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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2009**, or tax year beginning , **2009**, and ending , **20**

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE HARKNESS FOUNDATION FOR DANCE, INC.		A Employer identification number 13-1926551
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions)
	145 EAST 48TH STREET	26C	(212) 485-2625
	City or town, state, and ZIP code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ **12,906,700.**

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B.				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	527,949.	527,949.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,055,538.			
	b Gross sales price for all assets on line 6a	3,042,481.			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	-527,589.	527,949.		
	13 Compensation of officers, directors, trustees, etc.	191,473.	47,868.		143,605.
	14 Other employee salaries and wages	56,000.	22,400.		33,600.
	15 Pension plans, employee benefits	86,787.	27,894.		58,893.
	16a Legal fees (attach schedule) ATCH 2	6,166.	0.	0.	6,166.
	b Accounting fees (attach schedule) ATCH 3	19,450.	9,725.	0.	9,725.
	c Other professional fees (attach schedule) *	84,743.	84,743.		0.
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) *	9,884.	3,627.		0.
	19 Depreciation (attach schedule) and depletion	5,850.	2,925.		
	20 Occupancy	23,986.	11,993.		11,993.
	21 Travel, conferences, and meetings	2,642.			2,642.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	8,460.	4,230.		4,230.
	24 Total operating and administrative expenses. Add lines 13 through 23	495,441.	215,405.	0.	270,854.
	25 Contributions, gifts, grants paid	734,560.			734,560.
	26 Total expenses and disbursements. Add lines 24 and 25	1,230,001.	215,405.	0.	1,005,414.
	27 Subtract line 26 from line 12	-1,757,590.			
	a Excess of revenue over expenses and disbursements		312,544.		
	b Net investment income (if negative, enter -0-)				
	c Adjusted net income (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. * ATCH 4 JSA ** ATCH 5 Form **990-PF** (2009)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	8,222.	18,729.	18,729.	
	2 Savings and temporary cash investments	1,249,202.	374,826.	374,832.	
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7 Other notes and loans receivable (attach schedule) ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges	7,292.	6,579.	6,579.	
	10 a Investments - U S and state government obligations (attach schedule) **	2,968,148.	2,942,351.	2,942,351.	
	b Investments - corporate stock (attach schedule) <u>ATCH 8</u>	7,269,371.	9,033,298.	9,033,298.	
	c Investments - corporate bonds (attach schedule)				
	Liabilities	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans					
13 Investments - other (attach schedule)					
14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶		405,804. 164,337.	247,316. 241,467.	<u>ATCH 9</u> 485,663.	
15 Other assets (describe ▶ <u>ATCH 10</u>)		42,699.	45,248.	45,248.	
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		11,792,250.	12,662,498.	12,906,700.	
17 Accounts payable and accrued expenses		16,305.	5,305.		
18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	16,305.	5,305.			
Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.	24 Unrestricted	11,775,945.	12,657,193.		
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>	27 Capital stock, trust principal, or current funds			
		28 Paid-in or capital surplus, or land, bldg, and equipment fund			
		29 Retained earnings, accumulated income, endowment, or other funds			
		30 Total net assets or fund balances (see page 17 of the instructions)	11,775,945.	12,657,193.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	11,792,250.	12,662,498.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,775,945.
2 Enter amount from Part I, line 27a	2	-1,757,590.
3 Other increases not included in line 2 (itemize) ▶ <u>ATTACHMENT 11</u>	3	2,638,838.
4 Add lines 1, 2, and 3	4	12,657,193.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,657,193.

** ATCH 7

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	-1,055,538.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,063,561.	13,981,714.	0.076068
2007	1,552,312.	16,507,892.	0.094035
2006	1,558,418.	16,872,380.	0.092365
2005	1,606,532.	17,234,573.	0.093216
2004	1,545,256.	17,561,133.	0.087993
2 Total of line 1, column (d)			2 0.443677
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.088735
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 12,233,210.
5 Multiply line 4 by line 3			5 1,085,514.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,125.
7 Add lines 5 and 6			7 1,088,639.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 1,005,414.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)	1	6,251.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	6,251.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,251.
6 Credits/Payments		
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6 a	9,502.
b Exempt foreign organizations-tax withheld at source	6 b	0.
c Tax paid with application for extension of time to file (Form 8868)	6 c	3,000.
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d	7	12,502.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	6.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,245.
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> 6,245. Refunded <input type="checkbox"/> 11	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1 b		X
c Did the foundation file Form 1120-POL for this year?	1 c		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ (2) On foundation managers <input type="checkbox"/> \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4 b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NY,			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> THEODORE S. BARTWINK	Telephone no <input type="checkbox"/> 212-755-5540		
Located at <input type="checkbox"/> 145 EAST 48TH STREET, N.Y. NY		ZIP + 4 <input type="checkbox"/> 10017		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	<input type="checkbox"/> 15		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☒
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☐ No
If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 12		191,473.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	11,106,584.
b	Average of monthly cash balances	1b	825,490.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	487,429.
d	Total (add lines 1a, b, and c)	1d	12,419,503.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,419,503.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	186,293.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,233,210.
6	Minimum investment return. Enter 5% of line 5	6	611,661.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	611,661.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	6,251.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	6,251.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	605,410.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	605,410.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	605,410.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,005,414.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,005,414.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,005,414.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				605,410.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004 672,275.				
b From 2005 772,967.				
c From 2006 740,139.				
d From 2007 751,089.				
e From 2008 373,567.				
f Total of lines 3a through e	3,310,037.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,005,414.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				605,410.
e Remaining amount distributed out of corpus	400,004.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,710,041.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	672,275.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	3,037,766.			
10 Analysis of line 9				
a Excess from 2005 772,967.				
b Excess from 2006 740,139.				
c Excess from 2007 751,089.				
d Excess from 2008 373,567.				
e Excess from 2009 400,004.				

Form 990-PF (2009)

4942(1)(5)

PAGE 13

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 14				
Total.			▶ 3a	734,560.
b Approved for future payment				
Total.			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <u>Theodore S. Baturin</u>		Date _____		Title <u>TREASURER</u>	
	Preparer's signature <u>Ronald A. Johnson</u>		Date <u>9/13/10</u>		Check if self-employed <input type="checkbox"/>	
Paid Preparer's Use Only	Preparer's identifying number (See Signature on page 30 of the instructions) <u>P00132797</u>		Firm's name (or yours if self-employed), address, and ZIP code <u>MARCUM LLP</u> <u>750 THIRD AVENUE</u> <u>NEW YORK, NY 10017</u>		EIN <u>11-1986323</u> Phone no <u>212-485-5500</u>	

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust

THE HARKNESS FOUNDATION FOR DANCE, INC.

Employer identification number

13-1926551

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-126,479.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back	5	-126,479.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-929,059.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back	12	-929,059.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.

		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		-126,479.
14	Net long-term gain or (loss):			
a	Total for year	14a		-929,059.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		-1,055,538.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000	16	(3,000)
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Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2009

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2009

► See instructions for Schedule D (Form 1041).

► **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

Name of estate or trust

THE HARKNESS FOUNDATION FOR DANCE, INC.

Employer identification number

13-1926551

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	-126,479.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	-929,059.
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Schedule D-1 (Form 1041) 2009

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,357,599.		UBS SHORT-TERM - SEE ATTACHED PROPERTY TYPE: SECURITIES 1,484,078.				P	VARIOUS -126,479.	VARIOUS
1,684,882.		UBS LONG-TERM - SEE ATTACHED PROPERTY TYPE: SECURITIES 2,613,941.				P	VARIOUS -929,059.	VARIOUS
TOTAL GAIN (LOSS)							<u>-1055538.</u>	

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
STROOK STROOK & LAVAN	6,166.			6,166.
TOTALS	<u>6,166.</u>	<u>0.</u>	<u>0.</u>	<u>6,166.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	19,450.	9,725.		9,725.
TOTALS	<u>19,450.</u>	<u>9,725.</u>	<u>0.</u>	<u>9,725.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT ADVISORY FEES	84,743.	84,743.	0.
TOTALS	<u>84,743.</u>	<u>84,743.</u>	<u>0.</u>

ATTACHMENT 5FORM 990PF, PART I - TAXES

:

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
FOREIGN TAXES PAID	3,627.	3,627.	0.
EXCISE TAX	6,257.		
TOTALS	<u>9,884.</u>	<u>3,627.</u>	<u>0.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE	7,710.	3,855.	3,855.
FILING FEES	750.	375.	375.
TOTALS	<u>8,460.</u>	<u>4,230.</u>	<u>4,230.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
UBS	2,968,148.	2,942,351.	2,942,351.
US OBLIGATIONS TOTAL	<u>2,968,148.</u>	<u>2,942,351.</u>	<u>2,942,351.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 8

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
UBS	7,269,371.	9,033,298.	9,033,298.
TOTALS	<u>7,269,371.</u>	<u>9,033,298.</u>	<u>9,033,298.</u>

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENTATTACHMENT 9

FIXED ASSET DETAIL ACCUMULATED DEPRECIATION DETAIL

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL		
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS
CONDOMINIUM	SL	216,873.			216,873.	116,570.	5,422
OFFICE IMPROVEMENT	SL	3,150			3,150.	1,694	79
OFFICE EQUIPMENT	SL	3,414.			3,414	3,414.	
OFFICE EQUIPMENT	SL	2,694			2,694.	2,694.	
OFFICE EQUIPMENT	SL	460			460.	460	
LAND			144,583		144,583.		
FURNITURE & EQUIP		31,783.			31,783.	31,783	
CARPETING	SL	2,998			2,998	2,998.	
LAP TOP COMPUTER	SL	1,144			1,144.	1,144	
TOTALS		<u>262,516</u>			<u>407,099.</u>	<u>160,757.</u>	<u>166,258.</u>

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 10

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FILMS	1,000.	1,000.	1,000.
INTEREST RECEIVABLE	41,699.	44,248.	44,248.
TOTALS	<u>42,699.</u>	<u>45,248.</u>	<u>45,248.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAINS ON MARKETABLE SECURITIE	2,638,838.
TOTAL	<u>2,638,838.</u>

THE HARKNESS FOUNDATION FOR DANCE, INC.

13-1926551

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
THEODORE S. BARTWINK C/O HARKNESS BALLET FOUNDATION 145 EAST 48TH STREET SUITE 26C NEW YORK, NY 10017	TREASURER 38.00	183,473.	0.	0.
WILLIAM A. PERLMUTH C/O HARKNESS BALLET FOUNDATION 145 EAST 48TH STREET SUITE 26C NEW YORK, NY 10017	PRESIDENT 1.00	4,000.	0.	0.
ETTA BRANDMAN C/O HARKNESS BALLET FOUNDATION 145 EAST 48TH STREET SUITE 26C NEW YORK, NY 10017	VP, ASST. 1.00	4,000.	0.	0.
GRAND TOTALS		191,473.	0.	0.

ATTACHMENT 13

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THEODORE S BARTWINK
145 EAST 48TH STREET 26C
NEW YORK, NY 10017

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE ATTACHED SCHEDULE	EXEMPT	CHARITABLE	734,560.
TOTAL CONTRIBUTIONS PAID			734,560

ATTACHMENT 14

DEPRECIATION

[illegible]

Asset description	Date placed in service	Cost or basis
TOTALS		

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THE HARKNESS FOUNDATION FOR DANCE, INC.

CONTRIBUTIONS

1/1/09 - 12/31/09

ACTORS FUND	2,500
ALLIANCE FOR THE ARTS	2,000
AMERICAN BALLET THEATRE	16,000
AMERICAN BALLROOM THEATRE	1,000
AMERICAN FRIENDS OF BATSEVA DANCE COMPANY	2,500
APPLEBY FOUNDATION (REBECCA KELLY)	1,000
ART RESOURCES IN COLLABORATION	1,500
ART SWEATS, INC. (DAVID DORFMAN)	1,500
ATLANTIC THEATRE COMPANY	2,000
BAIS SHAINDEL	5,000
BALLET CHICAGO	1,000
BALLET HISPANICO	16,500
BALLET TECH	3,500
BARD COLLEGE	1,500
BATTERY DANCE COMPANY	2,000
BILL T. JONES/ARNIE ZANE DANCE CO.	1,000
BOSTON BALLET	2,500
BRONX DANCE THEATRE	1,000
BROOKLYN ACADEMY OF MUSIC	67,500
BROOKLYN ARTS EXCHANGE	1,500
BROOKLYN BALLET (LYNN PARKERSON)	1,000
BROOKLYN CENTER @ BROOKLYN COLLEGE	1,500
BROOKLYN INFORMATION & CULTURE (CELEBRATE BROOKLYN)	3,000
CAREER TRANSITION FOR DANCERS	13,260
CAROLYN DORFMAN DANCE COM.	3,500
CATSKILL BALLET	2,000
CHAMBER DANCE PROJECT	500
CHILDREN'S GALLERIES FOR JEWISH CULTURE	1,000
CITY CENTER	40,500
CITY PARKS FOUNDATION (CENTRAL PARK SUMMERSTAGE)	3,500
COMPANY APPELS	1,000
COMPLEXIONS CONTEMPORARY DANCE	3,500
DANCE CONTINUUM, INC. (SUSAN MARSHALL)	1,000
DANCE FLORIDA ACADEMY, INC.	2,500
DANCE LIBRARY OF ISRAEL	4,400
DANCE NOTATION BUREAU	1,000
DANCE NOW/NYC	1,000
DANCE THEATRE OF HARLEM	2,500
DANCE THEATRE WORKSHOP	3,000

DANCE THEATRE WORKSHOP (LAWRENCE GOLDHUBER)	1,000
DANCE USA	3,500
DANCEWAVE, INC.	1,000
DANSPACE PROJECT	2,400
DANCES...PATRELLE	2,000
DAVID HOWARD FOUNDATION	1,500
DISCALCED, INC (MARK MORRIS)	5,000
DIXON PLACE	2,000
DOVA, INC. (DOUG VARONE)	3,000
EARLY STAGES	3,000
E. MONTE MOTION (ELISA MONTE)	2,500
ETHICAL CULTURE FIELDSTON SCHOOL (YOUNG DANCEMAKERS)	2,000
EVIDENCE, INC/RONALD K. BROWN	1,000
FELICE LESSER DANCE THEATRE	1,500
FIELD, INC.	2,000
FUGATE/BAHIRI BALLET NY, INC	1,000
GINA GIBNEY DANCE	1,000
HALEAKALA, INC. (THE KITCHEN)	1,500
HENRY STREET SETTLEMENT	3,500
HOSPITAL AUDIENCES	2,500
HOUSE FOUNDATION FOR THE ARTS (MEREDITH MONKK)	2,000
HOUSE OF DANCE, INC. (NETA PULVERMACHER)	1,500
HUNDRED GRAND FOUNDATION (BILL YOUNG)	1,000
HUNTER COLLEGE	4,000
INT'L. ASSOCIATION FOR DANCE MEDICINE & SCIENCE	3,500
INFINITY DANCE THEATRE	2,000
ISRAELI DANCE INSTITUTE	2,000
JACOB'S PILLOW	10,000
JCC OF MIDWESTCHESTER	1,500
JOSE LIMON DANCE FOUNDATION	3,000
JOYCE THEATRE FOUNDATION	41,000
JUILLARD	5,000
JUMP UP INC. (HILARY EASTON)	750
KANSAS CITY BALLET	1,500
KAUFMAN CULTURAL CENTER	15,000
LES BALLETS TROCKADERO DE MONTE CARLO	2,500
LINCOLN CENTER	10,000
LINCOLN CENTER THEATRE	5,000
LINES CONTEMPORARY BALLET	1,000
LUBOVITCH DANCE COMPANY	2,500
MANHATTAN THEATRE CLUB	5,000
MARTHA GRAHAM DANCE COMPANY	2,500
METROPOLITAN OPERA	1,000
MIAMI CITY BALLET	3,500
MIMI GARRARD DANCE COMPANY	1,000
MOMENTA FOUNDATION (MOLISSA FENLEY)	2,000

MORPHOSES LTD/WHEELDON CO.	2,500
MOVEMENT RESEARCH	1,500
MULLER WORKS FOUNDATION	3,000
92ND STREET "Y"	76,000
NATIONAL DANCE INSTITUTE	2,000
NEW DANCE ALLIANCE, INC.	1,000
NEW FUND FOR DANCE & VOCAL DEVELOPMENT (DARIO VACCARO)	2,000
NEW VICTORY THEATRE	3,500
NEW YORK CITY BALLET	16,000
NEW YORK FOUNDATION FOR THE ARTS	2,500
NEW YORK PUBLIC LIBRARY - DANCE COLLECTION	6,000
NEW YORK SHAKESPEARE FESTIVAL	5,000
NEW YORK THEATRE BALLET	2,500
NOCHE FLAMENCA, INC.	10,000
NYU - HOSPITAL FOR JOINT DISEASES	63,000
NYU - TISCH SCHOOL OF THE ARTS	3,000
ORCHESTRA OF ST. LUKE'S	2,000
OVERFOOT, INC. (JODY OBERFELDER)	1,000
PARSONS DANCE	7,000
PASCAL RIOULT DANCE THEATRE	2,500
PAUL TAYLOR DANCE COMPANY	7,000
PENTACLE	2,500
PERFORMANCE SPACE 122	2,500
PILOBOLUS	4,000
PLAYWRIGHTS HORIZON	4,000
PRIMARY STAGES	2,500
QUEENS COLLEGE	2,500
QUEENS THEATRE IN THE PARK	2,500
RINGSIDE, INC. (ELIZABETH STREB)	1,000
RIO GRANDE UNION, INC (DOUGLAS DUNN)	1,000
ROUNDAABOUT THEATRE COMPANY	5,000
SAEKO ICHINOHE DANCE COMPANY	1,000
SCHOOL OF AMERICAN BALLET	32,000
SECOND STAGE THEATRE	4,000
SHEN WEI DANCE ARTS	1,000
SPANISH DANCE ARTS	1,500
SPOLETO FESTIVAL	15,000
STEPHEN PETRONIO DANCE	1,500
SYMPHONY SPACE	3,000
TADA!	1,000
THEATRE DEVELOPMENT FUND	5,000
THEATREWORKS USA	2,500
THIN MAN DANCE (JOHN JASPERSE)	1,500
THRESHOLD DANCE PROJECTS (BUGLISI DANCE)	4,250
TOWN HALL	2,500
TRISHA BROWN DANCE COMPANY	2,000

VINEYARD THEATRE & WORKSHOP CENTER	2,000
VOLUNTEER CONSULTING GROUP	1,500
VOLUNTEER LAWYERS FOR THE ARTS	2,000
WORKS & PROCESS	5,000
YARD, INC.	1,000
YOUNG AUDIENCES	2,000
ZGD, INC. (ZVI GOTHEINER)	1,500
TOTAL	734,560

HARKNESS FOUNDATION FOR DANCE
2009 FORM 990-PF
PART II - BALANCE SHEETS - INVESTMENTS

ACCOUNT	LINE 10a - US OBLIGATIONS		LINE 10b - CORPORATE STOCK		LINE 13 - OTHER	
	BEG OF YEAR	END OF YEAR	BEG OF YEAR	END OF YEAR	BEG OF YEAR	END OF YEAR
BT 60781 JP			1,028,115	1,446,183		
BT 60782 JP			251,512	431,710		
BT 60783 JP			820,758	887,749		
BT 60784 JP			620,745	721,456		
BT 60785 JP			650,514	817,711		
BT 60786 JP	307,969	499,404	2,101,997	2,268,005		
BT 60787 JP	2,174,256	2,434,784				
BT 61715 JP			501,105	0		
BT 61864 JP	485,923	8,163	185,443	900,646		
BT 04047 JP			1,109,182	1,559,838		
	2,968,148	2,942,351	7,269,371	9,033,298	0	0

HARKNESS FOUNDATION FOR DANCE
2009 FORM 990-PF
SCHEDULE OF CAPITAL GAINS AND LOSSES

<u>UBS ACCOUNT</u>	<u>PROCEEDS</u>	<u>BASIS</u>	<u>GAIN/LOSS</u>
SHORT-TERM			
BT 60781 JP	33,554.99	25,082.32	8,472.67
BT 60782 JP	436,642.17	443,950.93	(7,308.76)
BT 60783 JP	9,789.02	24,969.95	(15,180.93)
BT 60784 JP	430,131.17	450,053.34	(19,922.17)
BT 60785 JP	8,662.06	18,109.75	(9,447.69)
BT 60786 JP	97,506.81	96,400.85	1,105.96
BT 60787 JP	0.00	0.00	0.00
BT 61715 JP	109,983.65	182,575.67	(72,592.02)
BT 61864 JP	146,704.12	142,004.44	4,699.68
BT 04047 JP	84,624.99	100,931.01	(16,306.02)
TOTAL SHORT-TERM	<u>1,357,598.98</u>	<u>1,484,078.26</u>	<u>(126,479.28)</u>
LONG-TERM			
BT 60781 JP	144,067.93	248,045.61	(103,977.68)
BT 60782 JP	3,478.93	2,185.94	1,292.99
BT 60783 JP	120,009.55	179,224.81	(59,215.26)
BT 60784 JP	299,493.90	405,962.21	(106,468.31)
BT 60785 JP	112,385.59	180,909.15	(68,523.56)
BT 60786 JP	65,373.89	62,242.76	3,131.13
BT 60787 JP	337,233.50	425,828.20	(88,594.70)
BT 61715 JP	284,255.49	694,162.02	(409,906.53)
BT 61864 JP	302,845.70	391,196.66	(88,350.96)
BT 04047 JP	15,737.88	24,183.32	(8,445.44)
TOTAL LONG-TERM	<u>1,684,882.36</u>	<u>2,613,940.68</u>	<u>(929,058.32)</u>
TOTAL CAPITAL GAINS	<u>3,042,481.34</u>	<u>4,098,018.94</u>	<u>(1,055,537.60)</u>

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return See instructions	Name of Exempt Organization THE HARKNESS FOUNDATION FOR DANCE, INC.	Employer identification number 13-1926551
	Number, street, and room or suite no. If a P.O. box, see instructions. 145 EAST 48TH STREET	For IRS use only
	City, town or post office, state, and ZIP code: For a foreign address, see instructions NEW YORK, NY 10017	

Check type of return to be filed (File a separate application for each return):

<input type="checkbox"/> Form 990	<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 6069
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 4720	<input type="checkbox"/> Form 8870
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **THEODORE S. BARTWINK**
Telephone No. **212 755-5540** FAX No. **212 485-5501**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until **11/15/2010**
- For calendar year **2009**, or other tax year beginning ☐ and ending ☐
- If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- State in detail why you need the extension **ALL INFORMATION REQUIRED TO FILE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	8a \$ 6,251.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$ 12,502.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **MARCUM LLP** Title **CPA** Date **8/5/10**
750 THIRD AVENUE
NEW YORK, NY 10017

Form 8868 (Rev 4-2009)