Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2009**

**For calendar year 2009, or tax year beginning** , 2009, and ending , 20

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
LAVELLE FUND FOR THE BLIND, INC.

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
307 WEST 38TH STREET — 2010

City or town, state, and ZIP code
NEW YORK, NY 10018

**A Employer identification number**
13-1740463

**B** Telephone number (see page 10 of the instructions)
(212) 668-9801

C If exemption application is pending, check here ▶ [ ]
D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization. [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 85,637,190.

J Accounting method [ ] Cash [ ] Accrual
[X] Other (specify) MODIFIED CASH
*(Part I, column (d) must be on cash basis )*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 Check ▶ [ ] if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 27,834. | 27,834. | | ATCH 1 |
| | 4 Dividends and interest from securities | 2,086,648. | 2,086,648. | | ATCH 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -6,626,028. | | | |
| | b Gross sales price for all assets on line 6a 51,110,037. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 62,063. | 62,063. | | ATCH 3 |
| | 12 **Total.** Add lines 1 through 11 | -4,449,483. | 2,176,545. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 196,000. | 9,800. | | 186,200. |
| | 14 Other employee salaries and wages | 76,500. | 3,825. | | 72,675. |
| | 15 Pension plans, employee benefits | 88,906. | 4,446. | | 84,460. |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) [4] | 36,612. | 27,459. | | 9,153. |
| | c Other professional fees (attach schedule) [5] | 335,298. | 320,748. | | 14,550. |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) ** | 26,546. | 21,546. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | 31,509. | 1,575. | | 29,934. |
| | 21 Travel, conferences, and meetings | 7,242. | | | 7,242. |
| | 22 Printing and publications | 120. | | | 120. |
| | 23 Other expenses (attach schedule) [7] | 78,671. | 42,534. | | 36,137. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 877,404. | 431,933. | | 440,471. |
| | 25 Contributions, gifts, grants paid | 3,030,037. | | | 3,030,037. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 3,907,441. | 431,933. | | 3,470,508. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | -8,356,924. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 1,744,612. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

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| Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|
| **Assets** | | | |
| 1 Cash - non-interest-bearing | | | |
| 2 Savings and temporary cash investments | 3,928,873. | 15,554,021. | 15,554,021. |
| 3 Accounts receivable ▶ | | | |
| Less allowance for doubtful accounts ▶ | | | |
| 4 Pledges receivable ▶ | | | |
| Less allowance for doubtful accounts ▶ | | | |
| 5 Grants receivable | | | |
| 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| Less allowance for doubtful accounts ▶ | | | |
| 8 Inventories for sale or use | | | |
| 9 Prepaid expenses and deferred charges | | | |
| 10a Investments - U S and state government obligations (attach schedule) | | | |
| b Investments - corporate stock (attach schedule) ATCH 8 | 52,838,577. | 49,991,664. | 49,991,664. |
| c Investments - corporate bonds (attach schedule) ATCH 9 | 8,795,179. | 16,013,777. | 16,013,777. |
| 11 Investments - land, buildings, and equipment basis ▶ | | | |
| Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 Investments - mortgage loans | | | |
| 13 Investments - other (attach schedule) ATCH 10 | 2,960,437. | 4,077,728. | 4,077,728. |
| 14 Land, buildings, and equipment basis ▶ | | | |
| Less accumulated depreciation (attach schedule) ▶ | | | |
| 15 Other assets (describe ▶ ) | | | |
| 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 68,523,066. | 85,637,190. | 85,637,190. |
| **Liabilities** | | | |
| 17 Accounts payable and accrued expenses | | | |
| 18 Grants payable | | | |
| 19 Deferred revenue | | | |
| 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 Mortgages and other notes payable (attach schedule) | | | |
| 22 Other liabilities (describe ▶ ATCH 11 ) | 4,898. | 688,414. | |
| 23 **Total liabilities** (add lines 17 through 22) | 4,898. | 688,414. | |
| **Net Assets or Fund Balances** | | | |
| **Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 Unrestricted | 68,518,168. | 84,948,776. | |
| 25 Temporarily restricted | | | |
| 26 Permanently restricted | | | |
| **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]** | | | |
| 27 Capital stock, trust principal, or current funds | | | |
| 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 **Total net assets or fund balances** (see page 17 of the instructions) | 68,518,168. | 84,948,776. | |
| 31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 68,523,066. | 85,637,190. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 68,518,168. |
| 2 Enter amount from Part I, line 27a | 2 | -8,356,924. |
| 3 Other increases not included in line 2 (itemize) ▶ ATTACHMENT 12 | 3 | 24,787,532. |
| 4 Add lines 1, 2, and 3 | 4 | 84,948,776. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 84,948,776. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h)) |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -6,626,028.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . **3**

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 6,405,833. | 92,928,321. | 0.068933 |
| 2007 | 5,262,400. | 112,911,899. | 0.046606 |
| 2006 | 4,407,508. | 100,539,466. | 0.043839 |
| 2005 | 4,461,668. | 91,868,111. | 0.048566 |
| 2004 | 3,478,348. | 83,502,210. | 0.041656 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) . . . . . . . . . . . . . . . . . . . . . | 2 | 0.249600 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . . . . . . | 3 | 0.049920 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . . . . . . . | 4 | 71,956,338. |
| 5 | Multiply line 4 by line 3 . . . . . . . . . . . . . . . . . . . . . | 5 | 3,592,060. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) . . . . . . . . . . | 6 | 17,446. |
| 7 | Add lines 5 and 6 . . . . . . . . . . . . . . . . . . . . . | 7 | 3,609,506. |
| 8 | Enter qualifying distributions from Part XII, line 4 . . . . . . . . . . | 8 | 3,470,508. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | 1 | 34,892. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | |
| 3 | Add lines 1 and 2 | | 3 | 34,892. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | 34,892. |
| 6 | Credits/Payments | | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 | 6a 37,799. | | |
| b | Exempt foreign organizations-tax withheld at source | 6b 0. | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c 0. | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | 7 | 37,799. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 | **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 2,907. |
| 11 | Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 2,907. **Refunded** ▶ | | 11 | |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NEW YORK | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

## Part VII-A Statements Regarding Activities (continued)

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ WWW.FDNCENTER.ORG/GRANTMAKER/LAVELLEFUND

14 The books are in care of ▶ LAVELLE FUND FOR THE BLIND INC Telephone no ▶ 212-668-9801

Located at ▶ 307 WEST 38TH STREET, SUITE 2010, NEW YORK, NY ZIP + 4 ▶ 10018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | Line | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ________, ________, ________, ________ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.) | 2b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________, ________, ________, ________ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | *Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its* charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

**(4)** Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

*If "Yes" to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATTACHMENT 13 | | 196,000. | 52,239. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ANN W. GAEL<br>C/O THE FUND | OPERATIONS MANAGER<br>32.00 | 76,500. | 20,536. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| SASCO CAPITAL INC.<br>10 SASCO HILL ROAD, FAIRFIELD, CT 06824 | INVESTMENT MGMT FEE | 267,492. |
| JP MORGAN FLEMING ASSET MANAGEMENT<br>522 FIFTH AVENUE, NEW YORK, NY 10036 | INVESTMENT MGMT FEE | 53,256. |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ NONE

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** Summary of Program-Related Investments (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 64,198,196. |
| b | Average of monthly cash balances | 1b | 5,536,792. |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | 3,317,132. |
| d | **Total** (add lines 1a, b, and c) | 1d | 73,052,120. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) ... 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 73,052,120. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) | 4 | 1,095,782. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 71,956,338. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 3,597,817. |

**Part XI** **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 3,597,817. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 34,892. | | |
| b | Income tax for 2009. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 34,892. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 3,562,925. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 3,562,925. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 3,562,925. |

**Part XII** **Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 3,470,508. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 0. |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | 0. |
| b | Cash distribution test (attach the required schedule) | 3b | 0. |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 3,470,508. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 3,470,508. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

| | | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2009 from Part XI, line 7 | | | | 3,562,925. |
| 2 | Undistributed income, if any, as of the end of 2009 | | | | |
| a | Enter amount for 2008 only | | | 418,238. | |
| b | Total for prior years 20____,20____,20____ | | | | |
| 3 | Excess distributions carryover, if any, to 2009: | | | | |
| a | From 2004 | | | | |
| b | From 2005 | | | | |
| c | From 2006 | | | | |
| d | From 2007 | | | | |
| e | From 2008 | | | | |
| f | **Total** of lines 3a through e | | | | |
| 4 | Qualifying distributions for 2009 from Part XII, line 4. ▶ $ 3,470,508. | | | | |
| a | Applied to 2008, but not more than line 2a | | | 418,238. | |
| b | Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | | | |
| c | Treated as distributions out of corpus (Election required - see page 26 of the instructions) | | | | |
| d | Applied to 2009 distributable amount | | | | 3,052,270. |
| e | Remaining amount distributed out of corpus | | | | |
| 5 | Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d | Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions | | | | |
| e | Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions | | | | |
| f | Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 | | | | 510,655. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | | | | |
| 8 | Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | | | | |
| 9 | **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | | | | |
| 10 | Analysis of line 9 | | | | |
| a | Excess from 2005 | | | | |
| b | Excess from 2006 | | | | |
| c | Excess from 2007 | | | | |
| d | Excess from 2008 | | | | |
| e | Excess from 2009 | | | | |

**Part XIV** **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 14

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT 14A

c Any submission deadlines

SEE ATTACHMENT 14A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT 14A

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>SEE ATTACHMENT 14B | | | | 3,030,037. |
| **Total** . . . . . . . . ▶ **3a** | | | | 3,030,037. |
| **b** *Approved for future payment*<br>SEE ATTACHMENT 14B | | | | 4,528,602. |
| **Total** . . . . . . . . ▶ **3b** | | | | 4,528,602. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 27,834. | |
| 4 Dividends and interest from securities | | | 14 | 2,086,648. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -6,626,028. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b ATTACHMENT 15 | | | | 62,063. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | -4,449,483. | |

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 -4,449,483.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | N/A | | N/A |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 5/3/10 — Title: TREASURER

**Paid Preparer's Use Only**

| | | | |
|---|---|---|---|
| Preparer's signature | Date: APR 29 2010 | Check if self-employed ☐ | Preparer's identifying number (See Signature on page 30 of the instructions): P00183769 |
| Firm's name (or yours if self-employed), address, and ZIP code | CONDON O'MEARA MCGINTY & DONNELLY L<br>ONE BATTERY PARK PLAZA<br>NEW YORK, NY 10004-1405 | EIN ▶ 13-3628255 | Phone no 212-661-7777 |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | JP MORGAN ACCT #49425-0 MARKETABLE SECS. | | | | P | | |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 32,562,046. | | 32,763,910. | | | | | -201,864. | |
| | | SASCO CAPITAL - MARKETABLE SECS. | | | | P | | |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,787,219. | | 16,488,338. | | | | | -3,701,119. | |
| | | SASCO SMALL CAPITAL - MARKETABLE SECS. | | | | P | | |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,389,736. | | 3,568,123. | | | | | -1,178,387. | |
| | | SASCO GROWTH & INCOME - MARKETABLE SECS. | | | | P | | |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,371,036. | | 3,989,291. | | | | | -618,255. | |
| | | PHILIPPE FUND - INT'L EQUITIES | | | | P | | |
| | | PROPERTY TYPE: OTHER | | | | | | |
| | | 926,403. | | | | | -926,403. | |
| TOTAL GAIN(LOSS) | | | | | | | -6626028. | |

## FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| JP MORGAN CHECKING | 16. | 16. |
| JP MORGAN INVESTMENT ACCOUNT | 1,681. | 1,681. |
| SASCO SMALL CAP | 9,224. | 9,224. |
| SASCO CAPITAL | 12,663. | 12,663. |
| SASCO GROWTH & INCOME | 3,877. | 3,877. |
| THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC | 373. | 373. |
| TOTAL | 27,834. | 27,834. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| JP MORGAN INVESTMENT ACCOUNT | 876,740. | 876,740. |
| SASCO SMALL CAP | 103,362. | 103,362. |
| SASCO CAPITAL | 765,482. | 765,482. |
| SASCO GROWTH & INCOME | 251,523. | 251,523. |
| THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC | 89,541. | 89,541. |
| TOTAL | 2,086,648. | 2,086,648. |

FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| SECURITIES LITIGATION SETTLEMENT | 42,756. | 42,756. |
| GAIN FROM THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC | 19,307. | 19,307. |
| TOTALS | 62,063. | 62,063. |

FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| CONDON O'MEARA MCGINTY & DONNELLY LLP - AUDIT AND TAX SERVICES | 26,462. | 19,847. | | 6,615. |
| DEMASCO, SENA & JAHELKA LLP - BOOKKEEPING | 10,150. | 7,612. | | 2,538. |
| TOTALS | 36,612. | 27,459. | 0. | 9,153. |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| INVESTMENT MANAGEMENT FEES | | | |
| - JP MORGAN FLEMING ASSET MANAGEMENT | 53,256. | 53,256. | |
| - SASCO CAPITAL INC. | 267,492. | 267,492. | |
| ELLEN TRIEF, EDD | | | |
| - CONSULTING FEES | 14,550. | | 14,550. |
| TOTALS | 335,298. | 320,748. | 14,550. |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
| --- | --- | --- |
| FEDERAL EXCISE TAXES | 5,000. | |
| FOREIGN TAXES | 5,796. | 5,796. |
| PHILIPPE FUND-FOREIGN TAXES | 15,750. | 15,750. |
| TOTALS | 26,546. | 21,546. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| TELEPHONE | 3,017. | 151. | 2,866. |
| OFFICE SUPPLIES | 2,099. | 105. | 1,994. |
| OFFICE EQUIPMENT | 6,523. | 326. | 6,197. |
| INSURANCE | 5,519. | 276. | 5,243. |
| OFFICE ENHANCEMENTS | 1,596. | 80. | 1,516. |
| POSTAGE | 1,688. | 84. | 1,604. |
| UTILITIES | 2,575. | 129. | 2,446. |
| FILING FEES | 1,500. | | 1,500. |
| DUES & SUBSCRIPTIONS | 8,678. | 434. | 8,244. |
| OTHER | 4,765. | 238. | 4,527. |
| THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC | 40,711. | 40,711. | |
| TOTALS | 78,671. | 42,534. | 36,137. |

LAVELLE FUND FOR THE BLIND, INC. 13-1740463

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 8

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| THRU JP MORGAN ACCOUNT | 10,028,953. | 10,028,953. |
| THRU SASCO SMALL CAP ACCOUNT | 6,028,527. | 6,028,527. |
| THRU SASCO CAPITAL ACCOUNT | 28,218,172. | 28,218,172. |
| THRU SASCO GROWTH & INCOME | 5,030,071. | 5,030,071. |
| THRU FIRST MANHATTAN | 685,941. | 685,941. |
| TOTALS | 49,991,664. | 49,991,664. |

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 9

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| THRU JP MORGAN ACCOUNT | 16,013,777. | 16,013,777. |
| TOTALS | 16,013,777. | 16,013,777. |

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 10

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC - LIMITED PARTNERSHIP | 4,077,728. | 4,077,728. |
| TOTALS | 4,077,728. | 4,077,728. |

LAVELLE FUND FOR THE BLIND, INC. 13-1740463

FORM 990PF, PART II - OTHER LIABILITIES

ATTACHMENT 11

| DESCRIPTION | ENDING BOOK VALUE |
|---|---|
| DUE TO INVESTMENT CUSTODIAN | 688,414. |
| TOTALS | 688,414. |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| UNREALIZED GAIN ON INVESTMENTS | | 24,787,532. |
| | TOTAL | 24,787,532. |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| ANDREW S. FISHER<br>EXECUTIVE DIRECTOR<br>C/O THE FUND | EXECUTIVE DIRECTOR<br>35.00 | 196,000. | 52,239. | 0. |
| SEE ATTACHMENT 13A | | 0. | 0. | 0. |
| | GRAND TOTALS | 196,000. | 52,239. | 0. |

## 2009 BOARD OF TRUSTEES and KEY EMPLOYEE:

| | Hours/week worked |
|---|---|
| Daniel M. Callahan (Board President) | 5-10 |
| John J. Caffrey (Board Vice President & Treasurer) | 3-5 |
| Nancy L. Brown | .1 |
| Sister Mary Flood, MD | .1 |
| Thomas Galvin | .1 |
| Brother James Kearney | .5 |
| Michael A. Lemp, MD | .1 |
| J. Robert Lunney | .5 |
| John J. McNally | .5 |
| Jane B. O'Connell | .5 |
| Paul Sidoti, MD. | .1 |
| Victor Ziminsky, Jr. | .1 |

| | |
|---|---|
| Address: | c/o the Foundation |
| Compensation: | None |
| Contribution to Employee Benefit Plan: | None |
| Expense Allowance: | None |

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ANDREW S. FISHER EXECUTIVE DIRECTOR

LAVELLE FUND FOR THE BLIND, INC.
307 WEST 38TH STREET, SUITE 2010
NEW YORK, NY 10018
212-668-9801

LAVELLE
Fund for the Blind, Inc.

# Grant Guidelines



## PROGRAM VS. CAPITAL SUPPORT

The Fund concentrates on providing program support earmarked for program creation, expansion, or improvement. (General operating support is rarely provided.) Capital support is generally awarded only in connection with specific program support needs.

## APPLICATION PROCEDURE

The Fund asks that applicants please use the Guide for Grant Proposals. The Guide is based in large part on the New York/New Jersey Area Common Application Form, which was created by the New York Regional Association of Grantmakers.

## PROPOSAL REVIEW PROCESS

Grant proposals may be submitted at any time. All proposals submitted to the Fund will be acknowledged. Site visits or meetings are generally scheduled for grant requests that are candidates for Board review. Applicants with questions about the Fund's grant application or review procedures should feel free to call the Fund.

## FUNDING LIMITATIONS

The Fund does not make grants to any of the following:

- Individuals.
- Organizations that do not have 501(c)(3) tax-exempt status with the U.S. Internal Revenue Service.
- Medical research programs.
- Conferences or media events (unless they are an integral part of a broader program of direct service).
- Efforts to influence legislation or elections.
- Organizations seeking support for deficit reduction or emergency funding.

## CONTACT INFORMATION

ATTACHMENT 14A

- Grant proposals should be sent to:

Andrew S. Fisher, Executive Director
Lavelle Fund for the Blind, Inc.
**PLEASE NOTE OUR NEW ADDRESS**
307 West 38th Street, Suite 2010
New York, NY 10018
Phone: (212) 668-9801
Fax: (212) 668-9803
E-mail: afisher@lavellefund.org

ATTACHMENT 14A

LAVELLE
Fund for the Blind, Inc.

# Application Procedure



## GUIDE FOR GRANT PROPOSALS

- **Please type all proposals, using relatively large type font (12-point is preferred).**
- **Please provide requested information in the order listed.**
- **Please use headings as indicated.**
- **Please submit only one copy.**
- **Please do not include any materials other than those specifically requested at this time.**
- **Please do not send videotapes.**

### I. COVER LETTER

A brief cover letter, on your organization's letterhead, should be signed by the executive director. The letter should include a one-paragraph summary of the request, including amount and purpose. It should also indicate the name, phone number and email of the contact person for this proposal, if other than the executive director.

### II. NARRATIVE

The narrative description of the project for which funding is sought should be no more than 10 pages long.

### A. Background

Describe the work of your agency, addressing each of the following:

1. A brief description of your organization's history and mission.
2. The need or problem that your organization works to address, and the population that your agency serves, including geographic location, socio-economic status, race, gender, age, and visual and other impairments.
3. Current programs and accomplishments. Please emphasize recent achievements.
4. Number of paid full-time staff; number of paid part-time staff; number of volunteers.
5. A brief summary of your organization's key relationships — both formal

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and informal — with other organizations working to meet the same needs or providing similar services. Please explain how you differ from these other agencies.

### B. Funding Request

Please describe the project for which you seek funding, including:

1. The project's goal(s) and objectives.
2. A brief summary of the need or problem that you are seeking to address, including relevant supporting statistics.
3. The population that you plan to serve and how this population will benefit from the project. Please indicate the total number of people to be served, their age range, the nature of their visual impairment (and non-visual impairments, if any), and the geographic area from which they will be drawn.
4. Strategies that you will employ to implement your project.
5. The names and qualifications of the key people who will be responsible for achieving the anticipated results.
6. Anticipated timeline for the project.
7. Expectations regarding the project's level of activity three years from now. Please indicate: expected number of people to be served, project budget, expected sources of project support, and staffing level.

### C. Evaluation

Please explain how you will measure the effectiveness of your activities. Describe your criteria for a successful program and the results you expect to achieve by the end of the proposed funding period.

## III. ATTACHMENTS

Please provide each of the attachments listed below.

### A. Financial Information

Please make sure that each document mentioned below indicates the dates of the financial period(s) covered.

1. Your two most recent audited financial statements.
2. Your operating expense budgets for the current and most recent fiscal years – preferably aligned side by side on the same page.
3. A list of foundation and corporate supporters with grant amounts, for your most recent fiscal year.
4. A current expense budget for the project for which you are requesting funding. As appropriate, either: (a) provide a complete budget narrative (a line-by-line explanation of each expense line in the proposed project budget) or (b) simply annotate any expense lines that are not self-explanatory. List each staff line separately and include the percentage of

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time spent on the project. If possible, indicate how the grant dollars now requested will be applied to specific project expenses.
*(Note: In the case of program-related capital expenses, professional cost estimates should be provided.)*

5. A list of all sources of income for the project, actual and prospective, with amounts. Please include here the applying organization's own contribution to the project, if any.

**B. Other Supporting Materials**

1. A list of your board of directors, with their affiliations.
2. A copy of your most recent IRS letter of determination indicating your agency's tax exempt status, or, if not available, an explanation.
3. One-paragraph sketches of professional backgrounds of the project leader(s), including qualifications relevant to your current request.
4. Your most recent annual report, if available.
5. At your option, a recent evaluation of your organization.

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# Current Year Paid and Future Commitments

*12/31/2009*

| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | 2010 | 2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **2005** | | | | | | | |
| **Freedom Guide Dogs for the Blind, Inc.**<br>Cassville, NY<br>Awarded $125,000 over 51 months<br>*To help underwrite the salary of a second guide dog trainer*<br>150/04-52 | $125,000.00 | $90,000.00 | $35,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **Helen Keller Services for the Blind**<br>Sands Point, NY<br>Awarded $148,725 over 54 months<br>*To provide a minimum of 24 deaf-blind National Center NY/NJ alumni with adaptive computer hardware and/or software for use in their homes and to purchase three more adaptive computer workstations for use on the campus of the National Center*<br>143/04-45 | $148,725.00 | $111,510.00 | $0.00 | $0.00 | $37,215.00 | $0.00 | $0.00 |
| **Memorial Sloan-Kettering Cancer Center**<br>New York, NY<br>Awarded $300,000 over 60 months<br>*To help support the comprehensive training of a physician-fellow and nurse in the Center's new Ophthalmic Oncology Clinic*<br>128/04-30 | $300,000.00 | $110,000 00 | $142,500.00 | $0.00 | $47,500.00 | $0.00 | $0.00 |
| *Total 2005*<br>(3 items) | $573,725.00 | $311,510.00 | $177,500.00 | $0.00 | $84,715.00 | $0.00 | $0.00 |
| **2006** | | | | | | | |

| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **International Agency for the Prevention of Blindness**<br>Southampton, NY<br>Awarded $250,000 over 43 months<br>*To support the Eye Fund Initiative, an effort to help developing world eye care programs build the capacity to provide high-quality, high-volume, affordable, and sustainable eye care*<br>171/04-73 | $250,000.00 | $150,000 00 | $0.00 | $0.00 | $100,000.00 | $0.00 | $0.00 |
| **Seva Foundation**<br>Berkeley, CA<br>Awarded $360,000 over 42 months<br>*To establish primary eye care centers and conduct screening camps and mobile eye surgery camps in Nepal and North Central India*<br>165/04-67 | $360,000.00 | $239,075.00 | $120,925.00 | $0 00 | $0.00 | $0.00 | $0.00 |
| *Total 2006*<br>(2 items) | $610,000.00 | $389,075.00 | $120,925.00 | $0.00 | $100,000.00 | $0.00 | $0.00 |
| **<u>2007</u>** | | | | | | | |
| **Dominican College of Blauvelt**<br>Orangeburg, NY<br>Awarded $378,545 over 49 months<br>*To sustain and improve its hybrid online master's degree program for teachers of the visually impaired*<br>197/04-99 | $378,545.00 | $92,894.00 | $189,238.00 | $0.00 | $0.00 | $96,413.00 | $0.00 |
| **Dominican College of Blauvelt**<br>Orangeburg, NY<br>Awarded $107,040 over 92 months<br>*To provide stipend support for blind and visually impaired students*<br>210/04-112 | $107,040.00 | $40,680.00 | $66,360.00 | $0.00 | $0.00 | $0.00 | $0.00 |



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| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **Enrichment Audio Resource Services, Inc. (EARS)**<br>New York, NY<br>Awarded $165,000 over 38 months<br>*To hire an executive assistant and to match challenge grants*<br>189/04-91 | $165,000.00 | $80,000.00 | $40,500.00 | $0.00 | $44,500.00 | $0.00 | $0.00 |
| **Research Foundation of the City University of New York**<br>New York, NY<br>Awarded $349,924 over 38 months<br>*To help underwrite the continued operation of the only university training program in the tri-state area for future Orientation and Mobility teachers*<br>184/04-86 | $349,924.00 | $219,721.00 | $130,203.00 | $0 00 | $0.00 | $0.00 | $0.00 |
| **Lighthouse International**<br>New York, NY<br>Awarded $309,175 over 36 months<br>*To help support the launch of a Geriatric Center of Excellence providing coordinated care for geriatric vision problems and co-existing medical conditions*<br>192/04-94 | $309,175.00 | $156,629.00 | $152,546.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **Lighthouse International**<br>New York, NY<br>Awarded $357,280 over 37 months<br>*To sustain and expand a program to provide vision assessment, eye glasses, and/or vision rehabilitation services to Roman Catholic religious and clergy in Downstate New York*<br>205/04-107 | $357,280.00 | $235,136.00 | $122,144.00 | $0.00 | $0.00 | $0.00 | $0.00 |

| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **Project Orbis International**<br>New York, NY<br>Awarded $389,350 over 39 months<br>*To enable Orbis to help two eye hospitals in India strengthen their pediatric eye care capacity*<br>182/04-84 | $389,350.00 | $356,088.00 | $33,262.00 | $0.00 | $0 00 | $0.00 | $0.00 |
| **St. John's University**<br>Queens, NY<br>Awarded $95,265 over 88 months<br>*To provide stipend support for blind and visually impaired students*<br>208/04-110 | $95,264.50 | $43,764.50 | $36,041.50 | $0.00 | $15,458.50 | $0.00 | $0.00 |
| *Total 2007*<br>(8 Items) | $2,151,578.50 | $1,224,912.50 | $770,294.50 | $0.00 | $59,958.50 | $96,413.00 | $0.00 |
| **2008** | | | | | | | |
| **Research Foundation of CUNY**<br>New York, NY<br>Awarded $131,457 over 25 months<br>*To increase the number of students served in Baruch's traditional courses in adaptive computing, to expand its distance learning offerings, and to provide job training and conference services for visually impaired people*<br>224/08-126 | $131,457.00 | $67,744.00 | $63,713.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **Christian Blind Mission International**<br>Greenville, SC<br>Awarded $262,506 over 30 months<br>*To establish sustainable programs for preventing and treating eye disease in four rural provinces of Ecuador*<br>228/08-130 | $262,506.00 | $100,000.00 | $100,000.00 | $0.00 | $62,506.00 | $0.00 | $0 00 |

| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **Eye-Bank for Sight Restoration, Inc.**<br>New York, NY<br>Awarded $270,000 over 12 months<br>*To support a marketing program to encourage New Yorkers to register as future eye donors - and to increase public awareness about the Eye-Bank's mission*<br>225/08-127 | $270,000.00 | $90,000.00 | $0 00 | $0.00 | $180,000 00 | $0.00 | $0.00 |
| **Research Foundation of the City University of New York**<br>New York, NY<br>Awarded $260,023 over 36 months<br>*To support the design and implementation of Hunter College's tangible cues literacy program for children who are visually and multiply impaired in four New York City schools*<br>209/04-111 | $260,023.00 | $140,214.00 | $63,037.00 | $0.00 | $56,772.00 | $0.00 | $0.00 |
| **International Council of Ophthalmology Foundation**<br>San Francisco, CA<br>Awarded $510,000 over 24 months<br>*To help four Catholic Mission hospitals and clinics in Nigeria build and expand high-quality, affordable, accessible and self-financing eye care services*<br>226/08-128 | $510,000.00 | $172,500.00 | $172,500.00 | $0.00 | $165,000.00 | $0.00 | $0.00 |
| **International Eye Foundation**<br>Kensington, MD<br>Awarded $409,429 over 25 months<br>*To expand the SightReach® Management Network of sustainable eye clinics and regional demonstration centers in Egypt, Latin America and India*<br>222/08-124 | $409,429.00 | $346,049.00 | $0.00 | $0.00 | $63,380.00 | $0.00 | $0.00 |

| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **Jewish Guild for the Blind**<br>New York, NY<br>Awarded $189,376 over 24 months<br>*To support the continuation and expansion of the SightCare Program, an effort to reach and provide needed vision services to New York City's senior citizens*<br>232/08-134 | $189,376.00 | $94,688.00 | $0.00 | $0.00 | $94,688.00 | $0.00 | $0.00 |
| **Jewish Guild for the Blind**<br>New York, NY<br>Awarded $345,690 over 36 months<br>*To continue a partnership with Columbia University Medical Center's Department of Ophthalmology to refer Columbia's low vision patients to Guild services and educate the department's residents about such services*<br>233/08-135 | $345,690 00 | $120,230.00 | $0.00 | $0.00 | $112,730.00 | $112,730.00 | $0.00 |
| **Lighthouse International**<br>New York, NY<br>Awarded $385,000 over 36 months<br>*To establish a partnership with New York Weill-Cornell Medical Center to build sustainable systems for referring Center patients to Lighthouse low vision and vision rehabilitation services*<br>237/08-139 | $385,000.00 | $185,000.00 | $0.00 | $0.00 | $115,000.00 | $85,000.00 | $0.00 |
| **National Association for Parents of the Visually Impaired, Inc.**<br>Watertown, MA<br>Awarded $210,000 over 37 months<br>*To support the continuing operations of NAPVI's New York City chapter*<br>223/08-125 | $210,000.00 | $70,000.00 | $70,000.00 | $0.00 | $70,000.00 | $0.00 | $0.00 |

| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **New York City Industries for the Blind, Inc.**<br>Brooklyn, NY<br>Awarded $205,915 over 27 months<br>*To support training and placing 24 relatively low skilled people who are legally blind in competitively paying blue collar jobs*<br>227/08-129 | $205,915.00 | $125,260.00 | $0.00 | $0.00 | $80,655.00 | $0.00 | $0.00 |
| **Perkins School for the Blind**<br>Watertown, MA<br>Awarded $253,740 over 37 months<br>*To help build and strengthen model education programs for children with visual impairments in Brazil and Chile, including pre-service and in-service training for teachers and parent advisory boards*<br>212/04-114 | $253,740 00 | $99,180.00 | $86,040.00 | $0.00 | $68,520.00 | $0.00 | $0.00 |
| **Seton Hall University**<br>South Orange, NJ<br>Awarded $108,729 over 71 months<br>*To support the stipend program for financially needy students who are legally blind*<br>230/08-132 | $108,729.00 | $28,114.50 | $58,114.50 | $0.00 | $22,500.00 | $0.00 | $0.00 |
| **Seva Foundation**<br>Berkeley, CA<br>Awarded $197,020 over 36 months<br>*To build sustainable eye care capacity and services in the East Central Africa region served by the Kilimanjaro Centre for Community Ophthalmology*<br>236/08-138 | $197,020.00 | $64,340.00 | $0.00 | $0.00 | $66,340.00 | $66,340.00 | $0.00 |

| Organization Legal Name<br>Grant Total and Term (in Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **Helen Keller International**<br>New York, NY<br>Awarded $110,000 over 13 months<br>*To sustain and strengthen the HKI ChildSight program of vision screening, eye glass provision, and comprehensive eye care for low income pre-school children in New York City*<br>229/08-131 | $110,000.00 | $55,000.00 | $55,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **St. Thomas Aquinas College**<br>Sparkill, NY<br>Awarded $59,290 over 80 months<br>*To provide stipend support for blind and visually impaired students*<br>211/04-113 | $59,290.00 | $15,000.00 | $44,290.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **Optometric Center of New York**<br>New York, NY<br>Awarded $430,700 over 36 months<br>*To support a partnership with Wenzhou Medical College in China to improve and expand Wenzhou's care for blind and low vision patients and increase the number of qualified low vision practitioners throughout China*<br>235/08-137 | $430,700.00 | $156,650.00 | $0.00 | $0.00 | $164,400.00 | $109,650.00 | $0.00 |
| **VISIONS Services for the Blind and Visually Impaired**<br>New York, NY<br>Awarded $200,000 over 25 months<br>*To launch a Vision Rehabilitation Interpreters Program dedicated to addressing the growing needs of the non-English-speaking and immigrant populations in New York City*<br>213/04-115 | $200,000.00 | $100,000.00 | $100,000.00 | $0.00 | $0.00 | $0 00 | $0.00 |
| *Total 2008*<br>(18 items) | $4,538,875.00 | $2,029,969.50 | $812,694.50 | $0.00 | $1,322,491.00 | $373,720.00 | $0.00 |

| Organization Legal Name<br>Grant Total and Term (in Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **2009** | | | | | | | |
| **American Foundation for the Blind**<br>New York, NY<br>Awarded $279,750 over 36 months<br>*To continue support for Family Connect, an online partnership of AFB and the National Association of Parents of Visually Impaired Children*<br>231/08-133 | $279,750.00 | $0.00 | $119,750.00 | $0.00 | $91,250.00 | $68,750.00 | $0.00 |
| **Cancer Care, Inc. of the National Cancer Foundation**<br>New York, NY<br>Awarded $375,000 over 36 months<br>*To provide (1) financial assistance and counseling and referral services to 180 tri-state area residents with ocular cancer and other vision-affecting cancers and (2) help broaden the program's support base by institutionalizing a direct mail campaign.*<br>284/08-186 | $375,000.00 | $0.00 | $135,000.00 | $0.00 | $120,000.00 | $120,000.00 | $0.00 |
| **Fordham University**<br>Bronx, NY<br>Awarded $6,178 over 12 months<br>*To provide stipend support for legally blind college students*<br>291/08-193 | $6,177.50 | $0.00 | $6,177.50 | $0.00 | $0.00 | $0.00 | $0.00 |
| **Foundation Center**<br>New York, NY<br>Awarded $2,500 over 12 months<br>*For general support*<br>292/08-194 | $2,500.00 | $0.00 | $2,500.00 | $0.00 | $0.00 | $0.00 | $0.00 |

| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **Friends of Aravind**<br>New York, NY<br>Awarded $60,000 over 56 months<br>*To establish a permanent fundraising and fiscal office in the United States for the Aravind Eye Care System, based in South India*<br>188/04-90 | $60,000.00 | $0.00 | $20,000.00 | $0.00 | $20,000.00 | $20,000.00 | $0.00 |
| **Goddard Riverside Community Center**<br>New York, NY<br>Awarded $500 over 0 months<br>*In honor of Mrs. Mary Caffrey, mother of founding Board member John Caffrey*<br>296/08-198 | $500.00 | $0.00 | $500.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **International Agency for the Prevention of Blindness**<br>Southampton, NY<br>Awarded $200,000 over 36 months<br>*To strengthen capacity-building organizations in developing world eye care*<br>240/08-142 | $200,000.00 | $0.00 | $45,000.00 | $0.00 | $90,000.00 | $65,000.00 | $0.00 |
| **International Agency for the Prevention of Blindness**<br>Southampton, NY<br>Awarded $200,000 over 36 months<br>*To support the Seva Foundation in (1) strengthening eye care delivery and sustainability among six eye hospitals in India and Bangladesh and (2) the training competencies of hospitals in Seva's Centers for Community Ophthalmology (CCO) worldwide network*<br>241/08-143 | $200,000.00 | $0.00 | $66,666.00 | $0.00 | $66,667.00 | $66,667.00 | $0.00 |

| Organization Legal Name<br>Grant Total and Term (In Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **Elizabeth Pierce Olmsted, M.D., Center for the Visually Impaired**<br>Buffalo, NY<br>Awarded $302,500 over 24 months<br>*To support the continuation of Olmsted's Statler Training and Employment Program*<br>244/08-146 | $302,500.00 | $0.00 | $150,000.00 | $0.00 | $152,500.00 | $0.00 | $0.00 |
| **Rosalie Hall**<br>Bronx, NY<br>Awarded $1,000 over 1 months<br>*In memory of Frances Ziminsky, wife of retired founding Board member, Victor Ziminsky*<br>288/08-190 | $1,000.00 | $0.00 | $1,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **Seeing Eye**<br>Morristown, NJ<br>Awarded $100,000 over 12 months<br>*To support the build-out, equiping and furnishing of the student and guide dog training area of their new Morristown Training Center*<br>215/04-117 | $100,000.00 | $0.00 | $100,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **Seva Foundation**<br>Berkeley, CA<br>Awarded $360,000 over 36 months<br>*To strengthen and institutionalize 10 primary eye care centers in Nepal and North India and to provide supplemental support for the centers' eye care services to a total of 273,275 students and adults*<br>289/08-191 | $360,000.00 | $0.00 | $115,450.00 | $0.00 | $118,470.00 | $126,080.00 | $0.00 |

| Organization Legal Name<br>Grant Total and Term (in Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **Helen Keller International**<br>New York, NY<br>Awarded $550,000 over 24 months<br>*To continue support for ChildSight New York's provision of vision screening and prescription eyeglasses for students in NYC public and parochial middle schools and to begin serving at-risk students in alternative high schools*<br>238/08-140 | $550,000.00 | $0.00 | $259,080.00 | $0.00 | $290,920.00 | $0.00 | $0.00 |
| **Helen Keller International**<br>New York, NY<br>Awarded $52,000 over 12 months<br>*To pilot the ChildSight program, providing free vision screenings, prescription eye glasses and referrals to advanced care for low-income students in selected Jewish and Lutheran day schools in New York City*<br>287/08-189 | $52,000.00 | $0.00 | $52,000 00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **St. Gregory the Great Church**<br>New York, NY<br>Awarded $500 over 0 months<br>*In honor of Mrs. Mary Caffrey, mother of founding Board member John Caffrey*<br>295/08-197 | $500.00 | $0.00 | $500.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **VISIONS Services for the Blind and Visually Impaired**<br>New York, NY<br>Awarded $75,000 over 12 months<br>*To support a project to train legally blind youth and adults in employment-related technology*<br>243/08-145 | $75,000.00 | $0.00 | $75,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| *Total 2009*<br>(16 items) | $2,564,927.50 | $0.00 | $1,148,623.50 | $0.00 | $949,807.00 | $466,497.00 | $0.00 |



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| Organization Legal Name<br>Grant Total and Term (in Months)<br>Request ID/Ref. Num. | Grant<br>Total | Paid<br>Prior Years | Paid<br>YTD | Scheduled Payments<br>2009 | <br>2010 | <br>2011 | Remaining<br>Balance |
|---|---|---|---|---|---|---|---|
| **2010** | | | | | | | |
| **Freedom Guide Dogs for the Blind, Inc.**<br>Cassville, NY<br>Awarded $225,000 over 48 months<br>*To stabilize and expand FDG's "hometown training" guide dog services in and beyond the New York City area*<br>242/08-144 | $225,000.00 | $0.00 | $0.00 | $0.00 | $75,000.00 | $75,000.00 | $75,000.00 |
| **Indo-American Eye Care Society, Inc.**<br>Rochester, NY<br>Awarded $550,000 over 36 months<br>*To establish and help operate 30 community-based primary eye care centers ("Vision Centers") in three needy districts of south-central India - and to launch a spectacle dispensing unit to help supply these Centers*<br>286/08-188 | $550,000.00 | $0.00 | $0.00 | $0.00 | $450,000.00 | $100,000.00 | $0.00 |
| **VisionSpring**<br>New York, NY<br>Awarded $300,000 over 36 months<br>*To support a partnership with the Bangladeshi nonprofit BRAC to sell 360,000 affordable reading glasses to low-income people throughout Bangladesh*<br>239/08-141 | $300,000.00 | $0.00 | $0.00 | $0.00 | $100,000 00 | $100,000.00 | $100,000.00 |
| *Total 2010*<br>(3 items) | $1,075,000.00 | $0.00 | $0.00 | $0.00 | $625,000.00 | $275,000.00 | $175,000.00 |
| Grand Total<br>(50 items) | $11,514,106.00 | $3,955,467.00 | $3,030,037.50 | $0.00 | $3,141,971.50 | $1,211,630.00 | $175,000.00 |

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 15

| DESCRIPTION | BUSINESS CODE | AMOUNT | EXCLUSION CODE | AMOUNT | RELATED OR EXEMPT FUNCTION INCOME |
|---|---|---|---|---|---|
| SECURITIES LITIGATION SETTLEMENT | | | 14 | 42,756. | |
| GAIN FROM PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC | | | 14 | 19,307. | |
| TOTALS | | | | 62,063. | |