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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No. 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 2009, and ending

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

|                                                                                                              |                                                                                                                                                                                                                                                   |                                                                                                                                                  |                                                                                                                                                                                                                                   |
|--------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Use the IRS label. Otherwise, print or type. See Specific Instructions.                                      | Name of foundation<br><b>The G &amp; A Foundation, Inc.</b>                                                                                                                                                                                       |                                                                                                                                                  | A Employer identification number<br><b>13-3438542</b>                                                                                                                                                                             |
|                                                                                                              | Number and street (or P.O. box number if mail is not delivered to street address) Room/suite<br><b>C/O Muchnick Golieb &amp; Golieb, P.C. 200 Park Ave. S 1700</b>                                                                                |                                                                                                                                                  | B Telephone number (see the instructions)<br><b>(212) 315-8575</b>                                                                                                                                                                |
|                                                                                                              | City or town State ZIP code<br><b>New York NY 10003</b>                                                                                                                                                                                           |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                                                                        |
|                                                                                                              | H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |                                                                                                                                                  | D 1 Foreign organizations, check here <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>                                                        |
| I Fair market value of all assets at end of year (from Part II, column (c), line 16)<br><b>\$ 2,644,430.</b> |                                                                                                                                                                                                                                                   | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/><br>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).) |                                                                                | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| REVENUE                                                                                                                                                                 | 1 Contributions, gifts, grants, etc. received (att sch)                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 2 Ch <input checked="" type="checkbox"/> if the foundn is not req to att Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 3 Interest on savings and temporary cash investments                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 4 Dividends and interest from securities                                       | 84,555.                            | 84,555.                   |                         |                                                             |
|                                                                                                                                                                         | 5a Gross rents                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | b Net rental income or (loss)                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 6a Not gain/(loss) from sale of assets not on line 10                          | -374,613.                          |                           |                         |                                                             |
|                                                                                                                                                                         | b Gross sales price for all assets on line 6a                                  | 1,968,523.                         |                           |                         |                                                             |
|                                                                                                                                                                         | 7 Capital gain net income (from Part IV, line 2)                               |                                    | 0.                        |                         |                                                             |
|                                                                                                                                                                         | 8 Net short-term capital gain                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 9 Income modifications                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                         | 10a Gross sales less returns and allowances                                    |                                    |                           |                         |                                                             |
| b Less: Cost of goods sold                                                                                                                                              |                                                                                |                                    |                           |                         |                                                             |
| c Gross profit/(loss) (att sch)                                                                                                                                         |                                                                                |                                    |                           |                         |                                                             |
| 11 Other income (attach schedule) See Line 11 Stmt                                                                                                                      | 9,475.                                                                         | 1,822.                             |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                                        | -280,583.                                                                      | 86,377.                            |                           |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc.                                                                                                                  | 22,638.                                                                        | 11,319.                            |                           | 11,319.                 |                                                             |
| 14 Other employee salaries and wages                                                                                                                                    |                                                                                |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                                     |                                                                                |                                    |                           |                         |                                                             |
| 16a Legal fees (attach schedule) L-16a Stmt                                                                                                                             | 9,914.                                                                         | 4,957.                             |                           | 4,957.                  |                                                             |
| b Accounting fees (attach sch)                                                                                                                                          |                                                                                |                                    |                           |                         |                                                             |
| c Other prof fees (attach sch) L-16c Stmt                                                                                                                               | 18,386.                                                                        | 18,386.                            |                           |                         |                                                             |
| 17 Interest                                                                                                                                                             |                                                                                |                                    |                           |                         |                                                             |
| 18 Taxes (attach schedule) (see instr) See Line 18 Stmt                                                                                                                 | 309.                                                                           | 309.                               |                           |                         |                                                             |
| 19 Depreciation (attach sch) and depletion                                                                                                                              |                                                                                |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                                            |                                                                                |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                                                    |                                                                                |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                                            |                                                                                |                                    |                           |                         |                                                             |
| 23 Other expenses (attach schedule)                                                                                                                                     |                                                                                |                                    |                           |                         |                                                             |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                                                 | 51,247.                                                                        | 34,971.                            |                           | 16,276.                 |                                                             |
| 25 Contributions, gifts, grants paid                                                                                                                                    | 126,600.                                                                       |                                    |                           | 126,600.                |                                                             |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                                                | 177,847.                                                                       | 34,971.                            |                           | 142,876.                |                                                             |
| 27 Subtract line 26 from line 12:                                                                                                                                       |                                                                                |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                                     | -458,430.                                                                      |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                                        |                                                                                | 51,406.                            |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                                          |                                                                                |                                    |                           |                         |                                                             |

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

|                                    |                                                                                                                                        | Beginning of year |                | End of year           |  |
|------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|--|
|                                    |                                                                                                                                        | (a) Book Value    | (b) Book Value | (c) Fair Market Value |  |
| <b>ASSETS</b>                      | 1 Cash — non-interest-bearing                                                                                                          | 4,105.            | 3,270.         | 3,270.                |  |
|                                    | 2 Savings and temporary cash investments                                                                                               | 132,010.          | 54,174.        | 54,174.               |  |
|                                    | 3 Accounts receivable                                                                                                                  |                   |                |                       |  |
|                                    | Less: allowance for doubtful accounts                                                                                                  |                   |                |                       |  |
|                                    | 4 Pledges receivable                                                                                                                   |                   |                |                       |  |
|                                    | Less: allowance for doubtful accounts                                                                                                  |                   |                |                       |  |
|                                    | 5 Grants receivable                                                                                                                    |                   |                |                       |  |
|                                    | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)          |                   |                |                       |  |
|                                    | 7 Other notes and loans receivable (attach sch)                                                                                        |                   |                |                       |  |
|                                    | Less: allowance for doubtful accounts                                                                                                  |                   |                |                       |  |
|                                    | 8 Inventories for sale or use                                                                                                          |                   |                |                       |  |
|                                    | 9 Prepaid expenses and deferred charges                                                                                                |                   |                |                       |  |
|                                    | 10a Investments — U.S. and state government obligations (attach schedule) L-10a Stmt                                                   | 758,386.          | 655,696.       | 659,726.              |  |
|                                    | b Investments — corporate stock (attach schedule) L-10b Stmt                                                                           | 1,650,530.        | 1,400,925.     | 1,554,868.            |  |
|                                    | c Investments — corporate bonds (attach schedule) L-10c Stmt                                                                           | 369,100.          | 341,614.       | 372,392.              |  |
| <b>LIABILITIES</b>                 | 11 Investments — land, buildings, and equipment: basis                                                                                 |                   |                |                       |  |
|                                    | Less: accumulated depreciation (attach schedule)                                                                                       |                   |                |                       |  |
|                                    | 12 Investments — mortgage loans                                                                                                        |                   |                |                       |  |
|                                    | 13 Investments — other (attach schedule)                                                                                               |                   |                |                       |  |
|                                    | 14 Land, buildings, and equipment: basis                                                                                               |                   |                |                       |  |
|                                    | Less: accumulated depreciation (attach schedule)                                                                                       |                   |                |                       |  |
|                                    | 15 Other assets (describe)                                                                                                             |                   |                |                       |  |
|                                    | 16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)                                           | 2,914,131.        | 2,455,679.     | 2,644,430.            |  |
|                                    | 17 Accounts payable and accrued expenses                                                                                               |                   |                |                       |  |
|                                    | 18 Grants payable                                                                                                                      |                   |                |                       |  |
| <b>NET ASSETS OR FUND BALANCES</b> | 19 Deferred revenue                                                                                                                    |                   |                |                       |  |
|                                    | 20 Loans from officers, directors, trustees, & other disqualified persons                                                              |                   |                |                       |  |
|                                    | 21 Mortgages and other notes payable (attach schedule)                                                                                 |                   |                |                       |  |
|                                    | 22 Other liabilities (describe)                                                                                                        |                   |                |                       |  |
|                                    | 23 Total liabilities (add lines 17 through 22)                                                                                         |                   |                |                       |  |
|                                    | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/> |                   |                |                       |  |
|                                    | 24 Unrestricted                                                                                                                        | 2,914,131.        | 2,455,679.     |                       |  |
|                                    | 25 Temporarily restricted                                                                                                              |                   |                |                       |  |
|                                    | 26 Permanently restricted                                                                                                              |                   |                |                       |  |
|                                    | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>                         |                   |                |                       |  |
| <b>ASSETS</b>                      | 27 Capital stock, trust principal, or current funds                                                                                    |                   |                |                       |  |
|                                    | 28 Paid-in or capital surplus, or land, building, and equipment fund                                                                   |                   |                |                       |  |
|                                    | 29 Retained earnings, accumulated income, endowment, or other funds                                                                    |                   |                |                       |  |
|                                    | 30 Total net assets or fund balances (see the instructions)                                                                            | 2,914,131.        | 2,455,679.     |                       |  |
|                                    | 31 Total liabilities and net assets/fund balances (see the instructions)                                                               | 2,914,131.        | 2,455,679.     |                       |  |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                            |   |            |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,914,131. |
| 2 | Enter amount from Part I, line 27a                                                                                                                         | 2 | -458,430.  |
| 3 | Other increases not included in line 2 (itemize)                                                                                                           | 3 |            |
| 4 | Add lines 1, 2, and 3                                                                                                                                      | 4 | 2,455,701. |
| 5 | Decreases not included in line 2 (itemize) <b>Rounding Adjustment</b>                                                                                      | 5 | 22.        |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30                                                      | 6 | 2,455,679. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)

(b) How acquired  
P — Purchase  
D — Donation(c) Date acquired  
(month, day, year)(d) Date sold  
(month, day, year)

1a See Attached Schedule

P

various

various

|   |  |  |  |
|---|--|--|--|
| b |  |  |  |
| c |  |  |  |
| d |  |  |  |
| e |  |  |  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 1,968,523.          |                                            | 2,343,136.                                      | -374,613.                                    |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) Fair Market Value<br>as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any | (l) Gains (Column (h)<br>gain minus column (k), but not less<br>than -0-) or Losses (from column (h)) |
|-----------------------------------------|--------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| a                                       |                                      |                                                     | -374,613.                                                                                             |
| b                                       |                                      |                                                     |                                                                                                       |
| c                                       |                                      |                                                     |                                                                                                       |
| d                                       |                                      |                                                     |                                                                                                       |
| e                                       |                                      |                                                     |                                                                                                       |

2 Capital gain net income or (net capital loss).

If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7

2

-374,613.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0-  
in Part I, line 8

3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year<br>beginning in) | (b) Adjusted qualifying distributions | (c) Net value of<br>noncharitable-use assets | (d) Distribution ratio<br>(column (b) divided by column (c)) |
|----------------------------------------------------------------------|---------------------------------------|----------------------------------------------|--------------------------------------------------------------|
| 2008                                                                 | 153,390.                              | 2,941,016.                                   | 0.052155                                                     |
| 2007                                                                 | 146,445.                              | 3,318,702.                                   | 0.044127                                                     |
| 2006                                                                 | 139,646.                              | 3,213,218.                                   | 0.043460                                                     |
| 2005                                                                 | 141,872.                              | 3,141,844.                                   | 0.045156                                                     |
| 2004                                                                 | 173,408.                              | 3,086,497.                                   | 0.056183                                                     |

2 Total of line 1, column (d)

2

0.241081

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

0.048216

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5

4

2,416,340.

5 Multiply line 4 by line 3

5

116,506.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

514.

7 Add lines 5 and 6

7

117,020.

8 Enter qualifying distributions from Part XII, line 4

8

142,876.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 - see the instructions)

|                                                                                                                                                                                                                                    |    |      |          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------|----------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1.<br>Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.) |    | 1    | 514.     |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                       |    |      |          |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).                                                                                                       |    |      |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                        |    | 2    | 0.       |
| 3 Add lines 1 and 2                                                                                                                                                                                                                |    | 3    | 514.     |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                      |    | 4    | 0.       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                          |    | 5    | 514.     |
| 6 Credits/Payments                                                                                                                                                                                                                 |    |      |          |
| a 2009 estimated tax pmts and 2008 overpayment credited to 2009                                                                                                                                                                    | 6a | 640. |          |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                            | 6b |      |          |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                              | 6c |      |          |
| d Backup withholding erroneously withheld                                                                                                                                                                                          | 6d |      |          |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                              | 7  | 640. |          |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                | 8  |      |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                    | 9  |      |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                       | 10 | 126. |          |
| 11 Enter the amount of line 10 to be Credited to 2010 estimated tax                                                                                                                                                                | 11 | 126. | Refunded |

**Part VII A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                      | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                             |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?                                                                                                                                                                                         |     | X  |
| If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities                                                                                                                                         |     |    |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                               |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation \$ _____ (2) On foundation managers \$ _____                                                                                                                                                           |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____                                                                                                                                                                                      |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If 'Yes,' attach a detailed description of the activities                                                                                                                                                                       |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes                                                                                                         |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                      |     | X  |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                   |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If 'Yes,' attach the statement required by General Instruction T                                                                                                                                                                 |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV                                                                                                                                                                                                | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see the instructions)<br>NY - New York                                                                                                                                                                                                          |     |    |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation                                                                                                                        | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV                                                                               |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses                                                                                                                                                                                                |     | X  |

BAA

Form 990-PF (2009)

**Part VII-A. Statements Regarding Activities Continued**

|    |                                                                                                                                                                                         |    |   |   |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) | 11 |   | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                   | 12 |   | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                     | 13 | X |   |

Website address: N/A

14 The books are in care of Margaret G. Axelrod, President Telephone no. (212) 315-5575  
 Located at 200 Park Ave. South NY NY ZIP + 4 10003

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year 15

**Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1 a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?                                                                                                                                                                                                                                                                       | 1 b                                                                 | X  |
| Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                      |                                                                     |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                                                        | 1 c                                                                 | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).                                                                                                                                                                                                                                                                                                                 |                                                                     |    |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions.)                                                                                                                                                                                  | 2 b                                                                 |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>                                                                                                                                                                                                                                                                                                                                  |                                                                     |    |
| 3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) | 3 b                                                                 | X  |
| 4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                              | 4 a                                                                 | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                              | 4 b                                                                 | X  |

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address                                   | (b) Title and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--------------------------------------------------------|----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Margaret G. Axelrod<br>200 Park Ave S.<br>NY, NY 10003 | President<br>1.00                                        | 7,546.                                    | 0.                                                                    | 0.                                    |
| John A. Golieb<br>200 Park Ave S.<br>NY, NY 10003      | Secretary/Treas<br>1.00                                  | 7,546.                                    | 0.                                                                    | 0.                                    |
| Abney J. Golieb<br>200 Park Ave S.<br>NY, NY 10003     | Director<br>1.00                                         | 7,546.                                    | 0.                                                                    | 0.                                    |

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| None                                                          |                                                          |                  |                                                                       |                                       |
| 0                                                             |                                                          |                  |                                                                       |                                       |
| 0                                                             |                                                          |                  |                                                                       |                                       |
| 0                                                             |                                                          |                  |                                                                       |                                       |
| 0                                                             |                                                          |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

None

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter **NONE**.

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--------------------------------------------------------------------------|---------------------|------------------|
| <b>None</b>                                                              |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
| Total number of others receiving over \$50,000 for professional services |                     | <b>None</b>      |

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|               | Expenses |
|---------------|----------|
| 1 <b>None</b> |          |
|               | 0.       |
| 2             |          |
|               |          |
| 3             |          |
|               |          |
| 4             |          |
|               |          |

**Part IX-B** Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount      |
|-------------------------------------------------------------------------------------------------------------------|-------------|
| 1 <b>None</b>                                                                                                     |             |
|                                                                                                                   | 0.          |
| 2                                                                                                                 |             |
|                                                                                                                   |             |
| All other program-related investments. See instructions.                                                          |             |
| 3                                                                                                                 |             |
|                                                                                                                   |             |
| Total. Add lines 1 through 3                                                                                      | <b>None</b> |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|                                                                                                               |    |            |
|---------------------------------------------------------------------------------------------------------------|----|------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a Average monthly fair market value of securities                                                             | 1a | 2,331,807. |
| b Average of monthly cash balances                                                                            | 1b | 121,330.   |
| c Fair market value of all other assets (see instructions)                                                    | 1c |            |
| d Total (add lines 1a, b, and c)                                                                              | 1d | 2,453,137. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e |            |
| 2 Acquisition indebtedness applicable to line 1 assets                                                        | 2  |            |
| 3 Subtract line 2 from line 1d                                                                                | 3  | 2,453,137. |
| 4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)   | 4  | 36,797.    |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 2,416,340. |
| 6 Minimum investment return. Enter 5% of line 5                                                               | 6  | 120,817.   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|                                                                                                      |    |          |
|------------------------------------------------------------------------------------------------------|----|----------|
| 1 Minimum investment return from Part X, line 6                                                      | 1  | 120,817. |
| 2a Tax on investment income for 2009 from Part VI, line 5                                            | 2a | 514.     |
| b Income tax for 2009. (This does not include the tax from Part VI.)                                 | 2b |          |
| c Add lines 2a and 2b                                                                                | 2c | 514.     |
| 3 Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 120,303. |
| 4 Recoveries of amounts treated as qualifying distributions                                          | 4  |          |
| 5 Add lines 3 and 4                                                                                  | 5  | 120,303. |
| 6 Deduction from distributable amount (see instructions)                                             | 6  |          |
| 7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 120,303. |

**Part XII Qualifying Distributions** (see instructions)

|                                                                                                                                                        |    |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                           |    |          |
| a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26                                                                        | 1a | 142,876. |
| b Program-related investments — total from Part IX-B                                                                                                   | 1b | 0.       |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | 2  |          |
| 3 Amounts set aside for specific charitable projects that satisfy the:                                                                                 |    |          |
| a Suitability test (prior IRS approval required)                                                                                                       | 3a |          |
| b Cash distribution test (attach the required schedule)                                                                                                | 3b |          |
| 4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                           | 4  | 142,876. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5  | 514.     |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4                                                                                       | 6  | 142,362. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus  | (b)<br>Years prior to 2008 | (c)<br>2008   | (d)<br>2009     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------------------|---------------|-----------------|
| <b>1</b> Distributable amount for 2009 from Part XI, line 7                                                                                                                       |                |                            |               | <b>120,303.</b> |
| <b>2</b> Undistributed income, if any, as of the end of 2009.                                                                                                                     |                |                            |               |                 |
| <b>a</b> Enter amount for 2008 only                                                                                                                                               |                |                            | <b>2,653.</b> |                 |
| <b>b</b> Total for prior years: 20____, 20____, 20____                                                                                                                            |                |                            |               |                 |
| <b>3</b> Excess distributions carryover, if any, to 2009:                                                                                                                         |                |                            |               |                 |
| <b>a</b> From 2004                                                                                                                                                                | 0.             |                            |               |                 |
| <b>b</b> From 2005                                                                                                                                                                | 0.             |                            |               |                 |
| <b>c</b> From 2006                                                                                                                                                                | 0.             |                            |               |                 |
| <b>d</b> From 2007                                                                                                                                                                | 0.             |                            |               |                 |
| <b>e</b> From 2008                                                                                                                                                                | 0.             |                            |               |                 |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 0.             |                            |               |                 |
| <b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ <b>142,876.</b>                                                                                            |                |                            |               |                 |
| <b>a</b> Applied to 2008, but not more than line 2a                                                                                                                               |                |                            | <b>2,653.</b> |                 |
| <b>b</b> Applied to undistributed income of prior years (Election required — see instructions)                                                                                    |                |                            |               |                 |
| <b>c</b> Treated as distributions out of corpus (Election required — see instructions)                                                                                            |                |                            |               |                 |
| <b>d</b> Applied to 2009 distributable amount                                                                                                                                     |                |                            |               | <b>120,303.</b> |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | <b>19,920.</b> |                            |               |                 |
| <b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )                                        |                |                            |               |                 |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |                |                            |               |                 |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                         | <b>19,920.</b> |                            |               |                 |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |                | 0.                         |               |                 |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |                |                            |               |                 |
| <b>d</b> Subtract line 6c from line 6b Taxable amount — see instructions                                                                                                          |                | 0.                         |               |                 |
| <b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount — see instructions                                                                           |                |                            | 0.            |                 |
| <b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010                                                               |                |                            |               | 0.              |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  |                |                            |               |                 |
| <b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)                                                                              | 0.             |                            |               |                 |
| <b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a                                                                                              | <b>19,920.</b> |                            |               |                 |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |                |                            |               |                 |
| <b>a</b> Excess from 2005                                                                                                                                                         | 0.             |                            |               |                 |
| <b>b</b> Excess from 2006                                                                                                                                                         | 0.             |                            |               |                 |
| <b>c</b> Excess from 2007                                                                                                                                                         | 0.             |                            |               |                 |
| <b>d</b> Excess from 2008                                                                                                                                                         | 0.             |                            |               |                 |
| <b>e</b> Excess from 2009                                                                                                                                                         | <b>19,920.</b> |                            |               |                 |

**Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**

N/A

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a.

- c** Qualifying distributions from Part XII,  
line 4 for each year listed .

- d**
- Amounts included in line 2c not used directly for active conduct of exempt activities .

- e** Qualifying distributions made directly for active conduct of exempt activities  
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- a 'Assets' alternative test – enter:

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

**Part XV** **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

- ### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**Evelyn Paige, (deceased 11/06/99)**

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

Margaret G. Axelrod

200 Park Ave. South

New York,

NY

10003

(212) 315-5575

- b** The form in which applications should be submitted and information and materials they should include:

None

- c Any submission deadlines

No

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**No Individual Scholarships, Grants, Awards**

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager or<br>substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution           | Amount          |
|----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|-----------------|
| <b>a Paid during the year</b>                                        |                                                                                                                    |                                      |                                               |                 |
| Stanley M. Isaacs Neighborhood Center<br>New York, NY<br>New York NY |                                                                                                                    | Public<br>Charity                    | Assistance to<br>Elderly                      | 10,000.         |
| City Meals On Wheels<br>New York, NY<br>New York NY                  |                                                                                                                    | Public<br>Charity                    | Assistance to<br>Homebound                    | 12,000.         |
| Lincoln Center<br>New York, NY<br>New York NY                        |                                                                                                                    | Public<br>Charity                    | Performing Arts                               | 10,000.         |
| Central Synagogue<br>New York, NY<br>New York, NY                    |                                                                                                                    | Public<br>Charity                    | Religious                                     | 7,500.          |
| Crohn's & Colitis Foundation<br>Boston, MA<br>Boston MA              |                                                                                                                    | Public<br>Charity                    | Medical Research                              | 2,500.          |
| Auburn Theological Seminary<br>New York, NY<br>New York NY           |                                                                                                                    | Public<br>Charity                    | Inter-Faith<br>Education                      | 21,000.         |
| Duke University<br>Durham, NC<br>Durham NC                           |                                                                                                                    | Public<br>Charity                    | Scholarship Fund                              | 20,000.         |
| Lafayette College<br>Easton, PA<br>Easton PA                         |                                                                                                                    | Public<br>Charity                    | Scholarship Fund                              | 15,000.         |
| Franklin & Eleanor Roosevelt Foundation<br>Hyde Park<br>New York NY  |                                                                                                                    | Public<br>Charity                    | Promotion of history<br>and public<br>service | 2,500.          |
| See Line 3a statement                                                |                                                                                                                    |                                      |                                               | 26,100.         |
| <b>Total</b>                                                         |                                                                                                                    |                                      | <b>3a</b>                                     | <b>126,600.</b> |
| <b>b Approved for future payment</b>                                 |                                                                                                                    |                                      |                                               |                 |
| <b>Total</b>                                                         |                                                                                                                    |                                      | <b>3b</b>                                     |                 |





**Form 990-PF  
Part I, Line 6a****Net Gain or Loss From Sale of Assets****2009**

Name **The G & A Foundation, Inc.** Employer Identification Number **13-3435542**

**Asset Information:**

|                         |                                                     |                   |
|-------------------------|-----------------------------------------------------|-------------------|
| Description of Property | <u>See Attached Schedule</u>                        |                   |
| Date Acquired           | How Acquired                                        | <u>Purchased</u>  |
| Date Sold               | Name of Buyer                                       |                   |
| Sales Price             | Cost or other basis (do not reduce by depreciation) | <u>2,343,136.</u> |
| Sales Expense           | Valuation Method                                    |                   |
| Total Gain (Loss)       | Accumulation Depreciation                           | <u>-374,613.</u>  |

  

|                         |                                                     |  |
|-------------------------|-----------------------------------------------------|--|
| Description of Property | How Acquired                                        |  |
| Date Acquired           | Name of Buyer                                       |  |
| Date Sold               | Cost or other basis (do not reduce by depreciation) |  |
| Sales Price             | Valuation Method                                    |  |
| Sales Expense           | Accumulation Depreciation                           |  |
| Total Gain (Loss)       |                                                     |  |

  

|                         |                                                     |  |
|-------------------------|-----------------------------------------------------|--|
| Description of Property | How Acquired                                        |  |
| Date Acquired           | Name of Buyer                                       |  |
| Date Sold               | Cost or other basis (do not reduce by depreciation) |  |
| Sales Price             | Valuation Method                                    |  |
| Sales Expense           | Accumulation Depreciation                           |  |
| Total Gain (Loss)       |                                                     |  |

  

|                         |                                                     |  |
|-------------------------|-----------------------------------------------------|--|
| Description of Property | How Acquired                                        |  |
| Date Acquired           | Name of Buyer                                       |  |
| Date Sold               | Cost or other basis (do not reduce by depreciation) |  |
| Sales Price             | Valuation Method                                    |  |
| Sales Expense           | Accumulation Depreciation                           |  |
| Total Gain (Loss)       |                                                     |  |

  

|                         |                                                     |  |
|-------------------------|-----------------------------------------------------|--|
| Description of Property | How Acquired                                        |  |
| Date Acquired           | Name of Buyer                                       |  |
| Date Sold               | Cost or other basis (do not reduce by depreciation) |  |
| Sales Price             | Valuation Method                                    |  |
| Sales Expense           | Accumulation Depreciation                           |  |
| Total Gain (Loss)       |                                                     |  |

  

|                         |                                                     |  |
|-------------------------|-----------------------------------------------------|--|
| Description of Property | How Acquired                                        |  |
| Date Acquired           | Name of Buyer                                       |  |
| Date Sold               | Cost or other basis (do not reduce by depreciation) |  |
| Sales Price             | Valuation Method                                    |  |
| Sales Expense           | Accumulation Depreciation                           |  |
| Total Gain (Loss)       |                                                     |  |

  

|                         |                                                     |  |
|-------------------------|-----------------------------------------------------|--|
| Description of Property | How Acquired                                        |  |
| Date Acquired           | Name of Buyer                                       |  |
| Date Sold               | Cost or other basis (do not reduce by depreciation) |  |
| Sales Price             | Valuation Method                                    |  |
| Sales Expense           | Accumulation Depreciation                           |  |
| Total Gain (Loss)       |                                                     |  |

  

|                         |                                                     |  |
|-------------------------|-----------------------------------------------------|--|
| Description of Property | How Acquired                                        |  |
| Date Acquired           | Name of Buyer                                       |  |
| Date Sold               | Cost or other basis (do not reduce by depreciation) |  |
| Sales Price             | Valuation Method                                    |  |
| Sales Expense           | Accumulation Depreciation                           |  |
| Total Gain (Loss)       |                                                     |  |

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

| Other income:                     | Rev/Exp Book  | Net Inv Inc   | Adj Net Inc |
|-----------------------------------|---------------|---------------|-------------|
| <b>Class Action Settlement</b>    | <b>1,822.</b> | <b>1,822.</b> |             |
| <b>Capital Gain Distributions</b> | <b>3,132.</b> |               |             |
| <b>Federal Tax Refund</b>         | <b>4,728.</b> |               |             |
| <b>Basis Adj</b>                  | <b>-207.</b>  |               |             |
| <b>Total</b>                      | <b>9,475.</b> | <b>1,822.</b> |             |

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

| Taxes (see the instructions) | Rev/Exp Book | Net Inv Inc | Adj Net Inc | Charity Disb |
|------------------------------|--------------|-------------|-------------|--------------|
| <b>Form 990PF Excise</b>     |              |             |             |              |
| <b>NYS Filing Fees</b>       | <b>250.</b>  | <b>250.</b> |             |              |
| <b>Foreign Tax</b>           | <b>59.</b>   | <b>59.</b>  |             |              |
| <b>Total</b>                 | <b>309.</b>  | <b>309.</b> |             |              |

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

| Recipient<br>Name and address<br>(home or business) | If recipient is<br>an individual,<br>show any<br>relationship to<br>any foundation<br>manager or<br>substantial<br>contributor | Founda-<br>tion<br>status<br>of re-<br>cipient | Purpose of<br>grant or contribution | Person or<br>Business<br>Checkbox<br><br>Amount |
|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------|-------------------------------------------------|
| <b>a Paid during the year</b>                       |                                                                                                                                |                                                |                                     |                                                 |
| <b>Samuel Waxman Cancer Research Fdn</b>            |                                                                                                                                |                                                | <b>Medical Research</b>             | Person or <input type="checkbox"/>              |
| <b>New York, NY</b>                                 |                                                                                                                                | <b>Public</b>                                  |                                     | Business <input checked="" type="checkbox"/>    |
| <b>New York NY</b>                                  |                                                                                                                                | <b>Charity</b>                                 |                                     | <b>5,000.</b>                                   |
| <b>American Friends of Hebrew University</b>        |                                                                                                                                |                                                | <b>Scholarship Fund</b>             | Person or <input type="checkbox"/>              |
| <b>New York, NY</b>                                 |                                                                                                                                | <b>Public</b>                                  |                                     | Business <input checked="" type="checkbox"/>    |
| <b>New York NY</b>                                  |                                                                                                                                | <b>Charity</b>                                 |                                     | <b>8,000.</b>                                   |
| <b>The Jed Foundation</b>                           |                                                                                                                                |                                                | <b>Suicide prevention</b>           | Person or <input type="checkbox"/>              |
| <b>220 Fifth Avenue</b>                             |                                                                                                                                | <b>Public</b>                                  | <b>for College</b>                  | Business <input checked="" type="checkbox"/>    |
| <b>New York NY 10001</b>                            |                                                                                                                                | <b>Charity</b>                                 | <b>Students</b>                     | <b>1,000.</b>                                   |
| <b>Temple Shaaray Tefila</b>                        |                                                                                                                                |                                                | <b>Religious</b>                    | Person or <input type="checkbox"/>              |
| <b>Second Avenue</b>                                |                                                                                                                                | <b>Public</b>                                  |                                     | Business <input checked="" type="checkbox"/>    |
| <b>New York NY</b>                                  |                                                                                                                                | <b>Charity</b>                                 |                                     | <b>3,600.</b>                                   |
| <b>American Jewish Committee</b>                    |                                                                                                                                |                                                | <b>To support programs</b>          | Person or <input type="checkbox"/>              |
| <b>165 E. 56th Street</b>                           |                                                                                                                                | <b>Public</b>                                  | <b>that promote racial,</b>         | Business <input checked="" type="checkbox"/>    |
| <b>New York NY 10022</b>                            |                                                                                                                                | <b>Charity</b>                                 | <b>religious and ethnic harmo</b>   | <b>1,500.</b>                                   |
| <b>FXB/Protravel Rwanda Village</b>                 |                                                                                                                                |                                                | <b>To provide a well</b>            | Person or <input type="checkbox"/>              |
| <b>515 Madison Ave</b>                              |                                                                                                                                | <b>Public</b>                                  | <b>for the Protravel</b>            | Business <input checked="" type="checkbox"/>    |
| <b>New York, NY 10022</b>                           |                                                                                                                                | <b>Charity</b>                                 | <b>village in Rwanda</b>            | <b>500.</b>                                     |
| <b>Cooper Hewitt National Design Museum</b>         |                                                                                                                                |                                                | <b>To support the</b>               | Person or <input type="checkbox"/>              |
| <b>2 East 91st St</b>                               |                                                                                                                                | <b>Public</b>                                  | <b>Capital Campaign</b>             | Business <input checked="" type="checkbox"/>    |
| <b>New York NY 10128</b>                            |                                                                                                                                | <b>Charity</b>                                 | <b>of the museum</b>                | <b>2,000.</b>                                   |
| <b>Tel Aviv Foundation</b>                          |                                                                                                                                |                                                | <b>To support projects</b>          | Person or <input type="checkbox"/>              |
| <b>1201 Broadway</b>                                |                                                                                                                                | <b>Public</b>                                  | <b>to improve quality of</b>        | Business <input checked="" type="checkbox"/>    |
| <b>New York NY 10001</b>                            |                                                                                                                                | <b>Charity</b>                                 | <b>life of residents</b>            | <b>4,000.</b>                                   |

Form 990-PF, Page 11, Part XV, line 3a

Continued

**Line 3a statement**

| Recipient<br>Name and address<br>(home or business) | If recipient is<br>an individual,<br>show any<br>relationship to<br>any foundation<br>manager or<br>substantial<br>contributor | Founda-<br>tion<br>status<br>of re-<br>cipient | Purpose of<br>grant or contribution | Person or<br>Business<br>Checkbox<br><br>Amount |
|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------|-------------------------------------------------|
| <b>a Paid during the year</b>                       |                                                                                                                                |                                                |                                     |                                                 |
| <b>Patriots Path Council of BSA</b>                 |                                                                                                                                |                                                | <b>To support community</b>         | Person or <input type="checkbox"/>              |
| <b>222 Columbia Turnpike</b>                        |                                                                                                                                | <b>Public</b>                                  | <b>projects of Boy</b>              | Business <input checked="" type="checkbox"/>    |
| <b>Florham Park NJ 07932</b>                        |                                                                                                                                | <b>Charity</b>                                 | <b>Scouts of America</b>            | <b>500.</b>                                     |

Total

26,100.

Form 990-PF, Page 1, Part I

**Line 16a - Legal Fees**

| Name of<br>Provider             | Type of Service<br>Provided | Amount<br>Paid<br>Per Books | Net<br>Investment<br>Income | Adjusted<br>Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|---------------------------------|-----------------------------|-----------------------------|-----------------------------|---------------------------|---------------------------------------------|
| <b>Muchnick, Golieb &amp; G</b> | <b>Legal</b>                | <b>9,914.</b>               | <b>4,957.</b>               |                           | <b>4,957.</b>                               |
| <b>Total</b>                    |                             | <b>9,914.</b>               | <b>4,957.</b>               |                           | <b>4,957.</b>                               |

Form 990-PF, Page 1, Part I

**Line 16c - Other Professional Fees**

| Name of<br>Provider | Type of Service<br>Provided  | Amount<br>Paid<br>Per Books | Net<br>Investment<br>Income | Adjusted<br>Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|---------------------|------------------------------|-----------------------------|-----------------------------|---------------------------|---------------------------------------------|
| <b>THORNBURG</b>    | <b>Investment Management</b> | <b>1,793.</b>               | <b>1,793.</b>               |                           |                                             |
| <b>PACIFIC</b>      | <b>Investment Management</b> | <b>7,370.</b>               | <b>7,370.</b>               |                           |                                             |
| <b>MET WEST</b>     | <b>Investment Management</b> | <b>4,056.</b>               | <b>4,056.</b>               |                           |                                             |
| <b>WELLS</b>        | <b>Investment Management</b> | <b>4,774.</b>               | <b>4,774.</b>               |                           |                                             |
| <b>Delaware</b>     | <b>Investment Management</b> | <b>393.</b>                 | <b>393.</b>                 |                           |                                             |
| <b>Total</b>        |                              | <b>18,386.</b>              | <b>18,386.</b>              |                           |                                             |

Form 990-PF, Page 2, Part II, Line 10a

**L-10a Stmt**

| Line 10a - Investments -<br>US and State Government<br>Obligations: | End of Year                                  |                                       | End of Year                                |                                     |
|---------------------------------------------------------------------|----------------------------------------------|---------------------------------------|--------------------------------------------|-------------------------------------|
|                                                                     | State and Local<br>Obligations<br>Book Value | State and Local<br>Obligations<br>FMV | US Government<br>Obligations<br>Book Value | US Government<br>Obligations<br>FMV |
| <b>See Attached Schedule</b>                                        |                                              |                                       | <b>655,696.</b>                            | <b>659,726.</b>                     |

Form 990-PF, Page 2, Part II, Line 10a

Continued

**L-10a Stmt**

| Line 10a - Investments -<br>US and State Government<br>Obligations: | End of Year                                  |                                       | End of Year                                |                                     |
|---------------------------------------------------------------------|----------------------------------------------|---------------------------------------|--------------------------------------------|-------------------------------------|
|                                                                     | State and Local<br>Obligations<br>Book Value | State and Local<br>Obligations<br>FMV | US Government<br>Obligations<br>Book Value | US Government<br>Obligations<br>FMV |
| Total                                                               |                                              |                                       | <u>655,696.</u>                            | <u>659,726.</u>                     |

Form 990-PF, Page 2, Part II, Line 10b

**L-10b Stmt**

| Line 10b - Investments - Corporate Stock: | End of Year       |                      |
|-------------------------------------------|-------------------|----------------------|
|                                           | Book<br>Value     | Fair Market<br>Value |
| See Attached Schedule                     | <u>1,400,925.</u> | <u>1,554,868.</u>    |
| Total                                     | <u>1,400,925.</u> | <u>1,554,868.</u>    |

Form 990-PF, Page 2, Part II, Line 10c

**L- 10c Stmt**

| Line 10c - Investments - Corporate Bonds: | End of Year     |                      |
|-------------------------------------------|-----------------|----------------------|
|                                           | Book<br>Value   | Fair Market<br>Value |
| See Attached Schedule                     | <u>341,614.</u> | <u>372,392.</u>      |
| Total                                     | <u>341,614.</u> | <u>372,392.</u>      |

## Supporting Statement of:

Form 990-PF, p1/Line 11(a)-2

| Description | Amount |
|-------------|--------|
| Thornburg   | 279.   |
|             | 2,853. |
| Total       | 3,132. |

## Supporting Statement of:

Form 990-PF, p2/Line 1(a)

| Description           | Amount |
|-----------------------|--------|
| SMITH BARNEY          | 3,688. |
| DELAWARE              | 6.     |
| NFJ                   | 313.   |
| PACIFIC               | 410.   |
| THORNBURG             | 16.    |
| THORNBURG (UNSETTLED) | -364.  |
| WELLS (UNSETTLED)     | 36.    |
| Total                 | 4,105. |

## Supporting Statement of:

Form 990-PF, p2/Line 2(a)

| Description | Amount   |
|-------------|----------|
| SMITHBARNEY | 79,481.  |
| DELAWARE    | 5,304.   |
| NFJ         | 5,045.   |
| PACIFIC     | 28,817.  |
| THORNBURG   | 8,129.   |
| WELLS       | 5,234.   |
| Total       | 132,010. |

## Supporting Statement of:

Form 990-PF, p2/Line 1(b)

| Description  | Amount |
|--------------|--------|
| Thornburg    | 17.    |
| Smith Barney | 2,527. |
| Delaware     | 6.     |
| Met West     | 104.   |
| Pacific      | 616.   |

Continued

## Supporting Statement of:

Form 990-PF, p2/Line 1(b)

| Description | Amount        |
|-------------|---------------|
| Total       | <u>3,270.</u> |

## Supporting Statement of:

Form 990-PF, p2/Line 2(b)

| Description  | Amount         |
|--------------|----------------|
| Thornburg    | 9,315.         |
| Thornburg    | -1,171.        |
| Smith Barney | 3,466.         |
| Wells        | 6,289.         |
| Met West     | 13,814.        |
| Pacific      | 22,461.        |
| Total        | <u>54,174.</u> |

## Supporting Statement of:

Form 990-PF, p12/Line 4 Column (d)

| Description  | Amount         |
|--------------|----------------|
| Delaware     | 11.            |
| Delaware     | 1,118.         |
| Met West     | 4.             |
| Met West     | 14,593.        |
| Met West     | 69.            |
| Pacific      | 14.            |
| Pacific      | 1,201.         |
| Pacific      | 6,564.         |
| Pacific      | 47,227.        |
| Pacific      | 58.            |
| Pacific      | -310.          |
| Smith Barney | 5,655.         |
| Smith Barney | 43.            |
| Thornburg    | 14.            |
| Thornburg    | 249.           |
| Thornburg    | 3,607.         |
| Wells        | -66.           |
| Wells        | 4,504.         |
| Total        | <u>84,555.</u> |

## 2009 Part II Line 10(a) - As of 12/31/2009:2

As of 12/31/2009

4/13/2010

Page 1

| Security                         | 12/31/2009<br>Cost Basis | 12/31/2009<br>Balance |
|----------------------------------|--------------------------|-----------------------|
| FEDERAL HOME LOAN MTG CORP GLO   | 69,518 44                | 73,503 92             |
| FEDERAL HOME LOAN MTG CORP MED   | 84,851 01                | 84,270 73             |
| FEDERAL NATL MTG ASSNGLOBAL DEB  | 82,610 30                | 83,493 52             |
| U S TREASURY NOTES SER E-2011DTD | 65,001.78                | 62,627 40             |
| U S TREASURY NOTES SER E-2015DTD | 82,340 36                | 83,484 18             |
| U S TREASURY NOTES SER F 2016DTD | 30,391.10                | 31,376.55             |
| U S TREASURY NOTES SER M-2011DTD | 72,272 45                | 73,166.91             |
| U S TREASURY NOTES SER T-2010DTD | 74,376 50                | 73,127 52             |
| U S TREASURY NOTES-SER D-2012DTD | 94,334 00                | 94,675 68             |
| <b>TOTAL Investments</b>         | <b>655,695.94</b>        | <b>659,726.41</b>     |

The G & A Foundation, Inc.  
13-3435542

## Part II: Line 10(b); Cols (b and c) 2009 - As of 12/31/2009

As of 12/31/2009

4/13/2010

Page 1

| Security                           | 12/31/2009<br>Cost Basis | 12/31/2009<br>Balance |
|------------------------------------|--------------------------|-----------------------|
| *** ARM HOLDINGS PLC ADR           | 2,664.10                 | 4,202.96              |
| ABB LTD SPONS ADR                  | -0.02                    | 0.00                  |
| ADOBE SYSTEMS                      | 8,386.07                 | 9,342.12              |
| AFLAC                              | 5,055.07                 | 6,105.00              |
| AIR PRODUCTS                       | 19,723.45                | 20,427.12             |
| ALTRIA GROUP                       | -51.44                   | 0.00                  |
| AMAZON COM INC                     | 8,707.78                 | 12,913.92             |
| AMERICA MOVIL SA DE CV SER L SPON. | 4,685.40                 | 3,570.48              |
| AMERICAN EXPRESS CO                | 9,516.11                 | 12,480.16             |
| AMERICAN TOWER CORP-CLASS A        | 9,259.82                 | 10,888.92             |
| APPLE COMPUTER INC                 | 34,500.88                | 47,414.70             |
| AU OPTRONICS CORPSPONSORED ADR     | -0.30                    | -0.28                 |
| AVON PRODUCTS INC                  | 13,889.01                | 12,663.00             |
| BANCO SANTANDER CENT               | 6,871.58                 | 7,513.08              |
| BANK OF AMERICA                    | 18,480.36                | 20,767.74             |
| BAXTER INTL INC                    | 10,768.82                | 11,149.20             |
| BEST BUY                           | 9,934.44                 | 10,180.68             |
| BG GROUP PLC                       | 3,551.98                 | 3,801.00              |
| BNP PARIBAS                        | 4,170.80                 | 4,096.32              |
| BOEING CO                          | 7,575.90                 | 9,418.62              |
| BRITISH SKY BROADCASTING GROUP     | 3,217.49                 | 3,947.98              |
| BROADCOM CORP CL A                 | 8,183.85                 | 10,573.92             |
| CANADIAN NATL RAILWAY CO           | 4,124.46                 | 4,620.60              |
| CANADIAN NATURAL RES LTD           | 2,248.03                 | 2,806.05              |
| CARNIVAL CORP(PAIED STOCK)         | 5,625.05                 | 4,468.29              |
| CHESAPEAKE ENERGY CORP             | -0.03                    | 0.00                  |
| CHINA LIFE INSURANCE COLTD-SPONS   | 3,373.30                 | 5,061.15              |
| CHINA MERCHANTS UNSPON ADR         | 3,329.72                 | 3,267.00              |
| CISCO                              | 16,647.05                | 17,907.12             |
| CNOOC LTD SPONS ADR                | 2,934.72                 | 3,264.45              |
| COCA-COLA HELLENIC BOTTLIN CO SP   | 5,340.24                 | 2,808.44              |
| COMPANHIA SIDERURGICA NACIONAL S.. | -0.02                    | 0.00                  |
| CONAGRA FOODS                      | 9,068.61                 | 10,510.80             |
| CONOCO                             | 11,036.99                | 10,214.00             |
| COOPER INDUSTRIES                  | -0.03                    | 0.00                  |
| COSTCO WHOLESALE CORP NEW          | -0.02                    | 0.00                  |
| COVIDIEN PLC DUBLIN-USD            | 9,569.40                 | 10,440.02             |
| CSL LTD-AUD                        | 1,557.57                 | 2,016.89              |
| DASSAULT SYSTEMS SA ADR            | 2,354.80                 | 2,457.45              |
| DEERE & CO                         | 7,780.95                 | 9,357.57              |
| DELTA AIR LINES INC(NEW)           | 7,561.26                 | 9,843.70              |
| DEUTSCHE BOERSE AG UNSPONADR       | 3,552.42                 | 4,018.56              |
| DIAGEO PLC SPON ADR-NEW            | 8,375.97                 | 9,439.76              |
| DIRECTV CL A                       | 13,214.83                | 18,075.70             |
| Dominion Resources Inc             | 9,410.52                 | 10,586.24             |
| DTE ENERGY                         | -0.02                    | 0.00                  |
| EBAY, INC                          | 11,480.51                | 11,882.65             |
| Eli Lilly                          | 7,036.41                 | 7,070.58              |
| EMBRAER-EMPRESA BRASILEIRA DE A... | 4,549.55                 | 3,073.29              |
| EMC                                | 17,549.87                | 19,583.87             |

The G &amp; A Foundation, Inc.

13-3435542

## Part II: Line 10(b); Cols (b and c) 2009 - As of 12/31/2009

As of 12/31/2009

4/13/2010

Page 2

| Security                             | 12/31/2009<br>Cost Basis | 12/31/2009<br>Balance |
|--------------------------------------|--------------------------|-----------------------|
| EXPRESS SCRIPTS INC COMMON           | 13,873.77                | 14,259.30             |
| FANUC LTD JAPAN-JPY                  | 2,670.95                 | 3,478.00              |
| FLSMIDTH & CO A/S UNSPON ADR         | 2,550.47                 | 3,365.40              |
| FORD MOTOR COMPANY                   | 8,581.85                 | 10,960.00             |
| FPL GROUP INC                        | 9,791.32                 | 8,979.40              |
| FREEPORT MCMORAN COPPER & GOL        | 4,499.87                 | 5,700.59              |
| FRESENIUS MEDICAL CARE AG & CO ..    | 3,886.93                 | 5,141.97              |
| GAZPROM OAO SPONS ADR                | 6,480.50                 | 3,256.50              |
| GE                                   | -0.03                    | 0.00                  |
| GENTEX CORP                          | 5,031.38                 | 6,015.45              |
| GOOGLE, INC                          | 17,418.13                | 24,179.22             |
| H8912P106 TYCO ELECTRONICS LTD       | -0.02                    | 0.00                  |
| HANG LUNG PROPERTIES LTD SPON A .    | 3,022.30                 | 3,812.10              |
| HENNES & MAURITZ AB ADR              | 4,839.78                 | 5,012.68              |
| HERSHEY COMPANY                      | 8,062.56                 | 7,086.42              |
| HOME DEPOT                           | 9,099.49                 | 9,749.41              |
| HONG KONG EXCHANGES ANDCLEARI        | 1,532.92                 | 3,310.80              |
| HOSPIRA INC                          | 7,057.61                 | 9,129.00              |
| IBM CORP                             | 10,685.54                | 12,959.10             |
| ILLINOIS TOOL WORKS                  | 12,009.04                | 12,621.37             |
| INDUSTRIAL & COML BK CHINA-CNY S     | 2,681.73                 | 3,459.44              |
| INFOSYS TECHNOLOGIE SP ADR           | 1,224.24                 | 2,542.42              |
| INGERSOLL-RAND PUBLIC LTD CO         | 7,617.16                 | 9,399.62              |
| INTESA SANPAOLO S P A SPONSORED ..   | 3,913.97                 | 4,254.70              |
| INTUIT INC                           | 7,329.85                 | 7,559.58              |
| INVESCO LTD                          | 5,282.99                 | 7,117.47              |
| ISHARES RUSSELL 2000 INDEX FD        | 100,452.91               | 124,125.81            |
| ITAU UNIBANCO BANCO MULTIPLO SA      | 1,504.27                 | 2,489.56              |
| J C PENNEY CO INC                    | 6,042.50                 | 5,401.83              |
| JP MORGAN CHASE                      | 10,090.64                | 10,792.53             |
| JUNIPER NETWORKS                     | 13,024.79                | 13,121.64             |
| KELLOGG CO                           | 9,447.36                 | 10,374.00             |
| KINGFISHER PLC SPONSORED ADR NEW     | 3,040.66                 | 4,285.10              |
| KOMATSU LTD ADR NEW                  | 4,367.57                 | 4,762.35              |
| KONINKLIJKE AHOLD NV SPONSORED .     | -195.31                  | 0.00                  |
| L OREAL CO ADR -USD-                 | 7,575.32                 | 10,213.40             |
| LOGITECH INTERNATIONAL SA, APPLE..   | 6,187.56                 | 4,876.35              |
| LVMH MOET HENNESSY LOUIS VUITTO ..   | 2,879.54                 | 5,769.65              |
| LYONDELL CHEMICAL                    | 0.02                     | 0.00                  |
| M & T BK CORP                        | 8,642.67                 | 9,966.61              |
| MARVELL TECHNOLOGY GROUP LTD-U       | 9,080.77                 | 9,960.00              |
| MASTERCARD INC                       | 14,108.11                | 15,358.80             |
| MICROSOFT                            | 18,380.49                | 25,024.08             |
| MITSUBISHI UFJ FINANCIAL GROUP INC . | 12,816.62                | 10,543.56             |
| MOLEX INC CL A                       | 5,018.09                 | 5,662.48              |
| MOTOTOLA                             | 16,838.24                | 15,054.40             |
| NATIONAL BK GREECE S A SPONS ADR     | 5,115.80                 | 4,032.54              |
| NESTLE S A SPONSORED ADR             | 5,347.54                 | 5,270.15              |
| NETAPP INC                           | 6,222.96                 | 6,425.32              |
| NEW ORIENTAL EDUCATION & TECHNO      | 2,244.41                 | 2,268.30              |
| NOBLE ENERGY INC                     | 5,720.49                 | 6,979.56              |

Part II: Line 10(b); Cols (b and c) 2009 - As of 12/31/2009

As of 12/31/2009

4/13/2010

Page 3

| Security                           | 12/31/2009<br>Cost Basis | 12/31/2009<br>Balance |
|------------------------------------|--------------------------|-----------------------|
| NOKIA CORP                         | 4,443.35                 | 2,223.05              |
| NORTHROP GRUMMAN                   | 8,657.47                 | 10,388.10             |
| NOVARTIS AG                        | 4,182.09                 | 4,844.27              |
| NOVO-NORDISK A S ADR               | 5,291.98                 | 4,980.30              |
| NVIDIA CORP                        | -4.77                    | 0.00                  |
| OCCIDENTAL PETROLEUM               | -0.02                    | 0.00                  |
| OEST ELEKTRIZATS SPON ADR          | 2,557.21                 | 1,865.60              |
| ORACLE                             | 23,992.91                | 26,615.05             |
| POLO RALPH LAUREN CORP CL A        | 7,238.56                 | 9,312.70              |
| POTASH CORP SASKATCHEWAN, INC      | 3,437.34                 | 3,255.00              |
| PRICELINE COM INC (NEW)            | 9,982.56                 | 13,104.60             |
| PROCTOR & GAMBLE                   | -0.02                    | 0.00                  |
| PROGRESS ENERGY                    | 0.02                     | 0.00                  |
| QUALCOMM INC                       | 9,554.76                 | 10,963.62             |
| QUESTAR CORP                       | 6,019.91                 | 7,399.46              |
| RECKITT BENCKISER GP ADR           | 4,502.04                 | 5,490.10              |
| ROCHE HLDG LTD SPON ADR            | 4,282.60                 | 3,882.40              |
| SABMILLER PLC SPON ADR             | 2,610.20                 | 3,664.20              |
| SAFEWAY INC NEW                    | 7,097.98                 | 7,834.72              |
| SAP AKLENGESELLSCHAFTSPONS ADR. .  | 5,887.36                 | 5,944.87              |
| SCHLUMBERGER LTD                   | 5,072.08                 | 5,597.74              |
| SCHWAB CHARLES CORP                | 5,364.34                 | 5,740.10              |
| SEAGATE TECHNOLOGY                 | 0.02                     | 0.00                  |
| SMITH & NEPHEW PLC SP ADR          | 3,417.53                 | 3,433.75              |
| SOUTHERN COPPER CORP DEL           | 1,493.38                 | 2,106.24              |
| SPDR SER TR S&P METALS & MNG ETF   | 49,932.85                | 53,416.35             |
| SUNCOR ENERGY INC NEW              | 6,536.79                 | 7,309.17              |
| TAIWAN SEMICONDUCTOR MFG CO LT     | -0.01                    | 0.00                  |
| TARGET CORP                        | -0.02                    | 0.00                  |
| TELEFONICA S A SPON                | 5,431.44                 | 5,762.88              |
| TESCO PLC SPONSORED ADR            | 4,086.93                 | 4,278.56              |
| TEVA PHARMACEUTICALS               | 15,980.32                | 20,224.80             |
| TEXAS INSTRUMENTS                  | 19,072.99                | 19,779.54             |
| TJX                                | 7,501.21                 | 7,748.60              |
| TOYOTA MOTOR CORP                  | 6,155.75                 | 5,302.08              |
| TRANSOCEAN LTD SWITZERLAND NEW     | 13,416.03                | 8,859.60              |
| TURKCELL ILETISM HIZMETADR         | 4,630.82                 | 4,407.48              |
| TYCO INTL LTD CHF                  | 6,139.93                 | 10,739.68             |
| UNILEVER NV NY SHS-NEW             | 7,727.07                 | 9,181.72              |
| UNION PACIFIC                      | -20.94                   | 0.00                  |
| UTS SPDR TRUST SER 1               | 97,812.88                | 137,628.40            |
| VANGUARD INTL EQUITY INDEX FDVAN.. | 200,027.31               | 179,170.00            |
| VERIZON                            | -0.53                    | 0.00                  |
| VESTAS WIND SYS A/S UTDKINGD-DKK . | 6,490.19                 | 4,608.10              |
| VF CORP                            | 0.02                     | 0.00                  |
| VODAFONE GROUP PLC SPONS ADR N.    | 7,726.91                 | 8,820.38              |
| WAL-MART DE MEXICO SA DE CV SPON.. | 2,780.80                 | 4,809.65              |
| WEATHERFORD INTL LTD NEW (BERM .   | 7,991.25                 | 7,952.04              |
| YAHOO                              | 12,186.88                | 12,048.04             |
| ZIONS BANCORP                      | 4,325.65                 | 3,836.17              |
| <b>TOTAL Investments</b>           | <b>1,400,924.98</b>      | <b>1,554,867.89</b>   |

## 2009 Part II Line 10(c) - As of 12/31/2009.2

As of 12/31/2009

4/13/2010

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| Security                             | 12/31/2009<br>Cost Basis | 12/31/2009<br>Balance |
|--------------------------------------|--------------------------|-----------------------|
| AT & T INC BOOK/ENTRY DTD 05/13/2008 | 28,352 70                | 31,438 80             |
| CONOCOPHILLIPS BK/ENTRY DTD 05/21    | 30,939 36                | 31,859 70             |
| GENERAL ELEC CAP CORPBOOK/ENTR.      | 29,486 06                | 32,658 88             |
| GOLDMAN SACHS GROUP INCBOOK/EN       | 25,335 68                | 32,735 07             |
| JOHN DEERE CAPITAL CORPBK/ENTRY      | 31,186 31                | 32,170 86             |
| JP MORGAN CHASE & CO DTD 02/25/20    | 31,593 00                | 31,198 80             |
| PIA BBB BOND FUND                    | 105,628 00               | 116,622 87            |
| VERIZON COMMUNICATIONS BOOK/EN       | 30,450 26                | 31,261.13             |
| WELLS FARGO & CO NOTES BOOK/EN       | 28,642 52                | 32,446 15             |
| <b>TOTAL Investments</b>             | <b>341,613.89</b>        | <b>372,392.26</b>     |

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# Part IV; Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

4/13/2010

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| Account                     | Security       | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|-----------------------------|----------------|---------|------------|------------|------------------|------------------|------------------|
| MET WEST NFJ                | ALLSTATE       | 45 000  | 3/2/2006   | 5/7/2009   | 1,238.12         | 2,433.05         | -1,194.93        |
| MET WEST NFJ                | ALLSTATE       | 25 000  | 3/9/2006   | 5/7/2009   | 687.85           | 1,363.26         | -675.41          |
| MET WEST NFJ                | ALLSTATE       | 30 000  | 3/9/2006   | 7/30/2009  | 799.56           | 1,635.91         | -836.35          |
| MET WEST NFJ                | ALLSTATE       | 65 000  | 3/13/2006  | 7/30/2009  | 1,732.38         | 3,547.29         | -1,814.91        |
| MET WEST NFJ                | ALLSTATE       | 65 000  | 3/17/2006  | 7/30/2009  | 1,732.38         | 3,542.21         | -1,809.83        |
| MET WEST NFJ                | ALLSTATE       | 60 000  | 11/13/2008 | 7/30/2009  | 1,599.12         | 1,526.15         | 72.97            |
| <b>TOTAL ALLSTATE</b>       |                |         |            |            | <b>7,789.41</b>  | <b>14,047.87</b> | <b>-6,258.46</b> |
| <b>ALTRIA GROUP</b>         |                |         |            |            |                  |                  |                  |
| MET WEST NFJ                | ALTRIA GROUP   | 65 000  | 9/17/2003  | 7/30/2009  | 1,166.26         | 1,669.30         | -503.04          |
| MET WEST NFJ                | ALTRIA GROUP   | 75 000  | 2/27/2004  | 7/30/2009  | 1,345.69         | 2,699.45         | -1,353.76        |
| MET WEST NFJ                | ALTRIA GROUP   | 15 000  | 4/25/2007  | 7/30/2009  | 269.14           | 776.80           | -507.66          |
| MET WEST NFJ                | ALTRIA GROUP   | 155 000 | 4/10/2008  | 7/30/2009  | 2,781.09         | 3,356.59         | -575.50          |
| MET WEST NFJ                | ALTRIA GROUP   | 100 000 | 4/11/2008  | 7/30/2009  | 1,794.25         | 2,134.28         | -340.03          |
| MET WEST NFJ                | ALTRIA GROUP   | 130 000 | 4/16/2008  | 7/30/2009  | 2,332.53         | 2,797.37         | -464.84          |
| MET WEST NFJ                | ALTRIA GROUP   | 75 000  | 3/11/2009  | 7/30/2009  | 1,345.69         | 1,230.35         | 115.34           |
| MET WEST NFJ                | ALTRIA GROUP   | 100 000 | 3/13/2009  | 7/30/2009  | 1,794.25         | 1,645.20         | 149.05           |
| <b>TOTAL ALTRIA GROUP</b>   |                |         |            |            | <b>12,828.90</b> | <b>16,309.34</b> | <b>-3,480.44</b> |
| <b>AMAZON COM INC</b>       |                |         |            |            |                  |                  |                  |
| WELLS BAIRD                 | AMAZON COM INC | 25 000  | 6/2/2009   | 10/6/2009  | 2,245.14         | 2,113.11         | 132.03           |
| WELLS BAIRD                 | AMAZON COM INC | 10 000  | 6/3/2009   | 10/6/2009  | 898.05           | 845.08           | 52.97            |
| WELLS BAIRD                 | AMAZON COM INC | 12 000  | 6/3/2009   | 10/12/2009 | 1,119.32         | 1,014.10         | 105.22           |
| <b>TOTAL AMAZON COM INC</b> |                |         |            |            | <b>4,262.51</b>  | <b>3,972.29</b>  | <b>290.22</b>    |
| <b>AMCOR LTD</b>            |                |         |            |            |                  |                  |                  |
| DELAWARE INT'L              | AMCOR LTD      | 15 000  | 3/21/2005  | 1/23/2009  | 214.87           | 348.75           | -133.88          |
| DELAWARE INT'L              | AMCOR LTD      | 32 000  | 3/21/2005  | 3/5/2009   | 314.56           | 744.00           | -429.44          |
| DELAWARE INT'L              | AMCOR LTD      | 30 000  | 1/26/2006  | 3/5/2009   | 294.90           | 638.09           | -343.19          |
| DELAWARE INT'L              | AMCOR LTD      | 30 000  | 2/1/2006   | 3/5/2009   | 294.90           | 628.20           | -333.30          |
| <b>TOTAL AMCOR LTD</b>      |                |         |            |            | <b>1,119.23</b>  | <b>2,359.04</b>  | <b>-1,239.81</b> |
| <b>AMEREN CORP</b>          |                |         |            |            |                  |                  |                  |
| MET WEST NFJ                | AMEREN CORP    | 20 000  | 8/27/2007  | 5/7/2009   | 485.22           | 1,002.24         | -517.02          |
| MET WEST NFJ                | AMEREN CORP    | 50 000  | 8/27/2007  | 7/30/2009  | 1,288.10         | 2,505.60         | -1,217.50        |
| MET WEST NFJ                | AMEREN CORP    | 10 000  | 9/17/2007  | 7/30/2009  | 257.62           | 519.44           | -261.82          |
| MET WEST NFJ                | AMEREN CORP    | 50 000  | 9/19/2007  | 7/30/2009  | 1,288.10         | 2,686.88         | -1,398.78        |
| MET WEST NFJ                | AMEREN CORP    | 25 000  | 10/1/2007  | 7/30/2009  | 644.05           | 1,332.64         | -688.59          |

# Part IV; Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

4/13/2010

| Account                                             | Security               | Shares | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/Loss |
|-----------------------------------------------------|------------------------|--------|------------|------------|------------------|------------------|--------------------|
| MET WEST NFJ                                        | AMEREN CORP            | 45,000 | 10/2/2007  | 7/30/2009  | 1,159.29         | 2,402.00         | -1,242.71          |
| MET WEST NFJ                                        | AMEREN CORP            | 15,000 | 10/15/2007 | 7/30/2009  | 386.43           | 804.04           | -417.61            |
| MET WEST NFJ                                        | AMEREN CORP            | 55,000 | 10/16/2007 | 7/30/2009  | 1,416.91         | 2,961.48         | -1,544.57          |
| <b>TOTAL AMEREN CORP</b>                            |                        |        |            |            | <b>6,925.72</b>  | <b>14,214.32</b> | <b>-7,288.60</b>   |
|                                                     |                        |        |            |            |                  |                  |                    |
| <b>AMERICA MOVIL SA DE CV SER L SPONS ADR</b>       |                        |        |            |            |                  |                  |                    |
| Thornburg Alliance                                  | AMERICA MOVIL SA DE CV | 25,000 | 3/24/2008  | 6/23/2009  | 883.14           | 1,541.25         | -658.11            |
| <b>TOTAL AMERICA MOVIL SA DE CV SER L SPONS ADR</b> |                        |        |            |            | <b>883.14</b>    | <b>1,541.25</b>  | <b>-658.11</b>     |
|                                                     |                        |        |            |            |                  |                  |                    |
| <b>AMERICAN EXPRESS CO</b>                          |                        |        |            |            |                  |                  |                    |
| WELLS BAIRD                                         | AMERICAN EXPRESS CO    | 37,000 | 7/16/2009  | 10/20/2009 | 1,308.38         | 997.01           | 311.37             |
| WELLS BAIRD                                         | AMERICAN EXPRESS CO    | 1,000  | 7/17/2009  | 10/20/2009 | 35.36            | 27.31            | 8.05               |
| WELLS BAIRD                                         | AMERICAN EXPRESS CO    | 35,000 | 7/17/2009  | 10/23/2009 | 1,218.94         | 955.76           | 263.18             |
| WELLS BAIRD                                         | AMERICAN EXPRESS CO    | 36,000 | 7/17/2009  | 10/23/2009 | 1,253.76         | 998.33           | 255.43             |
| WELLS BAIRD                                         | AMERICAN EXPRESS CO    | 30,000 | 7/17/2009  | 10/23/2009 | 1,044.80         | 842.22           | 202.58             |
| WELLS BAIRD                                         | AMERICAN EXPRESS CO    | 33,000 | 7/24/2009  | 10/23/2009 | 1,149.28         | 972.91           | 176.37             |
| WELLS BAIRD                                         | AMERICAN EXPRESS CO    | 24,000 | 7/24/2009  | 11/5/2009  | 903.02           | 707.57           | 195.45             |
| <b>TOTAL AMERICAN EXPRESS CO</b>                    |                        |        |            |            | <b>6,913.54</b>  | <b>5,501.11</b>  | <b>1,412.43</b>    |
|                                                     |                        |        |            |            |                  |                  |                    |
| <b>AMERICAN TOWER CORP-CLASS A</b>                  |                        |        |            |            |                  |                  |                    |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 21,000 | 1/3/2007   | 2/6/2009   | 633.07           | 794.46           | -161.39            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 25,000 | 3/12/2007  | 2/6/2009   | 753.65           | 964.78           | -211.13            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 25,000 | 3/20/2007  | 2/6/2009   | 753.55           | 953.07           | -199.52            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 14,000 | 1/14/2008  | 2/6/2009   | 421.99           | 559.00           | -137.01            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 14,000 | 1/24/2008  | 2/6/2009   | 421.99           | 524.93           | -102.94            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 2,000  | 1/25/2008  | 2/6/2009   | 60.28            | 72.67            | -12.39             |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 37,000 | 1/25/2008  | 6/5/2009   | 1,125.91         | 1,344.36         | -218.45            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 11,000 | 2/6/2008   | 6/5/2009   | 334.73           | 415.50           | -80.77             |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 11,000 | 2/6/2008   | 6/8/2009   | 325.31           | 415.50           | -90.19             |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 25,000 | 4/4/2008   | 6/8/2009   | 739.35           | 1,016.44         | -277.09            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 9,000  | 5/9/2008   | 6/8/2009   | 266.17           | 394.45           | -128.28            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 34,000 | 5/9/2008   | 7/20/2009  | 1,101.23         | 1,490.16         | -388.93            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 55,000 | 5/9/2008   | 7/21/2009  | 1,761.62         | 2,410.55         | -648.93            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 56,000 | 5/9/2008   | 7/21/2009  | 1,799.40         | 2,454.38         | -654.98            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 31,000 | 5/9/2008   | 7/24/2009  | 1,034.03         | 1,358.67         | -324.64            |
| WELLS BAIRD                                         | AMERICAN TOWER CORP.   | 36,000 | 5/9/2008   | 7/27/2009  | 1,200.06         | 1,577.82         | -377.76            |
| <b>TOTAL AMERICAN TOWER CORP-CLASS A</b>            |                        |        |            |            | <b>12,732.34</b> | <b>16,746.74</b> | <b>-4,014.40</b>   |

# Part IV, Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

4/13/2010

| Account                                    | Security                 | Shares  | Bought     | Sold      | Gross Proceeds   | Cost Basis       | Realized Gain/Loss |
|--------------------------------------------|--------------------------|---------|------------|-----------|------------------|------------------|--------------------|
| <b>ANNALY CAPITAL MANAGEMENT INC</b>       |                          |         |            |           |                  |                  |                    |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 140.000 | 6/27/2008  | 2/4/2009  | 2,139.36         | 2,162.32         | -22.96             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 10.000  | 6/27/2008  | 5/7/2009  | 144.22           | 154.45           | -10.23             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 30.000  | 6/30/2008  | 5/7/2009  | 432.65           | 470.21           | -37.56             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 35.000  | 7/8/2008   | 5/7/2009  | 504.76           | 535.02           | -30.26             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 140.000 | 7/8/2008   | 7/30/2009 | 2,349.48         | 2,140.08         | 209.40             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 185.000 | 7/16/2008  | 7/30/2009 | 3,104.67         | 2,638.30         | 466.37             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 175.000 | 7/17/2008  | 7/30/2009 | 2,936.85         | 2,549.24         | 387.61             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 45.000  | 3/18/2009  | 7/30/2009 | 755.19           | 640.50           | 114.69             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 170.000 | 3/24/2009  | 7/30/2009 | 2,852.94         | 2,436.80         | 416.14             |
| MET WEST NFJ                               | ANNALY CAPITAL MANAGE... | 130.000 | 4/3/2009   | 7/30/2009 | 2,181.66         | 1,835.65         | 346.01             |
| <b>TOTAL ANNALY CAPITAL MANAGEMENT INC</b> |                          |         |            |           | <b>17,401.78</b> | <b>15,562.57</b> | <b>1,839.21</b>    |
| <b>AON CORP</b>                            |                          |         |            |           |                  |                  |                    |
| WELLS BAIRD                                | AON CORP                 | 25.000  | 10/9/2008  | 1/23/2009 | 998.94           | 1,055.02         | -56.08             |
| WELLS BAIRD                                | AON CORP                 | 2.000   | 10/10/2008 | 1/23/2009 | 79.91            | 73.02            | 6.89               |
| WELLS BAIRD                                | AON CORP                 | 24.000  | 10/10/2008 | 1/23/2009 | 954.51           | 876.26           | 78.25              |
| WELLS BAIRD                                | AON CORP                 | 1.000   | 10/14/2008 | 1/23/2009 | 39.77            | 40.06            | -0.29              |
| WELLS BAIRD                                | AON CORP                 | 14.000  | 10/14/2008 | 1/26/2009 | 550.91           | 560.81           | -9.90              |
| WELLS BAIRD                                | AON CORP                 | 7.000   | 10/14/2008 | 1/27/2009 | 265.57           | 280.40           | -14.83             |
| WELLS BAIRD                                | AON CORP                 | 14.000  | 10/21/2008 | 1/27/2009 | 531.14           | 536.70           | -5.56              |
| WELLS BAIRD                                | AON CORP                 | 7.000   | 10/22/2008 | 1/27/2009 | 265.57           | 260.01           | 5.56               |
| WELLS BAIRD                                | AON CORP                 | 5.000   | 10/22/2008 | 1/27/2009 | 190.90           | 185.72           | 5.18               |
| WELLS BAIRD                                | AON CORP                 | 12.000  | 10/23/2008 | 1/27/2009 | 458.16           | 420.80           | 37.36              |
| WELLS BAIRD                                | AON CORP                 | 5.000   | 10/24/2008 | 1/27/2009 | 190.90           | 174.73           | 16.17              |
| WELLS BAIRD                                | AON CORP                 | 8.000   | 10/24/2008 | 1/28/2009 | 306.00           | 279.57           | 26.43              |
| WELLS BAIRD                                | AON CORP                 | 12.000  | 10/29/2008 | 1/28/2009 | 459.00           | 464.77           | -5.77              |
| WELLS BAIRD                                | AON CORP                 | 5.000   | 10/29/2008 | 1/28/2009 | 191.25           | 195.57           | -4.32              |
| WELLS BAIRD                                | AON CORP                 | 7.000   | 10/29/2008 | 1/29/2009 | 267.27           | 273.80           | -6.53              |
| WELLS BAIRD                                | AON CORP                 | 9.000   | 10/31/2008 | 1/29/2009 | 343.64           | 376.18           | -32.54             |
| WELLS BAIRD                                | AON CORP                 | 14.000  | 10/31/2008 | 1/30/2009 | 518.31           | 585.16           | -66.85             |
| WELLS BAIRD                                | AON CORP                 | 11.000  | 11/1/2008  | 1/30/2009 | 407.24           | 442.75           | -35.51             |
| WELLS BAIRD                                | AON CORP                 | 2.000   | 11/21/2008 | 1/30/2009 | 74.04            | 81.14            | -7.10              |
| WELLS BAIRD                                | AON CORP                 | 16.000  | 11/21/2008 | 1/30/2009 | 596.63           | 649.15           | -50.52             |
| WELLS BAIRD                                | AON CORP                 | 6.000   | 12/2/2008  | 1/30/2009 | 224.48           | 262.15           | -37.67             |
| WELLS BAIRD                                | AON CORP                 | 7.000   | 12/3/2008  | 1/30/2009 | 261.90           | 304.58           | -42.68             |
| WELLS BAIRD                                | AON CORP                 | 22.000  | 3/3/2009   | 5/1/2009  | 796.02           | 822.57           | -26.55             |
| WELLS BAIRD                                | AON CORP                 | 21.000  | 3/4/2009   | 5/1/2009  | 759.84           | 784.34           | -24.50             |

# Part IV; Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

4/13/2010

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| Account                         | Security           | Shares | Bought     | Sold      | Gross Proceeds   | Cost Basis       | Realized Gain/Loss |
|---------------------------------|--------------------|--------|------------|-----------|------------------|------------------|--------------------|
| WELLS BAIRD                     | AON CORP           | 44.000 | 3/5/2009   | 5/1/2009  | 1,592.04         | 1,700.14         | -108.10            |
| WELLS BAIRD                     | AON CORP           | 23.000 | 3/5/2009   | 5/1/2009  | 832.20           | 890.74           | -58.54             |
| WELLS BAIRD                     | AON CORP           | 17.000 | 3/9/2009   | 5/1/2009  | 615.11           | 660.26           | -45.15             |
| <b>TOTAL AON CORP</b>           |                    |        |            |           | <b>12,773.25</b> | <b>13,236.40</b> | <b>-463.15</b>     |
| <b>APOLLO GROUP</b>             |                    |        |            |           |                  |                  |                    |
| WELLS BAIRD                     | APOLLO GROUP       | 13.000 | 10/29/2008 | 1/7/2009  | 991.67           | 855.19           | 136.48             |
| WELLS BAIRD                     | APOLLO GROUP       | 7.000  | 10/29/2008 | 1/9/2009  | 609.22           | 460.48           | 148.74             |
| WELLS BAIRD                     | APOLLO GROUP       | 7.000  | 10/29/2008 | 1/9/2009  | 609.22           | 466.12           | 143.10             |
| WELLS BAIRD                     | APOLLO GROUP       | 8.000  | 10/29/2008 | 4/1/2009  | 529.32           | 532.70           | -3.38              |
| WELLS BAIRD                     | APOLLO GROUP       | 5.000  | 10/29/2008 | 4/2/2009  | 344.95           | 332.94           | 12.01              |
| WELLS BAIRD                     | APOLLO GROUP       | 10.000 | 10/30/2008 | 4/2/2009  | 689.90           | 670.79           | 19.11              |
| WELLS BAIRD                     | APOLLO GROUP       | 1.000  | 10/31/2008 | 4/2/2009  | 68.99            | 69.70            | -0.71              |
| WELLS BAIRD                     | APOLLO GROUP       | 5.000  | 10/31/2008 | 4/7/2009  | 316.59           | 348.51           | -31.92             |
| WELLS BAIRD                     | APOLLO GROUP       | 14.000 | 11/6/2008  | 4/7/2009  | 886.45           | 941.15           | -54.70             |
| WELLS BAIRD                     | APOLLO GROUP       | 14.000 | 11/7/2008  | 4/7/2009  | 886.45           | 965.20           | -78.75             |
| WELLS BAIRD                     | APOLLO GROUP       | 1.000  | 11/10/2008 | 4/7/2009  | 63.32            | 69.72            | -6.40              |
| WELLS BAIRD                     | APOLLO GROUP       | 4.000  | 11/10/2008 | 4/8/2009  | 251.43           | 278.87           | -27.44             |
| WELLS BAIRD                     | APOLLO GROUP       | 5.000  | 11/11/2008 | 4/8/2009  | 314.28           | 344.67           | -30.39             |
| WELLS BAIRD                     | APOLLO GROUP       | 13.000 | 11/13/2008 | 4/8/2009  | 817.14           | 862.87           | -45.73             |
| WELLS BAIRD                     | APOLLO GROUP       | 13.000 | 11/14/2008 | 4/8/2009  | 817.14           | 904.36           | -87.22             |
| <b>TOTAL APOLLO GROUP</b>       |                    |        |            |           | <b>8,196.07</b>  | <b>8,103.27</b>  | <b>92.80</b>       |
| <b>APPLE COMPUTER INC</b>       |                    |        |            |           |                  |                  |                    |
| WELLS BAIRD                     | APPLE COMPUTER INC | 2.000  | 4/18/2008  | 8/12/2009 | 331.54           | 322.60           | 8.94               |
| <b>TOTAL APPLE COMPUTER INC</b> |                    |        |            |           | <b>331.54</b>    | <b>322.60</b>    | <b>8.94</b>        |
| <b>ARCELORMITTAL NEW</b>        |                    |        |            |           |                  |                  |                    |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 79.000 | 4/30/2009  | 5/1/2009  | 2,022.20         | 1,866.69         | 155.51             |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 5.000  | 4/30/2009  | 5/1/2009  | 128.34           | 118.15           | 10.19              |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 77.000 | 5/1/2009   | 5/1/2009  | 1,976.49         | 1,971.01         | 5.48               |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 84.000 | 4/30/2009  | 5/8/2009  | 2,387.08         | 1,984.84         | 402.24             |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 68.000 | 5/1/2009   | 5/8/2009  | 1,932.40         | 1,740.63         | 191.77             |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 11.000 | 5/1/2009   | 5/11/2009 | 299.59           | 281.57           | 18.02              |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 82.000 | 5/1/2009   | 5/11/2009 | 2,233.31         | 2,104.83         | 128.48             |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 19.000 | 5/1/2009   | 5/11/2009 | 517.47           | 487.70           | 29.77              |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 37.000 | 5/4/2009   | 5/12/2009 | 972.72           | 1,019.48         | -46.76             |
| WELLS BAIRD                     | ARCELORMITTAL NEW  | 65.000 | 5/5/2009   | 5/12/2009 | 1,708.84         | 1,800.72         | -91.88             |

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| Account                                               | Security                 | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|-------------------------------------------------------|--------------------------|---------|------------|------------|------------------|------------------|------------------|
| <b>TOTAL ARCELORMITTAL NEW</b>                        |                          |         |            |            |                  |                  | <b>802.82</b>    |
|                                                       |                          |         |            |            | <b>14,178.44</b> | <b>13,375.62</b> |                  |
| <b>AT &amp; T</b>                                     |                          |         |            |            |                  |                  |                  |
| MET WEST NFJ                                          | AT & T                   | 35,000  | 11/25/2005 | 1/12/2009  | 908.26           | 824.80           | 83.46            |
| MET WEST NFJ                                          | AT & T                   | 55,000  | 11/25/2005 | 5/7/2009   | 1,412.94         | 1,296.12         | 116.82           |
| MET WEST NFJ                                          | AT & T                   | 236,000 | 11/25/2005 | 7/30/2009  | 6,237.95         | 5,561.52         | 676.43           |
| <b>TOTAL AT &amp; T</b>                               |                          |         |            |            |                  |                  | <b>876.71</b>    |
|                                                       |                          |         |            |            | <b>8,559.15</b>  | <b>7,682.44</b>  |                  |
| <b>AT &amp; T INC BOOK/ENTRY DTD 05/13/2008</b>       |                          |         |            |            |                  |                  |                  |
| Pacific Highland                                      | AT & T INC BOOK/ENTRY D. | 40,000  | 9/23/2008  | 5/7/2009   | 4,069.61         | 3,780.36         | 289.25           |
| Pacific Highland                                      | AT & T INC BOOK/ENTRY D. | 30,000  | 9/23/2008  | 10/15/2009 | 3,105.00         | 2,835.27         | 269.73           |
| <b>TOTAL AT &amp; T INC BOOK/ENTRY DTD 05/13/2008</b> |                          |         |            |            |                  |                  | <b>558.98</b>    |
|                                                       |                          |         |            |            | <b>7,174.61</b>  | <b>6,615.63</b>  |                  |
| <b>AXA S.A. SPONS ADR</b>                             |                          |         |            |            |                  |                  |                  |
| Thornburg Alliance                                    | AXA S.A. SPONS ADR       | 106,000 | 3/24/2008  | 2/20/2009  | 1,116.24         | 3,619.70         | -2,503.46        |
| <b>TOTAL AXA S.A. SPONS ADR</b>                       |                          |         |            |            |                  |                  | <b>-2,503.46</b> |
|                                                       |                          |         |            |            | <b>1,116.24</b>  | <b>3,619.70</b>  |                  |
| <b>BAIDU INC SPON ADR RPTNG ORD SHS CL A</b>          |                          |         |            |            |                  |                  |                  |
| Thornburg Alliance                                    | BAIDU INC SPON ADR RPT   | 2,000   | 1/16/2009  | 3/4/2009   | 315.16           | 225.26           | 89.90            |
| Thornburg Alliance                                    | BAIDU INC SPON ADR RPT   | 2,000   | 1/16/2009  | 3/24/2009  | 389.12           | 225.26           | 163.86           |
| Thornburg Alliance                                    | BAIDU INC SPON ADR RPT   | 2,000   | 1/16/2009  | 4/16/2009  | 400.23           | 225.26           | 174.97           |
| Thornburg Alliance                                    | BAIDU INC SPON ADR RPT   | 3,000   | 1/16/2009  | 4/20/2009  | 601.19           | 337.89           | 263.30           |
| Thornburg Alliance                                    | BAIDU INC SPON ADR RPT   | 2,000   | 1/16/2009  | 7/20/2009  | 670.25           | 225.26           | 444.99           |
| Thornburg Alliance                                    | BAIDU INC SPON ADR RPT   | 3,000   | 1/16/2009  | 9/9/2009   | 1,088.07         | 337.89           | 750.18           |
| Thornburg Alliance                                    | BAIDU INC SPON ADR RPT   | 3,000   | 1/27/2009  | 9/9/2009   | 1,088.07         | 345.19           | 742.88           |
| <b>TOTAL BAIDU INC SPON ADR RPTNG ORD SHS CL A</b>    |                          |         |            |            |                  |                  | <b>2,630.08</b>  |
|                                                       |                          |         |            |            | <b>4,552.09</b>  | <b>1,922.01</b>  |                  |
| <b>BANCO SANTANDER CENT</b>                           |                          |         |            |            |                  |                  |                  |
| DELAWARE INT'L                                        | BANCO SANTANDER CENT     | 50,000  | 3/21/2005  | 1/23/2009  | 340.07           | 621.28           | -281.21          |
| DELAWARE INT'L                                        | BANCO SANTANDER CENT     | 16,000  | 1/26/2006  | 1/23/2009  | 108.82           | 225.28           | -116.46          |
| DELAWARE INT'L                                        | BANCO SANTANDER CENT     | 137,000 | 1/26/2006  | 3/5/2009   | 723.36           | 1,928.96         | -1,205.60        |
| DELAWARE INT'L                                        | BANCO SANTANDER CENT     | 192,000 | 2/1/2006   | 3/5/2009   | 1,013.75         | 2,755.20         | -1,741.45        |
| MET WEST NFJ                                          | BANCO SANTANDER CENT     | 0,978   | 8/21/2009  | 11/16/2009 | 17.40            | 14.72            | 2.68             |
| <b>TOTAL BANCO SANTANDER CENT</b>                     |                          |         |            |            |                  |                  | <b>-3,342.04</b> |
|                                                       |                          |         |            |            | <b>2,203.40</b>  | <b>5,545.44</b>  |                  |
| <b>BANK OF AMERICA</b>                                |                          |         |            |            |                  |                  |                  |
| MET WEST NFJ                                          | BANK OF AMERICA          | 230,000 | 1/3/2006   | 1/28/2009  | 1,661.10         | 10,732.95        | -9,071.85        |
| MET WEST NFJ                                          | BANK OF AMERICA          | 38,000  | 1/3/2006   | 2/3/2009   | 201.82           | 1,773.27         | -1,571.45        |

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| Account                       | Security         | Shares  | Bought     | Sold       | Gross Proceeds  | Cost Basis       | Realized Gain/L   |
|-------------------------------|------------------|---------|------------|------------|-----------------|------------------|-------------------|
| MET WEST NFJ                  | BANK OF AMERICA  | 145 000 | 12/10/2008 | 2/3/2009   | 770 09          | 2,382.13         | -1,612.04         |
| MET WEST NFJ                  | BANK OF AMERICA  | 60 000  | 12/17/2008 | 2/3/2009   | 318.66          | 889.65           | -570.99           |
| WELLS BAIRD                   | BANK OF AMERICA  | 69 000  | 5/6/2009   | 8/6/2009   | 1,151.64        | 867.89           | 283.75            |
| WELLS BAIRD                   | BANK OF AMERICA  | 141 000 | 5/6/2009   | 10/20/2009 | 2,406.63        | 1,773.51         | 633.12            |
| WELLS BAIRD                   | BANK OF AMERICA  | 10 000  | 5/6/2009   | 12/17/2009 | 151.59          | 125.78           | 25.81             |
| <b>TOTAL BANK OF AMERICA</b>  |                  |         |            |            | <b>6,661.53</b> | <b>18,545.18</b> | <b>-11,883.65</b> |
| <b>BAXTER INTL INC</b>        |                  |         |            |            |                 |                  |                   |
| WELLS BAIRD                   | BAXTER INTL INC  | 10 000  | 3/4/2009   | 5/19/2009  | 492.76          | 529.43           | -36.67            |
| WELLS BAIRD                   | BAXTER INTL INC  | 9 000   | 3/4/2009   | 5/20/2009  | 441.55          | 476.49           | -34.94            |
| WELLS BAIRD                   | BAXTER INTL INC  | 6 000   | 3/5/2009   | 5/20/2009  | 294.36          | 307.82           | -13.46            |
| WELLS BAIRD                   | BAXTER INTL INC  | 13 000  | 3/5/2009   | 5/20/2009  | 639.39          | 666.95           | -27.56            |
| WELLS BAIRD                   | BAXTER INTL INC  | 13 000  | 3/5/2009   | 5/20/2009  | 639.39          | 666.80           | -27.41            |
| WELLS BAIRD                   | BAXTER INTL INC  | 6 000   | 3/5/2009   | 5/21/2009  | 292.46          | 307.76           | -15.30            |
| WELLS BAIRD                   | BAXTER INTL INC  | 16 000  | 3/6/2009   | 5/21/2009  | 779.90          | 812.80           | -32.90            |
| WELLS BAIRD                   | BAXTER INTL INC  | 18 000  | 3/6/2009   | 5/21/2009  | 877.38          | 917.24           | -39.86            |
| <b>TOTAL BAXTER INTL INC</b>  |                  |         |            |            | <b>4,457.19</b> | <b>4,685.29</b>  | <b>-228.10</b>    |
| <b>BAYER AG</b>               |                  |         |            |            |                 |                  |                   |
| DELAWARE INT'L                | BAYER AG         | 6 000   | 2/1/2006   | 1/23/2009  | 329.51          | 252.24           | 77.27             |
| DELAWARE INT'L                | BAYER AG         | 24 000  | 2/1/2006   | 3/5/2009   | 1,083.15        | 1,008.96         | 74.19             |
| DELAWARE INT'L                | BAYER AG         | 8 000   | 11/19/2008 | 3/5/2009   | 361.05          | 382.69           | -21.64            |
| DELAWARE INT'L                | BAYER AG         | 4 000   | 11/20/2008 | 3/5/2009   | 180.52          | 187.87           | -7.35             |
| <b>TOTAL BAYER AG</b>         |                  |         |            |            | <b>1,954.23</b> | <b>1,831.76</b>  | <b>122.47</b>     |
| <b>BECTON DICKINSON</b>       |                  |         |            |            |                 |                  |                   |
| MET WEST NFJ                  | BECTON DICKINSON | 20 000  | 5/22/2009  | 7/30/2009  | 1,410.20        | 1,321.42         | 88.78             |
| MET WEST NFJ                  | BECTON DICKINSON | 50 000  | 5/26/2009  | 7/30/2009  | 3,525.50        | 3,347.91         | 177.59            |
| MET WEST NFJ                  | BECTON DICKINSON | 10 000  | 5/29/2009  | 7/30/2009  | 705.10          | 671.79           | 33.31             |
| MET WEST NFJ                  | BECTON DICKINSON | 10 000  | 6/1/2009   | 7/30/2009  | 705.10          | 680.27           | 24.83             |
| <b>TOTAL BECTON DICKINSON</b> |                  |         |            |            | <b>6,345.90</b> | <b>6,021.39</b>  | <b>324.51</b>     |
| <b>BEST BUY</b>               |                  |         |            |            |                 |                  |                   |
| WELLS BAIRD                   | BEST BUY         | 25 000  | 2/13/2009  | 3/23/2009  | 829.78          | 763.41           | 66.37             |
| WELLS BAIRD                   | BEST BUY         | 37 000  | 2/13/2009  | 3/23/2009  | 1,228.08        | 1,128.86         | 99.22             |
| WELLS BAIRD                   | BEST BUY         | 17 000  | 2/17/2009  | 3/23/2009  | 564.25          | 483.34           | 80.91             |
| WELLS BAIRD                   | BEST BUY         | 43 000  | 2/17/2009  | 4/29/2009  | 1,625.58        | 1,222.55         | 403.03            |
| WELLS BAIRD                   | BEST BUY         | 7 000   | 3/9/2009   | 4/29/2009  | 264.63          | 174.46           | 90.17             |

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| Account               | Security | Shares | Bought   | Sold      | Gross Proceeds  | Cost Basis      | Realized Gain/L |
|-----------------------|----------|--------|----------|-----------|-----------------|-----------------|-----------------|
| WELLS BAIRD           | BEST BUY | 25,000 | 3/9/2009 | 4/30/2009 | 960.14          | 623.09          | 337.05          |
| <b>TOTAL BEST BUY</b> |          |        |          |           | <b>5,472.46</b> | <b>4,395.71</b> | <b>1,076.75</b> |

## BG GROUP PLC

|                           |              |        |           |           |                 |                 |               |
|---------------------------|--------------|--------|-----------|-----------|-----------------|-----------------|---------------|
| DELAWARE INT'L            | BG GROUP PLC | 9,000  | 1/26/2006 | 1/23/2009 | 562.49          | 513.13          | 49.36         |
| DELAWARE INT'L            | BG GROUP PLC | 2,000  | 1/26/2006 | 3/5/2009  | 132.20          | 114.03          | 18.17         |
| DELAWARE INT'L            | BG GROUP PLC | 45,000 | 2/1/2006  | 3/5/2009  | 2,974.48        | 2,578.50        | 395.98        |
| <b>TOTAL BG GROUP PLC</b> |              |        |           |           | <b>3,669.17</b> | <b>3,205.66</b> | <b>463.51</b> |

## BLACK & DECKER CORPORATION

|                                             |                       |        |           |           |                 |                  |                  |
|---------------------------------------------|-----------------------|--------|-----------|-----------|-----------------|------------------|------------------|
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 15,000 | 7/11/2007 | 5/12/2009 | 483.73          | 1,335.91         | -852.18          |
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 25,000 | 7/12/2007 | 5/12/2009 | 806.21          | 2,356.39         | -1,550.18        |
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 30,000 | 7/27/2007 | 5/12/2009 | 967.46          | 2,551.55         | -1,584.09        |
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 10,000 | 7/30/2007 | 5/12/2009 | 322.49          | 839.79           | -517.30          |
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 10,000 | 8/3/2007  | 5/12/2009 | 322.49          | 881.04           | -558.55          |
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 5,000  | 8/3/2007  | 5/13/2009 | 156.30          | 440.52           | -284.22          |
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 25,000 | 8/6/2007  | 5/13/2009 | 781.52          | 2,168.29         | -1,386.77        |
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 20,000 | 8/13/2007 | 5/13/2009 | 625.22          | 1,765.71         | -1,140.49        |
| MET WEST NFJ                                | BLACK & DECKER CORPO. | 15,000 | 8/14/2007 | 5/13/2009 | 468.91          | 1,326.93         | -858.02          |
| <b>TOTAL BLACK &amp; DECKER CORPORATION</b> |                       |        |           |           | <b>4,934.33</b> | <b>13,666.13</b> | <b>-8,731.80</b> |

## BNP PARIBAS

|                          |             |        |           |           |                 |                 |                  |
|--------------------------|-------------|--------|-----------|-----------|-----------------|-----------------|------------------|
| DELAWARE INT'L           | BNP PARIBAS | 12,000 | 7/6/2005  | 1/23/2009 | 164.39          | 407.08          | -242.69          |
| DELAWARE INT'L           | BNP PARIBAS | 6,000  | 7/7/2005  | 3/5/2009  | 87.96           | 199.53          | -111.57          |
| DELAWARE INT'L           | BNP PARIBAS | 41,000 | 1/30/2006 | 3/5/2009  | 601.07          | 1,792.18        | -1,191.11        |
| DELAWARE INT'L           | BNP PARIBAS | 20,000 | 6/12/2006 | 3/5/2009  | 293.20          | 884.52          | -591.32          |
| Thornburg Alliance       | BNP PARIBAS | 21,000 | 5/15/2008 | 5/7/2009  | 631.42          | 1,147.96        | -516.54          |
| Thornburg Alliance       | BNP PARIBAS | 14,000 | 5/15/2008 | 8/11/2009 | 529.49          | 765.31          | -235.82          |
| Thornburg Alliance       | BNP PARIBAS | 13,000 | 5/15/2008 | 8/12/2009 | 509.39          | 710.64          | -201.25          |
| <b>TOTAL BNP PARIBAS</b> |             |        |           |           | <b>2,816.92</b> | <b>5,907.22</b> | <b>-3,090.30</b> |

## BOEING CO

|                        |           |        |           |           |                 |                  |                  |
|------------------------|-----------|--------|-----------|-----------|-----------------|------------------|------------------|
| MET WEST NFJ           | BOEING CO | 45,000 | 8/6/2008  | 5/7/2009  | 1,944.13        | 2,921.89         | -977.76          |
| MET WEST NFJ           | BOEING CO | 35,000 | 8/8/2008  | 7/30/2009 | 1,546.74        | 2,388.44         | -841.70          |
| MET WEST NFJ           | BOEING CO | 45,000 | 8/12/2008 | 7/30/2009 | 1,988.67        | 2,971.88         | -983.21          |
| MET WEST NFJ           | BOEING CO | 31,000 | 8/14/2008 | 7/30/2009 | 1,369.97        | 2,019.45         | -649.48          |
| <b>TOTAL BOEING CO</b> |           |        |           |           | <b>6,849.51</b> | <b>10,301.66</b> | <b>-3,452.15</b> |

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| Account             | Security | Shares | Bought     | Sold      | Gross Proceeds  | Cost Basis      | Realized Gain/L  |
|---------------------|----------|--------|------------|-----------|-----------------|-----------------|------------------|
| <b>BP PLC</b>       |          |        |            |           |                 |                 |                  |
| DELAWARE INT'L      | BP PLC   | 24.000 | 3/21/2005  | 1/23/2009 | 960.79          | 1,557.36        | -596.57          |
| DELAWARE INT'L      | BP PLC   | 8.000  | 3/21/2005  | 3/5/2009  | 281.92          | 519.12          | -237.20          |
| DELAWARE INT'L      | BP PLC   | 24.000 | 1/26/2006  | 3/5/2009  | 845.75          | 1,685.76        | -840.01          |
| DELAWARE INT'L      | BP PLC   | 27.000 | 2/1/2006   | 3/5/2009  | 951.47          | 1,958.58        | -1,007.11        |
| DELAWARE INT'L      | BP PLC   | 17.000 | 3/12/2007  | 3/5/2009  | 599.08          | 1,038.52        | -439.44          |
| DELAWARE INT'L      | BP PLC   | 10.000 | 3/13/2007  | 3/5/2009  | 352.40          | 609.25          | -256.85          |
| DELAWARE INT'L      | BP PLC   | 2.000  | 3/14/2007  | 3/5/2009  | 70.48           | 119.39          | -48.91           |
| DELAWARE INT'L      | BP PLC   | 4.000  | 12/14/2007 | 3/5/2009  | 140.96          | 298.77          | -157.81          |
| DELAWARE INT'L      | BP PLC   | 17.000 | 12/17/2007 | 3/5/2009  | 599.08          | 1,251.43        | -652.35          |
| <b>TOTAL BP PLC</b> |          |        |            |           | <b>4,801.93</b> | <b>9,038.18</b> | <b>-4,236.25</b> |

|                                 |                    |        |            |           |                 |                 |                |
|---------------------------------|--------------------|--------|------------|-----------|-----------------|-----------------|----------------|
| <b>BROADCOM CORP CL A</b>       |                    |        |            |           |                 |                 |                |
| WELLS BAIRD                     | BROADCOM CORP CL A | 25.000 | 12/12/2008 | 1/28/2009 | 454.74          | 441.43          | 13.31          |
| WELLS BAIRD                     | BROADCOM CORP CL A | 16.000 | 12/12/2008 | 2/20/2009 | 261.73          | 282.51          | -20.78         |
| WELLS BAIRD                     | BROADCOM CORP CL A | 12.000 | 12/15/2008 | 2/20/2009 | 196.30          | 207.47          | -11.17         |
| WELLS BAIRD                     | BROADCOM CORP CL A | 41.000 | 12/16/2008 | 2/20/2009 | 670.69          | 760.95          | -90.26         |
| WELLS BAIRD                     | BROADCOM CORP CL A | 47.000 | 12/17/2008 | 2/20/2009 | 768.83          | 877.97          | -109.14        |
| WELLS BAIRD                     | BROADCOM CORP CL A | 22.000 | 12/17/2008 | 2/20/2009 | 359.88          | 418.70          | -58.82         |
| WELLS BAIRD                     | BROADCOM CORP CL A | 83.000 | 12/17/2008 | 2/23/2009 | 1,299.20        | 1,579.64        | -280.44        |
| WELLS BAIRD                     | BROADCOM CORP CL A | 22.000 | 12/19/2008 | 2/23/2009 | 344.37          | 389.62          | -45.25         |
| WELLS BAIRD                     | BROADCOM CORP CL A | 45.000 | 12/19/2008 | 2/24/2009 | 714.11          | 796.95          | -82.84         |
| WELLS BAIRD                     | BROADCOM CORP CL A | 29.000 | 12/22/2008 | 2/24/2009 | 460.20          | 500.62          | -40.42         |
| WELLS BAIRD                     | BROADCOM CORP CL A | 33.000 | 12/23/2008 | 2/24/2009 | 523.68          | 561.96          | -38.28         |
| WELLS BAIRD                     | BROADCOM CORP CL A | 25.000 | 4/8/2009   | 4/9/2009  | 577.17          | 547.61          | 29.56          |
| <b>TOTAL BROADCOM CORP CL A</b> |                    |        |            |           | <b>6,630.90</b> | <b>7,365.43</b> | <b>-734.53</b> |

|                                       |                      |       |           |            |               |               |               |
|---------------------------------------|----------------------|-------|-----------|------------|---------------|---------------|---------------|
| <b>CANADIAN NATURAL RES LTD</b>       |                      |       |           |            |               |               |               |
| Thornburg Alliance                    | CANADIAN NATURAL RES | 8.000 | 8/20/2009 | 10/20/2009 | 585.52        | 467.65        | 117.87        |
| <b>TOTAL CANADIAN NATURAL RES LTD</b> |                      |       |           |            | <b>585.52</b> | <b>467.65</b> | <b>117.87</b> |

|                      |               |        |           |           |          |          |           |
|----------------------|---------------|--------|-----------|-----------|----------|----------|-----------|
| <b>CANON INC ADR</b> |               |        |           |           |          |          |           |
| DELAWARE INT'L       | CANON INC ADR | 33.000 | 3/21/2005 | 1/23/2009 | 938.08   | 1,167.10 | -229.02   |
| DELAWARE INT'L       | CANON INC ADR | 35.000 | 3/21/2005 | 3/5/2009  | 774.05   | 1,237.83 | -463.78   |
| DELAWARE INT'L       | CANON INC ADR | 21.000 | 8/9/2005  | 3/5/2009  | 464.43   | 695.33   | -230.90   |
| DELAWARE INT'L       | CANON INC ADR | 54.000 | 1/26/2006 | 3/5/2009  | 1,194.26 | 2,184.53 | -990.27   |
| DELAWARE INT'L       | CANON INC ADR | 49.000 | 2/1/2006  | 3/5/2009  | 1,083.68 | 1,999.20 | -915.52   |
| Thornburg Alliance   | CANON INC ADR | 76.000 | 3/24/2008 | 2/5/2009  | 2,082.74 | 3,551.48 | -1,468.74 |

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| Account                                 | Security                 | Shares | Bought     | Sold      | Gross Proceeds   | Cost Basis       | Realized Gain/L. |
|-----------------------------------------|--------------------------|--------|------------|-----------|------------------|------------------|------------------|
| Thornburg Alliance                      | CANON INC ADR            | 28.000 | 4/17/2008  | 2/5/2009  | 767.33           | 1,356.84         | -589.51          |
| <b>TOTAL CANON INC ADR</b>              |                          |        |            |           | <b>7,304.57</b>  | <b>12,192.31</b> | <b>-4,887.74</b> |
| <b>CARDINAL HEALTH INC</b>              |                          |        |            |           |                  |                  |                  |
| MET WEST NFJ                            | CARDINAL HEALTH INC      | 10.000 | 2/19/2009  | 5/7/2009  | 365.19           | 362.09           | 3.10             |
| MET WEST NFJ                            | CARDINAL HEALTH INC      | 5.000  | 2/19/2009  | 7/30/2009 | 168.71           | 181.05           | -12.34           |
| MET WEST NFJ                            | CARDINAL HEALTH INC      | 30.000 | 2/20/2009  | 7/30/2009 | 1,012.26         | 1,080.89         | -68.63           |
| MET WEST NFJ                            | CARDINAL HEALTH INC      | 40.000 | 2/25/2009  | 7/30/2009 | 1,349.68         | 1,393.60         | -43.92           |
| MET WEST NFJ                            | CARDINAL HEALTH INC      | 45.000 | 3/3/2009   | 7/30/2009 | 1,518.39         | 1,392.65         | 125.74           |
| MET WEST NFJ                            | CARDINAL HEALTH INC      | 45.000 | 3/10/2009  | 7/30/2009 | 1,518.39         | 1,405.62         | 112.77           |
| <b>TOTAL CARDINAL HEALTH INC</b>        |                          |        |            |           | <b>5,932.62</b>  | <b>5,815.90</b>  | <b>116.72</b>    |
| <b>CARNIVAL CORP(PAIED STOCK)</b>       |                          |        |            |           |                  |                  |                  |
| Thornburg Alliance                      | CARNIVAL CORP(PAIED S... | 20.000 | 3/24/2008  | 5/4/2009  | 580.26           | 844.20           | -263.94          |
| <b>TOTAL CARNIVAL CORP(PAIED STOCK)</b> |                          |        |            |           | <b>580.26</b>    | <b>844.20</b>    | <b>-263.94</b>   |
| <b>CARREFOUR SA UNSPON ADR</b>          |                          |        |            |           |                  |                  |                  |
| DELAWARE INTL                           | CARREFOUR SA UNSPON ...  | 95.000 | 2/18/2009  | 3/5/2009  | 562.39           | 637.40           | -75.01           |
| <b>TOTAL CARREFOUR SA UNSPON ADR</b>    |                          |        |            |           | <b>562.39</b>    | <b>637.40</b>    | <b>-75.01</b>    |
| <b>CATERPILLAR INC</b>                  |                          |        |            |           |                  |                  |                  |
| MET WEST NFJ                            | CATERPILLAR INC          | 45.000 | 11/28/2007 | 5/7/2009  | 1,705.92         | 3,199.23         | -1,493.31        |
| MET WEST NFJ                            | CATERPILLAR INC          | 45.000 | 12/4/2007  | 5/27/2009 | 1,588.65         | 3,230.21         | -1,641.56        |
| MET WEST NFJ                            | CATERPILLAR INC          | 45.000 | 12/6/2007  | 5/27/2009 | 1,588.65         | 3,315.65         | -1,727.00        |
| MET WEST NFJ                            | CATERPILLAR INC          | 40.000 | 12/11/2007 | 5/27/2009 | 1,412.13         | 3,037.22         | -1,625.09        |
| MET WEST NFJ                            | CATERPILLAR INC          | 30.000 | 11/13/2008 | 5/27/2009 | 1,059.10         | 1,055.30         | 3.80             |
| WELLS BAIRD                             | CATERPILLAR INC          | 27.000 | 5/1/2009   | 5/1/2009  | 1,002.98         | 1,002.98         | 0.00             |
| WELLS BAIRD                             | CATERPILLAR INC          | 27.000 | 5/1/2009   | 6/30/2009 | 893.11           | 1,002.98         | -109.87          |
| WELLS BAIRD                             | CATERPILLAR INC          | 25.000 | 5/4/2009   | 6/30/2009 | 626.96           | 954.27           | -127.31          |
| WELLS BAIRD                             | CATERPILLAR INC          | 41.000 | 5/6/2009   | 6/30/2009 | 1,356.21         | 1,625.89         | -269.68          |
| WELLS BAIRD                             | CATERPILLAR INC          | 42.000 | 5/6/2009   | 7/1/2009  | 1,426.01         | 1,665.54         | -239.53          |
| WELLS BAIRD                             | CATERPILLAR INC          | 26.000 | 5/13/2009  | 7/1/2009  | 882.77           | 937.30           | -54.53           |
| WELLS BAIRD                             | CATERPILLAR INC          | 25.000 | 5/29/2009  | 7/1/2009  | 848.81           | 879.42           | -30.61           |
| <b>TOTAL CATERPILLAR INC</b>            |                          |        |            |           | <b>14,591.30</b> | <b>21,905.99</b> | <b>-7,314.69</b> |
| <b>CBS CORP NEWCLASS B</b>              |                          |        |            |           |                  |                  |                  |
| MET WEST NFJ                            | CBS CORP NEWCLASS B      | 75.000 | 5/31/2006  | 3/4/2009  | 278.13           | 1,930.81         | -1,652.68        |
| MET WEST NFJ                            | CBS CORP NEWCLASS B      | 5.000  | 6/7/2006   | 3/4/2009  | 18.54            | 133.36           | -114.82          |

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| Account                                               | Security                  | Shares  | Bought    | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/Loss |
|-------------------------------------------------------|---------------------------|---------|-----------|-----------|-----------------|------------------|--------------------|
| MET WEST NFJ                                          | CBS CORP NEWCLASS B       | 115.000 | 6/7/2006  | 3/11/2009 | 430.15          | 3,067.21         | -2,637.06          |
| MET WEST NFJ                                          | CBS CORP NEWCLASS B       | 65.000  | 6/26/2006 | 3/11/2009 | 243.13          | 1,720.96         | -1,477.83          |
| MET WEST NFJ                                          | CBS CORP NEWCLASS B       | 60.000  | 6/26/2006 | 3/12/2009 | 237.63          | 1,588.58         | -1,350.95          |
| MET WEST NFJ                                          | CBS CORP NEWCLASS B       | 130.000 | 6/30/2006 | 3/12/2009 | 514.86          | 3,530.51         | -3,015.65          |
| <b>TOTAL CBS CORP NEWCLASS B</b>                      |                           |         |           |           | <b>1,722.44</b> | <b>11,971.43</b> | <b>-10,248.99</b>  |
| <b>CENTURYTEL INC</b>                                 |                           |         |           |           |                 |                  |                    |
| MET WEST NFJ                                          | CENTURYTEL INC            | 0.500   | 7/1/2009  | 7/1/2009  | 15.47           | 12.36            | 3.11               |
| MET WEST NFJ                                          | CENTURYTEL INC            | 205.000 | 7/1/2009  | 7/30/2009 | 6,531.71        | 5,069.34         | 1,462.37           |
| <b>TOTAL CENTURYTEL INC</b>                           |                           |         |           |           | <b>6,547.18</b> | <b>5,081.70</b>  | <b>1,465.48</b>    |
| <b>CF INDUSTRIES HOLDINGS INC</b>                     |                           |         |           |           |                 |                  |                    |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS... | 12.000  | 4/8/2009  | 5/22/2009 | 972.71          | 854.33           | 118.38             |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS .. | 4.000   | 4/8/2009  | 5/27/2009 | 322.98          | 284.78           | 38.20              |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS .. | 5.000   | 4/9/2009  | 5/27/2009 | 403.72          | 361.29           | 42.43              |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS..  | 14.000  | 4/13/2009 | 5/27/2009 | 1,130.43        | 1,022.72         | 107.71             |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS... | 5.000   | 4/13/2009 | 5/28/2009 | 391.76          | 365.26           | 26.50              |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS... | 7.000   | 4/14/2009 | 5/28/2009 | 548.47          | 517.27           | 31.20              |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS... | 6.000   | 4/14/2009 | 5/29/2009 | 465.82          | 443.38           | 22.44              |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS... | 26.000  | 4/15/2009 | 5/29/2009 | 2,018.53        | 1,928.97         | 89.56              |
| WELLS BAIRD                                           | CF INDUSTRIES HOLDINGS... | 14.000  | 4/24/2009 | 5/29/2009 | 1,085.90        | 997.46           | 88.44              |
| <b>TOTAL CF INDUSTRIES HOLDINGS INC</b>               |                           |         |           |           | <b>7,341.32</b> | <b>6,775.46</b>  | <b>565.86</b>      |
| <b>CHEVRON</b>                                        |                           |         |           |           |                 |                  |                    |
| MET WEST NFJ                                          | CHEVRON                   | 10.000  | 9/17/2003 | 5/7/2009  | 682.59          | 364.55           | 318.04             |
| MET WEST NFJ                                          | CHEVRON                   | 90.000  | 9/17/2003 | 7/30/2009 | 6,079.50        | 3,280.95         | 2,798.55           |
| <b>TOTAL CHEVRON</b>                                  |                           |         |           |           | <b>6,762.09</b> | <b>3,645.50</b>  | <b>3,116.59</b>    |
| <b>CHINA LIFE INSURANCE COLTD-SPONSORED ADR</b>       |                           |         |           |           |                 |                  |                    |
| Thornburg Alliance                                    | CHINA LIFE INSURANCE C... | 7.000   | 4/1/2008  | 7/20/2009 | 450.51          | 389.28           | 61.23              |
| <b>TOTAL CHINA LIFE INSURANCE COLTD-SPONSORED ADR</b> |                           |         |           |           | <b>450.51</b>   | <b>389.28</b>    | <b>61.23</b>       |
| <b>CHINA MOBILE LTD SPONSORED ADR</b>                 |                           |         |           |           |                 |                  |                    |
| Thornburg Alliance                                    | CHINA MOBILE LTD SPONS... | 10.000  | 3/24/2008 | 1/16/2009 | 444.33          | 723.00           | -278.67            |
| Thornburg Alliance                                    | CHINA MOBILE LTD SPONS... | 18.000  | 3/24/2008 | 3/12/2009 | 780.95          | 1,301.40         | -520.45            |
| Thornburg Alliance                                    | CHINA MOBILE LTD SPONS... | 9.000   | 3/24/2008 | 4/2/2009  | 388.01          | 650.70           | -262.69            |
| Thornburg Alliance                                    | CHINA MOBILE LTD SPONS... | 42.000  | 3/24/2008 | 7/13/2009 | 1,966.58        | 3,036.60         | -1,070.02          |
| Thornburg Alliance                                    | CHINA MOBILE LTD SPONS... | 13.000  | 10/8/2008 | 7/13/2009 | 608.70          | 580.63           | 28.07              |

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| Account                                                    | Security                  | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|------------------------------------------------------------|---------------------------|---------|------------|------------|------------------|------------------|------------------|
| <b>TOTAL CHINA MOBILE LTD SPONSORED ADR</b>                |                           |         |            |            |                  |                  | <b>-2,103.76</b> |
|                                                            |                           |         |            |            | <b>4,188.57</b>  | <b>6,292.33</b>  |                  |
| <b>CISCO</b>                                               |                           |         |            |            |                  |                  |                  |
| WELLS BAIRD                                                | CISCO                     | 27.000  | 3/20/2007  | 4/7/2009   | 452.71           | 711.99           | -259.28          |
| WELLS BAIRD                                                | CISCO                     | 15.000  | 5/7/2007   | 4/7/2009   | 251.50           | 417.77           | -166.27          |
| WELLS BAIRD                                                | CISCO                     | 25.000  | 5/16/2007  | 4/7/2009   | 419.17           | 659.75           | -240.58          |
| WELLS BAIRD                                                | CISCO                     | 95.000  | 12/11/2007 | 4/7/2009   | 1,592.86         | 2,736.59         | -1,143.73        |
| WELLS BAIRD                                                | CISCO                     | 97.000  | 1/24/2008  | 4/7/2009   | 1,626.39         | 2,419.75         | -793.36          |
| WELLS BAIRD                                                | CISCO                     | 1.000   | 1/24/2008  | 10/20/2009 | 24.03            | 24.95            | -0.92            |
| WELLS BAIRD                                                | CISCO                     | 77.000  | 5/1/2008   | 10/20/2009 | 1,850.65         | 2,058.90         | -208.25          |
| WELLS BAIRD                                                | CISCO                     | 13.000  | 5/9/2008   | 10/20/2009 | 312.45           | 332.26           | -19.81           |
| WELLS BAIRD                                                | CISCO                     | 10.000  | 5/9/2008   | 12/17/2009 | 231.49           | 255.59           | -24.10           |
| <b>TOTAL CISCO</b>                                         |                           |         |            |            | <b>6,761.25</b>  | <b>9,617.55</b>  | <b>-2,856.30</b> |
| <b>CITIGROUP INC DTD 8/26/02 RMD 5.62508/27/2012</b>       |                           |         |            |            |                  |                  |                  |
| Pacific Highland                                           | CITIGROUP INC DTD 8/26/02 | 350.000 | 7/24/2008  | 8/25/2009  | 34,622.00        | 34,158.60        | 463.40           |
| <b>TOTAL CITIGROUP INC DTD 8/26/02 RMD 5.62508/27/2012</b> |                           |         |            |            | <b>34,622.00</b> | <b>34,158.60</b> | <b>463.40</b>    |
| <b>CME GROUP INC CLASS A</b>                               |                           |         |            |            |                  |                  |                  |
| WELLS BAIRD                                                | CME GROUP INC CLASS A     | 7.000   | 3/17/2009  | 4/29/2009  | 1,583.30         | 1,499.65         | 83.65            |
| WELLS BAIRD                                                | CME GROUP INC CLASS A     | 1.000   | 3/18/2009  | 4/29/2009  | 226.19           | 231.13           | -4.94            |
| WELLS BAIRD                                                | CME GROUP INC CLASS A     | 5.000   | 3/18/2009  | 4/29/2009  | 1,124.25         | 1,155.65         | -31.40           |
| WELLS BAIRD                                                | CME GROUP INC CLASS A     | 5.000   | 3/19/2009  | 4/29/2009  | 1,124.25         | 1,164.09         | -39.84           |
| WELLS BAIRD                                                | CME GROUP INC CLASS A     | 10.000  | 3/19/2009  | 4/29/2009  | 2,248.50         | 2,338.02         | -89.52           |
| <b>TOTAL CME GROUP INC CLASS A</b>                         |                           |         |            |            | <b>6,306.49</b>  | <b>6,388.54</b>  | <b>-82.05</b>    |
| <b>COMPANHIA VALE DI RIO ADRDOCE</b>                       |                           |         |            |            |                  |                  |                  |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 54.000  | 2/9/2009   | 3/3/2009   | 669.58           | 911.27           | -241.69          |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 13.000  | 2/9/2009   | 3/3/2009   | 161.20           | 219.97           | -58.77           |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 63.000  | 2/9/2009   | 3/4/2009   | 830.80           | 1,065.99         | -235.19          |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 63.000  | 2/9/2009   | 3/5/2009   | 816.08           | 1,065.99         | -249.91          |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 24.000  | 2/9/2009   | 3/5/2009   | 314.10           | 406.09           | -91.99           |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 39.000  | 2/9/2009   | 3/5/2009   | 510.42           | 653.93           | -143.51          |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 16.000  | 2/9/2009   | 3/6/2009   | 200.60           | 268.28           | -67.68           |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 58.000  | 2/10/2009  | 3/6/2009   | 727.16           | 926.58           | -199.42          |
| WELLS BAIRD                                                | COMPANHIA VALE DI RIO A.  | 61.000  | 2/20/2009  | 3/6/2009   | 764.77           | 826.01           | -61.24           |
| <b>TOTAL COMPANHIA VALE DI RIO ADRDOCE</b>                 |                           |         |            |            | <b>4,994.71</b>  | <b>6,344.11</b>  | <b>-1,349.40</b> |

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| Account                                                                  | Security                 | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|--------------------------------------------------------------------------|--------------------------|---------|------------|------------|------------------|------------------|------------------|
| <b>CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 R/MD 4.60 01/15/2015</b>       |                          |         |            |            |                  |                  |                  |
| Pacific Highland                                                         | CONOCOPHILLIPS BK/ENT.   | 30.000  | 6/3/2009   | 10/15/2009 | 3,172.50         | 3,093.94         | 78.56            |
| <b>TOTAL CONOCOPHILLIPS BK/ENTRY DTD 05/21/2009 R/MD 4.60 01/15/2015</b> |                          |         |            |            | <b>3,172.50</b>  | <b>3,093.94</b>  | <b>78.56</b>     |
| <b>COVIDIEN LTD</b>                                                      |                          |         |            |            |                  |                  |                  |
| WELLS BAIRD                                                              | COVIDIEN LTD             | 15.000  | 9/12/2008  | 3/4/2009   | 450.16           | 840.00           | -389.84          |
| WELLS BAIRD                                                              | COVIDIEN LTD             | 68.000  | 9/16/2008  | 3/4/2009   | 2,040.74         | 3,783.93         | -1,743.19        |
| WELLS BAIRD                                                              | COVIDIEN LTD             | 29.000  | 9/17/2008  | 3/4/2009   | 870.32           | 1,608.03         | -737.71          |
| WELLS BAIRD                                                              | COVIDIEN LTD             | 5.000   | 9/17/2008  | 3/5/2009   | 142.02           | 277.25           | -135.23          |
| WELLS BAIRD                                                              | COVIDIEN LTD             | 23.000  | 9/19/2008  | 3/5/2009   | 653.27           | 1,242.73         | -589.46          |
| WELLS BAIRD                                                              | COVIDIEN LTD             | 8.000   | 10/13/2008 | 3/5/2009   | 227.22           | 376.07           | -148.85          |
| WELLS BAIRD                                                              | COVIDIEN LTD             | 14.000  | 10/13/2008 | 3/6/2009   | 387.11           | 658.13           | -271.02          |
| WELLS BAIRD                                                              | COVIDIEN LTD             | 25.000  | 1/28/2009  | 3/6/2009   | 691.27           | 942.75           | -251.48          |
| <b>TOTAL COVIDIEN LTD</b>                                                |                          |         |            |            | <b>5,462.11</b>  | <b>9,728.89</b>  | <b>-4,266.78</b> |
| <b>CVS CORP</b>                                                          |                          |         |            |            |                  |                  |                  |
| WELLS BAIRD                                                              | CVS CORP                 | 48.000  | 11/16/2007 | 1/9/2009   | 1,242.10         | 2,023.16         | -781.06          |
| WELLS BAIRD                                                              | CVS CORP                 | 47.000  | 12/21/2007 | 1/9/2009   | 1,216.23         | 1,863.80         | -647.57          |
| WELLS BAIRD                                                              | CVS CORP                 | 25.000  | 4/4/2008   | 1/9/2009   | 646.93           | 1,005.00         | -358.07          |
| WELLS BAIRD                                                              | CVS CORP                 | 16.000  | 5/9/2008   | 1/9/2009   | 414.03           | 662.45           | -248.42          |
| WELLS BAIRD                                                              | CVS CORP                 | 41.000  | 5/9/2008   | 8/6/2009   | 1,395.17         | 1,697.52         | -302.35          |
| WELLS BAIRD                                                              | CVS CORP                 | 89.000  | 5/9/2008   | 11/5/2009  | 2,552.88         | 3,684.86         | -1,131.98        |
| WELLS BAIRD                                                              | CVS CORP                 | 86.000  | 5/9/2008   | 11/5/2009  | 2,474.02         | 3,560.65         | -1,086.63        |
| WELLS BAIRD                                                              | CVS CORP                 | 118.000 | 5/9/2008   | 11/6/2009  | 3,469.62         | 4,885.54         | -1,415.92        |
| WELLS BAIRD                                                              | CVS CORP                 | 25.000  | 1/28/2009  | 11/6/2009  | 735.09           | 705.15           | 29.94            |
| WELLS BAIRD                                                              | CVS CORP                 | 32.000  | 4/21/2009  | 11/6/2009  | 940.92           | 975.62           | -34.70           |
| <b>TOTAL CVS CORP</b>                                                    |                          |         |            |            | <b>15,086.99</b> | <b>21,063.75</b> | <b>-5,976.76</b> |
| <b>DELTA AIR LINES INC(NEW)</b>                                          |                          |         |            |            |                  |                  |                  |
| WELLS BAIRD                                                              | DELTA AIR LINES INC(NEW) | 140.000 | 1/20/2009  | 1/29/2009  | 1,143.26         | 1,600.86         | -457.60          |
| WELLS BAIRD                                                              | DELTA AIR LINES INC(NEW) | 92.000  | 1/20/2009  | 1/30/2009  | 640.43           | 1,051.99         | -411.56          |
| WELLS BAIRD                                                              | DELTA AIR LINES INC(NEW) | 37.000  | 1/21/2009  | 1/30/2009  | 257.57           | 351.73           | -94.16           |
| WELLS BAIRD                                                              | DELTA AIR LINES INC(NEW) | 20.000  | 1/21/2009  | 2/2/2009   | 140.92           | 190.13           | -49.21           |
| WELLS BAIRD                                                              | DELTA AIR LINES INC(NEW) | 46.000  | 1/22/2009  | 2/2/2009   | 324.11           | 478.70           | -154.59          |
| WELLS BAIRD                                                              | DELTA AIR LINES INC(NEW) | 129.000 | 1/27/2009  | 2/2/2009   | 908.92           | 1,036.30         | -127.38          |
| WELLS BAIRD                                                              | DELTA AIR LINES INC(NEW) | 50.000  | 1/28/2009  | 2/2/2009   | 352.29           | 424.85           | -72.56           |
| <b>TOTAL DELTA AIR LINES INC(NEW)</b>                                    |                          |         |            |            | <b>3,767.50</b>  | <b>5,134.56</b>  | <b>-1,367.06</b> |

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| Account                                    | Security              | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|--------------------------------------------|-----------------------|---------|------------|------------|------------------|------------------|------------------|
| <b>DEUTSCHE TELEKOM AG SP ADR</b>          |                       |         |            |            |                  |                  |                  |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 46 000  | 10/26/2006 | 1/23/2009  | 598 96           | 742 31           | -143 35          |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 28 000  | 3/12/2007  | 1/23/2009  | 364 59           | 464 83           | -100 24          |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 1 000   | 3/13/2007  | 1/23/2009  | 13 02            | 16 55            | -3 53            |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 26 000  | 3/13/2007  | 3/5/2009   | 302 19           | 430 42           | -128 23          |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 55 000  | 3/13/2007  | 3/5/2009   | 639 25           | 910 71           | -271 46          |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 96 000  | 4/13/2007  | 3/5/2009   | 1,115 78         | 1,705 56         | -589 78          |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 6 000   | 4/13/2007  | 3/5/2009   | 69 74            | 105 11           | -35 37           |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 108 000 | 5/9/2007   | 3/5/2009   | 1,255 26         | 1,848 50         | -593 24          |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 33 000  | 7/5/2007   | 3/5/2009   | 383 55           | 613 71           | -230 16          |
| DELAWARE INT'L                             | DEUTSCHE TELEKOM AG   | 75 000  | 7/6/2007   | 3/5/2009   | 871 70           | 1,394 87         | -523 17          |
| <b>TOTAL DEUTSCHE TELEKOM AG SP ADR</b>    |                       |         |            |            | <b>5,614.04</b>  | <b>8,232.57</b>  | <b>-2,618.53</b> |
| <b>DIAMOND OFFSHORE DRILLING INC</b>       |                       |         |            |            |                  |                  |                  |
| MET WEST NFJ                               | DIAMOND OFFSHORE DRIL | 30 000  | 12/19/2007 | 5/7/2009   | 2,333 04         | 3,872 08         | -1,539.04        |
| MET WEST NFJ                               | DIAMOND OFFSHORE DRIL | 20 000  | 12/26/2007 | 5/7/2009   | 1,555 36         | 2,963 94         | -1,408 58        |
| MET WEST NFJ                               | DIAMOND OFFSHORE DRIL | 20 000  | 1/2/2008   | 7/30/2009  | 1,795 55         | 2,822 86         | -1,027 31        |
| MET WEST NFJ                               | DIAMOND OFFSHORE DRIL | 25 000  | 1/7/2008   | 7/30/2009  | 2,244 44         | 3,349 97         | -1,105.53        |
| MET WEST NFJ                               | DIAMOND OFFSHORE DRIL | 35 000  | 1/12/2009  | 7/30/2009  | 3,142 21         | 2,038 60         | 1,103.61         |
| MET WEST NFJ                               | DIAMOND OFFSHORE DRIL | 60 000  | 7/27/2009  | 7/30/2009  | 5,386 65         | 5,556 88         | -170.23          |
| MET WEST NFJ                               | DIAMOND OFFSHORE DRIL | 5 000   | 7/29/2009  | 7/30/2009  | 448 89           | 451 50           | -2.61            |
| <b>TOTAL DIAMOND OFFSHORE DRILLING INC</b> |                       |         |            |            | <b>16,906.14</b> | <b>21,055.83</b> | <b>-4,149.69</b> |
| <b>DIRECTV GROUP INC</b>                   |                       |         |            |            |                  |                  |                  |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 10 000  | 2/13/2008  | 4/7/2009   | 237 41           | 247 37           | -9 96            |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 66 000  | 2/14/2008  | 4/7/2009   | 1,566 90         | 1,655 73         | -88.83           |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 25 000  | 4/4/2008   | 4/7/2009   | 593 52           | 659 95           | -66.43           |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 26 000  | 5/28/2008  | 4/7/2009   | 617 26           | 725 17           | -107 91          |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 86 000  | 5/28/2008  | 6/1/2009   | 1,976 68         | 2,398 64         | -421.96          |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 50 000  | 5/28/2008  | 8/12/2009  | 1,231 03         | 1,394 56         | -163.53          |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 38 000  | 5/28/2008  | 11/20/2009 | 1,059 87         | 1,059 87         | 0 00             |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 50 000  | 6/5/2008   | 11/20/2009 | 1,415 00         | 1,415 00         | 0 00             |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 49 000  | 11/7/2008  | 11/20/2009 | 1,081 21         | 1,081 21         | 0 00             |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 17 000  | 11/10/2008 | 11/20/2009 | 373 63           | 373 63           | 0 00             |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 17 000  | 11/11/2008 | 11/20/2009 | 365 46           | 365 46           | 0 00             |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 41 000  | 1/14/2009  | 11/20/2009 | 856 30           | 856 30           | 0 00             |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 50 000  | 1/28/2009  | 11/20/2009 | 1,106 40         | 1,106 40         | 0 00             |
| WELLS BAIRD                                | DIRECTV GROUP INC     | 27 000  | 3/6/2009   | 11/20/2009 | 523 63           | 523 63           | 0 00             |

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| Account                           | Security             | Shares  | Bought    | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/Loss |
|-----------------------------------|----------------------|---------|-----------|------------|------------------|------------------|--------------------|
| WELLS BAIRD                       | DIRECTV GROUP INC    | 77,000  | 5/4/2009  | 11/20/2009 | 1,828.30         | 1,828.30         | 0.00               |
| WELLS BAIRD                       | DIRECTV GROUP INC    | 44,000  | 8/6/2009  | 11/20/2009 | 1,100.59         | 1,100.59         | 0.00               |
| WELLS BAIRD                       | DIRECTV GROUP INC    | 41,000  | 8/6/2009  | 11/20/2009 | 1,022.59         | 1,022.59         | 0.00               |
| WELLS BAIRD                       | DIRECTV GROUP INC    | 50,000  | 10/5/2009 | 11/20/2009 | 1,353.05         | 1,353.05         | 0.00               |
| WELLS BAIRD                       | DIRECTV GROUP INC    | 41,000  | 10/6/2009 | 11/20/2009 | 1,128.79         | 1,128.79         | 0.00               |
| <b>TOTAL DIRECTV GROUP INC</b>    |                      |         |           |            | <b>19,437.62</b> | <b>20,296.24</b> | <b>-858.62</b>     |
| <b>DOW CHEMICAL</b>               |                      |         |           |            |                  |                  |                    |
| MET WEST NFJ                      | DOW CHEMICAL         | 150,000 | 9/23/2004 | 2/2/2009   | 1,652.77         | 6,271.50         | -4,618.73          |
| MET WEST NFJ                      | DOW CHEMICAL         | 20,000  | 9/23/2004 | 2/11/2009  | 200.72           | 836.20           | -635.48            |
| MET WEST NFJ                      | DOW CHEMICAL         | 15,000  | 9/23/2004 | 2/12/2009  | 146.58           | 627.15           | -480.57            |
| MET WEST NFJ                      | DOW CHEMICAL         | 45,000  | 2/5/2007  | 2/12/2009  | 439.74           | 1,863.27         | -1,423.53          |
| MET WEST NFJ                      | DOW CHEMICAL         | 50,000  | 2/5/2007  | 2/17/2009  | 444.20           | 2,070.30         | -1,626.10          |
| MET WEST NFJ                      | DOW CHEMICAL         | 95,000  | 2/6/2007  | 2/17/2009  | 843.99           | 3,960.27         | -3,116.28          |
| MET WEST NFJ                      | DOW CHEMICAL         | 15,000  | 2/14/2007 | 2/17/2009  | 133.26           | 642.99           | -509.73            |
| MET WEST NFJ                      | DOW CHEMICAL         | 65,000  | 2/14/2007 | 2/23/2009  | 485.34           | 2,786.28         | -2,300.94          |
| MET WEST NFJ                      | DOW CHEMICAL         | 35,000  | 3/8/2007  | 2/23/2009  | 261.34           | 1,494.70         | -1,233.36          |
| MET WEST NFJ                      | DOW CHEMICAL         | 35,000  | 3/13/2007 | 2/23/2009  | 261.34           | 1,503.01         | -1,241.67          |
| MET WEST NFJ                      | DOW CHEMICAL         | 5,000   | 3/14/2007 | 2/23/2009  | 37.33            | 215.00           | -177.67            |
| MET WEST NFJ                      | DOW CHEMICAL         | 10,000  | 3/22/2007 | 2/23/2009  | 74.67            | 455.26           | -380.59            |
| MET WEST NFJ                      | DOW CHEMICAL         | 95,000  | 3/22/2007 | 3/2/2009   | 673.60           | 4,324.99         | -3,651.39          |
| MET WEST NFJ                      | DOW CHEMICAL         | 95,000  | 1/12/2009 | 3/2/2009   | 673.60           | 1,496.55         | -822.95            |
| WELLS BAIRD                       | DOW CHEMICAL         | 10,000  | 8/28/2009 | 10/20/2009 | 267.38           | 217.51           | 49.87              |
| WELLS BAIRD                       | DOW CHEMICAL         | 88,000  | 8/28/2009 | 10/21/2009 | 2,268.24         | 1,914.06         | 354.18             |
| WELLS BAIRD                       | DOW CHEMICAL         | 31,000  | 8/28/2009 | 10/21/2009 | 820.78           | 674.27           | 146.51             |
| WELLS BAIRD                       | DOW CHEMICAL         | 56,000  | 8/31/2009 | 10/21/2009 | 1,482.69         | 1,194.04         | 288.65             |
| <b>TOTAL DOW CHEMICAL</b>         |                      |         |           |            | <b>11,167.57</b> | <b>32,547.35</b> | <b>-21,379.78</b>  |
| <b>E ONAG SPONS ADR</b>           |                      |         |           |            |                  |                  |                    |
| Thornburg Alliance                | E ONAG SPONS ADR     | 75,000  | 3/24/2008 | 3/13/2009  | 1,785.42         | 4,732.13         | -2,946.71          |
| <b>TOTAL E ONAG SPONS ADR</b>     |                      |         |           |            | <b>1,785.42</b>  | <b>4,732.13</b>  | <b>-2,946.71</b>   |
| <b>EDISON INTERNATIONAL</b>       |                      |         |           |            |                  |                  |                    |
| MET WEST NFJ                      | EDISON INTERNATIONAL | 55,000  | 3/4/2009  | 7/30/2009  | 1,798.64         | 1,377.55         | 421.09             |
| MET WEST NFJ                      | EDISON INTERNATIONAL | 50,000  | 3/10/2009 | 7/30/2009  | 1,635.13         | 1,235.95         | 399.18             |
| MET WEST NFJ                      | EDISON INTERNATIONAL | 45,000  | 3/17/2009 | 7/30/2009  | 1,471.61         | 1,267.20         | 204.41             |
| MET WEST NFJ                      | EDISON INTERNATIONAL | 60,000  | 3/20/2009 | 7/30/2009  | 1,962.15         | 1,739.92         | 222.23             |
| <b>TOTAL EDISON INTERNATIONAL</b> |                      |         |           |            | <b>6,867.53</b>  | <b>5,620.62</b>  | <b>1,246.91</b>    |

# Part IV, Line 2 2009 Capital Gains - Last year

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| Account                                                             | Security                | Shares  | Bought     | Sold       | Gross Proceeds | Cost Basis       | Realized Gain/L. |
|---------------------------------------------------------------------|-------------------------|---------|------------|------------|----------------|------------------|------------------|
| <b>EMBARQ CORP</b>                                                  |                         |         |            |            |                |                  |                  |
| MET WEST NFJ                                                        | EMBARQ CORP             | 15,000  | 2/20/2009  | 5/7/2009   | 614.83         | 516.25           | 98.58            |
| MET WEST NFJ                                                        | EMBARQ CORP             | 10,000  | 2/20/2009  | 7/1/2009   | 344.17         | 344.17           | 0.00             |
| MET WEST NFJ                                                        | EMBARQ CORP             | 20,000  | 2/23/2009  | 7/1/2009   | 679.93         | 679.93           | 0.00             |
| MET WEST NFJ                                                        | EMBARQ CORP             | 35,000  | 3/2/2009   | 7/1/2009   | 1,180.93       | 1,180.93         | 0.00             |
| MET WEST NFJ                                                        | EMBARQ CORP             | 15,000  | 3/4/2009   | 7/1/2009   | 513.61         | 513.61           | 0.00             |
| MET WEST NFJ                                                        | EMBARQ CORP             | 25,000  | 3/5/2009   | 7/1/2009   | 844.26         | 844.26           | 0.00             |
| MET WEST NFJ                                                        | EMBARQ CORP             | 10,000  | 3/12/2009  | 7/1/2009   | 350.09         | 350.09           | 0.00             |
| MET WEST NFJ                                                        | EMBARQ CORP             | 35,000  | 3/13/2009  | 7/1/2009   | 1,168.71       | 1,168.71         | 0.00             |
| <b>TOTAL EMBARQ CORP</b>                                            |                         |         |            |            |                | <b>5,597.95</b>  | <b>98.58</b>     |
| <b>EMBRAER-EMPRESA BRASILEIRA DE AERONAUTICA S A SPON ADR</b>       |                         |         |            |            |                |                  |                  |
| Thornburg Alliance                                                  | EMBRAER-EMPRESA BRAS... | 21,000  | 3/24/2008  | 8/11/2009  | 491.64         | 857.64           | -366.00          |
| <b>TOTAL EMBRAER-EMPRESA BRASILEIRA DE AERONAUTICA S A SPON ADR</b> |                         |         |            |            |                | <b>491.64</b>    | <b>-366.00</b>   |
| <b>EMC</b>                                                          |                         |         |            |            |                |                  |                  |
| WELLS BAIRD                                                         | EMC                     | 74,000  | 10/27/2008 | 2/10/2009  | 883.03         | 737.48           | 145.55           |
| WELLS BAIRD                                                         | EMC                     | 48,000  | 10/27/2008 | 2/27/2009  | 509.30         | 478.37           | 30.93            |
| WELLS BAIRD                                                         | EMC                     | 101,000 | 10/28/2008 | 2/27/2009  | 1,071.64       | 1,059.72         | 11.92            |
| WELLS BAIRD                                                         | EMC                     | 89,000  | 10/29/2008 | 3/4/2009   | 943.54         | 934.50           | 9.04             |
| WELLS BAIRD                                                         | EMC                     | 55,000  | 10/31/2008 | 3/4/2009   | 583.09         | 651.82           | -68.73           |
| WELLS BAIRD                                                         | EMC                     | 43,000  | 10/31/2008 | 3/20/2009  | 494.47         | 509.60           | -15.13           |
| WELLS BAIRD                                                         | EMC                     | 34,000  | 11/10/2008 | 3/20/2009  | 390.97         | 363.34           | 27.63            |
| WELLS BAIRD                                                         | EMC                     | 16,000  | 11/10/2008 | 3/30/2009  | 200.21         | 192.35           | 7.86             |
| WELLS BAIRD                                                         | EMC                     | 53,000  | 11/11/2008 | 3/30/2009  | 589.50         | 541.58           | 47.92            |
| WELLS BAIRD                                                         | EMC                     | 2,000   | 12/11/2008 | 3/30/2009  | 22.25          | 21.03            | 1.22             |
| WELLS BAIRD                                                         | EMC                     | 83,000  | 12/11/2008 | 4/15/2009  | 1,032.33       | 872.83           | 159.50           |
| WELLS BAIRD                                                         | EMC                     | 21,000  | 12/15/2008 | 4/15/2009  | 261.19         | 226.76           | 34.43            |
| WELLS BAIRD                                                         | EMC                     | 225,000 | 1/28/2009  | 4/15/2009  | 2,798.49       | 2,562.08         | 236.41           |
| WELLS BAIRD                                                         | EMC                     | 103,000 | 8/6/2009   | 10/20/2009 | 1,822.82       | 1,564.29         | 258.53           |
| WELLS BAIRD                                                         | EMC                     | 59,000  | 8/6/2009   | 10/21/2009 | 1,049.20       | 896.05           | 153.15           |
| WELLS BAIRD                                                         | EMC                     | 71,000  | 8/7/2009   | 10/21/2009 | 1,262.60       | 1,083.53         | 179.07           |
| <b>TOTAL EMC</b>                                                    |                         |         |            |            |                | <b>12,695.33</b> | <b>1,219.30</b>  |
| <b>ENCANA CORP-CAD</b>                                              |                         |         |            |            |                |                  |                  |
| MET WEST NFJ                                                        | ENCANA CORP-CAD         | 30,000  | 8/25/2008  | 5/7/2009   | 1,574.75       | 2,135.08         | -560.33          |
| MET WEST NFJ                                                        | ENCANA CORP-CAD         | 10,000  | 8/26/2008  | 5/7/2009   | 524.92         | 729.81           | -204.89          |

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| Account                      | Security        | Shares | Bought    | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/L.. |
|------------------------------|-----------------|--------|-----------|-----------|-----------------|------------------|-------------------|
| MET WEST NFJ                 | ENCANA CORP-CAD | 5,000  | 9/2/2008  | 5/7/2009  | 262.46          | 353.48           | -91.02            |
| MET WEST NFJ                 | ENCANA CORP-CAD | 25,000 | 9/2/2008  | 7/30/2009 | 1,309.44        | 1,767.38         | -457.94           |
| MET WEST NFJ                 | ENCANA CORP-CAD | 45,000 | 9/8/2008  | 7/30/2009 | 2,356.99        | 3,066.69         | -709.70           |
| MET WEST NFJ                 | ENCANA CORP-CAD | 45,000 | 9/15/2008 | 7/30/2009 | 2,356.99        | 2,929.01         | -572.02           |
| <b>TOTAL ENCANA CORP-CAD</b> |                 |        |           |           | <b>8,385.55</b> | <b>10,981.45</b> | <b>-2,595.90</b>  |

## EQUINIX INC

|                          |             |        |            |           |                 |                 |                  |
|--------------------------|-------------|--------|------------|-----------|-----------------|-----------------|------------------|
| WELLS BAIRD              | EQUINIX INC | 2,000  | 8/2/2007   | 5/8/2009  | 135.47          | 178.18          | -42.71           |
| WELLS BAIRD              | EQUINIX INC | 4,000  | 8/3/2007   | 5/8/2009  | 270.93          | 355.93          | -85.00           |
| WELLS BAIRD              | EQUINIX INC | 5,000  | 8/3/2007   | 6/22/2009 | 345.04          | 444.92          | -99.88           |
| WELLS BAIRD              | EQUINIX INC | 2,000  | 8/3/2007   | 6/23/2009 | 136.05          | 177.97          | -41.92           |
| WELLS BAIRD              | EQUINIX INC | 7,000  | 8/6/2007   | 6/23/2009 | 476.17          | 614.12          | -137.95          |
| WELLS BAIRD              | EQUINIX INC | 1,000  | 8/6/2007   | 6/23/2009 | 68.07           | 87.73           | -19.66           |
| WELLS BAIRD              | EQUINIX INC | 5,000  | 8/7/2007   | 6/23/2009 | 340.37          | 444.76          | -104.39          |
| WELLS BAIRD              | EQUINIX INC | 3,000  | 8/7/2007   | 6/24/2009 | 206.89          | 266.86          | -59.97           |
| WELLS BAIRD              | EQUINIX INC | 7,000  | 8/8/2007   | 6/24/2009 | 482.74          | 680.53          | -197.79          |
| WELLS BAIRD              | EQUINIX INC | 8,000  | 8/9/2007   | 6/24/2009 | 551.70          | 780.01          | -228.31          |
| WELLS BAIRD              | EQUINIX INC | 2,000  | 8/9/2007   | 6/25/2009 | 139.23          | 195.00          | -55.77           |
| WELLS BAIRD              | EQUINIX INC | 9,000  | 9/21/2007  | 6/25/2009 | 626.54          | 762.87          | -136.33          |
| WELLS BAIRD              | EQUINIX INC | 2,000  | 12/20/2007 | 6/25/2009 | 139.23          | 194.21          | -54.98           |
| WELLS BAIRD              | EQUINIX INC | 1,000  | 12/21/2007 | 6/25/2009 | 69.62           | 102.79          | -33.17           |
| WELLS BAIRD              | EQUINIX INC | 2,000  | 12/21/2007 | 6/25/2009 | 139.62          | 205.59          | -65.97           |
| WELLS BAIRD              | EQUINIX INC | 16,000 | 5/9/2008   | 6/25/2009 | 1,116.95        | 1,411.04        | -294.09          |
| WELLS BAIRD              | EQUINIX INC | 20,000 | 5/9/2008   | 6/26/2009 | 1,393.01        | 1,763.80        | -370.79          |
| WELLS BAIRD              | EQUINIX INC | 14,000 | 5/9/2008   | 6/29/2009 | 966.15          | 1,234.66        | -268.51          |
| <b>TOTAL EQUINIX INC</b> |             |        |            |           | <b>7,603.78</b> | <b>9,900.97</b> | <b>-2,297.19</b> |

## FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 01/16/2004

|                                                                               |                         |        |           |            |                  |                  |               |
|-------------------------------------------------------------------------------|-------------------------|--------|-----------|------------|------------------|------------------|---------------|
| Pacific Highland                                                              | FEDERAL HOME LOAN MT... | 70,000 | 9/24/2008 | 5/7/2009   | 7,658.88         | 7,156.31         | 502.57        |
| Pacific Highland                                                              | FEDERAL HOME LOAN MT... | 30,000 | 9/24/2008 | 10/15/2009 | 3,242.81         | 3,066.99         | 175.82        |
| <b>TOTAL FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES DTD 01/16/2004</b> |                         |        |           |            | <b>10,901.69</b> | <b>10,223.30</b> | <b>678.39</b> |

## FEDERAL HOME LOAN MTG CORP MED TERM NTS BOOKENTRY DTD 02/19/2009 R/M/D 2.125

|                                                                                     |                         |        |           |            |                 |                 |               |
|-------------------------------------------------------------------------------------|-------------------------|--------|-----------|------------|-----------------|-----------------|---------------|
| Pacific Highland                                                                    | FEDERAL HOME LOAN MT... | 60,000 | 7/23/2009 | 10/15/2009 | 6,075.00        | 6,133.81        | -58.81        |
| <b>TOTAL FEDERAL HOME LOAN MTG CORP MED TERM NTS BOOKENTRY DTD 02/19/2009 R/M/D</b> |                         |        |           |            | <b>6,075.00</b> | <b>6,133.81</b> | <b>-58.81</b> |

## FEDERAL HOME LOAN MTG CORP NOTES-GLOBAL BOOKENTRY DTD 9/22/00

|                  |                         |        |           |          |          |          |       |
|------------------|-------------------------|--------|-----------|----------|----------|----------|-------|
| Pacific Highland | FEDERAL HOME LOAN MT... | 40,000 | 7/22/2008 | 5/7/2009 | 4,336.74 | 4,282.50 | 54.24 |
|------------------|-------------------------|--------|-----------|----------|----------|----------|-------|

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| Account                                                                    | Security                | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L... |
|----------------------------------------------------------------------------|-------------------------|---------|------------|------------|------------------|------------------|--------------------|
| Pacific Highland                                                           | FEDERAL HOME LOAN MT... | 790,000 | 7/22/2008  | 7/23/2009  | 86,484.49        | 84,579.38        | 1,905.11           |
| Pacific Highland                                                           | FEDERAL HOME LOAN MT... | 30,000  | 8/26/2008  | 7/23/2009  | 3,284.22         | 3,220.31         | 63.91              |
| <b>TOTAL FEDERAL HOME LOAN MTG CORP NOTES GLOBAL BOOKENTRY DTD 9/22/00</b> |                         |         |            |            | <b>94,105.45</b> | <b>92,082.19</b> | <b>2,023.26</b>    |
| <b>FEDERAL NATL MTG ASSNGLOBAL DEBS-BK/ENTRY DTD 03/26/2001</b>            |                         |         |            |            |                  |                  |                    |
| Pacific Highland                                                           | FEDERAL NATL MTG ASSN   | 50,000  | 7/22/2008  | 5/7/2009   | 5,376.43         | 5,228.13         | 148.30             |
| Pacific Highland                                                           | FEDERAL NATL MTG ASSN   | 30,000  | 7/22/2008  | 10/15/2009 | 3,182.81         | 3,136.88         | 45.93              |
| <b>TOTAL FEDERAL NATL MTG ASSNGLOBAL DEBS-BK/ENTRY DTD 03/26/2001</b>      |                         |         |            |            | <b>8,559.24</b>  | <b>8,365.01</b>  | <b>194.23</b>      |
| <b>FIRST SOLAR INC</b>                                                     |                         |         |            |            |                  |                  |                    |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 2,000   | 1/25/2008  | 2/6/2009   | 292.71           | 345.18           | -52.47             |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 2,000   | 1/28/2008  | 2/6/2009   | 292.71           | 349.65           | -56.94             |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 5,000   | 2/20/2008  | 2/6/2009   | 731.77           | 1,074.16         | -342.39            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 2,000   | 4/4/2008   | 2/6/2009   | 292.71           | 551.70           | -258.99            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 2,000   | 5/1/2008   | 2/6/2009   | 292.71           | 552.27           | -259.56            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 1,000   | 5/1/2008   | 2/10/2009  | 146.03           | 276.13           | -130.10            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 5,000   | 5/9/2008   | 2/10/2009  | 730.13           | 1,411.80         | -681.67            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 5,000   | 5/9/2008   | 2/17/2009  | 671.16           | 1,411.80         | -740.64            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 15,000  | 5/9/2008   | 2/18/2009  | 1,965.44         | 4,235.40         | -2,269.96          |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 8,000   | 6/5/2008   | 2/18/2009  | 1,048.23         | 1,991.28         | -943.05            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 7,000   | 6/5/2008   | 2/26/2009  | 730.88           | 1,742.37         | -1,011.49          |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 4,000   | 6/6/2008   | 2/26/2009  | 417.65           | 1,021.89         | -604.24            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 7,000   | 6/11/2008  | 2/26/2009  | 730.88           | 1,798.00         | -1,067.12          |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 10,000  | 10/31/2008 | 2/26/2009  | 1,044.12         | 1,451.41         | -407.29            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 6,000   | 1/28/2009  | 2/26/2009  | 626.47           | 877.20           | -250.73            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 5,000   | 4/29/2009  | 8/6/2009   | 721.19           | 761.46           | -40.27             |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 12,000  | 4/30/2009  | 8/6/2009   | 1,730.87         | 2,249.75         | -518.88            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 1,000   | 4/30/2009  | 8/6/2009   | 142.36           | 187.48           | -45.12             |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 14,000  | 6/8/2009   | 8/6/2009   | 1,993.03         | 2,544.40         | -551.37            |
| WELLS BAIRD                                                                | FIRST SOLAR INC         | 2,000   | 7/2/2009   | 8/6/2009   | 284.72           | 313.03           | -28.31             |
| <b>TOTAL FIRST SOLAR INC</b>                                               |                         |         |            |            | <b>14,885.77</b> | <b>25,146.36</b> | <b>-10,260.59</b>  |
| <b>FLUOR CORP NEW</b>                                                      |                         |         |            |            |                  |                  |                    |
| WELLS BAIRD                                                                | FLUOR CORP NEW          | 25,000  | 3/9/2009   | 10/6/2009  | 1,201.42         | 845.22           | 356.20             |
| WELLS BAIRD                                                                | FLUOR CORP NEW          | 51,000  | 3/10/2009  | 10/6/2009  | 2,450.89         | 1,901.41         | 549.48             |
| WELLS BAIRD                                                                | FLUOR CORP NEW          | 24,000  | 3/10/2009  | 10/6/2009  | 1,153.36         | 894.95           | 258.41             |
| WELLS BAIRD                                                                | FLUOR CORP NEW          | 10,000  | 4/23/2009  | 10/6/2009  | 480.57           | 388.36           | 92.21              |
| WELLS BAIRD                                                                | FLUOR CORP NEW          | 7,000   | 4/23/2009  | 10/7/2009  | 337.72           | 271.85           | 65.87              |

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| Account                         | Security           | Shares  | Bought    | Sold      | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|---------------------------------|--------------------|---------|-----------|-----------|------------------|------------------|------------------|
| WELLS BAIRD                     | FLUOR CORP NEW     | 23.000  | 5/12/2009 | 10/7/2009 | 1,109.66         | 1,046.98         | 62.68            |
| WELLS BAIRD                     | FLUOR CORP NEW     | 19.000  | 6/1/2009  | 10/7/2009 | 916.68           | 960.06           | -43.38           |
| WELLS BAIRD                     | FLUOR CORP NEW     | 10.000  | 6/2/2009  | 10/7/2009 | 482.46           | 505.21           | -22.75           |
| WELLS BAIRD                     | FLUOR CORP NEW     | 10.000  | 6/2/2009  | 10/8/2009 | 491.64           | 505.21           | -13.57           |
| WELLS BAIRD                     | FLUOR CORP NEW     | 12.000  | 8/6/2009  | 10/8/2009 | 589.97           | 673.94           | -83.97           |
| WELLS BAIRD                     | FLUOR CORP NEW     | 25.000  | 8/7/2009  | 10/8/2009 | 1,229.10         | 1,454.96         | -225.86          |
| <b>TOTAL FLUOR CORP NEW</b>     |                    |         |           |           | <b>10,443.47</b> | <b>9,448.15</b>  | <b>995.32</b>    |
| <b>FORD MOTOR COMPANY</b>       |                    |         |           |           |                  |                  |                  |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 73.000  | 4/29/2009 | 5/1/2009  | 421.58           | 393.45           | 28.13            |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 73.000  | 4/29/2009 | 5/1/2009  | 421.58           | 392.06           | 29.52            |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 198.000 | 4/30/2009 | 5/1/2009  | 1,143.45         | 1,156.08         | -12.63           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 187.000 | 4/30/2009 | 7/24/2009 | 1,262.13         | 1,091.86         | 170.27           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 41.000  | 5/1/2009  | 7/24/2009 | 276.72           | 236.78           | 39.94            |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 126.000 | 5/1/2009  | 8/3/2009  | 1,090.44         | 727.65           | 362.79           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 177.000 | 5/1/2009  | 8/6/2009  | 1,433.19         | 1,022.18         | 411.01           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 102.000 | 5/1/2009  | 8/6/2009  | 825.90           | 589.05           | 236.85           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 153.000 | 5/1/2009  | 9/11/2009 | 1,124.00         | 883.58           | 240.42           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 89.000  | 5/1/2009  | 9/15/2009 | 647.78           | 513.98           | 133.80           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 93.000  | 5/13/2009 | 9/15/2009 | 676.89           | 456.60           | 220.29           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 162.000 | 5/13/2009 | 9/16/2009 | 1,166.78         | 795.37           | 371.41           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 184.000 | 5/13/2009 | 9/16/2009 | 1,315.62         | 903.38           | 412.24           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 279.000 | 5/13/2009 | 9/17/2009 | 1,936.13         | 1,369.81         | 566.32           |
| WELLS BAIRD                     | FORD MOTOR COMPANY | 285.000 | 5/13/2009 | 9/18/2009 | 1,984.77         | 1,399.26         | 585.51           |
| <b>TOTAL FORD MOTOR COMPANY</b> |                    |         |           |           | <b>15,726.96</b> | <b>11,931.09</b> | <b>3,795.87</b>  |
| <b>FORTIS NL</b>                |                    |         |           |           |                  |                  |                  |
| DELAWARE INT'L                  | FORTIS NL          | 63.000  | 3/21/2005 | 1/23/2009 | 100.00           | 1,852.20         | -1,752.20        |
| DELAWARE INT'L                  | FORTIS NL          | 24.000  | 4/7/2005  | 1/23/2009 | 38.09            | 687.69           | -649.60          |
| DELAWARE INT'L                  | FORTIS NL          | 60.000  | 1/26/2006 | 1/23/2009 | 95.23            | 2,076.00         | -1,980.77        |
| DELAWARE INT'L                  | FORTIS NL          | 63.000  | 2/1/2006  | 1/23/2009 | 100.00           | 2,220.75         | -2,120.75        |
| <b>TOTAL FORTIS NL</b>          |                    |         |           |           | <b>333.32</b>    | <b>6,836.64</b>  | <b>-6,503.32</b> |
| <b>FOSTERS GROUP LTD</b>        |                    |         |           |           |                  |                  |                  |
| DELAWARE INT'L                  | FOSTERS GROUP LTD  | 99.000  | 3/21/2005 | 1/23/2009 | 341.42           | 417.78           | -76.36           |
| DELAWARE INT'L                  | FOSTERS GROUP LTD  | 187.000 | 3/21/2005 | 3/5/2009  | 625.13           | 789.14           | -164.01          |
| DELAWARE INT'L                  | FOSTERS GROUP LTD  | 183.000 | 1/26/2006 | 3/5/2009  | 611.76           | 753.96           | -142.20          |
| DELAWARE INT'L                  | FOSTERS GROUP LTD  | 228.000 | 2/1/2006  | 3/5/2009  | 762.19           | 946.20           | -184.01          |

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| Account                                           | Security             | Shares  | Bought     | Sold       | Gross Proceeds | Cost Basis | Realized Gain/L  |
|---------------------------------------------------|----------------------|---------|------------|------------|----------------|------------|------------------|
| <b>TOTAL FOSTERS GROUP LTD</b>                    |                      |         |            |            |                |            | <b>-566.58</b>   |
| <b>FREEPORT MCMORAN COPPER &amp; GOLD B</b>       |                      |         |            |            |                |            |                  |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 15,000  | 7/15/2009  | 9/21/2009  | 1,051.39       | 767.26     | 284.13           |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 1,000   | 7/15/2009  | 11/12/2009 | 80.94          | 51.15      | 29.79            |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 13,000  | 7/16/2009  | 11/12/2009 | 1,052.21       | 682.52     | 369.69           |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 3,000   | 7/16/2009  | 11/13/2009 | 244.30         | 157.50     | 86.80            |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 12,000  | 7/17/2009  | 11/13/2009 | 977.22         | 667.26     | 309.96           |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 14,000  | 7/17/2009  | 11/13/2009 | 1,144.04       | 778.47     | 365.57           |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 15,000  | 7/17/2009  | 11/16/2009 | 1,257.22       | 834.07     | 423.15           |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 3,000   | 7/17/2009  | 11/30/2009 | 248.02         | 166.81     | 81.21            |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 13,000  | 7/24/2009  | 11/30/2009 | 1,074.76       | 772.63     | 302.13           |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 16,000  | 7/27/2009  | 11/30/2009 | 1,322.79       | 958.03     | 364.76           |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 8,000   | 7/27/2009  | 12/1/2009  | 676.46         | 479.01     | 197.45           |
| WELLS BAIRD                                       | FREEPORT MCMORAN CO. | 6,000   | 7/28/2009  | 12/1/2009  | 507.35         | 348.84     | 158.51           |
| <b>TOTAL FREEPORT MCMORAN COPPER &amp; GOLD B</b> |                      |         |            |            |                |            | <b>2,973.15</b>  |
| <b>GAM HOLDING AG</b>                             |                      |         |            |            |                |            |                  |
| Thornburg Alliance                                | GAM HOLDING AG       | 101,000 | 10/15/2009 | 11/12/2009 | 253.44         | 699.94     | -446.50          |
| Thornburg Alliance                                | GAM HOLDING AG       | 200,000 | 10/15/2009 | 11/13/2009 | 494.98         | 1,386.02   | -891.04          |
| Thornburg Alliance                                | GAM HOLDING AG       | 91,000  | 10/15/2009 | 11/16/2009 | 219.41         | 630.64     | -411.23          |
| <b>TOTAL GAM HOLDING AG</b>                       |                      |         |            |            |                |            | <b>-1,748.77</b> |
| <b>GE</b>                                         |                      |         |            |            |                |            |                  |
| MET WEST NFJ                                      | GE                   | 20,000  | 9/17/2008  | 4/14/2009  | 245.24         | 467.65     | -222.41          |
| MET WEST NFJ                                      | GE                   | 90,000  | 9/17/2008  | 4/16/2009  | 1,063.31       | 2,104.45   | -1,041.14        |
| MET WEST NFJ                                      | GE                   | 35,000  | 9/18/2008  | 4/16/2009  | 413.51         | 799.78     | -386.27          |
| MET WEST NFJ                                      | GE                   | 75,000  | 9/18/2008  | 4/20/2009  | 854.56         | 1,713.82   | -859.26          |
| MET WEST NFJ                                      | GE                   | 45,000  | 9/22/2008  | 4/20/2009  | 512.74         | 1,208.27   | -695.53          |
| MET WEST NFJ                                      | GE                   | 40,000  | 9/22/2008  | 4/23/2009  | 467.28         | 1,074.02   | -606.74          |
| MET WEST NFJ                                      | GE                   | 110,000 | 9/24/2008  | 4/23/2009  | 1,285.03       | 2,646.11   | -1,361.08        |
| <b>TOTAL GE</b>                                   |                      |         |            |            |                |            | <b>-5,172.43</b> |
| <b>GENENTECH</b>                                  |                      |         |            |            |                |            |                  |
| WELLS BAIRD                                       | GENENTECH            | 11,000  | 2/19/2009  | 2/27/2009  | 941.65         | 934.80     | 6.85             |
| WELLS BAIRD                                       | GENENTECH            | 2,000   | 2/19/2009  | 2/27/2009  | 171.21         | 170.12     | 1.09             |
| WELLS BAIRD                                       | GENENTECH            | 8,000   | 2/19/2009  | 3/12/2009  | 752.15         | 680.50     | 71.65            |
| WELLS BAIRD                                       | GENENTECH            | 9,000   | 2/20/2009  | 3/12/2009  | 846.17         | 765.01     | 81.16            |

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| Account                                                  | Security              | Shares | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L |
|----------------------------------------------------------|-----------------------|--------|------------|------------|------------------|------------------|-----------------|
| WELLS BAIRD                                              | GENENTECH             | 12.000 | 2/20/2009  | 3/18/2009  | 1,124.18         | 1,020.02         | 104.16          |
| WELLS BAIRD                                              | GENENTECH             | 20.000 | 3/4/2009   | 3/18/2009  | 1,873.63         | 1,642.35         | 231.28          |
| <b>TOTAL GENENTECH</b>                                   |                       |        |            |            | <b>5,708.99</b>  | <b>5,212.80</b>  | <b>496.19</b>   |
|                                                          |                       |        |            |            |                  |                  |                 |
| <b>GENERAL ELEC CAP CORPBOOKENTRY DD 2/13/2007</b>       |                       |        |            |            |                  |                  |                 |
| Pacific Highland                                         | GENERAL ELEC CAP CORP | 40.000 | 9/23/2008  | 5/7/2009   | 3,581.56         | 3,695.04         | -113.48         |
| Pacific Highland                                         | GENERAL ELEC CAP CORP | 50.000 | 9/23/2008  | 10/15/2009 | 4,925.00         | 4,618.80         | 306.20          |
| <b>TOTAL GENERAL ELEC CAP CORPBOOKENTRY DD 2/13/2007</b> |                       |        |            |            | <b>8,506.56</b>  | <b>8,313.84</b>  | <b>192.72</b>   |
|                                                          |                       |        |            |            |                  |                  |                 |
| <b>GILEAD SCIENCES</b>                                   |                       |        |            |            |                  |                  |                 |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 16.000 | 5/16/2006  | 1/5/2009   | 815.59           | 451.76           | 363.83          |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 41.000 | 5/16/2006  | 4/15/2009  | 1,812.16         | 1,157.64         | 654.52          |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 34.000 | 5/16/2006  | 4/16/2009  | 1,512.54         | 959.99           | 552.55          |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 7.000  | 7/10/2007  | 4/16/2009  | 311.40           | 280.01           | 31.39           |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 33.000 | 7/10/2007  | 8/6/2009   | 1,506.27         | 1,320.07         | 186.20          |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 5.000  | 7/10/2007  | 8/6/2009   | 227.57           | 200.01           | 27.56           |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 1.000  | 7/11/2007  | 8/6/2009   | 45.51            | 39.66            | 5.85            |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 25.000 | 7/20/2007  | 8/6/2009   | 1,137.87         | 978.32           | 159.55          |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 23.000 | 5/9/2008   | 8/6/2009   | 1,046.84         | 1,230.41         | -183.57         |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 18.000 | 5/9/2008   | 8/28/2009  | 812.58           | 962.93           | -150.35         |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 31.000 | 5/9/2008   | 10/23/2009 | 1,361.77         | 1,658.38         | -296.61         |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 68.000 | 5/9/2008   | 10/30/2009 | 2,900.04         | 3,637.74         | -737.70         |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 10.000 | 5/9/2008   | 11/2/2009  | 426.93           | 534.96           | -108.03         |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 19.000 | 9/26/2008  | 11/2/2009  | 811.16           | 918.52           | -107.36         |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 10.000 | 10/10/2008 | 11/2/2009  | 426.93           | 360.46           | 66.47           |
| WELLS BAIRD                                              | GILEAD SCIENCES       | 25.000 | 1/28/2009  | 11/2/2009  | 1,067.31         | 1,256.94         | -189.63         |
| <b>TOTAL GILEAD SCIENCES</b>                             |                       |        |            |            | <b>16,222.47</b> | <b>15,947.80</b> | <b>274.67</b>   |
|                                                          |                       |        |            |            |                  |                  |                 |
| <b>GLAXOSMITHKLINE</b>                                   |                       |        |            |            |                  |                  |                 |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 33.000 | 3/21/2005  | 1/23/2009  | 1,106.36         | 1,546.71         | -440.35         |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 24.000 | 3/21/2005  | 3/5/2009   | 691.58           | 1,124.88         | -433.30         |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 42.000 | 1/26/2006  | 3/5/2009   | 1,210.26         | 2,152.92         | -942.66         |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 45.000 | 2/1/2006   | 3/5/2009   | 1,296.71         | 2,308.50         | -1,011.79       |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 28.000 | 10/11/2006 | 3/5/2009   | 806.84           | 1,521.64         | -714.80         |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 1.000  | 10/12/2006 | 3/5/2009   | 28.82            | 55.11            | -26.29          |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 4.000  | 1/23/2007  | 3/5/2009   | 115.26           | 222.46           | -107.20         |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 2.000  | 1/24/2007  | 3/5/2009   | 57.63            | 110.29           | -52.66          |
| DELAWARE INT'L                                           | GLAXOSMITHKLINE       | 2.000  | 1/25/2007  | 3/5/2009   | 57.63            | 110.09           | -52.46          |

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| Account               | Security        | Shares  | Bought     | Sold      | Gross Proceeds | Cost Basis | Realized Gain/L. |
|-----------------------|-----------------|---------|------------|-----------|----------------|------------|------------------|
| DELAWARE INTL         | GLAXOSMITHKLINE | 4 000   | 1/26/2007  | 3/5/2009  | 115.26         | 218.07     | -102.81          |
| DELAWARE INTL         | GLAXOSMITHKLINE | 7 000   | 1/29/2007  | 3/5/2009  | 201.71         | 381.47     | -179.76          |
| DELAWARE INTL         | GLAXOSMITHKLINE | 3 000   | 1/30/2007  | 3/5/2009  | 86.45          | 163.77     | -77.32           |
| DELAWARE INTL         | GLAXOSMITHKLINE | 3 000   | 1/31/2007  | 3/5/2009  | 86.45          | 162.57     | -76.12           |
| DELAWARE INTL         | GLAXOSMITHKLINE | 22 000  | 12/14/2007 | 3/5/2009  | 633.94         | 1,173.52   | -539.58          |
| MET WEST NFJ          | GLAXOSMITHKLINE | 160 000 | 9/23/2004  | 1/13/2009 | 6,009.82       | 6,849.60   | -839.78          |
| MET WEST NFJ          | GLAXOSMITHKLINE | 85 000  | 9/23/2004  | 7/30/2009 | 3,302.47       | 3,638.85   | -336.38          |
| MET WEST NFJ          | GLAXOSMITHKLINE | 75 000  | 5/30/2007  | 7/30/2009 | 2,913.95       | 3,935.31   | -1,021.36        |
| MET WEST NFJ          | GLAXOSMITHKLINE | 30 000  | 5/31/2007  | 7/30/2009 | 1,165.58       | 1,557.28   | -391.70          |
| MET WEST NFJ          | GLAXOSMITHKLINE | 70 000  | 6/6/2007   | 7/30/2009 | 2,719.68       | 3,573.51   | -853.83          |
| MET WEST NFJ          | GLAXOSMITHKLINE | 65 000  | 6/12/2007  | 7/30/2009 | 2,525.42       | 3,382.79   | -857.37          |
| MET WEST NFJ          | GLAXOSMITHKLINE | 25 000  | 6/14/2007  | 7/30/2009 | 971.32         | 1,302.13   | -330.81          |
| MET WEST NFJ          | GLAXOSMITHKLINE | 50 000  | 6/15/2007  | 7/30/2009 | 1,942.63       | 2,617.55   | -674.92          |
| TOTAL GLAXOSMITHKLINE |                 |         |            |           | 28,045.77      | 38,109.02  | -10,063.25       |

## GOLDMAN SACHS

|                     |               |        |            |           |           |           |          |
|---------------------|---------------|--------|------------|-----------|-----------|-----------|----------|
| WELLS BAIRD         | GOLDMAN SACHS | 20 000 | 12/31/2008 | 2/20/2009 | 1,640.10  | 1,689.16  | -49.06   |
| WELLS BAIRD         | GOLDMAN SACHS | 2 000  | 12/31/2008 | 2/23/2009 | 166.12    | 168.92    | -2.80    |
| WELLS BAIRD         | GOLDMAN SACHS | 10 000 | 1/2/2009   | 2/23/2009 | 830.60    | 830.78    | -0.18    |
| WELLS BAIRD         | GOLDMAN SACHS | 9 000  | 1/5/2009   | 2/23/2009 | 747.54    | 793.38    | -45.84   |
| WELLS BAIRD         | GOLDMAN SACHS | 23 000 | 4/14/2009  | 5/29/2009 | 3,284.78  | 2,758.22  | 526.56   |
| WELLS BAIRD         | GOLDMAN SACHS | 8 000  | 4/16/2009  | 5/29/2009 | 1,142.53  | 972.43    | 170.10   |
| WELLS BAIRD         | GOLDMAN SACHS | 8 000  | 4/20/2009  | 5/29/2009 | 1,142.53  | 937.30    | 205.23   |
| WELLS BAIRD         | GOLDMAN SACHS | 8 000  | 4/23/2009  | 5/29/2009 | 1,142.53  | 957.16    | 185.37   |
| WELLS BAIRD         | GOLDMAN SACHS | 8 000  | 4/29/2009  | 5/29/2009 | 1,142.53  | 1,010.77  | 131.76   |
| WELLS BAIRD         | GOLDMAN SACHS | 7 000  | 5/4/2009   | 5/29/2009 | 999.72    | 922.38    | 77.34    |
| TOTAL GOLDMAN SACHS |               |        |            |           | 12,238.98 | 11,040.50 | 1,198.48 |

## GOLDMAN SACHS GROUP INCBOOKENTRY DTD 01/18/08

|                                                     |                     |        |            |            |           |          |          |
|-----------------------------------------------------|---------------------|--------|------------|------------|-----------|----------|----------|
| Pacific Highland                                    | GOLDMAN SACHS GROUP | 80 000 | 11/18/2008 | 5/7/2009   | 7,538.33  | 6,538.24 | 1,000.09 |
| Pacific Highland                                    | GOLDMAN SACHS GROUP | 40 000 | 11/18/2008 | 10/15/2009 | 4,118.00  | 3,269.12 | 848.88   |
| TOTAL GOLDMAN SACHS GROUP INCBOOKENTRY DTD 01/18/08 |                     |        |            |            | 11,656.33 | 9,807.36 | 1,848.97 |

## GOOGLE, INC.

|             |              |       |           |           |          |          |         |
|-------------|--------------|-------|-----------|-----------|----------|----------|---------|
| WELLS BAIRD | GOOGLE, INC. | 2 000 | 1/31/2005 | 2/10/2009 | 729.65   | 391.80   | 337.85  |
| WELLS BAIRD | GOOGLE, INC. | 6 000 | 1/31/2005 | 2/23/2009 | 2,002.73 | 1,175.40 | 827.33  |
| WELLS BAIRD | GOOGLE, INC. | 1 000 | 5/30/2005 | 2/25/2009 | 346.79   | 294.82   | 51.97   |
| WELLS BAIRD | GOOGLE, INC. | 3 000 | 7/23/2008 | 2/25/2009 | 1,040.38 | 1,463.58 | -423.20 |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                      | Security                  | Shares  | Bought    | Sold       | Gross Proceeds   | Cost Basis      | Realized Gain/Loss |
|----------------------------------------------|---------------------------|---------|-----------|------------|------------------|-----------------|--------------------|
| WELLS BAIRD                                  | GOOGLE, INC.              | 3,000   | 8/12/2008 | 2/25/2009  | 1,040.38         | 1,501.76        | -461.38            |
| WELLS BAIRD                                  | GOOGLE, INC.              | 4,000   | 9/17/2008 | 10/5/2009  | 1,957.88         | 1,670.74        | 287.14             |
| WELLS BAIRD                                  | GOOGLE, INC.              | 2,000   | 9/17/2008 | 10/12/2009 | 1,040.42         | 835.37          | 205.05             |
| WELLS BAIRD                                  | GOOGLE, INC.              | 1,000   | 9/19/2008 | 10/12/2009 | 520.21           | 450.70          | 69.51              |
| <b>TOTAL GOOGLE, INC.</b>                    |                           |         |           |            | <b>8,678.44</b>  | <b>7,784.17</b> | <b>894.27</b>      |
| <b>GROUPE DANONE SPONS ADR</b>               |                           |         |           |            |                  |                 |                    |
| Thomburg Alliance                            | GROUPE DANONE SPONS ...   | 46,000  | 3/24/2008 | 5/28/2009  | 459.57           | 814.20          | -354.63            |
| Thomburg Alliance                            | GROUPE DANONE SPONS ...   | 253,000 | 3/24/2008 | 6/22/2009  | 2,431.95         | 4,478.10        | -2,046.15          |
| Thomburg Alliance                            | GROUPE DANONE SPONS ...   | 43,000  | 2/13/2009 | 6/22/2009  | 413.33           | 421.40          | -8.07              |
| <b>TOTAL GROUPE DANONE SPONS ADR</b>         |                           |         |           |            | <b>3,304.85</b>  | <b>5,713.70</b> | <b>-2,408.85</b>   |
| <b>H8912P106: TYCO ELECTRONICS LTD</b>       |                           |         |           |            |                  |                 |                    |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 0.515   | 6/30/2009 | 8/14/2009  | 5.32             | 9.47            | -4.15              |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 48,000  | 6/30/2009 | 8/28/2009  | 1,121.48         | 883.01          | 238.47             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 50,000  | 6/30/2009 | 9/16/2009  | 1,180.05         | 919.80          | 260.25             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 10,000  | 6/30/2009 | 9/17/2009  | 235.99           | 183.96          | 52.03              |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 17,485  | 6/30/2009 | 9/18/2009  | 412.63           | 321.66          | 90.97              |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 17,515  | 6/30/2009 | 9/18/2009  | 413.34           | 328.82          | 84.52              |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 20,000  | 6/30/2009 | 10/12/2009 | 449.88           | 375.48          | 74.40              |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 30,000  | 6/30/2009 | 10/13/2009 | 676.67           | 563.21          | 113.46             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 2,000   | 6/30/2009 | 11/4/2009  | 45.49            | 37.55           | 7.94               |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 51,000  | 6/30/2009 | 11/5/2009  | 1,172.68         | 957.46          | 215.22             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 40,000  | 6/30/2009 | 11/5/2009  | 919.29           | 750.95          | 168.34             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 50,000  | 6/30/2009 | 11/6/2009  | 1,138.37         | 938.69          | 199.68             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 19,485  | 6/30/2009 | 11/6/2009  | 446.35           | 365.81          | 80.54              |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 21,515  | 7/2/2009  | 11/6/2009  | 492.85           | 387.14          | 105.71             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 50,000  | 7/2/2009  | 11/9/2009  | 1,140.60         | 899.70          | 240.90             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 4,485   | 7/2/2009  | 11/10/2009 | 103.70           | 80.71           | 22.99              |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 16,000  | 7/7/2009  | 11/10/2009 | 369.94           | 269.33          | 100.61             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 38,000  | 7/24/2009 | 11/10/2009 | 876.60           | 775.48          | 103.12             |
| WELLS BAIRD                                  | H8912P106: TYCO ELECTR... | 42,000  | 7/27/2009 | 11/10/2009 | 971.08           | 866.65          | 104.43             |
| <b>TOTAL H8912P106: TYCO ELECTRONICS LTD</b> |                           |         |           |            | <b>12,174.31</b> | <b>9,914.88</b> | <b>2,259.43</b>    |
| <b>HALLIBURTON CO HOLDINGS CO</b>            |                           |         |           |            |                  |                 |                    |
| MET WEST NFJ                                 | HALLIBURTON CO HOLDIN...  | 35,000  | 1/11/2008 | 7/27/2009  | 802.55           | 1,261.90        | -459.35            |
| MET WEST NFJ                                 | HALLIBURTON CO HOLDIN...  | 45,000  | 1/23/2008 | 7/27/2009  | 1,031.84         | 1,383.29        | -351.45            |
| MET WEST NFJ                                 | HALLIBURTON CO HOLDIN...  | 55,000  | 1/23/2008 | 7/28/2009  | 1,218.23         | 1,690.68        | -472.45            |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                        | Security                 | Shares  | Bought    | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/L.  |
|------------------------------------------------|--------------------------|---------|-----------|-----------|-----------------|------------------|-------------------|
| MET WEST NFJ                                   | HALLIBURTON CO HOLDIN... | 100.000 | 1/25/2008 | 7/28/2009 | 2,214.95        | 3,361.98         | -1,147.03         |
| <b>TOTAL HALLIBURTON CO HOLDINGS CO</b>        |                          |         |           |           | <b>5,267.57</b> | <b>7,697.85</b>  | <b>-2,430.28</b>  |
| <b>HANG LUNG PROPERTIES LTD SPON ADR</b>       |                          |         |           |           |                 |                  |                   |
| Thornburg Alliance                             | HANG LUNG PROPERTIES     | 15.000  | 3/24/2008 | 1/20/2009 | 165.83          | 244.20           | -78.37            |
| Thornburg Alliance                             | HANG LUNG PROPERTIES     | 21.000  | 3/24/2008 | 1/22/2009 | 227.37          | 341.89           | -114.52           |
| Thornburg Alliance                             | HANG LUNG PROPERTIES     | 13.000  | 3/24/2008 | 1/23/2009 | 141.20          | 211.64           | -70.44            |
| Thornburg Alliance                             | HANG LUNG PROPERTIES     | 25.000  | 3/24/2008 | 1/29/2009 | 269.74          | 407.01           | -137.27           |
| Thornburg Alliance                             | HANG LUNG PROPERTIES     | 48.000  | 3/24/2008 | 6/3/2009  | 815.63          | 781.45           | 34.18             |
| <b>TOTAL HANG LUNG PROPERTIES LTD SPON ADR</b> |                          |         |           |           | <b>1,619.77</b> | <b>1,986.19</b>  | <b>-366.42</b>    |
| <b>HARRIS CORP-DELAWARE-</b>                   |                          |         |           |           |                 |                  |                   |
| MET WEST NFJ                                   | HARRIS CORP-DELAWARE-    | 25.000  | 1/20/2009 | 7/30/2009 | 802.10          | 810.57           | -8.47             |
| MET WEST NFJ                                   | HARRIS CORP-DELAWARE-    | 20.000  | 1/21/2009 | 7/30/2009 | 641.68          | 666.91           | -25.23            |
| MET WEST NFJ                                   | HARRIS CORP-DELAWARE-    | 35.000  | 1/28/2009 | 7/30/2009 | 1,122.94        | 1,253.12         | -130.18           |
| MET WEST NFJ                                   | HARRIS CORP-DELAWARE-    | 40.000  | 2/9/2009  | 7/30/2009 | 1,283.36        | 1,408.14         | -124.78           |
| MET WEST NFJ                                   | HARRIS CORP-DELAWARE-    | 35.000  | 2/17/2009 | 7/30/2009 | 1,122.94        | 1,146.41         | -23.47            |
| MET WEST NFJ                                   | HARRIS CORP-DELAWARE-    | 55.000  | 5/7/2009  | 7/30/2009 | 1,764.62        | 1,282.76         | 481.86            |
| <b>TOTAL HARRIS CORP-DELAWARE-</b>             |                          |         |           |           | <b>6,737.64</b> | <b>6,567.91</b>  | <b>169.73</b>     |
| <b>HARRIS STRATEX NETWORKS INC CL A</b>        |                          |         |           |           |                 |                  |                   |
| MET WEST NFJ                                   | HARRIS STRATEX NETWO     | 0.168   | 5/27/2009 | 6/2/2009  | 0.82            | 5.23             | -4.41             |
| MET WEST NFJ                                   | HARRIS STRATEX NETWO     | 30.000  | 5/27/2009 | 6/11/2009 | 198.64          | 935.25           | -736.61           |
| MET WEST NFJ                                   | HARRIS STRATEX NETWO     | 22.000  | 5/27/2009 | 6/12/2009 | 138.22          | 685.85           | -547.63           |
| <b>TOTAL HARRIS STRATEX NETWORKS INC CL A</b>  |                          |         |           |           | <b>337.68</b>   | <b>1,626.33</b>  | <b>-1,288.65</b>  |
| <b>HARTFORD FINL SVCS GROUP INC</b>            |                          |         |           |           |                 |                  |                   |
| MET WEST NFJ                                   | HARTFORD FINL SVCS GR    | 45.000  | 5/14/2008 | 3/4/2009  | 202.09          | 3,147.81         | -2,945.72         |
| MET WEST NFJ                                   | HARTFORD FINL SVCS GR    | 40.000  | 5/21/2008 | 3/4/2009  | 179.63          | 2,779.25         | -2,599.62         |
| MET WEST NFJ                                   | HARTFORD FINL SVCS GR    | 45.000  | 5/29/2008 | 3/4/2009  | 202.09          | 3,194.58         | -2,992.49         |
| MET WEST NFJ                                   | HARTFORD FINL SVCS GR    | 35.000  | 6/5/2008  | 3/4/2009  | 157.18          | 2,529.71         | -2,372.53         |
| <b>TOTAL HARTFORD FINL SVCS GROUP INC</b>      |                          |         |           |           | <b>740.99</b>   | <b>11,651.35</b> | <b>-10,910.36</b> |
| <b>HBOS PLC</b>                                |                          |         |           |           |                 |                  |                   |
| DELAWARE INT'L                                 | HBOS PLC                 | 27.677  | 1/24/2006 | 1/22/2009 | 514.48          | 477.56           | 36.92             |
| DELAWARE INT'L                                 | HBOS PLC                 | 63.000  | 1/26/2006 | 1/22/2009 | 1,171.09        | 1,120.35         | 50.74             |
| DELAWARE INT'L                                 | HBOS PLC                 | 72.000  | 2/1/2006  | 1/22/2009 | 1,338.39        | 1,314.00         | 24.39             |
| DELAWARE INT'L                                 | HBOS PLC                 | 84.000  | 6/20/2006 | 1/22/2009 | 1,561.45        | 1,479.18         | 82.27             |

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# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                          | Security              | Shares  | Bought     | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/L  |
|--------------------------------------------------|-----------------------|---------|------------|-----------|-----------------|------------------|------------------|
| MET WEST NFJ                                     | HOME DEPOT            | 40 000  | 12/18/2007 | 4/27/2009 | 1,034.12        | 1,035.23         | -1.11            |
| MET WEST NFJ                                     | HOME DEPOT            | 65 000  | 12/18/2007 | 5/7/2009  | 1,671.88        | 1,682.25         | -10.37           |
| MET WEST NFJ                                     | HOME DEPOT            | 35 000  | 1/15/2008  | 5/7/2009  | 900.25          | 888.42           | 11.83            |
| MET WEST NFJ                                     | HOME DEPOT            | 40 000  | 1/16/2008  | 5/7/2009  | 1,028.85        | 1,037.49         | -8.64            |
| <b>TOTAL HOME DEPOT</b>                          |                       |         |            |           | <b>4,635.10</b> | <b>4,643.39</b>  | <b>-8.29</b>     |
| <b>HONG KONG ELECTRIC HLDGS</b>                  |                       |         |            |           |                 |                  |                  |
| DELAWARE INT'L                                   | HONG KONG ELECTRIC HL | 102 000 | 3/21/2005  | 1/23/2009 | 587.78          | 464.10           | 123.68           |
| DELAWARE INT'L                                   | HONG KONG ELECTRIC HL | 45 000  | 3/21/2005  | 3/5/2009  | 264.60          | 204.75           | 59.85            |
| DELAWARE INT'L                                   | HONG KONG ELECTRIC HL | 204 000 | 1/26/2006  | 3/5/2009  | 1,199.51        | 975.12           | 224.39           |
| DELAWARE INT'L                                   | HONG KONG ELECTRIC HL | 213 000 | 2/1/2006   | 3/5/2009  | 1,252.43        | 1,039.44         | 212.99           |
| <b>TOTAL HONG KONG ELECTRIC HLDGS</b>            |                       |         |            |           | <b>3,304.32</b> | <b>2,683.41</b>  | <b>620.91</b>    |
| <b>HONG KONG EXCHANGES ANDCLEARING LTD</b>       |                       |         |            |           |                 |                  |                  |
| Thornburg Alliance                               | HONG KONG EXCHANGES   | 40 000  | 3/13/2009  | 7/29/2009 | 734.58          | 317.41           | 417.17           |
| <b>TOTAL HONG KONG EXCHANGES ANDCLEARING LTD</b> |                       |         |            |           | <b>734.58</b>   | <b>317.41</b>    | <b>417.17</b>    |
| <b>HOST HOTELS &amp; RESORTS INC</b>             |                       |         |            |           |                 |                  |                  |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 185 000 | 2/7/2008   | 1/12/2009 | 1,249.37        | 3,153.66         | -1,904.29        |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 20 000  | 2/20/2008  | 1/12/2009 | 135.07          | 329.59           | -194.52          |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 150 000 | 2/20/2008  | 1/20/2009 | 896.31          | 2,471.89         | -1,575.58        |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 40 000  | 3/3/2008   | 1/20/2009 | 239.01          | 663.03           | -424.02          |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 50 000  | 3/3/2008   | 1/21/2009 | 287.90          | 828.79           | -540.89          |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 80 000  | 3/3/2008   | 1/27/2009 | 468.85          | 1,326.06         | -857.21          |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 40 000  | 3/3/2008   | 1/28/2009 | 243.20          | 663.03           | -419.83          |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 65 000  | 3/10/2008  | 1/28/2009 | 395.20          | 1,051.32         | -656.12          |
| MET WEST NFJ                                     | HOST HOTELS & RESORT  | 90 000  | 3/11/2008  | 1/28/2009 | 547.20          | 1,504.57         | -957.37          |
| <b>TOTAL HOST HOTELS &amp; RESORTS INC</b>       |                       |         |            |           | <b>4,462.11</b> | <b>11,991.94</b> | <b>-7,529.83</b> |
| <b>HUDSON CITY BANCORP INC</b>                   |                       |         |            |           |                 |                  |                  |
| MET WEST NFJ                                     | HUDSON CITY BANCORP I | 155 000 | 7/6/2009   | 7/30/2009 | 2,159.46        | 2,064.41         | 95.05            |
| MET WEST NFJ                                     | HUDSON CITY BANCORP I | 145 000 | 7/8/2009   | 7/30/2009 | 2,020.14        | 1,923.80         | 96.34            |
| MET WEST NFJ                                     | HUDSON CITY BANCORP I | 135 000 | 7/9/2009   | 7/30/2009 | 1,880.82        | 1,823.80         | 57.02            |
| <b>TOTAL HUDSON CITY BANCORP INC</b>             |                       |         |            |           | <b>6,060.42</b> | <b>5,812.01</b>  | <b>248.41</b>    |
| <b>IBERDROLAADR</b>                              |                       |         |            |           |                 |                  |                  |
| DELAWARE INT'L                                   | IBERDROLAADR          | 15 000  | 9/12/2008  | 1/23/2009 | 465.74          | 633.47           | -167.73          |
| DELAWARE INT'L                                   | IBERDROLAADR          | 14 000  | 9/15/2008  | 3/5/2009  | 334.35          | 576.78           | -242.43          |

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| Account                                 | Security                 | Shares | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L. |
|-----------------------------------------|--------------------------|--------|------------|------------|------------------|------------------|------------------|
| DELAWARE INT'L                          | IBERDROLAADR             | 19,000 | 9/23/2008  | 3/5/2009   | 453.76           | 801.10           | -347.34          |
| DELAWARE INT'L                          | IBERDROLAADR             | 8,000  | 9/24/2008  | 3/5/2009   | 191.06           | 339.22           | -148.16          |
| DELAWARE INT'L                          | IBERDROLAADR             | 23,000 | 10/22/2008 | 3/5/2009   | 549.29           | 656.39           | -107.10          |
| DELAWARE INT'L                          | IBERDROLAADR             | 11,000 | 10/23/2008 | 3/5/2009   | 262.70           | 306.52           | -43.82           |
| DELAWARE INT'L                          | IBERDROLAADR             | 22,000 | 2/2/2009   | 3/5/2009   | 525.40           | 671.59           | -146.19          |
| <b>TOTAL IBERDROLAADR</b>               |                          |        |            |            | <b>2,782.30</b>  | <b>3,985.07</b>  | <b>-1,202.77</b> |
| <b>IBM CORP.</b>                        |                          |        |            |            |                  |                  |                  |
| MET WEST NFJ                            | IBM CORP.                | 10,000 | 4/2/2009   | 5/7/2009   | 1,029.77         | 991.85           | 37.92            |
| WELLS BAIRD                             | IBM CORP.                | 15,000 | 5/9/2008   | 1/22/2009  | 1,340.09         | 1,864.57         | -524.48          |
| WELLS BAIRD                             | IBM CORP.                | 21,000 | 5/9/2008   | 6/8/2009   | 2,227.72         | 2,610.39         | -382.67          |
| WELLS BAIRD                             | IBM CORP.                | 13,000 | 5/9/2008   | 7/24/2009  | 1,525.66         | 1,615.96         | -90.30           |
| WELLS BAIRD                             | IBM CORP.                | 1,000  | 5/13/2008  | 7/24/2009  | 117.36           | 126.20           | -8.84            |
| WELLS BAIRD                             | IBM CORP.                | 16,000 | 5/28/2008  | 7/24/2009  | 1,877.73         | 2,057.84         | -180.11          |
| WELLS BAIRD                             | IBM CORP.                | 8,000  | 5/28/2008  | 8/6/2009   | 942.25           | 1,028.92         | -86.67           |
| WELLS BAIRD                             | IBM CORP.                | 21,000 | 5/28/2008  | 10/23/2009 | 2,541.80         | 2,700.92         | -159.12          |
| WELLS BAIRD                             | IBM CORP.                | 4,000  | 7/18/2008  | 10/23/2009 | 484.15           | 518.93           | -34.78           |
| WELLS BAIRD                             | IBM CORP.                | 7,000  | 7/18/2008  | 11/5/2009  | 859.96           | 908.14           | -48.18           |
| WELLS BAIRD                             | IBM CORP.                | 41,000 | 12/12/2008 | 11/5/2009  | 5,036.92         | 3,369.45         | 1,667.47         |
| WELLS BAIRD                             | IBM CORP.                | 10,000 | 5/22/2009  | 11/5/2009  | 1,228.52         | 1,030.41         | 198.11           |
| <b>TOTAL IBM CORP.</b>                  |                          |        |            |            | <b>19,211.93</b> | <b>18,823.58</b> | <b>388.35</b>    |
| <b>INFOSYS TECHNOLOGIE SP ADR</b>       |                          |        |            |            |                  |                  |                  |
| Thornburg Alliance                      | INFOSYS TECHNOLOGIE S... | 12,000 | 2/4/2009   | 8/4/2009   | 538.69           | 324.90           | 213.79           |
| Thornburg Alliance                      | INFOSYS TECHNOLOGIE S... | 11,000 | 2/4/2009   | 9/9/2009   | 513.70           | 297.82           | 215.88           |
| Thornburg Alliance                      | INFOSYS TECHNOLOGIE S    | 23,000 | 2/4/2009   | 9/23/2009  | 1,122.93         | 622.72           | 500.21           |
| Thornburg Alliance                      | INFOSYS TECHNOLOGIE S    | 10,000 | 2/4/2009   | 10/9/2009  | 474.35           | 270.75           | 203.60           |
| Thornburg Alliance                      | INFOSYS TECHNOLOGIE S    | 11,000 | 2/9/2009   | 10/9/2009  | 521.79           | 321.37           | 200.42           |
| <b>TOTAL INFOSYS TECHNOLOGIE SP ADR</b> |                          |        |            |            | <b>3,171.46</b>  | <b>1,837.56</b>  | <b>1,333.90</b>  |
| <b>ING GROUP</b>                        |                          |        |            |            |                  |                  |                  |
| DELAWARE INT'L                          | ING GROUP                | 57,000 | 3/21/2005  | 1/23/2009  | 389.80           | 1,754.52         | -1,364.72        |
| DELAWARE INT'L                          | ING GROUP                | 40,000 | 3/21/2005  | 3/5/2009   | 132.81           | 1,231.24         | -1,098.43        |
| DELAWARE INT'L                          | ING GROUP                | 60,000 | 1/26/2006  | 3/5/2009   | 199.22           | 2,145.60         | -1,946.38        |
| DELAWARE INT'L                          | ING GROUP                | 75,000 | 2/1/2006   | 3/5/2009   | 249.02           | 2,696.25         | -2,447.23        |
| DELAWARE INT'L                          | ING GROUP                | 10,000 | 2/28/2008  | 3/5/2009   | 33.20            | 344.86           | -311.66          |
| DELAWARE INT'L                          | ING GROUP                | 42,000 | 2/29/2008  | 3/5/2009   | 139.45           | 1,421.92         | -1,282.47        |
| <b>TOTAL ING GROUP</b>                  |                          |        |            |            | <b>1,143.50</b>  | <b>9,594.39</b>  | <b>-8,450.89</b> |

## Part IV, Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

| Account                                   | Security              | Shares  | Bought    | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|-------------------------------------------|-----------------------|---------|-----------|------------|------------------|------------------|------------------|
| <b>INGERSOLL-RAND PUBLIC LTD CO</b>       |                       |         |           |            |                  |                  |                  |
| WELLS BAIRD                               | INGERSOLL-RAND PUBLIC | 37,000  | 7/27/2009 | 11/23/2009 | 1,342.95         | 1,007.37         | 335.58           |
| WELLS BAIRD                               | INGERSOLL-RAND PUBLIC | 2,000   | 7/27/2009 | 11/24/2009 | 71.01            | 54.45            | 16.56            |
| WELLS BAIRD                               | INGERSOLL-RAND PUBLIC | 27,000  | 7/28/2009 | 11/24/2009 | 958.61           | 748.61           | 210.00           |
| WELLS BAIRD                               | INGERSOLL-RAND PUBLIC | 12,000  | 7/28/2009 | 11/25/2009 | 430.86           | 332.71           | 98.15            |
| WELLS BAIRD                               | INGERSOLL-RAND PUBLIC | 18,000  | 7/29/2009 | 11/25/2009 | 646.29           | 498.09           | 148.20           |
| WELLS BAIRD                               | INGERSOLL-RAND PUBLIC | 18,000  | 7/29/2009 | 11/27/2009 | 634.35           | 498.09           | 136.26           |
| WELLS BAIRD                               | INGERSOLL-RAND PUBLIC | 23,000  | 7/30/2009 | 11/27/2009 | 810.55           | 662.12           | 148.43           |
| <b>TOTAL INGERSOLL-RAND PUBLIC LTD CO</b> |                       |         |           |            | <b>4,894.62</b>  | <b>3,801.44</b>  | <b>1,093.18</b>  |
| <b>INTEL</b>                              |                       |         |           |            |                  |                  |                  |
| MET WEST NFJ                              | INTEL                 | 230,000 | 1/31/2008 | 2/18/2009  | 3,051.71         | 4,835.79         | -1,784.08        |
| MET WEST NFJ                              | INTEL                 | 55,000  | 1/31/2008 | 2/20/2009  | 706.38           | 1,156.39         | -450.01          |
| MET WEST NFJ                              | INTEL                 | 60,000  | 2/4/2008  | 2/20/2009  | 770.60           | 1,280.90         | -510.30          |
| MET WEST NFJ                              | INTEL                 | 115,000 | 2/4/2008  | 2/25/2009  | 1,468.31         | 2,455.05         | -986.74          |
| MET WEST NFJ                              | INTEL                 | 125,000 | 2/4/2008  | 2/26/2009  | 1,635.29         | 2,668.54         | -1,033.25        |
| WELLS BAIRD                               | INTEL                 | 59,000  | 5/12/2009 | 10/12/2009 | 1,196.22         | 893.35           | 302.87           |
| WELLS BAIRD                               | INTEL                 | 68,000  | 5/12/2009 | 10/15/2009 | 1,403.74         | 1,029.62         | 374.12           |
| WELLS BAIRD                               | INTEL                 | 160,000 | 5/12/2009 | 10/20/2009 | 3,223.54         | 2,422.64         | 800.90           |
| WELLS BAIRD                               | INTEL                 | 4,000   | 5/13/2009 | 10/20/2009 | 80.59            | 60.80            | 19.79            |
| WELLS BAIRD                               | INTEL                 | 95,000  | 5/13/2009 | 10/21/2009 | 1,919.22         | 1,444.08         | 475.14           |
| WELLS BAIRD                               | INTEL                 | 18,000  | 5/28/2009 | 10/21/2009 | 363.64           | 281.87           | 81.77            |
| WELLS BAIRD                               | INTEL                 | 117,000 | 5/28/2009 | 10/21/2009 | 2,347.72         | 1,832.15         | 515.57           |
| WELLS BAIRD                               | INTEL                 | 117,000 | 5/28/2009 | 10/21/2009 | 2,341.02         | 1,832.15         | 508.87           |
| <b>TOTAL INTEL</b>                        |                       |         |           |            | <b>20,507.98</b> | <b>22,193.33</b> | <b>-1,685.35</b> |
| <b>INVESCO LTD</b>                        |                       |         |           |            |                  |                  |                  |
| WELLS BAIRD                               | INVESCO LTD           | 57,000  | 6/19/2009 | 10/20/2009 | 1,333.03         | 1,019.31         | 313.72           |
| WELLS BAIRD                               | INVESCO LTD           | 35,000  | 6/11/2009 | 10/20/2009 | 818.53           | 647.57           | 170.96           |
| WELLS BAIRD                               | INVESCO LTD           | 22,000  | 6/11/2009 | 10/21/2009 | 507.91           | 407.04           | 100.87           |
| WELLS BAIRD                               | INVESCO LTD           | 54,000  | 6/12/2009 | 10/21/2009 | 1,246.69         | 993.83           | 252.86           |
| WELLS BAIRD                               | INVESCO LTD           | 9,000   | 6/15/2009 | 10/21/2009 | 207.78           | 162.97           | 44.81            |
| WELLS BAIRD                               | INVESCO LTD           | 43,000  | 6/15/2009 | 11/5/2009  | 983.13           | 778.64           | 204.49           |
| WELLS BAIRD                               | INVESCO LTD           | 2,000   | 6/16/2009 | 11/5/2009  | 45.73            | 34.96            | 10.77            |
| <b>TOTAL INVESCO LTD</b>                  |                       |         |           |            | <b>5,142.80</b>  | <b>4,044.32</b>  | <b>1,098.48</b>  |

ITAU UNIBANCO BANCO MULTIPLO SA ADR

# Part IV: Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

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| Account                                                                      | Security                  | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L.. |
|------------------------------------------------------------------------------|---------------------------|---------|------------|------------|------------------|------------------|-------------------|
| Thornburg Alliance                                                           | ITAU UNIBANCO BANCO M...  | 0.300   | 5/26/2009  | 9/10/2009  | 5.21             | 4.66             | 0.55              |
| Thornburg Alliance                                                           | ITAU UNIBANCO BANCO M...  | 34.000  | 5/26/2009  | 10/2/2009  | 685.88           | 527.93           | 157.95            |
| Thornburg Alliance                                                           | ITAU UNIBANCO BANCO M...  | 43.700  | 5/26/2009  | 10/20/2009 | 888.28           | 678.54           | 209.74            |
| Thornburg Alliance                                                           | ITAU UNIBANCO BANCO M...  | 3.300   | 5/27/2009  | 10/20/2009 | 67.08            | 52.73            | 14.35             |
| <b>TOTAL ITAU UNIBANCO BANCO MULTIPLO SA ADR</b>                             |                           |         |            |            | <b>1,546.45</b>  | <b>1,263.86</b>  | <b>382.59</b>     |
| <b>JOHN DEERE CAPITAL CORPBK/ENTRY DTD 3/22/02 RMD 7.00 03/15/2012</b>       |                           |         |            |            |                  |                  |                   |
| Pacific Highland                                                             | JOHN DEERE CAPITAL CO..   | 10.000  | 9/4/2008   | 5/7/2009   | 1,083.43         | 1,075.39         | 8.04              |
| Pacific Highland                                                             | JOHN DEERE CAPITAL CO..   | 20.000  | 9/4/2008   | 10/15/2009 | 2,215.00         | 2,150.78         | 64.22             |
| <b>TOTAL JOHN DEERE CAPITAL CORPBK/ENTRY DTD 3/22/02 RMD 7.00 03/15/2012</b> |                           |         |            |            | <b>3,298.43</b>  | <b>3,226.17</b>  | <b>72.26</b>      |
| <b>JOHNSON &amp; JOHNSON</b>                                                 |                           |         |            |            |                  |                  |                   |
| MET WEST NFJ                                                                 | JOHNSON & JOHNSON         | 110.000 | 5/7/2009   | 7/30/2009  | 6,826.82         | 6,030.06         | 796.76            |
| <b>TOTAL JOHNSON &amp; JOHNSON</b>                                           |                           |         |            |            | <b>6,826.82</b>  | <b>6,030.06</b>  | <b>796.76</b>     |
| <b>JP MORGAN CHASE</b>                                                       |                           |         |            |            |                  |                  |                   |
| MET WEST NFJ                                                                 | JP MORGAN CHASE           | 100.000 | 9/23/2004  | 5/7/2009   | 3,560.93         | 3,952.05         | -391.12           |
| MET WEST NFJ                                                                 | JP MORGAN CHASE           | 140.000 | 9/23/2004  | 7/6/2009   | 4,491.32         | 5,532.87         | -1,041.55         |
| MET WEST NFJ                                                                 | JP MORGAN CHASE           | 30.000  | 10/28/2004 | 7/6/2009   | 962.42           | 1,154.40         | -191.98           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 33.000  | 10/9/2008  | 1/15/2009  | 852.13           | 1,324.59         | -472.46           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 29.000  | 10/9/2008  | 1/21/2009  | 594.02           | 1,164.04         | -570.02           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 13.000  | 10/10/2008 | 1/21/2009  | 266.28           | 519.99           | -253.71           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 26.000  | 10/10/2008 | 2/13/2009  | 654.67           | 1,039.98         | -385.31           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 23.000  | 10/10/2008 | 2/17/2009  | 515.36           | 919.99           | -404.63           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 15.000  | 10/13/2008 | 2/17/2009  | 336.10           | 601.50           | -265.40           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 3.000   | 10/13/2008 | 2/18/2009  | 64.33            | 120.30           | -55.97            |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 25.000  | 11/10/2008 | 2/18/2009  | 536.07           | 915.93           | -379.86           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 24.000  | 11/11/2008 | 2/18/2009  | 514.63           | 860.35           | -345.72           |
| WELLS BAIRD                                                                  | JP MORGAN CHASE           | 25.000  | 11/25/2008 | 2/18/2009  | 536.07           | 738.30           | -202.23           |
| <b>TOTAL JP MORGAN CHASE</b>                                                 |                           |         |            |            | <b>13,884.33</b> | <b>18,844.29</b> | <b>-4,959.96</b>  |
| <b>JULIUS BAER HLDG LTD-CHF</b>                                              |                           |         |            |            |                  |                  |                   |
| Thornburg Alliance                                                           | JULIUS BAER HLDG LTD-C... | 57.000  | 10/29/2008 | 8/25/2009  | 586.79           | 389.96           | 196.83            |
| Thornburg Alliance                                                           | JULIUS BAER HLDG LTD-C... | 51.000  | 10/29/2008 | 10/15/2009 | 353.43           | 348.91           | 4.52              |
| Thornburg Alliance                                                           | JULIUS BAER HLDG LTD-C... | 114.000 | 10/31/2008 | 10/15/2009 | 790.03           | 874.72           | -84.69            |
| Thornburg Alliance                                                           | JULIUS BAER HLDG LTD-C... | 111.000 | 11/17/2008 | 10/15/2009 | 769.24           | 785.49           | -16.25            |
| Thornburg Alliance                                                           | JULIUS BAER HLDG LTD-C... | 51.000  | 1/26/2009  | 10/15/2009 | 353.43           | 312.78           | 40.65             |
| Thornburg Alliance                                                           | JULIUS BAER HLDG LTD-C... | 65.000  | 1/27/2009  | 10/15/2009 | 450.45           | 394.69           | 55.76             |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                       | Security              | Shares  | Bought     | Sold      | Gross Proceeds   | Cost Basis       | Realized Gain/Loss |
|-----------------------------------------------|-----------------------|---------|------------|-----------|------------------|------------------|--------------------|
| <b>TOTAL JULIUS BAER HLDG LTD-CHF</b>         |                       |         |            |           |                  |                  |                    |
|                                               |                       |         |            |           | <b>3,303.37</b>  | <b>3,106.55</b>  | <b>196.82</b>      |
| <b>KAO CORP</b>                               |                       |         |            |           |                  |                  |                    |
| DELAWARE INT'L                                | KAO CORP              | 2,000   | 5/10/2006  | 1/23/2009 | 542.30           | 570.34           | -28.04             |
| DELAWARE INT'L                                | KAO CORP              | 4,000   | 5/22/2006  | 1/23/2009 | 1,084.59         | 1,044.02         | 40.57              |
| DELAWARE INT'L                                | KAO CORP              | 3,000   | 5/22/2006  | 3/5/2009  | 539.85           | 783.01           | -243.16            |
| DELAWARE INT'L                                | KAO CORP              | 4,000   | 5/23/2006  | 3/5/2009  | 719.79           | 1,056.02         | -336.23            |
| DELAWARE INT'L                                | KAO CORP              | 4,000   | 10/30/2006 | 3/5/2009  | 719.79           | 1,034.66         | -314.87            |
| DELAWARE INT'L                                | KAO CORP              | 2,000   | 11/6/2006  | 3/5/2009  | 359.90           | 518.20           | -158.30            |
| DELAWARE INT'L                                | KAO CORP              | 9,000   | 7/3/2007   | 3/5/2009  | 1,619.54         | 2,356.23         | -736.69            |
| <b>TOTAL KAO CORP</b>                         |                       |         |            |           | <b>5,585.76</b>  | <b>7,362.48</b>  | <b>-1,776.72</b>   |
| <b>KIMBERLY CLARK</b>                         |                       |         |            |           |                  |                  |                    |
| MET WEST NFJ                                  | KIMBERLY CLARK        | 100,000 | 9/23/2004  | 7/30/2009 | 5,852.50         | 6,377.79         | -525.29            |
| MET WEST NFJ                                  | KIMBERLY CLARK        | 25,000  | 10/28/2004 | 7/30/2009 | 1,463.13         | 1,483.25         | -20.12             |
| <b>TOTAL KIMBERLY CLARK</b>                   |                       |         |            |           | <b>7,315.63</b>  | <b>7,861.04</b>  | <b>-545.41</b>     |
| <b>KINGFISHER PLC SPONSORED ADR NEW</b>       |                       |         |            |           |                  |                  |                    |
| Thornburg Alliance                            | KINGFISHER PLC SPONSO | 86,000  | 3/24/2008  | 4/6/2009  | 419.19           | 445.48           | -26.29             |
| <b>TOTAL KINGFISHER PLC SPONSORED ADR NEW</b> |                       |         |            |           | <b>419.19</b>    | <b>445.48</b>    | <b>-26.29</b>      |
| <b>KOHL'S</b>                                 |                       |         |            |           |                  |                  |                    |
| WELLS BAIRD                                   | KOHL'S                | 21,000  | 8/8/2008   | 3/12/2009 | 802.91           | 987.96           | -185.05            |
| WELLS BAIRD                                   | KOHL'S                | 22,000  | 8/8/2008   | 3/17/2009 | 858.88           | 1,035.00         | -176.12            |
| WELLS BAIRD                                   | KOHL'S                | 22,000  | 8/8/2008   | 3/18/2009 | 903.51           | 1,035.00         | -131.49            |
| WELLS BAIRD                                   | KOHL'S                | 9,000   | 8/8/2008   | 3/26/2009 | 385.30           | 423.41           | -38.11             |
| WELLS BAIRD                                   | KOHL'S                | 10,000  | 8/8/2008   | 3/31/2009 | 427.26           | 470.45           | -43.19             |
| WELLS BAIRD                                   | KOHL'S                | 11,000  | 8/11/2008  | 3/31/2009 | 469.99           | 564.69           | -94.70             |
| WELLS BAIRD                                   | KOHL'S                | 66,000  | 8/11/2008  | 5/5/2009  | 2,902.56         | 3,388.11         | -485.55            |
| WELLS BAIRD                                   | KOHL'S                | 4,000   | 8/11/2008  | 5/6/2009  | 174.49           | 205.34           | -30.85             |
| WELLS BAIRD                                   | KOHL'S                | 36,000  | 8/12/2008  | 5/6/2009  | 1,570.38         | 1,790.22         | -219.84            |
| WELLS BAIRD                                   | KOHL'S                | 49,000  | 8/12/2008  | 5/6/2009  | 2,137.47         | 2,399.37         | -261.90            |
| WELLS BAIRD                                   | KOHL'S                | 9,000   | 11/10/2008 | 5/6/2009  | 392.60           | 300.69           | 91.91              |
| WELLS BAIRD                                   | KOHL'S                | 14,000  | 11/10/2008 | 5/7/2009  | 624.39           | 467.73           | 156.66             |
| WELLS BAIRD                                   | KOHL'S                | 24,000  | 11/11/2008 | 5/7/2009  | 1,070.38         | 728.25           | 342.13             |
| WELLS BAIRD                                   | KOHL'S                | 25,000  | 12/1/2009  | 5/7/2009  | 1,114.98         | 921.95           | 193.03             |
| WELLS BAIRD                                   | KOHL'S                | 25,000  | 12/8/2009  | 5/7/2009  | 1,114.98         | 965.00           | 149.98             |
| <b>TOTAL KOHL'S</b>                           |                       |         |            |           | <b>14,950.08</b> | <b>15,683.17</b> | <b>-733.09</b>     |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                              | Security                | Shares  | Bought     | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/L.  |
|--------------------------------------|-------------------------|---------|------------|-----------|-----------------|------------------|-------------------|
| <b>KOMATSU LTD ADR NEW</b>           |                         |         |            |           |                 |                  |                   |
| Thornburg Alliance                   | KOMATSU LTD ADR NEW     | 14,000  | 3/24/2008  | 8/24/2009 | 990.20          | 1,456.00         | -465.80           |
| <b>TOTAL KOMATSU LTD ADR NEW</b>     |                         |         |            |           | <b>990.20</b>   | <b>1,456.00</b>  | <b>-465.80</b>    |
| <b>KRAFT FOODS INC CLASS A</b>       |                         |         |            |           |                 |                  |                   |
| MET WEST NFJ                         | KRAFT FOODS INC CLASS A | 30,000  | 4/24/2007  | 5/7/2009  | 760.26          | 1,000.49         | -240.23           |
| MET WEST NFJ                         | KRAFT FOODS INC CLASS A | 23,000  | 4/24/2007  | 7/30/2009 | 668.43          | 767.04           | -98.61            |
| MET WEST NFJ                         | KRAFT FOODS INC CLASS A | 80,000  | 5/2/2007   | 7/30/2009 | 2,324.96        | 2,681.56         | -356.60           |
| MET WEST NFJ                         | KRAFT FOODS INC CLASS A | 30,000  | 5/3/2007   | 7/30/2009 | 871.86          | 1,001.74         | -129.88           |
| MET WEST NFJ                         | KRAFT FOODS INC CLASS A | 105,000 | 5/10/2007  | 7/30/2009 | 3,051.51        | 3,423.00         | -371.49           |
| <b>TOTAL KRAFT FOODS INC CLASS A</b> |                         |         |            |           | <b>7,677.02</b> | <b>8,873.83</b>  | <b>-1,196.81</b>  |
| <b>LINCOLN NATIONAL CORP</b>         |                         |         |            |           |                 |                  |                   |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 15,000  | 9/23/2004  | 3/4/2009  | 101.05          | 698.40           | -597.35           |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 90,000  | 9/23/2004  | 4/23/2009 | 897.21          | 4,190.40         | -3,293.19         |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 60,000  | 9/23/2004  | 4/24/2009 | 599.51          | 2,793.60         | -2,194.09         |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 30,000  | 10/28/2004 | 4/24/2009 | 299.75          | 1,309.80         | -1,010.05         |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 65,000  | 8/19/2008  | 4/24/2009 | 649.47          | 3,117.14         | -2,467.67         |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 15,000  | 8/20/2008  | 4/24/2009 | 149.88          | 716.51           | -566.63           |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 5,000   | 8/20/2008  | 4/27/2009 | 49.97           | 238.84           | -188.87           |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 50,000  | 9/23/2008  | 4/27/2009 | 499.70          | 2,407.05         | -1,907.35         |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 10,000  | 9/26/2008  | 4/27/2009 | 99.94           | 482.44           | -382.50           |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 40,000  | 9/26/2008  | 5/5/2009  | 489.98          | 1,929.75         | -1,439.77         |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 25,000  | 10/1/2008  | 5/5/2009  | 306.23          | 1,027.79         | -721.56           |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 60,000  | 10/3/2008  | 5/5/2009  | 734.96          | 2,449.73         | -1,714.77         |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 50,000  | 12/10/2008 | 5/5/2009  | 612.47          | 839.64           | -227.17           |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 195,000 | 12/11/2008 | 5/5/2009  | 2,388.63        | 3,380.01         | -991.38           |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 30,000  | 1/13/2009  | 5/5/2009  | 367.48          | 586.73           | -219.25           |
| MET WEST NFJ                         | LINCOLN NATIONAL CORP   | 95,000  | 1/13/2009  | 5/6/2009  | 1,378.65        | 1,857.97         | -479.32           |
| <b>TOTAL LINCOLN NATIONAL CORP</b>   |                         |         |            |           | <b>9,624.88</b> | <b>28,025.80</b> | <b>-18,400.92</b> |
| <b>LLOYDS TSB GRP PLC</b>            |                         |         |            |           |                 |                  |                   |
| DELAWARE INT'L                       | LLOYDS TSB GRP PLC      | 0.054   | 3/21/2005  | 1/23/2009 | 0.15            | 1.97             | -1.82             |
| DELAWARE INT'L                       | LLOYDS TSB GRP PLC      | 83,946  | 3/21/2005  | 3/5/2009  | 191.84          | 3,086.56         | -2,894.72         |
| DELAWARE INT'L                       | LLOYDS TSB GRP PLC      | 48,000  | 1/26/2006  | 3/5/2009  | 109.69          | 1,769.28         | -1,659.59         |
| DELAWARE INT'L                       | LLOYDS TSB GRP PLC      | 48,000  | 2/1/2006   | 3/5/2009  | 109.69          | 1,841.28         | -1,731.59         |
| DELAWARE INT'L                       | LLOYDS TSB GRP PLC      | 7,000   | 7/19/2006  | 3/5/2009  | 16.00           | 275.92           | -259.92           |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                                      | Security                  | Shares | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L   |
|--------------------------------------------------------------|---------------------------|--------|------------|------------|------------------|------------------|-------------------|
| DELAWARE INT'L                                               | LLOYDS TSB GRP PLC        | 73 054 | 1/22/2009  | 3/5/2009   | 166.95           | 8,978.34         | -8,811.39         |
| <b>TOTAL LLOYDS TSB GRP PLC</b>                              |                           |        |            |            | <b>594.32</b>    | <b>15,953.35</b> | <b>-15,359.03</b> |
| <b>LOCKHEED MARTIN CORP</b>                                  |                           |        |            |            |                  |                  |                   |
| WELLS BAIRD                                                  | LOCKHEED MARTIN CORP      | 8 000  | 12/4/2008  | 2/10/2009  | 624.01           | 610.31           | 13.70             |
| WELLS BAIRD                                                  | LOCKHEED MARTIN CORP      | 17 000 | 12/5/2008  | 2/10/2009  | 1,326.01         | 1,286.59         | 39.42             |
| WELLS BAIRD                                                  | LOCKHEED MARTIN CORP      | 7 000  | 12/5/2008  | 2/11/2009  | 545.14           | 529.77           | 15.37             |
| WELLS BAIRD                                                  | LOCKHEED MARTIN CORP      | 11,000 | 12/11/2008 | 2/11/2009  | 856.65           | 838.73           | 17.92             |
| WELLS BAIRD                                                  | LOCKHEED MARTIN CORP      | 11 000 | 12/12/2008 | 2/11/2009  | 856.65           | 851.47           | 5.18              |
| <b>TOTAL LOCKHEED MARTIN CORP</b>                            |                           |        |            |            | <b>4,208.46</b>  | <b>4,116.87</b>  | <b>91.59</b>      |
| <b>LOGITECH INTERNATIONAL SA, APPLES-CHF</b>                 |                           |        |            |            |                  |                  |                   |
| Thornburg Alliance                                           | LOGITECH INTERNATIONAL... | 29,000 | 3/24/2008  | 10/23/2009 | 547.69           | 741.73           | -194.04           |
| <b>TOTAL LOGITECH INTERNATIONAL SA, APPLES-CHF</b>           |                           |        |            |            | <b>547.69</b>    | <b>741.73</b>    | <b>-194.04</b>    |
| <b>LOWE'S CORP</b>                                           |                           |        |            |            |                  |                  |                   |
| WELLS BAIRD                                                  | LOWE'S CORP               | 31,000 | 2/11/2009  | 5/18/2009  | 614.47           | 565.78           | 48.69             |
| WELLS BAIRD                                                  | LOWE'S CORP               | 62,000 | 2/12/2009  | 5/18/2009  | 1,228.95         | 1,118.58         | 110.37            |
| WELLS BAIRD                                                  | LOWE'S CORP               | 30 000 | 2/12/2009  | 6/17/2009  | 561.80           | 541.25           | 20.55             |
| WELLS BAIRD                                                  | LOWE'S CORP               | 92,000 | 2/12/2009  | 6/17/2009  | 1,722.86         | 1,657.58         | 65.28             |
| WELLS BAIRD                                                  | LOWE'S CORP               | 3,000  | 2/13/2009  | 6/17/2009  | 56.18            | 54.05            | 2.13              |
| WELLS BAIRD                                                  | LOWE'S CORP               | 90,000 | 2/13/2009  | 6/17/2009  | 1,724.49         | 1,621.58         | 102.91            |
| WELLS BAIRD                                                  | LOWE'S CORP               | 51 000 | 3/19/2009  | 6/17/2009  | 977.21           | 891.58           | 85.63             |
| WELLS BAIRD                                                  | LOWE'S CORP               | 25,000 | 3/19/2009  | 6/17/2009  | 479.02           | 439.02           | 40.00             |
| WELLS BAIRD                                                  | LOWE'S CORP               | 68,000 | 3/19/2009  | 6/18/2009  | 1,266.12         | 1,194.13         | 71.99             |
| WELLS BAIRD                                                  | LOWE'S CORP               | 11 000 | 3/25/2009  | 6/18/2009  | 204.81           | 202.57           | 2.24              |
| WELLS BAIRD                                                  | LOWE'S CORP               | 43,000 | 4/28/2009  | 6/18/2009  | 800.63           | 918.52           | -117.89           |
| WELLS BAIRD                                                  | LOWE'S CORP               | 45 000 | 4/29/2009  | 6/18/2009  | 837.87           | 971.52           | -133.65           |
| <b>TOTAL LOWE'S CORP</b>                                     |                           |        |            |            | <b>10,474.41</b> | <b>10,176.16</b> | <b>298.25</b>     |
| <b>LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR</b>       |                           |        |            |            |                  |                  |                   |
| Thornburg Alliance                                           | LVMH MOET HENNESSY L      | 41,000 | 11/7/2008  | 4/21/2009  | 580.88           | 527.71           | 53.17             |
| Thornburg Alliance                                           | LVMH MOET HENNESSY L      | 41,000 | 11/7/2008  | 8/26/2009  | 790.69           | 527.71           | 262.98            |
| Thornburg Alliance                                           | LVMH MOET HENNESSY L      | 37,000 | 11/7/2008  | 10/21/2009 | 805.35           | 476.22           | 329.13            |
| <b>TOTAL LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR</b> |                           |        |            |            | <b>2,176.92</b>  | <b>1,531.64</b>  | <b>645.28</b>     |
| <b>MARATHON OIL</b>                                          |                           |        |            |            |                  |                  |                   |
| MET WEST NFJ                                                 | MARATHON OIL              | 80,000 | 4/27/2005  | 5/7/2009   | 2,563.93         | 1,887.34         | 676.59            |

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| Account                     | Security       | Shares  | Bought     | Sold      | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|-----------------------------|----------------|---------|------------|-----------|------------------|------------------|------------------|
| MET WEST NFJ                | MARATHON OIL   | 190.000 | 4/27/2005  | 7/30/2009 | 6,089.52         | 4,353.91         | 1,735.61         |
| <b>TOTAL MARATHON OIL</b>   |                |         |            |           | <b>8,653.45</b>  | <b>6,241.25</b>  | <b>2,412.20</b>  |
| <b>MASTERCARD INC</b>       |                |         |            |           |                  |                  |                  |
| WELLS BAIRD                 | MASTERCARD INC | 7.000   | 5/5/2009   | 7/24/2009 | 1,296.24         | 1,267.53         | 28.71            |
| WELLS BAIRD                 | MASTERCARD INC | 20.000  | 5/6/2009   | 7/24/2009 | 3,703.53         | 3,665.69         | 37.84            |
| WELLS BAIRD                 | MASTERCARD INC | 6.000   | 5/28/2009  | 7/24/2009 | 1,111.06         | 1,029.00         | 82.06            |
| <b>TOTAL MASTERCARD INC</b> |                |         |            |           | <b>6,110.83</b>  | <b>5,962.22</b>  | <b>148.61</b>    |
| <b>MATTEL INC</b>           |                |         |            |           |                  |                  |                  |
| MET WEST NFJ                | MATTEL INC     | 65.000  | 12/1/2005  | 5/7/2009  | 990.64           | 1,057.76         | -67.12           |
| MET WEST NFJ                | MATTEL INC     | 55.000  | 12/6/2005  | 5/7/2009  | 838.23           | 905.30           | -67.07           |
| MET WEST NFJ                | MATTEL INC     | 60.000  | 12/6/2005  | 7/30/2009 | 1,074.12         | 987.60           | 86.52            |
| MET WEST NFJ                | MATTEL INC     | 55.000  | 12/7/2005  | 7/30/2009 | 984.61           | 906.15           | 78.46            |
| MET WEST NFJ                | MATTEL INC     | 200.000 | 12/8/2005  | 7/30/2009 | 3,580.40         | 3,283.22         | 297.18           |
| MET WEST NFJ                | MATTEL INC     | 85.000  | 12/14/2005 | 7/30/2009 | 1,521.67         | 1,437.08         | 84.59            |
| <b>TOTAL MATTEL INC</b>     |                |         |            |           | <b>8,989.67</b>  | <b>8,577.11</b>  | <b>412.56</b>    |
| <b>MCDONALDS CORP</b>       |                |         |            |           |                  |                  |                  |
| WELLS BAIRD                 | MCDONALDS CORP | 27.000  | 8/8/2008   | 2/20/2009 | 1,474.81         | 1,775.86         | -301.05          |
| WELLS BAIRD                 | MCDONALDS CORP | 14.000  | 8/8/2008   | 3/26/2009 | 778.42           | 920.82           | -142.40          |
| WELLS BAIRD                 | MCDONALDS CORP | 3.000   | 8/11/2008  | 3/26/2009 | 166.81           | 199.38           | -32.57           |
| WELLS BAIRD                 | MCDONALDS CORP | 18.000  | 8/11/2008  | 4/15/2009 | 972.49           | 1,196.31         | -223.82          |
| WELLS BAIRD                 | MCDONALDS CORP | 60.000  | 8/11/2008  | 5/5/2009  | 3,199.91         | 3,987.69         | -787.78          |
| WELLS BAIRD                 | MCDONALDS CORP | 26.000  | 8/28/2008  | 5/5/2009  | 1,386.63         | 1,633.55         | -246.92          |
| WELLS BAIRD                 | MCDONALDS CORP | 15.000  | 8/28/2008  | 5/6/2009  | 807.52           | 942.43           | -134.91          |
| WELLS BAIRD                 | MCDONALDS CORP | 17.000  | 9/25/2008  | 5/6/2009  | 915.19           | 1,060.95         | -145.76          |
| WELLS BAIRD                 | MCDONALDS CORP | 14.000  | 10/8/2008  | 5/6/2009  | 753.69           | 774.15           | -20.46           |
| WELLS BAIRD                 | MCDONALDS CORP | 12.000  | 10/10/2008 | 5/6/2009  | 646.02           | 601.91           | 44.11            |
| WELLS BAIRD                 | MCDONALDS CORP | 25.000  | 1/28/2009  | 5/6/2009  | 1,345.87         | 1,459.25         | -113.38          |
| <b>TOTAL MCDONALDS CORP</b> |                |         |            |           | <b>12,447.36</b> | <b>14,552.30</b> | <b>-2,104.94</b> |
| <b>MEDTRONIC</b>            |                |         |            |           |                  |                  |                  |
| MET WEST NFJ                | MEDTRONIC      | 50.000  | 2/17/2009  | 7/30/2009 | 1,768.10         | 1,735.22         | 32.88            |
| MET WEST NFJ                | MEDTRONIC      | 40.000  | 2/20/2009  | 7/30/2009 | 1,414.48         | 1,360.14         | 54.34            |
| MET WEST NFJ                | MEDTRONIC      | 35.000  | 2/24/2009  | 7/30/2009 | 1,237.67         | 1,209.55         | 28.12            |
| MET WEST NFJ                | MEDTRONIC      | 60.000  | 2/26/2009  | 7/30/2009 | 2,121.72         | 1,966.10         | 155.62           |
| <b>TOTAL MEDTRONIC</b>      |                |         |            |           | <b>6,541.97</b>  | <b>6,271.01</b>  | <b>270.96</b>    |

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| Account                  | Security    | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L. |
|--------------------------|-------------|---------|------------|------------|------------------|------------------|------------------|
| <b>METLIFE INC</b>       |             |         |            |            |                  |                  |                  |
| MET WEST NFJ             | METLIFE INC | 15,000  | 4/23/2009  | 7/30/2009  | 509.73           | 419.01           | 90.72            |
| MET WEST NFJ             | METLIFE INC | 45,000  | 4/24/2009  | 7/30/2009  | 1,529.19         | 1,293.32         | 235.87           |
| MET WEST NFJ             | METLIFE INC | 125,000 | 5/4/2009   | 7/30/2009  | 4,247.75         | 3,426.81         | 820.94           |
| WELLS BAIRD              | METLIFE INC | 23,000  | 8/12/2007  | 2/18/2009  | 548.47           | 1,388.08         | -839.61          |
| WELLS BAIRD              | METLIFE INC | 16,000  | 12/21/2007 | 2/18/2009  | 381.54           | 991.48           | -609.94          |
| WELLS BAIRD              | METLIFE INC | 1,000   | 12/24/2007 | 2/18/2009  | 23.85            | 62.96            | -39.11           |
| WELLS BAIRD              | METLIFE INC | 14,000  | 12/24/2007 | 2/20/2009  | 288.32           | 881.44           | -593.12          |
| WELLS BAIRD              | METLIFE INC | 13,000  | 1/14/2008  | 2/20/2009  | 267.73           | 779.22           | -511.49          |
| WELLS BAIRD              | METLIFE INC | 26,000  | 5/9/2008   | 2/20/2009  | 535.46           | 1,565.46         | -1,030.00        |
| WELLS BAIRD              | METLIFE INC | 60,000  | 5/9/2008   | 3/4/2009   | 852.40           | 3,612.60         | -2,760.20        |
| WELLS BAIRD              | METLIFE INC | 55,000  | 5/9/2008   | 3/5/2009   | 645.67           | 3,311.55         | -2,665.88        |
| WELLS BAIRD              | METLIFE INC | 9,000   | 5/9/2008   | 3/18/2009  | 218.96           | 541.89           | -322.93          |
| WELLS BAIRD              | METLIFE INC | 50,000  | 10/15/2008 | 3/18/2009  | 1,216.45         | 1,706.28         | -489.83          |
| WELLS BAIRD              | METLIFE INC | 25,000  | 1/28/2009  | 3/18/2009  | 608.23           | 790.50           | -182.27          |
| <b>TOTAL METLIFE INC</b> |             |         |            |            | <b>11,873.75</b> | <b>20,770.60</b> | <b>-8,896.85</b> |
| <b>MICROSOFT</b>         |             |         |            |            |                  |                  |                  |
| MET WEST NFJ             | MICROSOFT   | 50,000  | 10/21/2008 | 5/7/2009   | 968.17           | 1,216.37         | -248.20          |
| MET WEST NFJ             | MICROSOFT   | 40,000  | 10/21/2008 | 7/30/2009  | 971.71           | 973.09           | -1.38            |
| MET WEST NFJ             | MICROSOFT   | 75,000  | 10/28/2008 | 7/30/2009  | 1,821.95         | 1,638.21         | 183.74           |
| MET WEST NFJ             | MICROSOFT   | 105,000 | 11/3/2008  | 7/30/2009  | 2,550.73         | 2,391.23         | 159.50           |
| MET WEST NFJ             | MICROSOFT   | 95,000  | 11/11/2008 | 7/30/2009  | 2,307.81         | 1,986.93         | 320.88           |
| WELLS BAIRD              | MICROSOFT   | 90,000  | 2/3/2009   | 5/1/2009   | 1,798.23         | 1,658.10         | 139.13           |
| WELLS BAIRD              | MICROSOFT   | 12,000  | 2/3/2009   | 6/16/2009  | 283.79           | 221.21           | 62.58            |
| WELLS BAIRD              | MICROSOFT   | 29,000  | 2/4/2009   | 6/16/2009  | 685.81           | 545.55           | 140.26           |
| WELLS BAIRD              | MICROSOFT   | 68,000  | 2/4/2009   | 8/6/2009   | 1,596.28         | 1,279.21         | 317.07           |
| WELLS BAIRD              | MICROSOFT   | 100,000 | 2/4/2009   | 8/6/2009   | 2,347.47         | 1,877.61         | 469.86           |
| WELLS BAIRD              | MICROSOFT   | 25,000  | 2/5/2009   | 8/6/2009   | 585.87           | 473.91           | 112.96           |
| WELLS BAIRD              | MICROSOFT   | 84,000  | 2/5/2009   | 8/6/2009   | 1,972.56         | 1,592.35         | 380.21           |
| WELLS BAIRD              | MICROSOFT   | 48,000  | 2/11/2009  | 8/6/2009   | 1,127.18         | 920.25           | 206.93           |
| WELLS BAIRD              | MICROSOFT   | 50,000  | 2/11/2009  | 8/6/2009   | 1,174.14         | 965.54           | 208.60           |
| WELLS BAIRD              | MICROSOFT   | 13,000  | 3/9/2009   | 8/6/2009   | 305.28           | 198.63           | 106.65           |
| WELLS BAIRD              | MICROSOFT   | 10,000  | 3/9/2009   | 11/11/2009 | 292.10           | 152.79           | 139.31           |
| <b>TOTAL MICROSOFT</b>   |             |         |            |            | <b>20,790.08</b> | <b>18,091.98</b> | <b>2,698.10</b>  |

MONSANTO CO NEW

| Account                                                    | Security                  | Shares  | Bought     | Sold       | Gross Proceeds | Cost Basis | Realized Gain/Loss |
|------------------------------------------------------------|---------------------------|---------|------------|------------|----------------|------------|--------------------|
| Thornburg Alliance                                         | MONSANTO CO NEW           | 18,000  | 3/11/2009  | 10/7/2009  | 1,344.80       | 1,453.13   | -108.33            |
| Thornburg Alliance                                         | MONSANTO CO NEW           | 4,000   | 4/3/2009   | 10/7/2009  | 298.85         | 323.19     | -24.34             |
| TOTAL MONSANTO CO NEW                                      |                           |         |            |            | 1,643.65       | 1,776.32   | -132.67            |
| MORGAN ST DEAN WITTER DTD 4/3/02 RMD 6.60 04/01/2012       |                           |         |            |            |                |            |                    |
| Pacific Highland                                           | MORGAN ST DEAN WITTE...   | 50,000  | 9/11/2008  | 5/7/2009   | 5,110.43       | 5,059.05   | 51.38              |
| Pacific Highland                                           | MORGAN ST DEAN WITTE...   | 30,000  | 9/11/2008  | 10/15/2009 | 3,231.60       | 3,035.43   | 196.17             |
| Pacific Highland                                           | MORGAN ST DEAN WITTE...   | 260,000 | 9/11/2008  | 11/3/2009  | 28,292.94      | 26,307.06  | 1,985.88           |
| Pacific Highland                                           | MORGAN ST DEAN WITTE...   | 30,000  | 12/23/2008 | 11/3/2009  | 3,264.57       | 2,927.34   | 337.23             |
| TOTAL MORGAN ST DEAN WITTER DTD 4/3/02 RMD 6.60 04/01/2012 |                           |         |            |            | 39,899.54      | 37,328.88  | 2,570.66           |
| MORGAN STANLEY                                             |                           |         |            |            |                |            |                    |
| WELLS BAIRD                                                | MORGAN STANLEY            | 34,000  | 5/19/2009  | 9/15/2009  | 983.61         | 983.47     | 0.14               |
| WELLS BAIRD                                                | MORGAN STANLEY            | 100,000 | 5/20/2009  | 9/15/2009  | 2,892.96       | 2,842.23   | 50.73              |
| WELLS BAIRD                                                | MORGAN STANLEY            | 4,000   | 5/20/2009  | 9/15/2009  | 115.72         | 113.84     | 1.88               |
| WELLS BAIRD                                                | MORGAN STANLEY            | 63,000  | 5/20/2009  | 9/16/2009  | 1,858.34       | 1,793.02   | 65.32              |
| WELLS BAIRD                                                | MORGAN STANLEY            | 79,000  | 5/21/2009  | 9/16/2009  | 2,330.31       | 2,216.46   | 113.85             |
| TOTAL MORGAN STANLEY                                       |                           |         |            |            | 8,180.94       | 7,949.02   | 231.92             |
| MOTOTOLA                                                   |                           |         |            |            |                |            |                    |
| WELLS BAIRD                                                | MOTOTOLA                  | 10,000  | 9/15/2009  | 12/17/2009 | 81.09          | 91.73      | -10.64             |
| TOTAL MOTOTOLA                                             |                           |         |            |            | 81.09          | 91.73      | -10.64             |
| NATIONAL AUSTRALIA BK                                      |                           |         |            |            |                |            |                    |
| DELAWARE INT'L                                             | NATIONAL AUSTRALIA BK     | 54,000  | 3/21/2005  | 1/23/2009  | 596.69         | 1,243.94   | -647.25            |
| DELAWARE INT'L                                             | NATIONAL AUSTRALIA BK     | 91,000  | 3/21/2005  | 3/5/2009   | 965.62         | 2,096.27   | -1,130.65          |
| DELAWARE INT'L                                             | NATIONAL AUSTRALIA BK     | 90,000  | 1/26/2006  | 3/5/2009   | 955.01         | 2,278.81   | -1,323.80          |
| DELAWARE INT'L                                             | NATIONAL AUSTRALIA BK     | 90,000  | 2/1/2006   | 3/5/2009   | 955.01         | 2,347.20   | -1,392.19          |
| TOTAL NATIONAL AUSTRALIA BK                                |                           |         |            |            | 3,472.33       | 7,966.22   | -4,493.89          |
| NATIONAL BK GREECE S A SPONS ADR                           |                           |         |            |            |                |            |                    |
| Thornburg Alliance                                         | NATIONAL BK GREECE S A... | 119,000 | 3/24/2008  | 5/6/2009   | 554.75         | 1,198.01   | -643.26            |
| Thornburg Alliance                                         | NATIONAL BK GREECE S A... | 113,000 | 3/24/2008  | 6/12/2009  | 649.85         | 1,137.61   | -487.76            |
| Thornburg Alliance                                         | NATIONAL BK GREECE S A... | 88,000  | 3/24/2008  | 8/13/2009  | 518.06         | 885.92     | -367.86            |
| Thornburg Alliance                                         | NATIONAL BK GREECE S A... | 63,000  | 3/24/2008  | 10/19/2009 | 504.19         | 634.24     | -130.05            |
| TOTAL NATIONAL BK GREECE S A SPONS ADR                     |                           |         |            |            | 2,226.85       | 3,855.78   | -1,628.93          |
| NATIONAL GRID PLC                                          |                           |         |            |            |                |            |                    |

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| Account                                 | Security                 | Shares  | Bought     | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/L.. |
|-----------------------------------------|--------------------------|---------|------------|-----------|-----------------|------------------|-------------------|
| DELAWARE INT'L                          | NATIONAL GRID PLC        | 9,000   | 1/26/2006  | 1/23/2009 | 394.73          | 454.40           | -59.67            |
| DELAWARE INT'L                          | NATIONAL GRID PLC        | 15,000  | 1/26/2006  | 3/5/2009  | 605.59          | 757.33           | -151.74           |
| DELAWARE INT'L                          | NATIONAL GRID PLC        | 30,000  | 2/1/2006   | 3/5/2009  | 1,211.18        | 1,539.60         | -328.42           |
| <b>TOTAL NATIONAL GRID PLC</b>          |                          |         |            |           | <b>2,211.50</b> | <b>2,751.33</b>  | <b>-539.83</b>    |
| <b>NATIONAL OILWELL VARCO INC</b>       |                          |         |            |           |                 |                  |                   |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 14,000  | 8/12/2008  | 6/3/2009  | 514.98          | 967.37           | -452.39           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 9,000   | 8/13/2008  | 6/3/2009  | 331.06          | 647.58           | -316.52           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 1,000   | 8/14/2008  | 6/3/2009  | 36.78           | 70.88            | -34.10            |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 10,000  | 8/14/2008  | 6/4/2009  | 391.96          | 708.82           | -316.86           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC... | 15,000  | 8/21/2008  | 6/4/2009  | 587.94          | 1,170.39         | -582.45           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 16,000  | 8/21/2008  | 6/5/2009  | 612.57          | 1,248.42         | -635.85           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 18,000  | 8/22/2008  | 6/5/2009  | 689.14          | 1,371.45         | -682.31           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 18,000  | 8/25/2008  | 6/5/2009  | 689.14          | 1,343.20         | -654.06           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 14,000  | 8/25/2008  | 6/9/2009  | 536.35          | 1,044.71         | -508.36           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 40,000  | 9/18/2008  | 6/9/2009  | 1,532.44        | 2,013.03         | -480.59           |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 9,000   | 10/13/2008 | 6/9/2009  | 344.80          | 249.81           | 94.99             |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 7,000   | 1/20/2009  | 6/9/2009  | 268.18          | 163.49           | 104.69            |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 27,000  | 1/20/2009  | 6/10/2009 | 1,019.36        | 630.62           | 388.74            |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 25,000  | 1/28/2009  | 6/10/2009 | 943.86          | 697.50           | 246.36            |
| WELLS BAIRD                             | NATIONAL OILWELL VARC    | 24,000  | 3/9/2009   | 6/10/2009 | 906.10          | 631.07           | 275.03            |
| <b>TOTAL NATIONAL OILWELL VARCO INC</b> |                          |         |            |           | <b>9,404.66</b> | <b>12,958.34</b> | <b>-3,553.68</b>  |
| <b>NESTLE S A SPONSORED ADR</b>         |                          |         |            |           |                 |                  |                   |
| Thornburg Alliance                      | NESTLE S A SPONSORED ... | 17,000  | 3/24/2008  | 3/31/2009 | 567.48          | 834.02           | -266.54           |
| <b>TOTAL NESTLE S A SPONSORED ADR</b>   |                          |         |            |           | <b>567.48</b>   | <b>834.02</b>    | <b>-266.54</b>    |
| <b>NEW YORK COMMUNITY BANCORP</b>       |                          |         |            |           |                 |                  |                   |
| MET WEST NFJ                            | NEW YORK COMMUNITY B     | 150,000 | 1/20/2009  | 7/30/2009 | 1,575.03        | 1,896.50         | -321.47           |
| MET WEST NFJ                            | NEW YORK COMMUNITY B     | 80,000  | 1/26/2009  | 7/30/2009 | 840.02          | 1,005.58         | -165.56           |
| MET WEST NFJ                            | NEW YORK COMMUNITY B     | 55,000  | 1/27/2009  | 7/30/2009 | 577.51          | 625.16           | -47.65            |
| MET WEST NFJ                            | NEW YORK COMMUNITY B     | 55,000  | 1/28/2009  | 7/30/2009 | 577.51          | 716.77           | -139.26           |
| MET WEST NFJ                            | NEW YORK COMMUNITY B     | 70,000  | 1/29/2009  | 7/30/2009 | 735.01          | 920.17           | -185.16           |
| MET WEST NFJ                            | NEW YORK COMMUNITY B...  | 120,000 | 2/4/2009   | 7/30/2009 | 1,260.02        | 1,457.59         | -197.57           |
| MET WEST NFJ                            | NEW YORK COMMUNITY B     | 20,000  | 2/6/2009   | 7/30/2009 | 210.00          | 254.44           | -44.44            |
| <b>TOTAL NEW YORK COMMUNITY BANCORP</b> |                          |         |            |           | <b>5,775.10</b> | <b>6,876.21</b>  | <b>-1,101.11</b>  |

NII HLDGS INC CLASS B NEW

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| Account                         | Security                 | Shares | Bought     | Sold      | Gross Proceeds | Cost Basis | Realized Gain/L. |
|---------------------------------|--------------------------|--------|------------|-----------|----------------|------------|------------------|
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 30,000 | 5/16/2006  | 2/26/2009 | 405.01         | 1,882.79   | -1,477.78        |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 21,000 | 5/16/2006  | 2/27/2009 | 272.41         | 1,317.95   | -1,045.54        |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 25,000 | 6/16/2006  | 2/27/2009 | 324.29         | 1,258.75   | -934.46          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 11,000 | 9/7/2006   | 2/27/2009 | 142.69         | 581.88     | -439.19          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 14,000 | 9/7/2006   | 3/2/2009  | 155.69         | 740.57     | -584.88          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 19,000 | 9/15/2006  | 3/2/2009  | 211.30         | 1,080.98   | -869.68          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 6,000  | 9/15/2006  | 4/6/2009  | 85.95          | 341.36     | -255.41          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 11,000 | 7/27/2007  | 4/6/2009  | 157.58         | 903.14     | -745.56          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 34,000 | 10/10/2007 | 4/6/2009  | 487.07         | 2,419.83   | -1,932.76        |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 9,000  | 1/7/2008   | 4/6/2009  | 128.93         | 458.79     | -329.86          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 8,000  | 1/7/2008   | 4/7/2009  | 103.96         | 407.82     | -303.86          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 19,000 | 1/8/2008   | 4/7/2009  | 246.91         | 980.33     | -733.42          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 18,000 | 1/24/2008  | 4/7/2009  | 233.91         | 744.47     | -510.56          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 13,000 | 1/25/2008  | 4/7/2009  | 168.94         | 519.76     | -350.82          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 5,000  | 1/28/2008  | 4/7/2009  | 64.98          | 205.89     | -140.91          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 40,000 | 1/28/2008  | 4/15/2009 | 600.41         | 1,647.13   | -1,046.72        |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 25,000 | 5/9/2008   | 4/15/2009 | 375.26         | 1,179.50   | -804.24          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 78,000 | 5/9/2008   | 4/17/2009 | 1,169.91       | 3,680.04   | -2,510.13        |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 65,000 | 5/9/2008   | 4/20/2009 | 894.61         | 3,066.70   | -2,172.09        |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N  | 77,000 | 5/9/2008   | 4/20/2009 | 1,069.33       | 3,632.86   | -2,563.53        |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 5,000  | 5/9/2008   | 4/21/2009 | 69.93          | 235.90     | -165.97          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 9,000  | 10/3/2008  | 4/21/2009 | 125.88         | 297.56     | -171.68          |
| WELLS BAIRD                     | NII HLDGS INC CLASS B N. | 50,000 | 1/28/2009  | 4/21/2009 | 699.33         | 1,032.25   | -332.92          |
| TOTAL NII HLDGS INC CLASS B NEW |                          |        |            |           | 8,194.28       | 28,616.25  | -20,421.97       |
| NINTENDO CO LTD ADR NEW         |                          |        |            |           |                |            |                  |
| Thornburg Alliance              | NINTENDO CO LTD ADR N    | 20,000 | 3/24/2008  | 4/15/2009 | 688.71         | 1,349.00   | -660.29          |
| Thornburg Alliance              | NINTENDO CO LTD ADR N.   | 19,000 | 3/24/2008  | 7/2/2009  | 652.72         | 1,281.55   | -628.83          |
| Thornburg Alliance              | NINTENDO CO LTD ADR N    | 53,000 | 3/24/2008  | 12/3/2009 | 1,550.14       | 3,574.85   | -2,024.71        |
| TOTAL NINTENDO CO LTD ADR NEW   |                          |        |            |           | 2,891.57       | 6,205.40   | -3,313.83        |
| NIPPON TEL & TEL ADR            |                          |        |            |           |                |            |                  |
| DELAWARE INT'L                  | NIPPON TEL & TEL ADR     | 48,000 | 3/21/2005  | 1/23/2009 | 1,252.73       | 1,085.76   | 166.97           |
| DELAWARE INT'L                  | NIPPON TEL & TEL ADR     | 2,000  | 3/21/2005  | 2/9/2009  | 45.80          | 45.24      | 0.56             |
| DELAWARE INT'L                  | NIPPON TEL & TEL ADR     | 2,000  | 4/7/2005   | 2/9/2009  | 45.80          | 44.59      | 1.21             |
| DELAWARE INT'L                  | NIPPON TEL & TEL ADR     | 7,000  | 4/7/2005   | 2/10/2009 | 161.49         | 156.07     | 5.42             |
| DELAWARE INT'L                  | NIPPON TEL & TEL ADR     | 14,000 | 4/15/2005  | 2/10/2009 | 322.97         | 297.33     | 25.64            |
| DELAWARE INT'L                  | NIPPON TEL & TEL ADR     | 9,000  | 4/15/2005  | 3/5/2009  | 174.46         | 191.14     | -16.68           |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                               | Security              | Shares | Bought    | Sold       | Gross Proceeds  | Cost Basis      | Realized Gain/L  |
|---------------------------------------|-----------------------|--------|-----------|------------|-----------------|-----------------|------------------|
| DELAWARE INT'L                        | NIPPON TEL & TEL ADR  | 60.000 | 1/26/2006 | 3/5/2009   | 1,163.08        | 1,405.68        | -242.60          |
| DELAWARE INT'L                        | NIPPON TEL & TEL ADR  | 78.000 | 2/1/2006  | 3/5/2009   | 1,512.00        | 1,782.30        | -270.30          |
| DELAWARE INT'L                        | NIPPON TEL & TEL ADR  | 36.000 | 3/1/2006  | 3/5/2009   | 697.85          | 786.36          | -88.51           |
| DELAWARE INT'L                        | NIPPON TEL & TEL ADR  | 26.000 | 3/3/2006  | 3/5/2009   | 504.00          | 582.11          | -78.11           |
| <b>TOTAL NIPPON TEL &amp; TEL ADR</b> |                       |        |           |            | <b>5,880.18</b> | <b>6,376.58</b> | <b>-496.40</b>   |
| <b>NOBLE CORPORATION-CHF</b>          |                       |        |           |            |                 |                 |                  |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 30.000 | 5/29/2009 | 7/13/2009  | 875.00          | 1,027.59        | -152.59          |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 17.000 | 5/29/2009 | 7/13/2009  | 495.84          | 582.24          | -86.40           |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 1.000  | 5/29/2009 | 7/14/2009  | 29.76           | 34.25           | -4.49            |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 21.000 | 6/1/2009  | 7/14/2009  | 625.01          | 766.25          | -141.24          |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 39.000 | 6/2/2009  | 7/14/2009  | 1,160.74        | 1,428.33        | -267.59          |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 2.000  | 6/2/2009  | 7/15/2009  | 61.18           | 73.25           | -12.07           |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 28.000 | 6/2/2009  | 7/15/2009  | 856.45          | 1,036.41        | -179.96          |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 47.000 | 6/3/2009  | 7/15/2009  | 1,437.62        | 1,648.40        | -210.78          |
| WELLS BAIRD                           | NOBLE CORPORATION-CHF | 56.000 | 6/3/2009  | 7/15/2009  | 1,712.91        | 1,949.70        | -236.79          |
| <b>TOTAL NOBLE CORPORATION-CHF</b>    |                       |        |           |            | <b>7,254.51</b> | <b>8,546.42</b> | <b>-1,291.91</b> |
| <b>NOBLE ENERGY INC</b>               |                       |        |           |            |                 |                 |                  |
| WELLS BAIRD                           | NOBLE ENERGY INC      | 28.000 | 4/6/2009  | 7/24/2009  | 1,676.24        | 1,663.10        | 13.14            |
| WELLS BAIRD                           | NOBLE ENERGY INC      | 13.000 | 4/6/2009  | 10/21/2009 | 930.87          | 772.16          | 158.71           |
| WELLS BAIRD                           | NOBLE ENERGY INC      | 9.000  | 4/7/2009  | 10/21/2009 | 644.45          | 523.69          | 120.76           |
| WELLS BAIRD                           | NOBLE ENERGY INC      | 22.000 | 4/7/2009  | 10/21/2009 | 1,583.19        | 1,280.13        | 303.06           |
| <b>TOTAL NOBLE ENERGY INC</b>         |                       |        |           |            | <b>4,834.75</b> | <b>4,239.08</b> | <b>595.67</b>    |
| <b>NOKIA CORP</b>                     |                       |        |           |            |                 |                 |                  |
| Thornburg Alliance                    | NOKIA CORP            | 52.000 | 3/24/2008 | 10/15/2009 | 713.58          | 1,588.60        | -875.02          |
| Thornburg Alliance                    | NOKIA CORP            | 72.000 | 3/24/2008 | 12/16/2009 | 919.43          | 2,199.60        | -1,280.17        |
| <b>TOTAL NOKIA CORP</b>               |                       |        |           |            | <b>1,633.01</b> | <b>3,788.20</b> | <b>-2,155.19</b> |
| <b>NORFOLK SOUTHERN CORP</b>          |                       |        |           |            |                 |                 |                  |
| MET WEST NFJ                          | NORFOLK SOUTHERN CORP | 50.000 | 3/19/2009 | 7/30/2009  | 2,182.24        | 1,591.29        | 590.95           |
| MET WEST NFJ                          | NORFOLK SOUTHERN CORP | 45.000 | 3/24/2009 | 7/30/2009  | 1,964.02        | 1,493.95        | 470.07           |
| MET WEST NFJ                          | NORFOLK SOUTHERN CORP | 15.000 | 3/27/2009 | 7/30/2009  | 654.67          | 523.12          | 131.55           |
| MET WEST NFJ                          | NORFOLK SOUTHERN CORP | 25.000 | 3/30/2009 | 7/30/2009  | 1,091.12        | 835.99          | 255.13           |
| MET WEST NFJ                          | NORFOLK SOUTHERN CORP | 35.000 | 4/3/2009  | 7/30/2009  | 1,527.57        | 1,309.11        | 218.46           |
| <b>TOTAL NORFOLK SOUTHERN CORP</b>    |                       |        |           |            | <b>7,419.62</b> | <b>5,753.46</b> | <b>1,666.16</b>  |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                           | Security             | Shares | Bought     | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/Loss |
|-----------------------------------|----------------------|--------|------------|-----------|-----------------|------------------|--------------------|
| <b>NOVARTIS AG</b>                |                      |        |            |           |                 |                  |                    |
| DELAWARE INT'L                    | NOVARTIS AG          | 12.000 | 4/27/2007  | 1/23/2009 | 534.12          | 707.65           | -173.53            |
| DELAWARE INT'L                    | NOVARTIS AG          | 5.000  | 4/30/2007  | 1/23/2009 | 222.55          | 294.05           | -71.50             |
| DELAWARE INT'L                    | NOVARTIS AG          | 9.000  | 5/18/2007  | 1/23/2009 | 400.59          | 511.86           | -111.27            |
| DELAWARE INT'L                    | NOVARTIS AG          | 1.000  | 5/18/2007  | 1/23/2009 | 44.51           | 56.84            | -12.33             |
| DELAWARE INT'L                    | NOVARTIS AG          | 23.000 | 5/18/2007  | 3/5/2009  | 805.50          | 1,307.22         | -501.72            |
| DELAWARE INT'L                    | NOVARTIS AG          | 21.000 | 6/6/2007   | 3/5/2009  | 735.46          | 1,179.74         | -444.28            |
| DELAWARE INT'L                    | NOVARTIS AG          | 12.000 | 6/7/2007   | 3/5/2009  | 420.26          | 668.09           | -247.83            |
| DELAWARE INT'L                    | NOVARTIS AG          | 33.000 | 6/19/2007  | 3/5/2009  | 1,155.72        | 1,834.39         | -678.67            |
| DELAWARE INT'L                    | NOVARTIS AG          | 36.000 | 7/9/2007   | 3/5/2009  | 1,260.78        | 1,991.79         | -731.01            |
| DELAWARE INT'L                    | NOVARTIS AG          | 7.000  | 10/23/2007 | 3/5/2009  | 245.15          | 365.17           | -120.02            |
| DELAWARE INT'L                    | NOVARTIS AG          | 20.000 | 10/23/2007 | 3/5/2009  | 700.44          | 1,041.85         | -341.41            |
| Thornburg Alliance                | NOVARTIS AG          | 13.000 | 3/24/2008  | 1/16/2009 | 613.39          | 637.33           | -23.94             |
| <b>TOTAL NOVARTIS AG</b>          |                      |        |            |           | <b>7,138.47</b> | <b>10,595.98</b> | <b>-3,457.51</b>   |
| <b>NOVO-NORDISK A S ADR</b>       |                      |        |            |           |                 |                  |                    |
| Thornburg Alliance                | NOVO-NORDISK A S ADR | 14.000 | 3/24/2008  | 1/7/2009  | 759.00          | 949.84           | -190.84            |
| Thornburg Alliance                | NOVO-NORDISK A S ADR | 8.000  | 3/24/2008  | 4/2/2009  | 393.60          | 542.77           | -149.17            |
| Thornburg Alliance                | NOVO-NORDISK A S ADR | 18.000 | 3/24/2008  | 4/3/2009  | 779.54          | 1,221.22         | -441.68            |
| <b>TOTAL NOVO-NORDISK A S ADR</b> |                      |        |            |           | <b>1,932.14</b> | <b>2,713.83</b>  | <b>-781.69</b>     |
| <b>NUCOR CORP</b>                 |                      |        |            |           |                 |                  |                    |
| WELLS BAIRD                       | NUCOR CORP           | 45.000 | 6/1/2009   | 7/24/2009 | 2,012.83        | 2,103.77         | -90.94             |
| WELLS BAIRD                       | NUCOR CORP           | 42.000 | 6/2/2009   | 7/24/2009 | 1,878.64        | 1,993.92         | -115.28            |
| WELLS BAIRD                       | NUCOR CORP           | 22.000 | 6/2/2009   | 7/24/2009 | 984.05          | 1,041.78         | -57.73             |
| WELLS BAIRD                       | NUCOR CORP           | 8.000  | 6/3/2009   | 7/24/2009 | 357.84          | 355.92           | 1.92               |
| WELLS BAIRD                       | NUCOR CORP           | 7.000  | 6/3/2009   | 10/1/2009 | 317.14          | 311.43           | 5.71               |
| WELLS BAIRD                       | NUCOR CORP           | 27.000 | 6/3/2009   | 10/2/2009 | 1,205.38        | 1,201.24         | 4.14               |
| WELLS BAIRD                       | NUCOR CORP           | 3.000  | 6/3/2009   | 10/2/2009 | 133.93          | 133.42           | 0.51               |
| WELLS BAIRD                       | NUCOR CORP           | 27.000 | 6/3/2009   | 10/5/2009 | 1,211.91        | 1,200.82         | 11.09              |
| WELLS BAIRD                       | NUCOR CORP           | 7.000  | 6/4/2009   | 10/5/2009 | 314.20          | 323.52           | -9.32              |
| WELLS BAIRD                       | NUCOR CORP           | 16.000 | 6/4/2009   | 10/6/2009 | 721.40          | 739.49           | -18.09             |
| WELLS BAIRD                       | NUCOR CORP           | 2.000  | 6/5/2009   | 10/6/2009 | 90.17           | 94.59            | -4.42              |
| WELLS BAIRD                       | NUCOR CORP           | 20.000 | 6/5/2009   | 10/8/2009 | 907.21          | 945.86           | -38.65             |
| WELLS BAIRD                       | NUCOR CORP           | 19.000 | 6/5/2009   | 10/8/2009 | 861.85          | 900.29           | -38.44             |
| WELLS BAIRD                       | NUCOR CORP           | 3.000  | 6/8/2009   | 10/8/2009 | 136.08          | 138.02           | -1.94              |
| WELLS BAIRD                       | NUCOR CORP           | 17.000 | 6/8/2009   | 10/8/2009 | 773.09          | 782.14           | -9.05              |
| WELLS BAIRD                       | NUCOR CORP           | 44.000 | 6/16/2009  | 10/8/2009 | 2,000.94        | 2,065.91         | -64.97             |

Part IV, Line 2 2009 Capital Gains - Last year

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| Account                    | Security             | Shares | Bought     | Sold      | Gross Proceeds | Cost Basis | Realized Gain/L |
|----------------------------|----------------------|--------|------------|-----------|----------------|------------|-----------------|
| WELLS BAIRD                | NUCOR CORP           | 17 000 | 8/6/2009   | 10/6/2009 | 773.09         | 827.95     | -54.86          |
| WELLS BAIRD                | NUCOR CORP           | 5 000  | 8/6/2009   | 10/9/2009 | 224.63         | 243.52     | -18.89          |
| WELLS BAIRD                | NUCOR CORP           | 46 000 | 8/7/2009   | 10/9/2009 | 2,066.61       | 2,267.39   | -200.78         |
| TOTAL NUCOR CORP           |                      |        |            |           | 16,970.99      | 17,670.98  | -699.99         |
| OCCIDENTAL PETROLEUM       |                      |        |            |           |                |            |                 |
| MET WEST NFJ               | OCCIDENTAL PETROLEUM | 65 000 | 9/23/2004  | 3/19/2009 | 3,857.29       | 1,773.53   | 2,083.76        |
| MET WEST NFJ               | OCCIDENTAL PETROLEUM | 30 000 | 9/23/2004  | 3/24/2009 | 1,764.58       | 818.55     | 946.03          |
| MET WEST NFJ               | OCCIDENTAL PETROLEUM | 20 000 | 9/23/2004  | 3/27/2009 | 1,168.57       | 545.70     | 622.87          |
| MET WEST NFJ               | OCCIDENTAL PETROLEUM | 30 000 | 9/23/2004  | 4/3/2009  | 1,791.74       | 818.55     | 973.19          |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 11 000 | 12/16/2008 | 3/19/2009 | 661.18         | 623.75     | 37.43           |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 5 000  | 12/17/2008 | 3/19/2009 | 300.54         | 291.92     | 8.62            |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 7 000  | 12/17/2008 | 3/19/2009 | 416.02         | 408.69     | 7.33            |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 5 000  | 12/17/2008 | 3/19/2009 | 297.16         | 291.19     | 5.97            |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 6 000  | 12/17/2008 | 4/6/2009  | 343.62         | 349.43     | -5.81           |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 27 000 | 12/18/2008 | 4/6/2009  | 1,546.30       | 1,529.02   | 17.28           |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 38 000 | 3/2/2009   | 4/6/2009  | 2,176.27       | 1,868.41   | 307.86          |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 9 000  | 3/4/2009   | 4/6/2009  | 515.43         | 472.82     | 42.61           |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 8 000  | 3/4/2009   | 4/7/2009  | 446.65         | 420.28     | 26.37           |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 16 000 | 3/9/2009   | 4/7/2009  | 893.70         | 807.97     | 85.73           |
| WELLS BAIRD                | OCCIDENTAL PETROLEUM | 16 000 | 3/9/2009   | 4/7/2009  | 893.70         | 811.04     | 82.66           |
| TOTAL OCCIDENTAL PETROLEUM |                      |        |            |           | 17,072.95      | 11,830.85  | 5,242.10        |
| ORACLE                     |                      |        |            |           |                |            |                 |
| WELLS BAIRD                | ORACLE               | 40 000 | 12/16/2008 | 6/10/2009 | 821.45         | 677.33     | 144.12          |
| WELLS BAIRD                | ORACLE               | 64 000 | 12/17/2008 | 6/10/2009 | 1,314.31       | 1,079.82   | 234.49          |
| WELLS BAIRD                | ORACLE               | 18 000 | 12/17/2008 | 7/20/2009 | 386.45         | 303.70     | 82.75           |
| WELLS BAIRD                | ORACLE               | 85 000 | 12/17/2008 | 7/20/2009 | 1,824.92       | 1,433.70   | 391.22          |
| WELLS BAIRD                | ORACLE               | 91 000 | 12/19/2008 | 7/20/2009 | 1,953.74       | 1,622.08   | 331.66          |
| WELLS BAIRD                | ORACLE               | 18 000 | 12/22/2008 | 7/20/2009 | 386.45         | 312.75     | 73.70           |
| WELLS BAIRD                | ORACLE               | 75 000 | 12/22/2008 | 8/6/2009  | 1,586.67       | 1,303.10   | 283.57          |
| WELLS BAIRD                | ORACLE               | 25 000 | 1/28/2009  | 8/6/2009  | 528.89         | 444.73     | 84.16           |
| WELLS BAIRD                | ORACLE               | 58 000 | 3/2/2009   | 8/6/2009  | 1,227.03       | 885.65     | 341.38          |
| WELLS BAIRD                | ORACLE               | 1 000  | 3/10/2009  | 8/6/2009  | 21.16          | 15.01      | 6.15            |
| WELLS BAIRD                | ORACLE               | 57 000 | 3/10/2009  | 8/6/2009  | 1,207.28       | 855.43     | 351.85          |
| WELLS BAIRD                | ORACLE               | 50 000 | 3/19/2009  | 8/6/2009  | 1,059.02       | 890.33     | 168.69          |
| WELLS BAIRD                | ORACLE               | 55 000 | 3/30/2009  | 8/6/2009  | 1,164.92       | 963.49     | 201.43          |
| TOTAL ORACLE               |                      |        |            |           | 13,482.29      | 10,767.12  | 2,695.17        |

# Part IV; Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

4/13/2010

| Account                                           | Security                 | Shares  | Bought     | Sold      | Gross Proceeds   | Cost Basis       | Realized Gain/Loss |
|---------------------------------------------------|--------------------------|---------|------------|-----------|------------------|------------------|--------------------|
| <b>PEABODY ENERGY CORP</b>                        |                          |         |            |           |                  |                  |                    |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 17.000  | 7/24/2009  | 8/28/2009 | 572.71           | 580.10           | -7.39              |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 15.000  | 7/24/2009  | 9/18/2009 | 581.11           | 511.86           | 69.25              |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 30.000  | 7/27/2009  | 9/18/2009 | 1,162.23         | 1,025.99         | 136.24             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 6.000   | 7/27/2009  | 9/18/2009 | 232.45           | 205.45           | 27.00              |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 19.000  | 7/27/2009  | 9/21/2009 | 721.73           | 650.59           | 71.14              |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 27.000  | 7/28/2009  | 9/21/2009 | 1,025.62         | 903.73           | 121.89             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 10.000  | 7/28/2009  | 9/22/2009 | 403.17           | 334.71           | 68.46              |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 45.000  | 7/29/2009  | 9/22/2009 | 1,814.25         | 1,455.04         | 359.21             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 18.000  | 7/29/2009  | 11/5/2009 | 769.62           | 582.01           | 187.61             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 22.000  | 7/29/2009  | 11/5/2009 | 940.64           | 708.48           | 232.16             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 12.000  | 7/29/2009  | 11/6/2009 | 505.08           | 386.44           | 118.64             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 28.000  | 7/30/2009  | 11/6/2009 | 1,178.51         | 922.58           | 255.93             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 58.000  | 8/6/2009   | 11/6/2009 | 2,441.20         | 2,020.82         | 420.38             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 10.000  | 8/6/2009   | 11/6/2009 | 420.90           | 348.54           | 72.36              |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 53.000  | 8/6/2009   | 11/6/2009 | 2,252.26         | 1,847.24         | 405.02             |
| WELLS BAIRD                                       | PEABODY ENERGY CORP      | 2.000   | 8/12/2009  | 11/6/2009 | 84.99            | 69.99            | 15.00              |
| <b>TOTAL PEABODY ENERGY CORP</b>                  |                          |         |            |           | <b>15,106.47</b> | <b>12,553.57</b> | <b>2,552.90</b>    |
| <b>PEPSICO INCBOOK/ENTRY DTD 12/04/2007</b>       |                          |         |            |           |                  |                  |                    |
| Pacific Highland                                  | PEPSICO INCBOOK/ENTRY... | 30.000  | 8/7/2008   | 5/7/2009  | 3,162.65         | 3,058.47         | 104.18             |
| Pacific Highland                                  | PEPSICO INCBOOK/ENTRY... | 310.000 | 8/7/2008   | 6/3/2009  | 33,034.40        | 31,604.19        | 1,430.21           |
| <b>TOTAL PEPSICO INCBOOK/ENTRY DTD 12/04/2007</b> |                          |         |            |           | <b>36,197.05</b> | <b>34,662.66</b> | <b>1,534.39</b>    |
| <b>PETROBRAS</b>                                  |                          |         |            |           |                  |                  |                    |
| Thornburg Alliance                                | PETROBRAS                | 46.000  | 5/26/2009  | 9/1/2009  | 1,794.73         | 1,904.98         | -110.25            |
| Thornburg Alliance                                | PETROBRAS                | 11.000  | 6/3/2009   | 9/1/2009  | 429.18           | 457.19           | -28.01             |
| WELLS BAIRD                                       | PETROBRAS                | 26.000  | 11/4/2008  | 4/21/2009 | 845.87           | 768.84           | 77.03              |
| WELLS BAIRD                                       | PETROBRAS                | 28.000  | 11/4/2008  | 4/22/2009 | 912.71           | 827.98           | 84.73              |
| WELLS BAIRD                                       | PETROBRAS                | 19.000  | 11/4/2008  | 4/23/2009 | 624.56           | 561.85           | 62.71              |
| WELLS BAIRD                                       | PETROBRAS                | 9.000   | 11/5/2008  | 4/23/2009 | 295.85           | 263.61           | 32.24              |
| WELLS BAIRD                                       | PETROBRAS                | 53.000  | 11/5/2008  | 4/24/2009 | 1,777.37         | 1,552.35         | 225.02             |
| WELLS BAIRD                                       | PETROBRAS                | 53.000  | 11/5/2008  | 4/24/2009 | 1,777.37         | 1,489.05         | 288.32             |
| WELLS BAIRD                                       | PETROBRAS                | 14.000  | 11/5/2008  | 4/27/2009 | 456.80           | 393.34           | 63.46              |
| WELLS BAIRD                                       | PETROBRAS                | 66.000  | 11/6/2008  | 4/27/2009 | 2,153.49         | 1,645.18         | 508.31             |
| WELLS BAIRD                                       | PETROBRAS                | 18.000  | 11/10/2008 | 4/27/2009 | 587.31           | 483.65           | 103.66             |
| WELLS BAIRD                                       | PETROBRAS                | 11.000  | 11/10/2008 | 4/27/2009 | 353.04           | 295.57           | 57.47              |

# Part IV; Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

4/13/2010

| Account                             | Security               | Shares    | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L.. |
|-------------------------------------|------------------------|-----------|------------|------------|------------------|------------------|-------------------|
| WELLS BAIRD                         | PETROBRAS              | 27.000    | 11/11/2008 | 4/27/2009  | 866.56           | 682.30           | 184.26            |
| WELLS BAIRD                         | PETROBRAS              | 34.000    | 1/20/2009  | 4/27/2009  | 1,091.22         | 803.53           | 287.69            |
| WELLS BAIRD                         | PETROBRAS              | 25.000    | 1/28/2009  | 4/27/2009  | 802.37           | 660.43           | 141.94            |
| <b>TOTAL PETROBRAS</b>              |                        |           |            |            | <b>14,768.43</b> | <b>12,789.85</b> | <b>1,978.58</b>   |
| <b>PFIZER</b>                       |                        |           |            |            |                  |                  |                   |
| MET WEST NFJ                        | PFIZER                 | 195.000   | 10/28/2004 | 1/12/2009  | 3,375.66         | 5,429.21         | -2,053.55         |
| MET WEST NFJ                        | PFIZER                 | 30.000    | 10/28/2004 | 5/7/2009   | 430.24           | 835.26           | -405.02           |
| MET WEST NFJ                        | PFIZER                 | 195.000   | 10/28/2004 | 7/30/2009  | 3,159.49         | 5,429.21         | -2,269.72         |
| MET WEST NFJ                        | PFIZER                 | 40.000    | 4/21/2006  | 7/30/2009  | 648.10           | 993.90           | -345.80           |
| MET WEST NFJ                        | PFIZER                 | 185.000   | 4/24/2006  | 7/30/2009  | 2,997.46         | 4,564.43         | -1,566.97         |
| MET WEST NFJ                        | PFIZER                 | 145.000   | 4/26/2006  | 7/30/2009  | 2,349.36         | 3,624.14         | -1,274.78         |
| MET WEST NFJ                        | PFIZER                 | 130.000   | 5/1/2006   | 7/30/2009  | 2,106.33         | 3,313.99         | -1,207.66         |
| MET WEST NFJ                        | PFIZER                 | 155.000   | 5/2/2006   | 7/30/2009  | 2,511.39         | 3,920.12         | -1,408.73         |
| <b>TOTAL PFIZER</b>                 |                        |           |            |            | <b>17,578.03</b> | <b>28,110.26</b> | <b>-10,532.23</b> |
| <b>PIA BBB BOND FUND</b>            |                        |           |            |            |                  |                  |                   |
| Pacific Highland                    | PIA BBB BOND FUND      | 1,192.000 | 7/22/2008  | 5/7/2009   | 10,203.52        | 10,728.00        | -524.48           |
| Pacific Highland                    | PIA BBB BOND FUND      | 1,131.000 | 7/22/2008  | 10/15/2009 | 10,755.81        | 10,179.00        | 576.81            |
| <b>TOTAL PIA BBB BOND FUND</b>      |                        |           |            |            | <b>20,959.33</b> | <b>20,907.00</b> | <b>52.33</b>      |
| <b>PNC-FINANCIAL SERVICES GROUP</b> |                        |           |            |            |                  |                  |                   |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 45.000    | 1/5/2009   | 3/4/2009   | 1,035.94         | 2,165.49         | -1,129.55         |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 35.000    | 1/9/2009   | 3/4/2009   | 805.73           | 1,669.81         | -864.08           |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 5.000     | 1/20/2009  | 3/4/2009   | 115.10           | 127.01           | -11.91            |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 60.000    | 1/20/2009  | 3/11/2009  | 1,512.69         | 1,524.15         | -11.46            |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 30.000    | 1/27/2009  | 3/11/2009  | 756.35           | 932.87           | -176.52           |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 50.000    | 1/27/2009  | 3/13/2009  | 1,350.54         | 1,554.78         | -204.24           |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 5.000     | 1/27/2009  | 5/7/2009   | 234.32           | 155.48           | 78.84             |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 35.000    | 2/11/2009  | 5/7/2009   | 1,640.21         | 1,118.25         | 521.96            |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 35.000    | 2/17/2009  | 5/7/2009   | 1,640.21         | 902.17           | 738.04            |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 60.000    | 2/17/2009  | 7/30/2009  | 2,159.12         | 1,546.59         | 612.53            |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 50.000    | 2/23/2009  | 7/30/2009  | 1,799.27         | 1,241.99         | 557.28            |
| MET WEST NFJ                        | PNC FINANCIAL SERVICES | 20.000    | 2/24/2009  | 7/30/2009  | 719.71           | 491.56           | 228.15            |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 20.000    | 11/4/2008  | 1/15/2009  | 844.29           | 1,438.62         | -594.33           |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 4.000     | 11/4/2008  | 1/16/2009  | 149.42           | 287.72           | -138.30           |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 17.000    | 11/5/2008  | 1/16/2009  | 635.01           | 1,256.47         | -621.46           |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 6.000     | 11/5/2008  | 1/20/2009  | 144.27           | 443.46           | -299.19           |

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| Account                             | Security               | Shares | Bought     | Sold       | Gross Proceeds | Cost Basis | Realized Gain/L. |
|-------------------------------------|------------------------|--------|------------|------------|----------------|------------|------------------|
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 17,000 | 11/5/2008  | 1/20/2009  | 408.75         | 1,213.44   | -804.69          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 20,000 | 11/5/2008  | 1/20/2009  | 502.81         | 1,427.58   | -924.77          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 13,000 | 11/7/2008  | 1/20/2009  | 326.82         | 858.54     | -531.72          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 12,000 | 11/10/2008 | 1/20/2009  | 301.68         | 802.63     | -500.95          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 12,000 | 11/11/2008 | 1/20/2009  | 301.68         | 773.55     | -471.87          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 14,000 | 11/13/2008 | 1/20/2009  | 351.97         | 869.06     | -517.09          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 14,000 | 11/17/2008 | 1/20/2009  | 351.97         | 861.85     | -509.88          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 17,000 | 11/25/2008 | 1/20/2009  | 427.39         | 868.55     | -441.16          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 19,000 | 12/3/2008  | 1/20/2009  | 477.67         | 864.29     | -386.62          |
| WELLS BAIRD                         | PNC FINANCIAL SERVICES | 16,000 | 12/9/2008  | 1/20/2009  | 402.25         | 883.13     | -480.88          |
| TOTAL PNC FINANCIAL SERVICES GROUP  |                        |        |            |            | 19,395.17      | 26,279.04  | -6,883.87        |
| POLO RALPH LAUREN CORP CL A         |                        |        |            |            |                |            |                  |
| MET WEST NFJ                        | POLO RALPH LAUREN CO.  | 19,000 | 7/30/2009  | 12/1/2009  | 1,498.28       | 1,195.94   | 302.34           |
| TOTAL POLO RALPH LAUREN CORP CL A   |                        |        |            |            | 1,498.28       | 1,195.94   | 302.34           |
| POTASH CORP SASKATCHEWAN, INC       |                        |        |            |            |                |            |                  |
| Thornburg Alliance                  | POTASH CORP SASKATCHE  | 6,000  | 3/24/2008  | 11/18/2009 | 680.69         | 905.78     | -225.09          |
| TOTAL POTASH CORP SASKATCHEWAN, INC |                        |        |            |            | 680.69         | 905.78     | -225.09          |
| PRAXAIR INC                         |                        |        |            |            |                |            |                  |
| WELLS BAIRD                         | PRAXAIR INC            | 2,000  | 7/3/2008   | 2/9/2009   | 139.99         | 185.75     | -45.76           |
| WELLS BAIRD                         | PRAXAIR INC            | 14,000 | 7/3/2008   | 4/2/2009   | 978.74         | 1,300.25   | -321.51          |
| WELLS BAIRD                         | PRAXAIR INC            | 14,000 | 7/3/2008   | 4/23/2009  | 973.31         | 1,300.25   | -326.94          |
| WELLS BAIRD                         | PRAXAIR INC            | 13,000 | 7/3/2008   | 4/24/2009  | 937.68         | 1,207.38   | -269.70          |
| WELLS BAIRD                         | PRAXAIR INC            | 1,000  | 7/3/2008   | 4/30/2009  | 74.49          | 92.88      | -18.39           |
| WELLS BAIRD                         | PRAXAIR INC            | 12,000 | 7/18/2008  | 4/30/2009  | 893.89         | 1,094.05   | -200.16          |
| WELLS BAIRD                         | PRAXAIR INC            | 10,000 | 7/18/2008  | 7/15/2009  | 718.66         | 911.71     | -193.05          |
| WELLS BAIRD                         | PRAXAIR INC            | 10,000 | 7/23/2008  | 7/15/2009  | 718.66         | 953.87     | -235.21          |
| WELLS BAIRD                         | PRAXAIR INC            | 13,000 | 7/23/2008  | 7/16/2009  | 936.21         | 1,240.03   | -303.82          |
| WELLS BAIRD                         | PRAXAIR INC            | 23,000 | 7/23/2008  | 7/16/2009  | 1,653.24       | 2,193.91   | -540.67          |
| WELLS BAIRD                         | PRAXAIR INC            | 5,000  | 8/6/2008   | 7/16/2009  | 359.40         | 455.72     | -96.32           |
| WELLS BAIRD                         | PRAXAIR INC            | 10,000 | 8/6/2008   | 7/17/2009  | 710.98         | 911.44     | -200.46          |
| WELLS BAIRD                         | PRAXAIR INC            | 17,000 | 10/6/2008  | 7/17/2009  | 1,208.66       | 1,170.38   | 38.28            |
| WELLS BAIRD                         | PRAXAIR INC            | 15,000 | 2/26/2009  | 7/17/2009  | 1,066.46       | 895.60     | 170.86           |
| TOTAL PRAXAIR INC                   |                        |        |            |            | 11,370.37      | 13,913.22  | -2,542.85        |

PROCTOR & GAMBLE

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                 | Security               | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L. |
|-----------------------------------------|------------------------|---------|------------|------------|------------------|------------------|------------------|
| WELLS BAIRD                             | PROCTOR & GAMBLE       | 53.000  | 9/29/2008  | 1/23/2009  | 2,965.18         | 3,580.82         | -615.64          |
| WELLS BAIRD                             | PROCTOR & GAMBLE       | 2.000   | 9/29/2008  | 2/11/2009  | 102.07           | 135.13           | -33.06           |
| WELLS BAIRD                             | PROCTOR & GAMBLE       | 22.000  | 10/2/2008  | 2/11/2009  | 1,122.82         | 1,568.90         | -446.08          |
| WELLS BAIRD                             | PROCTOR & GAMBLE       | 15.000  | 10/3/2008  | 2/11/2009  | 765.56           | 1,064.23         | -298.67          |
| WELLS BAIRD                             | PROCTOR & GAMBLE       | 31.000  | 10/6/2008  | 2/11/2009  | 1,582.16         | 2,091.06         | -508.90          |
| WELLS BAIRD                             | PROCTOR & GAMBLE       | 6.000   | 10/10/2008 | 2/11/2009  | 306.22           | 343.40           | -37.18           |
| WELLS BAIRD                             | PROCTOR & GAMBLE       | 6.000   | 11/10/2008 | 2/11/2009  | 306.22           | 388.53           | -82.31           |
| WELLS BAIRD                             | PROCTOR & GAMBLE       | 7.000   | 11/11/2008 | 2/11/2009  | 357.26           | 451.20           | -93.94           |
| <b>TOTAL PROCTOR &amp; GAMBLE</b>       |                        |         |            |            | <b>7,507.49</b>  | <b>9,623.27</b>  | <b>-2,115.78</b> |
| <b>QUALCOMM INC</b>                     |                        |         |            |            |                  |                  |                  |
| WELLS BAIRD                             | QUALCOMM INC           | 137.000 | 5/23/2008  | 2/3/2009   | 4,652.43         | 6,527.78         | -1,875.35        |
| WELLS BAIRD                             | QUALCOMM INC           | 39.000  | 5/27/2008  | 2/3/2009   | 1,324.42         | 1,893.24         | -568.82          |
| WELLS BAIRD                             | QUALCOMM INC           | 30.000  | 5/27/2008  | 9/18/2009  | 1,336.54         | 1,456.33         | -119.79          |
| WELLS BAIRD                             | QUALCOMM INC           | 14.000  | 7/2/2008   | 9/18/2009  | 623.72           | 642.80           | -19.08           |
| WELLS BAIRD                             | QUALCOMM INC           | 19.000  | 7/2/2008   | 10/1/2009  | 815.59           | 872.36           | -56.77           |
| WELLS BAIRD                             | QUALCOMM INC           | 9.000   | 7/9/2008   | 10/1/2009  | 386.33           | 429.18           | -42.85           |
| WELLS BAIRD                             | QUALCOMM INC           | 23.000  | 7/9/2008   | 10/2/2009  | 958.90           | 1,096.81         | -137.91          |
| WELLS BAIRD                             | QUALCOMM INC           | 28.000  | 7/24/2008  | 10/2/2009  | 1,167.36         | 1,488.17         | -320.81          |
| WELLS BAIRD                             | QUALCOMM INC           | 4.000   | 7/24/2008  | 10/20/2009 | 165.01           | 212.60           | -47.59           |
| WELLS BAIRD                             | QUALCOMM INC           | 27.000  | 7/25/2008  | 10/20/2009 | 1,113.82         | 1,465.45         | -351.63          |
| WELLS BAIRD                             | QUALCOMM INC           | 28.000  | 9/11/2008  | 10/20/2009 | 1,155.07         | 1,359.10         | -204.03          |
| WELLS BAIRD                             | QUALCOMM INC           | 23.000  | 9/22/2008  | 10/20/2009 | 948.81           | 1,087.26         | -138.45          |
| <b>TOTAL QUALCOMM INC</b>               |                        |         |            |            | <b>14,648.00</b> | <b>18,531.08</b> | <b>-3,883.08</b> |
| <b>REED ELSEVIER NV</b>                 |                        |         |            |            |                  |                  |                  |
| DELAWARE INT'L                          | REED ELSEVIER NV       | 27.000  | 1/11/2008  | 1/23/2009  | 595.92           | 893.80           | -297.88          |
| DELAWARE INT'L                          | REED ELSEVIER NV       | 138.000 | 1/11/2008  | 3/5/2009   | 2,857.20         | 4,568.31         | -1,711.11        |
| <b>TOTAL REED ELSEVIER NV</b>           |                        |         |            |            | <b>3,453.12</b>  | <b>5,462.11</b>  | <b>-2,008.99</b> |
| <b>RESEARCH IN MOTION LTD-CAD</b>       |                        |         |            |            |                  |                  |                  |
| WELLS BAIRD                             | RESEARCH IN MOTION LT. | 5.000   | 1/16/2009  | 2/2/2009   | 278.43           | 246.59           | 31.84            |
| WELLS BAIRD                             | RESEARCH IN MOTION LT. | 57.000  | 1/16/2009  | 2/11/2009  | 2,714.43         | 2,811.18         | -96.75           |
| WELLS BAIRD                             | RESEARCH IN MOTION LT. | 41.000  | 1/20/2009  | 2/11/2009  | 1,952.48         | 2,091.98         | -139.50          |
| WELLS BAIRD                             | RESEARCH IN MOTION LT. | 30.000  | 4/9/2009   | 5/7/2009   | 2,187.64         | 1,923.95         | 263.69           |
| WELLS BAIRD                             | RESEARCH IN MOTION LT. | 30.000  | 4/13/2009  | 5/7/2009   | 2,187.64         | 1,931.29         | 256.35           |
| WELLS BAIRD                             | RESEARCH IN MOTION LT. | 29.000  | 4/14/2009  | 5/7/2009   | 2,114.72         | 1,859.14         | 255.58           |
| <b>TOTAL RESEARCH IN MOTION LTD-CAD</b> |                        |         |            |            | <b>11,435.34</b> | <b>10,864.13</b> | <b>571.21</b>    |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                    | Security              | Shares  | Bought    | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/L  |
|--------------------------------------------|-----------------------|---------|-----------|-----------|-----------------|------------------|------------------|
| <b>REYNOLDS AMERICAN</b>                   |                       |         |           |           |                 |                  |                  |
| MET WEST NFJ                               | REYNOLDS AMERICAN     | 20.000  | 9/23/2004 | 5/7/2009  | 796.57          | 676.10           | 120.47           |
| MET WEST NFJ                               | REYNOLDS AMERICAN     | 150.000 | 9/23/2004 | 7/30/2009 | 6,559.13        | 5,070.75         | 1,488.38         |
| <b>TOTAL REYNOLDS AMERICAN</b>             |                       |         |           |           | <b>7,355.70</b> | <b>5,746.85</b>  | <b>1,608.85</b>  |
| <b>RH DONNELLY CORP</b>                    |                       |         |           |           |                 |                  |                  |
| MET WEST NFJ                               | RH DONNELLY CORP      | 25.000  | 1/26/2006 | 7/30/2009 | 349.57          | 808.33           | -458.76          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 15.000  | 3/29/2006 | 7/30/2009 | 209.74          | 489.33           | -279.59          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 15.000  | 4/3/2006  | 7/30/2009 | 209.74          | 496.70           | -286.96          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 20.000  | 4/5/2006  | 7/30/2009 | 279.65          | 665.80           | -386.15          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 20.000  | 4/10/2006 | 7/30/2009 | 279.65          | 660.30           | -380.65          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 25.000  | 4/12/2006 | 7/30/2009 | 349.57          | 823.56           | -473.99          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 15.000  | 4/26/2006 | 7/30/2009 | 209.74          | 496.80           | -287.06          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 10.000  | 4/27/2006 | 7/30/2009 | 139.83          | 331.33           | -191.50          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 20.000  | 5/1/2006  | 7/30/2009 | 279.65          | 675.45           | -395.80          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 20.000  | 5/4/2006  | 7/30/2009 | 279.65          | 670.73           | -391.08          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 45.000  | 5/5/2006  | 7/30/2009 | 629.22          | 1,519.12         | -889.90          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 15.000  | 5/17/2006 | 7/30/2009 | 209.74          | 499.65           | -289.91          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 25.000  | 5/18/2006 | 7/30/2009 | 349.57          | 833.62           | -484.05          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 40.000  | 6/8/2006  | 7/30/2009 | 559.31          | 1,243.45         | -684.14          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 20.000  | 6/9/2006  | 7/30/2009 | 279.65          | 616.16           | -336.51          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 10.000  | 6/12/2006 | 7/30/2009 | 139.83          | 307.40           | -167.57          |
| MET WEST NFJ                               | RH DONNELLY CORP      | 150.000 | 5/7/2009  | 7/30/2009 | 2,097.40        | 1,874.70         | 222.70           |
| <b>TOTAL RH DONNELLY CORP</b>              |                       |         |           |           | <b>6,851.51</b> | <b>13,012.43</b> | <b>-6,160.92</b> |
| <b>ROCHE HLDG LTD SPON ADR</b>             |                       |         |           |           |                 |                  |                  |
| Thornburg Alliance                         | ROCHE HLDG LTD SPON A | 30.000  | 3/24/2008 | 1/29/2009 | 1,059.91        | 1,396.50         | -336.59          |
| Thornburg Alliance                         | ROCHE HLDG LTD SPON A | 16.000  | 3/24/2008 | 3/16/2009 | 494.74          | 744.80           | -250.06          |
| <b>TOTAL ROCHE HLDG LTD SPON ADR</b>       |                       |         |           |           | <b>1,554.65</b> | <b>2,141.30</b>  | <b>-586.65</b>   |
| <b>ROGERS COMMUNICATIONS INCCL B</b>       |                       |         |           |           |                 |                  |                  |
| Thornburg Alliance                         | ROGERS COMMUNICATIO   | 21.000  | 3/24/2008 | 8/20/2009 | 572.61          | 723.49           | -150.88          |
| Thornburg Alliance                         | ROGERS COMMUNICATIO   | 24.000  | 3/24/2008 | 8/21/2009 | 657.94          | 826.85           | -168.91          |
| Thornburg Alliance                         | ROGERS COMMUNICATIO   | 42.000  | 3/24/2008 | 8/24/2009 | 1,156.39        | 1,446.98         | -290.59          |
| Thornburg Alliance                         | ROGERS COMMUNICATIO   | 20.000  | 3/24/2008 | 8/25/2009 | 551.41          | 689.04           | -137.63          |
| <b>TOTAL ROGERS COMMUNICATIONS INCCL B</b> |                       |         |           |           | <b>2,938.35</b> | <b>3,686.36</b>  | <b>-748.01</b>   |

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| Account                                     | Security               | Shares | Bought     | Sold      | Gross Proceeds | Cost Basis | Realized Gain/L |
|---------------------------------------------|------------------------|--------|------------|-----------|----------------|------------|-----------------|
| <b>ROYAL BK SCOTLAND GROUP PLC SPON ADR</b> |                        |        |            |           |                |            |                 |
| DELAWARE INTL                               | ROYAL BK SCOTLAND GR   | 6,000  | 11/7/2008  | 2/10/2009 | 43.78          | 511.36     | -467.58         |
| DELAWARE INTL                               | ROYAL BK SCOTLAND GR   | 39,000 | 11/7/2008  | 2/12/2009 | 265.63         | 3,323.87   | -3,058.24       |
| TOTAL ROYAL BK SCOTLAND GROUP PLC SPON ADR  |                        |        |            |           | 309.41         | 3,835.23   | -3,525.82       |
| <b>ROYAL DUTCH SHELL PLC</b>                |                        |        |            |           |                |            |                 |
| DELAWARE INTL                               | ROYAL DUTCH SHELL PLC  | 15,000 | 3/21/2005  | 1/23/2009 | 702.65         | 929.21     | -226.56         |
| DELAWARE INTL                               | ROYAL DUTCH SHELL PLC  | 22,000 | 3/21/2005  | 3/5/2009  | 886.76         | 1,362.84   | -476.08         |
| DELAWARE INTL                               | ROYAL DUTCH SHELL PLC  | 24,000 | 1/26/2006  | 3/5/2009  | 967.38         | 1,570.66   | -603.28         |
| DELAWARE INTL                               | ROYAL DUTCH SHELL PLC  | 27,000 | 2/1/2006   | 3/5/2009  | 1,088.30       | 1,842.21   | -753.91         |
| DELAWARE INTL                               | ROYAL DUTCH SHELL PLC  | 10,000 | 8/13/2007  | 3/5/2009  | 403.07         | 742.63     | -339.56         |
| DELAWARE INTL                               | ROYAL DUTCH SHELL PLC  | 10,000 | 8/13/2007  | 3/5/2009  | 403.07         | 746.64     | -343.57         |
| MET WEST NFJ                                | ROYAL DUTCH SHELL PLC  | 45,000 | 9/7/2007   | 5/7/2009  | 2,152.75       | 3,633.79   | -1,481.04       |
| MET WEST NFJ                                | ROYAL DUTCH SHELL PLC  | 5,000  | 9/25/2007  | 5/7/2009  | 239.19         | 418.09     | -178.90         |
| MET WEST NFJ                                | ROYAL DUTCH SHELL PLC  | 35,000 | 9/25/2007  | 7/30/2009 | 1,838.99       | 2,926.60   | -1,087.61       |
| MET WEST NFJ                                | ROYAL DUTCH SHELL PLC  | 40,000 | 10/12/2007 | 7/30/2009 | 2,101.70       | 3,334.11   | -1,232.41       |
| MET WEST NFJ                                | ROYAL DUTCH SHELL PLC  | 55,000 | 10/5/2007  | 7/30/2009 | 2,889.84       | 4,399.67   | -1,509.83       |
| TOTAL ROYAL DUTCH SHELL PLC                 |                        |        |            |           | 13,673.70      | 21,897.45  | -8,223.75       |
| <b>RWE AH SPONS ADR</b>                     |                        |        |            |           |                |            |                 |
| DELAWARE INTL                               | RWE AH SPONS ADR       | 15,000 | 3/21/2005  | 1/23/2009 | 1,152.74       | 888.75     | 263.99          |
| DELAWARE INTL                               | RWE AH SPONS ADR       | 4,000  | 3/21/2005  | 2/2/2009  | 307.69         | 237.00     | 70.69           |
| DELAWARE INTL                               | RWE AH SPONS ADR       | 4,000  | 3/21/2005  | 2/3/2009  | 314.13         | 237.00     | 77.13           |
| DELAWARE INTL                               | RWE AH SPONS ADR       | 11,000 | 3/21/2005  | 3/5/2009  | 677.05         | 651.75     | 25.30           |
| DELAWARE INTL                               | RWE AH SPONS ADR       | 30,000 | 1/26/2006  | 3/5/2009  | 1,846.50       | 2,400.00   | -553.50         |
| DELAWARE INTL                               | RWE AH SPONS ADR       | 33,000 | 2/1/2006   | 3/5/2009  | 2,031.15       | 2,743.95   | -712.80         |
| TOTAL RWE AH SPONS ADR                      |                        |        |            |           | 6,329.26       | 7,158.45   | -829.19         |
| <b>SABMILLER PLC SPON ADR</b>               |                        |        |            |           |                |            |                 |
| Thornburg Alliance                          | SABMILLER PLC SPON ADR | 44,000 | 3/24/2008  | 9/10/2009 | 1,058.06       | 926.20     | 131.86          |
| TOTAL SABMILLER PLC SPON ADR                |                        |        |            |           | 1,058.06       | 926.20     | 131.86          |
| <b>SAP AKLENGESELLSCHAFTSPONS ADR-USD</b>   |                        |        |            |           |                |            |                 |
| Thornburg Alliance                          | SAP AKLENGESELLSCHAFT  | 20,000 | 5/19/2008  | 4/23/2009 | 795.32         | 1,039.77   | -244.45         |
| TOTAL SAP AKLENGESELLSCHAFTSPONS ADR-USD    |                        |        |            |           | 795.32         | 1,039.77   | -244.45         |
| <b>SASOL LTD</b>                            |                        |        |            |           |                |            |                 |
| DELAWARE INTL                               | SASOL LTD              | 15,000 | 3/21/2005  | 1/23/2009 | 380.73         | 379.35     | 1.38            |

# Part IV: Line 2 2009 Capital Gains - Last year

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| Account                                            | Security                  | Shares | Bought     | Sold      | Gross Proceeds | Cost Basis | Realized Gain/Loss |
|----------------------------------------------------|---------------------------|--------|------------|-----------|----------------|------------|--------------------|
| DELAWARE INT'L                                     | SASOL LTD                 | 37,000 | 3/21/2005  | 3/5/2009  | 911.02         | 935.73     | -24.71             |
| DELAWARE INT'L                                     | SASOL LTD                 | 12,000 | 1/26/2006  | 3/5/2009  | 295.47         | 471.48     | -176.01            |
| DELAWARE INT'L                                     | SASOL LTD                 | 24,000 | 2/1/2006   | 3/5/2009  | 590.93         | 1,020.00   | -429.07            |
| TOTAL SASOL LTD                                    |                           |        |            |           | 2,176.15       | 2,806.56   | -628.41            |
| SEVEN & I HLDGS CO LTD-JPY                         |                           |        |            |           |                |            |                    |
| DELAWARE INT'L                                     | SEVEN & I HLDGS CO LTD .. | 7,000  | 2/17/2009  | 3/5/2009  | 284.89         | 350.86     | -65.97             |
| DELAWARE INT'L                                     | SEVEN & I HLDGS CO LTD... | 6,000  | 2/18/2009  | 3/5/2009  | 244.20         | 301.25     | -57.05             |
| TOTAL SEVEN & I HLDGS CO LTD-JPY                   |                           |        |            |           | 529.09         | 652.11     | -123.02            |
| SIEMENS A G SPONS ADR                              |                           |        |            |           |                |            |                    |
| DELAWARE INT'L                                     | SIEMENS A G SPONS ADR     | 6,000  | 9/15/2008  | 1/23/2009 | 308.44         | 576.57     | -268.13            |
| DELAWARE INT'L                                     | SIEMENS A G SPONS ADR     | 6,000  | 9/15/2008  | 3/5/2009  | 297.67         | 576.57     | -278.90            |
| DELAWARE INT'L                                     | SIEMENS A G SPONS ADR     | 12,000 | 9/22/2008  | 3/5/2009  | 595.34         | 1,203.42   | -608.08            |
| DELAWARE INT'L                                     | SIEMENS A G SPONS ADR     | 2,000  | 9/26/2008  | 3/5/2009  | 99.22          | 195.01     | -95.79             |
| DELAWARE INT'L                                     | SIEMENS A G SPONS ADR     | 12,000 | 9/29/2008  | 3/5/2009  | 595.34         | 1,117.65   | -522.31            |
| TOTAL SIEMENS A G SPONS ADR                        |                           |        |            |           | 1,896.01       | 3,669.22   | -1,773.21          |
| SINGAPORE TELECOMMUNICATIO SPONSORED ADR NEW       |                           |        |            |           |                |            |                    |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU...    | 26,000 | 6/9/2008   | 1/23/2009 | 438.09         | 696.65     | -258.56            |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU...    | 10,000 | 6/10/2008  | 1/23/2009 | 168.50         | 266.21     | -97.71             |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU ..    | 14,000 | 6/10/2008  | 3/5/2009  | 224.00         | 372.69     | -148.69            |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU...    | 23,000 | 8/8/2008   | 3/5/2009  | 368.00         | 578.44     | -210.44            |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU ..    | 28,000 | 8/12/2008  | 3/5/2009  | 448.00         | 693.54     | -245.54            |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU...    | 23,000 | 8/14/2008  | 3/5/2009  | 368.00         | 568.06     | -200.06            |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU...    | 25,000 | 8/15/2008  | 3/5/2009  | 400.00         | 614.54     | -214.54            |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU...    | 37,000 | 11/19/2008 | 3/5/2009  | 592.00         | 587.93     | 4.07               |
| DELAWARE INT'L                                     | SINGAPORE TELECOMMU...    | 23,000 | 11/20/2008 | 3/5/2009  | 368.00         | 359.62     | 8.38               |
| TOTAL SINGAPORE TELECOMMUNICATIO SPONSORED ADR NEW |                           |        |            |           | 3,374.59       | 4,737.68   | -1,363.09          |
| SMITH & NEPHEW PLC SP ADR                          |                           |        |            |           |                |            |                    |
| Thornburg Alliance                                 | SMITH & NEPHEW PLC SP...  | 12,000 | 7/10/2008  | 9/11/2009 | 570.25         | 646.09     | -75.84             |
| TOTAL SMITH & NEPHEW PLC SP ADR                    |                           |        |            |           | 570.25         | 646.09     | -75.84             |
| SOCIETE GENERALE SPON ADR                          |                           |        |            |           |                |            |                    |
| DELAWARE INT'L                                     | SOCIETE GENERALE SPO ..   | 75,000 | 3/21/2005  | 1/23/2009 | 530.53         | 1,575.00   | -1,044.47          |
| DELAWARE INT'L                                     | SOCIETE GENERALE SPO...   | 45,000 | 3/21/2005  | 3/5/2009  | 230.85         | 945.00     | -714.15            |
| DELAWARE INT'L                                     | SOCIETE GENERALE SPO...   | 99,000 | 1/26/2006  | 3/5/2009  | 507.87         | 2,623.50   | -2,115.63          |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                         | Security                | Shares  | Bought    | Sold       | Gross Proceeds | Cost Basis | Realized Gain/L... |
|---------------------------------|-------------------------|---------|-----------|------------|----------------|------------|--------------------|
| DELAWARE INT'L                  | SOCIETE GENERALE SPO... | 108.000 | 2/1/2006  | 3/5/2009   | 554.04         | 2,910.60   | -2,356.56          |
| DELAWARE INT'L                  | SOCIETE GENERALE SPO... | 13.000  | 5/7/2008  | 3/5/2009   | 66.69          | 304.88     | -238.19            |
| DELAWARE INT'L                  | SOCIETE GENERALE SPO    | 53.000  | 5/8/2008  | 3/5/2009   | 271.89         | 1,211.92   | -940.03            |
| TOTAL SOCIETE GENERALE SPON ADR |                         |         |           |            | 2,161.87       | 9,570.90   | -7,409.03          |
| SOUTHERN COPPER CORP DEL        |                         |         |           |            |                |            |                    |
| Thornburg Alliance              | SOUTHERN COPPER COR     | 19.000  | 7/17/2009 | 10/27/2009 | 639.84         | 433.83     | 206.01             |
| TOTAL SOUTHERN COPPER CORP DEL  |                         |         |           |            | 639.84         | 433.83     | 206.01             |
| ST JUDE MEDICAL INC             |                         |         |           |            |                |            |                    |
| WELLS BAIRD                     | ST JUDE MEDICAL INC     | 24.000  | 2/23/2009 | 10/6/2009  | 815.98         | 882.07     | -66.09             |
| WELLS BAIRD                     | ST JUDE MEDICAL INC     | 24.000  | 2/23/2009 | 10/6/2009  | 815.98         | 883.82     | -67.84             |
| WELLS BAIRD                     | ST JUDE MEDICAL INC     | 24.000  | 2/24/2009 | 10/6/2009  | 815.98         | 880.08     | -64.10             |
| WELLS BAIRD                     | ST JUDE MEDICAL INC     | 17.000  | 2/27/2009 | 10/6/2009  | 577.99         | 568.21     | 9.78               |
| WELLS BAIRD                     | ST JUDE MEDICAL INC     | 7.000   | 2/27/2009 | 10/7/2009  | 232.16         | 233.97     | -1.81              |
| WELLS BAIRD                     | ST JUDE MEDICAL INC     | 36.000  | 4/22/2009 | 10/7/2009  | 1,193.95       | 1,296.00   | -102.05            |
| WELLS BAIRD                     | ST JUDE MEDICAL INC     | 34.000  | 6/18/2009 | 10/7/2009  | 1,127.62       | 1,386.67   | -259.05            |
| WELLS BAIRD                     | ST JUDE MEDICAL INC     | 31.000  | 6/19/2009 | 10/7/2009  | 1,028.12       | 1,249.95   | -221.83            |
| TOTAL ST JUDE MEDICAL INC       |                         |         |           |            | 6,607.78       | 7,380.77   | -772.99            |
| STATE STREET CORP               |                         |         |           |            |                |            |                    |
| WELLS BAIRD                     | STATE STREET CORP       | 66.000  | 5/9/2008  | 5/5/2009   | 2,315.08       | 4,757.28   | -2,442.20          |
| WELLS BAIRD                     | STATE STREET CORP       | 8.000   | 6/11/2008 | 5/5/2009   | 280.62         | 548.29     | -267.67            |
| WELLS BAIRD                     | STATE STREET CORP       | 20.000  | 6/11/2008 | 5/6/2009   | 760.54         | 1,370.71   | -610.17            |
| WELLS BAIRD                     | STATE STREET CORP       | 25.000  | 1/28/2009 | 5/6/2009   | 950.68         | 652.25     | 298.43             |
| WELLS BAIRD                     | STATE STREET CORP       | 27.000  | 4/21/2009 | 5/6/2009   | 1,026.73       | 940.47     | 86.26              |
| TOTAL STATE STREET CORP         |                         |         |           |            | 5,333.65       | 8,269.00   | -2,935.35          |
| STORA ENSO                      |                         |         |           |            |                |            |                    |
| DELAWARE INT'L                  | STORA ENSO              | 18.000  | 8/18/2005 | 1/23/2009  | 102.96         | 241.05     | -138.09            |
| DELAWARE INT'L                  | STORA ENSO              | 18.000  | 8/19/2005 | 1/23/2009  | 102.96         | 245.07     | -142.11            |
| DELAWARE INT'L                  | STORA ENSO              | 3.000   | 1/26/2006 | 1/23/2009  | 17.16          | 40.62      | -23.46             |
| DELAWARE INT'L                  | STORA ENSO              | 54.000  | 1/26/2006 | 3/5/2009   | 201.42         | 731.10     | -529.68            |
| DELAWARE INT'L                  | STORA ENSO              | 57.000  | 2/1/2006  | 3/5/2009   | 212.61         | 803.42     | -590.81            |
| DELAWARE INT'L                  | STORA ENSO              | 3.000   | 3/1/2007  | 3/5/2009   | 11.19          | 48.44      | -37.25             |
| DELAWARE INT'L                  | STORA ENSO              | 8.000   | 3/1/2007  | 3/5/2009   | 29.84          | 129.35     | -99.51             |
| DELAWARE INT'L                  | STORA ENSO              | 21.000  | 3/5/2007  | 3/5/2009   | 78.33          | 337.07     | -258.74            |
| DELAWARE INT'L                  | STORA ENSO              | 63.000  | 4/16/2007 | 3/5/2009   | 234.99         | 1,108.80   | -873.81            |

1/1/2009 through 12/31/2009

| Account                                 | Security              | Shares | Bought    | Sold      | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|-----------------------------------------|-----------------------|--------|-----------|-----------|------------------|------------------|------------------|
| <b>TOTAL STORA ENSO</b>                 |                       |        |           |           | <b>991.46</b>    | <b>3,684.92</b>  | <b>-2,693.46</b> |
| <b>SUNCOR ENERGY INC</b>                |                       |        |           |           |                  |                  |                  |
| Thornburg Alliance                      | SUNCOR ENERGY INC     | 23,000 | 7/25/2008 | 1/15/2009 | 457.91           | 1,238.74         | -780.83          |
| Thornburg Alliance                      | SUNCOR ENERGY INC     | 24,000 | 7/29/2008 | 1/15/2009 | 477.82           | 1,257.59         | -779.77          |
| Thornburg Alliance                      | SUNCOR ENERGY INC     | 13,000 | 8/20/2008 | 1/15/2009 | 258.82           | 723.32           | -464.50          |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 52,000 | 5/14/2009 | 7/24/2009 | 1,704.09         | 1,548.44         | 155.65           |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 50,000 | 5/15/2009 | 7/24/2009 | 1,638.55         | 1,428.72         | 209.83           |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 30,000 | 5/15/2009 | 7/24/2009 | 983.13           | 858.31           | 124.82           |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 21,000 | 5/15/2009 | 7/27/2009 | 688.20           | 600.81           | 87.39            |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 17,000 | 5/18/2009 | 7/27/2009 | 557.11           | 513.33           | 43.78            |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 40,000 | 5/18/2009 | 8/3/2009  | 1,207.85         | 1,207.85         | 0.00             |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 44,000 | 5/19/2009 | 8/3/2009  | 1,340.03         | 1,340.03         | 0.00             |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 13,000 | 5/20/2009 | 8/3/2009  | 414.80           | 414.80           | 0.00             |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 80,000 | 5/21/2009 | 8/3/2009  | 2,447.14         | 2,447.14         | 0.00             |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 16,000 | 5/22/2009 | 8/3/2009  | 508.34           | 508.34           | 0.00             |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 31,000 | 6/5/2009  | 8/3/2009  | 1,071.61         | 1,071.61         | 0.00             |
| WELLS BAIRD                             | SUNCOR ENERGY INC     | 29,000 | 6/5/2009  | 8/3/2009  | 999.64           | 999.64           | 0.00             |
| <b>TOTAL SUNCOR ENERGY INC</b>          |                       |        |           |           | <b>14,755.04</b> | <b>16,158.67</b> | <b>-1,403.63</b> |
| <b>SUNCOR ENERGY INC NEW</b>            |                       |        |           |           |                  |                  |                  |
| WELLS BAIRD                             | SUNCOR ENERGY INC NEW | 46,000 | 8/3/2009  | 11/5/2009 | 1,512.65         | 1,452.62         | 60.03            |
| <b>TOTAL SUNCOR ENERGY INC NEW</b>      |                       |        |           |           | <b>1,512.65</b>  | <b>1,452.62</b>  | <b>60.03</b>     |
| <b>SUPERVALU INC</b>                    |                       |        |           |           |                  |                  |                  |
| MET WEST NFJ                            | SUPERVALU INC         | 95,000 | 2/9/2009  | 7/30/2009 | 1,397.69         | 1,865.44         | -467.75          |
| MET WEST NFJ                            | SUPERVALU INC         | 45,000 | 2/18/2009 | 7/30/2009 | 662.06           | 796.25           | -134.19          |
| MET WEST NFJ                            | SUPERVALU INC         | 35,000 | 2/19/2009 | 7/30/2009 | 514.94           | 624.31           | -109.37          |
| MET WEST NFJ                            | SUPERVALU INC         | 35,000 | 2/24/2009 | 7/30/2009 | 514.94           | 617.34           | -102.40          |
| MET WEST NFJ                            | SUPERVALU INC         | 50,000 | 2/25/2009 | 7/30/2009 | 735.62           | 892.03           | -156.41          |
| MET WEST NFJ                            | SUPERVALU INC         | 30,000 | 3/4/2009  | 7/30/2009 | 441.37           | 484.82           | -43.45           |
| MET WEST NFJ                            | SUPERVALU INC         | 55,000 | 3/5/2009  | 7/30/2009 | 809.19           | 843.71           | -34.52           |
| <b>TOTAL SUPERVALU INC</b>              |                       |        |           |           | <b>5,075.81</b>  | <b>6,123.90</b>  | <b>-1,048.09</b> |
| <b>SWISS REINSURANCE SPON ADR</b>       |                       |        |           |           |                  |                  |                  |
| Thornburg Alliance                      | SWISS REINSURANCE SP  | 28,000 | 3/24/2008 | 1/26/2009 | 742.81           | 2,355.79         | -1,612.98        |
| Thornburg Alliance                      | SWISS REINSURANCE SP  | 22,000 | 3/24/2008 | 1/27/2009 | 577.22           | 1,850.97         | -1,273.75        |
| <b>TOTAL SWISS REINSURANCE SPON ADR</b> |                       |        |           |           | <b>1,320.03</b>  | <b>4,206.76</b>  | <b>-2,886.73</b> |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                    | Security               | Shares  | Bought     | Sold       | Gross Proceeds  | Cost Basis      | Realized Gain/Loss |
|--------------------------------------------|------------------------|---------|------------|------------|-----------------|-----------------|--------------------|
| <b>SYNOVUS FINANCIAL CORP</b>              |                        |         |            |            |                 |                 |                    |
| MET WEST NFJ                               | SYNOVUS FINANCIAL CORP | 902 000 | 7/30/2009  | 11/24/2009 | 1,329.24        | 2,982.46        | -1,653.22          |
| <b>TOTAL SYNOVUS FINANCIAL CORP</b>        |                        |         |            |            | <b>1,329.24</b> | <b>2,982.46</b> | <b>-1,653.22</b>   |
| <b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b> |                        |         |            |            |                 |                 |                    |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 90.000  | 12/11/2007 | 1/23/2009  | 690.40          | 904.93          | -214.53            |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 7.807   | 12/11/2007 | 3/5/2009   | 64.02           | 78.50           | -14.48             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 25.000  | 12/12/2007 | 3/5/2009   | 205.00          | 247.80          | -42.80             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 23.000  | 12/13/2007 | 3/5/2009   | 188.60          | 224.07          | -35.47             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 23.000  | 1/9/2008   | 3/5/2009   | 188.60          | 206.97          | -18.37             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 42.000  | 1/10/2008  | 3/5/2009   | 344.40          | 375.81          | -31.41             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 37.000  | 1/11/2008  | 3/5/2009   | 303.40          | 328.59          | -25.19             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 54.000  | 1/15/2008  | 3/5/2009   | 442.80          | 479.21          | -36.41             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 34.000  | 5/7/2008   | 3/5/2009   | 278.80          | 380.00          | -101.20            |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 17.000  | 5/8/2008   | 3/5/2009   | 139.40          | 187.57          | -48.17             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 15.000  | 5/8/2008   | 3/5/2009   | 123.00          | 165.51          | -42.51             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 20.000  | 5/21/2008  | 3/5/2009   | 164.00          | 222.62          | -58.62             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 6.000   | 5/23/2008  | 3/5/2009   | 49.20           | 65.01           | -15.81             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 10.000  | 6/9/2008   | 3/5/2009   | 82.00           | 111.55          | -29.55             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 20.000  | 6/10/2008  | 3/5/2009   | 164.00          | 217.71          | -53.71             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 11.000  | 6/11/2008  | 3/5/2009   | 90.20           | 122.48          | -32.28             |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 2.193   | 8/15/2008  | 3/5/2009   | 17.98           | 0.00            | 17.98              |
| DELAWARE INT'L                             | TAIWAN SEMICONDUCTOR   | 92.000  | 12/12/2008 | 3/4/2009   | 741.93          | 709.50          | 32.43              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 9.000   | 12/15/2008 | 3/4/2009   | 72.58           | 68.69           | 3.89               |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 20.000  | 12/15/2008 | 3/13/2009  | 177.35          | 152.65          | 24.70              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 73.000  | 12/16/2008 | 3/13/2009  | 647.35          | 584.99          | 62.36              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 10.000  | 12/16/2008 | 3/18/2009  | 89.99           | 80.14           | 9.85               |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 13.000  | 12/16/2008 | 3/24/2009  | 119.27          | 104.18          | 15.09              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 84.000  | 12/17/2008 | 3/24/2009  | 770.65          | 690.31          | 80.34              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 82.000  | 12/17/2008 | 3/26/2009  | 745.42          | 673.88          | 71.54              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 9.000   | 12/17/2008 | 4/23/2009  | 82.35           | 73.96           | 8.39               |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 96.000  | 12/17/2008 | 4/23/2009  | 878.37          | 787.98          | 90.39              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 81.000  | 12/22/2008 | 4/23/2009  | 741.13          | 623.00          | 118.13             |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 31.000  | 12/22/2008 | 10/12/2009 | 306.91          | 238.43          | 68.48              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 38.000  | 12/23/2008 | 10/12/2009 | 376.22          | 286.69          | 89.53              |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 83.000  | 12/23/2008 | 10/13/2009 | 828.41          | 626.18          | 202.23             |
| WELLS BAIRD                                | TAIWAN SEMICONDUCTOR   | 71.000  | 12/23/2008 | 10/13/2009 | 708.64          | 539.86          | 168.78             |

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| Account                                   | Security             | Shares  | Bought     | Sold       | Gross Proceeds | Cost Basis | Realized Gain/L |
|-------------------------------------------|----------------------|---------|------------|------------|----------------|------------|-----------------|
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 59.000  | 12/23/2008 | 10/15/2009 | 594.51         | 448.62     | 145.89          |
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 59.000  | 1/8/2009   | 10/15/2009 | 594.51         | 442.34     | 152.17          |
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 53.000  | 1/8/2009   | 10/16/2009 | 516.28         | 397.35     | 118.93          |
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 75.000  | 1/28/2009  | 10/16/2009 | 730.58         | 607.47     | 123.11          |
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 49.000  | 2/6/2009   | 10/16/2009 | 477.32         | 428.40     | 48.92           |
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 9.000   | 2/6/2009   | 10/19/2009 | 89.35          | 78.68      | 10.67           |
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 61.000  | 2/9/2009   | 10/19/2009 | 605.59         | 512.69     | 92.90           |
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 115.000 | 2/9/2009   | 10/19/2009 | 1,141.69       | 967.87     | 173.82          |
| WELLS BAIRD                               | TAIWAN SEMICONDUCTOR | 3.000   | 8/14/2009  | 10/19/2009 | 29.78          | 0.00       | 29.78           |
| TOTAL TAIWAN SEMICONDUCTOR MFG CO LTD ADR |                      |         |            |            | 15,601.98      | 14,442.19  | 1,159.79        |
| TAKEDA PHARMACEUTICAL CO LTD-JPY          |                      |         |            |            |                |            |                 |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 31.000  | 6/6/2008   | 1/23/2009  | 722.91         | 875.07     | -152.16         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 2.000   | 6/9/2008   | 1/23/2009  | 46.64          | 55.96      | -9.32           |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 15.000  | 6/9/2008   | 3/5/2009   | 285.87         | 419.71     | -133.84         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 12.000  | 6/10/2008  | 3/5/2009   | 228.70         | 329.74     | -101.04         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 17.000  | 6/11/2008  | 3/5/2009   | 323.99         | 463.09     | -139.10         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 21.000  | 6/12/2008  | 3/5/2009   | 400.22         | 561.55     | -161.33         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 10.000  | 7/3/2008   | 3/5/2009   | 190.58         | 256.60     | -66.02          |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 18.000  | 7/7/2008   | 3/5/2009   | 343.05         | 445.98     | -102.93         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 22.000  | 7/8/2008   | 3/5/2009   | 419.28         | 552.86     | -133.58         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 20.000  | 7/18/2008  | 3/5/2009   | 381.16         | 484.06     | -102.90         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 9.000   | 7/23/2008  | 3/5/2009   | 171.52         | 225.10     | -53.58          |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 21.000  | 7/29/2008  | 3/5/2009   | 400.22         | 521.43     | -121.21         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 27.000  | 9/22/2008  | 3/5/2009   | 514.57         | 665.65     | -151.08         |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 4.000   | 9/25/2008  | 3/5/2009   | 76.23          | 99.76      | -23.53          |
| DELAWARE INT'L                            | TAKEDA PHARMACEUTICA | 12.000  | 9/30/2008  | 3/5/2009   | 228.70         | 305.11     | -76.41          |
| TOTAL TAKEDA PHARMACEUTICAL CO LTD-JPY    |                      |         |            |            | 4,733.64       | 6,261.67   | -1,528.03       |
| TELECOM CORP NEW ZEALAND                  |                      |         |            |            |                |            |                 |
| DELAWARE INT'L                            | TELECOM CORP NEW ZEA | 22.919  | 2/1/2006   | 1/23/2009  | 149.98         | 437.96     | -287.98         |
| DELAWARE INT'L                            | TELECOM CORP NEW ZEA | 21.333  | 5/18/2006  | 1/23/2009  | 139.61         | 288.02     | -148.41         |
| DELAWARE INT'L                            | TELECOM CORP NEW ZEA | 18.748  | 6/6/2006   | 1/23/2009  | 122.69         | 258.68     | -135.99         |
| DELAWARE INT'L                            | TELECOM CORP NEW ZEA | 39.563  | 6/6/2006   | 3/5/2009   | 237.42         | 545.91     | -308.49         |
| DELAWARE INT'L                            | TELECOM CORP NEW ZEA | 18.489  | 6/9/2006   | 3/5/2009   | 110.95         | 253.25     | -142.30         |
| DELAWARE INT'L                            | TELECOM CORP NEW ZEA | 38.400  | 8/3/2006   | 3/5/2009   | 230.44         | 494.14     | -263.70         |
| DELAWARE INT'L                            | TELECOM CORP NEW ZEA | 75.378  | 8/4/2006   | 3/5/2009   | 452.34         | 935.88     | -483.54         |
| TOTAL TELECOM CORP NEW ZEALAND            |                      |         |            |            | 1,443.43       | 3,213.84   | -1,770.41       |

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Account Security Shares Bought Sold Gross Proceeds Cost Basis Realized Gain/Loss

TELEFONICA S.A. SPON

|                            |                      |        |            |           |          |          |         |
|----------------------------|----------------------|--------|------------|-----------|----------|----------|---------|
| DELAWARE INTL              | TELEFONICA S.A. SPON | 3,000  | 10/24/2005 | 1/8/2009  | 199.21   | 149.09   | 50.12   |
| DELAWARE INTL              | TELEFONICA S.A. SPON | 2,000  | 1/26/2006  | 1/8/2009  | 132.81   | 92.00    | 40.81   |
| DELAWARE INTL              | TELEFONICA S.A. SPON | 8,000  | 1/26/2006  | 1/9/2009  | 523.93   | 367.99   | 155.94  |
| DELAWARE INTL              | TELEFONICA S.A. SPON | 21,000 | 1/26/2006  | 1/23/2009 | 1,186.16 | 965.97   | 220.19  |
| DELAWARE INTL              | TELEFONICA S.A. SPON | 44,000 | 1/26/2006  | 3/5/2009  | 2,404.22 | 2,023.94 | 380.28  |
| DELAWARE INTL              | TELEFONICA S.A. SPON | 60,000 | 2/11/2006  | 3/5/2009  | 3,278.48 | 2,734.20 | 544.28  |
| Thornburg Alliance         | TELEFONICA S.A. SPON | 6,000  | 3/24/2008  | 1/27/2009 | 338.66   | 514.70   | -176.04 |
| Thornburg Alliance         | TELEFONICA S.A. SPON | 11,000 | 3/24/2008  | 1/29/2009 | 615.16   | 943.52   | -328.46 |
| TOTAL TELEFONICA S.A. SPON |                      |        |            |           | 8,678.63 | 7,791.51 | 887.12  |

TELSTRA LTD SPONS ADR

|                             |                       |        |            |           |          |          |           |
|-----------------------------|-----------------------|--------|------------|-----------|----------|----------|-----------|
| DELAWARE INTL               | TELSTRA LTD SPONS ADR | 11,000 | 10/19/2005 | 1/23/2009 | 130.01   | 168.33   | -38.32    |
| DELAWARE INTL               | TELSTRA LTD SPONS ADR | 16,000 | 10/19/2005 | 1/23/2009 | 189.11   | 246.31   | -57.20    |
| DELAWARE INTL               | TELSTRA LTD SPONS ADR | 9,000  | 10/21/2005 | 1/23/2009 | 106.37   | 138.53   | -32.16    |
| DELAWARE INTL               | TELSTRA LTD SPONS ADR | 9,000  | 1/26/2006  | 1/23/2009 | 106.37   | 136.21   | -29.84    |
| DELAWARE INTL               | TELSTRA LTD SPONS ADR | 87,000 | 1/26/2006  | 3/5/2009  | 914.43   | 1,316.65 | -402.22   |
| DELAWARE INTL               | TELSTRA LTD SPONS ADR | 99,000 | 2/11/2006  | 3/5/2009  | 1,040.56 | 1,545.39 | -504.83   |
| TOTAL TELSTRA LTD SPONS ADR |                       |        |            |           | 2,486.85 | 3,551.42 | -1,064.57 |

TEVA PHARMACEUTICALS

|                            |                      |        |           |           |        |        |        |
|----------------------------|----------------------|--------|-----------|-----------|--------|--------|--------|
| Thornburg Alliance         | TEVA PHARMACEUTICALS | 13,000 | 3/24/2008 | 3/12/2009 | 576.76 | 593.55 | -16.79 |
| TOTAL TEVA PHARMACEUTICALS |                      |        |           |           | 576.76 | 593.55 | -16.79 |

TEXAS INSTRUMENTS

|                         |                   |         |           |            |          |          |        |
|-------------------------|-------------------|---------|-----------|------------|----------|----------|--------|
| WELLS BAIRD             | TEXAS INSTRUMENTS | 48,000  | 6/17/2009 | 10/15/2009 | 1,114.36 | 1,028.09 | 86.27  |
| WELLS BAIRD             | TEXAS INSTRUMENTS | 34,000  | 6/17/2009 | 10/15/2009 | 789.34   | 734.43   | 54.91  |
| WELLS BAIRD             | TEXAS INSTRUMENTS | 14,000  | 6/17/2009 | 10/16/2009 | 317.36   | 302.49   | 14.95  |
| WELLS BAIRD             | TEXAS INSTRUMENTS | 43,000  | 6/18/2009 | 10/16/2009 | 974.76   | 914.00   | 60.76  |
| WELLS BAIRD             | TEXAS INSTRUMENTS | 47,000  | 6/18/2009 | 10/16/2009 | 1,065.44 | 1,002.12 | 63.32  |
| WELLS BAIRD             | TEXAS INSTRUMENTS | 48,000  | 6/19/2009 | 10/16/2009 | 1,086.11 | 1,039.84 | 46.27  |
| WELLS BAIRD             | TEXAS INSTRUMENTS | 2,000   | 7/20/2009 | 10/16/2009 | 45.34    | 45.76    | -1.42  |
| WELLS BAIRD             | TEXAS INSTRUMENTS | 41,000  | 7/20/2009 | 10/19/2009 | 957.03   | 958.67   | -1.64  |
| WELLS BAIRD             | TEXAS INSTRUMENTS | 115,000 | 7/20/2009 | 10/19/2009 | 2,684.35 | 2,701.07 | -16.72 |
| TOTAL TEXAS INSTRUMENTS |                   |         |           |            | 9,036.09 | 8,727.39 | 308.70 |

THERMO FISHER SCIENTIFIC INC

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| Account                            | Security                  | Shares | Bought     | Sold       | Gross Proceeds | Cost Basis | Realized Gain/L.. |
|------------------------------------|---------------------------|--------|------------|------------|----------------|------------|-------------------|
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 17,000 | 5/14/2007  | 1/21/2009  | 608.93         | 907.95     | -299.02           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 34,000 | 5/15/2007  | 1/21/2009  | 1,217.87       | 1,817.01   | -599.14           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 13,000 | 5/15/2007  | 2/4/2009   | 483.23         | 694.74     | -211.51           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 11,000 | 5/16/2007  | 2/4/2009   | 408.88         | 591.84     | -182.96           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 39,000 | 5/16/2007  | 2/4/2009   | 1,474.90       | 2,098.32   | -623.42           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 8,000  | 6/5/2007   | 2/4/2009   | 302.54         | 424.18     | -121.64           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 10,000 | 6/5/2007   | 2/5/2009   | 388.31         | 530.23     | -141.92           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 14,000 | 6/13/2007  | 2/5/2009   | 543.64         | 733.75     | -190.11           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 1,000  | 6/13/2007  | 3/24/2009  | 34.74          | 52.41      | -17.67            |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 3,000  | 6/14/2007  | 3/24/2009  | 104.21         | 159.34     | -55.13            |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 21,000 | 11/12/2007 | 3/24/2009  | 729.50         | 1,206.41   | -476.91           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 6,000  | 1/10/2008  | 3/24/2009  | 208.43         | 336.57     | -128.14           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 13,000 | 1/10/2008  | 3/24/2009  | 451.31         | 729.24     | -277.93           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 9,000  | 2/6/2008   | 3/24/2009  | 312.45         | 485.98     | -173.53           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 7,000  | 2/6/2008   | 3/25/2009  | 241.11         | 377.98     | -136.87           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 11,000 | 5/9/2008   | 3/25/2009  | 378.89         | 620.62     | -241.73           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 27,000 | 5/9/2008   | 4/22/2009  | 962.32         | 1,523.34   | -561.02           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 43,000 | 5/9/2008   | 1/5/2009   | 1,922.44       | 2,426.06   | -503.62           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 44,000 | 5/9/2008   | 1/6/2009   | 1,966.81       | 2,482.48   | -515.67           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 29,000 | 5/9/2008   | 1/6/2009   | 1,301.96       | 1,636.18   | -334.22           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 46,000 | 5/9/2008   | 1/9/2009   | 2,076.17       | 2,595.32   | -519.15           |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 6,000  | 1/28/2009  | 1/9/2009   | 270.81         | 221.70     | 49.11             |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 19,000 | 1/28/2009  | 1/10/2009  | 856.40         | 702.05     | 154.35            |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 15,000 | 10/21/2009 | 11/10/2009 | 676.11         | 716.26     | -40.15            |
| WELLS BAIRD                        | THERMO FISHER SCIENTIF... | 17,000 | 10/22/2009 | 11/10/2009 | 766.25         | 790.48     | -24.23            |
| TOTAL THERMO FISHER SCIENTIFIC INC |                           |        |            |            | 18,686.21      | 24,860.44  | -6,172.23         |
| TIME WARNER                        |                           |        |            |            |                |            |                   |
| WELLS BAIRD                        | TIME WARNER               | 19,000 | 4/7/2009   | 6/2/2009   | 468.81         | 405.40     | 63.41             |
| WELLS BAIRD                        | TIME WARNER               | 39,000 | 4/7/2009   | 7/24/2009  | 1,077.48       | 832.13     | 245.35            |
| WELLS BAIRD                        | TIME WARNER               | 47,000 | 4/16/2009  | 7/24/2009  | 1,298.51       | 1,075.51   | 223.00            |
| WELLS BAIRD                        | TIME WARNER               | 23,000 | 4/16/2009  | 7/27/2009  | 633.35         | 526.32     | 107.03            |
| WELLS BAIRD                        | TIME WARNER               | 10,000 | 4/20/2009  | 7/27/2009  | 275.37         | 218.89     | 56.48             |
| WELLS BAIRD                        | TIME WARNER               | 39,000 | 4/21/2009  | 7/27/2009  | 1,073.94       | 859.37     | 214.57            |
| WELLS BAIRD                        | TIME WARNER               | 7,000  | 4/29/2009  | 7/27/2009  | 192.76         | 156.24     | 36.52             |
| WELLS BAIRD                        | TIME WARNER               | 34,000 | 4/29/2009  | 7/28/2009  | 928.14         | 758.88     | 169.26            |
| WELLS BAIRD                        | TIME WARNER               | 25,000 | 5/12/2009  | 7/28/2009  | 682.45         | 591.69     | 90.76             |
| WELLS BAIRD                        | TIME WARNER               | 18,000 | 5/21/2009  | 7/28/2009  | 491.37         | 414.00     | 77.37             |

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                 | Security               | Shares  | Bought     | Sold      | Gross Proceeds | Cost Basis | Realized Gain/L  |
|-----------------------------------------|------------------------|---------|------------|-----------|----------------|------------|------------------|
| <b>TOTAL TIME WARNER</b>                |                        |         |            |           |                |            | <b>1,283.75</b>  |
| <b>TJX</b>                              |                        |         |            |           |                |            | <b>7,122.18</b>  |
| WELLS BAIRD                             | TJX                    | 30.000  | 7/14/2009  | 11/5/2009 | 1,145.83       | 999.71     | 146.12           |
| WELLS BAIRD                             | TJX                    | 12.000  | 7/15/2009  | 11/5/2009 | 458.33         | 409.71     | 48.62            |
| WELLS BAIRD                             | TJX                    | 16.000  | 7/15/2009  | 11/6/2009 | 609.97         | 546.29     | 63.68            |
| WELLS BAIRD                             | TJX                    | 27.000  | 7/16/2009  | 11/6/2009 | 1,029.33       | 928.49     | 100.84           |
| <b>TOTAL TJX</b>                        |                        |         |            |           |                |            | <b>3,243.46</b>  |
| <b>TOKIO MARINE HLDGS INC ADR</b>       |                        |         |            |           |                |            | <b>2,884.20</b>  |
| DELAWARE INT'L                          | TOKIO MARINE HLDGS INC | 36.000  | 7/2/2008   | 1/23/2009 | 864.71         | 1,280.95   | -416.24          |
| DELAWARE INT'L                          | TOKIO MARINE HLDGS INC | 171.000 | 7/2/2008   | 3/5/2009  | 3,394.67       | 6,084.54   | -2,689.87        |
| <b>TOTAL TOKIO MARINE HLDGS INC ADR</b> |                        |         |            |           |                |            | <b>7,365.49</b>  |
| <b>TOTAL S.A.</b>                       |                        |         |            |           |                |            | <b>-3,106.11</b> |
| DELAWARE INT'L                          | TOTAL S.A.             | 11.000  | 3/21/2005  | 1/8/2009  | 613.33         | 656.80     | -43.47           |
| DELAWARE INT'L                          | TOTAL S.A.             | 5.000   | 3/21/2005  | 1/9/2009  | 275.26         | 298.55     | -23.29           |
| DELAWARE INT'L                          | TOTAL S.A.             | 19.000  | 3/21/2005  | 1/23/2009 | 880.31         | 1,134.46   | -254.15          |
| DELAWARE INT'L                          | TOTAL S.A.             | 8.000   | 1/26/2006  | 1/23/2009 | 370.65         | 535.41     | -164.76          |
| DELAWARE INT'L                          | TOTAL S.A.             | 22.000  | 1/26/2006  | 3/5/2009  | 989.64         | 1,472.39   | -482.75          |
| DELAWARE INT'L                          | TOTAL S.A.             | 36.000  | 2/1/2006   | 3/5/2009  | 1,619.41       | 2,473.70   | -854.29          |
| DELAWARE INT'L                          | TOTAL S.A.             | 26.000  | 3/1/2006   | 3/5/2009  | 1,169.58       | 1,639.71   | -470.13          |
| DELAWARE INT'L                          | TOTAL S.A.             | 2.000   | 1/16/2007  | 3/5/2009  | 89.97          | 133.41     | -43.44           |
| DELAWARE INT'L                          | TOTAL S.A.             | 32.000  | 1/17/2007  | 3/5/2009  | 1,439.48       | 2,132.19   | -692.71          |
| MET WEST NFJ                            | TOTAL S.A.             | 30.000  | 12/13/2007 | 5/7/2009  | 1,620.60       | 2,494.73   | -874.13          |
| MET WEST NFJ                            | TOTAL S.A.             | 10.000  | 12/13/2007 | 7/30/2009 | 561.12         | 831.58     | -270.46          |
| MET WEST NFJ                            | TOTAL S.A.             | 40.000  | 12/26/2007 | 7/30/2009 | 2,244.48       | 3,269.16   | -1,024.68        |
| MET WEST NFJ                            | TOTAL S.A.             | 30.000  | 1/3/2008   | 7/30/2009 | 1,683.36       | 2,556.11   | -872.75          |
| MET WEST NFJ                            | TOTAL S.A.             | 5.000   | 1/8/2008   | 7/30/2009 | 280.56         | 428.08     | -147.52          |
| MET WEST NFJ                            | TOTAL S.A.             | 30.000  | 1/9/2008   | 7/30/2009 | 1,683.36       | 2,597.60   | -914.24          |
| Thornburg Alliance                      | TOTAL S.A.             | 47.000  | 6/16/2008  | 4/30/2009 | 2,339.48       | 3,820.01   | -1,480.53        |
| Thornburg Alliance                      | TOTAL S.A.             | 14.000  | 6/24/2008  | 4/30/2009 | 696.87         | 1,146.63   | -449.76          |
| <b>TOTAL TOTAL S.A.</b>                 |                        |         |            |           |                |            | <b>-9,063.06</b> |
| <b>TOYOTA MOTOR CORP</b>                |                        |         |            |           |                |            | <b>18,557.46</b> |
| DELAWARE INT'L                          | TOYOTA MOTOR CORP      | 12.000  | 3/21/2005  | 1/23/2009 | 738.63         | 918.00     | -179.37          |
| DELAWARE INT'L                          | TOYOTA MOTOR CORP      | 14.000  | 3/21/2005  | 3/5/2009  | 816.77         | 1,071.00   | -254.23          |
| DELAWARE INT'L                          | TOYOTA MOTOR CORP      | 5.000   | 4/7/2005   | 3/5/2009  | 291.70         | 383.50     | -91.80           |

# Part IV: Line 2 2009 Capital Gains - Last year

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| Account                               | Security                  | Shares  | Bought     | Sold      | Gross Proceeds | Cost Basis | Realized Gain/Loss |
|---------------------------------------|---------------------------|---------|------------|-----------|----------------|------------|--------------------|
| DELAWARE INT'L                        | TOYOTA MOTOR CORP         | 2,000   | 4/15/2005  | 3/5/2009  | 116.68         | 147.65     | -30.97             |
| DELAWARE INT'L                        | TOYOTA MOTOR CORP         | 21,000  | 1/26/2006  | 3/5/2009  | 1,225.15       | 2,152.92   | -927.77            |
| DELAWARE INT'L                        | TOYOTA MOTOR CORP         | 24,000  | 2/1/2006   | 3/5/2009  | 1,400.17       | 2,482.56   | -1,082.39          |
| TOTAL TOYOTA MOTOR CORP               |                           |         |            |           | 4,589.10       | 7,155.63   | -2,566.53          |
| TRANSCOCEAN LTD SWITZERLAND NEW       |                           |         |            |           |                |            |                    |
| WELLS BAIRD                           | TRANSCOCEAN LTD SWITZ...  | 6,000   | 12/19/2008 | 4/21/2009 | 385.61         | 752.30     | -366.69            |
| WELLS BAIRD                           | TRANSCOCEAN LTD SWITZ...  | 15,000  | 12/19/2008 | 4/22/2009 | 973.03         | 1,880.75   | -907.72            |
| WELLS BAIRD                           | TRANSCOCEAN LTD SWITZ...  | 13,000  | 12/19/2008 | 4/23/2009 | 843.02         | 1,629.99   | -786.97            |
| WELLS BAIRD                           | TRANSCOCEAN LTD SWITZ...  | 15,000  | 12/19/2008 | 7/24/2009 | 1,219.41       | 1,880.75   | -661.34            |
| TOTAL TRANSCOCEAN LTD SWITZERLAND NEW |                           |         |            |           | 3,421.07       | 6,143.79   | -2,722.72          |
| TRAVELERS COMPANIES, INC.             |                           |         |            |           |                |            |                    |
| MET WEST NFJ                          | TRAVELERS COMPANIES, I... | 80,000  | 9/23/2004  | 2/4/2009  | 3,126.06       | 2,738.71   | 387.35             |
| MET WEST NFJ                          | TRAVELERS COMPANIES, I... | 20,000  | 9/23/2004  | 5/7/2009  | 760.99         | 684.68     | 76.31              |
| MET WEST NFJ                          | TRAVELERS COMPANIES, I... | 135,000 | 9/23/2004  | 7/30/2009 | 5,760.99       | 4,621.58   | 1,139.41           |
| MET WEST NFJ                          | TRAVELERS COMPANIES, I... | 25,000  | 10/28/2004 | 7/30/2009 | 1,066.85       | 837.75     | 229.10             |
| TOTAL TRAVELERS COMPANIES, INC.       |                           |         |            |           | 10,714.89      | 8,882.72   | 1,832.17           |
| TRAVELERS PPTY A                      |                           |         |            |           |                |            |                    |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 20,000  | 10/23/2008 | 5/6/2009  | 777.46         | 755.61     | 21.85              |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 16,000  | 10/23/2008 | 5/6/2009  | 621.97         | 635.01     | -13.04             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 22,000  | 10/24/2008 | 5/6/2009  | 855.21         | 901.77     | -46.56             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 16,000  | 10/24/2008 | 5/6/2009  | 621.97         | 655.04     | -33.07             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 21,000  | 10/24/2008 | 5/7/2009  | 797.37         | 859.73     | -62.36             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 22,000  | 10/27/2008 | 5/7/2009  | 835.34         | 860.83     | -25.49             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 21,000  | 10/27/2008 | 5/7/2009  | 797.37         | 809.75     | -12.38             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 11,000  | 10/29/2008 | 5/7/2009  | 417.67         | 449.82     | -32.15             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 12,000  | 10/29/2008 | 5/7/2009  | 455.64         | 503.69     | -48.05             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 3,000   | 11/1/2008  | 5/7/2009  | 113.91         | 121.17     | -7.26              |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 21,000  | 11/1/2008  | 5/7/2009  | 796.60         | 848.21     | -51.61             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 19,000  | 11/1/2008  | 5/7/2009  | 720.73         | 797.74     | -77.01             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 5,000   | 11/1/2008  | 5/8/2009  | 190.13         | 209.93     | -19.80             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 21,000  | 12/2/2008  | 5/8/2009  | 798.56         | 842.63     | -44.07             |
| WELLS BAIRD                           | TRAVELERS PPTY A          | 25,000  | 1/28/2009  | 5/8/2009  | 950.67         | 1,026.19   | -75.52             |
| TOTAL TRAVELERS PPTY A                |                           |         |            |           | 9,750.60       | 10,277.12  | -526.52            |

TURKCELL KLETISM HIZMETADR

# Part IV; Line 2 2009 Capital Gains - Last year

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| Account                                                                        | Security                  | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L |
|--------------------------------------------------------------------------------|---------------------------|---------|------------|------------|------------------|------------------|-----------------|
| Thornburg Alliance                                                             | TURKCELL ILETISM HIZME... | 29.000  | 5/14/2008  | 8/12/2009  | 463.66           | 545.78           | -82.12          |
| Thornburg Alliance                                                             | TURKCELL ILETISM HIZME... | 5.000   | 5/14/2008  | 8/12/2009  | 79.94            | 94.27            | -14.33          |
| <b>TOTAL TURKCELL ILETISM HIZMETADR</b>                                        |                           |         |            |            | <b>543.60</b>    | <b>640.05</b>    | <b>-96.45</b>   |
| <b>TYCO</b>                                                                    |                           |         |            |            |                  |                  |                 |
| WELLS BAIRD                                                                    | TYCO                      | 138.000 | 3/17/2009  | 6/30/2009  | 2,694.84         | 2,721.14         | -26.30          |
| WELLS BAIRD                                                                    | TYCO                      | 137.000 | 3/18/2009  | 6/30/2009  | 2,675.31         | 2,761.24         | -85.93          |
| WELLS BAIRD                                                                    | TYCO                      | 45.000  | 3/26/2009  | 6/30/2009  | 878.75           | 930.85           | -52.10          |
| WELLS BAIRD                                                                    | TYCO                      | 43.000  | 4/22/2009  | 6/30/2009  | 839.70           | 991.40           | -151.70         |
| WELLS BAIRD                                                                    | TYCO                      | 39.000  | 6/19/2009  | 6/30/2009  | 761.58           | 787.71           | -26.13          |
| WELLS BAIRD                                                                    | TYCO                      | 11.000  | 6/22/2009  | 6/30/2009  | 214.81           | 211.16           | 3.65            |
| WELLS BAIRD                                                                    | TYCO                      | 41.000  | 6/23/2009  | 6/30/2009  | 800.64           | 762.01           | 38.63           |
| WELLS BAIRD                                                                    | TYCO                      | 41.000  | 6/23/2009  | 6/30/2009  | 800.64           | 758.63           | 42.01           |
| WELLS BAIRD                                                                    | TYCO                      | 21.000  | 6/24/2009  | 6/30/2009  | 410.08           | 382.45           | 27.63           |
| WELLS BAIRD                                                                    | TYCO                      | 77.000  | 6/24/2009  | 6/30/2009  | 1,503.64         | 1,416.01         | 87.63           |
| WELLS BAIRD                                                                    | TYCO                      | 73.000  | 6/25/2009  | 6/30/2009  | 1,425.53         | 1,340.70         | 84.83           |
| WELLS BAIRD                                                                    | TYCO                      | 53.000  | 6/25/2009  | 6/30/2009  | 1,034.97         | 977.19           | 57.78           |
| WELLS BAIRD                                                                    | TYCO                      | 230.000 | 6/30/2009  | 6/30/2009  | 4,317.97         | 4,317.97         | 0.00            |
| <b>TOTAL TYCO</b>                                                              |                           |         |            |            | <b>18,358.46</b> | <b>18,358.46</b> | <b>0.00</b>     |
| <b>TYCO INTL LTD CHF</b>                                                       |                           |         |            |            |                  |                  |                 |
| WELLS BAIRD                                                                    | TYCO INTL LTD CHF         | 31.000  | 6/30/2009  | 11/5/2009  | 1,064.29         | 632.35           | 431.94          |
| WELLS BAIRD                                                                    | TYCO INTL LTD CHF         | 31.000  | 6/30/2009  | 11/6/2009  | 1,058.63         | 632.35           | 426.28          |
| <b>TOTAL TYCO INTL LTD CHF</b>                                                 |                           |         |            |            | <b>2,122.92</b>  | <b>1,264.70</b>  | <b>858.22</b>   |
| <b>U S TREASURY NOTES SER B-2013DTD 05/15/2003 R/M D 3.62505/15/2013</b>       |                           |         |            |            |                  |                  |                 |
| Pacific Highland                                                               | U S TREASURY NOTES SE     | 30.000  | 10/28/2008 | 6/9/2009   | 3,150.17         | 3,142.86         | 7.31            |
| Pacific Highland                                                               | U S TREASURY NOTES SE     | 10.000  | 10/28/2008 | 9/1/2009   | 1,066.52         | 1,047.62         | 18.90           |
| <b>TOTAL U S TREASURY NOTES SER B-2013DTD 05/15/2003 R/M D 3.62505/15/2013</b> |                           |         |            |            | <b>4,216.69</b>  | <b>4,190.48</b>  | <b>26.21</b>    |
| <b>U S TREASURY NOTES SER B-2017DTD 02/15/2007 R/M D 4.62502/15/2017</b>       |                           |         |            |            |                  |                  |                 |
| Pacific Highland                                                               | U S TREASURY NOTES SE     | 20.000  | 7/22/2008  | 3/31/2009  | 2,321.40         | 2,089.38         | 232.02          |
| <b>TOTAL U S TREASURY NOTES SER B-2017DTD 02/15/2007 R/M D 4.62502/15/2017</b> |                           |         |            |            | <b>2,321.40</b>  | <b>2,089.38</b>  | <b>232.02</b>   |
| <b>U S TREASURY NOTES SER E-2011DTD 02/28/2006 R/M D 4.50 02/28/2011</b>       |                           |         |            |            |                  |                  |                 |
| Pacific Highland                                                               | U S TREASURY NOTES SE     | 310.000 | 12/18/2008 | 5/5/2009   | 33,248.29        | 33,584.25        | -335.96         |
| Pacific Highland                                                               | U S TREASURY NOTES SE     | 60.000  | 12/18/2008 | 5/7/2009   | 6,406.88         | 6,500.18         | -93.30          |
| Pacific Highland                                                               | U S TREASURY NOTES SE     | 20.000  | 12/18/2008 | 10/15/2009 | 2,095.62         | 2,166.73         | -71.11          |

# Part IV; Line 2 2009 Capital Gains - Last year

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4/13/2010

| Account                                                                         | Security                 | Shares  | Bought    | Sold       | Gross Proceeds | Cost Basis | Realized Gain/L. |
|---------------------------------------------------------------------------------|--------------------------|---------|-----------|------------|----------------|------------|------------------|
| <b>TOTAL U S TREASURY NOTES SER E-2011 DTD 02/28/2006 R/M/D 4.50 02/28/2011</b> |                          |         |           |            |                |            | <b>-500.37</b>   |
| <b>U S TREASURY NOTES SER E-2015 DTD 08/15/2005 R/M/D 4.25 08/15/2015</b>       |                          |         |           |            |                |            |                  |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 240.000 | 7/22/2008 | 1/21/2009  | 27,642.10      | 24,817.51  | 2,824.59         |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 40.000  | 7/22/2008 | 5/7/2009   | 4,402.26       | 4,136.25   | 266.01           |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 50.000  | 7/22/2008 | 9/15/2009  | 5,419.51       | 5,170.32   | 249.19           |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 70.000  | 7/22/2008 | 10/15/2009 | 7,551.25       | 7,238.44   | 312.81           |
| <b>TOTAL U S TREASURY NOTES SER E-2015 DTD 08/15/2005 R/M/D 4.25 08/15/2015</b> |                          |         |           |            |                |            | <b>3,652.60</b>  |
| <b>U S TREASURY NOTES SER F 2016 DTD 11/15/2006 R/M/D 4.62511/15/2016</b>       |                          |         |           |            |                |            |                  |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 30.000  | 7/22/2008 | 5/7/2009   | 3,401.38       | 3,143.91   | 257.47           |
| <b>TOTAL U S TREASURY NOTES SER F 2016 DTD 11/15/2006 R/M/D 4.62511/15/2016</b> |                          |         |           |            |                |            | <b>257.47</b>    |
| <b>U S TREASURY NOTES SER M-2011 DTD 08/31/2006 R/M/D 4.62508/31/2011</b>       |                          |         |           |            |                |            |                  |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 40.000  | 7/22/2008 | 5/7/2009   | 4,310.94       | 4,184.38   | 126.56           |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 60.000  | 7/22/2008 | 10/15/2009 | 6,386.25       | 6,276.56   | 109.69           |
| <b>TOTAL U S TREASURY NOTES SER M-2011 DTD 08/31/2006 R/M/D 4.62508/31/2011</b> |                          |         |           |            |                |            | <b>236.25</b>    |
| <b>U S TREASURY NOTES SER T-2010 DTD 05/15/2007 R/M/D 4.50 05/15/2010</b>       |                          |         |           |            |                |            |                  |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 30.000  | 7/22/2008 | 5/7/2009   | 3,168.95       | 3,100.31   | 68.64            |
| Pacific Highland                                                                | U S TREASURY NOTES SE... | 70.000  | 7/22/2008 | 10/15/2009 | 7,144.37       | 7,234.07   | -89.70           |
| <b>TOTAL U S TREASURY NOTES SER T-2010 DTD 05/15/2007 R/M/D 4.50 05/15/2010</b> |                          |         |           |            |                |            | <b>-21.06</b>    |
| <b>U S TREASURY NOTES SER D-2012 DTD 08/15/02 R/M/D 4.37508/15/2012</b>         |                          |         |           |            |                |            |                  |
| Pacific Highland                                                                | U S TREASURY NOTES-SE... | 20.000  | 7/22/2008 | 5/7/2009   | 2,177.32       | 2,086.56   | 90.76            |
| Pacific Highland                                                                | U S TREASURY NOTES-SE... | 320.000 | 7/22/2008 | 8/25/2009  | 34,606.37      | 33,384.99  | 1,221.38         |
| Pacific Highland                                                                | U S TREASURY NOTES-SE... | 70.000  | 7/22/2008 | 10/15/2009 | 7,549.06       | 7,302.97   | 246.09           |
| <b>TOTAL U S TREASURY NOTES SER D-2012 DTD 08/15/02 R/M/D 4.37508/15/2012</b>   |                          |         |           |            |                |            | <b>1,558.23</b>  |
| <b>UNILEVER PLC</b>                                                             |                          |         |           |            |                |            |                  |
| DELAWARE INT'L                                                                  | UNILEVER PLC             | 4.344   | 8/11/2005 | 1/23/2009  | 95.49          | 99.81      | -4.32            |
| DELAWARE INT'L                                                                  | UNILEVER PLC             | 8.996   | 8/16/2005 | 1/23/2009  | 197.76         | 203.56     | -5.80            |
| DELAWARE INT'L                                                                  | UNILEVER PLC             | 3.599   | 8/17/2005 | 1/23/2009  | 79.10          | 81.22      | -2.12            |
| DELAWARE INT'L                                                                  | UNILEVER PLC             | 10.796  | 8/18/2005 | 1/23/2009  | 237.31         | 240.74     | -3.43            |
| DELAWARE INT'L                                                                  | UNILEVER PLC             | 1.799   | 8/23/2005 | 1/23/2009  | 39.55          | 40.56      | -1.01            |
| DELAWARE INT'L                                                                  | UNILEVER PLC             | 3.599   | 8/25/2005 | 1/23/2009  | 79.10          | 80.19      | -1.09            |
| DELAWARE INT'L                                                                  | UNILEVER PLC             | 23.868  | 1/24/2006 | 1/23/2009  | 524.66         | 549.10     | -24.44           |
| DELAWARE INT'L                                                                  | UNILEVER PLC             | 12.118  | 1/24/2006 | 3/5/2009   | 219.22         | 278.78     | -59.56           |

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| Account                                         | Security               | Shares | Bought     | Sold      | Gross Proceeds  | Cost Basis      | Realized Gain/Loss |
|-------------------------------------------------|------------------------|--------|------------|-----------|-----------------|-----------------|--------------------|
| DELAWARE INTL                                   | UNILEVER PLC           | 64,774 | 1/26/2006  | 3/5/2009  | 1,171.80        | 1,505.11        | -333.31            |
| DELAWARE INTL                                   | UNILEVER PLC           | 75,570 | 2/1/2006   | 3/5/2009  | 1,367.10        | 1,798.44        | -431.34            |
| DELAWARE INTL                                   | UNILEVER PLC           | 84,566 | 3/3/2006   | 3/5/2009  | 1,529.85        | 1,979.19        | -449.34            |
| DELAWARE INTL                                   | UNILEVER PLC           | 71,972 | 5/11/2006  | 3/5/2009  | 1,302.02        | 1,710.04        | -408.02            |
| <b>TOTAL UNILEVER PLC</b>                       |                        |        |            |           | <b>6,842.96</b> | <b>8,566.74</b> | <b>-1,723.78</b>   |
| <b>UNITED OVERSEAS BANK LTD SPONS ADR</b>       |                        |        |            |           |                 |                 |                    |
| DELAWARE INTL                                   | UNITED OVERSEAS BANK   | 15,000 | 9/25/2006  | 1/23/2009 | 228.74          | 303.56          | -74.82             |
| DELAWARE INTL                                   | UNITED OVERSEAS BANK   | 23,000 | 9/25/2006  | 3/5/2009  | 262.46          | 465.45          | -202.99            |
| DELAWARE INTL                                   | UNITED OVERSEAS BANK   | 27,000 | 10/11/2006 | 3/5/2009  | 308.11          | 569.38          | -261.27            |
| DELAWARE INTL                                   | UNITED OVERSEAS BANK   | 25,000 | 10/12/2006 | 3/5/2009  | 285.28          | 532.17          | -246.89            |
| DELAWARE INTL                                   | UNITED OVERSEAS BANK   | 24,000 | 10/17/2006 | 3/5/2009  | 273.87          | 512.77          | -238.90            |
| <b>TOTAL UNITED OVERSEAS BANK LTD SPONS ADR</b> |                        |        |            |           | <b>1,358.46</b> | <b>2,383.33</b> | <b>-1,024.87</b>   |
| <b>UNITED PARCEL SERVICE</b>                    |                        |        |            |           |                 |                 |                    |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 18,000 | 4/3/2009   | 6/16/2009 | 873.61          | 941.11          | -67.50             |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 1,000  | 4/6/2009   | 6/16/2009 | 48.53           | 51.96           | -3.43              |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 18,000 | 4/6/2009   | 6/17/2009 | 860.58          | 935.34          | -74.76             |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 16,000 | 4/15/2009  | 6/17/2009 | 764.95          | 861.95          | -96.99             |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 1,000  | 4/15/2009  | 6/17/2009 | 48.30           | 53.87           | -5.57              |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 19,000 | 4/20/2009  | 6/17/2009 | 917.78          | 1,012.17        | -94.39             |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 7,000  | 4/21/2009  | 6/17/2009 | 338.13          | 382.78          | -44.65             |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 10,000 | 4/21/2009  | 6/18/2009 | 481.94          | 546.83          | -64.89             |
| WELLS BAIRD                                     | UNITED PARCEL SERVICE  | 17,000 | 4/23/2009  | 6/18/2009 | 819.31          | 899.07          | -79.76             |
| <b>TOTAL UNITED PARCEL SERVICE</b>              |                        |        |            |           | <b>5,153.14</b> | <b>5,685.08</b> | <b>-531.94</b>     |
| <b>UNITED TECHNOLOGIES</b>                      |                        |        |            |           |                 |                 |                    |
| WELLS BAIRD                                     | UNITED TECHNOLOGIES    | 18,000 | 6/17/2009  | 7/24/2009 | 935.90          | 988.09          | -52.19             |
| WELLS BAIRD                                     | UNITED TECHNOLOGIES    | 18,000 | 6/18/2009  | 7/24/2009 | 935.90          | 994.07          | -58.17             |
| WELLS BAIRD                                     | UNITED TECHNOLOGIES    | 29,000 | 6/19/2009  | 7/24/2009 | 1,597.84        | 1,577.63        | 20.21              |
| WELLS BAIRD                                     | UNITED TECHNOLOGIES    | 8,000  | 6/19/2009  | 7/27/2009 | 415.77          | 435.22          | -19.45             |
| WELLS BAIRD                                     | UNITED TECHNOLOGIES    | 23,000 | 6/19/2009  | 7/27/2009 | 1,195.35        | 1,247.56        | -52.21             |
| WELLS BAIRD                                     | UNITED TECHNOLOGIES    | 17,000 | 7/13/2009  | 7/27/2009 | 883.52          | 872.02          | 11.50              |
| WELLS BAIRD                                     | UNITED TECHNOLOGIES    | 16,000 | 7/14/2009  | 7/27/2009 | 831.55          | 822.75          | 8.80               |
| <b>TOTAL UNITED TECHNOLOGIES</b>                |                        |        |            |           | <b>6,795.83</b> | <b>6,937.40</b> | <b>-231.57</b>     |
| <b>UNITEDHEALTH GROUP INC</b>                   |                        |        |            |           |                 |                 |                    |
| WELLS BAIRD                                     | UNITEDHEALTH GROUP INC | 50,000 | 2/12/2009  | 2/26/2009 | 1,015.77        | 1,447.35        | -431.58            |

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| Account                                          | Security                  | Shares  | Bought     | Sold       | Gross Proceeds | Cost Basis | Realized Gain/L |
|--------------------------------------------------|---------------------------|---------|------------|------------|----------------|------------|-----------------|
| WELLS BAIRD                                      | UNITEDHEALTH GROUP INC    | 15,000  | 2/12/2009  | 4/8/2009   | 339.53         | 434.20     | -94.67          |
| WELLS BAIRD                                      | UNITEDHEALTH GROUP INC    | 65,000  | 2/13/2009  | 4/8/2009   | 1,471.31       | 1,891.13   | -419.82         |
| WELLS BAIRD                                      | UNITEDHEALTH GROUP INC    | 19,000  | 2/17/2009  | 4/8/2009   | 430.08         | 534.17     | -104.09         |
| TOTAL UNITEDHEALTH GROUP INC                     |                           |         |            |            | 3,256.69       | 4,305.85   | -1,050.16       |
| UNIVERSAL HEALTH SERVICES INC CLASS B            |                           |         |            |            |                |            |                 |
| MET WEST NFJ                                     | UNIVERSAL HEALTH SERV...  | 105,000 | 7/30/2009  | 11/3/2009  | 6,011.64       | 6,065.64   | -54.00          |
| TOTAL UNIVERSAL HEALTH SERVICES INC CLASS B      |                           |         |            |            | 6,011.64       | 6,065.64   | -54.00          |
| UPM KYMMENE CORP                                 |                           |         |            |            |                |            |                 |
| DELAWARE INTL                                    | UPM KYMMENE CORP          | 33,000  | 3/21/2005  | 1/23/2009  | 308.54         | 745.47     | -436.93         |
| DELAWARE INTL                                    | UPM KYMMENE CORP          | 60,000  | 3/21/2005  | 3/5/2009   | 385.84         | 1,355.40   | -969.56         |
| DELAWARE INTL                                    | UPM KYMMENE CORP          | 54,000  | 1/26/2006  | 3/5/2009   | 347.26         | 1,063.45   | -716.19         |
| DELAWARE INTL                                    | UPM KYMMENE CORP          | 54,000  | 2/1/2006   | 3/5/2009   | 347.26         | 1,128.60   | -781.34         |
| TOTAL UPM KYMMENE CORP                           |                           |         |            |            | 1,388.90       | 4,292.92   | -2,904.02       |
| UTS SPDR TRUST SER 1                             |                           |         |            |            |                |            |                 |
| SMITHBARNEY                                      | UTS SPDR TRUST SER 1      | 110,000 | 3/5/2009   | 11/30/2009 | 12,025.74      | 7,623.61   | 4,402.13        |
| TOTAL UTS SPDR TRUST SER 1                       |                           |         |            |            | 12,025.74      | 7,623.61   | 4,402.13        |
| VALERO ENERGY CORP-NEW                           |                           |         |            |            |                |            |                 |
| MET WEST NFJ                                     | VALERO ENERGY CORP-N...   | 45,000  | 4/14/2009  | 5/7/2009   | 1,010.76       | 923.95     | 86.81           |
| MET WEST NFJ                                     | VALERO ENERGY CORP-N...   | 15,000  | 4/14/2009  | 7/30/2009  | 268.84         | 307.98     | -39.14          |
| MET WEST NFJ                                     | VALERO ENERGY CORP-N...   | 95,000  | 4/16/2009  | 7/30/2009  | 1,702.64       | 1,979.78   | -277.14         |
| MET WEST NFJ                                     | VALERO ENERGY CORP-N...   | 80,000  | 4/20/2009  | 7/30/2009  | 1,433.80       | 1,597.03   | -163.23         |
| MET WEST NFJ                                     | VALERO ENERGY CORP-N...   | 85,000  | 4/23/2009  | 7/30/2009  | 1,523.41       | 1,777.86   | -254.45         |
| TOTAL VALERO ENERGY CORP-NEW                     |                           |         |            |            | 5,939.45       | 6,586.60   | -647.15         |
| VERIZON                                          |                           |         |            |            |                |            |                 |
| MET WEST NFJ                                     | VERIZON                   | 80,000  | 11/29/2002 | 5/7/2009   | 2,399.16       | 3,096.84   | -697.68         |
| MET WEST NFJ                                     | VERIZON                   | 15,000  | 12/12/2002 | 5/7/2009   | 449.84         | 566.71     | -116.87         |
| MET WEST NFJ                                     | VERIZON                   | 85,000  | 12/12/2002 | 7/30/2009  | 2,766.96       | 3,211.32   | -444.36         |
| MET WEST NFJ                                     | VERIZON                   | 100,000 | 9/17/2003  | 7/30/2009  | 3,255.25       | 3,373.79   | -118.54         |
| MET WEST NFJ                                     | VERIZON                   | 20,000  | 10/28/2004 | 7/30/2009  | 651.05         | 750.91     | -99.86          |
| TOTAL VERIZON                                    |                           |         |            |            | 9,522.26       | 10,999.57  | -1,477.31       |
| VERIZON COMMUNICATIONS BOOK/ENTRY DTD 04/04/2008 |                           |         |            |            |                |            |                 |
| Pacific Highland                                 | VERIZON COMMUNICATIONS... | 40,000  | 4/21/2009  | 5/7/2009   | 4,101.55       | 4,195.48   | -93.93          |

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| Account                                                      | Security                  | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/L  |
|--------------------------------------------------------------|---------------------------|---------|------------|------------|------------------|------------------|------------------|
| Pacific Highland                                             | VERIZON COMMUNICATIO...   | 30.000  | 4/21/2009  | 10/15/2009 | 3,210.00         | 3,146.61         | 63.39            |
| <b>TOTAL VERIZON COMMUNICATIONS BOOKENTRY DTD 04/04/2008</b> |                           |         |            |            | <b>7,311.55</b>  | <b>7,342.09</b>  | <b>-30.54</b>    |
| <b>VERIZON GLOBAL DTD 06/01/01 RMD 7.25 12/01/2010</b>       |                           |         |            |            |                  |                  |                  |
| Pacific Highland                                             | VERIZON GLOBAL DTD 06/... | 320.000 | 8/7/2008   | 4/21/2009  | 33,957.12        | 33,878.72        | 78.40            |
| <b>TOTAL VERIZON GLOBAL DTD 06/01/01 RMD 7.25 12/01/2010</b> |                           |         |            |            | <b>33,957.12</b> | <b>33,878.72</b> | <b>78.40</b>     |
| <b>VF CORP</b>                                               |                           |         |            |            |                  |                  |                  |
| MET WEST NFJ                                                 | VF CORP                   | 65.000  | 9/23/2004  | 5/7/2009   | 3,846.28         | 3,173.49         | 672.79           |
| MET WEST NFJ                                                 | VF CORP                   | 100.000 | 9/23/2004  | 7/30/2009  | 6,506.00         | 4,882.29         | 1,623.71         |
| <b>TOTAL VF CORP</b>                                         |                           |         |            |            | <b>10,352.28</b> | <b>8,055.78</b>  | <b>2,296.50</b>  |
| <b>VODAFONE GROUP PLC SPONS ADR NEW</b>                      |                           |         |            |            |                  |                  |                  |
| Thornburg Alliance                                           | VODAFONE GROUP PLC S...   | 37.000  | 3/24/2008  | 1/21/2009  | 682.03           | 1,139.60         | -457.57          |
| Thornburg Alliance                                           | VODAFONE GROUP PLC S...   | 91.000  | 3/24/2008  | 5/26/2009  | 1,709.58         | 2,802.80         | -1,093.22        |
| Thornburg Alliance                                           | VODAFONE GROUP PLC S...   | 34.000  | 5/1/2008   | 5/26/2009  | 638.74           | 1,079.50         | -440.76          |
| Thornburg Alliance                                           | VODAFONE GROUP PLC S...   | 37.000  | 6/26/2008  | 5/26/2009  | 695.10           | 1,030.16         | -335.06          |
| <b>TOTAL VODAFONE GROUP PLC SPONS ADR NEW</b>                |                           |         |            |            | <b>3,725.45</b>  | <b>6,052.06</b>  | <b>-2,326.61</b> |
| <b>WALMART</b>                                               |                           |         |            |            |                  |                  |                  |
| WELLS BAIRD                                                  | WALMART                   | 22.000  | 5/8/2008   | 1/21/2009  | 1,074.63         | 1,262.54         | -187.91          |
| WELLS BAIRD                                                  | WALMART                   | 1.000   | 5/9/2008   | 1/21/2009  | 48.85            | 57.38            | -8.53            |
| WELLS BAIRD                                                  | WALMART                   | 99.000  | 5/9/2008   | 6/2/2009   | 4,970.85         | 5,680.19         | -709.34          |
| WELLS BAIRD                                                  | WALMART                   | 28.000  | 6/3/2008   | 6/2/2009   | 1,405.90         | 1,621.31         | -215.41          |
| WELLS BAIRD                                                  | WALMART                   | 6.000   | 6/3/2008   | 6/2/2009   | 299.84           | 347.42           | -47.58           |
| WELLS BAIRD                                                  | WALMART                   | 21.000  | 9/17/2008  | 6/2/2009   | 1,049.43         | 1,267.85         | -218.42          |
| WELLS BAIRD                                                  | WALMART                   | 30.000  | 11/10/2008 | 6/2/2009   | 1,499.18         | 1,648.71         | -149.53          |
| WELLS BAIRD                                                  | WALMART                   | 30.000  | 11/11/2008 | 6/2/2009   | 1,499.18         | 1,611.74         | -112.56          |
| WELLS BAIRD                                                  | WALMART                   | 25.000  | 1/28/2009  | 6/2/2009   | 1,249.32         | 1,223.00         | 26.32            |
| WELLS BAIRD                                                  | WALMART                   | 13.000  | 2/17/2009  | 6/2/2009   | 649.65           | 625.49           | 24.16            |
| <b>TOTAL WALMART</b>                                         |                           |         |            |            | <b>13,746.83</b> | <b>15,345.63</b> | <b>-1,598.80</b> |
| <b>WAL-MART DE MEXICO SA DE CV SPON ADR</b>                  |                           |         |            |            |                  |                  |                  |
| Thornburg Alliance                                           | WAL-MART DE MEXICO SA ... | 19.000  | 3/24/2008  | 4/14/2009  | 533.25           | 746.70           | -213.45          |
| Thornburg Alliance                                           | WAL-MART DE MEXICO SA ... | 21.000  | 3/24/2008  | 4/21/2009  | 615.56           | 825.30           | -209.74          |
| <b>TOTAL WAL-MART DE MEXICO SA DE CV SPON ADR</b>            |                           |         |            |            | <b>1,148.81</b>  | <b>1,572.00</b>  | <b>-423.19</b>   |
| <b>WASTE MGMT INC DEL</b>                                    |                           |         |            |            |                  |                  |                  |

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| Account                                                        | Security                 | Shares  | Bought     | Sold       | Gross Proceeds   | Cost Basis       | Realized Gain/Loss |
|----------------------------------------------------------------|--------------------------|---------|------------|------------|------------------|------------------|--------------------|
| MET WEST NFJ                                                   | WASTE MGMT INC DEL       | 105.000 | 1/14/2008  | 5/7/2009   | 2,831.01         | 3,360.84         | -529.83            |
| MET WEST NFJ                                                   | WASTE MGMT INC DEL       | 35.000  | 1/23/2008  | 5/7/2009   | 943.67           | 1,003.85         | -60.18             |
| MET WEST NFJ                                                   | WASTE MGMT INC DEL       | 55.000  | 1/23/2008  | 7/30/2009  | 1,565.32         | 1,577.49         | -12.17             |
| MET WEST NFJ                                                   | WASTE MGMT INC DEL       | 60.000  | 1/31/2008  | 7/30/2009  | 1,707.62         | 1,941.79         | -234.17            |
| MET WEST NFJ                                                   | WASTE MGMT INC DEL       | 30.000  | 2/5/2008   | 7/30/2009  | 853.81           | 985.03           | -131.22            |
| MET WEST NFJ                                                   | WASTE MGMT INC DEL       | 80.000  | 2/7/2008   | 7/30/2009  | 2,276.82         | 2,570.32         | -293.50            |
| <b>TOTAL WASTE MGMT INC DEL</b>                                |                          |         |            |            | <b>10,178.25</b> | <b>11,439.32</b> | <b>-1,261.07</b>   |
| <b>WEATHERFORD INTL LTD NEW (BERMUDA)</b>                      |                          |         |            |            |                  |                  |                    |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 201.000 | 5/9/2008   | 1/20/2009  | 1,965.66         | 8,627.93         | -6,662.27          |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 26.000  | 7/11/2008  | 1/20/2009  | 254.26           | 1,069.53         | -815.27            |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 6.000   | 7/22/2008  | 1/20/2009  | 58.68            | 236.48           | -177.80            |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 50.000  | 7/22/2008  | 1/20/2009  | 479.94           | 1,970.68         | -1,490.74          |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 13.000  | 8/12/2008  | 1/20/2009  | 124.79           | 481.12           | -356.33            |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 16.000  | 8/13/2008  | 1/20/2009  | 153.58           | 610.93           | -457.35            |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 57.000  | 4/24/2009  | 5/1/2009   | 969.15           | 988.98           | -19.83             |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 61.000  | 4/24/2009  | 7/24/2009  | 1,174.59         | 1,058.39         | 116.20             |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 7.000   | 4/27/2009  | 7/24/2009  | 134.79           | 115.49           | 19.30              |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 56.000  | 4/27/2009  | 9/21/2009  | 1,250.72         | 923.88           | 326.84             |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 39.000  | 4/27/2009  | 9/22/2009  | 884.15           | 643.42           | 240.73             |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 121.000 | 4/28/2009  | 9/22/2009  | 2,743.13         | 1,939.96         | 803.17             |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 57.000  | 5/1/2009   | 9/22/2009  | 1,292.22         | 969.15           | 323.07             |
| WELLS BAIRD                                                    | WEATHERFORD INTL LTD ... | 57.000  | 5/1/2009   | 9/22/2009  | 1,292.22         | 969.15           | 323.07             |
| <b>TOTAL WEATHERFORD INTL LTD NEW (BERMUDA)</b>                |                          |         |            |            | <b>12,777.88</b> | <b>20,605.09</b> | <b>-7,827.21</b>   |
| <b>WELLS FARGO</b>                                             |                          |         |            |            |                  |                  |                    |
| MET WEST NFJ                                                   | WELLS FARGO              | 75.000  | 7/16/2008  | 1/12/2009  | 1,838.95         | 1,885.50         | -46.55             |
| MET WEST NFJ                                                   | WELLS FARGO              | 15.000  | 7/16/2008  | 3/9/2009   | 144.51           | 377.10           | -232.59            |
| MET WEST NFJ                                                   | WELLS FARGO              | 40.000  | 7/16/2008  | 3/12/2009  | 507.01           | 1,005.60         | -498.59            |
| MET WEST NFJ                                                   | WELLS FARGO              | 55.000  | 7/17/2008  | 3/12/2009  | 697.14           | 1,448.59         | -751.45            |
| MET WEST NFJ                                                   | WELLS FARGO              | 100.000 | 7/18/2008  | 3/12/2009  | 1,267.52         | 2,764.42         | -1,496.90          |
| MET WEST NFJ                                                   | WELLS FARGO              | 100.000 | 7/21/2008  | 3/12/2009  | 1,267.52         | 2,836.14         | -1,568.62          |
| <b>TOTAL WELLS FARGO</b>                                       |                          |         |            |            | <b>5,722.65</b>  | <b>10,317.35</b> | <b>-4,594.70</b>   |
| <b>WELLS FARGO &amp; CO NOTES BOOK/ENTRY DD 10/16/03</b>       |                          |         |            |            |                  |                  |                    |
| Pacific Highland                                               | WELLS FARGO & CO NOT...  | 40.000  | 10/16/2008 | 5/7/2009   | 3,821.10         | 3,701.28         | 119.82             |
| Pacific Highland                                               | WELLS FARGO & CO NOT...  | 50.000  | 10/16/2008 | 10/15/2009 | 5,100.00         | 4,626.60         | 473.40             |
| <b>TOTAL WELLS FARGO &amp; CO NOTES BOOK/ENTRY DD 10/16/03</b> |                          |         |            |            | <b>8,921.10</b>  | <b>8,327.88</b>  | <b>593.22</b>      |

# Part IV; Line 2 2009 Capital Gains - Last year

1/1/2009 through 12/31/2009

4/13/2010

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| Account                      | Security        | Shares  | Bought     | Sold      | Gross Proceeds  | Cost Basis       | Realized Gain/L.. |
|------------------------------|-----------------|---------|------------|-----------|-----------------|------------------|-------------------|
| <b>WHIRLPOOL</b>             |                 |         |            |           |                 |                  |                   |
| MET WEST NFJ                 | WHIRLPOOL       | 10.000  | 12/4/2007  | 4/2/2009  | 336.06          | 811.95           | -475.89           |
| MET WEST NFJ                 | WHIRLPOOL       | 5.000   | 12/4/2007  | 4/7/2009  | 160.42          | 405.97           | -245.55           |
| MET WEST NFJ                 | WHIRLPOOL       | 25.000  | 12/5/2007  | 4/7/2009  | 802.11          | 2,068.50         | -1,266.39         |
| MET WEST NFJ                 | WHIRLPOOL       | 15.000  | 12/13/2007 | 4/7/2009  | 481.27          | 1,249.05         | -767.78           |
| MET WEST NFJ                 | WHIRLPOOL       | 15.000  | 12/14/2007 | 4/7/2009  | 481.27          | 1,229.79         | -748.52           |
| MET WEST NFJ                 | WHIRLPOOL       | 5.000   | 12/14/2007 | 4/15/2009 | 174.83          | 409.93           | -235.10           |
| MET WEST NFJ                 | WHIRLPOOL       | 10.000  | 12/18/2007 | 4/15/2009 | 349.66          | 802.85           | -453.19           |
| MET WEST NFJ                 | WHIRLPOOL       | 25.000  | 12/18/2007 | 4/16/2009 | 886.82          | 2,007.13         | -1,120.31         |
| MET WEST NFJ                 | WHIRLPOOL       | 10.000  | 12/18/2007 | 4/23/2009 | 364.59          | 802.85           | -438.26           |
| MET WEST NFJ                 | WHIRLPOOL       | 20.000  | 1/2/2008   | 4/23/2009 | 729.19          | 1,596.06         | -866.87           |
| MET WEST NFJ                 | WHIRLPOOL       | 20.000  | 1/3/2008   | 4/23/2009 | 729.19          | 1,594.06         | -864.87           |
| <b>TOTAL WHIRLPOOL</b>       |                 |         |            |           | <b>5,495.41</b> | <b>12,978.14</b> | <b>-7,482.73</b>  |
| <b>WINDSTREAM CORP</b>       |                 |         |            |           |                 |                  |                   |
| MET WEST NFJ                 | WINDSTREAM CORP | 87.000  | 7/18/2006  | 5/7/2009  | 763.13          | 834.16           | -71.03            |
| MET WEST NFJ                 | WINDSTREAM CORP | 40.000  | 8/4/2006   | 5/7/2009  | 350.87          | 519.74           | -168.87           |
| MET WEST NFJ                 | WINDSTREAM CORP | 158.000 | 8/14/2006  | 5/7/2009  | 1,385.92        | 2,029.89         | -643.97           |
| MET WEST NFJ                 | WINDSTREAM CORP | 22.000  | 8/14/2006  | 7/30/2009 | 190.78          | 282.64           | -91.86            |
| MET WEST NFJ                 | WINDSTREAM CORP | 110.000 | 8/15/2006  | 7/30/2009 | 953.91          | 1,445.48         | -491.57           |
| MET WEST NFJ                 | WINDSTREAM CORP | 70.000  | 8/23/2006  | 7/30/2009 | 607.03          | 906.69           | -299.66           |
| MET WEST NFJ                 | WINDSTREAM CORP | 220.000 | 8/24/2006  | 7/30/2009 | 1,907.82        | 2,861.96         | -954.14           |
| MET WEST NFJ                 | WINDSTREAM CORP | 105.000 | 9/6/2006   | 7/30/2009 | 910.55          | 1,396.48         | -485.93           |
| MET WEST NFJ                 | WINDSTREAM CORP | 80.000  | 9/7/2006   | 7/30/2009 | 693.75          | 1,065.68         | -371.93           |
| MET WEST NFJ                 | WINDSTREAM CORP | 85.000  | 9/8/2006   | 7/30/2009 | 737.11          | 1,145.03         | -407.92           |
| <b>TOTAL WINDSTREAM CORP</b> |                 |         |            |           | <b>8,500.87</b> | <b>12,487.75</b> | <b>-3,986.88</b>  |
| <b>WYETH</b>                 |                 |         |            |           |                 |                  |                   |
| MET WEST NFJ                 | WYETH           | 65.000  | 11/5/2007  | 1/9/2009  | 2,478.83        | 3,120.27         | -641.44           |
| MET WEST NFJ                 | WYETH           | 5.000   | 11/5/2007  | 2/4/2009  | 216.82          | 240.02           | -23.20            |
| MET WEST NFJ                 | WYETH           | 10.000  | 11/8/2007  | 2/17/2009 | 430.50          | 460.84           | -30.34            |
| MET WEST NFJ                 | WYETH           | 55.000  | 11/8/2007  | 2/18/2009 | 2,365.23        | 2,534.62         | -169.39           |
| MET WEST NFJ                 | WYETH           | 30.000  | 11/13/2007 | 2/18/2009 | 1,290.12        | 1,387.94         | -97.82            |
| MET WEST NFJ                 | WYETH           | 40.000  | 11/13/2007 | 2/19/2009 | 1,711.40        | 1,850.59         | -139.19           |
| MET WEST NFJ                 | WYETH           | 10.000  | 11/13/2007 | 2/23/2009 | 421.39          | 462.65           | -41.26            |
| MET WEST NFJ                 | WYETH           | 25.000  | 11/19/2007 | 2/23/2009 | 1,053.49        | 1,161.38         | -107.89           |
| MET WEST NFJ                 | WYETH           | 35.000  | 11/19/2007 | 2/25/2009 | 1,464.13        | 1,625.94         | -161.81           |

| Account                 | Security   | Shares  | Bought    | Sold       | Gross Proceeds      | Cost Basis          | Realized Gain/Loss |
|-------------------------|------------|---------|-----------|------------|---------------------|---------------------|--------------------|
| <b>TOTAL WYETH</b>      |            |         |           |            | <b>11,431.91</b>    | <b>12,844.25</b>    | <b>-1,412.34</b>   |
| <b>XEROX CORP</b>       |            |         |           |            |                     |                     |                    |
| MET WEST NFJ            | XEROX CORP | 210.000 | 6/23/2008 | 7/30/2009  | 1,772.82            | 2,870.01            | -1,097.19          |
| MET WEST NFJ            | XEROX CORP | 60.000  | 6/26/2008 | 7/30/2009  | 506.52              | 817.36              | -310.84            |
| MET WEST NFJ            | XEROX CORP | 125.000 | 6/27/2008 | 7/30/2009  | 1,055.25            | 1,681.04            | -625.79            |
| MET WEST NFJ            | XEROX CORP | 215.000 | 7/7/2008  | 7/30/2009  | 1,815.03            | 2,895.56            | -1,080.53          |
| MET WEST NFJ            | XEROX CORP | 165.000 | 7/15/2008 | 7/30/2009  | 1,392.93            | 2,177.59            | -784.66            |
| MET WEST NFJ            | XEROX CORP | 45.000  | 7/16/2008 | 7/30/2009  | 379.89              | 592.37              | -212.48            |
| <b>TOTAL XEROX CORP</b> |            |         |           |            | <b>6,922.44</b>     | <b>11,033.93</b>    | <b>-4,111.49</b>   |
| <b>YUM BRANDS</b>       |            |         |           |            |                     |                     |                    |
| WELLS BAIRD             | YUM BRANDS | 48.000  | 5/5/2009  | 7/24/2009  | 1,616.51            | 1,682.20            | -65.69             |
| WELLS BAIRD             | YUM BRANDS | 22.000  | 5/5/2009  | 10/30/2009 | 728.95              | 771.01              | -42.06             |
| WELLS BAIRD             | YUM BRANDS | 65.000  | 5/6/2009  | 10/30/2009 | 2,153.72            | 2,269.78            | -116.06            |
| WELLS BAIRD             | YUM BRANDS | 15.000  | 5/6/2009  | 11/2/2009  | 495.67              | 523.80              | -28.13             |
| WELLS BAIRD             | YUM BRANDS | 151.000 | 5/6/2009  | 11/2/2009  | 4,989.72            | 5,317.93            | -328.21            |
| <b>TOTAL YUM BRANDS</b> |            |         |           |            | <b>9,984.57</b>     | <b>10,564.72</b>    | <b>-580.15</b>     |
| <b>OVERALL TOTAL</b>    |            |         |           |            | <b>1,968,523.24</b> | <b>2,343,136.40</b> | <b>-374,613.16</b> |