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Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label
Otherwise,
print
or type
See Specific
Instructions

Name of foundation

RONALD N. DUBIN FOUNDATION

RONALD N. DUBIN FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

52 WEST BROTHER DRIVE

Room/suite

City or town, state, and ZIP code

GREENWICH, CT 06830-2507

A Employer identification number

06-6366234

B Telephone number

203-353-3400

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col (c), line 16)

\$ 504,475. (Part I, column (d) must be on cash basis)

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a).)(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B3 Interest on savings and temporary
cash investments

68.

68.

STATEMENT 1

4 Dividends and interest from securities

13,585.

13,585.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

<34,365.>

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all
assets on line 6a

278,386.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

<20,712.>

13,653.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

STMT 3

1,233.

1,233.

0.

17 Interest

18 Taxes

STMT 4

291.

170.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative
expenses. Add lines 13 through 23

1,524.

1,403.

0.

25 Contributions, gifts, grants paid

26,000.

26,000.

26 Total expenses and disbursements
Add lines 24 and 25

27,524.

1,403.

26,000.

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

<48,236.>

b Net investment income (if negative, enter -0-)

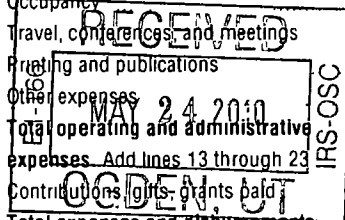
12,250.

c Adjusted net income (if negative, enter -0-)

N/A

SCANNED MAY 27 2010

Operating and Administrative Expenses



5 P

RONALD N. DUBIN FOUNDATION
RONALD N. DUBIN FOUNDATION

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	51,341.	27,939.	27,939.
	2	Savings and temporary cash investments	100,069.	103,331.	103,331.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations STMT 6	10,432.	10,432.	10,432.
	b	Investments - corporate stock STMT 7	273,724.	9,381.	10,440.
	c	Investments - corporate bonds			
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 8	111,854.	337,734.	352,333.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	547,420.	488,817.	504,475.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
		and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	0.	0.	
Net Assets or Fund Balances	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	547,420.	488,817.	
	30	Total net assets or fund balances	547,420.	488,817.	
	31	Total liabilities and net assets/fund balances	547,420.	488,817.	

STATEMENT 5

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	547,420.
2	Enter amount from Part I, line 27a	2	<48,236.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	499,184.
5	Decreases not included in line 2 (itemize) ▶ BASIS ADJUSTMENT	5	10,367.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	488,817.

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)

(b) How acquired
P - Purchase
D - Donation

(c) Date acquired
(mo., day, yr.)

(d) Date sold
(mo., day, yr.)

1a	W.P. STEWART #RWP-334486 (SEE ATTACHED)	P	VARIOUS	VARIOUS
b	W.P. STEWART #RWP-334486 (SEE ATTACHED)	P	VARIOUS	VARIOUS
c	300 SHARES ISHARES IBOXX INVEST GR COR FD	P	04/29/09	09/22/09
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 123,664.		139,778.	<16,114.>
b 123,299.		143,556.	<20,257.>
c 31,423.		29,417.	2,006.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			<16,114.>
b			<20,257.>
c			2,006.
d			
e			

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7

If (loss), enter -0- in Part I, line 7

2

<34,365.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter -0- in Part I, line 8

3

N/A

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes
☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	54,380.	558,648.	.097342
2007	0.	584,387.	.000000
2006	73,373.	566,489.	.129522
2005	60,500.	634,929.	.095286
2004	52,000.	657,616.	.079074

2 Total of line 1, column (d)

2

.401224

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

.080245

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5

4

488,956.

5 Multiply line 4 by line 3

5

39,236.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

123.

7 Add lines 5 and 6

7

39,359.

8 Enter qualifying distributions from Part XII, line 4

8

26,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate

See the Part VI instructions

923521 02-02-10

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	245.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	245.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	245.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	128.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	128.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	117.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ SUSAN H. DUBIN Telephone no ▶ 203-661-8525 Located at ▶ 52 WEST BROTHER DRIVE, GREENWICH, CT ZIP+4 ▶ 06830			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RONALD N. DUBIN	TRUSTEE PART-TIME			
GREENWICH, CT 06830	0.00	0.	0.	0.
SUSAN H. DUBIN	TRUSTEE PART-TIME			
GREENWICH, CT 06830	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services

Expenses

Amount

All other program-related investments See instructions

Total. Add lines 1 through 3

0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	343,959.
b	Average of monthly cash balances	1b	152,443.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	496,402.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	496,402.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,446.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	488,956.
6	Minimum investment return. Enter 5% of line 5	6	24,448.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	24,448.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	245.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	245.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	24,203.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	24,203.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	24,203.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	26,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	26,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	26,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				24,203.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	20,321.			
b From 2005	29,835.			
c From 2006	46,303.			
d From 2007				
e From 2008	26,688.			
f Total of lines 3a through e	123,147.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$	26,000.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				24,203.
e Remaining amount distributed out of corpus	1,797.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	124,944.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	20,321.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	104,623.			
10 Analysis of line 9				
a Excess from 2005	29,835.			
b Excess from 2006	46,303.			
c Excess from 2007				
d Excess from 2008	26,688.			
e Excess from 2009	1,797.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities

- Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon
- a** "Assets" alternative test - enter
- (1)** Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter**
- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**
 - (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

RONALD N. DUBIN

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 9				
Total			3a	26,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here


Alan J. Dubow, Trustee
Signature of officer or trustee

Date _____

Title

Preparer's
signature

Firm's name (or yours
if self-employed),
address and ZIP code

Preparer's signature 

Date,

5/13/10

☐ Check if self-employed

Preparer's identifying number

EIN ▶

Phone no 1-203-987-3670

REALIZED GAINS AND LOSSES
RONALD N DUBIN FOUNDATION
C/O DUBIN INVESTMENT LTD
ATT SUSAN DUBIN
From 01-01-09 Through 06-01-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
06-20-08	01-14-09	75.00	Henry Schein Inc	3,885.22	2,729.34	-1,155.88	
06-20-08	02-09-09	50.00	Automatic Data Processing Inc	2,138.10	1,963.81	-174.29	
12-06-07	02-24-09	100.00	Adobe Systems Inc	4,442.71	1,798.55		-2,644.16
12-05-07	02-24-09	75.00	Adobe Systems Inc	3,312.21	1,348.92		-1,963.29
12-18-07	02-24-09	25.00	Adobe Systems Inc	1,066.93	449.64		-617.29
08-03-07	02-24-09	75.00	Automatic Data Processing Inc	3,597.70	2,639.72		-957.98
12-18-07	02-25-09	25.00	Adobe Systems Inc	1,066.93	434.60		-632.33
01-09-08	02-25-09	75.00	Adobe Systems Inc	2,976.65	1,303.80		-1,672.85
01-31-08	02-25-09	50.00	Adobe Systems Inc	1,728.42	869.20		-859.22
09-04-08	03-23-09	50.00	Qualcomm Inc	2,484.61	1,900.05	-584.56	
09-08-08	03-23-09	50.00	Qualcomm Inc	2,325.57	1,900.06	-425.51	
06-04-08	04-14-09	50.00	Fastenal Co	2,507.91	1,759.27	-748.64	
06-04-08	04-23-09	50.00	Fastenal Co	2,507.91	1,754.42	-753.49	
08-15-08	04-28-09	25.00	Chipotle Mexican Grill Inc Cl B	1,776.24	1,723.87	-52.37	
08-03-07	05-01-09	75.00	Automatic Data Processing Inc	3,597.70	2,619.69		-978.01
08-06-07	05-01-09	200.00	Automatic Data Processing Inc	9,576.62	6,985.84		-2,590.78
05-20-08	05-01-09	25.00	Becton Dickinson & Co	2,105.00	1,524.96	-580.04	
10-03-08	05-01-09	25.00	Becton Dickinson & Co	2,005.37	1,524.96	-480.41	
08-27-07	05-01-09	100.00	Becton Dickinson & Co	7,826.38	6,099.84		-1,726.54
08-24-07	05-01-09	50.00	Becton Dickinson & Co	3,873.02	3,049.92		-823.10
08-23-07	05-01-09	50.00	Becton Dickinson & Co	3,861.26	3,049.92		-811.34
07-25-08	05-01-09	100.00	Chipotle Mexican Grill Inc Cl B	6,185.31	6,506.83	321.52	
06-03-08	05-01-09	125.00	Fastenal Co	6,092.46	4,692.39	-1,400.07	
07-11-08	05-01-09	50.00	Fastenal Co	2,227.79	1,876.96	-350.83	
04-25-08	05-01-09	75.00	Fastenal Co	3,686.36	2,815.43		-870.93
04-30-08	05-01-09	25.00	Fastenal Co	1,223.94	938.48		-285.46
04-14-09	05-01-09	5.00	Google Inc Cl A	1,843.91	1,981.20	137.29	
02-25-09	05-01-09	10.00	Google Inc Cl A	3,425.37	3,962.39	537.02	
09-08-08	05-01-09	25.00	MSCI Inc Cl A	689.33	541.24	-148.09	
09-09-08	05-01-09	75.00	MSCI Inc Cl A	2,059.58	1,623.71	-435.87	
09-05-08	05-01-09	25.00	MSCI Inc Cl A	650.89	541.24	-109.65	
09-12-08	05-01-09	50.00	MSCI Inc Cl A	1,300.08	1,082.47	-217.61	
09-11-08	05-01-09	50.00	MSCI Inc Cl A	1,257.08	1,082.47	-174.61	
02-10-09	05-01-09	50.00	MSCI Inc Cl A	879.45	1,082.47	203.02	
02-09-09	05-01-09	75.00	MSCI Inc Cl A	1,310.38	1,623.70	313.32	
10-30-08	05-01-09	75.00	MSCI Inc Cl A	1,239.38	1,623.71	384.33	
10-29-08	05-01-09	50.00	MSCI Inc Cl A	804.71	1,082.47	277.76	
06-06-08	05-01-09	20.00	Mastercard Inc Cl A	5,972.94	3,379.91	-2,593.03	
06-25-08	05-01-09	10.00	Mastercard Inc Cl A	2,921.06	1,689.96	-1,231.10	
06-20-08	05-01-09	10.00	Mastercard Inc Cl A	2,886.67	1,689.96	-1,196.71	
06-12-08	05-01-09	30.00	Mastercard Inc Cl A	8,552.16	5,069.87	-3,482.29	
07-10-08	05-01-09	5.00	Mastercard Inc Cl A	1,264.01	844.98	-419.03	
08-15-08	05-01-09	15.00	Mastercard Inc Cl A	3,575.78	2,534.93	-1,040.85	
09-09-08	05-01-09	15.00	Mastercard Inc Cl A	3,115.05	2,534.93	-580.12	
06-06-08	05-01-09	150.00	McGraw Hill Cos Inc	6,682.16	4,540.38	-2,141.78	
06-20-08	05-01-09	50.00	McGraw Hill Cos Inc	2,166.60	1,513.46	-653.14	

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*** Mortgage backed factors are updated on the 8th business day of the month.

REALIZED GAINS AND LOSSES
RONALD N DUBIN FOUNDATION
C/O DUBIN INVESTMENT LTD
ATT SUSAN DUBIN

From 01-01-09 Through 06-01-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
09-05-08	05-01-09	50.00	McGraw Hill Cos Inc	2,166.33	1,513.46	-652.87	
10-07-08	05-01-09	150.00	McGraw Hill Cos Inc	3,627.74	4,540.38	912.64	
01-08-09	05-01-09	50.00	McGraw Hill Cos Inc	1,163.53	1,513.46	349.93	
03-05-07	05-01-09	100.00	Paychex Inc	3,962.15	2,681.94		-1,280.21
03-13-07	05-01-09	75.00	Paychex Inc	2,931.59	2,011.45		-920.14
03-30-07	05-01-09	75.00	Paychex Inc	2,862.86	2,011.46		-851.40
04-23-07	05-01-09	75.00	Paychex Inc	2,788.94	2,011.45		-777.49
04-24-07	05-01-09	75.00	Paychex Inc	2,778.71	2,011.46		-767.25
09-27-05	05-01-09	10.00	PepsiCo Inc	553.27	497.49		-55.78
07-20-05	05-01-09	150.00	PepsiCo Inc	8,210.04	7,462.30		-747.74
04-18-05	05-01-09	100.00	PepsiCo Inc	5,462.12	4,974.87		-487.25
07-01-05	05-01-09	25.00	PepsiCo Inc	1,341.30	1,243.72		-97.58
06-03-08	05-01-09	175.00	Polo Ralph Lauren Corp Cl A	12,117.63	9,490.00	-2,627.63	
04-01-08	05-01-09	50.00	Polo Ralph Lauren Corp Cl A	3,049.99	2,711.43		-338.56
02-24-09	05-01-09	25.00	Praxair Inc	1,517.35	1,846.20	328.85	
02-25-09	05-01-09	25.00	Praxair Inc	1,492.59	1,846.20	353.61	
11-12-08	05-01-09	25.00	Praxair Inc	1,439.23	1,846.20	406.97	
11-13-08	05-01-09	50.00	Praxair Inc	2,836.24	3,692.41	856.17	
04-27-05	05-01-09	150.00	Procter & Gamble Co	7,981.62	7,327.30		-654.32
02-07-05	05-01-09	50.00	Procter & Gamble Co	2,649.68	2,442.44		-207.24
09-08-08	05-01-09	25.00	Qualcomm Inc	1,162.78	1,054.72	-108.06	
06-27-03	05-01-09	50.00	Qualcomm Inc	912.07	2,109.45		1,197.37
08-08-03	05-01-09	200.00	Qualcomm Inc	3,601.22	8,437.80		4,836.58
09-29-08	05-01-09	50.00	Qualcomm Inc	2,048.86	2,109.45	60.59	
04-01-08	05-01-09	200.00	Schwab Charles Corp	3,963.02	3,609.93		-353.09
08-18-04	05-01-09	100.00	Schwab Charles Corp	975.60	1,804.96		829.36
07-21-04	05-01-09	150.00	Schwab Charles Corp	1,347.89	2,707.44		1,359.55
07-30-04	05-01-09	150.00	Schwab Charles Corp	1,335.65	2,707.45		1,371.80
08-10-04	05-01-09	200.00	Schwab Charles Corp	1,776.45	3,609.93		1,833.48
11-12-08	05-01-09	50.00	Schwab Charles Corp	827.64	902.48	74.84	
01-30-09	05-01-09	100.00	Schwab Charles Corp	1,374.10	1,804.96	430.86	
04-10-07	05-01-09	75.00	Staples Inc	2,030.92	1,506.72		-524.20
04-12-07	05-01-09	100.00	Staples Inc	2,700.85	2,008.96		-691.89
04-13-07	05-01-09	100.00	Staples Inc	2,700.07	2,008.96		-691.11
04-09-07	05-01-09	100.00	Staples Inc	2,681.92	2,008.96		-672.96
05-22-07	05-01-09	50.00	Staples Inc	1,257.98	1,004.48		-253.50
06-26-07	05-01-09	100.00	Staples Inc	2,420.48	2,008.96		-411.52
10-07-08	05-01-09	125.00	Staples Inc	2,351.38	2,511.19	159.81	
04-30-09	05-01-09	10.00	Stacycycle Inc	471.73	473.48	1.75	
11-20-02	05-01-09	150.00	Target Corp	4,665.94	6,086.84		1,420.89
10-24-08	05-01-09	75.00	Target Corp	2,484.54	3,043.42	558.88	
11-21-08	05-01-09	100.00	Target Corp	2,710.20	4,057.90	1,347.70	
10-03-08	05-01-09	50.00	United Technologies Corp	2,826.25	2,471.93	-354.32	
10-02-08	05-01-09	50.00	United Technologies Corp	2,809.75	2,471.94	-337.81	
12-06-07	05-01-09	50.00	United Technologies Corp	3,882.75	2,471.93		-1,410.82
12-05-07	05-01-09	50.00	United Technologies Corp	3,834.24	2,471.94		-1,362.30
04-01-08	05-01-09	50.00	United Technologies Corp	3,572.10	2,471.93		-1,100.17
01-17-08	05-01-09	50.00	United Technologies Corp	3,487.45	2,471.94		-1,015.51
04-16-09	05-01-09	25.00	Visa Inc Cl A	1,468.54	1,647.96	179.42	

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*** Mortgage backed factors are updated on the 8th business day of the month.

REALIZED GAINS AND LOSSES
RONALD N DUBIN FOUNDATION
C/O DUBIN INVESTMENT LTD
ATT SUSAN DUBIN
From 01-01-09 Through 06-01-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
03-23-09	05-01-09	75.00	Visa Inc Cl A	4,043.79	4,943.87	900.08	
TOTAL GAINS						9,096.36	12,849.04
TOTAL LOSSES						-25,210.66	-33,105.33
						-16,114.30	-20,256.29
TOTAL REALIZED GAIN/LOSS							-36,370.59

	Proceeds	Basis
Long Term	123,299.44	143,555.73
Short Term	123,663.98	139,778.28

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 *** Mortgage backed factors are updated on the 8th business day of the month

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
J.P. MORGAN #967057396	32.
MORGAN STANLEY #730 025943 250	36.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	68.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN STANLEY #730 025943 250	1,352.	0.	1,352.
THORNBURG INCOME BUILDER CL I #261-00203711660	4,266.	0.	4,266.
THORNBURG INTERNATIONAL VALUE #209-00203711660	1,051.	0.	1,051.
THORNBURG LTD TERM INCOME CL I #206-00203711660	2,174.	0.	2,174.
THORNBURG STRATEGIC INCOME FUND CL I #235-00203711660	2,945.	0.	2,945.
W.P. STEWART #RWP-334486	1,797.	0.	1,797.
TOTAL TO FM 990-PF, PART I, LN 4	13,585.	0.	13,585.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
W.P. STEWART #RWP-334486- INVESTMENT MANAGEMENT FEES	1,083.	1,083.		0.
MORGAN STANLEY #730 025943 250- INVESTMENT MANAGEMENT FEES	150.	150.		0.
TO FORM 990-PF, PG 1, LN 16C	1,233.	1,233.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
THORNBURG #209-00203711660	170.	170.		0.
2008 FEDERAL TAX PAYMENT	121.	0.		0.
TO FORM 990-PF, PG 1, LN 18	291.	170.		0.

FORM 990-PF	OTHER FUNDS	STATEMENT	5
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DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
ENDOWMENT FUND	547,420.	488,817.
TOTAL TO FORM 990-PF, PART II, LINE 29	547,420.	488,817.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	6
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
JPMORGAN #505158 100% US TREASURY SEC. MKT.	X		10,432.	10,432.
TOTAL U.S. GOVERNMENT OBLIGATIONS			10,432.	10,432.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			10,432.	10,432.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #730 025943 250	9,381.	10,440.
WPSTEWART #RWP-334486	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,381.	10,440.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
THORNBURG INTERNATIONAL VALUE #206-203711660	COST	112,734.	90,793.
THORNBURG LTD TERM INCOME I #206-203711660	COST	75,000.	81,403.
THORNBURG STRATEGIC INCOME I #235-203711660	COST	50,000.	60,750.
THORNBURG INCOME BUILDER I #261-203711660	COST	100,000.	119,387.
TOTAL TO FORM 990-PF, PART II, LINE 13		337,734.	352,333.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 9

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
FIRST PRESBYTERIAN CHURCH	GENERAL PURPOSE	501(C)(3) ORGANIZATI	6,500.
HAZELDEN	GENERAL PURPOSE	501(C)(3) ORGANIZATI	1,000.
THE GREENWICH SYMPHONY	GENERAL PURPOSE	501(C)(3) ORGANIZATI	1,000.
MUSEUM OF NEW MEXICO FOUNDATION	GENERAL PURPOSE	501(C)(3) ORGANIZATI	3,000.
THE LENSIC THEATER	GENERAL PURPOSE	501(C)(3) ORGANIZATI	1,000.
SCHOOL FOR ADVANCED RESEARCH	GENERAL PURPOSE	501(C)(3) ORGANIZATI	2,500.
NATIONAL DANCE INSTITUTE OF NEW MEXICO	GENERAL PURPOSE	501(C)(3) ORGANIZATI	10,000.
THE ROGOSIN INSTITUTE	GENERAL PURPOSE	501(C)(3) ORGANIZATI	1,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

26,000.