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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)	OMB No 1545-0047 2009 Open to Public Inspection
	The organization may have to use a copy of this return to satisfy state reporting requirements	

A For the 2009 calendar year, or tax year beginning 01-01-2009 and ending 12-31-2009				
B Check if applicable ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	Please use IRS label or print or type. See Specific Instructions.	C Name of organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS		D Employer identification number 13-1623829
		Doing Business As ASPCA		E Telephone number (212) 876-7700
		Number and street (or P O box if mail is not delivered to street address) 424 EAST 92ND STREET	Room/suite	G Gross receipts \$ 140,595,158
		City or town, state or country, and ZIP + 4 NEW YORK, NY 101286804		
	F Name and address of principal officer edwin sayres 520 EIGHTH AVENUE 7TH FLOOR NEW YORK, NY 10018		H(a) Is this a group return for affiliates? ☐ Yes ☒ No H(b) Are all affiliates included? ☐ Yes ☐ No If "No," attach a list (see instructions) H(c) Group exemption number ▶	
I Tax-exempt status ☒ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527				
J Website: ▶ www.ASPCA.ORG				
K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶		L Year of formation 1866	M State of legal domicile NY	

Part I		Summary		
Activities & Governance	1	Briefly describe the organization's mission or most significant activities THE ASPCA PROVIDES EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS THROUGHOUT THE UNITED STATES		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3 2	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4 2	
	5	Total number of employees (Part V, line 2a)	5 61	
	6	Total number of volunteers (estimate if necessary)	6 56	
	7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	
	7b	Net unrelated business taxable income from Form 990-T, line 34	7b	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	111,795,303	101,011,832
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	11,897,449	13,195,464
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	547,053	-950,734
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	3,631,440	3,966,106
	12		127,871,245	117,222,668
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	6,462,726	4,793,365
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	34,708,520	41,194,866
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	1,348,461	3,409,219
	b	Total fundraising expenses (Part IX, column (D), line 25) 19,925,068		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	51,144,775	57,305,260
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	93,664,482	106,702,710
	19	Revenue less expenses Subtract line 18 from line 12	34,206,763	10,519,958
	Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year
21		Total liabilities (Part X, line 26)	137,794,176	184,893,405
22		Net assets or fund balances Subtract line 21 from line 20	18,586,975	18,235,237
22			119,207,201	166,658,168

Part II		Signature Block	
Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge		
	***** Signature of officer		2010-11-15 Date
	JULIA NELSON CHIEF FINANCIAL OFFICER Type or print name and title		
Paid Preparer's Use Only	Preparer's signature 		Date
	Check if self-employed ☒		Preparer's identifying number (see instructions)
	Firm's name (or yours if self-employed), address, and ZIP + 4  GRANT THORNTON LLP 666 THIRD AVENUE NEW YORK, NY 100174011		EIN  Phone no  (212) 599-0100

Part III

Statement of Program Service Accomplishments

1

Briefly describe the organization’s mission

THE ASPCA PROVIDES EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS THROUGHOUT THE UNITED STATES
THE VISION OF THE ASPCA IS THAT THE UNITED STATES IS A HUMANE COMMUNITY IN WHICH ALL ANIMALS ARE TREATED
WITH RESPECT AND KINDNESS

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If “Yes,” describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If “Yes,” describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization’s three largest program services by expenses

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 25,776,705 including grants of \$ 0) (Revenue \$ 0)

COMMUNICATIONS Communications includes activities to create public awareness of animal related issues Print, broadcast and online media exposure increased 139% in 2009 compared to 2008 This translated into many opportunities for members of the public, legislators and donors to see or hear the ASPCA's humane messages ASPCA experts were interviewed for more than 150 stories on animal cruelty issues, including the Missouri dog fighting raids, the reinstatement of Michael Vick into the NFL, the rise in cat cruelty cases, the "Crush Video" Supreme Court case, and pets and domestic violence Also included in Communications is the cost of educational and informational material related to animal matters included in our many direct response communications to members and the public The ASPCA receives donated media resources for public service videos and sign displays related to the Society's mission The value of such resources are included within revenue and support and communication program expenses in the audited financial statements, however, such amounts are not reported in the Form 990 As a consequence, total communications program expenditures are reduced by donated media resources of \$585,453 and donated legal fees of \$51,067

4b

(Code) (Expenses \$ 24,312,901 including grants of \$ 0) (Revenue \$ 13,195,463)

ANIMAL HEALTH SERVICES Animal Health Services includes the Bergh Memorial Animal Hospital in New York City, the ASPCA Mobile Spay/Neuter program with five mobile veterinary vans providing spay/neuter surgeries in underserved areas of the 5 boroughs of New York City, the Animal Poison Control Center (APCC) a 24 hour telephone hotline in Urbana, IL for emergency animal toxicology treatment, animal behavior and shelter veterinary services In 2009 , ASPCA veterinarians performed an average of 50 animal exams per day at Bergh Hospital, a 6% increase over 2008 Over 1,350 pets of special needs clients received free medical care through the Trooper Fund, an increase of 35% over 2008 The fund also helped 57% more Animal Care & Control of New York City Trooper animals than it did in 2008 In the mobile clinics 31,128 spay/neuter surgeries were performed, a 34% increase over the prior year APCC handled 179,000 new cases which helped save the lives of 117,000 animals in 2009 APCC has a total of 13 board-certified veterinary toxicologists-more than any other single organization in the United States The APCC participated in a radio media tour which focused on preventing pet poisonings by educating guardians on the danger of not properly storing medications, cleaning products and pesticides ASPCA Counseling Services handled over 2600 calls in 2009 through a 24 hour toll-free national pet loss hotline providing crisis intervention for pet owners anticipating the loss of their pet or already grieving from such loss

4c

(Code) (Expenses \$ 14,601,016 including grants of \$ 0) (Revenue \$ 0)

COMMUNITY OUTREACH Community Outreach includes a state-of-the art animal adoptions center in New York City and extensive outreach, education, and training programs in communities throughout the United States During 2009, total adoptions made from the ASPCA Adoption Center and the ASPCA Mobile Adoption Center rose by 15% from 2008 There was a 21% increase in cat adoptions The ASPCA Adoption Center also took in 1153 animals from Animal Care & Control of New York City, the largest number of animals to be transferred to a single agency During 2009, ASPCA Mission Orange continued efforts in five partner communities (Austin, TX, Charleston, SC, Oklahoma City, OK, Spokane, WA, and Tampa, FL) in support of animal welfare and education, resulting in measurable and sustainable increases in adoptions, improved save rates of animals most at risk in shelters, and animal cruelty prevention ASPCA Partnership communities defied the national trend, The total intake decrease across all communities was 6,546 while adoptions increased by 3,879 Through ASPCAPro.org, our website with information from our nationally recognized programs and experts, we reached professionals with 200 web pages of content and 500 downloadable materials including expert advice on adoption, foster care, fighting cruelty and animal abuse The Veterinary Outreach Department presented 157 workshops to more than 6,147 individuals, including 15 workshops for 550 professionals from 162 agencies serving every region of the country on the subject of Meet your Match - a program which matches adoptable animals with ideal pet parents, thereby ensuring more successful adoptions Veterinary Outreach also provided information and materials to 12,931 shelters and members of the general public Expenditures related to sales of goods are not reported in Part IX, but rather are reclassified to offset revenue on Part VIII, Line 10a As a consequence, total community outreach expenditures are reduced by costs of goods sold of \$808,935

4d

Other program services (Describe in Schedule O) See also Additional Data for Description

(Expenses \$ 14,027,148 including grants of \$ 4,793,365) (Revenue \$ 40,328)

4e

Total program service expenses \$ 78,717,770

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Part IV

Checklist of Required Schedules

		Yes	No					
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1	Yes					
2	Is the organization required to complete Schedule B, Schedule of Contributors? 	2	Yes					
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3		No				
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II 	4	Yes					
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5						
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6		No				
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7		No				
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8		No				
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9		No				
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	Yes					
11	Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. 	11	Yes					
	◆ Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.							
	◆ Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.							
	◆ Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.							
	◆ Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.							
	◆ Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.							
	◆ Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.							
12	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12	Yes					
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? <table><tr><td>Yes</td><td>No</td></tr><tr><td>12A</td><td>No</td></tr></table>	Yes	No	12A	No			
Yes	No							
12A	No							
	If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional 							
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		No				
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No				
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I 	14b	Yes					
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S? If "Yes," complete Schedule F, Part II 	15		No				
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S? If "Yes," complete Schedule F, Part III 	16		No				
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I 	17	Yes					
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18	Yes					
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19		No				
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		No				

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34		No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35		No
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096, <i>Annual Summary and Transmittal of U.S. Information Returns</i> . Enter -0- if not applicable	1a	205	
	b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	
c			1c	Yes
2a			2a	619
b			2b	Yes
3a			3a	No
b			3b	
4a			4a	No
b				
5a			5a	No
b			5b	No
c			5c	
6a			6a	No
b			6b	
7			7a	Yes
a			7b	Yes
c			7c	No
d			7d	
e			7e	No
f			7f	No
g			7g	
h			7h	
8			8	
9			9a	
b			9b	
10			10a	
b			10b	
11			11a	
b			11b	
12a			12a	
b			12b	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body . . .	1a	25	
b	Enter the number of voting members that are independent . . .	1b	25	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . .	3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a material diversion of the organization's assets? . . .	5		No
6	Does the organization have members or stockholders?	6	Yes	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons? . . .	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	AL , AK , AZ , CA , CO , CT , FL , GA , HI , IL , KS , KY , LA , ME , MD , MA , MI , MN , MS , NJ , NM , NY , NC , ND , OH , OK , OR , PA , RI , SC , TN , UT , VA , WA , WV , WI
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply. ☒ Own website ☐ Another's website ☒ Upon request	
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization.	JULIA NELSON 520 EIGHTH AVENUE 7TH FLOOR NEW YORK, NY 10018 (646) 291-4533

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

Form 990 (2009)

1b Total	4,015,807	0	550,660
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2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization▶20

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
EAGLE-COM INC 641 LEXINGTON AVENUE 15TH FLOOR NEW YORK, NY 10022	Fundraising Services	9,681,180
SMS DIRECT INC 7540 MASON KING CT MANASSAS, VA 20109	Direct mail services	2,998,376
TIGERTEL COMMUNICATIONS INC 2 DUNCAN MILLS ROAD TORONTO, ONTARIO MSB1Z4 CA	Fundraising services	2,978,332
PATTON KIEHL GROUP INC 17026 BULL CHURCH ROAD WOODFORD, VA 22580	direct marketing	2,169,209
ATLANTIC LIST COMPANY INC 2425 WILSON BLVD SUITE 500 ARLINGTON, VA 22201	Direct Mail services	1,313,503

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶57

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a2,495,641	101,011,832				
	b	Membership dues	1b					
	c	Fundraising events	1c366,872					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e40,328					
	f	All other contributions, gifts, grants, and similar amounts not included above	1f98,108,991					
	g	Noncash contributions included in lines 1a-1f \$ 656,585						
	h	Total. Add lines 1a-1f						
Program Service Revenue			Business Code					
	2a	ANIMAL POISON CONTROL CENTER FEES	900,099	6,006,006	6,006,006			
	b	BERGH ANIMAL HOSPITAL FEES	900,099	5,214,843	5,214,843			
	c	MOBILE VETERINARY CLINIC REVENUE	900,099	1,309,022	1,309,022			
	d	ADOPTION CENTER FEES	900,099	665,593	665,593			
	e							
	f	All other program service revenue						
	g	Total. Add lines 2a-2f		13,195,464				
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		2,106,292			2,106,292	
	4	Income from investment of tax-exempt bond proceeds . . .		0				
	5	Royalties		2,288,363			2,288,363	
	6a	(i) Real		189,774			189,774	
		Gross Rents	284,164					
		b	Less rental expenses					94,390
		c	Rental income or (loss)					189,774
	d	Net rental income or (loss)						
	7a	(i) Securities		-3,057,026			-3,057,026	
		Gross amount from sales of assets other than inventory	18,943,428					
		b	Less cost or other basis and sales expenses					22,000,454
		c	Gain or (loss)					-3,057,026
	d	Net gain or (loss)						
	8a	Gross income from fundraising events (not including \$ 366,872 of contributions reported on line 1c) See Part IV, line 18		1,123,690	654,979		654,979	
		a						
		b	Less direct expenses					b468,711
	c	Net income or (loss) from fundraising events . . .						
	9a	Gross income from gaming activities See Part IV, line 19			0			
		a						
		b	Less direct expenses					b
	c	Net income or (loss) from gaming activities . . .						
	10a	Gross sales of inventory, less returns and allowances		966,151	157,216		157,216	
		a						
b		Less cost of goods sold	b808,935					
c	Net income or (loss) from sales of inventory . . .							
Miscellaneous Revenue		Business Code						
11a	LIST SALES		900,099	302,822		302,822		
b	ANIMAL ASSISTED THERAPY		900,099	1,760	1,760			
c	ANIMAL TRAINING FEES		900,099	41,738	41,738			
d	All other revenue			329,454		329,454		
e	Total. Add lines 11a-11d			675,774				
12	Total revenue. See Instructions			117,222,668	13,238,962	0	2,971,874	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	4,793,365	4,793,365		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	0			
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	3,675,933	3,097,355	283,377	295,201
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	27,831,959	23,455,717	2,143,402	2,232,840
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	2,120,248	1,827,306	148,813	144,129
9	Other employee benefits	5,107,188	4,401,558	358,457	347,173
10	Payroll taxes	2,459,538	2,119,718	172,628	167,192
11	Fees for services (non-employees)				
a	Management	48,036		48,036	
b	Legal	3,963,110	439,010	3,255,706	268,394
c	Accounting	370,350	90,753	267,017	12,580
d	Lobbying	68,077	68,077		
e	Professional fundraising See Part IV, line 17	3,409,219			3,409,219
f	Investment management fees	293,949		293,949	
g	Other	2,243,580	1,974,313	88,388	180,879
12	Advertising and promotion	3,126,267	3,114,641		11,626
13	Office expenses	3,005,727	2,602,435	127,371	275,921
14	Information technology	2,283,297	2,022,661	201,909	58,727
15	Royalties	0			
16	Occupancy	2,767,554	2,104,030	205,856	457,668
17	Travel	1,464,171	1,275,508	75,952	112,711
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	843,457	734,775	43,753	64,929
20	Interest	0			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	2,165,958	1,894,274	177,333	94,351
23	Insurance	481,194	444,662	13,976	22,556
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	DIRECT RESPONSE COSTS	27,649,219	16,110,621	20,025	11,518,573
b	VETERINARY AND MEDICAL SRVS	3,756,747	3,756,747		
c	REPAIRS AND MAINTENANCE	569,899	550,908	8,967	10,024
d	AUTO EXPENSE	237,457	233,487	3,623	347
e	OPERATING SUPPLIES	1,226,724	1,012,909	34,835	178,980
f	All other expenses	740,487	592,940	86,499	61,048
25	Total functional expenses. Add lines 1 through 24f	106,702,710	78,717,770	8,059,872	19,925,068
26	Joint costs. Check here ☒ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	26,334,319	14,349,511	2,147,751	9,837,057

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			574,260	1	1,819,183
	2	Savings and temporary cash investments			22,656,764	2	31,771,394
	3	Pledges and grants receivable, net			50,000	3	0
	4	Accounts receivable, net			17,751,997	4	13,313,612
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L				6	
	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use			299,835	8	331,141
	9	Prepaid expenses and deferred charges			1,266,348	9	2,969,465
	10a	Land, buildings, and equipment, cost or other basis. Complete Part VI of Schedule D	10a	40,516,477			
	b	Less accumulated depreciation	10b	14,281,244	27,361,443	10c	26,235,233
	11	Investments—publicly traded securities			45,168,872	11	66,917,804
	12	Investments—other securities. See Part IV, line 11			11,273,367	12	21,560,078
	13	Investments—program-related. See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets. See Part IV, line 11			11,391,290	15	19,975,495
	16	Total assets. Add lines 1 through 15 (must equal line 34)			137,794,176	16	184,893,405
Liabilities	17	Accounts payable and accrued expenses			7,771,907	17	9,092,703
	18	Grants payable			2,762,000	18	707,352
	19	Deferred revenue			720,753	19	1,271,614
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities. Complete Part X of Schedule D			7,332,315	25	7,163,568
	26	Total liabilities. Add lines 17 through 25			18,586,975	26	18,235,237
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			79,624,634	27	107,111,609
	28	Temporarily restricted net assets			27,532,209	28	37,843,369
	29	Permanently restricted net assets			12,050,358	29	21,703,190
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			119,207,201	33	166,658,168
	34	Total liabilities and net assets/fund balances			137,794,176	34	184,893,405

Part XI Financial Statements and Reporting

	Yes	No
1 Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? . . .		No
b Were the organization's financial statements audited by an independent accountant?	Yes	
c If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . . .		

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number 13-1623829
--	--

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☒

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	64,540,286	51,103,494	64,062,126	111,795,303	101,011,832	392,513,041
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	64,540,286	51,103,494	64,062,126	111,795,303	101,011,832	392,513,041
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						392,513,041

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	64,540,286	6,166,081	64,062,126	111,795,303	101,011,832	392,513,041
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	4,114,181	6,166,081	7,085,544	5,389,353	4,678,819	27,433,978
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets	656,487	1,843,046	2,407,104	2,793,348	2,765,619	10,465,604
11 Total support (Add lines 7 through 10)						430,412,623

12

Gross receipts from related activities, etc (See instructions)

12

52,134,731

13

First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

14	Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f))	14	91.195 %
15	Public Support Percentage for 2008 Schedule A, Part II, line 14	15	85.026 %

16a

33 1/3% support test—2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

b

33 1/3% support test—2008. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

17a

10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization

b

10%-facts-and-circumstances test—2008. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization

18

Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions

Part IIISupport Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3Gross receipts from activities that are not an unrelated trade or business under section 513						
4Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5The value of services or facilities furnished by a governmental unit to the organization without charge						
6Total. Add lines 1 through 5						
7aAmounts included on lines 1, 2, and 3 received from disqualified persons						
bAmounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
cAdd lines 7a and 7b						
8Public Support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9Amounts from line 6						
10aGross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
bUnrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
cAdd lines 10a and 10b						
11Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13Total support (Add lines 9, 10c, 11 and 12.)						

14First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here

☐

Section C. Computation of Public Support Percentage

15Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	15	
16Public support percentage from 2008 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17Investment income percentage for 2009 (line 10c column (f) divided by line 13 column (f))	17	
18Investment income percentage from 2008 Schedule A, Part III, line 17	18	

19a33 1/3% support tests—2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

☐

b33 1/3% support tests—2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

☐

20Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions

☐

Part IV

Supplemental Information. Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

Additional Data

Software ID:
Software Version:
EIN: 13-1623829
Name: THE AMERICAN SOCIETY FOR THE PREVENTION OF
CRUELTY TO ANIMALS

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services					
(Code)	(Expenses \$	9,002,907	including grants of \$	0)	(Revenue \$ 40,328)
Animal Cruelty Programs					
(Code)	(Expenses \$	5,024,241	including grants of \$	4,793,365)	(Revenue \$ 0)
Grants and Scholarships					
(Code)	(Expenses \$		including grants of \$		(Revenue \$)
SEE SCHEDULE O					

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Cindy Adams Director	1 0	X						0	0	0
THOMAS M BELDEN DIRECTOR	1 0	X						0	0	0
Alexandra G Bishop Secretary	1 0	X						0	0	0
ARRIANA BOARDMAN DIRECTOR	1 0	X						0	0	0
J Elizabeth Bradham Vice-chairman	1 0	X						0	0	0
Jonathan D Farkas Director	1 0	X						0	0	0
Fredrick Gradin director	1 0	X						0	0	0
DODIE GUMAER DIRECTOR	1 0	X						0	0	0
Joan C Hendricks VMD PhD Director	1 0	X						0	0	0
Linda L Lambert Director	1 0	X						0	0	0
RANDY LEVINE DIRECTOR	1 0	X						0	0	0
Franklin Maisano Treasurer	1 0	X						0	0	0
Tracy Maitland director	1 0	X						0	0	0
Gurdon H Metz Director	1 0	X						0	0	0
James L Nederlander Jr Director	1 0	X						0	0	0
Marsha Reines Perelman Chairman	1 0	X						0	0	0
JEFF PFEIFLE DIRECTOR	1 0	X						0	0	0
Helen SC Pilkington Director	1 0	X						0	0	0
MARTIN PURIS DIRECTOR	1 0	X						0	0	0
Sally Spooner Secretary	1 0	X						0	0	0
Frederick Tanne Director	1 0	X						0	0	0
Cathy Wallach Director	1 0	X						0	0	0
Bryan Wiener director	1 0	X						0	0	0
Mary Jo White director	1 0	X						0	0	0
Tim Wray director	1 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Edwin Sayres President and CEO	40 0			X				494,711	0	43,903
Julia Nelson CFO	40 0			X				251,624	0	9,243
Stephen Eudene Sr VP/CFO	40 0			X				179,511	0	41,182
Jonelle Sullivan Exec VP of External Affairs	40 0			X				212,451	0	25,589
Laura Maloney Sr VP-Anti-Cruelty	40 0			X				165,358	0	8,749
Steven Zawistowski Executive VP of Programs	40 0				X			238,800	0	49,850
Stephen Musso Exec VP of Capital Projects	40 0				X			224,763	0	42,451
Julio Carbonell Sr VP-ITG	40 0				X			199,784	0	24,952
STEVEN HANSEN SR VP ANIMAL HEALTH SERVICES	40 0				X			193,616	0	44,682
JULIE MORRIS SR VP of COMMUNITY OUTREACH	40 0				X			181,969	0	35,576
MELISSA NORDEN SR VP of CHIEF OF STAFF	40 0				X			168,988	0	18,435
LEE MURRAY SR VP of HUMAN RESOURCES	40 0				X			170,330	0	23,595
TODD HENDRICKS SR VP of DEVELOPMENT	40 0				X			196,020	0	19,357
RANDALL LOCKWOOD SR VP of ANIMAL CRUELTY	40 0				X			180,779	0	42,519
MATT BERSHADKER VP pf Development	40 0				X			167,398	0	19,748
ANNEMARIE SEPULVEDA Special Investigator	40 0					X		193,330	0	14,364
LOUISE MURRAY DIRECTOR OF MEDICINE	40 0					X		176,091	0	26,398
Gail S Buchwald Sr VP of Adoptions	40 0					X		146,802	0	18,370
Patrick M O'Keefe VP-BMAH	40 0					X		135,503	0	17,774
Stacy Wolf VP-HLE	40 0					X		137,979	0	23,923

Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

<i>Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.</i>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
DIRECT RESPONSE COSTS	27,649,219	16,110,621	20,025	11,518,573
VETERINARY AND MEDICAL SRVS	3,756,747	3,756,747		
REPAIRS AND MAINTENANCE	569,899	550,908	8,967	10,024
AUTO EXPENSE	237,457	233,487	3,623	347
OPERATING SUPPLIES	1,226,724	1,012,909	34,835	178,980

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number 13-1623829
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1

Provide a description of the organization's direct and indirect political campaign activities in Part IV

2

Political expenditures

▶ \$

3

Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1

Enter the amount of any excise tax incurred by the organization under section 4955

▶ \$

2

Enter the amount of any excise tax incurred by organization managers under section 4955

▶ \$

3

If the organization incurred a section 4955 tax, did it file Form 4720 for this year?

☐ Yes

☐ No

4a

Was a correction made?

☐ Yes

☐ No

b

If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1

Enter the amount directly expended by the filing organization for section 527 exempt function activities

▶ \$

2

Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities

▶ \$

3

Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b

▶ \$

4

Did the filing organization file **Form 1120-POL** for this year?

☐ Yes

☐ No

5

State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)	215,324													
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	214,098													
c	Total lobbying expenditures (add lines 1a and 1b)	429,422													
d	Other exempt purpose expenditures	107,227,680													
e	Total exempt purpose expenditures (add lines 1c and 1d)	107,657,102													
f	Lobbying nontaxable amount Enter the amount from the following table in both columns	1,000,000													
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g	Grassroots nontaxable amount (enter 25% of line 1f)	250,000													
h	Subtract line 1g from line 1a If zero or less, enter -0-														
i	Subtract line 1f from line 1c If zero or less, enter -0-														
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	☐ Yes ☒ No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying non-taxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	849,101	760,339	776,972	429,422	2,815,834
d Grassroots non-taxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	244,469	244,144	207,441	215,324	911,378

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
	a Volunteers?			
	b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
	c Media advertisements?			
	d Mailings to members, legislators, or the public?			
	e Publications, or published or broadcast statements?			
	f Grants to other organizations for lobbying purposes?			
	g Direct contact with legislators, their staffs, government officials, or a legislative body?			
	h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
	i Other activities? If "Yes," describe in Part IV			
	j Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11, or 12.
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF
CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? YesNo	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit YesNo	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
2a	
2b	
2c	
2d	

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

YesNo

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

YesNo

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

► \$

(ii) Assets included in Form 990, Part X

► \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

► \$

b

Assets included in Form 990, Part X

► \$

For Privacy Act and Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2009

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance	5,418,864	870,794		
b	Contributions	30,050,587	4,548,070		
c	Investment earnings or losses	338,766	27,148		
d	Grants or scholarships				
e	Other expenditures for facilities and programs		27,148		
f	Administrative expenses	252,576			
g	End of year balance	35,555,641	5,418,864		

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 80 720 % %

b

Permanent endowment ▶ 19 280 % %

c

Term endowment ▶ %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

3a(i)

☐ Yes

☐ No

(ii)

related organizations

3a(ii)

☐ Yes

☐ No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

☐ Yes

☐ No

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		4,440,000		4,440,000
b Buildings		14,761,877	6,476,453	8,285,424
c Leasehold improvements		11,716,891	2,505,268	9,211,623
d Equipment		6,911,721	4,031,935	2,879,786
e Other		2,685,988	1,267,588	1,418,400
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				26,235,233

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements		
1	Total revenue (Form 990, Part VIII, column (A), line 12)	117,222,668
2	Total expenses (Form 990, Part IX, column (A), line 25)	106,702,710
3	Excess or (deficit) for the year Subtract line 2 from line 1	10,519,958
4	Net unrealized gains (losses) on investments	18,304,509
5	Donated services and use of facilities	
6	Investment expenses	
7	Prior period adjustments	16,093,217
8	Other (Describe in Part XIV)	2,533,283
9	Total adjustments (net) Add lines 4 - 8	36,931,009
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	47,450,967

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return		
1	Total revenue, gains, and other support per audited financial statements	139,211,956
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12	
a	Net unrealized gains on investments2a18,304,509	
b	Donated services and use of facilities2b636,520	
c	Recoveries of prior year grants2c	
d	Other (Describe in Part XIV)2d3,342,218	
e	Add lines 2a through 2d2e22,283,247	
3	Subtract line 2e from line 13116,928,709	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1	
a	Investment expenses not included on Form 990, Part VIII, line 7b4a293,959	
b	Other (Describe in Part XIV)4b	
c	Add lines 4a and 4b4c293,959	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)5117,222,668	

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return		
1	Total expenses and losses per audited financial statements	107,854,206
2	Amounts included on line 1 but not on Form 990, Part IX, line 25	
a	Donated services and use of facilities2a636,520	
b	Prior year adjustments2b	
c	Other losses2c	
d	Other (Describe in Part XIV)2d808,935	
e	Add lines 2a through 2d2e1,445,455	
3	Subtract line 2e from line 13106,408,751	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:	
a	Investment expenses not included on Form 990, Part VIII, line 7b4a293,959	
b	Other (Describe in Part XIV)4b	
c	Add lines 4a and 4b4c293,959	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)5106,702,710	

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
Schedule D, Part V		The ASPCA maintains an endowment for the purpose of generating income to support the organization's charitable mission The organization's endowment consists of a portfolio of actively managed funds established to provide both a source of operating funds as well as long term financial stability The endowment's principal is intended to be left untouched, while the income generated is used to fund ASPCA programs Some of the endowment funds may have purpose restrictions on the use of the income
FIN 48		The Financial Accounting Standards Board ("FASB") Accounting Standards Codification ("Codification") established criterion that an individual tax position must meet for some or all of the benefits of that position to be recognized in an entity's financial statements This standard requires the Society to determine whether a Society tax position is more likely than not to be sustained upon examination by the applicable taxing authority, including resolution of any related appeals or litigation processes, based on the technical merits of the position The tax benefit to be recognized is measured as the largest amount of benefit that is greater than fifty percent likely of being realized upon ultimate settlement which could result in the Society recording a tax liability that would reduce net assets This standard must be applied to all existing tax positions upon initial adoption and the cumulative effect, if any, is to be reported as an adjustment to the beginning balance of net assets upon adoption The aforementioned criterion was adopted by the Society effective January 1, 2009, and had no material impact on the Society's financial Statements
RECONCILIATION OF NET ASSETS		PART XI, Line 7 During 2009, the ASPCA determined that certain transactions pertaining to bequests and beneficial interests in third party trusts related to prior years had not been properly recorded in its previously issued financial statements Accordingly, adjustments were recorded to net assets, bequests and other receivables and beneficial interest in trusts held by others as of January 1, 2009, to properly account for these transactions The net effect of these adjustments was \$16,093,217 PART XI, LINE 8 UNREALIZED GAIN ON PERPETUAL TRUST ASSETS 1,856,149 PENSION RELATED CHANGES, OTHER THAN COST 677,134 ----- 2,533,283 =====
RECONCILIATION OF REVENUES		PART XII, LINE 2D COST OF GOODS SOLD 808,935 UNREALIZED GAIN ON PERPETUAL TRUST ASSETS 1,856,149 PENSION LIABILITY ACTUARIAL ADJUSTMENTS 677,134 ----- 3,342,218 =====
RECONCILIATION OF EXPENSES		PART XIII, LINE 2D COST OF GOODS SOLD 808,935 ----- -- 808,935 =====

[illegible]

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶ _____

3 Enter total number of other organizations or entities ▶ _____

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Use Schedule F-1 (Form 990) if additional space is needed.

[illegible]

Complete this part to provide the information required in Part I, line 2, and any additional information.

[illegible]

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF
CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

b

☒

Internet and e-mail solicitations

c

☒

Phone solicitations

d

☒

In-person solicitations

e

☒

Solicitation of non-government grants

f

☒

Solicitation of government grants

g

☒

Special fundraising events

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities?

☒ Yes ☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
TELEFUND	tele marketer		No	5,656,471	1,048,765	4,607,706
DONOR SERVICES GROUP	tele marketer		No	3,707,006	1,076,518	2,630,488
INFOCISION	tele marketer		No	170,574	101,739	68,835
GRASSROOTS CAMPAIGNS INC	canvassing		No	1,097,601	982,639	114,962
Total ▶				10,631,652	3,209,661	7,421,991

3

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

AL,AK,AZ,AR,CA,CO,CT,DE,DC,FL,GA,HI,ID,IL,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MT,NE,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD, TN,UT,VT,VA,WA,WV,WI,WY

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

Revenue			(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events	
			<u>Bergh Ball</u>	<u>H.A.L. Event</u>	<u>4</u>	(Add col (a) through col (c))	
			(event type)	(event type)	(total number)		
	1	Gross receipts	1,050,828	218,107	221,627	1,490,562	
	2	Less Charitable contributions	313,028	25,070	28,774	366,872	
3	Gross income (line 1 minus line 2)	737,800	193,037	192,853	1,123,690		
Direct Expenses	4	Cash prizes					
	5	Non-cash prizes					
	6	Rent/facility costs					
	7	Food and beverages					
	8	Entertainment					
	9	Other direct expenses	209,606	107,524	151,581	468,711	
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶					468,711
	11	Net income summary Combine lines 3, column d, and line 10. ▶					654,979

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
Direct Expenses	3	Non-cash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶			
	8	Net gaming income summary Combine lines 1, column d, and line 7 ▶			

		Yes	No
9	Enter the state(s) in which the organization operates gaming activities _____		
a	Is the organization licensed to operate gaming activities in each of these states?	9a	
b	If "No," Explain _____ _____		
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a	
b	If "Yes," Explain _____ _____		
11	Does the organization operate gaming activities with nonmembers?	11	
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12	

		Yes	No
13	Indicate the percentage of gaming activity operated in		
a	The organization's facility 13a		
b	An outside facility 13b		
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records		
Name ► _____			
Address ► _____			
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a	
b	If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____		
c	If "Yes," enter name and address		
Name ► _____			
Address ► _____			
16	Gaming manager information		
Name ► _____			
Gaming manager compensation ► \$ _____			
Description of services provided ► _____			
☐ Director/officer ☐ Employee ☐ Independent contractor			
17	Mandatory distributions		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a	
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____		

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF
CRUELTY TO ANIMALS

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public
Inspection

Employer identification number
13-1623829

Part I

General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

Yes

No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations

91

3

Enter total number of other organizations

2

Software ID:

Software Version:

EIN: 13-1623829

Name: THE AMERICAN SOCIETY FOR THE PREVENTION OF
CRUELTY TO ANIMALS

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Alliance For Contraception In Cats And Dogs14245 Nw Belle Ct Portland, OR 972298202	41-2185841	501(c)3	100,000				GENERAL SUPPORT
Alternatives Congress Trust 2100 L Street Washington, DC 20037	52-2294193	501(c)3	15,000				GENERAL SUPPORT
American Humane Association63 Inverness Dr E Englewood, CO 801125117	84-0432950	501(c)3	25,000				GENERAL SUPPORT
Animal Allies Humane Society407 W Michigan Street Duluth, MN 55802	41-0917362	501(c)3	6,400				GENERAL SUPPORT
Animal Haven251 Centre St New York, NY 10013	11-6101487	501(c)3	25,000				GENERAL SUPPORT
Animal People IncPO Box 960 Clinton, WA 98236	14-1752216	501(c)3	10,000				GENERAL SUPPORT
Animal Trustees of AustinP O Box 14542 Austin, TX 787614542	74-2673018	501(c)3	111,875				GENERAL SUPPORT
Animals & Society Institute 2512 Carpenter Rd 201 A2 Ann Arbor, MI 48108	22-2527462	501(c)3	40,000				GENERAL SUPPORT
Asheville Humane Society55 Shiloh Rd Suite 6 Asheville, NC 288032329	56-1444098	501(c)3	6,045				GENERAL SUPPORT
Association Of Professional Humane Educatorsc/o The Latham Foundation 1826 Clem Alameda, CA 94501	94-3146809	501(c)3	10,000				GENERAL SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Austin Humane Society124 W Anderson Ln Austin, TX 787521104	74-6013665	501(c)3	106,775				GENERAL SUPPORT
Bark Avenue Foundation 3142 Dona Emilia Dr Studio City, CA 91604	20-1329182	501(c)3	11,000				GENERAL SUPPORT
Board of Trustees of the University of IllinoisOffice of Sponsored Programs and Re Champaign, IL 618207406	37-6000511	501(c)3	12,000				GENERAL SUPPORT
Bucks Mont Katrina Animal Center ProjectGulf Coast Community Foundation P Gulfport, MS 395052984	57-0908490	501(c)3	25,000				GENERAL SUPPORT
Central Oklahoma Humane Society9300 N May Avenue Suite 400-281 Oklahoma City, OK 731204483	20-8446621	501(c)3	116,925				GENERAL SUPPORT
Charleston Animal Society 2455 Remount Rd N Charleston, SC 294060000	57-6021863	501(c)3	48,574				GENERAL SUPPORT
Charleston County Park & Recreation Commission861 Riverland Drive Charleston, SC 29412	57-0513944	Municipality/Co	9,500				GENERAL SUPPORT
City of Oklahoma City200 N Walker Avenue 3rd Floor Oklahoma City, OK 73102	73-6005359	Municipality/Co	22,200				GENERAL SUPPORT
City of Spfld MA Dept of Health & Human Svcs--Tho 95 State Street Suite 201 Springfield, MA 011032073	34-0714644	Municipality/Co	10,000				GENERAL SUPPORT
Cleveland Animal Protective League1729 Willey Ave Cleveland, OH 441134302		501(c)3	15,000				GENERAL SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Colleton County Animal & Environmental Control33 Poor Farm Rd Walterboro, SC 29488	57-6000339	Municipality/Co	7,000				GENERAL SUPPORT
Columbia-Greene Humane Society Inc125 Humane Society Road Hudson, NY 12534	14-1487056	501(c)3	10,000				GENERAL SUPPORT
Connecticut Council For Humane Educationc/o Lewin 6 Long Hill Farm Guilford, CT 064371866	51-0188130	501(c)3	7,000				GENERAL SUPPORT
Danbury Animal Welfare Society IncPO Box 971 Danbury, CT 06811	06-0945388	501(c)3	10,000				GENERAL SUPPORT
Dane County Humane Society5132 Voges Road Madison, WI 53718	39-0806335	501(c)3	50,000				GENERAL SUPPORT
Delta Society875 124Th Ave Ne Ste 101 Bellevue, WA 980052531	91-1158281	501(c)3	10,000				GENERAL SUPPORT
Dutchess County Spca Inc612 Violet Ave Hyde Park, NY 125381863	14-1340058	501(c)3	44,000				GENERAL SUPPORT
EmanciPETPO Box 6396 Austin, TX 78762	74-2913624	501(c)3	24,625				GENERAL SUPPORT
Equine Advocates IncorporatedPo Box 354 Chatham, NY 120370354	11-3313534	501(c)3	7,000				GENERAL SUPPORT
Falconridge Equine Rescue IncorporatedPO Box 1500 Valley Center, CA 92082	20-5984168	501(c)3	6,000				GENERAL SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Farm Sanctuary IncPO Box 150 Watkins Glen, NY 148910150	51-0292919	501(c)3	10,000				GENERAL SUPPORT
Friends Of Green Chimneys400 Doansburg Road Box 719 Brewster, NY 10509	13-3897106	501(c)3	6,000				GENERAL SUPPORT
Global Federation Of Animal SanctuariesPO Box 32294 Washington, DC 20007	26-1676217	501(c)3	10,000				GENERAL SUPPORT
Greater Birmingham Humane Society300 Snow Dr Birmingham, AL 352096301	63-0288810	501(c)3	9,500				GENERAL SUPPORT
Hampton Classic Horse Show IncPO Box 3013 Bridgehampton, NY 11932	11-2597077	501(c)3	15,000				GENERAL SUPPORT
Hope for Horses Equine Rescue Inc9381 County Road 470 Blue Ridge, TX 75424	55-0795012	501(c)3	11,000				GENERAL SUPPORT
Horse Feathers Equine Rescue IncPO Box 1372 Guthrie, OK 73044	20-5165544	501(c)3	7,000				GENERAL SUPPORT
Humane Alliance25 Heritage Drive Asheville, NC 28806	56-1856805	501(c)3	496,800				GENERAL SUPPORT
Humane Farm Animal CarePo Box 727 Herndon, VA 201720727	47-0910622	501(c)3	150,000				GENERAL SUPPORT
Humane Net Inc102 Glebe Road Summerville, SC 294858375	56-2079206	501(c)3	110,561				GENERAL SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Humane Society Of Greater Kansas City5445 Parallel Parkway Kansas City, KS 66104	48-0581965	501(c)3	11,000				GENERAL SUPPORT
Humane Society Of Missouri1201 Macklind Ave St Louis, MO 631101431	43-0652638	501(c)3	20,000				GENERAL SUPPORT
Humane Society Of North Texas1840 East Lancaster Ave Fort Worth, TX 76103	75-1245911	501(c)3	12,000				GENERAL SUPPORT
Humane Society of South Mississippi2615 25th Ave Gulfport, MS 395014832	64-6034439	501(c)3	121,000				GENERAL SUPPORT
Humane Society of Tampa Bay3607 N Armenia Ave Tampa, FL 336071322	59-0799907	501(c)3	229,452				GENERAL SUPPORT
Humane Society OfThe United States2100 L St Nw Washington, DC 200371525	53-0225390	501(c)3	22,000				GENERAL SUPPORT
Idaho Humane Society Inc4775 Dorman Street Boise, ID 83705	82-0212536	501(c)3	7,000				GENERAL SUPPORT
Institute For The Development Of Earth AwarenessPO Box 124 New York, NY 100120003	13-3537719	501(c)3	15,000				GENERAL SUPPORT
Kansas Sart Inc6505 E Central Box 160 Wichita, KS 67208	26-0752144	501(c)3	10,000				GENERAL SUPPORT
Louisiana Society For The Prevention Of Cruelty To 1700 Mardi Gras Blvd New Orleans, LA 70114	72-0471368	501(c)3	45,250				GENERAL SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Louisiana State Animal Response Team8550 United Plaza Blvd Suite 1001 Baton Rouge, LA 708090200	72-1507753	501(c)3	50,000				GENERAL SUPPORT
Mayor's Alliance For NYC's Animals244 Fifth Ave Ste R290 New York, NY 100017604	73-1653635	501(c)3	260,000				GENERAL SUPPORT
Morris Animal Foundation10200 East Girard Avenue B430 Denver, CO 80231	84-6032307	501(c)3	10,000				GENERAL SUPPORT
Mt Pleasant Animal Shelter Inc194 Route 10 West East Hanover, NJ 079360000	23-7189562	501(c)3	30,000				GENERAL SUPPORT
New York League of Humane Voters Inc151 First Avenue Suite 160 New York, NY 10003	27-0161045	501(c)4	20,000				GENERAL SUPPORT
No More Homeless Pets In Hillsborough County17633 Gunn Hwy 180 Tampa, FL 33556	20-0875455	501(c)3	20,625				GENERAL SUPPORT
PAWS the Philadelphia Animal Welfare Society100 N 2nd Street Philadelphia, PA 19106	26-3862631	501(c)3	8,500				GENERAL SUPPORT
Paws4Ever6311B Nicks Road Mebane, NC 27302	23-7181780	501(c)3	15,000				GENERAL SUPPORT
Pet Helpers Inc1447 Folly Rd Charleston, SC 294129509	57-0802283	501(c)3	51,545				GENERAL SUPPORT
Pet SaversPO Box 11555 Spokane, WA 99211	91-1741239	501(c)3	50,925				GENERAL SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Petsmart Charities Inc 19601 N 27Th Ave Phoenix,AZ 850274008	93-1140967	501(c)3	267,962				GENERAL SUPPORT
Puerto Rico Animal Welfare Society Inc PawsBox 10228 Bo Bajuras Isabela,PR 006620000	66-0588444	501(c)3	10,000				GENERAL SUPPORT
Rational Animal99 Battery Place 9G New York,NY 10280	11-3696257	501(c)3	10,000				GENERAL SUPPORT
Regents OfThe University Of CaliforniaAttn Melissa Ivanusich University Davis,CA 95616	94-6036494	501(c)3	100,000				GENERAL SUPPORT
Return to Freedom American Wild Horse SanctuaryPO Box 926 Lompoc,CA 934380926	06-1484961	501(c)3	25,000				GENERAL SUPPORT
Richmond SPCA2519 Hermitage Road Richmond,VA 23220	54-0506328	501(c)3	15,096				GENERAL SUPPORT
Ripleys Horse Aid Foundation 1530 William Way 204 Mount Vernon,WA 98273	91-2178635	501(c)3	6,500				GENERAL SUPPORT
Sacramento SPCA6201 Florin Perkins Road Sacramento,CA 95828	94-1312343	501(c)3	20,000				GENERAL SUPPORT
San Francisco Society For The Prevention Of Cruelt 2500 16th St San Francisco,CA 94103	94-0836580	501(c)3	5,500				GENERAL SUPPORT
Santa Barbara County Animal Care Foundation IncPO Box 86 Goleta,CA 93116	68-0498950	501(c)3	12,000				GENERAL SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Shelby Humane Society381 McDow Road Columbiana,AL 35051	63-0817987	501(c)3	11,880				GENERAL SUPPORT
Society of Animal Welfare Administrators15508 W Bell Road Suite 101 - 613 Surprise,AZ 85374	41-1618666	501(c)6	10,000				GENERAL SUPPORT
Southeast Area Animal Control Authority (SEAACA) 9777 Seaaca St Downey,CA 90241	52-1041516	Other Governmen	7,000				GENERAL SUPPORT
Southern Pines Animal ShelterPO Box 2021 Hattiesburg,MS 39403	64-0514796	501(c)3	12,850				GENERAL SUPPORT
SPCA of Texas2400 Lone Star Dr Dallas,TX 75212	75-1216660	501(c)3	30,000				GENERAL SUPPORT
Spokane County Regional Animal Protection Service 2521 North Flora Road Spokane,WA 99216	91-6001370	Municipality/Co	41,538				GENERAL SUPPORT
Spokane Humane Society 6607 N Havana Spokane,WA 99217	91-0565011	501(c)3	59,167				GENERAL SUPPORT
Spokanimal C A R E710 N Napa St Spokane,WA 992022867	91-1223929	501(c)3	60,825				GENERAL SUPPORT
St Huberts GiraldaPO Box 159 Madison,NJ 079400000	22-1627726	501(c)3	10,500				GENERAL SUPPORT
St Bernard Parish Animal Control8201 West Judge Perez Drive Chalmette,LA 70043	72-6001193	Municipality/Co	46,925				GENERAL SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Syracuse Invitational Sporthorse Tournament2 Richards Road Cazenovia, NY 13035	36-4525351	501(c)3	25,000				GENERAL SUPPORT
The Science and Conservation Center Inc 2100 South Shiloh Road Billings, MT 59106	81-0539631	501(c)3	10,000				GENERAL SUPPORT
The Spayed ClubPO Box 1145 Frazer, PA 19355	23-2822590	501(c)3	15,000				GENERAL SUPPORT
Tony La Russa's Animal Rescue FoundationPO Box 30215 Walnut Creek, CA 945989215	68-0240341	501(c)3	37,500				GENERAL SUPPORT
University of Florida FoundationDr Julie Levy College of Veterinar Gainesville,FL 32610	59-0974739	501(c)3	167,000				GENERAL SUPPORT
University of Pennsylvania Office of the Treasurer PO Box 7853 Philadelphia, PA 191785326	23-1352685	501(c)3	20,000				GENERAL SUPPORT
Ventura County Humane Society For Prev Of Cruelty PO Box 297 Ojai, CA 93024	95-2272598	501(c)3	10,000				GENERAL SUPPORT
Washington Animal Rescue League71 Oglethorpe Street NW Washington, DC 20011	53-0162440	501(c)3	9,000				GENERAL SUPPORT
Wayside Waifs IncPO Box 9791 Kansas City, MO 64134	44-0605374	501(c)3	10,000				GENERAL SUPPORT
Willamette Humane Society PO Box 13005 Salem, OR 97309	93-0577975	501(c)3	9,500				GENERAL SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Wisconsin Humane Society 4500 W Wisconsin Ave Milwaukee, WI 532083156	39-0810533	501(c)3	7,000				GENERAL SUPPORT
Woodstock Farm Animal Sanctuary PO Box 1329 Woodstock, NY 12498	20-1552990	501(c)3	25,000				GENERAL SUPPORT
Yonkers Department of parks Recreation and Conser 285 Nepperhan Avenue Yonkers, NY 10701	13-6007340	Municipality/Co	140,000				GENERAL SUPPORT

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2009

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Travel for companions ☐ Tax idemnification and gross-up payments ☐ Discretionary spending account ☐ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☐ Health or social club dues or initiation fees ☐ Personal services (e g , maid, chauffeur, chef)		
b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	
3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☐ Compensation committee ☐ Independent compensation consultant ☐ Form 990 of other organizations ☒ Written employment contract ☒ Compensation survey or study ☒ Approval by the board or compensation committee		
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a Receive a severance payment or change-of-control payment?	4a	No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	No
c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	4c	No
Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a The organization?	5a	No
b Any related organization? If "Yes," to line 5a or 5b, describe in Part III	5b	No
6 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a The organization?	6a	No
b Any related organization? If "Yes," to line 6a or 6b, describe in Part III	6b	No
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	Yes
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8	No
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9	

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

[illegible]

Part III

Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
Schedule J, Part I, Line 7		The ASPCA's process for determining bonuses is described in response to Form 990, Part VI, Line 15 in Schedule O.

Software ID:

Software Version:

EIN: 13-1623829

Name: THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
Edwin Sayres	(i) (ii) 381,975 0	100,000 0	12,736 0	34,019 0	9,884 0	538,614 0	0 0
Julia Nelson	(i) (ii) 197,858 0	50,000 0	3,766 0	2,623 0	6,620 0	260,867 0	0 0
Steven Zawistowski	(i) (ii) 235,206 0	0 0	3,594 0	36,844 0	13,006 0	288,650 0	0 0
Stephen Musso	(i) (ii) 221,169 0	0 0	3,594 0	29,297 0	13,154 0	267,214 0	0 0
Julio Carbonell	(i) (ii) 196,539 0	0 0	3,245 0	11,844 0	13,108 0	224,736 0	0 0
STEVEN HANSEN	(i) (ii) 189,327 0	1,080 0	3,209 0	25,513 0	19,169 0	238,298 0	0 0
JULIE MORRIS	(i) (ii) 177,120 0	0 0	4,849 0	29,058 0	6,518 0	217,545 0	0 0
MELISSA NORDEN	(i) (ii) 166,068 0	0 0	2,920 0	11,917 0	6,518 0	187,423 0	0 0
LEE MURRAY	(i) (ii) 165,404 0	0 0	4,926 0	22,366 0	1,229 0	193,925 0	0 0
TODD HENDRICKS	(i) (ii) 193,228 0	0 0	2,792 0	12,737 0	6,620 0	215,377 0	0 0
RANDALL LOCKWOOD	(i) (ii) 175,750 0	0 0	5,029 0	23,350 0	19,169 0	223,298 0	0 0
MATT BERSHADKER	(i) (ii) 164,468 0	0 0	2,930 0	13,128 0	6,620 0	187,146 0	0 0
ANNEMARIE SEPULVEDA	(i) (ii) 118,110 0	75,000 0	220 0	7,744 0	6,620 0	207,694 0	0 0
LOUISE MURRAY	(i) (ii) 175,729 0	0 0	362 0	14,080 0	12,318 0	202,489 0	0 0
Gail S Buchwald	(i) (ii) 144,088 0	0 0	2,714 0	11,750 0	6,620 0	165,172 0	0 0
Patrick M O 'Keefe	(i) (ii) 132,364 0	135 0	3,004 0	11,154 0	6,620 0	153,277 0	0 0
Stacy Wolf	(i) (ii) 135,035 0	0 0	2,944 0	17,303 0	6,620 0	161,902 0	0 0
Stephen Eudene	(i) (ii) 169,566 0	0 0	9,945 0	28,028 0	13,154 0	220,693 0	0 0
Jonelle Sullivan	(i) (ii) 209,719 0	0 0	2,732 0	14,746 0	10,843 0	238,040 0	0 0
Laura Maloney	(i) (ii) 163,236 0	0 0	2,122 0	0 0	8,749 0	174,107 0	0 0

SCHEDULE M
(Form 990)

NonCash Contributions

OMB No 1545-0047

2009

Open to Public Inspection

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF
CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I

Types of Property

	(a) Check if applicable	(b) Number of Contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	45	187,585	cost or sale price
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other	X	2	469,000	Appraisal Value
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ()				
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement			29	

30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	Yes	No
b	If "Yes," describe the arrangement in Part II		No
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	Yes	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?	Yes	
b	If "Yes," describe in Part II		
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II		

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
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SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF
CRUELTY TO ANIMALS

Employer identification number
13-1623829

Identifier	Return Reference	Explanation
PROGRAM SERVICE ACTIVITY	FORM 990 PART III LINE 4D	ANTI-CRUELTY PROGRAMS During 2009, the ASPCA Humane Law Enforcement Department in New York City investigated 3,988 reported cases of animal cruelty resulting in seizure of over 600 animals and 52 animal cruelty arrests The department responded to 45,000 telephone and E-mail inquiries from the public The ASPCA Mobile Animal CSI unit traveled thousands of miles to investigate numerous egregious acts of animal cruelty The unit, supervised by an experienced veterinary scientist, participated in 6 large scale Anti-Cruelty raids in 2009 involving more than 1,500 animals and more than 30 arrests ASPCA collaborated with the Humane Society of Missouri as well as state and federal agencies to rescue, care for and to evaluate more than 400 Pit Bulls associated with dog fighting operations across eight states Our ASPCA Forensic Vet received the National Asset Forfeiture Award from the U.S. Department of Justice for her work with the investigation of Michael Vick's Bad Newz Kennels She assisted in the recovery and analysis of forensic evidence from Vick's property, including carcasses and skeletal remains of numerous Pit Bulls The ASPCA aided many animals in 2009 through its legislative efforts In Tennessee ASPCA successfully lobbied for the passage of the Puppy Mills/ Commercial Breeder Act, which requires breeders to maintain humane standards for animals in their care Connecticut's Puppy Lemon Law and Trace Back Bill passed during 2009 and in New York ASPCA helped to pass a law that requires humane euthanasia of stray and shelter animals
Form 990, Part VI, Line 6		The ASPCA has two categories of members, Governing Members and Members, but only Governing Members have voting rights The ASPCA's "Governing Members" consist of those persons who are currently serving as members of the Board of Directors Only Governing Members have the right to elect the members of the Board of Directors under ASPCA's by-laws The ASPCA's "Members" consist of one or more membership categories (e.g., champions, benefactors, sponsors, associates, friends, juniors, etc.) as may be established from time to time by the Board of Directors With the exception of those Members who are also Governing Members, no Member has the right to vote on the election of directors to the Board of Directors Any contributor over age 18 who makes a donation of \$25 or more to the ASPCA is deemed a "Member"
Form 990, Part VI, Line 11		The Form 990 was prepared by a nationally renowned accounting firm in conjunction with the organization's financial department The draft of the Form 990 is reviewed by management as well as legal counsel and a copy is circulated to the full Board of Trustees prior to its filing with the Internal Revenue Service
Form 990, Part VI, Line 12C		All directors, officers and key employees complete a written conflict of interest questionnaire and declaration annually which is reviewed by the corporate counsel and, where necessary, the audit committee of the board of directors
Form 990, Part VI, Line 15 and Sch J, Line 7		The Executive Committee of the ASPCA board will be the compensation-setting body that reviews and approves the compensation of certain senior members of the ASPCA staff The President/CEO will make recommendations to the Committee regarding the total compensation of the staff listed below (with the exception of his/her own) The Committee will review and approve, on an annual basis, the compensation of the following staff members - President/CEO - Chief Financial Officer - Current key employees (earning over \$150,000 and having significant organizational responsibilities) A staff committee consisting of the Human Resources Officer, Chief Financial Officer and Manager of Compensation and Benefits will obtain appropriate comparability data for the positions under review and provide such data to the Executive Committee Such comparability data will be based on industry surveys, documented compensation of persons holding similar positions in similar organizations, and/or outside compensation studies by independent experts The Committee will review the comparability data, deliberate, and vote on whether to approve the compensation The person whose compensation is under review will not participate in the deliberations, except that such person may answer questions that will help the Committee in its deliberations The Committee will document the basis for its determination concurrently with the approval of the compensation including 1 the terms of the approved compensation and the date approved, 2 the names of members of the Committee who were present during discussion of the compensation and those who voted on it, 3 the comparability data that was relied on by the Committee and how such data was obtained, and 4 any actions taken by a member of the Committee having a conflict of interest The Committee must then approve the documentation within a reasonable period of time after its preparation
Form 990, Part VI, Line 19		Audited Financial Statements, charter and by-laws are made available to the public upon request and through charitable registration requirements in over 40 states The conflict of interest policy is not a public document The taxpayer makes its Form 990 available to the public by retaining a copy at its place of business and placing a copy on its website The Form 990 is likewise published on the internet at www.guidestar.org
Schedule G, Part II		The ASPCA reports all expenditures related to its special event functions as "Other Direct Expenses" on Schedule G, Part II, Line 9 All costs of running these special events are usually invoiced as one fee by the vendor, so that the rental, food and other costs are inextricably bundled and further categorization on Schedule G, Part II is impossible