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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2009

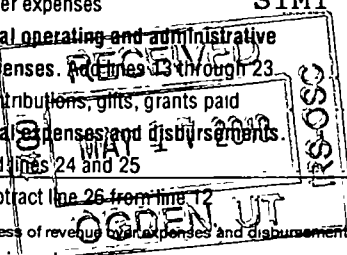
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>KATHARINE K. MCLANE AND HENRY R. MCLANE CHARITABLE TRUST</b>		A Employer identification number <b>06-6537915</b>
	Number and street (or P O box number if mail is not delivered to street address) <b>SHIPMAN &amp; GOODWIN, 1 CONSTITUTION PLZ</b>	Room/suite	B Telephone number <b>(860) 251-5000</b>
	City or town, state, and ZIP code <b>HARTFORD, CT 06103-1919</b>		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>4,733,703.</b>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	125,617.	125,617.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<181,050.>			
	b Gross sales price for all assets on line 6a	676,565.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	<55,433.>	125,617.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	44,000.	13,200.		30,800.
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 3	1,679.	1,679.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	45,679.	14,879.		30,800.
	25 Contributions, gifts, grants paid	198,000.			198,000.
26 Total expenses and disbursements. Add lines 24 and 25	243,679.	14,879.		228,800.	
27 Subtract line 26 from line 12	<299,112.>				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		110,738.			
c Adjusted net income (if negative, enter -0-)			N/A		



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CHARITABLE TRUST

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash - non-interest-bearing	5.		
	2	Savings and temporary cash investments	87,647.	191,366.	191,366.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations			
	b	Investments - corporate stock STMT 4	4,660,164.	4,231,343.	4,514,128.
	c	Investments - corporate bonds STMT 5	0.	25,995.	28,209.
<b>Liabilities</b>	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	<b>Total assets (to be completed by all filers)</b>	4,747,816.	4,448,704.	4,733,703.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
<b>Net Assets or Fund Balances</b>	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities (add lines 17 through 22)</b>	0.	0.	
		Foundations that follow SFAS 117, check here <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	4,747,816.	4,448,704.	
	30	<b>Total net assets or fund balances</b>	4,747,816.	4,448,704.	
	31	<b>Total liabilities and net assets/fund balances</b>	4,747,816.	4,448,704.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,747,816.
2	Enter amount from Part I, line 27a	2	<299,112.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,448,704.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	<b>4,448,704.</b>

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a CAPITAL GAIN (LOSS) PER SCHEDULE ATTACHED</b>	P	VARIOUS	VARIOUS
<b>b CAPITAL GAIN (LOSS) PER SCHEDULE ATTACHED</b>	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 73,201.		85,634.	<12,433.>
b 603,364.		771,981.	<168,617.>
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<12,433.>
b			<168,617.>
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px; margin-right: 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> <div style="border: 1px solid black; padding: 2px 5px; text-align: center;">2</div> </div>	<181,050.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px; margin-right: 5px;">           If gain, also enter in Part I, line 8, column (c)            If (loss), enter -0- in Part I, line 8         </div> <div style="border: 1px solid black; padding: 2px 5px; text-align: center;">3</div> </div>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	230,000.	5,642,656.	.040761
2007	338,946.	6,938,170.	.048852
2006	242,680.	6,645,716.	.036517
2005	281,065.	4,929,883.	.057013
2004	94,625.	355,500.	.266174

2 Total of line 1, column (d)	2	.449317
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.089863
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	4,279,278.
5 Multiply line 4 by line 3	5	384,549.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,107.
7 Add lines 5 and 6	7	385,656.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	228,800.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	2,215.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,215.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,215.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	2,758.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,758.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	543.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> 543. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► J.T. BETTS, SHIPMAN & GOODWIN LLP Telephone no ► 860-251-5000 Located at ► ONE CONSTITUTION PLAZA, HARTFORD, CT ZIP+4 ► 06103-1919			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15 N/A		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES T. BETTS	TRUSTEE			
SHIPMAN & GOODWIN, 1 CONSTITUTION PLZ				
HARTFORD, CT 061031919	1.00	0.	0.	0.
HENRY R. MCLANE, III	TRUSTEE			
SHIPMAN & GOODWIN, 1 CONSTITUTION PLZ				
HARTFORD, CT 061031919	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,128,776.
b	Average of monthly cash balances	1b	215,669.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,344,445.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,344,445.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	65,167.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,279,278.
6	Minimum investment return. Enter 5% of line 5	6	213,964.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	213,964.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	2,215.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,215.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	211,749.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	211,749.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	211,749.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	228,800.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	228,800.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	228,800.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2009)

KATHARINE K. MCLANE AND HENRY R. MCLANE  
CHARITABLE TRUST

Form 990-PF (2009)

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				211,749.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			22,615.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 228,800.				
a Applied to 2008, but not more than line 2a			22,615.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				206,185.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				5,564.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

2009.03040 KATHARINE K. MCLANE AND HEN MCLA7901

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE STATEMENT 6				
<b>Total</b>			<b>3a</b>	<b>198,000.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	<b>0.</b>

Excluded by section 512, 513, or 514

(e)

Related or exempt  
function income

- |     |                  |
|-----|------------------|
| (a) | Business<br>code |
|-----|------------------|

(b)  
Amount

(C)	Exclusion code
-----	----------------

(d)  
Amount

0.

<55,433.>
-----------

0.

- 13

<55,433.>

**Part XVI-B**

## Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)



FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
DIVIDENDS	124,811.	0.	124,811.	
INTEREST	806.	0.	806.	
TOTAL TO FM 990-PF, PART I, LN 4	125,617.	0.	125,617.	

FORM 990-PF	LEGAL FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SHIPMAN & GOODWIN LLP	44,000.	13,200.		30,800.
TO FM 990-PF, PG 1, LN 16A	44,000.	13,200.		30,800.

FORM 990-PF	OTHER EXPENSES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE STREET CORP., FEES	100.	100.		0.
FOREIGN TAXES PAID	1,568.	1,568.		0.
SUNCOR MERGER TRANSFER FEE	11.	11.		0.
TO FORM 990-PF, PG 1, LN 23	1,679.	1,679.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	4
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
1,200 SHS 3M COMPANY	92,688.	99,204.		
2,540 SHS CHEVRON CORP. (NEW)	93,916.	195,555.		
3,700 SHS HOME DEPOT INC.	131,516.	107,041.		
44,570.801 SHS COLUMBIA TOTAL RETURN BOND FUND CLASS Z	444,869.	440,360.		
2,000 SHRS CHUBB CORP	61,382.	98,360.		

6,900 SHRS GENERAL ELECTRIC CO.	198,996.	104,397.
6,000 SHRS MICROSOFT CORP.	144,068.	182,880.
2,700 SHS ROYAL DUTCH SHELL CLASS A	120,028.	162,297.
1,000 SHRS STRYKER CORP.	44,670.	50,370.
1,500 SHRS APACHE CORP.	94,619.	154,755.
1,500 SHRS AUTOMATIC DATA PROCESSING	50,065.	64,230.
2,000 SHS MERCK & CO. INC.	69,251.	73,080.
3,200 SHS METABOLIX INC.	69,028.	35,360.
3,000 SHS PROCTER & GAMBLE	144,007.	181,890.
2,500 SHS XTO ENERGY INC.	78,900.	116,325.
4,000 SHRS BANK NEW YORK MELLON CORP.	125,185.	111,880.
5,000 SHS COACH INC.	161,046.	182,650.
2,500 SHS HEWLETT-PACKARD CO.	102,353.	128,775.
1,849 SHRS NATIONAL OILWELL VARCO INC.	112,952.	81,522.
3,500 SHRS VERIZON COMMUNICATIONS INC.	107,959.	115,955.
10,000 MDRNA INC WARRANTS, EXP 10/25/15	1,000.	1,000.
1,000 SHRS BPH BILLITON PLC	62,237.	63,850.
600 SHS DARDEN RESTAURANTS INC.	20,034.	21,042.
4,000 SHRS EXXON MOBIL CORP.	140,857.	272,760.
6,000 SHRS JOHNSON & JOHNSON	306,288.	386,460.
83,000 SHS MDRNA INC	309,244.	67,230.
5,000 SHS PEPSICO INC.	224,515.	304,000.
10,000 SHS PFIZER, INC.	247,324.	181,900.
2,000 SHRS PLUM CREEK TIMBER CO INC.	69,538.	75,520.
3,000 SHRS VANGUARD EMERGING MARKETS ETF VIPERS	106,287.	123,000.
9,000 SHRS WALGREEN COMPANY	296,521.	330,480.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,231,343.	4,514,128.

FORM 990-PF	CORPORATE BONDS	STATEMENT	5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
25,000 SHRS AMERICAN EXPRESS CO 7.25% DUE 5/20/14	25,995.	28,209.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	25,995.	28,209.	



FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 6

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AFRICAN WILDLIFE FOUNDATION 1400 16TH STREET, N.W. WASHINGTON, DC 20036	UNRESTRICTED	PUBLIC CHARITY	4,000.
AMERICAN NATIONAL RED CROSS P.O. BOX 37243 WASHINGTON, DC 20013	UNRESTRICTED	PUBLIC CHARITY	4,000.
CHARTER OAK CHAPTER, AMERICAN RED CROSS 209 FARMINGTON AVE. FARMINGTON, CT 06032	UNRESTRICTED	PUBLIC CHARITY	4,000.
COMPASSION & CHOICES P.O BOX 10810 DENVER, CO 80250-1810	UNRESTRICTED	PUBLIC CHARITY	4,000.
CONNECTICUT HUMANE SOCIETY 701 RUSSELL ROAD NEWINGTON, CT 06111	UNRESTRICTED	PUBLIC CHARITY	7,000.
CONNECTITUT NATIONAL GUARD FOUNDATION INC 360 BROAD STREET HARTFORD, CT 06105-3795	UNRESTRICTED	PUBLIC CHARITY	4,000.
FIDELCO GUIDE DOG FOUNDATION 103 OLD IRON ORE ROAD BLOOMFIELD, CT 06002	UNRESTRICTED	PUBLIC CHARITY	4,000.
GREATER HARTFORD ACADEMY OF THE ARTS 15 VERNON STREET HARTFORD, CT 06106	UNRESTRICTED	PUBLIC CHARITY	2,000.

HARTFORD FOUNDATION FOR PUBLIC GIVING 10 COLUMBUS BLVD HARTFORD, CT 06106	UNRESTRICTED	PUBLIC CHARITY	15,000.
HARTFORD HOSPITAL P.O. BOX 5037 HARTFORD, CT 06102	UNRESTRICTED	PUBLIC CHARITY	4,000.
HARTFORD STAGE COMPANY 50 CHURCH STREET HARTFORD, CT 06103	UNRESTRICTED	PUBLIC CHARITY	25,000.
HARTFORD SYMPHONY ORCHESTRA 99 PRATT STREET HARTFORD, CT 06103	UNRESTRICTED	PUBLIC CHARITY	10,000.
HFPG, INC. 10 COLUMBUS BLVD HARTFORD, CT 06106	UNRESTRICTED	PUBLIC CHARITY	2,000.
MARK TWAIN HOUSE 351 FARMINGTON AVE. HARTFORD, CT 06105	UNRESTRICTED	PUBLIC CHARITY	15,000.
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190	UNRESTRICTED	PUBLIC CHARITY	4,000.
NATURAL RESOURCES DEFENSE COUNCIL, INC. 40 WEST 20TH STREET NEW YORK, NY 10011	UNRESTRICTED	PUBLIC CHARITY	4,000.
OUR COMPANIONS P.O BOX 673 BLOOMFIELD, CT 06002	UNRESTRICTED	PUBLIC CHARITY	4,000.
ROARING BROOK NATURE CENTER 70 GRACEY ROAD CANTON, CT 06019	UNRESTRICTED	PUBLIC CHARITY	4,000.

SMITH COLLEGE 33 ELM STREET NORTHAMPTON, MA 01063	UNRESTRICTED	PUBLIC CHARITY	4,000.
THE CONNECTICUT AUDUBON SOCIETY, INC. 2325 BURR STREET FAIRFIELD, CT 06430	UNRESTRICTED	PUBLIC CHARITY	4,000.
THEATER WORKS ONE GOLD STREET HARTFORD, CT 06103	UNRESTRICTED	PUBLIC CHARITY	4,000.
UNITED WAY OF THE CAPITAL AREA 30 LAUREL STREET HARTFORD, CT 06106	UNRESTRICTED	PUBLIC CHARITY	4,000.
WADSWORTH ATHENEUM 600 MAIN STREET HARTFORD, CT 06103	UNRESTRICTED	PUBLIC CHARITY	4,000.
WGBY PUBLIC TELEVISION 44 HAMPDEN STREET SPRINGFIELD, MA 01103	UNRESTRICTED	PUBLIC CHARITY	4,000.
WILDLIFE TRUST, INC. 460 WEST 34TH STREET NEW YORK, NY 10001	UNRESTRICTED	PUBLIC CHARITY	4,000.
WORLD WILDLIFE FUND, INC. 1250 24TH STREET N.W. WASHINGTON, DC 20090	UNRESTRICTED	PUBLIC CHARITY	4,000.
YALE CLUB & SCHOLARSHIP FDTN OF HARTFORD, INC. P.O. BOX 1052 FARMINGTON, CT 06034	UNRESTRICTED	PUBLIC CHARITY	4,000.
YMCA OF METROPOLITAN HARTFORD, INC. 241 TRUMBULL STREET HARTFORD, CT 06103	UNRESTRICTED	PUBLIC CHARITY	7,000.

KATHARINE K. MCLANE AND HENRY R. MCLANE

06-6537915

YMCA OF METROPOLITAN HARTFORD,  
INC.  
241 TRUMBULL STREET HARTFORD,  
CT 06103

CAPITAL CAMPAIGN

PUBLIC  
CHARITY

25,000.

OUR COMPANIONS  
P.O BOX 673 BLOOMFIELD, CT  
06002

CAPITAL CAMPAIGN

PUBLIC  
CHARITY

10,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

198,000.

# Gains and Losses on Sale/Redemption of Principal Assets

EKG - 04/30/2010 15:30:35

Account: T0885 Katharine & Henry McLane Charitable Tr.

Begin Date: 01/01/2009

End Date: 12/31/2009

Date Acquired	Date of Sale/ Redemption	Description of Asset	Sales Proceeds	Inventory Value	Gain/Loss
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## SHORT TERM GAIN/LOSS:

### SALES:

03/26/2009	04/16/2009	Bank of America Corp. 200.0000 Shares	1,873.95	1,484.00	389.95
03/26/2009	05/01/2009	Bank of America Corp. 300.0000 Shares	2,394.70	2,226.00	168.70
09/09/2009	11/04/2009	Monsanto Co 1,000.0000 Shares	68,932.03	81,924.00	-12,991.97

### Total SALES

=====

73,200.68

=====

85,634.00

=====

-12,433.32

=====

## Total SHORT TERM GAIN/LOSS

=====

73,200.68

=====

85,634.00

=====

-12,433.32

=====

ADJUSTED LOSS CARRY FORWARD: -12,433.32

## LONG TERM GAIN/LOSS:

### SALES:

05/11/2007	02/20/2009	Bank of America Corp. 2,000.0000 Shares	9,999.94	102,860.00	-92,860.06
05/11/2007	02/24/2009	Bank of America Corp. 1,500.0000 Shares	5,927.35	77,145.00	-71,217.65
09/18/2007	02/26/2009	NYSE Euronext 500.0000 Shares	8,324.98	34,526.45	-26,201.47

## LOSS CARRY FORWARD:

0.00

# Gains and Losses on Sale/Redemption of Principal Assets

EKG - 04/30/2010 15:30:35

Account: T0885 Katharine & Henry McLane Charitable Tr.

Begin Date: 01/01/2009

End Date: 12/31/2009

Date Acquired	Date of Sale/ Redemption	Description of Asset	Sales Proceeds	Inventory Value	Gain/Loss
<b>LONG TERM GAIN/LOSS:</b>			<b>LOSS CARRY FORWARD:</b>		
<b>SALES:</b>					0.00
11/21/2003	05/06/2009	Wells Fargo & Company NEW 3,400 0000 Shares	67,753.79	96,084.00	-28,330.21
09/18/2007	06/08/2009	NYSE Euronext 500.0000 Shares	14,780.16	34,526.45	-19,746.29
08/16/2006	09/09/2009	Anadarko Petroleum Corporation 500 0000 Shares	27,285.29	23,199.00	4,086.29
06/01/2007	09/09/2009	Devon Energy Corp New 600.0000 Shares	36,810.67	45,930.00	-9,119.33
11/21/2003	09/09/2009	Exxon Mobil Corporation 2,000.0000 Shares	136,861.28	70,860.00	66,001.28
06/20/2006	09/09/2009	Medtronic, Inc. 1,000 0000 Shares	37,941.02	50,092.50	-12,151.48
08/16/2006	09/09/2009	Medtronic, Inc. 1,000.0000 Shares	37,941.02	43,148.00	-5,206.98
11/21/2003	09/09/2009	PepsiCo Inc. 2,000 0000 Shares	112,145.11	94,871.00	17,274.11
11/21/2003	11/20/2009	Johnson & Johnson 400 0000 Shares	24,883.75	20,419.20	4,464.55
09/18/2006	11/20/2009	Suncor Energy Inc. New 600.0000 Shares	21,780.04	20,530.71	1,249.33
01/25/2007	11/20/2009	Suncor Energy Inc. New 600.0000 Shares	21,780.03	22,088.97	-308.94

# Gains and Losses on Sale/Redemption of Principal Assets

EKG - 04/30/2010 15:30:35

Account: T0885 Katharine & Henry McLane Charitable Tr.

Begin Date: 01/01/2009

End Date: 12/31/2009

Date Acquired	Date of Sale/ Redemption	Description of Asset	Sales Proceeds	Inventory Value	Gain/Loss
<b>LONG TERM GAIN/LOSS:</b>					
<b>SALES:</b>					
11/21/2003	11/20/2009	Walgreen Company 100.0000 Shares	3,914.99	3,570.00	344.99
11/21/2003	11/20/2009	Walgreen Company 900.0000 Shares	35,235.00	32,130.00	3,105.00
<b>Total SALES</b>			603,364.42	771,981.28	-168,616.86
<b>Total LONG TERM GAIN/LOSS</b>			603,364.42	771,981.28	-168,616.86
			<b>ADJUSTED LOSS CARRY FORWARD:</b>		-168,616.86
			<b>LOSS CARRY FORWARD:</b>		0.00