Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , **and ending**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
DAVE RICKEY & DAUGHTERS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
15629 BOULDER MOUNTAIN ROAD

City or town, state, and ZIP code
POWAY, CA 92064

A Employer identification number
11-6574096

B Telephone number
(615) 377-4600

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 12,220,996.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

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Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc., received			N/A	
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	6,618.	6,618.		STATEMENT 1
4	Dividends and interest from securities	217,997.	217,997.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	19,311.			
b	Gross sales price for all assets on line 6a 6,223,248.				
7	Capital gain net income (from Part IV, line 2)		19,311.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	<173,347.>	0.		STATEMENT 3
12	**Total.** Add lines 1 through 11	70,579.	243,926.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	45,864.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees STMT 4	22,550.	0.		22,550.
b	Accounting fees STMT 5	7,766.	0.		7,766.
c	Other professional fees STMT 6	101,742.	0.		101,731.
17	Interest				
18	Taxes STMT 7	7,745.	0.		7,391.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 8	120.	0.		120.
24	**Total operating and administrative expenses.** Add lines 13 through 23	185,787.	0.		139,558.
25	Contributions, gifts, grants paid	1,650,000.			1,650,000.
26	**Total expenses and disbursements.** Add lines 24 and 25	1,835,787.	0.		1,789,558.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	<1,765,208.>			
b	**Net investment income** (if negative, enter -0-)		243,926.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

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7

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,183,491.	1,467,617.	1,467,617.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	10,475,570.	9,223,616.	10,697,004.
	14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15	Other assets (describe ▶ ARTWORK)	56,375.	56,375.	56,375.
	16	**Total assets** (to be completed by all filers)	11,715,436.	10,747,608.	12,220,996.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐** **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	11,715,436.	10,747,608.	
	30	**Total net assets or fund balances**	11,715,436.	10,747,608.	
	31	**Total liabilities and net assets/fund balances**	11,715,436.	10,747,608.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,715,436.
2	Enter amount from Part I, line 27a	2	<1,765,208.>
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS	3	797,380.
4	Add lines 1, 2, and 3	4	10,747,608.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,747,608.

Form **990-PF** (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	6,223,248.		6,203,937.	19,311.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				19,311.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 19,311.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	3,778,477.	16,329,856.	.231385
2007	1,373,762.	21,748,865.	.063165
2006	1,063,683.	20,695,669.	.051396
2005	1,420,526.	19,327,199.	.073499
2004	1,080,499.	19,412,241.	.055661

2	**Total** of line 1, column (d)	2	.475106
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.095021
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	11,345,103.
5	Multiply line 4 by line 3	5	1,078,023.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,439.
7	Add lines 5 and 6	7	1,080,462.
8	Enter qualifying distributions from Part XII, line 4	8	1,789,558.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			1	2,439.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	2,439.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	2,439.
6 Credits/Payments:				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	28,544.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	28,544.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	26,105.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 26,105. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form **990-PF** (2009)

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LATTIMORE, BLACK, MORGAN, & CAIN PC Telephone no. ▶ (615) 377-4600

Located at ▶ PO BOX 1869 5250 VIRGINIA WAY, BRENTWOOD, TN ZIP+4 ▶ 37024-1869

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ▶ ______, ______, ______, ______			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID M. RICKEY 15629 BOULDER MOUNTAIN ROAD POWAY, CA 92064	PRESIDENT/DIRECTOR 5.00	26,208.	0.	0.
BRENDA SJODIN RICKEY 15629 BOULDER MOUNTAIN ROAD POWAY, CA 92064	DIRECTOR 5.00	19,656.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2009)

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	10,108,963.
b	Average of monthly cash balances	1b	1,408,908.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	11,517,871.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,517,871.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	172,768.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,345,103.
6	**Minimum investment return.** Enter 5% of line 5	6	567,255.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	567,255.
2a	Tax on investment income for 2009 from Part VI, line 5 2a 2,439.		
b	Income tax for 2009. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	2,439.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	564,816.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	564,816.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	564,816.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,789,558.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,789,558.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,439.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,787,119.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					564,816.
2 Undistributed income, if any, as of the end of 2009					
a Enter amount for 2008 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2009:					
a From 2004	43,865.				
b From 2005	470,512.				
c From 2006	53,544.				
d From 2007	319,165.				
e From 2008	2,970,656.				
f Total of lines 3a through e		3,857,742.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 1,789,558.					
a Applied to 2008, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2009 distributable amount					564,816.
e Remaining amount distributed out of corpus		1,224,742.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		5,082,484.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7		43,865.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a		5,038,619.			
10 Analysis of line 9:					
a Excess from 2005	470,512.				
b Excess from 2006	53,544.				
c Excess from 2007	319,165.				
d Excess from 2008	2,970,656.				
e Excess from 2009	1,224,742.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 **Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BRENDA AND DAVE RICKEY FOUNDATION 15629 BOULDER MOUNTAIN ROAD POWAY, CA 920642145	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	1600000.
DIOCESE OF SAN DIEGO 3888 PADUCAH DRIVE SAN DIEGO, CA 92117	NONE	CHURCH	FUNDS FOR DISCRETIONARY USE	50,000.
Total			▶ 3a	1650000.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	6,618.	
4 Dividends and interest from securities			14	217,997.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	<173,347.>	
8 Gain or (loss) from sales of assets other than inventory			18	19,311.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		70,579.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	70,579.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

923621
02-02-10

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee — Date 11/12/10 — ▶ Title PRESIDENT

Paid Preparer's Use Only

Preparer's signature ▶	Date 11-11-10	Check if self-employed ▶ ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code ▶ LATTIMORE BLACK MORGAN & CAIN, P.C. P.O. BOX 1869 BRENTWOOD, TN 37024-1869		EIN ▶	
		Phone no. (615)377-4600	

Form **990-PF** (2009)

923622
02-02-10

CONTINUATION FOR 990-PF, PART IV
DAVE RICKEY & DAUGHTERS FOUNDATION 11-6574096 PAGE 1 OF 2

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VKM SENIOR INCOME TRUST - 21 SHS	P	01/31/08	01/02/09
b	SEE ATTACHED MERRILL LYNCH (6YJ-04330)	P	VARIOUS	VARIOUS
c	SEE ATTACHED MERRILL LYNCH (6YJ-04330)	P	VARIOUS	VARIOUS
d	SEE ATTACHED MERRILL LYNCH (6YJ-04324)	P	VARIOUS	VARIOUS
e	SEE ATTACHED MERRILL LYNCH (6YJ-04324)	P	VARIOUS	VARIOUS
f	SEE ATTACHED MERRILL LYNCH (6YJ-04327)	P	VARIOUS	VARIOUS
g	SEE ATTACHED MERRILL LYNCH (6YJ-04327)	P	VARIOUS	VARIOUS
h	SEE ATTACHED ML HUGOTON TRUST (6YJ-04330)	P	VARIOUS	VARIOUS
i	SEE ATTACHED ML HUGOTON TRUST (6YJ-04330)	P	VARIOUS	VARIOUS
j	SEE ATTACHED ML SPDR GOLD TRUST (6YJ-04327)	P	VARIOUS	VARIOUS
k	18,835.2645 SHS SELECTINVEST ARV LTD. (ML 6YJ-043	P	VARIOUS	06/30/09
l	1,608.0632 SHS SELECTINVEST ARV LTD. (ML 6YJ-0432	P	06/30/09	10/31/09
m	FROM NUSTAR ENERGY K-1	P	VARIOUS	VARIOUS
n	1,025 SHS ENBRIDGE ENERGY PARTNERS - PTP	P	VARIOUS	VARIOUS
o	1,055 SHS. ENTERPRISE PRODUCTS PARTNERS - PTP	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	525,082.		525,000.	82.
b	223,888.		316,471.	<92,583.>
c	191,437.		221,206.	<29,769.>
d	1,870,925.		1,897,010.	<26,085.>
e	167,071.		258,485.	<91,414.>
f	423,752.		419,970.	3,782.
g	204,324.		322,749.	<118,425.>
h	32,677.		40,879.	<8,202.>
i	36,048.		60,792.	<24,744.>
j	10,744.		10,168.	576.
k	2,269,135.		1,884,149.	384,986.
l	166,781.		160,806.	5,975.
m			1.	<1.>
n	45,678.		38,369.	7,309.
o	27,252.		25,909.	1,343.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				82.
b				<92,583.>
c				<29,769.>
d				<26,085.>
e				<91,414.>
f				3,782.
g				<118,425.>
h				<8,202.>
i				<24,744.>
j				576.
k				384,986.
l				5,975.
m				<1.>
n				7,309.
o				1,343.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

CONTINUATION FOR 990-PF, PART IV

DAVE RICKEY & DAUGHTERS FOUNDATION 11-6574096 PAGE 2 OF 2

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	605 SHS. ENERGY TRANSFER PARTNERS - PTP	P	VARIOUS	VARIOUS
b	ML 6YJ-04330 - CASH IN LIEU	P	VARIOUS	VARIOUS
c	CAPITAL GAINS DIVIDENDS			
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	26,274.		21,973.	4,301.
b	8.			8.
c	2,172.			2,172.
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				4,301.
b				8.
c				2,172.
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	19,311.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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04-24-09

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
FROM ENBRIDGE ENERGY PARTNERS K-1	4.
FROM ENERGY TRANSFER PARTNERS K-1	42.
FROM ENTERPRISE PRODUCTS PARTNERS K-1	111.
FROM KINDER MORGAN ENERGY PARTNERS K-1	532.
FROM ONEOK PARTNERS K-1	124.
MERRILL LYNCH (6YJ-04323)	4,002.
MERRILL LYNCH (6YJ-04324)	1,471.
MERRILL LYNCH (6YJ-04327)	51.
MERRILL LYNCH (6YJ-04330)	281.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	6,618.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FROM ENERGY TRANSFER PARTNERS K-1	116.	0.	116.
FROM ENTERPRISE PRODUCTS PARTNERS K-1	8.	0.	8.
FROM KINDER MORGAN ENERGY PARTNERS K-1	42.	0.	42.
FROM NUSTAR ENERGY K-1	3.	0.	3.
FROM PLAINS ALL AMERICAN PIPELINE K-1	1.	0.	1.
MERRILL LYNCH (6YJ-04324)	149,078.	1,404.	147,674.
MERRILL LYNCH (6YJ-04325)	95.	0.	95.
MERRILL LYNCH (6YJ-04327)	5,868.	0.	5,868.
MERRILL LYNCH (6YJ-04330)	63,989.	768.	63,221.
SMITH BARNEY (561-90230-12 524)	2.	0.	2.
SMITH BARNEY (561-90253-14 458)	914.	0.	914.
SMITH BARNEY (561-90370)	53.	0.	53.
TOTAL TO FM 990-PF, PART I, LN 4	220,169.	2,172.	217,997.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH - HUGOTON ROYALTY TRUST(6YJ-04330)	2,060.	0.	
FROM ONEOK PARTNERS K-1	<5,712.>	0.	
FROM ENBRIDGE ENERGY PARTNERS K-1	<1,497.>	0.	
FROM ENERGY TRANSFER PARTNERS K-1	<5,610.>	0.	
FROM KINDER MORGAN ENERGY PARTNERS K-1	<15,165.>	0.	
ENTERPRISE PRODUCTS PARTNERS K-1	<12,766.>	0.	
FROM ML SYSTEMATIC MOMENTUM K-1	<149,237.>	0.	
FROM PLAINS ALL AMERICAN PIPELINE K-1	<65.>	0.	
FROM NUSTAR ENERGY K-1	<297.>	0.	
ORDINARY INCOME FROM SALE OF PTP UNITS - ENBRIDGE ENERGY PARTNERS	8,415.	0.	
ORDINARY INCOME FROM SALE OF PTP UNITS - ENTERPRISE PRODUCTS PARTNERS	4,250.	0.	
ORDINARY INCOME FROM SALE OF PTP UNITS - ENERGY TRANSFER PARTNERS	2,277.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<173,347.>	0.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	22,550.	0.		22,550.
TO FM 990-PF, PG 1, LN 16A	22,550.	0.		22,550.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,766.	0.		7,766.
TO FORM 990-PF, PG 1, LN 16B	7,766.	0.		7,766.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	101,742.	0.		101,731.
TO FORM 990-PF, PG 1, LN 16C	101,742.	0.		101,731.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX	10.	0.		10.
FOREIGN TAX PAID	7,381.	0.		7,381.
EXCISE TAX	354.	0.		0.
TO FORM 990-PF, PG 1, LN 18	7,745.	0.		7,391.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	120.	0.		120.
TO FORM 990-PF, PG 1, LN 23	120.	0.		120.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SMITH BARNEY SECURITIES	FMV	869.	869.
MERRILL LYNCH SECURITIES	FMV	9,115,887.	10,589,275.
ADVANCED EQUITIES INVESTMENTS	FMV	106,860.	106,860.
TOTAL TO FORM 990-PF, PART II, LINE 13		9,223,616.	10,697,004.

Merrill Lynch

Account No.
6YJ-04323

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
VKM SENIOR INCOME TR	21.0000	01/31/08	01/02/09			525,082.47	525,000.00	82.47
		Short Term Capital Gains Subtotal				525,082.47	525,000.00	82.47
NET SHORT TERM CAPITAL GAIN (LOSS)								82.47
TOTAL CAPITAL GAINS AND LOSSES						525,082.47	525,000.00	82.47
TOTAL REPORTABLE GROSS PROCEEDS						525,082.47		
DIFFERENCE						0.00		

page 1

Merrill Lynch

DAVE RICKEY & DAUGHTERS

Account No. 6YJ-04330

Taxpayer No. 11-6574096

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
ALEXANDRIA REAL EST EQTS	265.0000	06/04/09	09/08/09			13,663.63	10,545.14	3,118.49
HSBC HLDG PLC SP ADR	385.0000	04/03/09	11/30/09			22,437.72	12,507.22	9,930.50
TELEFONICA SA SPAIN ADR	130.0000	11/14/08	09/23/09			10,880.80	7,577.92	3,302.88
	110.0000	11/14/08	10/01/09			8,892.94	6,412.08	2,480.86
		Security Subtotal				19,773.74	13,990.00	5,783.74
		Short Term Capital Gains Subtotal				55,875.09	37,042.36	18,832.73
SHORT TERM CAPITAL LOSSES								
APARTMENT INVT & MGMT CO	840.0000	08/06/08	03/17/09			4,504.55	31,882.37	(27,377.82)
	1145.0000	10/23/08	03/17/09			6,140.14	17,582.05	(11,441.91)
	1255.0000	11/13/08	03/17/09			6,730.03	16,436.48	(9,706.45)
	119.0000	12/02/08	03/17/09			638.14	1,006.74	(368.60)
	518.0000	02/04/09	03/17/09			2,777.82	4,962.44	(2,184.62)
		Security Subtotal				20,790.68	71,870.08	(51,079.40)

Merrill Lynch

Account No.
6YJ-04330

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GALLAGHER ARTHUR J & CO	510.0000	04/23/08	03/17/09			8,317 99	12,240.00	(3,922.01)
	305 0000	10/23/08	03/17/09			4,974.50	7,212 03	(2,237 53)
		Security Subtotal				13,292.49	19,452.03	(6,159.54)
KRAFT FOODS INC VA CL A	895.0000	10/23/08	10/06/09			23,341.00	25,175 90	(1,834.90)
MC CORMICK NON VTG	670 0000	10/23/08	06/02/09			20,613.56	22,549 19	(1,935.63)
NUCOR CORPORATION	325 0000	04/23/08	03/18/09			11,041 39	24,085.17	(13,043.78)
	390.0000	10/23/08	03/18/09			13,249.67	13,602.89	(353 22)
	45 0000	10/31/08	03/18/09			1,528 81	1,722.60	(193.79)
		Security Subtotal				25,819.87	39,410.66	(13,590.79)
POTLATCH CORP NEW	355.0000	04/23/08	03/17/09			7,100 81	13,450 52	(6,349 71)
	530.0000	10/23/08	03/17/09			10,601 22	15,409 70	(4,808.48)
		Security Subtotal				17,702.03	28,860.22	(11,158.19)
SYSCO CORPORATION	940.0000	10/23/08	04/30/09			21,906.70	22,476.25	(569.55)
WILMINGTON TR CORP COM	1000.0000	08/06/08	02/02/09			12,685.62	26,008.00	(13,322.38)
	935 0000	10/23/08	02/02/09			11 861.07	23,626 70	(11,765.63)
		Security Subtotal				24,546.69	49,634 70	(25,088.01)
		Short Term Capital Losses Subtotal				168,013.02	279,429 03	(111,416.01)
NET SHORT TERM CAPITAL GAIN (LOSS)						223,888.02	316,471 39	(92,583.28)
LONG TERM CAPITAL GAINS								
MCDONALDS CORP COM	150 0000	11/14/08	11/23/09			9,592.37	8,584 46	1,007 91

Merrill Lynch

Account No.
6YJ-04330

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
TELEFONICA SA SPAIN ADR	70 0000	11/14/08	11/16/09			6,001.20	4,080 42	1,920 78
	290 0000	11/14/08	12/18/09			23 720 46	16,904.60	6 815 86
		Security Subtotal				29,721.66	20,985.02	8,736.64
		Long Term Capital Gains Subtotal				39,314.03	29,569.48	9,744.55
LONG TERM CAPITAL LOSSES								
COLGATE PALMOLIVE	260 0000	02/29/08	09/08/09			18,544 07	19,862.39	(1,318 32)
	155.0000	04/23/08	09/08/09			11,055.13	11,857 09	(801.96)
		Security Subtotal				29,599.20	31,719.48	(2,120.28)
GALLAGHER ARTHUR J & CO	340 0000	02/29/08	03/17/09			5,545 33	8,112.40	(2,567.07)
KRAFT FOODS INC VA CL A	935 0000	09/11/08	10/06/09			24,384.17	30,803.11	(6,418.94)
MC CORMICK NON VTG	310.0000	02/29/08	06/01/09			9,476 73	10,881.00	(1,404.27)
	10 0000	03/04/08	06/01/09			305.70	347.80	(42.10)
	400.0000	04/23/08	06/01/09			12,228.05	15,119 00	(2,890.95)
	60 0000	04/23/08	06/02/09			1,845 99	2,267 85	(421.86)
		Security Subtotal				23,856.47	28,615.65	(4,759.18)
MICROCHIP TECHNOLOGY INC	430 0000	02/29/08	11/23/09			11,056 43	13,497 70	(2,441.27)
	20.0000	04/23/08	11/23/09			514 26	707 87	(193.61)
		Security Subtotal				11,570.69	14,205.57	(2,634.88)
NUCOR CORPORATION	230 0000	02/29/08	03/18/09			7,813 90	15,223 70	(7,409 80)
PAYCHEX INC	430 0000	02/29/08	09/08/09			11,956.95	13,519 20	(1,562 25)
	285.0000	04/23/08	09/08/09			7 924 97	10 379.27	(2,454.30)
		Security Subtotal				19,881.92	23,898.47	(4,016.55)

page 4

Merrill Lynch

Account No.
6YJ-04330

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
POTLATCH CORP NEW	240 0000	02/29/08	03/17/09			4,800.54	8,604.78	(3.804 24)
	10.0000	03/04/08	03/17/09			200.02	348.69	(148 67)
		Security Subtotal				5,000.56	8,953.47	(3,952.91)
SYSCO CORPORATION	430 0000	02/29/08	04/30/09			10,021.14	12,255.00	(2,233.86)
	620.0000	04/23/08	04/30/09			14,449.10	17,849 80	(3,400.70)
		Security Subtotal				24,470.24	30,104.80	(5,634.56)
		Long Term Capital Losses Subtotal				152,122.48	191,636.65	(39,514.17)
NET LONG TERM CAPITAL GAIN (LOSS)						191,436.51	221,206 13	(29,769.62)
OTHER TRANSACTIONS								
TAIWAN S MANUFCTRING ADR	0000	08/20/09	08/20/09			8 24	N/A	N/A
ENBRIDGE ENERGY PARTNERS	160.0000	10/20/08	06/09/09			6,412.04	5,729 18	N/C
	240.0000	10/20/08	10/05/09			10,888 33	8,593.77	N/C
	205.0000	10/20/08	10/06/09			9,319.74	7,340 52	N/C
	5.0000	10/20/08	10/06/09			226.87	179.04	N/C
	415.0000	10/23/08	10/06/09	See pg 37		18,830.64	14,709 59	N/C
		Security Subtotal				45,677.62	36,552.10	
ENTERPRISE PRDTS PRTN LP	1055.0000	10/20/08	06/09/09	See pg 38		27,251 94	25,847.50	N/C
ENERGY TRANSFER PTNRS LP	605.0000	10/20/08	06/09/09	See pg 39		26,274 47	22,073.24	N/C
		Other Transactions Subtotal				99,212.27		
TOTAL CAPITAL GAINS AND LOSSES						514,536.89	622,150.36	(122,352.90)
TOTAL REPORTABLE GROSS PROCEEDS						514,536.89		
DIFFERENCE						0.00		

Merrill Lynch

DAVE RICKEY & DAUGHTERS

Account No.
6YJ-04324

Taxpayer No.
11-6574096

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
VANGUARD TOTAL STK MKT	388.0000	10/27/08	07/17/09			18,278.68	16,730.68	1,548.00
ISHARES MSCI TAIWAN	260 0000	10/27/08	07/21/09			2,873.45	1,947.37	926.08
ISHARES BARCLAY 7-10 YR	277 0000	06/05/09	10/08/09			25,799.79	24,618.76	1,181 03
ISHARES BARCLYS 1-3 YEAR	1337 0000	08/20/09	09/15/09			112,052 43	112,000.22	52.21
VANGUARD CONSUMER	270.0000	12/23/08	06/17/09			15,327.55	15,105.47	222 08
	281 0000	12/23/08	07/17/09			16,778.08	15,720.88	1,057.20
	507 0000	12/23/08	10/06/09			32,692.65	28,364 72	4,327.93
		Security Subtotal				64,798.28	59,191.07	5,607.21
VANGUARD CONSUMER	1000.0000	12/23/08	04/20/09			33,265 44	30,669 00	2,596.44
VANGUARD UTILITIES ETF	741.0000	12/23/08	10/06/09			45,273.94	43,081.74	2,192.20
VANGUARD MATERIALS ETF	345.0000	12/23/08	04/20/09			16,019.83	14,892.89	1,126.94

Merrill Lynch

Account No. 6YJ-04324

Taxpayer No. 11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
VANGUARD INFORMATION	1090 0000	12/23/08	04/20/09			40,677.75	35,958.33	4,719.42
	23.0000	12/23/08	10/06/09			1,137.92	758.75	379.17
	233.0000	06/17/09	10/06/09			11,527.61	9,876 57	1,651 04
		Security Subtotal				53,343.28	46,593.65	6,749 63
VANGUARD HEALTH CARE ETF	241.0000	12/23/08	07/17/09			11,243.18	10,711.80	531 38
VANGUARD TELECOMM SRVCS	820 0000	12/23/08	04/20/09			37,101.91	35,038.59	2,063.32
	125 0000	12/23/08	10/05/09			6,787.58	5,341.25	1,446 33
		Security Subtotal				43,889.49	40,379.84	3,509.65
VANGUARD ENERGY ETF	155.0000	12/23/08	04/20/09			9,758.54	9,726.24	32.30
	341.0000	12/23/08	06/17/09			24,552.31	21,397.75	3,154.56
	195.0000	12/23/08	07/21/09			14,116.90	12,236.25	1,880 65
	201.0000	10/06/09	10/29/09			16,965.37	16,191 31	774.06
		Security Subtotal				65,393.12	59,551.55	5,841.57
VANGUARD INDUSTRIAL ETF	176.0000	12/23/08	10/06/09			8,644.23	7,115.68	1,528.55
	505.0000	07/21/09	10/05/09			24,803.04	21 432 91	3,370.13
		Security Subtotal				33,447.27	28,548.59	4,898.68
VANGUARD EUROPEAN ETF	280 0000	08/12/09	10/29/09			14,083.05	12,756.74	1,326 31
VANGUARD PACIFIC ETF	770 0000	10/27/08	04/20/09			30,676.93	28,437 64	2,239.29
	88 0000	07/21/09	10/29/09			4,549.66	4,163.97	385.69
	200 0000	08/12/09	10/29/09			10 340.14	10,065.28	274.86
		Security Subtotal				45,566.73	42,666.89	2,899.84
POWERSHARES WATER	1395.0000	01/21/09	04/20/09			17,842.01	17,660.98	181.03
ISHARES LEHMAN 3-7 YR	214.0000	05/29/09	10/08/09			24,164.10	23,810.60	353.50

Merrill Lynch

Account No.	Taxpayer No
6YJ-04324	11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SPDR S P PHARMACEUTICALS	1235.0000	10/14/08	06/12/09			35,519.65	34,458.36	1,061.29
	286.0000	10/27/08	06/12/09			8,225.61	7,047.04	1,178.57
	1734.0000	10/27/08	10/06/09			61,855.95	42,725.76	19,131.19
		Security Subtotal				105,602.21	84,231.16	21,371.05
POWERSHARES INDIA ETF TR	206.0000	10/27/08	07/21/09			3,848.35	2,173.30	1,675.05
ISHARES MSCI BRAZIL FREE	490.0000	10/27/08	04/20/09			20,475.62	14,655.90	5,820.72
KAYNE ANDERSON MLP	815.0000	10/15/08	04/20/09			16,241.79	16,064.79	177.00
	134.0000	10/16/08	06/15/09			2,873.91	2,782.87	91.04
	519.0000	10/16/08	06/18/09			11,054.42	10,778.43	275.99
	321.0000	10/16/08	06/25/09			6,740.82	6,666.43	74.39
	89.0000	10/16/08	06/26/09			1,912.05	1,848.32	63.73
	805.0000	10/16/08	06/29/09			17,474.81	16,718.00	756.81
		Security Subtotal				56,297.80	54,858.84	1,438.96
FRKLN FLT RT DLY ACC A	8515.0000	11/03/08	04/20/09			66,587.30	65,224.90	1,362.40
FKLN FLT RT DLY ACC ADV	12497.0000	11/03/08	10/08/09			109,098.81	95,844.04	13,254.77
LORD ABBT BOND DEB FD F	9838.0000	11/03/08	04/20/09			59,921.28	58,731.36	1,189.92
LAZRD EMRG MKT PTF INSTL	1192.0000	01/21/09	04/20/09			13,862.96	11,812.71	2,050.25
		Short Term Capital Gains Subtotal				1,063,029.40	973,344.58	89,684.82
SHORT TERM CAPITAL LOSSES								
VANGUARD TOTAL STK MKT	1990.0000	10/27/08	04/20/09			83,698.83	85,809.39	(2,110.56)
ISHARES MSCI CDA INDX FD	995.0000	08/01/08	04/20/09			17,701.09	30,873.85	(13,172.76)
ISHARES MSCI TAIWAN	260.0000	08/11/08	07/21/09			2,873.44	3,601.00	(727.56)

Merrill Lynch

Account No.
6YJ-04324

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI SOUTH KOREA	385 0000	08/11/08	04/20/09			11,934.69	19,126.61	(7,191.92)
POWERSHARES ETF TR	1530 0000	01/21/09	04/20/09			15,100.10	15,907.41	(807.31)
ISHARES BARCLAY 7-10 YR	544.0000	04/20/09	09/15/09			49,572.36	51,895.42	(2,323.06)
	616 0000	04/20/09	10/08/09			57,374 23	58 763.94	(1,389 71)
		Security Subtotal				106,946.59	110,659.36	(3,712.77)
ISHARES BARCLYS 1-3 YEAR	275.0000	05/15/09	09/15/09			23,047.43	23,085.98	(38.55)
VANGUARD FINANCIALS ETF	1335 0000	12/23/08	04/20/09			28,735 54	32,806.55	(4,071 01)
	497.0000	12/23/08	07/17/09			12 075.55	12,213.37	(137.82)
		Security Subtotal				40,811.09	45,019.92	(4,208.83)
VANGUARD CONSUMER	575 0000	12/23/08	04/20/09			30,841.68	32,169.06	(1,327.38)
VANGUARD HEALTH CARE ETF	2220 0000	12/23/08	04/20/09			93,830.76	98,673.00	(4,842.24)
VANGUARD INDUSTRIAL ETF	1030.0000	12/23/08	04/20/09			38,264 44	41,642.90	(3,378 46)
VANGUARD EMERGING MKTS	420.0000	01/23/08	01/21/09			8,866.23	18,349.02	(9,482.79)
	360 0000	03/04/08	01/21/09			7,599.63	17,343.70	(9,744.07)
	440 0000	04/15/08	01/21/09			9,288 43	21,552 37	(12,263 94)
	290.0000	08/01/08	01/21/09			6,121.92	12,819.39	(6,697.47)
	290.0000	08/12/08	01/21/09			6,121.92	12,295.25	(6,173.33)
	1110 0000	12/23/08	01/21/09			23,432.21	26,616.91	(3,184 70)
		Security Subtotal				61,430.34	108,976.64	(47,546.30)
ISHARES LEHMAN 3-7 YR	390.0000	04/20/09	10/08/09			44,037.35	44,405.40	(368.05)
SPDR S P PHARMACEUTICALS	1430 0000	10/13/08	04/20/09			38,409.38	38,461 28	(51 90)
	650.0000	10/14/08	04/20/09			17,458 81	18,135.97	(677 16)
		Security Subtotal				55,868.19	56,597.25	(729.06)

Merrill Lynch

Account No.
6YJ-04324

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
KAYNE ANDERSON MLP	855 0000	10/13/08	01/21/09			13,105.79	17,963.89	(4,858 10)
	1890 0000	10/14/08	01/21/09			28,970.70	42,624.79	(13,654 09)
	595.0000	10/15/08	01/21/09			9,120.41	11,728.28	(2,607.87)
	1915.0000	10/16/08	04/20/09			38,163.25	39,770.14	(1,606.89)
	2319.0000	10/17/08	06/12/09			51,586 45	53,240.07	(1,653 62)
	78 0000	10/17/08	06/18/09			1,661.35	1,790.74	(129.39)
		Security Subtotal				**142,607.95**	**167,117.91**	**(24,509.96)**
HON HAI PRECISIONS GDR	184.0000	07/09/08	04/20/09			938.40	1,458.80	(520.40)
TCW TOTAL RETURN BOND I	4122.0000	10/13/08	04/20/09			37,963.62	38,540 69	(577.07)
		Short Term Capital Losses Subtotal				**807,895.99**	**923,665.17**	**(115,769.18)**
NET SHORT TERM CAPITAL GAIN (LOSS)						1,870,925 39	1,897,009.75	**(26,084.36)**
LONG TERM CAPITAL GAINS								
ISHARES MSCI SWEDEN	140.0000	08/28/03	04/20/09			2,129.79	1,766.81	362.98
VANGUARD EUROPEAN ETF	228.0000	10/27/08	10/29/09			11,467.62	8,166.50	3,301.12
ISHARES MSCI TURKEY INDE	120 0000	07/09/08	10/29/09			6,152.95	5,467.04	685.91
		Long Term Capital Gains Subtotal				**19,750.36**	**15,400.35**	**4,350.01**
LONG TERM CAPITAL LOSSES								
ISHARES MSCI AUSTRALIA	140.0000	05/22/06	04/20/09			1,943 14	2,886.80	(943 66)
	430 0000	01/23/08	04/20/09			5,968.24	10,087 80	(4,119.56)
	980.0000	04/15/08	04/20/09			13,602 06	26,195.39	(12,593.33)
		Security Subtotal				**21,513.44**	**39,169.99**	**(17,656.55)**
ISHARES MSCI CDA INDX FD	530 0000	02/04/08	04/20/09			9,428.72	16,414.10	(6,985.38)

page 11

Merrill Lynch

Account No. 6YJ-04324

Taxpayer No. 11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI SWEDEN	480.0000	05/05/05	04/20/09			7,302.14	9,792.00	(2,489.86)
	40.0000	01/07/08	04/20/09			608.52	1,173.13	(564.61)
		Security Subtotal				7,910.66	10,965.13	(3,054.47)
ISHARES MSCI JAPAN INDEX	170.0000	01/16/08	04/20/09			1,421.36	2,097.80	(676.44)
	230.0000	01/23/08	04/20/09			1,923.02	2,684.10	(761.08)
	1735.0000	03/04/08	04/20/09			14,506.32	21,149.64	(6,643.32)
		Security Subtotal				17,850.70	25,931.54	(8,080.84)
ISHARES MSCI UNITED	1920.0000	04/15/08	04/20/09			20,869.86	42,393.60	(21,523.74)
	393.0000	04/15/08	06/17/09			5,193.00	8,677.44	(3,484.44)
	183.0000	01/23/08	07/21/09			2,519.04	3,744.00	(1,224.96)
	417.0000	04/15/08	07/21/09			5,740.11	9,207.36	(3,467.25)
	1540.0000	04/15/08	07/21/09			21,198.47	34,003.20	(12,804.73)
	550.0000	01/16/08	10/29/09			8,827.28	12,048.41	(3,221.13)
	287.0000	01/23/08	10/29/09			4,606.23	5,871.33	(1,265.10)
	161.0000	08/12/08	10/29/09			2,583.98	3,174.60	(590.62)
		Security Subtotal				71,537.97	119,119.94	(47,581.97)
VANGUARD EMERGING MKTS	520.0000	06/13/07	01/21/09			10,977.24	22,709.70	(11,732.46)
ISHARES MSCI TURKEY INDE	126.0000	08/11/08	10/29/09			6,460.62	6,997.05	(536.43)
	32.0000	08/11/08	10/29/09			1,640.79	1,777.04	(136.25)
		Security Subtotal				8,101.41	8,774.09	(672.68)
		Long Term Capital Losses Subtotal				147,320.14	243,084.49	(95,764.35)
						167,070.50	258,484.84	
NET LONG TERM CAPITAL GAIN (LOSS)								(91,414.34)
TOTAL CAPITAL GAINS AND LOSSES						2,037,995.89	2,155,494.59	(117,498.70)
TOTAL REPORTABLE GROSS PROCEEDS						2,037,995.89		
DIFFERENCE						0.00		

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
ARCH COAL INC	7.0000	11/24/08	07/30/09			122.92	96.38	26.54
	48 0000	11/24/08	08/13/09			842 99	660 91	182.08
	91.0000	12/12/08	08/13/09			1,598.17	1,446.15	152.02
	165.0000	02/04/09	08/13/09			2,897.78	2,519.55	378.23
	25.0000	02/25/09	08/13/09			439.06	347.50	91.56
	18 0000	03/13/09	08/13/09			316 13	243.18	72.95
		Security Subtotal				6,217.05	5,313.67	903.38
ANGLOGOLD ASHANTI LTD	67 0000	05/26/09	07/30/09			2,545.93	2,524.56	21.37
ALCOA INC	14.0000	11/04/08	08/10/09			177 79	172.20	5.59
	41.0000	12/03/08	08/10/09			520 68	373.01	147.67
	201.0000	03/23/09	08/10/09			2,552.65	1,437.14	1,115 51
	364.0000	03/23/09	08/12/09			4,731.87	2,602.61	2,129.26
	17 0000	03/26/09	08/12/09			221.00	133.62	87.38
		Security Subtotal				8,203.99	4,718.58	3,485.41
ANGLO AMERN PLC ADR	186.0000	04/29/09	05/05/09			2,224.50	2,005.08	219.42
	81.0000	06/24/09	10/08/09			1 404.67	1,173 15	231.52
		Security Subtotal				3,629.17	3,178.23	450.94

Merrill Lynch

Account No
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BJ SERVICES CO	166.0000	08/19/09	09/08/09			2,778.77	2,367.74	411.03
BUNGE LIMITED	9.0000	11/04/08	05/06/09			454.03	414.18	39.85
	15.0000	11/24/08	05/06/09			756.74	555.80	200.94
		Security Subtotal				1,210.77	969.98	240.79
BUCYRUS INTL INC NEW	25.0000	11/04/08	09/08/09			760.50	646.25	114.25
BHP BILLITON LTD ADR	11.0000	01/28/09	11/11/09			808.71	459.46	349.25
	7.0000	01/28/09	11/30/09			524.37	292.39	231.98
		Security Subtotal				1,333.08	751.85	581.23
CAMECO CORP COM	145.0000	11/04/08	01/02/09			2,775.25	2,652.46	122.79
	50.0000	11/18/08	02/12/09			785.26	707.81	77.45
		Security Subtotal				3,560.51	3,360.27	200.24
CENTURY ALUMINUM INC	527.0000	04/15/09	07/01/09			3,352.63	1,965.71	1,386.92
CONSTELLATION ENERGY GP	80.0000	08/20/09	11/04/09			2,547.13	2,404.80	142.33
	72.0000	08/21/09	11/04/09			2,292.43	2,179.17	113.26
		Security Subtotal				4,839.56	4,583.97	255.59
DRIL QUIP	16.0000	11/04/08	08/10/09			671.18	407.84	263.34
	10.0000	11/18/08	08/10/09			419.50	174.50	245.00
		Security Subtotal				1,090.68	582.34	508.34
DEVON ENERGY CORP NEW	36.0000	05/07/09	11/18/09			2,551.61	2,210.40	341.21
	6.0000	05/12/09	11/18/09			425.27	380.74	44.53
	28.0000	05/12/09	12/01/09			1,929.99	1,776.84	153.15
	8.0000	05/14/09	12/01/09			551.43	499.19	52.24
		Security Subtotal				5,458.30	4,867.17	591.13
DRYSHIPS INC	344.0000	05/14/09	09/17/09			2,721.04	2,131.56	589.48
	4.0000	05/14/09	11/25/09			25.11	24.79	0.32
		Security Subtotal				2,746.15	2,156.35	589.80

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EXXON MOBIL CORP COM	30.0000	06/29/09	07/30/09			2,131.53	2,121.30	10.23
EAGLE BULK SHIPPING INC	79.0000	02/19/09	08/07/09			440.81	327.59	113 22
	134.0000	02/19/09	08/07/09			745.23	555 68	189.55
	310.0000	02/25/09	08/07/09			1,724 06	1,239.94	484.12
	94.0000	03/04/09	08/07/09			522.78	337.46	185.32
	330.0000	02/24/09	08/07/09			1,835 28	1,013.10	822 18
		Security Subtotal				5,268.16	3,473.77	1,794.39
BARCLAYS BK PLC	32 0000	08/07/09	12/23/09			895.37	857.15	38.22
	34.0000	08/21/09	12/23/09			951.34	922.41	28.93
	145 0000	08/21/09	12/29/09			4,051.58	3,933.86	117.72
	57 0000	09/08/09	12/29/09			1,592.69	1,561 23	31 46
	5 0000	09/17/09	12/29/09			139 72	138 85	0 87
		Security Subtotal				7,630.70	7,413.50	217.20
UBS AG JERSEY BRH E TRAC	75.0000	02/19/09	04/21/09			1,043 22	984.75	58.47
	457.0000	02/19/09	05/06/09			6,286.69	6,000.41	286.28
	14.0000	02/19/09	05/20/09			196.69	183.82	12 87
	33 0000	03/04/09	05/20/09			463 63	419.10	44.53
	6.0000	03/11/09	05/20/09			84 30	76.62	7.68
	5.0000	03/13/09	05/20/09			70 25	64.85	5.40
	21 0000	03/13/09	06/08/09			315 83	272 38	43 45
	282 0000	03/20/09	06/08/09			4 241.17	3,885 40	355 77
		Security Subtotal				12,701.78	11,887.33	814.45
GOLDCORP INC	10.0000	11/21/08	02/20/09			313.89	225.95	87.94
	66.0000	11/21/08	03/20/09			2,231 84	1,491.28	740 56
	27.0000	11/21/08	07/15/09			972.78	610.07	362.71
	25 0000	11/21/08	10/21/09			1,057.22	564.88	492.34
	3 0000	11/21/08	10/26/09			120.26	67.79	52.47
	28.0000	11/24/08	10/26/09			1,122.53	723.11	399.42
	26.0000	08/25/09	11/05/09			1,050.11	934.44	115.67

Merrill Lynch

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DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GOLDCORP INC	16.0000	09/10/09	11/05/09			646.22	635.84	10.38
	23 0000	11/24/08	11/05/09			928.94	594.00	334.94
	4 0000	02/09/09	11/05/09			161.55	118 04	43 51
		Security Subtotal				8,605.34	5,965.40	2,639.94
HESS CORP	2.0000	12/16/08	02/20/09			102 45	98 91	3.54
	5.0000	12/16/08	03/24/09			325 32	247.28	78 04
		Security Subtotal				427.77	346.19	81.58
IMPERIAL SUGAR CO	38.0000	08/10/09	08/13/09			584.45	532.00	52.45
	177.0000	08/11/09	08/13/09			2,722 35	2,478.00	244.35
	130 0000	08/12/09	08/13/09			1,999 47	1,815 62	183 85
		Security Subtotal				5,306.27	4,825.62	480.65
INTREPID POTASH INC	61 0000	02/25/09	05/01/09			1,527.31	1,300.00	227.31
	66.0000	02/25/09	05/04/09			1,786.93	1,406 57	380.36
	33.0000	02/26/09	05/04/09			893.47	700.26	193.21
	34.0000	03/03/09	05/04/09			920 55	686.79	233.76
	36.0000	03/03/09	05/06/09			1,006.30	727 20	279.10
	52.0000	03/03/09	08/21/09			1,331 90	1,050.41	281.49
	120 0000	03/10/09	08/21/09			3,073.63	1,990.92	1,082.71
	8 0000	03/10/09	10/08/09			190 31	132.73	57.58
	88.0000	03/13/09	10/08/09			2,093.46	1,389.57	703 89
	56.0000	04/01/09	10/08/09			1,332.21	1,063.38	268.83
		Security Subtotal				14,156.07	10,447.83	3,708.24
JSC MMC NORILSK NCKL ADR	272.0000	08/07/09	10/15/09			3,786.03	3,035.52	750.51
	166.0000	08/07/09	10/20/09			2,355 48	1,852 56	502 92
	35.0000	09/01/09	10/20/09			496.64	375.74	120.90
	197.0000	09/01/09	10/22/09			2,915 53	2,114 92	800.61
		Security Subtotal				9,553.68	7,378.74	2,174.94

Merrill Lynch

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11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion		Sales Price	Cost Basis	Gain or (Loss)
				Year-to-Date	Life-to-Date			
JOY GLOBAL INC DEL COM	50 0000	01/26/09	08/10/09			2 116.45	1,007.48	1,108.97
	34.0000	01/26/09	09/14/09			1,466 04	685 09	780.95
	20.0000	02/03/09	09/14/09			862.38	416.80	445.58
	59.0000	02/03/09	10/12/09			3,010.69	1,229.56	1,781.13
		Security Subtotal				7,455.56	3,338.93	4,116.63
KINROSS GOLD CORP	68.0000	11/19/08	05/12/09			1,126 66	832.67	293.99
	86.0000	11/19/08	05/21/09			1,607.74	1,053.08	554.66
	113.0000	11/21/08	06/23/09			1,925.48	1,475.64	449.84
	62 0000	11/24/08	06/23/09			1,056 45	916 98	139 47
	21 0000	12/01/08	06/23/09			357.83	296.10	61.73
	27 0000	12/03/08	06/23/09			460 07	387 18	72.89
	104.0000	11/19/08	06/23/09			1,772.12	1,273.51	498.61
	101.0000	01/21/09	11/05/09			1,863.40	1,762.45	100 95
	84.0000	02/09/09	11/05/09			1,549 76	1,503.60	46.16
		Security Subtotal				11,719.51	9,501.21	2,218.30
MONSANTO CO NEW DEL COM	1 0000	11/24/08	09/11/09			78 06	69.51	8.55
	11.0000	11/25/08	09/11/09			858 67	792.55	66.12
	10 0000	12/12/08	09/11/09			780 61	714 90	65.71
	46 0000	12/16/08	09/11/09			3,590 84	3,445.86	144.98
	6 0000	12/17/08	09/11/09			468 37	452 88	15 49
	19 0000	02/25/09	09/11/09			1,483.17	1,413 79	69.38
	14.0000	07/16/09	09/11/09			1,092.88	1,061.20	31.68
	47 0000	07/15/09	09/11/09			3,694.57	3,562.60	131.97
		Security Subtotal				12,047.17	11,513.29	533.88
NEXEN INC CANADA COM	33 0000	11/04/08	10/19/09			847.13	555 72	291.41
	10.0000	08/04/09	10/19/09			256 71	212 50	44 21
		Security Subtotal				1,103.84	768.22	335.62

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
NAVIOS MARITIME HLDGS IN	290.0000	02/19/09	06/05/09			1,469.65	780.04	689.61
	475.0000	02/24/09	06/05/09			2,407.19	1,041.91	1,365.28
	316.0000	02/25/09	06/05/09			1,601.41	750.15	851.26
	207.0000	03/03/09	06/05/09			1,049.04	375.84	673.20
	458.0000	03/03/09	08/04/09			2,289.94	831.60	1,458.34
	14.0000	04/01/09	08/04/09			70.00	32.90	37.10
		Security Subtotal				8,887.23	3,812.44	5,074.79
NEWMONT MINING CORP	7.0000	11/04/08	03/04/09			272.99	191.87	81.12
	43.0000	11/04/08	03/16/09			1,647.75	1,178.62	469.13
	55.0000	11/04/08	04/01/09			2,572.88	1,507.56	1,065.32
	10.0000	11/21/08	04/01/09			467.80	269.50	198.30
	37.0000	11/21/08	04/02/09			1,705.53	997.15	708.38
	31.0000	01/26/09	04/02/09			1,428.97	1,362.96	66.01
	12.0000	02/09/09	04/02/09			553.15	482.76	70.39
	83.0000	11/04/09	12/11/09			4,230.13	3,960.70	269.43
	123.0000	11/04/09	12/11/09			6,268.76	5,801.04	467.72
		Security Subtotal				19,147.96	15,752.16	3,395.80
NORFOLK SOUTHERN CORP	10.0000	01/26/09	03/27/09			351.29	350.99	0.30
	13.0000	01/26/09	04/21/09			465.33	456.29	9.04
	72.0000	01/26/09	05/06/09			2,656.57	2,527.16	129.41
	10.0000	02/06/09	11/17/09			513.43	404.29	109.14
	8.0000	02/09/09	11/17/09			410.76	330.96	79.80
	3.0000	02/09/09	11/25/09			155.13	124.11	31.02
	26.0000	03/04/09	11/25/09			1,344.49	766.48	578.01
	18.0000	03/10/09	11/25/09			930.80	498.90	431.90
	8.0000	03/11/09	11/25/09			413.69	228.81	184.88
	16.0000	03/11/09	11/25/09			828.93	457.64	371.29
	33.0000	05/01/09	11/25/09			1,709.69	1,144.61	565.08
	5.0000	09/03/09	11/25/09			259.05	226.70	32.35
		Security Subtotal				10,039.16	7,516.94	2,522.22
PAN AMERN SILVER CORP	106.0000	09/10/09	10/12/09			2,697.84	2,512.20	185.64

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PROGRESS ENERGY INC	53.0000	08/11/09	09/24/09			2,090.23	2,063.96	26.27
PEABODY ENERGY CORP COM	11.0000	02/25/09	08/03/09			385.19	263.98	121.21
	28.0000	04/08/09	08/03/09			980.49	737.80	242.69
	32.0000	07/13/09	08/03/09			1,120.57	940.80	179.77
		Security Subtotal				2,486.25	1,942.58	543.67
PORTLAND GEN ELEC CO	130.0000	08/11/09	09/16/09			2,678.39	2,378.94	299.45
RANDGOLD RESOURCES ADR	46.0000	07/30/09	12/04/09			3,838.63	2,778.40	1,060.23
	38.0000	08/03/09	12/04/09			3,171.06	2,473.79	697.27
	44.0000	08/03/09	12/07/09			3,623.01	2,864.41	758.60
	15.0000	08/06/09	12/07/09			1,235.12	929.43	305.69
	25.0000	08/20/09	12/07/09			2,058.54	1,435.82	622.72
		Security Subtotal				13,926.36	10,481.85	3,444.51
RIO TINTO PLC SPNSRD ADR	15.0000	02/12/09	04/15/09			2,129.94	1,670.25	459.69
	11.0000	02/12/09	04/17/09			1,568.55	1,224.84	343.71
	91.0000	07/17/09	07/17/09			2,077.75	2,077.75	0.00
	14.0000	02/12/09	08/07/09			2,315.88	1,239.28	1,076.60
	1.0000	02/12/09	08/20/09			155.35	88.52	66.83
	19.0000	02/12/09	09/01/09			2,944.92	1,681.88	1,263.04
	7.0000	02/12/09	10/12/09			1,279.70	619.65	660.05
	23.0000	02/27/09	10/12/09			4,204.76	1,831.67	2,373.09
	7.0000	02/27/09	10/27/09			1,320.31	557.47	762.84
		Security Subtotal				17,997.16	10,991.31	7,005.85
ROYAL GOLD INC COM	111.0000	05/12/09	12/22/09			5,217.95	4,514.27	703.68
	4.0000	06/24/09	12/22/09			188.04	172.93	15.11
		Security Subtotal				5,405.99	4,687.20	718.79
SOCIEDAD Q&M CHLE SPDADR	65.0000	03/05/09	04/29/09			2,003.85	1,582.75	421.10
	68.0000	03/09/09	04/29/09			2,096.34	1,662.32	434.02
		Security Subtotal				4,100.19	3,245.07	855.12

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SCHNITZER STEEL INDS A	5.0000	12/03/08	06/10/09			295.32	124.95	170.37
STILLWATER MINING	479.0000	03/24/09	05/05/09			2,447.91	1,916.00	531.91
SIMS METAL MANAGMENT LTD	34.0000	11/04/08	06/23/09			645.99	367.20	278.79
SILVER WHEATON CORP	43.0000	03/03/09	10/07/09			580.92	261.78	319.14
	216.0000	03/03/09	10/13/09			3,044.30	1,315.01	1,729.29
	16.0000	03/27/09	10/13/09			225.50	135.13	90.37
	3.0000	04/28/09	10/13/09			42.29	23.04	19.25
	172.0000	04/28/09	12/04/09			2,809.65	1,321.53	1,488.12
		Security Subtotal				6,702.66	3,056.49	3,646.17
SOUTHERN COPPER CORP	238.0000	02/26/09	07/27/09			6,060.80	3,398.40	2,662.40
	3.0000	02/26/09	08/04/09			81.53	42.84	38.69
	16.0000	03/18/09	08/04/09			434.88	249.72	185.16
	11.0000	03/18/09	08/06/09			304.91	171.68	133.23
	169.0000	03/18/09	08/07/09			4,593.23	2,637.77	1,955.46
	40.0000	04/03/09	08/07/09			1,087.16	766.00	321.16
	33.0000	04/03/09	09/17/09			978.94	631.95	346.99
	2.0000	04/14/09	09/17/09			59.34	42.54	16.80
		Security Subtotal				13,600.79	7,940.90	5,659.89
STERLITE INDUSTRIES	484.0000	04/09/09	05/19/09			5,584.19	3,836.23	1,747.96
	106.0000	06/12/09	11/13/09			1,927.03	1,566.67	360.36
	62.0000	06/12/09	12/14/09			1,112.86	916.37	196.49
	14.0000	06/18/09	12/14/09			251.30	172.08	79.22
		Security Subtotal				8,875.38	6,491.35	2,384.03
TECK RESOURCES LTD CLS B	49.0000	07/27/09	09/17/09			1,395.60	1,222.36	173.24
TOTAL S A SP ADR	26.0000	07/30/09	12/11/09			1,631.21	1,468.48	162.73
	3.0000	08/13/09	12/11/09			188.22	164.40	23.82
		Security Subtotal				1,819.43	1,632.88	186.55

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TERRA INDUSTRIES INC COM	19.0000	11/04/08	08/18/09			609.07	437.00	172.07
THOMPSON CREEK METALS CO	108.0000	10/06/09	10/14/09			1,437.80	1,299 24	138 56
VALERO ENERGY CORP NEW	86.0000	11/04/08	01/28/09			2,153.21	1,788.79	364.42
XTO ENERGY INC	15.0000	11/04/08	10/14/09			661.33	548 99	112.34
YAMANA GOLD INC	6.0000	11/04/08	04/20/09			47.46	29.70	17.76
	24.0000	11/19/08	04/20/09			189.84	98.39	91 45
	54.0000	12/03/08	05/26/09			568.61	277 02	291.59
	182.0000	11/19/08	05/26/09			1,916.40	746.21	1,170.19
	106.0000	10/02/09	10/21/09			1,296.35	1,097.09	199.26
	231 0000	10/02/09	10/23/09			2,784 22	2,390 85	393.37
	138.0000	10/02/09	10/26/09			1,666.82	1,428 31	238 51
		Security Subtotal				8,469.70	6,067.57	2,402.13
		Short Term Capital Gains Subtotal				284,405.42	215,246.37	69,159.05
SHORT TERM CAPITAL LOSSES								
ARCH COAL INC	84.0000	11/04/08	07/30/09			1,475.01	1,875 72	(400.71)
ALLEGHENY ENERGY INC COM	106.0000	10/15/09	11/16/09			2,398.82	2,747.93	(349.11)
ACERGY S A SPON ADR	67.0000	05/22/08	03/10/09			338 36	1,850 75	(1,512.39)
AMN ELEC POWER CO	85.0000	09/18/09	10/29/09			2,592.43	2,720.10	(127.67)
ANGLO AMERN PLC ADR	24 0000	07/01/08	02/27/09			170.40	828 25	(657.85)
	8 0000	11/04/08	02/27/09			56.79	102.96	(46.17)
	155.0000	01/26/09	02/27/09			1,100.51	1,455.45	(354.94)
		Security Subtotal				1,327.70	2,386.66	(1,058.96)

Merrill Lynch

Account No
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BARRICK GOLD CORPORATION	95.0000	01/22/09	04/03/09			3,030.47	3,393.40	(362 93)
	21 0000	01/26/09	04/03/09			669.90	811 02	(141.12)
	7 0000	01/29/09	04/03/09			223.30	267.60	(44.30)
	46.0000	01/29/09	05/01/09			1,348.68	1,758.59	(409.91)
	39 0000	02/09/09	05/01/09			1,143 45	1,471.47	(328 02)
		Security Subtotal				6,415.80	7,702.08	(1,286.28)
BUNGE LIMITED	25 0000	02/05/08	02/04/09			1,057 24	3,041.02	(1,983.78)
	1.0000	05/22/08	02/20/09			47.87	119.77	(71.90)
	76 0000	05/22/08	05/06/09			3,834.10	9,103 14	(5,269 04)
	23.0000	06/24/08	05/06/09			1,160 32	2,514.78	(1,354 46)
		Security Subtotal				6,099.53	14,778.71	(8,679.18)
BUCYRUS INTL INC NEW	12.0000	11/04/08	02/20/09			173.03	310.19	(137.16)
CAMECO CORP COM	29.0000	01/17/08	01/02/09			555 05	1,012.77	(457.72)
	194 0000	11/04/08	02/12/09			3 046 80	3 548 83	(502 03)
		Security Subtotal				3,601.85	4,561.60	(959.75)
CENTURY ALUMINUM INC	18.0000	03/12/08	01/02/09			202 32	1,209.55	(1,007.23)
	20.0000	11/04/08	01/02/09			224.81	291 20	(66.39)
		Security Subtotal				427.13	1,500.75	(1,073.62)
CHICAGO BRDG &IRON CO NV	12 0000	04/04/08	03/19/09			79 74	560.63	(480.89)
CHESAPEAKE ENERGY OKLA	95.0000	11/04/08	01/09/09			1,583.36	2,083.35	(499.99)
DRIL QUIP	1 0000	05/09/08	02/20/09			22.13	57.18	(35 05)
DRYSHIPS INC	334 0000	05/21/09	11/25/09			2,097.48	2,204.40	(106 92)

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
EXXON MOBIL CORP COM	58 0000	02/25/09	07/30/09			4,064 09	4,158.45	(94.36)
	37 0000	02/25/09	07/30/09			2,628 87	2,652 81	(23.94)
	64.0000	12/11/09	12/16/09			4,440.87	4,655.92	(215 05)
	81.0000	12/11/09	12/16/09			5,622.07	5,892.65	(270.58)
	82 0000	12/11/09	12/22/09			5,622 04	5,965.41	(343.37)
		Security Subtotal				22,377.94	23,325.24	(947.30)
BARCLAYS BK PLC	15.0000	06/24/08	02/20/09			461.84	673.59	(211.75)
	94.0000	06/24/08	02/23/09			2,850 06	4,221 18	(1,371.12)
	78 0000	06/26/08	02/23/09			2,364.95	3,465.83	(1,100 88)
	22.0000	06/26/08	02/24/09			676.49	977.55	(301.06)
	73.0000	11/18/08	02/24/09			2,244.74	2,535.29	(290 55)
	14.0000	12/01/08	02/24/09			430.50	487.90	(57.40)
	38 0000	12/01/08	02/24/09			1,153 23	1,324.30	(171.07)
	21.0000	12/12/08	02/24/09			637.31	694.89	(57.58)
	55 0000	02/06/09	02/24/09			1,669 18	1,738.73	(69.55)
	105.0000	07/31/09	12/23/09			2,937.94	2,995 65	(57.71)
	140.0000	05/01/09	12/23/09			3,928 29	4,199.03	(270.74)
	63.0000	05/05/09	12/23/09			1,767.74	1,875.51	(107.77)
	23.0000	05/05/09	12/23/09			643 54	684.71	(41.17)
		Security Subtotal				21,765.81	25,874.16	(4,108.35)
GERDAU SA SPONS ADR	36.0000	11/04/08	03/19/09			184 32	251.28	(66.96)
GREAT BASIN GOLD LTD	560 0000	01/18/08	01/08/09			644.95	1,727.77	(1,082.82)
	1006.0000	03/04/08	01/08/09			1,158 61	3,396.46	(2,237.85)
	8 0000	03/04/08	01/28/09			9.35	27.01	(17.66)
	2706 0000	04/10/08	01/28/09			3,166 00	9,738.08	(6,572.08)
	170.0000	04/11/08	01/28/09			198.90	603.43	(404.53)
	402 0000	11/04/08	01/28/09			470 34	474.36	(4 02)
		Security Subtotal				5,648.15	15,967.11	(10,318.96)
OAO GAZPROM SPON ADR	56 0000	11/04/08	03/03/09			680 97	1,268 40	(587.43)

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GOLDCORP INC	13.0000	09/17/09	11/05/09			525.05	562 51	(37.45)
	22.0000	09/23/09	11/05/09			888.57	910 58	(22 01)
		Security Subtotal				1,413.63	1,473.09	(59.46)
INTREPID POTASH INC	38 0000	06/23/09	10/08/09			904.00	982 30	(78.30)
JOY GLOBAL INC DEL COM	5.0000	01/26/09	02/20/09			96.14	100.74	(4 60)
KINROSS GOLD CORP	210.0000	01/21/09	06/23/09			3,578.34	3,664 50	(86.16)
	46.0000	09/10/09	11/05/09			848.67	985.67	(137.00)
	21.0000	09/17/09	11/05/09			387.44	490.14	(102.70)
	44 0000	09/23/09	11/05/09			811.79	984 28	(172.49)
		Security Subtotal				5,626.24	6,124.59	(498.35)
MONSANTO CO NEW DEL COM	5.0000	11/04/08	02/20/09			393.09	458.10	(65.01)
	36.0000	11/04/08	06/01/09			3,000.08	3,298.32	(298.24)
	18 0000	11/07/08	06/01/09			1,500.05	1,555 53	(55.48)
	6.0000	11/07/08	09/11/09			468.37	518 51	(50.14)
	7.0000	12/10/08	09/11/09			546.43	570.71	(24.28)
	4.0000	03/13/09	09/11/09			312.24	314.08	(1.84)
	40 0000	05/19/09	09/11/09			3,122.49	3,615.60	(493.11)
	11 0000	08/13/09	09/11/09			864.69	921.41	(56 72)
		Security Subtotal				10,207.44	11,252.26	(1,044.82)
MCDERMOTT INTL INC	9.0000	03/18/08	02/26/09			107.37	452 72	(345.35)
	12.0000	04/04/08	02/26/09			143.16	698.64	(555.48)
	10 0000	06/03/08	02/26/09			119.30	625 63	(506.33)
	9 0000	11/04/08	02/26/09			107 38	153 54	(46 16)
		Security Subtotal				477.21	1,930.53	(1,453.32)
NATIONAL-OILWELL VARCO	29 0000	11/04/08	03/18/09			835.79	930 61	(94.82)
NORFOLK SOUTHERN CORP	5 0000	01/26/09	02/20/09			170.94	175.49	(4.55)
	68 0000	02/06/09	05/06/09			2,509.00	2,749.12	(240.12)
		Security Subtotal				2,679.94	2,924.61	(244.67)

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
NORSK HYDRO AS SPNRD ADR	100 0000	02/29/08	02/05/09			377.83	1,454.47	(1,076.64)
	67 0000	11/04/08	02/05/09			253 15	301 50	(48 35)
		Security Subtotal				630.98	1,755.97	(1,124.99)
NUCOR CORPORATION	9.0000	09/03/09	11/18/09			383.93	391.86	(7.93)
PETROLEO BRAS SA ADR	22 0000	02/05/08	01/26/09			459.82	982.21	(522 39)
	17.0000	11/04/08	01/26/09			355 33	385.39	(30 06)
		Security Subtotal				815.15	1,367.60	(552.45)
PROGRESS ENERGY INC	69.0000	08/11/09	10/29/09			2,587.43	2,687.06	(99.63)
	7.0000	08/20/09	10/29/09			262.50	272.34	(9.84)
	56.0000	08/20/09	11/18/09			2,150 68	2,178.78	(28.10)
	63.0000	08/25/09	11/18/09			2,419 53	2,497.83	(78 30)
		Security Subtotal				7,420.14	7,636.01	(215.87)
PEABODY ENERGY CORP COM	54 0000	11/04/08	08/03/09			1,890.95	1,927.80	(36.85)
RIO TINTO PLC SPNSRD ADR	7.0000	02/05/08	01/28/09			639.04	2,973.45	(2,334.41)
	10.0000	03/03/08	01/28/09			912.92	4,499.32	(3,586.40)
	11.0000	03/12/08	01/28/09			1,004 22	4,836.75	(3,832.53)
	2 0000	07/01/08	01/28/09			182.58	931.77	(749 19)
	3.0000	11/04/08	01/28/09			273 89	578.04	(304.15)
		Security Subtotal				3,012.65	13,819.33	(10,806.68)
SADIA SA ADR	11 0000	06/16/08	02/06/09			46.80	259.90	(213.10)
	222 0000	11/04/08	02/06/09			944.61	1,425 24	(480 63)
		Security Subtotal				991.41	1,685.14	(693.73)
SCHNITZER STEEL INDS A	52 0000	03/05/08	02/09/09			2,443.98	3,504.05	(1,060.07)
SIMS METAL MANAGMENT LTD	7.0000	04/22/08	02/20/09			74.75	228.44	(153.69)
	19.0000	07/11/08	06/23/09			360 99	667 46	(306.47)
		Security Subtotal				435.74	895.90	(460.16)

Merrill Lynch

Account No.	Taxpayer No.
6YJ-04327	11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SOUTHWESTERN ENERGY CO	26.0000	11/04/08	02/04/09			840.47	949.00	(108.53)
TECK COMINCO LTD CLASS B	84.0000	02/25/08	02/19/09			270.48	3,143.92	(2,873.44)
	2.0000	02/26/08	02/19/09			6.44	78.26	(71.82)
	16 0000	11/04/08	02/19/09			51.53	190.08	(138.55)
		Security Subtotal				**328.45**	**3,412.26**	**(3,083.81)**
TENARIS S A ADR	27.0000	01/30/08	01/21/09			544.52	1,082.10	(537.58)
	101 0000	02/14/08	01/21/09			2,036.93	3,833.18	(1,796.25)
	17.0000	03/05/08	01/21/09			342.85	831.86	(489 01)
	7.0000	03/05/08	02/20/09			134.95	342.53	(207.58)
		Security Subtotal				**3,059.25**	**6,089.67**	**(3,030.42)**
TOTAL S.A SP ADR	106.0000	06/19/08	02/25/09			5,169.65	8,514.54	(3,344.89)
T-3 ENERGY SERVICES INC	46.0000	03/19/08	01/21/09			586.49	1,991.80	(1,405.31)
	6.0000	11/04/08	01/21/09			76.51	145.14	(68 63)
		Security Subtotal				**663.00**	**2,136.94**	**(1,473.94)**
VALERO ENERGY CORP NEW	147.0000	11/04/08	07/23/09			2,681.21	3,057.61	(376.40)
	88.0000	02/13/09	07/23/09			1,605.07	2,123.66	(518.59)
	71.0000	05/13/09	07/23/09			1,295 02	1,495.90	(200.88)
	103 0000	02/25/09	07/23/09			1,878 67	2,080 93	(202 26)
		Security Subtotal				**7,459.97**	**8,758.10**	**(1,298.13)**
XTO ENERGY INC	1.0000	11/04/08	02/20/09			32.16	36.60	(4.44)
YAMANA GOLD INC	283 0000	05/12/08	04/20/09			2,238.46	4,097.84	(1,859.38)
		Short Term Capital Losses Subtotal				**139,346.29**	**204,723.58**	**(65,377.29)**
NET SHORT TERM CAPITAL GAIN (LOSS)						423,751 71	419,969 95	**3,781.76**

Merrill Lynch

Account No. 6YJ-04327

Taxpayer No. 11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
LONG TERM CAPITAL GAINS								
AGRIUM INC	89.0000	05/14/07	02/13/09			3,584.00	3,377.17	206.83
	8.0000	05/14/07	02/20/09			315.35	303.56	11.79
	35.0000	05/14/07	08/20/09			1,668.10	1,328.12	339.98
	13.0000	05/17/07	08/20/09			619.58	497.68	121.90
	31.0000	05/17/07	09/01/09			1,494.17	1,186.79	307.38
		Security Subtotal				7,681.20	6,693.32	987.88
APACHE CORP	19.0000	02/09/07	01/23/09			1,430.31	1,340.71	89.60
	26.0000	02/09/07	11/17/09			2,614.81	1,834.65	780.16
		Security Subtotal				4,045.12	3,175.36	869.76
BUCYRUS INTL INC NEW	70.0000	11/04/08	12/07/09			3,590.91	1,809.50	1,781.41
BHP BILLITON LTD ADR	8.0000	11/04/08	11/11/09			588.14	330.56	257.58
CONSOL ENERGY INC COM	19.0000	03/28/07	10/12/09			955.11	740.56	214.55
CF INDS HLDGS INC	103.0000	05/17/07	02/25/09			6,539.61	4,356.88	2,182.73
	27.0000	08/08/07	02/25/09			1,714.27	1,520.02	194.25
	4.0000	08/08/07	03/03/09			248.79	225.19	23.60
	23.0000	08/08/07	03/19/09			1,641.24	1,294.84	346.40
		Security Subtotal				10,143.91	7,396.93	2,746.98
MOSAIC CO	9.0000	08/01/07	02/20/09			373.22	331.43	41.79
	34.0000	08/01/07	03/27/09			1,683.30	1,252.09	431.21
	43.0000	08/01/07	12/01/09			2,472.92	1,583.54	889.38
		Security Subtotal				4,529.44	3,167.06	1,362.38
NATIONAL-OILWELL VARCO	1.0000	10/11/06	01/29/09			27.68	26.63	1.05
NUCOR CORPORATION	12.0000	11/04/08	11/18/09			511.89	464.04	47.85
OCCIDENTAL PETE CORP CAL	71.0000	10/04/07	07/24/09			5,072.44	4,693.35	379.09

page 27

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

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PETROLEO BRAS SA ADR	134.0000	01/25/06	01/26/09			2,800.74	2,718.20	82.54
POTASH CORP SASKATCHEWAN	1.0000	04/26/07	02/20/09			80.81	62.69	18.12
	75.0000	04/26/07	12/23/09			8,418.61	4,702.25	3,716.36
		Security Subtotal				8,499.42	4,764.94	3,734.48
STATOIL ASA SHS	24.0000	11/04/08	12/07/09			595.19	512.16	83.03
SOUTHWESTERN ENERGY CO	143.0000	07/25/07	01/21/09			4,255.44	2,804.12	1,451.32
	36.0000	07/25/07	02/04/09			1,163.71	705.94	457.77
	38.0000	08/01/07	02/04/09			1,228.37	799.51	428.86
	14.0000	12/11/07	02/04/09			452.56	378.49	74.07
		Security Subtotal				7,100.08	4,688.06	2,412.02
TOTAL S A SP ADR	2.0000	11/24/08	12/11/09			125.47	102.42	23.05
	13.0000	11/04/08	12/11/09			815.59	729.43	86.16
		Security Subtotal				941.06	831.85	109.21
TERRA INDUSTRIES INC COM	75.0000	10/03/07	08/18/09			2,404.19	2,107.27	296.92
TRANSOCEAN LTD	10.0000	09/25/06	05/06/09			768.58	679.14	89.44
	5.0000	09/25/06	05/28/09			385.93	339.57	46.36
	14.0000	10/11/06	05/28/09			1,080.63	940.80	139.83
		Security Subtotal				2,235.14	1,959.51	275.63
WEATHERFORD INTL LTD.	52.0000	02/16/07	05/20/09			1,060.77	1,035.06	25.71
		Long Term Capital Gains Subtotal				62,782.43	47,114.36	15,668.07
LONG TERM CAPITAL LOSSES								
AGRIUM INC	20.0000	05/14/07	03/03/09			646.79	758.91	(112.12)
	50.0000	05/14/07	04/08/09			1,831.57	1,897.29	(65.72)
		Security Subtotal				2,478.36	2,656.20	(177.84)

Merrill Lynch

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6YJ-04327

Taxpayer No.
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DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

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ARCH COAL INC	6.0000	04/12/07	02/20/09			81 77	216.95	(135.18)
	111 0000	04/12/07	07/30/09			1,949.10	4,013.66	(2,064.56)
	14.0000	02/05/08	07/30/09			245 83	671.35	(425.52)
	24.0000	03/05/08	07/30/09			421.43	1,228.54	(807.11)
	43.0000	07/10/08	07/30/09			755.06	2,782.85	(2,027.79)
		Security Subtotal				3,453.19	8,913.35	(5,460.16)
ALCOA INC	79 0000	08/21/07	07/31/09			913.28	2,731.60	(1,818.32)
	3 0000	08/21/07	08/06/09			40.98	103 74	(62.76)
	62 0000	09/17/07	08/06/09			846.94	2,193.65	(1,346.71)
	52.0000	11/15/07	08/06/09			710.33	1,906.48	(1,196.15)
	49.0000	12/11/07	08/06/09			669 36	1,840.15	(1,170.79)
	119 0000	03/12/08	08/06/09			1,625.59	4,508.91	(2,883.32)
	26 0000	03/12/08	08/10/09			330.19	985.14	(654.95)
	60.0000	06/09/08	08/10/09			761.98	2,489.98	(1,728 00)
		Security Subtotal				5,898.65	16,759.65	(10,861.00)
ACERGY S A SPON ADR	269 0000	01/04/08	03/10/09			1,358 44	6,238 30	(4,879.86)
	360.0000	01/11/08	03/10/09			1,817.98	7,796.59	(5,978.61)
	84.0000	02/05/08	03/10/09			424.19	1,499.63	(1,075.44)
	61 0000	01/30/08	03/10/09			308.05	1,168.44	(860 39)
		Security Subtotal				3,908.66	16,702.96	(12,794.30)
ANGLO AMERN PLC ADR	194 0000	07/16/07	02/27/09			1,377.38	6,400.10	(5,022.72)
	192 0000	02/15/08	02/27/09			1,363 19	5 760 96	(4,397.77)
		Security Subtotal				2,740.57	12,161.06	(9,420.49)
BUNGE LIMITED	34 0000	06/01/07	02/04/09			1,437.82	2,664.93	(1,227.11)
	2 0000	06/12/07	02/04/09			84 57	153 57	(69.00)
	2.0000	09/25/07	02/04/09			84 57	204 07	(119.50)
	49 0000	11/15/07	02/04/09			2,072.17	5,239.13	(3,166.96)
	5 0000	02/05/08	02/20/09			239.34	608.21	(368.87)
		Security Subtotal				3,918.47	8,869.91	(4,951.44)

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BHP BILLITON LTD ADR	5.0000	07/16/07	02/20/09			196.29	333.92	(137.63)
	15.0000	07/16/07	04/21/09			653.98	1,001.78	(347.80)
	33.0000	07/16/07	07/29/09			1,958.94	2,203.94	(245.00)
	4.0000	12/11/07	07/29/09			237.45	309.30	(71.85)
	17.0000	02/05/08	08/07/09			1,090.39	1,192.33	(101.94)
	4.0000	02/15/08	08/07/09			256.56	277.09	(20.53)
	18.0000	03/12/08	08/07/09			1,154.55	1,266.30	(111.75)
	10.0000	03/12/08	08/12/09			621.78	703.49	(81.71)
	4.0000	03/12/08	08/13/09			255.59	281.41	(25.82)
	1.0000	04/04/08	08/13/09			63.90	73.30	(9.40)
	1.0000	04/04/08	09/01/09			63.28	73.30	(10.02)
	29.0000	07/01/08	09/01/09			1,835.37	2,424.74	(589.37)
	1.0000	07/01/08	11/11/09			73.51	83.62	(10.11)
		Security Subtotal				8,461.59	10,224.52	(1,762.93)
CAMECO CORP COM	76.0000	12/11/07	01/02/09			1,454.60	2,970.57	(1,515.97)
CENTURY ALUMINUM INC	17.0000	06/20/06	01/02/09			191.07	566.16	(375.09)
	82.0000	08/23/06	01/02/09			921.67	2,919.16	(1,997.49)
		Security Subtotal				1,112.74	3,485.32	(2,372.58)
CONSOL ENERGY INC COM	4.0000	03/28/07	02/20/09			108.59	155.90	(47.31)
CHICAGO BRDG &IRON CO NV	13.0000	01/25/06	01/26/09			143.64	370.89	(227.25)
	144.0000	01/25/06	03/19/09			956.80	4,108.32	(3,151.52)
	17.0000	11/06/06	03/19/09			112.95	424.47	(311.52)
	50.0000	05/31/07	03/19/09			332.22	1,936.10	(1,603.88)
	30.0000	02/05/08	03/19/09			199.34	1,273.34	(1,074.00)
		Security Subtotal				1,744.95	8,113.12	(6,368.17)
DRIL QUIP	58.0000	05/09/08	05/20/09			2,364.32	3,316.59	(952.27)
	88.0000	05/09/08	08/10/09			3,691.50	5,032.09	(1,340.59)
		Security Subtotal				6,055.82	8,348.68	(2,292.86)

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FREEPRT-MCMRAN CPR & GLD	4 0000	06/19/07	02/20/09			113 75	332.00	(218.25)
	1.0000	02/01/08	02/20/09			28 44	90.82	(62.38)
	74.0000	02/01/08	08/26/09			4,681.72	6,720.82	(2,039.10)
	7.0000	02/08/08	08/26/09			442 87	635.58	(192.71)
	9.0000	02/08/08	10/06/09			629.98	817.18	(187.20)
		Security Subtotal				5,896.76	8,596.40	(2,699.64)
GERDAU SA SPONS ADR	564 0000	02/04/08	03/19/09			2,887.66	7,564.31	(4,676.65)
GREAT BASIN GOLD LTD	673.0000	10/23/07	01/08/09			775.08	2,249.17	(1,474.09)
OAO GAZPROM SPON ADR	50 0000	08/17/07	03/03/09			608 00	2,045 00	(1,437.00)
	22.0000	06/15/07	03/03/09			267 51	892.10	(624.59)
	64.0000	07/13/07	03/03/09			778.23	2,914.23	(2,136 00)
	20.0000	09/07/07	03/03/09			243 19	822.88	(579.69)
		Security Subtotal				1,896.93	6,674.21	(4,777.28)
MOSAIC CO	10 0000	11/15/07	12/01/09			575.10	625.17	(50.07)
MCDERMOTT INTL INC	44.0000	05/31/07	02/26/09			524 91	1,707.09	(1,182.18)
	24.0000	05/28/07	02/26/09			286.31	985.17	(698 86)
	12.0000	09/07/07	02/26/09			143.16	583.58	(440.42)
	52 0000	10/04/07	02/26/09			620 35	2 913.85	(2,293.50)
		Security Subtotal				1,574.73	6,189.69	(4,614.96)
NATIONAL-OILWELL VARCO	19.0000	12/14/06	01/29/09			526.11	650.01	(123.90)
	9 0000	12/14/06	03/10/09			250.19	307.90	(57.71)
	12 0000	12/14/06	03/18/09			345.83	410.54	(64.71)
	4.0000	09/17/07	03/18/09			115.27	265 69	(150.42)
	48 0000	12/28/07	03/18/09			1,383.35	3,584.35	(2,201.00)
	6.0000	12/31/07	03/18/09			172 92	440 85	(267 93)
		Security Subtotal				2,793.67	5,659.34	(2,865.67)

page 31

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

		Date Acquired	Date Liquidated	Amortization/Accretion				
Security Description	Quantity	Cover of Short	Short Sale	Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
NEXEN INC CANADA COM	212.0000	06/22/07	08/10/09			4,539 43	6,543.59	(2,004.16)
	25 0000	06/28/07	08/10/09			535 32	780.69	(245.37)
	114.0000	06/28/07	10/14/09			2,845.40	3,559.96	(714.56)
	53 0000	06/28/07	10/19/09			1,360.53	1,655.07	(294.54)
	15.0000	04/04/08	10/19/09			385 05	464.19	(79.14)
		Security Subtotal				9,665.73	13,003.50	(3,337.77)
NORSK HYDRO AS SPNRD ADR	80 0000	10/16/07	02/05/09			302.26	1,192.65	(890 39)
	64 0000	10/17/07	02/05/09			241 81	966.32	(724.51)
	284 0000	11/05/07	02/05/09			1,073 03	4,180.31	(3,107.28)
	256 0000	11/07/07	02/05/09			967.24	3,837.39	(2,870.15)
	215 0000	11/08/07	02/05/09			812 33	3,340.71	(2,528.38)
	184.0000	11/15/07	02/05/09			695 20	2,566.95	(1,871 75)
		Security Subtotal				4,091.87	16,084.33	(11,992.46)
NUCOR CORPORATION	3 0000	03/06/07	11/18/09			127.97	177.82	(49.85)
	81.0000	03/14/07	11/18/09			3,455 26	5,038.20	(1,582.94)
	11.0000	12/11/07	11/18/09			469.23	671.95	(202.72)
	92 0000	02/19/08	11/18/09			3 924.50	5,905.25	(1,980.75)
	12.0000	03/03/08	11/18/09			511.89	786.00	(274.11)
	19 0000	07/11/08	11/18/09			810 50	1,216.10	(405.60)
		Security Subtotal				9,299.35	13,795.32	(4,495.97)
OCCIDENTAL PFTE CORP CAL	3.0000	10/04/07	02/20/09			151 31	198.30	(46.99)
	17.0000	10/04/07	06/29/09			1 114 60	1,123 75	(9 15)
		Security Subtotal				1,265.91	1,322.05	(56.14)
PETROLEO BRAS SA ADR	40 0000	02/16/07	01/26/09			836.04	848.00	(11.96)
PEABODY ENERGY CORP COM	48 0000	01/25/06	01/26/09			1,165.91	2,072.93	(907.02)
	8.0000	01/25/06	02/20/09			193 11	345.49	(152 38)
	33.0000	01/25/06	04/28/09			830.96	1,425.15	(594.19)
	9 0000	02/01/06	04/28/09			226.63	421.43	(194.80)
	17 0000	02/01/06	05/08/09			557.41	796.05	(238.64)

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PEABODY ENERGY CORP COM	77 0000	01/12/07	05/08/09			2,524 77	2,876.83	(352.06)
	4.0000	01/12/07	08/03/09			140.06	149.45	(9.39)
	7.0000	02/05/08	08/03/09			245 12	370.76	(125 64)
		Security Subtotal				5,883.97	8,458.09	(2,574.12)
SCHNITZER STEEL INDS A	71.0000	03/05/08	06/10/09			4,193.39	4,784.38	(590.99)
	7 0000	03/06/08	06/10/09			413.43	454 33	(40.90)
		Security Subtotal				4,606.82	5,238.71	(631.89)
SIMS METAL MANAGMENT LTD	270.0000	04/22/08	06/17/09			5,139.71	8,811.29	(3,671.58)
	62.0000	04/30/08	06/17/09			1,180.24	1,952.83	(772 59)
	64.0000	04/30/08	06/23/09			1,215 96	2,015.83	(799.87)
		Security Subtotal				7,535.91	12,779.95	(5,244.04)
STATOILHYDRO ASA	33 0000	06/27/08	06/29/09			655.91	1,206.40	(550.49)
	198 0000	06/27/08	12/07/09			4,910 27	7,238.46	(2,328.19)
		Security Subtotal				5,566.18	8,444.86	(2,878.68)
TECK COMINCO LTD CLASS B	6.0000	07/31/07	02/19/09			19.31	267.00	(247.69)
	29.0000	12/11/07	02/19/09			93.38	1,128.06	(1,034.68)
	107.0000	02/08/08	02/19/09			344.53	3,792.48	(3,447.95)
	44 0000	02/15/08	02/19/09			141 68	1,453 32	(1,311.64)
		Security Subtotal				598.90	6,640.86	(6,041.96)
TENARIS S A ADR	14.0000	12/11/07	01/21/09			282.34	655.57	(373.23)
	8.0000	12/28/07	01/21/09			161 33	359 97	(198.64)
	3.0000	12/31/07	01/21/09			60.50	134.00	(73.50)
	43 0000	03/05/08	11/23/09			1,810.44	2,104.14	(293.70)
	9.0000	03/18/08	11/23/09			378 93	434.70	(55 77)
		Security Subtotal				2,693.54	3,688.38	(994.84)
TOTAL S A SP ADR	12 0000	06/19/08	12/07/09			766 42	963.92	(197.50)
	71.0000	06/23/08	12/07/09			4,534.72	5,804.86	(1,270.14)
	42.0000	06/23/08	12/11/09			2,635.01	3,433 87	(798.86)
		Security Subtotal				7,936.15	10,202.65	(2,266.50)

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
TERRA INDUSTRIES INC COM	74.0000	09/18/07	01/29/09			1,498.50	2,012.74	(514.24)
	110.0000	09/25/07	01/29/09			2,227.50	3,313.34	(1,085.84)
	7.0000	10/03/07	01/29/09			141.76	196.67	(54.91)
	66.0000	10/03/07	06/23/09			1,685.96	1,854.39	(168.43)
	53 0000	03/18/08	08/18/09			1,698 97	2,156.34	(457 37)
		Security Subtotal				**7,252.69**	**9,533.48**	**(2,280.79)**
T-3 ENERGY SERVICES INC	68.0000	05/10/07	01/21/09			866.99	1,955 82	(1,088.83)
	97.0000	10/11/07	01/21/09			1,236.74	4,679.99	(3,443 25)
	18.0000	10/23/07	01/21/09			229 50	827.99	(598.49)
		Security Subtotal				**2,333.23**	**7,463.80**	**(5,130.57)**
TRANSOCEAN LTD ZUG	1.0000	09/25/06	02/20/09			59.29	67.91	(8.62)
	1 0000	09/25/06	03/10/09			52 14	67.91	(15.77)
	12.0000	12/12/06	05/28/09			926.26	977 23	(50 97)
		Security Subtotal				**1,037.69**	**1,113.05**	**(75.36)**
ULTRA PETROLEUM CORP	73 0000	07/12/07	01/21/09			2,506 56	4,142.32	(1,635.76)
	10.0000	09/17/07	01/21/09			343.36	565.50	(222.14)
	39.0000	09/20/07	01/21/09			1,339.13	2,185.35	(846.22)
	1.0000	09/20/07	02/20/09			35.22	56.03	(20.81)
		Security Subtotal				**4,224.27**	**6,949.20**	**(2,724.93)**
WEATHERFORD INTL LTD.	12.0000	12/12/06	05/01/09			198.95	275.72	(76 77)
	3 0000	12/12/06	05/06/09			56.99	68.94	(11 95)
	34.0000	12/14/06	05/06/09			645.99	789.71	(143.72)
	48.0000	12/28/07	05/20/09			979.18	1,704.11	(724 93)
	80.0000	12/14/06	05/20/09			1,631 95	1,858 15	(226 20)
		Security Subtotal				**3,513.06**	**4,696.63**	**(1,183.57)**
YAMANA GOLD INC	67.0000	11/12/07	02/19/09			640 51	884.86	(244.35)
	150 0000	11/12/07	03/05/09			1,251.64	1,981.03	(729 39)
	77.0000	11/12/07	03/11/09			590.60	1,016.93	(426.33)
	63.0000	11/12/07	03/13/09			514 70	832.03	(317.33)
	65.0000	11/12/07	03/20/09			599.29	858.45	(259.16)

Merrill Lynch

Account No.
6YJ-04327

Taxpayer No.
11-6574096

DAVE RICKEY & DAUGHTERS

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

		Date Acquired	Date Liquidated	Amortization/Accretion				
Security Description	Quantity	Cover of Short	Short Sale	Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
YAMANA GOLD INC	186.0000	11/12/07	04/03/09			1,614.47	2,456.49	(842 02)
	32.0000	11/12/07	04/20/09			253.11	422 63	(169 52)
		Security Subtotal				5,464.32	8,452.42	(2,988.10)
		Long Term Capital Losses Subtotal				141,541.75	275,634.81	(134,093.06)
NET LONG TERM CAPITAL GAIN (LOSS)						204,324.18	322,749 17	(118,424.99)
TOTAL CAPITAL GAINS AND LOSSES						628,075.89	742,719.12	(114,643.23)
TOTAL REPORTABLE GROSS PROCEEDS						628,075.89		
DIFFERENCE						0.00		

Merrill Lynch

Account No.
6YJ-04330

Taxpayer No
11-6574096

DAVE RICKEY & DAUGHTERS

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL LOSSES								
HUGOTON ROYALTY TR UBI	1695.0000	10/23/08	10/14/09			30,433.11	37,886.30	(7,453.19)
	125.0000	10/31/08	10/14/09			2,244.34	2,993.19	(748.85)
		Security Subtotal				*32,677.45*	*40,879.49*	*(8,202.04)*
		SHORT TERM CAPITAL LOSSES SUBTOTAL				32,677.45	40,879.49	(8,202.04)
NET SHORT TERM CAPITAL GAIN (LOSS)								(8,202.04)
LONG TERM CAPITAL LOSSES								
HUGOTON ROYALTY TR UBI	515.0000	02/29/08	10/01/09			8,861.89	14,578.21	(5,716.32)
	315.0000	02/29/08	10/05/09			5,394.77	8,916.77	(3,522.00)
	15.0000	03/04/08	10/05/09			256.89	440.85	(183.96)
	295.0000	04/23/08	10/05/09			5,052.25	8,875.51	(3,823.26)
	535.0000	04/23/08	10/06/09			9,390.45	16,096.28	(6,705.83)
	395.0000	04/23/08	10/14/09			7,092.08	11,884.17	(4,792.09)
		Security Subtotal				*36,048.33*	*60,791.79*	*(24,743.46)*
		LONG TERM CAPITAL LOSSES SUBTOTAL				36,048.33	60,791.79	(24,743.46)
NET LONG TERM CAPITAL GAIN (LOSS)								(24,743.46)
TOTAL CAPITAL GAINS AND LOSSES						68,725.78	101,671.28	(32,945.50)
TOTAL REPORTABLE GROSS PROCEEDS						68,725.78		

DAVE RICKEY & DAUGHTERS

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion		Sales Price	Cost Basis	Gain or (Loss)
				Year-to-Date	Life-to-Date			
SHORT TERM CAPITAL GAINS								
SPDR GOLD TRUST	17.0000	11/26/03	01/31/09			0.49	0.47	0.02
	22.0000	11/26/03	01/31/09			0.64	0.61	0.03
	9.0000	11/26/03	01/31/09			0.26	0.25	0.01
	14.0000	11/25/03	01/31/09			0.41	0.39	0.02
	17.0000	11/26/03	02/28/09			0.45	0.40	0.05
	22.0000	11/25/03	02/28/09			0.59	0.52	0.07
	9.0000	11/25/03	02/28/09			0.24	0.21	0.03
	14.0000	11/26/03	02/28/09			0.37	0.33	0.04
	24.0000	12/17/03	02/28/09			0.64	0.61	0.03
	35.0000	01/29/09	02/28/09			0.93	0.92	0.01
	17.0000	11/26/03	03/03/09			1,525.06	1,369.16	155.90
	22.0000	11/25/03	03/04/09			1,973.60	1,771.85	201.75
	9.0000	11/25/03	03/04/09			807.39	717.65	89.74
	14.0000	11/25/03	03/10/09			1,233.63	1,116.34	117.29
	24.0000	12/17/03	03/10/09			2,114.79	2,064.36	50.43
		Security Subtotal				*7,659.49*	*7,044.07*	*615.42*
		SHORT TERM CAPITAL GAINS SUBTOTAL				7,659.49	7,044.07	615.42
SHORT TERM CAPITAL LOSSES								
SPDR GOLD TRUST	24.0000	12/17/08	01/31/09			0.70	0.71	(0.01)
	35.0000	01/29/09	03/10/09			3,084.08	3,123.53	(39.45)
		Security Subtotal				*3,084.78*	*3,124.24*	*(39.46)*
		SHORT TERM CAPITAL LOSSES SUBTOTAL				3,084.78	3,124.24	(39.46)
NET SHORT TERM CAPITAL GAIN (LOSS)								575.96
TOTAL CAPITAL GAINS AND LOSSES						10,744.27	10,168.31	575.96
TOTAL REPORTABLE GROSS PROCEEDS						10,744.27		

page 36

2009 SALES SCHEDULE

ENBRIDGE ENERGY PARTNERS, L.P.

PARTNER NAME	DAVE RICKEY DAUGHTERS
PARTNER ACCOUNT NUMBER	161 0017109435
PARTNER FEDERAL IDENTITY	11-6574096 / EXEMPT ORGANIZATION
CUSTODIAN FEDERAL ID	
PARTNERSHIP FEDERAL ID	39-1715850
TAX SHELTER REGISTRATION NUMBER	92008000124

This schedule is used only if you had a taxable disposition of all or a portion of your units in 2009 This schedule summarizes certain information about your disposition of units You need this information to calculate your gain or loss from the disposition of units

Use this schedule to calculate your gain or loss upon disposition of partnership units First, enter your Sales Proceeds (net of brokerage commissions, as reported to you by your broker on Form 1099-B) in Column 4. Then enter your Purchase Amount (including brokerage commissions) in Column 5 We have provided you with Adjustments to Basis in Column 6, which include partnership income, deductions, distributions, etc Subtract the sum of the Purchase Amount in Column 5 and the Adjustments to Basis in Column 6 from the Sales Proceeds in Column 4 Enter this amount in Column 7, Total Gain or Loss. We have provided you with the Ordinary Gain in Column 8 To determine your Capital Gain or Loss in Column 9, subtract Column 8 from Column 7. Use the amount in Column 10 to adjust your Total Gain or Loss for Alternative Minimum Tax Purposes

If you disposed of a portion of your units (rather than your entire interest) you may be subject to the passive activity loss limitation rules Please contact your personal tax advisor for the appropriate tax treatment.

Certain states require that nonresidents apportion the gain/loss from the sale of a partnership interest to their state. Listed below are the apportionment factors for states identified as imposing such requirements in which the Partnership does business The determination of the gain/loss apportioned vary by state, therefore, it is important to consult with your tax preparer in completing any applicable state tax returns

MN	MT	ND	OK
11 130%	0 003%	3 661%	3 394%

Unless you have advised the Partnership to use a different method, this sales schedule reflects unit dispositions on a "first in first out basis", so that you are considered to sell units in the order in which they were acquired by you This approach has been applied solely for administrative convenience, and is not consistent with IRS Revenue Ruling 84-53, which provides that a partner has one unified basis in its total partnership interest, and would generally yield a different result than that presented Each partner must make his or her own determination of the amount of basis to be associated with units that are sold The partnership expresses no opinion on the appropriate methodology to be used in making this determination, and has provided this schedule solely as a courtesy Please consult your tax advisor to obtain advice on how this determination should be made, and contact our K-1 Call Center at 1-800-525-3999 if your disposition method differs from the "first in first out basis" reflected below

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
							GAIN OR LOSS CLASSIFICATION		
UNITS DISPOSED	ACQUISITION DATE	DISPOSITION DATE	SALES PROCEEDS	PURCHASE AMOUNT	ADJUSTMENTS TO BASIS	TOTAL GAIN LOSS ( )	ORDINARY GAIN	CAPITAL GAIN/ LOSS ( )	ALTERNATIVE MINIMUM TAX ADJUSTMENT
160 0000	10-20-2008	06-09-2009			-793		878		-123
240 0000	10-20-2008	10-05-2009			-1,611		2,091		-249
205 0000	10-20-2008	10-06-2009			-1,374		1,786		-213
5 0000	10-20-2008	10-06-2009			-34		44		-5
415 0000	10-23-2008	10-06-2009		36552	-2,786		3,616		-431
PARTNERS TOTALS			45678		-6,598	15724	8,415	7309	-1,021
REFERENCES							Form 4797, Part II, Line 10	Form 1040 Sch D	Form 6251, Line 18

When reporting ordinary income attributable to sales of Partnership units, you must include an IRC Section 751 Statement in your income tax return similar to the following:

IRC Section 751 Statement

The taxpayer has reported ordinary income upon the disposition of units in Enbridge Energy Partners, L.P as provided by the General Partner The amount was determined in accordance with Internal Revenue Code Section 751 and the detailed information is available in the offices of the General Partner upon request.

DO NOT INCLUDE THIS SCHEDULE WITH YOUR FEDERAL OR STATE TAX RETURNS

2009 SALES SCHEDULE

ENTERPRISE PRODUCTS PARTNERS L. P. (NYSE:EPD)

PARTNER NAME	DAVE RICKEY DAUGHTERS
ACCOUNT NUMBER	161 0017125427
PARTNER FEDERAL ID/ENTITY	11-6574096 / EXEMPT ORGANIZATION
CUSTODIAN FEDERAL ID	
PARTNERSHIP FEDERAL ID	76-0568219

If you sold units during the year and EPD has received information regarding such sales, this may be used to calculate your gain or loss from the disposition of units during 2009. First, enter your Sales Proceeds (net of brokerage commissions) in Column 4 Then enter your Purchase Amount (including brokerage commissions) in Column 5 We have provided you with Adjustments to Basis in Column 6, which includes cumulative partnership income, deductions, distributions, etc Subtract the sum of the Purchase Amount in Column 5 and the Adjustments to Basis in Column 6 from the Sales Proceeds in Column 4. Enter this amount in Column 7, Total Gain or Loss To calculate your Capital Gain or Loss in Column 9, subtract the Ordinary Gain provided in Column 8 from your Total Gain or Loss in Column 7 Depending on how long this investment was held, different tax rates will apply Please consult your tax advisor

Unless you have advised the Partnership to use a different method this Sales Schedule reflects unit dispositions on a "first in first out" basis whereby you are considered to sell units in the order in which they were acquired by you This approach has been applied solely for administrative convenience, and is not consistent with IRS Revenue Ruling 84-53, which provides that a partner has one unified basis in its total partnership interest, and would generally yield a different result than that presented Each partner must make his or her own determination of the amount of basis to be associated with units that are sold The Partnership expresses no opinion on the appropriate methodology to be used in making this determination, and has provided this Schedule solely as a courtesy Please consult your tax advisor to obtain advice on how this determination should be made, and update the Sales Schedule to reflect the manner in which you determine that the basis of your units should be attributed to units that have been sold, and return it to Tax Package Support for a reprocessing of your Sales Schedule statement

NOTE. If you have disposed of ALL of your interest in EPD in 2009, you will also be able to recognize your prior cumulative suspended losses, if any, in the current year

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
UNITS DISPOSED	ACQUISITION DATE	DISPOSITION DATE	SALES PROCEEDS	PURCHASE AMOUNT	ADJUSTMENTS TO BASIS	TOTAL GAIN/LOSS (-)	ORDINARY GAIN (see NOTE below)	CAPITAL GAIN/LOSS (-)	ALTERNATIVE MINIMUM TAX ADJUSTMENT
1 055 0000	10-20-2008	06-09-2009			-4 189		4 250		-615
PARTNER TOTALS			27251.94	25847.50	-4 189	5593.44	4 250	1343.44	-615
REFERENCES							FORM 4797 PART II LINE 10	FORM 1040 SCHEDULE D	FORM 6251 LINE 18

DO NOT INCLUDE THIS SCHEDULE WITH YOUR FEDERAL OR STATE INCOME TAX RETURNS

NOTE - Certain states require that nonresidents apportion the gain/loss from the sale of a partnership interest to their state Listed herein are the apportionment percentages for states identified as imposing such requirements

ID - none; IA - 255%; MA- 043%; MT- none; OK - 276%

There may be other states with similar requirements, therefore, it is important to contact your tax advisor in completing any applicable state tax returns We can provide additional information upon request

2009 SALES SCHEDULE

ENERGY TRANSFER PARTNERS, L.P.

PARTNER NAME	DAVE RICKEY DAUGHTERS
ACCOUNT NUMBER	161 0017116546
PARTNER FEDERAL ID/ENTITY:	11-6574096 / EXEMPT ORGANIZATION
CUSTODIAN FEDERAL ID	
PARTNERSHIP FEDERAL ID	73-1493906

If you sold units during 2009 and ETP has received information regarding such sales this may be used to calculate your gain or loss from the disposition of units during this year. First enter your Sales Proceeds (net of brokerage commissions) in Column 4 Then enter your Purchase Amount (including any brokerage commission) in Column 5. We have provided you with Adjustments to Basis in Column 6, which includes partnership income, deductions, distributions, etc Subtract the sum of the Purchase Amount in Column 5 and the Adjustments to Basis in Column 6 from the Sales Proceeds in Column 4 Enter this amount in Column 7, Total Gain or Loss To calculate your Capital Gain or Loss in Column 9, subtract the Ordinary Gain in Column 8 from your Total Gain in Column 7. Certain states require that nonresidents apportion the gain/loss from the sale of a partnership interest to their state The following are the states in which ETP operates that are known to impose such requirements CA 3 4472%, IA 0 0045%, ID 0.6301%, MN 0.1632%, MT 1.4217%, OK 0.1355%. There may be other states with similar requirements, therefore, it is important to contact your tax advisor in completing any applicable state tax returns We can provide additional information upon request. Depending on your tax status and how long this investment was held different tax rules will apply. Tax exempt unitholders please consult your tax advisor regarding Unrelated Trade or Business Income reportable from the sale of your units

Unless you have advised the Partnership to use a different method, this sales schedule reflects unit dispositions on a "first in first out basis", so that you are considered to sell units in the order in which they were acquired by you. This approach has been applied solely for administrative convenience, and is not consistent with IRS Revenue Ruling 84-53, which provides that a partner has one unified basis in its total partnership interest, and would generally yield a different result than that presented Each partner must make his or her own determination of the amount of basis to be associated with units that are sold. The partnership expresses no opinion on the appropriate methodology to be used in making this determination, and has provided this schedule solely as a courtesy Please consult your tax advisor to obtain advice on how this determination should be made, and update the sales schedule to reflect the manner in which you determine that the basis in your units should be attributed to units that have been sold, and return it to Tax Package Support for a reprocessing of your tax information statement

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
UNITS DISPOSED	ACQUISITION DATE	DISPOSITION DATE	SALES PROCEEDS	PURCHASE AMOUNT	ADJUSTMENTS TO BASIS	TOTAL GAIN/LOSS (-)	ORDINARY GAIN	CAPITAL GAIN/LOSS (-)	ALTERNATIVE MINIMUM TAX ADJUSTMENT
605 0000	10-20-2008	06-09-2009			-2,377		2,277		-161
PARTNER TOTALS			26274.47	22073.24	-2,377	6578.23	2,277	4301.23	-161
REFERENCES							FORM 4797 PART II, LINE 10	FORM 1040, SCHEDULE D	FORM 6251, LINE 18

DO NOT INCLUDE THIS SCHEDULE WITH YOUR FEDERAL OR STATE INCOME TAX RETURNS
SEE TAX INSTRUCTIONS FOR ADDITIONAL INFORMATION

Form **8868**
(Rev. April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ▶ ☒
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization DAVE RICKEY & DAUGHTERS FOUNDATION	**Employer identification number** 11-6574096
	Number, street, and room or suite no. If a P.O. box, see instructions 15629 BOULDER MOUNTAIN ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. POWAY, CA 92064	

Check type of return to be filed (file a separate application for each return):

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ▶ LATTIMORE, BLACK, MORGAN, & CAIN PC PO BOX 1869 5250 VIRGINIA WAY - BRENTWOOD, TN 37024-1869

Telephone No. ▶ (615) 377-4600 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2010 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 2009 or

▶ ☐ tax year beginning ____________ , and ending ____________ .

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 2,248.
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	$ 28,544.
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.** Form 8868 (Rev 4-2009)

923831
05-26-09

Lattimore, Black, Morgan & Cain, P.C.
P.O. Box 1869, Brentwood, TN 37024-1869
62-1199757

COPY

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print

File by the extended due date for filing the return See instructions

Name of Exempt Organization: DAVE RICKEY & DAUGHTERS FOUNDATION

Employer identification number: 11-6574096

Number, street, and room or suite no If a P O box, see instructions: 15629 BOULDER MOUNTAIN ROAD

For IRS use only

City, town or post office state, and ZIP code For a foreign address, see instructions: POWAY, CA 92064

Check type of return to be filed (File a separate application for each return).

☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec. 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870

☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ LATTIMORE, BLACK, MORGAN, & CAIN PC PO BOX 1869 5250 VIRGINIA WAY - BRENTWOOD, TN 37024-1869

Telephone No ▶ (615) 377-4600 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010.

5 For calendar year 2009 , or other tax year beginning ______ , and ending ______ .

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension Additional time needed to gather third party information

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 2,248.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 28,544.
c	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ CPA Date ▶ 7/27/10

Lattimore, Black, Morgan & Cain, P.C
P.O. Box 1869, Brentwood, TN 37024-1869
62-1199757

COPY