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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the
IRS label.
Otherwise,
print
or type.
See Specific
Instructions.DANIEL AND JOANNA S ROSE FUND, INC.
200 MADISON AVENUE 5 FLR
NEW YORK, NY 10016

A Employer identification number

13-3484179

B Telephone number (see the instructions)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 1,693,501.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and**Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc. received (att sch)

6,250,000.

2 Ck ☐ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

3,726.

3,726.

N/A

4 Dividends and interest from securities

289.

289.

5a Gross rents
b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

33,339.

33,339.

12 Total. Add lines 1 through 11

6,287,354.

37,354.

ADMINISTRATIVE AND OPERATING EXPENSES

13 Compensation of officers, directors, trustees, etc

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule) SEE ST 2

12,344.

12,344.

b Accounting fees (attach sch) SEE ST 3

11,600.

11,600.

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule) (see instr.)

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 4

296.

296.

24 Total operating and administrative expenses. Add lines 13 through 23

24,240.

11,896.

12,344.

25 Contributions, gifts, grants paid PART XV

5,616,669.

5,616,669.

26 Total expenses and disbursements. Add lines 24 and 25

5,640,909.

11,896.

5,629,013.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

646,445.

b Net investment income (if negative, enter -0-)

25,458.

c Adjusted net income (if negative, enter -0-)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	72,867.	250,576.	250,576.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)	974,189.	1,442,925.	1,442,925.	
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	1,047,056.	1,693,501.	1,693,501.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET FUND ASSETS OR BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	<input checked="" type="checkbox"/>		
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund	1,047,056.	1,693,501.	
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	1,047,056.	1,693,501.	
	31 Total liabilities and net assets/fund balances (see the instructions)	1,047,056.	1,693,501.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,047,056.
2 Enter amount from Part I, line 27a	2	646,445.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	1,693,501.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,693,501.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a N/A				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8 </div>		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	4,409,304.	2,368,111.	1.861950
2007	3,097,392.	730,624.	4.239379
2006	1,616,113.	330,060.	4.896422
2005	1,761,816.	508,166.	3.467009
2004	1,158,762.	404,216.	2.866690

2 Total of line 1, column (d)	2	17.331450
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	3.466290
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,332,542.
5 Multiply line 4 by line 3	5	4,618,977.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	255.
7 Add lines 5 and 6	7	4,619,232.
8 Enter qualifying distributions from Part XII, line 4	8	5,629,013.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	255.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	255.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	255.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,745.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 1,745. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) DEL NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A: Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JOHN GACINSKI</u> Telephone no <u>212-210-6666</u> Located at <u>200 MADISON AVENUE, 5TH FLOOR NY, NY</u> ZIP + 4 <u>10016</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			

Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DANIEL ROSE 200 MADISON AVENUE NEW YORK, NY 10016	PRESIDENT/DI 3.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	
b Average of monthly cash balances	1b	117,405.
c Fair market value of all other assets (see instructions)	1c	1,235,430.
d Total (add lines 1a, b, and c)	1d	1,352,835.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,352,835.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	20,293.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,332,542.
6 Minimum investment return. Enter 5% of line 5	6	66,627.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	66,627.
2a Tax on investment income for 2009 from Part VI, line 5	2a	255.
b Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	255.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	66,372.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	66,372.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	66,372.

Part XIII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	5,629,013.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,629,013.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	255.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,628,758.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				66,372.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	1,138,551.			
b From 2005	1,737,182.			
c From 2006	1,600,672.			
d From 2007	3,061,877.			
e From 2008	4,292,399.			
f Total of lines 3a through e	11,830,681.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 5,629,013.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				66,372.
e Remaining amount distributed out of corpus	5,562,641.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	17,393,322.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	1,138,551.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	16,254,771.			
10 Analysis of line 9				
a Excess from 2005	1,737,182.			
b Excess from 2006	1,600,672.			
c Excess from 2007	3,061,877.			
d Excess from 2008	4,292,399.			
e Excess from 2009	5,562,641.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling: _____

☐ 4942(i)(3) or

4942(1)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

b 85% of line 2a

- c Qualifying distributions from Part XII,
line 4 for each year listed

- d**
- Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- a 'Assets' alternative test – enter:**

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

DANIEL ROSE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part VII Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE ATTACHED SCHEDULE		PUBLIC charities	EXCLUSIVELY CHARITABLE, RELIGIOUS, EDUCATIONAL, LITERARY AND SCIENTIFIC PURPOSES	5,616,669.
Total				3a 5,616,669.
<i>b Approved for future payment</i>				
Total				3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	3,726.	
4	Dividends and interest from securities			14	289.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			16	33,339.	
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				37,354.	
13	Total. Add line 12, columns (b), (d), and (e)				13	37,354.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2009

Name of the organization

DANIEL AND JOANNA S ROSE FUND, INC.

Employer identification number

13-3484179

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule –

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules –

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

DANIEL AND JOANNA S ROSE FUND, INC.

Employer identification number

13-3484179

Part III Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	DANIEL ROSE 200 MADISON AVENUE NEW YORK, NY 10016	\$ 6,250,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

DANIEL AND JOANNA S ROSE FUND, INC.

13-3484179

Part III Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Employer identification number

13-3484179

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete cols (a) through (e) and the following line entry)

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once – see instructions) ▶ \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2009

FEDERAL STATEMENTS

PAGE 1

DANIEL AND JOANNA S ROSE FUND, INC.

13-3484179

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	\$ 33,339.	\$ 33,339.	
TOTAL	\$ 33,339.	\$ 33,339.	0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES- FRANKURT KURNIT KLEIN & SELZ	\$ 12,344.			\$ 12,344.
TOTAL	\$ 12,344.	\$ 0.		\$ 12,344.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTINGS FEES- CITRIN COOPERMAN & COM	\$ 11,600.	\$ 11,600.		
TOTAL	\$ 11,600.	\$ 11,600.		\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	\$ 296.	\$ 296.		
TOTAL	\$ 296.	\$ 296.		\$ 0.

DANIEL & JOANNA S ROSE FOUNDATION
EIN 13-3484179
SUPPORTING SCHEDULES-FORM 990PF-F/Y/E 12/31/09

PAGE 2, PART II-BALANCE SHEET

	BEG OF YEAR BOOK VALUE	END OF YEAR BOOK VALUE	END OF YEAR FMV
LINE 13-INVESTMENTS-OTHERS			
GOODHUE HOUSE CO	231,975	198,230	198,230
COSMOS EDUCATION OUTREACH	680,000	1,180,000	1,180,000
20 EAST 35TH STREET	12,214	14,695	14,695
STATE OF ISRAEL BONDS	50,000	50,000	50,000
	<u>974,189</u>	<u>1,442,925</u>	<u>1,442,925</u>

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
1/6/09	Springs Community Theater 153 Main Street East Hampton, NY 11937	5.000 00
1/8/09	The Perlman Music Program 19 W 69th Street, Suite 501 New York, NY 10023	4.210 00
1/9/09	Henry Street Settlement 265 Henry Street New York, NY 10002	9.000 00
1/20/09	Police Athletic League 34 1/2 E 12th Street New York, NY 10003	1.000 00
1/20/09	Lewa Wildlife Conservancy USA P O Box 7943 Woodbridge, VA 22195	1,000 00
1/20/09	American Jewish Committee P O Box 19464 Newark, NJ 07195	250 00
1/20/09	Indiana University Foundation 550 W North St. suite 301 Indianapolis, IN 46202	100 00
1/20/09	Riverdale YM-YWHA 5625 Arlington Avenue Bronx, NY 10471	1,000 00
1/20/09	East Hampton Historical Society 101 Main Street East Hampton, NY 11937	250 00
1/20/09	Police Athletic League 34 1/2 E 12th Street New York, NY 10003	2.012 50
1/20/09	Thanks to Scandinavia 165 E 56th Street New York, NY 10022	500 00
1/20/09	Police Benevolent Association East Hampton P O Box 1242 East Hampton, NY 11937	300 00
1/20/09	Yale University New Haven CT 06520	150 00
1/20/09	Greenwich Village Society for Historic Preservation 232 E 11th Street New York, NY 10003	1.000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
1/20/09	Reading Reform Foundation 333 W 57th Street, 1-L New York, NY 10019	3,900 00
1/20/09	The Fresh Air Fund 633 Third Avenue, 14th Floor New York, NY 10017	23,750 00
1/20/09	Robert Hampton Tapp Foundation C/O Union League Club 38 E 37th Street New York, NY 10016	1,500 00
1/20/09	The Museum at Eldridge Street 12 Eldridge Street New York, NY 10002	5,000 00
1/20/09	Temple Israel 112 E 75th Street New York, NY 10021	35,000 00
1/27/09	Reading Reform Foundation 333 W 57th Street 1L New York, NY 10019	25,000 00
1/27/09	Army War College Foundation 122 Forbes Avenue Carlisle, PA 17013	50,000 00
1/27/09	Hermitage Museum Foundation 505 Park Avenue New York, NY 10022	1,000 00
1/27/09	Metropolitan Opera Young Assoc for LRR Lincoln Center New York, NY 10023	500 00
1/27/09	Morgan Library & Museum Young Fellow for LRR 225 Madison Avenue New York, NY 10016	500 00
1/27/09	The Library of America 14 E 60th Street New York, NY 10022	2,000 00
1/27/09	American Museum of Natural History CPW @ 79th Street New York, NY 10024	10,000 00
1/28/09	New York Council for the Humanities 150 Broadway, Suite 1700 New York, NY 10038	20,000 00
2/2/09	Police Athletic League, Inc 34 1/2 East 12th Street New York, NY 10003	1,000 00
2/3/09	The Perlman Music Program	5,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	19 W 69th Street, Suite 501 New York, NY 10023	
2/6/09	New York Civil Rights Coalition 424 W 33rd Street, Suite 350 New York, NY 10001	15,000 00
2/6/09	The Temple Israel of the City of New York 112 E 75th Street New York, NY 10021	1,000 00
2/6/09	Yale Universtiy 77 Propect St New Haven, CT 06520	15,000 00
2/6/09	Springs Community Theatre East Hampton, NY	2,500 00
2/10/09	Mount Sinai Crystal Ball 1190 Fifth Avenue New York, NY	2,500 00
2/10/09	New York University 194 Mercer St 4th Floor New York, NY 10012	66,000 00
2/10/09	Friends of Education 122 E 42nd Street New York, NY 10168	135,688 00
2/10/09	The City Center 130 W 56th Street New York, NY 10019	1,000 00
2/10/09	Committee For Economic Development 2000 L St. NW Suite 700 Washington, DC 20036	25,000 00
2/10/09	The Academy of Political Science 475 Riverside Dr . Suite 1274 New York, NY 10115	250 00
2/10/09	American Museum of Natural History CPW at 79th Street New York, NY 10024	10,000 00
2/10/09	Guild Hall 158 Main Street East Hampton, NY 11937	5,000 00
2/10/09	Volunteer Consulting Group 6 E 39th Street New York, NY 10016	5,000 00
2/10/09	The Global Center Alliance of Ethics & Art 575 8th Avenue, Suite 2200 New York, NY 10018	1,000 00
2/11/09	Cooper Union for the Advancement of Science and Art Gift A/C	500,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	30 Coope Square, 8th Floor New York, NY 10003	
2/10/09	The Metropolitan Opera Lincoln Center Plaza New York NY 10023	6.050 00
2/11/09	Ripe Time, Inc 138 S Oxford St #4D Brooklyn, NY 11217	5.000 00
2/20/09	The Inner Circle New York, NY	2.500.00
2/20/09	Poets & Writers 90 Broad St Suite 2100 New York, NY 10004	670 00
2/20/09	Municipal Art Society of New York 457 Madison Avenue New York, NY 10022	250 00
2/20/09	WLIW 21 P O Box 2100 New York, NY 10116-2100	100 00
2/20/09	Chamber Music Society of Lincoln Center 70 Lincoln Center Plaza New York, NY 10023	5.000 00
2/20/09	American Technion Society 55 E 59th Street New York, NY 10022	3.333 34
2/20/09	Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028	50,000 00
2/20/09	Bowery Mission 132 Madison Avenue New York, NY 10016	1.000 00
2/20/09	International Crisis Group 420 Lexington Ave , Suite 2640 New York, NY 10170	1.000 00
2/20/09	Yale University Press P O Box 209040 New Haven, CT 06520	150 00
2/20/09	Freedom House 1301 Connecticut Avenue Washington, DC 20036	10.000 00
2/20/09	Project Enterprise 144 W 125 St 4th Floor New York, NY 10027	1.000 00
2/20/09	The Metropolitan Opera	5.000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	Lincoln Center Plaza New York, NY 10023	
2/20/09	James Beard Foundation 167 W 12th Street New York, NY 10011	250 00
2/20/09	Guild Hall of East Hampton 158 Main Street East Hampton, NY 11937	1,400 00
2/23/09	Department of History of Art & Architecture, Harvard University 485 Broadway Cambridge, MA 02138	2,500 00
2/27/09	Stony Brook Foundation P O Box 1511 Stony Brook, NY 11790	50,000 00
3/5/09	NYU Wagner Grad School of Public Service 295 Lafayette St New York, NY 10012	25,000 00
3/5/09	Brookings Institution 1775 Massachusetts Avenue NW Washington, DC 20036	10,000 00
3/5/09	PEN American Center 588 Broadway Suite 303 New York, NY 10012	25,000 00
3/5/09	Police Athletic League 34 1/2 E 12th Street New York, NY 10003	1,000 00
3/5/09	King Baudouin Foundation U S 10 Rockefeller Plaza, 16th Floor New York, NY 10020	1,500 00
3/5/09	The Bridge Hampton Historical Society P O Box 977 Bridgehampton, NY 11932	100 00
3/5/09	Police Athletic League 34 1/2 E 12th Street New York, NY 10003	11,000 00
3/6/09	Barnard College 3009 Broadway New York, NY 10027	25,000 00
3/6/09	Index of Christian Art Princeton University, McCormick Hall Princeton, NJ 08544	57,633 00
3/20/09	The Osborne Association 809 Westchester Avenue Bronx, NY 10455	500 00
3/20/09	The Educational Alliance	1,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	197 E Broadway New York, NY 10002	
3/23/09	Atlas Economic Research Foundation 2000 N 14th Street, Suite 550 Arlington, VA 22201	500 00
3/23/09	The Little Orchestra Society 330 West 42nd Street, 12th Floor New York, NY 10036	1,000 00
3/24/09	The Interfaith Center of New York 475 Riverside Drive, Suite 540 New York, NY 10115	51,617 00
3/24/09	The New York Public Library 42 St & 5th Avenue, room 73 New York, NY 10018	1,400 00
3/25/09	Marsh Hawk Press P O Box 206 East Rockaway, NY 11518	10,000 00
3/25/09	PS 321 PTA 180 7th Avenue Brooklyn, NY 11215	1,000 00
3/25/09	Poets & Writers 72 Spring St, Suite 301 New York, NY 10012	1,000 00
4/1/09	Museum of the City of New York 1220 5th Avenue New York, NY 10029	50,000 00
4/1/09	The Paris Review Foundation 62 White Street, 4th floor New York, NY 10013	1,500 00
4/1/09	Jerusalem Fellowships 119 W 72nd Street, PMB224 New York NY 10023	3,600 00
4/1/09	Hope Street Group P O Box 712038 Los Angeles, CA 90071	12,708 14
4/1/09	Madison Square Park Conservancy 11 Madison Avenue, 14th Floor New York, NY 10010	6,800 00
4/13/09	Thirteen 450 W 33rd Street New York, NY 10001	1,000 00
4/13/09	Carnegie Council 170 E 64th Street New York, NY 10131	250 00
4/13/09	Citizens Union of the City of New York	1,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	299 Broadway, Suite 700 New York, NY 10007	
4/13/09	French Heritage Society 14 E 60th Street, Suite 605 New York, NY 10022	1.000 00
4/13/09	Pratt/BAP Fund 200 Willoughby Avenue Brooklyn, NY 11205	1.000 00
4/13/09	United World College of the Atlantic P O Box 286075 New York, NY 10128	2.500 00
4/13/09	Columbia University, Graduate School of Architecture Planning & Preservation 400 Avery Hall New York, NY 10027	2.500 00
4/13/09	Friends of the High Line 529 W 20th Street, 8W New York, NY 10011	5,000 00
4/13/09	East Hampton Meals on Wheels 33 Newtown Lane, Suite 205 East Hampton, NY 11937	500 00
4/13/09	The New York Botanical Garden 200th Street & Kazimiroff Blvd Bronx, NY 10458	5 000 00
4/13/09	Manhattan Theatre Club 311 W 43rd Street, 8th Floor New York, NY 10036	1.200 00
4/13/09	City Futures, Inc 120 Wall St 20th Floor New York, NY 10005	1,500 00
4/13/09	The Fund for Park Avenue 300 Park Avenue, Suite 1700 New York, NY 10022	1.000 00
4/13/09	Guild Hall of East Hampton 158 Main Street East Hampton, NY 11937	4.300 00
4/13/09	The Public Theater 425 Lafayette St New York, NY 10003	4.610 00
4/13/09	The Chateaufville Foundation 889 Chinquapin Rd McLean, VA 22102	1.000 00
4/13/09	American Academy of Arts & Letters 633 W 155 Street New York, NY 10032	1,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
4/13/09	Polytechnic Institute of NYU 6 Metro Tech Center Brooklyn, NY 11201	21.000 00
4/13/09	Neue Galerie 1048 5th Avenue New York, NY 10028	1.000 00
4/13/09	Whitney Museum of American Art 945 Madison Avenue New York, NY 10131	2.250 00
4/13/09	American Friends of the Jewish Museum of Greece P O Box 2010 New York, NY 10185	250 00
4/13/09	Halcyon Foundation 100 Park Avenue, 20th Floor New York, NY 10017	250 00
4/13/09	Hillel P O Box 92994 Washington, DC 20090	180 00
4/13/09	St George's Society of NY 216 E 45th Street, suite 901 New York, NY 10017	500 00
4/13/09	Second Stage Theatre 307 W 43rd Street New York, NY 10109	100 00
4/13/09	Hudson Institute, Hudson NY Briefing Council 666 Third Avenue, 29th Floor New York, NY 10017	16,400 00
4/13/09	Friends of Education 122 E 42nd Street New York, NY 10168	135.688 00
4/16/09	Manhattan Institute 52 Vanderbilt Avenue New York, Ny 10017	1.570 00
4/16/09	Columbia Univ . School of General Studies 400 Avery Hall New York, NY 10027	200 00
4/16/09	New York Botanical Garden 200th Street & Kazimiroff Blvd Bronx, NY 10458	470 00
4/17/09	Bryn Mawr College 101 N Merion Avenue Bryn Mawr, PA 19010	100.000 00
4/17/09	National Dance Institute 1123 Broadway, Suite 707 New York, NY 10010	5.700 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
4/20/09	Theatre for a New Audience 154 Christopher St #3D New York, NY 10014	2,540 00
4/20/09	Greenwich Village Society for Historic Preservation 232 E 11th Street New York, NY 10003	250 00
4/20/09	Forum for Urban Design 195 Chrystie St. #301-6 New York, NY 10002	1,500 00
4/21/09	Temple Israel of the City of New York 112 E 75th Street New York, NY 10021	500 00
4/21/09	Manhattan Theater Club 311 W 43rd Street, 8th Floor New York, NY 10109	180 00
4/23/09	Central Park Conservancy 14 E 60th Street New York, NY 10022	500 00
4/23/09	FC Harlem 476 W 145 Street, 2nd Floor New York, NY 10031	14,558 00
4/23/09	Grand Street Settlement 80 Pitt Street New York, NY 10002	880 00
4/30/09	JCC Association 520 8th Avenue New York, NY 10018	25,000 00
4/30/09	American Friends of St Hilda's 111 Huntington Boston MA 02116	20,000 00
4/30/09	The Actors Fund 729 Seventh Avenue, 10th Floor New York, NY 10019	1,000 00
4/30/09	Believe High Schools Foundation 424 Leonard St 4th Floor Brooklyn, NY 11222	8,700 00
4/30/09	Lavalina Productions, Inc 1800 K Street NW, Suite 400 Washington DC 20006	1 000 00
4/30/09	Demos 220 5th Avenue, 5th Floor New York, NY 10001	1,000 00
4/30/09	Nat'l Committee on American Foreign Policy 320 Park Avenue New York, NY 10022	1,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
4/30/09	Friends of the Jewish Chapel 326 First St., Suite 22 Annapolis MD 21403	1,000 00
4/30/09	Norman B Leventhal Map Center at the Boston Public Library 700 Boylston St Boston, MA 02116	1,000 00
4/30/09	National Humanities Center 7 Alexander Dr Research Triangle Park, NC 27709	1,000 00
4/30/09	The American Ditchley Foundation 445 Park Avenue, 9th Floor New York, NY 10022	1,000 00
4/30/09	American Friends of the Louvre 60 5th Avenue New York, NY 10011	1,000 00
5/1/09	The New York Botanical Garden 200th Street & Kazimiroff Blvd Bronx, NY 10458	5,000 00
5/4/09	Springs Theater Company East Hampton, NY	5,000 00
5/5/09	Riverdale YM-YWHA 5625 Arlington Avenue Bronx, NY 10471	18,000 00
5/5/09	The New York Society Library 53 E 79th Street New York, NY 10075	500 00
5/5/09	Academy of American Poets 584 Broadway, Suite 604 New York, NY 10012	250 00
5/5/09	Lincoln Center for the Performing Arts 70 Lincoln Center Plaza, 9th Floor New York, NY 10023	2,000 00
5/5/09	The Trinity Forum P O Box 9464 McLean, VA 22102	250 00
5/5/09	The Acting Company P O Box 898 New York, NY 10108	2,500 00
5/5/09	Asian Programs Foundation c/o Hart Fessenden 1158 5th Avenue New York, NY 10029	1,000 00
5/5/09	Yale Hillel P O Box 209077 New Haven, CT 06520	500 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
5/6/09	Madison Square Park Conservancy One Madison Avenue, 6th Floor New York, NY 10010	10,000 00
5/6/09	Paper Bag Players 50 Riverside Drive New York, NY 10024	2,500 00
5/6/09	The Little Orchestra Society 330 W 42nd Street, 12th Floor New York, NY 10036	25,000 00
5/6/09	Harlem Education Activities Fund, Inc 200 Madison Avenue New York, NY 10016	50,000 00
5/7/09	National Dance Institute 1123 Broadway, suite 707 New York, NY 10010	8,500 00
5/8/09	Harlem Education Activities Fund, Inc 200 Madison Avenue New York, NY 10016	150,000 00
5/14/09	Lincoln Center for the Performing Arts 70 Lincoln Center Plaza New York, NY 10023	20,500 00
5/28/09	Progressive Policy Institute c/o Berger Hirschberg Strategies 1010 Vermont Avenue, NW Washington, DC 20005	25,000 00
5/28/09	Forum for Urban Design 195 Chrystie Street, Suite 301-6 New York, NY 10002	3,000 00
5/28/09	The Jewish Center of the Hamptons 44 Woods Lane East Hampton, NY 11937	995 00
5/28/09	World Monuments Fund 95 Madison Avenue New York, NY 10016	100 00
6/3/09	United Nations Association of USA 801 Second Avenue New York, NY 10017	10,000 00
6/3/09	Pro Musicis Foundation 140 W 79th Street, Suite 9F New York, NY 10024	1,000 00
6/3/09	Theatre for a New Audience 154 Christopher St , Suite 3D New York, NY 10014	11,000 00
6/3/09	RAND Corporation 1776 Main Street Santa Monica, CA 90407	1,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
6/3/09	Friends of Lincoln Center 70 Lincoln Center Plaza New York, NY 10023	60 00
6/3/09	Joseph Shifka Center for Jewish Life at Yale P O Box 2038 New Haven, CT 06521	55.000 00
6/3/09	Police Athletic League 34 1/2 E 12th Street New York, NY 10003	2.625 00
6/3/09	People & Stories/Gente y Cuentos 140 East Hanover St Tretton, NJ 08608	25.000 00
6/4/09	Roundabout Theatre Company 213 W 39th Street New York, NY 10018	1.000 00
6/4/09	Columbia University-The Friends of the Heyman Center 2960 Broadway New York, NY 10027	800 00
6/4/09	Central Park Conservancy 14 E 60th Street New York, NY 10021	25.000 00
6/8/09	Har Sinai Temple Princeton, NJ	5.000 00
6/8/09	Har Sinai Temple Princeton, NJ	5,000 00
6/10/09	Singularity University NASA Ames Research Center Mail Stop 566-120 Moffett Field CA 94035	100.000 00
6/10/09	Guild Hall of East Hampton 158 Main Street East Hampton, NY 11937	13,400 00
6/10/09	American Jewish Committee P O Box 19464 Newark, NJ 07195	1.000 00
6/10/09	The Jewish Museum 1109 5th Avenue New York, NY 10128	2.500 00
6/16/09	The Rickel Foundation 75 Rockefeller Plaza, 19th Floor New York, NY 10019	1,000 00
6/16/09	Police Athletic League, Inc 34 1/2 E 12th Street New York, NY 10003	100.000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
6/25/09	Graduate Center Foundation 365 5th Avenue New York, NY 10016	10.000 00
6/25/09	The Posse Foundation 14 Wall Street, Suite 8a-60 New York, NY 10005	250 00
6/25/09	New York Institute for the Humanities 20 Cooper Square 5th Floor New York, NY 10003	1 812 50
6/25/09	The Perlman Music Program 19 W 69th Street, Suite 304 New York, NY 10023	10.000 00
6/27/09	Friends of Education 122E 42nd Street New York, NY 10168	90.000 00
6/27/09	Urban Land Institute 1025 Thomas Jefferson St NW, Suite 500 West Washington, DC 20007	240.000 00
6/29/09	Yale University 77 Prospect St New Haven, CT	50.000 00
6/30/09	Bay Street Theatre P O Box 810 Sag Harbor, NY 11963	2,800 00
6/30/09	East Hampton Historical Society 101 Main Street East Hampton, NY 11937	850 00
7/6/09	Forum for Urban Design 195 Chrystie St, Suite 301-6 New York, NY 10002	17.426 52
7/14/09	Peconic Land Trust P O Box 1776 Southampton, NY 11969	450 00
7/14/09	The New York Stem Cell Foundation 1995 Broadway, Suite 1201 New York NY 10023	1 000 00
7/14/09	Samuel Waxman Cancer Research Foundation 1249 5th Avenue New York NY 10029	2 500 00
7/14/09	Peconic Baykeeper 10 Old Country Rd Quogue, NY 11959	300 00
7/14/09	East West Institute	2.500 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	700 Boradway New York, NY 10003	
7/14/09	The Academy of American Poets 584 Broadway, Suite 604 New York, NY 10012	100 00
7/14/09	Museum of Arts & Design 2 Columbus Circle New York, NY 10019	2.000 00
7/14/09	Leo Baeck Institute 15 W 16th Street New York, NY 10011	7,774 00
7/14/09	Project Most P O Box 1486 East Hampton, NY 11937	500 00
7/14/09	American Folk Art Museum 49 E 52nd Street New York, NY 10022	2.500 00
7/14/09	American Cancer Society 19 W 56th Street New York, NY	500 00
7/14/09	Seeds of Peace 370 Lexington Avenue, Suite 401 New York, NY 10017	2.500 00
7/14/09	Roosevelt Institute 4079 Albany Post Rd Hyde Park, NY 12538	250 00
7/14/09	Freedom House 1301 Connecticut Avenue NW Washington, DC 20036	25.000 00
7/21/09	Urban Land Institute Foundation 1025 Thomas Jefferson St NW, Suite 500West Washington, DC 20007	1.250.000 00
7/23/09	Police Athletic League 34 1/2 E 12th Street New York, NY 10003	30.000 00
7/23/09	Asia Society 725 Park Avenue New York, NY 10021	1.500 00
7/23/09	New York Philharmonic 10 Lincoln Center Plaza New York, NY 10023	1,500 00
7/23/09	Irish Repertory Theatre Co . Inc 132 W 22nd Street New York, NY 10114	1.000 00
7/23/09	Young Women's Leadership Network	1.000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	150 E 52nd Street, 21th Floor New York, NY 10022	
7/23/09	Metropolitan Opera Lincoln Center New York, NY 10023	20.000 00
7/23/09	New York Landmarks Conservancy 1 Whitehall Street New York, NY 10004	2.500 00
7/23/09	Common Cause/ NY Education Fund 155 Avenue of Americas, Room 401 New York, NY 10213	1.000 00
7/23/09	Academy of American Poets 584 Broadway, Suite 604 New York, NY 10012	100 00
7/23/09	Thorne Ecological Institute P O Box 19107 Boulder, CO 80308	1.000 00
7/23/09	The Mucicipal Art Society 457 Madison Avenue New York, NY 10022	1.000 00
7/28/09	Foundation for a Civil Society 455 E 51 Street, 2D New York, NY 10022	1.779 00
8/10/09	East Hampton Historical Society 101 Main Street East Hampton, NY 11937	2.500 00
8/10/09	Police Athletic League 34 1/2 E 12th Street New York, NY 10003	1,000 00
8//10/09	Friends of the NewYork Transit Museum 130 Livingston St . 10th Floor Brooklyn, NY 11201	2 700 00
8/10/09	The Museum of Modern Art, NY 11 W 53rd Street New York, NY 10019	2.500 00
8/10/09	Hope Street Group P O Box 712038 Los Angeles, CA 90071	10.765 00
8/10/09	Daytop Village Foundation 54 W 40th Street New York, NY 10018	1.000 00
8/10/09	The American Academy in Berlin 14 E 60th Street, Suite 604 New York, NY 10022	5.000 00
8/10/09	Wings World Quest	1.000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	267 Fifth Avenue, 2nd Floor New York, NY 10016	
8/10/09	Heartbeats of the World Inc 880 5th Avenue New York, NY 10021	2,500 00
8/19/09	Police Athletic League, Inc 34 1/2 E 12th Street New York, NY 10003	2,000 00
8/19/09	American Friends of the Jewish Museum of Greece P O Box 2010 New York, NY 10185	500 00
8/19/09	Forum for Urban Design 50 Vanderbilt Avenue New York, NY 10017	975 48
8/26/09	Clarion Concerts in Columbia County, Inc	1,000 00
8/26/09	Independent Production Fund 200 CPS, 12th Floor New York, NY 10019	15,000 00
8/26/09	Lincoln Center for the Performing Arts, Inc 70 Lincoln Center Plaza New York, NY 10023	5,000 00
8/31/09	Manhattan Institute 52 Vanderbilt Avenue New York, NY 10017	25,000 00
9/1/09	Harlem Education Activities Fund, Inc 200 Madison Avenue New York, NY 10016	100,000 00
9/1/09	Independent Production Fund 155 W 46th Street, 2nd Floor New York, NY 10036	15,000 00
9/2/09	Bryn Mawr College 101 N Merion Avenue Bryn Mawr, PA 19010	50,000 00
9/9/09	Perlman Music Program 19 W 69th Street, Suite 501 New York, NY 10023	5,000 00
9/10/09	Manhattan Institute 52 Vanderbilt Avenue New York, NY 10017	1,000 00
9/10/09	FC Harlem P O Box 1696 New York, NY 10037	35,000 00
9/10/09	The Boston Athenaeum 10 1/2 Beacon Street Boston, MA 02108	290 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
9/10/09	Morgan Library & Museum 225 Madison Avenue New York, NY 10016	2,000 00
9/16/09	American Museum of Natural History CPW @ 79th Street New York, NY 10024	1,450 00
9/16/09	The Acting Company P O Box 898 New York, NY 10108	1,000 00
9/23/09	American Museum of Natural History CPW @ 79th Street New York, NY 10024	2,600 00
9/23/09	Diabetes Research Foundation	500 00
9/23/09	East Side House Settlement 337 Alexander Avenue Bronx, NY 10454	1,850 00
9/23/09	The Irish Repertory Theatre 132 W 22nd Street New York, NY 10011	1,000 00
9/23/09	Central Park Conservancy 14 E 60th Street New York, NY 10022	1,700 00
9/25/09	Temple Israel of the City of NY 112 E 75th Street New York, NY 10021	15,000 00
9/25/09	Jewish Center of the Hamptons 44 Woods Lane East Hampton, NY 11937	15,000 00
10/2/09	Friends of Education 122 E 42nd Street, 42nd Floor New York, NY 10168	85,000 00
10/2/09	New York Public Library 42 St & 5th Avenue, Room 73 New York, NY 10018	24,585 00
10/5/09	Library of America 14 E 60th Street New York, NY 10022	1,000 00
10/5/09	First - Israel 200 Bedford St Manchester, NH 03103	1,000 00
10/5/09	Hudson Institute 666 3rd Avenue, 29th Floor New York, NY 10017	8,200 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
10/5/09	Columbia University - Friends of Heyman Center 2960 Broadway New York, NY 10027	250 00
10/5/09	The ULI Foundation 1025 Thomas Jefferson St NW, Suite 500 West Washington, DC 20007	2,500 00
10/5/09	Civitas 1457 Lexington Avenue New York, NY 10128	250 00
10/5/09	Union for Reform Judaism P O Box 5845 Hicksville, NY 11802	550 00
10/5/09	The Fund for Park Avenue 300 Park Avenue, Suite 1700 New York, NY 10022	1,000 00
10/7/09	Seeds of Peace 370 Lexington Ave , Suite 401 New York, NY 10017	500 00
10/7/09	North Star Fund 520 8th Avenue, Suite 2203 New York, NY 10018	200 00
10/16/09	Lincoln Center for the Performing Arts 70 Lincoln Center Plaza New York, NY 10023	345 00
10/16/09	The Foundation for Ethnic Understanding 1 E 93rd Street, 1C New York, NY 10128	1,000 00
10/16/09	Lincoln Center for the Performing Arts 70 Lincoln Center Plaza New York, NY 10023	5,000 00
10/19/09	The Paul Klebnikov Fund 505 Park Avenue, 20th Floor New York, NY 10022	250 00
10/19/09	The Ladies Village Improvement Society 95 Main Street East Hampton, NY 11937	1,000 00
10/19/09	Lincoln Center Theater 150 W 65th Street New York, NY 10023	50,000 00
10/19/09	Yale University 77 Propect St New Haven, CT 06520	10,000 00
10/19/09	The Film Society of Lincoln Center	5 000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	165 W 65th Street New York, NY 10023	
10/19/09	Regional Plan Association 4 Irving Pl 7th Floor New York, NY 10003	25,000 00
10/19/09	Brooklyn Academy of Music 30 Lafayette Avenue Brooklyn, NY 11217	2,500 00
10/19/09	Independent Production Fund, Inc 200 CPS, 12th Floor New York, NY 10019	19,143 00
10/19/09	Berkeley College Fellows P O Box 209128 New Haven, CT 06520	5,000 00
10/29/09	New York Public Library 42nd St & 5th Avenue, Room 73 New York, NY 10018	270 00
10/29/09	People for the American Way 149 5th Avenue, 7th Floor New York, NY 10010	8,000 00
11/2/09	Riverdale Y 5625 Arlington Avenue Bronx, NY 10471	18,000 00
11/2/09	Riverdale Y 5625 Arlington Avenue Bronx, NY 10471	48,000 00
11/2/09	Yale Initiative for the Interdisciplinary Study of Antisemitism 77 Prospect Street New Haven, CT 06520	600 00
11/2/09	American Friends of Brothers Aid 3 Sohia St Monsey, NY 10952	10,000 00
11/2/09	CATO Institute 1000 Massachusetts Avenue NW Washington, DC 20077	500 00
11/2/09	French Heritage Society 14 E 60th Street, Suite 605 New York, NY 10022	2,000 00
11/2/09	New York Historical Society 170 CPW New York, NY 10024	5,000 00
11/2/09	Temple Israel of the City of New York 112 E 75th Street New York, NY 10021	10,000 00
11/2/09	Jewish Center of the Hampton	10,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	44 Woods Lane East Hampton, NY 11937	
11/2/09	Philanthropy Roundtable 1150 17th Street NW, Suite 503 Washington, DC 20036	1,000 00
11/4/09	Metropolitan Museum of Art 1000 5th Avenue New York, NY 10028	250 00
11/5/09	James Beard Foundation 167 W 12th Street New York, NY 10011	125 00
11/5/09	Metropolitan Museum of Art 1000 5th Avenue New York, NY 10028	500 00
11/11/09	UJA-Federation 130 E 59th Street New York, NY 10022	105,000 00
11/11/09	USO P O Box 96860 Washington, DC 20077	300 00
11/11/09	Harlem Educational Activities Fund 2090 7th Avenue, 10th Floor New York, NY 10027	62,500 00
11/16/09	Metropolitan Museum of Art 1000 5th Avenue New York, NY 10028	1,000 00
11/18/09	Royal Oak Foundation 35 W 35th Street, Suite 1200 New York, NY 10117	250 00
11/18/09	Anti-Defamation League 605 Third Avenue New York, NY 10158	10,000 00
11/18/09	Police Athletic League 34 1/2 E 12th Street New York NY 10003	250 00
11/18/09	Committee for Economic Development 2000 L Street NW, Suite 700 Washington, DC 20036	18,000 00
11/24/09	New York University 665 Broadway, 11th Floor New York, NY 10012	66,000 00
12/3/09	The James Beard Foundation 167 W 12th Street New York NY 10011	250 00
12/4/09	Police Benevolent Association	500 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
	East Hampton Village P O Box 1242 East Hampton, NY 11937	
12/4/09	Madison Square Park Conservancy 11 Madison Avenue, 14th Floor New York, NY 10010	100 00
12/4/09	Central Park Conservancy 14 E 60th Street New York, NY 10022	1,600 00
12/4/09	Museum of Chinese in America 215 Centre St New York, NY 10013	2,500 00
12/7/09	Harlem Education Activities Fund, Inc 200 Madison Avenue New York, NY 10016	200,000 00
12/8/09	Police Athletic League 34 1/2 E 12th Street New York, NY 10003	3,000 00
12/8/09	Horace Mann 231 W 246th Street Riverdale, NY 10471	25,000 00
12/8/09	Volunteer Consulting Group 6 E 39th Street New York, NY 10016	1,500 00
12/17/09	Forum for Urban Design 195 Chrystie St, Suite 301-6 New York, NY 10002	10,000 00
12/17/09	CUNY Graduate Center for Humanities 365 Fifth Avenue New York NY 10016	10 000 00
12/17/09	New York Council for Humanities 150 Broadway #1700 New York, NY 10038	10,000 00
12/17/09	Bryn Mawr College 101 N Merion Avenue Bryn Mawr, PA 19010	50,000 00
12/17/09	East Hampton Library 159 Main Street East Hampton, NY 11937	500 00
12/17/09	The Little Orchestra Society 330 W 42nd Street, 12th Floor New York, NY 10036	500 00
12/17/09	The Perlman Music Program 19 W 69th Street, Suite 304 New York NY 10023	1,000 00

DANIEL AND JOANNA S. ROSE FUND, INC.

1/1/09 - 12/31/09

Contributions

<u>DATE</u>	<u>ORGANIZATION</u>	<u>AMOUNT</u>
12/17/09	Princeton Day School P O Box 75 Princeton, NJ 08542	500 00
12/17/09	New York Civil Rights Coalition 424 W 33rd Street, Suite 350 New York, NY 10001	15.000 00
12/17/09	The Rickel Foundation 75 Rockefeller Plaza New York, NY 10019	500 00
12/17/09	The East Hampton Historical Society 101 Main Street East Hampton, NY 11937	500 00
12/17/09	The Paul Klebnikov Fund 505 Park Avenue, 20th Floor New York, NY 10022	500 00
12/17/09	New York Historical Society 170 CPW New York, NY 10024	5.000 00
12/22/09	International Center for Conciliation 18 Elba Street Brookline, MA 02446	10.000 00
12/22/09	American Trust for British Library 562 St Marks Avenue Brooklyn, NY 11216	250 00
12/22/09	Foundation for the Study of Independent Social Ideas 310 Riverside Dr #2008 New York, NY 10025	100 00
12/22/09	Friends of the High Line 529 W 20th Street, Suite 8W New York, NY 10011	150 00
12/22/09	American Agora Foundation Inc 33 Irving Place New York, NY 10003	20.000 00
12/22/09	Center for Jewish History 15 W 16th Street New York, NY 10011	250 00
12/22/09	Century Association Archives Foundation 7 W 43rd Street New York, NY 10036	2.000 00
	Refund from LI University	(25.000 00)
	Total for 2009	<u><u>5.616.668 48</u></u>

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns***Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization	Employer identification number
	DANIEL AND JOANNA S ROSE FUND, INC.	13-3484179
	Number, street, and room or suite number. If a P.O. box, see instructions	
	200 MADISON AVENUE 5 FLR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10016	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- The books are in the care of ► JOHN GACINSKI

Telephone No. ► 212-210-6666 FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above.
The extension is for the organization's return for

- ☒ calendar year 20 09 or
► ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 2,000.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 0.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 2,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	DANIEL AND JOANNA S ROSE FUND, INC.	13-3484179
	Number, street, and room or suite number. If a P.O. box, see instructions	For IRS use only
	CITRIN COOPERMAN & COMPANY, LLP 529 FIFTH AVENUE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10017-4683	

Check type of return to be filed (File a separate application for each return)

- | | | | |
|--------------------------------------|--|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990 | <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720 | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 5227 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ☒ JOHN GACINSKI
 Telephone No ☒ 212-210-6666 FAX No ☐ _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11/15, 2010
- 5 For calendar year 2009, or other tax year beginning _____, 20____, and ending _____, 20____.
- 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension PERTINENT INFORMATION NEEDED TO FILE RETURN NOT AVAILABLE

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	2,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	2,000.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instrs.	8c \$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title ☐

Date ☐