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Form 990-PF

Department of the Treasury  
Internal Revenue ServiceReturn of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No. 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation

JOYCE &amp; IRVING

GOLDMAN FAMILY FOUNDATION, INC.

A Employer identification number

13-3216152

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

417 FIFTH AVENUE

STE 400

(212) 624-4300

City or town, state, and ZIP code

NEW YORK, NY 10016

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col (c), line

16) \$ 155,514,301.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

|                                                                                      | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received (attach schedule)                     | 5,135,712.                         |                           |                         |                                                             |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                 | 1,544.                             | 1,544.                    |                         |                                                             |
| 4 Dividends and interest from securities                                             | 2,064,426.                         | 2,064,426.                |                         |                                                             |
| 5a Gross rents                                                                       |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                        |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                             | -28,181,339.                       |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                        | 242,384,028.                       |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                     |                                    |                           |                         |                                                             |
| 8 Net short-term capital gain                                                        |                                    |                           |                         |                                                             |
| 9 Income modifications                                                               |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                          |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                            |                                    |                           |                         |                                                             |
| c Gross profit or (loss) (attach schedule)                                           |                                    |                           |                         |                                                             |
| 11 Other income (attach schedule)                                                    | 6,750,250.                         | 5,492,113.                |                         | ATCH 1                                                      |
| 12 Total. Add lines 1 through 11                                                     | -14,229,407.                       | 7,558,083.                |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc.                               | 0.                                 |                           |                         |                                                             |
| 14 Other employee salaries and wages                                                 | 257,713.                           | 193,285.                  |                         | 64,428.                                                     |
| 15 Pension plans, employee benefits                                                  | 4,400.                             | 3,300.                    |                         | 1,100.                                                      |
| 16a Legal fees (attach schedule) ATCH 2                                              | 61,387.                            | 46,040.                   | 0.                      | 15,347.                                                     |
| b Accounting fees (attach schedule) ATCH 3                                           | 5,460.                             | 4,095.                    | 0.                      | 1,365.                                                      |
| c Other professional fees (attach schedule) *                                        | 398,837.                           | 392,798.                  |                         | 6,039.                                                      |
| 17 Interest                                                                          |                                    |                           |                         |                                                             |
| 18 Taxes (attach schedule) (see page 14 of the instructions) *                       | 24,824.                            | 9,431.                    |                         | 3,143.                                                      |
| 19 Depreciation (attach schedule) and depletion                                      | 901.                               |                           |                         |                                                             |
| 20 Occupancy                                                                         | 104,449.                           | 78,337.                   |                         | 26,112.                                                     |
| 21 Travel, conferences, and meetings                                                 | 34,335.                            | 25,751.                   |                         | 8,584.                                                      |
| 22 Printing and publications                                                         |                                    |                           |                         |                                                             |
| 23 Other expenses (attach schedule) ATCH 6                                           | 31,348.                            | 22,387.                   |                         | 8,961.                                                      |
| 24 Total operating and administrative expenses. Add lines 13 through 23              | 923,654.                           | 775,424.                  | 0.                      | 135,079.                                                    |
| 25 Contributions, gifts, grants paid                                                 | 7,754,640.                         |                           |                         | 7,754,640.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                             | 8,678,294.                         | 775,424.                  | 0.                      | 7,889,719.                                                  |
| 27 Subtract line 26 from line 12.                                                    |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                  | -22,907,701.                       |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                     |                                    | 6,782,659.                |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                       |                                    |                           | -0-                     |                                                             |

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. \* ATCH 4 JSA \*\* ATCH 5

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

|                                                                                                                   |                                                                                                                                                | Beginning of year  | End of year      |                       |
|-------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------------------|-----------------------|
|                                                                                                                   |                                                                                                                                                | (a) Book Value     | (b) Book Value   | (c) Fair Market Value |
| <b>Assets</b>                                                                                                     | 1 Cash - non-interest-bearing . . . . .                                                                                                        |                    |                  |                       |
|                                                                                                                   | 2 Savings and temporary cash investments . . . . .                                                                                             | 1,314,874.         | 16,091,148.      | 16,091,148.           |
|                                                                                                                   | 3 Accounts receivable ▶<br>Less: allowance for doubtful accounts ▶                                                                             |                    |                  |                       |
|                                                                                                                   | 4 Pledges receivable ▶<br>Less: allowance for doubtful accounts ▶                                                                              |                    |                  |                       |
|                                                                                                                   | 5 Grants receivable . . . . .                                                                                                                  | 29,424,135.        | 24,207,019.      | 24,207,019.           |
|                                                                                                                   | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)       |                    |                  |                       |
|                                                                                                                   | 7 Other notes and loans receivable (attach schedule) ▶<br>Less: allowance for doubtful accounts ▶                                              |                    |                  |                       |
|                                                                                                                   | 8 Inventories for sale or use . . . . .                                                                                                        |                    |                  |                       |
|                                                                                                                   | 9 Prepaid expenses and deferred charges . . . . .                                                                                              |                    |                  |                       |
|                                                                                                                   | 10 a Investments - U.S. and state government obligations (attach schedule)                                                                     |                    |                  |                       |
|                                                                                                                   | b Investments - corporate stock (attach schedule) <b>ATCH 7</b>                                                                                | 133,910,981.       | 42,159,126.      | 42,989,761.           |
|                                                                                                                   | c Investments - corporate bonds (attach schedule) <b>ATCH 8</b>                                                                                | 802,080.           | 802,080.         | 921,048.              |
|                                                                                                                   | 11 Investments - land, buildings, and equipment, basis<br>Less: accumulated depreciation (attach schedule)                                     |                    |                  |                       |
|                                                                                                                   | 12 Investments - mortgage loans . . . . .                                                                                                      |                    |                  |                       |
|                                                                                                                   | 13 Investments - other (attach schedule) <b>ATCH 9</b>                                                                                         | 13,900,226.        | 67,979,574.      | 71,297,212.           |
|                                                                                                                   | 14 Land, buildings, and equipment, basis <b>ATCH 12</b> ▶<br>Less: accumulated depreciation (attach schedule)                                  | 74,236.<br>66,123. | 9,014.<br>8,113. | 8,113.                |
| 15 Other assets (describe ▶) . . . . .                                                                            | 10,567.                                                                                                                                        |                    |                  |                       |
| 16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . . | 179,371,877.                                                                                                                                   | 151,247,060.       | 155,514,301.     |                       |
| <b>Liabilities</b>                                                                                                | 17 Accounts payable and accrued expenses . . . . .                                                                                             |                    |                  |                       |
|                                                                                                                   | 18 Grants payable . . . . .                                                                                                                    |                    |                  |                       |
|                                                                                                                   | 19 Deferred revenue . . . . .                                                                                                                  | 29,424,135.        | 24,207,019.      | <b>ATCH 10</b>        |
|                                                                                                                   | 20 Loans from officers, directors, trustees, and other disqualified persons . . . . .                                                          |                    |                  |                       |
|                                                                                                                   | 21 Mortgages and other notes payable (attach schedule) . . . . .                                                                               |                    |                  |                       |
|                                                                                                                   | 22 Other liabilities (describe ▶) . . . . .                                                                                                    |                    |                  |                       |
| 23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .                                                   | 29,424,135.                                                                                                                                    | 24,207,019.        |                  |                       |
| <b>Net Assets or Fund Balances</b>                                                                                | <b>Foundations that follow SFAS 117, check here ▶</b> <input type="checkbox"/><br><b>and complete lines 24 through 26 and lines 30 and 31.</b> |                    |                  |                       |
|                                                                                                                   | 24 Unrestricted . . . . .                                                                                                                      |                    |                  |                       |
|                                                                                                                   | 25 Temporarily restricted . . . . .                                                                                                            |                    |                  |                       |
|                                                                                                                   | 26 Permanently restricted . . . . .                                                                                                            |                    |                  |                       |
|                                                                                                                   | <b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input checked="" type="checkbox"/>             |                    |                  |                       |
|                                                                                                                   | 27 Capital stock, trust principal, or current funds . . . . .                                                                                  |                    |                  |                       |
|                                                                                                                   | 28 Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .                                                                     |                    |                  |                       |
|                                                                                                                   | 29 Retained earnings, accumulated income, endowment, or other funds . . . . .                                                                  | 149,947,742.       | 127,040,041.     |                       |
|                                                                                                                   | 30 <b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .                                                        | 149,947,742.       | 127,040,041.     |                       |
| 31 <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .              | 179,371,877.                                                                                                                                   | 151,247,060.       |                  |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                        |   |              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 149,947,742. |
| 2 Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | -22,907,701. |
| 3 Other increases not included in line 2 (itemize) ▶ . . . . .                                                                                                         | 3 |              |
| 4 Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 127,040,041. |
| 5 Decreases not included in line 2 (itemize) ▶ . . . . .                                                                                                               | 5 |              |
| 6 <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .                                               | 6 | 127,040,041. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)                                                                                                                                                                  |                                            |                                                 | (b) How acquired<br>P-Purchase<br>D-Donation                                              | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a</b> SEE PART IV SCHEDULE                                                                                                                                                                                                                                                                      |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| (e) Gross sales price                                                                                                                                                                                                                                                                               | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                              |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                                                                                                                         |                                            |                                                 | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |                                      |                                  |
| (i) F M V. as of 12/31/69                                                                                                                                                                                                                                                                           | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                           |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                                                                            |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>2</b> Capital gain net income or (net capital loss) . . . . .                                                                                                                                                                                                                                    |                                            |                                                 | <b>2</b>                                                                                  | -28,181,339.                         |                                  |
| <div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 7<br/>           If (loss), enter -0- in Part I, line 7         </div> </div>                                                        |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)                                                                                                                                                                                                               |                                            |                                                 | <b>3</b>                                                                                  |                                      |                                  |
| <div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)<br/>           If (loss), enter -0- in Part I, line 8.         </div> </div> |                                            |                                                 |                                                                                           |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2008                                                                 | 8,529,058.                               | 156,707,253.                                 | 0.054427                                                    |
| 2007                                                                 | 8,119,260.                               | 179,590,869.                                 | 0.045210                                                    |
| 2006                                                                 | 4,256,892.                               | 161,561,703.                                 | 0.026348                                                    |
| 2005                                                                 | 4,140,645.                               | 143,758,052.                                 | 0.028803                                                    |
| 2004                                                                 | 3,209,345.                               | 109,715,881.                                 | 0.029251                                                    |

|                                                                                                                                                                                                 |          |              |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------|
| <b>2</b> Total of line 1, column (d) . . . . .                                                                                                                                                  | <b>2</b> | 0.184039     |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . | <b>3</b> | 0.036808     |
| <b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .                                                                                                 | <b>4</b> | 120,022,689. |
| <b>5</b> Multiply line 4 by line 3 . . . . .                                                                                                                                                    | <b>5</b> | 4,417,795.   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .                                                                                                                   | <b>6</b> | 67,827.      |
| <b>7</b> Add lines 5 and 6 . . . . .                                                                                                                                                            | <b>7</b> | 4,485,622.   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . .                                                                                                                         | <b>8</b> | 7,889,719.   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

|                                                                                                                                                                                                                                               |    |          |         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions) |    |          |         |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                                  |    | 1        | 67,827. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                                      |    |          |         |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                                    |    | 2        |         |
| 3 Add lines 1 and 2                                                                                                                                                                                                                           |    | 3        | 67,827. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                                  |    | 4        | 0.      |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                                     |    | 5        | 67,827. |
| 6 Credits/Payments:                                                                                                                                                                                                                           |    |          |         |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009                                                                                                                                                                           | 6a | 106,123. |         |
| b Exempt foreign organizations-tax withheld at source                                                                                                                                                                                         | 6b | 0.       |         |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                         | 6c | 0.       |         |
| d Backup withholding erroneously withheld                                                                                                                                                                                                     | 6d |          |         |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                         | 7  | 106,123. |         |
| 8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                            | 8  |          |         |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                               | 9  |          |         |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                                  | 10 | 38,296.  |         |
| 11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input checked="" type="checkbox"/> 38,296. Refunded <input type="checkbox"/>                                                                                             | 11 |          |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                         | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                                 |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                                  |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____                                                                                                                                                                           |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____                                                                                                                                                                                                      |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                                         |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                            |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                          | X   |    |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                      | X   |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                                    |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                    | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.                                                                                                                                                                                                                     | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY,                                                                                                                                                                                                                              |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                           | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV                                                                                       |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                                   |     | X  |

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**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                    |                                                                                                                                                                                                                  |                          |   |   |
|--------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---|---|
| 11                                                                                                                 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . . | 11                       |   | X |
| 12                                                                                                                 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .                                                                                  | 12                       |   | X |
| 13                                                                                                                 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .                                                                                    | 13                       | X |   |
| Website address <input type="checkbox"/> NA                                                                        |                                                                                                                                                                                                                  |                          |   |   |
| 14                                                                                                                 | The books are in care of <input type="checkbox"/> PATRICK KNOWLES Telephone no. <input type="checkbox"/> 212-624-4300                                                                                            |                          |   |   |
| Located at <input type="checkbox"/> 417 FIFTH AVENUE SUITE 400 NEW YORK, NY ZIP + 4 <input type="checkbox"/> 10016 |                                                                                                                                                                                                                  |                          |   |   |
| 15                                                                                                                 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .                                                                                                    | <input type="checkbox"/> |   |   |
| and enter the amount of tax-exempt interest received or accrued during the year . . . . .                          |                                                                                                                                                                                                                  | 15                       |   |   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Yes | No  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                       |     |     |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                               |     |     |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <i>ATCH 13</i> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                              |     |     |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <i>ATCH 13</i> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                    |     |     |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                |     |     |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                               |     |     |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . .                                                                                                                                                                                                                                                            | 1b  | X   |
| Organizations relying on a current notice regarding disaster assistance check here . . . . . <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                      |     |     |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? . . . . .                                                                                                                                                                                                                                                                                                        | 1c  | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                            |     |     |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                          |     |     |
| If "Yes," list the years <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                          |     |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) . . . . .                                                                                                                                                                        | 2b  | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                |     |     |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                |     |     |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) . . . . . | 3b  | X   |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .                                                                                                                                                                                                                                                                                                                                                                               | 4a  | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? . . . . .                                                                                                                                                                                                                                                              | 4b  | X   |

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ATTACHMENT 11        |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000 ☐ NONE

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 N/A                                                                                                                                                                                                                                                  |          |
|                                                                                                                                                                                                                                                        |          |
| 2                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
| 3                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
| 4                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |

**Part IX-B** Summary of Program-Related Investments (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 NONE                                                                                                           |        |
|                                                                                                                  |        |
| 2                                                                                                                |        |
|                                                                                                                  |        |
| All other program-related investments See page 24 of the instructions                                            |        |
| 3 NONE                                                                                                           |        |
|                                                                                                                  |        |
| <b>Total.</b> Add lines 1 through 3 . . . . .                                                                    |        |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|          |                                                                                                                           |           |              |
|----------|---------------------------------------------------------------------------------------------------------------------------|-----------|--------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:               |           |              |
| <b>a</b> | Average monthly fair market value of securities                                                                           | <b>1a</b> | 106,308,061. |
| <b>b</b> | Average of monthly cash balances                                                                                          | <b>1b</b> | 15,542,385.  |
| <b>c</b> | Fair market value of all other assets (see page 24 of the instructions)                                                   | <b>1c</b> | 0.           |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                                     | <b>1d</b> | 121,850,446. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)                 | <b>1e</b> |              |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                                      | <b>2</b>  | 0.           |
| <b>3</b> | Subtract line 2 from line 1d                                                                                              | <b>3</b>  | 121,850,446. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) | <b>4</b>  | 1,827,757.   |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4               | <b>5</b>  | 120,022,689. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                                      | <b>6</b>  | 6,001,134.   |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

|           |                                                                                                           |           |            |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 6,001,134. |
| <b>2a</b> | Tax on investment income for 2009 from Part VI, line 5                                                    | <b>2a</b> | 67,827.    |
| <b>b</b>  | Income tax for 2009. (This does not include the tax from Part VI.)                                        | <b>2b</b> |            |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 67,827.    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 5,933,307. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  |            |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 5,933,307. |
| <b>6</b>  | Deduction from distributable amount (see page 25 of the instructions)                                     | <b>6</b>  |            |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 5,933,307. |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|          |                                                                                                                                                                     |           |            |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                                          |           |            |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                                       | <b>1a</b> | 7,889,719. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                                                  | <b>1b</b> | 0.         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                                           | <b>2</b>  | 0.         |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                                                                |           |            |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                                      | <b>3a</b> | 0.         |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                               | <b>3b</b> | 0.         |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                                   | <b>4</b>  | 7,889,719. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | <b>5</b>  | 67,827.    |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                               | <b>6</b>  | 7,821,892. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

|                                                                                                                                                                                      | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2009 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 5,933,307.  |
| 2 Undistributed income, if any, as of the end of 2009                                                                                                                                |               |                            |             |             |
| a Enter amount for 2008 only . . . . .                                                                                                                                               |               |                            | 7,754,512.  |             |
| b Total for prior years 20 <u>07</u> , 20 <u>06</u> , 20 <u>05</u> . . . . .                                                                                                         |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2009                                                                                                                                    |               |                            |             |             |
| a From 2004 . . . . .                                                                                                                                                                |               |                            |             |             |
| b From 2005 . . . . .                                                                                                                                                                |               |                            |             |             |
| c From 2006 . . . . .                                                                                                                                                                |               |                            |             |             |
| d From 2007 . . . . .                                                                                                                                                                |               |                            |             |             |
| e From 2008 . . . . . 0.                                                                                                                                                             |               |                            |             |             |
| f Total of lines 3a through e . . . . .                                                                                                                                              | 0.            |                            |             |             |
| 4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ <u>7,889,719.</u>                                                                                                    |               |                            |             |             |
| a Applied to 2008, but not more than line 2a . . . . .                                                                                                                               |               |                            | 7,754,512.  |             |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .                                                                     |               |                            |             |             |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .                                                                             |               |                            |             |             |
| d Applied to 2009 distributable amount . . . . .                                                                                                                                     |               |                            |             | 135,207.    |
| e Remaining amount distributed out of corpus . . . . .                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2009 . . . . .<br>(If an amount appears in column (d), the same amount must be shown in column (a).)                                     | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .                                                                                                                          | 0.            |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b . . . . .                                                                                                          |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .                                                                                          |               |                            |             |             |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .                                                           |               |                            |             |             |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010. . . . .                                                                |               |                            |             | 5,798,100.  |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .                   |               |                            |             |             |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .                                                               |               |                            |             |             |
| 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 0.            |                            |             |             |
| 10 Analysis of line 9.                                                                                                                                                               |               |                            |             |             |
| a Excess from 2005 . . . . .                                                                                                                                                         |               |                            |             |             |
| b Excess from 2006 . . . . .                                                                                                                                                         |               |                            |             |             |
| c Excess from 2007 . . . . .                                                                                                                                                         |               |                            |             |             |
| d Excess from 2008 . . . . .                                                                                                                                                         |               |                            |             |             |
| e Excess from 2009 . . . . . 0.                                                                                                                                                      |               |                            |             |             |

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**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

| Tax year                                                                                                                                                           | Prior 3 years |          |          |          | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|----------|----------|-----------|
|                                                                                                                                                                    | (a) 2009      | (b) 2008 | (c) 2007 | (d) 2006 |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                  |               |          |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             |               |          |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |               |          |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |               |          |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:                                                                                                |               |          |          |          |           |
| <b>a</b> "Assets" alternative test - enter:                                                                                                                        |               |          |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                           |               |          |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .                                                                                     |               |          |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                              |               |          |          |          |           |
| <b>c</b> "Support" alternative test - enter:                                                                                                                       |               |          |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |               |          |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |               |          |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .                                                                                         |               |          |          |          |           |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                       |               |          |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include.

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| <div>Recipient</div> <div>Name and address (home or business)</div> | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount     |
|---------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|------------|
| <b>a</b> <i>Paid during the year</i><br>SCHEDULE ATTACHED           |                                                                                                           |                                |                                  | 7,754,640. |
| <b>Total.</b> . . . . .                                             |                                                                                                           |                                | ▶ <b>3a</b>                      | 7,754,640. |
| <b>b</b> <i>Approved for future payment</i>                         |                                                                                                           |                                |                                  |            |
| <b>Total.</b> . . . . .                                             |                                                                                                           |                                | ▶ <b>3b</b>                      |            |

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**Schedule of Contributors**

OMB No 1545-0047

▶ Attach to Form 990, 990-EZ, or 990-PF.

**2009**

Name of the organization

JOYCE & IRVING  
GOLDMAN FAMILY FOUNDATION, INC.

Employer identification number

13-3216152

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization **JOYCE & IRVING**  
**GOLDMAN FAMILY FOUNDATION, INC.**

Employer identification number  
**13-3216152**

**Part I Contributors** (see instructions)

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                       | (c)<br>Aggregate contributions | (d)<br>Type of contribution                                                                                                                                                  |
|------------|-----------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | GOLDMAN GRANDCHILDREN TRUST<br><br>417 FIFTH AVENUE SUITE 400<br><br>NEW YORK, NY 10016 | \$ 679,064.                    | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
| 2          | CHARITABLE LEAD TRUST<br><br>417 FIFTH AVENUE SUITE 400<br><br>NEW YORK, NY 10016       | \$ 4,456,648.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
|            |                                                                                         | \$                             | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                         | \$                             | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                         | \$                             | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                         | \$                             | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                         | \$                             | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description               |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired     | Date sold |
|----------------------------------------------|---------------------------------------|---------------------------|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|-----------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |           |
|                                              |                                       | SEE ATTACHED SCHEDULE     |                          |                                 |                                    |              | -26827212.           |           |
|                                              |                                       | SEE ATTACHED SCHEDULE     |                          |                                 |                                    |              | 95,584.              |           |
|                                              |                                       | SEE ATTACHED SCHEDULE     |                          |                                 |                                    |              | -225,194.            |           |
|                                              |                                       | SEE ATTACHED SCHEDULE     |                          |                                 |                                    |              | -1224517.            |           |
| TOTAL GAIN (LOSS) .....                      |                                       | .....                     |                          |                                 |                                    |              | <u>-28181339.</u>    |           |

Joyce & Irving Goldman Family Foundation  
13-3216152

PART IV, LINE 2

|                         | <u>SHORT TERM</u> | <u>LONG TERM</u>    | <u>TOTAL</u>        |
|-------------------------|-------------------|---------------------|---------------------|
| M&T                     | 5                 |                     |                     |
| CHARLES SCHWAB          | 71,296            |                     |                     |
| NEVESKY FUND            | 84,187            |                     |                     |
| GREYHAWK FUND           | 6,656             | 9,984               |                     |
| EAGLE FUND              | 715,259           | 143,052             |                     |
| SEG PARTNERS OFFSHORE   | 62,300            |                     |                     |
| Q BLK PRIVATE CAPITAL   |                   | 75,721              |                     |
| Q BLK STRATEGIC         |                   | 2,786,020           |                     |
| BLACKROCK MULTI MANAGER |                   | 1,803,355           |                     |
| VANGUARD                | (229,644)         | (10,166,989)        |                     |
| LEGG MASON              | (264,779)         | (5,378,076)         |                     |
| DIMENSIONAL             | (279,468)         | (7,027,930)         |                     |
| JP MORGAN               | (57,039)          | (54,853)            |                     |
| TCW STRATEGIC           | (13,189)          |                     |                     |
| ARTIO INTERNATIONAL     |                   | (2,042,960)         |                     |
| ADVISORY RESEARCH       |                   | (5,350,086)         |                     |
| BLAKSTONE MARKET        |                   | (1,624,450)         |                     |
| INVESTMENTS IN LPS      | (225,194)         | (1,224,517)         |                     |
|                         | <u>(129,610)</u>  | <u>(28,051,729)</u> | <u>(28,181,339)</u> |

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION                         | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|-------------------------------------|-----------------------------------------|-----------------------------|
| INVESTMENTS IN LIMITED PARTNERSHIPS |                                         |                             |
| LESS: AMOUNT REPORTED ON 990T       | 6,750,250.                              | 6,750,250.                  |
|                                     |                                         | -1,258,137.                 |
| TOTALS                              | <u>6,750,250.</u>                       | <u>5,492,113.</u>           |

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

| <u>DESCRIPTION</u>   | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|----------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| SAGAL & WINTERS P.C. | 61,387.                                           | 46,040.                              |                                    | 15,347.                        |
| TOTALS               | <u>61,387.</u>                                    | <u>46,040.</u>                       | <u>0.</u>                          | <u>15,347.</u>                 |

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|--------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| GRANT THORNTON LLP | 5,460.                                            | 4,095.                               |                                    | 1,365.                         |
| TOTALS             | <u>5,460.</u>                                     | <u>4,095.</u>                        | <u>0.</u>                          | <u>1,365.</u>                  |

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u>        | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|---------------------------|---------------------------------------------------|--------------------------------------|--------------------------------|
| PROFESSIONAL FEES - OTHER | 8,477.                                            | 8,477.                               |                                |
| CONSULTING                | 24,157.                                           | 18,118.                              |                                |
| INVESTMENT ADVISORY FEES  | 366,203.                                          | 366,203.                             | 6,039.                         |
| TOTALS                    | <u>398,837.</u>                                   | <u>392,798.</u>                      | <u>6,039.</u>                  |

ATTACHMENT 5

FORM 990PF, PART I - TAXES

| <u>DESCRIPTION</u>      | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|-------------------------|---------------------------------------------------|--------------------------------------|--------------------------------|
| NYS FRANCHISE TAXES-UBI | 250.                                              |                                      |                                |
| EXCISE TAXES            | 12,000.                                           |                                      |                                |
| PAYROLL TAXES           | 12,574.                                           | 9,431.                               | 3,143.                         |
| TOTALS                  | <u>24,824.</u>                                    | <u>9,431.</u>                        | <u>3,143.</u>                  |

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION             | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME | CHARITABLE<br>PURPOSES |
|-------------------------|-----------------------------------------|-----------------------------|------------------------|
| OFFICE EXPENSES         | 6,197.                                  | 4,648.                      | 1,549.                 |
| DUES & SUBSCRIPTIONS    | 12,374.                                 | 9,281.                      | 3,093.                 |
| UTILITIES               | 10,946.                                 | 8,210.                      | 2,736.                 |
| NY DEPT OF LAW          | 1,500.                                  |                             | 1,500.                 |
| DISABILITY/WORKERS COMP | 83.                                     | 62.                         | 21.                    |
| PERMITS & FILING FEES   | 248.                                    | 186.                        | 62.                    |
| REPAIRS & MAINTENANCE   |                                         |                             |                        |
| PENALTIES & VIOLATIONS  |                                         |                             |                        |
| TOTALS                  | <u>31,348.</u>                          | <u>22,387.</u>              | <u>8,961.</u>          |

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7

| <u>DESCRIPTION</u>          | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u>      |
|-----------------------------|------------------------------|----------------------------|
| CHARLES SCHWAB<br>VERITABLE | 12,039,027.<br>30,120,099.   | 12,672,317.<br>30,317,444. |
| TOTALS                      | <u>42,159,126.</u>           | <u>42,989,761.</u>         |

FORM 990PF, PART II - CORPORATE BONDS

| <u>ATTACHMENT 8</u> |                              |                       |
|---------------------|------------------------------|-----------------------|
| <u>DESCRIPTION</u>  | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
| UBS                 | 802,080.                     | 921,048.              |
| TOTALS              | <u>802,080.</u>              | <u>921,048.</u>       |

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 9

| <u>DESCRIPTION</u>              | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|---------------------------------|------------------------------|-----------------------|
| LIMITED PARTNERSHIP INVESTMENTS | 39,558,533.                  | 39,920,035.           |
| BLACK MARKET OPP OFF SPC        | 2,047,870.                   | 2,009,802.            |
| WELLINGTON MANAGEMENT INVEST    | 1,000,000.                   | 830,449.              |
| BLACK ROCK MUTLI MANAGER PARTN  | 1,681,491.                   | 1,681,491.            |
| BREXAN HOWARD FUND              | 1,000,000.                   | 1,046,095.            |
| GEOSHPHERE FUND                 | 1,000,000.                   | 1,068,210.            |
| PARTNERS CAPITAL HARRIER        | 6,685,967.                   | 6,685,967.            |
| SELIGMAN SPECTRUM FOCUS FUND    | 1,000,000.                   | 1,052,466.            |
| NEVSKY FUND LIMITED             | 1,133,770.                   | 1,173,830.            |
| PARTNERS CAPITAL FALCON FUND    | 7,000,000.                   | 7,561,114.            |
| SCP OCEAN FUND                  | 1,000,000.                   | 1,137,947.            |
| SEG PARTNERS OFFSHORE FUND      | 1,062,300.                   | 1,088,290.            |
| PARTNERS CAPITAL PHOENIX FUND   | 862,500.                     | 972,025.              |
| QBLK STRATEGIC PARTNERS II      | 639,918.                     | 639,918.              |
| QBLK PRIVATE CAPITAL 2002       | 2,307,225.                   | 4,429,573.            |
| TOTALS                          | <u>67,979,574.</u>           | <u>71,297,212.</u>    |

FORM 990PF, PART II - DEFERRED REVENUEATTACHMENT 10DESCRIPTIONENDING  
BOOK VALUE

DEFERRED CONTRIBUTIONS

24,207,019.

TOTALS

24,207,019.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

| <u>NAME AND ADDRESS</u>                                             | <u>TITLE AND AVERAGE HOURS PER<br/>WEEK DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTIONS<br/>TO EMPLOYEE<br/>BENEFIT PLANS</u> | <u>EXPENSE ACCT<br/>AND OTHER<br/>ALLOWANCES</u> |
|---------------------------------------------------------------------|-----------------------------------------------------------------|---------------------|--------------------------------------------------------|--------------------------------------------------|
| LLOYD GOLDMAN<br>417 FIFTH AVENUE<br>STE 400<br>NEW YORK, NY 10016  | SECRETARY<br>5.00                                               | 0.                  | 0.                                                     | 0.                                               |
| DORIAN GOLDMAN<br>417 FIFTH AVENUE<br>STE 400<br>NEW YORK, NY 10016 | PRESIDENT<br>10.00                                              | 0.                  | 0.                                                     | 0.                                               |
| KATJA GOLDMAN<br>417 FIFTH AVENUE<br>STE 400<br>NEW YORK, NY 10016  | TREASURER<br>10.00                                              | 0.                  | 0.                                                     | 0.                                               |
| GRAND TOTALS                                                        |                                                                 | 0.                  | 0.                                                     | 0.                                               |

JOYCE & IRVING GOLDMAN FAMILY FOUNDATION, INC  
13-3216152

FORM 990-PF, PAGE 2, PART II, LINE 14

LAND, BUILDINGS, AND EQUIPMENT BASIS:

|                                  |               |
|----------------------------------|---------------|
| LEASEHOLD IMPROVEMENTS- TAX BAIS | 10,976        |
| FURNITURE & FIXTURES             | 60,266        |
| COMPUTERS                        | 2,994         |
| TOTAL                            | <u>74,236</u> |

ACCUMULATED DEPRECIATION:

|                                  |                 |
|----------------------------------|-----------------|
| LEASEHOLD IMPROVEMENTS- TAX BAIS | (2,863)         |
| FURNITURE & FIXTURES             | (60,266)        |
| COMPUTERS                        | (2,994)         |
| TOTAL                            | <u>(66,123)</u> |

|                                      |              |
|--------------------------------------|--------------|
| NET FIXED ASSETS REPORTED ON LINE 14 | <u>8,113</u> |
|--------------------------------------|--------------|

JOYCE & IRVING GOLDMAN FAMILY FOUNDATION  
EIN: 13-3216152  
ATTACHMENT 13

PART VII-B

QUESTION 1(a) 3:

THE FOUNDATION OCCUPIES SPACE IN THE OFFICE OF A RELATED PERSON, BLDG MANAGEMENT CO, INC., AND THE FOUNDATION REIMBURSES BLDG MANAGEMENT CO, INC. FOR ITS SHARE OF COSTS.

QUESTION 1(a) 4

THE FOUNDATION REIMBURSES BLDG MANAGEMENT CO, INC FOR THE WAGES OF TWO NON-RELATED EMPLOYEES UTILIZED BY THE FOUNDATION. .

# Joyce and Irving Goldman Family Foundation

2009 Contributions

7/1/2010

| Payment Date | Payee Name                                                | Type of Support           | Amount      |
|--------------|-----------------------------------------------------------|---------------------------|-------------|
| 1/14/2009    | Kivunim-New Directions                                    | General Operating Support | \$25,000    |
| 2/3/2009     | Congregation B'Nai Jeshurun                               | Project Support           | \$105,000   |
| 2/24/2009    | Educational Alliance                                      | Project Support           | \$30,000    |
| 2/24/2009    | Jewish Board of Family and Children's Services, Inc.      | Project Support           | \$25,000    |
| 2/24/2009    | UJA Federation of New York                                | General Operating Support | \$200,000   |
| 2/27/2009    | Joint Passover Association                                | General Operating Support | \$1,800     |
| 3/4/2009     | Interfaith Nutrition Network                              | Event Support             | \$5,000     |
| 4/2/2009     | Arnold P. Gold Foundation                                 | Event Support             | \$75,000    |
| 4/29/2009    | Conservation International                                | Event Support             | \$5,000     |
| 4/29/2009    | Conservation International                                | Event Support             | \$5,000     |
| 5/7/2009     | Chemotherapy Foundation                                   | Project Support           | \$25,000    |
| 5/7/2009     | Dorot                                                     | General Operating Support | \$3,600     |
| 5/7/2009     | Jewish Community Center in Manhattan                      | Event Support             | \$25,000    |
| 5/7/2009     | Moment Magazine- Center for Creative Change               | General Operating Support | \$10,000    |
| 5/14/2009    | Abraham Joshua Heschel School                             | Event Support             | \$100,000   |
| 5/14/2009    | American Jewish Committee                                 | Project Support           | \$200,500   |
| 5/14/2009    | Ben-Gurion University of the Negev                        | Project Support           | \$350,000   |
| 5/14/2009    | Hampton Library                                           | Project Support           | \$30,000    |
| 5/14/2009    | Harriet Ziegler Memorial Foundation                       | General Operating Support | \$3,600     |
| 5/14/2009    | National Breast Cancer Coalition Fund                     | General Operating Support | \$150,000   |
| 5/14/2009    | North Shore - Long Island Jewish Health System Foundation | Project Support           | \$1,000,000 |
| 5/14/2009    | North Shore - Long Island Jewish Health System Foundation | Project Support           | \$600,000   |
| 5/14/2009    | Rockefeller University                                    | Project Support           | \$5,000     |
| 5/14/2009    | World Learning-Experiment in International Living         | Project Support           | \$5,500     |
| 5/26/2009    | Conservation International                                | Event Support             | \$25,000    |
| 6/4/2009     | Jewish Museum                                             | Project Support           | \$10,000    |
| 6/16/2009    | American Jewish Committee                                 | Event Support             | \$50,000    |

| <b>Payment Date</b> | <b>Payee Name</b>                                         | <b>Type of Support</b>    | <b>Amount</b> |
|---------------------|-----------------------------------------------------------|---------------------------|---------------|
| 6/23/2009           | American Associates of Ben-Gurion University of the Negev | Project Support           | \$1,000,000   |
| 7/23/2009           | National Center for Family Philanthropy                   | General Operating Support | \$2,000       |
| 7/28/2009           | SCAN New York                                             | Project Support           | \$30,000      |
| 8/13/2009           | Albert G. Oliver Program                                  | General Operating Support | \$20,000      |
| 9/3/2009            | We Are Family Foundation                                  | Event Support             | \$25,000      |
| 9/17/2009           | Alvin Ailey American Dance Theater                        | Event Support             | \$5,000       |
| 10/1/2009           | American Associates of Ben-Gurion University of the Negev | Event Support             | \$36,000      |
| 10/1/2009           | Moving Traditions                                         | Event Support             | \$1,800       |
| 10/1/2009           | Synergos Institute                                        | General Operating Support | \$25,000      |
| 10/1/2009           | Whitney Museum of American Art                            | Event Support             | \$5,000       |
| 10/9/2009           | Yale University                                           | Project Support           | \$25,000      |
| 10/14/2009          | Abraham Joshua Heschel School                             | Capital Fund Support      | \$200,000     |
| 10/14/2009          | Lee National Denim Day                                    | Challenge Grant           | \$2,010       |
| 10/14/2009          | Whitney Museum of American Art                            | General Operating Support | \$10,000      |
| 11/2/2009           | American Associates of Ben-Gurion University of the Negev | Project Support           | \$25,000      |
| 11/2/2009           | American Jewish Committee                                 | Event Support             | \$25,000      |
| 11/2/2009           | American Jewish Committee                                 | Project Support           | \$100,000     |
| 11/2/2009           | Arnold P. Gold Foundation                                 | General Operating Support | \$30,000      |
| 11/2/2009           | Congregation B'Nai Jeshurun                               | General Operating Support | \$49,230      |
| 11/2/2009           | Education for Employment Foundation                       | General Operating Support | \$10,000      |
| 11/2/2009           | Ethical Culture Fieldston School                          | General Operating Support | \$100,000     |
| 11/2/2009           | Israel Policy Forum                                       | General Operating Support | \$10,000      |
| 11/2/2009           | Jewish Community Center in Manhattan                      | Project Support           | \$90,000      |
| 11/2/2009           | Jewish Community Center in Manhattan                      | General Operating Support | \$25,000      |
| 11/2/2009           | Jewish Community Center in Manhattan                      | Event Support             | \$36,000      |
| 11/2/2009           | Memorial Sloan-Kettering Cancer Center                    | General Operating Support | \$100,000     |
| 11/2/2009           | S.L.E. Lupus Foundation                                   | General Operating Support | \$25,000      |
| 11/2/2009           | Simmons College                                           | General Operating Support | \$30,000      |
| 11/2/2009           | The Jacob Burns Film Center                               | Project Support           | \$50,000      |

| <b>Payment Date</b> | <b>Payee Name</b>                                       | <b>Type of Support</b>    | <b>Amount</b> |
|---------------------|---------------------------------------------------------|---------------------------|---------------|
| 11/2/2009           | Washington University in St. Louis                      | Project Support           | \$55,000      |
| 11/2/2009           | Williams College                                        | General Operating Support | \$45,000      |
| 11/2/2009           | Yale University                                         | General Operating Support | \$10,000      |
| 11/5/2009           | Abraham Fund Initiatives                                | General Operating Support | \$5,000       |
| 11/5/2009           | Alvin Ailey American Dance Theater                      | Event Support             | \$2,500       |
| 11/5/2009           | American Museum of Natural History                      | General Operating Support | \$5,000       |
| 11/5/2009           | Central Park Conservancy                                | Project Support           | \$5,000       |
| 11/5/2009           | Goddard Riverside Community Center                      | Project Support           | \$5,000       |
| 11/5/2009           | Group for the East End                                  | General Operating Support | \$1,000       |
| 11/5/2009           | Jewish Home Foundation of North Jersey, Inc             | General Operating Support | \$1,800       |
| 11/5/2009           | Peconic Land Trust                                      | General Operating Support | \$2,500       |
| 11/5/2009           | Storahtelling                                           | General Operating Support | \$3,000       |
| 11/13/2009          | Kivunim-New Directions                                  | General Operating Support | \$25,000      |
| 11/13/2009          | Mount Sinai School of Medicine                          | General Operating Support | \$18,000      |
| 11/13/2009          | Routes to Roots Foundation                              | General Operating Support | \$10,000      |
| 11/16/2009          | American Farmland Trust                                 | General Operating Support | \$5,000       |
| 11/16/2009          | Central Park Conservancy                                | General Operating Support | \$10,000      |
| 11/16/2009          | Hadassah Medical Relief Association                     | General Operating Support | \$5,000       |
| 11/16/2009          | Joint Distribution Committee-Fredrick Brenner Project   | Project Support           | \$10,000      |
| 11/16/2009          | Klezmatiks on Holy Ground                               | Project Support           | \$5,000       |
| 11/19/2009          | Hadassah Medical Relief Association                     | General Operating Support | \$5,000       |
| 11/23/2009          | Abraham Joshua Heschel School                           | Capital Fund Support      | \$59,000      |
| 11/23/2009          | American Friends of Yedidim                             | Project Support           | \$5,000       |
| 11/23/2009          | Yeshiva University                                      | Event Support             | \$25,000      |
| 12/4/2009           | Hazon                                                   | Project Support           | \$50,000      |
| 12/4/2009           | UJA Federation of New York                              | General Operating Support | \$100,000     |
| 12/8/2009           | Negev Institute for Strategies in Peace and Development | Project Support           | \$5,000       |
| 12/10/2009          | Ben-Gurion University of the Negev                      | Project Support           | \$5,000       |
| 12/10/2009          | Jupiter Symphony                                        | General Operating Support | \$2,500       |
| 12/10/2009          | Swarthmore College                                      | General Operating Support | \$2,500       |

| <b>Payment Date</b> | <b>Payee Name</b>                                         | <b>Type of Support</b>    | <b>Amount</b> |
|---------------------|-----------------------------------------------------------|---------------------------|---------------|
| 12/14/2009          | American Associates of Ben-Gurion University of the Negev | General Operating Support | \$10,000      |
| 12/14/2009          | American Farmland Trust                                   | General Operating Support | \$5,000       |
| 12/14/2009          | American Friends of Yahad-In Unum- Holocaust by Bullets   | General Operating Support | \$22,500      |
| 12/14/2009          | American Jewish Committee                                 | General Operating Support | \$55,000      |
| 12/14/2009          | American Jewish Committee                                 | Project Support           | \$500,000     |
| 12/14/2009          | American Jewish World Service                             | General Operating Support | \$10,000      |
| 12/14/2009          | American Jewish World Service                             | Project Support           | \$50,000      |
| 12/14/2009          | Arnold P. Gold Foundation                                 | General Operating Support | \$25,000      |
| 12/14/2009          | Arnold P. Gold Foundation                                 | Project Support           | \$50,000      |
| 12/14/2009          | Blue Planet Run Foundation                                | General Operating Support | \$5,000       |
| 12/14/2009          | Congregation B'Nai Jeshurun                               | General Operating Support | \$5,000       |
| 12/14/2009          | Congregation B'Nai Jeshurun                               | Project Support           | \$100,000     |
| 12/14/2009          | Education for Employment Foundation                       | General Operating Support | \$5,000       |
| 12/14/2009          | Environmental Defense Fund                                | General Operating Support | \$5,000       |
| 12/14/2009          | Friends of Hong Kong Charities                            | General Operating Support | \$7,000       |
| 12/14/2009          | Group for the East End                                    | General Operating Support | \$5,000       |
| 12/14/2009          | Hampton Library                                           | Project Support           | \$30,000      |
| 12/14/2009          | Hebrew Union College- Jewish Institute of Religion        | Project Support           | \$17,000      |
| 12/14/2009          | Hebrew Union College- Jewish Institute of Religion        | General Operating Support | \$25,000      |
| 12/14/2009          | Heifer International                                      | Project Support           | \$2,000       |
| 12/14/2009          | Horace Greeley Education Fund                             | General Operating Support | \$5,000       |
| 12/14/2009          | Institute for Jewish Spirituality                         | General Operating Support | \$100,000     |
| 12/14/2009          | Jewish Funds for Justice                                  | General Operating Support | \$10,000      |
| 12/14/2009          | Jewish Women's Archive                                    | General Operating Support | \$5,000       |
| 12/14/2009          | Joint Distribution Committee-Fredrick Brenner Project     | Project Support           | \$10,000      |
| 12/14/2009          | Kivunim-New Directions                                    | General Operating Support | \$5,000       |
| 12/14/2009          | Klezmatiks on Holy Ground                                 | Project Support           | \$5,000       |
| 12/14/2009          | Mount Sinai School of Medicine                            | Project Support           | \$2,000       |
| 12/14/2009          | National Breast Cancer Coalition Fund                     | General Operating Support | \$300,000     |
| 12/14/2009          | National Breast Cancer Coalition Fund                     | General Operating Support | \$40,000      |

| <b>Payment Date</b>             | <b>Payee Name</b>                                          | <b>Type of Support</b>    | <b>Amount</b>      |
|---------------------------------|------------------------------------------------------------|---------------------------|--------------------|
| 12/14/2009                      | National Center for Family Philanthropy                    | General Operating Support | \$2,000            |
| 12/14/2009                      | National Outdoor Leadership School                         | General Operating Support | \$1,000            |
| 12/14/2009                      | Natural Resources Defense Council                          | General Operating Support | \$10,000           |
| 12/14/2009                      | Nature Conservancy                                         | General Operating Support | \$10,000           |
| 12/14/2009                      | Negev Institute for Strategies in Peace and Development    | General Operating Support | \$10,000           |
| 12/14/2009                      | North Shore - Long Island Jewish Health System Foundation  | Project Support           | \$320,000          |
| 12/14/2009                      | Northern Westchester Hospital                              | General Operating Support | \$5,000            |
| 12/14/2009                      | Planet Finance U.S.                                        | Project Support           | \$5,000            |
| 12/14/2009                      | Reboot                                                     | General Operating Support | \$100,000          |
| 12/14/2009                      | S.L.E. Lupus Foundation                                    | General Operating Support | \$10,000           |
| 12/14/2009                      | Slingshot Fund                                             | General Operating Support | \$17,500           |
| 12/14/2009                      | Synergos Institute                                         | General Operating Support | \$50,000           |
| 12/14/2009                      | University of Pennsylvania                                 | Project Support           | \$10,000           |
| 12/17/2009                      | Kolot-P.E.F. Israel Endowment Funds                        | General Operating Support | \$5,000            |
| 12/18/2009                      | Chappaqua Summer Scholarship Program                       | General Operating Support | \$5,000            |
| 12/18/2009                      | Congregation Bet Ha'am                                     | Project Support           | \$5,000            |
| 12/18/2009                      | Eldridge Street Project - Museum at Eldridge Street        | Project Support           | \$5,000            |
| 12/18/2009                      | Elijah Interfaith Institute                                | Project Support           | \$1,800            |
| 12/18/2009                      | Harlem Children's Zone                                     | General Operating Support | \$5,000            |
| 12/18/2009                      | Institute for Jewish Spirituality                          | General Operating Support | \$35,000           |
| 12/18/2009                      | Jewish Museum                                              | General Operating Support | \$10,000           |
| 12/18/2009                      | Joint Distribution Committee- Agahozo Shalom Youth Village | General Operating Support | \$50,000           |
| 12/18/2009                      | New Israel Fund                                            | General Operating Support | \$15,000           |
| 12/18/2009                      | Stone Barns Center for Food and Agriculture                | General Operating Support | \$2,000            |
| <b>Grand Totals (140 items)</b> |                                                            |                           | <b>\$7,754,640</b> |

# Joyce and Irving Goldman Family Foundation

## 2009 Grantee List

6/21/2010

| Grantee Organization                                                                                                              | Contact                                                                                         | Tax ID     | Tax Status |
|-----------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|------------|------------|
| Abraham Fund Initiatives<br>9 East 45th St<br>7th Floor<br>New York, NY 10017                                                     | Ami Nahshon<br>Tel: 212-303-9421<br>Fax: 212-935-1834<br>info@abrahamfund.org                   | 13-3556715 | 501c(3)    |
| Abraham Joshua Heschel School<br>270 West 89th Street<br>New York, New York 10024                                                 | Carol W. Weintraub<br>Tel: (212)595 7087 ext. 12255<br>Fax: (212) 489-1990                      | 13-3091539 | 501c(3)    |
| Albert G. Oliver Program<br>80 Maiden Lane<br>Suite 706<br>New York, NY 10038                                                     | David Adams<br>Tel: 212-430-5980<br>Fax: 212-430-5981                                           | 13-3248876 | 501c(3)    |
| Alvin Ailey American Dance Theater<br>The Joan Weill Center for Dance<br>405 West 55th Street<br>New York, NY 10019               | Amanda Nelson<br>Tel: 212-767-0590 x9030                                                        | 13-2584273 | 501c(3)    |
| American Associates of Ben-Gurion University of the Negev<br>1430 Broadway 8th Floor<br>New York, NY 10018                        | Kevin Leopold<br>Tel: 212-687-7721 ext. 186<br>Fax: 212-302-6443                                | 23-7270753 | 501c(3)    |
| American Farmland Trust<br>1200 18th Street, NW<br>Suite 800<br>Washington, DC 20036                                              | Ralph Grossi<br>Tel: 202 331 7300 ext. 212<br>rgrossi@farmland.org                              | 52-1190211 | 501c(3)    |
| American Friends of Yahad-In Unum- Holocaust by Bullets<br>63 Martha Place<br>Chappaqua, NY 10514                                 | David Black<br>Tel: (212) 960-5244<br>d.black@yahadinunum                                       | 26-3468570 | 501c(3)    |
| American Friends of Yedidim<br>16133 Ventura Blvd Ste 955<br>Encino, CA 91436                                                     | David Nadel<br>Tel: (818) 986-4686                                                              | 20-5382632 | 501c(3)    |
| American Jewish Committee<br>165 East 56th Street<br>New York, New York 10022                                                     | David Harris<br>Tel: (212) 751-4000                                                             | 13-5563393 | 501c(3)    |
| American Jewish World Service<br>45 West 36th Street<br>New York, NY 10018                                                        | Ruth Messinger<br>Tel: (212) 792-2809<br>JWS@AJWS.ORG                                           | 22-2584370 | 501c(3)    |
| American Museum of Natural History<br>Central Park West at 79th Street<br>New York, NY 10024                                      | Andrea Regopoulos<br>Tel: 212-769-5350                                                          | 13-6162659 | 501c(3)    |
| Arnold P. Gold Foundation<br>619 Palisade Avenue<br>Englewood Cliffs, New Jersey 07632                                            | Barbara Packer<br>Tel: (201) 567-7999<br>Fax: (201) 567-7880<br>goldfdtn@mindspring.com         | 22-3052098 | 501c(3)    |
| Ben-Gurion University of the Negev<br>Office of the President<br>Ben-Gurion University of the Negev<br>Beer-Sheva 84105<br>Israel | Rivka Carmi<br>Tel: 972-7(or8?)-6477409/10/11<br>Fax: 972-7-6477632<br>rcarmi@bgumail.bgu.ac.il |            | 501c(3)    |

|                                                                                                                  |                                                                        |            |         |
|------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------|---------|
| Blue Planet Run Foundation<br>P.O. Box 3059<br>Redwood City, CA 94064                                            | Jin Zidell<br>Tel: 415-762-4340                                        | 74-3050022 | 501c(3) |
| Central Park Conservancy<br>14 East 60th Street<br>New York, NY 10022                                            | Janet Diminch<br>Tel: 212-310-6600<br>Fax: 212-310-6656                | 13-3022855 | 501c(3) |
| Chappaqua Summer Scholarship Program<br>P.O. Box 456<br>Chappaqua, NY 10514                                      | Diane Albert<br>Tel: 914-238-3966                                      | 13-3038194 | 501c(3) |
| Chemotherapy Foundation<br>183 Madison Avenue<br>New York, New York 10016                                        | Shirley Cox<br>Tel: (212) 213-9292                                     | 13-2622978 | 501c(3) |
| Congregation Bet Ha'am<br>81 Westbrook Street<br>South Portland, ME 04106                                        | Laura Coombs<br>Tel: (207) 879-0028<br>Fax: (207) 879-1866             | 01-0406294 | 501c(3) |
| Congregation B'Nai Jeshurun<br>2109 Broadway, Suite 203<br>New York, New York 10023                              | Harold Goldman<br>Tel: 212-787-7600<br>Fax: 212-496-7600               | EX 106282  | 501c(3) |
| Conservation International<br>2011 Crystal Drive Suite 500<br>Arlington, VA 22202                                | Christine M. Williams<br>Tel: 703-341-2400                             | 52-1497470 | 501c(3) |
| Dorot<br>171 West 85th Street<br>New York, New York 10024                                                        | Mark L Meridy<br>Tel: (212) 769-2850                                   | 13-3264005 | 501c(3) |
| Education for Employment Foundation<br>501 Madison Avenue, 18th floor<br>New York, NY 10022                      | Ronald Bruder<br>Tel: 212-371-7755                                     | 82-0578781 | 501c(3) |
| Educational Alliance<br>197 East Broadway<br>New York, New York 10002                                            | Robin Bernstein<br>Tel: (212) 780 2300 ext. 373<br>Fax: (212) 260.8804 | 13-5562210 | 501c(3) |
| Eldridge Street Project - Museum at Eldridge Street<br>12 Eldridge Street<br>New York, NY 10002                  | Bonnie Dimun<br>Tel: (212) 219-0903<br>Fax: (212) 966-4782             | 13-3379555 | 501c(3) |
| Elijah Interfaith Institute<br>c/o Laurence Singer<br>46-60 156th Street<br>Flushing, NY 11355                   | Alon Goshen-Gottstein<br>gogo@elijah.org.il                            | 20--515925 | 501c(3) |
| Environmental Defense Fund<br>Member Services - Suite 600<br>1875 Connecticut Ave., N.W.<br>Washington, DC 20009 | Fred Krupp<br>Tel: 800-684-3322                                        | 11-6107128 | 501c(3) |
| Ethical Culture Fieldston School<br>33 Central Park West<br>New York, NY 10023                                   | Mark J. Stanek<br>Tel: 718-329-7300                                    | 13-3862529 | 501c(3) |
| Friends of Hong Kong Charities<br>c/o Lippo Centre Tower<br>89 Queensway Ste. 2902-3<br>Admiralty<br>Hong Kong   | Brian Babour<br>Tel: (852) 3109 7359<br>Fax: (852) 3422 3019           | 30-0136665 | 501c(3) |
| Goddard Riverside Community Center<br>593 Columbus Avenue<br>New York, NY 10024                                  | Lily Morgan<br>Tel: (212) 873-6600 ext. 315.<br>lmorgan@goddard.org    | 13-1893908 | 501c(3) |

|                                                                                                                                                  |                                                                                      |            |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|------------|---------|
| Group for the East End<br>2442 Main Street<br>P.O. Box 569<br>Bridgehampton, NY 11932                                                            | Judy Christrup<br>Tel: 631-537-1400                                                  | 13-6379135 | 501c(3) |
| Hadassah Medical Relief<br>Association<br>Hadassah Ein Kerem,<br>Hampton Library<br>2478 Main Street<br>P.O. Box 3025<br>Bridgehampton, NY 11932 | Shlomo Mor-Yosef<br>Tel: +97226776060                                                | 13-6110872 | 501c(3) |
| Harlem Children's Zone<br>35 East 125th St.<br>New York, NY 10035                                                                                | Susan LaVista<br>Tel: 631 537 0015<br>Fax: 631 537 7229<br>bridlib@suffolk.lib.ny.us | 11-2007389 | 501c(3) |
| Harriet Ziegler Memorial<br>Foundation<br>6 Club Drive<br>Jericho, NY 11753                                                                      | Mindy Miller<br>Tel: 212-360-3255<br>info@hcz.org                                    | 23-7112974 | 501c(3) |
| Hazon<br>45 West 36th Street, 8th Floor<br>New York, NY 10018                                                                                    | David Ziegler<br>Tel: 516-680-2819<br>Fax: 516-681-9636                              | 20-2545696 | 501c(3) |
| Hebrew Union College- Jewish<br>Institute of Religion<br>1 West 4th Street<br>New York, NY 10012                                                 | Renanit Levy<br>Tel: (212) 644-2332 ext. 309<br>renanit@hazon.org                    | 13-4087102 | 501c(3) |
| Heifer International<br>P.O. Box 8058<br>Little Rock, AR 72203                                                                                   | Eve Starkman<br>Tel: 212-824-2285                                                    | 31-0537067 | 501c(3) |
| Horace Greeley Education Fund<br>Box 242<br>Chappaqua, NY 10514                                                                                  | Angie Rodriguez<br>Tel: (800) 422-0474                                               | 35-1019477 | 501c(3) |
| Institute for Jewish Spirituality<br>330 Seventh Avenue<br>Suite 1902<br>New York, NY 10001                                                      | Michael J. Lardiere<br>Tel: 203-351-9300<br>Fax: 203-356-1282                        | 13-6201293 | 501c(3) |
| Interfaith Nutrition Network<br>211 Fulton Avenue<br>Hempsted, NY 11550                                                                          | Rachel Cowan<br>Tel: 212-774-3608<br>Fax: cell 917-817-8580<br>rebflam@yahoo.com     | 36-4531559 | 501c(3) |
| Israel Policy Forum<br>165 East 56th Street, 2nd Floor<br>New York, NY 10022                                                                     | Rob Kammerer<br>Tel: (516) 486-8506                                                  | 11-2676892 | 501c(3) |
| Jewish Board of Family and<br>Children's Services, Inc.<br>120 West 57th Street<br>New York, NY 10019                                            | Debra Wasserman<br>Tel: 212-245-4227<br>Fax: 212-245-0517<br>ipf@ipforum.org         | 13-3734324 | 501c(3) |
| Jewish Community Center in<br>Manhattan<br>334 Amsterdam Avenue<br>New York, New York 10023                                                      | Susan Baydur<br>Tel: (212) 582-9100<br>Fax: (212) 245-2096<br>admin@jbfcs.org        | 13-5564937 | 501c(3) |
| Jewish Funds for Justice<br>330 Seventh Avenue, Suite 1902<br>New York, NY 10001                                                                 | Shirley Kohn<br>Tel: (646) 505-4445<br>Fax: (212) 799-0254                           | 13-3490745 | 501c(3) |
| Jewish Home Foundation of North<br>Jersey, Inc<br>10 Link Drive<br>Rockleigh, NJ 07647                                                           | Simon Greer<br>Tel: (212) 213-2113 ext. 22<br>beth@jfiJustice.org                    | 52-1332694 | 501c(3) |
|                                                                                                                                                  | Melanie Cohen<br>Tel: (201) 750-4231<br>Fax: (201) 784-7049                          | 52-1720580 | 501c(3) |

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|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|------------|---------|
| Jewish Museum<br>1109 Fifth Avenue<br>New York, NY 10017                                                                           | Ora Warmflash<br>Tel: 212-423-3200<br>Fax: 212-423-3232                        | 136146854  | 501c(3) |
| Jewish Women's Archive<br>138 Harvard Street<br>Brookline, MA 02446                                                                | Gail Reimer<br>Tel: 617-232-2258                                               | 04-3293188 | 501c(3) |
| Joint Distribution Committee-<br>Agahozo Shalom Youth Village<br>711 Third Ave<br>New York, NY 10017                               | Jeff Stein<br>Tel: 212-885-0889                                                | 13-1656634 | 501c(3) |
| Joint Distribution<br>Committee-Fredrick Brenner Project<br>711 Third Ave<br>New York, NY 10017                                    | Jeff Stein<br>Tel: 212-885-0889                                                | 13-1656634 | 501c(3) |
| Joint Passover Association<br>32 Broadway<br>Suite 511<br>New York, New York 10004                                                 | Sandra Brickman<br>Tel: (212) 809-0291<br>Fax: (212) 809-4159                  | 13-6001141 | 501c(3) |
| Jupiter Symphony<br>155 West 68th Street Suite 319<br>New York, NY 10023                                                           | Mei Ling<br>Tel. 212-799-1259                                                  | 13-2994577 | 501c(3) |
| Kivunim-New Directions<br>c/o Peter Geffen<br>300 Central Park West Apt 12J2<br>New York, NY 10024                                 | Peter Geffen<br>Tel: (917) 930-3092                                            | 04-3779552 | 501c(3) |
| Klezmatiks on Holy Ground<br>Fiscal Sponsorship Program<br>155 Avenue of the Americas, 6th<br>Floor<br>New York, NY 10013          | Mary Six Rupert<br>Tel: 212-366-6900<br>Fax: 212-366-1778                      | 23-7129564 | 501c(3) |
| Lee National Denim Day<br>c/o Entertainment Industry<br>Foundation<br>1201 West Fifth Street, Suite T-700<br>Los Angeles, CA 90017 | Merrily Newton<br>Tel: 213-240-3900                                            | 95-1644609 | 501c(3) |
| Memorial Sloan-Kettering Cancer<br>Center<br>1275 York Avenue<br>New York, NY 10021                                                | Zeena Abdulahad<br>Tel: 646-227-3668<br>Fax: 646-227-3535<br>dhondts@mskcc.org | 91-2154267 | 501c(3) |
| Moment Magazine- Center for<br>Creative Change<br>4115 Wisconsin Avenue, NW Suite<br>102<br>Washington, DC 20016                   | Nadine Epstein<br>(202) 363-6422                                               | 31-1801544 | 501c(3) |
| Mount Sinai School of Medicine<br>Global Health Center<br>Box 1257<br>1 Gustave L. Levy Place<br>New York, NY 10029                | Ramon Murphy<br>Tel: (212) 241-1425                                            | 13-6171197 | 501c(3) |
| Moving Traditions<br>115 West Avenue, Suite 102<br>Jenkintown, PA 19046                                                            | Alex Molot<br>Tel: 215-887-4511<br>Fax: 215-887-4510                           | 34-2015014 | 501c(3) |
| National Breast Cancer Coalition<br>Fund<br>1101 17th Street, NW, Suite 1300<br>Washington, D.C. 20036-4710                        | Fran Visco<br>Tel: 202-296-7477<br>Fax: 202-265-6854                           | 52-1782065 | 501c(3) |

|                                                                                                                   |                                                                 |            |         |
|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|------------|---------|
| National Center for Family<br>Philanthropy<br>1818 N Street<br>NW, Suite 300<br>Washington, DC 20036              | Virginia M. Esposito<br>Tel: 202-293-3424<br>Fax: 202-293-3395  | 52-2055016 | 501c(3) |
| National Outdoor Leadership School<br>284 Lincoln Street<br>Lander, WY 82520-2848                                 | Cindy R. Carey<br>Tel: 1-800-710-NOLS ext. 2281                 | 83-0204194 | 501c(3) |
| Natural Resources Defense Council<br>P O. Box 1830<br>Merrifield, VA 22116-8030                                   | Frances Beinecke<br>Tel: (212) 727-2700                         | 13-2654926 | 501c(3) |
| Nature Conservancy<br>322 Eighth Avenue, 16th Floor<br>New York, NY 10001                                         | Steve Seward<br>Tel: 212-997-1880                               | 53-0242652 | 501c(3) |
| Negev Institute for Strategies in<br>Peace and Development<br>317 Madison Avenue, Suite 607<br>New York, NY 10017 | Ben Harrison Frankel<br>Tel: 212-599-1260<br>Fax: 972-8-8405451 | 13-6104086 | 501c(3) |
| New Israel Fund<br>330 Seventh Avenue<br>11th Floor<br>New York, NY 10001-5010                                    | Bruce Temkin<br>Tel: 212-750-2333<br>Fax: 646-349-5904          | 94-2607722 | 501c(3) |
| North Shore - Long Island Jewish<br>Health System Foundation<br>125 Community Drive<br>Great Neck, New York 11021 | Ralph Nappi<br>Tel: (516) 465-2550                              | 11-2965575 | 501c(3) |
| Northern Westchester Hospital<br>400 East Main Street<br>Mount Kisco, NY 10549                                    | Annette Serrano<br>Tel: 914-242-8372<br>Fax: 914-666-1490       | 13-4067064 | 501c(3) |
| Peconic Land Trust<br>296 Hampton Road<br>P O. Box 1776<br>Southampton, NY 11969                                  | Rebecca A. Chapman<br>Tel: 631-283-3195                         | 11-2667021 | 501c(3) |
| P.E.F. Israel Endowment<br>Funds-Kolot<br>317 Madison Ave, Suite 607<br>New York, NY 10017                        | Ben Harrison Frankel<br>Tel: 212-599-1260<br>Fax: 972-8-8405451 | 13-6104086 | 501c(3) |
| Planet Finance U.S.<br>c/o MPG<br>195 Broadway, 12th floor<br>New York, NY 10007                                  | Elisa Sitbon<br>Tel: 646-587-5272<br>Fax: 917-903-9027          | 52-2228170 | 501c(3) |
| Reboot<br>116 West 23rd Street<br>New York, NY 10011                                                              | Lou Cove<br>Tel: 646-375-2126                                   | 57-1154844 | 501c(3) |
| Rockefeller University<br>1230 York Avenue<br>New York, NY 10021                                                  | Laurel Birch<br>Tel: 212-327-7728                               | 131624158N | 501c(3) |
| Routes to Roots Foundation<br>136 Sandpaper Key<br>Secaucus, NJ 07094                                             | Miriam Weiner<br>mweiner@routestoroots.com                      | 1303787002 | 501c(3) |
| S.L.E. Lupus Foundation<br>330 Seventh Avenue<br>Suite 1701<br>New York, NY 10001                                 | Anita Cruso<br>Tel: 212 685 4118<br>Fax: 212 545 1843           | 13-3060086 | 501c(3) |
| SCAN New York<br>345 East 102nd Street                                                                            | Derek Schuster<br>Tel: 212-6832522                              | 13-2912963 | 501c(3) |

|                                                                                                                          |                                                                                       |            |         |
|--------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|------------|---------|
| New York, NY 10029                                                                                                       |                                                                                       |            |         |
| Simmons College<br>300 The Fenway<br>Boston, MA 02115-5898                                                               | Kristina G. Schaefer<br>Tel: 617-521-2630<br>Fax: 617-521-2303                        | 042-103629 | 501c(3) |
| Slingshot Fund<br>520 8th Ave 20th Floor<br>New York, NY 10018                                                           | Will Schneider<br>Tel: 212-931-0115                                                   | 13-3848582 | 501c(3) |
| Stone Barns Center for Food and<br>Agriculture<br>630 Bedford Road<br>Pocantico Hills, NY 10591                          | Karen Seo<br>Tel: (914) 366-6200 ext. 152                                             | 13-4150082 | 501c(3) |
| Storahtelling<br>261 West 35th Street<br>Suite 404<br>New York, NY 10001                                                 | Amichai Lau-lavie<br>Tel: 888-786-7241                                                | 51-0444205 | 501c(3) |
| Swarthmore College<br>Development Office<br>500 College Avenue<br>Swarthmore, PA 19081                                   | Ruth Krakower<br>Tel: (610) 957-6132<br>slathro1@swarthmore.edu                       | 23-1352683 | 501c(3) |
| Synergos Institute<br>51 Madison Avenue, 21st Floor<br>New York, NY 10010                                                | Robert H. Dunn<br>Tel: (212) 447-8111<br>Fax: (212) 447-8119<br>synergos@synergos.org | 13-3892006 | 501c(3) |
| The Jacob Burns Film Center<br>39 Washington Avenue<br>Pleasantville, NY 10570                                           | Judy Exton<br>Tel: 914-773-7663 ext. 14<br>Fax: 914-773-0762                          | 13-4038441 | 501c(3) |
| UJA Federation of New York<br>130 East 59th Street<br>New York, NY 10022                                                 | Alisa Rubin Kurshan<br>Tel: (212) 980-1000<br>Fax: (212) 888-7538                     | 51-0172429 | 501c(3) |
| University of Pennsylvania<br>School of Design<br>102 Meyerson Hall<br>c/o Maura Matthews<br>Philadelphia, PA 19104-6311 | Gary Hack<br>Tel: (215) 898-2539<br>Fax: (215) 573-6654<br>pdalumni@design.upenn.edu  | 23-2215278 | 501c(3) |
| Washington University in St. Louis<br>Campus Box 1228<br>One Brookings Drive<br>Saint Louis, MO 63130-4899               | Marty Igel<br>Tel: 314-935-8109                                                       | 43-0653611 | 501c(3) |
| We Are Family Foundation<br>320 West 37th Street, 8th Floor<br>New York, NY 10018                                        | Nancy Hunt<br>Tel: 212-397-4333<br>Fax: 212-397-4338                                  | 27-0010229 | 509a(1) |
| Whitney Museum of American Art<br>945 Madison Ave<br>New York, NY 10021                                                  | Alexandra Wheeler<br>Tel: (212) 570-7700                                              | 13-1789318 | 501c(3) |
| Williams College<br>75 Park Street<br>Williamstown, MA 01267                                                             | Damon Reed<br>Tel: (413) 587-4122<br>Fax: (413) 597-4548<br>Damon.Reed@williams.edu   | 04-2104847 | 501c(3) |
| World Learning-Experiment in<br>International Living<br>1 Kipling Road<br>Brattleboro, VT 05301                          | Eric Wisenberg<br>Tel: 973 220 9752<br>eiltony@verizon.net                            | 03-0179592 | 501c(3) |
| Yale University<br>Office of Development<br>P.O. Box 2038<br>New Haven, CT 06521-2038                                    | Francine Romano<br>Tel: (203) 432-5436                                                | 060646973  | 501c(3) |

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13-1624225 501c(3)

Form **8868**

(Rev. April 2009)

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ **X**
  - If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

|                                                                                     |                                                                                                                       |                                                     |
|-------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| Type or print<br><br>File by the due date for filing your return. See instructions. | Name of Exempt Organization <b>JOYCE &amp; IRVING GOLDMAN FAMILY FOUNDATION, INC.</b>                                 | Employer identification number<br><b>13-3216152</b> |
|                                                                                     | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>417 FIFTH AVENUE SUITE 400</b>           | <b>417 FIFTH AVENUE SUITE 400</b>                   |
|                                                                                     | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>NEW YORK, NY 10016</b> |                                                     |

**Check type of return to be filed (file a separate application for each return)**

|                                                 |                                                                   |                                    |
|-------------------------------------------------|-------------------------------------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                 | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                              | <input type="checkbox"/> Form 8870 |

The books are in the care of ► **PATRICK KNOWLES**Telephone No ► **212 624-4300**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is or the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/15, 2010** to file the exempt organization return for the organization named above. The extension is for the organization's return for

► ☒ calendar year **2009** or► ☐ tax year beginning \_\_\_\_\_, \_\_\_\_\_, and ending \_\_\_\_\_, \_\_\_\_\_.

**2** If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

|                                                                                                                                                                                                                               |           |             |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                    | <b>3a</b> | \$ 106,123. |
| <b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.                                               | <b>3b</b> | \$ 106,123. |
| <b>c</b> Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | <b>3c</b> | \$          |

**ation.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO payment instructions.

or Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**  
**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).**

|                                                                                                    |                                                                                                                       |                                                     |
|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| <b>Type or print</b><br><br>File by the extended due date for filing the return. See instructions. | Name of Exempt Organization <b>JOYCE &amp; IRVING GOLDMAN FAMILY FOUNDATION, INC.</b>                                 | Employer identification number<br><b>13-3216152</b> |
|                                                                                                    | Number, street, and room or suite no. If a P.O. box, see instructions<br><b>417 FIFTH AVENUE</b>                      | For IRS use only                                    |
|                                                                                                    | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>NEW YORK, NY 10016</b> |                                                     |

**Check type of return to be filed (File a separate application for each return):**

|                                      |                                                                   |                                      |                                    |
|--------------------------------------|-------------------------------------------------------------------|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990    | <input checked="" type="checkbox"/> Form 990-PF                   | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720   | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 5227   |                                    |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **PATRICK KNOWLES**  
Telephone No **212 624-4300** FAX No. \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **11/15/2010**
- 5 For calendar year **2009**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_
- 6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension **AN EXTENSION OF TIME IS NEEDED IN ORDER TO ACCURATELY COMPLETE THE TAX RETURNS**

|                                                                                                                                                                                                                                            |              |                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------|
| <b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                 | <b>8a</b> \$ | <b>106,123.</b> |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | <b>8b</b> \$ | <b>106,123.</b> |
| <b>c</b> <b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.       | <b>8c</b> \$ |                 |

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **GRANT THORNTON LLP** Title \_\_\_\_\_ Date \_\_\_\_\_  
**666 THIRD AVENUE**  
**NEW YORK, NY 10017-4011**