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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

TRUST FOR MUTUAL UNDERSTANDING (1060)

C/O ROCKEFELLER TRUST COMPANY, N.A.

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

10 ROCKEFELLER PLAZA, 3RD FLOOR

City or town, state, and ZIP code

NEW YORK, NY 10020

A Employer identification number

13-3212724

B Telephone number (see page 10 of the instructions)

(212) 549-5500

C If exemption application is
pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method

☒ Cash☐ Accrual

of year (from Part II, col (c), line

☐ Other (specify) _____

16) \$ 39,587,275.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see page 11 of the instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☐ if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	957,645.	957,645.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-1,215,753.			
b	Gross sales price for all assets on line 6a 14,686,323.				
	Capital gain net income (from Part IV, line 2)				
	Net short-term capital gain				
	Income modifications				
7a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	-137,124.	-131,566.		ATCH 2
12	Total. Add lines 1 through 11	-395,232.	826,079.		
13	Compensation of officers, directors, trustees, etc.	239,711.			239,711.
14	Other employee salaries and wages	463,300.			463,330.
15	Pension plans, employee benefits	180,532.			180,532.
16a	Legal fees (attach schedule)	8,845.			8,845.
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions)	24,634.	23,238.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy	151,002.			151,002.
21	Travel, conferences, and meetings	83,991.			83,991.
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 4	347,914.	75,984.		271,930.
24	Total operating and administrative expenses. Add lines 13 through 23	1,499,929.	99,222.		1,399,341.
25	Contributions, gifts, grants paid	3,477,627.			3,477,627.
26	Total expenses and disbursements. Add lines 24 and 25	4,977,556.	99,222.		4,876,968.
27	Subtract line 26 from line 12	-5,372,788.			
a	Excess of revenue over expenses and disbursements		726,857.		
b	Net investment income (if negative, enter -0-)				
c	Adjusted net income (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 3

Form 990-PF (2009)

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PAGE 4

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Part II Balance Sheets

Attached schedule and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,712,121.	1,230,712.	1,230,712.
	3 Accounts receivable ▶ 185,941.			
	Less allowance for doubtful accounts ▶	15,935.	185,941.	185,941.
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U.S. and state government obligations (attach schedule) **	3,017,162.	1,658,360.	1,929,712.
	b Investments - corporate stock (attach schedule) ATCH 6	16,959,553.	18,325,992.	21,826,023.
	c Investments - corporate bonds (attach schedule) ATCH 7	9,229,695.	7,126,446.	7,402,698.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶ (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) ATCH 8	7,620,620.	5,654,705.	7,012,189.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶ (attach schedule)				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	39,555,086.	34,182,156.	39,587,275.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	0.		
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	39,555,086.	34,182,156.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances (see page 17 of the instructions)	39,555,086.	34,182,156.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	39,555,086.	34,182,156.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	39,555,086.
2 Enter amount from Part I, line 27a	2	-5,372,788.
3 Other increases not included in line 2 (itemize) ▶ ATTACHMENT 9	3	71,981.
4 Add lines 1, 2, and 3	4	34,254,279.
5 Decreases not included in line 2 (itemize) ▶ ATTACHMENT 10	5	72,123.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	34,182,156.

** ATCH 5

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income(a) List and describe the kind(s) of property sold (e.g., real estate,
2-story brick warehouse, or common stock, 200 shs. MLC Co.)(b) How
acquired
P-Purchase
D-Donation(c) Date
acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)**1a** SEE PART IV SCHEDULE**b****c****d****e**

(e) Gross sales price

(f) Depreciation allowed
(or allowable)(g) Cost or other basis
plus expense of sale(h) Gain or (loss)
(e) plus (f) minus (g)**a****b****c****d****e**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69

(j) Adjusted basis
as of 12/31/69(k) Excess of col (i)
over col (j), if any(l) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**a****b****c****d****e****2** Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 }**2**

-1,215,753.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)

If (loss), enter -0- in Part I, line 8. }

3**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	5,361,898.	49,108,515.	0.109185
2007	5,332,082.	58,169,334.	0.091665
2006	4,675,874.	55,679,599.	0.083978
2005	4,407,075.	53,215,943.	0.082815
2004	4,669,151.	53,625,781.	0.087069

2 Total of line 1, column (d)**2**

0.454712

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the
number of years the foundation has been in existence if less than 5 years**3**

0.090942

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5**4**

36,487,035.

5 Multiply line 4 by line 3**5**

3,318,204.

6 Enter 1% of net investment income (1% of Part I, line 27b)**6**

7,269.

7 Add lines 5 and 6**7**

3,325,473.

8 Enter qualifying distributions from Part XII, line 4**8**

4,876,968.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the
Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), and 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		1	7,269.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	7,269.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,269.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	20,000.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	20,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	40,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	32,731.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☒ 20,000. Refunded ☐	11	12,731.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	X
c Did the foundation file Form 1120-POL for this year?	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ ROCKEFELLER TRUST COMPANY, N.A.	Telephone no	212-549-5544	
	Located at ☐ 10 ROCKEFELLER PL, 3RD FL NEW YORK, NY	ZIP + 4	10020	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☒
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

X

6b

X

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		239,711.	7,089.	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 12		355,616.	96,759.	NONE

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ROCKEFELLER TRUST COMPANY, NA 10 ROCKEFELLER PLAZA, NY, NY 10020	TAX, ACCTG, INV	212,838.

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	35,188,296.
b	Average of monthly cash balances	1b	1,854,379.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	37,042,675.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	37,042,675.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	555,640.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	36,487,035.
6	Minimum investment return. Enter 5% of line 5	6	1,824,352.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,824,352.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	7,269.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	7,269.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,817,083.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,817,083.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,817,083.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,876,968.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,876,968.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	7,269.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,869,699.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,817,083.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004	2,078,130.			
b From 2005	1,821,656.			
c From 2006	2,009,978.			
d From 2007	2,530,109.			
e From 2008	2,922,614.			
f Total of lines 3a through e	11,362,487.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 4,876,968.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				1,817,083.
e Remaining amount distributed out of corpus	3,059,885.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,422,372.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	2,078,130.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	12,344,242.			
10 Analysis of line 9				
a Excess from 2005	1,821,656.			
b Excess from 2006	2,009,978.			
c Excess from 2007	2,530,109.			
d Excess from 2008	2,922,614.			
e Excess from 2009	3,059,885.			

Form 990-PF (2009)

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(3) or

4942(1)(5)

- b** 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets _____

(2) Value of assets qualifying under section

4942(j)(3)(B)(i). . . .

b "Endowment" alternative test-
enter 2/3 of minimum invest-
ment return shown in Part X,
line 6 for each year listed

C "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income .

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 13

b The form in which applications should be submitted and information and materials they should include.

BY INITIAL LETTER OF INQUIRY

c Any submission deadlines

6 MOS PRIOR TO DATE OF ACTIVITY FOR WHICH SUPPORT IS SOUGHT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATTACHMENT 14

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED LIST		501C3	GENERAL	3,477,627.
Total.			▶ 3a	3,477,627.
b <i>Approved for future payment</i>				
Total.			▶ 3b	

Form 990-PF (2009)

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions on page 28 to verify calculations.)

N/A

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
5,588,317.		SEE ATTACHED - S/T PROPERTY TYPE: SECURITIES 5,774,925.				P	VAR -186,608.	VAR
9,042,940.		SEE ATTACHED - L/T PROPERTY TYPE: SECURITIES 9,395,704.				P	VAR -352,764.	VAR
		THRU PARTNERSHIPS - S/T PROPERTY TYPE: SECURITIES 78,319.				P	VAR -78,319.	VAR
		THRU PARTNERSHIPS - L/T PROPERTY TYPE: SECURITIES 653,128.				P	VAR -653,128.	VAR
55,066.		GAIN ON LITIGATION SETTLEMENTS PROPERTY TYPE: SECURITIES				P	VAR 55,066.	VAR
TOTAL GAIN (LOSS)							<u>-1215753.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
STATE STREET BANK - DIVIDEND	432,643.	432,643.
STATE STREET BANK - INTEREST	565,462.	565,462.
STATE STREET BANK - OID	20,281.	20,281.
LESS: BOND PREMIUM AMORTIZATION	-60,741.	-60,741.
TOTAL	<u>957,645.</u>	<u>1,462,366.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PARTNERSHIP NET INCOME/LOSS	-137,078.	-137,078.
LOSS ON F/X TRANSACTIONS	-46.	-46.
ADD: UBIT LOSS REPORTED ON 990T		5,558.
TOTALS	-137,124.	-131,566.

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NY MOBILITY TAX	1,026.	
FOREIGN TAXES ON SECURITIES	23,238.	23,238.
NYC CORP TAX	370.	
TOTALS	<u>24,634.</u>	<u>23,238.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
ROCKEFELLER TRUST COMPANY	212,838.	75,984.	136,854.
UTILITIES	11,888.		11,888.
OFFICE SUPPLIES	8,911.		8,911.
STORAGE	1,566.		1,566.
TELEPHONE, FAX & POSTAGE	23,569.		23,569.
MEMBERSHIP, BOOKS, PERIODICALS	3,355.		3,355.
INSURANCE EXPENSE	12,358.		12,358.
FEES AND LICENSES	1,176.		1,176.
ANNUAL REPORT	20,290.		20,290.
COMPUTER EXPENSE	28,108.		28,108.
PANTRY/DUP/MAINTENANCE	7,114.		7,114.
PAYROLL SERVICES	2,462.		2,462.
CONSTRUCTION/REPAIRS/FURNITURE	4,237.		4,237.
CONSULTING FEES	10,000.		10,000.
SECURITY	42.		42.
TOTALS	<u>347,914.</u>	<u>75,984.</u>	<u>271,930.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHED	1,658,360.	1,929,712.
US OBLIGATIONS TOTAL	<u>1,658,360.</u>	<u>1,929,712.</u>

<u>DESCRIPTION</u>	<u>ENDING</u>	<u>ENDING</u>
	<u>BOOK VALUE</u>	<u>FMV</u>
SEE ATTACHED	18,325,992.	21,826,023.
TOTALS	<u>18,325,992.</u>	<u>21,826,023.</u>

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 7DESCRIPTION

<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
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SEE ATTACHED LIST

7,126,446.	7,402,698.
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TOTALS

<u>7,126,446.</u>	<u>7,402,698.</u>
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FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
5500 FUND	1,592,960.	2,683,911.
ROCKEFELLER ALTERNATIVE STRATE	421,867.	397,017.
ROCKEFELLER VENTURE OPPORTUNIT	1,367,814.	1,778,347.
NEW CENTURY HOLDINGS XI, LP	713,184.	575,724.
ROCKEFELLER ACCESS FUND 06-II	231,190.	227,399.
ROCKEFELLER GA INVESTORS 2006	1,232,600.	1,258,457.
VENROCK PARTNERS V, LP	95,090.	91,334.
TOTALS	<u>5,654,705.</u>	<u>7,012,189.</u>

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
2007 RECEIVED 2009 REPORTED 2008	58,220.
DIVIDENDS OVERSTATED ON BOOKS	3,761.
CHARITABLE DEDUCTION TIMING ADJUSTMENTS	10,000.
TOTAL	<u>71,981.</u>

ATTACHMENT 10FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

OID - NOT RECORDED ON BOOKS
FOREIGN TAXES OVERSTATED ON BOOKS
PAYROLL TIMING DIFFERENCE

20,281.
1,506.
50,336.

TOTAL

72,123.

TRUST FOR MUTUAL UNDERSTANDING (1060)

13-3212724

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
RICHARD S. LANIER 6 WEST 48TH STREET, 12TH FLOOR NEW YORK, NY 10036	TRUSTEE 6.00	30,000.	0.
ELIZABETH J. MCCORMACK 6 WEST 48TH STREET, 12TH FLOOR NEW YORK, NY 10036	TRUSTEE 2.00	30,000.	0.
DONAL C. O'BRIEN, JR. C/O ROCKEFELLER TRUST COMPANY, NA 10 ROCKEFELLER PLAZA, 3RD FLOOR NEW YORK, NY 10020	TRUSTEE 1.00	30,000.	0.
JENNIFER P GOODALE 6 WEST 48TH STREET, 12TH FLOOR NEW YORK, NY 10036	EXECUTIVE DIRECTOR 20.00	149,711.	7,089.
GRAND TOTALS		<u>239,711.</u>	<u>7,089.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
BARBARA LANCIERS 6 WEST 48TH STREET, 12TH FLOOR NEW YORK, NY 10036	PROGRAM OFFICER 40.00	50,231.	21,653.
WENDY S. NEWTON 6 WEST 48TH STREET, 12TH FLOOR NEW YORK, NY 10036	SR PROGRAM OFFICER 40.00	210,754.	44,312.
CARRIE E. THOMPSON 6 WEST 48TH STREET, 12TH FLOOR NEW YORK, NY 10036	ASSOCIATE DIRECTOR 40.00	94,631.	30,794.
	TOTAL COMPENSATION	<u>355,616.</u>	<u>96,759.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

JENNIFER GOODALE, EXECUTIVE DIRECTOR
TMU -6 WEST 48TH STREET, 12TH FLOOR
NEW YORK, NY 10036

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

THE TRUST'S PROGRAMS SUPPORT EXCHANGES BETWEEN THE UNITED STATES,
THE FORMER SOVIET UNION, AND EASTERN AND CENTRAL EUROPE IN THE ARTS
AND ENVIRONMENTAL CONSERVATION.

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust **TRUST FOR MUTUAL UNDERSTANDING (1060)**
C/O ROCKEFELLER TRUST COMPANY, N.A.

Employer identification number
13-3212724

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-264,927.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	(33,568.)
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	-298,495.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-950,826.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	(2,538.)
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	-953,364.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part**

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		-298,495.
14 Net long-term gain or (loss):			
a Total for year	14a		-953,364.
b Unrecaptured section 1250 gain (see line 18 of the wrkshet)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		-1,251,859.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	(3,000)
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20 Add lines 18 and 19	20	
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22 Subtract line 21 from line 20. If zero or less, enter -0-	22	
23 Subtract line 22 from line 17. If zero or less, enter -0-	23	
24 Enter the smaller of the amount on line 17 or \$2,300	24	
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23.	25	
26 Subtract line 25 from line 24.	26	
27 Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29 Subtract line 28 from line 27	29	
30 Multiply line 29 by 15% (15)		30
31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		31
32 Add lines 30 and 31		32
33 Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		33
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)		34

Schedule D (Form 1041) 2009

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

Employer identification number

TRUST FOR MUTUAL UNDERSTANDING (1060)

13-3212724

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	-264,927.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
230 Sh Advance Auto Parts, Inc	11/04/2009	11/25/2009	8,873.42	8,814.91	58.51
40 Sh Advance Auto Parts, Inc	11/04/2009	12/29/2009	1,648.05	1,533.03	115.02
120 Sh Alexion Pharmaceuticals, Inc.	10/16/2009	11/25/2009	5,380.69	5,520.46	-139.77
30 Sh Alexion Pharmaceuticals, Inc	10/16/2009	12/29/2009	1,446.33	1,380.11	66.22
200 Sh Alstom	11/04/2008	01/16/2009	9,425.88	11,157.54	-1,731.66
440 Sh Alstom	11/05/2008	01/16/2009	20,736.93	23,512.94	-2,776.01
460 Sh Ameriprise Financial, Inc	10/01/2009	11/25/2009	17,536.91	17,107.81	429.10
90 Sh Ameriprise Financial, Inc	10/01/2009	12/29/2009	3,548.61	3,347.18	201.43
20 Sh Amgen Inc.	07/01/2009	08/27/2009	1,216.55	1,067.60	148.95
250 Sh Amgen Inc	10/22/2009	11/25/2009	14,306.26	14,189.60	116.66
60 Sh Amgen Inc.	10/22/2009	12/29/2009	3,460.86	3,405.50	55.36
240 Sh Anadarko Petroleum Corporation	10/16/2009	11/25/2009	14,860.37	15,970.87	-1,110.50
60 Sh. Anadarko Petroleum Corporation	10/16/2009	12/29/2009	3,865.74	3,992.72	-126.98
70 Sh Anglo American PLC	07/01/2009	08/27/2009	2,269.53	2,101.25	168.28
90 Sh. Anglo American PLC	06/11/2009	11/25/2009	4,020.70	2,685.83	1,334.87
410 Sh Anglo American PLC	07/01/2009	11/25/2009	18,316.54	12,307.35	6,009.19
100 Sh Anglo American PLC	06/11/2009	12/29/2009	4,305.72	2,984.25	1,321.47
2520 Sh Annaly Mortgage Management Inc	06/10/2008	05/29/2009	35,197.44	41,444.68	-6,247.24
320 Sh Annaly Mortgage Management Inc	07/01/2008	05/29/2009	4,469.52	4,920.06	-450.54
20 Sh Apache Corporation	06/01/2009	08/27/2009	1,696.76	1,716.78	-20.02
590 Sh. ARM Holding plc	07/01/2009	08/27/2009	1,212.55	1,167.75	44.80
750 Sh ARM Holding plc	06/11/2009	11/25/2009	1,974.11	1,337.05	637.06
3430 Sh. ARM Holding plc	07/01/2009	11/25/2009	9,028.28	6,788.79	2,239.49
890 Sh ARM Holding plc	06/11/2009	12/29/2009	2,463.12	1,586.63	876.49
100000 Sh Autobahn 2% 10/22/2012	11/19/2009	11/27/2009	101,095.00	101,088.83	6.17
60 Sh Avery Dennison Corp.	07/29/2009	08/27/2009	1,881.13	1,778.33	102.80
520 Sh Avery Dennison Corp	07/29/2009	11/25/2009	20,051.67	15,412.18	4,639.49
110 Sh. Avery Dennison Corp	07/29/2009	12/29/2009	4,081.07	3,260.27	820.80
4031 055 Sh Bank of America Corporation	07/29/2008	01/15/2009	32,509.07	105,525.00	-73,015.93
1300 Sh. Bank of America Corporation	08/28/2009	11/25/2009	20,973.66	23,378.29	-2,404.63
260 Sh Bank of America Corporation	08/28/2009	12/29/2009	3,967.50	4,675.66	-708.16
690 Sh BE Aerospace, Inc	06/01/2009	12/16/2009	15,739.60	10,673.06	5,066.54
660 Sh BE Aerospace, Inc	07/01/2009	12/16/2009	15,055.27	9,655.80	5,399.47
40 Sh BioMarin Pharmaceutical Inc	10/03/2008	08/27/2009	636.83	1,028.80	-391.97
6120 Sh BJ Services Company	04/20/2009	07/30/2009	85,436.67	73,238.04	12,198.63
3480 Sh BJ Services Company	04/21/2009	07/30/2009	48,581.64	40,841.98	7,739.66
460 Sh BJ Services Company	06/01/2009	07/30/2009	6,421.71	7,520.82	-1,099.11
520 Sh BJ Services Company	07/01/2009	07/30/2009	7,259.32	7,264.40	-5.08
370 Sh BlackRock, Inc Class A	03/19/2009	08/28/2009	74,280.92	44,385.75	29,895.17
20 Sh BlackRock, Inc Class A	03/31/2009	08/28/2009	4,015.18	2,571.80	1,443.38
80 Sh BlackRock, Inc Class A	06/01/2009	08/28/2009	16,060.74	12,735.14	3,325.60

Run Date: 11/08/2010 04:38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
80 Sh BlackRock, Inc Class A	07/01/2009	08/28/2009	16,060.74	14,091.20	1,969.54
860 Sh BlackRock, Inc Class A	03/19/2009	08/31/2009	170,787.39	103,166.89	67,620.50
230 Sh. BlackRock, Inc Class A	03/20/2009	08/31/2009	45,675.70	26,547.70	19,128.00
20 Sh. BNP Paribas	06/01/2009	08/27/2009	1,648.74	1,410.06	238.68
170 Sh. BNP Paribas	06/01/2009	11/25/2009	14,676.01	11,985.51	2,690.50
50 Sh BNP Paribas	07/01/2009	11/25/2009	4,316.48	3,350.75	965.73
40 Sh BNP Paribas	07/01/2009	12/29/2009	3,224.56	2,680.60	543.96
3990 Sh. BNP Paribas - RTS 10/13/09	05/08/2009	10/12/2009	8,130.87	0.00	8,130.87
170 Sh BNP Paribas - RTS 10/13/09	06/01/2009	10/12/2009	346.43	0.00	346.43
210 Sh. BNP Paribas - RTS 10/13/09	07/01/2009	10/12/2009	427.94	0.00	427.94
30 Sh Capital One Financial Corp	08/07/2009	08/27/2009	1,083.89	1,033.28	50.61
530 Sh Capital One Financial Corp	08/07/2009	11/25/2009	20,383.06	18,254.52	2,128.54
110 Sh. Capital One Financial Corp	08/07/2009	12/29/2009	4,236.38	3,788.68	447.70
230 Sh CareFusion Corporation	09/03/2009	11/25/2009	6,127.41	4,295.25	1,832.16
70 Sh CareFusion Corporation	09/02/2009	12/29/2009	1,857.05	1,303.33	553.72
30 Sh CareFusion Corporation	09/03/2009	12/29/2009	795.88	560.25	235.63
140 Sh Carlsberg A/S	09/11/2009	11/25/2009	10,148.43	10,564.51	-416.08
40 Sh Carlsberg A/S	09/11/2009	12/29/2009	2,967.10	3,018.43	-51.33
100000 Sh Caterpillar Fin 5.75% 02/15/12	02/06/2009	05/27/2009	104,960.00	99,979.38	4,980.62
700 Sh. Centrica plc	06/01/2009	08/27/2009	2,736.15	2,868.94	-132.79
1220 Sh Centrica plc	12/23/2008	11/25/2009	5,342.62	4,697.57	645.05
3740 Sh Centrica plc	06/01/2009	11/25/2009	16,378.19	15,328.36	1,049.83
40 Sh Chevron Corporation	06/01/2009	08/27/2009	2,812.73	2,714.45	98.28
70 Sh Chevron Corporation	03/31/2009	11/25/2009	5,522.77	4,723.60	799.17
220 Sh. Chevron Corporation	06/01/2009	11/25/2009	17,357.26	14,929.46	2,427.80
60 Sh Chevron Corporation	03/31/2009	12/29/2009	4,666.68	4,048.80	617.88
20 Sh China Mobile (Hong Kong) Limit	06/01/2009	08/27/2009	1,013.51	1,027.00	-13.49
180 Sh. China Mobile (Hong Kong) Limit	06/01/2009	11/25/2009	8,736.71	9,243.00	-506.29
40 Sh China Mobile (Hong Kong) Limit	07/01/2009	11/25/2009	1,941.49	2,038.99	-97.50
50 Sh. China Mobile (Hong Kong) Limit	07/01/2009	12/29/2009	2,252.44	2,548.74	-296.30
1000 Sh. Comcast Corporation - CL A	06/30/2008	01/30/2009	14,708.52	18,834.10	-4,125.58
70 Sh Compagnie Financiere Richemont	12/18/2009	12/29/2009	2,346.39	2,299.72	46.67
600 Sh Continental Resources, Inc	07/09/2009	08/06/2009	22,325.01	14,647.14	7,677.87
370 Sh Continental Resources, Inc	07/07/2009	08/10/2009	13,293.24	8,744.77	4,548.47
220 Sh Continental Resources, Inc	07/09/2009	08/10/2009	7,904.09	5,370.62	2,533.47
300 Sh Continental Resources, Inc.	07/07/2009	08/21/2009	10,993.64	7,090.35	3,903.29
300 Sh Continental Resources, Inc	07/07/2009	08/24/2009	11,427.37	7,090.35	4,337.02
830 Sh Continental Resources, Inc	07/07/2009	09/08/2009	31,534.21	19,616.63	11,917.58
150 Sh Continental Resources, Inc	07/07/2009	09/09/2009	5,701.13	3,545.18	2,155.95
270 Sh Continental Resources, Inc	07/07/2009	11/25/2009	10,162.08	6,381.31	3,780.77
60 Sh Continental Resources, Inc	07/07/2009	12/29/2009	2,626.29	1,418.07	1,208.22

Run Date 11/08/2010 04:38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
40 Sh Covidien Limited	11/25/2008	08/27/2009	1,554 99	1,481 62	73.37
370 Sh. Covidien Limited	11/25/2008	11/25/2009	17,204.22	13,704.95	3,499.27
50 Sh. Credit Suisse Group	07/01/2009	08/27/2009	2,546 54	2,322.58	223 96
390 Sh. Credit Suisse Group	07/01/2009	12/01/2009	20,709 68	18,116.14	2,593.54
400 Sh. Credit Suisse Group	06/02/2009	12/02/2009	20,696 31	18,185 13	2,511.18
2140 Sh Elan Corp PLC - ADR	08/07/2008	02/12/2009	16,170 82	24,104 75	-7,933.93
3530 Sh Elan Corp PLC - ADR	08/07/2008	02/12/2009	26,674 30	40,006 90	-13,332 60
660 Sh Elan Corp PLC - ADR	09/22/2008	02/12/2009	4,987 26	7,460 97	-2,473 71
3410 Sh Elan Corp PLC - ADR	08/07/2008	02/13/2009	25,726 94	38,409 90	-12,682.96
770 Sh Elan Corp PLC - ADR	08/07/2008	02/17/2009	5,494 30	8,673.20	-3,178.90
100 Sh Elan Corp PLC - ADR	09/23/2008	02/17/2009	713.55	1,107.03	-393.48
870 Sh Elan Corp PLC - ADR	09/23/2008	02/18/2009	5,961 64	9,631.16	-3,669 52
2170 Sh. Elan Corp PLC - ADR	09/23/2008	02/19/2009	15,127.64	24,022 55	-8,894 91
440 Sh Elan Corp PLC - ADR	09/23/2008	02/20/2009	2,884 98	4,870 93	-1,985 95
870 Sh Elan Corp PLC - ADR	09/23/2008	02/23/2009	5,357 87	9,631 16	-4,273 29
720 Sh. Elan Corp PLC - ADR	09/23/2008	02/24/2009	4,542 09	7,970 62	-3,428 53
1060 Sh Ericsson (LM) Tel - Sp ADR	09/16/2009	11/25/2009	10,568.99	11,443.87	-874 88
5110 Sh Ericsson (LM) Tel - Sp ADR	09/16/2009	12/18/2009	46,032 76	55,168.07	-9,135.31
3410 Sh Ericsson (LM) Tel - Sp ADR	09/16/2009	12/23/2009	31,538 62	36,814 70	-5,276 08
2000 Sh Fannie Mae Pfd 8 25%	05/13/2008	02/23/2009	1,459 99	50,000.00	-48,540.01
20 Sh. FedEx Corporation	06/01/2009	08/27/2009	1,360.53	1,132 97	227 56
80 Sh. FedEx Corporation	06/01/2009	11/25/2009	6,587 03	4,531 88	2,055 15
30 Sh FedEx Corporation	07/01/2009	11/25/2009	2,470 14	1,678.80	791.34
30 Sh FedEx Corporation	07/01/2009	12/29/2009	2,489.04	1,678.80	810 24
1330 Sh GameStop Corporation	07/07/2008	06/04/2009	31,326.55	52,705 51	-21,378 96
1200 Sh GameStop Corporation	07/03/2008	06/05/2009	28,869.22	46,753 20	-17,883 98
520 Sh GameStop Corporation	07/07/2008	06/05/2009	12,509 99	20,606 66	-8,096.67
150 Sh GameStop Corporation	07/08/2008	06/05/2009	3,608 65	5,840.43	-2,231 78
1640 Sh GameStop Corporation	07/08/2008	06/08/2009	38,884 87	63,855 37	-24,970 50
1320 Sh GameStop Corporation	07/08/2008	06/11/2009	33,014 46	51,395 78	-18,381 32
170 Sh GameStop Corporation	03/31/2009	06/11/2009	4,251 86	4,697 10	-445 24
300 Sh GameStop Corporation	06/01/2009	06/11/2009	7,503 29	7,689 15	-185.86
2510 Sh. Genentech, Inc	10/13/2008	03/26/2009	238,450.00	196,915 52	41,534 48
380 Sh Genentech, Inc	10/28/2008	03/26/2009	36,100 00	29,910 90	6,189 10
1170 Sh. Genentech, Inc.	02/03/2009	03/26/2009	111,150.00	96,501 60	14,648 40
150000 Sh General Elec Cap Corp	10/02/2008	05/27/2009	151,029 00	148,349 07	2,679.93
20 Sh. Genzyme Corporation	03/31/2009	08/27/2009	1,120.22	1,193 40	-73 18
150 Sh Genzyme Corporation	03/16/2009	11/25/2009	7,593.92	8,515 05	-921 13
30 Sh. Genzyme Corporation	03/31/2009	11/25/2009	1,518 78	1,790 10	-271.32
130 Sh. Genzyme Corporation	06/01/2009	11/25/2009	6,581 39	7,711 90	-1,130 51
60 Sh Genzyme Corporation	03/16/2009	12/29/2009	2,971.12	3,406 02	-434 90

Run Date: 11/08/2010 04 38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
10 Sh. Goldman Sachs Group	07/01/2009	08/27/2009	1,652.45	1,472.80	179.65
20 Sh. Goldman Sachs Group	04/14/2009	08/28/2009	3,282.35	2,460.00	822.35
100 Sh. Goldman Sachs Group	06/01/2009	08/28/2009	16,411.75	14,442.72	1,969.03
110 Sh. Goldman Sachs Group	07/01/2009	08/28/2009	18,052.92	16,200.80	1,852.12
140 Sh. Goldman Sachs Group	03/13/2009	08/31/2009	22,688.49	13,678.84	9,009.65
30 Sh. Goldman Sachs Group	03/31/2009	08/31/2009	4,861.82	3,121.20	1,740.62
310 Sh. Goldman Sachs Group	04/14/2009	08/31/2009	50,238.79	38,130.00	12,108.79
90 Sh. Goldman Sachs Group	03/13/2009	11/25/2009	15,398.60	8,793.54	6,605.06
20 Sh. Goldman Sachs Group	03/13/2009	12/29/2009	3,280.12	1,954.12	1,326.00
1310 Sh. HSBC Holdings plc - Spons ADR	12/16/2008	01/21/2009	45,949.04	71,811.97	-25,862.93
390 Sh. HSBC Holdings plc - Spons ADR	12/16/2008	01/22/2009	14,216.75	21,379.14	-7,162.39
1190 Sh. HSBC Holdings plc - Spons ADR	12/16/2008	01/23/2009	41,392.25	65,233.77	-23,841.52
40 Sh. Int'l Business Machines Corp	06/01/2009	08/27/2009	4,752.83	4,274.66	478.17
240 Sh. Int'l Business Machines Corp	06/01/2009	12/02/2009	30,632.45	25,647.99	4,984.46
120 Sh. Int'l Business Machines Corp.	07/01/2009	12/02/2009	15,316.22	12,643.20	2,673.02
60 Sh. Int'l Business Machines Corp	07/01/2009	12/29/2009	7,927.00	6,321.60	1,605.40
80 Sh. Intel Corp	07/01/2009	08/27/2009	1,543.04	1,353.60	189.44
520 Sh. Intel Corp.	07/01/2009	11/25/2009	10,092.94	8,798.40	1,294.54
80 Sh. Intel Corp.	06/01/2009	11/25/2009	1,552.76	1,285.54	267.22
120 Sh. InterContinental Hotels Group	06/01/2009	08/27/2009	1,514.34	1,322.35	191.99
200 Sh. InterContinental Hotels Group	05/12/2009	11/25/2009	2,840.12	2,097.85	742.27
640 Sh. InterContinental Hotels Group	06/01/2009	11/25/2009	9,088.37	7,052.54	2,035.83
180 Sh. InterContinental Hotels Group	05/12/2009	12/29/2009	2,577.42	1,888.06	689.36
590 Sh. Intesa Sanpaolo	06/01/2009	08/27/2009	2,526.05	2,153.82	372.23
960 Sh. Intesa Sanpaolo	05/08/2009	11/25/2009	4,365.65	3,341.66	1,023.99
3150 Sh. Intesa Sanpaolo	06/01/2009	11/25/2009	14,324.77	11,499.20	2,825.57
880 Sh. Intesa Sanpaolo	05/08/2009	12/29/2009	4,003.28	3,063.18	940.10
370 Sh. Juniper Networks, Inc.	03/31/2009	07/22/2009	9,453.96	5,668.40	3,785.56
440 Sh. Juniper Networks, Inc.	06/01/2009	07/22/2009	11,242.55	10,830.56	411.99
500 Sh. Juniper Networks, Inc	07/01/2009	07/22/2009	12,775.62	11,985.00	790.62
20 Sh. Lab Corp of America Holdings	07/01/2009	08/27/2009	1,419.69	1,353.20	66.49
20 Sh. Lab Corp of America Holdings	05/08/2009	11/25/2009	1,483.88	1,309.59	174.29
120 Sh. Lab Corp of America Holdings	07/01/2009	11/25/2009	8,903.29	8,119.20	784.09
30 Sh. Lab Corp of America Holdings	05/08/2009	12/29/2009	2,284.74	1,964.39	320.35
10 Sh. Mastercard Inc - Class A	09/30/2008	08/27/2009	2,047.64	1,789.52	258.12
10 Sh. Morgan Stanley	08/07/2009	08/27/2009	295.56	314.92	-19.36
420 Sh. Morgan Stanley	08/07/2009	11/25/2009	13,369.52	13,226.81	142.71
90 Sh. Morgan Stanley	08/07/2009	12/29/2009	2,642.33	2,834.32	-191.99
80 Sh. Nestle S.A. Registered Shares	07/01/2009	08/27/2009	3,250.99	3,072.38	178.61
310 Sh. Nestle S.A. Registered Shares	07/01/2009	09/09/2009	12,792.82	11,905.47	887.35
200 Sh. Nestle S.A. Registered Shares	12/16/2008	09/10/2009	8,319.60	7,386.35	933.25

Run Date: 11/08/2010 04:38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
170 Sh Nestle S A Registered Shares	07/01/2009	09/10/2009	7,071.66	6,528.81	542.85
420 Sh. Nestle S.A. Registered Shares	12/16/2008	09/11/2009	17,594.25	15,511.34	2,082.91
550 Sh. Nestle S.A. Registered Shares	12/16/2008	09/14/2009	23,078.60	20,312.47	2,766.13
610 Sh Nestle S.A Registered Shares	12/16/2008	09/15/2009	25,536.22	22,528.37	3,007.85
470 Sh. Nestle S A Registered Shares	12/16/2008	11/25/2009	22,847.64	17,357.93	5,489.71
40 Sh Newmont Mining Corporation	06/01/2009	08/27/2009	1,586.50	1,943.58	-357.08
70 Sh. Newmont Mining Corporation	06/01/2009	12/29/2009	3,351.51	3,401.27	-49.76
20 Sh Noble Energy, Inc.	07/30/2009	08/27/2009	1,226.26	1,195.26	31.00
130 Sh Noble Energy, Inc	07/30/2009	11/25/2009	8,495.24	7,769.20	726.04
20 Sh Noble Energy, Inc.	07/30/2009	12/29/2009	1,457.84	1,195.26	262.58
4160 Sh Nokia - ADR A	06/12/2008	02/02/2009	50,166.41	107,862.98	-57,696.57
5720 Sh Nokia - ADR A	06/12/2008	02/02/2009	68,978.81	147,676.10	-78,697.29
210 Sh Nokia - ADR A	06/13/2008	02/02/2009	2,532.44	5,472.14	-2,939.70
4790 Sh Nokia - ADR A	06/13/2008	02/02/2009	57,763.72	124,439.89	-66,676.17
480 Sh. Nokia - ADR A	07/01/2008	02/02/2009	5,788.43	11,394.82	-5,606.39
90 Sh. NYSE Euronext	12/23/2009	12/29/2009	2,321.10	2,320.57	0.53
1740 Sh Owens-Illinois, Inc.	01/26/2009	03/06/2009	17,247.83	37,616.36	-20,368.53
2510 Sh Owens-Illinois, Inc	01/23/2009	03/09/2009	25,123.96	52,947.45	-27,823.49
2800 Sh Owens-Illinois, Inc.	01/26/2009	03/09/2009	28,026.72	60,532.08	-32,505.36
40 Sh Pepsico, Inc	07/01/2009	08/27/2009	2,321.14	2,219.60	101.54
60 Sh Pepsico, Inc	12/17/2008	11/25/2009	3,749.84	3,270.41	479.43
360 Sh Pepsico, Inc	07/01/2009	11/25/2009	22,499.06	19,976.40	2,522.66
4860 Sh Petroleum Geo-Services ASA (PG	08/14/2008	04/15/2009	23,816.80	96,453.58	-72,636.78
100 Sh Petroleum Geo-Services ASA (PG	08/15/2008	04/15/2009	490.06	1,965.61	-1,475.55
1560 Sh Petroleum Geo-Services ASA (PG	08/18/2008	04/15/2009	7,644.90	31,049.17	-23,404.27
1880 Sh Petroleum Geo-Services ASA (PG	08/19/2008	04/15/2009	9,213.08	37,763.72	-28,550.64
810 Sh Petroleum Geo-Services ASA (PG	08/20/2008	04/15/2009	3,969.47	16,631.53	-12,662.06
1950 Sh Petroleum Geo-Services ASA (PG	08/13/2008	05/15/2009	10,068.40	38,062.88	-27,994.48
850 Sh Petroleum Geo-Services ASA (PG	08/15/2008	05/15/2009	4,388.79	16,707.64	-12,318.85
3690 Sh Petroleum Geo-Services ASA (PG	09/23/2008	05/15/2009	19,052.52	58,207.73	-39,155.21
2560 Sh. Petroleum Geo-Services ASA (PG	09/24/2008	05/15/2009	13,218.00	39,504.40	-26,286.40
420 Sh. Petroleum Geo-Services ASA (PG	09/25/2008	05/15/2009	2,168.58	6,403.86	-4,235.28
3720 Sh Petroleum Geo-Services ASA (PG	09/25/2008	05/18/2009	19,661.83	56,719.86	-37,058.03
2010 Sh. Petroleum Geo-Services ASA (PG	09/26/2008	05/18/2009	10,623.73	28,541.38	-17,917.65
1450 Sh Petroleum Geo-Services ASA (PG	09/29/2008	05/18/2009	7,663.89	18,269.25	-10,605.36
1660 Sh. Petroleum Geo-Services ASA (PG	11/04/2008	05/18/2009	8,773.83	10,285.95	-1,512.12
2630 Sh Petroleum Geo-Services ASA (PG	11/04/2008	05/19/2009	14,460.10	16,296.42	-1,836.32
2570 Sh Petroleum Geo-Services ASA (PG	11/06/2008	05/19/2009	14,130.21	13,492.01	638.20
900 Sh Petroleum Geo-Services ASA (PG	03/31/2009	05/19/2009	4,948.32	3,817.91	1,130.41
210 Sh Praxair, Inc	03/31/2009	05/08/2009	15,416.02	14,023.42	1,392.60
40 Sh Rogers Communications -CI B	06/01/2009	08/27/2009	1,090.73	1,215.77	-125.04

Run Date: 11/08/2010 04:38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
270 Sh Rogers Communications -Cl B	06/01/2009	11/25/2009	8,227.92	8,206.41	21.51
20 Sh Royal Dutch Shell PLC-ADR A	06/01/2009	08/27/2009	1,102.62	1,103.14	-0.52
60 Sh Royal Dutch Shell PLC-ADR A	01/05/2009	11/25/2009	3,708.14	3,296.12	412.02
160 Sh Royal Dutch Shell PLC-ADR A	06/01/2009	11/25/2009	9,888.39	8,825.14	1,063.25
1230 Sh State Street Corp	10/07/2008	05/29/2009	54,881.19	53,935.38	945.81
2570 Sh. State Street Corp.	10/07/2008	08/07/2009	137,448.29	112,694.24	24,754.05
270 Sh State Street Corp	07/01/2009	08/07/2009	14,440.09	12,860.10	1,579.99
20 Sh State Street Corp	10/07/2008	08/27/2009	1,062.76	877.00	185.76
400 Sh State Street Corp.	10/29/2009	11/25/2009	16,613.37	17,403.44	-790.07
140 Sh SwedBank AB	12/03/2009	12/29/2009	1,335.59	1,482.66	-147.07
3360 Sh Taiwan Semicon Manu Co Sp ADR	10/27/2008	04/06/2009	32,425.50	22,487.47	9,938.03
570 Sh. Taiwan Semicon Manu Co Sp ADR	03/31/2009	04/06/2009	5,500.75	5,162.60	338.15
6870 Sh Taiwan Semicon Manu Co Sp ADR	10/27/2008	04/07/2009	64,969.28	45,978.85	18,990.43
10550 Sh Taiwan Semicon Manu Co Sp ADR	10/27/2008	04/14/2009	103,111.98	70,607.99	32,503.99
20 Sh The Travelers Companies, Inc.	06/01/2009	08/27/2009	971.83	833.19	138.64
210 Sh The Travelers Companies, Inc	06/01/2009	11/25/2009	11,130.68	8,748.54	2,382.14
60 Sh The Travelers Companies, Inc	07/01/2009	11/25/2009	3,180.19	2,461.20	718.99
50 Sh The Travelers Companies, Inc	07/01/2009	12/29/2009	2,492.94	2,051.00	441.94
80 Sh. Toronto-Dominion Bank	12/02/2009	12/29/2009	5,026.67	5,159.34	-132.67
40 Sh. Total SA	06/01/2009	08/27/2009	2,297.71	2,353.24	-55.53
170 Sh Total SA	03/31/2009	10/16/2009	10,699.07	8,357.26	2,341.81
260 Sh Total SA	06/01/2009	10/16/2009	16,363.28	15,296.04	1,067.24
330 Sh Total SA	07/01/2009	10/16/2009	20,768.78	18,217.94	2,550.84
290 Sh Tullow Oil plc	10/16/2009	11/25/2009	6,072.46	5,932.84	139.62
60 Sh Tullow Oil plc	10/16/2009	12/29/2009	1,239.07	1,227.48	11.59
250000 Sh U.S. Treasury Notes 4% 8/15/18	10/07/2008	05/27/2009	259,228.52	259,074.70	153.82
130 Sh. Union Pacific Corp	04/03/2009	07/28/2009	7,315.99	5,973.25	1,342.74
250 Sh. Union Pacific Corp.	06/01/2009	07/28/2009	14,069.21	12,526.73	1,542.48
270 Sh Union Pacific Corp	07/01/2009	07/28/2009	15,194.75	14,258.70	936.05
250 Sh Union Pacific Corp	04/02/2009	07/29/2009	13,958.89	11,468.55	2,490.34
400 Sh Union Pacific Corp	04/03/2009	07/29/2009	22,334.23	18,379.24	3,954.99
30 Sh Union Pacific Corp	04/02/2009	08/27/2009	1,803.85	1,376.23	427.62
220 Sh Union Pacific Corp.	04/02/2009	11/25/2009	14,148.91	10,092.32	4,056.59
1540 Sh Union Pacific Corp.	03/16/2009	12/11/2009	98,945.84	61,392.25	37,553.59
80 Sh Union Pacific Corp	03/31/2009	12/11/2009	5,140.04	3,300.80	1,839.24
450 Sh. Union Pacific Corp	04/02/2009	12/11/2009	28,912.75	20,643.39	8,269.36
2070 Sh Union Pacific Corp	03/16/2009	12/16/2009	134,188.23	82,520.76	51,667.47
10 Sh Vallourec SA	03/31/2009	05/19/2009	1,219.98	889.44	330.54
10 Sh Vestas Wind Systems A/S	06/02/2009	08/27/2009	736.07	762.74	-26.67
80 Sh Vestas Wind Systems A/S	06/02/2009	11/25/2009	5,903.14	6,101.92	-198.78
30 Sh Vestas Wind Systems A/S	07/01/2009	11/25/2009	2,213.68	2,145.56	68.12

Run Date 11/08/2010 04:38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
1350 Sh Vestas Wind Systems A/S	04/27/2009	12/22/2009	76,953 06	77,271 81	-318 75
550 Sh Vestas Wind Systems A/S	04/29/2009	12/22/2009	31,351 25	34,277 39	-2,926 14
180 Sh Vestas Wind Systems A/S	04/30/2009	12/22/2009	10,260 41	11,890 38	-1,629 97
80 Sh Vestas Wind Systems A/S	07/01/2009	12/22/2009	4,560 18	5,721 49	-1,161 31
90 Sh Vodafone Group PLC - SP ADR	07/01/2009	08/27/2009	1,946 43	1,771 20	175 23
100 Sh Vodafone Group PLC - SP ADR	06/01/2009	11/25/2009	2,292 94	1,928 67	364 27
610 Sh Vodafone Group PLC - SP ADR	07/01/2009	11/25/2009	13,986 94	12,004 80	1,982 14
150 Sh Vodafone Group PLC - SP ADR	06/01/2009	12/29/2009	3,448 53	2,893 01	555 52
90 Sh Wal-Mart Stores, Inc.	03/31/2009	08/27/2009	4,650 49	4,670.10	-19 61
220 Sh Wal-Mart Stores, Inc	03/31/2009	09/09/2009	11,262 61	11,415 80	-153 19
420 Sh Wal-Mart Stores, Inc	06/01/2009	09/09/2009	21,501 35	21,000 30	501 05
160 Sh Wal-Mart Stores, Inc.	06/01/2009	09/10/2009	8,121 10	8,000.11	120 99
100 Sh Walgreen Co.	06/01/2009	08/27/2009	3,390 88	3,044.61	346 27
550 Sh Walgreen Co	02/03/2009	10/22/2009	21,615 49	14,739.07	6,876.42
1070 Sh. Walgreen Co.	02/04/2009	10/22/2009	42,051 95	29,839.52	12,212 43
620 Sh Walgreen Co	06/01/2009	10/22/2009	24,366.55	18,876 58	5,489 97
800 Sh Walgreen Co.	07/01/2009	10/22/2009	31,440.71	23,480.00	7,960 71
1880 Sh Walgreen Co	02/03/2009	11/03/2009	72,133.56	50,380 80	21,752 76
590 Sh. Walgreen Co.	02/03/2009	11/25/2009	23,216 31	15,811 00	7,405 31
20 Sh Wellpoint Inc	08/07/2009	08/27/2009	1,098.42	1,035 10	63.32
380 Sh Wellpoint Inc	08/07/2009	11/25/2009	20,871 65	19,666.82	1,204 83
10 Sh. Wellpoint Inc	08/06/2009	12/29/2009	593 71	505 04	88 67
70 Sh Wellpoint Inc	08/07/2009	12/29/2009	4,155 98	3,622 84	533.14
130 Sh Wells Fargo Company	06/01/2009	08/27/2009	3,564 51	3,288 74	275 77
190 Sh Wells Fargo Company	03/16/2009	10/29/2009	5,311 48	2,779 98	2,531 50
3310 Sh. Wells Fargo Company	03/19/2009	10/29/2009	92,531 65	55,608 66	36,922 99
710 Sh Wells Fargo Company	06/01/2009	10/29/2009	19,848 18	17,961 58	1,886 60
940 Sh Wells Fargo Company	07/01/2009	10/29/2009	26,277.87	22,859.58	3,418.29
720 Sh Wells Fargo Company	03/16/2009	11/25/2009	20,063 44	10,534.68	9,528 76
140 Sh Wells Fargo Company	03/16/2009	12/29/2009	3,735.10	2,048.41	1,686 69
1950 Sh Wyeth	02/24/2009	08/21/2009	93,290 86	82,671 62	10,619 24
360 Sh. Wyeth	02/26/2009	08/21/2009	17,222 93	15,063 26	2,159 67
280 Sh Wyeth	03/31/2009	08/21/2009	13,395.61	12,042 80	1,352.81
460 Sh Wyeth	06/01/2009	08/21/2009	22,007.08	20,752 99	1,254 09
500 Sh Wyeth	07/01/2009	08/21/2009	23,920 74	22,680 00	1,240 74
530 Sh. Wyeth	02/26/2009	08/25/2009	25,488 05	22,176 47	3,311 58
160 Sh Wyeth	02/26/2009	08/26/2009	7,674 84	6,694.78	980 06
710 Sh Wyeth	02/26/2009	09/02/2009	33,821 61	29,708 11	4,113 50
350 Sh Wyeth	02/26/2009	09/04/2009	16,680.75	14,644 84	2,035 91
620 Sh Wyeth	02/26/2009	09/16/2009	29,703 44	25,942 29	3,761.15
2810 Sh Wyeth	02/25/2009	09/30/2009	136,376.75	117,554 66	18,822 09

Run Date: 11/08/2010 04 38 PM

Trust For Mutual Understanding (1060)

**Schedule D - Attachment
Rockefeller Trust Company, N.A.**

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
1600 Sh. Wyeth	02/26/2009	09/30/2009	77,652.24	66,947.84	10,704.40
80 Sh. Yum! Brands, Inc	06/01/2009	08/27/2009	2,792.13	2,798.76	-6.63
420 Sh. Yum! Brands, Inc.	06/01/2009	11/25/2009	14,849.06	14,693.49	155.57
150 Sh. Yum! Brands, Inc	07/01/2009	11/25/2009	5,303.23	5,222.89	80.34
120 Sh. Yum! Brands, Inc.	07/01/2009	12/29/2009	4,232.15	4,178.32	53.83
50 Sh. Zimmer Holdings, Inc.	09/22/2008	08/27/2009	2,413.54	3,394.28	-980.74
Regular Gain/Loss Totals:					-186,607.92
Account Totals:			5,588,317.55	5,774,925.47	-186,607.92

Run Date 11/08/2010 04:38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
2330 Sh Aflac, Inc	05/27/1998	03/03/2009	34,218 19	36,542 09	-2,323 90
5040 Sh Aflac, Inc.	08/24/1998	03/03/2009	74,017 03	76,538 70	-2,521 67
430 Sh Aflac, Inc.	09/01/1998	03/03/2009	6,314 94	6,110 17	204 77
5570 Sh Aflac, Inc	09/01/1998	03/03/2009	79,081.30	79,148 03	-66 73
2630 Sh Aflac, Inc	05/27/1998	03/03/2009	38,002 23	41,247 08	-3,244 85
2110 Sh Aflac, Inc.	12/21/2001	03/03/2009	30,488.49	51,900.51	-21,412 02
180 Sh Alstom	10/31/2005	01/16/2009	8,483 29	4,207.95	4,275 34
1220 Sh Alstom	10/31/2005	01/20/2009	55,325 57	28,520 55	26,805 02
770 Sh Alstom	10/28/2005	01/21/2009	36,639 39	17,960.57	18,678.82
1270 Sh Alstom	10/31/2005	01/21/2009	60,431 21	29,689 42	30,741.79
330 Sh Alstom	10/28/2005	01/22/2009	15,697 86	7,697.39	8,000 47
820 Sh Alstom	10/28/2005	01/23/2009	37,825 27	19,126 84	18,698 43
820 Sh Alstom	10/28/2005	01/27/2009	38,985 99	19,126 83	19,859.16
150000 Sh Amer Express Cr Axp	11/29/2005	11/24/2009	157,759 50	149,678 23	8,081 27
1100 Sh Annaly Mortgage Management Inc	04/21/2008	08/07/2009	18,792 36	18,974 89	-182 53
2780 Sh Annaly Mortgage Management Inc	04/22/2008	08/07/2009	47,493 41	47,687.01	-193 60
2510 Sh. Annaly Mortgage Management Inc	04/18/2008	08/10/2009	42,608 66	42,899 16	-290 50
1500 Sh Annaly Mortgage Management Inc	04/22/2008	08/10/2009	25,463 35	25,730.40	-267 05
20 Sh Annaly Mortgage Management Inc	04/18/2008	08/27/2009	347 07	341.83	5 24
600 Sh Annaly Mortgage Management Inc	04/18/2008	11/25/2009	10,815 44	10,254 78	560.66
130 Sh. Annaly Mortgage Management Inc	04/18/2008	12/29/2009	2,307 44	2,221 87	85 57
30 Sh Apache Corporation	08/13/2007	10/16/2009	3,043 63	2,457.82	585.81
1890 Sh Apache Corporation	08/14/2007	10/16/2009	191,748 80	152,453.45	39,295 35
140 Sh Apache Corporation	08/14/2007	11/25/2009	13,595 08	11,292.85	2,302 23
30 Sh Apache Corporation	08/14/2007	12/29/2009	3,157.49	2,419 90	737.59
10149 945 Sh Bank of America Corporation	11/13/2007	01/15/2009	81,855.80	654,365 01	-572,509.21
0 75 Sh Bank of America Corporation	11/13/2007	01/29/2009	5 16	48.35	-43 19
90 Sh. BE Aerospace, Inc.	06/06/2007	08/27/2009	1,633 12	3,469 45	-1,836 33
670 Sh. BE Aerospace, Inc	06/06/2007	11/25/2009	13,129.85	25,828.10	-12,698 25
2660 Sh. BE Aerospace, Inc.	06/06/2007	12/11/2009	58,957.11	102,541 41	-43,584 30
990 Sh BE Aerospace, Inc	06/07/2007	12/11/2009	21,942 69	37,808 60	-15,865 91
2550 Sh BE Aerospace, Inc.	06/07/2007	12/14/2009	57,003 27	97,385 77	-40,382 50
2460 Sh BE Aerospace, Inc.	06/07/2007	12/15/2009	55,450 17	93,948 63	-38,498 46
600 Sh. BE Aerospace, Inc	06/07/2007	12/16/2009	13,686 61	22,914 30	-9,227.69
310 Sh BE Aerospace, Inc	07/01/2008	12/16/2009	7,071.41	7,172 19	-100 78
730 Sh BE Aerospace, Inc.	07/31/2008	12/16/2009	16,652 04	19,171.26	-2,519.22
330 Sh BE Aerospace, Inc	07/30/2008	12/16/2009	7,527 63	8,426 02	-898 39
900 Sh BE Aerospace, Inc	08/01/2008	12/16/2009	20,529 91	22,913 91	-2,384.00
340 Sh BioMarin Pharmaceutical Inc.	10/03/2008	11/25/2009	5,667 69	8,744 80	-3,077 11
70 Sh BioMarin Pharmaceutical Inc.	10/03/2008	12/29/2009	1,314 76	1,800.40	-485.64
1980 Sh BNP Paribas	12/21/2001	02/03/2009	68,999 72	87,446 95	-18,447 23

Run Date. 11/08/2010 04.40 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
990 Sh BNP Paribas	12/21/2001	02/10/2009	36,961 70	43,723 48	-6,761 78
982 Sh BNP Paribas	12/21/2001	02/12/2009	32,846.12	43,370.15	-10,524.03
688 Sh BNP Paribas	11/04/2002	02/12/2009	23,012 36	28,140.94	-5,128 58
1512 Sh BNP Paribas	11/04/2002	02/13/2009	51,926 61	61,844 63	-9,918 02
0 9655 Sh Boskalis Westminster - CVA	05/29/2007	06/17/2009	21 66	35 88	-14 22
29.0345 Sh Boskalis Westminster - CVA	05/29/2007	08/27/2009	942.26	1,079 03	-136 77
50 9655 Sh. Boskalis Westminster - CVA	05/31/2007	08/27/2009	1,653.98	1,886 87	-232 89
70 9655 Sh Boskalis Westminster - CVA	05/30/2007	11/25/2009	2,983 88	2,584.83	399 05
459 0345 Sh Boskalis Westminster - CVA	05/31/2007	11/25/2009	19,300.96	16,994 63	2,306 33
120 9655 Sh Boskalis Westminster - CVA	05/22/2007	12/02/2009	5,055.25	4,318 53	736 72
130 Sh. Boskalis Westminster - CVA	05/23/2007	12/02/2009	5,432 80	4,643 90	788 90
379 0345 Sh Boskalis Westminster - CVA	05/30/2007	12/02/2009	15,840 15	13,805.85	2,034 30
270 9655 Sh Boskalis Westminster - CVA	05/18/2007	12/03/2009	11,316 70	9,673 35	1,643 35
329.0345 Sh. Boskalis Westminster - CVA	05/22/2007	12/03/2009	13,741.92	11,746 71	1,995 21
108 9655 Sh. Boskalis Westminster - CVA	05/17/2007	12/04/2009	4,446 46	3,864 66	581.80
269 0345 Sh Boskalis Westminster - CVA	05/18/2007	12/04/2009	10,978 26	9,604.41	1,373 85
41 0345 Sh Boskalis Westminster - CVA	05/17/2007	12/29/2009	1,574 98	1,455.36	119 62
430 Sh Boskalis Westminster - CVA	05/24/2007	12/29/2009	16,504 24	15,247.25	1,256.99
288 9655 Sh Boskalis Westminster - CVA	05/25/2007	12/29/2009	11,091 06	10,238.29	852 77
70 Sh BP PLC - Spons ADR	04/01/2004	08/27/2009	3,595.79	3,561 91	33 88
550 Sh BP PLC - Spons ADR	04/01/2004	11/25/2009	32,283 45	27,986 42	4,297 03
110 Sh. BP PLC - Spons ADR	04/01/2004	12/29/2009	6,443.75	5,597 28	846.47
1050 Sh Centrica plc	12/23/2008	12/29/2009	4,624 08	4,042.99	581 09
180 Sh Cisco Systems, Inc	03/21/2005	08/27/2009	3,911 82	3,230.35	681 47
1240 Sh Cisco Systems, Inc	03/21/2005	11/25/2009	29,385.01	22,253.54	7,131 47
270 Sh Cisco Systems, Inc	03/21/2005	12/29/2009	6,501 43	4,845.53	1,655 90
1010 Sh Comcast Corporation - CL A	12/10/2007	01/30/2009	14,855 60	18,622 08	-3,766 48
8310 Sh. Comcast Corporation - CL A	12/10/2007	02/02/2009	120,997 08	153,217.29	-32,220 21
210 Sh Comcast Corporation - CL A	12/10/2007	08/27/2009	3,163.25	3,871 92	-708 67
1480 Sh Comcast Corporation - CL A	12/10/2007	11/25/2009	22,189 96	27,287 80	-5,097 84
310 Sh Comcast Corporation - CL A	12/10/2007	12/29/2009	5,288 46	5,715.69	-427 23
80 Sh Covidien Limited	11/25/2008	12/29/2009	3,894 56	2,963 23	931 33
460 Sh Credit Suisse Group	06/21/2005	11/25/2009	25,534 94	18,546 11	6,988 83
1200 Sh Credit Suisse Group	06/21/2005	12/01/2009	63,722.09	48,381.15	15,340 94
150 Sh Credit Suisse Group	06/20/2005	12/02/2009	7,761 12	5,999 69	1,761 43
350 Sh Credit Suisse Group	06/21/2005	12/02/2009	18,109 27	14,111 17	3,998.10
20 Sh Credit Suisse Group	06/20/2005	12/29/2009	999 78	799.96	199 82
250000 Sh Deluxe Corp 5 15% 10/1/14	07/13/2005	06/11/2009	197,500 00	244,930 77	-47,430 77
125000 Sh Deluxe Corp 5.15% 10/1/14	07/13/2005	08/05/2009	101,250 00	122,526.89	-21,276.89
200000 Sh DuPont Ei Nemours Notes, 6.875	04/25/2003	06/25/2009	203,660 00	203,188 34	471 66
186000 Sh DuPont Ei Nemours Notes, 6.875	04/25/2003	10/15/2009	186,000.00	186,000 00	0.00

Run Date: 11/08/2010 04:38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
190000 Sh. FFCB 6% 06/25/14	07/05/2007	06/25/2009	190,000 00	190,000 00	0 00
200000 Sh. FHLB 5.125% Due 3/05/18	02/13/2008	03/05/2009	200,000 00	200,000.00	0.00
100000 Sh. FHLB 5.55% Due 3/13/23	02/27/2008	03/13/2009	100,000 00	99,429.27	570.73
400000 Sh. Freddie Mac Notes 5% 02/06/23	01/23/2008	04/27/2009	399,600.00	400,000.00	-400.00
500000 Sh. General Elec Cap Corp 5 72%	08/16/2006	06/17/2009	500,625.00	500,000 00	625 00
146 54 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	01/01/2009	146.54	147 92	-1.38
147 4 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	02/01/2009	147 40	148 78	-1 38
148 26 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	03/01/2009	148 26	149 63	-1 37
149.12 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	04/01/2009	149 12	150 49	-1 37
150 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	05/01/2009	150 00	151 36	-1 36
150 87 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	06/01/2009	150 87	152 23	-1 36
151.75 Sh. GNMA Pool #550414, 6.500%,	04/30/2001	07/01/2009	151.75	153.10	-1 35
152 63 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	08/01/2009	152 63	153 98	-1.35
153 53 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	09/01/2009	153.53	154 87	-1.34
154.42 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	10/01/2009	154 42	155 75	-1 33
155 32 Sh. GNMA Pool #550414, 6.500%,	04/30/2001	11/01/2009	155.32	156 65	-1 33
156 22 Sh. GNMA Pool #550414, 6 500%,	04/30/2001	12/01/2009	156 22	157 54	-1 32
12000 Sh. Goldman Sachs Group Pfd 6.2%	10/24/2005	01/20/2009	230,962 70	300,000 00	-69,037 30
70 Sh. Google Inc - Class A	11/05/2007	11/25/2009	40,967 78	50,213 98	-9,246 20
250 Sh. Google Inc - Class A	11/05/2007	12/01/2009	147,211 09	179,335 65	-32,124 56
10 Sh. Google Inc - Class A	11/05/2007	12/29/2009	6,239 74	7,173 43	-933 69
100 Sh. Hologic, Inc	08/24/2007	08/06/2009	1,433 58	2,627 25	-1,193 67
1000 Sh. Hologic, Inc.	08/17/2007	08/06/2009	14,335 83	24,671 20	-10,335 37
5020 Sh. Hologic, Inc	08/20/2007	08/06/2009	71,965 87	124,421 45	-52,455.58
1260 Sh. Hologic, Inc	08/22/2007	08/06/2009	18,063 15	31,808.51	-13,745 36
2500 Sh. Hologic, Inc	08/23/2007	08/06/2009	35,839 58	65,441.87	-29,602 29
1260 Sh. Hologic, Inc	08/17/2007	08/07/2009	18,185 11	31,085 71	-12,900 60
50 Sh. Hologic, Inc	08/17/2007	08/27/2009	810 40	1,233 56	-423.16
860 Sh. Hologic, Inc	08/17/2007	11/25/2009	12,526 61	21,217.23	-8,690 62
190 Sh. Hologic, Inc.	08/17/2007	12/29/2009	2,778 28	4,687 53	-1,909.25
90 Sh. Honeywell Inc	12/20/2005	08/27/2009	3,377 44	3,445 27	-67 83
680 Sh. Honeywell Inc	12/20/2005	11/25/2009	26,226 31	26,030 95	195.36
150 Sh. Honeywell Inc	12/20/2005	12/29/2009	5,978.31	5,742 12	236 19
310 Sh. Int'l Business Machines Corp	10/09/2008	11/25/2009	39,679 72	28,914 04	10,765 68
210 Sh. Int'l Business Machines Corp	10/09/2008	12/02/2009	26,803 40	19,586 93	7,216.47
270 Sh. Int'l Business Machines Corp	10/13/2008	12/02/2009	34,461 51	24,302 02	10,159 49
130 Sh. Intel Corp	12/19/2008	12/29/2009	2,649 33	1,903 04	746 29
200000 Sh. John Deere Capital Corporation	10/20/2005	05/27/2009	206,912 00	199,840 59	7,071 41
110 Sh. Johnson Matthey PLC	11/06/2006	08/27/2009	2,560 21	3,062 62	-502 41
550 Sh. Johnson Matthey PLC	11/06/2006	09/16/2009	13,477 47	15,313 12	-1,835 65
150 Sh. Johnson Matthey PLC	11/01/2006	09/17/2009	3,668 66	4,143 00	-474 34

Run Date 11/08/2010 04:38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
520 Sh Johnson Matthey PLC	11/06/2006	09/17/2009	12,718 02	14,477.85	-1,759.83
1370 Sh Johnson Matthey PLC	11/01/2006	09/18/2009	31,994 22	37,839 43	-5,845 21
270 Sh Johnson Matthey PLC	11/01/2006	09/21/2009	6,222 92	7,457.40	-1,234.48
410 Sh Johnson Matthey PLC	11/01/2006	09/22/2009	9,697 44	11,324.21	-1,626 77
440 Sh Johnson Matthey PLC	11/01/2006	09/23/2009	10,330 22	12,152 81	-1,822 59
380 Sh. Johnson Matthey PLC	11/02/2006	09/23/2009	8,921 56	10,449.49	-1,527 93
790 Sh Johnson Matthey PLC	11/02/2006	09/24/2009	17,948 56	21,723 95	-3,775 39
570 Sh Johnson Matthey PLC	11/02/2006	11/25/2009	14,875 99	15,674 24	-798 25
120 Sh Johnson Matthey PLC	11/02/2006	12/29/2009	2,895 35	3,299.84	-404 49
480 Sh Juniper Networks, Inc	06/02/2006	05/08/2009	10,302 41	8,274.82	2,027 59
4100 Sh Juniper Networks, Inc	06/02/2006	05/08/2009	87,999 72	70,136.24	17,863 48
8870 Sh Juniper Networks, Inc	06/02/2006	07/22/2009	226,639.52	151,733 77	74,905.75
90 Sh Mastercard Inc - Class A	09/30/2008	11/25/2009	21,555 62	16,105 66	5,449 96
20 Sh. Mastercard Inc - Class A	09/30/2008	12/29/2009	5,142.11	3,579 04	1,563 07
9240 Sh Microsoft Corp	08/07/2007	07/20/2009	223,994.94	272,331 44	-48,336 50
1230 Sh Microsoft Corp.	08/09/2007	07/20/2009	29,817 51	36,411 81	-6,594 30
1010 Sh. Microsoft Corp.	02/05/2008	07/20/2009	24,484 29	29,801 06	-5,316 77
160 Sh Microsoft Corp.	08/07/2007	08/27/2009	3,917 92	4,715.70	-797 78
1190 Sh. Microsoft Corp.	08/07/2007	11/25/2009	35,282 00	35,072 99	209 01
260 Sh. Microsoft Corp	08/07/2007	12/29/2009	8,129 99	7,663.01	466 98
90 Sh Nestle S A Registered Shares	12/16/2008	12/29/2009	4,384 49	3,323.86	1,060 63
320 Sh. Newmont Mining Corporation	12/01/2006	11/25/2009	17,254 92	15,014 66	2,240 26
70 Sh Novartis AG - ADR	02/01/2006	08/27/2009	3,231 96	3,894 05	-662 09
580 Sh Novartis AG - ADR	02/01/2006	11/25/2009	32,319.90	32,264 99	54 91
120 Sh Novartis AG - ADR	02/01/2006	12/29/2009	6,605.19	6,675.52	-70.33
90 Sh Pepsico, Inc	12/17/2008	12/29/2009	5,521.42	4,905.61	615.81
1810 Sh Petroleo Brasileiro S A - ADR	04/13/2007	02/17/2009	41,208.22	42,695 46	-1,487 24
2770 Sh Petroleo Brasileiro S A. - ADR	04/13/2007	02/18/2009	60,722.21	65,340.56	-4,618 35
4480 Sh Petroleo Brasileiro S A. - ADR	04/13/2007	02/19/2009	100,715 21	105,677.15	-4,961 94
3840 Sh Praxair, Inc	06/27/2003	05/08/2009	281,892.91	114,928 89	166,964 02
2030 Sh Praxair, Inc	06/27/2003	05/11/2009	146,213 89	60,756 68	85,457 21
2440 Sh. Praxair, Inc	06/27/2003	05/12/2009	175,108 20	73,027 73	102,080 47
140 Sh Republic Services Inc CI A	04/18/2007	08/27/2009	3,597 22	3,953 99	-356.77
1010 Sh. Republic Services Inc. CI A	04/18/2007	11/25/2009	28,370 67	28,525.23	-154.56
210 Sh Republic Services Inc. CI A	04/18/2007	12/29/2009	5,961 66	5,930 99	30 67
90 Sh Rogers Communications -CI B	10/30/2008	11/25/2009	2,742 64	2,525 37	217 27
70 Sh Rogers Communications -CI B	10/30/2008	12/29/2009	2,144 75	1,964 18	180.57
40 Sh Royal Dutch Shell PLC-ADR A	12/18/2008	12/29/2009	2,453 76	2,173 58	280 18
10 Sh Schneider Electric SA	04/04/2003	08/27/2009	932 97	470 07	462.90
160 Sh Schneider Electric SA	04/04/2003	11/25/2009	18,369.03	7,521 17	10,847 86
30 Sh Schneider Electric SA	04/04/2003	12/29/2009	3,425 65	1,410 22	2,015 43

Run Date 11/08/2010 04 38 PM

Trust For Mutual Understanding (1060)

Schedule D - Attachment Rockefeller Trust Company, N.A.

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
120 Sh Smiths Group plc	08/18/2004	08/27/2009	1,523.60	2,305 28	-781.68
880 Sh Smiths Group plc	08/18/2004	11/25/2009	14,115 61	16,905 35	-2,789 74
190 Sh. Smiths Group plc	08/18/2004	12/29/2009	3,003.91	3,650.02	-646 11
200000 Sh Southern Co. 5 30% 01/15/2012	01/11/2007	05/27/2009	210,956.00	199,869.25	11,086 75
80 Sh. State Street Corp	10/07/2008	12/29/2009	3,571.53	3,507 99	63 54
3710 Sh Total SA	11/23/2001	10/15/2009	231,667.39	118,750 44	112,916.95
2430 Sh Total SA	11/23/2001	10/16/2009	152,933.75	77,779 94	75,153 81
400000 Sh US Treasury N/B 4.25% 9/30/12	10/22/2007	12/28/2009	430,515.62	402,215.70	28,299.92
225000 Sh US Treasury N/B 4 75% 8/15/17	10/16/2007	04/27/2009	258,512.70	226,591.66	31,921 04
300000 Sh US Treasury N/B 4 75% 8/15/17	10/16/2007	04/27/2009	344,683.59	302,165 25	42,518.34
320 Sh Vallourec SA	04/07/2006	05/15/2009	36,557.80	71,881.12	-35,323 32
150 Sh. Vallourec SA	04/10/2006	05/15/2009	17,136 47	33,268 75	-16,132 28
230 Sh. Vallourec SA	04/10/2006	05/18/2009	26,376 53	51,012 09	-24,635 56
240 Sh. Vallourec SA	04/10/2006	05/19/2009	29,279.64	53,230 01	-23,950.37
460 Sh Wal-Mart Stores, Inc	07/19/2002	09/10/2009	23,348 17	21,736 29	1,611 88
1390 Sh Wal-Mart Stores, Inc	07/19/2002	09/11/2009	70,399 05	65,681.39	4,717 66
530 Sh. Wal-Mart Stores, Inc	07/19/2002	11/25/2009	29,053 64	25,043 98	4,009 66
110 Sh Wal-Mart Stores, Inc.	07/19/2002	12/29/2009	5,933.25	5,197 81	735 44
120 Sh. Walgreen Co	12/01/2008	12/29/2009	4,473 84	2,858 26	1,615 58
125000 Sh XL Capital Europe PLC, 6.50%	04/27/2005	12/28/2009	129,687.50	128,517 82	1,169 68
750 Sh Zimmer Holdings, Inc.	01/08/2008	10/14/2009	38,890.85	51,608.48	-12,717 63
670 Sh Zimmer Holdings, Inc	01/08/2008	10/15/2009	34,791.40	46,103 57	-11,312 17
750 Sh Zimmer Holdings, Inc.	01/08/2008	10/16/2009	38,962.32	51,608.47	-12,646 15
280 Sh. Zimmer Holdings, Inc	01/08/2008	11/25/2009	16,375 49	19,267 16	-2,891.67
60 Sh Zimmer Holdings, Inc	01/08/2008	12/29/2009	3,614 91	4,128 68	-513 77
Regular Gain/Loss Totals:					-352,764.85
Account Totals:			9,042,939 60	9,395,704 45	-352,764 85

Last Page of Report

Run Date: 11/08/2010 04 38 PM

Trust for Mutual Understanding (1060)**EIN 13-3212724****Balance Sheet****December 31, 2009**

Security Name	Cost	Market Value
Cash	1,230,711.57	1,230,711.57
	1,230,711.57	1,230,711.57
Receivables		
Dividends Receivable	29,980.77	29,980.77
Interest Receivable	108,646.76	108,646.76
MM Sweep Dividends Receivable	63.53	63.53
Advances Receivable - From Partnership	32,250.00	32,250.00
Payroll	15,000.00	15,000.00
	185,941.06	185,941.06
Corporate Stock		
Advanced Auto Parts, Inc	162,088.39	171,230.40
Alexion Pharmaceuticals, Inc	108,363.26	116,191.60
Ameriprise Financial, Inc	316,439.01	335,404.80
Amgen, Inc	248,099.14	265,879.00
Anadarko Petroleum Corporation	311,407.56	292,749.80
Anglo American PLC	280,221.19	411,082.60
Annaly Mortgage Management, Inc	190,995.09	197,616.50
Apache Corporation	214,571.63	277,527.30
ARM Holding plc	138,175.24	226,729.92
Avery Dennison Corp	286,522.79	356,142.40
Bank of America Corporation	414,978.96	360,235.20
BioMarin Pharmaceutical Inc.	142,373.84	120,572.10
BNP Paribas	263,750.99	329,630.27
Boskalis Westminster - CVA	249,973.21	304,268.35
BP PLC - Spons ADR	484,478.60	594,192.50
Capital One Financial Corp	258,910.84	389,151.00
CareFusion Corporation	153,285.86	212,835.10
Carlsberg A/S	209,091.23	207,317.43
Centrica plc	352,452.35	425,837.56
Chevron Corporation	201,200.76	429,604.20
China Mobile (Hong Kong) Limited Sp ADR	171,077.42	193,148.80
Cisco Systems, Inc	413,061.55	565,702.20
Comcast Corporation - CL A	450,568.84	471,911.40
Compagnie Financiere Richemont AG	223,292.28	232,149.26
Continental Resources, Inc	119,311.61	217,023.40
Covidien Limited	247,130.03	331,877.70
Credit Suisse Group	241,226.36	301,628.05
Ericsson (LM) Tel - Sp ADR	122,022.91	105,868.80
FedEx Corporation	118,310.94	184,424.50
Genzyme Corporation	312,775.03	289,649.10
Goldman Sachs Group, Inc	148,513.12	256,636.80
Google Inc - Class A	576,663.97	588,981.00
Hologic, Inc	355,844.24	239,250.00
Honeywell Inc	479,932.01	505,680.00
Int'l Business Machines Corp.	389,317.78	678,062.00
Intel Corp	165,846.51	230,928.00
InterContinental Hotels Group PLC	164,772.83	230,730.72
Intertek Group plc	216,409.06	224,146.95
Intesa Sanpaolo	268,872.71	352,018.68
Johnson Matthey PLC	283,048.53	262,563.10
Laboratory Corp of America Holdings	176,715.48	202,816.40
Mastercard Inc - Class A	326,262.20	478,682.60
Microsoft Corp	553,325.64	688,543.20
Morgan Stanley	247,530.26	232,656.00
Nestle SA	315,888.90	416,653.93
Newmont Mining Corporation	282,695.30	286,698.60
Noble Energy, Inc	145,320.75	178,762.20
Novartis AG - ADR	578,359.10	593,287.00
NYSE Euronext	217,540.77	215,050.00
OGX Petroleo E Gas Participacoes SA	161,946.00	169,705.14
Pepsico, Inc	412,775.70	477,280.00

Trust for Mutual Understanding (1060)**EIN 13-3212724****Balance Sheet****December 31, 2009**

Security Name	Cost	Market Value
Republic Services Inc CI A	512,721 17	538,456 20
Rogers Communications -CI B	180,676.34	210,168 93
Royal Dutch Shell PLC-ADR A	214,461 97	238,636.70
Schneider Electric SA	148,970 06	347,305 99
Smiths Group plc	278,996 94	273,784 09
State Street Corp	272,110 56	318,712 80
SwedBank AB	181,302 01	172,625 03
The Travelers Companies, Inc	185,302 34	246,807 00
Toronto-Dominion Bank	280,614 40	273,459 20
Tullow Oil plc	108,944 35	113,166 73
Vodafone Group PLC - SP ADR	250,814 58	311,022 30
Wal-Mart Stores, Inc	451,508 59	518,999 50
Walgreen Co	257,007 20	394,372 80
Wellpoint Inc	349,239 60	414,441 90
Wells Fargo Company	183,960.30	351,409 80
Yum! Brands, Inc	274,537 79	378,025.70
Zimmer Holdings, Inc	321,085 98	297,914 40
	18,325,991.95	21,826,022.63

Agency, Corporate, Mortgage Backed, Preferred Bonds

Avon Products 6 50% due 03/01/19	49,410 48	55,878 90
Bottling Group LLC Notes, 5 000% Due 11/15/13	331,270 56	351,416 98
ConocoPhillips 5 20% Due 5/15/18	200,533 98	209,794 00
CSX Corp Debentures 5.750% Due 03/15/13	249,593.94	270,451 50
CSX Corp Debentures 6 250% Due 4/1/15	99,953.39	110,145 10
CVS Corp, 5 75% Due 08/15/2011	51,370 84	53,243 75
Deluxe Corp, 5 125% Due 10/01/2014	122,693 80	112,812 50
Dominion Resources, 6 400% Due on 06/15/2018	99,600 71	109,232 00
Emerson Electric Co 4 875% Due on 10/15/19	126,368 77	127,772 25
Fed Farm Credit Bank 5 00% Due 2/23/23	125,000 00	119,882 81
Federal Farm Credit FFCB 3 625% Due 11/16/16	200,000 00	195,125 00
General Dynamics Corp Notes, 4 250% Due 05/15/13	496,881 18	527,130 00
General Elec Cap Corp 5 72% Due 8/22/2011	400,000 00	406,767 20
General Electric Cap Corp 6 05% Pfd Due 02/06/47, Callable 02/06/12	200,000 00	193,280 00
General Electric Capital Corp., 7 375% Due on 01/19/10	125,000 00	125,303 38
General Mills Inc Notes, 6 00% Due 02/15/12	249,174 24	261,281 59
GNMA Pool #550414, 6 500%, Due 04/15/16	7,138.20	7,614 96
Harsco Corp 5 75% Due 5/15/2018	99,907 08	98,431 20
Hewlett-Pack Co 5 25% Due 3/1/12	99,967 76	107,281 10
Hewlett-Packard Co Float% Due 3/1/2012	199,997 34	199,983 20
HSBC Finance Corp , 5 00% Due 3/15/12	130,000.00	132,313 48
Hudson United Bank Sub Notes Ser BKNT , 7 000% Due 05/15/12	615,459 99	632,065 97
IBM Corp, 6 5% Due 10/15/2013	202,105 68	228,552 00
Kellogg Co Sen Notes, 4 250% Due 03/06/13	509,557 41	524,152 50
Nassau Cnty, NY Build America Bonds Direct Pay 5 375% Due 10/01/25	97,693.38	93,754 55
Nova Scotia Province Notes , 5 750% Due 02/27/12	207,155 32	214,345.60
Old Domin Elec , 6 25% Due 06/01/2011	511,404 68	526,761 00
Pacific Gas & Electric 8 25% Due 10/15/18	98,474 50	122,104 80
Pepco Holdings Inc , 6.45% Due 08/15/2012	513,823 44	536,635 00
Statoil 5 125% Due 04/30/2014	249,284.35	271,422 75
Time Warner Inc Notes, 6 750% Due 04/15/11	133,410 61	137,735 13
Westar Energy 6 10% Pfd 5/15/47 Series Callable 05/15/2012 @ 25 00	50,000 00	50,090 00
Yum! Brands Inc, 6 25% 6 25 % Due 4/15/16	274,212 89	289,937 88
	7,126,444.52	7,402,698.08

US Government Bonds

U S Treas Infl Index Notes, 3 000%, Due 07/15/12	771,513 04	969,478 92
U S Treasury Inflation Index Bonds 4 250%, Due 01/15/10	106,636.47	128,635 58
U S Treasury Infl IX N/B, 2 3750%, Due 01/15/27	518,512 48	564,019 55
U S. Treasury N/B, 4 25% Due 08/15/15	261,698 45	267,578 00
	1,658,360.44	1,929,712.05

Trust for Mutual Understanding (1060)

EIN 13-3212724

Balance Sheet

December 31, 2009

Security Name	Cost	Market Value
<u>Partnerships</u>		
5500 Fund (LP)	1,592,960 00	2,683,911.35
Rockefeller Alternative Strategies Fund QP(LP)	421,867 00	397,016.68
New Century Holdings XI, LP (LP)	713,184 00	575,724 00
Rockefeller Access Fund 06-II, LLC (LP)	231,190 00	227,398 54
Rockefeller GA Investors 2006, LP (LP)	1,232,600.00	1,258,457 37
Rockefeller Venture Opportunities Fund, (LP)	1,367,814 00	1,778,347.57
Venrock Partners V, LP (LP)	95,090 00	91,334 50
	<u>5,654,705.00</u>	<u>7,012,190.01</u>
 Grand Totals	 <u>34,182,154.54</u>	 <u>39,587,275.40</u>

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 1

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable				
1	18th Street Arts Complex (18TH) 1639 18th Street Santa Monica, CA, 90404-3807			
		(6610) Contributions - Cash 50% Char	05/15/2009	20,000 00
2	Adirondack Sustainable Communities (ASCM) 484 Hardy Road Wilmington, NY, 12997			
		(6610) Contributions - Cash 50% Char	05/29/2009	40,000.00
3	American Ballet Theatre (AMBALLET) 890 Broadway, 3rd Floor New York, NY, 10003			
		(6610) Contributions - Cash 50% Char	12/28/2009	15,000 00
4	American Dance Festival, Inc (400) Durham, NC			
		(6610) Contributions - Cash 50% Char	11/03/2009	2,700.00
		(6610) Contributions - Cash 50% Char	12/28/2009	30,000 00
		American Dance Festival, Inc. (400) Sub Total		32,700 00
5	American Repertory Theatre (AMERREPT) Loeb Drama Center 64 Brattle Street Cambridge, MA, 02138			
		(6610) Contributions - Cash 50% Char	12/28/2009	30,000 00
6	American Russian Young Artists Orchestra (AMERICAN)			
		(6610) Contributions - Cash 50% Char	02/24/2009	-12,500 00
7	Anti-Social Music (ANTI-SOC) 330 East 75th Street, #3E New York, NY, 10021			
		(6610) Contributions - Cash 50% Char	12/28/2009	10,000 00
8	Apexart (APEXART) 291 Church Street New York, NY, 10013			
		(6610) Contributions - Cash 50% Char	08/13/2009	-3,270 00
9	Arden 2 (AR2) 350 East 17th Street Suite 112 Costa Mesa, CA, 92627			
		(6610) Contributions - Cash 50% Char	03/30/2009	2,800.00
		(6610) Contributions - Cash 50% Char	05/18/2009	30,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 2

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
Arden 2 (AR2) Sub Total:				32,800 00
10	Arts Council of Mongolia (ACOM) 2025 23rd Avenue East Seattle, WA, 98112	(6610) Contributions - Cash 50% Char	12/28/2009	15,000 00
11	Aszure Barton & Artists (ASZURE B) Wall Street Station P O Box 868 New York, NY, 10268	(6610) Contributions - Cash 50% Char	12/28/2009	35,000 00
12	Bank Information Center (BANKINFO) 733 15th Street, NW Suite 1126 Washington, DC, 20005	(6610) Contributions - Cash 50% Char	08/13/2009	-4,513 65
		(6610) Contributions - Cash 50% Char	12/28/2009	20,000.00
Bank Information Center (BANKINFO) Sub Total.				15,486.35
13	Blessed Unrest Theatre (BLESSED) P O Box 749 Grand Central Station New York, NY, 10163	(6610) Contributions - Cash 50% Char	05/18/2009	15,000.00
14	Boulder County Arts Alliance (BOULDER) 2590 Walnut Street, #9 Boulder, CO, 80302-5700	(6610) Contributions - Cash 50% Char	12/28/2009	25,000 00
15	Brooklyn Academy of Music (BRAM) 30 Lafayette Avenue Brooklyn, NY, 11217-1486	(6610) Contributions - Cash 50% Char	05/18/2009	20,000 00
16	Brooklyn Information and Culture (BROO CUL) 647 Fulton Street Brooklyn, NY, 11217	(6610) Contributions - Cash 50% Char	03/25/2009	30,000.00
17	California Institute of the Arts (CAIA) 24700 McBe Parkway Valencia, CA, 91355	(6610) Contributions - Cash 50% Char	05/15/2009	25,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 3

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
18	Carnegie Museum of Art (CARNEG) 4400 Forbes Avenue Pittsburgh, PA, 15213-4080			
		(6610) Contributions - Cash 50% Char	09/04/2009	-3,447 00
19	CEC Artslink (1687) New York, NY,			
		(6610) Contributions - Cash 50% Char	01/14/2009	100,000 00
		(6610) Contributions - Cash 50% Char	05/15/2009	25,000 00
		(6610) Contributions - Cash 50% Char	05/18/2009	20,000 00
		(6610) Contributions - Cash 50% Char	05/18/2009	20,000.00
		(6610) Contributions - Cash 50% Char	05/20/2009	40,000 00
		(6610) Contributions - Cash 50% Char	05/28/2009	8,000 00
		(6610) Contributions - Cash 50% Char	06/02/2009	10,000 00
		(6610) Contributions - Cash 50% Char	08/24/2009	5,000 00
		(6610) Contributions - Cash 50% Char	10/14/2009	4,250.00
		(6610) Contributions - Cash 50% Char	12/28/2009	40,000 00
		(6610) Contributions - Cash 50% Char	12/28/2009	15,000 00
		(6610) Contributions - Cash 50% Char	12/28/2009	25,000 00
		(6610) Contributions - Cash 50% Char	12/28/2009	25,000 00
		(6610) Contributions - Cash 50% Char	12/28/2009	45,000 00
		CEC Artslink (1687) Sub Total:		382,250.00
20	Center for International Theatre Development (CITD)			
		(6610) Contributions - Cash 50% Char	01/14/2009	90,000 00
21	Center for the Study of Ancient Territories (CSAT) 2205 West 11th Street Austin, TX, 78703			
		(6610) Contributions - Cash 50% Char	12/28/2009	12,000 00
22	City of Los Angeles (CITYLA) PO Box 30420 Los Angeles, CA, 90300			
		(6610) Contributions - Cash 50% Char	07/29/2009	20,000 00
23	CounterPulse (COUNTERP) 1310 Mission Street San Francisco, CA, 94103			
		(6610) Contributions - Cash 50% Char	02/02/2009	8,000 00
24	Creative Time (CREATI) 59 East 4th Street, 6E New York, NY, 10003			
		(6610) Contributions - Cash 50% Char	05/21/2009	5,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 4

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
24	Creative Time (CREATI) (cont'd)			
		(6610) Contributions - Cash 50% Char	09/10/2009	5,000 00
		Creative Time (CREATI) Sub Total		10,000 00
25	Crude Accountability Incorporated (CAI) PO Box 2345 Alexandria, VA 22301, VA, 22301			
		(6610) Contributions - Cash 50% Char	12/28/2009	30,000 00
26	Dance New Amsterdam (DANCE NE) 280 Broadway, 2nd Floor New York, NY, 10007			
		(6610) Contributions - Cash 50% Char	05/18/2009	15,000.00
27	Dance Theatre Workshop (DTHRGRP) 219 West 19th Street New York, NY, 10011			
		(6610) Contributions - Cash 50% Char	02/05/2009	95,000 00
28	Denver Zoological Foundation (DENVER) 2900 E 23rd Street Avenue Denver, CO, 80205			
		(6610) Contributions - Cash 50% Char	12/28/2009	10,000.00
29	Disalced (DISCALC) 3 Lafayette Avenue Brooklyn, NY, 11217			
		(6610) Contributions - Cash 50% Char	12/28/2009	50,000 00
30	Dominic Walsh Dance Theater (DWDT) 2311 Dunlavy Street, Suite 210 Houston, TX, 77006			
		(6610) Contributions - Cash 50% Char	12/28/2009	5,000 00
31	Double Edge Theatre (050701K)			
		(6610) Contributions - Cash 50% Char	05/18/2009	50,000 00
32	DOVA Inc (DOVA) 37 West 32nd Street - #4A New York, NY, 10001			
		(6610) Contributions - Cash 50% Char	01/14/2009	36,000 00
33	Earth Island Institute (3123) San Francisco, CA			
		(6610) Contributions - Cash 50% Char	05/29/2009	35,000 00
		(6610) Contributions - Cash 50% Char	05/29/2009	15,000 00
		(6610) Contributions - Cash 50% Char	12/28/2009	25,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 5

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
		Earth Island Institute (3123) Sub Total:		75,000.00
34	EarthCorps (EARTHCOR) 7400 Sand Point Way, NE, Bldg 30 Seattle, WA, 98115	(6610) Contributions - Cash 50% Char	12/28/2009	20,000.00
35	East European Folklife Center (EEUROPE)	(6610) Contributions - Cash 50% Char	05/15/2009	20,000 00
36	ECOLOGIA (3181) Hartford, PA, 18823	(6610) Contributions - Cash 50% Char	07/21/2009	3,000 00
37	Electronic Music Foundation (ELECTM) 116 North Lake Avenue Albany, NY, 12206	(6610) Contributions - Cash 50% Char	05/20/2009	30,000 00
38	Environmental Law Alliance Worldwide (3381) 1877 Garden Ave Eugene, OR, 97403	(6610) Contributions - Cash 50% Char	12/28/2009	15,000 00
39	Eugene O'Neill Memorial Theater Center Inc (EO) 305 Great Neck Road Waterford, CT, 06385	(6610) Contributions - Cash 50% Char	05/18/2009	10,000 00
40	Evergreen State College Foundation (EVERGR) 2700 Evergreen Parkway N W. Olympia, WA, 98505	(6610) Contributions - Cash 50% Char	12/28/2009	20,000 00
41	Fort Point Arts Community, Inc (FORT POI) 300 Summer Street Boston, MA, 02210	(6610) Contributions - Cash 50% Char	06/16/2009	7,500 00
42	FotoFest International (3737)	(6610) Contributions - Cash 50% Char	12/28/2009	15,000.00
43	Foundation for Contemporary Arts (ARTFOUND) 820 Greenwich street New York, NY, 10014	(6610) Contributions - Cash 50% Char	12/28/2009	5,000 00
44	Foundation for Jewish Culture (FOUNJC) 330 Seventh Avenue, 21st Floor New York, NY, 10001	(6610) Contributions - Cash 50% Char	12/28/2009	30,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 6

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
45	Fourth World Laboratory for International Theater (FOURTH W) 660 Riverside Drive, Suite 4J New York, NY, 10031			
		(6610) Contributions - Cash 50% Char	05/21/2009	18,000.00
46	Fractured Atlas Productions, Inc. (FRACTURE) 248 West 35th Street, Suite 1202 New York, NY, 10001			
		(6610) Contributions - Cash 50% Char	05/18/2009	12,000 00
		(6610) Contributions - Cash 50% Char	05/18/2009	20,000 00
		(6610) Contributions - Cash 50% Char	07/17/2009	1,200.00
		(6610) Contributions - Cash 50% Char	12/28/2009	15,000.00
		(6610) Contributions - Cash 50% Char	12/28/2009	10,000 00
		Fractured Atlas Productions, Inc. (FRACTURE) Sub Total		58,200 00
47	GOH Productions (050701)			
		(6610) Contributions - Cash 50% Char	05/20/2009	25,000 00
48	GOH Productions/Seven Loaves, Inc. (4219) New York, NY,			
		(6610) Contributions - Cash 50% Char	12/28/2009	20,000 00
49	Gombin Jewish Historical and Genealogical Society (GOMBIN J) 185 Skyline Drive Highland Mills, NY, 10930			
		(6610) Contributions - Cash 50% Char	05/18/2009	7,500 00
50	Headlands Center for the Arts (HEADC) 944 Fort Barry Sausalito, CA, 94063			
		(6610) Contributions - Cash 50% Char	12/28/2009	6,000.00
51	Henry Street Settlement (4628)			
		(6610) Contributions - Cash 50% Char	05/20/2009	15,000 00
52	HERE Arts Center (HERE ART) 145 6th Avenue New York, NY, 10013			
		(6610) Contributions - Cash 50% Char	11/06/2009	1,000 00
53	International Crane Foundation (4987)			
		(6610) Contributions - Cash 50% Char	06/25/2009	30,000 00

Run Date: 11/08/2010 04.34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 7

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
54	International Film Seminars (INTL FS) 6 East 39th Street, 12th Floor New York, NY, 10016			
		(6610) Contributions - Cash 50% Char	02/20/2009	5,000 00
		(6610) Contributions - Cash 50% Char	09/21/2009	-2,569 00
		International Film Seminars (INTL FS) Sub Total		2,431.00
55	International Society for the Performing Arts (INTSOCIE) 305 7th Avenue, 5th Floor New York, NY, 10001-6008			
		(6610) Contributions - Cash 50% Char	12/28/2009	10,000 00
56	International Studio and Curatorial Program (INTERSTU)			
		(6610) Contributions - Cash 50% Char	05/15/2009	30,000 00
57	Ivy Baldwin Dance (IVY BALD) 191 Willoughby Street Apt 16D Brooklyn, NY, 11201			
		(6610) Contributions - Cash 50% Char	08/14/2009	4,900.00
58	Jacob's Pillow Dance Festival (JPDFEST) P.O. Box 287 Lee, MA, 01238			
		(6610) Contributions - Cash 50% Char	05/20/2009	9,000.00
59	Jewish Community Center East Bay (JEWISH) 1414 Walnut Street Berkeley, CA, 94709			
		(6610) Contributions - Cash 50% Char	05/18/2009	14,000.00
60	Joseph Brodsky Memorial Fellowship Fund (JOBRDSKY)			
		(6610) Contributions - Cash 50% Char	01/27/2009	-570 00
		(6610) Contributions - Cash 50% Char	12/28/2009	25,000 00
		Joseph Brodsky Memorial Fellowship Fund (JOBRDSKY) Sub Total		24,430 00
61	Kennedy Center (KENNEDYC) 2700 F Street, NW Washington, DC, 20566			
		(6610) Contributions - Cash 50% Char	12/28/2009	30,000.00
62	La Mama E T C (LA MAMA)			
		(6610) Contributions - Cash 50% Char	02/02/2009	7,500 00
		(6610) Contributions - Cash 50% Char	05/15/2009	1,800 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 8

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
		La Mama E.T C (LA MAMA) Sub Total.		9,300 00
63	Lill Street Learning Center (LILL STR) 4401 N Ravenswood Chicago, IL, 60640	(6610) Contributions - Cash 50% Char	05/21/2009	1,800.00
64	Lincoln Center for the Performing Arts, Inc (5907)	(6610) Contributions - Cash 50% Char	05/18/2009	35,000.00
65	Location One (LOCATION)	(6610) Contributions - Cash 50% Char	05/15/2009	20,000 00
66	Lucidity Suitcase Intercontinental (LUCIDITY) 1138 McKean Street Philadelphia, PA, 19148	(6610) Contributions - Cash 50% Char	05/15/2009	15,000 00
67	Mabou Mines (6033)	(6610) Contributions - Cash 50% Char	05/18/2009	10,000 00
68	Metropolitan Museum of Art (C1303) 1000 Fifth Ave New York, NY, 10028	(6610) Contributions - Cash 50% Char	12/28/2009	34,000 00
69	Mid Atlantic Arts Foundation (MA ARTS) 201 North Charles Street, Suite 401 Baltimore, MD, 21201	(6610) Contributions - Cash 50% Char	05/29/2009	50,000 00
70	Millennium Film Workshop (MFW) 66 East 4th Street New York, NY, 10003	(6610) Contributions - Cash 50% Char	12/28/2009	11,000 00
71	Missouri Botanical Garden (MBG)	(6610) Contributions - Cash 50% Char	05/29/2009	27,800 00
72	Museum of Jewish Heritage (MUSJEWIS) 36 Battery Place New York, NY, 10280	(6610) Contributions - Cash 50% Char	12/28/2009	10,000 00
73	Music for the Earth, Inc (MEINC) PO Box 1740 Litchfield, CT, 06759	(6610) Contributions - Cash 50% Char	05/18/2009	20,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 9

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
74	New Haven International Festival of Arts and Ideas (NHIFAI) 195 Church Street, 12th Floor New Haven, CT, 06510	(6610) Contributions - Cash 50% Char	12/28/2009	6,000.00
75	New Life Dance, Inc. (NLDANCE) 322 East 11th Street #11 New York, NY, 10003	(6610) Contributions - Cash 50% Char	05/18/2009	18,000.00
76	New Mexico State University (NMSU)	(6610) Contributions - Cash 50% Char	05/20/2009	8,000 00
77	New York City Ballet, Inc (7155) New York State Theater 20 Lincoln Center New York, NY, 10023	(6610) Contributions - Cash 50% Char	12/28/2009	10,000 00
78	New York City Center Inc (NYCCI) 130 West 56th Street New York, NY, 10019	(6610) Contributions - Cash 50% Char	05/20/2009	5,000.00
79	New York Foundation for the Arts (7180) New York, NY	(6610) Contributions - Cash 50% Char	12/28/2009	30,000 00
80	New York University (7228) General P O Box 30826 New York, NY, 10087-0826	(6610) Contributions - Cash 50% Char	08/13/2009	-2,577 00
81	Northeast Document Conservation Center (7318) 100 Brickstone Square Andover, MA, 01810-1494	(6610) Contributions - Cash 50% Char	12/28/2009	15,000 00
82	Northern Forum (NORTHFRM)	(6610) Contributions - Cash 50% Char	05/29/2009	12,500 00
83	NYU Tisch School of the Arts (NYU TISC) 721 Broadway, 12th Floor New York, NY, 10003	(6610) Contributions - Cash 50% Char	11/04/2009	1,800 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 10

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
84	Open Channels New York (OPEN CHA) 161 Chrystie Street New York, NY, 10002			
		(6610) Contributions - Cash 50% Char	12/28/2009	30,000.00
85	Outpost for Contemporary Art (OPCA) PO Box 50780 Los Angeles, CA, 90050			
		(6610) Contributions - Cash 50% Char	10/06/2009	-3,198 00
86	Pacific Environment (PACIFICE) 251 Kearny Street 2nd Floor San Francisco, CA, 94108			
		(6610) Contributions - Cash 50% Char	11/03/2009	110,000 00
		(6610) Contributions - Cash 50% Char	11/03/2009	40,000 00
		Pacific Environment (PACIFICE) Sub Total		150,000 00
87	Palissimo Inc (VINC) 601 W 176th Street Suite 58 New York, NY, 10033			
		(6610) Contributions - Cash 50% Char	12/28/2009	30,000 00
88	Parsons Dance Company (PDC) 229 West 42nd Street, Suite 800 New York, NY, 10036			
		(6610) Contributions - Cash 50% Char	05/20/2009	30,000.00
89	PERFORMA (PERFOR) 327 East 18th Street New York, NY, 10003			
		(6610) Contributions - Cash 50% Char	05/18/2009	10,000.00
90	Performance Space 122 (PERFORMA)			
		(6610) Contributions - Cash 50% Char	07/21/2009	15,000 00
91	Philadelphia Fringe Festival (PFF)			
		(6610) Contributions - Cash 50% Char	05/18/2009	30,000 00
92	Pilchuck Glass School (PILCHCUK) 315 Second Avenue S Seattle, WA, 98104-2618			
		(6610) Contributions - Cash 50% Char	05/21/2009	1,000.00
93	Project for Public Spaces, Inc (PROJEC) 700 Broadway, 4th floor New York, NY, 10003			
		(6610) Contributions - Cash 50% Char	05/29/2009	14,600 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 11

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
94	Quebec Labrador Foundation, Inc (QLF) 39 South Main Street Ipswich, MA, 01938	(6610) Contributions - Cash 50% Char	12/28/2009	40,000 00
95	Research Foundation of State University of N.Y (8352)	(6610) Contributions - Cash 50% Char	10/07/2009	-8,330 04
96	Rosanna Gamson/World Wide Inc (ROSANNA) 343 Laveta Terrace Los Angeles, CA, 90026	(6610) Contributions - Cash 50% Char	12/28/2009	10,000 00
97	Russian Arts Foundation (8615) San Francisco, CA, 94111	(6610) Contributions - Cash 50% Char	12/28/2009	5,000 00
98	San Francisco International Arts Festival (SAN FRAN) 870 Market Street, Suite 1256 San Francisco, CA, 94102	(6610) Contributions - Cash 50% Char	05/15/2009	25,000.00
99	Seagull Films International (SEAGULL) 526 West 111th Street, Suite 2CC New York, NY, 10025	(6610) Contributions - Cash 50% Char	08/14/2009	10,000 00
100	Slought Foundation (SLOUGHT) 4017 Walnut Street Philadelphia, PA, 19104	(6610) Contributions - Cash 50% Char	08/14/2009	5,000 00
101	Southwest Research and Information Center (9099)	(6610) Contributions - Cash 50% Char	12/28/2009	40,000 00
102	St Ann Center for Restoration and the Arts (STANNART) 157 Montague Street Brooklyn, NY, 11201	(6610) Contributions - Cash 50% Char	12/28/2009	50,000.00
103	Symphony Space (9424) 2537 Broadway New York, NY, 10025	(6610) Contributions - Cash 50% Char	12/28/2009	40,000 00
104	Tahoe-Baikal Institute (9442)	(6610) Contributions - Cash 50% Char	05/29/2009	25,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 12

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
104	Tahoe-Baikal Institute (9442) (cont'd)			
		(6610) Contributions - Cash 50% Char	12/28/2009	25,000 00
		Tahoe-Baikal Institute (9442) Sub Total		50,000.00
105	The Catholic University of America (CATHUNIV)			
		(6610) Contributions - Cash 50% Char	05/18/2009	18,000 00
106	The Foundation for a Civil Society (THE FOU) 419 East 57th Street, 14A New York, NY, 10022			
		(6610) Contributions - Cash 50% Char	05/20/2009	150,000 00
107	The Jewish Museum (9886) New York, NY,			
		(6610) Contributions - Cash 50% Char	02/20/2009	4,700 00
108	The Joyce Theater (THE JOYC) 175 Eighth Avenue New York, NY, 10011			
		(6610) Contributions - Cash 50% Char	05/21/2009	30,000.00
109	The Mapleton Community Foundation (MAPLETON) PO Box 365 Mapleton, OR, 97453			
		(6610) Contributions - Cash 50% Char	05/18/2009	15,000.00
110	The Metropolitan Museum of Art (9940) 1000 5th Avenue New York, NY, 10028			
		(6610) Contributions - Cash 50% Char	05/18/2009	35,000 00
111	The Museum of Fine Arts, Houston (FA HOUST) 1001 Bissonnet P.O. Box 6826 Houston, TX, 77265-6826			
		(6610) Contributions - Cash 50% Char	05/18/2009	15,000.00
112	The Museum of Modern Art (C8894) 11 West 53 Street New York, NY, 10019			
		(6610) Contributions - Cash 50% Char	05/20/2009	33,000 00
113	The Nature Conservancy (NC) Membership Department PO Box 17223 Baltimore, MD, 21298-9987			
		(6610) Contributions - Cash 50% Char	12/28/2009	40,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 13

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
114	The New Museum of Contemporary Art (9986)	(6610) Contributions - Cash 50% Char	03/06/2009	26,000 00
115	The Peregrine Fund (10032)	(6610) Contributions - Cash 50% Char	06/25/2009	20,000 00
116	The Phillips Collection (PHILCOLL) 1600 21st Street, NW Washington, DC, 20009	(6610) Contributions - Cash 50% Char	05/20/2009	5,000.00
117	The Public Theater (10059)	(6610) Contributions - Cash 50% Char	10/14/2009	10,000 00
118	The Seldoms (SELDOMS) 2300 S Archer Avenue Apt. 2 Chicago, IL, 60616	(6610) Contributions - Cash 50% Char	12/28/2009	15,000 00
119	The Tank (TANK) 279 Church Street New York, NY, 10013	(6610) Contributions - Cash 50% Char	05/21/2009	10,000 00
120	The Telluride Institute (THE TELL) PO Box 1770 Telluride, CO, 81435	(6610) Contributions - Cash 50% Char	12/28/2009	5,000 00
121	The Tributary Fund (THE TRIB) P.O. Box 608 Bozeman, MT, 59771	(6610) Contributions - Cash 50% Char	12/28/2009	25,000.00
122	The Wild Salmon Center (10203)	(6610) Contributions - Cash 50% Char	05/18/2009	35,000 00
123	The Wooster Group (TWG) P.O Box 654 Canal Street Station New York, NY, 10013	(6610) Contributions - Cash 50% Char	05/15/2009	25,000 00
124	Theatre Communications Group ,Inc (TCG)	(6610) Contributions - Cash 50% Char	01/14/2009	50,000.00
125	TrickLock Company (TRICKLCK) 118 Washington S E Albuquerque, NM, 87108	(6610) Contributions - Cash 50% Char	05/18/2009	27,000 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 14

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
126	University of California (UOCA) 590 University Hall 2200 University Avenue Berkeley, CA, 94720	(6610) Contributions - Cash 50% Char	06/22/2009	35,000 00
127	University of Vermont (10657) Bailey/Howe Library Burlington, VT, 05405	(6610) Contributions - Cash 50% Char	2008 Grant	<35,000.00>
128	Village Harmony (VILLAGEH) 5748 Hollister Hill Rd Marshfield, VT, 05658	(6610) Contributions - Cash 50% Char	12/28/2009	25,000 00
129	Vision Into Art Presents (VISION I) 275 W 73rd Street #2A New York, NY, 10023	(6610) Contributions - Cash 50% Char	12/28/2009	25,000 00
130	Vivian Beaumont Theater, Inc (VIVIAN B) 150 West 65th Street New York, NY, 10023	(6610) Contributions - Cash 50% Char	03/06/2009	5,000 00
131	Vostok, Link (VOSTOK) 4341 Colfax Avenue South Minneapolis, MN, 55409	(6610) Contributions - Cash 50% Char	05/28/2009	2,000.00
		(6610) Contributions - Cash 50% Char	05/20/2009	9,000 00
		(6610) Contributions - Cash 50% Char	12/28/2009	40,000 00
		Vostok, Link (VOSTOK) Sub Total		49,000 00
132	WaxFactory (WAXF) 51 MacDougal Street Suite 302 New York, NY, 10012	(6610) Contributions - Cash 50% Char	05/15/2009	40,000 00
133	WCV, Inc (WCV, INC) 140 Second Avenue, #501 New York, NY, 10003	(6610) Contributions - Cash 50% Char	05/18/2009	12,000 00
134	Wildlife Conservation Society (11149) 2300 Southern Boulevard Bronx, NY, 10460	(6610) Contributions - Cash 50% Char	04/07/2009	-1,561 00

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)

Page 15

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From: 01/01/2009 To: 12/31/2009

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
134	Wildlife Conservation Society (11149) (cont'd)			
		(6610) Contributions - Cash 50% Char	05/29/2009	25,000 00
		Wildlife Conservation Society (11149) Sub Total.		23,439 00
135	Williamstown Art Conservation Center (WAC)			
		(6610) Contributions - Cash 50% Char	12/28/2009	40,000 00
136	Woods Hole Research Center (11305) P O Box 296 13 Church Street Woods Hole, MA, 02543			
		(6610) Contributions - Cash 50% Char	12/28/2009	20,000 00
137	World Music Institute (11346)			
		(6610) Contributions - Cash 50% Char	05/28/2009	10,000 00
138	Yale University (C9040) 1302 Yale Station New Haven, CT, 06520			
		(6610) Contributions - Cash 50% Char	07/31/2009	-186 86
		50% Charitable Sub Total:		<u>3,477,627.45</u>
		Grand Total :		<u>3,477,627.45</u>

Run Date: 11/08/2010 04:34 PM

Rockefeller Trust Company, N.A.
Charitable Contributions Summary Report
Trust For Mutual Understanding (1060)

Page 16

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From: 01/01/2009 To: 12/31/2009

SUMMARY

Account Number	Description	Total
50% Charitable		
6610	Contributions - Cash 50% Char	3,477,627.45
	Sub-Total :	3,477,627.45
	Grand Total :	3,477,627.45

Last Page of Report

Run Date: 11/08/2010 04:34 PM

Leitner, Susan E.

From: dev_parse@nylawyer.com
Sent: Monday, November 08, 2010 4:03 PM
To: Leitner, Susan E.
Subject: receipt:Foundation ads

@TE:THE ANNUAL RETURN OF THE TRUST FOR MUTUAL UNDERSTANDING for the calendar year ended DECEMBER 31, 2009 is available at its principal office located at 10 ROCKEFELLER PLAZA, 3RD FLOOR, NEW YORK, NEW YORK 10020 for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is JENNIFER GOODALE.

name:Susan Leitner
company:c/o Rockefeller Trust Co.
address:10 Rockefeller Plaza, 3rd Fl
city:New York
state:New York
zip code:10020
phone #:212-549-5407
phone #:no
fax:212-549-5527
email:sleitner@rockco.com

Application for Extension of Time To File an
Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ X
 - If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization	TRUST FOR MUTUAL UNDERSTANDING (1060)	Employer identification number
		C/O ROCKEFELLER TRUST COMPANY, N.A.	13-3212724
	Number, street, and room or suite no. If a P.O. box, see instructions	10 ROCKEFELLER PLAZA, 3RD FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	NEW YORK, NY 10020	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|--|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- The books are in the care of ▶ ROCKEFELLER TRUST COMPANY, N.A.

Telephone No ▶ 212 549-5544

FAX No ▶ 212 549-5527

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15/2010 to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ▶ ☒ calendar year 2009 or
▶ ☐ tax year beginning _____, _____, and ending _____, _____

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$ 40,000.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$ 20,000.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 20,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2009)