947,937. | | |
| c OVERSEAS ALLOWANCES | 258,624. | 252,547. | 6,077. | |
| d NONCAPITALIZED EQUIPMEN | 95,745. | 84,678. | 11,067. | |
| e MISCELLANEOUS EXPENSES | 66,183. | 54,484. | 11,699. | |
| f All other expenses | | | | |
| 25 Total functional expenses. Add lines 1 through 24f | 12,126,837. | 10,216,336. | 1,910,501. | 0. |
| 26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation | | | | |

Part X Balance Sheet

| | | (A) Beginning of year | | (B) End of year |
|--|--|---|------------|--------------------|
| Assets | 1 Cash - non-interest-bearing | 217,792. | 1 | 430,012. |
| | 2 Savings and temporary cash investments | | 2 | 23,086. |
| | 3 Pledges and grants receivable, net | 2,027,962. | 3 | 2,260,980. |
| | 4 Accounts receivable, net | | 4 | |
| | 5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L | | 5 | |
| | 6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L | | 6 | |
| | 7 Notes and loans receivable, net | | 7 | |
| | 8 Inventories for sale or use | | 8 | |
| | 9 Prepaid expenses and deferred charges | 68,017. | 9 | 47,923. |
| | 10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D | 10a 88,191. | | |
| | b Less: accumulated depreciation | 10b 86,872. | 10c | 1,319. |
| | 11 Investments - publicly traded securities | | 11 | |
| | 12 Investments - other securities. See Part IV, line 11 | | 12 | |
| | 13 Investments - program-related. See Part IV, line 11 | | 13 | |
| | 14 Intangible assets | | 14 | |
| | 15 Other assets. See Part IV, line 11 | 61,545. | 15 | 75,511. |
| 16 Total assets. Add lines 1 through 15 (must equal line 34) | 2,377,954. | 16 | 2,838,831. | |
| Liabilities | 17 Accounts payable and accrued expenses | 385,606. | 17 | 802,148. |
| | 18 Grants payable | | 18 | |
| | 19 Deferred revenue | | 19 | |
| | 20 Tax-exempt bond liabilities | | 20 | |
| | 21 Escrow or custodial account liability. Complete Part IV of Schedule D | | 21 | |
| | 22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L | | 22 | |
| | 23 Secured mortgages and notes payable to unrelated third parties | | 23 | |
| | 24 Unsecured notes and loans payable to unrelated third parties | 620,000. | 24 | 600,000. |
| | 25 Other liabilities. Complete Part X of Schedule D | | 25 | |
| | 26 Total liabilities. Add lines 17 through 25 | 1,005,606. | 26 | 1,402,148. |
| | Net Assets or Fund Balances | Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34. | | |
| 27 Unrestricted net assets | | 1,336,281. | 27 | 1,263,831. |
| 28 Temporarily restricted net assets | | 36,067. | 28 | 172,852. |
| 29 Permanently restricted net assets | | | 29 | |
| Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34. | | | | |
| 30 Capital stock or trust principal, or current funds | | | 30 | |
| 31 Paid-in or capital surplus, or land, building, or equipment fund | | | 31 | |
| 32 Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| 33 Total net assets or fund balances | | 1,372,348. | 33 | 1,436,683. |
| 34 Total liabilities and net assets/fund balances | | 2,377,954. | 34 | 2,838,831. |

Form 990 (2009)

Part XI Financial Statements and Reporting

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
- b** Were the organization's financial statements audited by an independent accountant?
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both:
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

| | Yes | No |
|-----------|-----|----|
| 2a | | X |
| 2b | X | |
| 2c | X | |
| 3a | X | |
| 3b | X | |

Form 990 (2009)

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

| Calendar year (or fiscal year beginning in)▶ | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | 13794716. | 13775276. | 13866528. | 10332475. | 11607300. | 63376295. |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 Total. Add lines 1 through 3 | 13794716. | 13775276. | 13866528. | 10332475. | 11607300. | 63376295. |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 Public support. Subtract line 5 from line 4 | | | | | | 63376295. |

Section B. Total Support

| Calendar year (or fiscal year beginning in)▶ | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|-----------|-----------|-----------|-----------|-----------|------------|
| 7 Amounts from line 4 | 13794716. | 13775276. | 13866528. | 10332475. | 11607300. | 63376295. |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 4,257. | 6,191. | 5,290. | 5,827. | 1,003. | 22,568. |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV) | 32,194. | | | 3,635. | 1,238. | 37,067. |
| 11 Total support. Add lines 7 through 10 | | | | | | 63435930. |
| 12 Gross receipts from related activities, etc. (see instructions) | | | | | 12 | 1,802,618. |
| 13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ <input type="checkbox"/> | | | | | | |

Section C. Computation of Public Support Percentage

| | | | |
|--|----|-------|---|
| 14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) | 14 | 99.91 | % |
| 15 Public support percentage from 2008 Schedule A, Part II, line 14 | 15 | 96.33 | % |
| 16a 33 1/3% support test - 2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/> | | | |
| b 33 1/3% support test - 2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/> | | | |
| 17a 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/> | | | |
| b 10% -facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/> | | | |
| 18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/> | | | |

Schedule A (Form 990 or 990-EZ) 2009

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)**Section A. Public Support**

| Calendar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 Total. Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 Public support (Subtract line 7c from line 6.) | | | | | | |

Section B. Total Support

| Calendar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets. (Explain in Part IV.) | | | | | | |
| 13 Total support (Add lines 9, 10c, 11, and 12.) | | | | | | |
| 14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/> | | | | | | |

Section C. Computation of Public Support Percentage

| | | |
|--|-----------|---|
| 15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f)) | 15 | % |
| 16 Public support percentage from 2008 Schedule A, Part III, line 15 | 16 | % |

Section D. Computation of Investment Income Percentage

| | | |
|---|-----------|---|
| 17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f)) | 17 | % |
| 18 Investment income percentage from 2008 Schedule A, Part III, line 17 | 18 | % |

19a 33 1/3% support tests - 2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Schedule A (Form 990 or 990-EZ) 2009

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10, Part II, line 17a or 17b, and Part III, line 12. Provide any other additional information. See instructions.

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

INSURANCE CLAIM RECOVERY

OTHER INCOME

Schedule D
(Form 990)Department of the Treasury
Internal Revenue Service**Supplemental Financial Statements**▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009Open to Public
Inspection

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number

13-3586432

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | (a) Donor advised funds | (b) Funds and other accounts |
|---|-------------------------|--|
| 1 Total number at end of year | | |
| 2 Aggregate contributions to (during year) | | |
| 3 Aggregate grants from (during year) | | |
| 4 Aggregate value at end of year | | |
| 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

| | |
|---|--|
| <input type="checkbox"/> Preservation of land for public use (e.g., recreation or pleasure) | <input type="checkbox"/> Preservation of an historically important land area |
| <input type="checkbox"/> Protection of natural habitat | <input type="checkbox"/> Preservation of a certified historic structure |
| <input type="checkbox"/> Preservation of open space | |

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

| | Held at the End of the Tax Year |
|--|---------------------------------|
| a Total number of conservation easements | 2a |
| b Total acreage restricted by conservation easements | 2b |
| c Number of conservation easements on a certified historic structure included in (a) | 2c |
| d Number of conservation easements included in (c) acquired after 8/17/06 | 2d |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

| | |
|--|------------|
| (i) Revenues included in Form 990, Part VIII, line 1 | ▶ \$ _____ |
| (ii) Assets included in Form 990, Part X | ▶ \$ _____ |

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items.

| | |
|--|------------|
| a Revenues included in Form 990, Part VIII, line 1 | ▶ \$ _____ |
| b Assets included in Form 990, Part X | ▶ \$ _____ |

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply).

- a ☐ Public exhibition
 b ☐ Scholarly research
 c ☐ Preservation for future generations
 d ☐ Loan or exchange programs
 e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

| | Amount |
|----|--------|
| 1c | |
| 1d | |
| 1e | |
| 1f | |

- c Beginning balance
 d Additions during the year
 e Distributions during the year
 f Ending balance

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10

| | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|--|------------------|----------------|--------------------|----------------------|---------------------|
| 1a Beginning of year balance | | | | | |
| b Contributions | | | | | |
| c Net investment earnings, gains, and losses | | | | | |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | | | | | |
| f Administrative expenses | | | | | |
| g End of year balance | | | | | |

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment ► _____ %
 b Permanent endowment ► _____ %
 c Term endowment ► _____ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

- (i) unrelated organizations
 (ii) related organizations

| | Yes | No |
|--------|-----|----|
| 3a(i) | | |
| 3a(ii) | | |
| 3b | | |

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10

| Description of investment | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land | | | | |
| b Buildings | | | | |
| c Leasehold improvements | | | | |
| d Equipment | | | | |
| e Other | | 88,191. | 86,872. | 1,319. |
| Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) | | | | 1,319. |

Schedule D (Form 990) 2009

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

| | | | |
|----|--|----|-------------|
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | 1 | 12,175,938. |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | 2 | 12,126,837. |
| 3 | Excess or (deficit) for the year. Subtract line 2 from line 1 | 3 | 49,101. |
| 4 | Net unrealized gains (losses) on investments | 4 | |
| 5 | Donated services and use of facilities | 5 | |
| 6 | Investment expenses | 6 | |
| 7 | Prior period adjustments | 7 | |
| 8 | Other (Describe in Part XIV.) | 8 | 15,234. |
| 9 | Total adjustments (net). Add lines 4 through 8 | 9 | 15,234. |
| 10 | Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9 | 10 | 64,335. |

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

| | | | |
|---|---|----|-------------|
| 1 | Total revenue, gains, and other support per audited financial statements | 1 | 14,004,453. |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12: | | |
| a | Net unrealized gains on investments | 2a | |
| b | Donated services and use of facilities | 2b | 1,813,281. |
| c | Recoveries of prior year grants | 2c | |
| d | Other (Describe in Part XIV.) | 2d | 15,234. |
| e | Add lines 2a through 2d | 2e | 1,828,515. |
| 3 | Subtract line 2e from line 1 | 3 | 12,175,938. |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1: | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | |
| b | Other (Describe in Part XIV.) | 4b | |
| c | Add lines 4a and 4b | 4c | 0. |
| 5 | Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) | 5 | 12,175,938. |

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

| | | | |
|---|--|----|-------------|
| 1 | Total expenses and losses per audited financial statements | 1 | 13,940,118. |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25: | | |
| a | Donated services and use of facilities | 2a | 1,813,281. |
| b | Prior year adjustments | 2b | |
| c | Other losses | 2c | |
| d | Other (Describe in Part XIV.) | 2d | |
| e | Add lines 2a through 2d | 2e | 1,813,281. |
| 3 | Subtract line 2e from line 1 | 3 | 12,126,837. |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1: | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | |
| b | Other (Describe in Part XIV.) | 4b | |
| c | Add lines 4a and 4b | 4c | 0. |
| 5 | Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) | 5 | 12,126,837. |

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X: ON JANUARY 1, 2009, THE INSTITUTE ADOPTED THE

ACCOUNTING STANDARD ON ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, WHICH ADDRESSES THE DETERMINATION OF WHETHER TAX BENEFITS CLAIMED OR EXPECTED TO BE CLAIMED ON A TAX RETURN SHOULD BE RECORDED IN THE FINANCIAL STATEMENTS. UNDER THIS GUIDANCE, THE INSTITUTE MAY RECOGNIZE THE TAX BENEFIT FROM AN UNCERTAIN TAX POSITION ONLY IF IT IS MORE LIKELY THAN NOT THAT THE TAX POSITION WILL BE SUSTAINED ON EXAMINATION BY TAXING AUTHORITIES, BASED ON THE TECHNICAL MERITS OF THE POSITION. THE TAX BENEFITS RECOGNIZED IN THE

Part XIV Supplemental Information (continued)

FINANCIAL STATEMENTS FROM SUCH A POSITION ARE MEASURED BASED ON THE LARGEST BENEFIT THAT HAS A GREATER THAN 50% LIKELIHOOD OF BEING REALIZED UPON ULTIMATE SETTLEMENT. THE GUIDANCE ON ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES ALSO ADDRESSES DE-RECOGNITION, CLASSIFICATION, INTEREST AND PENALTIES ON INCOME TAXES, AND ACCOUNTING IN INTERIM PERIODS. MANAGEMENT EVALUATED THE INSTITUTE'S TAX POSITIONS AND CONCLUDED THAT THE INSTITUTE HAD TAKEN NO UNCERTAIN TAX POSITIONS THAT REQUIRE ADJUSTMENT TO THE FINANCIAL STATEMENTS TO COMPLY WITH THE PROVISIONS OF THIS GUIDANCE. WITH FEW EXCEPTIONS, THE INSTITUTE IS NO LONGER SUBJECT TO INCOME TAX EXAMINATIONS BY THE U.S. FEDERAL, STATE OR LOCAL TAX AUTHORITIES FOR YEARS BEFORE 2006, WHICH IS THE STANDARD STATUTE OF LIMITATIONS LOOK-BACK PERIOD.

PART XI, LINE 8 - OTHER ADJUSTMENTS:

CURRENCY EXCHANGE GAIN: 15234.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

CURRENCY EXCHANGE GAIN: 15234.

Schedule F
(Form 990)Department of the Treasury
Internal Revenue Service**Statement of Activities Outside the United States**▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009**Open to Public
Inspection**

Name of the organization

Employer identification number

EAST-WEST MANAGEMENT INSTITUTE, INC.**13-3586432****Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes"
to Form 990, Part IV, line 14b.**1 For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No****2 For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States**3 Activities per Region** (Use Schedule F-1 (Form 990) if additional space is needed)

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|--|-------------------------------------|---|--|--|-----------------------------------|
| EAST ASIA AND THE PACIFIC | 2 | 39 | PROGRAM SERVICES | PROMOTING RULE OF LAW, HUMAN RIGHTS AND LAND RIGHTS | 2,542,463. |
| EAST ASIA AND THE PACIFIC | 0 | 0 | GRANTMAKING | | 864,979. |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 4 | 44 | PROGRAM SERVICES | PROMOTING RULE OF LAW AND ECONOMIC DEVELOPMENT AND CIVIL SOCIETY | 3,681,218. |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 0 | GRANTMAKING | | 524,999. |
| MIDDLE EAST AND NORTH AFRICA | 0 | 1 | PROGRAM SERVICES | PROMOTING RULE OF LAW | 72,754. |
| NORTH AMERICA | 0 | 0 | PROGRAM SERVICES | PROMOTING ECONOMIC DEVELOPMENT AND RULE OF LAW | 179,471. |
| NORTH AMERICA | 0 | 0 | GRANTMAKING | | 66,111. |
| RUSSIA & THE NEWLY INDEPENDENT STATES | 0 | 0 | PROGRAM SERVICES | PROMOTING ECONOMIC DEVELOPMENT AND CIVIL SOCIETY | 6,745. |
| Totals | 6 | 84 | | | 8,096,760. |

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2009

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 ☐

Use Schedule F-1 (Form 990) if additional space is needed.

| 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|--------------------------|--|---------------------------|--|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | | EAST ASIA AND THE PACIFIC | TO CONDUCT A SERIES OF ROAD SHOWS WITH VIDEO AND LIVE PRESENTATIONS TO | 1,263. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO CARRY OUT WORK RELATED TO VILLAGE-LAND DOCUMENTATION IN | 6,500. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO CARRY OUT WORK RELATED TO VILLAGE LAND DOCUMENTATION IN TARGET VILLAGES, | 6,500. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | POLITICAL AND HUMAN RIGHTS MONITORING AND ADVOCACY. | 362. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO ADDRESS CURRENT PROBLEMS WITH UNFAIR COURT TRIALS AND INTRODUCE A NEW | 20,316. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO PROVIDE LEGAL SUPPORT TO POOR POPULATIONS, GIVE LEGAL ADVICES TO | 107,111. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO MOBILIZE LOCAL COMMUNITIES IN SIX COMMUNES TO PROTECT AND PRESERVE THE PREY | 81,041. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO MOBILIZE EDUCATED PHNOM PENH-BASED YOUTH TO PROTECT THE ENVIRONMENT AND | 12,366. | CHECK OR WIRE TRANSFER | 0. | | |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

SEE PART IV FOR COLUMN (D) DESCRIPTIONS

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Schedule F (Form 990) 2009

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16

Use Schedule F-1 (Form 990) if additional space is needed

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---------------------------------|--|--------------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| TRAINING PARTICIPANT SUPPORT | EAST ASIA AND THE PACIFIC | 2,295 | 106,904. | CASH/ CHECK | 0. | | |
| TRAINING PARTICIPANT SUPPORT | EUROPE (INCLUDING ICELAND & GREENLAND) | 334 | 55,927. | CASH/ CHECK | 0. | | |
| FELLOWSHIPS | NORTH AMERICA | 2 | 4,000. | WIRE | 0. | | |
| FELLOWSHIPS | RUSSIA & THE NEWLY INDEPENDENT STATES | 1 | 2,500. | WIRE | 0. | | |
| FELLOWSHIPS | SOUTH ASIA | 1 | 1,000. | WIRE | 0. | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

SCHEDULE F, PART I, LINE 2: EAST-WEST MANAGEMENT INSTITUTE, INC. HAS A COMPREHENSIVE SET OF POLICIES AND PROCEDURES IN PLACE TO MONITOR THE USE OF GRANT FUNDS OUTSIDE THE UNITED STATES. UNDER EWMI'S LARGER PROGRAMS, EWMI MAINTAINS FIELD OFFICES THAT OVERSEE PROGRAM IMPLEMENTATION AND MONITOR THE USE OF GRANT FUNDS. ALL GRANTEEES ARE REQUIRED TO SUBMIT PERIODIC NARRATIVE AND FINANCIAL REPORTS. FIELD OFFICE AND HEADQUARTERS STAFF REVIEW THESE REPORTS. IN ADDITION, FIELD OFFICE GRANTS ADMINISTRATION STAFF PERIODICALLY CONDUCT SITE VISITS AND FINANCIAL REVIEWS OF GRANTEEES. FOR LARGER GRANTS, EWMI FIELD OFFICE AND HEADQUARTERS STAFF ALSO REVIEW GRANTEE AUDIT REPORTS.

PART II, COLUMN (D):

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO CONDUCT A SERIES OF ROAD SHOWS WITH VIDEO AND LIVE PRESENTATIONS TO INFORM RURAL CAMBODIANS ABOUT THEIR LAND RIGHTS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO CARRY OUT WORK RELATED TO VILLAGE-LAND DOCUMENTATION IN TARGET VILLAGES, INCLUDING LAND LAW TRAINING, AND PEACEFUL RESOLUTION OF LAND DISPUTES.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO CARRY OUT WORK RELATED TO VILLAGE LAND DOCUMENTATION IN TARGET VILLAGES, INCLUDING LAND LAW TRAINING, AND PEACEFUL RESOLUTION OF LAND DISPUTES.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO ADDRESS CURRENT PROBLEMS WITH UNFAIR COURT

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information

TRIALS AND INTRODUCE A NEW COLLABORATIVE APPROACH TO COURT MONITORING IN CAMBODIA.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO PROVIDE LEGAL SUPPORT TO POOR POPULATIONS, GIVE LEGAL ADVICES TO HUMAN RIGHTS ORGANIZATIONS AND CORRECT ERRONEOUS COURT PROCEEDINGS.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO MOBILIZE LOCAL COMMUNITIES IN SIX COMMUNES TO PROTECT AND PRESERVE THE PREY LANG FOREST THROUGH A COMMUNITY-BASED MANAGEMENT MODEL THAT IS SOCIALLY EQUITABLE.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO MOBILIZE EDUCATED PHNOM PENH-BASED YOUTH TO PROTECT THE ENVIRONMENT AND SUPPORT THE CAMPAIGN TO PROTECT PREY LANG FOREST.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO IMPROVE THE JUDICIAL SYSTEM IN KANDAL AND PHNOM PENH COURTS FOR POOR DETAINEES AND DEVELOP A BEST PRACTICE FOR ALL PROVINCIAL COURTS IN CAMBODIA.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO REDUCE VIOLENCE AGAINST WOMEN AND CHILDREN IN FOUR DISTRICTS BY COLLABORATING WITH LOCAL NGOS, GIVING LEGAL CONSULTATION AND PROVIDING LEGAL REPRESENTATION IN COURT AS NEEDED.

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO ADVANCE THE RULE OF LAW AND ESTABLISH PUBLIC INTEREST LEGAL ADVOCACY AS AN INTEGRAL, UNDERSTOOD AND SUPPORTED ASPECT OF THE LEGAL PROFESSION.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO ESTABLISH PUBLIC INTEREST LEGAL ADVOCACY AS AN INTEGRAL ELEMENT OF THE LEGAL LANDSCAPE IN CAMBODIA.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: TO CREATE AN INFORMATIVE TELEVISION DRAMA SERIES THAT EXPLAINS HOW THE CAMBODIAN LEGAL SYSTEM SHOULD BE USED AND WHERE THE SYSTEM NEEDS TO IMPROVE.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO STRENGTHEN THE SAFETY COUNCIL'S RELATIONSHIP WITH CIVIL SOCIETY ACTORS AND MARGINALIZED GROUPS IN THE GJILAN AND GNJILANE MUNICIPALITIES.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO ENSURE A TRANSPARENT PROCESS OF NOMINATIONS FOR NGOS TO THE MUNICIPAL COUNCILS AND IMPROVE COMMUNICATION BETWEEN THE CIVIL SOCIETY REPRESENTATIVES AND THEIR CONSTITUENCIES.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: INCREASE THE INVOLVEMENT OF CIVIL SOCIETY ORGANIZATIONS IN JUSTICE SECTOR REFORM IN BOSNIA BY ORGANIZING THE JUSTICE SECTOR CIVIL SOCIETY NETWORK.

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO IMPROVE THE COMMUNICATION BETWEEN THE CIVIL SOCIETY, LOCAL ELECTED LEADERS AND OTHER DECISION MAKERS USING MEDIA OUTLETS.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO IMPROVE THE COMMUNICATION BETWEEN THE CIVIL SOCIETY, LOCAL ELECTED LEADERS AND OTHER DECISION MAKERS THROUGH INCLUSION OF MEDIA OUTLETS IN THE WORK OF MUNICIPAL COUNCIL.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO SUPPORT AND REINFORCE THE INCLUSION OF CIVIL SOCIETY GROUPS AND MINORITIES INTO MUNICIPAL AFFAIRS AND POLICIES.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO REINFORCE THE INCLUSION OF CIVIL SOCIETY GROUPS INTO CENTRAL GOVERNMENT AFFAIRS AND POLICY.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO RAISE AWARENESS AND EDUCATE THE PUBLIC ABOUT WAR CRIME TRIALS BEFORE CANTONAL AND DISTRICT COURTS.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: CONTRIBUTE TO THE IMPROVED IMPLEMENTATION OF INTERNATIONALLY RECOGNIZED INSTRUMENTS OF JUVENILE JUSTICE IN BOSNIA AND HERZEGOVINA.

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: THE PURPOSE OF THIS GRANT IS TO ENGAGE BORIS STRECKANSKY AS A "DISCOVERY ASSOCIATE" FOR THE PTP PROJECT, WHICH WILL EXPLORE AND PROMOTE THE OPTION OF CAPTURING A PORTION OF THE PROCEEDS GENERATED BY PRIVATIZATION TRANSACTIONS AROUND THE WORLD IN ORDER TO BUILD COMMUNITY BASED PHILANTHROPIC ENDOWMENTS.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO SUPPORT THE ORGANIZING AND DELIVERING OF HIGH QUALITY FINANCIAL EDUCATION WORKSHOPS BASED ON "PLAN YOUR FUTURE" TOOLS TO TARGET CLIENTS.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO INCREASE SAFETY AND IMPROVE QUALITY OF LIFE FOR MINORITY GROUPS IN THE MUNICIPALITIES OF VITINA, KOCANIK AND UROSEVAC.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: THE PURPOSE OF THIS GRANT IS TO EXPLORE AND PROMOTE THE OPTION OF CAPTURING A PORTION OF THE PROCEEDS GENERATED BY PRIVATIZATION TRANSACTIONS AROUND THE WORLD TO BUILD COMMUNITY-BASED PHILANTHROPIC ENDOWMENTS.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO IMPROVE THE SAFETY IN MITROVICE MUNICIPALITY BY FOSTERING COMMUNICATION BETWEEN CITIZENS AND LOCAL OFFICIALS.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO INCREASE SAFETY IN MITROVICA BY ESTABLISHING

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

TRANSPARENCY IN MUNICIPAL ELECTIONS AND IMPROVING COMMUNICATIONS BETWEEN
NGOS AND GOVERNMENT OFFICIALS.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO IMPROVE THE QUALITY OF CIVIL SOCIETY
ORGANIZATIONS IN THE PEJA AND PEC MUNICIPALITIES BY ADDRESSING SAFETY
ISSUES WITH THEIR REPRESENTATIVES.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO INCREASE SAFETY AND TO IMPROVE QUALITY OF LIFE
OF COMMUNITIES IN THE PEC MUNICIPALITY.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: THE PURPOSE OF THIS GRANT IS TO EXPLORE AND
PROMOTE THE OPTION OF CAPTURING A PORTION OF THE PROCEEDS GENERATED BY
PRIVATIZATION TRANSACTIONS AROUND THE WORLD TO BUILD COMMUNITY-BASED
PHILANTHROPIC ENDOWMENTS.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO STRENGTHEN THE QUALITY OF OUTPUT GENERATED BY
LOCAL OFFICIALS AND CIVIL SOCIETY ORGANIZATIONS IN THE OBILIQ, FUSHE
KOSOVE, DRENAS AND PODUJEVE MUNICIPALITIES.

REGION: EUROPE (INCLUDING ICELAND & GREENLAND)

(D) PURPOSE OF GRANT: TO INCREASE THE QUANTITY OF CIVIL SOCIETY MEMBERS
ELECTED INTO MUNICIPAL OFFICE IN OBILIC, KOSOVO POLJE, GLOGOVAC,
PODUJEVO, AND PRISTINA.

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: TO SUPPORT PARTICIPATION OF PROFESSIONALS FROM
AZERBAIJAN, GEORGIA, ARMENIA AND KYRGYZSTAN

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: TO EXECUTE A REGIONAL ACTUARIAL BEST PRACTICES
EVENT FOR EURASIAN STUDENT ON ECONOMICS OF INSURANCE, NON PROPORTIONAL
REINSURANCE, HEALTH INSURANCE AND MODELS OF PREMIUM RATING FOR NON-LIFE
INSURANCE.

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: TO LAUNCH AN ANALYTICAL CENTER WHICH WILL PROVIDE
PROFESSIONAL RESEARCH AND DEVELOPMENT SERVICES FOR THE LOCAL KAZAKHSTAN
MARKET.

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: TO SEND AZERBAIJANI CREDIT UNION LEADERS TO
GERMANY TO REVIEW GERMANY'S DEPOSIT INSURANCE SYSTEM.

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: A. TO TRANSLATE THE GIPS HANDBOOK OF THE CFA
INSTITUTE INTO RUSSIAN LANGUAGE AND TRANSFER IT TO THE UKRAINIAN
ASSOCIATION OF INVESTMENT ANALYSTS (UAIB) WHICH IS THE COUNTRY SPONSOR OF
THE CFA INSTITUTE IN UKRAINE, AND THE OWNER OF ALL CFA MATERIALS
TRANSLATION

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: TO HAVE A TOUR DESIGNED TO STUDY THE EXPERIENCE OF

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

THE GERMAN DEPOSIT INSURANCE FOR CREDIT UNIONS IN AN EFFORT TO DEVELOP
FULL SERVICE MODERN CREDIT UNIONS IN RUSSIA.

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: FOR REPRESENTATIVES FROM IFAC, WB, BANK OF ASIA,
GEORGIAN GOVERNMENT AND 11 COUNTRIES EASTERN EUROPEAN COUNTRIES TO SHARE
THEIR EXPERIENCES AND KNOWLEDGE OF THE REGULATION AND SELF-REGULATION OF
THE PROFESSIONAL FINANCIAL ACCOUNTING AND AUDITING FIELDS.

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: TO SUPPORT THE STUDY TOUR FOR STAFF OF THE KYRGYZ
STOCK EXCHANGE TO EXPLORE THE WORKINGS OF TWO SMALL STOCK EXCHANGES IN
CENTRAL EUROPE (VIENNA AND PRAGUE).

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: TO FUND TWO STUDENTS FROM KYRGYZSTAN TO GO TO
GERMANY TO STUDY THE EXPERIENCE OF THE GERMAN DEPOSIT INSURANCE FOR
CREDIT UNIONS IN ORDER TO DEVELOP FULL SERVICE MODERN CREDIT UNIONS IN
KYRGYZSTAN.

REGION: NORTH AMERICA

(D) PURPOSE OF GRANT: TO ORGANIZE AND DELIVER A FINANCIAL EDUCATION
WORKSHOP IN COOPERATION WITH THE MICRO FINANCE CENTER IN POLAND.

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: CONDUCT AN ANALYSIS AND PROVIDE RECOMMENDATIONS TO
ADDRESS THE ISSUE OF INTERNALLY DISPLACED PEOPLE (IDPS) AND PRODUCE A
POLICY PAPER THAT WILL ASSIST INTERNATIONAL AND LOCAL GOVERNMENT AND

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

NON-GOVERNMENT ORGANIZATIONS IN THEIR EFFORTS.

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: TO DEVELOP A DATABASE OF HEALTH SERVICE PROVIDERS
IN KARACHI AS THE BASIS FOR IMPROVING ACCESS TO HEALTH SERVICES,
PROVIDING PUBLIC EDUCATION AND ENGAGING IN HEALTH ADVOCACY.

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: TO PROVIDE LEGAL SERVICES TO INDIGENT PRISONERS
WITHIN THREE (3) COUNTIES IN LIBERIA AND EMPOWER VOLUNTEERS OF CIVIL
SOCIETY ORGANIZATIONS TO ASSIST THE DISADVANTAGED.

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: TO PROVIDING LEGAL SERVICES TO MORE THEN 100-200
INDIGENTS WITHIN THREE (3) COUNTIES IN LIBERIA.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: PROVIDE ASSISTANCE TO MODEL COURT TO IMPROVE COURT
ADMINISTRATION BY DEVELOPING MODERN SYSTEMS AND UPGRADING PROCEDURES

NEGATIVE GRANTS - DURING 2009, FUNDS WERE RETURNED TO THE INSTITUTION BY
VARIOUS SUB-RECIPIENTS WHO HAD RECEIVED AWARDS FROM THE INSTITUTION FOR
PROGRAM SERVICES. THESE FUNDS REPRESENT THE BALANCES OF UNSPENT FUNDS IN
2009 BY THE SUB-RECIPIENTS AFTER THE PROGRAMS FOR WHICH AMOUNTS WERE
PROVIDED CONCLUDED OPERATIONS.

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990 to list additional information for Schedule F (Form 990) Part I, line 3; Part II, line 1; or Part III.

▶ See instructions for Schedule F (Form 990).

2009

Open to Public Inspection

13-3586432

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---------------------------------------|-------------------------------------|---|--|--|-----------------------------------|
| RUSSIA & THE NEWLY INDEPENDENT STATES | 0 | 0 | GRANTMAKING | | 2,500. |
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | PROMOTING RULE OF LAW | 16,002. |
| SOUTH AMERICA | 0 | 0 | GRANTMAKING | | 92,418. |
| SOUTH ASIA | 0 | 0 | PROGRAM SERVICES | PROMOTING ECONOMIC DEVELOPMENT AND CIVIL SOCIETY | 37,600. |
| SOUTH ASIA | 0 | 0 | GRANTMAKING | | 9,500. |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Totals | | | | | 158,020. |

Schedule F-1 (Form 990) 2009

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | | |
|--|--------------------------|--|--|---|--------------------------|---------------------------------|-----------------------------------|--|---|
| 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | | EAST ASIA AND THE PACIFIC | TO IMPROVE THE JUDICIAL SYSTEM IN KANDAL AND PHNOM PENH COURTS FOR POOR | 216,491. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO REDUCE VIOLENCE AGAINST WOMEN AND CHILDREN IN FOUR DISTRICTS BY | 118,752. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO ADVANCE THE RULE OF LAW AND ESTABLISH PUBLIC INTEREST LEGAL ADVOCACY AS AN | 71,838. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO ESTABLISH PUBLIC INTEREST LEGAL ADVOCACY AS AN INTEGRAL ELEMENT OF | 97,800. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EAST ASIA AND THE PACIFIC | TO CREATE AN INFORMATIVE TELEVISION DRAMA SERIES THAT EXPLAINS | 99,224. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO STRENGTHEN THE SAFETY COUNCIL'S RELATIONSHIP WITH CIVIL SOCIETY ACTORS | 2,503. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO ENSURE A TRANSPARENT PROCESS OF NOMINATIONS FOR NGOS TO THE MUNICIPAL | 4,915. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | STRENGTHENING THE ROLE OF PROFESSIONAL ORGANIZATIONS IN THE JUSTICE SECTOR | 2,449. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | INCREASE THE INVOLVEMENT OF CIVIL SOCIETY ORGANIZATIONS IN JUSTICE SECTOR | 25,874. | CHECK OR WIRE TRANSFER | 0. | | |

Schedule F-1 (Form 990) 2009

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | | |
|--|--------------------------|--|--|---|--------------------------|---------------------------------|-----------------------------------|--|---|
| 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO IMPROVE THE COMMUNICATION BETWEEN THE CIVIL SOCIETY, LOCAL ELECTED LEADERS | 5,006. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO IMPROVE THE COMMUNICATION BETWEEN THE CIVIL SOCIETY, LOCAL ELECTED LEADERS | 14,586. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO SUPPORT AND REINFORCE THE INCLUSION OF CIVIL SOCIETY GROUPS AND | 12,514. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO REINFORCE THE INCLUSION OF CIVIL SOCIETY GROUPS INTO CENTRAL GOVERNMENT | 24,613. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO RAISE AWARENESS AND EDUCATE THE PUBLIC ABOUT WAR CRIME TRIALS BEFORE | 22,458. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | CONTRIBUTE TO THE IMPROVED IMPLEMENTATION OF INTERNATIONALLY | 18,603. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | THE PURPOSE OF THIS GRANT IS TO ENGAGE BORIS STRECKANSKY AS A "DISCOVERY ASSOCIATE" | 2,000. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO SUPPORT THE ORGANIZING AND DELIVERING OF HIGH QUALITY FINANCIAL | 11,430. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO INCREASE SAFETY AND IMPROVE QUALITY OF LIFE FOR MINORITY GROUPS IN THE | 4,915. | CHECK OR WIRE TRANSFER | 0. | | |

Schedule F-1 (Form 990) 2009

| Part II | Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---------|--|--------------------------|--|--|---|--------------------------|---------------------------------|-----------------------------------|--|---|
| 1 | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | THE PURPOSE OF THIS GRANT IS TO EXPLORE AND PROMOTE THE OPTION OF CAPTURING A | 2,500. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO IMPROVE THE SAFETY IN MITROVICA BY MUNICIPALITY BY FOSTERING | 2,503. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO INCREASE SAFETY IN MITROVICA BY ESTABLISHING TRANSPARENCY IN | 4,915. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO IMPROVE THE QUALITY OF CIVIL SOCIETY ORGANIZATIONS IN THE PEJA AND PEC | 2,503. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO INCREASE SAFETY AND TO IMPROVE QUALITY OF LIFE OF COMMUNITIES IN THE | 4,915. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | THE PURPOSE OF THIS GRANT IS TO EXPLORE AND PROMOTE THE OPTION OF CAPTURING A | 1,000. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO STRENGTHEN THE QUALITY OF OUTPUT GENERATED BY LOCAL OFFICIALS AND CIVIL | 2,503. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO INCREASE THE QUANTITY OF CIVIL SOCIETY MEMBERS ELECTED INTO | 4,915. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | EUROPE (INCLUDING ICELAND & GREENLAND) | TO CONDUCT TWO COUNTRY SURVEYS ON INVESTOR RELATIONS AND REPORTING ON CSR. | 4,600. | CHECK OR WIRE TRANSFER | 0. | | |

Schedule F-1 (Form 990) 2009

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--|--|--------------------------|--|---------------|---|--------------------------|---------------------------------|-----------------------------------|--|---|
| 1 | | | | NORTH AMERICA | TO SUPPORT PARTICIPATION OF PROFESSIONALS FROM AZERBAIJAN, GEORGIA, AND ARMENIA IN THE ACTUARIAL BEST PRACTICES EVENT FOR EURASIAN STUDENT ON TO LAUNCH AN ANALYTICAL CENTER WHICH WILL PROVIDE PROFESSIONAL RESEARCH TO SEND AZERBAIJANI CREDIT UNION LEADERS TO GERMANY TO REVIEW GERMANY'S DEPOSIT | 3,000. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | TO EXECUTE A REGIONAL ACTUARIAL BEST PRACTICES EVENT FOR EURASIAN STUDENT ON TO LAUNCH AN ANALYTICAL CENTER WHICH WILL PROVIDE PROFESSIONAL RESEARCH TO SEND AZERBAIJANI CREDIT UNION LEADERS TO GERMANY TO REVIEW GERMANY'S DEPOSIT | 25,600. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | TO LAUNCH AN ANALYTICAL CENTER WHICH WILL PROVIDE PROFESSIONAL RESEARCH TO SEND AZERBAIJANI CREDIT UNION LEADERS TO GERMANY TO REVIEW GERMANY'S DEPOSIT | 50,000. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | TO SEND AZERBAIJANI CREDIT UNION LEADERS TO GERMANY TO REVIEW GERMANY'S DEPOSIT | 7,149. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | A. TO TRANSLATE THE GIPS HANDBOOK OF THE CFA INSTITUTE INTO RUSSIAN LANGUAGE AND TO HAVE A TOUR DESIGNED TO STUDY THE EXPERIENCE OF THE GERMAN DEPOSIT | 16,500. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | FOR REPRESENTATIVES FROM IFAC, WB, BANK OF ASIA, GEORGIAN GOVERNMENT AND 11 | 6,000. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | TO SUPPORT THE STUDY TOUR FOR STAFF OF THE KYRGYZ STOCK EXCHANGE TO EXPLORE THE | 19,600. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | TO FUND TWO STUDENTS FROM KYRGYZSTAN TO GO TO GERMANY TO STUDY THE EXPERIENCE OF THE | 7,188. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | TO FUND TWO STUDENTS FROM KYRGYZSTAN TO GO TO GERMANY TO STUDY THE EXPERIENCE OF THE | 7,765. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | TO FUND TWO STUDENTS FROM KYRGYZSTAN TO GO TO GERMANY TO STUDY THE EXPERIENCE OF THE | 7,765. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | NORTH AMERICA | TO FUND TWO STUDENTS FROM KYRGYZSTAN TO GO TO GERMANY TO STUDY THE EXPERIENCE OF THE | 7,765. | CHECK OR WIRE TRANSFER | 0. | | |

Schedule F-1 (Form 990) 2009

| Part II | Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---------|--|---|--------------------------|--|------------|--|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | | | | | TO ORGANIZE AND DELIVER A FINANCIAL EDUCATION WORKSHOP IN COOPERATION WITH THE NORTH AMERICA | 14,425. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | | | CONDUCT AN ANALYSIS AND PROVIDE RECOMMENDATIONS TO ADDRESS THE ISSUE OF SOUTH AMERICA | 82,413. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | | | TO DEVELOP A DATABASE OF HEALTH SERVICE PROVIDERS IN KARACHI AS THE BASIS FOR SOUTH AMERICA | 10,000. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | | | TO PROVIDE LEGAL SERVICES TO INDIGENT PRISONERS WITHIN SOUTH ASIA | 2,500. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | | | TO PROVIDING LEGAL SERVICES TO MORE THEN 100-200 INDIGENTS WITHIN THREE (3) SOUTH ASIA | 6,000. | CHECK OR WIRE TRANSFER | 0. | | |
| | | | | | | IMPROVE COURT ADMINISTRATION EUROPE (INCLUDING ICELAND & GREENLAND) | 0. | | | 191,740. MATERIALS | ACQUISITION VALUE |
| | | | | | | PROVIDE ASSISTANCE TO MODEL COURT TO IMPROVE COURT ADMINISTRATION BY EAST ASIA AND THE PACIFIC | 0. | | | 28,045. MATERIALS | ACQUISITION VALUE |
| | | | | | | EAST ASIA AND THE SEE EXPLANATION IN PART IV PACIFIC | -109533. | | 0. | | |
| | | | | | | | | | | | |

Schedule F-1 (Form 990) 2009

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number
13-3586432

| | |
|---------------|---|
| Part I | General Information on Grants and Assistance |
|---------------|---|

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

| Part II | Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed. |
|---------|--|
| | |

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|--|
| AMERICAN BAR ASSOCIATION FUND FOR JUSTICE AND EDUCATION - 740 FIFTEENTH STREET NW - WASHINGTON, DC 20005 | 36-6110299 | 501(C)(3) | 648,246. | 0. | | | SUPPORT FOR INITIATIVES ENCOMPASSING CLINICAL EDUCATION AND LEGAL ADVOCACY IN SUPPORT OF PROVIDE TECHNICAL AND FINANCIAL ASSISTANCE TO KEY HUMAN RIGHTS NGOS AND COMMUNITY NETWORKS IN |
| THE ASIA FOUNDATION 465 CALIFORNIA ST, # 9 SAN FRANCISCO, CA 94104 | 94-1191246 | 501(C)(3) | 1,332,594. | 0. | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations

3 Enter total number of other organizations

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

SEE PART IV FOR COLUMN (H) DESCRIPTIONS

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|--------------------------|--------------------------|-----------------------------------|---|--|
| STIPEND | 1 | 2,000. | 0. | | |
| PARTICIPANT SUPPORT | 25 | 11,343. | 0. | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information | | | | | |

SCHEDULE I, PART I, LINE 2: EAST-WEST MANAGEMENT INSTITUTE, INC. (EWWI)

REQUIRES PERIODIC TECHNICAL AND FINANCIAL REPORTS ON THE USE OF GRANT FUNDS

FROM ALL GRANTEES IN THE US. EWWI STAFF REVIEW THESE REPORTS AND FOLLOW UP

WITH ANY QUESTIONS. IN ADDITION, US GRANTEES PROVIDE EWWI WITH COPIES OF

THEIR AUDITED FINANCIAL STATEMENTS SUPPORTING THE FINANCIAL REPORTS

SUBMITTED FOR SPECIFIC PROGRAM GRANTS.

PART II, LINE 1, COLUMN (H):

NAME OF ORGANIZATION OR GOVERNMENT:

Part IV Supplemental Information

AMERICAN BAR ASSOCIATION FUND FOR JUSTICE AND EDUCATION

(H) PURPOSE OF GRANT OR ASSISTANCE: SUPPORT FOR INITIATIVES ENCOMPASSING
CLINICAL EDUCATION AND LEGAL ADVOCACY IN SUPPORT OF HUMAN RIGHTS IN
CAMBODIA

NAME OF ORGANIZATION OR GOVERNMENT: THE ASIA FOUNDATION

(H) PURPOSE OF GRANT OR ASSISTANCE: PROVIDE TECHNICAL AND FINANCIAL
ASSISTANCE TO KEY HUMAN RIGHTS NGOS AND COMMUNITY NETWORKS IN CAMBODIA

**SCHEDULE J
(Form 990)**Department of the Treasury
Internal Revenue Service**Compensation Information**For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009Open to Public
Inspection

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number

13-3586432**Part I Questions Regarding Compensation****1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990,
Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items

- | | |
|--|---|
| <input type="checkbox"/> First-class or charter travel | <input checked="" type="checkbox"/> Housing allowance or residence for personal use |
| <input checked="" type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or
reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors,
trustees, and the CEO/Executive Director, regarding the items checked in line 1a?**3** Indicate which, if any, of the following the organization uses to establish the compensation of the organization's
CEO/Executive Director. Check all that apply

- | | |
|---|---|
| <input type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing
organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the revenues of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the net earnings of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 6a or 6b, describe in Part III

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments
not described in lines 5 and 6? If "Yes," describe in Part III**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the
initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in
Regulations section 53.4958-6(c)?

Yes No

1b

X

2

X

4a

X

4b

X

4c

X

5a

X

5b

X

6a

X

6b

X

7

X

8

X

9

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2009

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

| (A) Name | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|-------------------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|--|
| | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| ADRIAN HEWRYK | (i) 187,000. | 0. | 60. | 11,949. | 10,614. | 209,623. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| RACHEL TRITT | (i) 154,895. | 0. | 60. | 9,898. | 26,878. | 191,731. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| FRON NAHZI | (i) 141,500. | 0. | 90. | 9,042. | 10,907. | 161,539. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| HERBERT BOWMAN | (i) 158,500. | 0. | 70,473. | 12,393. | 20,866. | 262,232. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| PATRICIA BAARS | (i) 130,028. | 0. | 45,939. | 9,090. | 2,181. | 187,238. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| KENNETH STUART | (i) 158,496. | 0. | 42,505. | 6,131. | 15,775. | 222,907. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| MARK DIETRICH | (i) 145,500. | 0. | 138. | 9,297. | 10,407. | 165,342. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| NEIL WEINSTEIN | (i) 126,000. | 0. | 54,815. | 7,398. | 12,272. | 200,485. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| GEOFFREY MAZULLO | (i) 156,000. | 0. | 50,929. | 9,788. | 7,911. | 224,628. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| NICOLAS MANSFIELD | (i) 145,500. | 0. | 0. | 8,740. | 21,011. | 175,251. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| MARK WEST | (i) 138,534. | 0. | 57,870. | 10,429. | 21,011. | 227,844. | 0. |
| | (ii) 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| | (i) | | | | | | |
| | (ii) | | | | | | |
| | (i) | | | | | | |
| | (ii) | | | | | | |
| | (i) | | | | | | |
| | (ii) | | | | | | |
| | (i) | | | | | | |
| | (ii) | | | | | | |
| | (i) | | | | | | |
| | (ii) | | | | | | |

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

PART I, LINE 1A: EWMI PROVIDES FOR THE FOLLOWING TYPE OF TRAVEL FOR EMPLOYEES AND THEIR FAMILY MEMBERS WHEN POSTED ABROAD: TRAVEL TO AND FROM POST, HOME LEAVE AND R&R TRAVEL AND EDUCATIONAL TRAVEL (FOR DEPENDENTS). HOUSING ALLOWANCE (I.E., LIVING QUARTER ALLOWANCE - LQA) IS PROVIDED FOR LONG-TERM EXPATS POSTED ABROAD.

PART I, LINE 4A: GEOFFREY MAZULLO RECEIVED A SEVERANCE PAYMENT OF \$39,000 UPON LEAVING THE ORGANIZATION IN DECEMBER 2009.

**SCHEDULE M
(Form 990)**

Department of the Treasury
Internal Revenue Service

Noncash Contributions

► **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
► **Attach to Form 990.**

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number

13-3586432

Part I Types of Property

| | (a) Check if applicable | (b) Number of contributions | (c) Revenues reported on Form 990, Part VIII, line 1g | (d) Method of determining revenues |
|---|-------------------------------|-----------------------------------|---|--|
| 1 Art - Works of art | | | | |
| 2 Art - Historical treasures | | | | |
| 3 Art - Fractional interests | | | | |
| 4 Books and publications | | | | |
| 5 Clothing and household goods | | | | |
| 6 Cars and other vehicles | | | | |
| 7 Boats and planes | | | | |
| 8 Intellectual property | | | | |
| 9 Securities - Publicly traded | | | | |
| 10 Securities - Closely held stock | | | | |
| 11 Securities - Partnership, LLC, or trust interests | | | | |
| 12 Securities - Miscellaneous | | | | |
| 13 Qualified conservation contribution - Historic structures | | | | |
| 14 Qualified conservation contribution - Other | | | | |
| 15 Real estate - Residential | | | | |
| 16 Real estate - Commercial | | | | |
| 17 Real estate - Other | | | | |
| 18 Collectibles | | | | |
| 19 Food inventory | | | | |
| 20 Drugs and medical supplies | | | | |
| 21 Taxidermy | | | | |
| 22 Historical artifacts | | | | |
| 23 Scientific specimens | | | | |
| 24 Archeological artifacts | | | | |
| 25 Other ► (<u>MEETINGS & TR</u>) | X | 69 | 60,248. | COST OF DONATED ITEM |
| 26 Other ► (<u>TRAVEL RELATE</u>) | X | 4 | 2,058. | COST OF DONATED ITEM |
| 27 Other ► (_____) | | | | |
| 28 Other ► (_____) | | | | |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgment

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II.

| | Yes | No |
|------------|-----|----|
| 30a | | X |
| 31 | | X |
| 32a | | X |
| | | |

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2009

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B): NUMBER OF CONTRIBUTORS REPRESENTS THE
NUMBER OF ITEMS DONATED.

SCHEDULE O

(Form 990)

Department of the Treasury
Internal Revenue Service**Supplemental Information to Form 990**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009Open to Public
Inspection

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number

13-3586432

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

ORGANIZATIONS, EWMI COMBINES LEGAL, CIVIC AND ECONOMIC INITIATIVES TO
BUILD JUST, PROSPEROUS AND DEMOCRATIC SOCIETIES.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

NON-GOVERNMENTAL ORGANIZATIONS ("NGOS"), GRANTS, AND SEMINARS,
CONFERENCES, WORKSHOPS AND OTHER EDUCATIONAL PROGRAMS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

REPRESENTATION PROVIDED IN OVER 2,100 CASES. EWMI'S PARTNER HUMAN
RIGHTS NGOS INVESTIGATED HUMAN RIGHTS VIOLATIONS ESPECIALLY RELATED TO
LAND GRABBING, LAND DISPUTES AND FORCED EVICTIONS, MONITORED DETENTION
CENTERS AND PRISONS, AND CONDUCTED HUMAN RIGHTS DOCUMENTATION
TRAININGS. EWMI SUCCESSFULLY INTRODUCED A NEW INTRANET SYSTEM AND
JUSTICE STATISTICS DATABASE AT THE MINISTRY OF JUSTICE. EWMI HELPED
DEVELOP THE FIRST CAMBODIAN LAW JOURNAL, AND CONTINUED AND EXPAND
EFFORTS BEGUN UNDER PRAJ TO IMPROVE LEGAL EDUCATION.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

POSITION IN THE COURT MANAGEMENT STRUCTURE. SPP WORK WITH INDIVIDUAL
COURTS ON REDUCING CASE BACKLOGS WAS INCORPORATED INTO THE NATIONAL
COURT PROCEDURE REQUIREMENTS OF THE BOOK OF COURT RULES. SPP ALSO
ORGANIZED WORKSHOPS FOR OVER 50 REPRESENTATIVES FROM THE NATIONAL
ASSEMBLY OF THE REPUBLIC OF SERBIA (NARS) INCLUDING BOTH MPS AND
ADMINISTRATIVE STAFF. THESE WORKSHOPS INTRODUCED NARS STAFF AND MPS TO
CONCEPTS AND TECHNIQUES OF STRATEGIC PLANNING AND SUPPORTED ACTIVITIES

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2009

932211
02-03-10

SCHEDULE O

(Form 990)

Department of the Treasury
Internal Revenue Service**Supplemental Information to Form 990**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009Open to Public
Inspection

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number

13-3586432

AIMED AT FORMING AN INDEPENDENT BUDGET OFFICE FOR THE NATIONAL
ASSEMBLY. SPP ALSO BEGAN THE PROCESS OF DEVELOPING BUDGET PREPARATION
CAPACITY WITHIN THE SERBIAN HIGH COURT COUNCIL BY PROVIDING DIRECT
ASSISTANCE TO THE DRAFTING OF THE 2010 BUDGET FOR THE COUNCIL.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:
COURTS, AND TWICE THE NUMBER ANTICIPATED IN THE ORIGINAL CONTRACT -
IMPLEMENT RIGOROUS COURT IMPROVEMENT PLANS. EWMI INTRODUCED NEW
RECORDS MANAGEMENT SYSTEMS AND COMPUTERIZED CASE MANAGEMENT SYSTEMS;
REMODELED COURTHOUSES TO MAKE THEM MORE ACCESSIBLE TO THE PUBLIC;
IMPROVED PUBLIC RELATIONS AND CUSTOMER SERVICE; AND TOOK STEPS TO
REDUCE SERIOUS CASE BACKLOGS, WITH SOME COURTS ACHIEVING ANNUAL BACKLOG
REDUCTIONS OF ONE-THIRD TO NEARLY ONE-HALF. THE BIH MINISTRY OF
JUSTICE, A NEW AND FLEDGLING MINISTRY AT THE BEGINNING OF JSDP,
DEVELOPED OVER THE COURSE OF THE PROJECT INTO THE LARGEST STATE
MINISTRY AND ONE THAT IS MUCH MORE CAPABLE OF CARRYING OUT ITS MANDATE.
EWMI ALSO HELPED ENTITY AND CANTONAL MINISTRIES DEVELOP THE FIRST
PUBLIC LEGAL AID INSTITUTES, WHICH PROVIDE FREE LEGAL REPRESENTATION TO
INDIGENT CRIMINAL DEFENDANTS.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

BOSNIA JSDP II

EXPENSES \$ 404059. INCLUDING GRANTS OF \$ 66976. REVENUE \$ 0.

PARTNERS FOR FINANCIAL STABILITY PROGRAM (PFS)

EXPENSES \$ 890099. INCLUDING GRANTS OF \$ 210255. REVENUE \$ 0.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2009

932211
02-03-10

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
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OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number
13-3586432

CAMBODIA HUMAN RIGHTS (PRAJ)

EXPENSES \$ -54330. INCLUDING GRANTS OF \$ -107706. REVENUE \$ 0.

SAFEGUARDING CAMBODIANS' LAND RIGHTS

EXPENSES \$ 166651. INCLUDING GRANTS OF \$ 15726. REVENUE \$ 0.

JAFFNA LEGAL AID PROJECT

EXPENSES \$ 98420. INCLUDING GRANTS OF \$ 82418. REVENUE \$ 0.

KOSOVO MUNICIPAL COUNCILS ON SAFETY IN COMMUNITIES

EXPENSES \$ 244595. INCLUDING GRANTS OF \$ 91305. REVENUE \$ 0.

EGYPT ADMINISTRATION OF CRIMINAL JUSTICE

EXPENSES \$ 72754. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

KOSOVO SEAD - CHECCHI

EXPENSES \$ 24160. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

ENHANCING THE RESETTLEMENT LEGAL FRAMEWORK IN CAMBODIA

EXPENSES \$ 24805. INCLUDING GRANTS OF \$ 0. REVENUE \$ 24045.

ROMANIA COURT CLERK TRAINING PROJECT

EXPENSES \$ 236080. INCLUDING GRANTS OF \$ 0. REVENUE \$ 290161.

SURINAME ETG

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.
932211
02-03-10

Schedule O (Form 990) 2009

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

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OMB No 1545-0047

2009
Open to Public
Inspection

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number
13-3586432

EXPENSES \$ 6745. INCLUDING GRANTS OF \$ 0. REVENUE \$ 8100.

SAFER ACCESS TO ARABLE LANDS BY SAFEGUARDING LAND TENURE THROUGH
AWARENESS ON LAND LAWS AND SUPPORT TO PROVISION OF LAND TITLES TO POOR
FARMING HOUSEHOLDS FOR THE ECONOMIC & SOCIAL RELAUNCH OF NORTHWEST
PROVINCES (ECOSORN)

EXPENSES \$ 144342. INCLUDING GRANTS OF \$ 0. REVENUE \$ 128455.

KOSOVO CONSTITUTIONAL JUSTICE INITIATIVE

EXPENSES \$ 216137. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

GEORGIA CRIMINAL JUSTICE STATISTICS PROJECT

EXPENSES \$ 53460. INCLUDING GRANTS OF \$ 0. REVENUE \$ 75931.

PPP COMESA PROGRAM

EXPENSES \$ 37600. INCLUDING GRANTS OF \$ 0. REVENUE \$ 42295.

MARTISSANT PARK PROJECT (HAITI)

PHILANTHROPICATION THRU PRIVATIZATION PROJECT

EXPENSES \$ 74467. INCLUDING GRANTS OF \$ 11500. REVENUE \$ 0.

FONDATION CONNAISSANCE ET LIBERTE

EXPENSES \$ 0. INCLUDING GRANTS OF \$ 0. REVENUE \$ -2590.

OTHER

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.
932211
02-03-10

Schedule O (Form 990) 2009

SCHEDULE O

(Form 990)

Department of the Treasury
Internal Revenue Service**Supplemental Information to Form 990**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009Open to Public
Inspection

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number

13-3586432

EXPENSES \$ 18618. INCLUDING GRANTS OF \$ 18500. REVENUE \$ 0.

FORM 990, PART VI, SECTION B, LINE 11: THE FORM 990 IS FIRST REVIEWED IN
DETAIL BY THE OFFICERS OF THE ORGANIZATION, INCLUDING THE PRESIDENT AND
EXECUTIVE VICE PRESIDENT WITH INPUT FROM THE CONTROLLER. ONCE THIS REVIEW
IS COMPLETED, THE FORM 990 IS CIRCULATED TO THE AUDIT COMMITTEE AND ALL
MEMBERS OF THE BOARD OF DIRECTORS FOR FINAL REVIEW.

FORM 990, PART VI, SECTION B, LINE 12C: AS PART OF ITS OVERALL COMPLIANCE
PROGRAM, EWMI HAS A CONFLICT OF INTEREST POLICY IN PLACE. AT THE END OF
EACH YEAR, ALL OFFICERS, DIRECTORS AND EMPLOYEES ARE ASKED TO RESPOND TO A
DETAILED COMPLIANCE QUESTIONNAIRE AND IDENTIFY ANY POTENTIAL CONFLICTS OF
INTEREST. AT THE END OF YEAR, EWMI'S OFFICERS PREPARE A COMPLIANCE REPORT
FOR THE BOARD AND A DISCLOSURE OF ANY POTENTIAL CONFLICTS OF INTEREST. IN
ADDITION, EWMI HAS OTHER POLICIES AND PROCEDURES IN PLACE WHICH ENABLES
EWMI TO ENFORCE AND MONITOR COMPLIANCE WITH ITS CONFLICT OF INTEREST
POLICY, INCLUDING A CODE OF CONDUCT, WHICH ALL EMPLOYEES AND CONSULTANTS
ARE REQUIRED TO SIGN, AND A VETTING PROCESS FOR ALL AGREEMENTS, WHICH
REQUIRES DISCLOSURE OF ANY POTENTIAL CONFLICTS OF INTEREST PRIOR TO
ENTERING INTO AN AGREEMENT. IN 2009, EWMI'S CONFLICT OF INTEREST AND GIFT
POLICY WAS REVIEWED AND REVISED BY THE BOARD OF DIRECTORS, AND AN UPDATED
POLICY WAS APPROVED AS OF MAY 6, 2009. IN ADDITION, ALL EWMI DIRECTORS AND
OFFICERS COMPLETED A CONFLICT OF INTEREST POLICY AFFIRMATION IN 2009 WHICH
REQUIRED DISCLOSURE OF ANY POSSIBLE CONFLICTS OF INTEREST. EWMI'S EFFORTS
TO ENFORCE AND MONITOR COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY WERE
FURTHER STRENGTHENED IN 2009 WITH THE ESTABLISHMENT OF AN AUDIT COMMITTEE.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009
Open to Public
Inspection

Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number
13-3586432

FORM 990, PART VI, SECTION B, LINE 15: AT THE REQUEST OF THE CHAIRMAN OF THE EWMI BOARD, EWMI REVIEWED SEVERAL SALARY SURVEYS IN 2008 IN ORDER TO ENSURE THAT EWMI'S SALARIES ARE WITHIN COMPARABLE RANGES WITH THOSE OF OTHER NOT-FOR-PROFITS. BASED UPON THE REVIEW WE FOUND THAT OVERALL EWMI'S SALARIES ARE REASONABLY WITHIN THE PARAMETERS IDENTIFIED IN THE SURVEYS. THE RESULTS OF THIS REVIEW WERE PRESENTED TO THE EWMI BOARD, WHICH IS RESPONSIBLE FOR APPROVING SALARIES FOR OFFICERS AND KEY EMPLOYEES.

FORM 990, PART VI, SECTION C, LINE 19: EWMI'S GOVERNING DOCUMENTS ARE MADE PUBLICLY AVAILABLE IN THE FOLLOWING WAYS:

(I) GOVERNING DOCUMENTS ARE AVAILABLE UPON REQUEST;

(II) CONFLICT OF INTEREST POLICY IS AVAILABLE UPON REQUEST AND IS POSTED ON EWMI'S WEB SITE;

(III) EWMI'S FINANCIAL STATEMENTS ARE AVAILABLE UPON REQUEST AND ARE POSTED ON EWMI'S WEB SITE. THE FINANCIAL STATEMENTS ARE ALSO SUMMARIZED IN EWMI'S ANNUAL REPORTS, WHICH ARE POSTED ON EWMI'S WEB SITE.

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: ROMAN HEWRYK

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

BROTHER OF ADRIAN HEWRYK, PRESIDENT

(C) AMOUNT OF TRANSACTION \$ 124750.

(D) DESCRIPTION OF TRANSACTION: ROMAN IS A SALARIED EMPLOYEE OF THE ORGANIZATION

(E) SHARING OF ORGANIZATION REVENUES? = NO

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.
932211
02-03-10

Schedule O (Form 990) 2009

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

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Name of the organization

EAST-WEST MANAGEMENT INSTITUTE, INC.

Employer identification number

13-3586432

FORM 990, PART I, LINE 6

NUMBER OF VOLUNTEERS

FROM TIME TO TIME, EWMI ENGAGES PRO BONO EXPERTS TO PROVIDE TRAINING
AND ASSISTANCE TO OUR PARTNERS ABROAD ON A PART-TIME BASIS. IN 2009
EXPERTS PROVIDED PRO BONO ASSISTANCE AND TRAINING TO BENEFICIARIES OF
THE PARTNERS FOR FINANCIAL STABILITY PROGRAM, AND JUSTICE SECTOR
DEVELOPMENT PROJECT.

Depreciation and Amortization Detail FORM 990 PAGE 10

990

[illegible]

916261
04-24-09

| | |
|------------------------------|----------------------|
| # - Current year section 179 | (D) - Asset disposed |
|------------------------------|----------------------|

58.1

14341108 759915 7635243

2009.04040 EAST-WEST MANAGEMENT INSTIT 76352431

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

| | | |
|---|---|--------------------------------|
| Type or print File by the due date for filing your return. See instructions | Name of Exempt Organization | Employer identification number |
| | EAST-WEST MANAGEMENT INSTITUTE, INC. | 13-3586432 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. 575 MADISON AVENUE, 25TH FLOOR | |
| City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10022 | | |

Check type of return to be filed(file a separate application for each return):

- | | | |
|--|---|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

ADRIAN B. HEWRYK

- The books are in the care of ► **575 MADISON AVENUE - NEW YORK, NY 10022**

Telephone No. ► **212-843-7660**FAX No. ► ☐

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 16, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ► ☒ calendar year **2009** or
 ► ☐ tax year beginning _____, and ending _____.

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | |
|---|-----------|---------------|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ |
| b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ |
| c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ N/A |

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

| | | |
|---|---|--------------------------------|
| Type or print File by the extended due date for filing the return. See instructions. | Name of Exempt Organization | Employer identification number |
| | EAST-WEST MANAGEMENT INSTITUTE, INC. | 13-3586432 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. 575 MADISON AVENUE, 25TH FLOOR | For IRS use only |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10022 | |

Check type of return to be filed (File a separate application for each return):

- ☒ Form 990
 ☐ Form 990-EZ
 ☐ Form 990-T (sec. 401(a) or 408(a) trust)
 ☐ Form 1041-A
 ☐ Form 5227
 ☐ Form 8870
☐ Form 990-BL
☐ Form 990-PF
☐ Form 990-T (trust other than above)
☐ Form 4720
☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

ADRIAN B. HEWRYK

- The books are in the care of ☒ 575 MADISON AVENUE - NEW YORK, NY 10022

Telephone No. ☒ 212-843-7660

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010.

5 For calendar year 2009, or other tax year beginning _____, and ending _____.

6 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED IN ORDER TO PREPARE AND FILE A COMPLETE AND ACCURATE RETURN.

| | | | |
|----|---|----|--------|
| 8a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | \$ |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | \$ |
| c | Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 8c | \$ N/A |

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title ☐

Date ☐

Form 8868 (Rev. 4-2009)