Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE LARRY W MCCURDY FAMILY FOUNDATION

A Employer identification number: 06-6502822

Number and street (or P O box number if mail is not delivered to street address): 116 RANDI DRIVE — Room/suite:

B Telephone number (see page 10 of the instructions): (203) 245-4812

City or town, state, and ZIP code: MADISON, CT 06443-2462

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,372,018.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) ______ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,500.			
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	39,555.	39,555.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-197,519.			
	b Gross sales price for all assets on line 6a 310,101.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	-155,464.	39,555.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 2	2,500.	1,250.	0.	1,250.
	c Other professional fees (attach schedule) *	8,627.	8,627.		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) **	985.	985.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	12,112.	10,862.	0.	1,250.
	25 Contributions, gifts, grants paid	72,000.			72,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	84,112.	10,862.	0.	73,250.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-239,576.			
	b **Net investment income** (if negative, enter -0-)		28,693.		
	c **Adjusted net income** (if negative, enter -0-)			-0-	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing	102,640.	66,532.	66,532.
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less· allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) ATCH 5	1,060,227.	1,305,486.	1,305,486.
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,162,867.	1,372,018.	1,372,018.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds	1,162,867.	1,372,018.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30	**Total net assets or fund balances** (see page 17 of the instructions)	1,162,867.	1,372,018.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,162,867.	1,372,018.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,162,867.
2	Enter amount from Part I, line 27a	2	-239,576.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 6	3	448,727.
4	Add lines 1, 2, and 3	4	1,372,018.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,372,018.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-197,519.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	96,225.	1,560,791.	0.061651
2007	111,648.	2,015,524.	0.055394
2006	67,000.	1,492,096.	0.044903
2005	69,591.	1,435,513.	0.048478
2004	84,798.	1,430,093.	0.059295

2	**Total** of line 1, column (d)	2	0.269721
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053944
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,248,431.
5	Multiply line 4 by line 3	5	67,345.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	287.
7	Add lines 5 and 6	7	67,632.
8	Enter qualifying distributions from Part XII, line 4	8	73,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter _____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	287.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	287.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	287.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 2,725.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	2,725.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,438.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 2,438. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CT,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		

Website address ▶ N/A

14 The books are in care of ▶ LARRY W MCCURDY Telephone no ▶ 203-245-4812
Located at ▶ 116 RANDI DRIVE, MADISON, CT ZIP + 4 ▶ 06443-2462

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**	X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**	X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,182,857.
b	Average of monthly cash balances	1b	84,586.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,267,443.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,267,443.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	19,012.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,248,431.
6	**Minimum investment return.** Enter 5% of line 5	6	62,422.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	62,422.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	287.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	287.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	62,135.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	62,135.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	62,135.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	73,250.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	73,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	287.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	72,963.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				62,135.
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only			10,851.	
b	Total for prior years: 20 07 ,20 06 ,20 05				
3	Excess distributions carryover, if any, to 2009				
a	From 2004				
b	From 2005				
c	From 2006				
d	From 2007				
e	From 2008 0.				
f	**Total** of lines 3a through e	0.			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 73,250.				
a	Applied to 2008, but not more than line 2a			10,851.	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2009 distributable amount				62,135.
e	Remaining amount distributed out of corpus	264.			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	264.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f	Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	264.			
10	Analysis of line 9				
a	Excess from 2005				
b	Excess from 2006				
c	Excess from 2007				
d	Excess from 2008 0.				
e	Excess from 2009 264.				

Form **990-PF** (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

LARRY W MCCURDY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

ATTACHMENT 8

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST

c Any submission deadlines.

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATTACHMENT 9				
Total . . . . ▶ **3a**				72,000.
b Approved for future payment				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	39,555.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-197,519.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue. a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				-157,964.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 -157,964.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions.			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Larry W. McCarthy | 5/11/10 | Trustee

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date MAY 10 2010	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions) P00368409
Firm's name (or yours if self-employed), address, and ZIP code	PRICEWATERHOUSECOOPERS LLP 185 ASYLUM STREET, SUITE 2400 HARTFORD, CT 06103-3404	EIN ▶ 13-4008324	Phone no. 860-241-7000

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property / Description	P or D	Date acquired	Date sold	Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)
UBS #45688 CAPITAL GAIN DISTRIBUTIONS PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS							1.
UBS #45690 CAPITAL GAIN DISTRIBUTIONS PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS							11.
UBS #45688 NONDIVIDEND DISTRIBUTIONS PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS							56.
UBS #45689 NONDIVIDEND DISTRIBUTIONS PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS							1.
UBS #45690 NONDIVIDEND DISTRIBUTIONS PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS							214.
UBS #45687 - SEE ATTACHED PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS	50,995.		109,633.				-58,638.
UBS #45688 - SEE ATTACHED PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS	2,707.		5,318.				-2,611.
UBS #45688 - SEE ATTACHED PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS	43,341.		94,047.				-50,706.
UBS #45689 - SEE ATTACHED PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS	2,330.		3,245.				-915.
UBS #45689 - SEE ATTACHED PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS	25,714.		56,631.				-30,917.
UBS #45690 - SEE ATTACHED PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS	69,643.		76,842.				-7,199.
UBS #45690 - SEE ATTACHED PROPERTY TYPE: SECURITIES	P	VARIOUS	VARIOUS	115,371.		162,187.				-46,816.
TOTAL GAIN(LOSS)										-197,519.

Your Financial Advisor or Contact
J. MAHONEY/M LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2009 Consolidated Form 1099

P01L018623-X1

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Long-term Gain/Loss:	$ 109,633.46	$ 50,994.75	$	$ -58,638.71	$ -58,638.71
Sub Total:	$ 109,633.46	$ 50,994.75	$	$ -58,638.71	$ -58,638.71
Total:	$ 109,633.46	$ 50,994.75	$	$ -58,638.71	$ -58,638.71

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UBS DYNAMIC ALPHA FUND	Trade	3,697.395		01/31/05	01/26/09	17,930.52	36,905.24	-18,974.72		Y
CLASS A	Trade	2,112.896		08/19/05	01/26/09	10,246.49	22,148.39	-11,901.90		Y
	Trade	1,964 453		11/16/05	01/26/09	9,526.62	21,005.24	-11,478.62		Y
	Trade	2,740.720		12/08/05	01/26/09	13,291.12	29,574 59	-16,283.47		Y
Total Long-Term Gain/Loss						50,994.75	109,633.46	-58,638.71		

UBS Financial Services Inc.

2009 Consolidated Form 1099

P01L018833-X1

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LAS VEGAS SANDS CORP	Trade	35 000		08/18/08	03/09/09	60.65	1,820.79	-1,760.14		Y
VISA INC CL A	Trade	47 000		07/21/08	02/24/09	2,646.10	3,497.43	-851.33		Y
Total Short-Term Gain/Loss						**2,706.75**	**5,318.22**	**-2,611.47**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AOL INC	Trade	8.000		08/28/08	12/23/09	187.24	220.38	-33.14 *		Y
	Trade	17.000		08/29/08	12/23/09	397.87	469.47	-71 60 *		Y
BURLINGTON NTHN SANTA FE CORP	Trade	57.000		06/03/08	11/25/09	5,602.31	6,393.33	-791.02		Y
	Trade	57.000		06/03/08	11/27/09	5,600.28	6,393.33	-793.05		Y
CNOOC LTD SPON ADR	Trade	60.000		10/11/07	Various	4,630.54	10,637 63	-6,007.09		Y
LAS VEGAS SANDS CORP	Trade	130.000		10/11/07	03/09/09	225.25	18,636.13	-18,410.88		Y
NV ENERGY INC	Trade	1,760.000		10/11/07	05/01/09	18,197.93	28,752.99	-10,555.06		Y
NYSE EURONEXT	Trade	167.000		10/11/07	03/10/09	2,693.07	14,179.22	-11,486.15		Y
UNION PACIFIC CORP	Trade	103.000		06/05/08	10/30/09	5,692.37	8,364.62	-2,672.25		Y
Rio Tinto PLC	Redemption				07/17/09	114.03	0.00	114.03		
Total Long-Term Gain/Loss						**43,340.89**	**94,047.10**	**-50,706.21**		

Your Financial Advisor or Contact
J. MAHONEY/M LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2009 Consolidated Form 1099

P01L018648-X16

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 3,244.93	$ 2,329.69	$ 588.29	$ -1,503.53	$ -915.24
Long-term Gain/Loss:	56,631.41	25,713.90	822.09	-31,739.60	-30,917.51
Sub Total:	$ 59,876.34	$ 28,043.59	$ 1,410.38	$ -33,243.13	$ -31,832.75
Total:	$ 59,876.34	$ 28,043.59	$ 1,410.38	$ -33,243.13	$ -31,832.75

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HSBC HOLDINGS PLC NEW GB SPON ADR	Trade	15.000		03/31/09	11/09/09	865.60	277.31	588.29		Y
SLM CORP VTG	Trade	90.000		09/04/08	01/16/09	1,061.18	1,483 81	-422.63		Y
	Trade	90.000		09/04/08	03/20/09	402.91	1,483.81	-1,080.90		Y
Total Short-Term Gain/Loss						2,329.69	3,244.93	-915.24		

UBS Financial Services Inc.

2009 Consolidated Form 1099

P01L018649-X16

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ASTRAZENECA PLC SPON ADR	Trade	45.000		05/24/07	07/07/09	1,959.14	2,412.16	-453.02		Y
BOSTON SCIENTIFIC CORP	Trade	115 000		09/22/06	07/27/09	1,207.72	1,698 25	-490.53		Y
CITIGROUP INC	Trade	90.000		11/01/07	03/30/09	213.75	3,476.21	-3,262 46		Y
	Trade	75 000		11/27/07	03/30/09	178.12	2,248.20	-2,070.08		Y
EASTMAN KODAK CO	Trade	160.000		08/19/05	08/25/09	724.97	4,042.22	-3,317 25		Y
	Trade	140.000		05/24/07	08/25/09	634 35	3,587.58	-2,953.23		Y
FAIRPOINT COMMUNICATIONS INC	Trade	1 000		07/15/05	03/30/09	.64	8.22	-7.58		Y
	Trade	1 000		10/28/05	03/30/09	.64	7.47	-6.83		Y
FORD MOTOR CO COM NEW	Trade	103.000		11/23/05	03/18/09	257.40	860.44	-603.04		Y
	Trade	260 000		03/03/06	03/18/09	649.73	1,968.95	-1,319 22		Y
GANNETT CO	Trade	110.000		08/25/06	08/13/09	888.75	6,076.93	-5,188.18		Y
	Trade	30.000		05/02/07	08/13/09	242.39	1,741.53	-1,499.14		Y
HOME DEPOT INC	Trade	40.000		10/31/07	04/20/09	1,009.86	1,250.83	-240.97		Y
	Trade	15.000		10/31/07	06/26/09	356.86	469.06	-112.20		Y
	Trade	45.000		10/31/07	08/14/09	1,222.21	1,407.19	-184.98		Y
HSBC HOLDINGS PLC NEW GB SPON ADR	Trade	1.000		05/07/08	11/09/09	57 71	83.24	-25.53		Y
	Trade	35 000		06/18/07	11/09/09	2,019.74	3,252.07	-1,232.33		Y
KT CORP SPON ADR	Trade	35.000		02/22/02	02/26/09	437.46	723.85	-286.39		Y
MICRON TECHNOLOGY INC	Trade	55.000		08/17/04	12/11/09	474.46	615.20	-140.74		Y
	Trade	160 000		08/17/04	12/16/09	1,432.89	1,789.66	-356.77		Y
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	Trade	40.000		05/01/01	04/09/09	203.16	426.42	-223.26		Y
	Trade	245.000		03/01/02	04/09/09	1,244.37	1,509 45	-265.08		Y
MOTOROLA INC	Trade	155 000		07/10/07	09/15/09	1,409.14	2,731.38	-1,322.24		Y
NORTEL NETWORKS CORP NEW (HOLDING CO) (NEW)	Trade	18.000		03/29/05	01/22/09	1 47	497.43	-495.96		Y
	Trade	60.000		05/13/05	01/22/09	4.89	1,570.20	-1,565.31		Y

Continued on page 16

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SANOFI-AVENTIS SA SPON ADR	Trade	35.000		01/28/05	04/29/09	994.46	1,310.10	-315.64		Y
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	Trade	270.000		09/14/07	04/06/09	976.39	1,885.25	-908.86		Y
TELMEX INTERNACIONAL SAB DE CV SER L SPON ADR	Trade	·60.000		04/10/01	09/17/09	874.95	441.90	433.05		Y
UNISYS CORP **REVERSE SPLIT EFF:10/2009**	Trade	230.000		04/10/01	08/07/09	492.82	3,385.60	-2,892.78		Y
WYETH ***MERGER EFF· 10/09***	Exchange	110.000		08/29/07	10/16/09	5,543.46	5,154.42	389.04		Y
Vivo Participacoes SA	Redemption				04/03/09	0.24	0.00	0.24		
Total Long-Term Gain/Loss						25,714.14	56,631.41	30,917.27		

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/07/10

C12G016620-X12

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ADC TELECOMMUNICATIONS INC NEW	Trade	21.000		06/16/09	12/17/09	127.49	168.83	-41.34		Y
	Trade	26.000		06/17/09	12/17/09	157.85	206.46	-48.61		Y
	Trade	26.000		06/18/09	12/17/09	157.85	200.05	-42.20		Y
	Trade	40.000		08/12/09	12/17/09	242.84	358.00	-115.16		Y
AETNA INC	Trade	24.000		09/12/08	01/05/09	695.99	1,017.60	-321.61		Y
ALLSTATE CORP	Trade	8.000		11/05/08	07/16/09	195.34	232.00	-36.66		Y
ALTRIA GROUP INC	Trade	25.000		11/26/08	03/05/09	392.35	394.71	-2.36		Y
	Trade	15.000		11/26/08	03/16/09	254 74	236.83	17.91		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMERICAN PUBLIC EDUCATION INC	Trade	5.000		01/21/09	10/29/09	161.93	190.24	-28.31		Y
ANADARKO PETROLEUM CORP	Trade	3.000		07/02/08	05/01/09	136.02	217.65	-81.63		Y
	Trade	5.000		07/02/08	06/09/09	242.55	362.75	-120.20		Y
	Trade	7 000		07/23/08	06/09/09	339.57	430.47	-90.90		Y
AOL INC	Trade	1 000		06/03/09	12/14/09	26.22	18.04	8.18	*	Y
ASBURY AUTOMOTIVE GROUP INC	Trade	16.000		06/12/09	10/30/09	162.03	184.42	-22.39		Y
	Trade	11.000		06/15/09	10/30/09	111.40	120.52	-9.12		Y
	Trade	17.000		06/15/09	11/02/09	174.66	186.26	-11.60		Y
	Trade	12 000		08/25/09	11/02/09	123.29	155.41	-32.12		Y
BAKER HUGHES INC	Trade	9.000		09/19/08	06/26/09	333.18	608.36	-275 18		Y
	Trade	21.000		10/17/08	06/26/09	777.43	744.51	32.92		Y
	Trade	3.000		10/17/08	06/26/09	111.06	100.46	10.60		Y
CA INC	Trade	43.000		03/27/09	09/02/09	868.68	741.75	126.93		Y
	Trade	12.000		03/27/09	09/02/09	242.42	207.59	34.83		Y
	Trade	25.000		04/06/09	09/02/09	505 05	431.63	73.42		Y
	Trade	30 000		04/07/09	09/02/09	606.05	520.77	85.28		Y
CALIF WTR SERVICES GROUP HLDG CO DEL	Trade	10.000		12/19/08	05/06/09	367.71	451.21	-83.50		Y
	Trade	6.000		12/24/08	05/06/09	220.63	269.41	-48.78		Y
	Trade	4.000		12/24/08	05/07/09	147.27	179.61	-32.34		Y
CHART INDUSTRIES INC	Trade	14.000		05/19/09	11/11/09	247.48	295.21	-47.73		Y
	Trade	12.000		06/03/09	11/11/09	212.12	269.58	-57.46		Y
COLGATE PALMOLIVE CO	Trade	7.000		05/08/09	07/06/09	520 20	432.70	87.50		Y
	Trade	3.000		05/08/09	10/26/09	235.00	185.44	49.56		Y
	Trade	8.000		05/08/09	11/19/09	673.17	494.51	178.66		Y
	Trade	17.000		05/11/09	11/19/09	1,430.50	1,055.82	374 68		Y
COMMVAULT SYSTEMS INC	Trade	21.000		08/15/08	05/15/09	227.86	368.13	-140.27		Y
	Trade	24 000		08/28/08	05/15/09	260.41	393.56	-133.15		Y
	Trade	24.000		09/03/08	05/15/09	260.41	403.19	-142.78		Y

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J. MAHONEY/M. LAVIGNE
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
COMMVAULT SYSTEMS INC	Trade	5.000		09/05/08	05/15/09	54.25	80.51	-26.26		Y
	Trade	15.000		09/08/08	05/15/09	162.76	240.73	-77.97		Y
CONAGRA FOOD INC	Trade	30.000		07/01/08	02/12/09	488.43	597.00	-108 57		Y
CONMED CORP	Trade	10.000		08/28/08	01/06/09	170.72	319.84	-149.12		Y
	Trade	8.000		09/02/08	01/06/09	136.57	255.99	-119.42		Y
DATA DOMAIN INC	Trade	22.000		11/20/08	05/21/09	527.79	362.24	165.55		Y
***MERGER EFF:	Trade	22.000		11/25/08	05/21/09	527.79	363.99	163.80		Y
07/2009***										
DIAMOND FOODS INC	Trade	12.000		06/26/08	05/08/09	323.49	258.45	65.04		Y
	Trade	10.000		07/09/08	05/06/09	269.57	247.18	22.39		Y
	Trade	9.000		07/23/08	05/06/09	242.61	214.16	28.45		Y
	Trade	5.000		07/23/08	05/07/09	128.51	118.98	9.53		Y
	Trade	14 000		10/02/08	05/07/09	359.83	401.83	-42.00		Y
DIONEX CORP	Trade	5.000		02/06/08	01/05/09	226.10	387.02	-160 92		Y
	Trade	1.000		02/07/08	01/05/09	45.22	77.62	-32.40		Y
	Trade	4.000		02/07/08	01/13/09	176.50	310.49	-133.99		Y
	Trade	5.000		02/11/08	01/13/09	220.63	390.35	-169.72		Y
DU PONT DE NEMOURS	Trade	15 000		06/11/09	10/27/09	495.01	408.44	86.57		Y
DYCOM INDUSTRIES INC	Trade	14.000		06/10/09	08/28/09	162.75	163.07	-.32		Y
	Trade	12.000		06/11/09	08/28/09	139.50	143.78	-4.28		Y
	Trade	4.000		06/11/09	08/31/09	45 17	47.93	-2.76		Y
	Trade	8.000		06/11/09	08/31/09	90 33	96.28	-5 95		Y
	Trade	5.000		06/12/09	08/31/09	56 46	57.93	-1.47		Y
	Trade	14.000		06/24/09	08/31/09	158.08	153.89	4 19		Y
EL PASO CORP	Trade	27.000		04/01/08	02/25/09	195.90	453.47	-257.57		Y
	Trade	13.000		04/01/08	02/26/09	95.88	218.34	-122 46		Y
	Trade	5.000		07/25/08	02/26/09	36.88	88.50	-51.62		Y
	Trade	55 000		08/05/08	02/26/09	405.64	926.23	-520.59		Y
	Trade	45 000		10/09/08	02/26/09	331.89	392.56	-60.67		Y

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Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ELECTRONIC ARTS	Trade	25.000		02/20/09	05/12/09	494.98	408.67	86.31		Y
	Trade	30.000		02/20/09	05/26/09	668.39	490.40	177.99		Y
	Trade	15.000		02/23/09	05/26/09	334.19	243.47	90.72		Y
	Trade	17.000		02/23/09	06/03/09	384.14	272.85	111.29		Y
	Trade	9.000		02/23/09	06/03/09	203.37	145.80	57.57		Y
	Trade	9.000		02/23/09	06/03/09	203.37	146.08	57.29		Y
EMERGENT BIOSOLUTIONS INC	Trade	15.000		10/10/08	04/17/09	172.20	185.12	-12.92		Y
	Trade	12 000		10/14/08	04/17/09	137.76	179.90	-42.14		Y
	Trade	12.000		10/27/08	04/17/09	137.76	178.20	-40.44		Y
ENTERGY CORP NEW	Trade	9 000		02/25/09	10/19/09	729.47	620.41	109.06		Y
EQUITY LIFESTYLE PROPERTIES INC REIT	Trade	7.000		12/09/08	10/09/09	318.20	248.19	70.01		Y
GEMALTO NV "LIQUIDATION EFF: 11/09" ADR	Trade	41.000		03/12/09	04/21/09	629.85	477.80	152.05		Y
GYMBOREE CORP	Trade	18.000		05/19/09	10/08/09	753.78	580.48	173.30		Y
HACHIJUNI BANK LTD ADR	Trade	7 000		06/19/08	03/23/09	413.10	458.47	-45.37		Y
HALLIBURTON CO (HOLDING COMPANY)	Trade	11.000		09/08/08	03/23/09	197.45	420.60	-223.15		Y
	Trade	4.000		09/08/08	09/08/09	100.00	152.94	-52.94		Y
	Trade	14.000		10/15/08	09/08/09	350.02	256.91	93.11		Y
	Trade	27.000		10/15/08	09/25/09	723.24	495.47	227.77		Y
HOT TOPIC INC	Trade	24.000		01/07/09	08/24/09	176.89	215.94	-39.05		Y
	Trade	12.000		01/08/09	08/24/09	88.45	109.71	-21.26		Y
	Trade	11.000		01/08/09	08/25/09	80.85	100.57	-19.72		Y
	Trade	23.000		02/06/09	08/25/09	169.04	218.39	-49.35		Y
HUMANA INC	Trade	14.000		04/30/08	02/02/09	564.88	672.00	-107.12		Y
	Trade	18.000		04/30/08	04/28/09	521.30	864.00	-342.70		Y
	Trade	3.000		04/30/08	04/30/09	87.57	144.00	-56.43		Y
	Trade	12.000		05/07/08	04/30/09	350.28	561.23	-210.95		Y
	Trade	3.000		05/07/08	05/05/09	88.69	140.31	-51.62		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HUMANA INC	Trade	25.000		05/19/08	05/05/09	739.11	1,121.43	-382.32		Y
	Trade	6.000		10/15/08	05/05/09	177.39	220.78	-43.39		Y
INVESTORS BANCORP INC (DELA)	Trade	20.000		12/31/08	02/20/09	146.35	266.18	-119.83		Y
JAMES RIVER COAL NEW	Trade	14.000		05/12/09	10/06/09	280 17	303.24	-23 07		Y
KBR INC	Trade	18.000		05/11/09	09/09/09	423.51	317.04	106 47		Y
KLA -TENCOR CORP	Trade	40.000		08/19/08	03/24/09	820.29	1,539.33	-719.04		Y
	Trade	35.000		09/03/08	03/24/09	717.76	1,240.40	-522.64		Y
L M ERICSSON TELEFON CO SEK 10 NEW 2002 ADR	Trade	86.000		03/19/08	02/02/09	668.69	749.83	-81.14		Y
LEXINGTON REALY TRUST SBI	Trade	2.000		Earnings	04/30/09	8.17	6.40	1.77		Y
LIHIR GOLD LTD SPON ADR	Trade	7.000		07/29/08	05/20/09	160.87	194.11	-33.24		Y
	Trade	26.000		07/29/08	05/29/09	675.04	720.97	-45.93		Y
LIMITED BRANDS INC CL A	Trade	60.000		02/12/09	09/23/09	1,057.42	502.82	554.60		Y
LONMIN PLC NEW SPON ADR	Trade	10.000		08/04/08	04/03/09	223.81	447.24	-223.43		Y
	Trade	15.000		09/23/08	04/03/09	335.72	710 76	-375.04		Y
MATTEL INC	Trade	25.000		01/15/09	04/21/09	371.19	377.41	-6.22		Y
	Trade	25.000		01/15/09	07/14/09	389.48	377.41	12.07		Y
	Trade	70.000		01/15/09	09/10/09	1,279.34	1,056.74	222.60		Y
	Trade	35.000		02/05/09	09/10/09	639.67	437.52	202.15		Y
MICROSOFT CORP	Trade	16.000		05/12/09	10/30/09	444.78	318.55	126.23		Y
NASDAQ OMX GROUP (THE)	Trade	25.000		10/02/08	04/21/09	453.53	797.99	-344.46		Y
	Trade	15.000		10/02/08	05/14/09	267.77	478.79	-211.02		Y
	Trade	16.000		10/09/08	05/14/09	285.62	446.40	-160.78		Y
	Trade	35.000		11/18/08	05/14/09	624.80	669.63	-44.83		Y

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Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NASDAQ OMX GROUP (THE)	Trade	15.000		03/19/09	05/14/09	267.77	326.66	-58.89		Y
NATIONAL CINEMEDIA INC	Trade	21.000		12/26/08	05/15/09	252.71	181.40	71.31		Y
	Trade	5.000		01/12/09	05/15/09	60.17	46.79	13.38		Y
	Trade	13.000		01/12/09	05/19/09	154.05	121.65	32.40		Y
	Trade	21.000		02/03/09	05/19/09	248.86	254.34	-5.48		Y
NETFLIX.COM INC	Trade	1.000		08/05/08	04/22/09	46.93	29.87	17.06		Y
NEWS CORP INC DELA CL A	Trade	35.000		09/12/08	04/21/09	273.69	489.76	-216.07		Y
	Trade	65.000		09/12/08	05/08/09	624.66	909.55	-284.89		Y
	Trade	30.000		09/15/08	05/08/09	288.30	413.06	-124.76		Y
	Trade	70.000		09/15/08	06/02/09	739.08	963.80	-224.72		Y
NOKIA CORP SPONS ADR FINLAND ADR	Trade	36.000		02/02/09	04/23/09	537.51	435.04	102.47		Y
NOVARTIS AG SPON ADR	Trade	19.000		02/13/09	12/02/09	1,068.62	815.08	253.54		Y
NOVEN PHARMACEUTICALS INC**MERGER EFF 08/2009	Trade	25.000		12/31/08	07/21/09	410.65	274.90	135.75		Y
PEABODY ENERGY CORP	Trade	8.000		06/29/09	10/21/09	358.93	245.76	113.17		Y
	Trade	5.000		06/29/09	11/05/09	202.90	153.60	49.30		Y
	Trade	5.000		06/29/09	12/15/09	217.43	153.60	63.83		Y
	Trade	23.000		09/25/09	12/15/09	1,000.20	680.77	319.43		Y
	Trade	25.000		10/01/09	12/15/09	1,087.17	739.97	347.20		Y
	Trade	5.000		11/05/09	12/15/09	217.43	147.99	69.44		Y
PETRO-CANADA COM STOCK**MEREGR EFF 07/2009**	Trade	14.000		06/06/08	02/02/09	302.11	826.03	-523.92		Y
	Trade	24.000		07/02/08	02/02/09	517.91	1,334.30	-816.39		Y
	Trade	5.000		07/16/08	02/02/09	107.90	245.04	-137.14		Y
PROMISE CO LTD UNSPON ADR	Trade	74 000		08/28/08	08/17/09	349.78	848.67	-498.89		Y
REHABCARE GROUP INC	Trade	10.000		03/24/09	08/19/09	212.65	177.47	35.18		Y
	Trade	1.000		03/25/09	08/19/09	21.26	17.66	3.60		Y

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Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
REHABCARE GROUP INC	Trade	4.000		03/25/09	08/27/09	85.41	70.63	14.78		Y
	Trade	6.000		03/25/09	08/28/09	126 22	105.94	20.28		Y
ROHM CO LTD ADR	Trade	10.000		01/16/09	07/01/09	356.21	248.55	107.66		Y
SIGMA DESIGNS INC	Trade	29 000		03/05/09	08/27/09	424.60	448 39	-23.79		Y
SILVER STD RES INC	Trade	29.000		06/12/08	03/23/09	467.65	808.41	-340.76		Y
SOCIETE GENERALE FRANCE SPONS ADR ADR	Trade	41.000		10/20/08	10/02/09	629.87	464.20	165.67		Y
STARENT NETWORKS CORP **MERGER EFF: 12/09**	Trade	10.000		04/28/09	10/09/09	280.14	191 77	88.37		Y
	Trade	25.000		04/28/09	10/27/09	842.99	479.43	363.56		Y
	Trade	23.000		05/04/09	10/27/09	775.56	453.83	321.73		Y
STEWART INFORMATION SVCS CORP	Trade	14.000		03/24/09	06/25/09	202.44	264.13	-61.69		Y
	Trade	2.000		03/27/09	06/25/09	28.92	37.91	-8.99		Y
	Trade	11.000		03/27/09	07/14/09	160.70	208.49	-47.79		Y
STORA ENSO OYJ REPSTG SER R SHS SPON ADR	Trade	70.000		06/03/09	09/16/09	514.97	438.26	76.71		Y
SUNCOR ENERGY INC NEW	Trade	21.000		01/28/09	08/20/09	668.99	429.00	239.99		Y
	Trade	20.000		01/28/09	10/01/09	670.06	408.58	261.48		Y
SUNCOR INC **MERGER EFF: 07/2009**	Trade	15.000		07/23/08	07/15/09	439.44	807.33	-367.89		Y
SUPERIOR WELL SERVICES INC	Trade	16.000		09/12/08	06/11/09	143.08	449.49	-306.41		Y
	Trade	3.000		09/17/08	06/11/09	26.83	75.78	-48.95		Y
	Trade	12.000		09/17/08	06/15/09	108.44	303.11	-194.67		Y
	Trade	4.000		09/19/08	06/15/09	36.15	111.33	-75.18		Y
	Trade	9.000		09/19/08	07/01/09	55.70	250.49	-194.79		Y
	Trade	6.000		09/29/08	07/01/09	37.13	138.60	-101.47		Y
	Trade	5.000		09/29/08	07/02/09	30.44	115.50	-85 06		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SWITCH & DATA FACILITIES CO INC	Trade	27.000		04/08/09	11/04/09	477 43	256.07	221.36		Y
SYNAPTICS INC	Trade	11.000		04/30/09	10/06/09	251.88	358.85	-106.97		Y
	Trade	7.000		04/30/09	10/29/09	161.07	228.36	-67.29		Y
	Trade	4.000		05/01/09	10/29/09	92.04	129.30	-37.26		Y
	Trade	10.000		05/05/09	10/29/09	230.10	319.08	-88.98		Y
TDK CORP ADR JAPAN ADR	Trade	9.000		07/03/08	01/16/09	338.36	549.85	-211.49		Y
	Trade	5.000		10/23/08	08/03/09	264.42	164.98	99.44		Y
	Trade	15.000		10/23/08	08/24/09	865.06	494.94	370.12		Y
TECHNIP SA NEW SPON ADR	Trade	2.000		09/08/08	05/07/09	89.99	142.63	-52.64		Y
	Trade	10.000		09/08/08	07/24/09	595.85	713.13	-117.28		Y
	Trade	7.000		11/20/08	07/24/09	417.10	168.92	248.18		Y
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	Trade	12.000		08/11/08	03/05/09	520.24	560.30	-40.06		Y
TIME WARNER CABLE INC	Trade	10.000		09/15/08	04/09/09	271.99	413.72	-141.73		Y
	Trade	8.000		09/17/08	04/09/09	217.59	336.93	-119.34		Y
	Trade	3.000		09/25/08	04/09/09	81.60	126.83	-45.23		Y
	Trade	4.000		10/14/08	04/09/09	108.80	120.32	-11.52		Y
TIME WARNER INC NEW	Trade	26.000		09/15/08	08/27/09	738.05	837.23	-99.18		Y
TNS INC	Trade	3.000		04/08/08	03/03/09	21.18	64.51	-43 33		Y
	Trade	16.000		04/08/08	03/04/09	101.15	344.06	-242.91		Y
	Trade	5.000		04/08/08	03/19/09	36.46	107 52	-71.06		Y
	Trade	9.000		06/26/08	03/19/09	65.63	204.11	-138.48		Y
	Trade	11.000		06/26/08	03/20/09	82.81	249.47	-166.66		Y
TNT N V SPON ADR	Trade	31.000		04/01/09	10/13/09	845 41	522.03	323.38		Y
TRUE RELIGION APPAREL INC	Trade	44.000		03/13/09	11/04/09	934.79	423.22	511.57		Y
UAL CORP NEW	Trade	32.000		10/22/08	03/20/09	144.91	470.26	-325.35		Y

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Your Financial Advisor or Contact
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203-562-0700/800-922-3237

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UAL CORP NEW	Trade	11 000		01/09/09	03/20/09	49 81	132.77	-82.96		Y
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	Trade	25.000		10/21/08	07/13/09	606.23	643.65	-37 42		Y
UNITED STATES STEEL CORP NEW	Trade	17.000		02/14/08	01/21/09	521.92	1,769.00	-1,247.08		Y
VOCUS INC	Trade	11.000		12/04/08	12/04/09	191.59	214 74	-23.15		Y
VOLCANO CORP	Trade	8.000		11/11/08	08/06/09	120.74	135.12	-14.38		Y
	Trade	8.000		11/11/08	08/07/09	121.47	135.12	-13.65		Y
	Trade	7.000		11/14/08	08/07/09	106 29	124.54	-18.25		Y
	Trade	7.000		11/14/08	08/21/09	101.60	124.54	-22.94		Y
	Trade	15.000		12/08/08	08/21/09	217.72	258.78	-41.06		Y
	Trade	3 000		12/08/08	08/24/09	43.64	51.76	-8.12		Y
WEATHERFORD INTL LTD	Trade	60.000		10/17/08	05/07/09	1,112.35	838.07	274.28		Y
	Trade	40.000		10/17/08	06/09/09	853.51	558.72	294.79		Y
	Trade	35.000		10/17/08	06/12/09	788.58	488.88	299.70		Y
WIND RIVER SYS INC ***MERGER EFF: 07/2009***	Trade	23.000		07/24/08	06/16/09	263.11	270.81	-7.70		Y
	Trade	25.000		07/24/08	06/17/09	286.24	294.36	-8.12		Y
	Trade	25.000		07/24/08	06/18/09	286.24	294.36	-8.12		Y
WPP PLC SPON ADR	Trade	25.000		08/13/08	06/26/09	844.74	1,158.54	-313.80		Y
Total Short-Term Gain/Loss						69,643.01	76,841.65	-7,198.64		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACCENTURE PLC IRELAND CL A	Trade	34.000		02/13/07	10/30/09	1,274 48	1,309.34	-34.88		Y
ACE LTD	Trade	12.000		02/13/07	11/06/09	616.79	696.00	-79.21		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AEROPOSTALE INC	Trade	9.000		03/02/07	03/23/09	227.89	219.68	8.21		Y
	Trade	7.000		03/02/07	07/28/09	252.29	170.86	81.43		Y
	Trade	5.000		03/05/07	07/28/09	180.21	118.51	61.70		Y
	Trade	13.000		03/05/07	08/18/09	460.71	308.12	152.59		Y
AETNA INC	Trade	11.000		09/12/08	11/09/09	323.08	466.40	-143.32		Y
	Trade	5.000		09/17/08	11/09/09	146.86	190.04	-43.18		Y
	Trade	8.000		09/17/08	12/28/09	266.66	304.06	-37.40		Y
AFFILIATED COMPUTER SVCS INC **MERGER EFF: 02/2010 ** CL A	Trade	41.000		02/13/07	11/05/09	2,250.83	2,061.07	189.76		Y
	Trade	32.000		01/22/08	11/05/09	1,756.75	1,384.19	372.56		Y
AFLAC INC	Trade	57.000		02/13/07	03/11/09	762.66	2,722.32	-1,959.66		Y
ALLSTATE CORP	Trade	5.000		02/13/07	01/30/09	109.84	306.00	-196.16		Y
	Trade	15.000		02/13/07	02/02/09	319.71	918.00	-598.29		Y
	Trade	35.000		02/13/07	07/16/09	854.63	2,142.00	-1,287.37		Y
	Trade	30.000		04/05/07	07/16/09	732.54	1,805.62	-1,073.08		Y
	Trade	15.000		05/08/08	07/16/09	366.27	740.77	-374.50		Y
ALNYLAM PHARMACEUTICALS INC	Trade	9.000		05/16/08	09/02/09	196.13	261.57	-65.44		Y
	Trade	6.000		05/16/08	11/09/09	94 37	174.38	-80.01		Y
	Trade	6.000		05/20/08	11/09/09	94.37	176.12	-81.75		Y
	Trade	5.000		05/21/08	11/09/09	78.64	151.06	-72.42		Y
AMERICAN PUBLIC EDUCATION INC	Trade	8.000		08/29/08	10/29/09	259.09	360.00	-100.91		Y
	Trade	6.000		09/03/08	10/29/09	194.32	276.60	-82.28		Y
AMERIPRISE FINANCIAL INC	Trade	6.000		09/23/08	10/12/09	221.11	250.45	-29.34		Y
AMTRUST FINANCIAL SERVICES INC	Trade	6.000		05/23/07	09/16/09	70.00	89.88	-19.88		Y
	Trade	4.000		05/23/07	09/16/09	46.67	59.68	-13.01		Y
	Trade	4.000		06/07/07	09/16/09	46.67	63.72	-17.05		Y
	Trade	7.000		06/07/07	09/16/09	81.67	109.93	-28.26		Y
	Trade	8.000		06/07/07	09/16/09	93 34	127.62	-34.28		Y
	Trade	1.000		06/07/07	09/23/09	11.34	15.93	-4.59		Y
	Trade	2.000		06/07/07	09/24/09	22.38	31.86	-9.48		Y

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Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
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UBS Financial Services Inc.

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMTRUST FINANCIAL SERVICES INC	Trade	6.000		06/07/07	09/24/09	67.15	94.46	-27.31		Y
	Trade	19.000		07/12/07	09/24/09	212.65	380.00	-167.35		Y
ANADARKO PETROLEUM CORP	Trade	1.000		09/07/07	05/01/09	45.34	49.70	-4.36		Y
	Trade	20.000		11/12/07	05/01/09	906.79	1,110.79	-204.00		Y
	Trade	13.000		07/23/08	09/09/09	737.37	799.44	-62.07		Y
	Trade	1.000		08/04/08	09/09/09	56.72	55.00	1.72		Y
ANGLOGOLD ASHANTI LTD NEW SPON ADR	Trade	14.000		10/03/07	02/17/09	439.90	611.46	-171.56		Y
	Trade	8.000		10/03/07	03/11/09	253.50	349.41	-95.91		Y
	Trade	8.000		02/13/08	03/11/09	253.50	277.13	-23.63		Y
	Trade	10.000		02/13/08	03/13/09	341.52	346.42	-4.90		Y
	Trade	5.000		03/04/08	03/13/09	170.76	182.54	-11.78		Y
	Trade	9.000		03/04/08	09/08/09	387.59	328.57	59.02		Y
ANSYS INC	Trade	23 000		02/13/07	06/22/09	718.83	585.24	133.59		Y
AOL INC	Trade	4.000		09/17/08	12/14/09	104.89	92.34	12.55 *		Y
	Trade	1.000		09/25/08	12/14/09	26.22	24.15	2.07 *		Y
	Trade	1.000		10/14/08	12/14/09	26.22	17.18	9.04 *		Y
ARCHER DANIELS MIDLAND CO	Trade	15.000		05/01/07	01/28/09	420.00	548.55	-128.55		Y
	Trade	20.000		06/06/07	01/28/09	559.99	682.40	-122.41		Y
	Trade	4.000		06/13/07	01/28/09	112.00	137.60	-25.60		Y
	Trade	18.000		06/13/07	02/06/09	484.12	619.20	-135.08		Y
	Trade	6.000		06/13/07	03/19/09	168.84	206.40	-37.56		Y
	Trade	22.000		06/13/07	07/15/09	615.01	756.80	-141.79		Y
	Trade	10.000		06/05/08	07/15/09	279.55	384.40	-104.85		Y
	Trade	15.000		07/02/08	07/15/09	419.33	490.80	-71.47		Y
BADGER METER INC	Trade	4.000		04/22/08	12/02/09	141.37	195.31	-53 94		Y
	Trade	7.000		05/01/08	12/02/09	247.40	373.04	-125.64		Y
	Trade	1.000		06/26/08	12/02/09	35.34	47.15	-11.81		Y
	Trade	11.000		06/26/08	12/04/09	402.63	518.68	-116.05		Y
BAKER HUGHES INC	Trade	18.000		02/05/08	06/09/09	716.90	1,152.91	-436.01		Y
	Trade	2.000		02/05/08	06/26/09	74.04	128.10	-54.06		Y
	Trade	5.000		04/10/08	06/26/09	185.10	362.04	-176.94		Y

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Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

Corrected 03/07/10

C12G018631-X12

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BAKER HUGHES INC	Trade	2.000		04/22/08	06/26/09	74 04	162.78	-88.74		Y
BARRICK GOLD CORP	Trade	11.000		02/13/07	10/20/09	416.83	340.12	76.71		Y
	Trade	15.000		02/13/07	11/19/09	656 46	463.80	192.66		Y
BIG LOTS INC	Trade	17.000		02/13/07	03/09/09	310.04	446.42	-136.38		Y
	Trade	33.000		02/13/07	04/03/09	734.35	866 58	-132.23		Y
BLACKBOARD INC	Trade	3.000		05/21/07	06/25/09	82.79	128.23	-45.44		Y
	Trade	8.000		05/21/07	06/25/09	223.93	341.94	-118.01		Y
	Trade	10.000		05/22/07	06/25/09	275.97	407.00	-131.03		Y
	Trade	3.000		06/28/07	06/25/09	82.79	126.20	-43.41		Y
	Trade	8.000		06/28/07	06/26/09	226.71	336.52	-109.81		Y
BP PLC SPON ADR	Trade	4.000		02/23/07	05/19/09	188.84	252.42	-63.58		Y
	Trade	3.000		02/23/07	10/27/09	173.94	189.31	-15.37		Y
	Trade	10.000		02/26/07	10/27/09	579.81	642.29	-62.48		Y
BURLINGTON NTHN SANTA FE CORP**MERGER EFF 02/2010**	Trade	18.000		02/11/08	09/08/09	1,500.98	1,572.22	-71.24		Y
	Trade	2.000		02/28/08	09/08/09	166.78	178.33	-11.55		Y
CALAMOS ASSET MANAGEMENT INC CL A	Trade	10.000		10/10/07	10/29/09	110 09	311.74	-201.65		Y
	Trade	2.000		11/08/07	10/29/09	22.02	64.98	-42.96		Y
	Trade	11.000		11/08/07	11/12/09	121.54	357.37	-235.83		Y
	Trade	3.000		11/12/07	11/12/09	33.15	97.53	-64.38		Y
	Trade	15.000		12/18/07	11/12/09	165.74	435.14	-269.40		Y
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 COM (ELETROBRAS)	Trade	16.000		03/05/07	04/24/09	203.92	159.68	44.24		Y
	Trade	1 000		06/12/07	04/24/09	12.75	13.17	-.42		Y
CF INDUSTRIES HOLDINGS INC	Trade	8.000		03/06/07	06/04/09	636.05	309.76	326.29		Y
COMPASS MINERALS INTL INC	Trade	10.000		02/13/07	02/27/09	531.36	326.40	204 96		Y
	Trade	9.000		02/13/07	05/01/09	437.67	293 76	143.91		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
COMTECH TELECOMMUNICATNS	Trade	8.000		09/26/07	10/08/09	256.12	427.44	-171.32		Y
CORP NEW	Trade	2.000		12/17/07	10/08/09	64.03	103.98	-39.95		Y
CONAGRA FOOD INC	Trade	32.000		02/13/07	02/10/09	528.29	830.40	-302.11		Y
	Trade	18.000		02/28/07	02/10/09	297.17	454.26	-157.09		Y
	Trade	17.000		02/28/07	02/12/09	276 78	429.02	-152.24		Y
CVS CAREMARK CORP	Trade	33.000		02/13/07	07/13/09	1,028.05	1,063.59	-35.54		Y
DAIWA HOUSE IND LTD ADR	Trade	3.000		11/09/07	03/19/09	237.76	361.23	-123.47		Y
JAPAN ADR	Trade	4.000		11/09/07	04/09/09	342.45	481.64	-139.19		Y
	Trade	3.000		01/07/08	06/17/09	304.51	383.98	-79.47		Y
DECKERS OUTDOOR CORP	Trade	3.000		05/09/07	02/03/09	162.47	240.72	-78.25		Y
	Trade	1.000		06/08/07	02/03/09	54.16	91.75	-37.59		Y
	Trade	4.000		06/08/07	02/06/09	228.81	367.01	-138.20		Y
	Trade	3.000		06/26/07	02/06/09	171.60	285 71	-114.11		Y
DIRECTV GROUP INC	Trade	9.000		11/13/07	08/03/09	236.70	224.00	12.70		Y
MERGER EFF: 11/09	Trade	16.000		11/28/07	08/03/09	420.79	385.60	35.19		Y
DU PONT DE NEMOURS	Trade	21.000		12/26/07	05/01/09	577.48	942.76	-365.28		Y
	Trade	10.000		12/26/07	09/08/09	316.93	448.93	-132.00		Y
	Trade	10.000		12/26/07	10/23/09	331.47	449.87	-118.40		Y
	Trade	26.000		12/26/07	10/23/09	861.83	1,167.22	-305.39		Y
	Trade	3.000		12/26/07	10/27/09	99.00	134.96	-35.96		Y
	Trade	40.000		01/07/08	10/27/09	1,320.02	1,717.85	-397.83		Y
	Trade	5.000		05/09/08	10/27/09	165.00	241.78	-76.78		Y
	Trade	15.000		06/30/08	10/27/09	495.01	645.15	-150.14		Y
EL PASO ELEC CO NEW	Trade	17.000		03/15/07	05/05/09	234.20	421.59	-187.39		Y
	Trade	7.000		03/22/07	05/05/09	96.44	181.09	-84.65		Y
	Trade	10.000		03/22/07	05/06/09	136.50	258.70	-122.20		Y
	Trade	15.000		03/30/07	05/06/09	204.74	395.99	-191.25		Y
EL PASO CORP	Trade	25.000		02/13/07	02/04/09	212.49	376.50	-164.01		Y
	Trade	60.000		02/13/07	02/09/09	549.60	903.60	-354.00		Y
	Trade	83.000		02/13/07	02/25/09	602.20	1,249.98	-647.78		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
EL PASO CORP	Trade	25.000		09/06/07	02/25/09	181.39	406.36	-224.97		Y
	Trade	15.000		02/14/08	02/25/09	108.83	250.25	-141.42		Y
EXPRESS SCRIPTS INC	Trade	15.000		02/13/07	07/10/09	968.06	567.30	400.76		Y
FLEXTRONICS INTL LTD	Trade	15.000		02/13/07	07/28/09	79.80	172.65	-92.85		Y
	Trade	125.000		02/13/07	08/21/09	728.73	1,438.75	-710.02		Y
	Trade	65.000		02/13/07	09/30/09	476 81	748.15	-271.34		Y
FLOWERS FOODS INC	Trade	3.000		02/13/07	01/05/09	69.32	59.04	10.28		Y
	Trade	17.000		04/17/07	01/05/09	392.84	379.77	13.07		Y
	Trade	2.000		04/17/07	01/13/09	46.43	44.68	1.75		Y
	Trade	9.000		04/26/07	01/13/09	208.91	188.96	19.95		Y
	Trade	11.000		04/26/07	02/06/09	260.13	230.95	29.18		Y
GOLD FIELDS LTD SPON ADR	Trade	47.000		11/14/07	01/30/09	504.05	874.06	-370.01		Y
	Trade	2.000		11/14/07	03/06/09	22.22	37.19	-14.97		Y
	Trade	19 000		11/16/07	03/06/09	211.13	331.20	-120.07		Y
	Trade	53.000		11/16/07	03/12/09	650.94	923.89	-272.95		Y
	Trade	23.000		11/16/07	03/18/09	282.32	400.93	-118.61		Y
	Trade	3.000		02/01/08	03/18/09	36.82	43.72	-6.90		Y
GUESS ? INC	Trade	9.000		02/13/07	08/18/09	248.66	335.65	-86.99		Y
HALLIBURTON CO (HOLDING COMPANY)	Trade	5.000		06/09/06	01/05/09	100.81	184.45	-83.64		Y
	Trade	25.000		07/11/06	01/05/09	504 06	924.35	-420.29		Y
	Trade	25.000		07/11/06	01/06/09	520.44	924.35	-403.91		Y
	Trade	24.000		07/11/06	03/23/09	430.80	887.37	-456.57		Y
	Trade	20.000		02/13/07	03/23/09	359.00	612.40	-253 40		Y
IMPALA PLATINUM HLDG LTD SPON ADR	Trade	14.000		09/02/08	09/11/09	345.42	355.32	-9.90		Y
INGRAM MICRO INC	Trade	30.000		11/15/07	05/18/09	472.39	619.16	-146.77		Y
	Trade	35.000		11/15/07	06/03/09	582.98	722.35	-139.37		Y
INTEL CORP	Trade	20.000		04/10/01	03/23/09	304.79	493.00	-188.21		Y
	Trade	25.000		04/10/01	07/17/09	462.98	616.25	-153.27		Y

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Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2009 Consolidated Form 1099

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C12G016634-X12

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
INTEL CORP	Trade	30.000		04/10/01	07/31/09	584.98	739.50	-154.52		Y
	Trade	25.000		04/10/01	08/26/09	485.24	616.25	-131.01		Y
INTERPUBLIC GROUP OF COS INC	Trade	110.000		02/13/07	04/22/09	581.46	1,364 00	-782.54		Y
INTUIT	Trade	25.000		03/28/07	04/22/09	615.02	677.33	-62.31		Y
ITRON INC	Trade	9 000		02/13/07	04/30/09	396 68	545 04	-148.36		Y
IVANHOE MINES LTD	Trade	41.000		02/13/07	09/17/09	508.89	412.87	96 02		Y
KIRIN HOLDINGS LTD SPON ADR	Trade	55.000		02/14/07	04/01/09	580.97	834.46	-253.49		Y
	Trade	143 000		02/14/07	04/23/09	1,608.72	2,169.60	-560.88		Y
	Trade	28.000		09/25/07	04/23/09	315.00	360.27	-45.27		Y
KT CORP SPON ADR	Trade	24.000		02/13/07	03/12/09	308.12	555.93	-247.81		Y
	Trade	61.000		02/13/07	04/14/09	881.00	1,412.98	-531.98		Y
	Trade	74.000		02/13/07	05/20/09	1,069.26	1,714 11	-644.85		Y
	Trade	26.000		02/13/07	12/08/09	431.97	602.25	-170.28		Y
	Trade	22.000		10/16/07	12/08/09	365.51	528 88	-163.37		Y
	Trade	10.000		01/23/08	12/08/09	166.14	240.50	-74.36		Y
	Trade	20.000		05/16/08	12/08/09	332.28	456.87	-124.59		Y
L M ERICSSON TELEFON CO SEK 10 NEW 2002 ADR	Trade	8.000		11/20/07	02/02/09	62.20	101.33	-39.13		Y
	Trade	76.000		11/21/07	02/02/09	590.94	903.07	-312.13		Y
LEXINGTON REALY TRUST SBI	Trade	3.000		06/27/07	04/30/09	12.25	62.97	-50 72		Y
	Trade	3.000		06/27/07	04/30/09	12 25	62.99	-50.74		Y
	Trade	6 000		06/27/07	04/30/09	24.50	126.06	-101.56		Y
	Trade	10.000		06/27/07	04/30/09	40.84	210 00	-169.16		Y
	Trade	22.000		07/02/07	04/30/09	89.84	464.01	-374.17		Y
LIHIR GOLD LTD SPON ADR	Trade	21.000		02/14/07	05/20/09	482 62	546.30	-63.68		Y
LIMITED BRANDS INC CL A	Trade	35 000		02/13/07	05/01/09	388.57	1,004.15	-615.58		Y
	Trade	20.000		02/13/07	08/07/09	299.17	573.80	-274 63		Y
	Trade	2.000		02/13/07	09/23/09	35.25	57.38	-22.13		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LIMITED BRANDS INC CL A	Trade	20.000		02/28/07	09/23/09	352.47	552.39	-199.92		Y
	Trade	35.000		10/15/07	09/23/09	616.83	779.41	-162.58		Y
LONMIN PLC NEW SPON ADR	Trade	14.000		02/14/07	03/11/09	247.22	888.39	-641.17		Y
	Trade	2.000		09/26/07	03/11/09	35.32	132.07	-96.75		Y
	Trade	2.000		09/26/07	04/03/09	44 76	132.07	-87.31		Y
MAGNA INTL INC CL A SUB VTG CANADA ORD	Trade	11.000		04/22/08	11/06/09	554.13	791.03	-236.90		Y
	Trade	2.000		04/29/08	11/06/09	100.75	153.19	-52.44		Y
MARATHON OIL CORP	Trade	24.000		05/22/08	08/07/09	739.04	1,266.73	-527.69		Y
	Trade	6.000		05/22/08	10/21/09	212.79	316.68	-103.89		Y
	Trade	15.000		05/23/08	10/21/09	531.97	781.21	-249.24		Y
	Trade	20.000		05/29/08	10/21/09	709.29	1,014.42	-305.13		Y
	Trade	30.000		06/20/08	10/21/09	1,063.94	1,522.43	-458.49		Y
	Trade	5.000		07/15/08	10/21/09	177.32	221.79	-44.47		Y
MARVEL ENTERTAINMENT INC **MERGER 01/2010**	Trade	13.000		02/13/07	07/29/09	516.18	364.05	152.13		Y
	Trade	7.000		02/13/07	08/24/09	269.32	196.03	73.29		Y
	Trade	41.000		02/13/07	08/31/09	1,984.01	1,148.16	835.85		Y
MATRIX SERVICE CO	Trade	22.000		02/13/07	08/18/09	246.66	409.20	-162.54		Y
	Trade	17.000		02/13/07	08/27/09	195.06	316.20	-121.14		Y
	Trade	4.000		02/13/07	08/28/09	46.01	74.40	-28.39		Y
	Trade	2.000		08/12/08	08/28/09	23.00	48.43	-25.43		Y
	Trade	19.000		08/12/08	08/31/09	209.31	460.07	-250.76		Y
MONOLITHIC POWER SYSTEMS INC	Trade	9.000		09/26/07	06/23/09	182.03	224.96	-42.93		Y
NASH FINCH CO	Trade	10 000		05/15/07	01/13/09	425.35	435.90	-10.55		Y
	Trade	10.000		05/23/07	01/22/09	420.52	462.50	-41.98		Y
NETFLIX.COM INC	Trade	1.000		11/02/07	02/27/09	36.32	26.26	10.06		Y
	Trade	6.000		11/02/07	02/27/09	217.90	156.42	61.48		Y
	Trade	5.000		11/02/07	03/25/09	211.50	131.32	80.18		Y
	Trade	6.000		11/08/07	03/25/09	253.79	160.51	93.28		Y
	Trade	10.000		11/08/07	04/22/09	469.25	267.52	201.73		Y

Continued on page 52

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NEWCREST MINING LTD SPON AUSTRALIA ADR	Trade	17.000		02/14/07	01/28/09	367.04	295.85	71.19		Y
NEWMONT MINING CORP (HOLDING CO)	Trade	10 000		10/22/07	02/06/09	410.92	446.49	-35.57		Y
	Trade	9.000		10/29/07	02/06/09	369.82	427.05	-57.23		Y
	Trade	6.000		10/29/07	02/27/09	253.90	284.70	-30.80		Y
	Trade	4.000		11/01/07	02/27/09	169.26	200.15	-30.89		Y
	Trade	8.000		11/01/07	07/07/09	316.90	400.30	-83.40		Y
	Trade	18.000		11/01/07	07/10/09	677.85	900.68	-222.83		Y
	Trade	12.000		02/22/08	07/10/09	451.90	592.67	-140.77		Y
	Trade	8.000		02/22/08	10/29/09	340.20	395.11	-54.91		Y
	Trade	10.000		03/31/08	10/29/09	425.25	461.34	-36.09		Y
	Trade	14.000		09/04/08	10/29/09	595.35	559.03	36.32		Y
NEXEN INC	Trade	3.000		09/24/07	06/02/09	76.63	90.76	-14.13		Y
	Trade	24.000		10/02/07	06/02/09	613.07	730.45	-117.38		Y
NIKE INC CL B	Trade	25 000		01/11/08	09/08/09	1,363.58	1,522.34	-158.76		Y
NORFOLK STHN CORP	Trade	6.000		07/25/08	11/24/09	308.47	419.13	-110.66		Y
NOVAGOLD RESOURCES INC NEW	Trade	187.000		02/13/07	03/20/09	454.94	3,107.94	-2,653.00		Y
ORBITAL SCIENCES CORP	Trade	27.000		02/13/07	07/29/09	360.13	497.69	-137.56		Y
	Trade	23.000		02/22/07	07/29/09	308.78	448.50	-141.72		Y
	Trade	19.000		04/22/08	07/29/09	253.42	505.53	-252.11		Y
PATTERSON COMPANIES INC	Trade	92.000		02/13/07	04/23/09	1,819.85	3,567.75	-1,747.90		Y
PETROHAWK ENERGY CORP	Trade	14.000		01/11/08	05/11/09	349.31	249.86	99.45		Y
	Trade	2.000		01/11/08	10/13/09	51.66	35.69	15.97		Y
	Trade	21.000		01/15/08	10/13/09	542.39	377.12	165.27		Y
PHARMERICA CORP	Trade	17.000		09/04/08	11/18/09	254.31	408 77	-154.46		Y
	Trade	15 000		09/11/08	11/18/09	224.39	367.92	-143.53		Y
	Trade	15.000		09/16/08	11/18/09	224.39	360.38	-135.99		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
POTLATCH CORP NEW	Trade	5.000		08/14/07	01/13/09	119.00	175.56	-56.56		Y
(HOLDING CO) REIT	Trade	5.000		08/14/07	01/13/09	119.00	175.36	-56.36		Y
PROMISE CO LTD UNSPON	Trade	48.000		02/14/07	03/16/09	313.82	818.67	-504.85		Y
ADR	Trade	40.000		02/14/07	05/08/09	265.03	682.23	-417.20		Y
	Trade	36.000		02/14/07	08/17/09	170.16	614.01	-443.85		Y
PROSPERITY BANCSHARES	Trade	13.000		09/19/07	01/26/09	318.40	462.63	-144.23		Y
INC	Trade	12.000		09/19/07	01/27/09	302.99	427.04	-124.05		Y
QUEST DIAGNOSTICS INC	Trade	32.000		02/13/07	07/10/09	1,727.61	1,674.56	53.05		Y
	Trade	16.000		02/13/07	10/30/09	906.25	837.28	68.97		Y
RAYTHEON CO NEW	Trade	34.000		06/11/07	06/03/09	1,520.25	1,906.10	-385.85		Y
ROBBINS & MYERS INC	Trade	11.000		02/13/07	06/25/09	228.41	229.08	-.67		Y
	Trade	13.000		02/13/07	08/25/09	298.53	270.73	27.80		Y
	Trade	13.000		06/26/08	08/25/09	298.53	524.68	-226.15		Y
SANOFI-AVENTIS SA SPON	Trade	23.000		09/25/07	01/20/09	717.26	979.28	-262.02		Y
ADR	Trade	6.000		09/25/07	10/28/09	230.72	255.47	-24.75		Y
	Trade	14.000		10/03/07	10/28/09	538.35	595.01	-56.66		Y
SEKISUI HOUSE LTD SPON	Trade	49.000		07/27/07	02/03/09	419.01	615.42	-196.41		Y
ADR	Trade	15.000		07/27/07	04/09/09	124.60	188.39	-63.79		Y
	Trade	21.000		09/06/07	04/09/09	174.45	254.49	-80.04		Y
	Trade	5.000		09/06/07	05/20/09	47.31	60.59	-13.28		Y
	Trade	24.000		09/13/07	05/20/09	227.06	281.06	-54.00		Y
SEMTECH CORP	Trade	3.000		06/14/07	05/01/09	42.60	50.63	-8.03		Y
	Trade	9.000		06/14/07	05/01/09	127.80	152.01	-24.21		Y
	Trade	8.000		06/14/07	05/01/09	113.60	135.36	-21.76		Y
	Trade	8.000		12/14/07	05/01/09	113.60	132.57	-18.97		Y
	Trade	21.000		12/14/07	05/04/09	303.44	348 00	-44.56		Y
SIEMENS A G SPON ADR	Trade	7.000		09/29/08	10/29/09	669.77	653.95	15.82		Y

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Your Financial Advisor or Contact
J MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
STORA ENSO OYJ REPSTG	Trade	200.000		02/14/07	08/07/09	1,259.56	3,310.14	-2,050.58		Y
SER R SHS SPON ADR	Trade	54.000		02/14/07	08/19/09	356.12	893.74	-537.62		Y
	Trade	56.000		06/06/08	08/19/09	369.31	692.51	-323.20		Y
	Trade	29 000		06/06/08	09/16/09	213.34	358.62	-145.28		Y
STRYKER CORP	Trade	14 000		02/13/07	07/10/09	523 63	877.38	-353.75		Y
SUNCOR INC **MERGER EFF:	Trade	24.000		02/13/07	03/12/09	579.81	888.84	-309.03		Y
07/2009**	Trade	4.000		01/17/08	07/15/09	117.19	182.29	-65.10		Y
SVB FINANCIAL GROUP	Trade	3.000		06/13/07	01/21/09	61.80	159.30	-97.50		Y
	Trade	8.000		07/27/07	01/21/09	164.79	422.80	-258.01		Y
SWITCH & DATA FACILITIES	Trade	14.000		05/19/08	10/22/09	257.85	223.84	34.01		Y
CO INC	Trade	12.000		05/28/08	10/22/09	221.01	193.75	27.26		Y
	Trade	2.000		05/28/08	11/04/09	35.36	32.29	3.07		Y
	Trade	9.000		06/03/08	11/04/09	159.14	154.30	4.84		Y
	Trade	14.000		06/26/08	11/04/09	247.55	230.46	17.09		Y
	Trade	9.000		07/07/08	11/04/09	159.14	135.71	23 43		Y
	Trade	4.000		07/08/08	11/04/09	70.73	59.44	11.29		Y
TANGER FACTORY OUTLET	Trade	8.000		02/13/07	01/13/09	255.19	342.08	-86 89		Y
CENTERS INC	Trade	7.000		02/13/07	09/04/09	241.77	299.32	-57.55		Y
	Trade	8.000		02/13/07	10/09/09	298.98	342.08	-43.10		Y
TARGET CORP	Trade	1.000		04/10/01	04/02/09	35.50	36.06	-.56		Y
	Trade	5.000		07/21/06	04/02/09	177.52	230.57	-53.05		Y
	Trade	6.000		07/21/06	05/08/09	266.09	276.68	-10.59		Y
	Trade	10.000		07/21/06	05/08/09	432.19	461.13	-28.94		Y
	Trade	6.000		07/21/06	05/12/09	250.88	276.68	-25.80		Y
	Trade	6.000		03/13/08	05/12/09	250.88	301 44	-50 56		Y
TDK CORP ADR JAPAN ADR	Trade	4.000		07/03/08	08/03/09	211 53	244.38	-32.85		Y
	Trade	11.000		07/29/08	08/03/09	581 71	671.30	-89 59		Y
TECHNIP SA NEW SPON ADR	Trade	12.000		02/13/07	02/09/09	449.47	774.72	-325.25		Y
	Trade	1.000		02/13/07	05/07/09	45 00	64.56	-19.56		Y
	Trade	4.000		01/30/08	05/07/09	179 99	260.95	-80.96		Y

Continued on page 55

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TELEDYNE TECHNOLOGIES INC	Trade	9.000		02/13/07	02/18/09	230.17	334.89	-104.72		Y
	Trade	10.000		02/13/07	02/20/09	241.09	372.10	-131.01		Y
TERRA IND INC	Trade	2.000		03/05/07	05/12/09	53.21	33.29	19.92		Y
	Trade	25.000		03/06/07	05/12/09	665.19	435.00	230.19		Y
THE DIRECTV GROUP CL A	Trade	20.000		11/28/07	11/20/09	629.98	482.00	147.98		Y
	Trade	4.000		11/28/07	12/31/09	133.61	96.40	37.21		Y
	Trade	12.000		09/29/08	12/31/09	400.83	319.20	81.63		Y
	Trade	26.000		10/09/08	12/31/09	868.47	558.72	309.75		Y
TNS INC	Trade	13.000		12/07/07	03/03/09	102.35	220.83	-118.48		Y
	Trade	10.000		12/10/07	03/03/09	78.73	168.90	-90.17		Y
	Trade	9.000		12/10/07	03/03/09	63.53	152.01	-88.48		Y
TOMKINS PLC SPONSORED ADR UNTD KINGDOM ADR	Trade	80.000		02/14/07	07/02/09	771.26	1,742.16	-970.90		Y
	Trade	64.000		02/14/07	10/08/09	754.85	1,393.73	-638.88		Y
UNITED STATES STEEL CORP NEW	Trade	4.000		01/15/08	01/21/09	122.80	421.32	-298.52		Y
VARIAN MEDICAL SYSTEMS INC	Trade	8.000		02/13/07	07/10/09	252.71	389.12	-136.41		Y
VOCUS INC	Trade	9.000		08/07/08	12/04/09	156.75	320.85	-164.10		Y
	Trade	10.000		08/11/08	12/04/09	174.17	391.86	-217.69		Y
VODAFONE GROUP PLC NEW SPON ADR	Trade	22.000		02/13/07	11/24/09	498.06	642.62	-144.56		Y
WASTE MGMT INC NEW	Trade	62.000		02/13/07	02/04/09	1,860.78	2,171.24	-310.46		Y
WPP PLC SPON ADR	Trade	32.000		02/13/07	06/26/09	1,081.27	2,328.96	-1,247.69		Y
XEROX CORP	Trade	118.000		04/19/07	11/12/09	930.41	2,128.11	-1,197.70		Y
	Trade	120.000		05/22/07	11/12/09	946.18	2,191.22	-1,245.04		Y
	Trade	60.000		07/17/08	11/12/09	473.09	825.02	-351.93		Y

 Continued on page 56

Your Financial Advisor or Contact
J. MAHONEY/M. LAVIGNE
203-562-0700/800-922-3237

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/07/10

C12G016640-X12

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
XTO ENERGY INC	Trade	14.000		02/13/07	05/19/09	592.56	558.66	33.90		Y
Societe Generale France	Redemption				11/09/09	69.91	0.00	69.91		
Total Long-Term Gain/Loss						**115,371.02**	**162,187.05**	**-46,816.03**		

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS 45690 DIV	8,061.	8,061.
UBS 45689 DIV	7,105.	7,105.
UBS 45688 DIV	4,941.	4,941.
UBS 45687 DIV	19,369.	19,369.
UBS 45199 DIV	79.	79.
TOTAL	39,555.	39,555.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
PRICEWATERHOUSECOOPERS	2,500.	1,250.	0.	1,250.
TOTALS	2,500.	1,250.	0.	1,250.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS MANAGEMENT FEES 45688	2,145.	2,145.
UBS MANAGEMENT FEES 45689	2,169.	2,169.
UBS MANAGEMENT FEES 45690	4,313.	4,313.
TOTALS	8,627.	8,627.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES UBS 45690	356.	356.
FOREIGN TAXES UBS 45689	440.	440.
FOREIGN TAXES UBS 45688	189.	189.
TOTALS	985.	985.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 5

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SEE STATEMENT ATTACHED	1,305,486.	1,305,486.
TOTALS	1,305,486.	1,305,486.

The Larry W McCurdy Family Foundation
Balance Sheet Attachment
12/31/09

EIN· 06-6502822

Assets

Cash	
UBS #45199	28,392
UBS #45687	-
UBS #45688	11,468
UBS #45689	3,141
UBS #45690	23,531
Total	66,532
Securities	
UBS #45199	-
UBS #45687	329,893
UBS #45688	271,563
UBS #45689	236,806
UBS #45690	467,224
Total	1,305,486

Liabilities

Accounts Payable	-

Net Assets	**1,372,018**

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
NET INCREASE IN FMV OF INVESTMENTS	448,727.
TOTAL	448,727.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
LARRY W MCCURDY 116 RANDI DRIVE MADISON, CT 06443	TRUSTEE	0.	0.	0.
KIMBERLY MCCURDY 1 TUMBLEBROOK DIRVE NORTH BRANFORD, CT 06471	TRUSTEE	0.	0.	0.
LAURIE SATTERWHITE 38 DEVERON MADISON, CT 06443	TRUSTEE	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

LARRY W MCCURDY
116 RANDI DRIVE
MADISON, CT 06443-2462
203-245-4812

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CONNECTICUT HOSPICE CONNECTICUT	N/A PUBLIC CHARITY	GENERAL FUND	6,000.
SARAH ENDOWMENT FOUNDATION CONNECTICUT	N/A PUBLIC CHARITY	GENERAL FUND	6,000.
CHRISTIAN COMMUNITY ACITON CONNECTICUT	N/A PUBLIC CHARITY	GENERAL FUND	6,000
ST. RAPHAEL FOUNDATION NEW HAVEN CONNECTICUT	N/A PUBLIC CHARITY	GENERAL FUND	15,000.
THE AFTERMARKET FOUNDATION CONNECTICUT	N/A PUBLIC CHARITY	GENERAL FUND	7,500
NEW HAVEN SYMPHONY ORCHESTRA CONNECTICUT	N/A PUBLIC CHARITY	GENERAL FUND	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SCRANTON LIBRARY MILLENNIUM FUND CONNECTICUT	N/A PUBLIC CHARITY	GENERAL FUND	15,000
ST. MARTIN DE PORRES ACADEMY CONNECTICUT	N/A PUBLIC CHARITY	GENERAL FUND	6,500
		TOTAL CONTRIBUTIONS PAID	72,000