Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning 01-01-2009 and ending 12-31-2009

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
AMERICAN PETROLEUM INSTITUTE

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
1220 L STREET NW

City or town, state or country, and ZIP + 4
WASHINGTON, DC 20005

D Employer identification number
13-0433430

E Telephone number
(202) 682-8000

G Gross receipts $ 361,188,817

F Name and address of principal officer
JACK N GERARD
1220 L STREET NW
WASHINGTON,DC 20005

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) **Are all affiliates included?** ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c) (6) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ www api org

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1919

M State of legal domicile DC

Part I Summary

Activities & Governance

1	Briefly describe the organization's mission or most significant activities THE MISSION OF THE AMERICAN PETROLEUM INSTITUTE (API) IS TO INFLUENCE PUBLIC POLICY IN SUPPORT OF A STRONG, VIABLE U S OIL AND NATURAL GAS INDUSTRY ESSENTIAL TO MEET THE ENERGY NEEDS OF CONSUMERS IN AN EFFICIENT, ENVIRONMENTALLY RESPONSIBLE MANNER		
2	Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets		
3	Number of voting members of the governing body (Part VI, line 1a)	3	56
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	55
5	Total number of employees (Part V, line 2a)	5	271
6	Total number of volunteers (estimate if necessary)	6	6,200
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	4,083,104
b	Net unrelated business taxable income from Form 990-T, line 34	7b	216,667

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)		0
	9	Program service revenue (Part VIII, line 2g)	199,786,415	187,780,057
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	3,852,995	2,661,621
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	323,158	8,354,461
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	203,962,568	198,796,139
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	6,168,701	3,642,922
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	41,094,491	44,511,285
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶0		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	146,092,748	138,100,297
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	193,355,940	186,254,504
	19	Revenue less expenses Subtract line 18 from line 12	10,606,628	12,541,635

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	111,646,922	122,167,885
	21	Total liabilities (Part X, line 26)	87,554,847	79,076,589
	22	Net assets or fund balances Subtract line 21 from line 20	24,092,075	43,091,296

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

▶ ****** | 2010-11-15
Signature of officer | Date

▶ JACK N GERARD PRESIDENT AND CEO
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶	Date	Check if self-employed ▶ ☐	Preparer's identifying number (see instructions)
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶	Tate and Tryon 805 15th Street NW Suite 900 Washington, DC 20005		EIN ▶ Phone no ▶ (202) 293-2200

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission

THE MISSION OF THE AMERICAN PETROLEUM INSTITUTE (API) IS TO INFLUENCE PUBLIC POLICY IN SUPPORT OF A STRONG, VIABLE U S OIL AND NATURAL GAS INDUSTRY ESSENTIAL TO MEET THE ENERGY NEEDS OF CONSUMERS IN AN EFFICIENT, ENVIRONMENTALLY RESPONSIBLE MANNER

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ including grants of $) (Revenue $)

ADVOCACY- API speaks for the petroleum industry before Congress, the executive branch of government, state legislatures, and the news media It negotiates with regulatory agencies, represents the industry in court and participates in coalitions -- building the grassroots support that prods Congress, the White House and state legislators to act API also strives to enhance credibility on the environment, health and safety issues that are central to the public's perception of the industry and its products

4b (Code) (Expenses $ including grants of $) (Revenue $)

INFORMATION- API IS THE SOURCE FOR INFORMATION ABOUT THE PETROLEUM INDUSTRY IT UNDERTAKES THE SCIENTIFIC AND ECONOMIC ANALYSIS THAT IS THE FOUNDATION FOR THE INDUSTRY'S STANCE ON THE CURRENT ISSUES IT COLLECTS AND COMPILES STATISTICS AND IS WITHOUT PEER IN FINDING AND PROVIDING INDUSTRY-SPECIFIC INFORMATION FOR PEOPLE WHO NEED IT

4c (Code) (Expenses $ including grants of $) (Revenue $)

INDUSTRY OPERATIONS- API has set standards for the U S petroleum industry since its founding in 1919 In the global marketplace, these same standards are becoming international standards, and API's involvement in international events and organizationS is growing More than 500 API standards are now used throughout the world Many of these standards are now a part of both federal and state regulations

4d Other program services (Describe in Schedule O) **See also Additional Data for Description**

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶$

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1		No
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		No
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5	Yes	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		No
11	Is the organization's answer to any of the following questions "Yes"? *If so,complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.*	11	Yes	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*			
	• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	Yes	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A: Yes [ ] No [No]			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II*	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		No

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096, *Annual Summary and Transmittal of U.S. Information Returns. Enter -0-* if not applicable	**1a** 208			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	**1b** 0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		**1c**	Yes	
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	**2a** 271			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note:** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)		**2b**	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?		**3a**	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*		**3b**	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		**4a**	Yes	
b	If "Yes," enter the name of the foreign country ▶CH See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		**5a**		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		**5b**		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		**5c**		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?		**6a**	Yes	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		**6b**	Yes	
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		**7a**		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		**7b**		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		**7c**		
d	If "Yes," indicate the number of Forms 8282 filed during the year	**7d**			
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		**7e**		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**7f**		
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		**7g**		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		**7h**		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		**8**		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		**9a**		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		**9b**		
10	**Section 501(c)(7) organizations.** Enter				
a	Initiation fees and capital contributions included on Part VIII, line 12	**10a**			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	**10b**			
11	**Section 501(c)(12) organizations.** Enter				
a	Gross income from members or shareholders	**11a**			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	**11b**			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		**12a**		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	**12b**			

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	**1a** 56			
b	Enter the number of voting members that are independent	**1b** 55			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		**2**	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		**3**		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		**4**		No
5	Did the organization become aware during the year of a material diversion of the organization's assets?		**5**		No
6	Does the organization have members or stockholders?		**6**	Yes	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		**7a**	Yes	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		**7b**		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?		**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		**9**		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	**10a**	Yes	
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	**10b**	Yes	
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	**11**		No
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	**12c**	Yes	
13	Does the organization have a written whistleblower policy?	**13**	Yes	
14	Does the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed▶

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

JOHN E ROBERTSON
1220 L Street NW
Washington, DC 20005
(202) 682-8000

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See add'l data										

1b Total . . . . ►	9,855,066	0	3,318,671

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ►144

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**	Yes	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	**(B)** Description of services	**(C)** Compensation
DANIEL J EDELMAN INC 1875 EYE ST NW STE 900 WASHINGTON, DC 20006	ADVERTISING/PUB RELATIONS	68,732,735
CIGNA HEALTH CARE CGLIC-BLOOMFIELD EASC 5082 COLLECT CHICAGO, IL 60693	INSURANCE COVERAGE	5,590,932
Advocates Inc DC Ltd 11256 Inglish Mill Dr great falls, VA 22066	coalition building	3,138,667
COORDINATING RESEARCH COUNCIL INC 3650 MANSELL RD STE 140 ALPHARETTA, GA 30222	RESEARCH	2,748,694
Moody International inc 24900 Pitkin Road 200 Spring, TX 77386	Certification Audits	2,016,543

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ►115

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . 1a				
	b	Membership dues 1b				
	c	Fundraising events 1c				
	d	Related organizations . . . 1d				
	e	Government grants (contributions) 1e				
	f	All other contributions, gifts, grants, and similar amounts not included above 1f				
	g	Noncash contributions included in lines 1a-1f $				
	h	**Total.** Add lines 1a-1f . . . . ▶				
Program Service Revenue		Business Code				
	2a	MEMBERSHIP DUES 900,099	153,594,124	153,594,124		
	b	CERTIFICATION PROGRAM 900,099	25,660,027	25,660,027		
	c	CERTIFICATION FEES 541,900	4,083,104		4,083,104	
	d	MEETING REVENUE 900,099	2,994,285	2,994,285		
	e	subscriptions 900,099	1,273,200	1,273,200		
	f	All other program service revenue	175,317	175,317		
	g	**Total.** Add lines 2a–2f . . . . ▶	187,780,057			
Other Revenue	3	Investment income (including dividends, interest and other similar amounts) . . . . . ▶	1,783,737			1,783,737
	4	Income from investment of tax-exempt bond proceeds . . ▶				
	5	Royalties . . . . ▶	8,196,319	8,196,319		
		(i) Real / (ii) Personal				
	6a	Gross Rents				
	b	Less rental expenses				
	c	Rental income or (loss)				
	d	Net rental income or (loss) . . . . ▶				
		(i) Securities / (ii) Other				
	7a	Gross amount from sales of assets other than inventory: (i) 163,267,587 / (ii) 2,975				
	b	Less cost or other basis and sales expenses: (i) 162,392,678 / (ii)				
	c	Gain or (loss): (i) 874,909 / (ii) 2,975				
	d	Net gain or (loss) . . . . ▶	877,884			877,884
	8a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18 . . . a				
	b	Less direct expenses . . . b				
	c	Net income or (loss) from fundraising events . . ▶				
	9a	Gross income from gaming activities See Part IV, line 19 . . . a				
	b	Less direct expenses . . . b				
	c	Net income or (loss) from gaming activities . . . ▶				
	10a	Gross sales of inventory, less returns and allowances . a				
	b	Less cost of goods sold . . b				
	c	Net income or (loss) from sales of inventory . . ▶				
		Miscellaneous Revenue / Business Code				
	11a	MISCELLANEOUS REVENUE 900,099	158,142			158,142
	b					
	c					
	d	All other revenue				
	e	**Total.** Add lines 11a–11d . . . . . . ▶	158,142			
	12	**Total revenue.** See Instructions . . . ▶	198,796,139	191,893,272	4,083,104	2,819,763

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

	Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	**(A)** Total expenses	**(B)** Program service expenses	**(C)** Management and general expenses	**(D)** Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	3,332,922			
2	Grants and other assistance to individuals in the U S See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	310,000			
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	11,430,710			
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	22,899,357			
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	1,228,470			
9	Other employee benefits	6,775,182			
10	Payroll taxes	2,177,566			
11	Fees for services (non-employees)				
a	Management	7,584			
b	Legal	4,033,667			
c	Accounting	882,755			
d	Lobbying	8,308,616			
e	Professional fundraising *See Part IV, line 17* . .				
f	Investment management fees	227,185			
g	Other	29,941,359			
12	Advertising and promotion	57,944,042			
13	Office expenses	651,955			
14	Information technology	352,815			
15	Royalties . .				
16	Occupancy	4,420,113			
17	Travel	2,158,699			
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	2,129,430			
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	1,556,319			
23	Insurance	354,729			
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	UNRELATED BUSINESS INCO	282,366			
b	STUDIES, RESEARCH, AND	14,886,800			
c	NET PENSION EXPENSE	7,812,798			
d	NET PERIODIC RETIREMENT	1,088,345			
e	Postretirement Benefit	570,629			
f	All other expenses	490,091			
25	**Total functional expenses.** Add lines 1 through 24f	186,254,504			
26	**Joint costs.** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		1	
	2	Savings and temporary cash investments	36,393,474	2	42,612,294
	3	Pledges and grants receivable, net		3	
	4	Accounts receivable, net	4,200,863	4	5,736,236
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use	52,638	8	43,781
	9	Prepaid expenses and deferred charges	1,216,074	9	2,323,862
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D* — 10a: 15,609,432			
	b	Less accumulated depreciation — 10b: 6,734,372	9,695,705	10c	8,875,060
	11	Investments—publicly traded securities	60,088,168	11	62,576,652
	12	Investments—other securities See Part IV, line 11		12	
	13	Investments—program-related See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11		15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	111,646,922	16	122,167,885
Liabilities	17	Accounts payable and accrued expenses	18,603,890	17	22,867,262
	18	Grants payable		18	
	19	Deferred revenue	16,169,068	19	16,907,597
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities Complete Part X of Schedule D	52,781,889	25	39,301,730
	26	**Total liabilities.** Add lines 17 through 25	87,554,847	26	79,076,589
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	24,092,075	27	43,091,296
	28	Temporarily restricted net assets		28	
	29	Permanently restricted net assets		29	
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	24,092,075	33	43,091,296
	34	Total liabilities and net assets/fund balances	111,646,922	34	122,167,885

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	**2a**		No
b	Were the organization's financial statements audited by an independent accountant?	**2b**	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O . . .	**2c**	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	**3a**		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	**3b**		

Additional Data

Software ID:
Software Version:
EIN: 13-0433430
Name: AMERICAN PETROLEUM INSTITUTE

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services

(Code) (Expenses $ including grants of $) (Revenue $)

MAINTAINING QUALITY-The increasingly international nature of the petroleum business is evident in API's approach to certifying that products meet the industry's exacting standards of quality Since 1924, API has licensed oil field equipment manufacturers to use the API monogram, recognized as a mark of quality around the world API licenses motor oils for use in both gasoline and diesel engines API also certifies inspectors of storage tanks, pressure vessels, and piping

(Code) (Expenses $ including grants of $) (Revenue $)

EDUCATION- API organizes seminars, workshops and symposia on issues vital to the industry's livelihood It provides training materials that help professionals in the oil and gas business meet regulatory requirements and industry standards

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
ALI A ABUALI BOARD MEMBER	50	X						0	0	0
WILLIAM E ALBRECHT BOARD MEMBER	50	X						0	0	0
Tofig Al-Gabsani boarD MEMBER	50	X						0	0	0
PHILIP F ANSCHUTZ BOARD MEMBER	50	X						0	0	0
Morten Arntzen bOARD MEMBER	50	X						0	0	0
THOMAS A Bannigan BOARD MEMBER	50	X						0	0	0
Dalton J Boutte BOARD MEMBER	50	X						0	0	0
JOHN A CARRIG board member	50	X						0	0	0
Clarence Cazalot board member and treasur	50	X		X				0	0	0
GERMAN CURA board member	50	X						0	0	0
PATRIck D Daniel board member	50	X						0	0	0
chadwick C Deaton bOARD MEMBER	50	X						0	0	0
Michael J Dolan bOARD MEMBER	50	X						0	0	0
RANDALL K Eresman board member	50	X						0	0	0
TImothy C Felt board member	50	X						0	0	0
BRUCE C Gottwald board member	50	X						0	0	0
JAMES T HACKETT board member	50	X						0	0	0
FREDERic C Hamilton board member	50	X						0	0	0
GARY R Heminger board member	50	X						0	0	0
KEVIN M Hostler board member	50	X						0	0	0
Paul Howes bOARD MEMBER	50	X						0	0	0
ray l hunt bOARD MEMBER	50	X						0	0	0
W HERBERT HUNT board member	50	X						0	0	0
RAY R Irani board member	50	X						0	0	0
SIDNEY J Jansma board member	50	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
AV Jones board member	50	X						0	0	0
JAMES F Justiss board member	50	X						0	0	0
Peter D Kinnear bOARD MEMBER	50	X						0	0	0
GEORGE Kirkland board member	50	X						0	0	0
Virginia B LAZENBY bOARD MEMBER	50	X						0	0	0
David j LESAR bOARD MEMBER	50	X						0	0	0
Steve MALCOLM bOARD MEMBER	50	X						0	0	0
anthonY MAYER bOARD MEMBER	50	X						0	0	0
James P MCGREGOR bOARD MEMBER	50	X						0	0	0
lamar McKay BOARD MEMBER	50	X						0	0	0
John MillER bOARD MEMBER	50	X						0	0	0
merrilL A PETE MILLER bOARD MEMBER	50	X						0	0	0
BJOURN MOLLER BOARD MEMBER	50	X						0	0	0
Jack B Moore bOARD MEMBER	50	X						0	0	0
James J MULVA bOARD MEMBER	50	X						0	0	0
J Larry Nichols CHAIRMAN OF THE BOARD	50	X		X				0	0	0
James E nielson bOARD MEMBER	50	X						0	0	0
MarviN ODUM bOARD MEMBER	50	X						0	0	0
David J O'REILY bOARD MEMBER	50	X						0	0	0
RoBERT BOBBY L PARKER bOARD MEMBER	50	X						0	0	0
louiS A RASPINO bOARD MEMBER	50	X						0	0	0
corBIN J ROBERTSON bOARD MEMBER	50	X						0	0	0
david sEATON bOARD MEMBER	50	X						0	0	0
kathleeN SHANAHAN bOARD MEMBER	50	X						0	0	0
reX W TILLERSON bOARD MEMBER	50	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
haNK A TRUE bOARD MEMBER	50	X						0	0	0
john s watson bOARD MEMBER	50	X						0	0	0
david w williams bOARD MEMBER	50	X						0	0	0
david m wood bOARD MEMBER	50	X						0	0	0
john M yearwood bOARD MEMBER	50	X						0	0	0
jack n gerard President AND CEO	40 00	X		X				2,537,753	0	1,772,126
JAMES E FORD VICE PRESIDENT	40 00			X				643,810	0	280,453
JIM C CRAIG VICE PRESIDENT	40 00			X				609,677	0	279,982
BRENDA S HARGETT VICE PRESIDENT	40 00			X				496,500	0	111,052
MICHELE E RINN CORPORATE SEC & GRP DIR	40 00			X				227,476	0	41,789
HARRY M NG GENERAL COUNSEL & GRP DI	40 00			X				411,375	0	70,624
ROBERT L GRECO GROUP DIRECTOR	40 00				X			425,591	0	82,219
DOUGLAS M MORRIS GROUP DIRECTOR	40 00				X			319,643	0	121,467
JOHN D MODINE DIRECTOR	40 00				X			247,121	0	47,002
ERIN P THOMPSON DIRECTOR	40 00				X			233,580	0	23,090
ALVIS TRUMAN HUNT DIRECTOR	40 00					X		385,959	0	164,775
Michael L PLatner dIRECTOR	40 00					X		261,110	0	86,424
JOHN C FELMY CHIEF ECONOMIST	40 00					X		246,382	0	56,446
drew p cobbs STATE OFFICE EXECUTIVE D	40 00					X		224,542	0	82,503
JOHN P KEREKES STATE OFFICE EXECUTIVE D	40 00					X		220,947	0	98,719
bYRon M Cavaney Former Pres/CEO	0 00						X	2,363,600	0	0

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
MEMBERSHIP DUES	900,099	153,594,124	153,594,124		
CERTIFICATION PROGRAM	900,099	25,660,027	25,660,027		
CERTIFICATION FEES	541,900	4,083,104		4,083,104	
MEETING REVENUE	900,099	2,994,285	2,994,285		
subscriptions	900,099	1,273,200	1,273,200		

Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
UNRELATED BUSINESS INCO	282,366			
STUDIES, RESEARCH, AND	14,886,800			
NET PENSION EXPENSE	7,812,798			
NET PERIODIC RETIREMENT	1,088,345			
Postretirement Benefit	570,629			

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493319030020

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
► Complete if the organization is described below.
► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
AMERICAN PETROLEUM INSTITUTE	13-0433430

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ► $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ► $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ► $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ► $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ► $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ► $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group
B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing Organization's Totals	**(b)** Affiliated Group Totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a)	**(b)**
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2006	**(b)** 2007	**(c)** 2008	**(d)** 2009	**(e)** Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities? If "Yes," describe in Part IV			
j	Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		No
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		No
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	Yes	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	153,594,124
2	Section 162(e) non-deductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	57,271,350
b	Carryover from last year	2b	21,099,052
c	Total	2c	78,370,402
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	69,117,356
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	9,253,046
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

Identifier	Return Reference	Explanation

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
AMERICAN PETROLEUM INSTITUTE

Employer identification number
13-0433430

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
- ☐ Preservation of land for public use (e g , recreation or pleasure)
- ☐ Preservation of an historically importantly land area
- ☐ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶______________

4 Number of states where property subject to conservation easement is located ▶______________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶______________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______________

(ii) Assets included in Form 990, Part X ▶ $ ______________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______________

b Assets included in Form 990, Part X ▶ $ ______________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	(b) Prior Year	(c) Two Years Back	(d) Three Years Back	(e) Four Years Back
1a Beginning of year balance					
b Contributions					
c Investment earnings or losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ %

b Permanent endowment ▶ %

c Term endowment ▶ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		
(ii) related organizations	3a(ii)		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		7,997,637	1,994,161	6,003,476
d Equipment		6,381,005	3,703,269	2,677,736
e Other		1,230,790	1,036,942	193,848
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				8,875,060

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	
ACCRUED PENSION LIABILITY	15,496,993
SUPPLEMENTAL BENEFIT PLANS	3,346,053
POSTRETIREMENT BENEFITS OTHER THAN PENSION	20,242,017
federal income taxes	216,667
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	39,301,730

2. Fin 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	198,796,139
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	186,254,504
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	12,541,635
4	Net unrealized gains (losses) on investments	4	-1,161,945
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	7,619,531
9	Total adjustments (net) Add lines 4 - 8	9	6,457,586
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	18,999,221

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	197,407,009
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	-1,161,945		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	-1,161,945
3	Subtract line **2e** from line **1**			3	198,568,954
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	227,185		
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	227,185
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	198,796,139

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	186,027,319
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	0
3	Subtract line **2e** from line **1**			3	186,027,319
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	227,185		
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	227,185
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	186,254,504

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
Part XI, Line 8 - Other Adjustments		PENSION-RELATED CHANGES OTHER THAN NET PERIODIC PENSION COSTS 7619531
		FIN 48 FOOTNOTE Effective January 1, 2009, API applied the guidance in the Financial Accounting Standards Board's (FASB) Accounting Standards Codification (ASC) 740, Income Taxes, on the recognition and disclosure of uncertain tax positions FASB ASC 740 requires that a tax position be recognized or derecognized based on a more-likely-than-not threshold This applies to positions taken or expected to be taken in a tax return This application of this guidance had no impact on API's financial statements API does not believe its financial statements include any uncertain tax positions Prior to January 1, 2009, API recorded a liability for income taxes when it believed that such an accrual was warranted based on current law or guidance from the appropriate taxing authorities API believes it is no longer subject to U S Federal, state and local income tax examinations by taxing authorities for years before 2006

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SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
AMERICAN PETROLEUM INSTITUTE

Employer identification number
13-0433430

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ Yes ☐ No

2 **For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States

3 Activites per Region (Use Schedule F-1 (Form 990) if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	1	2	PROGRAM SERVICES	CERTIFICATION PROGRAMS	1,516,650
EUROPE			GRANTS TO RECIPIENTS		310,000
EUROPE			PROGRAM SERVICES	CERTIFICATION PROGRAMS	932,330
MIDDLE EAST & N AFRICA			PROGRAM SERVICES	CERTIFICATION PROGRAMS	107,096
NORTH AMERICA			PROGRAM SERVICES	CERTIFICATION PROGRAMS	192,112
RUSSIAN AND THE NIS			PROGRAM SERVICES	CERTIFICATION PROGRAMS	119,803
SOUTH AMERICA			PROGRAM SERVICES	CERTIFICATION PROGRAMS	78,427
SOUTH ASIA			PROGRAM SERVICES	CERTIFICATION PROGRAMS	114,075
Totals . . . . . ▶	1	2			3,370,493

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Check this box if no one recipient received more than $5,000 . . . . ▶ ☐
Use Schedule F-1 (Form 990) if additional space is needed.

1 **(a)** Name of organization	**(b)** IRS code section and EIN (if applicable)	**(c)** Region	**(d)** Purpose of grant	**(e)** Amount of cash grant	**(f)** Manner of cash disbursement	**(g)** Amount of non-cash assistance	**(h)** Description of non-cash assistance	**(i)** Method of valuation (book, FMV, appraisal, other)
		EUROPE	SCI RSCH	310,000	WIRE XFER		N/A	N/A

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . . ▶ 0

3 Enter total number of other organizations or entities . . . . ▶ 1

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of non-cash assistance	**(g)** Description of non-cash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

Identifier	ReturnReference	Explanation
Procedure for Monitoring Grants Outside the U S		Schedule F, Part I, Line 2 ALL GRANTS ARE ASSIGNED AN API STAFF MEMBER AS THE CONTRACT OFFICER THE CONTRACT'S OFFICER'S RESPONSIBILITIES INCLUDE MONITORING THE ACTIVITIES OF GRANTEES, AS WELL AS RECEIPT AND REVIEW OF PERIODIC PROGRESS REPORTS
Method Used to Acccount for Expenditures		Schedule F, Part I, Line 3 API'S CERTIFICATION PROGRAMS INCLUDE LICENSING OF MANUFACTURERS AND INDIVIDUALS THROUGHOUT THE WORLD AUDITS OF MANUFACTURERS ARE CONDUCTED THROUGH INDEPENDENT CONTRACTORS, WHICH ARE PRIMARILY COORDINATED THROUGH A U S -BASED ENTITY THE EVALUATION AND GRANT OF LICENSES IS CONDUCTED AT API'S HEADQUARTERS IN WASHINGTON, D C SIMILARLY, API'S INDIVIDUAL CERTIFICATION EXAMINATIONS ARE OFFERED AT VARIOUS WORLD-WIDE LOCATIONS, PROCTORED BY A U S -BASED INDEPENDENT CONTRACTOR THE EXAMINATION RESULTS AND CERTIFICATIONS ARE ALSO EVALUATED AND AWARDED AT API'S HEADQUARTERS IN WASHINGTON, D C

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
AMERICAN PETROLEUM INSTITUTE

Employer identification number
13-0433430

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . . ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2 Enter total number of section 501(c)(3) and government organizations . . . . . ▶ 53

3 Enter total number of other organizations . . . . . ▶ 20

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
Procedure for Monitoring Grants in the U S	Part I, Line 2	Schedule I, Part I, Line 2 ALL GRANTS ARE ASSIGNED AN API STAFF MEMBER AS THE CONTRACT OFFICER THE CONTRACT OFFICER'S RESPONSIBILITIES INCLUDE MONITORING THE ACTIVITIES OF GRANTEES, AS WELL AS THE RECEIPT AND REVIEW OF PERIODIC PROGRESS REPORTS

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-0433430
Name: AMERICAN PETROLEUM INSTITUTE

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
Alliance to Save Energy1850 M Street NW Suite 600 Washington, DC 20036	52-1082991	501 C 3	100,000				Energy Education
American Chemistry Council Inc1300 Wilson Blvd Arlington, VA 22209	53-0104410	501 C 6	24,000				General Support
American Council for Capital Formation1750 K Street NW Suite 400 Washington, DC 20006	52-0991278	501 C 6	50,000				Event Sponsorship
American Enterprise Institute1150 Seventeeth Street NW Washington, DC 20036	53-0218495	501 C 3	25,000				General Support
American GI Forum of the United States5551 Vega Drive Fort Worth, TX 76133	77-0632503	501 C 3	25,000				Event Sponsorship
American Institute of Chemical Engineers (AIChE) 3 Park Ave 19th Street New York, NY 10016	13-1623892	501 C 3	10,000				General Support
American Legislative Exchange1101 Vermont Ave NW 11th Floor Washington, DC 20005	52-0140979	501 C 3	40,000				Event Sponsorhip
American Tort Reform Association1920 L Street NW Suite 1200 Washington, DC 20036	52-1464785	501 C 6	10,100				Energy Education
Americans For Prosperity 1726 M Street NW 10th Floor Washington, DC 20036	75-3148958	501 C 4	43,500				Energy Education
Americans for Tax Reform 722 12th Street NW 4th Floor Washington, DC 200053966	52-1403587	501 C 4	50,000				Energy Education

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
America's WETLAND Foundation1055 St Charles Avenue Suite 100 New Orleans, LA 70130	30-0192739	501 C 3	50,000				General Support
ARIZONA STATE UNIVERSITYPO Box 879309 Tempe, AZ 852879309	86-0196696	Sec 115	25,000				Support - Petro-Chemical Research
Building & Construction Trades Dept AFL-CIO815 16th Street NW Suite 600 Washington, DC 20006	53-0025755	501 C 5	10,000				Event Sponsorship
Business Industry Political Action Committee (BIPAC) 888 Sixteenth Street NW Washington, DC 20006	13-1985476	501 C 3	250,000				Energy Education
California Climate Action Registry (Climate Action Reserve)523 West 6th Street Suite 428 Los Angeles, CA 90014	68-0477330	501 C 3	10,000				General Support
Citizens Against Government Waste1301 Connecticut Avenue NW 400 Washington, DC 20036	52-1363952	501 C 3	25,000				General Support
Coastal America Foundation 100 Muron Avenue Bellingham, MA 02019	04-3408825	501 C 3	20,000				Event Sponsorship
Colorado State University A203 Engineering Building 1372 Campus Delivery Ft Collins, CO 805232002	23-7098397	501 C 3	10,000				Support - Petro-Chemical Research
Common Ground Alliance 1421 Prince Stree Suite 410 Alexandria, VA 22314	41-1984081	501 C 3	10,000				Event Sponsorship
Competitive Enterprise Institute1899 L Street NW 12th Floor Washington, DC 20036	52-1351785	501 C 3	25,000				General Support

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
Congressional Coalition on Adoption Institute311 Massachusetts Avenue NW Washington,DC 20002	54-2035617	501 C 3	10,000				General Support
Congressional Hispanic Caucus Institute911 Second Street NE Washington,DC 20002	52-1114225	501 C 3	55,000				Event Sponsorship
Congressional Sportsmen's Foundation110 North Carolina Ave SE Washington,DC 20003	52-1686163	501 C 3	10,000				Event Sponsorship
Conservative Political Action Conference1007 Cameron Street Alexandria,VA 22314	52-1294680	501 C 3	20,000				Event Sponsorship
Consumer Energy Alliance 2211 Norfolk Street Suite 614 Houston,TX 77098	26-1658339	501 C 4	67,500				Event Sponsorship
Energy Policy Research Foundation Inc1201 Wisconsin Avenue Washington,DC 20007	13-1512139	501 C 6	30,000				Energy Policy Research
Everybody Wins DC666 11th Street NW Suite 1030 Washington,DC 20001	52-1938281	501 C 3	7,200				General Support
Foundation for American Communications (FACS) 85South Grand Avenue Pasadena,CA 91105	51-0198837	501 C 3	10,000				General Support
Freedom Works601 Penn Ave NW North Bldg 700 Washington,DC 20004	52-1349353	501 C 4	55,000				General Support
Ground Water Protection Council7728 East 98th Place Tulsa,OK 74133	73-1210455	501 C 6	47,500				Energy Policy Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Health Effects Institute101 Federal Street Suite 500 Boston, MA 021101817	04-2708045	501 C 3	380,000				petro-chemical health effect research
Hispanic Alliance for Prosperity Institute1101 Pennsylvania Avenue NW 7th Floor Washington, DC 20004	20-0803586	501 C 3	25,000				General Support
Hispanic Association on Corporate Responsiblity1444 I Street NW Suite 850 Washington, DC 20005	85-0356947	501 C 3	15,000				Event Sponsorship
Independent Petroleum Association of America1201 15th Street NW Suite 300 Washington, DC 20005	73-0296927	501 C 6	60,000				Energy Education
Independent Petroleum Association of Mountain States410 Seventeenth Street Suite 700 Denvor, CO 80202	84-0700841	501 C 6	61,000				Environmental Research
Institute For Energy Research1100 H Street NW Suite 400 Washington, DC 20005	76-0149778	501 C 3	50,000				Energy Policy Research
International Conservation Caucus Foundation3250 Prospect St NW Washington, DC 20007	83-0449176	501 C 3	50,000				General Support
Env Council of the StatesITRC444 N Capitol Street NW 445 Washington, DC 20001	36-3962169	501 C 3	15,000				Support for the ITRC Bio-Based Fuels Team
The Keystone Center1628 Sts John Road Keystone, CO 80435	84-0688506	501 C 4	80,000				Energy Education
Massachusetts Institute of Technology (MIT)77 Massachusetts Avenue Cambridge, MA 02139	04-2103594	501 C 3	33,000				Energy Education

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
Michigan State University 300 Spartan Way East Lansing, MI 488241005	38-6005984	501 C 3	50,000				Environmental Research
Montana Department of Environmental Quality (MDEQ)1100 North Last Chance Gulch Helena, MT 596200901	81-0302402	Sec 115	34,974				petro-chemical research
National Black Chamber of Commerce1350 Connecticut Ave NW 405 Washington, DC 20036	35-1889294	501 C 3	45,000				General Support
National Board of Professional Teaching Standards1525 Wilson Blvd Suite 500 Arlington, VA 222092451	52-1512323	501 C 3	15,000				General Support
National Foreign Trade Council1625 K Street NW Suite 200 Washington, DC 20006	13-5266965	501 C 6	15,000				Event Sponsorship
National Marine Sanctuary Foundation8601 Georgia Ave Suite 501 Silver Spring, MD 20910	94-3370994	501 C 3	10,000				Energy Education
Nebraska Ethanol Board301 Centennial Mall South 4 Lincoln, NE 68501	47-0491233	Sec 115	26,000				Petro-Chemical Research
NM Association Conservative Districts163 Trail Canyon Road Carlsbad, NM 88220	85-0411367	501 C 3	50,000				General Support
North American Metals Council1203 19th St NW 300 Washington, DC 200362401	20-1320884	501 C 6	7,000				Petro-Chemical Research
North Carolina Agricultural FoundationNC State Box 7645 Raleigh, NC 27695	56-6049304	501 C 3	28,000				Environmental Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Northwestern University School of Law357 East Chicago Avenue Chicago, IL 60611	36-2167817	501 C 3	40,000				Civil Justice Issues
Nicholls State University FoundationPO Box 2062 Thibodeaus, LA 70310	72-6031425	501 C 3	10,000				Event Sponsorship
Oil And Natural Gas Industry Labor Management Committe 101 N Union Street Suite 305 Alexandria, VA 22134	27-0567842	501 C 6	292,500				General Support
PA Chamber of Business & Industry417 Walnut Street Harrisburg, PA 17101	23-0961100	501 C 6	5,333				Event Sponsorship
Rebuilding Together National Office1536 16th Street NW Washington, DC 200361402	52-1585880	501 C 3	100,000				Energy efficient homes
Regents of University of California1111 Franklin Street 10 Floor Oakland, CA 946075201	94-3067788	501 C 3	30,000				Energy Education
San Antonio Hispanic Chamber318 West Houston Street Suite 300 San Antonio, TX 78205	74-2370616	501 C 3	6,000				Event Sponsorship
Small Business And Enterpreneurship Council 2944 Hunter Mill Road Suite 204 Oakton, VA 22124	36-3756240	501 C 4	25,000				General Support
Stanford UniversityTerman Engineering Center Room 452 Stanford, CA 943054026	94-1156365	501 C 3	15,000				Event Sponsorship
STRONGER13308 N MacArthur Oklahoma City, OK 73142	31-1666039	501 C 3	100,000				General Support

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
American Conservative Union 1007 Cameron Street Alexandria, VA 22134	52-0810813	501 C 3	25,000				General Support
The Annapolis Center for Science-Based Public Policy 410 Rowe Boulevard Annapolis, MD 21401	52-1759134	501 C 3	40,000				Energy Education
The Bryce Harlow Foundation 1701 Pennsylvania Ave NW 400 Washington, DC 20006	52-1266620	501 C 3	7,500				Event Sponsorship
The Fund for Peace1720 Eye Street 7th Floor Washington, DC 20006	13-2550978	501 C 3	15,000				Event Sponsorship
The James Madison Institute 2017 Delta Boulevard Suite 102 Tallahassee, FL 32303	59-2811908	501 C 3	30,000				General Support
The Sixty Plus Association 515 King Street Suite 315 Alexandria, VA 22314	54-1564919	501 C 4	35,000				Energy Education
Univ of Houston-Clear Lake 2700 Bay Area Blvd Houston, TX 77058	74-6001399	Sec 115	19,000				Energy Education
University of CaliforniaDavis 1 Sheilds Avenue Davis, CA 95616	94-6036494	Sec 115	45,000				Petro-Chemical Health Effect Research
University of Illinois at Urbana-Champaign205 North Mathews Avenue Urbana, IL 61801	37-6000511	Sec 115	30,000				petro-chemical research
University of Oklahoma66 Parrington Oval Norman, OK 73019	73-6017987	Sec 115	27,620				petro-chemical research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
University of Rochester575 Elmwood Avenue Box EHSC Rochester, NY 14642	16-0743209	Sec 115	23,695				Support - Educational
US CHAMBER OF COMMERCEPO Box 1200 Washington, DC 20013	53-0045720	501 C 6	136,500				Event Sponsorship
Western Governors' Association1600 Broadway Suite 1700 Denver, CO 80202	84-0747227	501 C 6	35,000				Energy Education

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Schedule J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
AMERICAN PETROLEUM INSTITUTE

Employer identification number
13-0433430

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☑ First-class or charter travel ☐ Housing allowance or residence for personal use ☑ Travel for companions ☐ Payments for business use of personal residence ☑ Tax idemnification and gross-up payments ☑ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☐ Compensation committee ☑ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☑ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**	Yes	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**	Yes	
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		
b	Any related organization?	**5b**		
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		
b	Any related organization?	**6b**		
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
jack n gerard	(i)	2,053,843	400,000	83,910	1,741,540	30,586	4,309,879	0
	(ii)	0	0	0	0	0	0	0
JAMES E FORD	(i)	418,021	108,000	117,789	267,253	13,200	924,263	0
	(ii)	0	0	0	0	0	0	0
JIM C CRAIG	(i)	357,399	101,500	150,778	260,725	19,257	889,659	0
	(ii)	0	0	0	0	0	0	0
BRENDA S HARGETT	(i)	339,779	75,000	81,721	78,549	32,503	607,552	0
	(ii)	0	0	0	0	0	0	0
MICHELE E RINN	(i)	168,370	38,500	20,606	15,692	26,097	269,265	0
	(ii)	0	0	0	0	0	0	0
HARRY M NG	(i)	286,497	62,500	62,378	51,128	19,496	481,999	0
	(ii)	0	0	0	0	0	0	0
ROBERT L GRECO	(i)	282,313	55,000	88,278	49,716	32,503	507,810	0
	(ii)	0	0	0	0	0	0	0
DOUGLAS M MORRIS	(i)	240,929	38,500	40,214	88,823	32,644	441,110	0
	(ii)	0	0	0	0	0	0	0
JOHN D MODINE	(i)	214,897	29,000	3,224	28,580	18,422	294,123	0
	(ii)	0	0	0	0	0	0	0
ERIN P THOMPSON	(i)	179,397	35,000	19,183	13,887	9,203	256,670	0
	(ii)	0	0	0	0	0	0	0
ALVIS TRUMAN HUNT	(i)	271,784	48,000	66,175	138,263	26,512	550,734	0
	(ii)	0	0	0	0	0	0	0
Michael L PLatner	(i)	225,529	29,500	6,081	54,276	32,148	347,534	0
	(ii)	0	0	0	0	0	0	0
JOHN C FELMY	(i)	222,980	21,000	2,402	37,621	18,825	302,828	0
	(ii)	0	0	0	0	0	0	0
drew p cobbs	(i)	206,111	16,000	2,431	52,539	29,964	307,045	0
	(ii)	0	0	0	0	0	0	0
JOHN P KEREKES	(i)	204,885	12,000	4,062	73,649	25,070	319,666	0
	(ii)	0	0	0	0	0	0	0
bYRon M Cavaney	(i)	0	0	2,363,600	0	0	2,363,600	2,363,600
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Identifier	Return Reference	Explanation
	Part I, Line 1a	FIRST CLASS OR CHARTER TRAVEL- FIRST CLASS AIR TRAVEL IS LIMITED TO EXECUTIVE STAFF MEMBERS OTHER STAFF MEMBERS MAY TRAVEL FIRST OR BUSINESS CLASS ONLY WHEN ACCOMPANYING AN EXECUTIVE STAFF MEMBER, A MEMBER COMPANY EXECUTIVE OR GOVERNMENTAL OFFICIAL WHO TRAVELS FIRST CLASS OR BUSINESS CLASS, OR, FOR INTERNATIONAL FLIGHTS, WHEN EXTENUATING CIRCUMSTANCES JUSTIFYING TRAVELING BUSINESS CLASS FIRST OR BUSINESS CLASS AIR TRAVEL MUST BE APPROVED IN ADVANCE BY AN EXECUTIVE STAFF MEMBER, AND THE EMPLOYEE MUST PROVIDE THE JUSTIFICATION ON THE EXPENSE REPORT FIRST CLASS TRAIN PASSAGE IS AN ACCEPTABLE ALTERNATIVE TO COACH AIR FARES OF EQUAL OR GREATER VALUE IT'S NOT API'S PRACTICE TO CHARTER TRAVEL TRAVEL FOR COMPANIONS- INSTANCES IN WHICH A SPOUSE ACCOMPANIES AN EMPLOYEE TRAVELING ON BUSINESS AT API EXPENSE ARE LIMITED AND APPROVED IN ADVANCE IN SUCH AUTHORIZED CASES, UNLESS THE STRICT LEGAL DEFINITION OF BUSINESS PURPOSE IS MET, THE REIMBURSEMENT IS TREATED AS TAXABLE INCOME TO THE EMPLOYEE TAX IDEMNIFICATION AND GROSS UP PAYMENTS- FOR EMPLOYEES WHO QUALIFY TO PARTICIPATE IN THE NON-QUALIFIED RETIREMENT INCOME PLAN, API PAYS TAXES DUE ON THE ACCRUED BENEFITS AS THEY ARE AWARDED TO THE PARTICIPANTS THESE TAX PAYMENTS ARE TREATED AS AN ADVANCE AND NETTED FROM THE ACCRUED BENEFITS TO THE PARTICIPANTS UPON DISTRIBUTION HEALTH OR SOCIAL CLUB DUES OR INITIATION FEES- REIMBURSEMENT OF CLUB DUES TO AN EMPLOYEE FOR MEMBERSHIP IN ANY CLUB ORGANIZED FOR PLEASURE, RECREATION, OR OTHER SOCIAL PURPOSE (I E , COUNTRY CLUBS, LUNCHEON CLUBS, AND AIRLINE AND HOTEL CLUBS) IS LIMITED AND REQUIRES EXECUTIVE STAFF APPROVAL EMPLOYEES WHO HAVE BEEN REIMBURSED FOR CLUB DUES MUST ANNUALLY ACCOUNT FOR THEIR BUSINESS USE, AND NON-BUSINESS USE IS TREATED AS TAXABLE INCOME TO THE EMPLOYEE API OFFERS ALL WASHINGTON, D C EMPLOYEES AN OPPORTUNITY TO JOIN A LOCAL HEALTH CLUB AT A DISCOUNTED RATE API PAYS A PORTION OF THE DUES ($13 42 PER SEMI-MONTHLY PAY PERIOD) AND TREATS THIS PORTION AS TAXABLE INCOME TO THE EMPLOYEE
	Part I, Line 4a	LINE 4A BYRON M CAVANEY JR - Retention Agreement- $2,363,600 LINE 4B PARTICIPANTS IN THE AMERICAN PETROLEUM INSTITUTE SUPPLEMENTAL BENEFITS PLAN RECEIVED CONTRIBUTIONS IN 2009 AS FOLLOWS FORD, JAMES E - $120,120 CRAIG, JIM C - $151,443 HARGETT, BRENDA S - $91,867 RINN, MICHELE E - $2,048 NG, HARRY M - $75,619 GRECO, ROBERT L - $100,656 MORRIS, DOUGLAS W - $55,048 PLATNER, MICHAEL L - $21,431 MODINE, JOHN D - $4,179 HUNT, JR , ALVIS T - $77,235 FELMY, JOHN C - $3,360

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-0433430
Name: AMERICAN PETROLEUM INSTITUTE

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B)(i) Base Compensation	(B)(ii) Bonus & incentive compensation	(B)(iii) Other compensation	(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
jack n gerard	(i)	2,053,843	400,000	83,910	1,741,540	30,586	4,309,879	0
	(ii)	0	0	0	0	0	0	0
JAMES E FORD	(i)	418,021	108,000	117,789	267,253	13,200	924,263	0
	(ii)	0	0	0	0	0	0	0
JIM C CRAIG	(i)	357,399	101,500	150,778	260,725	19,257	889,659	0
	(ii)	0	0	0	0	0	0	0
BRENDA S HARGETT	(i)	339,779	75,000	81,721	78,549	32,503	607,552	0
	(ii)	0	0	0	0	0	0	0
MICHELE E RINN	(i)	168,370	38,500	20,606	15,692	26,097	269,265	0
	(ii)	0	0	0	0	0	0	0
HARRY M NG	(i)	286,497	62,500	62,378	51,128	19,496	481,999	0
	(ii)	0	0	0	0	0	0	0
ROBERT L GRECO	(i)	282,313	55,000	88,278	49,716	32,503	507,810	0
	(ii)	0	0	0	0	0	0	0
DOUGLAS M MORRIS	(i)	240,929	38,500	40,214	88,823	32,644	441,110	0
	(ii)	0	0	0	0	0	0	0
JOHN D MODINE	(i)	214,897	29,000	3,224	28,580	18,422	294,123	0
	(ii)	0	0	0	0	0	0	0
ERIN P THOMPSON	(i)	179,397	35,000	19,183	13,887	9,203	256,670	0
	(ii)	0	0	0	0	0	0	0
ALVIS TRUMAN HUNT	(i)	271,784	48,000	66,175	138,263	26,512	550,734	0
	(ii)	0	0	0	0	0	0	0
Michael L PLatner	(i)	225,529	29,500	6,081	54,276	32,148	347,534	0
	(ii)	0	0	0	0	0	0	0
JOHN C FELMY	(i)	222,980	21,000	2,402	37,621	18,825	302,828	0
	(ii)	0	0	0	0	0	0	0
drew p cobbs	(i)	206,111	16,000	2,431	52,539	29,964	307,045	0
	(ii)	0	0	0	0	0	0	0
JOHN P KEREKES	(i)	204,885	12,000	4,062	73,649	25,070	319,666	0
	(ii)	0	0	0	0	0	0	0
bYRon M Cavaney	(i)	0	0	2,363,600	0	0	2,363,600	2,363,600
	(ii)	0	0	0	0	0	0	0

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶See separate instructions.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization
AMERICAN PETROLEUM INSTITUTE

Employer identification number
13-0433430

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected? Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . ▶ $

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization? To	From	(c) Original principal amount	(d) Balance due	(e) In default? Yes	No	(f) Approved by board or committee? Yes	No	(g) Written agreement? Yes	No
Total . . . . ▶ $										

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of grant or type of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	No
VELMA D MORRIS	SPOUSE OF API KEY EMPLOYEE DOUGLAS W MORRIS	91,941	EMPLOYMENT ARRANGEMENT - COMPENSATED FOR HER POSITION AS THE GROUP ADMINISTRATOR FOR THE COMMUNICATIONS DEPARTMENT		No

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SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
AMERICAN PETROLEUM INSTITUTE

Employer identification number
13-0433430

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 1		API's Executive Committee consists of 10 members of the Board Members include the API chairman, Treasurer of the Board, with representation of the top six dues-paying members, and the remaining four members chosen principally from the largest dues-paying members, including as many as two independent petroleum producers the Executive Committee has the authority to exercise all of the powers of the Board of Directors in the absence of action by the Board, except to amend the bylaws or as otherwise limited by law Its responsibilities include long-range planning, program and budget development, oversight, and administration
Form 990, Part VI, Section A, line 2		BUSINESS RELATIONSHIP ALBRECHT, WILLIAM E , CARRIG, JOHN A , CAZALOT, CLARENCE P , DOLAN, MICHAEL J , HEMINGER, GARY R , IRANI, RAY R , Kirklind, George, MULVA, JAMES J , O'REILLY, DAVID J , TILLERSON, REX W , WATSON, JOHN S FAMILY RELATIONSHIP HUNT, RAY L , HUNT, W HERBERT
Form 990, Part VI, Section A, line 6		API IS A TRADE ASSOCIATION WITH MEMBERSHIP DRAWN FROM THE OIL AND NATURAL GAS INDUSTRY AS DESCRIBED BELOW, API'S MEMBERS PARTICIPATE IN THE ELECTION OF THE BOARD REGULAR ELECTED BOARD MEMBERS HAVE FULL VOTING RIGHTS API'S PRESIDENT IS AN EX OFFICIO VOTING MEMBER OF THE BOARD OTHER EX OFFICIO MEMBERS DO NOT HAVE VOTING RIGHTS HONORARY DIRECTORS HAVE NO VOTING RIGHTS
Form 990, Part VI, Section A, line 7a		ONE-HALF OF THE BOARD MEMBERS IN A GIVEN YEAR ARE ELECTED BY THE BOARD OF DIRECTORS
Form 990, Part VI, Section B, line 11		API'S FORM 990 WAS PREPARED BY API STAFF AND REVIEWED BY ITS EXTERNAL ACCOUNTING FIRM PRIOR TO FILING, THE FORM 990 WAS INCLUDED WITH THE MATERIALS FOR THE finance COMMITTEE'S ANNUAL MEETING IN SEPTEMBER 2010, AND REVIEWED DURING THE MEETING A COPY OF THE FORM 990 WAS PROVIDED TO THE EXECUTIVE COMMITTEE PRIOR TO FILING
Form 990, Part VI, Section B, line 12c		API's Standards of Conduct policy includes provisions related to avoiding any act that may result in a conflict of interest On an annual basis, all employees are asked to confirm their compliance with the Standards of Conduct policy Any employee who is aware of a violation of this policy must take appropriate action so that the violation is promptly addressed This may include reporting a violation to an Executive Staff member or to an externally-operated ethics hotline All reports are assigned to an appropriate Executive Staff member for investigation and resolution and a report of all incidents are provided to the Finance Committee each year (in 2009 called Audit Committee) The Board of Directors adopted a conflict of interest policy in 2008 that requires full disclosure of all actual and potential conflicts The disinterested members of the API Executive Committee shall make a determination as to whether a conflict exists and what subsequent action is appropriate (if any) A copy of the policy is provided to all Board members who are requested to complete and sign an acknowledgment and disclosure form
Form 990, Part VI, Section B, line 15		COMPENSATION FOR API'S PRESIDENT & CEO, OTHER OFFICERS, AND KEY EMPLOYEES ARE REVIEWED AND APPROVED BY THE EXECUTIVE COMMITTEE ON AN ANNUAL BASIS, BASED ON PERFORMANCE REVIEWS, EXTERNAL COMPARABLE DATA OBTAINED FROM CONSULTANTS, AND OTHER RELEVANT INFORMATION THE PRESIDENT & CEO'S COMPENSATION IS BASED ON A WRITTEN CONTRACT APPROVED BY THE EXECUTIVE COMMITTEE A REVIEW WAS CONDUCTED IN 2010
Form 990, Part VI, Section C, line 19		NO DOCUMENTS AVAILABLE TO THE PUBLIC

Identifier	Return Reference	Explanation
Form 990, Part XI, Line 2c		The process has not changed from the prior year

Form 990, Part VI, Line 10b API operates State Petroleum Councils located in various state capitals These are not separate legal entities, but operate as branch offices of API under local trade names There are also several unrelated local chapters that exist that may use the API name but they are separate legal entities from API