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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

ALTMAN FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

521 FIFTH AVE

City or town, state, and ZIP code

NEW YORK, NY 10175

A Employer identification number

13-1623879

B Telephone number

(212) 682-0970

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col. (c), line 16)

J Accounting method

☐ Cash☒ Accrual☐ Other (specify)

▶ \$ 233,460,422. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	114,373.	114,373.		STATEMENT 1
	4 Dividends and interest from securities	2,262,020.	3,444,635.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<2,604,617.>			
	b Gross sales price for all assets on line 6a	56,075,085.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	<616,832.>	<11,306.>		STATEMENT 3
	12 Total. Add lines 1 through 11	<845,056.>	3,547,702.		
	13 Compensation of officers, directors, trustees, etc.	475,750.	189,308.		286,442.
	14 Other employee salaries and wages	599,066.	72,862.		526,204.
	15 Pension plans, employee benefits	558,311.	206,800.		460,595.
	16a Legal fees				
	b Accounting fees	STMT 4	40,975.	17,938.	17,138.
	c Other professional fees	STMT 5	1,345,768.	1,340,248.	5,520.
	17 Interest		242,015.		
	18 Taxes	STMT 6	65,149.	88,244.	0.
	19 Depreciation and depletion		33,039.	6,608.	
	20 Occupancy		331,238.	66,248.	278,586.
	21 Travel, conferences, and meetings		45,072.	9,014.	36,057.
	22 Printing and publications		4,100.	0.	4,100.
	23 Other expenses	STMT 7	177,357.	1,380,473.	153,325.
	24 Total operating and administrative expenses. Add lines 13 through 23		3,675,825.	3,619,758.	1,767,967.
	25 Contributions, gifts, grants paid		9,448,837.		12,112,837.
	26 Total expenses and disbursements. Add lines 24 and 25		13,124,662.	3,619,758.	13,880,804.
	27 Subtract line 26 from line 12		<13969718.>		
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)		0.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	501.	500.	500.
	2 Savings and temporary cash investments	17,817,838.	12,758,408.	12,758,408.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	102,736.	99,384.	99,384.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	22,436,500.	30,213,183.	30,213,183.
	c Investments - corporate bonds STMT 9	9,083,462.	8,684,912.	8,684,912.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	157,381,115.	179,997,702.	179,997,702.
	14 Land, buildings, and equipment basis ▶ 642,058.			
	Less accumulated depreciation STMT 11 ▶	518,836.	156,260.	123,222.
	15 Other assets (describe ▶ STATEMENT 12)	800,000.	1,583,111.	1,583,111.
	16 Total assets (to be completed by all filers)	207,778,412.	233,460,422.	233,460,422.
	17 Accounts payable and accrued expenses	467,114.	396,169.	
	18 Grants payable	5,374,000.	2,710,000.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 13)	0.	919,111.	
	23 Total liabilities (add lines 17 through 22)	5,841,114.	4,025,280.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	201,937,298.	229,435,142.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg, and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	201,937,298.	229,435,142.		
31 Total liabilities and net assets/fund balances	207,778,412.	233,460,422.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	201,937,298.
2 Enter amount from Part I, line 27a	2	<13,969,718.>
3 Other increases not included in line 2 (itemize) ▶ CHANGE IN UNREALIZED APRECIATION	3	41,587,562.
4 Add lines 1, 2, and 3	4	229,555,142.
5 Decreases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX	5	120,000.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	229,435,142.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 21,738,736.		22,046,373.	<2,521,400.>	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			<2,521,400.>	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	<2,521,400.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	13,880,129.	259,196,614.	.053551
2007	15,347,270.	282,554,708.	.054316
2006	14,037,954.	259,959,470.	.054001
2005	12,480,265.	245,246,165.	.050889
2004	12,151,671.	234,477,854.	.051824
2 Total of line 1, column (d)			2 .264581
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .052916
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 213,651,868.
5 Multiply line 4 by line 3			5 11,305,602.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 0.
7 Add lines 5 and 6			7 11,305,602.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 13,880,804.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	0.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	54,772.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	54,772.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	54,772.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 54,772. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► WWW.ALTMANFOUNDATION.ORG

14 The books are in care of ► THE FOUNDATION Telephone no ► (212) 682-0970
 Located at ► 521 FIFTH AVE, NEW YORK, NY ZIP+4 ► 10175

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		475,750.	303192.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGARET MCALLISTER	PROGRAM OFFICER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	118,450.	34,865.	0.
DEBORAH VELAZQUEZ	SENIOR PROGRAM OFFICER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	123,600.	25,334.	0.
ALLISSA YARKONY	PROGRAM OFFICER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	75,652.	31,595.	0.
ALICE SPARKS	ASSISTANT CONTROLLER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	87,550.	18,360.	0.
ANNA JOHNSON	GRANTS MANAGER			
521 FIFTH AVENUE, NEW YORK, NY 10175	35.00	74,000.	19,903.	0.
Total number of other employees paid over \$50,000				1

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SILCHESTER INTERNATIONAL 780 THIRD AVE, 42ND FL, NEW YORK, NY 10017	INVESTMENT MANAGEMENT	187,066.
WILSHIRE ASSOCIATES, INC. - 210 SIXTH AVENUE, SUITE 3720, PITTSBURGH, PA 15222	INVESTMENT MANAGEMENT	107,000.
GOLDMAN SACHS VINTAGE V 32 OLD SLIP, 31 FLOOR, NEW YORK, NY 10005	INVESTMENT MANAGEMENT	103,698.
SMITH BARNEY 450 LEXINGTON AVE, 40TH FL, NY, NY 10017	INVESTMENT MANAGEMENT	95,195.
NORTHPOINTE 101 WEST BIG BEAVER ROAD, TROY, NY 48084	INVESTMENT MANAGEMENT	85,091.
Total number of others receiving over \$50,000 for professional services		5

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	197,254,320.
b	Average of monthly cash balances	1b	19,616,195.
c	Fair market value of all other assets	1c	34,935.
d	Total (add lines 1a, b, and c)	1d	216,905,450.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	216,905,450.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,253,582.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	213,651,868.
6	Minimum investment return. Enter 5% of line 5	6	10,682,593.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	10,682,593.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	10,682,593.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	10,682,593.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	10,682,593.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	13,880,804.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,880,804.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	13,880,804.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				10,682,593.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			75,315.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 13,880,804.				
a Applied to 2008, but not more than line 2a			75,315.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				10,682,593.
e Remaining amount distributed out of corpus	3,122,896.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,122,896.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	3,122,896.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	3,122,896.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)
----------------	--

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

SEE ATTACHED STATEMENT #17

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT #17

c Any submission deadlines

SEE ATTACHED STATEMENT #17

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT #17

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 16	N/A	ALL PUBLIC CHARITIES	OPERATING SUPPORT	12,112,837.
Total			▶ 3a	12,112,837.
b Approved for future payment				
Total SEE STATEMENT 15			▶ 3b	2710000.

ALTMAN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COMMONFUND CAPITAL INTERNATIONAL PARTNERS VI, LP	P	VARIOUS	VARIOUS
b	COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VII, L	P	VARIOUS	VARIOUS
c	COMMONFUND CAPITAL VENTURE PARTNERS VII, LP	P	VARIOUS	VARIOUS
d	COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VII	P	VARIOUS	VARIOUS
e	COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VI, LP	P	VARIOUS	VARIOUS
f	COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP	P	VARIOUS	VARIOUS
g	COMMONFUND CAPITAL VENTURE PARTNERS VI, LP	P	VARIOUS	VARIOUS
h	COMMONFUND CAPITAL INTERNATIONAL PARTNERS V, LP	P	VARIOUS	VARIOUS
i	INVESCO NON-US PARTNERSHIP FUND III, LP	P	VARIOUS	VARIOUS
j	INVESCO VENTURE PARTNERSHIP FUND III, LP	P	VARIOUS	VARIOUS
k	INVESCO US BUYOUT & EXPANSION CAPITAL PARTNERSHIP	P	VARIOUS	VARIOUS
l	RENAISSANCE INSTITUTIONAL EQUITIES FUND LLC	P	VARIOUS	VARIOUS
m	SILCHESTER INTERNATIONAL INVESTORS INT'L VALUE EQ	P	VARIOUS	VARIOUS
n	BLACKSTONE PARK AVENUE NON-TAXABLE FUND, LP	P	VARIOUS	VARIOUS
o	METROPOLITAN REAL ESTATE PARTNERS V, LP	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			1,944.
b			<33,345.>
c			13,623.
d			709.
e			<41,758.>
f			21,755.
g			31,658.
h			73,167.
i			<68,843.>
j			5,418.
k			112,547.
l			<1,558,852.>
m			<141,015.>
n			<616,143.>
o			<1,475.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,944.
b			<33,345.>
c			13,623.
d			709.
e			<41,758.>
f			21,755.
g			31,658.
h			73,167.
i			<68,843.>
j			5,418.
k			112,547.
l			<1,558,852.>
m			<141,015.>
n			<616,143.>
o			<1,475.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

ALTMAN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ROCKFELLER ACCESS FUND 06-I, LLC	P	VARIOUS	VARIOUS
b	ROCKFELLER 2006 SPECIAL GLOBAL OPPORTUNITIES, LL	P	VARIOUS	VARIOUS
c	BRANDYWINE GLOBAL OPPORTUNISTIC FIXED INCOME	P	VARIOUS	VARIOUS
d	METROPOLITAN REAL ESTATE PARTNERS DISTRESSED CO-I	P	VARIOUS	VARIOUS
e	SMITH BARNEY - MARKETABLE SECURITIES	P	VARIOUS	VARIOUS
f	MORGAN STANLEY - MARKETABLE SECURITIES	P	VARIOUS	VARIOUS
g	NORTHPOINTE - MARKETABLE SECURITIES	P	VARIOUS	VARIOUS
h	NORTHERN S&P 500 - MARKETABLE SECURITIES	P	VARIOUS	VARIOUS
i	RREEF	P	VARIOUS	VARIOUS
j	COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VII	P	VARIOUS	VARIOUS
k	GS VINTAGE FUND V	P	VARIOUS	VARIOUS
l	FLAG INTERNATIONAL PARTNERS II	P	VARIOUS	VARIOUS
m	CAPITAL GAINS DIVIDENDS			
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			<29,058.>
b			38,149.
c			<77,869.>
d			7,065.
e	5,031,793.	5,547,498.	<515,705.>
f	1,183,390.	1,014,198.	169,192.
g	15,462,631.	15,461,284.	1,347.
h		23,393.	<23,393.>
i			<3,440.>
j			12,387.
k			8,665.
l			30,948.
m	60,922.		60,922.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			<29,058.>
b			38,149.
c			<77,869.>
d			7,065.
e			<515,705.>
f			169,192.
g			1,347.
h			<23,393.>
i			<3,440.>
j			12,387.
k			8,665.
l			30,948.
m			60,922.
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<2,521,400.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

2009 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
2	LEASEHOLD IMPROVEMENTS	010100SL		15.00	17	281,238.			281,238.	159,246.		18,749.
3	FURNITURE & FIXTURES	010100SL		10.00	17	276,717.			276,717.	273,893.		2,824.
5	COMPUTER	070101SL		5.00	17	18,972.			18,972.	18,972.		0.
6	COMPUTER EQUIPMENT	070102SL		5.00	17	6,090.			6,090.	6,090.		0.
7	COMPUTER EQUIPMENT	090104SL		5.00	17	5,150.			5,150.	4,463.		687.
8	PHONE SYSTEM	123105SL		5.00	17	17,907.			17,907.	10,727.		3,581.
9	COMPUTER SYSTEM	070106SL		5.00	17	24,283.			24,283.	9,714.		4,857.
10	SOFTWARE PACKAGE	070106SL		5.00	17	3,771.			3,771.	1,508.		754.
11	PHONE SYSTEM	110107SL		5.00	17	2,593.			2,593.	562.		519.
12	SERVER	052208SL		5.00	17	5,337.			5,337.	623.		1,067.
	* TOTAL 990-PF PG 1					642,058.		0.	642,058.	485,798.	0.	33,038.
	DEPR											

928102
08-24-09

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MONEY MARKET ACCOUNTS	114,373.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	114,373.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST ON K-1'S	735,573.	0.	735,573.
DIVIDENDS ON SECURITIES	1,526,447.	0.	1,526,447.
PIMCO COMMODITY	60,922.	60,922.	0.
TOTAL TO FM 990-PF, PART I, LN 4	2,322,942.	60,922.	2,262,020.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	25,708.	25,708.	
PARTNERSHIP INCOME	<138,155.>	<138,155.>	
PARTNERSHIP INCOME W/ K-1'S	<504,385.>	101,141.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<616,832.>	<11,306.>	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
O'CONNOR DAVIES MUNNS & DOBBINS, LLP	36,475.	17,038.		13,538.
FLANAGAN & CO.	4,500.	900.		3,600.
TO FORM 990-PF, PG 1, LN 16B	40,975.	17,938.		17,138.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SMITH BARNEY - INV	100,445.	100,445.		0.
NORTHPOINT - INV	92,091.	92,091.		0.
SILCHESTER INTERNATIONAL - INV	187,066.	187,066.		0.
INVESCO - INV	70,585.	70,585.		0.
WILSHIRE - INV	107,000.	107,000.		0.
RENAISSANCE INSTITUTIONAL- INV	136,655.	136,655.		0.
ROCKEFELLER SPECIAL GLOBAL - INV	26,900.	26,900.		0.
REALTY PARTNERS	65,000.	65,000.		0.
MORGAN STANLEY LARGE GROWTH	48,542.	48,542.		0.
RREEF AMERICA REIT	23,908.	23,908.		0.
COMMONFUND PRIVATE EQUITY V	18,674.	18,674.		0.
COMMONFUND VENTURE PARTNERS VI	6,576.	6,576.		0.
COMMONFUND PRIVATE EQUITY PARTNERS VI	32,500.	32,500.		0.
COMMONFUND PRIVATE EQUITY PARTNERS VII	65,000.	65,000.		0.
COMMONFUND INTERNATIONAL PARTNERS V	13,000.	13,000.		0.
COMMONFUND INTERNATIONAL PARTNERS VI	65,000.	65,000.		0.
COMMONFUND VENTURE PARTNERS VII	26,000.	26,000.		0.
COMMONFUND NATURAL RESOURCES PARTNERS VII	48,750.	48,750.		0.
ROCKEFELLER ACCESS FUND	33,106.	33,106.		0.
OUTSIDE PROF FEES	5,520.	0.		5,520.
MORGAN STANLEY CUSTODY FEES	3,566.	3,566.		0.
BRANDYWINE GLOBAL	41,053.	41,053.		0.
GS VINTAGE	103,698.	103,698.		0.
FLAG INT'L PTN II	21,481.	21,481.		0.
NORTHPOINT CUSTODY FEES	3,499.	3,499.		0.
S&P 500 - INV	153.	153.		0.
TO FORM 990-PF, PG 1, LN 16C	1,345,768.	1,340,248.		5,520.

FORM 990-PF	TAXES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBIT TAX	19,177.	0.		0.
FOREIGN TAXES WITHHELD/PAID	39,972.	88,244.		0.
FEDERAL EXCISE TAX	6,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	65,149.	88,244.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	6,434.	1,287.		5,148.
OFFICE SUPPLIES	10,223.	1,846.		8,377.
POSTAGE & MESSENGER	1,669.	334.		1,335.
EQUIPMENT RENTAL & MAINTENANCE	8,568.	1,714.		6,855.
MEMBERSHIPS & SUBSCRIPTIONS	41,161.	8,232.		32,929.
NYS FILING FEE	3,000.	0.		3,000.
OFFICE MAINTENANCE	26,456.	5,291.		21,165.
GRANT ADMIN	53,195.	0.		53,195.
COMPUTER MAINTENANCE	26,651.	5,330.		21,321.
OTHER PARTNERSHIP EXPENSES	0.	1,356,439.		0.
TO FORM 990-PF, PG 1, LN 23	177,357.	1,380,473.		153,325.

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
SMITH BARNEY - ATTACHMENT A-1	17,004,847.	17,004,847.		
MORGAN STANLEY LARGE GROWTH - ATTACHMENT A-2	6,819,203.	6,819,203.		
NORTHPOINTE - EQUITIES - ATTACHMENT A-3	6,012,283.	6,012,283.		
NORTHERN TRUST - S&P FUND - ATTACHMENT A-4	376,850.	376,850.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	30,213,183.	30,213,183.		

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
BRANDYWINE GLOBAL OPPORTUNISTIC FIXED INCOME	8,684,912.	8,684,912.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	8,684,912.	8,684,912.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NET UNSETTLED SECURITY TRADES - ATTACHMENT A-5	FMV	35,851.	35,851.
PRIVATE EQUITY - ATTACHMENT A-5	FMV	32,258,998.	32,258,998.
INTERNATIONAL EQUITY FUNDS - ATTACHMENT A-5	FMV	39,791,797.	39,791,797.
REAL ASSET FUNDS - ATTACHMENT A-5	FMV	11,426,061.	11,426,061.
ALTERNATIVE INVESTMENTS - ATTACHMENT A-5	FMV	96,484,995.	96,484,995.
TOTAL TO FORM 990-PF, PART II, LINE 13		179,997,702.	179,997,702.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	11
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	281,238.	177,995.	103,243.
FURNITURE & FIXTURES	276,717.	276,717.	0.
COMPUTER	18,972.	18,972.	0.
COMPUTER EQUIPMENT	6,090.	6,090.	0.
COMPUTER EQUIPMENT	5,150.	5,150.	0.
PHONE SYSTEM	17,907.	14,308.	3,599.
COMPUTER SYSTEM	24,283.	14,571.	9,712.
SOFTWARE PACKAGE	3,771.	2,262.	1,509.
PHONE SYSTEM	2,593.	1,081.	1,512.
SERVER	5,337.	1,690.	3,647.
TOTAL TO FM 990-PF, PART II, LN 14	642,058.	518,836.	123,222.

FORM 990-PF	OTHER ASSETS		STATEMENT 12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM LOAN RECEIVABLE	800,000.	800,000.	800,000.
DEFERRED PENSION ASSET	0.	783,111.	783,111.
TO FORM 990-PF, PART II, LINE 15	800,000.	1,583,111.	1,583,111.

FORM 990-PF	OTHER LIABILITIES		STATEMENT 13
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
FEDERAL EXCISE TAX PAYABLE	0.	16,000.	
DEFERRED FEDERAL EXCISE TAX	0.	120,000.	
DEFERRED PENSION LIABILITY	0.	783,111.	
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	919,111.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 14
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
BEVERLY CHASE 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
JANE B O'CONNELL 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	PRESIDENT 20.00	0.	0.	0.
MAURICE A SELINGER, JR 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
JULIA V SHEA 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	SECRETARY 1.00	0.	0.	0.
JOHN W TOWNSEND IV 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	VICE PRESIDENT 1.00	0.	0.	0.
KAREN L ROSA 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	EXEC DIR/VP 35.00	257,700.	92,855.	0.
JOHN CASEY 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TREASURER 35.00	218,050.	210337.	0.
SHARON B. KING 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	ASST SECY 1.00	0.	0.	0.
JAMES M. BURKE, ESQ. 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
PATRICIA VOLLAND 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.
WILLIAM GOODLOE 521 5TH AVENUE, 35TH FLOOR NEW YORK, NY 10175	TRUSTEE 1.00	0.	0.	0.

WILFRED FINNEGAN
521 5TH AVENUE, 35TH FLOOR
NEW YORK, NY 10175

FINANCE COMMITTEE

1.00

0.

0.

0.

PETER NADOSY
521 5TH AVENUE, 35TH FLOOR
NEW YORK, NY 10175

FINANCE COMMITTEE

1.00

0.

0.

0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

475,750.

303192.

0.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
APPROVED FOR FUTURE PAYMENT

STATEMENT 15

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
BANK STREET COLLEGE OF EDUCATION 610 WEST 112TH STREET NEW YORK, NY 10025	NONE OPERATING SUPPORT	PUBLIC CHARITY	105,000.
BROOKLYN CHILDREN'S MUSEUM 145 BROOKLYN AVENUE BROOKLYN, NY 11213	NONE OPERATING SUPPORT	PUBLIC CHARITY	150,000.
BROOKLYN KINDERGARTEN SOCIETY 57 WILLOUGHBY STREET BROOKLYN, NY 11201	NONE OPERATING SUPPORT	PUBLIC CHARITY	50,000.
BROOKLYN YOUTH CHORUS ACADEMY, INC. 179 PACIFIC STREET BROOKLYN, NY 11201	NONE OPERATING SUPPORT	PUBLIC CHARITY	40,000.
HARLEM ACADEMY 1330 FIFTH AVENUE NEW YORK, NY 10026	NONE OPERATING SUPPORT	PUBLIC CHARITY	50,000.
MONTEFIORE MEDICAL CENTER 111 EAST 210TH STREET NEW YORK, NY 10467	NONE OPERATING SUPPORT	PUBLIC CHARITY	250,000.

ALTMAN FOUNDATION

13-1623879

SEECO AND THE NON-PROFIT ASSISTANCE CORPORATION 915 BROADWAY, 17TH FLOOR NEW YORK, NY 10010	NONE OPERATING SUPPORT	PUBLIC CHARITY	200,000.
TADA! THEATRE AND DANCE ALLIANCE, INC. 15 WEST 28TH STREET NEW YORK, NY 10001	NONE OPERATING SUPPORT	PUBLIC CHARITY	25,000.
THE EAST HARLEM SCHOOL AT EXODUS HOUSE	NONE OPERATING SUPPORT	PUBLIC CHARITY	100,000.
THE ENDOWMENT FOR INNER-CITY EDUCATION 1011 FIRST AVENUE SUITE 1313 NEW YORK, NY 10022	NONE OPERATING SUPPORT	PUBLIC CHARITY	50,000.
THE FUTURES IN EDUCATION ENDOWMENT FUND FOR BROOKLYN AND QUEENS	NONE OPERATING SUPPORT	PUBLIC CHARITY	500,000.
THE MENTAL HEALTH ASSOCIATION OF NEW YORK CITY, INC. 50 BROADWAY, 19TH FLOOR NEW YORK, NY 10004	NONE OPERATING SUPPORT	PUBLIC CHARITY	65,000.
THE NEW YORK BOTANICAL GARDEN 200TH STREET & SOUTHERN BLVD BRONX , NY 10458	NONE OPERATING SUPPORT	PUBLIC CHARITY	100,000.
THE NEW YORK PUBLIC LIBRARY FIFTH AVENUE & 42ND STREET NEW YORK, NY 10018	NONE OPERATING SUPPORT	PUBLIC CHARITY	700,000.
THE QUEENS BOROUGH PUBLIC LIBRARY 89-11 MERRICK BOULEVARD JAMAICA, NY 11432	NONE OPERATING SUPPORT	PUBLIC CHARITY	200,000.
UNITED HOSPITAL FUND OF NEW YORK 350 FIFTH AVENUE, 23RD FLOOR NEW YORK, NY 10118	NONE OPERATING SUPPORT	PUBLIC CHARITY	125,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3B			<u><u>2,710,000.</u></u>

Grants Paid With Address

Paid Date is any date between 1/1/2009 and 12/31/2009
14 September 2010

Payee Legal Name Payee Address	Amount	Payment Date	Check Number	Request Project Title
Teachers College, Columbia University 525 West 120th Street Box 125 New York, NY 10027-6696	\$100,000	12/16/2009	1969	To help increase the number of leaders of color from New York City in The Klingenstein Center's summer intensive master's degree program (Leadership Academy) (Over two years)
New Heights Youth Inc. 2576 Broadway, PMB 213 New York, NY 10025	\$35,000	12/22/2009	1977	To help support the College Bound Program
New York State Tenants & Neighbors Information Service, Inc. 236 West 27th Street, 4th Floor New York, NY 10001-5906	\$25,000	12/22/2009	1978	To help develop and implement strategies for housing preservation and advocacy related to the predatory equity financing crisis in New York City
The Education Clinic, Inc. NY	\$30,000	12/22/2009	1979	To help support the Academic Achievement and Cultural Enrichment Program (AACE)
College Summit, Inc 40 Exchange Place, Suite 1202 New York, NY 10005	\$50,000	12/21/2009	1973	To help support the expansion of its college access programs in New York City
Jewish Community Council of Greater Coney Island, Inc 3001 West 37th Street Brooklyn, NY 11224-1479	\$105,000	12/16/2009	1971	To provide support for the Senior Support Systems, the Nonprofit HelpDesk, and Capacity Building efforts (Over three years)
Legal Outreach, Inc 36-14 35th Street Long Island City, NY 11106	\$100,000	12/21/2009	1974	To help support the College Bound program
Prep for Prep 328 West 71st Street New York, NY 10023	\$75,000	12/21/2009	1975	To help support Prep's conversion to and implementation of an updated organization-wide database
Sponsors for Educational Opportunity, Inc 55 Exchange Place New York, NY 10005	\$75,000	12/16/2009	1970	To renew support for the SEO Scholars Program and implement the Achievement Gap Initiative (Over three years)

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The Way To Work (formerly Vocational Foundation, Inc) 52 Broadway, 6th Floor New York, NY 10004	\$75,000 12/21/2009	1976	To help support job training, placement, and retention efforts
Philanthropy New York, Inc. 79 Fifth Avenue, Fourth Floor New York, NY 10003-3076	\$3,550 12/16/2009	1972	To help support the 2010 New York Times Company Nonprofit Excellence Awards
Cool Culture, Inc 80 Hanson Place, Suite 604 Brooklyn, NY 11217	\$25,000 11/24/2009	1928	To help support the Literacy Through Culture program, offering professional development to early childhood educators and free access to the arts for families from low-income communities
DOROT, Inc 171 West 85th Street New York, NY 10024	\$50,000 11/24/2009	1930	To help support the Friendly Visiting program
Downtown Community Television Center, Inc 87 Lafayette Street New York, NY 10013-4410	\$40,000 11/24/2009	1931	To help support PRO-TV, which provides professional media training to inner-city youth
Fund for the City of New York, Inc 121 Sixth Avenue New York, NY 10013-1590	\$45,000 11/24/2009	1943	To help support the development, implementation, and evaluation of WPTI's core training programs for workforce development organizations and staff
Hunts Point Alliance for Children P.O. Box 740190 Bronx, NY 10474	\$50,000 11/24/2009	1932	To help enhance The Hunts Point Children's Shakespeare Ensemble by adding a career and education mentoring program
Inner-City Scholarship Fund, Inc. 1011 First Avenue, Suite 1400 New York, NY 10022-4134	\$35,000 11/24/2009	1933	To help support the JOP (Job Opportunities Program) for highly motivated high school students from low-income communities
The Jewish Home and Hospital for the Aged 120 West 106th Street New York, NY 10025	\$50,000 11/24/2009	1934	To support and expand the Geriatric Career Development youth workforce program in the Bronx
Mark Morris Dance Group/Discaled Inc. 3 Lafayette Avenue Brooklyn, NY 11217	\$15,000 11/24/2009	1935	To help support the Dance for Parkinson's program and the MMDG/NYCHA Partnership community outreach program

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Northside Center for Child Development, Inc. 1301 Fifth Avenue New York, NY 10029	\$50,000	11/24/2009	1936	To provide a third and final year of support for the Comprehensive Evaluation Program
The Posse Foundation, Inc. 14 Wall Street, Suite 8A-60 New York, NY 10005	\$50,000	11/24/2009	1937	To help support the Posse New York Science Posse Program
Project Ezra 465 Grand Street, 4th Floor New York, NY 10002	\$25,000	11/24/2009	1938	To help support Project Ezra's work on behalf of frail, homebound, and immigrant elderly
Publicolor, Inc. 149 Madison Avenue, Suite 1201 New York, NY 10016	\$30,000	11/24/2009	1944	To help support the COLOR Club and Next Steps programs
Search and Care, Inc. 1844 Second Avenue New York, NY 10128	\$50,000	11/24/2009	1940	For capacity building support to replicate Search and Care's comprehensive care management model to serve vulnerable seniors in East Harlem
The Society of the Third Street Music School Settlement, Inc. New York	\$45,000	11/24/2009	1941	To help support the Comprehensive Training in Music program
Staten Island Children's Museum 1000 Richmond Terrace Staten Island, NY 10301-1181	\$25,000	11/24/2009	1942	To help support the Museum Internship and Ambassador Program
Bronx River Alliance, Inc. One Bronx River Parkway Bronx, NY 10462	\$75,000	11/23/2009	1920	To help support the Greenway and Education Programs
City Parks Foundation, Inc. 830 Fifth Avenue New York, NY 10021	\$75,000	11/23/2009	1921	To help support Catalyst Reclaiming the Waterfront
Cooper-Hewitt, National Design Museum, Smithsonian Institution 2 East 91st Street New York, NY 10128-0669	\$100,000	11/23/2009	1923	To help support the Design Directions design education and career preparation program for underserved New York City high school students

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Cristo Rey New York High School 112 East 106th Street New York, NY 10029	\$100,000	11/23/2009	1912	For general support
Early Steps, Inc. 540 East 76th Street New York, NY 10021	\$35,000	11/23/2009	1913	To help increase the number of minority students in independent schools at the primary grade level
The Endowment for Inner-City Education Archdiocese of New York 1011 First Avenue, Suite 1313 New York, NY 10022-4134	\$50,000	11/23/2009	1915	To help support the Principal Academy, an initiative to identify and train new leaders for the Inner-City Catholic elementary schools in New York City
Goddard Riverside Community Center 593 Columbus Avenue New York, NY 10024	\$100,000	11/23/2009	1914	To help support the OPTIONS Center for Educational and Career Choice, including the College Access Professional Development Institute
The Institute for Family Health, Inc. 16 East 16th Street New York, NY 10003	\$100,000	11/23/2009	1916	To help enhance the usability and usefulness of MyChart-MyHealth, the Institute's electronic health records system, and develop a related patient training program that will enable patients to access and understand their health information
Lenox Hill Neighborhood House, Inc. 331 East 70th Street New York, NY 10113	\$100,000	11/23/2009	1919	To help support the East Side Case Management Consortium for frail homebound older adults
Lincoln Center for the Performing Arts, Inc. 70 Lincoln Center Plaza New York, NY 10023-6583	\$50,000	11/23/2009	1924	To help support the development and implementation of evaluation metrics for the David Rubenstein Atrium at Lincoln Center
Montefiore Medical Center 111 East 210th Street Bronx, NY 10467-2490	\$125,000	11/23/2009	1917	To expand the Healthy Steps program to another Montefiore pediatric primary care site (Over three years)
The Municipal Art Society of New York 111 West 57th Street New York, NY 10019	\$85,000	11/23/2009	1964	To help provide communities with planning expertise through the Livable Neighborhoods and CITI Youth programs, as well as through map-making and other technical assistance activities

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The Museum of the City of New York, Inc. 1220 Fifth Avenue New York, NY 10029	\$75,000	11/23/2009	1925	To continue efforts to increase attendance by and outreach to underrepresented communities, particularly through the use of new digital resources
The New York Immigration Coalition, Inc. 137-139 West 25th Street, 12th Floor New York, NY 10001	\$70,000	11/23/2009	1926	To provide general support for advocacy, policy analysis, and educational outreach activities
St. Vincent's Hospital Manhattan 130 West 12th Street New York, NY 10011	\$250,000	11/23/2009	1918	To help support the Hospital's Palliative Care Center for Service, Education, and Research
TADA! Theatre and Dance Alliance, Inc. 15 West 28th Street, 3rd Floor New York, NY 10001	\$15,000	11/23/2009	1945	To help support the Resident Youth Ensemble theater training and youth development program
United Jewish Appeal-Federation of Jewish Philanthropies of New York, Inc. 130 East 59th Street New York, NY 10022	\$125,000	11/23/2009	1927	For general support of New York activities
The Children's Health Fund 215 West 125th Street, Suite 301 New York, NY 10027	\$75,000	12/16/2009	1967	To help support Phase II of the Healthy Connections program, a coordinated system of outreach, linkages, and referrals that meet the health needs of children in Harlem (Over two years)
Saint Ignatius School 740 Manida Street Bronx, NY 10474	\$50,000	12/16/2009	1968	To provide capacity building support to build teaching skills in literacy, math, and science and increase student achievement (Over two years)
The Cooper Union for the Advancement of Science and Art 30 Cooper Square New York, NY 10003-7120	\$50,000	11/24/2009	1929	To help support the Saturday Outreach Programs
Seedco & The Non-Profit Assistance Corporation 915 Broadway, 17th Floor New York, NY 10010	\$200,000	9/25/2009	1789	To help support the EarnMore career advancement program (Over three years)
Beth Israel Medical Center First Avenue at 16th Street New York, NY 10003	\$79,000	12/11/2009	1946	To help establish the Asian Family Caregiver Program (Over three years)

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Weill Cornell Medical College of Cornell University Division of Geriatrics and Gerontology 525 East 68th Street, Box 39 New York, NY 10021	\$150,000	12/16/2009	1966	To help support Enhancing the Quality of Palliative Care Through Clinician Education project (Over three years)
The Foundation Center 79 Fifth Avenue New York, NY 10003-3076	\$5,000	10/15/2009	1870	For general support
Pratt Institute 200 Willoughby Avenue Brooklyn, NY 11205	\$30,000	10/15/2009	1871	To help support the Design Initiative for Community Empowerment (DICE) program, which prepares underserved Brooklyn high school students to pursue career and advanced educational opportunities in design
Alvin Ailey Dance Foundation, Inc. The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019-4402	\$30,000	10/7/2009	1814	To help support the High School Fellowship Program and the Ailey Athletic Boys Scholarship Program
Ballet Hispanico of New York, Inc. 167 West 89th Street New York, NY 10024	\$25,000	10/7/2009	1827	To help support the Ballet Hispanico Scholarship Fund for talented, low-income youth
BoardAssist 575 Madison Avenue, 10th Floor New York, NY 10022	\$25,000	10/7/2009	1828	To help nonprofit organizations in New York City identify and recruit engaged and productive new board members
Center for Family Life in Sunset Park 345 43rd Street Brooklyn, NY 11232	\$50,000	10/7/2009	1838	To help support the Center's Life Lines Community Arts Project
The Children's Storefront 70 East 129th Street New York, NY 10035	\$50,000	10/7/2009	1830	To help support the High School Placement and Alumni Relations Department
CAMBA, Inc. 1720 Church Avenue, 2nd Floor Brooklyn, NY 11226	\$35,000	10/7/2009	1829	To help fund the continued implementation of their Performance-based Management System

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City Harvest, Inc. 575 Eighth Avenue, 4th Floor New York, NY 10018	\$25,000	10/7/2009	1831	To help support their work on behalf of hungry New Yorkers
The CityKids Foundation, Inc. 57 Leonard Street New York, NY 10013	\$35,000	10/7/2009	1832	To help support the CityKids performing arts program for inner-city youth
Figure Skating in Harlem, Inc. 361 West 125th Street, 4th Floor New York, NY 10027	\$30,000	10/7/2009	1833	To help support the sports and academic program
New York Foundation for the Arts, Inc. 20 Jay Street, Suite 740 Brooklyn, NY 11201	\$50,000	10/7/2009	1834	To help expand services to the nonprofit arts sector by implementing key recommendations from NYFA's five-year plan, including increasing the technological capabilities of NYFA's Fiscal Sponsorship program and developing a marketing/outreach strategy
New York Interschool Association, Inc. 378 West End Avenue, Room 706 New York, NY 10024	\$45,000	10/7/2009	1835	To help support the Faculty Diversity Search program to recruit and retain minority teachers in independent schools
The New York Opportunity Network, Inc dba The Opportunity Network 381 Park Avenue South, Suite 1401 New York, NY 10016	\$30,000	10/7/2009	1836	To help provide low-income public high school students access to career development training, professional networks, and competitive colleges
Nonprofit Coordinating Committee of New York, Inc. 1350 Broadway, Suite 1801 New York, NY 10018-7802	\$50,000	10/7/2009	1837	To help support NPCC's work on behalf of the local nonprofit sector
ArtsConnection, Inc. 520 Eighth Avenue, Suite 321 New York, NY 10018	\$25,000	10/6/2009	1840	To help support High 5's Take 5 and Teen Reviewers and Critics (TRaC) programs (through High 5)
The Boys' Club of New York 287 East 10th Street New York, NY 10009	\$60,000	10/6/2009	1839	To help support the Independent School Placement Program

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George Jackson Academy 104 Saint Mark's Place New York, NY 10009	\$100,000	10/6/2009	1841	To renew general support this model school for low-income minority boys
Madison Square Boys & Girls Club, Inc. 350 Fifth Avenue, Suite 912 New York, NY 10118	\$150,000	10/6/2009	1842	To help strengthen the Explorers and Juniors Academies
New York City Coalition Against Hunger, Inc. 50 Broad Street, Suite 1520 New York, NY 10004	\$75,000	10/6/2009	1843	To help increase the Coalition's capacity for federal and local advocacy and education related to food security, and to help improve the quality of community service initiatives within food pantries and soup kitchens
Queens Museum of Art New York City Building Flushing Meadows Corona Park Queens, NY 11368-3398	\$125,000	10/6/2009	1844	To help support the Art and Literacy for New New Yorkers program
The Trust for Public Land Mid-Atlantic Region 666 Broadway New York, NY 10012	\$100,000	10/6/2009	1845	To help support TPL's Parks for People--New York City Playgrounds Program
United Way of New York City 2 Park Avenue, 2nd Floor New York, NY 10016	\$100,000	10/6/2009	1846	To help support the Child Care and Early Education Fund, a collaborative fund dedicated to improving early care and education in New York City
Year Up, Inc 55 Exchange Place, Suite 403 New York, NY 10005	\$100,000	10/6/2009	1847	To help support Year Up NYC, a professional apprenticeship and job training program for disconnected young adults
Alzheimer's Association, New York City Chapter 360 Lexington Avenue, 4th Floor New York, NY 10017	\$50,000	6/30/2009	1667	To help support the Professional Home Care Workers Dementia Training Program
The Big Apple Circus, Ltd. 505 Eighth Avenue, 19th Floor New York, NY 10018	\$25,000	6/30/2009	1673	To help support the Clown Care program at hospitals in New York City

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Bowery Residents' Committee, Inc. 324 Lafayette Street, 8th Floor New York, NY 10012	\$50,000	6/30/2009	1674	To help support the Horizons Workforce Development Program
Brooklyn Botanic Garden Corporation 1000 Washington Avenue Brooklyn, NY 11225-1099	\$50,000	6/30/2009	1675	To help support the Garden Apprentice Program for middle and high school youth
The Center for Arts Education, Inc. 14 Penn Plaza 225 West 34th Street, Suite 1112 New York, NY 10122	\$25,000	6/30/2009	1676	To help support CAE's public engagement and advocacy initiative to build support for and expand arts education in the schools
Cornelia Connelly Center for Education Holy Child Middle School 220 East 4th Street New York, NY 10009	\$45,000	6/30/2009	1677	To renew support for the Holy Child Middle School for low-income girls
Education Through Music, Inc. 122 East 42nd Street, Suite 1501 New York, NY 10168	\$40,000	6/30/2009	1678	To help support music education programs in inner-city Catholic schools
The 52nd Street Project, Inc. 789 Tenth Avenue New York, NY 10019	\$35,000	6/30/2009	1672	To help support the next phase of the NEW PLATFORMS initiative
Harlem Educational Activities Fund, Inc. 2090 Seventh Avenue, 10th Floor New York, NY 10027	\$40,000	6/30/2009	1679	To help support for HEAF's High Expectations Middle School Program
The InterSchool Orchestras of New York, Inc. 1556 Third Avenue, Suite 601 New York, NY 10128	\$10,000	6/30/2009	1680	To provide a final grant to help support the Music for All programs
Literacy, Inc. 307 Seventh Avenue, Suite 1603 New York, NY 10001	\$50,000	6/30/2009	1681	To help enhance reading opportunities for children and families in low-income communities
Mama Foundation for the Arts, Inc. 149 West 126th Street New York, NY 10027	\$20,000	6/30/2009	1682	To help support the Gospel for Teens program

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Nonprofit Finance Fund 70 West 36th Street, 11th Floor New York, NY 10018	\$50,000 6/30/2009	1683	To help support the Program Service Preservation Initiative for New York City nonprofit organizations
ReServe Elder Service, Inc. 1440 Broadway, 16th Floor New York, NY 10018	\$50,000 6/30/2009	1685	To help bring the skills and experience of retirees to non-profit organizations
Student/Sponsor Partnership, Inc. 286 Madison Avenue, Suite 1601 New York, NY 10017	\$40,000 6/30/2009	1686	To help support the School Coordinator Stipend Initiative, including a mentor survey
The Town School, Inc. 540 East 76th Street New York, NY 10021-3197	\$35,000 6/30/2009	1687	To help support Breakthrough New York
The Urban Dove, Inc 40 Exchange Place, Suite 501 New York, NY 10005	\$50,000 6/30/2009	1688	To help support the College All-Stars program
Peter Westbrook Foundation, Inc. G.P.O. Box 7554 New York, NY 10116	\$35,000 6/30/2009	1684	To renew support for the Academic Enrichment Program
Young Audiences New York, Inc One East 53rd Street New York, NY 10022	\$50,000 6/30/2009	1689	To help support the FamilyLink program
The Young People's Chorus of New York City, Inc. 1995 Broadway, Suite 305 New York, NY 10023	\$25,000 6/30/2009	1690	To help support the after-school choral music education and performance program
Brooklyn Kindergarten Society 57 Willoughby Street, 4th Floor Brooklyn, NY 11201	\$50,000 6/29/2009	1660	To support BKS in accelerating the development of children's language and cognitive skills and supporting parents with literacy activities they can do at home (Over two years)
Calvary Fund, Inc. NY	\$150,000 6/29/2009	1661	To help support the Calvary @ Home Nursing Home Hospice Program and to help support the Inpatient Level of Care program that provides an alternate level of care for those at the very end of life

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Classroom, Inc. 245 Fifth Avenue, 20th Floor New York, NY 10016	\$95,000 6/29/2009	1692	To help support Classroom, Inc.'s school day, after school, and summer programming in inner-city Archdiocese of New York schools
East Harlem Tutorial Program, Inc 2050 Second Avenue New York, NY 10029	\$80,000 6/29/2009	1668	To be used over fifteen months to provide general operating support for this youth development program
Federation of Protestant Welfare Agencies, Inc. 281 Park Avenue South New York, NY 10010	\$100,000 6/29/2009	1669	To help support FPWA's core programs
The Gilder Lehrman Institute of American History 19 West 44th Street, Suite 500 New York, NY 10036	\$125,000 6/29/2009	1670	To help provide intensive professional development for inner-city Catholic middle- and high-school teachers to improve the teaching of American history
New York Hall of Science 47-01 111th Street Flushing Meadows Corona Park, NY 11368	\$75,000 6/29/2009	1671	To help support the Early Childhood and Family Programs Initiatives
Brooklyn Children's Museum 145 Brooklyn Avenue Brooklyn, NY 11213	\$100,000 7/15/2009	1727	To help support PlayNet, a new research, training, implementation, and evaluation initiative focusing on the benefits of play and play facilitation for early childhood development (Over four years)
Center for New York City Neighborhoods, Inc 74 Trinity Place, Suite 1302 New York, NY 10006	\$100,000 7/15/2009	1731	To help New Yorkers facing foreclosure as a result of high-cost loans, and provide start-up support for the Center (Over two years)
Citizen Schools, Inc. 308 Congress Street Boston, MA 02210	\$100,000 6/26/2009	1648	To help launch and operate a network of after school programs in New York City (Over two years)
The Futures in Education Endowment Fund for Brooklyn and Queens 310 Prospect Park West Brooklyn, NY 11215	\$250,000 12/16/2009	1965	To help build a \$100 million endowment to provide tuition assistance to the most financially challenged elementary and high school students and improve Catholic schools in Brooklyn and Queens (Over four years)

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Harlem RBI, Inc. 333 East 100th Street, Ground Floor New York, NY 10029	\$100,000	7/15/2009	1729	To help support the Team Enrichment Program and for the implementation of the 2007 Strategic Plan (Over two years)
The Mayor's Fund to Advance New York City One Centre Street, 23rd Floor New York, NY 10007	\$250,000	7/15/2009	1730	To help create the MillionTreesNYC Apprenticeship Program pilot for disconnected young adults aged eighteen to twenty-four (Over two years)
The Mental Health Association of New York City, Inc 50 Broadway, 19th Floor New York, NY 10004	\$65,000	6/26/2009	1654	To help establish a geriatric mental health training and assistance center (without walls) (Over three years)
The New York Botanical Garden 200th Street & Southern Blvd Bronx, NY 10458-5126	\$100,000	6/26/2009	1656	To help support the Children's Education program (Over three years)
The New York Public Library Stephen A. Schwarzman Building Fifth Avenue & 42nd Street New York, NY 10018-2788	\$350,000	6/26/2009	1657	To help support the "21st Century Library" campaign and to provide general support (Over four years)
New Yorkers for Parks 355 Lexington Avenue, 14th Floor New York, NY 10017	\$75,000	7/15/2009	1732	To help support the Parks 2009 Campaign (Over two years)
Paraprofessional Healthcare Institute, Inc 349 East 149th Street, 10th Floor Bronx, NY 10451	\$125,000	7/15/2009	1733	To help build policy staff capacity in support of a comprehensive effort to achieve quality care through quality jobs (Over two years)
The Queens Borough Public Library 89-11 Merrick Boulevard Jamaica, NY 11432	\$200,000	9/25/2009	1788	To help support the Adult Learner Program and the Youth Workforce Development program (Over three years)
Reach Out And Read of Greater New York, Inc 30 East 33rd Street, 6th Floor New York, NY 10016	\$60,000	6/26/2009	1659	To build capacity and begin implementing a five-year strategic plan (Over two years)
FJC 520 Eighth Avenue, 20th Floor New York, NY 10018	\$2,500	6/26/2009	1693	To help support the New York City Youth Funders Network

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Catholic Charities Brooklyn and Queens 191 Joralemon Street Brooklyn, NY 11201	\$75,000	7/15/2009	1728	To help build information technology and knowledge management capacity in line with CCBQ's strategic plan (Over two years)
The Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028-0198	\$75,000	6/26/2009	1655	To help support Find Yourself at the Met program of classes and internships for high school students and the Mentoring Program for college juniors (Over three years)
Child Care, Inc. DBA Center for Children's Initiatives 322 Eighth Avenue New York, NY 10001	\$20,500	5/15/2009	1604	To help expand Early Head Start Services in New York City
Arthur Ashe Institute for Urban Health, Inc 450 Clarkson Avenue Box 1232 Brooklyn, NY 11203-2098	\$40,000	4/29/2009	1567	To help support the Health Science Academy for minority youth and young adults
Bronxworks, Inc. 60 East Tremont Avenue Bronx, NY 10453	\$40,000	4/29/2009	1570	To help support the Center for Achieving Future Education college access program
Brooklyn Friends School 375 Pearl Street Brooklyn, NY 11201	\$35,000	4/29/2009	1568	To help support the Horizons summer academic enrichment program at Brooklyn Friends School
Children's Defense Fund - New York 15 Maiden Lane, Suite 1200 New York, NY 10038	\$50,000	4/29/2009	1569	To develop community health profiles that synthesize data on child health outcomes and barriers to care such as environmental risks and the lack of access to health providers (See Page 2)
Citizens' Committee for Children of New York, Inc 105 East 22nd Street New York, NY 10010	\$45,000	4/29/2009	1571	To renew support for CCC's work on behalf of children and families
Educational Video Center 120 West 30th Street, 7th Floor New York, NY 10001	\$20,000	4/29/2009	1572	To help support the YO-TV (Youth Organizers-TV) pre-professional documentary filmmaking program

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Fund for the City of New York, Inc 121 Sixth Avenue New York, NY 10013-1590	\$40,000	4/29/2009	1573	To help support NYCETC's employment and training advocacy and communications activities
The HOPE Program Inc One Smith Street Brooklyn, NY 11201	\$35,000	4/29/2009	1574	To help support the job placement program
The New York-Presbyterian Hospital Office of Development 654 West 170th Street New York, NY 10032	\$50,000	4/29/2009	1576	To help support the Lang Youth Medical Program
Partnership for Palliative Care 6 West 48th Street, 12th Floor New York, NY 10036	\$25,000	4/29/2009	1575	To help establish the Council to increase support for leading practitioners, researchers, and institutions in palliative care and educate the public, policymakers, and the medical community about the role of palliative care
Queens Council on the Arts, Inc One Forest Park at Oak Ridge Woodhaven, NY 11421-1166	\$15,000	4/29/2009	1577	To help support the High School to Art School (HSZAS) initiative
Riverdale Neighborhood House, Inc. 5521 Mosholu Avenue Bronx, NY 10471-2409	\$25,000	4/29/2009	1578	To help support the Youth Internship Program and Library Leaders project
Support Center for Nonprofit Management, Inc 305 Seventh Avenue, 11th Fl. New York, NY 10001-6008	\$50,000	4/29/2009	1579	To help support the Executive Transition Management Services project and provide turnaround and restructuring assistance to nonprofits facing economic challenges
Volunteer Consulting Group, Inc 6 East 39th Street - Suite 602 New York, NY 10016-0112	\$50,000	4/29/2009	1580	To help support the Program Service Preservation Initiative, a pilot project in collaboration with Lawyers Alliance for New York and the Nonprofit Finance Fund
Barnard College 3009 Broadway New York, NY 10027-6598	\$50,000	4/27/2009	1546	To help support the academic success and persistence of students from underserved communities in fields in which they are traditionally underrepresented

Payee Legal Name Payee Address	Amount	Payment Date	Check Number	Request Project Title
Catholic Charities Archdiocese of New York 1011 First Avenue New York, NY 10022-4134	\$150,000	4/27/2009	1565	To provide general support and support capacity building work with federated agencies
Community Health Care Association of New York State 535 Eighth Avenue, 8th Floor New York, NY 10018	\$85,000	4/27/2009	1562	To help support CHCANY's work with community health centers across New York City
The Endowment for Inner-City Education Archdiocese of New York 1011 First Avenue, Suite 1313 New York, NY 10022-4134	\$50,000	4/27/2009	1558	To provide support for Pathways to Excellence, a strategic plan being developed by the Superintendent of Schools for the Archdiocese of New York
Fund for Public Health in New York, Inc. 291 Broadway, 17th Floor New York, NY 10007	\$150,000	4/27/2009	1560	To help support the New York City Nurse-Family Partnership
Groundwork, Inc. 595 Sutter Avenue Brooklyn, NY 11207	\$100,000	4/27/2009	1547	To help support Groundwork for Youth
Literacy Assistance Center, Inc. 39 Broadway, Floor 12 Suite 1250 New York, NY 10004	\$150,000	4/27/2009	1561	To help support for the Health Literacy Resource Center
Local Initiatives Support Corporation 501 Seventh Avenue, 7th Floor New York, NY 10018	\$50,000	4/27/2009	1563	To help support LISC NYC's First Responder Initiative to assist NYC CDCs in stabilizing current activities and assets
Neighborhood Housing Services of New York City, Inc. 307 West 36th Street, 12th Floor New York, NY 10018	\$100,000	4/27/2009	1564	To help support Homeownership Promotion and Preservation Programs and Services
Reading Excellence and Discovery Foundation, Inc. 80 Maiden Lane, 11th Floor New York, NY 10038	\$100,000	4/27/2009	1559	To renew support for READ's year-round parochial school program and summer programming open to all students

Payee Legal Name Payee Address	Amount	Payment Date	Check Number	Request Project Title
United Neighborhood Houses of New York, Inc. 70 West 36th Street, 5th Floor New York, NY 10018-8007	\$75,000	4/27/2009	1566	To help support the UNH Professional Development Program for Senior Managers
The B E L L Foundation, Inc One Fordham Plaza, Suite 903 Bronx, NY 10458	\$150,000	5/8/2009	1603	To help expand the BELL Summer Learning Initiative in New York City (Over two years)
Little Sisters of the Assumption Family Health Service, Inc 333 East 115th Street New York, NY 10029	\$25,000	6/26/2009	1652	To help support capacity building efforts (Over two years)
The East Harlem School at Exodus House 340 East 104th Street New York, NY 10029	\$100,000	6/26/2009	1649	To provide general operating support and to help build a general endowment (Over three years)
International Center of Photography 1114 Avenue of the Americas New York, NY 10036	\$75,000	6/26/2009	1651	To help expand the Teen Academy Program (Over two years)
Elaine Kaufman Cultural Center/Lucy Moses School for Music & Dance 129 West 67th Street New York, NY 10023	\$40,000	6/26/2009	1650	To help support pre-professional music classes for talented, underserved students in the Young People's Division (Over two years)
Loyola School 980 Park Avenue New York, NY 10028	\$75,000	6/26/2009	1653	To help support the Father Prior Endowed Scholarship Fund (Over two years)
New York University/Robert F. Wagner Graduate School of Public Service The Puck Building 295 Lafayette Street, 2nd Floor New York, NY 10012-9604	\$35,000	6/26/2009	1658	To help support Developing New Leaders for New York's Nonprofits Building an Alumni Network for the Fellowship for Emerging Leaders in Public Service (Over two years)
New York City Outward Bound Center, Inc 29-46 Northern Boulevard Long Island City, NY 11101	\$25,000	2/25/2009	1452	As a final grant to support technical assistance for the board and staff to develop a sustainability plan that will allow the organization to realize its strategic vision

Payee Legal Name Payee Address	Amount	Payment Date	Check Number	Request Project Title
Philanthropy New York, Inc 79 Fifth Avenue, Fourth Floor New York, NY 10003-3076	\$14,450	2/25/2009	1453	For general support
Philanthropy New York, Inc 79 Fifth Avenue, Fourth Floor New York, NY 10003-3076	\$10,000	2/25/2009	1454	To help support the 2009 New York Times Company Nonprofit Excellence Awards Program
The Association for Neighborhood & Housing Development, Inc. 50 Broad Street, Suite 1125 New York, NY 10004	\$50,000	2/19/2009	1418	To help support the Permanent Affordability Advocacy Campaign to stem the loss of affordable housing in New York City
Ballet Tech Foundation, Inc 890 Broadway New York, NY 10003	\$40,000	2/19/2009	1419	To help support Ballet Tech's program of pre-professional dance training for underserved youth
Chess-in-the-Schools Inc 520 Eighth Avenue, 2nd Floor New York, NY 10018	\$50,000	2/19/2009	1420	To help support the College Bound Program
City Lights Youth Theatre, Inc 630 Ninth Avenue, Suite 1411 New York, NY 10036	\$20,000	2/19/2009	1421	To help support the Core Program and provide scholarship assistance for high-quality theater education to a diverse group of young people
Coro New York Leadership Center 42 Broadway, Suite 1827-35 New York, NY 10004	\$40,000	2/19/2009	1422	To renew support for Exploring Leadership, a program to promote meaningful civic leadership among inner-city high school youth
Credit Where Credit Is Due, Inc. 4211 Broadway New York, NY 10033-3801	\$25,000	2/19/2009	1423	To help support the Getting Ahead financial education program for low-income New Yorkers
Groundswell Community Mural Project, Inc 339 Douglass Street Brooklyn, NY 11217	\$10,000	2/19/2009	1424	To provide support for mural apprenticeship afterschool programs for underserved youth
The Hispanic Federation, Inc 55 Exchange Place, 5th Floor New York, NY 10005	\$40,000	2/19/2009	1425	To help support year two of the Parent Pathways to Child Achievement/Caminos al Exito Academico project

Payee Legal Name Payee Address	Amount Payment Date	Check Number	Request Project Title
Mount Sinai School of Medicine Center for Multicultural and Community Affairs One Gustave L. Levy Place Annenberg Building -- Room 5-16 Box 1257 New York, NY 10029-6574	\$35,000 2/19/2009	1426	To renew support for the Early MED-Bound program
Museum of Jewish Heritage. A Living Memorial to the Holocaust 36 Battery Place New York, NY 10280	\$35,000 2/19/2009	1427	To help support the High School Apprenticeship Program
The New 42nd Street Inc. 229 West 42nd Street, 10th Floor New York, NY 10036-7299	\$50,000 2/19/2009	1428	To renew support for the Youth Corps Program
Prospect Park Alliance, Inc 95 Prospect Park West Brooklyn, NY 11215	\$50,000 2/19/2009	1429	For general support of the Prospect Park Alliance as it restructures its programs and operations
Rocking the Boat, Inc. 812 Edgewater Road Bronx, NY 10474	\$50,000 2/19/2009	1430	To renew support for the Job Skills Program
Row New York, Inc. 10-27 46th Avenue, Suite 101 Long Island City, NY 11101	\$25,000 2/19/2009	1431	To help support the core program of intensive rowing, academic support, and college guidance for young inner-city women
StreetSquash, Inc 40 West 116th Street New York, NY 10026	\$25,000 2/19/2009	1432	To help support the College Prep, Literacy, and After School Tutoring Programs
Summer on the Hill at Horace Mann School 4440 Tibbett Avenue Riverdale, NY 10471	\$35,000 2/19/2009	1433	To help support academic enrichment and counseling for promising underserved students from Manhattan and the Bronx
Tides Center (fiscal agent for Youth Development Institute) NY	\$25,000 2/19/2009	1434	To renew support for the Career Internship Network

Payee Legal Name Payee Address	Amount	Payment Date	Check Number	Request Project Title
Bank Street College of Education 610 West 112th Street New York, NY 10025	\$105,000	2/18/2009	1408	To support the Liberty LEADS college preparation and leadership development program for inner-city youth (Over two years)
Brooklyn Public Library Grand Army Plaza Brooklyn, NY 11238-5619	\$100,000	2/18/2009	1409	To renew support for the First Five Years Initiative and provide capacity building support in outcome measurement
Brooklyn Youth Chorus Academy, Inc. 179 Pacific Street Brooklyn, NY 11201-6213	\$40,000	2/18/2009	1410	To help provide high-caliber vocal musical instruction and professional-level performance opportunities for young people from diverse backgrounds (Over two years)
Food Bank for New York City 39 Broadway, 10th Floor New York, NY 10006	\$125,000	2/18/2009	1411	To help support the New York City Earned Income Tax Credit Campaign
The Fresh Air Fund, Inc. 633 Third Avenue New York, NY 10017	\$75,000	2/18/2009	1412	As a final grant for the Immigrant Outreach Project
Harlem Academy 1330 Fifth Avenue New York, NY 10026	\$50,000	2/18/2009	1413	For general support for this academically rigorous independent elementary school (Over two years)
Jazz at Lincoln Center, Inc. 33 West 60th Street New York, NY 10023-7999	\$100,000	2/18/2009	1414	To help support the Middle School Jazz Academy for young people from underserved communities
Literacy Assistance Center, Inc. 39 Broadway, Floor 12 Suite 1250 New York, NY 10004	\$175,000	2/18/2009	1415	To renew support for the Family Literacy Resource Center and the Immigrant Family Literacy Alliance
NPower NY, Inc. 3 MetroTech Center, Mezzanine Level Brooklyn, NY 11201	\$100,000	2/18/2009	1416	To further develop the NPower Platform to improve technology services to New York City nonprofits and to help expand NPower NY's Technology Service Corps

Payee Legal Name Payee Address	Amount Payment Date	Check Number	Request Project Title
United Hospital Fund of New York 350 Fifth Avenue, 23rd Floor New York, NY 10118-2399	\$150,000 2/18/2009	1417	To help launch the Next Step in Care campaign, designed to stimulate effective partnerships between family caregivers and health care professionals to ensure safer and smoother transitions between care settings (Over two years)
Citizens Union Foundation, Inc. 299 Broadway, Suite 700 New York, NY 10007-1976	\$5,000 1/22/2009	1362	To help support Gotham Gazette
Grand Totals (180 items)	\$12,000,000 112,837 Matching Grants - ATTACHMENT 16A \$12,112,837		

Altman Foundation
Matching Gifts Paid
December 31, 2009

Grantee	Address		Amount
Sponsors for Educational Opportunity, Inc.	55 Exchange Place, 6th FL	New York, NY 10005	\$ 1,800
The HIV Law Project	15 Maiden Lane, 18th Floor	New York, NY 10038	750
Groundwork, Inc.	595 Sutter Ave.	Brooklyn, NY 11207	375
WNYC Radio	160 Varick St.	New York, NY 10013	750
Canterbury Choral Society, Inc.	2 East 90th St	New York, NY 10128	1,194
The Reformed Church	PO Box 397	Bronxville, NY 10708	6,000
Inner-City Scholarship Fund, Inc.	1011 First Ave, Suite 1400	New York, NY 10022	1,500
Rockaway Point Emergency Service	PO Box 333	Ft. Tilden, NY 11695	300
The Civilians	146 W 29th At #7FE	New York, NY 10001	375
Point Breeze Fire Dept., Inc.	1 Firemen's Plaza	Breezy Point, NY 11697	1,500
The Rye Arts Center, Inc.	51 Milton Road	Rye, NY 10580	150
The New York Women's Foundation	434 W. 33rd St., 8th FL	New York, NY 10001	750
Brooklyn Heights Montessori School	185 Court St.	Brooklyn, NY 11217	375
Packer Collegiate Institute	170 Joralemon St.	Brooklyn, NY 11201	750
The N.Y. Academy of Medicine	1216 Fifth Avenue, 6th FL	NY, NY 10029	1,500
The New York Community Trust	909 Third Avenue	NY, NY 10022	15,000
City Squash, Inc.	PO Box 619, Fordham Station	Bronx, NY 10458	1,500
Canterbury Choral Society, Inc.	2 East 90th St	New York, NY 10128	1,500
The Brearley School	610 East 83rd St.	New York, NY 10028	14,668
Catholic Communal Fund of the Archdiocese of New York Inc	1011 First Avenue, 14th Floor	New York, NY 10022	15,000
Catholic Charities of the Archdiocese of New York	1011 First Avenue, 11th Floor	New York, NY 10022	15,000
Port Chester Carver Center	400 Westchester Avenue	Port Chester, NY 10573	375
The What to Expect Foundation, Inc.	211 W. 80h St, Lower Level	New York, NY 10024	750
LSA Family Health Service	333 E 115th St	New York, NY 10029-2210	1,500
Convent of the Sacred Heart	1 East 91st Street	New York, NY 10128	750
Planned Parenthood of NYC	26 Bleecker Street	New York, NY 10012	1,500
St. David's School	12 East 89th Street	New York, NY 10128-0601	750
Union Settlement Assoc.	237 West 104th St.	New York, NY 10029	2,250
Sponsors for Educational Opportunity	55 Exchange Place	New York, NY 10005	1,800
Legal Outreach	36-14 35th Street	Long Island City, NY 11106	150
United Nations International School	24-50 F D.R. Drive	New York, NY 10010	4,500
Packer Collegiate Institute	170 Joralemon St.	Brooklyn, NY 11201	2,250
Catholic Communal Fund	1011 First Avenue, 14th Floor	New York, NY 10022	15,000
New York Needs You	40 Worth Street, Suite 826	New York, NY 10013	150
Resurrection Church	910 Boston Post Road	Rye, NY 10580	375
			<u>\$ 112,837</u>

ALTMAN FOUNDATION

GUIDELINES

GENERAL INFORMATION

SPECIAL NOTE

Please review our mission, values, operating principles, and funding limitations as they appear in this document before considering which of our program areas applies to your organization.

MISSION

To support programs and institutions that enrich the quality of life in New York City, with a particular focus on initiatives that help individuals, families, and communities benefit from the services and opportunities that will enable them to achieve their full potential.

VALUES

Several overarching values guide the Foundation's grantmaking: access, system-wide improvement, prevention and early intervention, self-sufficiency, the provision of high-quality services, and a focus on vulnerable populations.

- The Foundation seeks to increase meaningful **access** for New Yorkers to quality programs, institutions, and resources, both public and private.
- The Foundation is interested in encouraging **system-wide improvement** and change while at the same time maintaining its tradition of direct service and the opportunity to test models in action.
- The Foundation prefers to support **preventive and early intervention** strategies.
- The Foundation supports efforts that help New Yorkers build and preserve **self-sufficiency**.
- The Foundation encourages innovation and funds programs and organizations that offer **high-quality services** based on best practices in their field and that exercise systematic efforts to track credible and meaningful results.
- The Foundation has an interest in supporting programs that serve **vulnerable populations**, in particular disadvantaged children and youth, immigrants, and the elderly.

OPERATING PRINCIPLES

We define ourselves as investors and are interested in creating the highest possible levels of human gain for the grant dollars we have available. In that mode, we review proposals with the following questions in mind.

- What are the results from the project—meaning outcomes for participants—that provide the return on our investment?
- What are the chances those results will be achieved?
- Is this the best use of our money, given all alternatives before us?

The Foundation makes three types of investments:

1 Investments in Direct Service

In this area we support programs and projects that create human gain for people in our areas of focus. Historically, most of the grants in our portfolio have fallen into this category.

2. Investments in Organizations

At times we believe that making an investment in an organization so that it can achieve and sustain stronger gains for those served is the best investment we can make. In general, these investments are reserved for organizations with which we are already working.

3 Investments in Systems Change

At times we believe that the most pressing need is to make an improvement in the larger context of factors and forces that affect programs and organizations. The Foundation will allocate limited grant dollars to strategic policy, advocacy, and applied research initiatives that advance the Foundation's grantmaking priorities.

In addition:

The Foundation seeks to promote connections among organizations doing complementary work, including nonprofits, government agencies, and foundations, in order to advance best practices and build knowledge within a given field.

The Foundation seeks to leverage its limited resources by investing in issues, programs, or initiatives that have the potential to attract other funding sources, both public and private

FUNDING LIMITATIONS

Please note the following:

- Grant requests from organizations and programs outside the State of New York cannot be considered for funding; Altman Foundation grants focus almost without exception on programs within the five boroughs of New York City.
- No grants are made to individuals.
- As a general rule, the Foundation does not fund bricks and mortar, the purchase of capital equipment, or galas and other fundraising events

PROGRAM AREAS

EDUCATION

Given the importance of education in building strong individuals, families, and communities, and the primacy of literacy in enabling people to succeed, the Foundation invests in organizations, programs, and projects outside of the public schools that achieve results aligned with the two objectives outlined below. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Increase access for disadvantaged children and youth to high-quality academic opportunities.

Results Sought:

- A. Significantly improve the academic performance of disadvantaged students
- B. Increase the number of disadvantaged students enrolled and retained in independent and other high-quality schools
- C. Increase the number of disadvantaged students enrolled and retained in college

Objective 2) Promote excellence in education for disadvantaged children and youth in non-public in-school, after school, early childhood, and other settings, with a particular emphasis on initiatives that expand and/or enhance multiple programs across a system.

Results Sought:

- A. Significantly improve the social, emotional, cognitive and academic development of children
- B. Measurably improve the caliber and diversity of faculty and staff
- C. Increase the involvement of parents in their children's education
- D. Increase the number of families participating in effective literacy activities, particularly early literacy activities

HEALTH

Recognizing the critical role of quality and accessible health and mental health care in the well-being of individuals, families, communities, and the city as a whole, the Foundation invests in organizations, programs, and projects that achieve results aligned with the three objectives outlined below. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Promote access to care for underserved and/or uninsured populations

Results Sought:

- A. Increase the number of New Yorkers with adequate and appropriate health insurance, with a particular focus on building

enrollment in existing public and/or publicly subsidized insurance programs

- B Significantly reduce language, cultural, physical, financial, and systemic barriers to care

Objective 2) Increase the capacity of New Yorkers to be active and educated health care consumers who are able to make informed choices about their own health and effective use of the health care system.

Results Sought:

- A Increase the number of New Yorkers who effectively navigate health systems, utilize care, and manage their health

Within this focus area, priority is given to primary, preventive and other non-disease-specific services.

Objective 3) Promote the development and delivery of quality and responsive care for the most vulnerable populations.

Results Sought:

- A. Increase the number of vulnerable New Yorkers benefiting from responsive, evidence-based, non-disease-specific services, such as palliative care and family care giving

Within this focus area, priority is given to the creation and demonstration of promising models and the training of practitioners.

STRENGTHENING COMMUNITIES

The Foundation has an historic interest in ensuring that individuals and families living in the city have access to the services and resources they need to pursue and sustain successful lives. The Foundation invests in organizations, programs, and projects that achieve results aligned with the two objectives outlined below. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Build and preserve economic security and independence among low-income individuals and families.

Results Sought:

- A. Increase the number of low-income New Yorkers who are placed in and retain jobs that support economic independence
- B. Increase the number of low-income New Yorkers enrolled in public benefits that complement work
- C Enable vulnerable populations (e.g., the elderly) to remain in their own homes and communities, with a focus on systemic efforts to address barriers to independence

Objective 2) Promote and sustain the availability of, and equitable access to, essential community resources needed to support stable, healthy communities, with an emphasis on systemic efforts.

Results Sought:

- A Preserve and/or increase the quality and amount of affordable housing, well-maintained parks and open spaces, and other essential community resources

ARTS & CULTURE

The Foundation has had a longstanding interest in the arts, reflecting not only Benjamin Altman's personal commitment, but also the Foundation's recognition of the value of the arts in enriching the lives of New Yorkers and the city as a whole. The Foundation invests in organizations, programs, and projects that achieve results aligned with the three objectives outlined below. As a general rule, the programs we support take place outside of the public schools. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Promote positive youth development through arts and cultural programming.

Results Sought:

- A. Significantly increase the mastery of program-specific skills and other developmentally appropriate life skills
- B Measurably advance the academic progress of students
- C. Successfully transition participants to high-quality secondary, post-secondary, or professional opportunities

Objective 2) Promote the acquisition of preprofessional arts skills among underserved youth.

Results Sought:

- A. Enroll and retain promising students from underserved communities in the highest-quality preprofessional training in specific arts disciplines
- B Measurably increase the mastery of program-specific skills against a curriculum-based standard
- C. Successfully transition participants to high-quality secondary, post-secondary, or professional opportunities

Objective 3) Promote access to the arts and cultural activities for underserved populations.

Results Sought:

- A. Increase sustained engagement and participation of underserved populations in high-quality arts and cultural programming provided by established and meaningful organizations and institutions

SERVICES TO NOT-FOR-PROFITS

The Foundation provides support to organizations that achieve the following:

Results Sought:

- A. Significantly enhance the ability of key umbrella organizations to help their member or constituent agencies address critical community issues in the Foundation's areas of interest
- B. Measurably improve organizational effectiveness or program quality of community-based or other organizations working in the Foundation's areas of interest

MorganStanley
SmithBarney

Client Statement

December 1 - December 31, 2009

Ref 00020875 00134773

ALTMAN FOUNDATION II Account number

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
793.9474	AT&T INC	T	06/27/05	\$ 19,341.50	\$ 24.361	\$ 28.03	\$ 22,254.35	\$ 2,912.85	LT	
7,793.9474			06/28/05	190,997.77	24.506	28.03	218,464.35	27,466.58	LT	
7,793.9474			06/29/05	193,681.73	24.85	28.03	218,464.35	24,782.62	LT	
5,235.1578			07/05/05	128,836.37	24.61	28.03	145,741.47	17,905.10	LT	
3,000	AT&T INC	T	12/21/07	\$ 123,990.00	\$ 41.33	\$ 28.03	\$ 84,090.00	(\$ 39,900.00)	LT	
24,917				656,847.37	26.683		690,014.52	33,167.15		5.993
5,000	ABBOTT LABORATORIES	ABT	06/26/06	214,393.50	42.878	53.99	269,950.00	55,556.50	LT	2.963
20 1276	ALLEGHANY CORP DEL	Y	02/27/08	7,152.15	355.389	276.00	5,555.22	(1,596.93)	LT	
509 9291			10/28/08	116,788.26	229.06	276.00	140,740.43	23,952.17	LT	
407 9433			10/29/08	99,871.64	244.851	276.00	112,592.35	12,720.71	LT	
938				223,812.05	238.808		268,888.00	35,075.95		
4,000	AMAZON COM INC	AMZN	03/12/08	270,930.00	67.732	194.52	538,080.00	267,150.00	LT	
4,000	AMGEN INC	AMGN	10/23/08	229,415.97	57.353	56.57	226,280.00	(3,135.97)	LT	
2,000			10/24/08	115,099.83	57.549	56.57	113,140.00	(1,959.83)	LT	
8,000				344,515.80	57.419		339,420.00	(5,095.80)		
1,000	BAYER A G SPONSORED ADR	BAYRY	11/28/06	51,856.70	51.856	79.80	79,800.00	27,943.30	LT	
3,000			03/06/07	168,336.90	56.112	79.80	239,400.00	71,063.10	LT	
4,000				220,193.80	55.048		319,200.00	99,006.40		1.72
5,000	BRISTOL MYERS SQUIBB CO	BMJ	11/28/07	141,191.50	28.238	25.25	126,250.00	(14,941.50)	LT	5.069
5,000	BROOKFIELD ASSET MGMT INC	BAM	03/16/09	70,590.00	14.118	22.18	110,900.00	40,310.00	ST	
5,000	VOTING SHS CL A		06/08/09	88,241.00	17.648	22.18	110,900.00	22,659.00	ST	
10,000				158,831.00	15.883		221,800.00	62,969.00		2.344
5,000	CVS CAREMARK CORP	CVS	07/29/08	190,499.50	38.099	32.21	161,050.00	(29,449.50)	LT	
5,000			07/30/08	195,150.00	39.03	32.21	161,050.00	(34,100.00)	LT	
4,000			08/05/08	149,995.60	37.498	32.21	128,840.00	(21,155.60)	LT	
2,000			03/16/09	53,599.00	26.799	32.21	64,420.00	10,821.00	ST	
18,000				589,244.10	36.828		516,360.00	(73,884.10)		.948
4,000	CANADIAN OIL SANDS TRUST	COSWF	09/30/08	150,880.00	37.72	28.396	113,584.00	(37,296.00)	LT	4.93
	Exchange rate: .9521995									
	Shares traded in:									
	Canadian dollars									
3,000	CELGENE CORP	CELG	09/19/08	202,558.50	67.519	55.68	167,040.00	(35,518.50)	LT	
2,000			09/30/08	126,394.00	63.197	55.68	111,360.00	(15,034.00)	LT	
5,000				328,952.50	66.791		278,400.00	(50,552.50)		
5,000	CISCO SYS INC	CSCO	01/25/08	129,100.00	25.82	23.94	119,700.00	(9,400.00)	LT	
5,000			03/11/08	125,050.00	25.01	23.94	119,700.00	(5,350.00)	LT	
4,000			06/05/08	110,554.00	27.638	23.94	95,760.00	(14,794.00)	LT	
5,000			10/24/08	83,350.00	16.67	23.94	119,700.00	36,350.00	LT	
3,000			03/12/09	45,777.00	15.259	23.94	71,820.00	26,043.00	ST	

ALTMAN FOUNDATION II

Common stocks & options											continued
Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)	
3,000	CISCO SYS INC	CSCO	03/16/09	\$ 46,947.30	\$ 15.649	\$ 23.94	\$ 71,820.00	\$ 24,872.70	ST		
25,000				540,778.30	21.631		598,500.00	57,721.70			
5,000	COCA-COLA CO	KO	02/28/05	214,200.00	42.84	57.00	285,000.00	70,800.00	LT	8,200.00	
3,000	CONOCOPHILLIPS	COP	10/09/07	256,389.90	85.453	51.07	153,210.00	(103,149.90)	LT	6,000.00	
10,000	CORNING INC	GLW	03/17/09	119,509.00	11.95	19.31	193,100.00	73,591.00	ST		
5,000			06/01/09	75,750.00	15.15	19.31	96,550.00	20,800.00	ST		
5,000			07/23/09	84,099.50	16.819	19.31	96,550.00	12,450.50	ST		
8,000			08/18/09	126,624.00	15.828	19.31	154,480.00	27,856.00	ST		
28,000				405,982.50	14.499		540,680.00	134,697.50		5,600.00	
7,000	DOW CHEMICAL CO	DOW	10/05/09	167,300.00	23.90	27.63	193,410.00	26,110.00	ST		
5,000			10/05/09	123,348.00	24.669	27.63	138,150.00	14,802.00	ST		
2,000			11/13/09	57,137.00	28.568	27.63	55,260.00	(1,877.00)	ST		
14,000				347,785.00	24.842		386,820.00	39,035.00		8,400.00	
2,000	E I DU PONT DE NEMOURS & CO	DD	02/18/04	90,080.00	45.04	33.67	67,340.00	(22,740.00)	LT		
5,000			02/16/06	207,594.50	41.518	33.67	168,350.00	(39,244.50)	LT		
2,000			03/06/07	102,322.20	51.161	33.67	67,340.00	(34,982.20)	LT		
2,000			02/12/08	95,600.00	47.80	33.67	67,340.00	(28,260.00)	LT		
3,000			03/25/08	142,043.10	47.347	33.67	101,010.00	(41,033.10)	LT		
14,000				637,639.80	45.546		471,380.00	(166,259.80)		4.87	22,960.00
10,000	EMC CORP-MASS	EMC	10/24/08	100,195.00	10.019	17.47	174,700.00	74,505.00	LT		
4,000			10/30/08	45,380.40	11.345	17.47	69,880.00	24,499.60	LT		
6,000			03/12/09	65,014.80	10.835	17.47	104,820.00	39,805.20	ST		
5,000			06/01/09	60,240.00	12.048	17.47	87,350.00	27,110.00	ST		
25,000				270,830.20	10.833		438,750.00	165,919.80			
5,000	EXXON MOBIL CORP	XOM	03/15/06	303,243.50	60.648	68.19	340,950.00	37,706.50	LT	8,400.00	
1,000	GAZPROM OAO SPONS ADR	OZG.PY	06/15/07	40,900.00	40.90	25.05	25,050.00	(15,850.00)	LT		
6,000			06/28/07	252,600.00	42.10	25.05	150,300.00	(102,300.00)	LT		
7,000				293,500.00	41.929		175,350.00	(118,150.00)		123	217.00
3,000	GENERAL ELECTRIC CO	GE	04/28/03	87,870.00	29.29	15.13	45,390.00	(42,480.00)	LT		
7,000			09/16/03	222,385.80	31.769	15.13	105,910.00	(116,475.80)	LT		
7,000			09/23/03	220,990.00	31.57	15.13	105,910.00	(115,080.00)	LT		
5,000			10/29/03	144,250.00	28.85	15.13	75,650.00	(68,600.00)	LT		
6,000			06/30/08	159,654.00	26.609	15.13	90,780.00	(68,874.00)	LT		
28,000				835,149.80	29.827		423,840.00	(411,509.80)		2.643	11,200.00

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
4,000	GLAXOSMITHKLINE PLC SP ADR	GSK	08/03/09	\$ 155,988.40	\$ 38.997	\$ 42.25	\$ 169,000.00	\$ 13,011.60	ST	
3,000			10/05/09	115,530.00	38.51	42.25	126,750.00	11,220.00	ST	
7,000				271,518.40	38.788		295,750.00	24,231.60	4.39	12,985.00
5,000	HARRIS CORP-DELAWARE-	HRS	08/31/09	173,349.00	34.669	47.55	237,750.00	64,401.00	ST	4,400.00
7,000	HOME DEPOT INC	HD	05/02/08	212,800.00	30.40	28.93	202,510.00	(10,290.00)	LT	6,300.00
2,000	INTEL CORP	INTC	12/18/07	52,220.00	26.11	20.40	40,800.00	(11,420.00)	LT	
5,000			03/11/08	105,000.00	21.00	20.40	102,000.00	(3,000.00)	LT	
4,000			03/12/09	57,196.00	14.299	20.40	81,600.00	24,404.00	ST	
5,000			03/16/09	73,134.00	14.626	20.40	102,000.00	28,866.00	ST	
4,000			07/14/09	66,472.00	16.618	20.40	81,600.00	15,128.00	ST	
20,000				354,022.00	17.701		408,000.00	53,978.00	2.745	11,200.00
10,000	INTL BUSINESS MACHINES CORP	IBM	03/08/06	816,000.00	81.60	130.90	1,309,000.00	493,000.00	LT	22,000.00
2,000	KIMBERLY CLARK CORP	KMB	01/29/08	131,035.40	65.517	63.71	127,420.00	(3,615.40)	LT	
2,000			01/29/08	131,035.40	65.517	63.71	127,420.00	(3,615.40)	LT	
1,000			02/01/08	66,739.00	66.739	63.71	63,710.00	(3,029.00)	LT	
1,800			09/09/08	118,794.60	65.997	63.71	114,678.00	(4,116.60)	LT	
200			09/09/08	13,177.00	65.885	63.71	12,742.00	(435.00)	LT	
7,000				460,781.40	65.828		445,970.00	(14,811.40)	3.767	18,800.00
3,500	MDU RESOURCES GROUP INC	MDU	09/05/00	39,632.60	11.323	23.60	82,600.00	42,967.40	LT	
1,250			04/10/02	18,197.44	14.557	23.60	29,500.00	11,302.56	LT	
6,750			04/28/03	89,691.00	13.287	23.60	159,300.00	69,609.00	LT	
5,000			12/28/09	119,882.50	23.976	23.60	118,000.00	(1,882.50)	ST	
16,500				267,403.64	16.206		389,400.00	121,996.36	2.869	10,395.00
4,000	MERCK & CO INC NEW	MRK	07/09/08	148,680.00	37.17	36.54	146,160.00	(2,520.00)	LT	6,080.00
9,000	MICROSOFT CORP	MSFT	06/15/04	244,620.00	27.18	30.48	274,320.00	29,700.00	LT	
16,000			09/27/06	438,153.60	27.384	30.48	487,680.00	49,526.40	LT	
10,000			04/30/09	209,100.00	20.91	30.48	304,800.00	95,700.00	ST	
35,000				891,873.60	25.482		1,066,800.00	174,926.40	1.708	18,200.00
5,000	NATIONAL FUEL GAS CO	NFG	08/14/02	98,500.00	19.70	50.00	250,000.00	151,500.00	LT	
5,000			06/12/09	177,424.50	35.484	50.00	250,000.00	72,575.50	ST	
10,000				275,924.50	27.592		500,000.00	224,075.50	2.68	13,400.00
4,000	NEWMONT MINING CORP	NEM	10/29/09	171,982.00	42.995	47.31	189,240.00	17,258.00	ST	1,600.00
3,000	NORFOLK SOUTHERN CORP	NSC	07/18/07	175,411.20	58.47	52.42	157,260.00	(18,151.20)	LT	
2,000			01/25/08	102,638.00	51.319	52.42	104,840.00	2,202.00	LT	
5,000				278,049.20	55.61		262,100.00	(15,949.20)	2.694	6,800.00

MorganStanley
SmithBarney

Ref 00020675 00134776

Client Statement

December 1 - December 31, 2009

ALTMAN FOUNDATION II Account number

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
5,000	NOVARTIS AG ADR	NVS	07/14/09	\$ 198,837.00	\$ 39.767	\$ 54.43	\$ 272,150.00	\$ 73,313.00	ST	
5,000			07/15/09	203,668.00	40.733	54.43	272,150.00	68,482.00	ST	
10,000				402,505.00	40.251		544,300.00	141,795.00	2.671	14,540.00
4,000	PPL CORP	PPL	05/27/08	198,472.00	49.618	32.31	129,240.00	(69,232.00)	LT	
3,000			05/29/08	150,504.90	50.168	32.31	96,930.00	(53,574.90)	LT	
7,000				348,976.90	49.854		226,170.00	(122,806.90)	4.271	9,680.00
4,000	PROCTER & GAMBLE CO	PG	10/29/09	237,726.60	59.431	60.63	242,520.00	4,794.40	ST	7,040.00
3,619.375	ROYAL DUTCH SHELL PLC ADR	RDSB	01/28/02	172,655.31	47.706	58.13	210,394.27	37,738.96	LT	
1,171.625	CL B		03/02/04	57,426.01	49.017	58.13	68,106.56	10,680.55	LT	
4,791				230,081.32	48.024		278,500.83	48,419.51	5.78	18,097.76
5,000	SAN JUAN BASIN ROYALTY TR UBI	SJT	09/30/08	189,791.50	37.958	17.24	86,200.00	(103,591.50)	LT	8,875.00
6,000	SCHLUMBERGER LTD	SLB	07/23/03	140,850.00	23.475	65.09	390,540.00	249,690.00	LT	5,040.00
2,000	SIEMENS A G SPONS ADR	SI	06/25/08	229,551.40	114.775	91.70	183,400.00	(46,151.40)	LT	
1,000			07/07/08	110,624.00	110.624	91.70	91,700.00	(18,924.00)	LT	
3,000				340,175.40	113.392		275,100.00	(65,075.40)	1.913	5,265.00
3,000	SPECTRA ENERGY CORP	SE	05/31/06	71,599.22	23.866	20.51	61,530.00	(10,069.22)	LT	
5,000			02/14/07	130,621.50	26.124	20.51	102,550.00	(28,071.50)	LT	
2,000			05/11/07	51,800.00	25.90	20.51	41,020.00	(10,780.00)	LT	
10,000				254,020.72	25.402		205,100.00	(48,920.72)	4.875	10,000.00
3,000	3M COMPANY	MMM	07/14/09	181,149.00	60.383	82.67	248,010.00	66,861.00	ST	
2,000			07/15/09	123,977.00	61.988	82.67	165,340.00	41,363.00	ST	
2,000			09/09/09	146,399.00	73.199	82.67	165,340.00	18,941.00	ST	
7,000				451,525.00	64.504		578,690.00	127,165.00	2.467	14,280.00
5,000	UNITED PARCEL SERVICE CL B	UPS	06/03/07	356,052.00	71.21	57.37	286,850.00	(69,202.00)	LT	
4,000			05/16/07	285,200.00	71.30	57.37	229,480.00	(55,720.00)	LT	
9,000				641,252.00	71.25		516,330.00	(124,922.00)	3.137	16,200.00
7,000	VERIZON COMMUNICATIONS	VZ	07/23/09	216,004.60	30.857	33.13	231,910.00	15,905.40	ST	
4,000			09/25/09	120,060.80	30.015	33.13	132,520.00	12,459.20	ST	
11,000				336,065.40	30.551		364,430.00	28,364.60	5.734	20,900.00
10,000	WESTERN UNION COMPANY (THE)	WU	12/10/09	189,900.00	18.99	18.85	188,500.00	(1,400.00)	ST	600.00

Client Statement

December 1 - December 31, 2009

ALTMAN FOUNDATION II

Account number

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
4,000	WEYERHAEUSER CO	WY	05/29/08	\$ 272,109.20	\$ 68.027	\$ 43.14	\$ 172,560.00	(\$ 99,549.20) LT	.463 %	\$ 800.00
Total common stocks and options:										
				\$ 5,767,827.00			\$ 7,604,827.85	\$ 1,836,975.00	2.38%	\$ 1,000.00
								\$ 235,747.25	LT	\$ 405,180.52

ALTMAN FOUNDATION EQUITY ACCT											
Portfolio Valuation by Security											
Valuation Currency		USD									
Basis		Trade Date									
Nominal	Shares/ Ratings	Security Description	% of Port.	Unit Cost	Total Cost	Mkt. Price	Market Value	Unrealized Gain/Loss	Accrued Income	Pricing Date	
										Est.	Current
										31-Dec-2009	
										31-Dec-2009	

EQUITY SECURITIES

2,860		ADOBE SYSTEMS INC USD COM	1.45	32.66	93,401.00	36.78	105,190.80	11,789.80		0.00	0.00
1,599		ALLERGAN INC USD COM	1.39	62.87	100,526.00	63.01	100,752.99	226.99		319.80	0.32
3,446		AMAZON COM INC USD COM	6.40	73.39	252,904.60	134.52	463,555.92	210,651.32		0.00	0.00
2,474		AMERICA MOVIL S A B DE C VSERIE REP	1.60	21.83	54,012.02	46.98	116,228.52	62,216.50		0.00	0.00
4,030		AMERICAN EXPRESS CO USD COM	2.25	58.27	234,842.88	40.52	163,295.60	(71,547.28)		2,901.60	1.78
2,336		APPLE INC USD COM	6.80	142.53	332,940.22	210.86	492,568.96	159,628.74		0.00	0.00
433		BAIDU COM ADR REPR 1 CL A SHR	2.46	309.17	133,870.05	411.23	178,062.59	44,192.54		0.00	0.00
50		BERKSHIRE HATHAWAY CLASS B COM	2.27	3,895.68	194,783.88	3,286.00	164,300.00	(30,483.88)		0.00	0.00
444		BLACKROCK INC CL-A USD COM	1.42	235.07	104,368.99	232.20	103,096.80	(1,272.19)		1,776.00	1.72
12,276		BROOKFIELD ASSET MANAGEMENT I	3.76	35.68	438,016.63	22.18	272,281.68	(165,734.95)		6,383.52	2.34
1,899		C H ROBINSON WORLDWIDE INC	1.55	47.43	90,074.51	58.73	111,528.27	21,453.76		1,899.00	1.70
6,130		CEMEX SA SPONS ADR REPR 5 PART CER	1.00	11.91	73,027.03	11.82	72,456.61	(570.42)		0.00	0.00
5,689		CHARLES SCHWAB CORP USD COM	1.48	18.48	105,119.47	18.82	107,066.98	1,947.51		1,365.36	1.28
4,312		CISCO SYSTEMS INC USD COM	1.42	17.81	76,784.46	23.94	103,229.28	26,444.82		0.00	0.00
422		CME GROUP INC	1.96	411.19	173,520.97	335.95	141,770.90	(31,750.07)		1,941.20	1.37
2,568		CORPORATE EXECUTIVE BOARD CO USD	0.81	88.51	227,299.42	22.82	58,601.76	(168,697.66)		1,129.92	1.93
5,157		EBAY INC USD COM	1.67	32.83	169,290.12	23.54	121,395.78	(47,894.34)		0.00	0.00
6,916		EXPEDITORS INTERNATIONAL OF WASHI	3.31	34.13	236,036.76	34.73	240,192.68	4,155.92		2,628.08	1.09
317		FIRST SOLAR INC	0.59	305.93	96,979.39	135.40	42,921.80	(54,057.59)		0.00	0.00
966		GEN-PROBE INC USD COM	0.57	64.04	61,865.58	42.90	41,441.40	(20,424.18)		0.00	0.00
819		GOOGLE INC CL A	7.01	422.65	346,152.78	619.98	507,763.62	161,610.84		0.00	0.00
4,253		ILLUMINA INC USD COM	1.80	36.38	154,735.81	30.65	130,354.45	(24,381.36)		0.00	0.00
368		INTUITIVE SURGICAL INC USD COM	1.54	295.50	108,745.38	303.32	111,621.76	2,876.38		0.00	0.00

Morgan Stanley

Valuation Currency USD Pricing Date 31-Dec-2009
 Basis Trade Date Appraisal Date 31-Dec-2009

ALTMAN FOUNDATION EQUITY Portfolio Valuation by Security

Nominal Ratings	Shares/ Security Description	% of Port.	Unit Cost	Total Cost	Mkt. Price	Market Value	Unrealized Gain/Loss	Accrued Income	Est Ann. Inc.	Current Yield
5,609	LAS VEGAS SANDS CORP	1.16	11.99	67,273.28	14.94	83,798.46	16,525.18		0.00	0.00
8,687	LEUCADIA NATIONAL CORP USD COM	2.85	48.82	424,066.97	23.79	206,663.73	(217,403.24)		0.00	0.00
3,091	LOEWS CORP USD COM	1.55	41.70	128,884.66	36.35	112,357.85	(16,526.81)		772.75	0.69
1,117	MARTIN MARIETTA MATERIALS INC USD	1.38	127.91	142,869.94	89.41	99,870.97	(42,998.97)		1,787.20	1.79
1,186	MASTERCARD INC-CL A	4.19	201.93	239,488.97	255.98	303,592.28	64,103.31		711.60	0.23
1,830	MCGRAW-HILL COMPANIES INC USD COM	0.85	26.91	49,240.03	33.51	61,323.30	12,083.27		1,720.20	2.81
3,574	MONSANTO CO	4.03	46.75	167,072.48	81.75	292,174.50	125,102.02		3,788.44	1.30
2,692	MONSTER WORLDWIDE INC USD COM	0.65	40.58	109,252.66	17.40	46,840.80	(62,411.86)		0.00	0.00
1,918	RANGE RESOURCES CORP USD COM	1.32	49.07	94,111.02	49.85	95,612.30	1,501.28		306.88	0.32
2,021	RESEARCH IN MOTION LTD USD COM	1.88	98.67	199,402.28	67.54	136,498.34	(62,903.94)		0.00	0.00
2,500	SALESFORCE COM INC USD COM	2.54	40.29	100,733.15	73.77	184,425.00	83,691.85		0.00	0.00
873	SEARS HOLDINGS CORP	1.01	58.19	50,796.39	83.45	72,851.85	22,055.46		0.00	0.00
8,621	STARBUCKS CORP USD COM	2.74	26.28	226,556.95	23.06	198,800.26	(27,756.69)		3,448.40	1.73
14,923	TENCENT HOLDINGS LTD US	4.46	8.38	125,054.74	21.68	323,547.06	198,492.32		0.00	0.00
5,738	ULTRA PETROLEUM CORP USD COM	3.95	66.04	378,915.01	49.86	286,096.68	(92,818.33)		0.00	0.00
1,031	VISA INC-CLASS A SHARES	1.24	76.68	79,059.59	87.46	90,171.26	11,111.67		515.50	0.57
1,503	VMWARE INC	0.88	58.77	88,328.57	42.38	63,697.14	(24,631.43)		0.00	0.00
3,635	WYNN RESORTS LTD USD COM	2.92	96.19	349,633.57	58.23	211,202.05	(137,967.52)		0.00	0.00
Total EQUITY SECURITIES		94.09		6,880,038.21		6,819,202.98	(60,371.23)	474.75	33,395.45	

Altman Foundation

Account #:

As of 12/31/2009



Portfolio Holdings

	Shares	Unit Cost	Cost	Unit Price	Market Value	% of Total	Unrealized Gain/(Loss)
EQUITIES							
CONSUMER DISCRETIONARY			728,836		797,386	13.05%	68,550
AMERIGON INC	9,300	7.40	68,841	7.94	73,842	1.21%	5,001
BIG LOTS INC	4,100	26.28	107,747	28.98	118,818	1.94%	11,071
CHINACAST EDUCATION CORP	8,200	7.11	58,308	7.56	61,992	1.01%	3,684
COLLECTIVE BRANDS INC	3,200	14.69	47,008	22.77	72,864	1.19%	25,856
JO ANN STORES INC	2,100	32.56	68,382	36.24	76,104	1.25%	7,722
PEP BOYS MANNY MOE & JACK COM	9,900	9.67	95,734	8.46	83,754	1.37%	(11,980)
SNAP ON INC COM	3,500	37.41	130,932	42.26	147,910	2.42%	16,978
THOR INDS INC	3,400	30.01	102,026	31.40	106,760	1.75%	4,734
WENDY'S/ARBY'S GROUP INC	11,800	4.23	49,859	4.69	55,342	.91%	5,483
CONSUMER STAPLES			111,931		144,752	2.37%	32,821
IMPERIAL SUGAR CO NEW COM NEW	8,300	13.49	111,931	17.44	144,752	2.37%	32,821
ENERGY			291,498		343,090	5.61%	51,592
CHINA INTEGRATED ENERGY INC	9,603	5.76	55,294	7.04	67,605	1.11%	12,311
KODIAK OIL & GAS CORP	50,403	1.21	61,217	2.22	111,895	1.83%	50,678
PATTERSON UTI ENERGY INC COM	7,800	17.06	133,105	15.35	119,730	1.96%	(13,375)
T-3 ENERGY SERVICES INC COM	1,720	24.35	41,882	25.50	43,860	.72%	1,978

Altman Foundation

Account #:

As of 12/31/2009



Portfolio Holdings

EQUITIES (Continued)

	Shares	Unit Cost	Cost	Unit Price	Market Value	% of Total	Unrealized Gain/(Loss)
FINANCIALS			1,330,329		1,490,935	24.40%	160,605
AFFILIATED MANAGERS GROUP INC	1,300	64.66	84,055	67.35	87,555	1.43%	3,500
ALLIED WORLD ASSURANCE CO HOLDINGS LTD	2,400	46.23	110,946	46.07	110,568	1.81%	(378)
AMERICAN CAMPUS COMMUNITIES INC	3,800	21.17	80,446	28.10	106,780	1.75%	26,334
BANK OF THE OZARKS INC	2,300	24.23	55,734	29.27	67,321	1.10%	11,587
EAST WEST BANCORP INC COM	5,900	8.85	52,240	15.80	93,220	1.53%	40,980
FBR CAPITAL MARKETS CORP	21,180	4.99	105,583	6.18	130,892	2.14%	25,310
FIFTH STREET FINANCE CORP	12,447	10.58	131,647	10.74	133,681	2.19%	2,034
FIRST NIAGARA FINL GROUP INC COM NEW	8,100	12.00	97,200	13.91	112,671	1.84%	15,471
FIRST POTOMAC REALTY TRUST	9,800	11.31	110,867	12.57	123,186	2.02%	12,319
KENNEDY-WILSON HOLDINGS INC	10,200	9.46	96,452	8.95	91,290	1.49%	(5,162)
PACIFIC CONTINENTAL CORP	6,221	8.99	55,950	11.44	71,168	1.16%	15,219
RESOURCE CAP CORP COM	25,500	4.68	119,260	4.92	125,460	2.05%	6,200
TOWER GROUP INC	6,908	24.32	168,001	23.41	161,716	2.65%	(6,284)
WASHINGTON FEDERAL INC	3,900	15.88	61,950	19.34	75,426	1.23%	13,476
HEALTH CARE			611,987		670,820	10.98%	58,833
AIR METHODS CORP	2,000	25.61	51,225	33.62	67,240	1.10%	16,015
CLARIANT INC	32,697	3.27	106,918	2.65	86,647	1.42%	(20,271)
HAEMONETICS CORP MASS COM	1,800	54.65	98,372	55.15	99,270	1.62%	898
HEALTH MGMT ASSOC INC NEW CL A COM	8,200	7.33	60,139	7.27	59,614	.98%	(525)
HYTHIAM WARRANTS	1,000	0.00	0	0.00	0	0.00%	0
NEKTAR THERAPEUTICS COM	12,200	5.45	66,509	9.32	113,704	1.86%	47,195
PAREXEL INTL CORP	9,400	13.35	125,477	14.10	132,540	2.17%	7,063
WRIGHT MEDICAL GROUP INC	5,900	17.52	103,348	18.95	111,805	1.83%	8,457
INDUSTRIALS			838,485		876,147	14.34%	37,662
ACTUANT CORP CL A NEW	6,300	14.91	93,923	18.53	116,739	1.91%	22,816
ATLAS AIR WORLDWIDE HLDGS INC COM NEW	3,600	25.93	93,354	37.25	134,100	2.19%	40,746
BE AEROSPACE INC	4,400	15.82	69,593	23.50	103,400	1.69%	33,807
BLACKLIGHT POWER INC	8	7,000.00	56,000	7,000.00	56,000	.92%	0
C & D TECHNOLOGIES INC	40,362	3.43	138,605	1.55	62,561	1.02%	(76,044)
CENVEO INC COM	8,900	7.92	70,506	8.75	77,875	1.27%	7,369
CLARCOR INC	3,300	32.61	107,599	32.44	107,052	1.75%	(547)
FRANKLIN ELEC INC	3,500	28.69	100,403	29.08	101,780	1.67%	1,377
KENAMETAL INC	4,500	24.11	108,501	25.92	116,640	1.91%	8,139

Altman Foundation

Account #:

As of 12/31/2009



Portfolio Holdings

EQUITIES (Continued)

	Shares	Unit Cost	Cost	Unit Price	Market Value	% of Total	Unrealized Gain/(Loss)
INFORMATION TECHNOLOGY			1,000,729		1,059,008	17.33%	58,279
ANIXTER INTL INC COM	2,100	45.85	96,293	47.10	98,910	1.62%	2,617
CACI INTERNATIONAL INC	2,200	42.70	93,943	48.85	107,470	1.76%	13,527
EMULEX CORP NEW	11,100	9.82	109,047	10.90	120,990	1.98%	11,943
EPICOR SOFTWARE CORP	12,300	6.43	79,133	7.62	93,726	1.53%	14,593
HILLENBRAND INC	4,700	18.99	89,236	18.84	88,548	1.45%	(688)
JDA SOFTWARE GROUP INC	4,300	22.04	94,783	25.47	109,521	1.79%	14,738
PERICOM SEMICONDUCTOR CORP	8,843	10.85	95,938	11.53	101,960	1.67%	6,021
RADIANT SYS INC	9,500	10.56	100,308	10.40	98,800	1.62%	(1,508)
RADISYS CORP	7,900	9.94	78,491	9.55	75,445	1.23%	(3,046)
RF MICRO DEVICES INC COM	18,200	4.82	87,642	4.77	86,814	1.42%	(828)
WEBSense INC COM	4,400	17.25	75,914	17.46	76,824	1.26%	910
MATERIALS			301,197		306,565	5.02%	5,368
BOISE INC	16,000	4.93	78,890	5.31	84,960	1.39%	6,070
CALGON CARBON CORP	8,500	14.47	123,035	13.90	118,150	1.93%	(4,885)
INNOPHOS HOLDINGS INC	4,500	22.06	99,272	22.99	103,455	1.69%	4,183
TELECOMMUNICATION SERVICES			76,838		73,455	1.20%	(3,383)
PAETEC HLDG CORP COM	17,700	4.34	76,838	4.15	73,455	1.20%	(3,383)
UTILITIES			243,130		250,125	4.09%	6,995
AMERICAN STS WTR CO	3,000	34.91	104,728	35.41	106,230	1.74%	1,502
DYNEGY INC DEL CL A	33,900	1.93	65,541	1.81	61,359	1.00%	(4,182)
WESTAR ENERGY INC COM	3,800	19.17	72,860	21.72	82,537	1.35%	9,676
TOTAL EQUITIES			5,534,961		6,012,283	98.39%	477,322

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Account number 2266491
TANENT-NTG 688 F500 ED

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Page 4 of 30

Asset Detail - Base Currency

Description/Asset ID	Exchange rate/ Investment Mgr ID	Accrued Income/expense	Market value	Cost	Market	Translation	Total
Shares/PAR value	Local market price						

Equities

Common stock

United States - USD

MFB NTGI COM DAILY S&P500 EQTY	INDEX-LENDING	CUSIP 003996022
41,172.26	9 1530000	627 60
	376,849.69	489,945.96
	- 113,096.27	0.00
Total USD	627.60	- 113,096.27
Total United States	627.60	- 113,096.27

**Altman Foundation
Other Investments
For the Year Ended December 31, 2009
EIN# 13-1623879**

Net Unsettled Securities Trades

Morgan Stanley Large Growth - Equities	\$ 479
NorthPointe - Equities	35,372

Net Unsettled Securities Trades **\$ 35,851**

Private Equity

Common Fund International V	1,384,997
Common Fund International VI	2,497,105
Common Fund Natural Resources Fund	3,106,862
Common Fund Private Equity V	2,491,098
Common Fund Private Equity VI	2,642,471
Common Fund Private Equity VII	1,670,600
Common Fund Venture Partners VI	1,525,513
Common Fund Venture Partners VII	2,361,048
FLAG International Partnership II	899,172
Goldman-Sachs Vintage Fund V	1,567,757
Invesco Non-US Partnership Fund III	915,902
Invesco Partnership Fund III	2,472,541
Invesco US Buyout Out & Expansion Capital Partnership Fund III	1,927,713
Metropolitan Real Estate Partners 2008 Distressed Co-Investment Fund	2,265,734
Rockefeller 2006 Global Opportunities	2,699,766
Rockefeller Access Fund 01	1,830,719

Total Private Equity **\$ 32,258,998**

International Equity Funds

Capital International Emerging Markets	\$ 17,050,631
Silchester International Equity	22,741,166

Total International Equity Funds **\$ 39,791,797**

Real Asset Funds

Common Fund Realty Investors	\$ 840,934
Metropolitan Real Estate Partners V	1,205,302
PIMCO Commodity Real Return	5,278,898
REEFF America REIT II	4,100,927

Total Real Asset Funds **\$ 11,426,061**

Alternative Investments

Archipelago Holdings	\$ 10,233,060
Blackstone Park Ave.	12,408,079
Brigade Leveraged Capital	20,349,493
Convexity Capital Offshore	16,575,901
Double Eagle Offshore Capital Ace Fund	12,811,771
K2 Overseas Investors I	12,681,170
Pine Grove Offshore Fund	11,425,521
Renaissance	-

Total Alternative Investments **\$ 96,484,995**

Total Other Investments **\$ 179,997,702**

Form **8868**

(Rev. April 2009)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.***Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization	Employer identification number
	ALTMAN FOUNDATION	13-1623879
	Number, street, and room or suite no. If a P.O. box, see instructions. 521 FIFTH AVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10175	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

THE FOUNDATION

- The books are in the care of ▶
- 521 FIFTH AVE - NEW YORK, NY 10175**

Telephone No. ▶ **(212) 682-0970**

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until
- AUGUST 15, 2010**
- , to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

▶ ☒ calendar year **2009** or▶ ☐ tax year beginning _____, and ending _____.

- 2 If this tax year is for less than 12 months, check reason:
- ☐
- Initial return
- ☐
- Final return
- ☐
- Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 54,772.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 54,772.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	ALTMAN FOUNDATION	13-1623879
	Number, street, and room or suite no. If a P.O. box, see instructions.	For IRS use only
	521 FIFTH AVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10175	

Check type of return to be filed (File a separate application for each return):

- ☐ Form 990
 ☐ Form 990-EZ
 ☐ Form 990-T (sec. 401(a) or 408(a) trust)
 ☐ Form 1041-A
 ☐ Form 5227
 ☐ Form 8870
☐ Form 990-BL
 ☒ Form 990-PF
 ☐ Form 990-T (trust other than above)
 ☐ Form 4720
 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION

- The books are in the care of **521 FIFTH AVE - NEW YORK, NY 10175**

Telephone No. **(212) 682-0970**

FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010.**

5 For calendar year **2009**, or other tax year beginning , and ending .

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

**ADDITIONAL TIME IS REQUIRED TO ACCUMULATE THE NECESSARY INFORMATION
NEEDED TO FILE A COMPLETE AND ACCURATE RETURN**

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	54,772.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	54,772.
c	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **[Signature]** Title **CPA**

Date **8/3/10**