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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE ETTINGER FOUNDATION, INC.		A Employer identification number 06-6038938
	Number and street (or P O box number if mail is not delivered to street address) Room/suite C/O ALLISON & GODFREY 35 CHURCH LANE		B Telephone number 203-227-7470
	City or town, state, and ZIP code WESTPORT, CT 06880		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 18309849. (Part I, column (d) must be on cash basis.)			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	4177.	4177.		Statement 1
	4 Dividends and interest from securities	278386.	278357.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-3066805.			
	b Gross sales price for all assets on line 6a	10490440.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications			3500.	
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	10290.	10290.		Statement 3	
12 Total. Add lines 1 through 11	-2773952.	292824.	3500.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees Stmt 4	165668.	126747.		38921.
	17 Interest	274.	11627.		0.
	18 Taxes Stmt 5	12163.			0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 6	60897.	54090.		6580.
	24 Total operating and administrative expenses. Add lines 13 through 23	239002.	192738.		45501.
	25 Contributions, gifts, grants paid	1277254.			1277254.
26 Total expenses and disbursements. Add lines 24 and 25	1516256.	192738.		1322755.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-4290208.				
b Net investment income (if negative, enter -0-)		100086.			
c Adjusted net income (if negative, enter -0-)			3500.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	-57781.	-117667.	-117667.
	2 Savings and temporary cash investments	1012220.	937653.	937653.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 7	18499531.	11221223.	11514486.
	c Investments - corporate bonds Stmt 8	0.	1135988.	1246514.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 9	2558624.	4654946.	4720132.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ Statement 10)	2350.	8731.	8731.
	16 Total assets (to be completed by all filers)	22014944.	17840874.	18309849.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ Statement 11)	22610.	135248.	
	23 Total liabilities (add lines 17 through 22)	22610.	135248.	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	21992334.	17705626.	
	30 Total net assets or fund balances	21992334.	17705626.	
	31 Total liabilities and net assets/fund balances	22014944.	17840874.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21992334.
2 Enter amount from Part I, line 27a	2	-4290208.
3 Other increases not included in line 2 (itemize) ▶ Returned Grants	3	3500.
4 Add lines 1, 2, and 3	4	17705626.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	17705626.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 10490440.		13570934.	-3066805.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-3066805.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-3066805.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1765623.	21655524.	.081532
2007	1650997.	28663136.	.057600
2006	1587999.	27051388.	.058703
2005	1598723.	26107999.	.061235
2004	1412052.	26844628.	.052601

2 Total of line 1, column (d)	2	.311671
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062334
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	16101711.
5 Multiply line 4 by line 3	5	1003684.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1001.
7 Add lines 5 and 6	7	1004685.
8 Enter qualifying distributions from Part XII, line 4	8	1322755.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1001.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1001.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1001.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	7500.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	7500.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6499.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) DE, CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Allison & Godfrey Telephone no. ► 203-227-7470
 Located at ► 35 Church Lane, Westport, CT ZIP+4 ► 06880

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	15135997.
b	Average of monthly cash balances	1b	1210918.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	16346915.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16346915.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	245204.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16101711.
6	Minimum investment return. Enter 5% of line 5	6	805086.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	805086.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1001.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1001.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	804085.
4	Recoveries of amounts treated as qualifying distributions	4	3500.
5	Add lines 3 and 4	5	807585.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	807585.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1322755.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1322755.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1001.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	1321754.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				807585.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006	188570.			
d From 2007	305522.			
e From 2008	687281.			
f Total of lines 3a through e	1181373.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1322755.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				807585.
e Remaining amount distributed out of corpus	515170.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1696543.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1696543.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006	188570.			
c Excess from 2007	305522.			
d Excess from 2008	687281.			
e Excess from 2009	515170.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE ETTINGER FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly Traded Securities				
b Alternative Inv Inst LP - LTG		P		12/31/09
c Gen IM Glob Eq Fd K1 - Gain		P		12/31/09
d Gen IM Glob Eq Fd K1 - Sec 1256		P		12/31/09
e 99.701 Calvert Impact Fd Inc		P	04/28/09	08/24/09
f PJ Cleantech K1 - ST GL		P		12/31/09
g PJ Cleantech K1 - LT GL		P		12/31/09
h PJ Cleantech K1 - Sec 1231		P		12/31/09
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10489440.		13570140.	-3080700.
b			-8563.
c			30794.
d			-491.
e 1000.		794.	206.
f			4055.
g			-12013.
h			-93.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-3080700.
b			-8563.
c			30794.
d			-491.
e			206.
f			4055.
g			-12013.
h			-93.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-3066805.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
Interest - Money Market	4177.
Total to Form 990-PF, Part I, line 3, Column A	4177.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Dividends - AII LP K-1	10551.	0.	10551.
Dividends - Gen IM Glob Eq Fd K1	9470.	0.	9470.
Dividends - PJ Cleantech K-1	156.	0.	156.
Dividends - Securities	219864.	0.	219864.
Interest - Bonds	36994.	0.	36994.
Interest - Gen IM Global Eq Fd K-1	42.	0.	42.
Interest - PJ Cleantech K-1	1280.	0.	1280.
Tax exempt Int - PJ Cleantech	29.	0.	29.
Total to Fm 990-PF, Part I, ln 4	278386.	0.	278386.

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Currency Gain/Loss	4591.	4591.	
PJ Cleantech Ord Inc K-1	-4793.	-4793.	
Gen IM Global Eq K1 -	7686.	7686.	
Sec 988 Inc - Gen IM Glob Eq K1	2806.	2806.	
Total to Form 990-PF, Part I, line 11	10290.	10290.	

Form 990-PF	Other Professional Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Gunn Godfrey & Allison - Legal/Admin Fees	26000.	8667.		17333.	
Investment Management	96226.	96226.		0.	
Custodial Fees - State Street	32382.	10794.		21588.	
Consulting Fees - Investments	11060.	11060.		0.	
To Form 990-PF, Pg 1, ln 16c	165668.	126747.		38921.	

Form 990-PF	Taxes			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Foreign Taxes	10527.	10527.		0.	
PJ Cleantech K1-Foreign Taxes	5.	5.		0.	
Gen IM Glob Eq K1-Foreign Taxes	1095.	1095.		0.	
Fed Excise Taxes	536.	0.		0.	
To Form 990-PF, Pg 1, ln 18	12163.	11627.		0.	

Form 990-PF	Other Expenses			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Portfolio Expense - AII K-1	15227.	15227.		0.	
Port Expense - Gen IM Glob Eq Fd K1	5489.	5489.		0.	
Portfolio Expense - Securities	385.	385.		0.	
Port Exp - PJ Cleantech K-1	32208.	32208.		0.	
PJ cleantech K1-Other Deductions	110.	110.		0.	
PJ Cleantech K-1 -Sec 59(e)(2)	45.	45.		0.	

PJ Cleantech K-1 -Non Ded			
Expense	227.	0.	0.
Dues	6580.	0.	6580.
Office Exp	626.	626.	0.
To Form 990-PF, Pg 1, ln 23	60897.	54090.	6580.

Form 990-PF	Corporate Stock	Statement	7
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Description	Book Value	Fair Market Value
Corporate Stock - Statement 7A For Detail	11221223.	11514486.
Total to Form 990-PF, Part II, line 10b	11221223.	11514486.

Form 990-PF	Corporate Bonds	Statement	8
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Description	Book Value	Fair Market Value
Corporate Bonds - Statement 8A For Detail	1135988.	1246514.
Total to Form 990-PF, Part II, line 10c	1135988.	1246514.

Form 990-PF	Other Investments	Statement	9
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Description	Valuation Method	Book Value	Fair Market Value
AII Investments LP	COST	2081772.	2008982.
Piper Jaffrey Cleantech Fund	COST	529451.	603700.
Generation Capital IM	COST	2043723.	2107450.
Total to Form 990-PF, Part II, line 13		4654946.	4720132.

Form 990-PF	Other Assets	Statement 10
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Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
Unsettled Investment Sales	2350.	8731.	8731.
To Form 990-PF, Part II, line 15	2350.	8731.	8731.

Form 990-PF	Other Liabilities	Statement 11
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Description	BOY Amount	EOY Amount
Unsettled Investment Purchases	22610.	135248.
Total to Form 990-PF, Part II, line 22	22610.	135248.

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement 12
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan	Expense Contrib	Account
Elaine P. Hapgood 1030 Waterway Lane Delray Beach, FL 33483	Director/President 0.00	0.	0.	0.	0.
Lynn P. Babicka 360 Island Creek Drive Vero Beach, FL 32963	Director 0.00	0.	0.	0.	0.
John P. Powers 200 Alder Lane Boulder, CO 80304	Director/VP 0.00	0.	0.	0.	0.
Heidi Ettinger 245 Waverly Place New York, NY 10014	Director 0.00	0.	0.	0.	0.
Diane M. Allison 35 Church Lane Westport, CT 06880	Secretary 0.00	0.	0.	0.	0.

THE ETTINGER FOUNDATION, INC.

06-6038938

David L. Godfrey 35 Church Lane Westport, CT 06880	Treasurer 0.00	0.	0.	0.
Barbara P Ettinger 62 Bingham Mills Road Germantown, NY 12526	Director/VP 0.00	0.	0.	0.
Wendy P. Ettinger 1 Lexington Avenue, Apt 11B New York, NY 10010	Director 0.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

Form 990-PF	Part XV - Line 1a	Statement	13
List of Foundation Managers			

Name of Manager

Elaine P. Hapgood
Lynn P. Babicka
John P. Powers
Heidi Ettinger
Barbara P Ettinger
Wendy P. Ettinger

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2009

Description	Shares	Ending Cost	Ending FMV
HELD BY STATE STREET BANK.			
Alex Brown:			
American Express Co	2,032	61,617 62	82,336 64
America Movil S A DE CV Spon ADR	1,860	86,218 91	87,382 80
American Tower Corp	1,190	38,280 19	51,419 90
Artio Global Investors Inc	2,099	55,772 18	53,503 51
Bank of America Corp	2,660	34,087 13	40,059 60
Bank New York Mellon Corp	1,655	63,207 15	46,290 35
Berkshire Hathaway Inc Del Cl B	40	110,560 31	131,440 00
Burlington Northern Sante Fe	775	84,468 07	76,430 50
Canadian Natl Ry Co	1,510	15,473 34	82,083 60
Canadian Natl Res Ltd	340	18,348 45	24,463 00
Carmax Inc	1,770	23,496 72	42,922 50
Comcast Corp New Cl A	2,795	42,015 24	47,123 70
Costco Whsl Corp New	885	38,516 46	52,365 45
Disney Walt Co	2,675	56,694 48	86,268 75
Franklin Res Inc	425	37,938 22	44,773 75
Google Inc	50	16,058 12	30,999 00
Hewlett Packard Co	925	26,126 62	47,646 75
Intl Business Machines Corp	155	19,864 23	20,289 50
Johnson & Johnson	985	63,516 86	63,443 85
Kinder Morgan Mgmt LLC Shs	1,335	113,142 19	72,944 40
Kraft Foods Inc	2,963	63,640 30	80,534 34
Lowe's Co Inc	1,910	56,381 62	44,674 90
M + T Bk Corp	464	30,926 33	31,036 96
Mastercard Inc Cl A	547	28,872 65	140,021 06
Medtronic Inc	650	23,271 95	28,587 00
Merck & Co Inc	1,695	49,200 42	61,935 30
Microsoft Corp	2,120	66,796 11	64,638 80
Millicom International Cellular Shs New	880	68,065 60	64,917 60
Occidental Pete Corp	955	60,114 96	77,689 25
Paychex Inc	935	30,786 18	28,648 40
Pepsico Inc	360	19,635 55	21,888 00
Scnp Networks Interactive	1,215	39,622 03	50,422 50
Schwab Charles Corp	1,335	22,287 96	25,124 70
Sherwin Williams Co	283	16,139 96	17,446 95
Staples Inc	1,545	25,741 99	37,991 55
TJX Cos Inc New	1,130	28,775 95	41,301 50
United Technologies Inc New	715	39,419 98	49,628 15
Visa Inc	695	38,060 02	60,784 70
WABCO Holdings Inc	288	5,527 84	7,427 52
Wal Mart Stores Inc	805	41,151 35	43,027 25
Wellpoint Inc	1,485	53,352 38	86,560 65
Wells Fargo & Co New	2,993	73,858 78	80,781 07
		1,887,032 40	2,329,255 70

Brandes:

Acom Co Ltd	2	17 72	7 84
Acom Co	77	3,658 77	1,168 71
Aegon N V Adr	4,567	61,638 31	29,274 47
Akzo Nobel N V	570	18,800 37	37,791 00
Astrazeneca PLC	1,060	49,092 72	49,756 40
BASF AG	760	22,040 15	47,196 00
BP PLC	670	35,483 13	38,839 90
Barclays PLC	860	23,284 50	15,136 00
British Sky Broadcasting Group PLC	870	32,206 01	31,511 40
Canon Inc	2,070	67,264 56	87,602 40
Carrefour SA	6,310	44,450 03	59,818 80

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2009

Description	Shares	Ending Cost	Ending FMV
Cemex S A B De CC ADR	2,644	22,655 76	31,252 08
Daiichi Sankyo Co	1,370	24,477 38	28,804 25
Dai Nippon Printing ADR	2,300	37,439 63	29,348 00
Deutsche Bank AG	160	11,461 84	11,345 60
Deutsche Telekom AG Sponsored ADR	5,190	85,113 34	76,293 00
Deutsche Post AG Sponsored ADR	1,720	24,504 32	33,368 00
ENI S P A	810	38,695 39	40,994 10
Encsson L M Tel Co	5,220	61,307 67	47,971 80
Flextronics International Ltd	4,730	44,485 65	34,576 30
France Telecom Sponsored ADR	1,806	52,392 94	45,583 44
Fujifilm Hldgs Corp ADR	1,600	52,852 00	48,320 00
Glaxosmithkline Plc Sponsored ADR	1,540	86,390 69	65,065 00
Henkel LTD Partnership	910	22,814 21	47,138 00
Intesa Sanpaolo S P A	2,060	46,957 70	55,826 00
Italcementi S P A	1,590	22,490 07	21,703 50
J Sainsbury PLC	1,640	34,669 46	33,882 40
Kingsfisher PLC	5,390	43,127 01	39,347 00
Koninklijke Philips Electrs N V Sponsored ADR New 2000	2,908	20,501 98	38,531 00
Magna Intl Inc Cl A	550	40,702 58	27,819 00
Marks & Spencer Group P L C Sponsored ADR	2,960	30,142 22	38,480 00
Mitsubishi Ufj Finl Group Inc Sponsored ADR	7,200	85,209 61	35,424 00
Mitsui Sumitomo Ins Group Hld	2,760	56,717 41	35,190 00
Mizuho Finl Group Inc	5,600	62,395 76	19,936 00
NEC Corp	15,950	78,789 81	40,947 96
Nippon Teleg & Tel Corp Sponsored ADR	2,510	63,044 66	49,547 40
Nissan Mtr Ltd	1,470	13,537 82	25,916 10
Nokia Corp	4,770	63,490 49	61,294 50
Portugal Telecom Sgpps SA	4,000	52,442 00	48,560 00
Promise Co Ltd ADR	6,800	108,611 57	25,500 00
Royal Bank Scotland Group PLC	695	48,962 26	6,526 06
Sanofi Aventis	2,330	99,061 53	91,499 10
Seiko Epson Corp ADR	4,720	60,322 35	37,524 00
SK Telecom Ltd Sponsored ADR	2,080	50,149 01	33,820 80
Sony Corp New Amer Depository Shs	1,140	45,542 09	33,060 00
ST Microelectronics N V Shs-N Y Registry	5,620	93,500 11	52,097 40
Sumitomo Mitsui Finl Group Inc ADR	5,020	34,882 66	14,307 00
Swiss Reins Co	620	38,201 07	29,877 80
Takeda Pharmaceuticals Co Ltd ADR	1,500	36,129 30	30,825 00
TDK Corp ADR	440	24,873 88	26,906 00
Telecom Italia S P A New Sponsored ADR Repstg Ord Shs	6,260	143,781 87	96,591 80
Telefonica De Espana S A Sponsored ADR	604	32,413 39	50,446 08
Telefonos De Mexico S A De Cv ADR Series L Sh	2,140	16,900 44	35,481 20
Tokio Marine Hldgs (formerly Millea Hldgs Inc ADR)	1,095	52,381 29	29,794 95
Tomkins PLC	1,410	26,664 93	17,625 00
Toyota Mtr Co	190	14,914 05	15,990 40
Tyco Electronics	1,670	58,895 21	40,998 50
Unilever PLC ADR	1,876	42,115 81	59,844 40
UPM Kymmene Corp	1,510	25,623 79	17,878 40
Wolseley PLC	7,500	59,740 50	15,600 00
Wolters Kluwer N V Sponsored ADR	1,929	50,619 65	42,148 65

2,801,032 43	2,314,909 89
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Cardinal:

ACI Worldwide Inc	600	10,034 46	10,290 00
Axiom Corp	300	3,600 25	4,026 00
AFC Enterprises Inc	1,200	17,369 15	9,792 00
Affiliated Managers Group	520	39,949 61	35,022 00
American Eagle Outfitters Inc	400	3,582 84	6,792 00
Applied Signal Technology Inc	500	8,336 86	9,645 00
Arena Res	300	12,348 80	12,936 00

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2009

Description	Shares	Ending Cost	Ending FMV
Argon St Inc	1,400	25,743 24	30,408 00
Atlas Air Worldwide Hldgs Inc	800	23,503 87	29,800 00
B + G Foods Inc	2,250	20,607 57	20,655 00
Brnks Co	200	5,161 64	4,868 00
Broadridge Finl Solutions Inc	1,000	15,179 77	22,560 00
Cash Amer Invts Inc	630	21,015 52	22,024 80
CBIZ Inc	3,500	24,606 01	26,950 00
CapitalSource Inc	900	4,171 27	3,573 00
Chemed Corp New	600	23,483 04	28,782 00
Concho Res Inc	300	8,777 61	13,470 00
Convergys Corp	2,000	28,999 06	21,500 00
Cypress Sharpndge Inv REIT	700	9,325 63	9,457 00
Deckers Outdoor Corp	100	9,455 04	10,172 00
Dolan Media Co	1,700	14,355 72	17,357 00
Donnelley R R & Sons Co	1,450	19,038 38	32,291 50
Dress Barn Inc	200	4,673 24	4,620 00
Entertainment Pptys Tr	400	12,283 10	14,108 00
Fuller H B Co	900	19,507 52	20,475 00
Global Cash Access Hldgs Inc	3,200	35,241 70	23,968 00
Government Properties Income REIT	400	9,264 50	9,192 00
Hatteras Finl Corp	350	10,010 58	9,786 00
Hercules Technology Growth	1,500	14,320 78	15,585 00
Infogroup Inc	3,400	28,737 57	27,268 00
Interactive Data Corp	1,000	23,761 11	25,300 00
Investment Technology Group Inc	350	13,934 24	6,895 00
J2 Global Communications	1,350	29,866 91	27,472 50
Kaman Corp	1,300	37,555 75	30,017 00
Liquidity Svcs Inc	920	13,054 69	9,264 40
MDC Partners Inc Cl A Sub Vtg Shs	2,500	22,127 84	20,850 00
MIPS Technologies Inc Com	2,200	16,551 39	9,614 00
Nelnet Inc Cl A	1,800	38,472 67	31,014 00
Northwest Banshares Inc MD	1,300	13,922 72	14,716 00
Oceaneering Intl Inc	200	12,568 06	11,704 00
Progress Software Corp	1,000	26,031 99	29,210 00
Purecycle Corp New	2,800	20,392 66	7,980 00
Ralcorp Hldgs Inc New	300	18,397 70	17,913 00
SRS Labs Inc	1,200	7,907 54	8,796 00
Silgan Hldgs Inc	640	18,841 60	37,043 20
Skillsoft Pub Ltd Co	1,100	10,787 00	11,528 00
Speedway Motorsports Inc	1,400	47,254 29	24,668 00
Stage Stores Inc New	925	16,984 06	11,433 00
Stanley Works	400	14,561 72	20,604 00
Venoco Inc	300	4,569 62	3,912 00
Virgin Media Inc	1,100	14,203 97	18,513 00
Wendys/Arbys Group Inc	1,583	9,184 95	7,424 27
West Pharmaceutical Svcs Inc	300	11,281 88	11,760 00
Willis Group Holdings Ltd Pays In U S Dollars	411	10,642 56	10,842 18
World Fuel Services Corp	400	10,311 53	10,716 00
-	-	-	-
		945,852 78	926,562 85

Transamerica:

Activision Blizzard Inc	2,480	27,435 00	27,552 80
Amazon com Inc	1,178	69,658 59	158,464 56
Anadarko Petroleum Corp	955	55,012 20	59,611 10
Apple Inc	807	107,721 62	170,164 02
Automated Data Processing Inc	1,543	56,639 67	66,071 26
Borg Warner Inc	2,329	90,242 00	77,369 38
Caterpillar Inc	1,048	39,273 41	59,725 52
Cisco Sys Inc Com	4,759	113,934 07	113,930 46
Disney Walt Co Disney Com	3,374	91,963 12	108,811 50

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2009

Description	Shares	Ending Cost	Ending FMV
EOG Resources Inc	829	67,496 52	80,661 70
Ecolab Inc	1,789	83,745 10	79,753 62
Emerson Electric Co Com	1,932	65,730 80	82,303 20
Expeditors Intl Wa Inc	1,903	75,358 80	66,091 19
Google Inc	291	172,010 31	180,414 18
International Business Machines	721	72,197 74	94,378 90
Intuitive Surgical Inc	180	49,500 84	54,597 60
Jacobs Engineering Group Inc	1,437	91,885 66	54,045 57
Johnson Controls Inc	4,399	63,954 75	119,828 76
Microsoft Corp	3,185	78,157 30	97,110 65
Paccar Inc	2,033	53,602 67	73,736 91
Palm Inc New	835	13,106 58	8,383 40
Praxair Inc	797	62,182 29	64,007 07
Pnce, T Rowe Group Inc Com	1,706	81,726 33	90,844 50
Pnceline Com Inc	220	36,968 82	48,070 00
Qualcomm Inc	1,178	44,398 75	54,494 28
Roche Hldgs Ltd	1,230	48,783 71	51,906 00
Schwab Charles Corp New Stock	4,402	68,212 67	82,845 64
Sigma Aldrich	1,224	47,872 17	61,848 72
TEVA Pharmaceutical Inds Ltd	1,117	54,194 15	62,753 06
Wells Fargo & Co Com	3,597	84,984 09	97,083 03
Yum Brands Inc	1,050	36,662 96	36,718 50
		<u>2,104,612 69</u>	<u>2,483,577 08</u>
Wentworth, Hauser & Violich			
ABB Ltd	2,155	54,991 64	41,160 50
ADR Allianz SE ADR	1,225	21,571 21	15,251 25
Agrium Inc	2,300	109,678 77	141,450 00
Air Products & Chemicals Inc	535	44,074 85	43,367 10
Axa SA	625	22,331 91	14,800 00
BASF AG	735	43,878 10	45,643 50
Baker Hughes Inc	1,255	52,673 08	50,802 40
Banco Bilbao Vizcaya Argentina ADR	1,084	23,208 14	19,555 36
Brookfield Asset Mgmt Inc	750	21,892 48	16,635 00
Cadbury PLC	864	43,333 66	44,400 96
Canadian Natl Ry Co	1,250	63,464 37	67,950 00
Canadian Pac Ry	1,375	72,592 40	74,250 00
CNH Global NV	1,125	45,170 37	28,102 50
Cooper Industries Ltd	1,175	55,850 28	50,102 00
Core Laboratories NV	475	57,996 74	56,107 00
Diageo PLC	850	66,636 57	58,998 50
Danone Spons ADR Receipt	2,430	33,601 35	29,621 70
Fibria Celulose SA Spon ADR	269	4,398 15	6,143 96
Foster Wheeler AG	1,275	33,733 14	37,536 00
Ingersoll Rand Company Limited CI A	1,290	55,165 24	46,104 60
Manulife Finl Corp	1,200	41,010 86	22,008 00
Nabors Industries Inc	4,560	114,295 69	99,818 40
Nexen Inc	4,000	77,557 86	95,720 00
Noble Corporation	2,450	114,446 69	99,715 00
Partnerre Ltd	635	50,430 05	47,409 10
Petroleo Brasileiro SA	2,845	96,764 08	135,649 60
Potash Corp Sask Inc	1,280	134,375 22	138,880 00
Praxair Inc	520	43,306 05	41,761 20
Suncor Energy Inc	2,560	114,156 91	90,393 60
Tenaris S A	1,990	84,654 43	84,873 50
Transocean Inc	1,111	129,031 91	91,990 80
UBS AG	565	19,330 51	8,763 15
Unilever NV	2,175	70,963 10	70,317 75
Weatherford International Ltd Com	4,225	110,860 61	75,669 75
Yara Intl ASA	735	24,477 61	33,479 25
		<u>2,151,904 03</u>	<u>2,024,431 43</u>

Ettinger Foundation
Investments - Corporate Stock
Statement 7A
2009

Description	Shares	Ending Cost	Ending FMV
Winslow Green Solutions Fund			
Bio Extraction Inc	7,500	13,492 29	15,023 61
Carmanah Technologies Corp	9,360	8,038 37	7,410 50
Newalta Corporation	2,286	17,533 63	17,488 17
Pure Technologies Ltd	3,895	14,917 56	16,087 52
Ruggedcom Inc	522	10,372 57	8,942 74
Waterfurnace Renewable Energy Com	725	18,479 30	17,952 97
Novozymes AS B Sh	577	52,487 45	60,078 09
Vestas Wind Systems	540	37,786 47	33,006 51
SAFT Groupe SA	168	8,341 94	8,137 44
Kingspan Group	2,387	21,710 23	20,548 48
EDP Renovaveis SA	3,510	38,665 86	33,388 48
Firstgroup	2,865	19,199 86	19,709 07
Telvent GIT SA	1,350	44,046 25	52,623 00
Acuity Brands Inc	636	22,327 80	22,667 04
American Superconductor Corp	872	29,377 49	35,664 80
Capstone Turbine Corp	5,905	7,617 45	7,617 45
Chicago Bridge & Iron Co N V	1,966	40,414 54	39,752 52
Chipotle Mexican Grill	175	13,653 80	15,428 00
Church and Dwight Inc	265	14,879 86	16,019 25
Clean Energy Fuels Corp	545	7,876 45	8,398 45
Comfort Sys USA Inc	1,063	13,276 25	13,117 42
Emcor Group Inc	905	22,048 33	24,344 50
First Solar Inc	348	51,424 95	47,119 20
Headwaters Inc	2,805	13,630 64	18,288 60
Horsehead Hldg Corp	2,920	33,170 03	37,230 00
Interface Inc	1,320	11,010 78	10,969 20
Lennox Intl Inc	332	13,238 50	12,961 28
Nalco Hldg Co	1,520	32,023 33	38,775 20
Prologis Sh Ben Int	3,122	40,039 65	42,740 18
Quanex Bldg Prods Corp	970	14,926 94	16,460 90
Sims Metal Management Ltd	1,314	25,176 77	25,623 00
Sunopta Inc	3,183	11,440 75	10,694 88
Trex Inc	495	7,993 59	9,702 00
US Geothermal Inc	4,830	7,544 02	7,389 90
United Nat Foods Inc	1,255	30,427 39	33,558 70
Valmont Inds Inc	230	17,885 65	18,043 50
Wabtec	785	29,525 34	32,059 40
Westport Innovations Inc	1,406	15,580 22	16,281 48
		831,582 30	871,303 43
Total Stock Held State Street Bank		10,722,016 63	10,950,040 38
HELD BY RBC Wealth Management:			
Calvert Impact Fund Global Alternative Energy Fund	55,610 487	499,206 00	564,446 00
Total Stock Held RBC Wealth Management		499,206 00	564,446 00
Total Corporate Stock		11,221,222 63	11,514,486 38

**Ettinger Foundation
Investments - Corporate Bonds
Statement 8A
2009**

Description	Units	Ending Cost	Ending FMV
J.A. Glynn & Co.:			
Alcoa Inc	35,000	34,037 50	36,906 10
American Express Co	30,000	27,375 00	31,353 00
Icahn Enterprises	32,000	31,700 00	32,640 00
Andarko Petroleum Corp	30,000	32,001.00	34,543 50
Arrows Electric Inc	30,000	28,800 00	31,428 30
Bear Stearns & Co Inc	27,000	27,303 75	29,708 91
Bellsouth Corp	30,000	30,472 20	30,981 00
Berkley W R Corp	30,000	23,850 00	29,672 70
Boston Pptys Ltd Partnership	30,000	29,700 00	31,972 20
CME Group Inc	30,000	31,875 00	32,813 10
Clorox Co	35,000	36,281 00	37,631 65
Consolidated Edison Co NY Inc	30,000	31,875 00	32,655 30
Fisher Scientific Intl Inc	35,000	35,612 50	36,093 75
Ford Motor Cr Corp	15,000	15,243 75	15,477 75
GATX Corp	30,000	31,308 75	33,735 60
Goldman Sachs Group Inc	30,000	30,148 60	32,020 50
Hartford Fincl Svces Group Inc	30,000	24,900 00	30,057 60
Hewlett Packard Co	30,000	31,232 10	31,900 20
Home Depot Inc	30,000	28,753 50	31,405 80
Household Fin Corp	30,000	29,137 50	32,620 80
Ingersoll Rand GL Hld Co	30,000	31,140 00	35,848 20
International Lease Fin Corp	25,000	20,281 25	19,871 75
Level 3 Communications Inc	30,000	25,950.00	28,462 50
Markel Corp	30,000	30,037 50	30,319 80
Masco Corp	30,000	28,575 00	28,588 20
Medtronic Inc	30,000	27,000 00	31,312 50
Merrill Lynch & Co Inc	28,000	22,792 00	29,264 76
Metlife Inc	30,000	29,862 60	35,254 20
Nisource Fin Corp	45,000	39,881 25	46,771 20
Owens Corning Inc	30,000	29,550 00	33,455 40
Prudential Finl Inc Med Term	30,000	21,600 00	30,951 30
Regions Bank Birmingham AL	30,000	26,037 90	27,424 20
Ryder Sys Inc Mtn Bk Ent	35,000	33,250 00	36,647 80
SLM Corp	30,000	27,930 00	29,916 60
Schering Plough Corp	30,000	32,043 30	32,971 80
Target Corp	30,000	30,444 30	33,110 70
Wachovia Corp New	30,000	30,693 00	31,845 60
Xerox Corp	35,000	32,812 50	35,698 25
Zurich Reins Centre Hldgs Inc	35,000	24,500 00	33,181 75
Total Corporate Bonds		1,135,987 75	1,246,514 27

Ettinger Foundation
Schedule of Grant Payments
2009

GRANTS	RELATION	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
Christian P Ettinger				
INFORM, Inc	None	509 (a) (1)	General Support	10 000 00
Center for Political Accountability	None	509 (a) (1)	General Support	5,000 00
Demos A Network for Ideas and Action, Ltd	None	509 (a) (1)	Democracy Program	5,000 00
Florida Sheriff's Youth Ranches, Inc	None	509 (a) (1)	General Support	500 00
Old School Square, Inc	None	509 (a) (1)	Annual Fund	250 00
Public Campaign	None	509 (a) (1)	General Support	1,000 00
Drug Policy Alliance	None	509 (a) (1)	General Support	5 000 00
Women's Action for New Directions Educati	None	509 (a) (1)	General Support	10,000 00
Center for International Policy	None	509 (a) (1)	Win Without War	10,000 00
Threshold Foundation	None	509 (a) (1)	General Support	5 000 00
Engage Network	None	509 (a) (1)	Love Wins	5,000 00
New America Foundation	None	509 (a) (1)	General Support	5,000 00
Working Films, Inc	None	509 (a) (1)	Working Films Award at the Full Frame Film Festival	3,333 00
Sarah Lawrence College	None	509 (a) (1)	General Support	1,000 00
Peace Education Fund	None	509 (a) (1)	Peace Action West - Celebrating 51 years of waging peace	5 000 00
Alliance for Nuclear Accountability	None	509 (a) (1)	General Support	5,000 00
USAction Education Fund	None	509 (a) (1)	General Support	2 500 00
Common Cause Education Fund	None	509 (a) (1)	Pentagon Report	3,900 00
Public Campaign	None	509 (a) (1)	General Support	2,000 00
Architects/Designers/Planners for Social	None	509 (a) (1)	New Village Press	1,500 00
Proteus Fund, Inc	None	509 (a) (1)	Piper Fund's Public Interest Legal Brief for Citizens United	5,000 00
INFORM, Inc	None	509 (a) (1)	General Support	5 000 00
ProgressNow org	None	509 (a) (1)	General Support	5 000 00
Air Traffic Control Education Fund, Inc	None	509 (a) (1)	General Support	5 000 00
Voter Action	None	509 (a) (1)	General Support	4 017 00
Public Interest Projects Inc	None	509 (a) (1)	Funders' Committee for Civic Participation	1,000 00
				111,000 00
Barbara P Ettinger				
Population Connection	None	509 (a) (1)	General Support	10,000 00
Anthropos Arts	None	509 (a) (1)	Ground Production's 'Play Again'	1 000 00
Trustees of Leland Stanford Junior Univer	None	509 (a) (1)	School of Education	10,000 00
Upstate Films, Limited	None	509 (a) (1)	General Support	5,000 00
Columbia Land Conservancy, Inc	None	509 (a) (1)	General Support	10 000 00
Student Conservation Association, Inc	None	509 (a) (1)	General Support	5 000 00
Hudson Opera House Inc	None	509 (a) (1)	General Support	3 000 00
Yale University	None	509 (a) (1)	Alumni Fund - Class of '65	1 000 00
Putney School	None	509 (a) (1)	General Support	1 000 00
Alaska Conservation Foundation	None	509 (a) (1)	General Support	5,000 00
National Dance Institute New Mexico, Inc	None	509 (a) (1)	General Support	5,000 00
WAMC/ Northeast Public Radio	None	509 (a) (1)	General Support	5,000 00
Gateway School	None	509 (a) (1)	General Support	2,000 00
Columbia-Greene Hospital Foundation	None	509 (a) (1)	Fund Drive/Gala	15,000 00
New York Restoration Project	None	509 (a) (1)	Purchase of Cherry Tree	2 500 00
WAMC/ Northeast Public Radio	None	509 (a) (1)	Fund Drive/Carbon Dioxide Emissions	3,000 00
Gnst Magazine, Inc	None	509 (a) (1)	General Support	3 000 00
BARD COLLEGE	None	509 (a) (1)	Bard Summer Scape Festival	2 500 00
Oceana, Inc	None	509 (a) (1)	General Support	4 000 00
The New York Botanical Garden	None	509 (a) (1)	General Support	1 000 00
American Museum of Natural History	None	509 (a) (1)	General Support	5 000 00
Aspen Community Foundation	None	509 (a) (1)	Third Generation Fund	10,000 00
American Museum of Natural History	None	509 (a) (1)	General Support	5 000 00
Mapendo, Inc	None	509 (a) (1)	General Support	1,000 00
Gnst Magazine, Inc	None	509 (a) (1)	General Support	3 000 00
Florida Association of Planned Parenthood	None	509 (a) (1)	Protect Access to Reproductive Health Care	15,000 00
Western Conservation Foundation	None	509 (a) (1)	General Support	12 000 00
				145,000 00
Deborah Ettinger				
San Jose Police Foundation	None	509 (a) (1)	General Support/Personal Protection of Police	10,000 00
Judicial Watch Inc	None	509 (a) (1)	General Support	10 000 00
Sovereignty International, Inc	None	509 (a) (1)	General Support	5 000 00
WWP, Inc	None	509 (a) (1)	Wounded Warriors	10 000 00
The Heritage Foundation	None	509 (a) (1)	General Support	10,000 00
Cato Institute	None	509 (a) (1)	General Support	10 000 00
				55,000 00
Elaine Hapgood				
Family Centers, Inc	None	509 (a) (1)	General Support	8 500 00
Family Centers, Inc	None	509 (a) (1)	Access Women in Need Fund	8 500 00
Planned Parenthood Chicago	None	509 (a) (1)	Family Planning	8 500 00

**Ettinger Foundation
Schedule of Grant Payments
2009**

GRANTS	RELATION	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
League of Women Voters, Florida Education Fund	None	509 (a) (1)	General Support	100 00
First Presbyterian Church	None	509 (a) (1)	General Support	7,000 00
Kids Wish Network, Inc.	None	509 (a) (1)	General Support	50 00
Planned Parenthood of Connecticut, Inc.	None	509 (a) (1)	General Support	5 000 00
Sarah Lawrence College	None	509 (a) (1)	General Support	6 500 00
Feminist Women's Health Center Inc	None	509 (a) (1)	Family Planning/Abortion Services	4,500 00
Planned Parenthood of Illinois	None	509 (a) (1)	Family Planning/Abortion Services	8,500 00
Planned Parenthood of So. Arizona, Inc.	None	509 (a) (1)	IM/O Joyce Hoover	7,000 00
The Women's Medical Fund, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	5 500 00
Florida Assoc of Planned Parenthood Affiliates	None	509 (a) (1)	Protect & Enhance Access to Reproductive Health Care	5,500 00
Population Connection	None	509 (a) (1)	General Support	5 500 00
Achievement Centers for Children and Families	None	509 (a) (1)	General Support	5 500 00
Religious Coalition for Reproductive Choice	None	509 (a) (1)	General Support	6,500 00
Boy Scouts of America, CT Yankee Council	None	509 (a) (1)	General Support	6 500 00
Norwalk Hospital Foundation	None	509 (a) (1)	General Support	6,100 00
Planned Parenthood Health Serv of NE	None	509 (a) (1)	General Support	8 150 00
NARAL Pro-Choice America Foundation	None	509 (a) (1)	General Support	7,500 00
Planned Parenthood of Greater Orlando	None	509 (a) (1)	General Support	6 450 00
Norwalk Hospital Foundation	None	509 (a) (1)	General Support	1 000 00
IPAS	None	509 (a) (1)	Abortion Training in Hospitals	16 500 00
President & Fellows of Harvard College	None	509 (a) (1)	For Hershey Abortion Assistance Fund/Ann's Fund	600 00
Feminist Women's Health Center, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	5 000 00
Planned Parenthood of Greater Orlando, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	3,000 00
Planned Parenthood of Middle & East Tennessee	None	509 (a) (1)	Family Planning/Abortion Services (J. Paschall Davis Fund)	2 000 00
Planned Parenthood of Northern New England	None	509 (a) (1)	For the Laura Fund	10 000 00
Planned Parenthood of Northwest Ohio, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	3 000 00
Planned Parenthood of the Southern Finger	None	509 (a) (1)	Family Planning/Abortion Services	3 000 00
Options Fund, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	3 000 00
Pro-Choice Resources	None	509 (a) (1)	Hershey Abortion Assistance Fund-Family Planning/Abortion Ser	5,000 00
South Mountain Women's Health Alliance	None	509 (a) (1)	Family Planning/Abortion Services	5 000 00
Women's Emergency Network, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	5 000 00
Planned Parenthood of Illinois	None	509 (a) (1)	Family Planning/Abortion Services	5,000 00
Women Have Options, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	3 000 00
Women's Health Rights Coalition	None	509 (a) (1)	ACCESS Women in Need Fund - Family Planning/Abortion Serv	3 000 00
Women's Medical Fund Inc.	None	509 (a) (1)	Family Planning/Abortion Services	3 000 00
Women's Organization for Medical Emergency	None	509 (a) (1)	Family Planning/Abortion Services	7,000 00
Backline	None	509 (a) (1)	Family Planning/Abortion Services	3 000 00
Chicago Women's Health Center, Inc.	None	509 (a) (1)	Family Planning/Abortion Services	3 000 00
IPAS	None	509 (a) (1)	Abortion Assistance Training in Hospitals	10 000 00
Population Action International	None	509 (a) (1)	General Support	500 00
Population Connection	None	509 (a) (1)	General Support	1 500 00
The Population Institute	None	509 (a) (1)	General Support	500 00
Delray Beach Historical Society, Inc.	None	509 (a) (1)	General Support	250 00
Delray Beach Public Library Assn	None	509 (a) (1)	General Support	500 00
United Way of Palm Beach County	None	509 (a) (1)	General Support	500 00
Greenwich Adult Day Care, Inc.	None	509 (a) (1)	General Support	500 00
Greenwich Boys & Girls Club Ass'n, Inc.	None	509 (a) (1)	General Support	500 00
Bobby Fund, Inc.	None	509 (a) (1)	General Support	3 500 00
Haven, Inc.	None	509 (a) (1)	General Support	1 500 00
Kids In Crisis, Inc.	None	509 (a) (1)	Teen Program	1 000 00
United Way of Greenwich	None	509 (a) (1)	General Support	1 000 00
American Cancer Society, Inc.	None	509 (a) (1)	General Support	100 00
Alzheimer's Disease & Related Disorders A	None	509 (a) (1)	Stem Cell Research	500 00
Hospice By The Sea, Inc.	None	509 (a) (1)	General Support	1,000 00
Groundworks	None	509 (a) (1)	Grass Roots Program	12 500 00
Western Conservation Foundation	None	509 (a) (1)	General Support	2 500 00
Planned Parenthood of South Florida and T	None	509 (a) (1)	Extend Duration of '1 in 4 Campaign' for Teens	15 000 00
Builders Beyond Borders	None	509 (a) (1)	General Support	1 000 00
Kentucky Educational Fund for Handicap Ch	None	509 (a) (1)	General Support	500 00
Boy Scouts of America, Connecticut Yankee	None	509 (a) (1)	General Support	5 000 00
Fairfield University	None	509 (a) (1)	General Support	2 500 00
Hamilton College Trustees	None	509 (a) (1)	Class of '71 Alumni Fund	2 500 00
Colorado College	None	509 (a) (1)	General Support	1 900 00
Norwalk Hospital Foundation	None	509 (a) (1)	General Support	6,100 00
Near & Far Aid Association, Inc.	None	509 (a) (1)	General Support	500 00
Lockwood-Mathews Mansion Museum of Norwal	None	509 (a) (1)	General Support	250 00
Norwalk Hospital Foundation	None	509 (a) (1)	General Support	10 000 00
Women's Reproductive Rights Assistance Pro	None	509 (a) (1)	Family Planning/Abortion Services	2,000 00
University of California - San Francisco,	None	509 (a) (1)	Hastings College of the Law	1 000 00
AmenCares	None	509 (a) (1)	For Haiti Earthquake Disaster Relief	7 500 00
Boy Scouts of America Council	None	509 (a) (1)	Gulf Stream - General Support	1,100 00
Earthplace the Nature Discovery Center In	None	509 (a) (1)	Junior Staff Program - Scholarship Assistance	7 500 00
Jane's Due Process Inc.	None	509 (a) (1)	Legal Assistance to Minors for Reproductive Choice	5,000 00
Planned Parenthood of Maryland, Inc.	None	509 (a) (1)	General Support	5 000 00

**Ettinger Foundation
Schedule of Grant Payments
2009**

GRANTS	RELATION	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
				327,150 00
Heidi Ettinger				
Time & Space Limited	None	509 (a) (1)	General Support	10,750 00
Municipal Art Society of New York	None	509 (a) (1)	General Support	17 000 00
Alliance for the Development of Theatre A	None	509 (a) (1)	Clambake'	7 500 00
Hudson Highlands Nature Museum	None	509 (a) (1)	General Support	5,000 00
Hudson Valley Shakespeare Festival, Inc	None	509 (a) (1)	General Support	5,000 00
Masters School	None	509 (a) (1)	General Support	10,000 00
Women's Voices for Change, Inc	None	509 (a) (1)	General Support	2,500 00
Second Stage Theatre, Inc	None	509 (a) (1)	General Support	10,000 00
Dramatists Guild Fund, Inc	None	509 (a) (1)	General Support	5,000 00
Hudson Valley Shakespeare Festival, Inc	None	509 (a) (1)	\$2,500 for 2009 Season, and \$7,500 for new Development Dire	10,000 00
Achievement Centers for Children and Fami	None	509 (a) (1)	General Support	2,000 00
Masters School	None	509 (a) (1)	General Support	10,000 00
George Balanchine Foundation, Inc	None	509 (a) (1)	Videography	10,000 00
Yale University School of Drama	None	509 (a) (1)	General Support	5,000 00
Theatre Development Fund, Inc	None	509 (a) (1)	Wendy Wasserstein Prize	7,500 00
Alliance for the Arts, Inc	None	509 (a) (1)	General Support	5,000 00
The Flea Theater Inc	None	509 (a) (1)	Building Fund	1,750 00
Denison University	None	509 (a) (1)	Jonathan R. Reynolds Young Writers Program	8,200 00
Dramatists Guild Fund Inc	None	509 (a) (1)	The Legacy Project	5 000 00
Hudson Highlands Nature Museum	None	509 (a) (1)	General Support	7,800 00
				145,000 00
Matthew Ettinger				
The Prentice School	None	509 (a) (1)	General Support	5,000 00
Prospect Park Alliance Inc	None	509 (a) (1)	General Support	500 00
New York Theatre Workshop, Inc	None	509 (a) (1)	General Support	1,000 00
Gay & Lesbian Community Services Center o	None	509 (a) (1)	General Support	3 000 00
Gay and Lesbian Alliance Against Defamati	None	509 (a) (1)	General Support	3 000 00
Women Make Movies Inc	None	509 (a) (1)	PARIAH	4 500 00
				17,000 00
Wendy W P Ettinger				
Family and Social Services Federation	None	509 (a) (1)	General Support	8 250 00
Society of the Third Street Music School Settlement, Inc. (The)	None	509 (a) (1)	Scholarships	1,500 00
Working Films Inc	None	509 (a) (1)	Working Films Award' at the Full Frame Film Festival	8,500 00
Tides Foundation	None	509 (a) (1)	Chicken & Egg Film Fund	25 000 00
Pacific Science Center Foundation	None	509 (a) (1)	Pacific Science Center's Around the Americas Project	10,000 00
Institute for Democracy Studies Inc	None	509 (a) (1)	General Support	8,350 00
New York Quarterly Meeting of the Religio	None	509 (a) (1)	Friends Seminary	10 000 00
New Group Inc	None	509 (a) (1)	General Support	10 000 00
Pathways Inc	None	509 (a) (1)	General Support	5,000 00
Tides Foundation	None	509 (a) (1)	Chicken and Egg Film Fund	25,000 00
Brooklyn Information & Culture, Inc	None	509 (a) (1)	General Support	3,250 00
Mapendo Inc	None	509 (a) (1)	General Support	7,000 00
The 52nd Street Project, Inc	None	509 (a) (1)	General Support	10,000 00
President & Fellows of Harvard College	None	509 (a) (1)	For Hershey Abortion Assistance Fund/Ann's Fund	8,900 00
Mapendo, Inc	None	509 (a) (1)	General Support	1 000 00
Gnst Magazine Inc	None	509 (a) (1)	General Support	1,000 00
Western Conservation Network	None	509 (a) (1)	General Support	750 00
New York Women in Film and Television, In	None	509 (a) (1)	General Support	1 500 00
				145,000 00
Ronene Anderson				
The Fountain Valley School of Colorado	None	509 (a) (1)	General Support	9,000 00
Pocono Equestrian Center	None	509 (a) (1)	General Support	1 000 00
Jimmie Johnson Foundation	None	509 (a) (1)	Habitat for Humanity for work in San Diego Area	2,000 00
Whittier College	None	509 (a) (1)	Richard P. Ettinger Scholarship	2,000 00
The Prentice School	None	509 (a) (1)	General Support	2 000 00
Montana Horse Sanctuary	None	509 (a) (1)	General Support	1,000 00
				17,000 00
Jonathan Babicka				
Project Sunshine Inc	None	509 (a) (1)	Miami Chapter	10,000 00
Bay Point School Inc	None	509 (a) (1)	General Support	3,700 00
Best Buddies	None	509 (a) (1)	General Support	3 700 00
				17,400 00
Barbara Hapgood				
North Carolina Center for Public Policy R	None	509 (a) (1)	General Support	1 000 00
E. O. Wilson Biodiversity Foundation	None	509 (a) (1)	Online Biology Textbook	5 000 00
El Centro Latino, Inc	None	509 (a) (1)	General Support	1 000 00

**Ettinger Foundation
Schedule of Grant Payments
2009**

GRANTS	RELATION	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
North Carolina Community Foundation	None	509 (a) (1)	North Carolina Network of Grantmakers	17 000 00
				24,000 00
Shelley Babicka				
Victory Girl Productions	None	509 (a) (1)	General Support	2 500 00
Louisiana SPCA	None	509 (a) (1)	General Support	2 000 00
Jobs for Montana's Graduates Foundation	None	509 (a) (1)	General Support	1,500 00
International Primate Protection League	None	509 (a) (1)	General Support	2,500 00
Humane Society of the United States	None	509 (a) (1)	General Support	1 500 00
Colorado Rocky Mountain school	None	509 (a) (1)	General Support	1 500 00
American Humane Association	None	509 (a) (1)	General Support	1,000 00
Big Brothers & Big Sisters of Montana, In	None	509 (a) (1)	General Support	1,500 00
Heart of the Valley Humane Society	None	509 (a) (1)	Heart of the Valley Animal Shelter	1,200 00
World Wildlife Fund, Inc	None	509 (a) (1)	General Support	1,000 00
Environmental Protection Information Cent	None	509 (a) (1)	General Support	1 000 00
				17,200 00
Lauren Zuskin				
Jemicy School, Inc	None	509 (a) (1)	Scholarships	24,000 00
Grants-School				
Whittier College	None	509 (a) (1)	Richard P. Ettinger Scholarship	1 000 00
The Prentice School	None	509 (a) (1)	General Support	1,000 00
				2,000 00
James Bohart				
University of Texas at Austin	None	509 (a) (1)	Longhorn Foundation	8 500 00
Sigma Phi Epsilon Education Foundation of	None	509 (a) (1)	General Support	3 000 00
Bat Conservation International, Inc	None	509 (a) (1)	General Support	5,000 00
Friends of Montesson	None	509 (a) (1)	General Support	5 000 00
University of Texas at Austin	None	509 (a) (1)	Longhorn Foundation	2,300 00
AIDS Project Los Angeles Inc	None	509 (a) (1)	General Support	200 00
				24,000 00
John Powers				
Canbbean Conservation Corporation, Inc	None	509 (a) (1)	International Program Matching Grant	20 000 00
Colorado Conservation Trust	None	509 (a) (1)	General Support	2,500 00
Community Foundation Serving Boulder Coun	None	509 (a) (1)	Collaborative Gift Fund	20,625 00
Alliance for Sustainable Colorado	None	509 (a) (1)	General Support	45 000 00
Engage Network	None	509 (a) (1)	General Support	15 000 00
				103,125 00
Lynn Babicka				
Institute for Local Self-Reliance	None	509 (a) (1)	Continuance of Work in Florida	12,500 00
Family and Social services Federation	None	509 (a) (1)	Home Care	250 00
Visiting Nurse Association & Hospice Foun	None	509 (a) (1)	General Support	500 00
Defenders of Wildlife	None	509 (a) (1)	North Rockies & Gr Yellowstone Wolf Legal Defense Fund	1,000 00
Center for Reproductive Rights, Inc	None	509 (a) (1)	General Support	20 000 00
Southern Vermont Arts Center, Inc	None	509 (a) (1)	General Support	500 00
Earthjustice	None	509 (a) (1)	Work in Florida	12 000 00
Care Plus Foundation, Inc	None	509 (a) (1)	General Support	1,500 00
National Parks Conservation Association	None	509 (a) (1)	Stop the Guns Rider	2,000 00
Shelburne Farms	None	509 (a) (1)	General Support	1,000 00
Indian River State College	None	509 (a) (1)	WQCS 88.9 FM	2 000 00
Friends of the Earth	None	509 (a) (1)	General Support	10,000 00
Natural Resources Defense Council, Inc	None	509 (a) (1)	Global Warming & Species Protection Work	11 000 00
NARAL Pro-Choice America Foundation	None	509 (a) (1)	Reproductive Freedom	10,000 00
Planned Parenthood of Northern New Englan	None	509 (a) (1)	General Support	15,000 00
Keep Indian River Beautiful, Inc	None	509 (a) (1)	Ocean Conservancy and Recycling Work	3 500 00
Women's Club of Haworth	None	509 (a) (1)	Scholarship Fund	500 00
Alliance for Sustainable Colorado	None	509 (a) (1)	General Support	125 00
				103,375 00
TOTAL GRANTS 2009				\$ 1,277,250.00

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II	Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).	
Type or print File by the extended due date for filing the return See instructions	Name of Exempt Organization	Employer identification number
	THE ETTINGER FOUNDATION, INC.	06-6038938
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O ALLISON & GODFREY 35 CHURCH LANE	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions. WESTPORT, CT 06880	

Check type of return to be filed (File a separate application for each return):

- ☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

Allison & Godfrey

- The books are in the care of ☒ **35 Church Lane - Westport, CT 06880**

Telephone No ☒ **203-227-7470**

FAX No ☒ **203-227-0424**

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **November 15, 2010**

5 For calendar year **2009**, or other tax year beginning _____, and ending _____

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

The Foundation is waiting for Final K-1 information from 1 investment partnership in order to finalize the return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	1366.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	7500.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒

Title ☒

Date ☒

Form **8868** (Rev. 4-2009)