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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[X] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions

Name of foundation
EUGENE V. AND CLARE E. THAW
CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
553 CANYON ROAD

City or town, state, and ZIP code
SANTA FE, NM 87501-2719

A Employer identification number
13-3081491

B Telephone number
505-982-7023

C If exemption application is pending, check here ▶ [ ]
D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 34,919,622.

J Accounting method: [ ] Cash [X] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	157,438.	157,438.		STATEMENT 1
	4 Dividends and interest from securities	429,000.	429,000.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,110,220.			
	b Gross sales price for all assets on line 6a 8,425,776.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	33,520.	96,206.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	-490,262.	682,644.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	275,486.	28,000.		247,486.
	15 Pension plans, employee benefits	12,074.	0.		12,074.
	16a Legal fees STMT 4	423.	42.		381.
	b Accounting fees STMT 5	19,294.	1,929.		17,365.
	c Other professional fees STMT 6	381,585.	381,391.		194.
	17 Interest	24.	0.		24.
	18 Taxes STMT 7	41,353.	14,333.		20,500.
	19 Depreciation and depletion	18,859.	0.		
	20 Occupancy	7,637.	764.		6,873.
	21 Travel, conferences, and meetings	3,081.	308.		2,773.
	22 Printing and publications				
	23 Other expenses STMT 8	67,733.	6,764.		60,969.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	827,549.	433,531.		368,639.
	25 Contributions, gifts, grants paid	2,974,045.			2,974,045.
	26 **Total expenses and disbursements.** Add lines 24 and 25	3,801,594.	433,531.		3,342,684.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-4,291,856.			
	b **Net investment income** (if negative, enter -0-)		249,113.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	142,613.	251,914.	251,914.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 12	1,454,716.	2,111,612.	2,111,612.
	b Investments - corporate stock STMT 13	7,939,042.	9,603,650.	9,603,650.
	c Investments - corporate bonds STMT 14	2,742,283.	3,784,337.	3,784,337.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 15	19,451,259.	18,264,372.	18,264,372.
	14 Land, buildings, and equipment basis ▶ 829,472.			
	Less accumulated depreciation ▶ 280,335.	567,996.	549,137.	549,137.
	15 Other assets (describe ▶ ARTWORK INVENTORY)	354,600.	354,600.	354,600.
	16 Total assets (to be completed by all filers)	32,652,509.	34,919,622.	34,919,622.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 16)	918.	7,432.	
	23 Total liabilities (add lines 17 through 22)	918.	7,432.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	32,651,591.	34,912,190.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	32,651,591.	34,912,190.	
	31 Total liabilities and net assets/fund balances	32,652,509.	34,919,622.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	32,651,591.
2	Enter amount from Part I, line 27a	2	-4,291,856.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	6,552,508.
4	Add lines 1, 2, and 3	4	34,912,243.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	53.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	34,912,190.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	8,425,776.		9,535,996.	-1,110,220.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				-1,110,220.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — -1,110,220.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	4,609,270.	38,749,650.	.118950
2007	4,699,966.	48,288,692.	.097331
2006	3,796,031.	42,928,621.	.088427
2005	3,638,438.	42,306,848.	.086001
2004	3,912,220.	42,191,297.	.092726

2	Total of line 1, column (d)	2	.483435
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.096687
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	32,444,227.
5	Multiply line 4 by line 3	5	3,136,935.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,491.
7	Add lines 5 and 6	7	3,139,426.
8	Enter qualifying distributions from Part XII, line 4	8	3,342,684.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	2,491.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	2,491.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-		5	2,491.
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d TAX PAID W/ O.R.	10,000.	7	10,000.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	7,509.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 7,509. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NM			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ RICCI & COMPANY LLC Telephone no ▶505-338-0800

Located at ▶6200 UPTOWN BLVD NE, SUITE 400, ALBUQUERQUE, NM ZIP+4 ▶87110

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SHERRY A. THOMPSON MILLER SANTA FE, NM	EXEC. DIRECTOR 40.00	92,610.	4,631.	0.
CYNTHIA L. ARCHER SANTA FE, NM	GRANT MANAGER 40.00	66,876.	3,244.	0.
KATHLEEN FLANAGAN SANTA FE, NM, MANAGER	INVESTMENT MANAGER 40.00	56,000.	4,200.	0.
SUSAN HERTER SANTA FE, NM	DIRECTOR EMERITUS 40.00	60,000.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
CONSULTING SERVICES GROUP - 6075 POPLAR AVENUE SUITE 700, MEMPHIS, TN 38119	PORTFOLIO MGMT FEES	56,862.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	26,133,737.
b	Average of monthly cash balances	1b	2,635,954.
c	Fair market value of all other assets	1c	4,168,611.
d	Total (add lines 1a, b, and c)	1d	32,938,302.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	32,938,302.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	494,075.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	32,444,227.
6	**Minimum investment return** Enter 5% of line 5	6	1,622,211.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,622,211.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 2,491.		
b	Income tax for 2009 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	2,491.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,619,720.
4	Recoveries of amounts treated as qualifying distributions	4	4,337.
5	Add lines 3 and 4	5	1,624,057.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,624,057.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	3,342,684.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,342,684.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	2,491.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	3,340,193.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,624,057.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004 1,818,441.				
b From 2005 1,603,158.				
c From 2006 1,717,280.				
d From 2007 2,500,851.				
e From 2008 2,178,715.				
f **Total** of lines 3a through e	9,818,445.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 3,342,684.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,624,057.
e Remaining amount distributed out of corpus	1,718,627.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,537,072.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	1,818,441.			
9 **Excess distributions carryover to 2010** Subtract lines 7 and 8 from line 6a	9,718,631.			
10 Analysis of line 9				
a Excess from 2005 1,603,158.				
b Excess from 2006 1,717,280.				
c Excess from 2007 2,500,851.				
d Excess from 2008 2,178,715.				
e Excess from 2009 1,718,627.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT A SEE DETAIL SANTA FE, NM 87504	VARIOUS INDEPENDENT		VARIOUS SEE ATTACHMENT A	2,974,045.
Total			▶ 3a	2,974,045.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	157,438.	
4 Dividends and interest from securities			14	429,000.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	96,206.	
8 Gain or (loss) from sales of assets other than inventory			18	-1,110,220.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FORM 990-T UBTI	110000	-62,686.			
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-62,686.		-427,576.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 -490,262.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | 4/19/2011 Date | President Title

Paid Preparer's Use Only

Preparer's signature	Date 2-8-11	Check if self-employed ☐	Preparer's identifying number N/A
Firm's name (or yours if self-employed) address and ZIP code	RICCI & COMPANY, LLC 6200 UPTOWN BLVD NE STE 400 ALBUQUERQUE, NM 87110	EIN N/A	Phone no 505-338-0800

Form **990-PF** (2009)

923622
02-02-10

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 10

DESCRIPTION	AMOUNT
CHANGE IN UNREALIZED GAINS & LOSSES	6,548,171.
RETURN OF A PRIOR YEAR DISTRIBUTION	4,337.
TOTAL TO FORM 990-PF, PART III, LINE 3	6,552,508.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 11

DESCRIPTION	AMOUNT
	50.
ROUNDING	3.
TOTAL TO FORM 990-PF, PART III, LINE 5	53.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 12

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
C SCHWAB MM #4246	X		1,604,680.	1,604,680.
CREDIT SWISSE	X		249,108.	249,108.
C.SCHWAB ATALANTA 0894	X		134,367.	134,367.
C.SCHWAB ADVISORY #2980	X		106,101.	106,101.
C SCHWAB SMH #1347	X		17,356.	17,356.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,111,612.	2,111,612.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,111,612.	2,111,612.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	THORNBURG INTERNATIONAL VALUE CL I	P	VARIOUS	01/07/09
b	DIODES INC 2.25%26 CONVERTIBLE	P	11/12/08	07/16/09
c	HOSPITALITY PROP 3.8%27 CONVT NOTE	P	12/08/08	10/08/09
d	HOSPITALITY PROP 3.8%27 CONVT NOTE	P	06/17/09	10/08/09
e	K HOVNANIAN ENTE 11.5%13 NOTES	P	08/18/09	10/20/09
f	LANDRYS REST INC 9.5%14SR NT	P	08/05/08	02/13/09
g	LANDRYS REST INC 9.5%14SR NT	P	10/08/08	02/13/09
h	LEVEL 3 FING INC 9.25%14 COMPANY	P	06/26/08	05/04/09
i	METROPCS WIRELES 9.25%14 NOTES	P	07/28/08	02/18/09
j	MGM MIRAGE 6.0%09	P	04/30/09	05/13/09
k	ROYAL CARIBBEAN 8%10FSR	P	04/09/09	07/30/09
l	ROYAL CARIBBEAN 8%10FSR	P	04/16/09	07/30/09
m	SPRINT CAP CORP 7.625%11 NOTES	P	02/24/09	05/19/09
n	SPRINT CAP CORP 7.625%11 NOTES	P	03/13/09	05/19/09
o	TENET HLTHCARE 9.875%14SR	P	01/21/09	06/15/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,000,000.		1,646,968.	-646,968.
b	38,460.		22,045.	16,415.
c	13,251.		8,615.	4,636.
d	10,412.		9,271.	1,141.
e	6,360.		5,686.	674.
f	49,236.		47,653.	1,583.
g	5,024.		4,340.	684.
h	16,854.		19,351.	-2,497.
i	54,838.		55,567.	-729.
j	13,768.		12,083.	1,685.
k	6,984.		6,584.	400.
l	3,991.		3,908.	83.
m	41,589.		37,555.	4,034.
n	33,851.		31,104.	2,747.
o	6,000.		5,184.	816.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-646,968.
b				16,415.
c				4,636.
d				1,141.
e				674.
f				1,583.
g				684.
h				-2,497.
i				-729.
j				1,685.
k				400.
l				83.
m				4,034.
n				2,747.
o				816.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	ADVANCED MICRO DEV 6%15 NOTES	P	06/16/08	11/18/09
b	ADVANCED MICRO DEV 6%15 NOTES	P	10/07/08	11/18/09
c	AMERICAN AXLE 7.875%17GTD	P	06/19/08	07/20/09
d	BOYD GAMING CORP 6.75%14SR	P	06/18/08	08/10/09
e	BOYD GAMING CORP 6.75%14SR	P	07/09/08	08/10/09
f	C I T GROUP INC	P	06/30/08	12/10/09
g	C I T GROUP INC	P	06/30/08	12/10/09
h	GAYLORD ENTERTNMNT 8%13SNR	P	06/26/08	10/07/09
i	GENL MOTORS ACC 7.25%	P	05/30/08	11/04/09
j	GENL MOTORS ACC 7.25%	P	06/23/08	11/04/09
k	GENL MOTORS ACC 7.25%	P	09/03/08	11/04/09
l	ISTAR FINANCIAL FLT 2010SR	P	06/13/08	11/24/09
m	ISTAR FINANCIAL FLT 2010SR	P	07/29/08	11/24/09
n	ISTAR FINANCIAL FLT 2010SR	P	08/01/08	11/24/09
o	ISTAR FINANCIAL FLT 2010SR	P	09/18/08	11/24/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	77,468.		67,749.	9,719.
b	9,468.		5,771.	3,697.
c	21,675.		55,983.	-34,308.
d	110,027.		107,230.	2,797.
e	8,873.		7,923.	950.
f	13.		19.	-6.
g	2.		1.	1.
h	113,950.		107,463.	6,487.
i	120,518.		114,053.	6,465.
j	3,919.		3,605.	314.
k	9,798.		8,097.	1,701.
l	64,951.		63,836.	1,115.
m	3,659.		3,215.	444.
n	44,825.		39,103.	5,722.
o	2,744.		2,170.	574.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				9,719.
b				3,697.
c				-34,308.
d				2,797.
e				950.
f				-6.
g				1.
h				6,487.
i				6,465.
j				314.
k				1,701.
l				1,115.
m				444.
n				5,722.
o				574.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	UNISYS	P	07/11/08	12/03/09
b	UNITED REFNG CO 10.5%	P	07/18/08	08/20/09
c	AT&T NEW	P	VARIOUS	VARIOUS
d	ABBOTT LABORATORIES	P	VARIOUS	04/14/09
e	ACE LIMITED NEW	P	VARIOUS	VARIOUS
f	AETNA INC NEW	P	VARIOUS	VARIOUS
g	ALLSTATE CORPORATION	P	04/13/09	10/26/09
h	AMGEN INCORPORATED	P	07/30/09	10/22/09
i	APPLE INC	P	VARIOUS	VARIOUS
j	BANK OF AMERICA CORP	P	04/30/09	07/20/09
k	CVS CARMARK CORP	P	VARIOUS	VARIOUS
l	CAPITAL ONE FINANCIAL	P	04/13/09	05/08/09
m	CELGENE CORP	P	02/23/09	07/08/09
n	CHEVRON CORPORATION	P	VARIOUS	VARIOUS
o	CHUBB CORPORATION	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	61,013.		60,720.	293.
b	48,955.		59,741.	-10,786.
c	192,970.		211,640.	-18,670.
d	22,121.		28,380.	-6,259.
e	138,465.		139,180.	-715.
f	74,287.		122,447.	-48,160.
g	39,447.		30,539.	8,908.
h	31,371.		35,157.	-3,786.
i	69,249.		101,942.	-32,693.
j	15,994.		11,899.	4,095.
k	32,312.		49,581.	-17,269.
l	18,211.		10,953.	7,258.
m	18,345.		21,092.	-2,747.
n	82,022.		93,123.	-11,101.
o	63,111.		74,861.	-11,750.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				293.
b				-10,786.
c				-18,670.
d				-6,259.
e				-715.
f				-48,160.
g				8,908.
h				-3,786.
i				-32,693.
j				4,095.
k				-17,269.
l				7,258.
m				-2,747.
n				-11,101.
o				-11,750.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	CISCO SYSTEMS INC	P	VARIOUS	VARIOUS
b	CONOCOPHILLIPS	P	12/10/08	VARIOUS
c	DISNEY WALT CO	P	VARIOUS	VARIOUS
d	FREEPORT MCMORAN COPPER	P	01/29/09	12/11/09
e	GENENTECH INC	P	10/30/08	03/26/09
f	GENERAL DYNAMICS CORP	P	VARIOUS	VARIOUS
g	GENZYME CORPORATION	P	VARIOUS	VARIOUS
h	GILEAD SCIENCES INC	P	VARIOUS	VARIOUS
i	GOLDMAN SACHS GROUP INC	P	VARIOUS	VARIOUS
j	GOOGLE INC	P	VARIOUS	VARIOUS
k	HEWLETT-PACKARD COMPANY	P	VARIOUS	VARIOUS
l	INTEL CORP	P	VARIOUS	VARIOUS
m	INTL BUSINESS MACHINES	P	VARIOUS	VARIOUS
n	JOHNSON & JOHNSON	P	VARIOUS	VARIOUS
o	MEDCOHEALTH SOLUTIONS	P	06/26/08	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	50,474.		47,740.	2,734.
b	36,699.		39,768.	-3,069.
c	90,339.		121,273.	-30,934.
d	34,549.		12,108.	22,441.
e	37,990.		33,205.	4,785.
f	32,199.		54,554.	-22,355.
g	100,648.		130,580.	-29,932.
h	59,786.		68,621.	-8,835.
i	108,124.		88,038.	20,086.
j	32,505.		52,237.	-19,732.
k	34,400.		48,994.	-14,594.
l	52,209.		37,623.	14,586.
m	87,649.		111,540.	-23,891.
n	147,935.		165,157.	-17,222.
o	43,049.		46,615.	-3,566.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				2,734.
b				-3,069.
c				-30,934.
d				22,441.
e				4,785.
f				-22,355.
g				-29,932.
h				-8,835.
i				20,086.
j				-19,732.
k				-14,594.
l				14,586.
m				-23,891.
n				-17,222.
o				-3,566.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	MERCK & CO INC	P	VARIOUS	VARIOUS
b	METLIFE INC	P	VARIOUS	VARIOUS
c	MICROSOFT CORP	P	VARIOUS	VARIOUS
d	OCCIDENTAL PETE CORP	P	VARIOUS	VARIOUS
e	PHILIP MORRIS INTL INC	P	01/30/08	01/08/09
f	QUALCOMM INC	P	08/21/09	12/11/09
g	RESEARCH IN MOTION LTD	P	VARIOUS	VARIOUS
h	STATE STREET CORP	P	09/10/09	11/16/09
i	TARGET CORPORATION	P	VARIOUS	VARIOUS
j	TRANSOCEAN INC	P	04/02/09	07/30/09
k	UNION PACIFIC CORP	P	VARIOUS	VARIOUS
l	UNITED STATES STEEL CORP	P	01/29/09	02/24/09
m	UNITED TECHNOLOGIES CORP	P	VARIOUS	VARIOUS
n	VALE SA ADR	P	02/26/09	VARIOUS
o	WAL-MART STORES INC	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	51,610.		44,632.	6,978.
b	111,132.		128,510.	-17,378.
c	94,630.		97,172.	-2,542.
d	36,240.		33,656.	2,584.
e	8,476.		10,643.	-2,167.
f	33,576.		35,521.	-1,945.
g	61,802.		65,364.	-3,562.
h	28,451.		37,066.	-8,615.
i	127,213.		97,641.	29,572.
j	31,505.		25,184.	6,321.
k	62,026.		79,211.	-17,185.
l	19,026.		29,650.	-10,624.
m	78,240.		101,786.	-23,546.
n	43,229.		20,801.	22,428.
o	121,262.		135,268.	-14,006.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				6,978.
b				-17,378.
c				-2,542.
d				2,584.
e				-2,167.
f				-1,945.
g				-3,562.
h				-8,615.
i				29,572.
j				6,321.
k				-17,185.
l				-10,624.
m				-23,546.
n				22,428.
o				-14,006.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr)
1a	WEATHERFORD INTL LTD	P	06/09/09	11/25/09
b	WELLPOINT INC	P	VARIOUS	VARIOUS
c	WELLS FARGO & CO	P	05/04/09	08/11/09
d	YAHOO INC	P	09/29/09	11/25/09
e	YUM BRANDS INC	P	VARIOUS	VARIOUS
f	AT&T NEW	P	12/12/07	01/07/09
g	ABBOTT LABORATORIES	P	VARIOUS	VARIOUS
h	ACE LIMITED NEW	P	10/29/08	11/25/09
i	AETNA INC NEW	P	VARIOUS	VARIOUS
j	AMERN TOWER CORP	P	06/01/07	01/07/09
k	APPLE INC	P	08/12/08	10/16/09
l	CVS CARMARK CORP	P	VARIOUS	VARIOUS
m	GENERAL DYNAMICS CORP	P	12/06/07	01/07/09
n	HEWLETT-PACKARD COMPANY	P	VARIOUS	VARIOUS
o	INTL BUSINESS MACHINES	P	01/25/08	02/19/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	25,927.		33,931.	-8,004.
b	81,285.		87,462.	-6,177.
c	35,283.		30,706.	4,577.
d	32,711.		37,077.	-4,366.
e	48,582.		49,004.	-422.
f	42,099.		65,064.	-22,965.
g	133,070.		151,467.	-18,397.
h	12,454.		13,997.	-1,543.
i	24,664.		52,160.	-27,496.
j	16,176.		23,637.	-7,461.
k	37,755.		35,601.	2,154.
l	126,130.		155,068.	-28,938.
m	2,974.		4,649.	-1,675.
n	52,860.		71,418.	-18,558.
o	4,440.		5,270.	-830.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				-8,004.
b				-6,177.
c				4,577.
d				-4,366.
e				-422.
f				-22,965.
g				-18,397.
h				-1,543.
i				-27,496.
j				-7,461.
k				2,154.
l				-28,938.
m				-1,675.
n				-18,558.
o				-830.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	MC' DONALDS CORP	P	VARIOUS	VARIOUS
b	MEDCOHEALTH SOLUTIONS	P	VARIOUS	VARIOUS
c	OCCIDENTAL PETE CORP	P	12/10/08	12/11/09
d	PHILIP MORRIS INTL INC	P	VARIOUS	VARIOUS
e	UNION PACIFIC CORP	P	02/01/08	06/18/09
f	WAL-MART STORES INC	P	VARIOUS	VARIOUS
g	310 CENTURY TEL INC	P	06/01/07	12/09/09
h	1,010 REGIONS FINANCIAL CORP	P	09/21/09	12/07/09
i	1,640 REGIONS FINANCIAL CORP	P	09/21/09	12/03/09
j	200 ASSISTED LIVING CONCPT NEV	P	04/18/08	11/20/09
k	430 ENCORE ACQUISITION CO	P	01/08/09	11/02/09
l	30 ENCORE ACQUISITION CO	P	01/16/09	11/02/09
m	290 CAPITOL FEDERAL FINANCIAL	P	06/04/07	10/30/09
n	110 CAPITOL FEDERAL FINANCIAL	P	10/31/07	10/30/09
o	240 JEFFERIES GROUP INC	P	02/08/08	10/26/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	169,086.		168,807.	279.
b	66,373.		71,578.	-5,205.
c	15,188.		11,111.	4,077.
d	88,624.		100,976.	-12,352.
e	5,092.		6,320.	-1,228.
f	74,494.		87,692.	-13,198.
g	11,079.		14,490.	-3,411.
h	5,624.		6,600.	-976.
i	9,205.		10,718.	-1,513.
j	4,493.		7,040.	-2,547.
k	19,370.		12,750.	6,620.
l	1,351.		771.	580.
m	8,824.		11,289.	-2,465.
n	3,347.		3,642.	-295.
o	6,433.		4,584.	1,849.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				279.
b				-5,205.
c				4,077.
d				-12,352.
e				-1,228.
f				-13,198.
g				-3,411.
h				-976.
i				-1,513.
j				-2,547.
k				6,620.
l				580.
m				-2,465.
n				-295.
o				1,849.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	330 JEFFERIES GROUP INC	P	02/08/08	10/26/09
b	330 JEFFERIES GROUP INC	P	02/08/08	10/22/09
c	60 JEFFERIES GROUP INC	P	02/05/08	10/21/09
d	140 JEFFERIES GROUP INC	P	02/08/08	10/21/09
e	340 GRANITE CONSTR INC	P	08/04/08	10/16/09
f	154 ASSISTED LIVING CONCPT NEV	P	04/10/08	10/14/09
g	226 ASSISTED LIVING CONCPT NEV	P	04/18/08	10/14/09
h	410 CAPITOL FEDERAL FINANCIAL	P	06/01/07	10/14/09
i	850 CENTERPOINT ENERGY INC	P	04/03/08	10/01/09
j	300 CENTERPOINT ENERGY INC	P	10/31/07	10/01/09
k	1,180 CENTERPOINT ENERGY INC	P	10/31/07	09/29/09
l	1,120 CENTERPOINT ENERGY INC	P	10/31/07	09/24/09
m	20 ANDERSONS INC	P	09/08/08	09/23/09
n	190 ANDERSONS INC	P	09/11/08	09/23/09
o	500 ASSISTED LIVING CONCPT NEV	P	04/10/08	09/23/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,845.		6,303.	2,542.
b	9,064.		6,303.	2,761.
c	1,644.		1,177.	467.
d	3,835.		2,674.	1,161.
e	9,613.		10,524.	-911.
f	3,594.		4,881.	-1,287.
g	5,274.		7,955.	-2,681.
h	13,229.		15,960.	-2,731.
i	10,433.		12,641.	-2,208.
j	3,682.		5,018.	-1,336.
k	14,787.		19,736.	-4,949.
l	13,752.		18,733.	-4,981.
m	702.		909.	-207.
n	6,667.		7,837.	-1,170.
o	10,626.		15,848.	-5,222.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				2,542.
b				2,761.
c				467.
d				1,161.
e				-911.
f				-1,287.
g				-2,681.
h				-2,731.
i				-2,208.
j				-1,336.
k				-4,949.
l				-4,981.
m				-207.
n				-1,170.
o				-5,222.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	360 GRANITE CONSTR INC	P	07/30/08	09/23/09
b	80 GRANITE CONSTR INC	P	08/04/08	09/23/09
c	870 CENTERPOINT ENERGY INC	P	06/04/07	09/18/09
d	90 CENTERPOINT ENERGY INC	P	10/31/07	09/18/09
e	1,070 CENTERPOINT ENERGY INC	P	06/04/07	09/04/09
f	140 ANDERSONS INC	P	08/06/08	09/01/09
g	140 ANDERSONS INC	P	09/08/08	09/01/09
h	350 CENTERPOINT ENERGY INC	P	06/ /07	09/01/09
i	660 CENTERPOINT ENERGY INC	P	06/04/07	09/01/09
j	140 ENCORE WIRE CORP	P	02/08/08	08/31/09
k	70 ENCORE WIRE CORP	P	02/08/08	08/31/09
l	260 A D C TELECOMMUNICATIONS	P	10/29/08	08/28/09
m	420 A D C TELECOMMUNICATIONS	P	10/ /08	08/28/09
n	370 INVESTORS BANCORP INC	P	06/01/07	08/28/09
o	280 ANDERSONS INC	P	08/04/08	08/25/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,096.		11,350.	-254.
b	2,466.		2,476.	-10.
c	10,854.		16,314.	-5,460.
d	1,123.		1,505.	-382.
e	12,852.		20,064.	-7,212.
f	4,558.		6,474.	-1,916.
g	4,558.		6,366.	-1,808.
h	4,311.		6,584.	-2,273.
i	8,130.		12,376.	-4,246.
j	3,332.		2,236.	1,096.
k	1,666.		1,118.	548.
l	2,334.		1,506.	828.
m	3,770.		2,433.	1,337.
n	3,417.		5,207.	-1,790.
o	8,424.		12,934.	-4,510.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-254.
b				-10.
c				-5,460.
d				-382.
e				-7,212.
f				-1,916.
g				-1,808.
h				-2,273.
i				-4,246.
j				1,096.
k				548.
l				828.
m				1,337.
n				-1,790.
o				-4,510.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	60 ANDERSONS INC	P	08/06/08	08/25/09
b	1,570 CENTERPOINT ENERGY INC	P	06/10/07	08/25/09
c	990 A D C TELECOMMUNICATIONS	P	10/28/08	08/24/09
d	210 A D C TELECOMMUNICATIONS	P	10/29/08	08/24/09
e	370 JEFFERIES GROUP INC	P	02/05/08	08/19/09
f	440 A D C TELECOMMUNICATIONS	P	10/27/08	08/18/09
g	160 A D C TELECOMMUNICATIONS	P	10/28/08	08/18/09
h	360 GRANITE CONSTR INC	P	07/30/08	08/13/09
i	190 UMB FINANCIAL CORP	P	06/10/07	08/13/09
j	940 A D C TELECOMMUNICATIONS	P	10/27/08	08/12/09
k	100 JEFFERIES GROUP INC	P	01/30/08	08/11/09
l	370 JEFFERIES GROUP INC	P	02/05/08	08/11/09
m	380 INVESTORS BANCORP INC	P	06/01/07	08/07/09
n	430 ANDERSONS INC	P	07/22/08	08/04/09
o	60 ANDERSONS INC	P	08/04/08	08/04/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,805.		2,775.	-970.
b	20,107.		29,533.	-9,426.
c	9,393.		4,860.	4,533.
d	1,992.		1,217.	775.
e	7,962.		7,258.	704.
f	3,915.		2,119.	1,796.
g	1,424.		785.	639.
h	11,842.		11,350.	492.
i	8,013.		7,505.	508.
j	8,034.		4,526.	3,508.
k	2,229.		1,959.	270.
l	8,249.		7,258.	991.
m	3,624.		5,348.	-1,724.
n	13,493.		17,400.	-3,907.
o	1,883.		2,772.	-889.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				-970.
b				-9,426.
c				4,533.
d				775.
e				704.
f				1,796.
g				639.
h				492.
i				508.
j				3,508.
k				270.
l				991.
m				-1,724.
n				-3,907.
o				-889.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	200 ANDERSONS INC	P	07/22/08	07/23/09
b	220 ANDERSONS INC	P	07/22/08	07/20/09
c	440 ASSISTED LIVING CONCPT NEV	P	04/10/08	07/13/09
d	120 CENTURY TEL INC	P	06/01/07	07/08/09
e	0.113 CENTURY TEL INC	P	06/01/07	07/02/09
f	0.488 CENTURY TEL INC	P	06/01/07	07/02/09
g	390 COMSTOCK RESOURCES INC	P	01/02/08	07/02/09
h	290 COMSTOCK RESOURCES INC	P	01/02/08	06/30/09
i	270 COMSTOCK RESOURCES INC	P	01/02/08	06/25/09
j	150 EMBARQ CORP	P	06/01/07	06/24/09
k	100 JEFFERIES GROUP INC	P	01/30/08	06/22/09
l	520 JEFFERIES GROUP INC	P	01/30/08	06/22/09
m	1,396 WENDYSL ARBYS GROUP INC	P	10/31/07	05/20/09
n	1,000 UCBH HOLDINGS INC	P	09/02/08	05/18/09
o	1,660 UCBH HOLDINGS INC	P	10/09/08	05/18/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,169.		8,093.	-1,924.
b	6,241.		8,902.	-2,661.
c	6,014.		13,946.	-7,932.
d	3,530.		5,609.	-2,079.
e	3.		5.	-2.
f	15.		23.	-8.
g	12,105.		13,484.	-1,379.
h	9,446.		10,027.	-581.
i	9,348.		9,335.	13.
j	6,471.		9,605.	-3,134.
k	2,038.		1,959.	79.
l	10,600.		10,185.	415.
m	6,224.		15,420.	-9,196.
n	1,758.		6,164.	-4,406.
o	2,918.		8,593.	-5,675.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				-1,924.
b				-2,661.
c				-7,932.
d				-2,079.
e				-2.
f				-8.
g				-1,379.
h				-581.
i				13.
j				-3,134.
k				79.
l				415.
m				-9,196.
n				-4,406.
o				-5,675.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	1,040 WENDYSL ARBYS GROUP INC	P	10/31/07	05/18/09
b	839 GREAT PLAINS ENERGY INC	P	03/13/09	05/13/09
c	3,210 UCBH HOLDINGS INC	P	08/20/08	05/11/09
d	3,240 UCBH HOLDINGS INC	P	08/22/08	05/11/09
e	2,150 UCBH HOLDINGS INC	P	09/02/08	05/11/09
f	950 QUICKSILVER RESOURCES INC	P	06/04/07	05/08/09
g	540 QUICKSILVER RESOURCES INC	P	09/11/08	05/08/09
h	299 GREAT PLAINS ENERGY INC	P	07/15/08	05/01/09
i	230 GREAT PLAINS ENERGY INC	P	11/24/08	05/01/09
j	161 GREAT PLAINS ENERGY INC	P	03/13/09	05/01/09
k	230 QUICKSILVER RESOURCES INC	P	06/01/07	05/01/09
l	460 QUICKSILVER RESOURCES INC	P	06/01/07	05/01/09
m	450 QUICKSILVER RESOURCES INC	P	06/04/07	05/01/09
n	846 WENDYSL ARBYS GROUP INC	P	06/04/07	05/01/09
o	3,344 WENDYSL ARBYS GROUP INC	P	10/31/07	05/01/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,426.		11,488.	-7,062.
b	12,252.		9,816.	2,436.
c	8,265.		16,245.	-7,980.
d	8,342.		16,844.	-8,502.
e	5,536.		13,253.	-7,717.
f	9,592.		21,993.	-12,401.
g	5,453.		11,196.	-5,743.
h	4,306.		7,696.	-3,390.
i	3,312.		4,238.	-926.
j	2,319.		1,884.	435.
k	1,928.		5,241.	-3,313.
l	3,855.		10,482.	-6,627.
m	3,772.		10,418.	-6,646.
n	3,962.		13,272.	-9,310.
o	15,660.		36,939.	-21,279.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-7,062.
b				2,436.
c				-7,980.
d				-8,502.
e				-7,717.
f				-12,401.
g				-5,743.
h				-3,390.
i				-926.
j				435.
k				-3,313.
l				-6,627.
m				-6,646.
n				-9,310.
o				-21,279.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8		3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	GREAT PLAINS ENERGY INC	P	07/15/08	04/27/09
b	GREAT PLAINS ENERGY INC	P	07/15/08	04/27/09
c	GREAT PLAINS ENERGY INC	P	07/15/08	04/23/09
d	GREAT PLAINS ENERGY INC	P	07/15/08	04/23/09
e	300 CNX GAS CORP	P	06/01/07	04/22/09
f	920 GREAT PLAINS ENERGY INC	P	07/15/08	04/22/09
g	350 DISCOVERY COMMUNICATIONS	P	06/04/07	04/17/09
h	305 DISCOVERY COMMUNICATIONS	P	09/11/08	04/17/09
i	670 DISCOVERY COMMUNICATIONS	P	06/04/07	04/16/09
j	DISCOVERY COMMUNICATIONS	P	06/01/07	04/14/09
k	180 DISCOVERY COMMUNICATIONS	P	06/04/07	04/14/09
l	830 DISCOVERY COMMUNICATIONS	P	06/01/07	04/13/09
m	980 JEFFERIES GROUP INC	P	01/30/08	04/08/09
n	480 ENCORE WIRE CORP	P	02/08/08	04/07/09
o	310 GRANITE CONSTR INC	P	07/30/08	04/06/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,156.		7,503.	-3,347.
b	6,965.		12,575.	-5,610.
c	2,811.		5,051.	-2,240.
d	8,506.		15,284.	-6,778.
e	7,007.		8,840.	-1,833.
f	13,451.		23,681.	-10,230.
g	6,362.		8,073.	-1,711.
h	5,544.		5,595.	-51.
i	11,803.		15,453.	-3,650.
j	1,366.		1,838.	-472.
k	3,074.		4,152.	-1,078.
l	14,614.		19,067.	-4,453.
m	13,356.		19,194.	-5,838.
n	10,570.		7,667.	2,903.
o	12,487.		9,774.	2,713.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				-3,347.
b				-5,610.
c				-2,240.
d				-6,778.
e				-1,833.
f				-10,230.
g				-1,711.
h				-51.
i				-3,650.
j				-472.
k				-1,078.
l				-4,453.
m				-5,838.
n				2,903.
o				2,713.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	110 U MB FINANCIAL CORP	P	06/01/07	03/18/09
b	550 AMERIPRISE FINANCIAL INC	P	07/02/08	02/26/09
c	150 EMBARQ CORP	P	06/01/07	02/26/09
d	410 AMERIPRISE FINANCIAL INC	P	10/31/07	02/25/09
e	220 ARNERIPRISE FINANCIAL INC	P	07/02/08	02/25/09
f	170 ARNERIPRISE FINANCIAL INC	P	06/04/07	02/24/09
g	360 ARNERIPRISE FINANCIAL INC	P	10/31/07	02/24/09
h	370 CATHAY GENERAL BANCORP	P	08/11/08	02/20/09
i	340 CATHAY GENERAL BANCORP	P	08/12/08	02/20/09
j	200 AMERIPRISE FINANCIAL INC	P	06/01/07	02/19/09
k	830 ARNERIPRISE FINANCIAL INC	P	06/04/07	02/19/09
l	910 ENCORE WIRE CORP	P	02/08/08	02/13/09
m	110 CNX GAS CORP	P	06/01/07	02/02/09
n	500 VAIL RESORTS INC	P	06/01/07	01/15/09
o	VISA INC COM CL A	P	07/22/08	02/25/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,594.		4,345.	249.
b	9,353.		22,485.	-13,132.
c	5,189.		9,605.	-4,416.
d	6,881.		25,543.	-18,662.
e	3,692.		8,994.	-5,302.
f	2,908.		10,788.	-7,880.
g	6,157.		22,428.	-16,271.
h	3,190.		8,264.	-5,074.
i	2,931.		7,621.	-4,690.
j	3,596.		12,662.	-9,066.
k	14,925.		52,672.	-37,747.
l	19,038.		14,534.	4,504.
m	2,733.		3,241.	-508.
n	11,482.		29,865.	-18,383.
o	30,530.		38,960.	-8,430.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				249.
b				-13,132.
c				-4,416.
d				-18,662.
e				-5,302.
f				-7,880.
g				-16,271.
h				-5,074.
i				-4,690.
j				-9,066.
k				-37,747.
l				4,504.
m				-508.
n				-18,383.
o				-8,430.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	LAS VEGAS SANDS CORP	P	08/14/08	03/10/09
b	TIME WARNER CABLE INC	P	09/03/08	04/02/09
c	PHILIP MORRIS INTL INC	P	01/23/09	11/02/09
d	CNOOC LTD	P	09/25/05	03/04/09
e	LAS VEGAS SANDS CORP	P	VARIOUS	03/10/09
f	TIME WARNER CABLE INC	P	09/03/08	10/27/09
g	UNION PACIFIC CORP	P	06/12/08	11/02/09
h	BURLINGTON NORTHERN SANTA FE	P	06/06/08	11/27/09
i	AOL INC	P	09/03/08	12/15/09
j	NYSE EURONET	P	09/29/05	03/10/09
k	BESSEMER TRUST CO - CLASS ACTION SETTLEMENT	P	VARIOUS	VARIOUS
l	TIGER GLOBAL LTD CL C	P	VARIOUS	02/03/09
m	CAPITAL GAINS DIVIDENDS			
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	756.		26,572.	-25,816.
b	30.		53.	-23.
c	12,780.		10,864.	1,916.
d	61,984.		53,470.	8,514.
e	2,817.		179,618.	-176,801.
f	31,133.		37,069.	-5,936.
g	67,417.		93,815.	-26,398.
h	120,894.		138,137.	-17,243.
i	6,426.		7,382.	-956.
j	36,029.		85,193.	-49,164.
k	6,580.			6,580.
l	1,500,000.		915,183.	584,817.
m	28,849.			28,849.
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-25,816.
b				-23.
c				1,916.
d				8,514.
e				-176,801.
f				-5,936.
g				-26,398.
h				-17,243.
i				-956.
j				-49,164.
k				6,580.
l				584,817.
m				28,849.
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	-1,110,220.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

923591
04-24-09

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST INCOME	157,438.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	157,438.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS FROM SECURITIES	457,849.	28,849.	429,000.
TOTAL TO FM 990-PF, PART I, LN 4	457,849.	28,849.	429,000.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	96,206.	96,206.	
FORM 990-T UBTI	-62,686.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	33,520.	96,206.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	423.	42.		381.
TO FM 990-PF, PG 1, LN 16A	423.	42.		381.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	19,294.	1,929.		17,365.
TO FORM 990-PF, PG 1, LN 16B	19,294.	1,929.		17,365.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	381,369.	381,369.		0.
OTHER CONSULTING FEES	216.	22.		194.
TO FORM 990-PF, PG 1, LN 16C	381,585.	381,391.		194.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	11,533.	11,533.		0.
FEDERAL EXCISE TAXES	6,520.	0.		0.
PAYROLL TAXES	23,300.	2,800.		20,500.
TO FORM 990-PF, PG 1, LN 18	41,353.	14,333.		20,500.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CLEANING	6,660.	666.		5,994.
COMPUTER SOFTWARE	45.	0.		45.
INSURANCE	45,301.	4,530.		40,771.
INTERNET	1,479.	148.		1,331.
DUES AND SUBSCRIPTIONS	4,284.	428.		3,856.
PARKING	2,160.	216.		1,944.
MISCELLANEOUS	613.	61.		552.
OFFICE SUPPLIES	1,675.	168.		1,507.
PEST CONTROL	540.	54.		486.
PUBLICATIONS	1,065.	107.		958.
POSTAGE	1,949.	195.		1,754.
REPAIRS	1,511.	151.		1,360.
SECURITY	405.	40.		365.
TICKETS	46.	0.		46.
TO FORM 990-PF, PG 1, LN 23	67,733.	6,764.		60,969.

FOOTNOTES STATEMENT 9

FORM 990-PF CORPORATE STOCK STATEMENT 13

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CREDIT SUISSE	2,956,745.	2,956,745.
C SCHWAB ATALANTA 0894	3,743,432.	3,743,432.
C SCHWAB ADVISORY 2980	2,876,222.	2,876,222.
C SCHWAB SMH #1347	27,251.	27,251.
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,603,650.	9,603,650.

FORM 990-PF CORPORATE BONDS STATEMENT 14

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
HARBOR BONDS FUNDS PIMCO	1,592,351.	1,592,351.
C SCHWAB SMH #1347	2,191,986.	2,191,986.
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,784,337.	3,784,337.

FORM 990-PF OTHER INVESTMENTS STATEMENT 15

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BESSEMER TRUST 167 & 165	FMV	1,860,313.	1,860,313.
JP MORGAN TIGER GLOBAL	FMV	1,719,918.	1,719,918.
THORNBURG	FMV	4,947,987.	4,947,987.
INVESTMENT IN WINDRIVER INC	FMV	3,264,874.	3,264,874.
ASHMORE INVESTMENTS	FMV	2,454,009.	2,454,009.
TITAN INVESTMENTS	FMV	2,393,177.	2,393,177.
IRONWOOD INVESTMENTS	FMV	1,624,094.	1,624,094.
C SCHWAB ADVISORY 2980	FMV	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		18,264,372.	18,264,372.

FORM 990-PF OTHER LIABILITIES STATEMENT 16

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAXES PAYABLE	918.	912.
FEDERAL EXCISE TAXES PAYABLE	0.	6,520.
TOTAL TO FORM 990-PF, PART II, LINE 22	918.	7,432.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 17

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
EUGENE VICTOR THAW PO BOX 2422 SANTA FE, NM 87501	PRESIDENT 10.00	0.	0.	0.
CLARE E. THAW PO BOX 2422 SANTA FE, NM 87501	DIRECTOR 1.00	0.	0.	0.
PATRICIA TANG PO BOX 2422 SANTA FE, NM 87501	DIRECTOR 1.00	0.	0.	0.
WILLIAM ACQUAVELLA PO BOX 2422 SANTA FE, NM 87501	DIRECTOR 1.00	0.	0.	0.
JEFFEREY L. FORNACIARI PO BOX 2422 SANTA FE, NM 87501	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Grants Paid - by Program Area 1 - 2009

11/3/2010

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
ANIMAL RIGHTS AND OTHER CAUSES					
Animal Protection of New Mexico *General support [2009]* $ 50,000 00	1526 1998240	1/13/2009	$50,000.00		6412
The Animal Welfare Coalition *Towards spay and neuter program [2009-2010]* $ 5,000 00	1566 1998284	9/8/2009	$5,000.00		6650
Baker Institute for Animal Health, Cornell University *Seminar fund [5 yrs· 2005-2009]* $ 25,000 00	305.01 1997843	6/16/2009	$5,000.00		6557
Baker Institute for Animal Health, Cornell University *Director's discretionary fund [3 yrs: 2009-2011]* $ 30,000 00	1070.01 1998268	7/6/2009	$10,000.00		6575
Española Valley Humane Society *Towards spay and neuter program [2009]* $ 25,000 00	1366.01 1998269	6/16/2009	$25,000.00		6560
Heart and Soul Animal Sanctuary *Towards costs of veterinary care [2009]* $ 5,000.00	1443.01 1998256	4/2/2009	$5,000.00		6490
Horse Shelter *General support [2009]* $ 15,000.00	1380.01 1998258	10/8/2009	$15,000.00		6669

Attachment A

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Jane Goodall Institute *Towards the "Roots and Shoots" Animal Shelter Youth Leadership Initiative [3 yrs: 07-09]* $ 35,000.00	1350 1998107	10/8/2009	$10,000.00		6670
New Mexico Bearwatch *Towards the statewide outreach program "How to Co-Exist with Bears" [3 yrs: 2007-2009]* $ 15,000 00	1326 1998089	7/6/2009	$5,000 00		6576
Santa Fe Animal Shelter *General support [2 yrs. 2009-2010]* $ 300,000.00	1529 1998243	1/13/2009	$100,000.00		6418
Santa Fe Animal Shelter *General support [2 yrs: 2009-2010]* $ 300,000.00	1529 1998243	12/14/2009	$100,000 00		6740
Santa Fe Raptor Center *Towards food costs for juvenile birds [2009]* $ 10,000.00	1335.02 1998257	10/8/2009	$10,000.00		6667
Sky Mountain Wild Horse Sanctuary *Towards immuno-contraception program for wild horses on the Carson National Forest [2009]* $ 25,000.00	1553 1998275	6/16/2009	$25,000.00		6561
New Mexico Horse Rescue at Walkin' N Circles Ranch *Challenge grant towards the hay and feed fund [2008-2009]* $ 10,000.00	1519 1998237	1/13/2009	$2,060 00		6411
The Wildlife Center *Towards three new structures to house and view raptors [2009]* $ 15,000 00	1538 1998249	4/2/2009	$15,000.00		6493

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
The Wildlife Center *General support [2009]* $ 20,000.00	1539 1998250	4/2/2009	$20,000.00		6494
Science and Conservation Center *Towards immuno-contraception program for wild horses at the Black Hills Horse Sanctuary [2009]* $ 5,000 00	1541 1998252	2/9/2009	$5,000.00		6447
Total ANIMAL RIGHTS AND OTHER CAUSES		(17 items)	$407,060.00		
ENVIRONMENT & CULTURAL PRESERVATION					
National Conservation System Foundation *Towards the second annual "Friends Rendezvous" conference [2009]* $ 5,000 00	1571 1998286	10/8/2009	$5,000.00		6674
New Energy Economy, Inc. *General support [2009]* $ 20,000.00	1537 1998248	4/2/2009	$20,000.00		6491
Panthera Foundation *Towards the "Teton Cougar" project [2009]* $ 50,000.00	1543 1998254	3/5/2009	$30,000.00		6466
Quivira Coalition *Towards the "Conservation and Ranch Leadership" youth program" [2008-2009]* $ 100,000 00	1453 1998192	5/5/2009	$50,000.00		6520
Santa Fe Institute *Director's discretionary fund [4 years: 2006-2009]* $ 225,000 00	1212 1998009	5/5/2009	$25,000.00		6521

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Wind River Ranch Foundation *General support [2009]* $ 307,277 01	1305.02 1998246	1/13/2009	$16,050.00		6423
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	2/3/2009	$16,050.00		6440
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	2/11/2009	$2,000.00		6448
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305 02 1998246	3/5/2009	$19,650.00		6468
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	4/2/2009	$19,970.00		6495
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	5/5/2009	$19,970.00		6522
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305 02 1998246	6/8/2009	$16,050.00		6551
Wind River Ranch Foundation *General support [2009]* $ 307,277 01	1305.02 1998246	6/11/2009	$3,920.00		6556
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	6/16/2009	$23,000.00		6562

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	7/6/2009	$19,970.00		6589
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	7/6/2009	$19,970.00		6589
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	7/6/2009	$19,970.00		6589
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	7/27/2009	$24,250.01		6617
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	10/8/2009	$25,855.00		6681
Wind River Ranch Foundation *General support [2009]* $ 307,277 01	1305.02 1998246	10/8/2009	$25,855.00		6681
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	10/8/2009	$25,855 00		6681
Wind River Ranch Foundation *General support [2009]* $ 307,277.01	1305.02 1998246	12/10/2009	$8,892.00		6737
		Total ENVIRONMENT & CULTURAL PRESERVATION (22 items)	$437,277.01		

OTHER

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Santa Fe Community Foundation *Towards a convening of New Mexico non-profits on "Surviving the Economic Crisis" [2009]* $ 2,500.00	1540 1998251	2/3/2009	$2,500.00		6439
		Total OTHER (1 item)	$2,500.00		
THE ARTS					
AAMC Foundation (Association of Art Museum Curators) *General support [3 yrs· 2008-2011]* $ 200,000.00	1516 1998234	10/8/2009	$50,000.00		6667
Bard College *Towards the "SummerScape" opera festival [2009]* $ 50,000.00	1433 1998172	1/13/2009	$50,000 00		6413
British Museum *Towards the conference, "Turquoise At The British Museum" [2009]* $ 50,000.00	1532 1998245	6/16/2009	$50,000.00		6558
College of Santa Fe *Art History department Director's discretionary fund [3 yrs: 08-09-10]* $ 3,100.00	1438 1998177	3/25/2009	$1,100.00		6480
Fine Arts for Children *General support [2009-2010]* $ 10,000.00	1548 1998265	10/8/2009	$10,000.00		6668
Freer Gallery of Art/Arthur M. Sackler Gallery *Towards installation of the Silkroad Gallery [3 yrs· 2010-2013]* $ 250,000 00	1579 1998289	12/10/2009	$50,000.00		6738

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Georgia O'Keeffe Museum *Challenge grant towards the museum's endowment campaign [5 yrs: 2006-2010]* $ 500,000.00	1244 1998036	2/3/2009	$100,000.00		6438
Georgia O'Keeffe Museum *Towards the "Georgia O'Keeffe Museum Research Center Book Prize" [2009]* $ 20,000.00	1504 1998224	7/6/2009	$20,000 00		6578
Georgia O'Keeffe Museum *Towards the "George G King Scholarship Fund" [2009]* $ 5,000.00	1556 1998278	7/20/2009	$5,000.00		6610
Glimmerglass Opera *Towards the productions of Verdi's "La Traviata" and Purcell's "Dido and Aeneas" [2009]* $ 100,000.00	1466 1998199	5/5/2009	$100,000.00		6518
Menil Foundation, Inc. *General support [2009]* $ 10,000.00	1343 02 1998259	5/5/2009	$10,000 00		6519
Metropolitan Museum of Art *Annual support of Friends of the Arts of Africa, Oceania, and the Americas [3 yrs. - 2008-2010]* $ 12,000.00	552.02 1998228	10/8/2009	$4,000.00		6671
Metropolitan Opera *Annual support of the President's Circle [2009-2011]* $ 75,000.00	652.03 1998266	10/8/2009	$25,000.00		6672

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Montclair Art Museum *Towards the exhibition catalogue "Cezanne and American Modernism" [2009]* $ 50,000.00	1506 1998229	3/5/2009	$50,000.00		6465
Morgan Library & Museum *Towards the library's Capital Campaign [2005-2010]* $ 3,510,507 95	862 1997778	12/10/2009	$10,507.95		6735
Morgan Library & Museum *Annual support of the Director's Roundtable [5 yrs. 2009-13]* $ 125,000 00	1593 1998306	12/21/2009	$25,000.00		6753
Moving People Dance Santa Fe *For the "Bobby McFerrin & Friends" concert [2009]* $ 8,600.00	1528 1998242	1/13/2009	$8,600.00		6414
Museum of Modern Art *Towards the exhibition "Van Gogh and the Colors of the Night" [2008]* $ 50,000 00	1448 1998186	1/13/2009	$50,000.00		6415
Museum of New Mexico Foundation *Towards the Scholar-in-Residence program at the New Mexico History Museum [2009-10]* $ 60,000.00	1567 1998285	10/8/2009	$60,000.00		6673
Music From Angel Fire *For summer concerts throughout northern New Mexico [2 yrs· 2007-2009]* $ 87,500.00	1229 1998024	7/6/2009	$17,500.00		6580

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Music From Angel Fire *For "Music In Our Schools" and "Young Artists" programs [2 yrs. 2007-2009]* $ 62,500.00	1230 1998025	7/6/2009	$12,500.00		6579
National Hispanic Cultural Center Foundation *Towards the exhibition: "Confluencias Inside. Arte Cubano Contemporáneo" ([2009]* $ 10,000 00	1554 1998276	7/6/2009	$10,000.00		6582
National Dance Institute of New Mexico *Towards the advanced student training program [3 yrs. 2006-2009]* $ 300,000.00	1264 1998050	1/13/2009	$100,000.00		6416
National Foundation for Advancement in the Arts *Towards the "YoungArts" awards event recognizing talented high school seniors [2008]* $ 2,000.00	1545 1998261	3/19/2009	$2,000.00		6478
National Gallery of Art *Towards annual exhibitions of drawings and works on paper [5 yrs: 2006-2010]* $ 125,000.00	1122 1997955	7/6/2009	$25,000.00		6581
National Museum of the American Indian *Matching grant towards the "Advanced Training Program in Conservation" [4 yrs: 2008-2011]* $ 250,000 00	1376 1998120	1/13/2009	$62,500.00		6416
National Museum of the American Indian *Matching grant towards the "Advanced Training Program in Conservation" [4 yrs: 2008-2011]* $ 250,000.00	1376 1998120	12/10/2009	$62,500.00		6736

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
New Mexico Community Foundation *General support for the New Mexico School for the Arts [2008-2013]* $ 300,000.00	1480 1998207	5/5/2009	$100,000 00		6517
New Mexico Community Foundation *For general support of the Oz School [2009-2010]* $ 6,000.00	1542 1998253	7/6/2009	$6,000 00		6583
New Mexico Community Foundation *General support for the New Mexico School for the Arts [2008-2013]* $ 300,000.00	1480 1998207	11/9/2009	$100,000.00		6706
New Mexico Highlands University (Department of Media Arts) *For two videos on understanding and appreciating objects in museums [2009]* $ 3.000.00	1578 1998288	11/9/2009	$3,000.00		6705
New York State Historical Association *Towards the "7th Contemporary Iroquois Art Biennial" exhibition [2009]* $ 7,500.00	1323.01 1998293	11/9/2009	$7,500.00		6704
New York University Institute of Fine Arts *To establish the "Eugene Thaw Professorship of Paper Conservation [4 yrs: 2008-2011]* $ 1,850,000 00	1416 1998152	5/6/2009	$450,000.00		6528
New York University Institute of Fine Arts *For general support of the Eugene Thaw Professor of Paper Conservation [3 yrs. 2009-2011]* $ 150,000.00	1549 1998267	5/6/2009	$50,000.00		6529

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Santa Fe Chamber Music Festival *Towards a series of six chamber music ensembles [2009-2010]* $ 25,000.00	1546 1998263	7/6/2009	$25,000.00		6584
Santa Fe Chamber Music Festival *Towards the presentation of Bach's "Cantata Arias" [2009-2010]* $ 5,000.00	1547 1998264	7/6/2009	$5,000.00		6585
Santa Fe Concert Association *Towards performances by "Marsalis Brasilianos" and a program of "European Orchestral Riches" by the Dublin Philharmonic Orchestra [2008-2009]* $ 20,000 00	1442 1998180	1/13/2009	$10,000.00		6419
Santa Fe Film Festival *Towards the Tenth Anniversary celebration [2009]* $ 2,500.00	1561 1998281	10/8/2009	$2,500.00		6675
Santa Fe Opera *Towards the production of Gluck's "Alceste" [2009]* $ 100,000.00	1507.01 1998262	7/6/2009	$100,000.00		6586
Santa Fe Pro Musica *Towards performances of works by Handel [2008-2009]* $ 50,000.00	1370 1998116	3/12/2009	$12,500 00		6473
Santa Fe Pro Musica *Towards performances of works by Handel [2008-2009]* $ 50,000.00	1370 1998116	4/2/2009	$12,500.00		6492

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Santa Fe Pro Musica *Towards performances of Benjamin Britten's "Serenade" and "Les Illuminations" [2009-2010 season]* $ 50,000.00	1501 1998223	10/8/2009	$25,000 00		6676
School for Advanced Research *Towards scholarly research on Charles Darwin and the relationship between the arts and sciences [2009]* $ 20,000.00	1565 1998283	10/8/2009	$20,000.00		6679
Site Santa Fe *For the "Thaw Curatorial Fellowship" program [2 yrs. 2008-2009]* $ 100,000.00	1169.01 1998166	3/5/2009	$25,000.00		6467
Site Santa Fe *For the "Thaw Curatorial Fellowship" program [2 yrs. 2008-2009]* $ 100,000.00	1169.01 1998166	7/6/2009	$25,000.00		6588
Smithsonian American Art Museum *Towards the museum's building restoration [2006-2010]* $ 50,000.00	1202 1998005	7/6/2009	$10,000.00		6587
Studio in a School *General support [2009]* $ 10,000 00	1424 01 1998260	10/8/2009	$10,000.00		6680
Wheelwright Museum of the American Indian *Towards the museum's exhibition program [5 yrs: 2005-2009]* $ 400,000 00	1012 1997875	1/13/2009	$80,000.00		6422

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
		Total THE ARTS (48 items)	$2,050,207.95		

TRUSTEES' DISCRETIONARY FUND

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Adaptive Ski Program *Towards the "Henry Summa Memorial Scholarship" fund [2008] [Jeffrey Fornaciari fund]* $ 5,000.00	1544 1998255	3/5/2009	$5,000.00	Trustee Fund	6464
Amigos Bravos *General support [2009] [Jeffrey Fornaciari fund]* $ 2,000.00	1592 1998305	12/18/2009	$2,000.00	Trustee Fund	6742
The Animal Welfare Coalition *Towards spay and neuter program [2009] [Jeffrey Fornaciari fund]* $ 1,000.00	1585 1998298	12/18/2009	$1,000.00	Trustee Fund	6743
Asian Cultural Council *General support [2009] [Clare E. Thaw fund]* $ 5,000.00	1583 1998297	12/5/2009	$5,000.00	Trustee Fund	6727
Assurance Home, Inc. *Towards the "Mustang Project" [2008] [Jeffrey Fornaciari fund]* $ 2,500.00	1550 1998270	7/6/2009	$2,500.00	Trustee Fund	6590
Assurance Home, Inc. *General support [2009] [Jeffrey Fornaciari fund]* $ 2,500 00	1586 1998299	12/18/2009	$2,500.00	Trustee Fund	6744

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Center for Southwest Culture *Towards distribution of an oil and gas drilling survey in Mora County [2008] [Jeffrey Fornaciari fund]* $ 1,500 00	1552 1998274	6/16/2009	$1,500.00	Trustee Fund	6559
China Institute *General support [2009] [Patty Tang fund]* $ 20,000.00	1536 1998247	1/5/2009	$20,000.00	Trustee Fund	6396
Institute of American Indian Arts *Towards costs of moving the Institute's collection prior to renovation [2009] [Jeffrey Fornaciari fund]* $ 2,500.00	1590 1998303	12/18/2009	$2,500.00	Trustee Fund	6746
Institute for Spanish Arts *Towards youth sponsorships for the Summer Arts Workshop [2008] [Jeffrey Fornaciari fund]* $ 1,500 00	1551 1998271	6/17/2009	$1,500.00	Trustee Fund	6563
Museum of Chinese in America *General support [2009] [Clare E. Thaw fund]* $ 15,000.00	1577 1998287	11/2/2009	$15,000.00	Trustee Fund	6695
New Mexico Environmental Law Center *Towards oil and gas issues in Mora and San Miguel County [2009] [Jeffrey Fornaciari fund]* $ 5,000.00	1588 1998301	12/18/2009	$5,000.00	Trustee Fund	6747
Santa Fe Desert Chorale *General support [2008 [Jeffrey Fornaciari fund]* $ 1,500 00	1395 01 1998272	7/6/2009	$1,500.00	Trustee Fund	6591

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Santa Fe Farmers Market Institute *Towards a project to improve rental income [2009] [Jeffrey Fornaciari fund]* $ 1,000.00	1587 1998300	12/18/2009	$1,000.00	Trustee Fund	6771
Santa Fe Watershed Association *Towards operational support [2008] [Jeffrey Fornaciari fund]* $ 5,000.00	1184.01 1998273	10/8/2009	$5,000.00	Trustee Fund	6678
Sky Mountain Wild Horse Sanctuary *Towards horse feed [2009] [Jeffrey Fornaciari fund]* $ 1,000.00	1591 1998304	12/18/2009	$1,000.00	Trustee Fund	6748
University of New Mexico Utton Transboundary Resources Center *General support for New Mexico water issues [2009] [Jeffrey Fornaciari fund]* $ 5,000.00	1589 1998302	12/18/2009	$5,000.00	Trustee Fund	6749
		Total TRUSTEES' DISCRETIONARY FUND (17 items)	$77,000.00		
		Grand Total (105 items)	$2,974,044.96		