



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Department of the Treasury
Internal Revenue Service (77)

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2009**, or tax year beginning _____, and ending _____

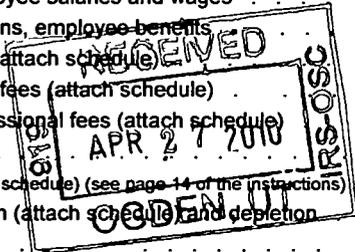
G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation SARGOL CHARITABLE TRUST		A Employer identification number 11-6478559	
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 412 AVENUE C		B Telephone number (see page 10 of the instructions)	
	City or town, state, and ZIP code BROOKLYN NY 11218		C If exemption application is pending, check here <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 3,015,008			J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	
E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>				
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>				

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	275,500			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	23,031	23,031	23,031	
4 Dividends and interest from securities	54,020	54,020	54,020	
5 a Gross rents		0		
b Net rental income or (loss)	0			
6 a Net gain or (loss) from sale of assets not on line 10	0			
b Gross sales price for all assets on line 6a	0			
7 Capital gain net income (from Part IV, line 2)		0		
8 Net short-term capital gain			0	
9 Income modifications				
10 a Gross sales less returns and allowances	0			
b Less. Cost of goods sold	0			
c Gross profit or (loss) (attach schedule)	0			
11 Other income (attach schedule)	0	0	0	
12 Total. Add lines 1 through 11	352,551	77,051	77,051	
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)	0	0	0	0
b Accounting fees (attach schedule)	750	750	750	750
c Other professional fees (attach schedule)	0	0	0	0
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	399	399	399	399
19 Depreciation (attach schedule) and depletion	0	0	0	
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	0	0	0	0
24 Total operating and administrative expenses. Add lines 13 through 23	1,149	1,149	1,149	1,149
25 Contributions, gifts, grants paid	55,235			55,235
26 Total expenses and disbursements. Add lines 24 and 25	56,384	1,149	1,149	56,384
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	296,167			
b Net investment income (if negative, enter -0-)		75,902		
c Adjusted net income (if negative, enter -0-)			75,902	

SCANNED MAY 06 2010



Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	131,822	232,958	232,958
	3 Accounts receivable ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	4 Pledges receivable ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule) ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)	0	0	0
	b Investments—corporate stock (attach schedule)	2,220,729	2,013,704	2,782,050
	c Investments—corporate bonds (attach schedule)	293,536	695,592	0
	11 Investments—land, buildings, and equipment, basis ▶ 0			
Less: accumulated depreciation (attach schedule) ▶ 0	0	0	0	
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	0	0	0	
14 Land, buildings, and equipment, basis ▶ 0				
Less: accumulated depreciation (attach schedule) ▶ 0	0	0	0	
15 Other assets (describe ▶)	0	0	0	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,646,087	2,942,254	3,015,008	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	0	0	
	22 Other liabilities (describe ▶)	0	0	
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	2,646,087	2,942,254	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see page 17 of the instructions)	2,646,087	2,942,254		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,646,087	2,942,254		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,646,087
2 Enter amount from Part I, line 27a	2	296,167
3 Other increases not included in line 2 (itemize) ▶	3	0
4 Add lines 1, 2, and 3	4	2,942,254
5 Decreases not included in line 2 (itemize) ▶	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,942,254

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE ATTACHED STATEMENT			VARIOUS	VARIOUS
b SEE ATTACHED STATEMENT			VARIOUS	VARIOUS
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 1,155,912	0	1,114,593	41,319	
b 558,562	0	637,577	-79,015	
c 0	0	0	0	
d 0	0	0	0	
e 0	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a 0	0	0	41,319	
b 0	0	0	-79,015	
c 0	0	0	0	
d 0	0	0	0	
e 0	0	0	0	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	-37,696	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2008	53,736	2,347,028	0.022895
2007			0.000000
2006			0.000000
2005			0.000000
2004			0.000000
2 Total of line 1, column (d)			2 0.022895
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.022895
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 0
5 Multiply line 4 by line 3			5 0
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 0
7 Add lines 5 and 6			7 0
8 Enter qualifying distributions from Part XII, line 4			8 0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,518	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3 Add lines 1 and 2	3	1,518	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,518	
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	0	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	0	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	0	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	41	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,559	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11 Enter the amount of line 10 to be credited to 2010 estimated tax <input type="checkbox"/> 0 Refunded <input type="checkbox"/>	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV.</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X
Website address ▶ N/A				
14 The books are in care of ▶ ASHER GOODMAN Telephone no. ▶ (212) 603-6141				
Located at ▶ 412 AVENUE C BROOKLYN NY ZIP+4 ▶ 11218				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ <input type="checkbox"/>			
and enter the amount of tax-exempt interest received or accrued during the year ▶				15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870 **6b** X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
YITZCHOK GOODMAN 412 AVENUE C BROOKLYN NY 11218	TRUSTEE 2.00	0	0	0
RACHEL GOODMAN 412 AVENUE C BROOKLYN NY 11218	TRUSTEE 1.00	0	0	0
RAPHAEL GROSSMAN 1342 EAST 5TH STREET BROOKLYN NY 11218	TRUSTEE 1.00	0	0	0
	.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0
Total number of others receiving over \$50,000 for professional services		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 DONATIONS TO OVER SEVERAL ORGANIZATIONS TO AID THE NEEDY	450
2 SCHOLARSHIPS TO MANY NEEDY ORGANIZATIONS	699
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
3 All other program-related investments. See page 24 of the instructions	0
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,250,850
b	Average of monthly cash balances	1b	215,250
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	2,466,100
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,466,100
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	36,992
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,429,108
6	Minimum investment return. Enter 5% of line 5	6	121,455

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	121,455
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,518
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,518
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	119,937
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	119,937
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	119,937

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	56,384
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	56,384
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	56,384

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				119,937
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	36,645			
b From 2005	0			
c From 2006	0			
d From 2007	0			
e From 2008	0			
f Total of lines 3a through e	36,645			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 56,384				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				56,384
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	36,645			36,645
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				0
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				26,908
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2005	0			
b Excess from 2006	0			
c Excess from 2007	0			
d Excess from 2008	0			
e Excess from 2009	0			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

4942(j)(3) or 4942(j)(5)

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
0	0	0	0	0

b 85% of line 2a

0	0	0	0	0
---	---	---	---	---

c Qualifying distributions from Part XII, line 4 for each year listed

0	0	0	0	0
---	---	---	---	---

d Amounts included in line 2c not used directly for active conduct of exempt activities

				0
--	--	--	--	---

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

0	0	0	0	0
---	---	---	---	---

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter:

(1) Value of all assets

				0
--	--	--	--	---

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

				0
--	--	--	--	---

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

0	0	0	0	0
---	---	---	---	---

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

				0
--	--	--	--	---

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

				0
--	--	--	--	---

(3) Largest amount of support from an exempt organization

				0
--	--	--	--	---

(4) Gross investment income

				0
--	--	--	--	---

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed.

ASHER GOODMAN 412 AVENUE C BROOKLYN NY 11218 (212) 603-6141

b The form in which applications should be submitted and information and materials they should include:

LETTERS OF RECOMMENDATIONS AND DESCRIPTIONS OF ACTIVITIES

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MIRRER YESHIVA			SCHOLARSHIPS TO THE NEEDY	1,500
BROOKLYN NY			AID TO THE NEEDY	700
TEFILA L'DOVID			AID TO THE NEEDY	50,000
BROOKLYN NY			SCHOLARSHIPS TO THE NEEDY	400
CONGREGATION GMACH KEREN			SCHOLARSHIPS TO THE NEEDY	500
BROOKLYN NY			AID TO THE NEEDY	200
YESHIVA BETH YOSEF			SCHOLARSHIPS TO THE NEEDY	200
BROOKLYN NY			AID TO THE NEEDY	1,735
YESHIVA MADIZIKA HADAS				0
BROOKLYN NY				0
KHAL NACHLAS AROS				0
BROOKLYN NY				0
YESHIVA TORAH IMIDOS				0
BROOKLYN NY				0
DONATIONS TO CHARITABLE ORGANIZATIONS				0
BROOKLYN NY				0
Total			▶ 3a	55,235
b Approved for future payment				
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
Total			▶ 3b	0

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

SARGOL CHARITABLE TRUST

11-6478559

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization SARGOL CHARITABLE TRUST	Employer identification number 11-6478559
--	---

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ASHER GOODMAN ----- 412 AVENUE C ----- BROOKLYN NY 11218 ----- Foreign State or Province ----- Foreign Country: -----	\$ ----- 275,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	----- ----- ----- Foreign State or Province ----- Foreign Country: -----	\$ ----- 0	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3	----- ----- ----- Foreign State or Province: ----- Foreign Country: -----	\$ ----- 0	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4	----- ----- ----- Foreign State or Province: ----- Foreign Country: -----	\$ ----- 0	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
5	----- ----- ----- Foreign State or Province ----- Foreign Country -----	\$ ----- 0	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
6	----- ----- ----- Foreign State or Province: ----- Foreign Country -----	\$ ----- 0	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

**Reserved Client
Financial Management Account
2009 Year End Summary**

MorganStanley
SmithBarney

Ref: 00000206 00002317

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Additional Summary Information 2009

Other Income This amount represents distributions with respect to investments such as limited partnerships, and certain trusts, which are subject to IRS Schedules K-1 reporting. Schedules K-1 are issued directly by the partnership or trust.

Reference number	Description	Amount	Federal income tax withheld	NRA tax withheld	Foreign tax withheld
170000100	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT	\$ 1,020.00			
170000200	DUNCAN ENERGY PARTNERS L P UNIT REPTG LTD PARTNER	693.00			
170000300	ENTERPRISE PRODS PARTNERS L P	649.50			
170000400	TARGA RES PARTNERS LP UNIT LTD PARTNERSHIP INT	828.00			
170000500	UTS TRANSMONTAIGNE PARTNERS L P UNIT REPTG LTD PARTNERSHIP	472.00			
Totals		\$ 3,662.50			

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000020	-2	CALL COV AUG 09 @ 40.00 COVIDIEN PLC DUBLIN-US 100 SHS	07/01/09	08/24/09	\$ 87.99	\$ 0.00	\$ 87.99	\$ 0.00
125000030	-2	CALL TYC FEB 09 @ 25.00 TYCO INTL LTD 100 SHS	02/03/09	02/24/09	54.99	0.00	54.99	0.00
125000050	400	TYCO INTL LTD CHF ACCOUNT ASSIGNED ORIGINAL S/D 11/25/09 B/C 3C OPTION PREMIUM -189.99	05/18/09	11/20/09	14,171.63	10,440.24 10,440.24	3,731.39 3,731.39	0.00 0.00
125000090	507.697	AMERICAN EXPRESS CO ACCOUNT ASSIGNED OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	08/07/09	08/21/09	16,707.87	16,464.61 16,464.61	243.26 243.26	0.00 0.00

2 0 0 9 Y E A R E N D S U M M A R Y

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref: 00000206 00002318

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

2 0 0 9 Y E A R E N D S U M M A R Y

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000100	92.303	AMERICAN EXPRESS CO ACCOUNT ASSIGNED	08/07/09	09/18/09	\$ 3,123.72	\$ 2,993.39	\$ 130.33	\$ 0.00
	607.697	ORIGINAL S/D 09/23/09 B/C 3C OPTION PREMIUM -616.98	08/25/09		20,565.66	19,923.95	641.71	0.00
125000110	192.303	AMERICAN EXPRESS CO ACCOUNT ASSIGNED	08/25/09	11/20/09	7,390.49	6,304.85	1,085.64	0.00
	700	ORIGINAL S/D 11/25/09 B/C 3C OPTION PREMIUM -745.97	09/04/09		26,902.05	22,845.95	4,056.10	0.00
	707.697		09/21/09		27,197.86	24,357.16	2,840.70	0.00
125000120	-7	CALL AXP MAY 09 @ 29.00 AMERICAN EXPRESS CO 100 SHS	04/30/09	05/18/09	231.99	0.00	231.99	0.00
125000130	-8	CALL ABZ SEP 09 @ 35.00 AMERICAN EXPRESS CO 100 SHS	08/25/09	09/21/09	449.98	0.00	449.98	0.00
125000140	-8	CALL ABZ OCT 09 @ 36.00 AMERICAN EXPRESS CO 100 SHS	09/21/09	10/19/09	545.98	0.00	545.98	0.00
125000150	-8	CALL ABZ OCT 09 @ 35.00 AMERICAN EXPRESS CO 100 SHS	09/24/09	10/16/09	505.98	126.00	379.98	0.00
125000160	-9	CALL YAA AUG 09 @ 65.00 AMGEN INC 100 SHS	07/08/09	08/24/09	1,073.97	0.00	1,073.97	0.00
125000170	-11	CALL ADI FEB 09 @ 22.50 ANALOG DEVICES INC 100 SHS	01/26/09	02/24/09	313.99	0.00	313.99	0.00
125000180	-9	CALL ADI AUG 09 @ 27.50 ANALOG DEVICES INC 100 SHS	06/29/09	08/19/09	353.99	411.00	(57.01)	0.00
125000190	-9	CALL ADI SEP 09 @ 30.00 ANALOG DEVICES INC 100 SHS	08/20/09	09/21/09	173.99	0.00	173.99	0.00
125000200	9	BANK OF AMERICA CORP MERGER 01/02/09 590188108000	04/23/08	01/02/09	12.56	47.06	(34.50)	0.00
					47.06		(34.50)	0.00

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref 00000206 00002319

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

2 0 0 9 Y E A R E N D S U M M A R Y

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000210	-8	CALL IDK JUN 09 @ 55.00 BIOGEN IDEC INC 100 SHS	06/03/09	06/22/09	\$ 865.97	\$ 0.00	\$ 865.97	\$ 0.00
125000250	-24	CALL C JAN 09 @ 10.00 CITIGROUP INC 100 SHS	11/26/08	01/21/09	930.99	0.00	930.99	0.00
125000260	-25	CALL C JAN 09 @ 7.50 CITIGROUP INC 100 SHS	01/09/09	01/21/09	369.99	0.00	369.99	0.00
125000270	-30	CALL C FEB 09 @ 9.00 CITIGROUP INC 100 SHS	01/09/09	02/24/09	564.99	0.00	564.99	0.00
125000280	-10	CALL C SEP 09 @ 5.00 CITIGROUP INC 100 SHS	09/01/09	09/21/09	213.99	0.00	213.99	0.00
125000290	-40	CALL C SEP 09 @ 6.00 CITIGROUP INC 100 SHS	08/24/09	09/21/09	473.98	0.00	473.98	0.00
125000310	1,630.5753	E I DU PONT DE NEMOURS & CO ACCOUNT ASSIGNED	09/22/08	04/17/09	45,175.56	77,342.26	(32,166.70)	0.00
	369	OCC CALL 04-09 87309110	09/23/08		10,223.25	16,740.40	(6,517.15)	0.00
	1,000.4247	OPTION PREMIUM -713.99	09/23/08		27,717.05	16,740.40	(6,517.15)	0.00
						45,895.88	(18,178.83)	0.00
						45,895.88	(18,178.83)	0.00
125000320	630.5753	E I DU PONT DE NEMOURS & CO ACCOUNT ASSIGNED	09/23/08	08/11/09	20,392.28	28,928.53	(8,536.25)	0.00
	3,000	ORIGINAL S/D 08/14/09 B/C 3C	04/20/09		97,017.50	81,696.00	15,321.50	0.00
	969.4247	OPTION PREMIUM -6,307.83	08/07/09		31,350.38	81,696.00	15,321.50	0.00
						31,142.38	208.00	0.00
						31,142.38	208.00	0.00
125000330	-36	CALL DD JAN 09 @ 27.50 E I DU PONT DE NEMOURS & CO 100 SHS	01/02/09	01/21/09	678.99	0.00	678.99	0.00
125000340	-20	CALL DXT MAY 09 @ 30.00 E I DU PONT DE NEMOURS & CO 100 SHS	04/30/09	06/18/09	493.98	0.00	493.98	0.00

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref 00000206 00002320

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000350	-36	CALL DXT AUG 09 @ 30.00 E I DU PONT DE NEMOURS & CO 100 SHS EXP 08/22/2009	07/21/09	08/07/09	\$ 1,217.96	\$ 8,322.00 \$ 8,322.00	(\$ 7,104.04) (\$ 7,104.04)	\$ 0.00 \$ 0.00
125000360	-11	CALL EMC FEB 09 @ 13.00 EMC CORP-MASS 100 SHS	01/07/09	02/24/09	247.99	0.00 0.00	247.99 247.99	0.00 0.00
125000370	-1	100 SHS	01/07/09		23.99	0.00	23.99	0.00
125000420	2,000	FORD MOTOR COMPANY ACCOUNT ASSIGNED ORIGINAL S/D 11/25/09 B/C 3C OPTION PREMIUM -393.98	08/10/09	11/20/09	16,327.56	-15,866.00 15,866.00	461.56 481.56	0.00 0.00
125000430	-20	CALL F MAY 09 @ 6.00 FORD MOTOR COMPANY 100 SHS	04/27/09	05/18/09	333.99	0.00	333.99	0.00
125000440	-20	CALL F AUG 09 @ 8.00 FORD MOTOR COMPANY 100 SHS	08/10/09	08/24/09	573.98	0.00	573.98	0.00
125000470	3,000	JPMORGAN CHASE & CO ACCOUNT ASSIGNED OCC CALL 04-09 88513750 OPTION PREMIUM -3,967.96	04/22/08	04/17/09	98,893.23	135,095.00 135,095.00	(36,201.77) (36,201.77)	0.00 0.00
125000480	452	JPMORGAN CHASE & CO ACCOUNT ASSIGNED OCC CALL 08-09 86170970 OPTION PREMIUM -3,172.91	04/20/09	08/21/09	18,286.18	14,335.29 14,335.29	3,950.89 3,950.89	0.00 0.00
125000490	2,000	JPMORGAN CHASE & CO ACCOUNT ASSIGNED ORIGINAL S/D 09/23/09 B/C 3C OPTION PREMIUM -1,967.94	04/20/09	09/18/09	86,899.75	63,430.50 63,430.50	23,469.25 23,469.25	0.00 0.00

Reserved Client
Financial Management Account
2009 Year End Summary

MorganStanley
SmithBarney

Ref: 00000206 00002321

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000500	1,000	JPMORGAN CHASE & CO ACCOUNT ASSIGNED ORIGINAL S/D 09/23/09 B/C 3C OPTION PREMIUM -373.98	04/20/09	09/18/09	\$ 44,342.84	\$ 31,715.25 \$ 31,715.25	\$ 12,627.59 \$ 12,627.59	\$ 0.00 \$ 0.00
125000510	2,000	JPMORGAN CHASE & CO ACCOUNT ASSIGNED OCC CALL 10-09 81511930 OPTION PREMIUM -2,757.91	04/20/09	10/16/09	91,748.45	63,430.50 63,430.50	28,317.95 28,317.95	0.00 0.00
125000520	-20	CALL JPM JAN 09 @ 40.00 JPMORGAN CHASE & CO	12/16/08	01/21/09	1,114.99	0.00	1,114.99	0.00
	-22	100 SHS	12/17/08		1,226.99	0.00	1,226.99	0.00
125000530	-30	CALL JSA JAN 09 @ 37.50 JPMORGAN CHASE & CO	12/22/08	01/21/09	894.99	0.00	894.99	0.00
		100 SHS				0.00	894.99	0.00
125000540	-49	CALL JPM FEB 09 @ 30.00 JPMORGAN CHASE & CO	02/10/09	02/24/09	1,905.98	0.00	1,905.98	0.00
		100 SHS				0.00	1,905.98	0.00
125000550	-47	CALL JPM FEB 09 @ 31.00 JPMORGAN CHASE & CO	02/10/09	02/24/09	1,216.99	0.00	1,216.99	0.00
		100 SHS				0.00	1,216.99	0.00
125000560	-44	CALL JPM FEB 09 @ 40.00 JPMORGAN CHASE & CO	01/06/09	02/24/09	1,270.99	0.00	1,270.99	0.00
		100 SHS				0.00	1,270.99	0.00
125000570	-30	CALL JSA MAR 09 @ 25.00 JPMORGAN CHASE & CO	03/11/09	03/24/09	413.99	0.00	413.99	0.00
		100 SHS				0.00	413.99	0.00
125000580	-20	CALL JSA MAR 09 @ 27.50 JPMORGAN CHASE & CO	03/12/09	03/24/09	313.99	0.00	313.99	0.00
		100 SHS				0.00	313.99	0.00
	-20	100 SHS	03/13/09		453.99	0.00	453.99	0.00
						0.00	453.99	0.00
125000590	-35	CALL JSA MAR 09 @ 24.00 JPMORGAN CHASE & CO	03/11/09	03/20/09	1,011.99	356.00 356.00	655.99 655.99	0.00 0.00
		100 SHS					655.99	0.00
		EXP 03/21/2009						
125000600	-35	CALL JSA MAR 09 @ 24.00 JPMORGAN CHASE & CO	03/11/09	03/24/09	1,011.99	0.00	1,011.99	0.00
		100 SHS				0.00	1,011.99	0.00

**Reserved Client
Financial Management Account
2009 Year End Summary**

MorganStanley
SmithBarney

Ref 00000206 00002322

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000610	-11	CALL JPM APR 09 @ 35.00 JPMORGAN CHASE & CO 100 SHS	04/14/09	04/20/09	\$ 719.98	\$ 0.00	\$ 719.98	\$ 0.00
125000620	-11	CALL JPM APR 09 @ 37.50 JPMORGAN CHASE & CO 100 SHS	03/25/09	04/08/09	261.80	22.00	239.80	0.00
125000630	-19	CALL JPM APR 09 @ 37.50 JPMORGAN CHASE & CO 100 SHS	03/25/09	04/20/09	452.19	0.00	452.19	0.00
125000640	-30	CALL JPM MAY 09 @ 40.00 JPMORGAN CHASE & CO 100 SHS	03/26/09	05/13/09	743.99	0.00	743.99	0.00
125000650	-3	CALL JPM MAY 09 @ 40.00 JPMORGAN CHASE & CO 100 SHS	04/22/09	05/18/09	535.18	15.00	520.18	0.00
125000660	-30	CALL JPM MAY 09 @ 37.50 JPMORGAN CHASE & CO 100 SHS	04/22/09	05/18/09	133.80	0.00	133.80	0.00
125000670	-20	CALL JPM JUN 09 @ 40.00 JPMORGAN CHASE & CO 100 SHS	04/24/09	06/22/09	1,493.96	0.00	1,493.96	0.00
125000680	-40	CALL JPM JUN 09 @ 36.00 JPMORGAN CHASE & CO 100 SHS	04/24/09	06/22/09	1,319.96	0.00	1,319.96	0.00
125000690	-20	CALL JPM JUN 09 @ 39.00 JPMORGAN CHASE & CO 100 SHS	04/30/09	06/22/09	513.98	0.00	513.98	0.00
125000700	-25	CALL JPM JUN 09 @ 40.00 JPMORGAN CHASE & CO 100 SHS	04/24/09	06/22/09	1,718.95	53.33	1,665.62	0.00
125000710	-20	CALL JPM JUN 09 @ 40.00 JPMORGAN CHASE & CO 100 SHS	04/30/09	06/22/09	1,293.96	42.67	1,251.29	0.00
125000720	-40	CALL JPM JUN 09 @ 39.00 JPMORGAN CHASE & CO 100 SHS	05/20/09	06/22/09	3,433.91	0.00	3,433.91	0.00
125000730	-20	CALL JPM JUN 09 @ 36.00 JPMORGAN CHASE & CO 100 SHS	06/08/09	06/22/09	1,753.95	0.00	1,753.95	0.00
125000740	-40	CALL JPM JUN 09 @ 39.00 JPMORGAN CHASE & CO 100 SHS	05/28/09	06/22/09	2,313.93	0.00	2,313.93	0.00

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref: 00000206 00002323

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000700	-20	CALL JPM JUN 09 @ 37.50 JPMORGAN CHASE & CO 100 SHS	05/28/09	06/22/09	\$ 1,993.94	\$ 0.00	\$ 1,993.94	\$ 0.00
125000710	-20	CALL JPM JUN 09 @ 42.50 JPMORGAN CHASE & CO 100 SHS	05/20/09	06/05/09	456.99	66.00	390.99	0.00
125000720	-20	CALL JPM JUN 09 @ 42.50 JPMORGAN CHASE & CO 100 SHS	05/20/09	06/11/09	456.98	20.00	436.98	0.00
125000730	-40	CALL JPM JUL 09 @ 37.00 JPMORGAN CHASE & CO 100 SHS	06/24/09	07/20/09	2,073.94	0.00	2,073.94	0.00
	-50	CALL JPM JUL 09 @ 37.00 JPMORGAN CHASE & CO 100 SHS	06/25/09		1,993.94	0.00	1,993.94	0.00
	-50	CALL JPM AUG 09 @ 45.00 JPMORGAN CHASE & CO 100 SHS	06/26/09		2,443.93	0.00	2,443.93	0.00
125000740	-40	CALL JPM AUG 09 @ 45.00 JPMORGAN CHASE & CO 100 SHS	08/06/09	08/24/09	1,253.96	0.00	1,253.96	0.00
125000750	-35	CALL JPM AUG 09 @ 44.00 JPMORGAN CHASE & CO 100 SHS	08/11/09	08/24/09	1,078.97	0.00	1,078.97	0.00
125000760	-10	CALL JPM SEP 09 @ 45.00 JPMORGAN CHASE & CO 100 SHS	09/01/09	09/18/09	613.98	56.00	557.98	0.00
125000770	-30	CALL JPM OCT 09 @ 48.00 JPMORGAN CHASE & CO 100 SHS	10/05/09	10/19/09	713.98	0.00	713.98	0.00
125000780	-40	CALL JPM OCT 09 @ 49.00 JPMORGAN CHASE & CO 100 SHS	09/29/09	10/19/09	1,513.95	0.00	1,513.95	0.00
	-25	CALL JPM OCT 09 @ 49.00 JPMORGAN CHASE & CO 100 SHS	09/29/09		800.97	0.00	800.97	0.00
	-20	CALL JPM OCT 09 @ 49.00 JPMORGAN CHASE & CO 100 SHS	10/06/09		493.98	0.00	493.98	0.00

2009 YEAR END SUMMARY

Reserved Client
Financial Management Account
2009 Year End Summary

MorganStanley
SmithBarney

Ref 00000206 00002324

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000790	-30	CALL JPM NOV 09 @ 45.00 JPMORGAN CHASE & CO 100 SHS	11/05/09	11/23/09	\$ 1,493.96	\$ 0.00	\$ 1,493.96	\$ 0.00
125000800	-30	CALL JBE NOV 09 @ 50.00 JPMORGAN CHASE & CO 100 SHS	10/19/09	11/23/09	1,313.96	0.00	1,313.96	0.00
125000810	-20	CALL JPM NOV 09 @ 49.00 JPMORGAN CHASE & CO 100 SHS	10/19/09	11/23/09	1,273.96	0.00	1,273.96	0.00
125000820	-20	CALL JPM NOV 09 @ 46.00 JPMORGAN CHASE & CO 100 SHS	11/03/09	11/23/09	773.97	0.00	773.97	0.00
125000830	-4	CALL LJJ SEP 09 @ 19.00 LAS VEGAS SANDS CORP 100 SHS	09/14/09	09/21/09	169.99	0.00	169.99	0.00
125000840	-4	CALL LJJ OCT 09 @ 20.00 LAS VEGAS SANDS CORP 100 SHS	09/24/09	10/19/09	361.99	0.00	361.99	0.00
125000850	-4	CALL LJJ NOV 09 @ 19.00 LAS VEGAS SANDS CORP 100 SHS	10/30/09	11/23/09	153.99	0.00	153.99	0.00
125000860	-5	CALL LJJ NOV 09 @ 20.00 LAS VEGAS SANDS CORP 100 SHS	10/12/09	11/23/09	633.98	0.00	633.98	0.00
125000870	-6	CALL M NOV 09 @ 20.00 MACYS INC 100 SHS	10/30/09	11/23/09	221.99	0.00	221.99	0.00
125000890	-4	CALL MCK FEB 09 @ 47.50 MCKESSON CORPORATION 100 SHS	01/27/09	02/24/09	190.99	0.00	190.99	0.00
125000900	-7	CALL MDT JAN 09 @ 35.00 MEDTRONIC INC 100 SHS	01/02/09	01/21/09	141.99	0.00	141.99	0.00
125000910	-7	CALL JIW JUL 09 @ 36.00 MEDTRONIC INC 100 SHS	06/29/09	07/20/09	203.99	0.00	203.99	0.00

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref. 00000206 00002325

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000930	0655	PNC FINANCIAL SERVICES GROUP MERGER 12/31/08 635405103000	01/04/08	01/02/09	\$ 3.14	\$ 24.74	(\$ 21.60)	\$ 0.00
	0645		06/13/08		2.61	6.93	(4.32)	0.00
						6.93	(4.32)	0.00
125000940	028	PFIZER INC MERGER 10/15/09 983024100000	10/16/09	10/16/09	.49	.49	0.00	0.00
125000950	-20	CALL BOW SEP 09 @ 2.50 POPULAR INC 100 SHS EXP 08/19/2009	08/19/09	09/17/09	373.98	226.00	147.98	0.00
						228.00	147.98	0.00
125000980	-11	CALL TWX FEB 09 @ 12.00 TIME WARNER INC 100 SHS	01/07/08	02/24/09	214.99	0.00	214.99	0.00
						0.00	214.99	0.00
125001020	3,000	TRAVELERS COMPANIES INC ACCOUNT ASSIGNED	04/20/09	08/21/09	137,096.46	123,096.00	14,000.46	0.00
	426	ORIGINAL S/D 08/26/09 B/C 3C OPTION PREMIUM -2,779.91	08/06/09		19,467.70	20,039.89	(572.19)	0.00
						20,039.89	(572.19)	0.00
125001030	426	TRAVELERS COMPANIES INC ACCOUNT ASSIGNED	10/05/09	11/20/09	21,740.77	20,801.79	938.98	0.00
		ORIGINAL S/D 11/25/09 B/C 3C OPTION PREMIUM -538.98				20,801.79	938.98	0.00
125001040	-32	CALL TRV FEB 09 @ 50.00 TRAVELERS COMPANIES INC 100 SHS	12/29/08	02/24/09	3,642.97	0.00	3,642.97	0.00
						0.00	3,642.97	0.00
125001050	-20	CALL TRV MAR 09 @ 40.00 TRAVELERS COMPANIES INC 100 SHS EXP 03/21/2009	03/17/09	03/20/09	973.99	226.00	747.99	0.00
						228.00	747.99	0.00
125001060	-33	CALL TRV MAY 09 @ 45.00 TRAVELERS COMPANIES INC 100 SHS	04/27/09	05/18/09	1,775.95	0.00	1,775.95	0.00
						0.00	1,775.95	0.00
125001070	-33	CALL TRV JUN 09 @ 45.00 TRAVELERS COMPANIES INC 100 SHS	06/02/09	06/22/09	1,610.95	0.00	1,610.95	0.00
						0.00	1,610.95	0.00
125001080	-33	CALL TRV JUL 09 @ 45.00 TRAVELERS COMPANIES INC 100 SHS	06/22/09	07/20/09	1,610.95	0.00	1,610.95	0.00
						0.00	1,610.95	0.00

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref 00000206 00002326

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001090	-5	CALL TRV OCT 09 @ 50.00 TRAVELERS COMPANIES INC 100 SHS	10/05/09	10/19/09	\$ 253.99	\$ 0.00	\$ 253.99	\$ 0.00
125001100	-7	CALL UNE JUN 09 @ 16.00 UNITED STATED NATURAL GAS 100 SHS	06/16/09	06/22/09	154.99	0.00	154.99	0.00
125001110	-7	CALL UNE JUL 09 @ 15.00 UNITED STATED NATURAL GAS 100 SHS	06/24/09	07/20/09	406.98	0.00	406.98	0.00
125001120	-7	CALL UNE AUG 09 @ 15.00 UNITED STATES NATURAL GAS 100 SHS	07/23/09	08/24/09	231.99	0.00	231.99	0.00
125001130	-7	CALL UNE NOV 09 @ 13.00 UNITED STATES NATURAL GAS 100 SHS	10/21/09	11/23/09	133.99	0.00	133.99	0.00
125001140	1,000	UNITED STATES OIL F D L P	09/21/09	09/22/09	36,836.15	35,975.20	860.95	0.00
125001150	1,500	UNITED STATES OIL F D L P ACCOUNT ASSIGNED ORIGINAL S/D 10/21/09 B/C 3C OPTION PREMIUM -1,703.95	09/23/09	10/16/09	55,651.56	53,643.75	2,007.81	0.00
125001160	-10	CALL WMT JAN 09 @ 57.50 WAL-MART STORES INC 100 SHS	01/07/09	01/21/09	624.99	0.00	624.99	0.00
125001170	-12	CALL WMT FEB 09 @ 50.00 WAL-MART STORES INC 100 SHS	02/05/09	02/20/09	474.99	378.00	96.99	0.00
125001190	-20	CALL WFC JAN 09 @ 32.50 WELLS FARGO & CO NEW 100 SHS	12/22/08	01/21/09	1,974.98	0.00	1,974.98	0.00
Total					\$ 1,155,912.36	\$ 1,114,592.88	\$ 41,319.48	\$ 0.00

2 0 0 9 Y E A R E N D S U M M A R Y

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref. 00000206 00002327

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Long Term Gain (Loss): 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000010	50	COVIDIEN PLC DUBLIN-USD ACCOUNT ASSIGNED ORIGINAL S/D 11/25/09 B/C 3C OPTION PREMIUM -93.99	07/15/99	11/20/09	\$ 2,270.44	\$ 3,189.29	(\$ 918.85)	\$ 0.00
	50	TYCO INTL LTD CHF ACCOUNT ASSIGNED ORIGINAL S/D 05/20/09 B/C 3C OPTION PREMIUM -95.99	08/25/00	05/15/09	2,270.44	\$ 3,189.29	(\$ 918.85)	\$ 0.00
	100	AMERICAN EXPRESS CO OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	02/13/07	08/21/09	4,540.87	3,575.05	(1,304.61)	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	02/13/07	08/21/09	4,540.87	3,675.06	(1,304.61)	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	02/13/07	08/21/09	4,540.87	4,055.43	485.44	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	02/13/07	08/21/09	4,540.87	4,055.43	485.44	0.00
125000040	50	TYCO INTL LTD CHF ACCOUNT ASSIGNED ORIGINAL S/D 05/20/09 B/C 3C OPTION PREMIUM -95.99	07/15/99	05/15/09	1,219.97	3,919.56	(2,699.59)	0.00
	50	AMERICAN EXPRESS CO OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	08/25/00	08/21/09	1,219.97	3,919.56	(2,699.59)	0.00
	100	AMERICAN EXPRESS CO OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	02/13/07	08/21/09	2,439.92	4,393.65	(3,173.68)	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	02/13/07	08/21/09	2,439.92	4,393.65	(3,173.68)	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	02/13/07	08/21/09	2,439.92	4,984.02	(2,544.10)	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	02/13/07	08/21/09	2,439.92	4,984.02	(2,544.10)	0.00
125000060	.0322	AOL INC CASH IN LIEU OF 27272	03/20/00	12/10/09	74	3.51	(2.77)	0.00
	0174	RECORD 11/27/09 PAY 12/09/09 FROM: 887317303000 (MARGER)	03/23/00	08/21/09	.40	3.51	(2.77)	0.00
	062	AMERICAN EXPRESS CO OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	03/24/00	08/21/09	1.43	2.01	(1.61)	0.00
	062	AMERICAN EXPRESS CO OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	03/27/00	08/21/09	1.43	2.01	(1.61)	0.00
	0691	AMERICAN EXPRESS CO OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	12/16/04	08/21/09	2.30	7.28	(5.85)	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	12/16/04	08/21/09	2.30	7.28	(5.85)	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	12/16/04	08/21/09	2.30	7.54	(6.11)	0.00
		AMERICAN EXPRESS CO OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	12/16/04	08/21/09	2.30	7.54	(6.11)	0.00
125000070	300	ADVANCED MEDICAL OPTICS INC	06/04/07	02/26/09	6,600.00	10,571.00	(3,971.00)	0.00
125000080	700	AMERICAN EXPRESS CO ACCOUNT ASSIGNED OCC CALL 08-09 86139480 OPTION PREMIUM -266.99	Unavailable	08/21/09	19,845.48	10,571.00	(3,971.00)	0.00
125000090	92 303	AMERICAN EXPRESS CO ACCOUNT ASSIGNED OCC CALL 08-09 87533700 OPTION PREMIUM -1,169.96	Unavailable	08/21/09	3,037.61			0.00

**Reserved Client
Financial Management Account
2009 Year End Summary**

MorganStanley
SmithBarney

Ref. 00000206 00002328

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000220	300	CA INC ACCOUNT ASSIGNED OCC CALL 08-08 84949560 OPTION PREMIUM -53.99	07/05/00	08/21/09	\$ 5,882.24	\$ 8,712.50 \$ 8,712.50	(\$ 2,830.26) (\$ 2,830.26)	\$ 0.00 \$ 0.00
125000230	0257	CITIGROUP INC MERGER 07/30/09 172967572000	05/19/92	07/30/09	08	09	(.01)	0.00
	0103		10/22/03		03	09	(.01)	0.00
	.0137		10/19/04		.04	.48	(.45)	0.00
	0171		05/15/92		.05	.60	(.56)	0.00
	.0171		03/16/05		05	.06	(.01)	0.00
	0171		03/17/05		05	.81	(.76)	0.00
	.0171		03/17/05		05	.81	(.76)	0.00
	.0171		03/18/05		05	.80	(.75)	0.00
	.4999		01/18/08		1.60	.80	(.76)	0.00
	1249		05/05/08		44	1.71	(.11)	0.00
						.43	.01	0.00
						.43	.01	0.00
125000240	0233	CITIGROUP INC MERGER 07/30/09 172967556000	05/19/92	07/30/09	07	.09	(.02)	0.00
	0093		10/22/03		03	.09	(.02)	0.00
	0124		10/19/04		.04	.44	(.41)	0.00
	0155		05/15/92		05	.54	(.50)	0.00
	0155		03/16/05		.05	.64	(.50)	0.00
	0155		03/17/05		05	.74	(.69)	0.00
	0155		03/17/05		05	.73	(.68)	0.00
	0155		03/17/05		05	.73	(.68)	0.00
	0155		03/18/05		05	.73	(.68)	0.00
						.73	(.68)	0.00

2 0 0 9 Y E A R E N D S U M M A R Y

**Reserved Client
Financial Management Account
2009 Year End Summary**

MorganStanley
SmithBarney

Ref: 00000206 00002329

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
	.4539		01/18/08		\$ 1.46	\$ 1.55	(\$.09)	\$ 0.00
	1136		05/06/08		37	39	(.02)	0.00
						.39	(.02)	0.00
125000300	.276	COHEN & STEERS QUALITY INCOME REALTY FUND INC MERGER 12/18/09 19247V104000	11/20/08	12/18/09	1.64	.64	1.00	0.00
						.64	1.00	0.00
125000380	.9766	FIRST HORIZON NATL CORP CASH IN LIEU OF 97665	01/17/08	04/01/09	10.74	14.82	(4.08)	0.00
						14.82	(4.08)	0.00
125000390	.4749	FIRST HORIZON NATL CORP RECORD 03/13/09 PAY 04/01/09	01/17/08	07/01/09	5.53	7.09	(1.56)	0.00
						7.09	(1.56)	0.00
125000400	.666	FIRST HORIZON NATL CORP CASH IN LIEU OF 66604	01/17/08	10/01/09	8.54	9.79	(1.25)	0.00
						9.79	(1.25)	0.00
125000410	1,000	FORD MOTOR COMPANY ACCOUNT ASSIGNED	02/05/07	08/21/09	7,303.81	8,403.00	(1,099.19)	0.00
						8,403.00	(1,099.19)	0.00
	1,000	ORIGINAL S/D 08/26/09 B/C 3C OPTION PREMIUM -673.98	05/29/07		7,303.81	8,565.00	(1,261.19)	0.00
						8,565.00	(1,261.19)	0.00
125000450	8,000	FORD MTR CR CO CONTINUOUSLY OFFERED BDS RETAIL ACCOUNTS BK/ENTRY-DTD 03/30/2006 DUE 03/22/2010 RATE 7.250	09/08/06	12/21/09	8,000.00	7,572.60	427.40	427.40
						7,572.60	427.40	0.00
125000460	300	GENERAL MOTORS CORP CGMI AND/OR ITS AFFILIATES	06/28/06	06/04/09	184.04	7,830.00	(7,645.96)	0.00
						7,830.00	(7,645.96)	0.00
	500		11/24/06		306.74	15,705.00	(15,398.26)	0.00
						15,705.00	(15,398.26)	0.00
125000470	1,348	JPMORGAN CHASE & CO ACCOUNT ASSIGNED	03/26/08	04/17/09	44,436.02	60,633.04	(16,197.02)	0.00
						60,633.04	(16,197.02)	0.00
	500	OCC CALL 04-09 88513750 OPTION PREMIUM -3,967.96	04/11/08		16,482.20	21,445.00	(4,962.80)	0.00
						21,445.00	(4,962.80)	0.00

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Financial Management Account 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00000206 00002330

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000480	848	JPMORGAN CHASE & CO ACCOUNT ASSIGNED	05/13/08	08/21/09	\$ 34,306.85	\$ 38,783.28	(\$ 4,476.43)	\$ 0.00
	2,000	OCC CALL 08-09 86170970	05/19/08		80,912.38	\$ 38,783.28	(\$ 4,476.43)	\$ 0.00
	1,200	OPTION PREMIUM -3,172.91	05/20/08		48,547.43	92,664.00	(11,751.62)	0.00
	2,000		05/20/08		80,912.38	54,041.00	(5,493.57)	0.00
						54,041.00	(5,493.57)	0.00
						90,025.60	(9,113.22)	0.00
						90,025.60	(9,113.22)	0.00
125000880	400	MCKESSON CORPORATION ACCOUNT ASSIGNED	01/02/08	08/21/09	19,732.65	25,621.00	(5,888.35)	0.00
		OCC CALL 08-09 82951750				26,621.00	(5,888.35)	0.00
		OPTION PREMIUM -113.99						
125000920	2715	MERCK & CO INC NEW	07/22/98	11/03/09	8.89	16.23	(7.34)	0.00
	.2335	MERGER 11/03/09 806605101000	11/20/98		7.65	16.23	(7.34)	0.00
						12.15	(4.50)	0.00
						12.15	(4.50)	0.00
125000940	0783	PFIZER INC	08/31/98	10/16/09	1.40	1.98	(.58)	0.00
	.1175	MERGER 10/15/09 983024100000	11/05/98		2.10	1.98	(.58)	0.00
	.0855		01/11/99		1.53	3.19	(1.09)	0.00
	.1197		04/09/99		2.13	3.34	(1.09)	0.00
	.071		11/04/99		1.27	3.34	(1.81)	0.00
						3.71	(1.58)	0.00
						2.27	(1.00)	0.00
						2.27	(1.00)	0.00
125000960	150	SCHERING PLOUGH CORP	11/20/98	11/03/09	1,575.00	3,292.27	(1,717.27)	0.00
						3,292.27	(1,717.27)	0.00
125000970	0788	TIME WARNER INC	03/20/00	03/27/09	1.73	11.89	(10.16)	0.00
	0424	REVSPLT 03/27/09 887317105000	03/23/00		93	11.89	(10.16)	0.00
	.1515		03/24/00		3.32	6.82	(5.89)	0.00
	.1515		03/27/00		3.32	6.82	(5.89)	0.00
	.2424		12/16/04		5.32	24.64	(21.32)	0.00
						24.64	(21.32)	0.00
						25.52	(22.20)	0.00
						25.52	(22.20)	0.00
						10.69	(5.37)	0.00
						10.69	(5.37)	0.00

2 0 0 9 Y E A R E N D S U M M A R Y

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref: 00000206 00002331

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000990	.6666	TIME WARNER CABLE INC REVSPLT.03/12/09 88732J108000	03/01/07	03/12/09	\$ 16.99	\$ 77.79 (\$ 60.80)	(\$ 60.80)	\$ 0.00
125001000	0025	TIME WARNER CABLE INC CASH IN LIEU OF .03700	03/20/00	03/30/09	07	.50 .50	(.43) (.43)	0.00
	0014	RECORD 03/12/09 PAY 03/27/09 FROM 887317105000 (SPINOFF)	03/23/00		04	29 .29	(.25) (.26)	0.00
	.0049		03/24/00		13	1.05 1.05	(.92) (.92)	0.00
	0049		03/27/00		.13	1.08	(.95)	0.00
	0078		12/16/04		.21	45	(.24)	0.00
	0155		03/01/07		.43	45 1.80	(.24) (1.37)	0.00
125001010	99 9872	TRAVELERS COMPANIES INC ACCOUNT ASSIGNED	Unavailable	04/17/09	4,139.97			
	85 1612	OCC CALL 04-09 87221710	05/19/92		3,526.10	373.67	3,152.43	0.00
	56 7742	OPTION PREMIUM -4,313.97	05/15/92		2,350.73	373.67	3,152.43	0.00
	78.1919		07/01/99		3,237.53	247.51	2,103.22	0.00
	35 7426		01/03/00		1,479.92	247.51	2,103.22	0.00
	85 7366		01/02/00		3,549.92	3,124.47	113.06	0.00
	12 5755		06/22/00		520.69	3,124.47	113.06	0.00
	295,4676		07/01/00		12,233.82	1,607.39	(127.47)	0.00
	1 9815		07/03/00		82.04	1,607.39	(127.47)	0.00
	12 5755		08/16/00		520.69	3,912.98	(363.06)	0.00
	36 6407		01/02/01		1,517.11	3,912.98	(363.06)	0.00
	1 2003		01/03/01		49.70	385.37	135.32	0.00
	398 1881		01/02/01		16,486.96	385.37	135.32	0.00
						15,194.25	(2,960.43)	0.00
						16,194.25	(2,960.43)	0.00
						99.76	(17.72)	0.00
						99.76	(17.72)	0.00
						517.95	2.74	0.00
						517.95	2.74	0.00
						2,081.13	(564.02)	0.00
						2,081.13	(564.02)	0.00
						67.54	(17.84)	0.00
						67.54	(17.84)	0.00
						22,615.93	(6,128.97)	0.00
						22,615.93	(6,128.97)	0.00

2 0 0 9 Y E A R E N D S U M M A R Y

**Reserved Client
Financial Management Account
2009 Year End Summary**

MorganStanley
SmithBarney

Ref 00000206 00002332

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

2 0 0 9 Y E A R E N D S U M M A R Y

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
1 2003			01/04/01		\$ 49.70	\$ 73.49	(\$ 23.79)	\$ 0.00
2.8581			07/02/01		118.34	\$ 73.49	(\$ 23.79)	\$ 0.00
266 8878			07/01/01		11,050.48	168.29	(49.95)	0.00
37 0407			01/02/02		1,533.67	15,694.16	(4,643.68)	0.00
1 2575			01/03/02		52.07	15,694.16	(4,643.68)	0.00
226 3602			01/03/02		9,372.44	2,094.87	(551.20)	0.00
9.6033			07/03/00		397.62	2,084.87	(551.20)	0.00
272 4324			07/01/02		11,280.05	71.47	(19.40)	0.00
200			08/09/02		8,280.99	71.47	(19.40)	0.00
1.9836			01/03/01		82.13	12,820.34	(3,447.90)	0.00
4.076			01/03/01		168.77	12,820.34	(3,447.90)	0.00
1 9462			01/04/01		80.58	483.58	(85.96)	0.00
3 9991			01/04/01		165.58	483.58	(85.96)	0.00
4.566			07/02/01		189.06	483.58	(85.96)	0.00
9.3825			07/02/01		388.48	483.58	(85.96)	0.00
1 8526			01/03/02		76.71	11,836.81	(556.76)	0.00
3.8068			01/03/02		157.62	11,836.81	(556.76)	0.00
96 1556			01/02/03		3,981.32	5,979.34	2,301.65	0.00
197 5375			01/02/03		8,179.03	5,979.34	2,301.65	0.00
90 958			07/01/03		3,766.11	105.90 e	(23.77)	0.00
						105.90	(23.77)	0.00
						235.08 e	(66.31)	0.00
						235.08	(66.31)	0.00
						113.02 e	(32.44)	0.00
						113.02	(32.44)	0.00
						250.91 e	(85.33)	0.00
						250.91	(85.33)	0.00
						255.05 e	(65.99)	0.00
						255.05	(65.99)	0.00
						566.21 e	(177.73)	0.00
						566.21	(177.73)	0.00
						99.90 e	(23.19)	0.00
						99.90	(23.19)	0.00
						221.78 e	(64.16)	0.00
						221.78	(64.16)	0.00
						3,310.52	670.80	0.00
						3,310.52	670.80	0.00
						6,820.51	670.80	0.00
						6,820.51	670.80	0.00
						6,820.51	1,358.52	0.00
						6,820.51	1,358.52	0.00
						3,346.72	419.39	0.00
						3,346.72	419.39	0.00

**Reserved Client
Financial Management Account
2009 Year End Summary**

**MorganStanley
SmithBarney**

Ref: 00000206 00002333

SARGOL CHARITABLE TRUST

Account Number 313-84224-19 457

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
	187,1408		07/01/03		\$ 7,748.56	\$ 6,873.89	\$ 874.67	\$ 0.00
	84,8941		01/02/04		3,515.04	\$ 6,873.89	\$ 874.67	\$ 0.00
	93,838		01/02/04		3,885.35	3,316.56	198.48	0.00
						3,316.56	198.48	0.00
						3,661.11	224.24	0.00
						3,661.11	224.24	0.00
125001020	81.1733	TRAVELERS COMPANIES INC ACCOUNT ASSIGNED	01/02/04	08/21/09	3,709.52	3,166.99	542.53	0.00
	95.7224	ORIGINAL S/D 08/26/09 B/C 3C OPTION PREMIUM -2,779.91	03/24/04		4,374.40	3,166.99	542.53	0.00
	197.1043		03/24/04		9,007.43	3,710.88	663.52	0.00
						3,710.88	663.52	0.00
						7,633.23	1,374.20	0.00
						7,633.23	1,374.20	0.00
125001030	74	TRAVELERS COMPANIES INC ACCOUNT ASSIGNED	Unavailable	11/20/09	3,776.56			
		ORIGINAL S/D 11/25/09 B/C 3C OPTION PREMIUM -538.98						
125001180	300	WASTE MGMT INC DEL ACCOUNT ASSIGNED	04/24/07	08/21/09	9,023.75	10,685.00	(1,661.25)	0.00
		ORIGINAL S/D 08/26/09 B/C 3C OPTION PREMIUM -38.99				10,685.00	(1,661.25)	0.00
125001200	100	WYETH TAXABLE/MERGER \$17.66 PER/SHR OF PFIZER INCLUDED IN PROCEEDS	11/03/99	10/16/09	5,030.68	5,508.00	(477.32)	0.00
						5,508.00	(477.32)	0.00
Total					\$ 558,562.47	\$ 637,576.68	(\$ 109,813.83)	\$ 427.40
						\$ 637,576.68	(\$ 109,813.83)	\$ 0.00

^d Based on information supplied by your Financial Advisor

^e Based on information supplied by Client or other financial institution, not verified by us.

2 0 0 9 Y E A R E N D S U M M A R Y