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Form **990-PF**Department of the Treasury
Internal Revenue Service (77)**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type
See Specific
Instructions

Name of foundation

THE AMPHION FOUNDATION INC A/C 005886

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

C/O BNY Mellon, N A - P O Box 185

City or town, state, and ZIP code

Pittsburgh

PA

15230-0185

A Employer identification number

13-3438528

B Telephone number (see page 10 of the instructions)

(212)922-9139

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end
of year (from Part II, col (c),
line 16) ▶ \$ 3,503,142J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)**Part I Analysis of Revenue and Expenses** (The total of
amounts in columns (b), (c), and (d) may not necessarily equal
the amounts in column (a) (see page 11 of the instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	108	108		
	4	Dividends and interest from securities	136,955	136,955		
	5 a	Gross rents				
	b	Net rental income or (loss)				
	6 a	Net gain or (loss) from sale of assets not on line 10	3,440			
	b	Gross sales price for all assets on line 6a 959,804				
	7	Capital gain net income (from Part IV, line 2)		3,440		
	8	Net short-term capital gain				
	9	Income modifications				
	10 a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	140,503	140,503		
	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	11,876			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	107,317	12,878		94,439
	24	Total operating and administrative expenses. Add lines 13 through 23	119,193	12,878		94,439
	25	Contributions, gifts, grants paid	346,050			346,050
	26	Total expenses and disbursements Add lines 24 and 25	465,243	12,878		440,489
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-324,740			
	b	Net investment income (if negative, enter -0-)		127,625		
	c	Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form 990-PF (2009)

(HTA)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	35,468	9,665	9,665
	2	Savings and temporary cash investments	674,593	33,700	33,700
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶	0	0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶	0	0	0
	8	Inventories for sale or use		0	
	9	Prepaid expenses and deferred charges		0	
	10 a	Investments—U S and state government obligations (attach schedule)	827,078	0	0
	b	Investments—corporate stock (attach schedule)	1,217,892	0	0
	c	Investments—corporate bonds (attach schedule)	531,768	0	0
Liabilities	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶	0	0	0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	0	2,918,694	3,459,777
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶	0	0	0
	15	Other assets (describe ▶)	0	0	0
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,286,799	2,962,059	3,503,142
	17	Accounts payable and accrued expenses		0	
	18	Grants payable			
	19	Deferred revenue		0	
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶)	0	0	
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds	3,286,799	2,962,059	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	3,286,799	2,962,059	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,286,799	2,962,059	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,286,799
2	Enter amount from Part I, line 27a	2	-324,740
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	2,962,059
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,962,059

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BNY MELLON SMALL CAP STK FD CL M		12/3/2008	10/15/2009
b BNY MELLON BOND FD CL M		12/29/2008	10/28/2009
c DU PONT EI DE NEMOUR 6 875% 10/15/09		6/15/2001	10/15/2009
d BNY MELLON INTL APPR FD CL M		3/30/2006	10/15/2009
e BNY MELLON S/T US GVT SECS FD CL M		12/29/2008	5/8/2009

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2	0	2	0
b 413,312	0	400,000	13,312
c 150,000	0	156,357	-6,357
d 4	0	5	-1
e 396,486	0	400,000	-3,514

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a 0	0	0	0
b 0	0	0	13,312
c 0	0	0	-6,357
d 0	0	0	-1
e 0	0	0	-3,514

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,440
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	467,787	4,536,261	0.103122
2007	368,398	3,780,209	0.097454
2006	325,590	3,333,363	0.097676
2005	221,075	2,464,800	0.089693
2004	161,048	2,331,314	0.069080

2 Total of line 1, column (d)	2	0.457025
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.091405
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,574,739
5 Multiply line 4 by line 3	5	326,749
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,276
7 Add lines 5 and 6	7	328,025
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18	8	440,489

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	1,276
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,276
4	Subline A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	1,276
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	7,862
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	7,862
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,708
11	Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> 1,276 Refunded <input type="checkbox"/>	11	5,310

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NY AG REG # 04-20-47		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes" complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► BNY Mellon, N A	Telephone no ► (212)922-9139		
Located at ► P O Box 185 Pittsburgh PA		ZIP+4 ► 15230-0185		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 _____, 20 _____, 20 _____, 20 _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 _____, 20 _____, 20 _____, 20 _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?**5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**7b** N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

☐

1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0

Total number of others receiving over \$50,000 for professional services

▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
	0
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,084,356
b	Average of monthly cash balances	1b	544,821
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,629,177
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,629,177
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	54,438
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,574,739
6	Minimum investment return. Enter 5% of line 5	6	178,737

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	178,737
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,276
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,276
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	177,461
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	177,461
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	177,461

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	440,489
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	440,489
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,276
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	439,213

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				177,461
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004	46,416			
b From 2005	108,021			
c From 2006	163,378			
d From 2007	183,050			
e From 2008	253,758			
f Total of lines 3a through e	754,623			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 440,489				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				177,461
e Remaining amount distributed out of corpus	263,028			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,017,651			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	46,416			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	971,235			
10 Analysis of line 9				
a Excess from 2005	108,021			
b Excess from 2006	163,378			
c Excess from 2007	183,050			
d Excess from 2008	253,758			
e Excess from 2009	263,028			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ELLIOTT C CARTER JR

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

- Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed

JAMES KENDRICK C/O BROWN RAYSMAN MILLSTEIN FELDER 900 THIRD AVENUE NEW YORK NY

- b The form in which applications should be submitted and information and materials they should include**

LETTER

- c Any submission deadlines**

NONE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement	NONE	T/E	OPERATIONAL	346,050
Total			▶ 3a	346,050
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1 Program service revenue						
a			0		0	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
f			0		0	0
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	108	
4 Dividends and interest from securities				14	136,955	
5 Net rental income or (loss) from real estate						
a	Debt-financed property					
b	Not debt-financed property					
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	3,440	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a			0		0	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
12 Subtotal. Add columns (b), (d), and (e)			0		140,503	0
13 Total. Add line 12, columns (b), (d), and (e)					13	140,503

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

THE AMPHION FOUNDATION INC
2009 GRANTS

<u>RECIPIENT</u>	<u>RELATIONSHIP</u>	<u>STATUS</u>	<u>PURPOSE</u>	<u>GRANT AMOUNT</u>
Counter Induction	NONE	T/E	OPERATIONAL	\$ 2,000 00
Music Explorations Society, Inc	NONE	T/E	OPERATIONAL	\$ 2,000 00
Brooklyn Philharmonic Symphon Orch	NONE	T/E	OPERATIONAL	\$ 4,000 00
League Of Composers	NONE	T/E	OPERATIONAL	\$ 2,500 00
Oral History of American Music	NONE	T/E	OPERATIONAL	\$ 9,000 00
Music Explorations Society, Inc	NONE	T/E	OPERATIONAL	\$ 10,000 00
Albany Symphony Orchestra, Inc	NONE	T/E	OPERATIONAL	\$ 10,000 00
American Composers Alliance	NONE	T/E	OPERATIONAL	\$ 1,000 00
American Composers Forum	NONE	T/E	OPERATIONAL	\$ 3,000 00
American Composers Orchestra Inc	NONE	T/E	OPERATIONAL	\$ 7,500 00
American Lyric Theater Center, Inc	NONE	T/E	OPERATIONAL	\$ 1,500 00
American Music Center, Inc	NONE	T/E	OPERATIONAL	\$ 7,500 00
American Opera Projects, inc	NONE	T/E	OPERATIONAL	\$ 5,000 00
Bargemusic, Ltd	NONE	T/E	OPERATIONAL	\$ 2,500 00
The Boston Conservatory	NONE	T/E	OPERATIONAL	\$ 2,000 00
Boston Modern Orchestra Project, Inc	NONE	T/E	OPERATIONAL	\$ 15,000 00
Boston Music Viva, Inc	NONE	T/E	OPERATIONAL	\$ 6,000 00
The Brooklyn Academy of Music, Inc	NONE	T/E	OPERATIONAL	\$ 1,500 00
Cabrillo Festival of Contemporary Music	NONE	T/E	OPERATIONAL	\$ 7,500 00
The California EAR Unit Foundation	NONE	T/E	OPERATIONAL	\$ 3,500 00
California Symphony Orchestra, Inc	NONE	T/E	OPERATIONAL	\$ 2,500 00
The Carnegie Hall Society, Inc	NONE	T/E	OPERATIONAL	\$ 5,000 00
Composer's Collaborative, Inc	NONE	T/E	OPERATIONAL	\$ 1,000 00
Concert Artists Guild, Inc	NONE	T/E	OPERATIONAL	\$ 3,000 00
Performers' Committee, Inc	NONE	T/E	OPERATIONAL	\$ 1,500 00
Copland House, Inc	NONE	T/E	OPERATIONAL	\$ 4,000.00
Del Sol Performing Arts Organization	NONE	T/E	OPERATIONAL	\$ 4,000 00
Earplay	NONE	T/E	OPERATIONAL	\$ 3,000 00
Eigth Blackbird Performing Arts Association	NONE	T/E	OPERATIONAL	\$ 6,000 00
Electronic Music Foundation, Ltd	NONE	T/E	OPERATIONAL	\$ 2,000 00
Greenwich House, Inc	NONE	T/E	OPERATIONAL	\$ 3,000 00
Gregg Smith Singers, Inc	NONE	T/E	OPERATIONAL	\$ 1,500 00
Elaine Kaufman Cultural Center	NONE	T/E	OPERATIONAL	\$ 3,000 00
Haleakala, Inc	NONE	T/E	OPERATIONAL	\$ 3,000 00
Kronos Performing Arts Association	NONE	T/E	OPERATIONAL	\$ 4,000 00
American Symphony Orchestra League	NONE	T/E	OPERATIONAL	\$ 3,000 00
League of Composers/ ISCM US SECT, INC	NONE	T/E	OPERATIONAL	\$ 3,000 00
Lincoln Center for the Performing arts	NONE	T/E	OPERATIONAL	\$ 1,500.00
Look & Listen, Inc	NONE	T/E	OPERATIONAL	\$ 3,000 00
Meet the Composer, Inc	NONE	T/E	OPERATIONAL	\$ 8,000 00
Trustees of Columbia U in the city of NY	NONE	T/E	OPERATIONAL	\$ 9,000 00
Minnesota orchestral Association	NONE	T/E	OPERATIONAL	\$ 9,000 00
Monadnock Music	NONE	T/E	OPERATIONAL	\$ 3,500 00
Monday Evening Concerts	NONE	T/E	OPERATIONAL	\$ 3,000 00
Music at the Anthology, Inc	NONE	T/E	OPERATIONAL	\$ 4,000 00
Music- Theatre Group, Inc	NONE	T/E	OPERATIONAL	\$ 3,000 00
Network for New Music, Inc	NONE	T/E	OPERATIONAL	\$ 3,000 00
New England Philharmonic, Inc	NONE	T/E	OPERATIONAL	\$ 2,500 00
New Path in music, Inc	NONE	T/E	OPERATIONAL	\$ 1,500.00
Florida Camerata, Inc	NONE	T/E	OPERATIONAL	\$ 2,000 00
Canticorum Virtuosi, Inc	NONE	T/E	OPERATIONAL	\$ 9,000 00
Exploring the Metropolis, Inc	NONE	T/E	OPERATIONAL	\$ 1,500 00
OPERA America, Inc	NONE	T/E	OPERATIONAL	\$ 3,000 00
Yale University	NONE	T/E	OPERATIONAL	\$ 6,000 00

Other Minds	NONE	T/E	OPERATIONAL	\$	6,000 00
Thé Perlman Music Program	NONE	T/E	OPERATIONAL	\$	1,500 00
The Providence Singers, Inc	NONE	T/E	OPERATIONAL	\$	1,500 00
Riverside Symphony, Inc	NONE	T/E	OPERATIONAL	\$	1,500 00
Roulette Intermedium	NONE	T/E	OPERATIONAL	\$	3,000 00
Saint Paul Chamber Orchestra Society	NONE	T/E	OPERATIONAL	\$	2,500 00
Sequitur New Music Corporation	NONE	T/E	OPERATIONAL	\$	5,000 00
Syracuse Society for New Music	NONE	T/E	OPERATIONAL	\$	5,000 00
Southwest Chamber Music Society	NONE	T/E	OPERATIONAL	\$	3,500 00
The Symphony Space , Inc	NONE	T/E	OPERATIONAL	\$	4,000 00
Walden School, Ltd	NONE	T/E	OPERATIONAL	\$	2,500 00
Warebrook Contemporary Music Festival	NONE	T/E	OPERATIONAL	\$	1,000 00
Washington SQ Contemporary Music Society	NONE	T/E	OPERATIONAL	\$	2,000 00
The Yellow Barn	NONE	T/E	OPERATIONAL	\$	2,000 00
Young Concert Artists, Inc	NONE	T/E	OPERATIONAL	\$	3,500 00
Musical Explorations Society, INC	NONE	T/E	OPERATIONAL	\$	25,000 00
New York Foudation for the ARTS	NONE	T/E	OPERATIONAL	\$	4,000.00
Da Capo Chamber Players, Inc	NONE	T/E	OPERATIONAL	\$	3,000 00
Dal Niente New Music, NPD	NONE	T/E	OPERATIONAL	\$	1,500 00
Dinosaur Annex Music Ensemble, Inc	NONE	T/E	OPERATIONAL	\$	2,000 00
Ethel Foundation for the Arts	NONE	T/E	OPERATIONAL	\$	4,000 00
Institute & Festival for Contemporary Perf	NONE	T/E	OPERATIONAL	\$	2,500 00
Red Light Contemporary Music, Inc	NONE	T/E	OPERATIONAL	\$	2,500 00
Bd of Trustees Leland Stanford Jr University	NONE	T/E	OPERATIONAL	\$	2,500 00
New York New Music Ensemble	NONE	T/E	OPERATIONAL	\$	3,000 00
New York City Opera, Inc	NONE	T/E	OPERATIONAL	\$	12,500 00
BBC Symphony Orchestra	NONE	T/E	OPERATIONAL	\$	3,050 00
Bridge Records, Inc	NONE	T/E	OPERATIONAL	\$	8,000 00
TOTAL				\$	346,050.00

TAX MARKET VALUE DETAIL REPORT FOR PFSO TO MOBIUS

ACCOUNT NO	ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VALUE	FEDERAL TAX COST
10580058860	AMPHION FOUNDAT	05569M103	BNY MELLON LARGE CAP STK FD	11,888 11	88,804 20	85,000 00
10580058860	AMPHION FOUNDAT	05569M814	BNY MELLON INTERMEDIATE BOND	138,623 75	1,768,839 15	1,755,000 00
10580058860	AMPHION FOUNDAT	05569M855	BNY MELLON EMERGING MARKETS	3,619 44	36,882 11	35,000 00
10580058860	AMPHION FOUNDAT	24422EPX1	JOHN DEERE CAPITAL 4 875%	125,000 00	129,337 50	124,416 25
10580058860	AMPHION FOUNDAT	261986632	DREYFUS DIVERSIFIED INTL-I	7,318 50	70,843 17	70,843 18
10580058860	AMPHION FOUNDAT	30231G102	EXXON MOBIL CORP	3,700 00	252,303 00	11,046 35
10580058860	AMPHION FOUNDAT	38141GAZ7	GOLDMAN SACHS GROUP 6 875%	150,000 00	159,064 50	151,002 00
10580058860	AMPHION FOUNDAT	87612EAC0	TARGET CORP 6 35%	100,000 00	105,596 00	99,993 00
10580058860	AMPHION FOUNDAT	907818108	UNION PAC CORP	4,000 00	255,600 00	6,839 60
10580058860	AMPHION FOUNDAT	9128276J6	U S TREASURY NOTE 5 75%	150,000 00	154,998 00	155,460 94
10580058860	AMPHION FOUNDAT	912828ED8	U S TREASURY NOTE 4 125%	200,000 00	204,680 00	197,898.02
10580058860	AMPHION FOUNDAT	912828EM8	U S TREASURY NT 4 5%	225,000 00	232,830 00	226,195 31
10580058860	AMPHION FOUNDAT	991061052	CRA (BNY MELLON, N A, MEMBE	33,699 68	33,699 68	33,699 68
TOTAL FOR ACCT#10580058860				3,493,477 31	2,952,394 33	
ENDING CHECKBOOK ACCOUNT				17,680 65	17,680 65	
OUTSTANDING CHECKS				8016	8016	
GRAND TOTAL				3,503,141.96	2,962,058.98	

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals					
								Gross Sales		Cost or Other Basis and Expenses		Net Gain or Loss	
								Date Sold	Gross Sales Price	Cost or Other Basis (Enter one field only)	Donated Value	Expense of Sale and Cost of Improve-ments	Depreciation
1	X	BNY MELLON SMALL CAP ST	05569M806			12/3/2008		10/15/2009	2	2			
2	X	BNY MELLON BOND FD CL M	05569M830			12/29/2008		10/28/2009	413,312	400,000			
3	X	DU PONT EIDE NEMOUR 6 87	283534BJ7			6/15/2001		10/15/2009	150,000	156,357			
4	X	BNY MELLON INTL APPR FD C	05569M566			3/30/2006		10/15/2009	4	5			
5	X	BNY MELLON SIT US GVT SEC	05569M780			12/29/2008		5/8/2009	396,486	400,000			
6													
7													
8													
9													
10													
			Amount										
Long Term CG Distributions			0										
Short Term CG Distributions			0										
					Securities		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss		
					Other sales		959,804		956,364		3,440		
							0		0		0		

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ESTIMATED EXCISE TAXES PAID	4,376			
2	PRIOR YEAR EXCISE TAXES PAID	7,500			
3					
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		107,317	12,878	0	94,439
1	Amortization See attached statement	0	0	0	0
2	BNY MELLON FEES	25,656	12,828		12,828
3	NY AG FILING FEE 04-20-47	250			250
4	FOREIGN TAXES WITHHELD	50	50		
5	SCHMIDT & STANTON, CPA, LLC	8,000			8,000
6	LAW OFFICE OF JAMES KENDRICK	63,000			63,000
7	PRINTING	1,054			1,054
8	HONORARIA - COMMITTEE	8,400			8,400
9	MISCELLANEOUS	907			907
10					

1

0			0
Benefits	Expense Account	Explanation	
NONE	NONE		
NONE	NONE		
NONE	NONE		
NONE	NONE		
NONE	NONE		
NONE	NONE		
NONE	NONE		
NONE	NONE		
NONE	NONE		
NONE	NONE		
NONE	NONE		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	3,486
2 First quarter estimated tax payment	2	1,200
3 Second quarter estimated tax payment	3	1,200
4 Third quarter estimated tax payment	4	0
5 Fourth quarter estimated tax payment	5	1,976
6 Other payments	6	
7 Total	7	7,862

Form **8868**
(Rev. April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization	Employer identification number
	THE AMPHION FOUNDATION INC A/C 005886	13-3438528
	Number, street, and room or suite no. If a P.O. box, see instructions	
	C/O BNY Mellon, N.A. - P.O. Box 185	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	Pittsburgh	PA 15230-0185

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- The books are in the care of ▶ BNY Mellon, N.A. P.O. Box 185 Pittsburgh PA 15230-0185

Telephone No ▶ (212)922-8139

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2010 to file the exempt organization return for the organization named above. The extension is for the organization's return for
▶ ☒ calendar year 2009 or
▶ ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	1,276
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	7,984
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.
(HTA)

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print <small>File by the extended due date for filing the return. See instructions.</small>	Name of Exempt Organization	Employer identification number
	THE AMPHION FOUNDATION INC A/C 005886	13-3438528
	Number, street, and room or suite no. If a P.O. box, see instructions	For IRS use only
	C/O BNY Mellon, N.A. - P.O. Box 185	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	Pittsburgh PA 15230-0185	

Check type of return to be filed (File a separate application for each return)

- | | | | |
|--------------------------------------|--|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990 | <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720 | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 5227 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ BNY Mellon, N.A. P.O. Box 185 Pittsburgh PA 15230-0185
Telephone No ☒ (212)922-8139 FAX No ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11/15/2010
- 5 For calendar year 2009, or other tax year beginning _____, and ending _____
- 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension More time is requested to acquire all information needed to complete and file an accurate return

8 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	1,276
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	7,862
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒Title ☒Date ☒Form **8868** (Rev 4-2009)