Form 990

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning and ending

B Check if applicable: Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending [ ]

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: THE GLAUCOMA FOUNDATION, INC.
Doing Business As

Number and street (or P.O. box if mail is not delivered to street address): 80 MAIDEN LANE — Room/suite: 700

City or town, state or country, and ZIP + 4: NEW YORK, NY 10038

D Employer identification number: 13-3174839

E Telephone number: (212)285-0080

G Gross receipts $ 2,097,116.

F Name and address of principal officer: SCOTT CHRISTENSEN
SAME AS C ABOVE

H(a) Is this a group return for affiliates? [ ] Yes [X] No
H(b) Are all affiliates included? [ ] Yes [ ] No
If "No," attach a list (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c) (3) ◀ (insert no) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ GLAUCOMAFOUNDATION.ORG

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶ — L Year of formation: 1984 — M State of legal domicile: NY

Part I Summary

Activities & Governance

1	Briefly describe the organization's mission or most significant activities SEE ATTACHED STATEMENT		
2	Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets		
3	Number of voting members of the governing body (Part VI, line 1a)	3	32
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	32
5	Total number of employees (Part V, line 2a)	5	4
6	Total number of volunteers (estimate if necessary)	6	10
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

		Prior Year	Current Year
Revenue 8	Contributions and grants (Part VIII, line 1h)	1,713,183.	1,636,751.
9	Program service revenue (Part VIII, line 2g)		
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	<539,436.>	<130,949.>
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	<112,984.>	<112,429.>
12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,060,763.	1,393,373.
Expenses 13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	367,153.	354,093.
14	Benefits paid to or for members (Part IX, column (A), line 4)		
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	530,873.	499,115.
16a	Professional fundraising fees (Part IX, column (A), line 11e)		
b	Total fundraising expenses (Part IX, column (D), line 25) ▶		
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	783,956.	751,531.
18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,681,982.	1,604,739.
19	Revenue less expenses Subtract line 18 from line 12	<621,219.>	<211,366.>

		Beginning of Current Year	End of Year
Net Assets or Fund Balances 20	Total assets (Part X, line 16)	2,541,477.	2,889,501.
21	Total liabilities (Part X, line 26)	347,875.	294,668.
22	Net assets or fund balances Subtract line 21 from line 20	2,193,602.	2,594,833.

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IRS-OSC
OGDEN, UT

SCANNED MAY 26 2010

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

▶ Signature of officer — Date 4/29/10

▶ SCOTT CHRISTENSEN, PRESIDENT & CEO
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ Robert J Rosenberg CPA — Date 4/28/10 — Check if self-employed ▶ [ ] — Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ ROSENBERG ZACH & COMPANY LLP
881 ALLWOOD ROAD
CLIFTON, N.J. 07012

EIN ▶

Phone no. ▶ (973) 773-1129

May the IRS discuss this return with the preparer shown above? (see instructions) [ ] Yes [X] No

816

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission: SEE SCHEDULE O FOR CONTINUATION

THE GLAUCOMA FOUNDATION IS A NATIONAL NOT-FOR-PROFIT ORGANIZATION DEDICATED TO ERADICATING GLAUCOMA, THE LEADING CAUSE OF PREVENTABLE BLINDNESS. THE STRATEGY TO ACHIEVE THIS GOAL IS TWO-FOLD: RAISE PUBLIC

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 676,070. including grants of $) (Revenue $)

PUBLIC EDUCATION - SEE FOOTNOTE #1 OF NOTES TO YEAR ENDED 2009 FINANCIAL STATEMENTS

4b (Code:) (Expenses $ 678,989. including grants of $ 354,093.) (Revenue $)

RESEARCH PROGRAM - SEE STATEMENTS 8&9 AND FOOTNOTE #1 OF NOTES TO YEAR ENDED 2009 FINANCIAL STATEMENTS

4c (Code.) (Expenses $ including grants of $) (Revenue $)

4d Other program services (Describe in Schedule O.)

(Expenses $ including grants of $) (Revenue $)

4e Total program service expenses ▶ $ 1,355,059.

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		X
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	X	
11	Is the organization's answer to any of the following questions "Yes"? *If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable*	11	X	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*			
	• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*			
	• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	X	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A: Yes [ ] No X			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	X	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		X

Part IV | Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		X
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U S Information Returns. Enter -0- if not applicable	1a	2			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	4			
b	*If at least one is reported on line 2a, did the organization file all required federal employment tax returns?*			2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* this return (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		X
b	If "Yes," enter the name of the foreign country: ▶					
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		X
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		X
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter:					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions*

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a 32			
b	Enter the number of voting members that are independent	1b 32			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		4		X
5	Did the organization become aware during the year of a material diversion of the organization's assets?		5		X
6	Does the organization have members or stockholders?		6		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		7a		X
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	X	
b	Each committee with authority to act on behalf of the governing body?		8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		9	X	

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a	X	
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	X	
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	X	
11A	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a		X
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b		
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	12c		
13	Does the organization have a written whistleblower policy?	13		X
14	Does the organization have a written document retention and destruction policy?	14		X
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions.)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NY, CA, IL, IN, MD, VA, MA, NJ, PA, WI, WY, MI

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

[X] Own website [ ] Another's website [X] Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶

THE GLAUCOMA FOUNDATION INC. - 212-285-0080
80 MAIDEN LANE SUITE 700, NEW YORK, NY 10038

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order. individual trustees or directors, institutional trustees; officers; key employees; highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
SCOTT CHRISTENSEN PRES & CEO.	40.00			X				233,654.	0.	17,891.
NILDA L. RICHARDS CONTROLLER & ASST TREASU	40.00			X				82,445.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
1b Total ▶								316,099.	0.	17,891.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 1

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization NONE

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 0

Part VIII Statement of Revenue

Section	Line	Description	Box	Amount	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c	359,915.				
	d	Related organizations	1d					
	e	Government grants (contributions)	1e					
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	1,276,836.				
	g	Noncash contributions included in lines 1a-1f $ 49,735.						
	h	**Total.** Add lines 1a-1f ▶			1636751.			
Program Service Revenue			Business Code					
	2 a							
	b							
	c							
	d							
	e							
	f	All other program service revenue						
	g	**Total.** Add lines 2a-2f ▶						
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶			34,756.			34,756.
	4	Income from investment of tax-exempt bond proceeds ▶						
	5	Royalties ▶						
			(i) Real	(ii) Personal				
	6 a	Gross Rents						
	b	Less rental expenses						
	c	Rental income or (loss)						
	d	Net rental income or (loss) ▶						
			(i) Securities	(ii) Other				
	7 a	Gross amount from sales of assets other than inventory	425609.					
	b	Less cost or other basis and sales expenses	591314.					
	c	Gain or (loss)	<165,705.>					
	d	Net gain or (loss) ▶			<165,705.>			<165705.>
	8 a	Gross income from fundraising events (not including $ 359,915. of contributions reported on line 1c). See Part IV, line 18	a	80,845.				
	b	Less direct expenses	b	112429.				
	c	Net income or (loss) from fundraising events ▶			<112,429.>	<112,429.>		
	9 a	Gross income from gaming activities See Part IV, line 19	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from gaming activities ▶						
	10 a	Gross sales of inventory, less returns and allowances	a					
	b	Less cost of goods sold	b					
	c	Net income or (loss) from sales of inventory ▶						
		Miscellaneous Revenue	Business Code					
	11 a							
	b							
	c							
	d	All other revenue						
	e	**Total.** Add lines 11a-11d ▶						
	12	**Total revenue** See instructions. ▶			1393373.	<112,429.>	0.	<130949.>

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	354,093.	354,093.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	361,200.	319,229.	28,896.	13,075.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	137,915.	119,762.	11,033.	7,120.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes				
11 Fees for services (non-employees)				
a Management				
b Legal				
c Accounting				
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other				
12 Advertising and promotion				
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy	76,204.	66,298.	6,096.	3,810.
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	4,006.	3,486.	320.	200.
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a DIRECT MAIL	250,117.	125,058.		125,059.
b OPTIC NERVE THINK TANK	109,479.	109,479.		
c PUBLICATIONS	93,528.	93,528.		
d FUNDRAISING CONSULTANTS	84,339.	42,170.		42,169.
e OFFICE, TELEPHONES, POS	45,763.	39,814.	3,661.	2,288.
f All other expenses	88,095.	82,142.	2,241.	3,712.
25 **Total functional expenses.** Add lines 1 through 24f	1,604,739.	1,355,059.	52,247.	197,433.
26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

Section	Line	Description		(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing		307,499.	1	147,128.
	2	Savings and temporary cash investments		657,309.	2	382,969.
	3	Pledges and grants receivable, net			3	
	4	Accounts receivable, net		208,695.	4	104,757.
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		7,607.	9	23,333.
	10a	Land, buildings, and equipment. cost or other basis. Complete Part VI of Schedule D	10a 71,988.			
	b	Less: accumulated depreciation	10b 67,098.	7,200.	10c	4,890.
	11	Investments - publicly traded securities			11	
	12	Investments - other securities. See Part IV, line 11		1,325,371.	12	2,198,628.
	13	Investments - program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		27,796.	15	27,796.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		2,541,477.	16	2,889,501.
Liabilities	17	Accounts payable and accrued expenses		343,230.	17	203,363.
	18	Grants payable			18	87,500.
	19	Deferred revenue			19	
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities Complete Part X of Schedule D		4,645.	25	3,805.
	26	**Total liabilities.** Add lines 17 through 25		347,875.	26	294,668.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		473,026.	27	345,593.
	28	Temporarily restricted net assets			28	
	29	Permanently restricted net assets		1,720,576.	29	2,249,240.
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building, or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		2,193,602.	33	2,594,833.
	34	Total liabilities and net assets/fund balances		2,541,477.	34	2,889,501.

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990. ☐ Cash ☒ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization	Employer identification number
THE GLAUCOMA FOUNDATION, INC.	13-3174839

Part I Reason for Public Charity Status (All organizations must complete this part) See instructions

The organization is not a private foundation because it is. (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: ____
5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II)
9 ☐ An organization that normally receives· (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)		
(ii) A family member of a person described in (i) above?	11g(ii)		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section **(see instructions)**)	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

LHA **For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.**

Schedule A (Form 990 or 990-EZ) 2009

932021 02-08-10

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	2,437,838.	1,594,189.	2,050,653.	1,713,183.	1,636,751.	9,432,614.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	2,437,838.	1,594,189.	2,050,653.	1,713,183.	1,636,751.	9,432,614.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						2,273,321.
6 **Public support.** Subtract line 5 from line 4						7,159,293.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	2,437,838.	1,594,189.	2,050,653.	1,713,183.	1,636,751.	9,432,614.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	30,481.	35,087.	53,219.	59,979.	34,756.	213,522.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 **Total support.** Add lines 7 through 10						9,646,136.

12 Gross receipts from related activities, etc. (see instructions) | 12 |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) | 14 | 74.22 %

15 Public support percentage from 2008 Schedule A, Part II, line 14 | 15 | 73.29 %

16a **33 1/3% support test - 2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test - 2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10% -facts-and-circumstances test - 2009.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10% -facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from **2008** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Schedule D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, *Part IV, line 6, 7, 8, 9, 10, 11, or 12.*

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE GLAUCOMA FOUNDATION, INC.

Employer identification number
13-3174839

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ____________

4 Number of states where property subject to conservation easement is located ▶ ____________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ____________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ____________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items·

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

(ii) Assets included in Form 990, Part X ▶ $ ____________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items.

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

b Assets included in Form 990, Part X ▶ $ ____________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply).

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	1720576.	2952410.			
b Contributions	24,810.	65,913.			
c Net investment earnings, gains, and losses	532,587.	<1,249,942.>			
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses	28,733.	47,805.			
g End of year balance	2249240.	1720576.			

2 Provide the estimated percentage of the year end balance held as.

a Board designated or quasi-endowment ▶ ______ %

b Permanent endowment ▶ 100.00 %

c Term endowment ▶ ______ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		X
(ii) related organizations	3a(ii)		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other		71,988.	67,098.	4,890.
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				4,890.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
SECURITIES	2,198,628.	END-OF-YEAR MARKET VALUE
Total. (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶	2,198,628.	

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation. Cost or end-of-year market value
Total. (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. (Column (b) must equal Form 990, Part X, col (B) line 15.) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25

1 (a) Description of liability	(b) Amount
Federal income taxes	
CHARITABLE GIFT ANNUITY	3,805.
Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ▶	3,805.

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,393,373.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	1,604,739.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	<211,366.>
4	Net unrealized gains (losses) on investments	4	483,090.
5	Donated services and use of facilities	5	
6	Investment expenses	6	<28,733.>
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	158,240.
9	Total adjustments (net) Add lines 4 through 8	9	612,597.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	401,231.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	1,744,887.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12.				
a	Net unrealized gains on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d	158,240.		
e	Add lines 2a through 2d			2e	158,240.
3	Subtract line 2e from line 1			3	1,586,647.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b	<193,274.>		
c	Add lines 4a and 4b			4c	<193,274.>
5	Total revenue. Add lines 3 and 4c. *(This must equal Form 990, Part I, line 12)*			5	1,393,373.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	1,604,739.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV.)	2d			
e	Add lines 2a through 2d			2e	0.
3	Subtract line 2e from line 1			3	1,604,739.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines 4a and 4b			4c	0.
5	Total expenses Add lines 3 and 4c. *(This must equal Form 990, Part I, line 18)*			5	1,604,739.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4; Part IV, lines 1b and 2b, Part V, line 4; Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information

PART XI, LINE 8: GAAP ADJUSTMENT TO REALIZED LOSS $(158,240)

PART XII, LINE 2D: GAAP ADJUSTMENT TO REALIZED LOSS $(158,240)

PART XII, LINE 4B: FUNDRAISING EXPENSES $(193,274)

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

▶ Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2009
Open To Public Inspection

Name of the organization: THE GLAUCOMA FOUNDATION, INC.
Employer identification number: 13-3174839

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply

a [X] Mail solicitations
b [ ] Internet and email solicitations
c [ ] Phone solicitations
d [ ] In-person solicitations
e [ ] Solicitation of non-government grants
f [ ] Solicitation of government grants
g [X] Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? [X] Yes [ ] No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
SANKY COMMUNICATIONS, INC.	DIRECT MAIL PROGRAM CONSULTANT		X	449,285.	84,339.	364,946.
Total ▶				449,285.	84,339.	364,946.

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a List events with gross receipts greater than $5,000.

		(a) Event #1 BLACK & WHITE BALL (event type)	(b) Event #2 (event type)	(c) Other events NONE (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts	440,760.			440,760.
	2 Less. Charitable contributions	359,915.			359,915.
	3 Gross income (line 1 minus line 2)	80,845.			80,845.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes	27,605.			27,605.
	6 Rent/facility costs	22,354.			22,354.
	7 Food and beverages	53,240.			53,240.
	8 Entertainment				
	9 Other direct expenses	90,075.			90,075.
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				(193,274.)
	11 Net income summary Combine line 3, column (d), and line 10 ▶				<112,429.>

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				( )
	8 Net gaming income summary Combine line 1, column (d), and line 7 ▶				

		Yes	No
9 Enter the state(s) in which the organization operates gaming activities: ____			
a Is the organization licensed to operate gaming activities in each of these states?	9a		
b If "No," explain			
10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a		
b If "Yes," explain.			
11 Does the organization operate gaming activities with nonmembers?	11		
12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12		

			Yes	No
13 Indicate the percentage of gaming activity operated in:				
a The organization's facility	**13a** %			
b An outside facility	**13b** %			
14 Enter the name and address of the person who prepares the organization's gaming/special events books and records. Name ▶ Address ▶				
15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?		**15a**		
b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ______ and the amount of gaming revenue retained by the third party ▶ $ ______				
c If "Yes," enter name and address of the third party: Name ▶ Address ▶				
16 Gaming manager information: Name ▶ Gaming manager compensation ▶ $ ______ Description of services provided ▶ ☐ Director/officer ☐ Employee ☐ Independent contractor				
17 Mandatory distributions:				
a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?		**17a**		
b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $				

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ **Attach to Form 990.**

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization: THE GLAUCOMA FOUNDATION, INC.

Employer identification number: 13-3174839

Part I — General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II — Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000 Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ [ ]

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
XIANJUN ZHU PHD JACKSON LABORATORY - 600 MAIN ST - BAR HARBOR, ME 04609			10,000.	0.			
TOM GLASER MD PHD UNIV MICHIGAN 3003 SO STATE BOX 1274 ANN ARBOR, MI 48109			10,000.	0.			
VINCENT RAYMOND PHD CENTRE HOSPITALIER DE QUEBEC - 2705 BOUL LAURIER - STE-FOY G1V4G2			10,000.	0.			
DAVID SRETAVAN PHD UNIV CALIFORNIA - 1855 FOLSOM ST BOX 0897 - SAN FRANCISCO CA, CA			47,500.	0.			
MICHAEL SCHWARTZ PHD WEISMANN INST - 2 HERZEL ST - REHOVOT 76100			22,500.	0.			
CHRISTOPHER KAI SHUN LEUNG MD CHINESE UNIV OF HONG KONG - 147K ARGYLE ST			28,800.	0.			

2 Enter total number of section 501(c)(3) and government organizations ▶

3 Enter total number of other organizations ▶

LHA **For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.** **Schedule I (Form 990) 2009**

932101 02-02-10

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22
Use Part IV and Schedule I-1 (Form 990) if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information

PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS:

RECIPIENTS MUST SUBMIT ANNUAL REPORTS SHOWING PROGRESS AND EXPENDITURES.

SCHEDULE I-1 **(Form 990)**
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)
▶ **Attach to Form 990 to list additional information for** ***Schedule I (Form 990)*****, Part II or Part III.**

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization: THE GLAUCOMA FOUNDATION, INC.

Employer identification number 13-3174839

Part I **Continuation of Grants and Other Assistance to Governments and Organizations in the United States** (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KEITH GR MARTIN DM CAMBRIDGE CENTER FOR BRAIN REP - FORVIE STE ROBINSON WAY - CAMBRIDGE CB2 OPY			29,895.	0.			
TATJANA JAKOBS MD THE GENERAL HOSPITAL CORP - 55 FRUIT STREET - BOSTON, MA 02114			30,000.	0.			
MICHAEL WALTER PHD UNIVERSITY OF ALBERTA - 8-39 MEDICAL SCIENCES BLDG - EDMONTON ABTG2H7			37,500.	0.			
ALBERTO IZZOTTI MD UNIVERSITY OF GENOA - VIA A PASTORE 1-16132 - GENOA			25,500.	0.			
RICHARD LIBBY PHD. UNIV ROCHESTER 601 ELMWOOD AVENUE ROCHESTER, NY 14642			10,000.	0.			
SURGUCHEVA PHD. MID-WEST BIO MED CTER - 4801 E. LINWOOD BLVD - KANSAS CITY, MO 64128			10,000.	0.			
XIANJUN MU PHD RESEARCH FOUND OF SUNY - 402 CROFTS HALL - BUFFALO, NY			30,000.	0.			
ERIN LAVIK CASE WESTERN RESERVE U 10900 EUCLID AVE CLEVELAND, OH 44106			30,000.	0.			

SCHEDULE I-1
(Form 990)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)
▶ Attach to Form 990 to list additional information for Schedule I (Form 990), Part II or Part III.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization: THE GLAUCOMA FOUNDATION, INC.

Employer identification number 13-3174839

Part I **Continuation of Grants and Other Assistance to Governments and Organizations in the United States** (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
SHABBATH MERBS PHD JOHN HOPKINS U 600 N. WOLFE STREET BALTIMORE, MD 21287			30,000.	0.			
LESS RETURNED GRANTS			<7,602.>	0.			

LHA **For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.** **Schedule I-1 (Form 990) 2009**

932241 02-01-10

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 23.**

▶ **Attach to Form 990.** ▶ **See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: THE GLAUCOMA FOUNDATION, INC.

Employer identification number: 13-3174839

Part I Questions Regarding Compensation

		Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax indemnification and gross-up payments ☒ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		X
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2		X
3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply. ☐ Compensation committee ☐ Written employment contract ☐ Independent compensation consultant ☐ Compensation survey or study ☐ Form 990 of other organizations ☒ Approval by the board or compensation committee			
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization			
a Receive a severance payment or change-of-control payment?	4a		X
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	4c		X
Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.			
5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a The organization?	5a		X
b Any related organization? If "Yes" to line 5a or 5b, describe in Part III	5b		X
6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of.			
a The organization?	6a		X
b Any related organization? If "Yes" to line 6a or 6b, describe in Part III.	6b		X
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		X
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53 4958-4(a)(3)? If "Yes," describe in Part III	8		X
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
SCOTT CHRISTENSEN	(i)	233,654.				17,891.	251,545.	
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
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	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III | Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

PART I, LINE 1B: BASIC GYM MEMBERSHIP PROVIDED FOR ALL EMPLOYEES IF THEY CHOOSE TO PARTICIPATE.

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: THE GLAUCOMA FOUNDATION, INC.

Employer identification number: 13-3174839

Part I — Types of Property

		(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1	Art - Works of art				
2	Art - Historical treasures				
3	Art - Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles				
7	Boats and planes				
8	Intellectual property				
9	Securities - Publicly traded	X	3	49,735.	SALES PROCEEDS
10	Securities - Closely held stock				
11	Securities - Partnership, LLC, or trust interests				
12	Securities - Miscellaneous				
13	Qualified conservation contribution - Historic structures				
14	Qualified conservation contribution - Other				
15	Real estate - Residential				
16	Real estate - Commercial				
17	Real estate - Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ ( )				
26	Other ▶ ( )				
27	Other ▶ ( )				
28	Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgment — 29

			Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		X
b	If "Yes," describe the arrangement in Part II.			
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31		X
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		X
b	If "Yes," describe in Part II			
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II			

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization: THE GLAUCOMA FOUNDATION, INC.

Employer identification number: 13-3174839

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:
AWARENESS CONCERNING THE NECESSITY OF ROUTINE EYE EXAMS, AND FUND CRITICAL RESEARCH TO FIND CURES FOR GLAUCOMA.

FORM 990, PART VI, SECTION B, LINE 11: THE CHIEF EXECUTIVE OFFICER AND THE ASSISTANT TREASURER REVIEW THE FORM 990 BEFORE IT IS FILED.

FORM 990, PART VI, SECTION B, LINE 15: BOARD DETERMINATION

FORM 990, PART VI, SECTION C, LINE 19: FINANCIAL STATEMENTS AND GOVERNING DOCUMENTS ARE MADE AVAILABLE TO THE PUBLIC THROUGH THE ORGANIZATION'S WEBSITE AND UPON DIRECT REQUEST.

FORM 990, PAGE 12, QUESTION #2C
TWO BOARD MEMBERS ARE CERTIFIED PUBLIC ACCOUNTANTS AND ARE RESPONSIBLE FOR SELECTING THE INDEPENDENT ACCOUNTANT. THE PROCEDURE HAS NOT CHANGED FROM PRIOR YEARS.

SCHEDULE R
(Form 990)
Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships
▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization
THE GLAUCOMA FOUNDATION, INC.

Employer identification number
13-3174839

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
N/A			0.	0.	

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity

LHA **For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.**

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(d) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, or 36)

			Yes	No
Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.				
1	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a		
b	Gift, grant, or capital contribution to other organization(s)	1b		
c	Gift, grant, or capital contribution from other organization(s)	1c		
d	Loans or loan guarantees to or for other organization(s)	1d		
e	Loans or loan guarantees by other organization(s)	1e		
f	Sale of assets to other organization(s)	1f		
g	Purchase of assets from other organization(s)	1g		
h	Exchange of assets	1h		
i	Lease of facilities, equipment, or other assets to other organization(s)	1i		
j	Lease of facilities, equipment, or other assets from other organization(s)	1j		
k	Performance of services or membership or fundraising solicitations for other organization(s)	1k		
l	Performance of services or membership or fundraising solicitations by other organization(s)	1l		
m	Sharing of facilities, equipment, mailing lists, or other assets	1m		
n	Sharing of paid employees	1n		
o	Reimbursement paid to other organization for expenses	1o		
p	Reimbursement paid by other organization for expenses	1p		
q	Other transfer of cash or property to other organization(s)	1q		
r	Other transfer of cash or property from other organization(s)	1r		

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization(s)	(b) Transaction type (a-r)	(c) Amount involved
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Are all partners section 501(c)(3) organizations?		(e) Share of end-of-year assets	(f) Disproportionate allocations?		(g) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(h) General or managing partner?	
			Yes	No		Yes	No		Yes	No

Form **4562** Department of the Treasury Internal Revenue Service (99)

Depreciation and Amortization 990

(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2009

Attachment Sequence No 67

Name(s) shown on return	Business or activity to which this form relates	Identifying number
THE GLAUCOMA FOUNDATION, INC.	FORM 990 PAGE 10	13-3174839

Part I Election To Expense Certain Property Under Section 179 Note: *If you have any listed property, complete Part V before you complete Part I*

1	Maximum amount See the instructions for a higher limit for certain businesses	1	250,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	800,000.
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2008 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2010 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	848.
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III **MACRS Depreciation (Do not** include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2009	17	3,581.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2009 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		847.	5 YRS.	MQ	200DB	212.
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27 5 yrs.	MM	S/L	
	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2009 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV **Summary** (See instructions)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	4,641.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles***)**

24a Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☐ **No** **24b** If "Yes," is the evidence written? ☐ **Yes** ☐ **No**

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** *more than 5% owners or related persons*

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2009 tax year					
43 Amortization of costs that began before your 2009 tax year				43	
44 **Total.** Add amounts in column (f) See the instructions for where to report				44	

THE GLAUCOMA FOUNDATION, INC.

FINANCIAL STATEMENTS

DECEMBER 31, 2009 AND 2008

ROSENBERG ZACH & COMPANY LLP
CERTIFIED PUBLIC ACCOUNTANTS

CONTENTS

INDEPENDENT AUDITORS' REPORT

To the Board of Directors
The Glaucoma Foundation, Inc.
New York, New York 10038

We have audited the accompanying statements of financial position of The Glaucoma Foundation, Inc. (a non-profit organization) as of December 31, 2009 and 2008 and the related statements of activities and cash flows for the years then ended, and statement of functional expenses for the year ended December 31, 2009 with comparative totals for the year ended December 31, 2008. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The Glaucoma Foundation, Inc. as of December 31, 2009 and 2008 and changes in net assets and cash flows and functional expenses for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Rosenberg Zach & Company LLP
ROSENBERG ZACH & COMPANY LLP

Clifton, New Jersey
March 15, 2010

THE GLAUCOMA FOUNDATION, INC.
STATEMENT OF FINANCIAL POSITION
DECEMBER 31, 2009 AND 2008

ASSETS

	2009	2008
CURRENT ASSETS		
Cash and cash equivalents	$ 479,485	$ 569,603
Accounts receivable	104,757	208,694
Prepaid expense	23,333	7,607
Total current assets	607,575	785,904
EQUIPMENT, NET	4,890	7,201
OTHER ASSETS		
Assets restricted for permanent endowments		
Cash	20,943	26,082
Investments - equity securities	2,198,628	1,325,371
Investments - money market	29,669	369,123
	2,249,240	1,720,576
Security Deposit	27,796	27,796
Total other assets	2,277,036	1,748,372
TOTAL ASSETS	$2,889,501	$2,541,477
CURRENT LIABILITIES		
Accounts payable and accrued expenses	$ 203,363	$237,415
Grants payable	87,500	105,815
Charitable gift annuity-current portion	840	840
Total current liabilities	291,703	344,070
LONG-TERM LIABILITIES		
Charitable gift annuity-long term portion	2,965	3,805
TOTAL LIABILITIES	294,668	347,875
NET ASSETS		
Unrestricted	345,593	473,026
Permanently restricted	2,249,240	1,720,576
Total net assets	2,594,833	2,193,602
TOTAL LIABILITIES AND NET ASSETS	$2,889,501	$2,541,477

The accompanying notes are an integral part of the financial statements.
See Auditors' Report.

THE GLAUCOMA FOUNDATION, INC.
STATEMENT OF ACTIVITIES
FOR THE YEARS ENDED DECEMBER 31, 2009 AND 2008

	2009				2008			
	2009 Unrestricted	Temporarily Restricted	Permanently Restricted	**2009 Totals**	2008 Unrestricted	Temporarily Restricted	Permanently Restricted	**2008 Totals**
Revenue								
Support, contributions, and other revenue								
Individual and corporate donations	$1,252,026	—	$24,810	**$1,276,836**	$1,081,517	$ —	$65,913	**$1,147,430**
Fundraising benefit	440,760	—	—	**440,760**	698,498	—	—	**698,498**
Board designated restrictions	(503,854)	—	503,854	—	1,297,747	—	(1,297,747)	—
Net assets released from restrictions	—	—	—	—	—	—	—	—
Total support, contributions, and other revenue	1,188,932	—	528,664	**1,717,596**	3,077,762	—	(1,231,834)	**1,845,928**
Investment income								
Interest and dividend income	34,756	—	—	**34,756**	59,979	—	—	**59,979**
Investment management fees	(28,733)	—	—	**(28,733)**	(47,805)	—	—	**(47,805)**
Net unrealized and realized gains (losses) on investments	475,625	—	—	**475,625**	(1,476,933)	—	—	**(1,476,933)**
Total investment income	481,648	—	—	**481,648**	(1,464,759)	—	—	**(1,464,759)**
Total revenue	1,670,580	—	528,664	**2,199,244**	1,613,003	—	(1,231,834)	**381,169**
Expenses								
Operating expenses								
Program services	1,355,059	—	—	**1,355,059**	1,423,628	—	—	**1,423,628**
Management and general	52,247	—	—	**52,247**	55,223	—	—	**55,223**
Fundraising	197,433	—	—	**197,433**	203,131	—	—	**203,131**
Total operating expenses	1,604,739	—	—	**1,604,739**	1,681,982	—	—	**1,681,982**
Fundraising benefit expenses	193,274	—	—	**193,274**	245,729	—	—	**245,729**
Total expenses	1,798,013	—	—	**1,798,013**	1,927,711	—	—	**1,927,711**
Change in net assets	(127,433)	—	528,664	**401,231**	(314,708)	—	(1,231,834)	**(1,546,542)**
Net assets, beginning of year	473,026	—	1,720,576	**2,193,602**	787,734	—	2,952,410	**3,740,144**
Net assets, end of year	$ 345,593	—	$2,249,240	**$2,594,833**	$473,026	—	$1,720,576	**$2,193,602**

The accompanying notes are an integral part of the financial statements.
See Auditors' Report.

THE GLAUCOMA FOUNDATION, INC.
SUPPLEMENTARY INFORMATION
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED DECEMBER 31, 2009 (WITH SUMMARIZED INFORMATION IN TOTAL FOR 2008)

	Education Program	Medical Research Program	Total Program Services	Management and General	Fundraising	2009 Total Expenses	2008 Total Expenses
Salaries, payroll taxes and employee benefits	$ 286,876	$ 152,115	$ 438,991	$ 39,929	$ 20,195	$ 499,115	$ 530,873
Research grants	—	354,093	354,093	—	—	354,093	367,153
Publications	93,528	—	93,528	—	—	93,528	85,357
Optic Nerve Think Tank	—	109,479	109,479	—	—	109,479	121,814
Community outreach	23,526	5,882	29,408	—	—	29,408	34,821
Direct mail	125,058	—	125,058	—	125,059	250,117	269,120
Fundraising consultants	42,170	—	42,170	—	42,169	84,339	77,704
Conventions and travel	8,925	8,925	17,850	—	—	17,850	18,979
Personnel recruiting and advertising	4,141	—	4,141	—	—	4,141	8,287
Occupancy	41,913	24,385	66,298	6,096	3,810	76,204	68,429
Computer and internet expense	2,419	1,407	3,826	352	220	4,398	4,357
Professional fees	12,984	7,554	20,538	1,889	1,180	23,607	29,573
Office, insurance, telephone, equipment rental, messengers, and miscellaneous expenses	25,947	13,867	39,814	3,661	2,288	45,763	49,477
State filing fees	2,311	—	2,311	—	2,312	4,623	4,477
Depreciation expense	2,204	1,282	3,486	320	200	4,006	7,576
Chapter expenses	4,068	—	4,068	—	—	4,068	3,985
Total expenses other than personnel expenses	389,194	526,874	916,068	12,318	177,238	1,105,624	1,151,109
Total expenses FYE 12/31/09	$676,070	$678,989	$1,355,059	$52,247	$197,433	$1,604,739	
Total expenses FYE 12/31/08	$705,271	$718,357	$1,423,628	$55,223	$203,131		$1,681,982

The accompanying notes are an integral part of the financial statements.
See Auditors' Report.

THE GLAUCOMA FOUNDATION, INC.
STATEMENT OF CASH FLOWS
YEARS ENDED DECEMBER 31, 2009 AND 2008

	2009	2008
CASH FLOWS FROM OPERATING ACTIVITIES		
Change in net assets	$ 401,231	$(1,546,542)
Adjustments to reconcile changes in net assets to net cash provided (used) by operating activities:		
Depreciation	4,006	7,576
Net unrealized and realized (gains) losses on investments	(475,625)	1,476,932
Changes in assets and liabilities which provided (used) cash:		
Accounts receivable	103,937	(50,971)
Prepaid expense	(15,726)	15,399
Accounts payable and accrued expenses	(70,682)	(7,338)
Grants payable	18,315	31,657
Net cash (used) by operating activities	(34,544)	(73,287)
CASH FLOWS FROM INVESTING ACTIVITIES		
Acquisition of equipment and computer software	(1,695)	(5,378)
Assets restricted for permanent endowment	344,593	(326,546)
Purchases of investments and reinvested dividends	(823,241)	(679,261)
Sales proceeds of investments	425,609	760,709
Net cash (used) by investing activities	(54,734)	(250,476)
CASH FLOWS FROM FINANCING ACTIVITIES		
Payments of charitable gift annuity	(840)	(840)
Net cash (used) by financing activities	(840)	(840)
Net (decrease) in cash	(90,118)	(324,603)
Cash and cash equivalents, beginning of year	569,603	894,206
Cash and cash equivalents, end of year	$ 479,485	$ 569,603

The accompanying notes are an integral part of the financial statements
See Auditors' Report

NOTE 1. NATURE OF OPERATIONS

The Glaucoma Foundation, Inc. ('Foundation') is a not-for-profit organization, incorporated in New York State in 1984 and founded to stimulate and support basic and applied research in glaucoma, to gain and disseminate new information about the biological causes and treatment of glaucoma, and to identify and develop novel approaches to preserve visual function and reversal of blindness caused by glaucoma.

The major programs are described as follows:

Public education

To encourage routine eye examinations for everyone and in order to halt potential blindness from glaucoma, the Foundation provides free literature, a toll-free hotline and an internet website which includes an interactive e-mail based support group and public service announcements. In 2006, the foundation initiated chapters in various parts of the United States to disseminate educational material and promote the programs of the foundation.

Medical Research Program

The Foundation raises funds for research into the causes of glaucoma, improvement of existing methods of treatment, and development of cures. Currently, the research priorities are: optic nerve regeneration and molecular genetics. The Foundation hosts the Annual Scientific Think Tank on Optic Nerve Rescue and Restoration which brings together experts from around the world working in related - but different - disciplines, in order to provide creative insights into the mysteries of glaucoma.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A summary of the significant accounting policies consistently applied in the preparation of the accompanying financial statements follows:

a. Financial Statement Presentation

The Foundation's financial statements are prepared in accordance with the provisions of FASB, "Financial Statements of Not-for-Profit Organizations" which establishes standards for general purpose, external financial statements of financial position, activities, cash flows, and functional expenses. It also requires that an organization's net assets and its revenues, expenses, gains and losses be classified based on the existence or absence of donor imposed restrictions.

The financial statements include certain prior-year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with generally accepted accounting principles. Accordingly, such information should be read in conjunction with the Foundation's financial statements for the year ended December 31, 2008.

b. Net Assets

All financial transactions have been recorded as either unrestricted, temporarily restricted or permanently restricted net assets:

- Unrestricted net assets consist of investments and otherwise unrestricted amounts that are available for use in carrying out the mission of the Foundation and include those expendable resources which have been designated for special use by the Board.

- Temporarily restricted net assets represent those amounts which are donor restricted for specific purposes. When a donor restriction expires, that is, when a stipulated time restriction ends or a purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

- Permanently restricted net assets result from contributions from donors who place restrictions on the use of the funds which mandate that the original principal be invested in perpetuity. This original principal is reported as a permanently restricted net asset, the income from which may be either temporarily restricted or unrestricted, depending on the donor's specifications.

c. Depreciation

Equipment is recorded at cost. Depreciation is calculated using the declining balance method based on the estimated useful lives of the respective assets. Additions are capitalized; routine expenditures for maintenance and repairs are expensed as incurred.

d. Contributions

The Foundation follows FASB "Accounting for Contributions Received and Contributions Made." In accordance with this standard, contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support depending on the existence and nature of any donor stipulations. Unconditional pledges receivable that are to be received in the future are time restricted and increase temporarily restricted net assets. When a donor stipulation expires or its purpose is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions. Donor restricted contributions whose restrictions are met in the same reporting period are reported as unrestricted support.

e. Revenue

All gains and losses arising from the sale, collection or other disposition of investments are accounted for as unrestricted net assets. Interest and dividend income from investments are accounted for as unrestricted net assets.

f. Income Taxes

The Foundation is classified for tax purposes as a public charity organization under Section 501(c)(3) of the Internal Revenue Code. Accordingly, income taxes have not been provided for in the accompanying financial statements. In addition, the Foundation has been determined by the Internal Revenue Service not to be a private foundation within the meaning of section 509(a)(1) of the Code.

In June 2006, the Financial Accounting Standards Board issued the interpretation, *Accounting for Uncertainty in Income Taxes*, which prescribed a comprehensive model for how an organization should measure, recognize, present, and disclose in its financial statements uncertain tax positions that the organization has taken or expects to take on a tax return. The Glaucoma Foundation, Inc. adopted this interpretation as of January 1, 2009 and, thereafter, recognized the tax benefits from uncertain tax positions only if it is more likely than not that the tax position will be sustained on examination by taxing authorities, based on the technical merits of the position. Using that guidance, as of December 31, 2009, the organization has no uncertain tax positions that qualify for either recognition or disclosure in the financial statements.

g. Cash Equivalents

The Foundation considers certificates of deposits with a maturity of less than one year as cash equivalents.

h. Investments

The Foundation adopted FASB "Accounting for Certain Investments Held by Not - For - Profit Organizations." In accordance with this standard, all investments in equity securities with readily determinable fair values and investments in debt securities are recorded at fair value in the statement of financial position.

i. Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

j. Subsequent Events

The organization has evaluated all subsequent events through March 15, 2010, the date the financial statements were available to be issued.

k. Reclassifications

Certain amounts for the year ended December 31, 2008, have been reclassified for comparative purposes to conform to the presentation used in the December 31, 2009 financial statements. The reclassifications have no effect on net assets for the year ended December 31, 2008.

NOTE 3. EQUIPMENT

Equipment is stated at cost and consists of the following:

	2009	2008
Software	$ 36,579	$ 36,579
Equipment	35,409	33,714
Total	71,988	70,293
Less accumulated depreciation	67,098	63,092
	$ 4,890	$ 7,201

Depreciation expense for the years ended December 31, 2009 and 2008 amounted to $4,006 and $7,576, respectively.

NOTE 4. INVESTMENTS

Investments consist of the following:

	2009	2008
Marketable securities:		
Cost	$1,811,385	$ 1,579,458
Market	$2,198,628	$ 1,325,371

The following schedule summarizes unrealized and realized gains and losses on investments and classification in the statement of activities for the years ended December 31, 2009 and 2008:

	2009	2008
Interest and dividend income	$34,756	$59,979
Unrealized gains (losses)	483,090	(671,022)
Realized gains (losses)	(7,465)	(805,911)
Investment management fees	(28,733)	(47,805)
	$481,648	$(1,464,759)

NOTE 5. BENEFITS

The Foundation hosted fund raising benefits during the years ended December 31, 2009 and 2008. The revenue and expenses from the benefits were as follows:

2009	Revenue	Expenses	Net Revenue
Black & White Ball	$ 440,760	$ 193,274	$ 247,486

2008	Revenue	Expenses	Net Revenue
Black & White Ball	$ 698,498	$ 245,729	$ 452,769

In-kind contributions were donated for the above fund raising events and valued at their market price on date of donation. In-kind contributions received for the years ended December 31, 2009 and 2008 were recorded at $27,605 and $57,945, respectively.

NOTE 6. FUNCTIONAL ALLOCATION OF EXPENSES

The costs of providing the various programs and other activities have been summarized on a functional basis in the statement of activities. Accordingly, certain costs have been allocated among the programs and supporting services benefited. The statement of functional expenses is presented only for the year ended December 31, 2009, with comparative totals for the year ended December 31, 2008.

NOTE 7. NET ASSETS

a. Temporarily restricted

Temporarily restricted net assets at December 31, 2009 and 2008 are available for the following purposes:

2009	2008
$ —	$ —

b. Permanently restricted

Permanently restricted net assets as of December 31, 2009 and 2008 are restricted to investment in perpetuity, the income from which is available to ensure the long-term continuation of cutting-edge research programs and to guarantee the permanent financial health of The Glaucoma Foundation. The board of directors designated $503,854 of unrestricted net assets to become permanently restricted net assets in 2009. The Board of Directors designated $1,297,747 of permanently restricted net assets to become unrestricted net assets in 2008.

	2009	2008
Endowments	$2,249,240	$1,720,576

NOTE 8. PROMISES TO GIVE

Included in Accounts Receivable are the following unconditional promises to give:

	2009	2008
Unrestricted	$104,757	$208,694

NOTE 9. GRANTS PAYABLE

During 2009 and 2008, the Glaucoma Foundation, Inc. recorded grants payable for the medical research program. The grants are payable currently. At December 31, 2009 and 2008, grants payable amounted to $87,500 and $105,815 respectively.

NOTE 10. GIFT ANNUITY PAYABLE

On August 15, 2002, the Foundation received $10,000 to support the fight against glaucoma and was recognized as a charitable gift annuity payable. The Foundation is obligated to pay the donor for the donor's life, an annual annuity of $840 in quarterly payments of $210 that commenced October 1, 2002. The amount was computed using actuarial tables.

The required future annuity payments are as follows:

2010	$ 840
2011	840
2012	840
2013	840
2014	445
	$3,805

During 2008 and 2007, the Foundation made annual annuity payments of $840, respectively.

NOTE 11. FAIR VALUE MEASUREMENTS

The organization's investments are reported at fair value in the accompanying statement of activities and changes in net assets.

	December 31, 2009 Equity Securities	December 31, 2008 Equity Securities
Fair Value	$2,198,628	$1,325,371
(Level 1) Quoted Prices in Active Markets for Identical Assets	$2,198,628	$1,325,371

FASB "*Fair Value Measurements*," establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices inactive markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and Level 3 inputs have the lowest priority. The organization uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments.

When available, the organization measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. No Level 2 or 3 inputs were used for the organization's investments.

Level 1 Fair Value Measurements
The fair value of equity securities is based on quoted net asset value of the shares held by the organization at year-end.

Gains and losses (realized and unrealized) included in changes in net assets for the years ended December 31, 2009 and 2008 are reported in the net appreciation or depreciation in fair value of the investments.

NOTE 12. CONCENTRATION OF CREDIT RISK

Financial instruments which potentially subject the Foundation to significant concentrations of credit risk consist principally of cash, revenue and pledges receivable.

The Foundation maintains its cash at one financial institution. Accounts at the institution are insured by the Federal Deposit Insurance Corporation up to $100,000. Effective October 2008, accounts are insured up to $250,000. Uninsured amounts aggregated approximately $0 and $58,000 at December 31, 2009 and 2008 respectively.

Approximately 26% of the gross revenues for the year ended December 31, 2009 and approximately 38% of the gross revenues for the year ended December 31, 2008 was earned from one special event.

At December 31, 2009, over 10% of accounts receivable was due from one entity. At December 31, 2008, over 22% of accounts receivable was due from one entity and one individual.

For the year ended December 31, 2009, approximately 57% of donations (including donations from fundraising event) were from twenty five individuals and entities. For the year ended December 31, 2008, approximately, 51% of donations (including donations from fundraising event) were from twenty two individuals and entities.

NOTE 13. RETIREMENT PLAN

The Foundation participates in a retirement plan which is a Simple Savings Incentive Match Plan for the benefit of all eligible professional and support staff employees who qualify under applicable participation requirements. Fixed dollar contributions are remitted monthly to a trustee. Upon retirement, the vested benefit (full immediate vesting) will be distributed to each participant. Contributions made by the Foundation to the Plan amounted to $12,280 in 2009 and $12,851 in 2008.

NOTE 14. COMMITMENTS

a. Property lease

On August 5, 2004 the foundation entered into a lease agreement for office space effective November 1, 2004 for five years and 3 months (63 months) expiring in 2010.

On November 14, 2007, the Foundation entered into an agreement to amend the lease dated August 5, 2004. The Foundation agreed to vacate their office space and move within the same building to new office space. The landlord agreed to pay all moving costs of the Foundation and renovation costs of the new office space. In addition, the lease was extended for seven years from the date of occupancy of the new premises. The Foundation has the option to renew the lease for an additional five years after the expiration date.

Future minimum lease commitments under this operating lease are presented below:

2010	$ 74,377
2011	76,609
2012	78,907
2013	81,274
2014	83,713
	$394,880

Rent expense amounted to $72,813 and $64,741 for 2009 and 2008, respectively.

b. Equipment leases

The Foundation has entered into operating lease agreements to lease a copying machine and a postage machine. Effective August 4, 2005, the Foundation entered into a lease agreement for a copying machine which expired in November 2008. Effective August, 2008, the Foundation entered into a lease agreement for a copying machine which expires in November, 2011. On October 27, 2004 the postage machine lease was renewed for a period of five years expiring October 26, 2009.

Future minimum lease commitments under these operating leases are presented below:

2010	5,724
2011	5,247
	$10,971

Equipment lease expense for the years ended December 31, 2009 and 2008 amounted to $5,724 and $7,529, respectively.

tgf

The Glaucoma Foundation

Statement of Purpose/Mission Statement

The Glaucoma Foundation is an international not-for-profit organization dedicated to eradicating glaucoma, the leading cause of preventable blindness. The strategy to achieve this goal is two-fold: raise public awareness concerning the necessity of routine eye exams, and fund critical research to find cures for glaucoma.

Program Activities

Medical Research: The Glaucoma Foundation awards grants-in-aid for glaucoma research in the following disciplines:

1. Optic Nerve Rescue and Restorations
   - Neuroprotectants and their role in glaucoma
   - Reversal of dysfunction of damaged retinal ganglion cells
   - Retinal ganglion cell axonal regeneration
   - Optic nerve transplantation
2. Molecular Genetics
   - Research into the genetic causes of the various forms of glaucoma, particularly the identification of the responsible genes, with the long term goal of finding ways to reverse these genetic defects.
3. Nanotechnology
   - Research into the use of nanotechnology for monitoring IOP, diagnosing and monitoring damage to the optic nerve and delivering drugs and other therapies.

Grants are awarded for a one-year period and are renewable. The maximum amount of funds awarded for a one-year period is $40,000 per grantee. Researchers may also apply for a one-time expanded grant renewal up to $50,000 for a second year. Funds are not to be used for salaries, personnel support, overhead or other indirect costs. All requests for grants must be made in writing on The Foundation's application form and supporting documentation must include a description of the objectives, background, methodology, significance, any preliminary results, and budget for the project.

The Foundation also sponsors the annual Scientific Think Tank on Optic Nerve Rescue and Restoration, a worldwide collaboration of leading scientists and researchers pooling their efforts to seek a way to reverse optic nerve damage.

Public Education: The Glaucoma Foundation distributes the following publications on request to the general public at no charge:

- a 20-page color brochure, *Doctor, I Have a Question*, a guide for patients and their families
- a quarterly newsletter, *Eye to Eye*
- a leaflet, *Glaucoma: What You Need to Know*, a brief guide describing glaucoma and treatment for glaucoma.

tgf

The Glaucoma Foundation

Solicitation Plan for Fundraising

The Glaucoma Foundation solicits funds or plans to solicit funds from individuals, corporations and foundations through a variety of methods including:

1. At the quarterly meeting on August 13, 1997, the Board of Directors of The Glaucoma Foundation, Inc., approved a proposal to begin an endowment campaign for glaucoma research with a goal of $5 million. Solicitations for this campaign will include personal solicitation of individuals, corporations, and foundations by members of the Board of Directors and Foundation staff.

2. Direct mail to readers of The Foundation's free quarterly newsletter, *Eye to Eye*, and to individuals on lists to be purchased or rented by The Foundation.

3. Corporations will be solicited through a variety of methods to meet the requirements of each corporation. These include the submission of completely developed proposals for specific project support, solicitation letters for general operating support, and in-person solicitation by members of The Foundation's Board of Directors and staff for project support and/or operating support.

4. Foundations that provide funding in the areas of health and human services will receive solicitations proposals for specific project support and/or general operating support based on the requirements and limitation of each foundation.

5. Special event: The annual Black and White Ball in early winter is a black tie dinner/dance held at one of New York City's landmark locations. The event is attended by approximately 350 guests from the financial community and medical profession. Other facets of this event include the publication of an advertising journal, a silent auction of donated prizes and a raffle of donated prizes.

Officers of the Corporation

Chairman	Gregory K. Harmon, M.D. Director, Glaucoma Service New York Presbyterian Hospital 520 East 70th Street, Suite 823 New York, NY 10021
President and Chief Executive Officer	Mr. Scott R. Christensen 8 Inness Place Manhasset, NY 11030
Assistant Treasurer	Ms. Nilda L. Richards 34 Cornell Avenue Staten Island, NY 10310
Vice President and Secretary	Robert Ritch, M.D New York Eye & Ear Infirmary 310 East 14th Street New York,NY 10003
Assistant Secretary	Kira A. Zmuda 21 Maiden Ln. 6B New York, NY 10038

The Glaucoma Foundation Staff list 2009

Staff List

Scott Christensen
President & Chief Executive Officer

Angela Cooley
Director of Fundraising

Kira Zmuda
Director of Research & Events

Nilda Richards
Controller & Assistant Treasurer

Key Staff List
As of March 2010

Dr. Gregory K. Harmon, Chairman
(unpaid volunteer)

Scott R. Christensen, President and Chief Executive Officer

Nilda Richards, Controller and Assistant Treasurer

Kira Zmuda, Director of Research and Events

Angela Cooley, Director of Fundraising

Individual Responsible for Custody of Records

Nilda Richards, Assistant Treasurer

Individuals Authorized to Sign Checks

Gregory K. Harmon, M.D., Chairman;
Scott R. Christensen, President and Chief Executive Officer
Joseph M. La Motta, Chairman Emeritus
Robert Ritch, M.D., Vice President & Secretary

All checks of $2,500 or more require 2 signatures or
the personal approval of the Chairman.

Individuals Responsible for Custody and Distribution of Funds

Chairman
Gregory K. Harmon, M.D.
Director, Glaucoma Service
New York Presbyterian Hospital
520 East 70th Street, Suite 823
New York, NY 10021
(212) 746-2475

President and Chief Executive Officer
Mr. Scott R. Christensen
8 Inness Place
Manhasset, NY 11030

Vice President and Secretary
Robert Ritch, M.D.
Professor and Chief, Glaucoma Service
New York Eye & Ear Infirmary
310 East 14th Street
New York, NY 10003
(212) 477-7540

Banking and Other Financial Institutions

Brokerage Account
Treasury Partners
Div. of High Tower Sec.
505-5th Ave. 14th Fl.
New York, NY 10017
(917)286-2770
Account Number: 395-11339 263

Brokerage Account-Endow.
Treasury Partners
Div. of High Tower Sec.
505-5th Ave. 14th Fl.
(917) 286-2770
A/C #: 395-31798 263 -Luntz
A/C #: 395-04565 263 -Mendelsohn

Business Checking
J.P.Morgan Chase Bank
255 First Avenue
New York, NY 10003
(212) 935-9935
Account Number: 079-3602483

Neuberger Berman
605 Third Ave.
New York, NY 10158-3698
(212) 476-5911
Account Number: 550-00071 002

The Glaucoma Foundation
Board of Directors
(As of February 2009)

Gregory K. Harmon, M.D.
Chairman of the Board
205 East 64th Street, Suite 101
New York, NY 10021

Mr. Joseph M. La Motta
Chairman Emeritus
69 Black Brook Road
Pound Ridge, NY 10576-1444

Robert Ritch, M.D.
The New York Eye & Ear Infirmary
310 East 14th Street
New York, NY 10003

Mr. William C. Baker
Chair, Events Committee
7 East 78th Street
New York, NY 10021

Mr. Stephen D. Barkin
Chair, Nominating Committee
60 East 42nd Street
New York, NY 10165

Mr. Joseph M. Cohen
Chairman
J.M.Cohen & Company
70 East 55th Street, 16th Floor
New York, NY 10022

Mr. Peter J. Crowley
3 Manursing Way
Rye, NY 10580

Mr. David G. Cushman
601 South Figueroa Street, Suite 4700
Los Angeles, CA 90017-5752

Rutledge Ellis-Behnke, Ph.D.
Massachusetts Institute of Technology
43 Vassar Street, 46-6007
Cambridge, MA 02139

Mr. David Fellows
Vistakon
7500 Centurion Parkway
Jacksonville, FL 32256

Murray Fingeret, O.D.
SUNY College of Optometry
183 Lakeview Drive
Hewlett, NY 11557

Barry Friedberg
FriedbergMilstein, LLC
6 East 43rd Street – 3rd Fl.
New York, NY 10017

Ms. Ilene Giaquinta
35 Sutton Place, Apartment 15B
New York, NY 10022

Ms. Debora Grobman, Esq.
179 East 70th Street, Apartment 8B
New York, NY 10021-5109

Ms. Barbara W. Hearst
0 Tradd Street
Charleston, SC 29401

Mr. Chuck F.V. Imhof
American Airlines Inc.
150 East 42nd Street, 4th Floor
New York, NY 10017

Gerald Kaiser, Esq.
2 Chestnut Court
Old Westbury, NY 11568

Paul Kaufman, M.D.
University of Wisconsin-Madison
Clinical Science Center F4/328-3220
600 Highland Avenue
Madison, WI 53792-3284

Theodore Krupin, M.D.
Northwestern University Medical School
676 North St. Clair, Suite 320
Chicago, IL 60611

Ms. Susan LaVenture
National Association for Parents of Children with Visual Impairments
175 North Beacon Street
Watertown, MA 02472

Mr. Martin R. Lewis
Martin R. Lewis Associates
1140 Broadway, Suite 706
New York, NY 10001

Jeffrey M. Liebmann, M.D.
Manhattan Eye, Ear & Throat Hospital
New York University Medical Center
310 East 14th Street, Suite 304
New York, NY 10003

Maurice H. Luntz, M.D.
Fromer Eye Centers
550 Park Avenue
New York, NY 10021

Mr. Kenneth Mortenson
Chair, Investment Committee
21 South End Avenue, PH-1D,
New York, NY 10280

Ms. Susan A. Murphy
39 Via Pampa
Santa Fe, NM 87506

Mr. Sheldon M. Siegel
2199 NW 59th Street
Boca Raton, FL 33496

James C. Tsai, M.D.
Yale School of Medicine
Yale Eye Center
40 Temple Street, Suite 1B
New Haven, CT 06510

Ms. Mary Jane Voelker
5119 Mojave Drive
Pueblo, CO 81005

Mr. Irving Wolbrom
785 Fifth Avenue
New York, NY 10022-1696

Alcon Laboratories, Inc.
Robert Warner
6201 South Freeway
Mail Code TA6-4

Allergan, Inc.
Julian Gangolli
2525 Dupont Drive
Irvine, CA 92612

Pfizer, Inc.
Tracy M. Valorie
235 East 42nd Street 219/08/05
New York, NY 10017

Chapter Updates 2009

The Glaucoma Foundation (TGF) has chapters in Chicago, Illinois; Boston (New England), Massachusetts; Long Island, New York; and New York City, New York (Glaucoma Support and Education Group (GSEG)). The purpose of the chapters is educating the public about glaucoma and giving support to patients, caretakers, family members, and friends. None of the chapters are fundraising arms of TGF. Both GSEG and the New England Chapter are TGF's most active chapters. GSEG has held monthly education sessions for the past 15 years, while the New England chapter has started to hold monthly sessions in 2007. The Long Island chapter is the newest offshoot of TGF and it held its first meeting in November 2009.

2009 RESEARCH GRANTS

TOM GLASER, MD, PhD
University of Michigan Medical School, Ann Arbor

ATOH7 (Math5) Mutations in Optic Nerve Aplasia

Retinal ganglion cell (RGC) neurons and their axons in the optic nerve are the targets of glaucoma disease pathology. This project studies ATOH7, a major gene discovered by the project team that controls the first step in the formation of RGC's from embryonic retinal stem cells. The project explores how mutations, identified within or near ATOH7, cause congenital absence of the optic nerve in two families. In one, they will compare the molecular properties of normal and mutant ATOH7 protein products. In the other, they will find the exact DNA change that cuases this disease by high-resolution genomic analysis. Complementary studies will test whether halving the ATOH7 gene dosage affects the number of optic nerve axons. The results should help to guide future studies on RGC regeneration and optic nerve disease.

ALBERTO IZZOTTI, MD, PhD
University of Genoa, Italy

Analysis of Mitochondrion Involvement in the Pathogenesis of Primary Open-Angle Glaucoma

Glaucoma patients might have a genetic predisposition, rendering them more susceptible to free radical-induced damage. However, the source of oxidative stress remains to be identified. The aim of the study is to identify the relationship between oxidative stress and mitochondrial damage. In this study, mitochondrion-related molecular endpoints will be tested in the trabecular meshwork, the tissues involved in the regulation of aqueous humor outflow from the anterior chamber. Obtained data will be useful to clarify the interplay among different processes during primary open-angle glaucoma pathogenesis with particular reference to the sources of endogenous oxidative stress.

(2 Hospitals one Grant)

TATJANA C. JAKOBS, MD
Massachusetts General Hospital, Boston, MA

Single-Cell Imaging of Optic Nerve Astrocytes in Glaucoma

Ganglion cells are the only neurons in the retina that send axons to the brain via the optic nerve. Glaucoma leads to a progressive and irreversible loss of these cells, thereby severing the connection of an otherwise functional retina with the brain. Recent evidence suggests that a non-neural cell type in the optic nerve, astrocytes, might play an active role in the disease. Using a transgenic mouse strain in which astrocytes are labeled with a fluorescent protein and IOP has been increased, this project will follow damage in the optic nerve, especially during early stages of the disease. The goal is to visualize individual astrocytes in more detail than has been possible before.

ERIN LAVIK, SB, SM, ScD
Case Western Reserve University, Cleveland, Ohio

A Minimally Invasive Drug Delivery Approach to Modify the ECM and Promote Neural Regeneration in a Model of Glaucoma

Vision loss associated with glaucoma arises as a result of the loss of retinal ganglion cells and degeneration of the optic nerve. The neural degeneration in glaucoma is accompanied by extensive remodeling of the extracellular matrix, the environment of the optic nerve that inhibits repair. The degeneration also includes the loss of retinal ganglion cells. We propose to make the environment permissive for repair and replace the retinal ganglion cells. We will alter the environment by delivering a drug, AG1478, that has been shown to alter the environment and promote regeneration of the optic nerve. We will deliver the drug over 4 months, the time we estimate will be needed to promote repair, from injectable microspheres that deliver the drug as they degrade. We will replace the lost retinal ganglion cells with neural progenitor cells. We hypothesize that the combination of neural progenitor cells to replace lost retinal ganglion cells along with sustained delivery of AG1478 will promote robust regeneration. To test this approach, we will use an optic nerve crush model. The optic nerve crush model is an excellent first model for studying methods to promote regeneration in glaucoma because it causes similar changes in the environment and loss of cells and is very reproducible leading to clear results.

CHRISTOPHER KAI SHUN LEUNG, MD, MB ChB, BMedSc, MSc
University Eye Center, Hong Kong Eye Hospital

In Vivo Imaging of Retinal Ganglion Cells – A New Model to Study Neuroprotection in Glaucoma

The goal of this project is to investigate the use of a novel in vivo imaging technique to monitor the longitudinal profile of retinal ganglion cell (RGC) damage in glaucoma and to study their response to a neuroprotectant, brain-derived neurotropic factor (BDNF). An experimental model of glaucoma is induced in a strain of transgenic mice (Thy-1 CFP) that express cyan fluorescent protein (CFP) under the control of a Thy-1 promoter. Using a modified confocal scanning laser ophthalmoscope, RGC damage is detected as loss of fluorescent signals. BDNF is considered to be neuroprotective if it could either prevent the decrease of Thy-1 CFP expression or increase the expression of Thy-1 in fading RGCs. This imaging model offers a unique opportunity to monitor RGCs longitudinally and non-invasively, and will provide a new paradigm to study neuroprotection in glaucoma.

RICHARD T. LIBBY, PHD
University of Rochester, New York

JNK Signaling is Critical for Retinal Ganglion Cell Death after Axonal Injury

Loss of vision in glaucoma is caused by the death of a specific type of neuronal cell, the retinal ganglion cell (RGC, the neuron that sends information to the brain). Presently there are no widely available treatments aimed at neuroprotection. Unfortunately, this means that in many cases, physicians are left with no treatment options to prevent their patients from going blind. This project aims to determine the molecular signaling pathways responsible for killing RGCs in glaucoma. Identifying these molecules will provide important information about the complexity of the signaling pathways active in glaucoma, indicate which pathways could be targeted for glaucoma therapies, and identify potential genes that could account for the variability in susceptibility to glaucoma in different people.

KEITH RG MARTIN, MA, DM, MRCP, FRCOphth
Cambridge Center for Brain Repair, United Kingdom

Does Tau Dysfunction Play a Role in Glaucoma?

Exactly how and why never cells dies in glaucoma is not yet fully understood. Previous work suggests that blockage of the transport of survival factors from the brain to retinal neurons contributes to cell death in glaucoma. Similar transport problems occur in other neurodegenerative conditions such as Alzheimer's and multiple sclerosis. In these diseases, dysfunction of a protein called tau contributes to disrupted cellular transport. Tau is a small protein that stabilizes the tracks along which motor proteins transport their cargo (e.g. neuronal survival factors), much like cross ties keep railroad tracks firmly in place. There is strong preliminary evidence that tau dysfunction occurs in experimental glaucoma. This is exciting because drugs that modulate tau are available, including lithium and also newer agents with more favorable side-effect profiles. Investigators will test whether these drugs reduce neuron death in glaucoma and help to preserve sight.

SHANNATH MERBS, MD, PhD
Johns Hopkins University, Baltimore, Maryland

DNA Methylation Changes Associated with Ganglion Cell Injury

Alterations in the expression of genes, caused by changes such as a gene mutation, can lead to disease. Another way to alter gene expression, without changing the DNA sequence of a gene, is to chemically modify the DNA by methylation. Abnormal DNA methylation has been shown to lead to some cancers. It is quite possible that DNA methylation changes could also contribute to the development of non-neoplastic diseases like glaucoma. To our knowledge, no one has ever looked at how DNA methylation changes might contribute to blinding eye diseases. This proposal will use an animal model to begin to look for DNA methylation changes that are associated with ganglion cell death (the cells that die in glaucoma). A greater understanding of the role DNA methylation plays in eye diseases could lead to new treatment strategies. For example, drugs to manipulate DNA methylation have been used in the treatment of some cancers. These same strategies might someday prove useful as an adjunct to traditional eye pressure lowering approaches for the treatment of glaucoma.

XIUQIAN MU, MD, PhD
University at Buffalo, New York

Math5 Target Genes in Retinal Ganglion Cell Formation

The underlying cause for optic nerve damage and retinal ganglion cell death in glaucoma is not known. This hinders the development of efficient therapies. By understanding how retinal ganglion cells, the cell type that send out axons to form the optic nerve, form during embryonic development, we can find clues regarding why ganglion cells die in glaucoma and how to prevent it. This proposal focuses on the function of a transcription factor named Math5 in retinal ganglion cell formation. Transcription factors are a type of proteins that regulate the activities of other genes. Genetic studies have shown that Math5 is absolutely required for retinal ganglion cell formation, but how it functions is not known. In another word, we don't know what genes are turned on and off by Math5. Finding out these genes is the objective of this proposal. We will use a recently-developed technology, ChIP-seq, to achieve this goal. This technology uses specific antibody and ultrahigh-throughput DNA sequencing to identify the genes a transcription factor controls. The knowledge obtained from this study will guide us in future efforts trying to generate retinal ganglion cells in cell culture for cell replacement therapy for glaucoma.

VINCENT RAYMOND, M.D., Ph.D.
Université Laval Hospital Research Center, Quebec City, Canada

Characterization of Modifiers for Open-Angle Glaucoma by Candidate Gene Screening and Genome Wide Linkage Study

Genetic factors play a major role in the etiology of glaucoma. Fourteen chromosomal regions encode genes for primary open-angle glaucoma (POAG), the most common form of glaucoma, but only three of these genes have been identified: myocilin, optineurin and WDR36. The surprising occurrence of older individuals with healthy vision, despite the fact that they are carriers of myocilin mutations, raises the possibility that "good" genes, named protective modifier genes, maintain healthy vision by counteracting the effects of "bad" genes. The investigators recently found evidence for at least one of these modifier genes in the world's largest known glaucoma family. The goal of this study is to discover these modifier genes. Their identification should offer novel and powerful approaches for discovering drugs to treat and perhaps prevent glaucoma.

MICHAL SCHWARTZ, PhD
Weizmann Institute of Science, Rehovot, Israel

Searching for a Molecular Mechanism to Awaken Dormant Retinal Stem Cells: A Therapeutic Approach to Glaucoma

Glaucoma is a major cause of blindness in the elderly. While treatments are available to lower pressure in the eye, thereby prevent continued damage, there is currently no cure for glaucoma, nor any therapy capable of inducing cell renewal in the damaged tissue. It has been suggested that stem cells, which can differentiate to form numerous cell types, could be used to replace the nerve cells in the retina that were damaged by the disease. Stem cells exist in the mammalian eye but are dormant. The present proposal aims to explore the reasons that the ocular stem cells are unable to divide and form new nerve cells, and to use this information as a basis for therapy aimed at awakening this quiescent stem cell population. We believe that activating these dormant stem cells is a promising therapy that would circumvent the need for donor stem cells, and their potential complications.

MICHAL SCHWARTZ, PhD
Weizmann Institute of Science, Rehovot, Israel

Searching for a Molecular Mechanism to Awaken Dormant Retinal Stem Cells: A Therapeutic Approach to Glaucoma (Renewal)

While treatments are available to lower pressure in the eye, and thereby prevent continued damage from glaucoma, there is currently no cure for glaucoma nor any therapy capable of inducing cell renewal in the damaged tissue. Stem cells, which can differentiate to form numerous cell types, might be used to replace nerve cells in the retina that have been lost to glaucoma. Stem cells exist in the human eye but are dormant. Dr. Schwartz will explore the reasons why ocular stem cells are unable to divide and form new nerve cells, and to use this information as a basis for therapy aimed at awakening these stem cells in order to circumvent the need for donor stem cells.

DAVID W. SRETAVAN, M.D., Ph.D.
University of California, San Francisco, CA

Micro & Nanotechnology-Based Bioplatforms for High-Throughput Analysis of Axon-Glial Interactions in Glaucomatous Neuropathy

Improved clinical management of glaucoma requires a deeper understanding of disease mechanisms that damage the elongated processes (axons) of retinal nerve cells at the optic nerve head. We propose to develop a new type of highly versatile microplatform for glaucoma research that incorporates advances in micro and nanotechnology to provide researchers with unprecedented control over key experimental parameters such as reagent and pressure application to nerve cell bodies versus axons, and the spatial relationships between axons and glial cells. With this bioplatform, researchers will be able to conduct high-throughput experimentation simultaneously on a hundred axons, and thus each experiment can provide the amount of data that currently might require several dozen rounds of experimentation. This technology has the potential of greatly accelerating glaucoma research towards a cure. In this application for TGF funding, we will fabricate and test this new generation of micro/nano research bioplatforms with the ultimate aim of using these devices to analyze cellular communication between retinal axons and glial cells.

DAVID W. SRETAVAN, M.D., Ph.D.
University of California, San Francisco, CA

Micro & Nanotechnology-Based Bioplatforms for High-Throughput Analysis of Axon-Glial Interactions in Glaucomatous Neuropathy (Renewal)

Better understanding of the causes of damage to the axons of retinal ganglion cells should lead to improved treatment of glaucoma. This project will develop a new type of highly versatile microplatform for glaucoma research that incorporates advances in micro and nanotechnology to provide researchers with unprecedented control over key experimental parameters. With this bioplatform, researchers will be able to conduct high-throughput experimentation simultaneously on a hundred axons, providing the amount of data that currently might require several dozen rounds of experimentation. This project will fabricate and test this new generation of micro/nano research bioplatforms with the ultimate aim of using these devices to analyze cellular communication between retinal axons and glial cells.

IRINA SURGUCHEVA, PHD
VA Medical Center, Kansas City, Missouri

Protein Aggregation in Glial Cells of the Optic Nerve Role in Glaucoma

Vision loss in glaucoma is caused by damage to the optic nerve. This nerve acts like an electric cable with over a million wires. It is responsible for carrying images from the eye to the brain. This electric cable is composed of the endings of cells called retinal ganglion cells and another type of cell called astrocytes. Astrocytes support normal functions of neuron ending in the optic nerve. In glaucoma patients astrocytes die in the optic nerve because of excessive accumulation of abnormal proteins. In this application we propose to reveal why these abnormal proteins accumulate in cells. We also propose to unveil the mechanisms of death of astrocytes due to the accumulation of these abnormal proteins and find substances which may prevent their death.

MICHAEL WALTER, Ph.D.
University of Alberta, Edmonton, Canada

Development of a Functional Assay for WDR36 (Renewal)

Finding the genes that cause glaucoma is the first step in improving early diagnosis and treatment. WDR36 has been proposed as a new primary open-angle glaucoma gene, but its role in the disease is controversial. While a number of nucleotide changes of WDR36 have been found in elevated frequency in glaucoma patients, proof that these alterations are disease-causing mutations awaits demonstration that these alterations result in actual defects in WDR36 function. This group developed an assay to test the consequences of these DNA sequence changes and found that WDR36 mutations alter cellular processes, but only when a second gene is also mutated.
They will now test if mutations of this second gene also cause glaucoma, and will investigate the cellular processes in which both genes are involved to determine the role of such processes in glaucoma.

XIANJUN ZHU, Ph.D.
The Jackson Laboratory, Bar Harbor, ME

Characterizing Microglial Activation in a Mouse Model of Glaucoma

Mice provide valuable models for molecular and mechanistic studies of glaucoma pathogenesis and for the rational development of neuroprotective therapy. DBA/2J mice provide an inherited glaucoma model that accurately reproduces many hallmarks of human glaucoma. Microglia are cells that appear to play an important role in glaucoma. However, their role is not clearly defined. This project aims at determining how the expression of various microglial genes change during DBA/2J glaucoma and to assess the relationship of these changes to glaucomatous damage. The researchers will also assess the role of a microglial enzyme in DBA/2J glaucoma. This will be one of the first experiments to functionally test the role of a specific microglial molecule in glaucoma.

December 17, 2008

Mr. Scott R. Christensen
President and CEO
The Glaucoma Foundation
80 Maiden Lane, Suite 700
New York, NY 10038

Dear Scott,

The following is an agreement between Sanky Communications, Inc., (SCI) Professional Fundraising Counsel, and The Glaucoma Foundation (TGF), a 501-C-3 not-for-profit organization dedicated to the eradication of glaucoma.

SCI will design and supervise the direct mail program for fundraising and program support for TGF. All contributions from the direct mail program will be used for the work of The Glaucoma Foundation. TGF raises funds for research into the causes of glaucoma, improvement of existing methods of treatment, and development of cures.

This contract covers the period from January 15 through December 31, 2009. SCI services will commence on January 15th. The contract can be canceled by either party with sixty days written notice. If canceled, TGF would be responsible for all invoices for materials, design and production and counsel ordered before the cancellation date, for any mailing in the agreed upon mail plan.

The direct mail schedule of appeals will be developed jointly by TGF and SCI, and can be revised as needed throughout this time period. TGF has approval over the mail plan.

SCI's responsibilities will include the following:

- Creation of all materials for the acquisition program, eight donor mailings (this includes one year-end reminder mailing), and an anniversary acknowledgment mailing. TGF will provide the background materials and SCI will write the copy, work with the artists and supervise the printing process. All materials will be submitted to TGF for any revisions and approval at copy, design, mechanical and blueprint stages.

  SCI will work with TGF staff to develop themes and concepts for the acquisition and renewal packages, craft calls for action, and work to accomplish both the fundraising and educational/public awareness goals of the organization. This is also to confirm that TGF program personnel will be involved in the development of each activity.

- Selection of all acquisition lists. The acquisition mailing quantity recommended for this contract period is the projected quantity of approximately 750,000. The

Foundation has final authority over the acquisition quantity. SCI will arrange for merge purges, negotiate for list exchanges and schedule and request exchange paybacks from The Foundation list. List selections will be sent to The Foundation in advance for approval. The Foundation has approval over all exchanges of the donor list.

- Working with TGF and Foundation Computer Services (FCS) to ensure accurate donor inputting and reporting. SCI will use the statistical reports from TGF and FCS to provide additional analysis on a regular basis. SCI will work with TGF staff on any necessary revisions of the mailing plan.

- Supervision of all lettershop activities, including preparation of mailing schedules, checking in of lists and monitoring of mailing times.

- Providing periodic reports and analysis on the results of the direct mail campaign and recommendations for the program.

The following procedures will apply:

COPY, DESIGN AND LIST APPROVALS

Any copy written or materials designed by SCI will be based on information supplied by TGF and in regular consultation with TGF. TGF exercises control and approval over the content and volume of all mailings, but TGF understands that timely approvals will be critical for the maintenance of the mailing schedule. By sending written approvals of blueprints to SCI, TGF accepts responsibility for the mailing package.

The donor list remains the exclusive property of TGF. SCI will have no independent access to or use of TGF's donor names.

VENDORS

Suppliers will be selected on a competitive bid basis in order to obtain the best prices and delivery dates possible. TGF can elect to have SCI handle the bidding and ordering, or TGF may choose suppliers for any or all mailings.

All vendor invoices (for printing, lettershop, computer, lists, artists, postage, typesetting, etc.) will be sent to SCI for approval and then forwarded to TGF for direct payment to the vendor, with no agency markup. TGF will be responsible for paying all vendor invoices within the specified period.

FEE

SCI's fee for the above services will be $84,000. This will be billed in 12 monthly installments as follows: **$6,000 January and February; $7,000 March through October; $8,000 November and December**. Any special projects that SCI might be asked to take on during this period, may occasion an additional fee (please refer to the appendix), which would be jointly agreed upon in advance.

Overnight delivery or messenger charges, or typesetting work, will be billed separately. In addition, TGF will be billed for any mechanical layouts and/or revisions produced by our staff. Your approval will be secured in advance for any of these charges which might exceed $300.

CONTRIBUTIONS

All contributions will be received by TGF or its bank. At no time will SCI have control over, custody of, or access to any of the contributions.

STATE AND LOCAL REGULATIONS

This contract is written in accordance with provisions established by the Association of Direct Response Fundraising Counsel's code of ethics and with various state and local regulations. TGF agrees to register in jurisdictions where it is required, and understands that SCI also registers as fundraising counsel, sending copies of client contracts as required.

According to New York State Law, you may cancel this contract without cost penalty or liability for the first fifteen days that the contract is on file with the Office of the Attorney General. In such a case, send a written notice of cancellation to SCI, and a duplicate copy to: Office of the Attorney General, Charities Bureau, The Capitol, Albany, NY 12224.

TGF agrees to return a signed copy of the contract to SCI, and to supply financial and/or organizational information necessary for SCI to satisfy governmental regulations.

We are looking forward to another rewarding year!

Sincerely,

Harry Lynch
CEO

AGREED AND ACCEPTED:

	PRESIDENT	12/18/08
FOR The Glaucoma Foundation	TITLE	DATE
	CHAIRMAN	12/18/08
FOR The Glaucoma Foundation (board member)	TITLE	DATE

Appendix to Contract

ADDITIONAL SERVICES

The following additional services and products, beyond the scope of this contract, are offered by Sanky Communications, Inc. to The Glaucoma Foundation. Each service or product must be mutually agreed upon in advance by both SCI and TGF.

Service or Product	Minimum Fee
Acknowledgment Letter	$500.00
Short Inserts (planned giving or matching gift)	$500.00
Additional Renewal	$4,000.00
Additional Acquisition Mailing	$5,000.00
Welcome Package	$2,500.00
Planned Giving Marketing Package	$4,000.00
Major Donor Package	$6,000.00
Gift Club Development	$10,000.00
Pledge Program Development	$6,000.00

Sanky*Net*: SCI's online division, Sanky*Net*, offers our clients a range of Internet-related services including web design; consulting to make web sites more dynamic and increase traffic; and multifaceted campaigns designed to help NPOs use the internet proactively to both raise funds and further their missions.

Related Consulting: Ongoing consulting is also available to our clients in other areas of fundraising and marketing, including major donor cultivation programs.

Printing Production: SCI is available, upon request, to handle printing production of non-direct-mail materials for a fee equivalent to 10% of the gross price charged by the selected printer (minimum charge for this service will be $25).

NOTICE

There is an appendix with your contract renewal that asks you to specify which states are to be included in your mailing program for the contract year.

It has become necessary for us to pay much closer attention to state selects because we find that many jurisdictions are becoming stricter about the registration status of both charitable organizations and the consultants who advise them. Several states have resorted to imposing fines or other penalties on mailers who have failed to register.

Thank you.

TGF CONTRACT APPENDIX -- STATE SELECTS

Sanky Communications, Inc. is authorized by you to order names from the states checked below for inclusion in your acquisition and renewal mailings during the FY09 contract year (1/15/09-12/31/09). By signing below, you affirm that your organization is duly registered in each of these states where registration is required by law, and that you are committed to informing SCI before any mailing date if there is any change in your regulatory status in the jurisdictions checked.

Alabama ✓
Alaska ✓
Arizona ✓
Arkansas ✓
California ✓
Colorado —
Connecticut ✓
Delaware ✓
District of Columbia ✓
Florida ✓
Georgia ✓
Hawaii ✓
Idaho ✓
Illinois ✓
Indiana ✓
Iowa —
Kansas ✓
Kentucky ✓
Louisiana ✓
Maine ✓
Maryland ✓
Massachusetts ✓
Michigan ✓
Minnesota ✓
Mississippi ✓
Missouri —

Montana —
Nebraska —
Nevada —
New Hampshire ✓
New Jersey ✓
New Mexico ✓
New York ✓
North Carolina ✓
North Dakota ✓
Ohio ✓
Oklahoma ✓
Oregon ✓
Pennsylvania ✓
Rhode Island ✓
South Carolina ✓
South Dakota ✓
Tennessee ✓
Texas —
Utah____
Vermont ✓
Virginia ✓
Washington ✓
West Virginia ✓
Wisconsin ✓
Wyoming —

Utah - Blocked

Signed________________ Date 12/18/08

Title PRESIDENT

Sankap

January 5, 2010
To: Scott Christensen
From: Barbara Eckstadt
Re: **FY10 Contract**

Enclosed is the signed copy of the FY10 contract for your records, including the state form.

Thanks, Scott!

Barbara

Sanky
Communications, Inc
584 Eighth Avenue 10th Floor
New York, NY 10018
Telephone 212 863 4300 • Fax 212 [illegible] 4310 • www.sanky.com

December 23, 2009

Mr. Scott R. Christensen
President and CEO
The Glaucoma Foundation
80 Maiden Lane, Suite 700
New York, NY 10038

Dear Scott,

The following is an agreement between Sanky Communications, Inc., (SCI) Professional Fundraising Counsel, and The Glaucoma Foundation (TGF), a 501-C-3 not-for-profit organization dedicated to the eradication of glaucoma.

SCI will design and supervise the direct mail program for fundraising and program support for TGF. All contributions from the direct mail program will be used for the work of The Glaucoma Foundation. TGF raises funds for research into the causes of glaucoma, improvement of existing methods of treatment, and development of cures.

This contract covers the period from January 25th through December 31, 2010. SCI services will commence on January 25th. The contract can be canceled by either party with sixty days written notice. If canceled, TGF would be responsible for all invoices for materials, design and production and counsel ordered before the cancellation date, for any mailing in the agreed upon mail plan.

The direct mail schedule of appeals will be developed jointly by TGF and SCI, and can be revised as needed throughout this time period. TGF has approval over the mail plan.

SCI's responsibilities will include the following:

- Creation of all materials for the acquisition program, seven to eight donor mailings pending 2009 results (this includes one year-end reminder mailing), and an anniversary acknowledgment mailing. TGF will provide the background materials and SCI will write the copy, work with the artists and supervise the printing process. All materials will be submitted to TGF for any revisions and approval at copy, design, mechanical and blueprint stages.

  SCI will work with TGF staff to develop themes and concepts for the acquisition and renewal packages, craft calls for action, and work to accomplish both the fundraising and educational/public awareness goals of the organization. This is also to confirm that TGF program personnel will be involved in the development of each activity.

- Selection of all acquisition lists. The acquisition mailing quantity recommended for this contract period is a quantity of approximately 500,000-750,000 pending 2009 results. TGF has final authority over the acquisition quantity. SCI will arrange for merge purges, negotiate for list exchanges and schedule and request exchange paybacks from The Glaucoma Foundation list. List selections will be sent to TGF in advance for approval. TGF has approval over all exchanges of the donor list.

- Working with TGF and Foundation Computer Services (FCS) to ensure accurate donor inputting and reporting. SCI will use the statistical reports from TGF and FCS to provide additional analysis on a regular basis. SCI will work with TGF staff on any necessary revisions of the mailing plan.

- Supervision of all lettershop activities, including preparation of mailing schedules, checking in of lists and monitoring of mailing times.

- Providing periodic reports and analysis on the results of the direct mail campaign and recommendations for the program.

The following procedures will apply:

COPY, DESIGN AND LIST APPROVALS

Any copy written or materials designed by SCI will be based on information supplied by TGF and in regular consultation with TGF. TGF exercises control and approval over the content and volume of all mailings, but TGF understands that timely approvals will be critical for the maintenance of the mailing schedule. By sending written approvals of blueprints to SCI, TGF accepts responsibility for the mailing package.

The donor list remains the exclusive property of TGF. SCI will have no independent access to or use of TGF's donor names.

VENDORS

Suppliers will be selected on a competitive bid basis in order to obtain the best prices and delivery dates possible. TGF can elect to have SCI handle the bidding and ordering, or TGF may choose suppliers for any or all mailings.

All vendor invoices (for printing, lettershop, computer, lists, artists, postage, typesetting, etc.) will be sent to SCI for approval and then forwarded to TGF for direct payment to the vendor, with no agency markup. TGF will be responsible for paying all vendor invoices within the specified period.

FEE

SCI's fee for the above services will be $86,500. This will be billed in 12 monthly installments as follows: $6,250 in January and February; $7,250 March through October; and $8,000 November and December. Any special projects that SCI might be asked to take on during this period, may occasion an additional fee (please refer to the appendix), which would be jointly agreed upon in advance.

Overnight delivery or messenger charges, or typesetting work, will be billed separately. In addition, TGF will be billed for any mechanical layouts and/or revisions produced by our staff. Your approval will be secured in advance for any of these charges which might exceed $300.

CONTRIBUTIONS

All contributions will be received by TGF or its bank. At no time will SCI have control over, custody of, or access to any of the contributions.

STATE AND LOCAL REGULATIONS

This contract is written in accordance with provisions established by the Association of Direct Response Fundraising Counsel's code of ethics and with various state and local regulations. TGF agrees to register in jurisdictions where it is required, and understands that SCI also registers as fundraising counsel, sending copies of client contracts as required.

According to New York State Law, you may cancel this contract without cost penalty or liability for the first fifteen days that the contract is on file with the Office of the Attorney General. In such a case, send a written notice of cancellation to SCI, and a duplicate copy to: Office of the Attorney General, Charities Bureau, The Capitol, Albany, NY 12224.

TGF agrees to return a signed copy of the contract to SCI, and to supply financial and/or organizational information necessary for SCI to satisfy governmental regulations.

We are looking forward to another rewarding year!

Sincerely,

Harry Lynch
CEO

AGREED AND ACCEPTED:

~~FOR The~~ Glaucoma Foundation	PRESIDENT TITLE	12/23/09 DATE
FOR The Glaucoma Foundation (board member)	CHAIRMAN TITLE	1/4/2010 DATE

Appendix to Contract

ADDITIONAL SERVICES

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Planned Giving Marketing Package	$4,000.00
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Pledge Program Development	$6,000.00

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There is an appendix with your contract renewal that asks you to specify which states are to be included in your mailing program for the contract year.

It has become necessary for us to pay much closer attention to state selects because we find that many jurisdictions are becoming stricter about the registration status of both charitable organizations and the consultants who advise them. Several states have resorted to imposing fines or other penalties on mailers who have failed to register.

Thank you.

TGF CONTRACT APPENDIX -- STATE SELECTS

Sanky Communications, Inc. is authorized by you to order names from the states checked below for inclusion in your acquisition and renewal mailings during the FY10 contract year (1/25/10-12/31/10). By signing below, you affirm that your organization is duly registered in each of these states where registration is required by law, and that you are committed to informing SCI before any mailing date if there is any change in your regulatory status in the jurisdictions checked.

Alabama ✓
Alaska ✓
Arizona ✓
Arkansas ✓
California ✓
Colorado –
Connecticut ✓
Delaware ✓
District of Columbia ✓
Florida ✓
Georgia ✓
Hawaii ✓
Idaho ✓
Illinois ✓
Indiana ✓
Iowa –
Kansas ✓
Kentucky ✓
Louisiana ✓
Maine ✓
Maryland ✓
Massachusetts ✓
Michigan ✓
Minnesota ✓
Mississippi ✓
Missouri –
Montana –
Nebraska –
Nevada –
New Hampshire ✓
New Jersey ✓
New Mexico ✓
New York ✓
North Carolina ✓
North Dakota ✓
Ohio ✓
Oklahoma ✓
Oregon ✓
Pennsylvania ✓
Rhode Island ✓
South Carolina ✓
South Dakota –
Tennessee ✓
Texas –
Utah Blocked –
Vermont ✓
Virginia ✓
Washington ✓
West Virginia ✓
Wisconsin ✓
Wyoming ✓

✓ = Registered
– = No registration Req.
Utah - Blocked

Signed Melba Richards

Date

Title Controller