



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 01-01-2009, and ending 12-31-2009

**G** Check all that apply  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

**Use the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.**

Name of foundation  
THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

Number and street (or P O box number if mail is not delivered to street address)  
PO BOX 67

Room/  
suite

City or town, state, and ZIP code  
MILL NECK, NY 117650067

**A Employer identification number**

13-1861928

**B Telephone number (see page 10 of the instructions)**  
(516) 676-0067

- C** If exemption application is pending, check here
- D** 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation
- E** If private foundation status was terminated under section 507(b)(1)(A), check here
- F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**H** Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 8,991,235

**J** Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	<b>(a)</b> Revenue and expenses per books	<b>(b)</b> Net investment income	<b>(c)</b> Adjusted net income	<b>(d)</b> Disbursements for charitable purposes (cash basis only)
--	---	----------------------------------	--------------------------------	--

<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	18,542			
<b>2</b> Check <input type="checkbox"/> <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
<b>3</b> Interest on savings and temporary cash investments	4,483	4,483		
<b>4</b> Dividends and interest from securities. . . . .	96,791	96,791		
<b>5a</b> Gross rents . . . . .				
<b>b</b> Net rental income or (loss) _____				
<b>6a</b> Net gain or (loss) from sale of assets not on line 10	4,543			
<b>b</b> Gross sales price for all assets on line 6a 717,462				
<b>7</b> Capital gain net income (from Part IV, line 2) . . .		801		
<b>8</b> Net short-term capital gain . . . . .				
<b>9</b> Income modifications . . . . .				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold . . . . .				
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
<b>11</b> Other income (attach schedule) . . . . .	88,311	88,311		
<b>12</b> <b>Total.</b> Add lines 1 through 11 . . . . .	212,670	190,386		

<b>13</b> Compensation of officers, directors, trustees, etc			
<b>14</b> Other employee salaries and wages . . . . .	96,860	624	96,236
<b>15</b> Pension plans, employee benefits . . . . .	7,986	51	7,935
<b>16a</b> Legal fees (attach schedule) . . . . .	18,823		18,823
<b>b</b> Accounting fees (attach schedule) . . . . .	36,000	7,200	28,800
<b>c</b> Other professional fees (attach schedule) . . . . .			
<b>17</b> Interest . . . . .			
<b>18</b> Taxes (attach schedule) (see page 14 of the instructions)	47,602	141	45,870
<b>19</b> Depreciation (attach schedule) and depletion . . .	27,379		
<b>20</b> Occupancy . . . . .			
<b>21</b> Travel, conferences, and meetings . . . . .	20,100		20,100
<b>22</b> Printing and publications . . . . .	4,604		1,712
<b>23</b> Other expenses (attach schedule) . . . . .	99,391	23,269	76,122
<b>24</b> <b>Total operating and administrative expenses.</b>			
Add lines 13 through 23 . . . . .	358,745	31,285	295,598
<b>25</b> Contributions, gifts, grants paid . . . . .	457,048		457,048
<b>26</b> <b>Total expenses and disbursements.</b> Add lines 24 and 25	815,793	31,285	752,646
<b>27</b> Subtract line 26 from line 12			
<b>a</b> <b>Excess of revenue over expenses and disbursements</b>	-603,123		
<b>b</b> <b>Net investment income</b> (if negative, enter -0-) . . .		159,101	
<b>c</b> <b>Adjusted net income</b> (if negative, enter -0-) . . .			

<b>Part II</b> <b>Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>					
1	Cash—non-interest-bearing . . . . .			41,418	41,418
2	Savings and temporary cash investments . . . . .	2,722,761		22,216	22,216
3	Accounts receivable ► 23,944		28,204	23,944	23,944
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable . . . . .				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .				
7	Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use . . . . .				
9	Prepaid expenses and deferred charges . . . . .			20,488	20,488
10a	Investments—U S and state government obligations (attach schedule)				
b	Investments—corporate stock (attach schedule) . . . . .	713,764	1,788,805	1,953,181	
c	Investments—corporate bonds (attach schedule) . . . . .	692,110	1,622,648	1,728,144	
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .	259,350	259,350	770,250	
14	Land, buildings, and equipment basis ► 1,910,658				
	Less accumulated depreciation (attach schedule) ► 567,923	1,369,539	1,342,735	3,915,198	
15	Other assets (describe ► )	768,295	778,460	516,396	
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	6,554,023	5,900,064	8,991,235	
<b>Liabilities</b>					
17	Accounts payable and accrued expenses . . . . .	65,512	4,874		
18	Grants payable . . . . .				
19	Deferred revenue . . . . .				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule) . . . . .				
22	Other liabilities (describe ► )	49,564	58,854		
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	115,076	63,728		
<b>Net Assets or Fund Balances</b>					
	<b>Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
24	Unrestricted . . . . .	8,541,915	8,411,111		
25	Temporarily restricted . . . . .	530,069	516,396		
26	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/></b> <b>and complete lines 27 through 31.</b>				
27	Capital stock, trust principal, or current funds . . . . .				
28	Paid-in or capital surplus, or land, bldg , and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	9,071,984	8,927,507		
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	9,187,060	8,991,235		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	9,071,984
2	Enter amount from Part I, line 27a . . . . .	2	-603,123
3	Other increases not included in line 2 (itemize) ►	3	458,934
4	Add lines 1, 2, and 3 . . . . .	4	8,927,795
5	Decreases not included in line 2 (itemize) ►	5	288
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	8,927,507

Part IV Capital Gains and Losses for Tax on Investment Income

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))	
2008	1,168,240	7,570,764	0 154309	
2007	1,097,262	9,768,241	0 112330	
2006	1,775,651	10,632,517	0 167002	
2005	1,961,738	12,941,359	0 151587	
2004	1,869,689	11,285,339	0 165674	
<b>2</b> <b>Total</b> of line 1, column (d). . . . .			<b>2</b>	0 750902
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			<b>3</b>	0 150180
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5. . . . .			<b>4</b>	8,806,828
<b>5</b> Multiply line 4 by line 3. . . . .			<b>5</b>	1,322,609
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b). . . . .			<b>6</b>	1,593
<b>7</b> Add lines 5 and 6. . . . .			<b>7</b>	1,324,202
<b>8</b> Enter qualifying distributions from Part XII, line 4. . . . .			<b>8</b>	752,648

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	3,182
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		
3	Add lines 1 and 2. . . . .	3	3,182
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	3,182
6	<b>Credits/Payments</b>		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	23,670
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	23,670
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	20,488
11	Enter the amount of line 10 to be <b>Credited to 2010 estimated tax</b> <input type="checkbox"/> 20,488 <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	Yes	No
	<i>If "Yes," attach a detailed description of the activities.</i>		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T.</i>		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NY		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> <input type="checkbox"/>	Yes	No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions). . . . .	<input type="checkbox"/> 11		<input type="checkbox"/> No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	<input type="checkbox"/> 12		<input type="checkbox"/> No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW SMITHERSFOUNDATION.ORG</u>	<input type="checkbox"/> 13	<input checked="" type="checkbox"/> Yes	
<hr/>				
14	The books are in care of ► <u>THOMAS D CROCI TREASURER</u> Telephone no ► <u>(516) 676-0067</u> Located at ► <u>PO BOX 67 MILL NECK NY</u> ZIP +4 ► <u>117650067</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ► <input type="checkbox"/> 15 and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <input type="checkbox"/>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>1a</b>	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>	<input type="checkbox"/> 1b	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? . . . . .	<input type="checkbox"/> 1c	
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b>	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► <u>20____, 20____, 20____, 20____</u>	<input type="checkbox"/> 2a	
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions) . . . . .	<input type="checkbox"/> 2b	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► <u>20____, 20____, 20____, 20____</u>	<input type="checkbox"/> 2c	
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> 3a	
<b>b</b>	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009). . . . .	<input type="checkbox"/> 3b	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	<input type="checkbox"/> 4a	<input type="checkbox"/> No
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? . . . . .	<input type="checkbox"/> 4b	<input type="checkbox"/> No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). . . . .		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input checked="" type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .			
If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input type="checkbox"/> No		7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation <b>(If not paid, enter -0-)</b>	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000. . . . .				<input checked="" type="checkbox"/>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	
<b>Total.</b> Add lines 1 through 3. . . . .	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes	
a	Average monthly fair market value of securities. . . . .	1a 3,230,171
b	Average of monthly cash balances. . . . .	1b 1,234,745
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c 4,476,026
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d 8,940,942
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2
3	Subtract line 2 from line 1d. . . . .	3 8,940,942
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4 134,114
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5 8,806,828
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6 440,341

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1 440,341
2a	Tax on investment income for 2009 from Part VI, line 5. . . . .	2a 3,182
b	Income tax for 2009 (This does not include the tax from Part VI ). . . . .	2b
c	Add lines 2a and 2b. . . . .	2c 3,182
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3 437,159
4	Recoveries of amounts treated as qualifying distributions. . . . .	4
5	Add lines 3 and 4. . . . .	5 437,159
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7 437,159

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a 752,646
b	Program-related investments—total from Part IX-B. . . . .	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required). . . . .	3a
b	Cash distribution test (attach the required schedule). . . . .	3b
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 752,646
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6 752,646

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	<b>(a)</b> Corpus	<b>(b)</b> Years prior to 2008	<b>(c)</b> 2008	<b>(d)</b> 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				437,159
<b>2</b> Undistributed income, if any, as of the end of 2008				
<b>a</b> Enter amount for 2008 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2009				
<b>a</b> From 2004. . . . .	1,317,206			
<b>b</b> From 2005. . . . .	1,326,532			
<b>c</b> From 2006. . . . .	1,248,331			
<b>d</b> From 2007. . . . .	613,558			
<b>e</b> From 2008. . . . .	850,847			
<b>f Total</b> of lines 3a through e. . . . .	5,356,474			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ► \$ _____ 752,646				
<b>a</b> Applied to 2008, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . . .				
<b>d</b> Applied to 2009 distributable amount. . . . .				437,159
<b>e</b> Remaining amount distributed out of corpus	315,487			
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>	5,671,961			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . . .				
<b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .				
<b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	1,317,206			
<b>9</b> <b>Excess distributions carryover to 2010.</b> Subtract lines 7 and 8 from line 6a . . . . .	4,354,755			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2005. . . . .	1,326,532			
<b>b</b> Excess from 2006. . . . .	1,248,331			
<b>c</b> Excess from 2007. . . . .	613,558			
<b>d</b> Excess from 2008. . . . .	850,847			
<b>e</b> Excess from 2009. . . . .	315,487			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling. . . . .			
b	Check box to indicate whether the organization is a private operating foundation described in section <input checked="" type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. . . . .			
b	85% of line 2a. . . . .			
c	Qualifying distributions from Part XII, line 4 for each year listed. . . . .			
d	Amounts included in line 2c not used directly for active conduct of exempt activities. . . . .			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c. . . . .			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets. . . . .			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III). . . . .			
(3)	Largest amount of support from an exempt organization. . . . .			
(4)	Gross investment income. . . . .			

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)****1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MRS ADELE C SMITHERS PRESIDENT

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number of the person to whom applications should be addressed

THE CHRISTOPHER D SMITHERS FNDN INC  
PO BOX 67  
MILL NECK, NY 117650067  
(516) 676-0067

- b The form in which applications should be submitted and information and materials they should include

WRITTEN REQUEST FULLY DESCRIBING PROJECT TO BE FUNDED

- c Any submission deadlines

NONE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS ARE MADE TO INDIVIDUALS

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			► 3a	457,048
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . . .					
3 Interest on savings and temporary cash investments					4,483
4 Dividends and interest from securities. . . . .					
5 Net rental income or (loss) from real estate					
a Debt-financed property. . . . .			14	96,791	
b Not debt-financed property. . . . .					
6 Net rental income or (loss) from personal property					
7 Other investment income. . . . .			15	88,311	
8 Gain or (loss) from sales of assets other than inventory					4,543
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. . . . .			14		
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). . . . .				185,102	9,026
13 Total Add line 12, columns (b), (d), and (e). . . . .				13	194,128
(See worksheet in line 13 instructions on page 28 to verify calculations )					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



2009

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.

**Name of organization**  
THE CHRISTOPHER D SMITHERS  
FOUNDATION INC**Employer identification number**  
13-1861928**Organization type (check one)****Filers of:****Section:**

Form 990 or 990-EZ

- 501(c)( ) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule—**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

- For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33<sup>1</sup>/<sub>3</sub> support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III
- For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year . . . . . ► \$ \_\_\_\_\_

**Caution.** An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**  
THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**Employer identification number**

13-1861928

**Part I** **Contributors** (see Instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ADELE C SMITHERS 6 FROST MILL RD  MILL NECK, NY 117650067	\$ <u>11,600</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ <u>                  </u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ <u>                  </u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ <u>                  </u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ <u>                  </u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ <u>                  </u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution )



<b>Name of organization</b> THE CHRISTOPHER D SMITHERS FOUNDATION INC	<b>Employer identification number</b> 13-1861928
---	---

**Part III** *Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations*

aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once. See instructions) ► S

## Additional Data

**Software ID:** 09000034  
**Software Version:** 09450943  
**EIN:** 13-1861928  
**Name:** THE CHRISTOPHER D SMITHERS FOUNDATION INC

### Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

<b>(a) Name and address</b>	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, other allowances</b>
MRS ADELE C SMITHERS	PRESIDENT 000 00	0	0	0
6 FROST MILL RD MILL NECK, NY 11765				
MS M ELIZABETH BROTHERS	V PRESIDENT 000 00	0	0	0
1620 MAYFLOWER COURT APT B-615 WINTER PARK, FL 327925809				
MR THOMAS D CROCI	VP/TREASURER 000 00	0	0	0
7 ANDOVER DRIVE BOHEMIA, NY 11716				
MR CHRISTOPHER B SMITHERS	VP/SECRETARY 000 00	0	0	0
PO BOX 275 MILL NECK, NY 11765				
DR JOSEPH J CALANDRA	DIRECTOR 000 00	0	0	0
2514 HARRIETS ISLAND COURT MT PLEASANT, SC 29466				
LT CMDR THOMAS DA CROCI	DIRECTOR 000 00	0	0	0
6152 WELLINGTON COMMONS DRIVE ALEXANDRIA, VA 22310				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ADELE SMITHERS PARKINSONS DISEASE TREATMENT CENTER NORTHERN BLVD OLD WESTBURY, NY 11568	N/A	PUBLIC	TREATMENT OF PARKINSONS PATIENTS	25,250
ALCOHOLISM COUNCIL OF NEW 2-26 WASHINGTON ST 7TH FLOOR NEW YORK, NY 10004	N/A	PUBLIC	PHYSICIAN/ALCOHOL/ADDICTION TRAINING	28,252
AMERICAN PARKINSON FDN NORTHERN BLVD OLD WESTBURY, NY 11568	N/A	PUBLIC	TREATMENT OF PARKINSONS PATIENTS	3,000
AMERICAN SOCIETY OF ADDIC 4601 NORTH PARK AVE CHEVY CHASE, MD 208154520	N/A	PUBLIC	R BRINKLEY SMITHERS AWARD/LECTURE	12,500
BOYS & GIRLS CLUB OF O BEN ONE PINE HOLLOW RD OYSTER BAY, NY 117714713	N/A	PUBLIC	YOUTH RESPONSIBILITY/LEADERSHIP PROG	1,000
BOYS & GIRLS CLUB OF TAMPA 1307 N MACDILL AVE TAMPA, FL 33607	N/A	PUBLIC	SMART MOVES PROGRAM	25,500
DRUG EDUCATION COUNCIL 3000 TELEVISION AVENUE MOBILE, AL 36606	N/A	PUBLIC	ALCOHOL EDUCATION	1,000
EMPLOYMENT PROGRAM FOR RE 261 W 35 ST 9TH FL NEW YORK, NY 10001	N/A	PUBLIC	VOCATIONAL REHAB	5,000
FREEDOM INSTITUTE 515 MADISON AVE NEW YORK, NY 10022	N/A	PUBLIC	INTERVENTION PROGRAM	2,500
FRIENDS OF RAYNHAM HALL 120 WEST MAIN STREET OYSTER BAY, NY 11771	N/A	PUBLIC	ALCOHOL EDUCATION	100
GLEN COVE CARES PO BOX 423 GLEN COVE, NY 11542	N/A	PUBLIC	COMMUNITY	1,500
GRENVILLE BAKER BOYS & GI 135 FOREST AVE LOCUST VALLEY, NY 11560	N/A	PUBLIC	CHILDREN'S GYMNASIUM	50,000
HANLEY CENTER FDN 5200 EAST END AVE WPALM BEACH, FL 33407	N/A	PUBLIC	PROJECT BRIDGE/SUMMER YOUTH PROGRAM	20,000
HUMANE SOCIETY OF NY 306 E 59TH STREET NEW YORK, NY 10022	N/A	PUBLIC	COMMUNITY	500
JO-ANN CROCI MEMORIAL SCHOLARSHIP FOUNDATION 7 ANDOVER DRIVE BOHEMIA, NY 11716	N/A	PUBLIC	EDUCATIONAL SCHOLARSHIP	2,500
<b>Total . . . . .</b>			<b>► 3a</b>	<b>457,048</b>

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
JOHN L WEBSTER ELEMENTARY PTA 3602 WINTER CANYON RD MALIBU, CA 90265	N/A	PUBLIC	ALCOHOL EDUCATION PTA PROGRAMS	25,000
LEADERSHIP TO KEEP CHILDREN ALCOHOL FREE2933 LOWER BELLBROOK RD SPRING VALLEY, OH 45370	N/A	PUBLIC	ALCOHOL EDUCATION	3,000
LONG ISLAND COUNCIL ON AL207 HILLSIDE AVE WILLISTON PARK, NY 11596	N/A	PUBLIC	ADDICTION REFERRAL SERVICES	40,000
LOCUST VALLEY FD228 BUCKRAM ROAD LOCUST VALLEY, NY 11560	N/A	PUBLIC	COMMUNITY	100
MISS NEW YORK ORG141 W 24TH STREET 6 NEW YORK, NY 10011	N/A	PUBLIC	ALCOHOL EDUCATION	250
NAT'L COUNCIL-ALCOHOLISM22 CORTLAND ST STE 801 NEW YORK, NY 10007	N/A	PUBLIC	ALCOHOL EDUCATION	36,451
NCADD TAMPA INC9705 N ARMENIA AVE TAMPA, FL 33612	N/A	PUBLIC	ALCOHOL EDUCATION	10,000
NCADD WESTCHESTER5 WALLER AVENUE SUITE 105 WHITE PLAINS, NY 10601	N/A	PUBLIC	ALCOHOL EDUCATION	5,925
NATL INST SOCIAL SCIENCESPO BOX 286774 NEW YORK, NY 10128	N/A	PUBLIC	ALCOHOLISM RESEARCH	6,620
NORTH SHORE BOYS & GIRLSPO BOX 162 GLEN HEAD, NY 11545	N/A	PUBLIC	COMMUNITY YOUTH PROGRAMS	11,700
OCCAM OBSERVATORYPIAZZA DUOMO 21 MILANO, MILAN 1-20121 IT	N/A	PUBLIC	CULTURAL EDUCATION	20,000
OLD WESTBURY GARDENSPO BOX 430 OLD WESTBURY, NY 11568	N/A	PUBLIC	COMMUNITY	500
SCO FAMILY OF SERVICES1 ALEXANDER PLACE GLEN COVE, NY 11542	N/A	PUBLIC	ALCOHOLISM TREATMENT	1,400
ST JOHNS RIVERSIDE HOSP DEVELOPMENT OFFICE 967 N BROADWAY YONKERS, NY 10701	N/A	PUBLIC	ALCOHOLISM TREATMENT	4,000
SUFFOLK COUNTY POLICE ATHPO BOX 26 YAPHANK, NY 119800026	N/A	PUBLIC	YOUTH SPORTS & RECREATION PROGRAMS	500
<b>Total . . . . .</b>			<b>► 3a</b>	<b>457,048</b>

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
THE JORGE POSADA FDN594 BROADWAY SUITE 1213 NEW YORK, NY 10012	N/A	PUBLIC	HEROES FOR HOPE	1,500
THOMAS HARTMAN FDN72 W MAIN STREET EAST ISLIP, NY 11730	N/A	PUBLIC	ALCOHOLISM RESEARCH	50,000
TURN 2 FOUNDATION 215 PARK AVE S STE 1905 NEW YORK, NY 10003	N/A	PUBLIC	PROMOTE HEALTHY LIFESTYLES FOR YOUTH	40,000
UNIVERSITY OF OKLAHOMA 555 E CONSTITUTION ST NORMAN, OK 73072	N/A	PUBLIC	INFORMATION/POVERTY WORLD CONF	20,000
VERITAS VILLA FOUNDATION PO BOX 610 KERHONKSON, NY 12446	N/A	PUBLIC	ALCOHOL EDUCATION/TRAINING/RESEARCH	1,000
VILLA INNSPO BOX 610 KERHONKSON, NY 12446	N/A	PUBLIC	ALCOHOL EDUCATION/TRAINING/RESEARCH	500
<b>Total . . . . .</b>			<b>► 3a</b>	<b>457,048</b>

Form 4562

Depreciation and Amortization  
(Including Information on Listed Property)

OMB No 1545-0172

2009

Department of the Treasury  
Internal Revenue Service

► See separate instructions. ► Attach to your tax return.

Attachment  
Sequence No 67

Name(s) shown on return THE CHRISTOPHER D SMITHERS FOUNDATION INC	Business or activity to which this form relates INDIRECT DEPRECIATION	Identifying number 13-1861928
---	--	----------------------------------

**Part I Election To Expense Certain Property Under Section 179***Note: If you have any listed property, complete Part V before you complete Part I.*

1 Maximum amount See the instructions for a higher limit for certain businesses . . . . .	1	250,000
2 Total cost of section 179 property placed in service (see instructions) . . . . .	2	
3 Threshold cost of section 179 property before reduction in limitation (see instructions) . . . . .	3	800,000
4 Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0- . . . . .	4	
5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions . . . . .	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost	
6				
7 Listed property Enter the amount from line 29 . . . . .	7			
8 Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7 . . . . .	8			
9 Tentative deduction Enter the <b>smaller</b> of line 5 or line 8 . . . . .	9			
10 Carryover of disallowed deduction from line 13 of your 2008 Form 4562 . . . . .	10			
11 Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions) . . . . .	11			
12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11 . . . . .	12			
13 Carryover of disallowed deduction to 2010 Add lines 9 and 10, less line 11 ► 13	13			

**Note: Do not use Part II or Part III below for listed property. Instead, use Part V.**

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions.)		
14 Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15 Property subject to section 168(f)(1) election . . . . .	15	
16 Other depreciation (including ACRS) . . . . .	16	2,312

**Part III MACRS Depreciation (Do not include listed property.) (See instructions.)****Section A**

17 MACRS deductions for assets placed in service in tax years beginning before 2009 . . . . .	17	25,067
18 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ►		

**Section B—Assets Placed in Service During 2008 Tax Year Using the General Depreciation System**

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

**Section C—Assets Placed in Service During 2009 Tax Year Using the Alternative Depreciation System**

20a Class life				S/L	
b 12-year			12 yrs		S/L
c 40-year			40 yrs	MM	S/L

Non-Res Prop Type 1 count 0 Non-Res Prop Type 2 count 0 Non-Res Prop Totals count 0

**Part IV Summary (see instructions)**

21 Listed proper

22 Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions . . . . .	22	27,379
23 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs . . . . .	23	

**Part V**

**Listed Property** (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement.)

**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

**Section A—Depreciation and Other Information (Caution:** See the instructions for limits for passenger automobiles.)

**24a** Do you have evidence to support the business/investment use claimed?  Yes  No **24b** If "Yes," is the evidence written?  Yes  No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost
25	Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)				25			

**26** Property used more than 50% in a qualified business use

	%							
	%							
	%							

**27** Property used 50% or less in a qualified business use

	%			S/L -				
	%			S/L -				
	%			S/L -				

**28** Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1

28

**29** Add amounts in column (i), line 26 Enter here and on line 7, page 1

29

**Section B—Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

30	(a) Vehicle 1	(b) Vehicle 2	(c) Vehicle 3	(d) Vehicle 4	(e) Vehicle 5	(f) Vehicle 6
31						
32						
33						
34	Yes	No	Yes	No	Yes	No
35						
36						

**Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees**

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions)

37	Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	<input type="checkbox"/> Yes <input type="checkbox"/> No
38	Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners	
39	Do you treat all use of vehicles by employees as personal use?	
40	Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?	
41	Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)	

**Note:** If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles

**Part VI Amortization**

(a) Description of costs	(b) Date amortization begins	(c) A amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) A amortization for this year
42	A amortization of costs that begins during your 2009 tax year (see instructions)				

43	A amortization of costs that began before your 2009 tax year	43
44	Total. Add amounts in column (f) See the instructions for where to report	44

## TY 2009 Accounting Fees Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING SERVICES	36,000	7,200		28,800

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2009 Depreciation Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
**FOUNDATION INC**  
**EIN:** 13-1861928

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
REFRIGERATOR	1991-06-01	1,469	1,469	200DB	5 0000				
FURNACE	1993-07-01	5,676	5,676	200DB	10 0000				
BUST RB SMITHERS	1993-12-31	20,000							
LAND	1994-01-01	775,680							
BUILDING - MAIN	1994-01-11	775,800	291,422	S/L	39 0000	19,892			
CARPETING	1994-08-01	1,700	1,700	200DB	7 0000				
FUEL TANKS	1994-08-01	18,950	18,950	200DB	10 0000				
DICTIONATION MACHINE	1994-09-01	255	255	200DB	5 0000				
CARPETING	1994-09-01	550	550	200DB	7 0000				
DRIVEWAY	1994-10-01	15,900	5,914	S/L	39 0000	408			
FUEL TANKS	1995-05-22	18,950	18,950	200DB	10 0000				
ROOF	1996-05-31	66,750	44,416	150DB	20 0000	2,978			
AIR CONDITIONER	1996-06-30	648	648	200DB	5 0000				
AIR CONDITIONER	1996-07-19	648	648	200DB	5 0000				
REGLAZING	1996-12-01	5,525	1,708	S/L	39 0000	142			
STOVE	1997-04-04	275	275	200DB	7 0000				
GROUNDS EQUIPMENT	1997-07-11	5,521	5,521	200DB	7 0000				
GROUNDS EQUIPMENT	1997-09-09	13	13	200DB	7 0000				
LIGHTING FIXTURES	1997-12-12	945	945	200DB	7 0000				
AIR CONDITIONER	1998-05-29	490	490	200DB	7 0000				
PLUMBING	1998-07-01	1,430	385	S/L	39 0000	37			
CARPENTRY	1998-07-01	4,100	1,099	S/L	39 0000	105			
WATERPROOFING	1998-07-01	8,975	2,406	S/L	39 0000	230			
PHONE SYSTEM-NET OF REFUND	1998-08-21	8,911	8,911	200DB	5 0000				
PHONE SYSTEM	1999-12-03	2,058	2,058	200DB	5 0000				
OFFICE FURNITURE	1999-12-24	6,000	6,000	200DB	7 0000				
OFFICE FURNITURE	2000-07-01	27,966	27,966	200DB	7 0000				
CARPETING	2000-07-01	8,869	8,869	200DB	7 0000				
COMPUTER SYSTEMS	2000-07-01	10,543	10,543	200DB	5 0000				
SECURITY SYSTEM	2000-07-01	1,380	1,380	200DB	7 0000				
OFFICE FURNITURE	2001-01-31	260	260	200DB	7 0000				
OFFICE FURNITURE	2001-03-30	5,320	5,320	200DB	7 0000				
OFFICE FURNITURE	2001-08-20	5,490	5,490	200DB	7 0000				
COMPUTER EQUIPMENT	2001-08-28	2,985	2,985	200DB	5 0000				
OFFICE FURNITURE	2001-10-05	6,625	6,625	200DB	7 0000				
COMPUTER SYSTEM	2001-10-26	1,500	1,500	200DB	5 0000				
SECURITY SYSTEM	2001-11-30	7,860	7,860	200DB	7 0000				
2 IBM COMPUTERS	2002-07-23	2,298	2,298	200DB	5 0000				
COMPUTER NETWORK	2002-08-27	1,110	1,110	200DB	5 0000				
SWIVEL CHAIR	2002-09-16	1,370	1,309	200DB	7 0000	61			
ALARM SYSTEM UPGRADE	2003-02-01	16,800	9,940	S/L	10 0000	1,680			
DRAINAGE & DRIVEWAY	2003-05-15	8,989	1,306	S/L	39 0000	231			
COMPUTER EQUIPMENT	2003-07-23	621	621	S/L	5 0000				
COMPUTER EQUIPMENT	2003-07-26	309	309	S/L	5 0000				
NETWORK UPGRADE	2003-07-30	3,059	3,059	S/L	5 0000				
ROOFING	2003-08-20	3,900	2,080	S/L	10 0000	390			
OFFICE CHAIR	2003-08-29	75	57	S/L	7 0000	11			
COMPUTER EQUIPMENT	2003-09-16	195	195	S/L	5 0000				
COMPUTER EQUIPMENT	2003-09-17	1,833	1,833	S/L	5 0000				
COMPUTER EQUIPMENT	2003-09-17	52	52	S/L	5 0000				
COMPUTER	2004-01-21	2,036	1,978	200DB	5 0000	58			
COMPUTER	2004-09-02	2,169	2,107	200DB	5 0000	62			
EXECUTIVE DESK	2004-09-21	4,290	3,811	200DB	7 0000	192			
CARPETING	2004-09-22	1,160	1,031	200DB	7 0000	51			
CANON PRINTER (NO 1)	2004-10-01	400	388	200DB	5 0000	12			
CANON PRINTER (NO 2)	2004-10-01	400	388	200DB	5 0000	12			
TELEPHONE SYSTEM - COTTAGE	2004-10-05	4,669	4,148	200DB	7 0000	208			
IMPROVEMENTS - MAIN	2004-07-01	3,920	448	S/L	39 0000	101			
FURNISHINGS	2005-07-20	685	471	200DB	7 0000	61			
COMPUTER UP-GRADE	2005-08-09	1,775	1,468	200DB	5 0000	205			
ELECTRICAL IMPROVEMENTS-FNDN BLDG	2005-03-28	520	51	S/L	39 0000	13			
ROOFING-FNDN BLDG	2005-06-27	750	68	S/L	39 0000	19			
ROOFING-FNDN BLDG	2005-08-16	1,125	97	S/L	39 0000	29			
THERMOSTAT CONTROLS	2006-01-04	821	348	200DB	10 0000	95			
ROOFING & WATERPROOFING	2006-01-31	750	318	200DB	10 0000	86			
LIGHTING FIXTURE	2006-02-28	85	48	200DB	7 0000	10			
			42,336,616,448						
ROOFING & WATERPROOFING	2009-12-30	575		S/L	20 0000				

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2009 Gain/Loss from Sale of Other Assets Schedule

**Name:** THE CHRISTOPHER D SMITHERS

FOUNDATION INC

**EIN:** 13-1861928

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
AT&T INC	2008-01	PURCHASE	2009-12		52,136	49,278			2,858	
AUTOZONE INC	2008-01	PURCHASE	2009-12		14,966	12,170			2,796	
COLUMBIA HI YIELD OPP FUND	2008-01	PURCHASE	2009-12		212,013	181,051			30,962	
CONOCOPHILLIPS	2008-01	PURCHASE	2009-12		4,989	8,357			-3,368	
CONSOL ENERGY	2008-01	PURCHASE	2009-12		4,755	8,579			-3,824	
DEVON ENERGY	2008-01	PURCHASE	2009-12		2,515	3,174			-659	
WASH SALE ADJ	2008-01	PURCHASE	2009-12		1,200				1,200	
EMBRAER EMPRESA BRASILEIRA	2008-01	PURCHASE	2009-12		8,083	15,959			-7,876	
HARRIS CORP	2008-01	PURCHASE	2009-12		2,871	3,806			-935	
HARRIS STRATEX NETWORKS	2008-01	PURCHASE	2009-12		676	646			30	
HERCULES OFFSHORE	2008-01	PURCHASE	2009-12		2,192	9,562			-7,370	
IBM	2008-01	PURCHASE	2009-12		1,252	1,282			-30	
ISHARES BARCLAYS INTM BD FD	2008-01	PURCHASE	2009-12		227,680	218,806			8,874	
JP MORGAN CHASE	2008-01	PURCHASE	2009-12		2,625	3,966			-1,341	
WASH SALE ADJ	2008-01	PURCHASE	2009-12		73				73	
KENNAMETAL INC	2008-01	PURCHASE	2009-12		10,397	18,574			-8,177	
KRAFT FOODS INC	2008-01	PURCHASE	2009-12		61,605	57,948			3,657	
LORILLARD INC	2008-01	PURCHASE	2009-12		5,606	6,364			-758	
WASH SALE ADJ	2008-01	PURCHASE	2009-12		68				68	
MORGAN STANLEY INC	2008-01	PURCHASE	2009-12		2,390	3,025			-635	
WASH SALE ADJ	2008-01	PURCHASE	2009-12		276				276	
MOSAIC CO	2008-01	PURCHASE	2009-12		10,965	10,902			63	
NOKIA CORP	2008-01	PURCHASE	2009-12		13,541	22,133			-8,592	
PETROLEO BRASILEIRO	2008-01	PURCHASE	2009-12		2,874	4,651			-1,777	
WASH SALE INC	2008-01	PURCHASE	2009-12		542				542	
PLUM CREEK TIMBER CO INC	2008-01	PURCHASE	2009-12		34,193	31,245			2,948	
RSC HOLDINGS INC	2008-01	PURCHASE	2009-12		7,060	11,835			-4,775	
STATE STREET CORP	2008-01	PURCHASE	2009-12		1,342	1,082			260	
STERLITE INDs INDIA	2008-01	PURCHASE	2009-12		2,740	3,217			-477	
TJX COS INC	2008-01	PURCHASE	2009-12		7,242	7,507			-265	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
WASH SALE ADJ	2008-01	PURCHASE	2009-12		24				24	
WELLS FARGO & CO	2008-01	PURCHASE	2009-12		11,365	11,014			351	
WEYERHAEUSER CO	2008-01	PURCHASE	2009-12		6,405	6,786			-381	

**TY 2009 Investments Corporate  
Bonds Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Name of Bond	End of Year Book Value	End of Year Fair Market Value
US TRUST BANK OF AMERICA	1,622,648	1,728,144

**TY 2009 Investments Corporate  
Stock Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Name of Stock	End of Year Book Value	End of Year Fair Market Value
US TRUST SECURITIES	1,788,805	1,953,181

## TY 2009 Investments - Other Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LISTERINE ROYALTY 1/2 UNIT	AT COST	259,350	770,250

**TY 2009 Land, Etc. Schedule****Name:** THE CHRISTOPHER D SMITHERS

FOUNDATION INC

**EIN:** 13-1861928

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDING, COTTAGE, STABLE/GARAGE	1,134,978	567,923	567,055	567,055
30+ACRES MILL NECK NY	775,680		775,680	3,348,143

## TY 2009 Legal Fees Schedule

**Name:** THE CHRISTOPHER D SMITHERS

FOUNDATION INC

**EIN:** 13-1861928

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
KAPLAN & LEVENSEN, LLP	15,457			
OTHER	3,366			

## TY 2009 Other Assets Schedule

**Name:** THE CHRISTOPHER D SMITHERS

FOUNDATION INC

**EIN:** 13-1861928

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DONATIONS HELD-IN-TRUST	768,295	778,460	516,396

## TY 2009 Other Decreases Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Description	Amount
NET DECREASE IN FMV OF RECEIVABLES	288

## TY 2009 Other Expenses Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
AWARDS & ENGRAVING	2,453			2,453
BANK CHARGES	587			587
EDUCATIONAL BOOKS	8,074			8,074
INSURANCE	8,159			8,159
INVESTMENT ACCOUNT FEES-US TR	23,269	23,269		
LICENSES & PERMITS	935			935
MAINTENANCE & REPAIRS	9,432			9,432
MEMBERSHIP DUES & SUBSCRIPTION	100			100
OFFICE SUPPLIES & EXPENSE	9,449			9,449
POSTAGE & DELIVERY	3,862			3,862
PUBLIC AWARENESS	4,000			4,000
PUBLIC RELATIONS	8,000			8,000
RESEARCH MATERIALS	7,060			7,060
TELEPHONE	1,267			1,267
UTILITIES	9,236			9,236
WEBSITE	3,508			3,508

## TY 2009 Other Income Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
JOHNSON & JOHNSON - LISTERINE	88,311	88,311	

## TY 2009 Other Increases Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Description	Amount
NET INCREASE IN FMV OF CRUTS	447
NET INCREASE IN FMV OF INVESTMENT PORTFOLIO	458,487

## TY 2009 Other Liabilities Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Description	Beginning of Year - Book Value	End of Year - Book Value
CREDIT CARD PAYABLE	115	39
DEFERRED EXCISE TAX PAYABLE	49,449	58,815

## TY 2009 Substantial Contributors Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC  
**EIN:** 13-1861928

Name	Address
ADELE C SMITHERS	6 FROST MILL ROAD MILL NECK, NY 11765

## TY 2009 Taxes Schedule

**Name:** THE CHRISTOPHER D SMITHERS

FOUNDATION INC

**EIN:** 13-1861928

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX 2008 ADJ	4,065			4,065
FEDERAL EXCISE TAX 2009	3,182			1,591
NYS ANNUAL FILING FEE	260			260
PROPERTY TAXES	39,954			39,954
FOREIGN SECURITIES TAX	141	141		