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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

LUCIUS N. LITTAUER FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

60 EAST 42ND STREET

Room/suite

4600

City or town, state, and ZIP code

NEW YORK, NY 10165-0043

A Employer identification number

13-1688027

B Telephone number

212-697-2677

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col (c), line 16)

\$ 39,836,921. (Part I, column (d) must be on cash basis)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received

N/A

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

10,863.

10,863.

STATEMENT 1

4 Dividends and interest from securities

1,179,697.

1,179,697.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

1,441,860.

b Gross sales price for all assets on line 6a 7,189,746.

7 Capital gain net income (from Part IV, line 2)

1,441,860.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

2,632,420.

2,632,420.

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc

117,694.

39,227.

78,467.

14 Other employee salaries and wages

15 Pension plans, employee benefits

4,682.

1,561.

3,121.

16a Legal fees

b Accounting fees

STMT 3

60,000.

15,000.

45,000.

c Other professional fees

17 Interest

18 Taxes

STMT 4

38,780.

4,100.

6,531.

19 Depreciation and depletion

684.

0.

20 Occupancy

38,850.

0.

0.

21 Travel, conferences, and meetings

1,974.

0.

0.

22 Printing and publications

23 Other expenses

STMT 5

62,700.

17,260.

45,440.

24 Total operating and administrative expenses. Add lines 13 through 23

325,364.

77,148.

178,559.

25 Contributions, gifts, grants paid

1,910,495.

1,910,495.

26 Total expenses and disbursements

Add lines 24 and 25

2,235,859.

77,148.

2,089,054.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

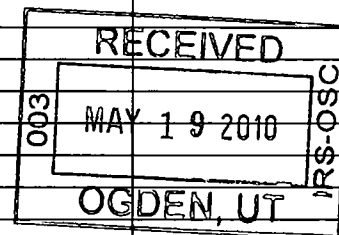
396,561.

b Net investment income (if negative, enter -0-)

2,555,272.

c Adjusted net income (if negative, enter -0-)

N/A



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		114,408.	98,650.	98,650.
	2	Savings and temporary cash investments		7,978,875.	7,645,384.	7,645,384.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 6		9,514,758.	9,212,961.	9,640,980.
	b	Investments - corporate stock STMT 7		13,471,648.	14,521,057.	22,448,199.
	c	Investments - corporate bonds				
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other				
	14	Land, buildings, and equipment basis ▶ 4,971.				
		Less: accumulated depreciation STMT 8 ▶ 4,496.		1,159.	475.	283.
	15	Other assets (describe ▶ STATEMENT 9)		4,543.	3,425.	3,425.
	16	Total assets (to be completed by all filers)		31,085,391.	31,481,952.	39,836,921.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Foundations that follow SFAS 117, check here ▶ ☐	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	27	Capital stock, trust principal, or current funds		20,952,398.	20,952,398.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		10,132,993.	10,529,554.	
	30	Total net assets or fund balances		31,085,391.	31,481,952.	
31	Total liabilities and net assets/fund balances		31,085,391.	31,481,952.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,085,391.
2	Enter amount from Part I, line 27a	2	396,561.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	31,481,952.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	31,481,952.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT C			P	VARIOUS	VARIOUS
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 7,189,746.		5,747,886.	1,441,860.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			1,441,860.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,441,860.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	2,807,666.	41,250,390.	.068064
2007	2,799,781.	45,682,051.	.061288
2006	2,339,441.	43,442,098.	.053852
2005	2,002,203.	42,343,499.	.047285
2004	1,875,281.	40,382,124.	.046438
2 Total of line 1, column (d)			2 .276927
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .055385
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 36,095,083.
5 Multiply line 4 by line 3			5 1,999,126.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 25,553.
7 Add lines 5 and 6			7 2,024,679.
8 Enter qualifying distributions from Part XII, line 4			8 2,089,054.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	25,553.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	25,553.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	25,553.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	30,672.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	30,672.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,119.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 5,119. Refunded ☒ 0.	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THOMAS H. ENGELHARDT, CPA Telephone no. ► 212-503-8800 Located at ► MARKS PANETH & SHRON LLP 622 THIRD AVE., NY, NY ZIP+4 ► 10017			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ► , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ☐ , ☐ , ☐ , ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		117,694.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

Form **990-PF** (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	30,672,027.
b	Average of monthly cash balances	1b	5,969,019.
c	Fair market value of all other assets	1c	3,708.
d	Total (add lines 1a, b, and c)	1d	36,644,754.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	36,644,754.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	549,671.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,095,083.
6	Minimum investment return. Enter 5% of line 5	6	1,804,754.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,804,754.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	25,553.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	25,553.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,779,201.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,779,201.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,779,201.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,089,054.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,089,054.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	25,553.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,063,501.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII. Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,779,201.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006	56,499.			
d From 2007	582,582.			
e From 2008	806,490.			
f Total of lines 3a through e	1,445,571.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 2,089,054.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,779,201.
e Remaining amount distributed out of corpus	309,853.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,755,424.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,755,424.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006	56,499.			
c Excess from 2007	582,582.			
d Excess from 2008	806,490.			
e Excess from 2009	309,853.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

NONE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED STATEMENT B				1,910,495.
Total				▶ 3a 1,910,495.
b Approved for future payment				
NONE				
Total				▶ 3b 0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

Preparer's
signature

Date _____

☐ Check if self-employee

Preparer's identifying number

Firm's name (or yours if self-employed),

MARKS PANETH & SHRON LLP

622 THIRD AVENUE

NEW YORK, NY 10017

Phone no. 212 503-8800

Form **990-PF** (2009)

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
7	COMPUTER	083004SL		5.00	16	2,871.			2,871.	2,487.		384.
8	OFFICE FURNITURE	080204SL		7.00	16	2,100.			2,100.	1,325.		300.
	* TOTAL 990-PF PG 1 DEPR					4,971.		0.	4,971.	3,812.	0.	684.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
VARIOUS	10,863.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	10,863.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
U.S. TREASURY BILLS	72,500.	0.	72,500.
VARIOUS SECURITIES	1,107,197.	0.	1,107,197.
TOTAL TO FM 990-PF, PART I, LN 4	1,179,697.	0.	1,179,697.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	60,000.	15,000.		45,000.
TO FORM 990-PF, PG 1, LN 16B	60,000.	15,000.		45,000.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EMPLOYMENT TAXES	8,672.	2,891.		5,781.
FEDERAL EXCISE TAX	28,149.	0.		0.
FOREIGN TAXES WITHHELD	1,209.	1,209.		0.
NYS ANNUAL FILING FEE	750.	0.		750.
TO FORM 990-PF, PG 1, LN 18	38,780.	4,100.		6,531.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BOOKS & PERIODICALS	120.	0.		120.	
CUSTODIAN FEES	17,260.	17,260.		0.	
DUES & MEMBERSHIPS	1,200.	0.		1,200.	
INSURANCE	7,900.	0.		7,900.	
OFFICE SUPPLIES & EXPENSE	2,595.	0.		2,595.	
OFFICE MAINTENANCE	29,509.	0.		29,509.	
POSTAGE & DELIVERY	683.	0.		683.	
TELEPHONE & FACSIMILE	3,433.	0.		3,433.	
TO FORM 990-PF, PG 1, LN 23	62,700.	17,260.		45,440.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
SEE STATEMENT A ATTACHED	X		9,212,961.	9,640,980.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			9,212,961.	9,640,980.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			9,212,961.	9,640,980.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
SEE STATEMENT A ATTACHED	13,634,235.		21,674,855.	
JP MORGAN SELECT SMALL CAPS	886,822.		773,344.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	14,521,057.		22,448,199.	

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,871.	2,871.	0.
OFFICE FURNITURE	2,100.	1,625.	475.
TOTAL TO FM 990-PF, PART II, LN 14	4,971.	4,496.	475.

FORM 990-PF OTHER ASSETS STATEMENT 9

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSIT	2,650.	3,182.	3,182.
PAYROLL TAXES	243.	243.	243.
MISCELLANEOUS RECEIVABLE - HSTARR CHAR TRUST	1,650.	0.	0.
TO FORM 990-PF, PART II, LINE 15	4,543.	3,425.	3,425.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 10
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM LEE FROST 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	PRESIDENT 30.00	117,694.	0.	0.
HENRY A. LOWET 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.00	0.	0.	0.
CHARLES BERLIN 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.00	0.	0.	0.
BERTHOLD BILSKI 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 2.00	0.	0.	0.
GEORGE HARRIS 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.50	0.	0.	0.
PETER J. SOLOMON 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	VICE PRESIDENT 1.00	0.	0.	0.
MARK A. BILSKI 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 2.00	0.	0.	0.
ROBERT D. FROST 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 2.00	0.	0.	0.
NOAH B. PERLMAN 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	SECRETARY 1.00	0.	0.	0.
GEULA R. SOLOMON 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	TREASURER, CHAIR OF AUDIT 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		117,694.	0.	0.

STATEMENT A

UNREALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID # 13-1688027
Chase Manhattan Private Bank
Account #04000197
12/31/09

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Unit Cost</u>	<u>Total Cost</u>	<u>Price</u>	<u>Market Value</u>
COMMON STOCKS						
03/20/07	8,000	ABBOTT LABORATORIES	54.58	436,666.21	53.99	431,920.00
09/29/31	6,995	ALLSTATE CORP COM	21.47	150,174.00	30.04	210,129.80
02/10/09	4,000	AMAZON.COM INC	67.33	269,304.20	134.52	538,080.00
01/01/70	5,030	ANADARKO PETROLEUM CORP	27.51	138,352.35	62.42	313,972.60
06/23/09	2,000	APPLE, INC. CMN	134.84	269,674.31	210.86	421,720.00
01/01/70	22,275	AT&T INC COM	6.06	134,978.48	28.03	624,368.26
01/01/04	10,000	BANK OF AMERICA CORP CMN	38.10	380,970.69	15.06	150,600.00
07/17/09	25,000	BOSTON SCIENTIFIC CORP COM	10.32	258,005.63	9.00	225,000.00
10/31/33	16,764	BP PLC ADR	9.01	151,073.20	57.97	971,809.08
01/01/70	9,000	CHEVRON CORP	6.61	59,514.80	76.99	692,946.96
01/01/70	12,000	CIGNA CORP	1.73	20,740.74	35.27	423,240.00
01/01/70	45,000	CITIGROUP INC. CMN	16.33	734,896.77	3.31	148,950.00
10/31/08	8,000	CLIFFS NATURAL RESOURCES INC	20.13	161,073.74	46.09	368,720.00
02/01/04	10,000	COHU INC	22.71	227,100.00	13.95	139,500.00
01/01/70	12,000	COLGATE PALMOLIVE CO COM	3.30	39,654.43	82.15	985,800.00
11/18/45	16,000	CORNING INC COM	5.00	80,035.82	19.31	308,960.00
01/01/70	3,741	DAIMLERCHRYSLER AG	21.08	78,857.00	53.30	199,395.30
11/16/03	15,000	DEVON ENERGY CORP NEW COM	41.68	625,184.15	73.50	1,102,500.00
01/01/70	9,000	DOW CHEM CO COM	1.69	15,217.00	27.63	248,670.00
01/01/70	11,754	DPL INC	1.63	19,159.00	27.60	324,410.40
12/04/35	9,392	DU PONT E I DE NEMOURS COM	37.56	352,733.10	33.67	316,228.64
01/01/70	21,000	EXXON MOBIL CORPORATION CMN	3.79	79,566.20	68.19	1,431,990.00
01/01/70	4,000	FIRSTENERGY CORP CMN	-	-	46.45	185,800.00
01/01/70	6,500	INTL BUSINESS MACHINES CORP CMN	14.22	92,430.62	130.90	850,850.00
01/01/70	15,000	JPMORGAN CHASE & CO CMN	12.40	185,991.02	41.67	625,050.00
10/22/09	10,000	MARKET VECTORS ETF TR GOLD MINERS ETF	47.18	471,803.21	46.21	462,100.00
12/25/06	2,000	MERITAGE HOMES CORP COM	47.00	93,992.57	19.33	38,660.00
06/23/09	10,000	MICROSOFT CORP	23.76	237,619.16	30.48	304,800.00
01/01/70	12,000	MOTORS LIQUIDATION COMPANY	20.18	242,134.00	0.47	5,652.00
01/01/70	4,000	NORFOLK SOUTHERN CORP	31.79	127,178.00	52.42	209,680.00
01/01/70	6,000	P G & E CORPORATION CMN	23.89	143,366.40	44.65	267,900.00
03/23/06	22,000	PHARM HLDRS	75.53	1,661,633.43	66.00	1,452,000.00
10/06/06	25,000	POWERSHARES QQQ TRUST UNIT SER 1	41.59	1,039,756.48	45.75	1,143,750.00
01/01/70	5,000	PROCTER & GAMBLE COMPANY (THE) CMN	1.32	6,590.16	60.63	303,150.00
09/30/09	3,000	RESEARCH IN MOTION LIMITED CMN	69.23	207,691.93	67.54	202,620.00
02/07/07	8,500	SEMICONDUCTOR HOLDERS TRUST	33.28	282,860.40	27.92	237,320.00
03/01/03	28,500	SPDR TRUST SER 1	79.44	2,264,059.42	111.44	3,176,040.00
03/28/06	10,000	ST JOE CORP	51.33	513,330.08	28.89	288,900.00
12/20/07	12,500	STARBUCKS CORP	20.63	257,899.13	23.06	288,250.00
03/21/05	7,600	TEJON RANCH CO.	42.90	326,038.20	29.22	222,072.00
01/01/70	10,451	VERIZON COMMUNICATIONS	15.09	157,655.15	33.13	346,241.63
11/11/08	5,000	WAL MART STORES INC CMN	55.22	276,122.61	53.45	267,250.00
12/07/06	1,194	WELLS FARGO & CO DEL COM	281.59	336,224.35	26.99	32,226.06
01/01/70	8,748	XCEL ENERGY INC	3.08	26,927.00	21.22	185,632.56
				<u>13,634,235.14</u>		<u>21,674,855.29</u>

GNMA - POOLED

01/01/70	4,246.76	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 01/15/2026 7.000% Due 01-15-26	98.53	4,184.29	111.04	4,715.52
01/01/70	9,806.07	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 03/20/2027 7.000% Due 03-20-27	19.40	1,902.26	110.79	10,864.34
01/01/70	103,964.15	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 05/15/2028 7.000% Due 05-15-28	118.22	122,902.74	111.26	115,672.59
11/15/01	165,160.90	GNMA II POOL#003160 DD 11/01/01 DUE 11/20/31 6.000% Due 11-20-31	110.53	182,550.58	107.00	176,730.42
03/17/03	343,360.54	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 03/20/2033 5.500% Due 03-20-33	111.47	382,735.40	105.43	362,018.75
05/24/03	288,402.04	GNMA II POOL#003375 DD04/01/03 5.500% Due 04-20-33	114.30	329,651.67	105.43	304,073.80
08/21/03	539,773.50	GNMA II POOL#003414 5.000% Due 07-20-33	101.39	547,274.01	103.50	558,681.77

STATEMENT A

**UNREALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID # 13-1688027
Chase Manhattan Private Bank
Account #04000197
12/31/09**

01/20/04	390,315.29	GNMA II POOL#003499 DD 01/01/04 DUE 01/20/34 5.000% Due 01-20-34	97.50	380,548.40	103.43	403,707.01
05/18/04	374,086.39	GNMA II POOL#003544 DD 04/01/04 5.500% Due 04-20-34	102.05	381,742.61	105.29	393,890.52
12/15/04	500,420.29	GNMA II POOL# 003651 DUE 12/20/2034 5.000% Due 12-20-34	100.56	503,232.43	103.43	517,589.71
04/18/05	530,241.98	GNMA II POOL# 3701 DUE 04/20/2035 5.000% Due 04-20-35	98.20	520,710.88	103.22	547,299.86
01/08/09	778,309.42	GNMA POOL # 781929 DUE 06/15/2035 6.000% Due 06-15-35	105.89	824,152.79	106.07	825,552.80
01/01/06	1,043,602.31	GNMA 2 POOL 3724 DUE 06/20/2035 5.500% Due 06-20-35	101.02	1,054,227.38	105.19	1,097,775.71
12/16/05	1,112,274.49	GNMA II POOL# 003796 DUE 12/20/2035 5.500% Due 12-20-35	100.20	1,114,461.68	105.19	1,170,012.66
06/21/06	212,452.98	GNMA POOL #653615 5.500% Due 05-15-36	91.03	193,390.48	105.08	223,251.96
05/17/07	966,693.26	GNMA II POOL# 003974 DUE 04/20/2037 5.000% Due 04-20-37	95.22	920,521.36	102.96	995,346.05
02/11/09	657,973.06	GNMA POOL #688143 DUE 12/15/38 6.000% Due 12-15-38	105.71	695,538.15	105.76	695,905.21
				8,159,727.11		8,403,088.68
<u>UNITED STATES TREASURY NOTES</u>						
01/01/70	1,000,000.00	US TREASURY BONDS DUE 05/15/2016 7.250% Due 05-15-16	105.32	1,053,234.00	123.79	1,237,891.07
				9,212,961.11		9,640,979.75
<u>MUTUAL FUNDS</u>						
10/25/01	855.06	**JP MORGAN SELECT SMALL CAP EQUITY FUND	37.29	31,885.59	28.74	24,574.45
02/13/08	11,000.00	ISHARES TR RUSSELL 2000 GROWTH INDX FND	77.72	854,936.72	68.07	748,770.00
				886,822.31		773,344.45
<u>CASH AND EQUIVALENTS</u>						
		CASH		7,645,384.33		7,645,384.33
TOTAL PORTFOLIO				<u>31,379,402.89</u>		<u>39,734,563.82</u>

STATEMENT B

GRANTS MADE

THE LUCIUS N. LITTAUER FOUNDATION, INC.

TAX ID#13-1688027

From 1-1-09 to 12-31-09

If recipient is an individual,
show any relationship to
any foundation manager

or substantial contributor

Foundation status of
recipient

NAME	ADDRESS			PURPOSE	AMOUNT
92nd Street YM	1395 Lexington Avenue, NY NY 10128	None	501 (c) (3)	Matching gift - Stephanie and Noah Perlman	\$ 500
92nd Street YM	1395 Lexington Avenue, NY NY 10128	None	501 (c) (3)	Support of the programs of the Resource Center for Jewish Diversity	10,000
Abraham Joshua Heschel School	270 West 89th Street, NY NY 10024	None	501 (c) (3)	Matching gift - Navah & Robert D. Frost	500
Abraham Joshua Heschel School	270 West 89th Street, NY NY 10024	None	501 (c) (3)	Matching gift - William & Susan Frost	500
Abraham Joshua Heschel School	270 West 89th Street, NY NY 10024	None	501 (c) (3)	Matching gift - Geula and Joshua Solomon	1,000
Academic Studies Press	11 Hahaganah (305) 97851 Jerusalem, Israel	None	Charitable	Support of the publication of "Judaism in a Post-Halakhic Age"	4,000
Allegheny College	520 North Main Street, Meadville, PA 16335	None	501 (c) (3)	Support of the acquisition of library materials supporting research and teaching in Jewish Studies	10,000
Alliance for Justice	Eleven Dupont Circle NW, Second Floor, Washington, D C 20036	None	501 (c) (3)	Support of programs to enhance access to justice, to scrutinize judicial nominations and to expand non-profit advocacy	2,500
America-Israel Cultural Foundation	51 East 42nd Street, NY NY 10017	None	501 (c) (3)	Support of the Annual AICF - newsletter	5,000
American Ballroom Theater Co	25 West 31st Street, 4th Floor, NY NY 10001	None	501 (c) (3)	Support of the "Dancing Classrooms" in New York City public schools	5,000
American Committee for the Weizmann Institute	633 Third Avenue, 20th Floor, NY NY 10017	None	501 (c) (3)	Support of a Ph D student research scholarship	18,000
American Federation for Aging Research	55 West 39th Street, 16/FI, NY NY 10018	None	501 (c) (3)	Support of research in the science of aging	5,000
American Friends of Bar-Ilan University	723 Rhoads Drive, Springfield, PA 19064	None	501 (c) (3)	Support of the BESA Center for Strategic Studies	10,000
American Friends of Mevakeshet Derech	590 Fifth Avenue, 19th Floor, NY NY 10022	None	501 (c) (3)	Support of the 2009 Campaign for the pre-school wing of the Educational & Cultural Center	1,000
American Friends of NATAL	1 Battery Park Place, 25th Floor, NY NY 10004	None	501 (c) (3)	Support of the programs of NATAL-Israel Trauma Center for Victims of Terror and War	4,000
American Friends of the Hebrew University	Suite 2540, 500 Fifth Avenue, NY NY 10110	None	501 (c) (3)	Support of the International Center for University Teaching of Jewish Civilization, Hebrew University, for library support at the "Dr. Moshe Carmilly" Institute for Hebrew and Jewish History at "Babes Bolvai" Universit Clu-Nanoca Romania	2,500
American Friends of the Israel Museum	Suite 4507, 122 East 42nd Street, NY NY 10168	None	501 (c) (3)	Support of the Lucius N. Littauer Foundation Periodicals Endowment Fund	5,000
American Friends of the Israel Philharmonic Orchestra	115 East 89th Street, No 5A, NY NY 10128	None	501 (c) (3)	Support of the publication of "AFIPO Passport to Music" and the "Israel Philharmonic International Newsletter"	15,000
American Friends of the Medem Library, Inc	Suite 1209, 36 W. 44th Street, NY NY 10036	None	501 (c) (3)	Support of the programs of the Medem Library-Paris Yiddish Center	5,000
American Friends of the Tel Aviv Museum of Art	Mt Scopus, Jerusalem 91905, Israel	None	501 (c) (3)	Support of the Museum's programs	5,000
American Jewish Joint Distribution Committee	45 West 36th Street, NY NY 10018	None	Charitable	Support of the programs of the International Center for University Teaching of Jewish Civilization	25,000
American Jewish World Service	28 West 9th Road, Broad Channel, NY 11693	None	501 (c) (3)	Support of the AJWS programs to help fight poverty, hunger and disease around the world	25,000
American Littoral Society	Central Park West at 79th Street, NY NY 10024	None	501 (c) (3)	Support for the Beach Cleanup for 2009	2,000
American Museum of Natural History	28 Arrandale Avenue, Great Neck, NY 11024	None	501 (c) (3)	Support to continue to offer diverse educational opportunities to learners of all ages and backgrounds, especially in the fields of astrophysics and planetary sciences	10,000
American Society for the Protection of Nature in Israel	28 Arrandale Avenue, Great Neck, NY 11024	None	501 (c) (3)	Support for the programs of A S P N I	5,000
American Society for the Protection of Nature in Israel	P O Box 5000, Amherst, MA 01002-5000	None	501 (c) (3)	Support for the programs of A S P N. I	5,000
Amherst College		None	501 (c) (3)	Support of "Borja the Silent A Fotonovela," Ilan Stavans	5,000

STATEMENT B

GRANTS MADE

THE LUCIUS N. LITTAUER FOUNDATION, INC.

TAX ID#13-1688027

From 1-1-09 to 12-31-09

If recipient is an individual,
show any relationship to
any foundation manager

or substantial contributor

Foundation statuses of
recipient

NAME	ADDRESS			PURPOSE	AMOUNT
Archives Partnership Trust	Suite 9C49, Albany, NY 12230	None	501 (c) (3)	Support of the Lucius N. Littauer Educational programs of the Archives Partnership Trust	50,000
Archivists Round Table of Metropolitan New York	P O Box 151, NY NY 10274-0151	None	501 (c) (3)	Support of the 21st annual New York Archives Week sponsored by the Archivist Round Table of Metropolitan New York, Inc., which will be held October 11-17, 2009	1,000
Arthritis Foundation/NY Chapter	122 East 42nd Street, NY NY 10168-1898	None	501 (c) (3)	Support of programs in behalf of women with arthritis	10,000
Asian American Legal Defense and Education Fund	99 Hudson Street, NY NY 10013-2815	None	501 (c) (3)	Support of programs of the AALDEF	2,500
Association for Jewish Studies	15 West 16th Street, NY NY 10011	None	501 (c) (3)	1) \$5,000 - the participation of up to twenty international faculty at the AJS 41st Annual Conference, December 20-22, 2009 in Los Angeles, 2) \$5,000 - publication subvention in support of the publication of Volume 33 No 2 and Volume 34 No 1 of the AJS	10,000
Association of Jewish Libraries	Library and Technology Services Mailstop 045, Brandeis University Waltham, MA 02453-2728	None	501 (c) (3)	Support of travel funds on behalf of libraries outside the U S to assist in attending the AJL Annual Convention in Chicago, July 5-8, 2009	5,000
AVODAH - The Jewish Service Corps	45 West 36th Street, 8/FI, NY NY 10018	None	501 (c) (3)	Support of the programs of AVODAH The Jewish Service Corps	25,000
Bard College	P.O. Box 5000, Annandale-on-Hudson, NY 12504-5000	None	501 (c) (3)	Support of the Lucius N. Littauer Foundation Endowed Judaica Book Fund	10,000
Barnard College Fund	Columbia University, 3009 Broadway, New York, NY 10027-6598	None	501 (c) (3)	Matching gift - Dr. Stephan F. Brumberg in memory of Pamela B Brumberg, '64	125
Battery Park City Parks Conservancy	2 South End Avenue, NY NY 10280	None	501 (c) (3)	Support of BPCPC's environmental education center	5,000
Bellevue Literary Press	Suite 4D, 530 First Avenue, NY NY 10016	None	501 (c) (3)	Support of books at the intersection of science, humanities, medicine, and the arts	15,000
Bellevue Literary Press	Suite 4D, 530 First Avenue, NY NY 10016	None	501 (c) (3)	Support of the publication of books related to medicine and other sciences	10,000
Bellevue Literary Press	Suite 4D, 530 First Avenue, NY NY 10016	None	501 (c) (3)	Support of a publication on "a photographic world tour of particle detectors," by Stanley Greenberg	10,000
Beth Israel Deaconess Medical Center	350 Brookline Avenue, Boston, MA 021154	None	501 (c) (3)	Matching gift - Judith A. Berlin	250
Big Brothers/Big Sisters of Fulton County	110-112 North Main Street, Gloversville, NY 12070	None	501 (c) (3)	Support of the organization's programs	10,000
Bikkurim	111 Eighth Avenue, 11th Floor, NY NY 10011	None	501 (c) (3)	Support of the programs of Bikkurim, an incubator for Jewish (largely cultural) organizations	5,000
Blue Card, Inc	Suite 1405, 171 Madison Avenue, NY NY 10016	None	501 (c) (3)	Support of financial assistance to Holocaust survivors	25,000
Brandeis University	P O Box 549110, Waltham, MA 02454-9110	None	501 (c) (3)	Support of the publication of Volume 22 of "POLIN Studies in Polish Jewry"	7,500
Brandeis University	P O Box 549110, Waltham, MA 02454-9110	None	501 (c) (3)	Support of the Littauer Judaica Book Fund	25,000
Brearely School Annual Fund	610 East 83rd Street, NY NY 10130-0442	None	501 (c) (3)	Matching gift - Abigail Solomon made through the Peter J Solomon Family Foundation	1,250
Brearely School Annual Fund	610 East 83rd Street, NY NY 10130-0442	None	501 (c) (3)	Matching gift - Navah Perlman Frost, '88	250
Brearely School Annual Fund	610 East 83rd Street, NY NY 10130-0442	None	501 (c) (3)	Matching gift - Susan L. Frost	100
Brooklyn Botanic Garden	1000 Washington Ave, Brooklyn, NY 11225	None	501 (c) (3)	Matching gift - Peter J. Solomon Foundation	2,000
Brooklyn Botanic Garden	1000 Washington Ave, Brooklyn, NY 11225	None	501 (c) (3)	Support of the Garden Apprentice Program (GAP)	10,000
Brooklyn Hospital Center	121 DeKalb Avenue, Brooklyn, NY 11201	None	501 (c) (3)	Support of Project Green Reach (PGR)	10,000
		None	501 (c) (3)	Support of the educational information laboratory in the Hospital's medical library	24,500

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID#13-1688027
From 1-1-09 to 12-31-09

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NAME	ADDRESS	Foundation status of recipient	PURPOSE	AMOUNT
Brooklyn Hospital Foundation	121 DeKalb Avenue, Brooklyn, NY 11201	501 (c) (3)	Support of the hospital's palliative care programs	25,000
Brown College Annual Fund	Box 1877, Providence, RI 02912-9962	None	Matching gift - Navah P Frost, '92	500
Brown University Sports Foundation	P O Box 1925, Providence, RI 02912	None	Matching gift - Tracey and Mark Biski	1,000
Cambridge University Press	32 Avenue of the Americas, NY NY 10013	None	Support of the publication of "David Ben Gurion and the Jewish Renaissance," Shlomo Aronson	5,000
Camphill Special School	1784 Fairview Road, Glenmoore, PA 19343	501 (c) (3)	Support of the mission to create wholeness for children & youth with developmental disabilities through education and therapy in extended family living	3,000
Caring Community	20 Washington Square North, NY NY 10011	501 (c) (3)	Support of Needs of Seniors	5,000
Children's Storefront	70 East 129th Street, NY NY 10035	501 (c) (3)	Support of the school library of The Children's Storefront	5,000
City Bar Justice Center	42 West 44th Street, NY NY 10036-6689	501 (c) (3)	Support of a range of programs and projects aimed at helping immigrants, battered women, the homeless, the elderly, cancer survivors, consumers filing for bankruptcy, struggling small business owners and others who cannot afford private legal services	25,000
City Harvest	4th Floor, 575 Eighth Avenue, NY NY 10018	501 (c) (3)	Support of City Harvest programs for hunger relief in New York City	5,000
City University of New York	365 Fifth Avenue, NY NY 10016-4309	501 (c) (3)	Support of CUNY Graduate Center's "Public Progress in Jewish Music, Beyond Boundaries"	5,000
Collegiate School Annual Fund	260 West 78th Street, NY NY 10132-0180	501 (c) (3)	Matching gift - Robert D Frost, '88	250
Collegiate School Annual Fund	260 West 78th Street, NY NY 10132-0180	501 (c) (3)	Matching gift - Peter J Solomon Foundation	1,000
Columbia Law School	Columbia University, 3009 Broadway, New York, NY 10027	501 (c) (3)	Support of the Littauer Loan Repayment Assistance Fund	25,000
Columbia University Graduate School of Business	Office of External Relations 33 West 60th Street, 7/FI NY NY 10023	None	Matching gift - Robert D Frost, '97	250
Columbia University/Barnard College Hillel	606 West 115th Street, NY NY 10025	501 (c) (3)	Support of the publication of "Avanim," a student publication of writings on Jewish themes	2,500
Comprehensive Development, Inc	240 Second Avenue, NY NY 10003	501 (c) (3)	Support of the Manhattan Comprehensive Night and Day School, in support of the "Mission to remove barriers to students' graduation and prepare students for success as adults"	10,000
Cooper Union	Director of Institutional Grants 30 Cooper Square, NY NY 10003-7120	501 (c) (3)	Support of the Re-training Program for Immigrant Engineers	15,000
Correctional Association of New York	Suite 200, 2090 Adam Clayton Powell, Jr Blvd New York, NY 10027	501 (c) (3)	Support of the Association's programs	3,000
Council On Foundations	Suite 700, 2121 Crystal Drive, Arlington, VA 22202-3706	None	General support	4,720
Council on the Environment of New York City	Room 228, 51 Chambers Street, NY NY 10007	501 (c) (3)	Support of programs of CENYC	2,000
Davis Center for Russian & Eurasian Studies at Harvard University	Harvard University Room 5301, 1730 Cambridge Street, Cambridge MA 02138	501 (c) (3)	Support of the publication of "Where Is My Home? The Art and Life of the Russian-Jewish Sculptor Mark Antokolskii (1843-1902)"	4,000
Dillard University	2601 Gentilly Blvd New Orleans, LA 70122	501 (c) (3)	Support of the Dillard University National Conference on Black- Jewish Relations	3,000
Dom Simon Filipaj Foundation, Inc	175 Riverside Drive, NY NY 10024	501 (c) (3)	Support of aid to the elderly, orphans and students who achieve good grades for further education in Montenegro	5,000

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DOROT, Inc	171 West 85th Street, NY NY 10024	15,000	Support of programs on behalf of homebound seniors
Double H Ranch	P O Box 1378, Williston, VT 05495	2,500	Support of Double H Ranch's mission to help children with life-threatening illnesses
Duke University Press	Box 90660, Durham NC 27708-0660	5,000	Support of the publication, "Crossing Borders, Claiming A Nation A History of Argentine Jewish Women, 1880-1955," Sandra Deutsch
Easter Seals New York Educational Alliance	180 Riverside Drive, NY NY 10024	5,000	Support of the Easter Seals New York Awards/Gala
Eldridge Street Project	197 East Broadway, NY NY 10002	15,000	Support of the programs of the Educational Alliance
F E G S (Federation of Employee Guidance Service, Inc)	12 Eldridge Street, NY NY 10002	5,000	Support of the archival collections
Federal Drug Agents Foundation	315 Hudson Street, NY NY 10013	20,000	Support of F E G S Programs
	North Shore Plaza, 2nd Floor,	15,000	Support of two federal law enforcement agencies 1) Youth Outreach Program/ATF (G R E A T) and 2) DEA (Big Brothers & Big Sisters program)
	380 North Broadway, Jericho, NY 11753		
Foundation Center	79 Fifth Avenue, NY NY 10003	5,000	Support of "membership" contribution in 2009
Foundation for the Jewish Historical Museum in Amsterdam, Inc	44 South Bayles Avenue, Port Washington, NY 11050	5,000	Support of the programs and exhibits of the Jewish Historical Museum in Amsterdam
Foundation for the Jewish Historical Museum in Amsterdam, Inc	44 South Bayles Avenue, Port Washington, NY 11050	5,000	Support of the exhibition about the painter Meyer de Haan (1852-1895)
Franklin & Marshall College	P O Box 3003, Lancaster, PA 17604-3003	5,000	Endowment of the Lucius N Littaer Judaica Book Fund
Friends of the Jewish Chapel	Suite 22, 326 First Street, Annapolis, MD 21403	1,000	Support of the Friends of the Jewish Chapel Program Endowment, U S Naval Academy
Friends of Van Cortland Park	124 Gale Place #GRA, Bronx, NY 10463	5,000	Support of the free environmental education programs for school and community groups during the 2009-2010 school year
Friends of Yad L'Kashish/Lifeline for the Old Fulton County Historical Society	P O Box 494, Englewood, NJ 07631	15,000	Renewed support for Jerusalem's elderly and disabled
	P O Box 711, 237 Kingsboro Avenue, Gloversville, NY 12078	5,000	Support of the display of the model of the FJ&G Railroad
Gloversville Public Library	58 East Fulton Street, Gloversville, NY 12078	3,000	Support of programs of the library
Harvard College Fund	124 Auburn Street, Cambridge, MA 02138	500	Matching gift - Robert D Frost, '92
Harvard University	6 Divinity Avenue, Cambridge, MA 02138	7,000	Support for the bilingual student production of "Shulamis"
Hazon	45 West 36th Street, 8/FI., NY NY 10028	5,000	Support of JFEN "Jewish Food Education Network"
Healthcare Chaplaincy	315 East 62nd Street, 4th Floor, NY NY 10065	10,000	Support of pastoral education programs
Hebrew College	160 Herrick Road, Newton Centre, MA 02459	10,000	Support of Hebrew College's Me'ah program
Hebrew Free Loan Society	Suite 1905, 675 Third Avenue, NY NY 10017	10,000	Support of the programs of the Hebrew Free Loan Society
Hebrew Senior Life	1200 Centre Street, Boston, MA 02131	10,000	Support of Senior Life programs
Human Development Services of Westchester	930 Mamaroneck Avenue, Mamaroneck, NY 10543	2,500	Support of the annual - newsletter
Humanity in Action	25 West 45th Street, 6th Floor, NY NY 10036	3,500	Support of programs of Humanity in Action
Institute for the Advancement of Education in Jaffa, Inc	11 Pahal Yitzhak Street, P O B 8216 Jaffa 61081, Israel	10,000	Support of the Jaffa Institute's "Building Better Bridges" after school educational enrichment program
International Assoc of Women Judges	600 New Jersey Avenue, NW, Gerwitz 110 Washington, DC 20001	15,000	Support of the programs of IAWJ
International Senior Lawyers Project	31 West 52nd Street, NY NY 10019	10,000	Support of projects to promote the rule of law, human rights, and equal economic opportunity

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STATEMENT B
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Nathan Littauer Foundation, Inc		99 East State Street, Gloversville, NY 12078	None	501 (c) (3)		Support of the programs at Nathan Littauer Hospital, Nursing Home and Primary Care Center	\$ 25,000
Nathan Littauer Hospital & Nursing Home		99 East State Street, Gloversville, NY 12078	None	501 (c) (3)		Support of the Camp SuperStars program for children with "health barriers"	3,000
National Association of Professors of Hebrew		Univ of Wisconsin, 1346 Van Hise Hall 1220 Linden Drive, Madison, WI 53706-1558	None	501 (c) (3)		Support of the 2009 Volume of NAPH Journal, "Hebrew Studies"	4,000
National Kidney Foundation of Greater New York		30 East 33rd Street, NY NY 10016	None	501 (c) (3)		Support of programs in preventing kidney disease via public education and screening campaigns	25,000
Nature Conservancy		322 Eighth Avenue, NY NY 10001	None	501 (c) (3)		Support of the Conservancy programs	5,000
Neuropathy Association		Suite 942, 60 East 42nd Street, NY NY 10125	None	501 (c) (3)		Support of "research in the causes and cures for this little-known major disease afflicting an estimated 20 million Americans"	5,000
New Netherland Institute		Box 2536, Empire State Plaza Station Albany, NY 12220-0536	None	501 (c) (3)		Support of the 2009 Rensselaerswijck Seminar, Oct 1-3, 2009	10,000
New York Botanical Garden		Bronx, NY 10458-5126	None	501 (c) (3)		Support of the Professional Development Program for Teachers	20,000
New York Community Trust		909 Third Avenue, 22nd Fl, NY NY 10022	None	501 (c) (3)		Support of "Federal Bar Council/U S Attorney's Offices Fund/EDNY - to provide to some of the students who work in the U S Attorney's Office, Eastern District of New York, during the summer"	10,000
New York Hall of Science		47-01 111th Street, Queens, NY 11368-2950	None	501 (c) (3)		Support of Science's teacher professional development initiatives	10,000
New York Landmarks Conservancy		One Whitehall street, NY NY 10004	None	501 (c) (3)		Support of the "Sacred Sites" Program	10,000
New York Public Library		Fifth Avenue & 42nd Street, NY NY 10018	None	501 (c) (3)		Support of programs, including the Dorot Jewish Division of the New York Public Library	20,000
New York University Press		Frankel Center for Judaic Studies University of Michigan 202 South Thayer Street, Suite 2111 Ann Arbor, MI 48104-1608	None	501 (c) (3)		Support of publication of "City of Promises A History of New York Jews"	10,000
North American Conference on Ethiopian Jewry (NACOEJ) Outward Bound		Suite 412, 132 Nassau Street, NY NY 10038	None	501 (c) (3)		Support of the NACOEJ Limudiah Program, the After-School Program for Ethiopian Elementary School children	5,000
Oxford University Press		29-46 Northern Blvd Long Island City, NY 11101	None	501 (c) (3)		Support of programs to provide students from New York City's most underserved communities with the academic leadership and social skills that will enable them to succeed in school, college, careers and in all aspects of life	25,000
P E F Israel Endowment Funds, Inc		The Hebrew University of Jerusalem Mt Scopus, Jerusalem 91905, Israel	None	Charitable		Support of Volume 24 of "Studies in Contemporary Jewry"	3,000
P E F Israel Endowment Funds, Inc		Suite 607, 317 Madison Ave, NY NY 10017	None	501 (c) (3)		Support of Keren Klita-Jerusalem- Aid to Russian Immigrants	10,000
P E F Israel Endowment Funds, Inc		Suite 607, 317 Madison Ave, NY NY 10017	None	501 (c) (3)		Support of the Inter-religious Coordinating Council in Israel	20,000
P E F Israel Endowment Funds, Inc		Suite 607, 317 Madison Ave, NY NY 10017	None	501 (c) (3)		Support of the Young Adults Program of Kehilat Kol HaNeshama	5,000
P E F Israel Endowment Funds, Inc		Suite 607, 317 Madison Ave, NY NY 10017	None	501 (c) (3)		Support of the programs of the Center for the Advancement of the Blind, Safed, Israel	3,000
P E F Israel Endowment Funds, Inc		Suite 607, 317 Madison Ave, NY NY 10017	None	501 (c) (3)		Support of Dental Volunteers for Israel	5,000
P E F Israel Endowment Funds, Inc		Suite 607, 317 Madison Ave, NY NY 10017	None	501 (c) (3)		Support for the Ethiopians for Engineers programs at the Jerusalem College of Technology	10,000
PALS Children's Chorus		P O Box 470411, Brookline, MA 02447	None	501 (c) (3)		Support of programs to supplement the performing arts curriculum in public schools with an after-school program with outreach purposes to senior center, schools and hospitals	10,000

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Philanthropy New York Princeton University Press	79 Fifth Avenue, 4th Floor, NY NY 10003 41 William Street, Princeton, NJ 08540-5237	501 (c) (3) 501 (c) (3)	Support of membership dues for July 1, 2009 to June 30, 2010 Support of the publication of "History Lessons The Invention of American Jewish Heritage"	4,050 3,000
Project EZRA	465 Grand Street, NY NY 10002	501 (c) (3)	Support of programs to help the Jewish elderly people in need on the Lower East Side	10,000
Prospect Park Alliance	95 Prospect Park West, Brooklyn, NY 11215	501 (c) (3)	Support of educational programming at the Prospect Park Alliance	10,000
Queens Library Foundation Reach Out and Read of Greater New York, Inc	89-11 Merrick Blvd , Jamaica, NY 11432 Suite 602, 130 West 25th Street, NY NY 10001	501 (c) (3) 501 (c) (3)	Support of the programs of the Queens Library Support of making literacy promotion a standard part of pediatric care	15,000 5,000
Reading Reform Foundation of New York Recording for the Blind & Dyslexic (RFB&D)	333 West 57th Street, Suite 1C, NY NY 10019 20 Roszel Road, Princeton, NJ 08540	501 (c) (3) 501 (c) (3)	Support of the Reading Reform Foundation's programs Support of the programs of RFB&D	10,000 5,000
Ronald McDonald House of New York, Inc.	405 East 73rd Street, NY NY 10021	501 (c) (3)	Renewed support of providing a "home away from home" for young cancer patients and their families	5,000
Sarah Lawrence College	1 Mead Way, Bronxville, NY 10708	501 (c) (3)	Support of the Academic Conference on the History of the Jews in Warsaw, June 2010, the panel "Inter-Ethnic Relations in Warsaw "	5,000
Scenic Hudson, Inc.	Suite 200, One Civic Center Plaza Poughkeepsie, NY 12601-3157	501 (c) (3)	Support of Scenic Hudson's Environmental Education and Park Programs	5,000
Selfhelp Community Services, Inc	520 Eighth Avenue, NY NY 10018	501 (c) (3)	Support of "Project Legacy" to insure that Selfhelp can continue to support its survivor Clients as long as necessary	5,000
Senior Citizen Services Center of Gloversville and Fulton County, Inc Sharsheret	53 Church Street, Gloversville, NY 12078 Suite 3A, 1086 Teaneck Road, Teaneck, NJ 07666	501 (c) (3) 501 (c) (3)	Support of the programs of the Senior Citizen Service Center of Gloversville and Fulton County Support of young Jewish women facing breast cancer	3,000 10,000
Sound Portraits Productions	80 Hanson Place, 2nd Fl , Brooklyn, NY 11217	501 (c) (3)	Support of "creating the definitive oral history of America "	10,000
Southern Jewish Historical Society	2517 Hartford Drive, Ellenwood, GA 30294	501 (c) (3)	Support of the publication "Southern Jewish History Journal of the Southern Jewish Historical Society"	5,000
Stanford University Jewish Studies	Radcliffe Institute for Advanced Study Harvard University, Cambridge, MA 02138	501 (c) (3)	Support of the publication of "Jewish Social Studies"	7,500
Stanford University Press	Rehov Shmshon 13, Apt 15 Baka, Jerusalem, Israel	Charitable	Support of the publication "Red Banner, Blue Star The Revolution of 1905 and the Transformation of Warsaw Jewry," Stanford University Press"	5,000
Stanford University Press	26830 Annesley Road, Beachwood, OH 44122	501 (c) (3)	Support of the publication of "Memoirs of a Grandmother, Scenes from the Cultural History of the Jews of Russia in the Nineteenth Century" - edited by Shulamit Magnus	7,500
Stanford University Press	Central European University, Nador u 1051 Budapest, Hungary	Charitable	Support of the publication of "Rabbis and Revolution The Transformation of Central European Jewry," Michael L. Miller	3,500
Stanford University Press	Bldg. 200, Stanford, CA 94305	501 (c) (3)	Support of the translation of the publication of Volume Two of the Pinsk Memorial Book	6,000
Student Partnership Worldwide	1413 K Street NW, 5th Floor, Washington, DC 20005	501 (c) (3)	Support of the programs of SPW to address health, education and environmental issues which affect young people, and the lives of their families, communities and countries	10,000
To the Top With Laptops, Inc	Suite 1463, 60 East 42nd Street, NY 10165	501 (c) (3)	Support of the programs to provide laptop computers and printers to scholarship college-bound students	5,000

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Touro College	Room 626, 50 West 23rd Street, NY NY 10010	None	Support of the programs of study visits to Israel of professors at U S universities	5,000
United Hospital Fund	Empire State Building, 350 Fifth Avenue, 23/F NY NY 10118-2300	None	Support of the programs of the United Hospital Fund	10,000
United Jewish Appeal - Federation of Jewish Philanthropies of New York, Inc	130 East 59th Street, NY NY 10022	None	\$50,000 in support of programs in New York, and \$50,000 in support of programs to advance the resettlement of Jews in Israel	100,000
United Jewish Appeal - Federation of Jewish Philanthropies of New York, Inc	130 East 59th Street, NY NY 10022	None	Support of the Jeanette Solomon Cultural Arts Fund	25,000
United Way of Fulton County	P O Box 911, Gloversville, NY 12078	None	Support of the 2009-2010 campaign	4,000
University of CA at Berkeley	2241 Channing Way, Berkeley, CA 94720	None	Support of the "Emma Goldman Papers"	2,000
University of California Press	2120 Berkeley Way, Berkeley, CA 94704	None	Support of the publication "Cosmopolitan A Local and Cultural History of the Jews of the San Francisco Bay Area," Fred Rosenbaum	5,000
University of Central Florida	P O Box 161992, Orlando, FL 32816-1992	None	Support of the publication in Hebrew of the monograph and annotated index of "Kerem Hemed," the Haskalah Journal that was published from 1833 to 1856	3,000
University of Florida	301 Pugh Hall, P O Box 115565 Gainesville, FL 32611-5565	None	Support of publication in Israel of the book, "Ten Mothers Representation of Motherhood in Modern Hebrew Fiction"	4,000
University of North Carolina (Chapel Hill)	Davis Library, Campus Box 3900 Chapel Hill, N C 27514-3900	None	Matching gift - Henry A. Lowet, Esq., '54	2,000
University of North Carolina (Chapel Hill)	Davis Library, Campus Box 3900 Chapel Hill, N C 27514-3900	None	Support of the Lucius N. Littauer Judaica Book Fund	10,000
University of Oxford	500 Fifth Avenue, 32nd Floor, NY NY 10110	None	Support of the Hebraica and Judaica Collections in the Bodleian Library of Oxford University	25,000
University of Pennsylvania	208 College Hall, Philadelphia, PA 19104	None	Support of the flight costs for Dr. Victor Kelnor, biographer of the historian and communal activist Simon Dubnov, to the Annual Congress of the American Association for the Advancement of Slavic Studies in Boston in Nov. 2009	1,100
University of Pennsylvania Press	208 College Hall, Philadelphia, PA 19104	None	Support of the translation and publication of "Russian Jews Between the Reds and the Whites 1917-1920", Oleg V. Budnitskii	5,000
University of South Florida	4202 East Fowler Avenue, CPR 10F Tampa, FL 33620-5550	None	Support of a reference library of essential Judaic text	5,000
University Press of New England	Suite 250, One Court Street, Lebanon, NH 03766-1358	None	Support of the publication of the books of Brandeis University Press 1 "Louis I. Kahn, Mikveh Israel, and the Mid-Century American Synagogue," Dr. Susan Solomon 2 "Levirate Marriage and the Family in Ancient Judaism," Dr. Dvora E. Weisberg 3 "Jewish D	10,000
University Press of New England	Suite 250, One Court Street, Lebanon, NH 03766-1358	None	Support of the publication by Brandeis University Press of "Cadaverland Inventing a Pathology of Catastrophe for Holocaust Survival" Dr. Michael Dorland	2,000
University Press of New England	Suite 250, One Court Street, Lebanon, NH 03766-1358	None	Support of the translation from Hebrew to English of "Duty and Love Individualism and Collectivism in 1950's Israel," Orit Rozin	4,000

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University Press of New England	Suite 250, One Court Street, Lebanon, NH 03766-1358	501 (c) (3)	Support of the translation into English of the publication of "Young Tel Aviv A Tale of Two Cities" - Anat Helman	4,000
Vacamas Programs for Youth	256 Macopin Road, West Milford, NJ 07480	501 (c) (3)	Support of the programs to provide for children throughout the five boroughs who are fighting to survive this difficult period	5,000
Vassar College	Box 14, 124 Raymond Avenue, Poughkeepsie, NY 12604-0014	501 (c) (3)	Matching gift to that of Mark A. Bliski	1,500
Vassar College	Box 14, 124 Raymond Avenue, Poughkeepsie, NY 12604-0014	501 (c) (3)	Support of the Lucius N. Littaer Judaica and Periodical Fund	10,000
W.F. Albright Institute of Archaeological Research	26 Salah ed-Din Street, P O Box 19096 91190 Jerusalem, Israel	Charitable	Support of programs at the Institute	5,000
Washington Hts Expeditionary Learning School	511 West 182nd Street, NY NY 10033	501 (c) (3)	Support of "College Scholarship Fund," for the school	10,000
Wave Hill	675 West 252nd Street, Bronx, NY 10471	501 (c) (3)	Support of the teen intern program, the Forest Summer Collaborative (FPSC)	15,000
Williams College Alumni Fund	75 Park Street, Williamstown, MA 01267	501 (c) (3)	Matching gift - Dr. Stephan F. Brumberg, '62	250
Williams College Alumni Fund	75 Park Street, Williamstown, MA 01267	501 (c) (3)	Peter J. Solomon Family Foundation - Abigail R. Solomon '92	500
Yale Law School	P O Box 208215, New Haven, CT 06520-8215	501 (c) (3)	Matching gift - Henry A. Lowet, '57	1,500
Yale University Press	P O Box 209040, New Haven, CT 06520-9040	501 (c) (3)	Support of the publication of "Israel," edited by Barry Rubin, the "first introductory textbook on Israel"	10,000
YIVO	15 West 16th Street, NY NY 10011	501 (c) (3)	Support of digitizing the "YIVO Encyclopedia of Jews in Eastern Europe" (\$10,000)	20,000
			Support of the acquisition programs of the YIVO library (\$10,000)	
Young Korean American Service & Education Center (YKASEC)	136-19 41st Avenue, 3rd Floor, Flushing, NY 11355	501 (c) (3)	Support of projects	5,000
Young Women's Leadership Network	150 East 52nd Street, 21st Floor, NY NY 10022	501 (c) (3)	Support of the College Board Initiative programs which offers low- income public school students college guidance services	50,000
				\$ 1,927,495
				(2,000)
				(15,000)
				\$ 1,910,495

Grants made in 2008 and voided in 2009
Yale University Press
American Friends of Hebrew University

STATEMENT C

REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID # 13-1688027
Chase Manhattan Private Bank
Account #04000197
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
12/07/06	01/02/09	1	WELLS FARGO & CO DEL COM	168.96	14.53		(154.43)
01/01/70	01/08/09	2,000	EXXON MOBIL CORPORATION CMN	7,577.73	154,835.66		147,257.93
10/31/08	01/08/09	3,000	CLIFFS NATURAL RESOURCES INC	79,733.75	83,603.19	3,869.44	
12/16/08	01/08/09	5,000	MIDCAP SPDR TRUST UNIT SER 1	473,772.93	488,369.95	14,597.02	
01/08/09	02/11/09	500,000	DEVON ENERGY CORP NEW ST NT 5 625%14 5.625% Due 01-15-14	508,483.00	509,120.00	637.00	
06/15/05	02/23/09	25,000	TIME WARNER INC. CMN	423,040.56	187,549.12		(235,491.44)
01/01/70	02/27/09	6,832	EDISON INTL	9,599.44	181,412.19		171,812.75
01/01/70	02/27/09	3,636	FIRSTENERGY CORP CMN	-	152,120.69		152,120.69
01/01/70	02/27/09	4,000	P G & E CORPORATION CMN	95,577.60	150,809.93		55,232.33
01/01/70	02/27/09	5,000	PROCTER & GAMBLE COMPANY (THE) CMN	6,590.16	241,088.02		234,497.85
01/01/70	03/02/09	8,000	EDISON INTL	11,240.56	204,244.39		193,003.83
12/16/08	03/17/09	10,000	GENERAL ELECTRIC CO COM	180,217.02	97,182.63	(83,034.39)	
03/17/09	03/27/09	3,000	RESEARCH IN MOTION LIMITED CMN	119,251.83	134,190.71	14,938.88	
06/01/32	04/08/09	1,754	CHEVRON CORP	83,315.00	118,726.04		35,411.04
01/01/70	04/08/09	4,246	CHEVRON CORP	98,306.40	287,406.37		189,099.97
01/01/70	04/13/09	1,470	CHEVRON CORP	34,045.60	97,573.35		63,527.75
01/01/70	04/13/09	2,530	CHEVRON CORP	16,726.20	167,845.69		151,119.49
01/02/09	04/20/09	6,000	WEYERHAEUSER CO	185,953.41	202,001.67	16,048.26	
05/14/09	05/27/09	6,000	WEYERHAEUSER CO	191,079.49	194,641.70	3,562.21	
01/14/09	06/10/09	5,000	MIDCAP SPDR TRUST UNIT SER 1	460,462.85	535,655.25	75,192.40	
05/13/09	06/23/09	4,000	AMAZON.COM INC	304,315.14	306,725.25	2,410.11	
06/23/09	07/02/09	5,000	MIDCAP SPDR TRUST UNIT SER 1	504,275.48	514,265.51	9,990.03	
07/13/09	07/17/09	5,000	MIDCAP SPDR TRUST UNIT SER 1	502,853.09	531,828.17	28,975.08	
01/08/09	09/10/09	3,000	MONSANTO CO	254,861.90	235,767.17	(19,094.73)	
04/07/08	10/22/09	5,000	BARRICK GOLD CORP COM ISIN#CA0679011084	226,919.19	188,360.33		(38,558.86)
10/24/08	10/22/09	5,000	BARRICK GOLD CORP COM ISIN#CA0679011084	102,217.54	188,360.33	86,142.79	
02/03/03	10/22/09	5,000	SPDR TRUST SER 1	433,161.84	538,857.13		105,695.29
01/01/70	11/04/09	8,387	DELPHI CORP	48,134.00	-		(48,134.00)
02/23/09	12/18/09	10,000	DUCOMMUN INC DEL COM	136,393.99	173,073.51	36,679.52	
12/03/08	12/18/09	7,000	COVIDIEN LTD COM	249,611.28	324,117.67		74,506.39
TOTAL GAINS						293,042.74	1,525,151.31
TOTAL LOSSES						(102,129.12)	(274,204.73)
						<u>190,913.62</u>	<u>1,250,946.58</u>
						5,747,885.94	7,189,746.15

TOTAL REALIZED GAIN/ 1,441,860.20