



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2009**

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation

R T VANDERBILT TRUST

Number and street (or P O box number if mail is not delivered to street address)

1525 WEST WT HARRIS BLVD

City or town, state, and ZIP code

CHARLOTTE, NC 28288-5709

A Employer identification number

06-6040981

B Telephone number (see page 10 of the instructions)

(908) 598-3582

C If exemption application is pending, check here

D 1 Foreign organizations, check here  
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 8,213,927.  
J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)	NONE			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	248,516.	247,060.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-26,653.			
b Gross sales price for all assets on line 6a	3,135,106.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	56.	56.		STMT 1
12 Total. Add lines 1 through 11	221,919.	247,116.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc.	NONE	NONE		
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	57,589.	57,589.		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	7,863.	2,402.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	10,636.			10,636.
24 Total operating and administrative expenses. Add lines 13 through 23	76,088.	59,991.		10,636.
25 Contributions, gifts, grants paid	389,700.			389,700.
26 Total expenses and disbursements. Add lines 24 and 25	465,788.	59,991.		400,336.
27 Subtract line 26 from line 12	-243,869.			
a Excess of revenue over expenses and disbursements		187,125.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions

JSA

Form 990-PF (2009)

9E1410 1 000

QM0408 543P 10/29/2010 14:43:56

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .	87,543.	NONE	
	2	Savings and temporary cash investments . . . . .	50,802.	105,497.	105,497.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ *		NONE	
		Less: allowance for doubtful accounts ▶	NONE	NONE	NONE
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U S and state government obligations (attach schedule) . . . . .	NONE	NONE	NONE
	b	Investments - corporate stock (attach schedule) . . . . .	NONE	NONE	NONE
	c	Investments - corporate bonds (attach schedule) . . . . .	NONE	NONE	NONE
	11	Investments - land, buildings, and equipment, basis Less: accumulated depreciation (attach schedule) ▶	NONE	NONE	
	12	Investments - mortgage loans . . . . .	NONE	NONE	
	13	Investments - other (attach schedule) . . . . .	7,232,953.	7,217,628.	8,108,430.
14	Land, buildings, and equipment, basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ )	NONE	NONE	NONE	
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	7,371,298.	7,323,125.	8,213,927.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .	7,371,298.	7,323,125.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .	NONE	NONE	
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	7,371,298.	7,323,125.	
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	7,371,298.	7,323,125.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	7,371,298.
2	Enter amount from Part I, line 27a . . . . .	2	-243,869.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	195,696.
4	Add lines 1, 2, and 3 . . . . .	4	7,323,125.
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	7,323,125.

Form 990-PF (2009)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a SEE PART IV DETAIL</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b> . . . . . { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	-26,653.	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b> If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . . }			<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.**1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	499,346.	8,902,778.	0.05608878487
2007	460,161.	10,177,982.	0.04521141814
2006	408,091.	8,671,466.	0.04706136194
2005	455,602.	8,487,005.	0.05368230607
2004	426,721.	8,798,528.	0.04849913531
<b>2 Total of line 1, column (d)</b> . . . . .			<b>2</b> 0.25054300633
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b> . . . . .			<b>3</b> 0.05010860127
<b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5</b> . . . . .			<b>4</b> 6,544,339.
<b>5 Multiply line 4 by line 3</b> . . . . .			<b>5</b> 327,928.
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b> . . . . .			<b>6</b> 1,871.
<b>7 Add lines 5 and 6</b> . . . . .			<b>7</b> 329,799.
<b>8 Enter qualifying distributions from Part XII, line 4</b> . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			<b>8</b> 400,336.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	1,871.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	1,871.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	1,871.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009 . . . . .	6a	7,493.	
b Exempt foreign organizations-tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	7,493.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	5,622.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input checked="" type="checkbox"/> 1,872. Refunded <input type="checkbox"/> . . . . .	11	3,750.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> STMT 6		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

Form 990-PF (2009)

**Part VII-A**    **Statements Regarding Activities** *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> WELLS FARGO BANK, NA Telephone no. <input type="checkbox"/> (908) 598-3582			
Located at <input type="checkbox"/> 190 RIVER ROAD, 2ND FLOOR, SUMMIT, NJ		ZIP + 4 <input type="checkbox"/> 07901-1444		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>			
and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15				

**Part VII-B**      **Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<p><b>1a</b> During the year did the foundation (either directly or indirectly)</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(6) Agree to pay money or property to a government official? (<b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> <b>1b</b> <input checked="" type="checkbox"/></p> <p>Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/></p> <p><b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> <b>1c</b> <input checked="" type="checkbox"/></p>				
<p><b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))</p> <p><b>a</b> At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If "Yes," list the years <input type="checkbox"/></p> <p><b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions ) <input type="checkbox"/> <b>2b</b> <input checked="" type="checkbox"/></p> <p><b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. <input type="checkbox"/></p>				
<p><b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>b</b> If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009</i> ) <input type="checkbox"/> <b>3b</b> <input checked="" type="checkbox"/></p>				
<p><b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> <b>4a</b> <input checked="" type="checkbox"/></p> <p><b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? <input type="checkbox"/> <b>4b</b> <input checked="" type="checkbox"/></p>				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b** ☒ X  
Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No **STMT 7**  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒ X  
If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b** ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		-0-	-0-	-0-

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total number of other employees paid over \$50,000** ☐ **NONE**

Form 990-PF (2009)

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

Form 990-PF (2009)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	6,643,391.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	608.
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	6,643,999.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	6,643,999.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	99,660.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	6,544,339.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	327,217.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	327,217.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	1,871.
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,871.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	325,346.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	192,100.
<b>5</b>	Add lines 3 and 4	<b>5</b>	517,446.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	517,446.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	400,336.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	400,336.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	1,871.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	398,465.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7 . . . . .				517,446.
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only . . . . .			389,769.	
<b>b</b> Total for prior years 20____, 20____, 20____		NONE		
<b>3</b> Excess distributions carryover, if any, to 2009				
<b>a</b> From 2004 . . . . .	NONE			
<b>b</b> From 2005 . . . . .	NONE			
<b>c</b> From 2006 . . . . .	NONE			
<b>d</b> From 2007 . . . . .	NONE			
<b>e</b> From 2008 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 400,336.				
<b>a</b> Applied to 2008, but not more than line 2a . . .			389,769.	
<b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	NONE			
<b>d</b> Applied to 2009 distributable amount . . . . .				10,567.
<b>e</b> Remaining amount distributed out of corpus . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2009 . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .				
<b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 . . . . .				506,879.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2005 . . .	NONE			
<b>b</b> Excess from 2006 . . .	NONE			
<b>c</b> Excess from 2007 . . .	NONE			
<b>d</b> Excess from 2008 . . .	NONE			
<b>e</b> Excess from 2009 . . .	NONE			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>  SEE STATEMENT 9				
<b>Total . . . . .</b>			<b>3a</b>	389,700.
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>			<b>3b</b>	

Form **990-PF** (2009)

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
		14	248,516.	
		18	-26,653.	
		01	56.	
			221,919.	

13

221,919

(See worksheet in line 13 instructions on page 28 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

<b>Line No.</b> ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
----------------------	--

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

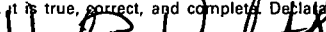

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
<b>b</b> Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
	 Signature of officer or trustee		10/29/2010 Date	TRUSTEE Title
Paid Preparer's Use Only	 Preparer's signature		11/8/2010 Date	Check if self-employed <input type="checkbox"/>
	Firm's name (or yours if self-employed), address, and ZIP code WELLS FARGO BANK, N.A. 1525 W W.T. HARRIS BLVD. D1114-044 CHARLOTTE, NC 28288-1161			EIN 94-3080771 Phone no.

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					703.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					19,562.	
383,776.00		37368.6595 EVERGREEN INTL BOND FUND CL I PROPERTY TYPE: SECURITIES 390,152.00					08/22/2006 -6,376.00	01/09/2009
300,000.00		55970.149 EVERGREEN INTERNATIONAL GROWTH PROPERTY TYPE: SECURITIES 352,649.00					06/13/2003 -52,649.00	04/03/2009
382,979.00		44326.242 PIMCO EMERG MKTS BD-INST #137 PROPERTY TYPE: SECURITIES 499,945.00					08/22/2006 -116966.00	04/03/2009
195,034.00		20836.958 PIMCO FOREIGN BOND FUND-INST PROPERTY TYPE: SECURITIES 191,700.00					01/09/2009 3,334.00	05/26/2009
23,319.00		880. AETNA INC-NEW COM PROPERTY TYPE: SECURITIES 36,063.00					08/19/2008 -12,744.00	05/29/2009
20,815.00		825. ALLSTATE CORP COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 47,021.00					11/10/2005 -26,206.00	05/29/2009
111,834.00		2360. ANADARKO PETE CORP COM W/RIGHTS AT PROPERTY TYPE: SECURITIES 59,324.00					05/14/2003 52,510.00	05/29/2009
46,620.00		1800. COACH INC COM W/RTS ATTACHED EXP 5 PROPERTY TYPE: SECURITIES 56,381.00					10/03/2005 -9,761.00	05/29/2009
104,924.00		1680. DEVON ENERGY CORP NEW COM PROPERTY TYPE: SECURITIES 43,999.00					08/14/2003 60,925.00	05/29/2009
25,145.00		1800. HARTFORD FINL SVCS GRP COM PROPERTY TYPE: SECURITIES 92,541.00					08/14/2003 -67,396.00	05/29/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
29,116.00		1065. MERCK & CO INC COM PROPERTY TYPE: SECURITIES 37,360.00					08/19/2008 -8,244.00	05/29/2009
105,462.00		3400. METLIFE INC COM PROPERTY TYPE: SECURITIES 99,228.00					08/14/2003 6,234.00	05/29/2009
125,187.00		6500. ORACLE CORP COM PROPERTY TYPE: SECURITIES 69,091.00					08/14/2003 56,096.00	05/29/2009
24,191.00		860. OWENS ILL INC COM PROPERTY TYPE: SECURITIES 35,957.00					08/19/2008 -11,766.00	05/29/2009
108,001.00		2095. PEPSICO INC COM PROPERTY TYPE: SECURITIES 93,549.00					12/04/2000 14,452.00	05/29/2009
62,089.00		1600. TARGET CORP COM PROPERTY TYPE: SECURITIES 52,792.00					11/25/2002 9,297.00	05/29/2009
76,747.00		4000. TEXAS INSTRS INC COM PROPERTY TYPE: SECURITIES 72,505.00					08/14/2003 4,242.00	05/29/2009
71,898.00		1560. WELLPOINT INC PROPERTY TYPE: SECURITIES 57,748.00					08/14/2003 14,150.00	05/29/2009
105,399.00		5125. WEATHERFORD INTNTL LTD NEW PROPERTY TYPE: SECURITIES 48,879.00					01/17/2003 56,520.00	05/29/2009
9.00		.3 CENTURYTEL INC COM PROPERTY TYPE: SECURITIES 9.00					05/29/2009	07/14/2009
3.00		60. KRISPY KREME DOUGHNUTS, INC PROPERTY TYPE: SECURITIES 1.00		3/02/12			04/22/2009 2.00	10/06/2009



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis		Gain or (loss)	
120,000.00		9933.776 LAUDUS MONDRIAN INTL FI-INST #2 PROPERTY TYPE: SECURITIES 108,676.00					05/26/2009 11,324.00	11/25/2009
75,000.00		3928.759 SSGA EMERGING MARKETS FD S #151 PROPERTY TYPE: SECURITIES 83,683.00					08/22/2006 -8,683.00	11/25/2009
26,488.00		1990. APPLIED MATERIALS INC COM W/RIGHTS PROPERTY TYPE: SECURITIES 36,835.00					08/19/2008 -10,347.00	12/11/2009
27,755.00		1800. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 58,825.00					08/14/2003 -31,070.00	12/11/2009
51,248.00		660. BECTON DICKINSON & CO COM PROPERTY TYPE: SECURITIES 57,725.00					08/19/2008 -6,477.00	12/11/2009
51,063.00		800. DEVON ENERGY CORP NEW COM PROPERTY TYPE: SECURITIES 19,189.00					08/14/2003 31,874.00	12/11/2009
50,029.00		1000. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 21,280.00					08/14/2003 28,749.00	12/11/2009
59,669.00		3000. INTEL CORP COM PROPERTY TYPE: SECURITIES 71,334.00					10/27/2005 -11,665.00	12/11/2009
73,972.00		1700. MEDTRONIC INC COM W/RTS ATTACHED E PROPERTY TYPE: SECURITIES 90,980.00					03/20/2000 -17,008.00	12/11/2009
104,367.00		3500. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 92,330.00					07/21/2005 12,037.00	12/11/2009
25,489.00		400. NIKE INC CL B COM PROPERTY TYPE: SECURITIES 10,950.00					08/14/2003 14,539.00	12/11/2009

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
28,530.00		545. NORFOLK SOUTHN CORP COM W/RIGHTS AT PROPERTY TYPE: SECURITIES 37,022.00					08/19/2008 -8,492.00	12/11/2009
27,588.00		780. OIL STATES INTERNATIONAL INC COM PROPERTY TYPE: SECURITIES 39,521.00					08/19/2008 -11,933.00	12/11/2009
43,053.00		385. PRECISION CASTPARTS CORP COM PROPERTY TYPE: SECURITIES 38,565.00					08/19/2008 4,488.00	12/11/2009
48,042.00		1250. ST. JUDE MED INC PROPERTY TYPE: SECURITIES 57,950.00					08/19/2008 -9,908.00	12/11/2009
TOTAL GAIN (LOSS) .....		.....					----- -26,653. =====	

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
BUSINESS CKNG INTRST	56.	56.
	-----	-----
TOTALS	56.	56.
	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
WELLS FARGO BANK, NA	57,589.	57,589.
	-----	-----
TOTALS	57,589.	57,589.
	=====	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL TAX PAYMENT - PRIOR YE	2,628.	
FEDERAL ESTIMATES - PRINCIPAL	2,833.	
FOREIGN TAXES ON QUALIFIED FOR	1,797.	1,797.
FOREIGN TAXES ON NONQUALIFIED	605.	605.
	-----	-----
TOTALS	7,863.	2,402.
	=====	=====

R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
GRANT ADMNSTR FEES AND EXPENSE REIMB.	10,636.	10,636.
TOTALS	----- 10,636. =====	----- 10,636. =====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
OUTSIDE ASSETS, BOOK ADJSTMT	195,696.
	-----
TOTAL	195,696.
	=====

R T VANDERBILT TRUST

06-6040981

-----  
STATE(S) WHERE THE FOUNDATION IS REGISTERED  
=====

CT

STATEMENT 6

X0576 2 000

QM0408 543P 10/29/2010 14:43:56

26 -



R T VANDERBILT TRUST

06-6040981

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

=====

NAME:

See attached

STATEMENT 7



## R.T. Vanderbilt Trust

H. B. VANDERBILT, JR.  
CHAIRMAN

30 WINFIELD STREET, NORWALK, CONNECTICUT 06855 • TELEPHONE (203) 853-1400

November 30, 2009

Forderverein Paul-Guenther-Schule  
Schillerstrasse 13  
04643 Geithain, Germany

**Re: R.T. Vanderbilt Charitable Trust**

Dear Sir or Madam:

It is my pleasure to inform you that the trustees of the R.T. Vanderbilt Charitable Trust have approved a grant in the amount of \$20,000 to Forderverein Paul-Guenther-Schule. This grant requires expenditure responsibility. As a result, we ask that you review the terms and conditions below before acceptance.

The following terms and conditions apply to your organization's use of the Foundation's grant:

**1. Purpose**

Under United States law, Foundation grant funds, and income earned on those funds, may be spent only for charitable, religious, scientific, literary or educational purposes. This grant is made only for the purposes stated in this letter, and it is agreed that these grant funds will be used only for such purposes substantially in accordance with the budget submitted with your grant proposal. It is also understood that no substantial changes will be made from the approved budget without the Foundation's prior approval in writing.

**2. Separate Fund**

All Foundation grant funds received by your organization must be maintained in a separate fund dedicated to the charitable purposes described in this letter. Such a separate fund may be either 1) a physically separate bank account restricted to the described charitable purposes, or 2) a separate bookkeeping account (limited to the described charitable purposes) maintained as part of your financial records. The Foundation encourages, whenever feasible, the deposit of grant funds in an interest-bearing account.

**3. Reporting**

A written report signed by an appropriate officer of your organization must be furnished to the Foundation, within three (3) months after the close of each fiscal year in which your organization receives or spends any portion of Foundation grant funds (including income, if any, from such funds) until the grant funds are spent in full or the grant is otherwise terminated. In addition, within three (3) months following the fiscal year-end in which your organization completes its use of the grant funds, a final written report is to be furnished to the Foundation with respect to all expenditures under the grant (including salaries, travel, and supplies).

Each written report, including the final written report, must contain two parts: a narrative account and a financial account of what was accomplished by the expenditure of the grant funds during the period covered by the report

- A. Narrative Account: The narrative account should provide a detailed description of what was accomplished by the grant, including a description of the progress made toward achieving the

goals of the grant and an assurance that the activities under the grant have been conducted in conformity with the terms of the grant.

- B. Financial Account: The financial account should provide a financial statement reporting, in U.S. dollars, all expenditures of Foundation grant funds and any income earned on those funds. The financial statement should include only Foundation funds received and expended under this grant during the period covered by the report. It is assumed that the financial statement will be prepared from books and records maintained on a fund-accounting (cash) basis. Only expenditures made in support of the grant purposes should be charged against the grant, and records should be maintained of such expenditures adequate to enable the use of such funds to be checked readily.

If written reports are not submitted to the Foundation on a timely basis, United States law requires that the Foundation withhold further payments, if any, to your organization and to any affiliate organization under this grant or under any other Foundation grant and prohibits the Foundation from awarding any new grants to your organization or any affiliate organization.

**4. Record Maintenance and Inspection**

Your organization must maintain records of receipts and expenditures and make your books and records available to the Foundation for inspection at reasonable times. The Foundation may monitor and conduct an evaluation of operations under this grant, which may include a visit by Foundation personnel to observe your organization's program, discuss the program with your organization's personnel and review financial and other records and materials connected with the activities financed by this grant.

**5. Record Retention [for private foundation grantees only]**

If you are classified by the Internal Revenue Service as a private foundation, United States law requires that such accounting records, as well as copies of the reports submitted to the Foundation, be kept by your organization for at least four years after completion of the use of the grant funds.

**6. Prohibited Activities**

So that the Foundation may comply with the tax laws of the United States, it is understood that Foundation grant funds will not be used for any of the following purposes:

- A. To carry on propaganda, or otherwise to attempt to influence any legislation (within the meaning of Section 4945(d)(1) of the United States Internal Revenue Code);
- B. To influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive (within the meaning of Section 4945(d)(2) of the United States Internal Revenue Code);
- C. To make grants to individuals for travel, study or other similar purposes by such individuals (such as scholarships, fellowships or grants for research), unless such grants satisfy the requirements of Section 4945(g) of the IRC.
- D. To make any grant to any other organization (other than to public charities or exempt operating foundations) which does not comply with the requirements of Section 4945(d)(4) of the United States Internal Revenue Code; or

- E. To undertake any activity for any purpose other than the charitable purposes specified in Section 170(c)(2)(B) of the United States Internal Revenue Code.

If you have further questions regarding activities that are not permitted, please contact the Foundation.

7. Return of Unused Funds

Any grant funds, and any income earned on those funds, that are not spent or committed for the purposes of the grant, must be returned to the Foundation.

8. Compliance

The Foundation reserves the right, in its sole discretion, to discontinue funding if it is not satisfied with the progress of the grant or the content of any written report.

If this letter correctly describes your understanding of the terms of this grant, please indicate your organization's agreement to such terms by having the enclosed copy of this letter signed by an appropriate officer of your organization and returned to the Foundation. In signing this letter, such officer represents to the Foundation that he/she has the authority to sign this letter on the organization's behalf.

On behalf of the Foundation, may I extend every good wish for the success of your work

Sincerely,



Hugh Vanderbilt, Jr.  
R.T. Vanderbilt Charitable Trust

cc Gloria Kallas

ACCEPTED AND AGREED THIS 16 DAY OF December, 2009.

FORDERVEREIN PAUL-GUENTHER-SCHULE

BY: 

SIGNATURE

PRINTED NAME: NEUHAUS

TITLE: Vorstand Forderverein

Schulhof

Vorstand

Stf. des Fördervereins d.  
P.-Guenther-Schule  
zu Jertheim



## **R.T. Vanderbilt Trust**

30 WINFIELD STREET, NORWALK, CONNECTICUT 06855 • TELEPHONE (203) 853-1400

H. B. VANDERBILT, JR.  
CHAIRMAN

December 21, 2009

**Mr. Milton Easington  
Philanthropic Specialist, Officer  
Nonprofit & Philanthropic Services  
Wachovia Bank  
10 State House Square, 2nd Floor  
Hartford, CT 06103**

Dear Milton:

I am enclosing the originals of the expenditure responsibility letters received from the following:

Hyde Hall, Inc.  
Middle Patent Rural Cemetery Assn.  
Silver Shield Association, Inc.

Thank you again for your assistance.

Sincerely,

Gloria Kallas

glk



## R.T. Vanderbilt Trust

30 WINFIELD STREET, NORWALK, CONNECTICUT 06855 • TELEPHONE (203) 853-1400

H B VANDERBILT, JR.  
CHAIRMAN

December 10, 2009

Silver Shield Association  
P.O. Box 1123  
Greenwich, CT 06836

**Re: R.T. Vanderbilt Charitable Trust**

Dear Sir or Madam:

It is my pleasure to inform you that trustees of the R.T. Vanderbilt Charitable Trust have approved a grant in the amount of \$1,000 to Silver Shield Association. This grant requires expenditure responsibility. As a result, we ask that you review the terms and conditions before acceptance.

This letter and its attachments outline the terms and conditions of accepting our grant. Please read all the terms and conditions carefully, sign, and return this signed grant contract to my attention. After we receive your signed contract, we will mail you a check within two weeks.

This grant is an "expenditure responsibility" grant within the meaning of section 4945(h) of the Code. Thus, The Foundation must (i) see that the grant is spent solely for the purposes for which made; (ii) obtain full and complete reports from you on how the funds are spent; and (iii) make full and detailed reports with respect to such expenditures to the Internal Revenue Service. By accepting this grant, you agree to cooperate fully with The Foundation to assure that The Foundation is able to satisfy all of the requirements of an "expenditure responsibility" grant in accordance with section 4945(h) of the Code and the regulations there under.

### **1. Purpose**

Under United States law, Foundation grant funds, and income earned on those funds, may be spent only for charitable, religious, scientific, literary or educational purposes. This grant is made only for the purposes stated in this letter, and it is agreed that these grant funds will be used only for such purposes substantially in accordance with above stated terms. It is also understood that no substantial changes will be made from the approved budget without the Foundation's prior approval in writing.

### **2. Reporting**

A written report signed by an appropriate officer of your organization must be furnished to the Foundation to the attention Gloria Kallas within three months after the close of each year in which your organization receives or spends any portion of the Foundation grant funds. Each written report, including the final written report, should contain two parts: a narrative account and a financial account of what was accomplished by the expenditure of the grant funds during the period covered by the report. The Narrative account should provide a detailed description of what was accomplished by the grant, including a description of the progress made toward achieving the goals of the grant and an assurance that the activities under the grant have been conducted in conformity with the terms of the grant. The financial account should provide a financial statement reporting all expenditures of the grant funds and any income earned on those funds. The financial statement should include only Foundation funds received and expended under this grant during the period covered by the report. The Foundation may withhold further payments, if any, to your organization under this grant or under any other Foundation grant if written reports are not submitted on a timely basis.

### **3. Record Maintenance and Inspection**

Your organization must maintain records of receipts and expenditures and make your books and record available to the Foundation for inspection at reasonable times. The Foundation may monitor and conduct an

evaluation of operations under this grant, which may include a visit by Foundation personnel to observe your organization's program, discuss the program with your organization's personnel and review financial and other records and materials connected with the activities financed by this grant.

#### 4. Prohibited Activities

So that the Foundation may comply with the tax laws of the United States, it is understood that Foundation grant funds will not be used to undertake any activity for any purpose other than the charitable purposes specified in Section 170(c)(2)(B) of the United States Internal Revenue code. Further, these funds will not be used for any of the following purposes:

- A. To carry on propaganda or otherwise to attempt to influence any legislation (within the meaning of Section 4945(d)(1) of the United States Revenue Code ("IRC").)
- B. To influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive (within the meaning of Section 4945(d)(2) of the IRC);
- C. To make grants to individuals for travel, fellowships or grants for research), unless such grants satisfy the requirements of Section 4945(g) of the IRC.
- D. To make any grant to other organization (other than to public charities or exempt operating foundations) which does not comply with the requirements of Section 4945(d)(4) of the IRC.
- E. To undertake any activity for any purpose other than the charitable purposes specified in Section 170(c)(2)(B) of the IRC.

If you have questions regarding activities that are not permitted, please contact Gloria Kallas.

#### 5. Return of Unused Funds

Any grant funds, and any income earned on those funds, that are not spent or committed for the purposes of the grant, must be returned to the Foundation.

If this letter correctly describes your understanding of the terms of this grant, please indicate your organization's agreement to such terms by having the enclosed copy of this letter signed by an appropriate officer of your organization and returned to the Foundation to the attention of Gloria Kallas. In signing this letter, such officer represent to the Foundation that he/she has the authority to sign this letter on the organizations behalf. Payment of any grant funds will commence in accordance with the schedule set forth above and following receipt by the Foundation of a signed copy of this letter.

On behalf of the Foundation, may I extend every good wish for the success of your work.

Sincerely,




Hugh Vanderbilt, Jr.  
R.T. Vanderbilt Charitable Trust

cc: Gloria Kallas

ACCEPTED AND AGREED THIS <sup>2</sup>14 DAY OF December, 2009.

SILVER SHIELD ASSOCIATION

BY:   
SIGNATURE

PRINTED NAME: Thomas M. Keegan  
TITLE: DIRECTOR



## R.T. Vanderbilt Trust

30 WINFIELD STREET, NORWALK, CONNECTICUT 06855 • TELEPHONE (203) 853-1400

H B VANDERBILT, JR.  
CHAIRMAN

December 10, 2009

Hyde Hall, Inc  
P. O. Box 721  
Cooperstown, NY 13326

**Re: R.T. Vanderbilt Charitable Trust**

Dear Sir or Madam:

It is my pleasure to inform you that the trustees of the R.T. Vanderbilt Charitable Trust have approved a grant in the amount of \$6,000 to Hyde Hall, Inc. This grant requires expenditure responsibility. As a result, we ask that you review the terms and conditions before acceptance.

This letter and its attachments outline the terms and conditions of accepting our grant. Please read all the terms and conditions carefully, sign, and return this signed grant contract to my attention. After we receive your signed contract, we will mail you a check within two weeks.

This grant is an "expenditure responsibility" grant within the meaning of section 4945(h) of the Code. Thus, The Foundation must (i) see that the grant is spent solely for the purposes for which made; (ii) obtain full and complete reports from you on how the funds are spent; and (iii) make full and detailed reports with respect to such expenditures to the Internal Revenue Service. By accepting this grant, you agree to cooperate fully with The Foundation to assure that The Foundation is able to satisfy all of the requirements of an "expenditure responsibility" grant in accordance with section 4945(h) of the Code and the regulations thereunder.

### **1. Purpose**

Under United States law, Foundation grant funds, and income earned on those funds, may be spent only for charitable, religious, scientific, literary or educational purposes. This grant is made only for the purposes stated in this letter, and it is agreed that these grant funds will be used only for such purposes substantially in accordance with above stated terms. It is also understood that no substantial changes will be made from the approved budget without the Foundation's prior approval in writing.

### **2. Reporting**

A written report signed by an appropriate officer of your organization must be furnished to the Foundation to the attention of Gloria Kallas within three months after the close of each year in which your organization receives or spends any portion of the Foundation grant funds. Each written report, including the final written report should contain two parts: a narrative account and a financial account of what was accomplished by the expenditure of the grant funds during the period covered by the report. The Narrative account should provide a detailed description of what was accomplished by the grant, including a description of the progress made toward achieving the goals of the grant and an assurance that the activities under the grant have been conducted in conformity with the terms of the grant. The financial account should provide a financial statement reporting all expenditures of the grant funds and any income earned on those funds. The financial statement should include only Foundation funds received and expended under this grant during the period covered by the report. The Foundation may withhold further payments, if any, to your organization under this grant or under any other Foundation grant if written reports are not submitted on a timely basis.

### **3. Record Maintenance and Inspection**

Your organization must maintain records of receipts and expenditures and make your books and record available to the Foundation for inspection at reasonable times. The Foundation may monitor and conduct an



evaluation of operations under this grant, which may include a visit by Foundation personnel to observe your organization's program, discuss the program with your organization's personnel and review financial and other records and materials connected with the activities financed by this grant.

#### 4. Prohibited Activities

So that the Foundation may comply with the tax laws of the United States, it is understood that Foundation grant funds will not be used to undertake any activity for any purpose other than the charitable purposes specified in Section 170(c)(2)(B) of the United States Internal Revenue code. Further, these funds will not be used for any of the following purposes:

- A. To carry on propaganda or otherwise to attempt to influence any legislation (within the meaning of Section 4945(d)(1) of the United States Revenue Code ("IRC")):
- B. To influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive (within the meaning of Section 4945(d)(2) of the IRC);
- C. To make grants to individuals for travel, fellowships or grants for research), unless such grants satisfy the requirements of Section 4945(g) of the IRC.
- D. To make any grant to other organization (other than to public charities or exempt operating foundations) which does not comply with the requirements of Section 4945(d)(4) of the IRC.
- E. To undertake any activity for any purpose other than the charitable purposes specified in Section 170(c)(2)(B) of the IRC.

If you have questions regarding activities that are not permitted, please contact Gloria Kallas.

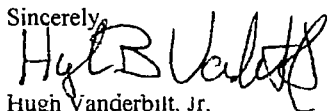
#### 5. Return of Unused Funds

Any grant funds, and any income earned on those funds, that are not spent or committed for the purposes of the grant, must be returned to the Foundation.

If this letter correctly describes your understanding of the terms of this grant, please indicate your organization's agreement to such terms by having the enclosed copy of this letter signed by an appropriate officer of your organization and returned to the Foundation to the attention of Gloria Kallas. In signing this letter, such officer represent to the Foundation that he/she has the authority to sign this letter on the organizations behalf. Payment of any grant funds will commence in accordance with the schedule set forth above and following receipt by the Foundation of a signed copy of this letter.

On behalf of the Foundation, may I extend every good wish for the success of your work

Sincerely,



Hugh Vanderbilt, Jr.  
R T. Vanderbilt Charitable Trust

cc: Gloria Kallas

ACCEPTED AND AGREED THIS 14 DAY OF Dec 2009,

HYDE HALL, INC.

BY: 

SIGNATURE

PRINTED NAME: Diane Elliott

TITLE: Executive Director



## R.T. Vanderbilt Trust

30 WINFIELD STREET, NORWALK, CONNECTICUT 06855 • TELEPHONE (203) 853-1400

H B VANDERBILT, JR.  
CHAIRMAN

December 10, 2009

Middle Patent Rural Cemetery Association  
c/o Doris Watson  
Banksville Road  
Bedford, NY 10506

**Re: R.T. Vanderbilt Charitable Trust**

Dear Doris:

It is my pleasure to inform you that the trustees of the R.T. Vanderbilt Charitable Trust have approved a grant in the amount of \$3,000 to Middle Patent Rural Cemetery Association. This grant requires expenditure responsibility. As a result, we ask that you review the terms and conditions below before acceptance.

This letter and its attachments outline the terms and conditions of accepting our grant. Please read all the terms and conditions carefully, sign, and return this signed grant contract to my attention. After we receive your signed contract, we will mail you a check within two weeks.

This grant is an "expenditure responsibility" grant within the meaning of section 4945(h) of the Code. Thus, The Foundation must (i) see that the grant is spent solely for the purposes for which made; (ii) obtain full and complete reports from you on how the funds are spent; and (iii) make full and detailed reports with respect to such expenditures to the Internal Revenue Service. By accepting this grant, you agree to cooperate fully with The Foundation to assure that The Foundation is able to satisfy all of the requirements of an "expenditure responsibility" grant in accordance with section 4945(h) of the Code and the regulations there under.

### **1. Purpose**

Under United States law, Foundation grant funds, and income earned on those funds, may be spent only for charitable, religious, scientific, literary or educational purposes. This grant is made only for the purposes stated in this letter, and it is agreed that these grant funds will be used only for such purposes substantially in accordance with above stated terms. It is also understood that no substantial changes will be made from the approved budget without the Foundation's prior approval in writing.

### **2. Reporting**

A written report signed by an appropriate officer of your organization must be furnished to the Foundation to the attention of Gloria Kallas within three months after the close of each year in which your organization receives or spends any portion of the Foundation grant funds. Each written report, including the final written report should contain two parts: a narrative account and a financial account of what was accomplished by the expenditure of the grant funds during the period covered by the report. The Narrative account should provide a detailed description of what was accomplished by the grant, including a description of the progress made toward achieving the goals of the grant and an assurance that the activities under the grant have been conducted in conformity with the terms of the grant. The financial account should provide a financial statement reporting all expenditures of the grant funds and any income earned on those funds. The financial statement should include only Foundation funds received and expended under this grant during the period covered by the report. The Foundation may withhold further payments, if any, to your organization under this grant or under any other Foundation grant if written reports are not submitted on a timely basis.

### **3. Record Maintenance and Inspection**

Your organization must maintain records of receipts and expenditures and make your books and record

available to the Foundation for inspection at reasonable times. The Foundation may monitor and conduct an evaluation of operations under this grant, which may include a visit by Foundation personnel to observe your organization's program, discuss the program with your organization's personnel and review financial and other records and materials connected with the activities financed by this grant.

#### 4. Prohibited Activities

So that the Foundation may comply with the tax laws of the United States, it is understood that Foundation grant funds will not be used to undertake any activity for any purpose other than the charitable purposes specified in Section 170(c)(2)(B) of the United States Internal Revenue code. Further, these funds will not be used for any of the following purposes:

- A. To carry on propaganda or otherwise to attempt to influence any legislation (within the meaning of Section 4945(d)(1) of the United States Revenue Code ("IRC").
- B. To influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive (within the meaning of Section 4945(d)(2) of the IRC);
- C. To make grants to individuals for travel, fellowships or grants for research), unless such grants satisfy the requirements of Section 4945(g) of the IRC
- D. To make any grant to other organization (other than to public charities or exempt operating foundations) which does not comply with the requirements of Section 4945(d)(4) of the IRC.
- E. To undertake any activity for any purpose other than the charitable purposes specified in Section 170(c)(2)(B) of the IRC.

If you have questions regarding activities that are not permitted, please contact Gloria Kallas.

#### 5. Return of Unused Funds

Any grant funds, and any income earned on those funds, that are not spent or committed for the purposes of the grant, must be returned to the Foundation.

If this letter correctly describes your understanding of the terms of this grant, please indicate your organization's agreement to such terms by having the enclosed copy of this letter signed by an appropriate officer of your organization and returned to the Foundation to the attention of Gloria Kallas. In signing this letter, such officer represent to the Foundation that he/she has the authority to sign this letter on the organizations behalf. Payment of any grant funds will commence in accordance with the schedule set forth above and following receipt by the Foundation of a signed copy of this letter

On behalf of the Foundation, may I extend every good wish for the success of your work.

Sincerely,



Hugh Vanderbilt, Jr.  
R T. Vanderbilt Charitable Trust

cc Gloria Kallas

ACCEPTED AND AGREED THIS 14<sup>th</sup> DAY OF Dec. 2009.

MIDDLE PATENT RURAL CEMETERY ASSOCIATION

BY: Doris Finch Watson

SIGNATURE

PRINTED NAME: Doris Finch Watson

TITLE: Secretary-Treasurer

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

R. T. Vanderbilt

ADDRESS:

30 Winfield St.,  
Norwalk, CT

TITLE:

Co-Trustee

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

P. Vanderbilt

ADDRESS:

30 Winfield St.,  
Norwalk, CT

TITLE:

Co-Trustee

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

R T VANDERBILT TRUST 06-6040981  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

RECIPIENT NAME:  
H.B. VANDERBILT, JR.  
ADDRESS:  
P.O. BOX 5150  
NORWALK, CT 06856-5150

RECIPIENT NAME:  
See Attached  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL PURPOSES  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC CHARITIES  
AMOUNT OF GRANT PAID ..... 389,700.

TOTAL GRANTS PAID: 389,700.  
=====

R.T.Vanderbilt Trust  
Form 990-PF  
Year Ended December 31, 2009  
Contributions  
Part I - Line 25

149 BENEFICIARY DISTRIBUTIONS

SEE ATTACHED - 99-9999999

12/01/09	CHARITABLE CONTRIBUTION PLANNED PARENTHOOD FEDERATION OF AMERICA, INC. 2009 CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: PLANNED PARENTHOOD FEDERATION OF AMERICA TR TRAN#000000000000006 TR CD=760 REG=0 PORT=PRIN	-25000 00	-25000.00	0912000004	**CHANGED** 05/11/10 DSG
12/01/09	CHARITABLE CONTRIBUTION PLANNED PARENTHOOD FEDERATION OF AMERICA, INC. 2009 CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: PLANNED PARENTHOOD FEDERATION OF AMERICA TR TRAN#000000000000007 TR CD=760 REG=0 PORT=PRIN	-8000 00	-8000.00	0912000005	**CHANGED** 05/11/10 DSG
12/01/09	CHARITABLE CONTRIBUTION YALE UNIVERSITY 2009 CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: YALE UNIVERSITY TR TRAN#000000000000008 TR CD=760 REG=0 PORT=PRIN	-5000 00	-5000.00	0912000006	**CHANGED** 05/11/10 DSG
12/01/09	CHARITABLE CONTRIBUTION CHOATE ROSEMARY HALL FOUNDATION, INC. 2009 CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: CHOATE ROSEMARY HALL FOUNDATION, INC. TR TRAN#000000000000009 TR CD=760 REG=0 PORT=PRIN	-2500 00	-2500.00	0912000007	**CHANGED** 05/11/10 DSG
12/01/09	CHARITABLE CONTRIBUTION BERKSHIRE SCHOOL, INC. 2009 CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: BERKSHIRE SCHOOL, INC. TR TRAN#000000000000010 TR CD=760 REG=0 PORT=PRIN	-2500 00	-2500.00	0912000008	**CHANGED** 05/11/10 DSG
12/01/09	CHARITABLE CONTRIBUTION THE CONNECTICUT AUDUBON SOCIETY, INC. 2009 CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: THE CONNECTICUT AUDUBON SOCIETY, INC. TR TRAN#000000000000011 TR CD=760 REG=0 PORT=PRIN	-45000 00	-45000.00	0912000009	**CHANGED** 05/11/10 DSG
12/01/09	CHARITABLE CONTRIBUTION THE CONNECTICUT AUDUBON SOCIETY, INC. 2009 CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: THE CONNECTICUT AUDUBON SOCIETY, INC. TR TRAN#000000000000012 TR CD=760 REG=0 PORT=PRIN	-50000 00	-50000.00	0912000010	**CHANGED** 05/11/10 DSG
12/01/09	CHARITABLE CONTRIBUTION DOCTORS WITHOUT BORDERS 2009 CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: DOCTORS WITHOUT BORDERS TR TRAN#000000000000013 TR CD=760 REG=0 PORT=PRIN	-30000 00	-30000.00	0912000011	**CHANGED** 05/11/10 DSG
12/08/09	CHARITABLE CONTRIBUTION GETTYSBURG COLLEGE CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: GETTYSBURG COLLEGE TR TRAN#000000000000006 TR CD=755 REG=0 PORT=INC	-500 00	-500.00	0912000025	**CHANGED** 05/11/10 DSG
12/08/09	CHARITABLE CONTRIBUTION GETTYSBURG COLLEGE CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: GETTYSBURG COLLEGE TR TRAN#000000000000007 TR CD=755 REG=0 PORT=INC	-500 00	-500.00	0912000026	**CHANGED** 05/11/10 DSG
12/08/09	CHARITABLE CONTRIBUTION GETTYSBURG COLLEGE CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: GETTYSBURG COLLEGE TR TRAN#000000000000008 TR CD=755 REG=0 PORT=INC	-1500 00	-1500.00	0912000027	**CHANGED** 05/11/10 DSG
12/08/09	CHARITABLE CONTRIBUTION UNIVERSITY OF DENVER CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO: UNIVERSITY OF DENVER TR TRAN#000000000000009 TR CD=755 REG=0 PORT=INC	-500 00	-500.00	0912000028	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
RIBUTION FOR 99-9999999 PAID TO UNIVERSITY OF DENVER TR TRAN#=000000000000009 TR CD=755 REG=0 PORT=INC			**CHANGED**	05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION A S P C A CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO A S P C A TR TRAN#=000000000000010 TR CD=755 REG=0 PORT=INC	-1000 00	-1000 00	**CHANGED**	0912000029 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION MAMANASCO LAKE IMPROVEMENT FUND, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO MAMANASCO LAKE IMPROVEMENT FUND, INC TR TRAN#=000000000000011 TR CD=755 REG=0 PORT=INC	-500 00	-500 00	**CHANGED**	0912000030 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION CONNECTICUT PUBLIC BROADCASTING, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO CONNECTICUT PUBLIC BROADCASTING, INC TR TRAN#=000000000000012 TR CD=755 REG=0 PORT=INC	-1000 00	-1000 00	**CHANGED**	0912000031 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION RIDGEFIELD PLAYHOUSE CHARITABLE CONT RIBUTION FOR 99-9999999 PAID TO RIDGEFIELD PLAYHOUSE TR TRAN#=000000000000013 TR CD=755 REG=0 PORT=INC	-2000 00	-2000 00	**CHANGED**	0912000032 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION ST JOSEPH'S INDIAN SCHOOL CHARITABL E CONTRIBUTION FOR 99-9999999 PAID TO ST JOSEPH'S INDIAN SCHOOL TR TRAN#=000000000000014 TR CD=755 REG=0 PORT=INC	-500 00	-500 00	**CHANGED**	0912000033 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION VISITING NURSE ASSOCIATION OF RIDGEF IELD, INC CHARITABLE CONTRIBUTION FOR. 99-9999999 PAID TO VISITING NURSE ASSOCIATION OF RIDGEFIELD TR TRAN#=000000000000015 TR CD=755 REG=0 PORT=INC	-1000 00	-1000 00	**CHANGED**	0912000034 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION RIDGEFIELD LIBRARY ASSOCIATION, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO RIDGEFIELD LIBRARY ASSOCIATION, INC TR TRAN#=000000000000016 TR CD=755 REG=0 PORT=INC	-2000 00	-2000 00	**CHANGED**	0912000035 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION ST LUKES FOUNDATION, INC CHARITABL E CONTRIBUTION FOR 99-9999999 PAID TO ST LUKES FOUNDATION, INC TR TRAN#=000000000000017 TR CD=755 REG=0 PORT=INC	-500 00	-500 00	**CHANGED**	0912000036 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION MEALS ON WHEELS OF RIDGEFIELD CHARIT ABLE CONTRIBUTION FOR 99-9999999 PAID TO MEALS ON WHEELS OF RIDGEFIELD TR TRAN#=000000000000018 TR CD=755 REG=0 PORT=INC	-500 00	-500 00	**CHANGED**	0912000037 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION FOUNDERS HALL FOUNDATION, INC CHARI TABLE CONTRIBUTION FOR 99-9999999 PAID TO FOUNDERS HALL FOUNDATION, INC TR TRAN#=000000000000019 TR CD=755 REG=0 PORT=INC	-500 00	-500 00	**CHANGED**	0912000038 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION TOWN OF RIDGEFIELD CHARITABLE CONTRI BUTION FOR 99-9999999 PAID TO TOWN OF RIDGEFIELD TR TRAN#=000000000000021 TR CD=755 REG=0 PORT=INC	-2000 00	-2000 00	**CHANGED**	0912000040 05/11/10 DSG
12/08/09 CHARITABLE CONTRIBUTION BEST FRIENDS ANIMAL SOCIETY CHARITAB LE CONTRIBUTION FOR 99-9999999 PAID TO BEST FRIENDS ANIMAL SOCIETY TR TRAN#=000000000000022 TR CD=755 REG=0 PORT=INC	-3000 00	-3000 00	**CHANGED**	0912000041 05/11/10 DSG

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
12/08/09 CHARITABLE CONTRIBUTION FRIENDS OF THE AUDUBON CENTER IN GREENWICH, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO FRIENDS OF THE AUDUBON CENTER IN GREENWICH TR TRAN#=000000000000023 TR CD=755 REG=0 PORT=INC	-900 00	-900 00	**CHANGED** 05/11/10 DSG	0912000042
12/08/09 CHARITABLE CONTRIBUTION TOWN OF RIDGEFIELD CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO TOWN OF RIDGEFIELD TR TRAN#=000000000000020 TR CD=755 REG=0 PORT=INC	-500 00	-500 00	**CHANGED** 05/11/10 DSG	0912000039
12/10/09 CHARITABLE CONTRIBUTION ST LUKE'S CHURCH CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO ST LUKE'S CHURCH TR TRAN#=000000000000019 TR CD=760 REG= PORT=PRIN	-3000 00		-3000 00 **CHANGED** 05/11/10 DSG	0912000062
12/10/09 CHARITABLE CONTRIBUTION WAYNFLETE SCHOOL CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO WAYNFLETE SCHOOL TR TRAN#=000000000000020 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 **CHANGED** 05/11/10 DSG	0912000063
12/10/09 CHARITABLE CONTRIBUTION CAMPING & EDUCATION FOUNDATION CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO CAMPING & EDUCATION FOUNDATION TR TRAN#=000000000000018 TR CD=760 REG= PORT=PRIN	-4000 00		-4000 00 **CHANGED** 05/11/10 DSG	0912000061
12/10/09 CHARITABLE CONTRIBUTION THE TRUSTEES OF THE SMITH COLLEGE CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO THE TRUSTEES OF THE SMITH COLLEGE TR TRAN#=000000000000017 TR CD=760 REG= PORT=PRIN	-5000 00		-5000 00 **CHANGED** 05/11/10 DSG	0912000060
12/10/09 CHARITABLE CONTRIBUTION WAYSIDE EVENING SOUP KITCHEN CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO WAYSIDE EVENING SOUP KITCHEN TR TRAN#=000000000000022 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 **CHANGED** 05/11/10 DSG	0912000065
12/10/09 CHARITABLE CONTRIBUTION W G MALLETT SCHOOL CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO W G MALLETT SCHOOL TR TRAN#=000000000000023 TR CD=760 REG= PORT=PRIN	-3900 00		-3900 00 **CHANGED** 05/11/10 DSG	0912000066
12/10/09 CHARITABLE CONTRIBUTION BROWN UNIVERSITY CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO BROWN UNIVERSITY TR TRAN#=000000000000024 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 **CHANGED** 05/11/10 DSG	0912000067
12/10/09 CHARITABLE CONTRIBUTION MAINE MEDICAL CENTER CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO MAINE MEDICAL CENTER TR TRAN#=000000000000025 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 **CHANGED** 05/11/10 DSG	0912000068
12/10/09 CHARITABLE CONTRIBUTION UNIVERSITY OF NOTRE DAME CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO UNIVERSITY OF NOTRE DAME TR TRAN#=000000000000021 TR CD=760 REG= PORT=PRIN	-2000 00		-2000 00 **CHANGED** 05/11/10 DSG	0912000064
12/11/09 CHARITABLE CONTRIBUTION ANGELS COMMUNITY CLINIC, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO ANGELS COMMUNITY CLINIC, INC TR TRAN#=000000000000015 TR CD=760 REG= PORT=PRIN	-500 00		-500 00 **CHANGED** 05/11/10 DSG	0912000079
12/11/09 CHARITABLE CONTRIBUTION BRUNSWICK SCHOOL, INC CHARITABLE CONTRIBUTION FOR 99-9999999	-2000 00		-2000 00 **CHANGED** 05/11/10 DSG	0912000083



TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED)				
SEE ATTACHED - 99-9999999 (CONTINUED)				
PAID TO:				
BRUNSWICK SCHOOL, INC				
TR TRAN#=000000000000019 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION ASPEN THEATRE IN THE PARK CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-1000 00		-1000 00 0912000084	**CHANGED** 05/11/10 DSG
ASPEN THEATRE IN THE PARK				
TR TRAN#=000000000000020 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION AMOGERONE FIRE CO 1, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-1000 00		-1000 00 0912000085	**CHANGED** 05/11/10 DSG
AMOGERONE FIRE CO 1, INC				
TR TRAN#=000000000000021 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION BETHEL VOLUNTEER FIRE DEPT CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-600 00		-600 00 0912000077	**CHANGED** 05/11/10 DSG
BETHEL VOLUNTEER FIRE DEPT				
TR TRAN#=000000000000013 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION MURRAY CALLOWAY NEED LINE ASSOCIATION, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-500 00		-500 00 0912000078	**CHANGED** 05/11/10 DSG
MURRAY CALLOWAY NEED LINE ASSOCIATION, I				
TR TRAN#=000000000000014 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION ROLLINS COLLEGE CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-5000 00		-5000 00 0912000080	**CHANGED** 05/11/10 DSG
ROLLINS COLLEGE				
TR TRAN#=000000000000016 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION GREENWICH COUNTRY DAY SCHOOL, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-10000 00		-10000 00 0912000081	**CHANGED** 05/11/10 DSG
GREENWICH COUNTRY DAY SCHOOL, INC				
TR TRAN#=000000000000017 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION THE SALVATION ARMY CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-3500 00		-3500 00 0912000089	**CHANGED** 05/11/10 DSG
THE SALVATION ARMY				
TR TRAN#=000000000000025 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION GREENWICH ACADEMY, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-4000 00		-4000 00 0912000082	**CHANGED** 05/11/10 DSG
GREENWICH ACADEMY, INC				
TR TRAN#=000000000000018 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION HOLE IN THE WALL GANG FUND, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-2500 00		-2500 00 0912000087	**CHANGED** 05/11/10 DSG
HOLE IN THE WALL GANG FUND, INC				
TR TRAN#=000000000000023 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION GREENWICH EMERGENCY MEDICAL SERVICES, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-4000 00		-4000 00 0912000088	**CHANGED** 05/11/10 DSG
GREENWICH EMERGENCY MEDICAL SERVICES, IN				
TR TRAN#=000000000000024 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION ARIZONA SONORA DESERT MUSEUM CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-5000 00		-5000 00 0912000090	**CHANGED** 05/11/10 DSG
ARIZONA SONORA DESERT MUSEUM				
TR TRAN#=000000000000026 TR CD=760 REG= PORT=PRIN				
12/11/09 CHARITABLE CONTRIBUTION GREENWICH ARC, INC DBA ABILIS CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-2000 00		-2000 00 0912000091	**CHANGED** 05/11/10 DSG
GREENWICH ARC, INC DBA ABILIS				
TR TRAN#=000000000000027 TR CD=760 REG= PORT=PRIN				

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
12/11/09	CHARITABLE CONTRIBUTION CALL A RIDE OF GREENWICH CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO CALL A RIDE OF GREENWICH TR TRAN#=0000000000000022 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000086 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION WILLA CATHER FOUNDATION CHARITABLE C ONTRIBUTION FOR 99-9999999 PAID TO WILLA CATHER FOUNDATION TR TRAN#=0000000000000029 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000093 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION METROPOLITAN MUSEUM OF ART CHARITABL E CONTRIBUTION FOR 99-9999999 PAID TO METROPOLITAN MUSEUM OF ART TR TRAN#=0000000000000030 TR CD=760 REG= PORT=PRIN	-13000 00		-13000 00 0912000094 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION METROPOLITAN MUSEUM OF ART CHARITABL E CONTRIBUTION FOR 99-9999999 PAID TO METROPOLITAN MUSEUM OF ART TR TRAN#=0000000000000031 TR CD=760 REG= PORT=PRIN	-2000 00		-2000 00 0912000095 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION HISTORICAL SOCIETY OF THE TOWN OF GR EENWICH, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO HISTORICAL SOCIETY OF THE TOWN OF GREENW TR TRAN#=0000000000000032 TR CD=760 REG= PORT=PRIN	-60000 00		-60000 00 0912000096 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION SPECIAL OLYMPICS CONNECTICUT, INC C HARITABLE CONTRIBUTION FOR 99-9999999 PAID TO SPECIAL OLYMPICS CONNECTICUT, INC TR TRAN#=0000000000000028 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000092 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION AMERICAN UNIVERSITY OF PARIS, INC C HARITABLE CONTRIBUTION FOR 99-9999999 PAID TO AMERICAN UNIVERSITY OF PARIS, INC TR TRAN#=0000000000000034 TR CD=760 REG= PORT=PRIN	-4000 00		-4000 00 0912000098 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION UNITARIAN CHURCH OF ALL SOULS CHARIT ABLE CONTRIBUTION FOR: 99-9999999 PAID TO UNITARIAN CHURCH OF ALL SOULS TR TRAN#=0000000000000035 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000099 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION PATHWAYS, INC CHARITABLE CONTRIBUTI ON FOR 99-9999999 PAID TO PATHWAYS, INC TR TRAN#=0000000000000036 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000100 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION FAMILY CENTERS, INC CHARITABLE CONT RIBUTION FOR 99-9999999 PAID TO FAMILY CENTERS, INC TR TRAN#=0000000000000037 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000101 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION FRIENDS OF THE AUDUBON CENTER IN GRE ENWICH, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO FRIENDS OF THE AUDUBON CENTER IN GREENWI TR TRAN#=0000000000000033 TR CD=760 REG= PORT=PRIN	-4000 00		-4000 00 0912000097 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION SANTA CRUZ ART LEAGUE, INC CHARITAB LE CONTRIBUTION FOR 99-9999999 PAID TO SANTA CRUZ ART LEAGUE, INC TR TRAN#=0000000000000039 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000103 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION YMCA OF NORWALK, INC CHARITABLE CON TRIBUTION FOR 99-9999999 PAID TO	-1000 00		-1000 00 0912000104 **CHANGED** 05/11/10 DSG	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED)				
SEE ATTACHED - 99-9999999 (CONTINUED)				
-----				
YMCA OF NORWALK, INC				
TR TRAN#	000000000000040	TR CD=760	REG=	PORT=PRIN
12/11/09 CHARITABLE CONTRIBUTION CHILDREN OF TANZANIA, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-1000 00		-1000 00	0912000105 **CHANGED** 05/11/10 DSG
CHILDREN OF TANZANIA, INC				
TR TRAN#	000000000000041	TR CD=760	REG=	PORT=PRIN
12/11/09 CHARITABLE CONTRIBUTION FIRST PRESBYTERIAN CHURCH NURSERY SCHOOL CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-1000 00		-1000 00	0912000106 **CHANGED** 05/11/10 DSG
FIRST PRESBYTERIAN CHURCH NURSERY SCHOOL				
TR TRAN#	000000000000042	TR CD=760	REG=	PORT=PRIN
12/11/09 CHARITABLE CONTRIBUTION MAYO CLINIC ROCHESTER CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-5000 00		-5000 00	0912000102 **CHANGED** 05/11/10 DSG
MAYO CLINIC ROCHESTER				
TR TRAN#	000000000000038	TR CD=760	REG=	PORT=PRIN
12/11/09 CHARITABLE CONTRIBUTION SEVENTH REGIMENT ARMORY CONSERVANCY, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-3500 00		-3500 00	0912000107 **CHANGED** 05/11/10 DSG
SEVENTH REGIMENT ARMORY CONSERVANCY, INC				
TR TRAN#	000000000000043	TR CD=760	REG=	PORT=PRIN
12/11/09 CHARITABLE CONTRIBUTION COLONIAL WILLIAMSBURG FOUNDATION CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-1000 00		-1000 00	0912000108 **CHANGED** 05/11/10 DSG
COLONIAL WILLIAMSBURG FOUNDATION				
TR TRAN#	000000000000044	TR CD=760	REG=	PORT=PRIN
12/15/09 CHARITABLE CONTRIBUTION FORT WILLIAMS CHARITABLE FOUNDATION CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-2000 00		-2000 00	0912000118 **CHANGED** 05/11/10 DSG
FORT WILLIAMS CHARITABLE FOUNDATION				
TR TRAN#	000000000000007	TR CD=760	REG=0	PORT=PRIN
12/15/09 CHARITABLE CONTRIBUTION HOUSATONIC VALLEY COUNCIL OF ELECTED OFFICIALS CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-800 00		-800 00	0912000119 **CHANGED** 05/11/10 DSG
HOUSATONIC VALLEY COUNCIL OF ELECTED OFF				
TR TRAN#	000000000000008	TR CD=760	REG=0	PORT=PRIN
12/15/09 CHARITABLE CONTRIBUTION HYDE HALL, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-6000 00		-6000 00	0912000120 **CHANGED** 05/11/10 DSG
HYDE HALL, INC				
TR TRAN#	000000000000009	TR CD=760	REG=0	PORT=PRIN
12/15/09 CHARITABLE CONTRIBUTION GREENWICH HOSPITAL FOUNDATION, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-4000 00		-4000 00	0912000121 **CHANGED** 05/11/10 DSG
GREENWICH HOSPITAL FOUNDATION, INC				
TR TRAN#	000000000000010	TR CD=760	REG=0	PORT=PRIN
12/16/09 CHARITABLE CONTRIBUTION SILVER SHIELD ASSOCIATION CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-1000 00		-1000 00	0912000135 **CHANGED** 05/11/10 DSG
SILVER SHIELD ASSOCIATION				
TR TRAN#	000000000000069	TR CD=760	REG=0	PORT=PRIN
12/17/09 CHARITABLE CONTRIBUTION MIDDLE PATENT RURAL CEMETERY ASSN CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-3000 00		-3000 00	0912000138 **CHANGED** 05/11/10 DSG
MIDDLE PATENT RURAL CEMETERY ASSN				
TR TRAN#	000000000000005	TR CD=760	REG=0	PORT=PRIN
12/17/09 CHARITABLE CONTRIBUTION FORDERVEREIN PAUL-GUENTHER-SCHULE CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO	-20000 00		-20000 00	0912000139 **CHANGED** 05/11/10 DSG
FORDERVEREIN PAUL-GUENTHER-SCHULE				
TR TRAN#	000000000000006	TR CD=760	REG=0	PORT=PRIN

ACCT 543P 9525000757  
PREP 501 ADMN 8720 INV 4518

WELLS FARGO BANK, N A  
TAX TRANSACTION DETAIL

PAGE 33  
RUN 10/29/2010  
02 43 29 PM

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) SEE ATTACHED - 99-9999999 (CONTINUED)				
	YMCA OF NORWALK, INC TR TRAN#000000000000040 TR CD=760 REG= PORT=PRIN				
12/11/09	CHARITABLE CONTRIBUTION CHILDREN OF TANZANIA, INC CHARITABL E CONTRIBUTION FOR 99-9999999 PAID TO CHILDREN OF TANZANIA, INC TR TRAN#000000000000041 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000105 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION FIRST PRESBYTERIAN CHURCH NURSERY SC HOOL CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO FIRST PRESBYTERIAN CHURCH NURSERY SCHOOL TR TRAN#000000000000042 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000106 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION MAYO CLINIC ROCHESTER CHARITABLE CON TRIBUTION FOR 99-9999999 PAID TO MAYO CLINIC ROCHESTER TR TRAN#000000000000038 TR CD=760 REG= PORT=PRIN	-5000 00		-5000 00 0912000102 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION SEVENTH REGIMENT ARMORY CONSERVANCY, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO SEVENTH REGIMENT ARMORY CONSERVANCY, INC TR TRAN#000000000000043 TR CD=760 REG= PORT=PRIN	-3500 00		-3500 00 0912000107 **CHANGED** 05/11/10 DSG	
12/11/09	CHARITABLE CONTRIBUTION COLONIAL WILLIAMSBURG FOUNDATION CHA RITABLE CONTRIBUTION FOR 99-9999999 PAID TO COLONIAL WILLIAMSBURG FOUNDATION TR TRAN#000000000000044 TR CD=760 REG= PORT=PRIN	-1000 00		-1000 00 0912000108 **CHANGED** 05/11/10 DSG	
12/15/09	CHARITABLE CONTRIBUTION FORT WILLIAMS CHARITABLE FOUNDATION CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO FORT WILLIAMS CHARITABLE FOUNDATION TR TRAN#000000000000007 TR CD=760 REG=0 PORT=PRIN	-2000 00		-2000 00 0912000118 **CHANGED** 05/11/10 DSG	
12/15/09	CHARITABLE CONTRIBUTION HOUSATONIC VALLEY COUNCIL OF ELECTED OFFICIALS CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO HOUSATONIC VALLEY COUNCIL OF ELECTED OFF TR TRAN#000000000000008 TR CD=760 REG=0 PORT=PRIN	-800 00		-800 00 0912000119 **CHANGED** 05/11/10 DSG	
12/15/09	CHARITABLE CONTRIBUTION HYDE HALL, INC CHARITABLE CONTRIBUT ION FOR 99-9999999 PAID TO HYDE HALL, INC TR TRAN#000000000000009 TR CD=760 REG=0 PORT=PRIN	-6000 00		-6000 00 0912000120 **CHANGED** 05/11/10 DSG	
12/15/09	CHARITABLE CONTRIBUTION GREENWICH HOSPITAL FOUNDATION, INC CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO GREENWICH HOSPITAL FOUNDATION, INC TR TRAN#000000000000010 TR CD=760 REG=0 PORT=PRIN	-4000 00		-4000 00 0912000121 **CHANGED** 05/11/10 DSG	
12/16/09	CHARITABLE CONTRIBUTION SILVER SHIELD ASSOCIATION CHARITABLE CONTRIBUTION FOR 99-9999999 PAID TO SILVER SHIELD ASSOCIATION TR TRAN#000000000000069 TR CD=760 REG=0 PORT=PRIN	-1000 00		-1000 00 0912000135 **CHANGED** 05/11/10 DSG	
12/17/09	CHARITABLE CONTRIBUTION MIDDLE PATENT RURAL CEMETERY ASSN C HARITABLE CONTRIBUTION FOR. 99-9999999 PAID TO MIDDLE PATENT RURAL CEMETERY ASSN TR TRAN#000000000000005 TR CD=760 REG=0 PORT=PRIN	-3000 00		-3000 00 0912000138 **CHANGED** 05/11/10 DSG	
12/17/09	CHARITABLE CONTRIBUTION FORDERVEREIN PAUL-GUENTHER-SCHULE CH ARITABLE CONTRIBUTION FOR 99-9999999 PAID TO FORDERVEREIN PAUL-GUENTHER-SCHULE TR TRAN#000000000000006 TR CD=760 REG=0 PORT=PRIN	-20000 00		-20000 00 0912000139 **CHANGED** 05/11/10 DSG	

#389,700

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No. 1545-0092

**2009**

Name of estate or trust

R T VANDERBILT TRUST

Employer identification number

06-6040981

**Note:** Form 5227 filers need to complete *only* Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b> SHORT-TERM CAPITAL GAIN DIVIDENDS		STMT 1			703.

<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	-18,094.
<b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
<b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	
<b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( )
<b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ▶	<b>5</b>	-17,391.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b> LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			19,562.

<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	-28,824.
<b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
<b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	
<b>9</b> Capital gain distributions . . . . .	<b>9</b>	
<b>10</b> Gain from Form 4797, Part I . . . . .	<b>10</b>	
<b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
<b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ▶	<b>12</b>	-9,262.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

**Part III Summary of Parts I and II****Caution: Read the instructions before completing this part.**

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
<b>13</b> Net short-term gain or (loss) . . . . .	<b>13</b>		-17,391.
<b>14</b> Net long-term gain or (loss):			
a Total for year . . . . .	<b>14a</b>		-9,262.
b Unrecaptured section 1250 gain (see line 18 of the wrkst.) . . . . .	<b>14b</b>		
c 28% rate gain . . . . .	<b>14c</b>		
<b>15</b> Total net gain or (loss). Combine lines 13 and 14a . . . . .	<b>15</b>		-26,653.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>16</b> Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller</b> of:	<b>16</b>	( 3,000 )
a The loss on line 15, column (3) or b \$3,000 . . . . .		

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

<b>17</b> Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . .	<b>17</b>		
<b>18</b> Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .	<b>18</b>		
<b>19</b> Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	<b>19</b>		
<b>20</b> Add lines 18 and 19 . . . . .	<b>20</b>		
<b>21</b> If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	<b>21</b>		
<b>22</b> Subtract line 21 from line 20. If zero or less, enter -0- . . . . .	<b>22</b>		
<b>23</b> Subtract line 22 from line 17. If zero or less, enter -0- . . . . .	<b>23</b>		
<b>24</b> Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .	<b>24</b>		
<b>25</b> Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23 . . . . .	<b>25</b>		
<b>26</b> Subtract line 25 from line 24 . . . . .	<b>26</b>		
<b>27</b> Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the <b>smaller</b> of line 17 or line 22 . . . . .	<b>27</b>		
<b>28</b> Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	<b>28</b>		
<b>29</b> Subtract line 28 from line 27 . . . . .	<b>29</b>		
<b>30</b> Multiply line 29 by 15% (.15) . . . . .	<b>30</b>		
<b>31</b> Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>31</b>		
<b>32</b> Add lines 30 and 31 . . . . .	<b>32</b>		
<b>33</b> Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>33</b>		
<b>34</b> Tax on all taxable income. Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	<b>34</b>		

Schedule D (Form 1041) 2009

**Department of the Treasury**  
**Internal Revenue Service**

► See instructions for Schedule D (Form 1041).

OMB No 1545-0092

2009

Employer identification number

06-6040981

[illegible]

<b>1b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .	-18,094.00
---	------------

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

Schedule D-1 (Form 1041) 2009

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

R T VANDERBILT TRUST

Employer identification number

06-6040981

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
<b>6a</b> 37368.6595 EVERGREEN INTL BOND FUND CL I (FD #460)	08/22/2006	01/09/2009	383,776.00	390,152.00	-6,376.00
55970.149 EVERGREEN INTERNATIONAL GROWTH FUND C	06/13/2003	04/03/2009	300,000.00	352,649.00	-52,649.00
44326.242 PIMCO EMERG MKTS BD-INST #137	08/22/2006	04/03/2009	382,979.00	499,945.00	-116,966.00
825. ALLSTATE CORP COM W/RIGHTS ATTACHED EXP 2/12/	11/10/2005	05/29/2009	20,815.00	47,021.00	-26,206.00
2360. ANADARKO PETE CORP COM W/RIGHTS ATTACHED EXPIR	05/14/2003	05/29/2009	111,834.00	59,324.00	52,510.00
1800. COACH INC COM W/RTS ATTACHED EXP 5/2/2011	10/03/2005	05/29/2009	46,620.00	56,381.00	-9,761.00
1680. DEVON ENERGY CORP NEW COM	08/14/2003	05/29/2009	104,924.00	43,999.00	60,925.00
1800. HARTFORD FINL SVCS GRP COM	08/14/2003	05/29/2009	25,145.00	92,541.00	-67,396.00
3400. METLIFE INC COM	08/14/2003	05/29/2009	105,462.00	99,228.00	6,234.00
6500. ORACLE CORP COM	08/14/2003	05/29/2009	125,187.00	69,091.00	56,096.00
2095. PEPSICO INC COM	12/04/2000	05/29/2009	108,001.00	93,549.00	14,452.00
1600. TARGET CORP COM	11/25/2002	05/29/2009	62,089.00	52,792.00	9,297.00
4000. TEXAS INSTRS INC COM	08/14/2003	05/29/2009	76,747.00	72,505.00	4,242.00
1560. WELLPOINT INC	08/14/2003	05/29/2009	71,898.00	57,748.00	14,150.00
5125. WEATHERFORD INTNTL LTD NEW	01/17/2003	05/29/2009	105,399.00	48,879.00	56,520.00
3928.759 SSGA EMERGING MARKETS FD S #1510	08/22/2006	11/25/2009	75,000.00	83,683.00	-8,683.00
1990. APPLIED MATERIALS INC COM W/RIGHTS ATTACHED E	08/19/2008	12/11/2009	26,488.00	36,835.00	-10,347.00
1800. BANK AMER CORP COM	08/14/2003	12/11/2009	27,755.00	58,825.00	-31,070.00
660. BECTON DICKINSON & CO COM	08/19/2008	12/11/2009	51,248.00	57,725.00	-6,477.00
800. DEVON ENERGY CORP NEW COM	08/14/2003	12/11/2009	51,063.00	19,189.00	31,874.00
1000. HEWLETT-PACKARD CO COM	08/14/2003	12/11/2009	50,029.00	21,280.00	28,749.00
3000. INTEL CORP COM	10/27/2005	12/11/2009	59,669.00	71,334.00	-11,665.00
1700. MEDTRONIC INC COM W/RTS ATTACHED EXP 10/26/20	03/20/2000	12/11/2009	73,972.00	90,980.00	-17,008.00
3500. MICROSOFT CORP COM	07/21/2005	12/11/2009	104,367.00	92,330.00	12,037.00
400. NIKE INC CL B COM	08/14/2003	12/11/2009	25,489.00	10,950.00	14,539.00

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2009



Employer identification number

06-6040981

[illegible]

<b>6b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .	-28,824.00
---	------------

Schedule D-1 (Form 1041) 2009

## FEDERAL CAPITAL GAIN DIVIDENDS

=====

## SHORT-TERM CAPITAL GAIN DIVIDENDS

-----

LAUDUS MONDRIAN INTL FI-INST #2940	213.00
PIMCO LOW DURATION FD INSTL CL	490.00

-----

TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS	703.00
---	--------

=====

## LONG-TERM CAPITAL GAIN DIVIDENDS

-----

## 15% RATE CAPITAL GAIN DIVIDENDS

AT&T CORP	429.00
ALLEGHENY ENERGY INC COM W/RIGHTS ATTACHED EX	15.00
AMERICAN INTL GRP INC COM	1,109.00
BRISTOL-MYERS SQUIBB CO COM	17.00
ENRON CORP COM	17,057.00
FREDDIE MAC COM VTG	52.00
KRISPY KREME DOUGHNUTS INC COM	29.00
LAUDUS MONDRIAN INTL FI-INST #2940	416.00
PIMCO LOW DURATION FD INSTL CL	68.00
TENET HEALTHCARE CORP COM W/RIGHTS ATTACHED E	362.00
VANGUARD BD INDEX FD INC SHORT TERM BOND INDE	10.00

-----

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS	19,562.00
---------------------------------------	-----------

-----

## TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

19,562.00

=====

RUN OCT 27 10  
AT 4:26 PM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

PAGE 21  
AS OF 05/31/10

DETAIL LIST  
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR [REDACTED] VANDERBILT TRUST AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS / ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
PRINCIPAL						
=====						
TEMPORARY INVESTMENTS						
-----						
300250602	EVERGREEN INST MONEY MARKET FUND CL I (FD #495)		100,084.44	100,084.44		
TOTAL	TEMPORARY INVESTMENTS		100,084.44	100,084.44		
MUTUAL FUNDS - FIXED TAXABLE						
-----						
04315J209	ARTIO TOTAL RETURN BOND FD-I	30,651.34	400,000.00	410,421.44	400,000.00	400,000.00
51855Q655	LAUDUS MONDRIAN INTERNATIONAL FIXED INCOME FUND	25,699.32	279,617.20	288,603.36	279,024.49	279,024.49
693390304	PIMCO LOW DURATION FD/UNITED STATES INST CL	65,318.669	657,784.41	672,129.10	657,784.41	657,784.41
693390841	PIMCO HIGH YIELD FD INSTL CL	46,792.618	326,000.00	411,775.04	326,000.00	326,000.00
693390866	PIMCO TOTAL RETURN FD III INSTL CL	87,936.426	800,000.00	839,792.87	800,000.00	800,000.00
921937207	VANGUARD SHORT-TERM BOND INDEX FD INV CL	9,708.738	100,000.00	101,165.05	100,000.00	100,000.00
922031869	VANGUARD INFLATION-PROTECTED SECURITIES FD IV CL	10,364.842	125,000.00	130,078.77	125,000.00	125,000.00
TOTAL	MUTUAL FUNDS - FIXED TAXABLE	276,471.953	2,688,401.61	2,853,965.63	2,687,808.90	2,687,808.90
MUTUAL FUNDS - OTHER						
-----						
22544R305	CREDIT SUISSE COMMODITY RETURN STRATEGY FUND	17,138.809	121,000.00	147,222.37	121,000.00	121,000.00
44981V706	ING REAL ESTATE FUND CL I	15,921.053	121,000.00	182,773.69	121,000.00	121,000.00
TOTAL	MUTUAL FUNDS - OTHER	33,059.862	242,000.00	329,996.06	242,000.00	242,000.00

RUN OCT 27 10  
AT 4:26 PM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

PAGE 22  
AS OF 05/31/10

DETAIL LIST  
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR [REDACTED] VANDERBILT TRUST AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
COMMON STOCKS							
001055102	AFLAC INC COM	1,000		46,470.00	46,250.00	46,470.00	46,470.00
00846U101	AGILENT TECHNOLOGIES INC COM	1,600		47,520.00	49,712.00	47,520.00	47,520.00
031162100	AMGEN INC COM	800		45,661.04	45,256.00	45,661.04	45,661.04
032511107	ANADARKO PETROLEUM CORP COM	440		10,950.26	27,464.80	10,359.80	10,359.80
037389103	AON CORP COM	585		20,779.14	22,428.90	20,779.14	20,779.14
039483102	ARCHER DANIELS MIDLAND CO COM	1,145		31,363.15	35,849.95	31,363.15	31,363.15
071813109	BAXTER INTL INC COM	825		56,966.25	48,411.00	56,966.25	56,966.25
110122108	BRISTOL-MYERS SQUIBB CO COM	1,745		37,534.95	44,061.25	37,534.95	37,534.95
156700106	CENTURYTEL INC COM	1,493		45,231.25	54,061.53	45,231.25	45,231.25
166764100	CHEVRON CORP COM	540		35,708.20	41,574.60	35,708.20	35,708.20
171232101	CHUBB CORP COM	1,135		44,573.27	55,819.30	44,573.27	44,573.27
194162103	COLGATE-PALMOLIVE CO COM	700		45,660.93	57,505.00	45,660.93	45,660.93
25179M103	DEVON ENERGY CORPORATION COM	520		13,039.17	38,220.00	12,038.00	12,038.00
254709108	DISCOVER FINANCIAL SERVICES COM	750		12,282.21	11,032.50	12,282.21	12,282.21
30161N101	EXELON CORP COM	750		37,591.13	36,652.50	37,591.13	37,591.13
34354P105	FLOWSERVE CORP COM	500		48,014.95	47,265.00	48,014.95	48,014.95
364760108	GAP INC COM	1,480		26,135.62	31,006.00	26,135.62	26,135.62
370334104	GENERAL MILLS INC COM	570		37,340.70	40,361.70	37,340.70	37,340.70
38141G104	GOLDMAN SACHS GROUP INC COM	300		49,806.00	50,652.00	49,806.00	49,806.00
428236103	HEWLETT-PACKARD CO COM	2,700		56,322.00	139,077.00	55,902.00	55,902.00
458140100	INTEL CORP COM	2,000		48,804.18	40,800.00	27,636.78	27,636.78

RUN OCT 27 10  
AT 4:26 PM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

PAGE 3  
AS OF 05/31/10

DETAIL LIST  
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR ██████████ VANDERBILT TRUST AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS / ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
459200101	INTL BUSINESS MACHINES CORP COM	1,300	98,891.14	170,170.00	98,891.14	98,891.14
46625H100	JP MORGAN CHASE & CO COM	1,240	45,284.80	51,670.80	45,284.80	45,284.80
478160104	JOHNSON & JOHNSON COM	2,000	24,881.33	128,820.00	24,881.33	24,881.33
487836108	KELLOGG COMPANY COM	1,340	57,620.00	71,288.00	57,620.00	57,620.00
580135101	MCDONALDS CORP COM	400	24,691.96	24,976.00	24,691.96	24,691.96
594918104	MICROSOFT CORP COM	2,600	67,955.05	79,248.00	67,103.00	67,103.00
654106103	NIKE INC CL B COM	2,200	52,741.07	145,354.00	51,380.36	51,380.36
674599105	OCCIDENTAL PETE CORP COM	510	33,884.35	41,488.50	33,884.35	33,884.35
713448108	PEPSICO INC COM	205	9,153.97	12,464.00	9,153.97	9,153.97
717081103	PFIZER INC COM	2,600	47,918.00	47,294.00	47,918.00	47,918.00
74005P104	PRAXAIR INC COM	700	34,437.90	56,217.00	34,437.90	34,437.90
742718109	PROCTER & GAMBLE CO COM	1,600	71,121.23	97,008.00	71,121.23	71,121.23
778296103	ROSS STORES INC COM	975	37,059.75	41,642.25	37,059.75	37,059.75
857477103	STATE STREET CORP COM	1,055	48,456.15	45,934.70	48,456.15	48,456.15
882508104	TEXAS INSTRUMENTS INC COM	1,800	46,260.00	46,908.00	46,260.00	46,260.00
88579Y101	3M CO COM	600	48,887.94	49,602.00	48,887.94	48,887.94
913017109	UNITED TECHNOLOGIES CORP COM	2,200	82,759.67	152,702.00	82,759.67	82,759.67
92553P201	VIACOM INC CL B COM	1,550	48,158.50	46,081.50	48,158.50	48,158.50
94973V107	WELLPOINT INC COM	440	16,211.79	25,647.60	15,942.30	15,942.30
H0023R105	ACE LIMITED COM	850	47,379.00	42,840.00	47,379.00	47,379.00
H5833N103	NOBLE CORP COM	1,250	50,500.00	50,875.00	50,500.00	50,500.00
TOTAL	COMMON STOCKS	48,993	1,792,008.00	2,391,692.38	1,766,346.72	1,766,346.72

RUN OCT 27 10  
AT 4:26 PM

WELLS FARGO BANK, N.A.  
INVEST AFC/APP INTERFACE

PAGE 4  
AS OF 05/31/10

DETAIL LIST  
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR [REDACTED] VANDERBILT TRUST AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
MUTUAL FUNDS - EQUITY							
-----							
26203E836	DREYFUS THE BOSTON COMPANY SMALL CAP GROWTH FUND CL I	2,905.288		100,000.00	129,604.90	100,000.00	100,000.00
26203E851	DREYFUS THE BOSTON COMPANY SMALL CAP VALUE FUND CL I	17,427.075		466,000.00	337,562.44	466,000.00	466,000.00
38142Y401	GOLDMAN SACHS GROWTH OPPORTUNITIES FD INS CL	17,016.277		276,000.00	351,045.79	276,000.00	276,000.00
TOTAL	MUTUAL FUNDS - EQUITY	37,348.64		842,000.00	818,213.13	842,000.00	842,000.00
MUTUAL FUNDS - INTERNATIONAL EQUITY							
-----							
299924704	EVERGREEN INTERNATIONAL EQUITY FD CL I (FD #252)	55,785.904875		356,462.23	385,480.60	308,496.06	308,496.06
784924425	SSGA EMERGING MARKETS FUND CL S	22,264.379		396,486.64	428,811.94	382,767.87	382,767.87
TOTAL	MUTUAL FUNDS - INTERNATIONAL EQUITY	78,050.283875		752,948.87	814,292.54	691,263.93	691,263.93
PRINCIPAL CASH							
		2,927.58			2,927.58		
*****	PRINCIPAL TOTAL	473,923.738875		6,420,370.50	7,311,171.76	6,229,419.55	6,229,419.55
INCOME							
=====							
TEMPORARY INVESTMENTS							
-----							
300250602	EVERGREEN INST MONEY MARKET FUND CL I (FD #495)			2,484.70	2,484.70		
TOTAL	TEMPORARY INVESTMENTS			2,484.70	2,484.70		
INCOME CASH							
				0.00	0.00		
*****	INCOME TOTAL			2,484.70	2,484.70	0.00	0.00
	ASSET FILE TOTAL	473,923.738875		6,422,855.20	7,313,656.46	6,229,419.55	6,229,419.55

Vanderbilt Co. Common Stock  
(90 shs. \$953/sh.)

85,770

Vanderbilt Co. Class B Common Stock  
(900 shs. \$905/sh.)

814,500

814,500

7,323,125.20      8,213,926.46

Form **8868**

(Rev. April 2009)

**Application for Extension of Time To File an Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
  - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Type or print**

File by the due date for filing your return. See instructions.

Name of Exempt Organization

R T VANDERBILT TRUST AGENCY

Employer identification number

06-6040981

Number, street, and room or suite no. If a P O box, see instructions

1525 WEST WT HARRIS BLVD

City, town or post office, state, and ZIP code For a foreign address, see instructions

CHARLOTTE, NC 28288-5709

**Check type of return to be filed** (file a separate application for each return):

- |   |  |                                    |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)     | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                             | <input type="checkbox"/> Form 8870 |

- The books are in the care of ▶ Wachovia Bank

Telephone No. ▶ (908) 598-3582FAX No. ▶ 336-732-2024

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)                     . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the **names and EINs of all members the extension will cover.**

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/16, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ ☒ calendar year 2009 or
- ▶ ☐ tax year beginning                     ,                     , and ending                     ,                     .

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1,755.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	7,493.
c <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**.
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

<b>Type or print</b>  File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	R T VANDERBILT TRUST AGENCY	06-6040981
	Number, street, and room or suite no. If a P.O. box, see instructions	For IRS use only
	1525 WEST WT HARRIS BLVD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	CHARLOTTE, NC 28288-5709	

**Check type of return to be filed** (File a separate application for each return):

<input type="checkbox"/> Form 990	<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 6069
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 4720	<input type="checkbox"/> Form 8870
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 5227	

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ☒ Wachovia Bank  
Telephone No. (908) 598-3582 FAX No. 336-747-8722
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)           . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until 11/15/2010.
- 5 For calendar year 2009, or other tax year beginning           , and ending           .
- 6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension ADDITIONAL EXTENSION IS REQUESTED DUE TO MORE  
NEEDED TO GATHER PERTINENT FINANCIAL  
INFORMATION NEEDED TO COMPLETE THE RETURN.

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b> \$	1,755.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b> \$	7,493.
<b>c Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b> \$	

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☐Title ☐ TRUST OFFICERDate ☐ 07/20/2010Form **8868** (Rev 4-2009)

WELLS FARGO BANK, N.A.

1525 W W.T. HARRIS BLVD. D1114-044

CHARLOTTE, NC 28288-1161

JSA

9F8055 3 000

QM0408 543P 07/20/2010 14:58:06

1

S