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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Department of the Treasury Internal Revenue Service

OMB No 1545-0052

For	For calendar year 2009, or tax year beginning , and ending									
G Check all that apply Initial return				Initial return of a fo	ormer public charity	Final return				
Amended return				Address chan	ge	Name change				
ile	e the	Name of foundation			A Employer identification	number				
	label. THE JOHN AND PATTY MCENROE FOUNDATION					' '				
	herwi	h/0 + 11 +	RISHKOFF &	CO		13-3389114				
	print	Number and street (or P	O box number if mail is not d	elivered to street address)	Room/suite	B Telephone number				
	r typ		AVENUE, 9TH	I FLOOR		212-808-00	70			
	e Spe	I GIIV OF TOWN STATE, AL	nd ZIP code			C If exemption application is p	pending, check here			
ıns	tructi	NEW YORK,	NY 10017			D 1. Foreign organization:				
H	Check	type of organization	X Section 501(c)(3) e	xempt private foundation		2. Foreign organizations me check here and attach or	eeting the 85% test,			
	☐ Se	ction 4947(a)(1) nonexem		Other taxable private founda	ation	E If private foundation sta				
I F	air ma	arket value of all assets at e	end of year J Account	ing method X Cash	Accrual	under section 507(b)(1				
(1	rom i	Part II, col. (c), line 16)		ther (specify)		F If the foundation is in a	60-month termination			
_	► \$	228	3 , 757 . (Part I, colu	ımn (d) must be on cash i	basis.)	under section 507(b)(1				
P	art I	Analysis of Revenue an (The total of amounts in colu.	d Expenses	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements			
⊇		necessarily equal the amoun	its in column (a))	expenses per books	Income	income	for charitable purposes (cash basis only)			
0[107	1	Contributions, gifts, grant	ts, etc , received	25,467.		N/A				
* 3	2		n is not required to attach Sch B							
*	3	Interest on savings and tempo cash investments	orary	8.	8.		STATEMENT 1			
	4	Dividends and interest fro	om securities	13,058.	13,058.		STATEMENT 2			
MAK	5a	Gross rents								
_	b	Net rental income or (loss)								
ii .	6a	Net gain or (loss) from sale of		1,786.						
SCANNED Bevenue	b	Gross sales price for all assets on line 6a	119,865.							
	7	Capital gain net income (from	Part IV, line 2)		1,786.					
្ន្ម "	8 Net short-term capital gain									
I)	9	Income modifications	1							
	10a	Gross sales less returns and allowances			····		H			
	b	Less Cost of goods sold								
	C	Gross profit or (loss)			4		**************************************			
	11	Other income		10.010						
_	12	Total. Add lines 1 throug	h 11	40,319.	14,852.					
	13	Compensation of officers, dire		0.	0.		0.			
	14	Other employee salaries a	~			<u> </u>				
ý,	15	Pension plans, employee		2 200						
Se	16a	Legal fees	STMT 3	3,399.	0.		3,399.			
Ö		Accounting fees			· · · · · · · · · · · · · · · · · · ·					
Ú)	C	Other professional fees				RECEIVED				
ţ	17	Interest								
str	10	Taxes			072	MAR 0 9 2010	0g 6			
<u> </u>	19	Depreciation and depletio	ОΠ		 	MAR 0 9 2010	 			
Ę	20	Occupancy Travel, conferences, and	mastings		 		<u> </u>			
2	21		•			OGDEN, UT	-			
ā	22	• •	STMT 4	1,971.	0.		1,971.			
į	24	Total operating and adm		1/5/11.		 	1,311.			
Operating and Administrative Expenses		expenses. Add lines 13 t		5,370.	0.		5 370			
Ö	25	Contributions, gifts, grant		149,167.	•		5,370. 149,167.			
		Total expenses and disb					1, 107.			
		Add lines 24 and 25		154,537.	0.		154,537.			
	27	Subtract line 26 from line	12				1 20 27 30 7 8			
		Excess of revenue over expen		<114,218.	>					
		Net Investment Income (14,852.	• • • • • • • • • • • • • • • • • • •	' 			
_	C	Adjusted net income (if n				N/A				
923	501 02-10	LHA For Privacy Act a		Act Natice, see the instruc	tions.		Form 990-PF (2009)			

Page 2

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only			Beginning of year	End of	f year
	dr i	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	23,337.	16,026.	16,026.
	2	Savings and temporary cash investments	300,230.	193,323.	212,731.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons .			·
	7	Other notes and loans receivable]		
		Less: allowance for doubtful accounts			
ets	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges	<u> </u>		
•	10a	Investments - U S and state government obligations			
		Investments - corporate stock			
	_	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis			
		Less. accumulated depreciation			
		investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis	1		
	4.5	Less accumulated depreciation			<u>. </u>
	פו	Other assets (describe			· · · · · · · · · · · · · · · · · · ·
	16	Tota! assets (to be completed by all filers)	323,567.	209,349.	228,757.
_	17		323/3076	203/3131	220/1311
	18	Grants payable			
v	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
abil	21	Mortgages and other notes payable			
ت		Other liabilities (describe			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26 and lines 30 and 31.			
ğ	24	Unrestricted	323,567.	209,349.	
<u>la</u>	25	Temporarily restricted			
Ä	26	Permanently restricted			
Ž		Foundations that do not follow SFAS 117, check here			
ř		and complete lines 27 through 31.			
ts	27	Capital stock, trust principal, or current funds			
Net Assets or Fund Balances	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
¥.	29	Retained earnings, accumulated income, endowment, or other funds	323,567.	200 240	
ž	30	Total net assets or fund balances	323,307.	209,349.	
	94	Total lightities and not assets found beloness	323,567.	209,349.	
		Total liabilities and net assets/fund balances	··· · - · · · · · · · · · · · · ·	209,349.	
P	art	Analysis of Changes in Net Assets or Fund E	Balances		
1	Tota	I net assets or fund balances at beginning of year - Part II, column (a), line	30		
-		st agree with end-of-year figure reported on prior year's return)		1	323,567.
2		r amount from Part I, line 27a		2	323,567. <114,218.>
		r increases not included in line 2 (itemize)		3	0.
		lines 1, 2, and 3		4	209,349.
		reases not included in line 2 (itemize)		5	0.
<u>6</u>	Tota	I net assets or fund balances at end of year (line 4 minus line 5) - Part II, c	olumn (b), line 30	6	209,349.
					Form 990-PF (2009)

	Part IV Capital Gains	and Lo	sses for Tax on In	vestment	Income																				
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			ĮΡ	How a - Purc - Don		(c) Date a (mo , da		(d) Date sold (mo , day, yr)																	
1a 3184.019 PIMCO SHORT TERM FUND P					01/2	9/09	02/02/09																		
	4985.045 PAYDE							P	VARI	ous	06/12/09														
	: 1710.324 PIMCO	GNM	A INSTL CL						VARI	OUS	10/26/09														
	1687.290 PIMCO							P	VARI	OUS	12/01/09														
_	CAPITAL GAINS	DIVII	DENDS		_																				
	(e) Gross sales price	(f) [Depreciation allowed (or allowable)		st or other basis expense of sale					nn or (loss (f) minus															
	29,853.				30,00	7.					<154.														
_					50,16						<1 <u>66.</u>														
_	20,000.				19,10					_	898.														
_					18,80	4.					1,196.														
_											12.														
_	Complete only for assets showing	ng gain in o	column (h) and owned by t	the foundation	on 12/31/69					ol (h) gain															
	(i) FMV as of 12/31/69	(]) Adjusted basis as of 12/31/69		cess of col. (i) col (j), if any			COI		ot less tha (from col.)	(h))														
_6	1										<154.														
_	<u> </u>										<166.														
	<u> </u>										898.														
_(1										1,196.														
_	8									<u> </u>	12.														
2	Capital gain net income or (net ca	apıtal loss)	If gain, also enter If (loss), enter -0-			}	2				1,786.														
3	Net short-term capital gain or (los	ss) as defi	ned in sections 1222(5) an	id (6)																					
Ĭ	If gain, also enter in Part I, line 8,	•		(-)		Ì																			
_	If (loss), enter -0- in Part I, line 8		·	_			3			N/A															
Ц	Part V Qualification U	Inder S	ection 4940(e) for	Reduced	Tax on Net	Inv	estr	nent Inc	ome																
(F	or optional use by domestic private	e foundatio	ons subject to the section 4	4940(a) tax оп	net investment in	com	e)																		
If	section 4940(d)(2) applies, leave t	his part bla	ank																						
••	oodion to total/te/ applico, louvo t	o part on																							
	as the foundation liable for the sec				•	riod?					Yes X No														
	"Yes," the foundation does not qua										-														
1	Enter the appropriate amount in	each colur	nn for each year; see instri	uctions before	making any entri						(d)														
	(a) Base penod years	.	(b) Adjusted qualifying dis	tributions	Net value of no	(C)	ritable.	-uca accate			oùtión ratio														
	Calendar year (or tax year beginni	ing in)			IVEL VAIGE OF HO	iiciia			, ,,,,,		(b) divided by col (c))														
_	2008			0,297.	 			0,236			.463872														
_	2007			5,573.				37,984 5 544			.339300														
_	2006_			6,096. 0,188.				5,544																	
_	2005			$\frac{0,100}{3,200}$.				577,943.												749,031.					.467499
_	2004	i	13	3,200.			74	9,031	•		.177830														
_	Total of her direction (12)										1 7/5510														
	Total of line 1, column (d)								2		1.745519														
3	Average distribution ratio for the			on line 2 by 5,	or by the number	ot ye	ears				240104														
	the foundation has been in existe	ince it less	than 5 years						3		.349104														
_									١. ١		205 760														
4	Enter the net value of noncharital	ble-use as:	sets for 2009 from Part X,	line 5					4		285,768.														
_									_		00 763														
5	Multiply line 4 by line 3								5		99,763.														
_		4									140														
6	Enter 1% of net investment incor	ne (1% of	rart I, line 27b)						6		149.														
_	***								_		00 012														
7	Add lines 5 and 6								7		99,912.														
8	Enter qualifying distributions from	m Part XII,	line 4						8		154,537.														
	If line 8 is equal to or greater that See the Part VI instructions	n line 7, ch	eck the box in Part VI, line	1b, and comp	plete that part usin	ıg a 1	% tax	rate																	

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Pa	rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or	494	<u> 3 - see</u>	instru	ıctio	ns)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1					
	Date of ruling or determination letter (attach copy of letter if necessary-see instructions)					
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1%	1			1	49.
	of Part I, line 27b					
C	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2				0.
3	Add lines 1 and 2	3			1	49.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4				0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5			1	49.
6	Credits/Payments					
a	2009 estimated tax payments and 2008 overpayment credited to 2009 <u>6a</u> <u>4 4</u>	•				
þ	Exempt foreign organizations - tax withheld at source 6b	_				
C	Tax paid with application for extension of time to file (Form 8868)	_				
d	Backup withholding erroneously withheld 6d	_				
7	Total credits and payments Add lines 6a through 6d	7	<u> </u>			44.
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	· <u> </u>			1	05.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	· <u>1</u> 1)			
_	Enter the amount of line 10 to be Credited to 2010 estimated tax ▶ Refunded ▶	1:	<u> </u>			
Pa	rt VII-A Statements Regarding Activities					,
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or interven	ne in		<u> </u>	Yes	+
	any political campaign?			1a		X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)	2		1b	ļ	X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials publis	hed oi	•			
	distributed by the foundation in connection with the activities.					
C	Did the foundation file Form 1120-POL for this year?			1c	ļ	X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:					
	(-)	<u>.</u>				1
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation					
	managers ▶ \$O.					
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2		X
	If "Yes," attach a detailed description of the activities.					
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation	٦, or				
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		/-	4a	ļ	X
	If "Yes," has it filed a tax return on Form 990-T for this year?		N/A	4b		ļ. <u></u> .
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5	ļ.,,	X
	If "Yes," attach the statement required by General Instruction T.					
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either					
	By language in the governing instrument, or					i
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state of the state	ate law	!			
	remain in the governing instrument?			6	X	-
7	Did the foundation have at least \$5,000 in assets at any time during the year?			7	X	ļ
	If "Yes," complete Part II, col. (c), and Part XV.			1		1
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)					
	NY					
b	of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)					
	of each state as required by General Instruction G? If "No," attach explanation			8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract of the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract of the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(5) for contract operating foundation within the meaning of section 4942(j)(5) for contract operating foundation within the meaning operating foundation within the foundation within the foundation within the foundation within	alenda	r			.,
	year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV			9	 	X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			10		X

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C/O L.H. FRISHKOFF & CO

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Pa	rt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before			
	August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A			
14	The books are in care of ► L.H. FRISHKOFF & CO. LLP Telephone no ►212-80		<u>070</u>	
	Located at ► 529 FIFTH AVENUE, 9TH FL, NEW YORK, NY ZIP+4 ►10	017		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	3.7	/ >	
D.	and enter the amount of tax-exempt interest received or accrued during the year It VII-B Statements Regarding Activities for Which Form 4720 May Be Required	<u> </u>	/A	
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
19	During the year did the foundation (either directly or indirectly):		163	140
10	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days)			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	16		
	Organizations relying on a current notice regarding disaster assistance check here			
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2009?	10		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5))			
а	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2009?			
	If "Yes," list the years 🕨,,,,,,,			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions) N/A	2b		
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
_	<u> </u>	ł		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
	during the year? Yes X No	Ì		
10	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after	1		
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A	26		
An	Form 4720, to determine if the foundation had excess business holdings in 2009) N/A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b 4a		X
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	70		
ū	had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		х
	the not over termoved from Jeopardy before the mat day of the tax year beginning in 2003.	70		

C/O L.H. FRISHKOFF & CO 13-3389114 Form 990-PF (2009) Page 6 Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued) 5a During the year did the foundation pay or incur any amount to Yes X No (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, Yes X No any voter registration drive? Yes X No (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section Yes X No 509(a)(1), (2), or (3), or section 4940(d)(2)? (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for Yes X No the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations N/A section 53 4945 or in a current notice regarding disaster assistance (see instructions)? 5b Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained N/A Yes No expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d). 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on Yes X No a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? X 6b If "Yes" to 6b, file Form 8870. Yes X No 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors List all officers, directors, trustees, foundation managers and their compensation. (d) Contributions to employee benefit plans and deferred compensation (b) Title, and average (c) Compensation (e) Expense hours per week devoted to position (If not paid, enter -0-) account, other (a) Name and address allowances JOHN MCENROE PRES/TREAS & CO, C/O L.H. FRISHKOFF LLP, NEW YORK, NY 10017 0 1.00 0. 0. JOHN P. MCENROE VP/ASS TRS C/O L.H. FRISHKOFF & CO, LLP, 529 5TH NEW YORK, NY 10017 0.00 0 0. 0. VP/SECY MARK T. MCENROE C/O L.H. FRISHKOFF & CO, LLP. 529 **5TH** NEW YORK, NY 10017 0.00 0. 0. 0. 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (d) Contributions to employee benefit plans and deferred (b) Title, and average (e) Expense account, other (a) Name and address of each employee paid more than \$50,000 hours per week devoted to position (c) Compensation allowances compensation NONE

Form **990-PF** (2009)

Total number of other employees paid over \$50,000

THE JOHN AND PATTY MCENROE FOUNDATION C/O L.H. FRISHKOFF & CO

Form 990-PF (2009)

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Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	n Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "N	IONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	(-) type a death	
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		<u> </u>
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical number of organizations and other beneficiaries served, conferences convened, research papers produce	information such as the	Expenses
1 N/A		
2		
3	· · · · · · · · · · · · · · · · · · ·	
<u> </u>		
4	- · ·	
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on line	s 1 and 2	Amount
1 N/A		
2		
All other program-related investments See instructions.		<u> </u>
3		
Total. Add lines 1 through 3	>	0.

Form **990-PF** (2009)

P	Art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations, se	e instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
а	Average monthly fair market value of securities	1a	262,342.
b	Average of monthly cash balances	16	262,342. 27,778.
	Fair market value of all other assets	10	
d	Total (add lines 1a, b, and c)	1d	290,120.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	290,120.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,352.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	285,768.
6	Minimum Investment return. Enter 5% of line 5	6	14,288.
P	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	id certain	
1	Minimum investment return from Part X, line 6	1	14,288.
2a	Tax on investment income for 2009 from Part VI, line 5		
	Income tax for 2009 (This does not include the tax from Part VI)		
	Add lines 2a and 2b	2c	149.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	14,139.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	14,139.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	14,139.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	154,537.
b	Program-related investments - total from Part IX-B	1b	154,537. 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	154,537.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income Enter 1% of Part I, line 27b	5	149.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	149. 154,388.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years	qualifies for t	ne section

Form **990-PF** (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI,				
line 7				14,139.
2 Undistributed income, if any, as of the end of 2009		 	HHIII III II	
a Enter amount for 2008 only			0.	
b Total for prior years		**************************************		
		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004 95,922.				
b From 2005 241,533.				
c From 2006 130,089.				
d From 2007 141,362.				
e From 2008 169,829.				
f Total of lines 3a through e	778,735.			
4 Qualifying distributions for 2009 from				
Part XII, line 4 ► \$ 154,537.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2009 distributable amount				14,139.
e Remaining amount distributed out of corpus	140,398.			
Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	919,133.			
b Prior years' undistributed income Subtract	313,133.			
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				······································
amount - see instructions		0.		
e Undistributed income for 2008. Subtract line				
4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2010				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004				
not applied on line 5 or line 7	95,922.		······	
9 Excess distributions carryover to 2010.	000 000			
Subtract lines 7 and 8 from line 6a	823,211.			······································
10 Analysis of line 9				
a Excess from 2005 241,533.				
b Excess from 2006 130, 089.				
c Excess from 2007 141,362.				
d Excess from 2008 169,829.				
e Excess from 2009 140,398.		<u> </u>	<u> </u>	

THE JOHN AND PATTY MCENROE FOUNDATION C/O L.H. FRISHKOFF & CO 13-3389114 Form 990-PF (2009) Page 10 Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling 4942(j)(3) or 4942(1)(5) b Check box to indicate whether the foundation is a private operating foundation described in section Prior 3 years 2 a Enter the lesser of the adjusted net Tax year (a) 2009 (b) 2008 (c) 2007 (d) 2006 (e) Total income from Part I or the minimum investment return from Part X for each year listed **b** 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.) **Information Regarding Foundation Managers:** a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) JOHN MCENROE b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here 🕨 🗶 if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d a The name, address, and telephone number of the person to whom applications should be addressed b The form in which applications should be submitted and information and materials they should include. c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2009) C/O L.H. FRISHKOFF & CO

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Supplementary Information (continued) Part XV 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, show any relationship to any foundation manager or substantial contributor Recipient Foundation status of Purpose of grant or contribution Amount Name and address (home or business) recipient a Paid during the year SEE STATEMENT 5 149,167. **▶** 3a Total b Approved for future payment NONE 0. **▶** 3b Total

Unrelated business income

Form 990-PF (2009) Part XVI-A

Enter gross amounts unless otherwise indicated

C/O L.H. FRISHKOFF & CO

Analysis of Income-Producing Activities

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(8)

Excluded by section 512, 513, or 514

		Amount	sion	Amount	Related or exempt function income
			 		
			 		
	1 1		 -		 · ·
			 		
			 		
Fees and contracts from government agencies			 		
Membership dues and assessments			 		
iterest on savings and temporary cash					
ivestments			14	8.	
ividends and interest from securities			14	13,058.	
et rental income or (loss) from real estate				13,030.	
			 		
Debt-financed property			 		
Not debt-financed property			 -		
et rental income or (loss) from personal	ŀ				
roperty			 		
ther investment income			 -		
ain or (loss) from sales of assets other			18	1 706	
nan inventory			1.0	1,786.	
et income or (loss) from special events		<u> </u>	\vdash		
ross profit or (loss) from sales of inventory					·
ther revenue					
	-		 -		
		······································			
			 -		
		-	┼─┼	-	
	- 	0.	 	14 052	
ubtotal Add columns (b), (d), and (e) otal. Add line 12, columns (b), (d), and (e)	<u> </u>	0.	l., L	14,852.	14,85
rt XVI-B Relationship of Activities	s to the Accom				
Explain below how each activity for which in the foundation's exempt purposes (other that				too importantly to the accomp	issiment of
		 			

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UIIII 990-FT (200		KIDIKOII u co	13 3303111 Fa	ы
Part XVII	Information Regarding	g Transfers To and Transaction	s and Relationships With Noncharitable	
	Exempt Organization			

1	Did the organization directly or indirectly engage in any of the following	n with any other organizati	ion described in section 501/c) of	1	/es	No		
١				 		-110		
	the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? Transfers from the reporting foundation to a noncharitable exempt organization of							
а	•	janization of		1a(1)	1	X		
	(1) Cash			1a(2)	-	X		
_	(2) Other assets			19(2)				
D				45/41	1	X		
	(1) Sales of assets to a nonchantable exempt organization			1b(1)		X		
	(2) Purchases of assets from a noncharitable exempt organization			1b(2)		<u>X</u>		
	(3) Rental of facilities, equipment, or other assets			1b(3)		X		
	(4) Reimbursement arrangements			1b(4)		X		
	(5) Loans or loan guarantees			1b(5)		X		
	(6) Performance of services or membership or fundraising solicitation		•	1b(6)		X		
C			hanna ahaan Aha dan arada ka aha arada	10				
đ	• • • • • • • • • • • • • • • • • • • •				is,			
	or services given by the reporting foundation. If the foundation receive	o iess than lair market vai	iue in any transaction or snaring arrangement,	SHOW III				
٠.١.	column (d) the value of the goods, other assets, or services received. Line no (b) Amount involved (c) Name of noncharitable	overnt ereanization	(d) 2					
4)1		exempt organization	(d) Description of transfers, transactions, and	snanng arra	ngeme	nts		
	N/A							
						—		
			-					
								
				<u> </u>				
		<u> </u>						
2a	Is the foundation directly or indirectly affiliated with, or related to, one	or more tax-exempt organ	nizations described			_		
	in section 501(c) of the Code (other than section 501(c)(3)) or in sect	ion 527?	j	Yes	<u> X</u>	No		
b	If "Yes," complete the following schedule.							
	(a) Name of organization	(b) Type of organization	(c) Description of relation	iship				
	N/A							
		_						
_	\bigcap	L	<u> </u>					
- 1	Under penalties of penuty I declare that I have examined this return, including account complete. Declaration of preparal (ofter than taxpayer or fiduciary) is based on			is true, correc	it,			
		1 3/1/10						
			PRESIDENT					
Sign Here	Signature of officer or trustee	Date	Title					
ᇍ	Preparer's Sugnature	\sim	alicha sett-	arer's identify ヘムノムノム	-			
3	n o E Logitude	H	employed employed	001644	143			
	Firm's name (or yours L.H. FRYSHKOFF & CO	. LLP	EIN ► 13197	4820	_			
	1/seif-employed). 529 FIFTH AVE, 9TH					_		
\perp	address, and ZIP code NEW YORK, NY 10017-	4608		<u>-808-</u>				
				Form 990 -	-PF	(2009)		

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

THE JOHN AND PATTY MCENROE FOUNDATION

C/O L.H. FRISHKOFF & CO

Employer identification number

13-3389114

Organization type (check one):							
Filers of	ilers of: Section:						
Form 990) or 990-EZ	501(c)() (enter number) organization					
		4947(a)(1) nonexempt charitable trust not treated as a private foundation					
		527 political organization					
Form 990)-PF	X 501(c)(3) exempt private foundation					
		4947(a)(1) nonexempt charitable trust treated as a private foundation					
		501(c)(3) taxable private foundation					
	-	covered by the General Rule or a Special Rule. 7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.					
General	Rule						
X	For an organization contributor. Comple	filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one ete Parts I and II.					
Special	Rules						
	509(a)(1) and 170(b)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II.					
	For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.						
For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.							

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Page 1 of 1 of Part I

Name of organization

THE JOHN AND PATTY MCENROE FOUNDATION

C/O L.H. FRISHKOFF & CO

Employer identification number

13-3389114

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	BALTIMORE COMMUNITY FOUNDATION 2 EAST READ STREET, 9TH FLOOR BALTIMORE , MD 21202	\$10,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
2	TOYS "R" US CHILDREN'S FUND, INC ONE GEOFFREY WAY WAYNE, NJ 07470	\$10,000.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there Is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Oncash Complete Part II if there is a noncash contribution.)

FORM 990-PF INTEREST ON SAV	INGS A	ND TEM	PORARY C	ASH IN	IVESTMENTS	STATEMENT	1
SOURCE						AMOUNT	
JPMORGAN CHASE BANK, N.A.							8.
TOTAL TO FORM 990-PF, PART I	, LINE	3, CO	LUMN A				8.
FORM 990-PF DIVIDENT	DS AND	INTER	EST FROM	SECUE	RITIES	STATEMENT	2
SOURCE		GROSS	AMOUNT		ITAL GAINS IVIDENDS	COLUMN (A AMOUNT	.)
CHARLES SCHWAB & CO., INC.			13,070.	12.		13,058.	
TOTAL TO FM 990-PF, PART I, I	LN 4		13,070.		12.	13,0	58.
FORM 990-PF		LEGAL	FEES			STATEMENT	3
DESCRIPTION	EXPE	A) INSES BOOKS	(B) NET INV MENT IN		(C) ADJUSTED NET INCOM		
LEGAL FEES		3,399.		0.		3,3	99.
TO FM 990-PF, PG 1, LN 16A		3,399.		0.		3,3	99.
FORM 990-PF	RM 990-PF OTHER EXPENSES			STATEMENT	4		
DESCRIPTION	EXPE	A) ENSES BOOKS	(B) NET INV MENT IN		(C) ADJUSTED NET INCOM		
FILING FEES MANAGEMENT FEE BANK FEES PUBLISHING FEE		100. 1,626. 125. 120.		0. 0. 0.		1,6 1	00. 26. 25.
TO FORM 990-PF, PG 1, LN 23		1,971.		0.		1,9	71.
							_

FORM 990-PF

STATEMENT

			
RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
HARLEM JR. TENNIS AND EDUCATION PROGRAM 40 WEST 143RD STREET NEW YORK, NY 10037	NONE TENNIS AND EDUCATION PROGRAMS FOR YOUTH		5,000.
NEW YORK RESTORATION PROJECT 254 WEST 31ST STREET, 10TH FL NEW YORK, NY 10001	NONE ENVIRONMENTAL EDUCATION AND RESTORATION		12,500.
RIVERKEEPER 25 WING & WING GARRISON, NY 10524	NONE ENVIRONMENTAL SERVICES		50,000.
NUCLEAR POLICY RESEARCH INSTITUTE 6930 CARROLL AVE, SUITE 400 TAKOMA PARK, MD 20912	NONE EDUCATION AND RESEARCH		10,000.
NYU CHILD STUDY CENTER 577 FIRST AVENUE NEW YORK, NY 10016	NONE EDUCATION		1,667.
WATERKEEPER ALLIANCE 50 S BUCKHOUT STREET, SUITE 302 IRVINGTON , NY 10533	NONE SUPPORT OF LOCAL WATERKEEPER PROGRAMS AND COMMUNITIES		35,000.
A DIAMOND IN THE ROUGH 101 CENTRAL PARK WEST, OFFICE #1 NEW YORK, NY 10023	NONE EDUCATION PROGRAMS FOR YOUTH		2,500.
ROCK AND ROLL HALL OF FAME FOUNDATION 751 ERIESIDE AVE CLEVELAND, OH 44114	NONE EDUCATION		5,000.

GRANTS AND CONTRIBUTIONS

PAID DURING THE YEAR

THE JOHN AND PATTY MCENROE FO	UNDATION C/	13-3389114
ROBIN HOOD FOUNDATION 826 BROADWAY, 9TH FLOOR NEW YORK, NY 10003	NONE FIGHTING POVERTY	25,000.
ESTHER VERGEER FOUNDATION	NONE TENNIS AND EDUCATION PROGRAMS FOR YOUTH	2,500.
TOTAL TO FORM 990-PF, PART XV,	LINE 3A	149,167.