



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2009**, or tax year beginning _____, and ending _____

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation SPUNK FUND INC.	A Employer identification number 13-3116094
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 780 THIRD AVENUE, 24TH FLOOR	B Telephone number 212-980-8880
	City or town, state, and ZIP code NEW YORK, NY 10017	C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 18,700,092. (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	718,010.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	4.	4.		
4 Dividends and interest from securities	540,152.	540,152.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	3,670.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		3,670.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	1,261,836.	543,826.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages	175,324.	17,832.		157,492.
15 Pension plans, employee benefits	52,971.	5,388.		47,583.
16a Legal fees Stmt 1	207,900.	103,950.		103,950.
b Accounting fees Stmt 2	47,520.	23,760.		23,760.
c Other professional fees				
17 Interest				
18 Taxes Stmt 3	60,595.	33,986.		16,679.
19 Depreciation and depletion	14,042.	1,428.		
20 Occupancy	117,502.	11,951.		105,551.
21 Travel, conferences, and meetings	3,422.	0.		3,422.
22 Printing and publications				
23 Other expenses Stmt 4	96,055.	10,257.		85,796.
24 Total operating and administrative expenses. Add lines 13 through 23	775,331.	208,552.		544,233.
25 Contributions, gifts, grants paid	660,910.			660,910.
26 Total expenses and disbursements. Add lines 24 and 25	1,436,241.	208,552.		1,205,143.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-174,405.			
b Net investment income (if negative, enter -0-)		335,274.		
c Adjusted net income (if negative, enter -0-)			N/A	

SCANNED MAY 27 2010

Operating and Administrative Expenses

P M

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year		End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing		10,765.	18,108.	18,108.	
	2	Savings and temporary cash investments		486,522.	59,079.	59,079.	
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations Stmt 5		851,394.	387,270.	363,446.	
	b	Investments - corporate stock Stmt 6		11,889,829.	12,865,958.	17,891,321.	
	c	Investments - corporate bonds Stmt 7		252,268.	0.	0.	
11	Investments - land, buildings, and equipment basis ▶						
	Less accumulated depreciation ▶						
12	Investments - mortgage loans						
13	Investments - other						
14	Land, buildings, and equipment basis ▶	830,836.					
	Less accumulated depreciation ▶	462,698.	382,180.	368,138.	368,138.		
15	Other assets (describe ▶)						
16	Total assets (to be completed by all filers)		13,872,958.	13,698,553.	18,700,092.		
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶)					
23	Total liabilities (add lines 17 through 22)		0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds		0.	0.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		13,872,958.	13,698,553.			
30	Total net assets or fund balances		13,872,958.	13,698,553.			
31	Total liabilities and net assets/fund balances		13,872,958.	13,698,553.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,872,958.
2	Enter amount from Part I, line 27a	2	-174,405.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	13,698,553.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,698,553.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached				
b Securities litigation dist.				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			1,368.	
b			2,302.	
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			1,368.	
b			2,302.	
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,670.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,610,883.	19,056,688.	.084531
2007	2,337,212.	21,684,077.	.107785
2006	2,108,074.	19,585,131.	.107636
2005	1,907,424.	18,255,026.	.104488
2004	2,079,759.	17,515,165.	.118740
2 Total of line 1, column (d)			.523180
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			.104636
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			15,905,730.
5 Multiply line 4 by line 3			1,664,312.
6 Enter 1% of net investment income (1% of Part I, line 27b)			3,353.
7 Add lines 5 and 6			1,667,665.
8 Enter qualifying distributions from Part XII, line 4			1,205,143.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	6,705.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	6,705.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,705.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	6,312.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	6,312.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	393.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	X	
14 The books are in care of ▶ <u>SPUNK FUND INC.</u> Telephone no. ▶ <u>212-980-8880</u> Located at ▶ <u>780 THIRD AVENUE, NEW YORK, NY</u> ZIP+4 ▶ <u>10017</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> <u>N/A</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> <u>N/A</u>	1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SOLOMON BLUM HEYMANN, LLP 40 WALL ST., 35TH FLR, NEW YORK, NY 10005	LEGAL & ACCOUNTING	255,420.

Total number of others receiving over \$50,000 for professional services ▶ **1**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	15,179,127.
b	Average of monthly cash balances	1b	593,663.
c	Fair market value of all other assets	1c	375,159.
d	Total (add lines 1a, b, and c)	1d	16,147,949.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,147,949.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	242,219.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	15,905,730.
6	Minimum investment return. Enter 5% of line 5	6	795,287.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	795,287.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	6,705.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,705.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	788,582.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	788,582.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	788,582.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,205,143.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,205,143.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,205,143.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				788,582.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				3,537.
e From 2008				672,538.
f Total of lines 3a through e	676,075.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 1,205,143.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				788,582.
e Remaining amount distributed out of corpus	416,561.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				0.
6 Enter the net total of each column as indicated below:	1,092,636.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	718,010.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	374,626.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	374,626.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . 4942(j)(3) or 4942(j)(5)
- b Check box to indicate whether the foundation is a private operating foundation described in section . . . 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

MARIANNE GERSCHEL

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

See Statement 9

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE SCHEDULE ATTACHED		ALL PUBLIC CHARITIES		660,910.
Total				▶ 3a 660,910.
b <i>Approved for future payment</i> None				
Total				▶ 3b 0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|----|-----|----|-------|--|---|-------|--|---|-------|--|---|-------|--|---|-------|--|---|-------|--|---|-------|--|---|-------|--|---|----|--|---|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <tr> <td></td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">No</td> </tr> <tr> <td style="text-align: center;">1a(1)</td> <td></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1a(2)</td> <td></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(1)</td> <td></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(2)</td> <td></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(3)</td> <td></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(4)</td> <td></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(5)</td> <td></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(6)</td> <td></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1c</td> <td></td> <td style="text-align: center;">X</td> </tr> </table> | | Yes | No | 1a(1) | | X | 1a(2) | | X | 1b(1) | | X | 1b(2) | | X | 1b(3) | | X | 1b(4) | | X | 1b(5) | | X | 1b(6) | | X | 1c | | X |
| | Yes | No | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1c | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	 Signature of officer or trustee		Date 1/31/10	Title Authorized Director		
	Paid Preparer's Use Only	Preparer's signature Firm's name (or yours if self-employed), address, and ZIP code Solomon Blum Heymann, LLP 40 Wall St., 35th Flr New York, NY 10005	Date 7/30/10	Check if self-employed <input type="checkbox"/>	Preparer's identifying number P00445589	
			EIN ▶ 84-1347164		Phone no. 212-267-7600	
	Form 990-PF (2009)					

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

SPUNK FUND INC.

13-3116094

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization SPUNK FUND INC.	Employer identification number 13-3116094
--	---

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	MARIANNE GERSCHEL 780 THIRD AVE. NEW YORK, NY 10017	\$ 718,010.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

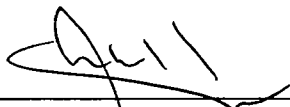
Spunk Fund, Inc.

EIN: 13-3116094

Election Under Regulation § 53.4942(a)-3(c)(2)(iv)

In order to satisfy the distribution requirements under IRC § 170(b)(1)(E)(i), Spunk Fund, Inc. elects under Treas. Reg. § 53.4942(a)-3(c)(2)(iv) to treat as a current distribution out of corpus amounts distributed in prior taxable years which were treated as corpus distributions under Treas. Reg. § 53.4942(a)-3(d)(1)(iii). The prior taxable year amounts are as follows:

<u>Year</u>	<u>Amount</u>
2007	\$ 3,537
2008	\$672,538



Andrew W. Heymann
Authorized Director

Form 990-PF	Legal Fees			Statement 1
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL FEES	207,900.	103,950.		103,950.
To Fm 990-PF, Pg 1, ln 16a	207,900.	103,950.		103,950.

Form 990-PF	Accounting Fees			Statement 2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	47,520.	23,760.		23,760.
To Form 990-PF, Pg 1, ln 16b	47,520.	23,760.		23,760.

Form 990-PF	Taxes			Statement 3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL TAXES	9,930.	0.		0.
FOREIGN TAXES	32,097.	32,097.		0.
PAYROLL TAXES	18,568.	1,889.		16,679.
To Form 990-PF, Pg 1, ln 18	60,595.	33,986.		16,679.

Form 990-PF	Other Expenses			Statement 4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
COMMUNICATION & INTERNET	9,767.	993.		8,774.
FILING FEES	750.	76.		674.
PAYROLL PROCESSING CHARGES	1,705.	173.		1,531.
RENT - EQUIPMENT	4,714.	479.		4,234.
TRANSPORTATION	492.	50.		442.

SPUNK FUND INC.

13-3116094

COMPUTER EXPENSE	22,634.	2,302.	20,332.
DUES, MEMBERSHIPS & PUB.	5,619.	0.	5,619.
INSURANCE	14,231.	1,447.	12,783.
OFFICE SUPPLIES & EXPENSES	18,216.	1,853.	16,363.
POSTAGE & SHIPPING	5,316.	541.	4,775.
REPAIRS & MAINTENANCE	9,524.	969.	8,555.
BANK AND INVESTMENT FEES	1,383.	1,201.	183.
MISCELLANEOUS EXPENSE	1,704.	173.	1,531.
To Form 990-PF, Pg 1, ln 23	96,055.	10,257.	85,796.

Form 990-PF U.S. and State/City Government Obligations Statement 5

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
SEE ATTACHED	X		387,270.	363,446.
Total U.S. Government Obligations			387,270.	363,446.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			387,270.	363,446.

Form 990-PF Corporate Stock Statement 6

Description	Book Value	Fair Market Value
SEE ATTACHED	12,865,958.	17,891,321.
Total to Form 990-PF, Part II, line 10b	12,865,958.	17,891,321.

Form 990-PF Corporate Bonds Statement 7

Description	Book Value	Fair Market Value
SEE ATTACHED	0.	0.
Total to Form 990-PF, Part II, line 10c	0.	0.

Form 990-PF

Part VIII - List of Officers, Directors
Trustees and Foundation Managers

Statement 8

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan	Expense Contrib	Account
MARIANNE GERSCHEL 425 EAST 58TH STREET NEW YORK, NY 10022	DIRECTOR/PRES/TREAS 20.00	0.	0.	0.	0.
ANDREW W. HEYMANN C/O SPBHS 40 WALL ST., 35TH FLR NEW YORK, NY 10005	DIRECTOR/SECRETARY 8.00	0.	0.	0.	0.
GERARD E. HEYMANN C/O PAINWEBBER 1285 AVENUE OF THE AMERICAS, 16TH FLOOR NEW YORK, NY 10019	DIRECTOR 1.00	0.	0.	0.	0.
WILLIAM L. BLUM C/O SPBHS 40 WALL ST., 35TH FLR NEW YORK, NY 10005	ASSISTANT SECRETARY 1.00	0.	0.	0.	0.
ROBERT A. LADISLAW C/O SPBHS 40 WALL ST., 35TH FLR NEW YORK, NY 10005	ASSISTANT TREASURER 5.00	0.	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.	0.

Form 990-PF

Grant Application Submission Information
Part XV, Lines 2a through 2d

Statement 9

Name and Address of Person to Whom Applications Should be Submitted

SPUNK FUND INC., THE PRESIDENT
780 THIRD AVENUE
NEW YORK, NY 10017

Telephone Number

212-980-8880

Form and Content of Applications

PURPOSE OF GRANT, AMOUNT OF FUNDING REQUESTED, ANNUAL REPORT IRS DETERMINATION LETTER, AND OTHER SUPPORTERS.

Any Submission Deadlines

LETTERS OF INQUIRY ARE ACCEPTED YEAR-ROUND. THE DEADLINE FOR SUBMITTING THE ACTUAL PROPOSAL IS APRIL 1ST.

Restrictions and Limitations on Awards

SPUNK FUND INC. SUPPORTS INITIATIVES CONTRIBUTING TO THE ENRICHMENT AND WELL BEING OF CHILDREN.

DATE ACQ	SECURITY	INVENTORY BEGINNING OF PERIOD		INVENTORY END OF PERIOD		SALES OR OTHER DISPOSITIONS		PURCHASE OR OTHER ACQUISITIONS		SECURITIES SCHEDULE FOR THE YEAR ENDED 12/31/2009		FAIR MARKET VALUE
		Face value No. of shrs or Acquisition	Cost or Acquisition	Face value No. of shrs or	INVENTORY END OF PERIOD	Price Selling	Face value No. of shrs or	DATE SOLD	(LOSS) GAIN OR	Face value No. of shrs or	value Cost or Acquisition	
	GOVT OBLIGATIONS											
1/01/1900	FHLMC 6.5% 2/01/11 POOL #E61007 FEDERAL HOME LOAN MTGE CORP	6,987.55	6,926.32			837.00	836.77		1/15/2009	4.72		
				134.00	134.00	133.74	133.74		2/17/2009	0.53		
				134.00	134.00	134.50	134.50		3/16/2009	1.29		
				135.00	135.00	135.30	135.30		4/15/2009	1.10		
				136.00	136.00	136.10	136.10		5/15/2009	0.90		
				137.00	137.00	136.89	136.89		6/15/2009	0.70		
				138.00	138.00	137.58	137.58		7/15/2009	0.40		
				138.00	138.00	138.38	138.38		8/17/2009	1.20		
				139.00	139.00	139.18	139.18		9/15/2009	1.00		
				139.00	139.00	139.98	139.98		10/15/2009	1.80		
				140.00	140.00	140.80	140.80		11/16/2009	1.63		
				141.00	141.00	141.61	141.61		12/15/2009	1.44		2,040.00
2/23/1993	FHLMC 7.00% 10/15/22 REMIC SERIES 1997 FEDERAL HOME LOAN MTGE CORP	26,957.72	25,997.35			486.00	485.92		1/15/2009	17.23		
				329.00	329.00	328.84	328.84		2/17/2009	11.56		
				169.00	169.00	168.79	168.79		3/16/2009	5.81		
				193.00	193.00	192.84	192.84		4/15/2009	6.72		
				355.00	355.00	354.60	354.60		5/15/2009	12.25		
				145.00	145.00	144.78	144.78		6/15/2009	4.95		
				136.00	136.00	136.19	136.19		7/15/2009	5.24		
				137.00	137.00	137.41	137.41		8/17/2009	5.29		
				187.00	187.00	187.06	187.06		9/15/2009	6.72		
				472.00	472.00	472.22	472.22		10/15/2009	17.04		
				132.00	132.00	132.65	132.65		11/16/2009	5.35		
				137.00	137.00	136.98	136.98		12/15/2009	4.86		23,221.88
2/15/1996	FNMA 6.50% 3-1-11 POOL #250488 FEDERAL NATL MORTGAGE ASSN	11,403.38	11,454.08			644.00	643.61		1/26/2009	(3.25)		
				253.00	253.00	252.83	252.83		2/25/2009	(1.29)		
				259.00	259.00	258.60	258.60		3/25/2009	(1.55)		
				294.00	294.00	293.31	293.31		4/27/2009	(1.42)		
				294.00	294.00	293.88	293.88		5/26/2009	(1.43)		
				243.00	243.00	242.89	242.89		6/25/2009	(1.19)		
				387.00	387.00	386.67	386.67		7/15/2009	(2.05)		
				330.00	330.00	330.45	330.45		8/25/2009	(1.02)		
				239.00	239.00	239.76	239.76		9/25/2009	(0.30)		
				199.00	199.00	199.24	199.24		10/26/2009	(0.64)		
				185.00	185.00	185.34	185.34		11/25/2009	(0.48)		
				184.00	184.00	184.05	184.05		12/28/2009	(0.77)		7,796.38
7/19/1999	FNMA 6.500% 8-1-2014 POOL # 151640 FEDERAL NATL MTG ASSN	22,441.73	19,839.28			236.00	235.85		1/26/2009	27.22		
				179.00	179.00	179.19	179.19		2/25/2009	20.95		
				179.00	179.00	178.64	178.64		3/25/2008	20.40		
				264.00	264.00	264.15	264.15		4/27/2009	30.76		
				258.00	258.00	257.79	257.79		5/26/2009	29.71		
				355.00	355.00	354.96	354.96		6/25/2009	41.13		
				278.00	278.00	277.61	277.61		7/15/2009	31.85		
				313.00	313.00	313.42	313.42		8/25/2009	36.72		
				218.00	218.00	218.04	218.04		9/25/2009	25.32		2,153.00

8/28/2000	stock split 4/3	8.33	62.34	8.33	62.34
11/25/1996	stock split 4/3	33.33	166.53	33.33	166.53
8/28/2000	stock split 4/3	11.11	83.13	11.11	83.13
6/4/1999	stock split 2/3	16.67	83.13	16.67	83.13
8/28/2000	stock split 4/3	5.56	41.57	5.56	41.57
11/25/1997	stock split 3/2	16.67	83.13	16.67	83.13
8/28/2000	stock split 4/3	5.56	41.56	5.56	41.56
6/4/1999	stock split 2/3	8.34	41.57	8.34	41.57
8/28/2000	stock split 4/3	2.78	20.78	2.78	20.78
5/28/1996	stock split 3/2	50.00	249.38	50.00	249.38
8/28/2000	stock split 4/3	16.67	124.69	16.67	124.69
6/4/1999	stock split 2/3	25.00	124.69	25.00	124.69
8/28/2000	stock split 4/3	8.33	62.35	8.33	62.35
11/25/1997	stock split 3/2	25.00	124.69	25.00	124.69
8/28/2000	stock split 4/3	8.33	62.34	8.33	62.34
6/4/1999	stock split 2/3	12.50	62.34	12.50	62.34
8/28/2000	stock split 4/3	4.17	31.18	4.17	31.18
11/25/1996	stock split 4/3	16.67	83.13	16.67	83.13
8/28/2000	stock split 4/3	5.56	41.56	5.56	41.56
6/4/1999	stock split 2/3	8.34	41.57	8.34	41.57
8/28/2000	stock split 4/3	2.78	20.78	2.78	20.78
11/25/1987	stock split 3/2	8.33	41.56	8.33	41.56
8/28/2000	stock split 4/3	2.78	20.78	2.78	20.78
6/4/1999	stock split 2/3	4.17	20.78	4.17	20.78
8/28/2000	stock split 4/3	1.39	10.39	1.39	10.39
9/1/1994	stock split 3/2	100.00	502.05	100.00	502.05
8/28/2000	stock split 4/3	33.33	251.02	33.33	251.02
6/4/1999	stock split 2/3	50.00	251.02	50.00	251.02
8/28/2000	stock split 4/3	16.67	125.52	16.67	125.52
11/25/1997	stock split 3/2	50.00	251.02	50.00	251.02
8/28/2000	stock split 4/3	16.67	125.51	16.67	125.51
6/4/1999	stock split 2/3	25.00	125.80	25.00	125.80
8/28/2000	stock split 4/3	8.33	62.75	8.33	62.75
11/25/1996	stock split 4/3	33.33	167.35	33.33	167.35
8/28/2000	stock split 4/3	11.11	83.67	11.11	83.67
6/4/1999	stock split 2/3	16.67	83.68	16.67	83.68
8/28/2000	stock split 4/3	5.56	41.84	5.56	41.84
11/25/1997	stock split 3/2	16.67	83.67	16.67	83.67
8/28/2000	stock split 4/3	5.56	41.84	5.56	41.84
6/4/1999	stock split 2/3	8.34	41.84	8.34	41.84
8/28/2000	stock split 4/3	2.78	20.92	2.78	20.92
5/28/1996	stock split 3/2	50.00	252.41	50.00	252.41
8/28/2000	stock split 4/3	16.67	126.21	16.67	126.21
6/4/1999	stock split 2/3	25.00	126.21	25.00	126.21
8/28/2000	stock split 4/3	8.33	63.10	8.33	63.10
11/25/1997	stock split 3/2	25.00	126.21	25.00	126.21
8/28/2000	stock split 4/3	8.33	63.10	8.33	63.10
6/4/1999	stock split 2/3	12.50	63.11	12.50	63.11
8/28/2000	stock split 4/3	4.17	31.55	4.17	31.55
11/25/1996	stock split 4/3	16.67	84.14	16.67	84.14
8/28/2000	stock split 4/3	5.56	42.06	5.56	42.06
6/4/1999	stock split 2/3	8.34	42.07	8.34	42.07
8/28/2000	stock split 4/3	2.78	21.04	2.78	21.04
11/25/1997	stock split 3/2	8.33	42.06	8.33	42.06
8/28/2000	stock split 4/3	2.78	21.04	2.78	21.04
6/4/1999	stock split 2/3	4.17	21.04	4.17	21.04
8/28/2000	stock split 4/3	1.39	10.51	1.39	10.51
9/6/1994	cliffgroup/na travelers	300.00	1,527.38	300.00	1,527.38
8/28/2000	stock split 4/3	100.00	763.69	100.00	763.69
6/4/1999	stock split 2/3	150.00	763.70	150.00	763.70
8/28/2000	stock split 4/3	50.00	381.84	50.00	381.84
11/25/1987	stock split 3/2	150.00	763.69	150.00	763.69
8/28/2000	stock split 4/3	50.00	381.84	50.00	381.84
6/4/1999	stock split 2/3	75.00	381.84	75.00	381.84
8/28/2000	stock split 4/3	25.00	190.92	25.00	190.92
11/25/1996	stock split 4/3	100.00	508.51	100.00	508.51
8/28/2000	stock split 4/3	33.33	254.25	33.33	254.25
6/4/1999	stock split 2/3	50.00	255.19	50.00	255.19
8/28/2000	stock split 4/3	16.67	127.60	16.67	127.60

6/13/2001			3,900.00	117,251.75	2,200.00	120,342.94						3,900.00	117,251.75	
5/25/2004	JP Morgan Chase		1,000.00	37,188.97								1,000.00	37,188.97	
12/12/2006			4,000.00	189,009.77								4,000.00	189,009.77	208,330.00
5/11/2009	Kraft Foods				2,000.00	51,192.19						2,000.00	51,192.19	54,360.00
5/11/2006	Liberty Media Holding Cop INTA (Exchange for Liberty Media Corp)		7,500.00	298,654.66								7,500.00	298,654.66	81,300.00
5/11/2006	Liberty Media Holding Corp. CAPA (Exchange for Liberty Media Corp)		1,500.00	33,748.50								1,500.00	33,748.50	35,820.00
12/12/2000	LIBERTY GLOBAL, INC		350.00	16,974.99								350.00	16,974.99	
6/11/2000	00's LIBERTY MEDIA INTERNATIONAL INC		350.00	16,974.99								350.00	16,974.99	
8/10/2000	(Spin off from Liberty Media June 8, 2004)		250.00	9,168.63								250.00	9,168.63	
9/11/2000			250.00	8,879.61								250.00	8,879.61	
12/2/2002			48.00	484.29								48.00	484.29	
12/12/2002			1.45	14.63								1.45	14.63	
3/28/2003			250.55	4,254.28								250.55	4,254.28	
8/30/2004			300.00	3,852.75								300.00	3,852.75	39,438.00
9/6/2005	LIBERTY GLOBAL, INC SERIES C (Spin-off from Liberty Global, Inc.)		1,800.00	57,371.63								1,800.00	57,371.63	39,330.00
3/6/2008	Liberty Media Corporation Ser A Liberty Entertainment (Spin-off from Liberty Media Holding Corp. CAPA)		6,000.00	189,523.61		6,000.00	189,523.61					6,000.00	189,523.61	
11/20/2010	Liberty Media Corporation Starz Ser A (Exchange for Liberty Media Corporation Ser A Liberty Ent)				600.00	25,632.64						600.00	25,632.64	27,690.00
4/22/2002	MCKESSON HBOC INC		4,100.00	151,280.57								4,100.00	151,280.57	256,250.00
9/11/1998	MERCK & CO INC		2,000.00	125,124.40								2,000.00	125,124.40	
2/22/1999	stock split		500.00	31,280.98								500.00	31,280.98	
3/27/2003			2,500.00	122,376.89								2,500.00	122,376.89	
5/25/2004			2,000.00	94,656.10								2,000.00	94,656.10	
9/25/2008			3,000.00	96,760.19								3,000.00	96,760.19	
8/9/2001	PEARSON PLC		3,100.00	47,918.22								3,100.00	47,918.22	
8/21/2001	PEARSON PLC		6,900.00	98,737.07								6,900.00	98,737.07	144,080.00
3/26/2002	PETROLEO BRASILEIRO S. A.		30,400.00	196,449.36								30,400.00	196,449.36	
7/6/2007	Stock split (second stock split 5/13/08)		30,400.00	196,449.36								30,400.00	196,449.36	2,198,944.00
8/14/1998	PEPSICO INC		3,000.00	102,013.20								3,000.00	102,013.20	
9/30/1998			2,000.00	61,350.00								2,000.00	61,350.00	304,000.00
7/7/1999	Pfizer Inc		300.00	11,569.00								300.00	11,569.00	
12/14/1999	mg contribution		5,000.00	165,156.25								5,000.00	165,156.25	
6/20/2000	warner lambert/merger		3,300.00	26,217.38								3,300.00	26,217.38	
6/20/2000	warner lambert/merger		6,600.00	52,442.62								6,600.00	52,442.62	
12/19/2001	mg contribution		6,800.00	277,644.00								6,800.00	277,644.00	
12/12/2006			3,000.00	75,541.35								3,000.00	75,541.35	454,750.00
3/12/2002	PHILLIPS ELECTRONICS, N.V		6,700.00	199,569.44								6,700.00	199,569.44	196,856.00
6/22/1999	PROCTER & GAMBLE CO (Spin off J.M. Smucker on 6/3/02)		3,400.00	148,797.51								3,400.00	148,797.51	
6/24/2004	Stock Split 2 1		3,400.00	148,797.51								3,400.00	148,797.51	

					1,200.00	61,642.59							1,200.00	61,642.59	485,040.00
10/6/1996	ROYAL DUTCH PETROLEUM NY														
		100.00	3,966.88										100.00	3,966.88	
		4,500.00	178,509.37										4,500.00	178,509.37	
		1,400.00	69,478.43		1,400.00	69,478.43							1,400.00	69,478.43	
		1,000.00	49,190.20		1,000.00	49,190.20							1,000.00	49,190.20	
		1,000.00	48,744.89		1,000.00	48,744.89							1,000.00	48,744.89	
		1,000.00	48,989.90		1,000.00	48,989.90							1,000.00	48,989.90	540,990.00
5/17/2001	SAMPO												12,000.00	108,287.33	
5/22/2001		12,000.00	110,537.16		12,000.00	110,537.16							12,000.00	110,537.16	
5/23/2001		2,200.00	20,382.03		2,200.00	20,382.03							2,200.00	20,382.03	
5/25/2001		9,800.00	87,201.76		9,800.00	87,201.76							9,800.00	87,201.76	879,372.00
8/25/2004	SANOPI AVENTIS (Exchange for Aventis)	12,000.00	282,204.25		12,000.00	282,204.25							12,000.00	282,204.25	471,240.00
6/18/2001	SPECTRA ENERGY CORP (Spin-Off from Duke Energy Corp. on 1/2/2007)	3,850.00	132,965.00		3,850.00	132,965.00							3,850.00	132,965.00	78,994.00
3/30/2009	Time Warner Cable, Inc				1,255.00	125,551.73							1,255.00	125,551.73	145,700.00
1/9/2001	TIME WARNER (DVs AOL Time Warner)	3,800.00	159,752.00				10,482.23	12/10/2009					3,800.00	109,679.52	
1/12/2001	merger Time Warner / AOL	1,900.00	79,876.00				39,590.24	3/30/2009					1,900.00	54,839.76	
1/10/2001		2,600.00	107,966.91				5,241.12	12/10/2009					2,600.00	74,125.89	
1/12/2001	merger Time Warner / AOL	1,300.00	53,983.45				19,795.12	3/30/2009					1,300.00	37,062.94	
							2,084.32	12/10/2009							
							26,756.70	3/30/2009							
							3,542.16	12/10/2009							
							13,378.35	3/30/2009							
2/13/2003		5,400.00	57,766.55				3,790.39	12/10/2009					5,400.00	39,660.27	
8/28/2009					1,255.00	47,273.35		14,315.89	3/30/2009				1,255.00	32,456.05	103,889.00
							3,101.87	12/10/2009							
							11,715.43	3/30/2009							
08/26/01	Travelers Companies Inc Exchange of Travelers Property & Casualty C.L.A. on 4/7/04	269.00	1,964.36										269.00	1,964.36	
	Originally a spin-off form Citigroup														
8/26/2001	Travelers Companies Inc. Exchange of Travelers Property & Casualty C.L.A. on 4/7/04	553.00	4,302.71										553.00	4,302.71	
	Originally a spin-off form Citigroup														
5/28/2004		1,678.00	67,326.76										1,678.00	67,326.76	124,650.00
1/21/2008	UNITED PARCEL SERVICE	6,000.00	404,222.40										6,000.00	404,222.40	
8/10/2000		1,500.00	86,340.00										1,500.00	86,340.00	430,275.00
1/16/1998	UNITED TECHNOLOGIES CORP	900.00	15,862.50										900.00	15,862.50	
5/21/1999	stock split	3,400.00	59,910.13										3,400.00	59,910.13	
6/6/2003	stock split	4,300.00	75,772.63										4,300.00	75,772.63	596,926.00
4/10/1997	VERIZON COMMUNICATION	700.00	20,355.17										700.00	20,355.17	
7/6/1998	stock split	3,700.00	108,648.76										3,700.00	108,648.76	
7/1/1998		800.00	35,277.51										800.00	35,277.51	
7/6/1998	stock split	800.00	35,277.51										800.00	35,277.51	
12/14/1989	ing contribution	1,000.00	62,496.68										1,000.00	62,496.68	
9/23/2008		1,500.00	49,050.06										1,500.00	49,050.06	331,300.00
					1,500.00	45,327.28							1,500.00	45,327.28	
3/12/2002	WELLPOINT HEALTH NETWORKS INC	1,600.00	47,050.08										1,600.00	47,050.08	
3/18/2002	stock split	1,600.00	47,050.08										1,600.00	47,050.08	
6/16/2003		3,200.00	94,100.16										3,200.00	94,100.16	373,056.00
10/4/2003	WEBMD Health Corp	2,500.00	43,750.00										2,500.00	43,750.00	

4/17/2008	Exchange for HLTH	7,500.00	180,157.25	5,555.00	104,305.12							180,157.25	7,500.00	104,305.12	598,712.00
10/27/2009															
	TOTALS - STOCK		11,889,978.97		1,428,601.17		452,622.55		0.00			12,865,957.59		12,865,957.59	17,891,371.00
	CORPORATE BONDS														0.00
4/14/1999	CENTURA BANK 6.500% 3/15/2009	250,000.00	252,267.50			250,000.00	250,000.00	3/16/2009	(2,267.50)			0.00	0.00		
	TOTALS - BONDS		252,267.50		0.00		250,000.00		(2,267.50)			0.00			
	GRAND TOTALS		12,993,640.22		2,028,734.45		1,770,514.48		1,367.37			13,253,227.56		13,253,227.56	18,254,767.00
															0.00

SFI 2009 Grants and Gifts Awarded

15 January 2010

Payee Legal Name	Grantee Legal Name	Grantee Address	Request Paid Amount	Request Project Description
Ballet Tech Foundation, Inc.	Ballet Tech Foundation, Inc.	The Lawrence A. Wien Center 890 Broadway, 8th Floor New York, NY 10003	\$15,000.00	provide partial support for dance faculty salaries at Ballet Tech during the academic year 2009-2010
Citizens' Committee for Children of New York, Inc.	Citizens' Committee for Children of New York, Inc.	105 East 22nd Street 7th Floor New York, NY 10010	\$7,500.00	support the Community Leadership Course provided by Citizens' Committee for Children of New York, Inc
Council on Foundations	Council on Foundations	1828 L Street NW Washington, DC 20036-5168	\$2,610.00	
De La Salle Academy	De La Salle Academy	202 West 97th Street, 4th Floor New York, NY 10025	\$5,000.00	support a program to ensure that all De La Salle students are able to visit the boarding schools to which they are applying
Educational Broadcasting Corporation	Educational Broadcasting Corporation	Educational Broadcasting Corporation 450 West 33rd Street New York, NY 10001	\$15,000.00	support production of the documentary film "No Tomorrow"
Educational Broadcasting Corporation	Educational Broadcasting Corporation	Educational Broadcasting Corporation 450 West 33rd Street New York, NY 10001	\$15,000.00	provide support for the production of the documentary "No Tomorrow"

Payee Legal Name	Grantee Legal Name	Grantee Address	Request Paid Amount	Request Project Description
Grantmakers Concerned with Immigrants and Refugees	Grantmakers Concerned with Immigrants and Refugees	P.O. Box 1100 Sebastopol, CA 95473-1100	\$300.00	
Grantmakers for Children, Youth & Families	Grantmakers for Children, Youth & Families	8757 Georgia Avenue Suite 540 Silver Spring, MD 20910	\$1,000.00	
Harlem Academy	Harlem Academy	1330 Fifth Avenue New York, NY 10026	\$35,000.00	support the development and implementation of Harlem Academy's middle school program
Khmer Arts Academy	Khmer Arts Academy	375 Redondo Avenue, Suite 156 Long Beach, CA 90814	\$50,000.00	provide salary support for dancers and musicians of the Khmer Arts Ensemble
Minors, Inc.	Minors, Inc.	635 NW 6th Street Plainview, MN 55122	\$30,000.00	support capacity building
Nation Institute	Nation Institute	116 East 16th Street 8th Floor New York, NY 10003	\$15,000.00	support the investigation into Alex Shimkins life and death in Vietnam, and pilot project for the investigation into undiscovered atrocities committed by U.S. and allied forces in Cambodia during the Vietnam War.

Payee Legal Name	Grantee Legal Name	Grantee Address	Request Paid Amount	Request Project Description
Nation Institute	Nation Institute	116 East 16th Street 8th Floor New York, NY 10003	\$2,900.00	support U.S.-based research as part of an investigation into heretofore undiscovered atrocities committed by U.S. and allied forces in Cambodia during the Vietnam War
Philanthropy New York	Philanthropy New York	79 Fifth Avenue, 4th Floor New York, NY 10003	\$1,600.00	
Research Foundation for Mental Hygiene, Inc.	Research Foundation for Mental Hygiene, Inc.	Riverview Center 150 Broadway Suite 301 Menands, NY 12229	\$25,000.00	develop an integrative, web-based, cost-effective, and resource-efficient program for New York State-based returning service members and their families, with the aim of promoting successful reintegration
The Epidavros Project, Inc.	The Epidavros Project, Inc.	141 West 28th Street, Suite 1101 New York, NY 10001	\$65,000.00	support the editing of one additional episode of the series "My American Dream"
The Epidavros Project, Inc.	The Epidavros Project, Inc.	141 West 28th Street, Suite 1101 New York, NY 10001	\$65,000.00	support the editing of one additional episode of the series "My American Dream"
The Puppetry Arts Theatre	The Puppetry Arts Theatre	339 2nd Street #1R Brooklyn, NY 11215	\$10,000.00	support the creative and performance arts programs of The Puppetry Arts Theatre, including Arts-in-Education workshops, theatrical performances, and community outreach

Payee Legal Name	Grantee Legal Name	Grantee Address	Request Paid Amount	Request Project Description
Trustees of Columbia University in the City of New York	Trustees of Columbia University in the City of New York	100 Haven Avenue, Tower III-19E New York, NY 10032	\$37,000.00	facilitate the preparation, submission and necessary revisions of a major 5-year proposal to the NIMH in 2010
Trustees of Columbia University in the City of New York	Trustees of Columbia University in the City of New York	NYC, NY 10032	\$28,000.00	support the second year of a project to study the brain mechanisms involved in PTSD and resilience using fMRI assessment
University of Minnesota Foundation	University of Minnesota Foundation	c/o McNamara Alumni Center 200 Oak Street SE, Suite 500 Minneapolis, MN 55455-2010	\$70,000.00	support the Resilience Development Study including: (1) EEG Hemispheric Activation Asymmetry; (2) Statistical Analyses of Two Measures of Hemispheric Asymmetry; and (3) Coding of Family Interactions and Maternal Depression
University of Minnesota Foundation	University of Minnesota Foundation	c/o McNamara Alumni Center 200 Oak Street SE, Suite 500 Minneapolis, MN 55455-2010	\$80,000.00	support the Resilience Development Study including: (1) Molecular Genetics Project; and (2) Incorporating Immunology into a Multi-level Understanding of Resilience
Watson Arts, Inc.	Watson Arts, Inc.	147 Bergen Street Brooklyn, NY 11217	\$45,000.00	support the stage production of the play "Dog & Wolf"

Payee Legal Name	Grantee Legal Name	Grantee Address	Request Paid Amount	Request Project Description
World Federation for Mental Health	World Federation for Mental Health	12940 Harbor Drive Suite 101 Woodbridge, VA 22192-2930	\$40,000.00	support the salary of the Director of the Office for Mental Health Promotion and Prevention of Mental Disorders

Grand Totals (24 items) \$660,910.00