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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation SUSAN AND ELIHU ROSE FOUNDATION INC		A Employer identification number 13-3484181		
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 200 MADISON AVENUE 5TH FLOOR		B Telephone number (see page 10 of the instructions)		
	City or town, state, and ZIP code NEW YORK, NY 10016		C If exemption application is pending, check here <input type="checkbox"/>		
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/>		2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 18,189,875		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis.)		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
				F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	500,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	56,492	56,492		
	4 Dividends and interest from securities.	65,781	65,781		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-1,465,704			
	b Gross sales price for all assets on line 6a _____ 333,708				
	7 Capital gain net income (from Part IV , line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	159,508	159,508		
	12 Total. Add lines 1 through 11	-683,923	281,781		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	700	350	0	350
	b Accounting fees (attach schedule)	5,500	2,750	0	2,750
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	2,861	2,861		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	80,396	80,396		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	89,457	86,357	0	3,100
	25 Contributions, gifts, grants paid	2,611,403			2,611,403
	26 Total expenses and disbursements. Add lines 24 and 25	2,700,860	86,357	0	2,614,503
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-3,384,783			
	b Net investment income (if negative, enter -0-)		195,424		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	-25,274	-26,110	-26,110
	2	Savings and temporary cash investments	1,211,387	2,177,609	2,177,069
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,823,737	1,768,867	2,127,687
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans	139,860	77,427	78,578
	13	Investments—other (attach schedule)	15,506,305	11,905,474	13,832,651
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	18,656,015	15,903,267	18,189,875	
Liabilities	17	Accounts payable and accrued expenses	0	0	
	18	Grants payable	0	0	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	1,485,420	2,117,455	
	23	Total liabilities (add lines 17 through 22)	1,485,420	2,117,455	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	17,170,595	13,785,812	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	17,170,595	13,785,812	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	18,656,015	15,903,267	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	17,170,595
2	Enter amount from Part I, line 27a	-3,384,783
3	Other increases not included in line 2 (itemize) ▶ _____	
4	Add lines 1, 2, and 3	13,785,812
5	Decreases not included in line 2 (itemize) ▶ _____	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	13,785,812

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	INFORMATION AVAILABLE UPON REQUEST	P		
b	INFORMATION AVAILABLE UPON REQUEST	P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 333,708			333,708
b 0		1,799,412	-1,799,412
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			333,708
b			-1,799,412
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-1,465,704
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	510,920

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	5,291,522	4,684,035	1 129693
2007	5,332,003	32,600,216	0 163557
2006	3,347,310	30,554,435	0 109552
2005	2,261,602	28,152,863	0 080333
2004	1,916,559	24,758,693	0 07741

2	Total of line 1, column (d).	2	1 560545
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 312109
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	3,790,247
5	Multiply line 4 by line 3.	5	1,182,970
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,954
7	Add lines 5 and 6.	7	1,184,924
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18	8	2,614,503

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1aExempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
bDomestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,954
cAll other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3Add lines 1 and 2.		3	1,954
4Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,954
6Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	5,941
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	10,000
d	Backup withholding erroneously withheld	6d	
7Total credits and payments Add lines 6a through 6d.		7	15,941
8Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	13,987
11Enter the amount of line 10 to be Credited to 2010 estimated tax 13,987 Refunded		11	

Part VII-AStatements Regarding Activities			
1aDuring the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?			YesNo
bDid it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		1a1b	NoNo
cDid the foundation file Form 1120-POL for this year?		1c	No
dEnter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
eEnter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		2	No
3Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4aDid the foundation have unrelated business gross income of \$1,000 or more during the year?		4a	No
bIf "Yes," has it filed a tax return on Form 990-T for this year?		4b	
5Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		5	No
6Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes
8aEnter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) DE, NY			
bIf the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		9	No
10Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10	No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of JOHN A GACINSKI Telephone no (212) 210-6666 Located at 200 MADISON AVENUE 5TH FLOOR NY N NEW YORK NY ZIP+4 10016			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years 20____, 20____, 20____, 20____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions). c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____ 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.). 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?			
		1b		
		1c		No
		2b		
		3b		
		4a		No
		4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here. ☒

5b

No

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

6b

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes ☒ No

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIHU ROSE	PRESIDENT/SECRETARY/DIRECTOR	0	0	0
2 EAST 88TH STREET NEW YORK, NY 10128	2 0			
SUSAN W ROSE	DIRECTOR	0	0	0
2 EAST 88TH STREET NEW YORK, NY 10128	1 0			

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total

number of other employees paid over \$50,000.

☒

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Part VIII Information About Officers and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total	number of others receiving over \$50,000 for professional services.	▶
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
--	----------

1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
--	--------

1	N/A	
2		
	All other program-related investments See page 24 of the instructions	
3		

Total. Add lines 1 through 3.		
--	---	--

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,817,769
b	Average of monthly cash balances.	1b	2,030,197
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,847,966
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,847,966
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	57,719
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,790,247
6	Minimum investment return. Enter 5% of line 5.	6	189,512

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	189,512
2a	Tax on investment income for 2009 from Part VI, line 5.	2a	1,954
b	Income tax for 2009 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,954
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	187,558
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	187,558
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	187,558

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,614,503
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,614,503
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	1,954
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,612,549
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				187,558
2	Undistributed income, if any, as of the end of 2008				
a	Enter amount for 2008 only.				
b	Total for prior years 2007 , 2006 , 2005				
3	Excess distributions carryover, if any, to 2009				
a	From 2004.				725,996
b	From 2005.				890,547
c	From 2006.				1,845,766
d	From 2007.				3,745,232
e	From 2008.				5,077,456
f	Total of lines 3a through e.	12,284,997			
4	Qualifying distributions for 2009 from Part XII, line 4 ➤ \$ 2,614,503				
a	Applied to 2008, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d	Applied to 2009 distributable amount.				187,558
e	Remaining amount distributed out of corpus	2,426,945			
5	Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,711,942			
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).	725,996			
9	Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	13,985,946			
10	Analysis of line 9				
a	Excess from 2005.				890,547
b	Excess from 2006.				1,845,766
c	Excess from 2007.				3,745,232
d	Excess from 2008.				5,077,456
e	Excess from 2009.				2,426,945

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ELIHU ROSE AND SUSAN W ROSE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	2,611,403
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2009)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			





[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
*****			2010-11-11	*****
Signature of officer or trustee			Date	Title
Paid Preparer's Use Only	Preparer's Signature  KENNETH WEISSENBERG		Date	Check if self-employed  Preparer's identifying number (see Signature on page 30 of the instructions)
	Firm's name (or yours if self-employed), address, and ZIP code 		EIN 	
	750 THIRD AVENUE NEW YORK, NY 100172703		Phone no (212) 891-4070	

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div>	<div>OMB No 1545-0047</div>
		<div>2009</div>

<div>Name of organization</div> <div>SUSAN AND ELIHU ROSE FOUNDATION INC</div>	<div>Employer identification number</div> <div>13-3484181</div>
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule—

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization SUSAN AND ELIHU ROSE FOUNDATION INC	Employer identification number 13-3484181
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Part I **Contributors** (see Instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>1</u>	ELIHU ROSE 2 EAST 88TH STREET NEW YORK, NY 10128 	\$ 250,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>2</u>	SUSAN W ROSE 2 EAST 88TH STREET NEW YORK, NY 10128 	\$ 250,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u> </u>	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u> </u>	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u> </u>	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u> </u>	 	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization SUSAN AND ELIHU ROSE FOUNDATION INC	Employer identification number 13-3484181
---	--

Part II

Noncash Property (see Instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization SUSAN AND ELIHU ROSE FOUNDATION INC	Employer identification number 13-3484181
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

Additional Data

Software ID: 09000054

Software Version: 09-8.5


EIN: 13-3484181

Name: SUSAN AND ELIHU ROSE FOUNDATION INC

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
New York City Center130 W 56th Street NEW YORK, NY 10019	NONE	PUBLIC	CHARITABLE	2,500
Museum of the City of New York1220 Fifth Avenue New York, NY 10029	NONE	PUBLIC	CHARITABLE	10,000
Horace Mann School231 W 246th Street Riverdale, NY 10471	NONE	PUBLIC	CHARITABLE	2,000
Memorial Sloan Kettering Cancer Center1275 York Avenue New York, NY 10065	NONE	PUBLIC	CHARITABLE	500
Caramoor Center for Music & The Arts IncPO Box 816 Katonah, NY 10536	NONE	PUBLIC	CHARITABLE	1,000
The Carnegie Hall Society Inc881 Sevneth Avenue New York, NY 10019	NONE	PUBLIC	CHARITABLE	2,500
Smith CollegePO Box 340029 Boston, MA 02241	NONE	PUBLIC	CHARITABLE	77,050
New York University76 Broadway 2nd Floor New York, NY 10003	NONE	PUBLIC	CHARITABLE	500,000
American Museum of Natural HistoryCPW 79th Street New York, NY 10024	NONE	PUBLIC	CHARITABLE	3,500
Lincoln Center Theater150 W 65th Street New York, NY 10023	NONE	PUBLIC	CHARITABLE	9,700
Reading Reform Foundation333 W 57th Street 1L New York, NY 10019	NONE	PUBLIC	CHARITABLE	25,000
National Trust for the Humanities1100 Pennsylvania Avenue NW suite Washington, DC 20506	NONE	PUBLIC	CHARITABLE	10,000
The Churchill Centre & Churchill Museum200 W Madison Street Chicago, IL 60606	NONE	PUBLIC	CHARITABLE	10,000
American Composers Orchestra240 W 35th Street Suite 405 New York, NY 10001	NONE	PUBLIC	CHARITABLE	500
Climb to the Top Nat'l MS SocietyPO Box 10123 Uniondale, NY 10028	NONE	PUBLIC	CHARITABLE	250
Total ▶ 3a				2,611,403


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
The Doe Fund232 E 84th Street New York, NY 10037	NONE	PUBLIC	CHARITABLE	1,000
Mabel Mercer Foundation160 E 48th Street Suite 1P New York, NY 10017	NONE	PUBLIC	CHARITABLE	1,500
Opera Theatre of Saint LouisPO Box 191910 St Louis, MO 631197910	NONE	PUBLIC	CHARITABLE	25,000
Franklin & Eleanor Roosevelt Institute4079 Albany Post Rd Hyde Park, NY 12538	NONE	PUBLIC	CHARITABLE	25,000
National Museum American History14th Street Constitution Avenue Washington, DC 20560	NONE	PUBLIC	CHARITABLE	100,000
National Museum American History14th Street Constitution Avenue Washington, DC 20560	NONE	PUBLIC	CHARITABLE	200,000
Jumpstart505 8th Avenue Suite 602 New York, NY 10018	NONE	PUBLIC	CHARITABLE	1,250
Hospital for Special Surgery Fund Inc535 E 70th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	8,000
New York Historical Society170 CPW New York, NY 10024	NONE	PUBLIC	CHARITABLE	350
New York Historical Society170 CPW New York, NY 10024	NONE	PUBLIC	CHARITABLE	350
New York Historical Society170 CPW New York, NY 10024	NONE	PUBLIC	CHARITABLE	10,000
New York Festival of Song307 7th Avenue Suite 1601 New York, NY 10001	NONE	PUBLIC	CHARITABLE	500
Brian Trauma Foundation415 Madison Avenue 14th Floor New York, NY 10017	NONE	PUBLIC	CHARITABLE	9,200
Municipal Art Society of NY457 Madison Ave New York, NY 10022	NONE	PUBLIC	CHARITABLE	5,000
The Correctional Association of NY2090 Adam Clayton Powell Blvd Sui New York, NY 10027	NONE	PUBLIC	CHARITABLE	5,000
Total  3a				2,611,403


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Gilder Lehrman Institute of American History19 W 44th Street Suite 500 New York, NY 10036	NONE	PUBLIC	CHARITABLE	5,000
New York Historical Society170 CPW New York, NY 10024	NONE	PUBLIC	CHARITABLE	5,000
United Hospital Fund350 5th Avenue 23rd Floor New York, NY 10118	NONE	PUBLIC	CHARITABLE	10,000
The Juilliard School60 Lincoln Center Plaza New York, NY 10023	NONE	PUBLIC	CHARITABLE	1,150
Library of America14 E 60th Street New York, NY 10022	NONE	PUBLIC	CHARITABLE	375
92nd Street YM-YWHA1395 Lexington Avenue New York, NY 10128	NONE	PUBLIC	CHARITABLE	10,000
The Juilliard School60 Lincoln Center Plaza New York, NY 10023	NONE	PUBLIC	CHARITABLE	50,000
International Center of Photography 1114 Ave of Americas New York, NY 10036	NONE	PUBLIC	CHARITABLE	15,000
Central Park Conservancy14 E 60th Street New York, NY 10022	NONE	PUBLIC	CHARITABLE	3,000
The Samuel Waxman Cancer Research Foundation30 Oakley Avenue New York, NY 10050	NONE	PUBLIC	CHARITABLE	5,000
Orchestra of St Luke's330 W 42nd Street 9th Floor New York, NY 10036	NONE	PUBLIC	CHARITABLE	1,000
Manhattan School of Music120 Claremont Avenue New York, NY 10027	NONE	PUBLIC	CHARITABLE	1,000
Young Concert Artists Inc250 W 57th Street New York, NY 10107	NONE	PUBLIC	CHARITABLE	1,000
Yellow Barn Music School63 Main Street Putney, VT 05346	NONE	PUBLIC	CHARITABLE	500
Bowdoin International Music Festival 215 Highbrook Avenue Pilham, NY 10803	NONE	PUBLIC	CHARITABLE	1,000
Total ▶ 3a				2,611,403

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Special Olympics NYPO Box 13329 Albany, NY 12212	NONE	PUBLIC	CHARITABLE	250
Art Education for the Blind589 Broadway 3rd Floor New York, NY 10012	NONE	PUBLIC	CHARITABLE	2,500
The Chateauville Foundation889 Chinguapin Rd McLean, VA 22102	NONE	PUBLIC	CHARITABLE	5,000
Carnegie Hall Society881 7th Avenue New York, NY 10019	NONE	PUBLIC	CHARITABLE	6,500
Hospital for Special Surgery535 E 70th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	15,000
Garrison InstitutePO Box 532 Garrison, NY 10524	NONE	PUBLIC	CHARITABLE	5,000
The Juilliard School60 Lincoln Center Plaza New York, NY 10023	NONE	PUBLIC	CHARITABLE	130,000
Lincoln Center Theater150 W 65th Street New York, NY 10023	NONE	PUBLIC	CHARITABLE	50,000
Jewish Museum1109 5th Avenue New York, NY 10128	NONE	PUBLIC	CHARITABLE	10,000
International Center of Photography 1114 Avenue of Americas New York, NY 10036	NONE	PUBLIC	CHARITABLE	25,000
American Jewish Committee165 E 56th Street New York, NY 10022	NONE	PUBLIC	CHARITABLE	2,000
Carnegie Hall881 Seventh Avenue New York, NY 10019	NONE	PUBLIC	CHARITABLE	100,000
Mory's Foundation Inc306 York Street New Haven, CT 06511	NONE	PUBLIC	CHARITABLE	1,000
Lincoln Center for the Performing Arts Inc150 W 65th Street New York, NY 10023	NONE	PUBLIC	CHARITABLE	25,000
Jewish Institute for National Security Affairs1779 Massachusetts Avenue NW Suite Washington, DC 20036	NONE	PUBLIC	CHARITABLE	1,000
Total  3a				2,611,403


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Century Association Archives Foundation7 W 43rd Street New York, NY 10036	NONE	PUBLIC	CHARITABLE	2,500
Carnegie Hall881 Seventh Avenue New York, NY 10019	NONE	PUBLIC	CHARITABLE	50,000
The Metropolitan OperaLincoln Center New York, NY 10023	NONE	PUBLIC	CHARITABLE	10,000
Columbia University Medical Center 635 W 165th Street New York, NY 10032	NONE	PUBLIC	CHARITABLE	1,000
The Acting CompanyPO Box 898 New York, NY 10108	NONE	PUBLIC	CHARITABLE	1,000
The Playwrights Realm48 W 25th Street 10th Floor New York, NY 10010	NONE	PUBLIC	CHARITABLE	1,000
F-E-G-S315 Hudson St New York, NY 10013	NONE	PUBLIC	CHARITABLE	1,500
Alzheimer's Drug Discovery Foundation1414 Ave of Americas Suite 1502 New York, NY 10102	NONE	PUBLIC	CHARITABLE	1,000
Healthcare Leaders of New YorkPO Box 300 Hastings on Hudson New York, NY 10706	NONE	PUBLIC	CHARITABLE	1,000
New York City Center130 W 56th Street New York, NY 10019	NONE	PUBLIC	CHARITABLE	2,250
Central Synagogue123 E 55th Street New York, NY 10022	NONE	PUBLIC	CHARITABLE	14,700
The Museum of Modern Art NY11 W 53rd Street New York, NY 10019	NONE	PUBLIC	CHARITABLE	1,500
Whitney Museum of American Art945 Madison Avenue New York, NY 10131	NONE	PUBLIC	CHARITABLE	4,500
New York Philharmonic10 Lincoln Center Plaza New York, NY 10023	NONE	PUBLIC	CHARITABLE	9,412
American Israel cultural Foundation 20 E 46th Street 14 Floor New York, NY 10017	NONE	PUBLIC	CHARITABLE	1,000
Total  3a				2,611,403

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
The Juilliard School60 Lincoln Center Plaza New York, NY 10023	NONE	PUBLIC	CHARITABLE	25,000
The Carnegie Hall Society881 Seventh Avenue New York, NY 10019	NONE	PUBLIC	CHARITABLE	22,280
Jewish Opportunities Institute450 W 33rd Street 11 Floor New York, NY 10001	NONE	PUBLIC	CHARITABLE	1,500
Hopital for Special Surgery53 E 70th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	140,000
Hopital for Special Surgery53 E 70th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	2,850
Intrepid MuseumIntrepid Plaza 46th Street 12th A New York, NY 10036	NONE	PUBLIC	CHARITABLE	10,000
Mabel Mercer Foundation301 E 79th Street 30N New York, NY 10021	NONE	PUBLIC	CHARITABLE	10,000
Business Executives for National Security1717 Pennsylvania Avenue NW Suite Washington, DC 20006	NONE	PUBLIC	CHARITABLE	5,000
Seventh Regiment Armory Conservancy Inc643 Park Avenue New York, NY 10021	NONE	PUBLIC	CHARITABLE	18,266
Aaron Diamond AIDS Research Center455 First Avenue New York, NY 10016	NONE	PUBLIC	CHARITABLE	1,500
Carnegie Hill Neighbors Inc170 E 91st Street New York, NY 10128	NONE	PUBLIC	CHARITABLE	500
The New York Botanical Garden200th Street Kazimiroff blvd Bronx, NY 10458	NONE	PUBLIC	CHARITABLE	1,500
New York City Opera20 Lincoln Center New York, NY 10023	NONE	PUBLIC	CHARITABLE	1,000
National Multiple Sclerosis Society NYC Chapter733 Third Avenue 3rd Floor New York, NY 10017	NONE	PUBLIC	CHARITABLE	1,000
Human Rights First333 7th Ave 13th Floor New York, NY 10001	NONE	PUBLIC	CHARITABLE	1,000
Total 3a				2,611,403


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
East Side House Settlement337 Alexander Avenue Bronx, NY 10454	NONE	PUBLIC	CHARITABLE	1,850
Natinal Parks of New York Harbor Conservancy26 Wall Street New York, NY 10005	NONE	PUBLIC	CHARITABLE	5,000
Cambridge in America292 Madison Avenue 8th Floor New York, NY 10017	NONE	PUBLIC	CHARITABLE	25,000
Colonial Williamsburg FoundationPO Box 1776 Williamsburg, VA 23187	NONE	PUBLIC	CHARITABLE	5,000
Teacher College555 West 120th Street New York, NY 10027	NONE	PUBLIC	CHARITABLE	25,000
Harlem Educational Activities Fund 200 Madison Avenue New York, NY 10016	NONE	PUBLIC	CHARITABLE	5,000
Smith CollegePO Box 340029 Boston, MA 02241	NONE	PUBLIC	CHARITABLE	1,000
The Juilliard School60 Lincoln School New York, NY 10023	NONE	PUBLIC	CHARITABLE	550
The Morgan Library & Museum225 Madison Avenue New York, NY 10016	NONE	PUBLIC	CHARITABLE	1,000
Library of America14 E 60th Street New York, NY 10022	NONE	PUBLIC	CHARITABLE	10,000
WNET-Thirteen450 W 33rd Street New York, NY 10001	NONE	PUBLIC	CHARITABLE	50,000
Lincoln Center for the Performing Arts 150 W 65th Street New York, NY 10023	NONE	PUBLIC	CHARITABLE	50,000
New York Public Library5th Avenue 42nd Street Room 105 New York, NY 10018	NONE	PUBLIC	CHARITABLE	24,585
Hospital for Special Surgery535 E 70th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	25,000
Center for Architecture Foundation 536 LaGuardia Pl New York, NY 10012	NONE	PUBLIC	CHARITABLE	1,500
Total  3a				2,611,403


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
The Constitutional Education Foundation Inc60 Lafayette St 3rd Floor New York, NY 10013	NONE	PUBLIC	CHARITABLE	500
Montclair Kimberley Academy201 Valley Rd Montclair, NJ 07042	NONE	PUBLIC	CHARITABLE	1,000
New York-PresbyterianWeill Cornell 654 W 170th Street New York, NY 10032	NONE	PUBLIC	CHARITABLE	500
Thrd Street Music School Settlement 235 E 11th Street New York, NY 10003	NONE	PUBLIC	CHARITABLE	5,000
Central Synagogue123 E 55th Street New York, NY 10022	NONE	PUBLIC	CHARITABLE	25,000
American Jewish Historical Society15 West 16th Street New York, NY 10011	NONE	PUBLIC	CHARITABLE	25,000
Hospital for Special Surgery145 W 4th Street Suite 300 New York, NY 10036	NONE	PUBLIC	CHARITABLE	700
Planned Parenthood of New York City 26 Bleecker Street New York, NY 10012	NONE	PUBLIC	CHARITABLE	1,000
The Marilyn Horne Foundation250 W 57th Street New York, NY 10107	NONE	PUBLIC	CHARITABLE	500
Hospital for Special Surgery145 W 4th Street Suite 300 New York, NY 10036	NONE	PUBLIC	CHARITABLE	10,000
Hospital for Special Surgery145 W 4th Street Suite 300 New York, NY 10036	NONE	PUBLIC	CHARITABLE	25,000
Yale Alumni FundPO Box 803 New Haven, CT 06503	NONE	PUBLIC	CHARITABLE	2,000
Library of America14 E 60th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	525
New York Society Library53 E 79th Street New York, NY 10075	NONE	PUBLIC	CHARITABLE	1,000
Samuel Waxman Cancer Research Foundation1249 5th Ave New York, NY 10128	NONE	PUBLIC	CHARITABLE	5,000
Total ▶ 3a				2,611,403


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Bard CollegePO Box 5000 Annandale on Hudson,NY 12504	NONE	PUBLIC	CHARITABLE	1,000
New York Chapter Arthritis Foundation122 E 42nd Street 18th Floor New York,NY 10168	NONE	PUBLIC	CHARITABLE	1,000
Carnegie Hall881 Seventh Avenue New York,NY 10019	NONE	PUBLIC	CHARITABLE	20,000
New York Historical Society170 CPW New York,NY 10024	NONE	PUBLIC	CHARITABLE	3,000
Juilliard School60 Lincoln Center Plaza New York,NY 10023	NONE	PUBLIC	CHARITABLE	750
Martina Arroyo Foundation IncPO Box 2015 Radio City Station,NY 10101	NONE	PUBLIC	CHARITABLE	500
Preservation League of New York State44 Central Avenue Albany,NY 12206	NONE	PUBLIC	CHARITABLE	500
Classical Action165 W 46th Street Suite 1310 New York,NY 10036	NONE	PUBLIC	CHARITABLE	250
Actors Fund729 7th Avenue 10 New York,NY 10019	NONE	PUBLIC	CHARITABLE	1,000
Brain Trauma Foundation708 Third Avenue New York,NY 10017	NONE	PUBLIC	CHARITABLE	25,000
Visiting Nurse Service of NY1675 Broadway 18th Floor New York,NY 10019	NONE	PUBLIC	CHARITABLE	500
Concert Artists Guild850 Seventh Avenue Suite 1205 New York,NY 10019	NONE	PUBLIC	CHARITABLE	1,000
Museum of Arts & Design2 Columbus Circle New York,NY 10019	NONE	PUBLIC	CHARITABLE	5,000
American Friends of the Israel Philharmonic Orches122 E 42nd Street Suite 4507 New York,NY 10168	NONE	PUBLIC	CHARITABLE	5,000
Seventh Regiment Armory Conservancy Inc643 Park Avenue New York,NY 10021	NONE	PUBLIC	CHARITABLE	25,000
Total  3a				2,611,403

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Dobbs Ferry School Foundation505 Broadway Dobbs Ferry, NY 10522	NONE	PUBLIC	CHARITABLE	10,000
Hospital for Special Surgery535 E 70th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	25,000
American Austrian Foundation co Unicredit IHVB Gr150 E 42nd Street 32 Floor Room 32 New York, NY 10017	NONE	PUBLIC	CHARITABLE	1,000
Park Avenue Armory643 Park Avenue New York, NY 10065	NONE	PUBLIC	CHARITABLE	5,000
Hospital of Special Surgery535 E 70th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	4,000
Council Foreign Relations58 E 68th Street New York, NY 10131	NONE	PUBLIC	CHARITABLE	35,000
American Academy of Arts & Sciences136 Irving St Cambridge, MA 02138	NONE	PUBLIC	CHARITABLE	15,000
UJA -Federation130 E 59th Street New York, NY 10022	NONE	PUBLIC	CHARITABLE	105,000
Classical Recording Foundation128 Manor Lane Pelham, NY 10803	NONE	PUBLIC	CHARITABLE	500
Hospital for Special Surgery535 E 70th Street New York, NY 10021	NONE	PUBLIC	CHARITABLE	310
Save Venice Inc1104 Lexington Avenue 4C New York, NY 10075	NONE	PUBLIC	CHARITABLE	1,200
New York University70 Washington Square S New York, NY 10012	NONE	PUBLIC	CHARITABLE	1,000
American Friends of Sheba Medical CenterPO Box 1136 New York, NY 10185	NONE	PUBLIC	CHARITABLE	1,000
Century Archives Foundation7 W 43rd Street New York, NY 10036	NONE	PUBLIC	CHARITABLE	2,000
New York Philharmonic10 Lincoln Center Plaza New York, NY 10023	NONE	PUBLIC	CHARITABLE	4,600
Total  3a				2,611,403

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Marlboro School of Music Inc1616 Walnut St Suite 1600 Phila,PA 19103	NONE	PUBLIC	CHARITABLE	1,000
Museum of Arts & Design2 Columbus Circle New York,NY 10019	NONE	PUBLIC	CHARITABLE	1,000
Lauri Strauss Leukemia Foundation 130 Shore Rd 114 Port Washington,NY 11050	NONE	PUBLIC	CHARITABLE	1,000
Survivors Fund NYUBellevue Program for Survivors of T New York,NY 10016	NONE	PUBLIC	CHARITABLE	1,000
American Austrian Foundation AAFSalzbury Weill C150 E 42nd Street 32nd Floor New York,NY 10017	NONE	PUBLIC	CHARITABLE	250
Smithsonian InstitutionPO Box 37012 Washington,DC 20013	NONE	PUBLIC	CHARITABLE	1,000
Marine Corps University Foundation PO Box 122 Quantico,VA 22134	NONE	PUBLIC	CHARITABLE	1,000
Eugene O'Neill Theatre Center305 Great Neck Rd Waterford,CT 06385	NONE	PUBLIC	CHARITABLE	1,250
Lincoln Center Theater150 W 65th Street New York,NY 10023	NONE	PUBLIC	CHARITABLE	50,000
The Juilliard School60 Lincoln Center Plaza New York,NY 10023	NONE	PUBLIC	CHARITABLE	5,000
The Juilliard School60 Lincoln Center Plaza New York,NY 10023	NONE	PUBLIC	CHARITABLE	900
Caramoor Center for Music & Arts Inc 149 Girdle Ridge Road Katonah,NY 10539	NONE	PUBLIC	CHARITABLE	1,500
International Center of Photography 1114 Avenue of Americas New York,NY 10036	NONE	PUBLIC	CHARITABLE	35,000
Hospital for Special Surgery535 E 70th Street New York,NY 10021	NONE	PUBLIC	CHARITABLE CHARITABLE CHARITABLE CHARITABLE	500
Total  3a				2,611,403

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions)
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a SECURITIES LITIGATION SETTLEMENT			01	14,831	
b FARALLON CAPITAL INST PTNR			16	85,465	
c FARALLON CAPITAL INST PTNR - SEC 988 LOSS			16	-19,171	
d 20 EAST 35TH STREET			16	4,938	
e GOODHUE HOUSE CO			16	39,312	
f GOODHUE RESIDENTIAL CO			16	65	
g OTHER INCOME			16	49	
h FARALLON FCIP, LTD			16	336	
i FARALLON FCIP, LTD - SEC 988 GAIN			16	33,683	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2009 Depreciation Schedule

Name: SUSAN AND ELIHU ROSE FOUNDATION INC

EIN: 13-3484181

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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**TY 2009 Investments Corporate
Stock Schedule****Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AETNA HEALTHCARE, INC		
ANADARKO PETE CORP COM	226,060	424,456
APACHE CORP COM	136,727	206,340
ENSCO INTERNATIONAL INC	199,838	139,790
INTERNATIONAL BUS MACH COM	179,134	261,800
LOWES COS INC	165,423	145,400
METHANEX CORP COM	65,354	136,430
NEWS CORP CL A		
PIONEER NAT RES CO COM	77,735	86,706
REINSURANCE GROUP OF AMERICA	50,573	71,475
TALISMARI ENERGY INC	189,058	215,992
XEROX CORP	207,908	97,290
ACE LIMITED	34,623	40,320
BANK OF AMERICA CORP COM	68,611	90,360
HEWLETT PACKARD CO	70,096	103,020
SYMANTEC CORPORATION	81,635	89,450
VALIDUS HOLDINGS LTD	16,092	18,858

TY 2009 Investments - Land Schedule

Name: SUSAN AND ELIHU ROSE FOUNDATION INC

EIN: 13-3484181

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
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TY 2009 Investments - Other Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FARALLON CAPITAL INST PATR, LP		4,825,079	3,882,579
GOODHUE HOUSE CO.		286,736	286,736
GOODHUE RESIDENTIAL CO.		19,821	19,821
INSTITUTIONAL DIVERSIFIED			
INSTITUTIONAL INVESTMENT FUND		2,600,000	4,101,647
MILLENIUM INTL LTD.		4,000,000	5,368,030
20 EAST 35TH STREET		143,838	143,838
ISRAEL DEVELOPMENT BOND		30,000	30,000

TY 2009 Land, Etc. Schedule

Name: SUSAN AND ELIHU ROSE FOUNDATION INC

EIN: 13-3484181

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
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TY 2009 Other Expenses Schedule

Name: SUSAN AND ELIHU ROSE FOUNDATION INC

EIN: 13-3484181

Description	Revenue and Expenses per Books	Net Invest ment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	64,122	64,122		
INVESTMENT INTEREST EXPENSE	350	350		
DED RELATED TO PORTFOLIO INC	15,359	15,359		
FILING FEES	565	565		

TY 2009 Other Income Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
SECURITIES LITIGATION SETTLEMENT	14,831	14,831	
FARALLON CAPITAL INST PTNR	85,465	85,465	
FARALLON CAPITAL INST PTNR- SEC 988 GAIN	-19,171	-19,171	
20 EAST 35TH STREET	4,938	4,938	
GOODHUE HOUSE CO	39,312	39,312	
GOODHUE RESIDENTIAL CO	65	65	
OTHER INCOME	49	49	
FARALLON FCIP, LTD	336	336	
FARALLON FCIP, LTD - SEC 988 GAIN	33,683	33,683	

TY 2009 Other Liabilities Schedule

Name: SUSAN AND ELIHU ROSE FOUNDATION INC

EIN: 13-3484181

Description	Beginning of Year - Book Value	End of Year - Book Value
ARBITRAGE STRATEGIES L.P.	1,485,420	1,485,420
FARALLON FCIP, LTD	0	632,035

TY 2009 Taxes Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX	0			
FOREIGN TAXES	2,861	2,861		