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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust**
**Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2009**

**For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009**

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

**Use the IRS label. Otherwise, print or type. See Specific Instructions.**

Name of foundation
MERIDEN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
123 bank street

City or town, state, and ZIP code
waterbury, CT 06702

**A Employer identification number**
06-6037849

**B** Telephone number (see page 10 of the instructions)
(203) 578-2213

**C** If exemption application is pending, check here ▶ ☐

**D 1.** Foreign organizations, check here ▶ ☐

**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 20,111,347

**J** Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
*(Part I, column (d) must be on cash basis.)*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | 174,505 | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities. . . . . . | 659,763 | 659,763 | | |
| | 5a | Gross rents . . . . . . . . . . . . . | | | | |
| | b | Net rental income or (loss) ________ | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 8,238 | | | |
| | b | Gross sales price for all assets on line 6a 2,950,641 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) . . . | | 8,011 | | |
| | 8 | Net short-term capital gain . . . . . . . . . | | | | |
| | 9 | Income modifications . . . . . . . . . . . | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold . . . . | | | | |
| | c | Gross profit or (loss) (attach schedule) . . . . . . | | | | |
| | 11 | Other income (attach schedule) . . . . . . . | | | | |
| | 12 | **Total.** Add lines 1 through 11 . . . . . . . . | 842,506 | 667,774 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 192,235 | 169,167 | | 23,068 |
| | 14 | Other employee salaries and wages . . . . . . | 5,500 | 0 | | 5,500 |
| | 15 | Pension plans, employee benefits . . . . . . . | | | | |
| | 16a | Legal fees (attach schedule) . . . . . . . . . | | | | |
| | b | Accounting fees (attach schedule) . . . . . . . | 6,000 | 0 | | 6,000 |
| | c | Other professional fees (attach schedule) . . . . | | | | |
| | 17 | Interest . . . . . . . . . . . . . . . | | | | |
| | 18 | Taxes (attach schedule) (see page 14 of the instructions) | 9,972 | 0 | | 0 |
| | 19 | Depreciation (attach schedule) and depletion . . . | | | | |
| | 20 | Occupancy . . . . . . . . . . . . . . | | | | |
| | 21 | Travel, conferences, and meetings . . . . . . . | 639 | 0 | | 639 |
| | 22 | Printing and publications . . . . . . . . . | | | | |
| | 23 | Other expenses (attach schedule) . . . . . . . | 2,930 | 0 | | 2,930 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 . . . . . . . . | 217,276 | 169,167 | | 38,137 |
| | 25 | Contributions, gifts, grants paid . . . . . . . . | 1,052,326 | | | 1,052,326 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 1,269,602 | 169,167 | | 1,090,463 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -427,096 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 498,607 | | |
| | c | **Adjusted net income** (if negative, enter -0-) . . . | | | | |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | | -1 | -1 |
| | 2 | Savings and temporary cash investments | 1,112,054 | 679,018 | 679,018 |
| | 3 | Accounts receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | 15,992 | 6,020 | 6,020 |
| | 10a | Investments—U S and state government obligations (attach schedule) | 264,358 | 131,290 | 142,763 |
| | b | Investments—corporate stock (attach schedule) | 6,437,317 | 6,445,870 | 13,816,093 |
| | c | Investments—corporate bonds (attach schedule) | 5,254,733 | 5,332,048 | 5,467,454 |
| | 11 | Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 13,084,454 | 12,594,245 | 20,111,347 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | 63,113 | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 63,113 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here** ▶ ☑ **and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | 12,716,615 | 12,354,122 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | 0 | 0 | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 304,726 | 240,123 | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 13,021,341 | 12,594,245 | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 13,084,454 | 12,594,245 | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 13,021,341 |
| 2 | Enter amount from Part I, line 27a | 2 | -427,096 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 12,594,245 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 12,594,245 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | | |
|---|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 8,011 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)<br>If (loss), enter -0- in Part I, line 8 | | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 1,127,712 | 21,996,743 | 0 051267 |
| 2007 | 1,212,126 | 25,208,965 | 0 048083 |
| 2006 | 1,171,427 | 23,223,103 | 0 050442 |
| 2005 | 1,064,515 | 22,215,177 | 0 047918 |
| 2004 | 998,773 | 22,261,298 | 0 044866 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d). | 2 | 0 242576 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 048515 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5. | 4 | 22,629,125 |
| 5 | Multiply line 4 by line 3. | 5 | 1,097,852 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). | 6 | 4,986 |
| 7 | Add lines 5 and 6. | 7 | 1,102,838 |
| 8 | Enter qualifying distributions from Part XII, line 4. | 8 | 1,090,463 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 9,972 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2 | 3 | 9,972 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 9,972 |
| 6 | Credits/Payments | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 15,992 | | |
| b | Exempt foreign organizations—tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 15,992 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 6,020 |
| 11 | Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 6,020 **Refunded** ▶ | 11 | 0 |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | | No |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | No |

## Part VII-A Statements Regarding Activities (continued)

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | No |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶n/a

14 The books are in care of ▶WEBSTER bank NA Telephone no ▶(203) 578-2213

Located at ▶123 bank street waterbury CT ZIP+4 ▶06702

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions ) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | 4b | | No |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | | |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | **No** |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . . ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments See page 24 of the instructions | |
| 3 | |
| **Total.** Add lines 1 through 3. . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | 0 |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 21,971,630 |
| b | Average of monthly cash balances | 1b | 1,002,101 |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | 1d | 22,973,731 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 22,973,731 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 344,606 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 22,629,125 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 1,131,456 |

**Part XI** **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,131,456 |
| 2a | Tax on investment income for 2009 from Part VI, line 5 — 2a: 9,972 | | |
| b | Income tax for 2009 (This does not include the tax from Part VI ) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 9,972 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 1,121,484 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0 |
| 5 | Add lines 3 and 4 | 5 | 1,121,484 |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | 6 | 0 |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 1,121,484 |

**Part XII** **Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 1,090,463 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,090,463 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 1,090,463 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** **Undistributed Income** (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 1,121,484 |
| 2 Undistributed income, if any, as of the end of 2008 | | | | |
| a Enter amount for 2008 only. . . . . . . . | | | 1,048,246 | |
| b Total for prior years 20___ , 20___ , 20___ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2009 | | | | |
| a From 2004. . . . . . . . | | | | |
| b From 2005. . . . . . . . | | | | |
| c From 2006. . . . . . . . | | | | |
| d From 2007. . . . . . . . | | | | |
| e From 2008. . . . . . . . | | | | |
| f **Total** of lines 3a through e. . . . . . . . . . . | 0 | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 1,090,463 | | | | |
| a Applied to 2008, but not more than line 2a | | | 1,048,246 | |
| b Applied to undistributed income of prior years (Election required—see page 26 of the instructions) | | 0 | | |
| c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . | 0 | | | |
| d Applied to 2009 distributable amount. . . . . | | | | 42,217 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0 | | | 0 |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . . | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . | | 0 | | |
| e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . . . . . . . . . . | | | 0 | |
| f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 . . . . . . . . . . . | | | | 1,079,267 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . . . . . . . . . | 0 | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . . . . . . . . . . . . | 0 | | | |
| 9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a . . . . . . | 0 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2005. . . . | | | | |
| b Excess from 2006. . . . | | | | |
| c Excess from 2007. . . . | | | | |
| d Excess from 2008. . . . | | | | |
| e Excess from 2009. . . . | | | | |

## Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2009 | **(b)** 2008 | **(c)** 2007 | **(d)** 2006 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon<br>**a** "Assets" alternative test—enter<br>**(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter<br>**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

JEFFReY OTIS WEBSTER bank NA
pox box 951
meriden, CT 06450
(203) 578-2213

**b** The form in which applications should be submitted and information and materials they should include

GRANTS-MUST BE IN WRITING ON ORGANIZATION LETTERHEAD STATING PURPOSE SCHOLARSHIP-NEED PROOF OF QUALIFICATIONS AND COMPLETED APPLICATION

**c** Any submission deadlines

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

REQUESTS SHOULD BENEFIT THE GREATER MERIDEN AREA

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | 0 |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities. . . . | | | 14 | 659,763 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 8,238 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory. . | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | 0 | | 668,001 | 0 |

**13 Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . **13** 668,001

(See worksheet in line 13 instructions on page 28 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions ) |
|---|---|

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| **(1)** Cash | 1a(1) | | No |
| **(2)** Other assets | 1a(2) | | No |
| **b** Other transactions | | | |
| **(1)** Sales of assets to a noncharitable exempt organization | 1b(1) | | No |
| **(2)** Purchases of assets from a noncharitable exempt organization | 1b(2) | | No |
| **(3)** Rental of facilities, equipment, or other assets | 1b(3) | | No |
| **(4)** Reimbursement arrangements | 1b(4) | | No |
| **(5)** Loans or loan guarantees | 1b(5) | | No |
| **(6)** Performance of services or membership or fundraising solicitations | 1b(6) | | No |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | No |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| **(a)** Line No | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2010-08-15 | ******

Signature of officer or trustee | Date | Title

**Paid Preparer's Use Only**

| | Date | Check if self-employed ☐ | Preparer's identifying number (see **Signature** on page 30 of the instructions) |
|---|---|---|---|
| Preparer's Signature Brian S Borgerson CPA | 2010-08-15 | | |
| Firm's name (or yours if self-employed), address, and ZIP code: Kircaldie Randall & McNab LLC, 605 Washington Avenue, North Haven, CT 064731187 | | EIN | Phone no (203) 239-4478 |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491228006160

# Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

## Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

**Name of organization**
MERIDEN FOUNDATION

**Employer identification number**
06-6037849

**Organization type** (check one)

| Filers of: | Section: |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☑ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule—**

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ____________

**Caution.** An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**
MERIDEN FOUNDATION

**Employer identification number**
06-6037849

**Part I** **Contributors** (see Instructions)

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | jeanette packer charitable annuity tr<br>123 bank street<br>waterbury, CT 06702 | $ 144,636 | Person ☑<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |

**Name of organization**
MERIDEN FOUNDATION

**Employer identification number**
06-6037849

**Part II** **Noncash Property** (see Instructions)

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

**Name of organization**
MERIDEN FOUNDATION

**Employer identification number**
06-6037849

**Part III** *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** (Complete columns **(a)** through **(e) and** the following line entry )
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions ) ▶ $

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
|---|---|
| | |

**Additional Data**

**Software ID:** 09000028
**Software Version:** 2009.04010
**EIN:** 06-6037849
**Name:** MERIDEN FOUNDATION

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| Bear Stearns Co 3 25% 3/25/09, 25000 units | | 2008-07-16 | 2009-03-25 |
| AETNA INC, 40 units | | 2000-12-13 | 2009-09-22 |
| AETNA INC, 260 units | | 2000-12-13 | 2009-09-22 |
| AETNA INC, 260 units | | 2000-12-13 | 2009-10-08 |
| AETNA INC, 940 units | | 2000-12-13 | 2009-10-08 |
| CITIGROUP INC, 1500 units | | 1975-06-19 | 2009-02-19 |
| CITIGROUP INC, 3000 units | | 1975-06-19 | 2009-02-19 |
| CITIGROUP INC, 600 units | | 1986-03-10 | 2009-02-19 |
| COUNTRYWIDE HM MTN 5 625% 7/15/09, 25000 units | | 2006-08-18 | 2009-07-15 |
| DOW CHEM COMPANY COM, 500 units | | 2004-09-27 | 2009-02-11 |
| EXXON MOBIL CORP, 100 units | | 1975-06-19 | 2009-02-11 |
| EXXON MOBIL CORP, 100 units | | 1975-06-19 | 2009-03-04 |
| GNMA PL #371276 7 500% 2/15/24, 7 95 units | | 1997-01-27 | 2009-01-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 01 units | | 1997-01-27 | 2009-02-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 06 units | | 1997-01-27 | 2009-03-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 11 units | | 1997-01-27 | 2009-04-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 17 units | | 1997-01-27 | 2009-05-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 22 units | | 1997-01-27 | 2009-06-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 28 units | | 1997-01-27 | 2009-07-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 41 units | | 1997-01-27 | 2009-08-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 47 units | | 1997-01-27 | 2009-09-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 66 units | | 1997-01-27 | 2009-10-15 |
| GNMA Pl #371276 7 500% 2/15/24, 7 7 units | | 1997-01-27 | 2009-11-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 76 units | | 1997-01-27 | 2009-12-15 |
| GNMA PL #372801 7 500% 2/15/24, 9 74 units | | 1997-03-05 | 2009-01-15 |
| GNMA PL #372801 7 500% 2/15/24, 15 03 units | | 1997-03-05 | 2009-02-15 |
| GNMA PL #372801 7 500% 2/15/24, 15 13 units | | 1997-03-05 | 2009-03-15 |
| GNMA PL #372801 7 500% 2/15/24, 93 37 units | | 1997-03-05 | 2009-04-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 35 units | | 1997-03-05 | 2009-05-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 4 units | | 1997-03-05 | 2009-06-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 25,000 | | 24,928 | 72 |
| 1,237 | | 197 | 1,040 |
| 8,042 | | 670 | 7,372 |
| 6,667 | | 670 | 5,997 |
| 24,104 | | 2,424 | 21,680 |
| 4,050 | | 5,038 | -988 |
| 8,100 | | 10,077 | -1,977 |
| 1,620 | | 3,111 | -1,491 |
| 25,000 | | 25,152 | -152 |
| 5,191 | | 21,280 | -16,089 |
| 7,535 | | 320 | 7,215 |
| 6,566 | | 320 | 6,246 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 10 | | 10 | 0 |
| 15 | | 15 | 0 |
| 15 | | 15 | 0 |
| 93 | | 93 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 72 |
| | | | 1,040 |
| | | | 7,372 |
| | | | 5,997 |
| | | | 21,680 |
| | | | -988 |
| | | | -1,977 |
| | | | -1,491 |
| | | | -152 |
| | | | -16,089 |
| | | | 7,215 |
| | | | 6,246 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #372801 7 500% 2/15/24, 4 39 units | | 1997-03-05 | 2009-07-15 |
| GNMA PL #372801 7 500% 2/15/24, 132 77 units | | 1997-03-05 | 2009-08-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 units | | 1997-03-05 | 2009-09-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 01 units | | 1997-03-05 | 2009-10-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 04 units | | 1997-03-05 | 2009-11-15 |
| GNMA PL #372801 7 500% 2/15/24, 179 94 units | | 1997-03-05 | 2009-12-15 |
| HARTFORD FINL SVCS GROUP INC, 300 units | | 1981-01-19 | 2009-03-04 |
| HARTFORD FINL SVCS GROUP INC, 700 units | | 1981-01-19 | 2009-03-04 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 100000 units | | 2000-02-09 | 2009-06-15 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 40000 units | | 2006-10-17 | 2009-09-25 |
| Citigroup Inc , 200 units | | 2008-11-20 | 2009-02-20 |
| CITIGROUP INC, 200 units | | 2004-03-25 | 2009-02-20 |
| DOW CHEM COMPANY COM, 100 units | | 2004-09-27 | 2009-02-03 |
| E M C CORP MASS, 300 units | | 2006-03-06 | 2009-05-28 |
| EXELON CORP, 50 units | | 2004-02-02 | 2009-02-13 |
| GNMA Pl #490800 6 000% 11/15/28, 12 08 units | | 1998-12-04 | 2009-01-15 |
| GNMA Pl #490800 6 000% 11/15/28, 12 98 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 121 67 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 117 03 units | | 1998-12-04 | 2009-04-15 |
| GNMA Pl #490800 6 000% 11/15/28, 11 58 units | | 1998-12-04 | 2009-05-15 |
| GNMA Pl #490800 6 000% 11/15/28, 11 36 units | | 1998-12-04 | 2009-06-15 |
| GNMA Pl #490800 6 000% 11/15/28, 11 66 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 12 53 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 186 26 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 13 02 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 11 67 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 491 47 units | | 1998-12-04 | 2009-12-15 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 50000 units | | 2000-02-09 | 2009-06-15 |
| MEDTRONIC, 100 units | | 2003-04-01 | 2009-03-16 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 40000 units | | 2006-10-17 | 2009-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4 | | 4 | 0 |
| 133 | | 133 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 180 | | 180 | 0 |
| 1,218 | | 1,666 | -448 |
| 2,843 | | 3,807 | -964 |
| 100,000 | | 96,399 | 3,601 |
| 40,100 | | 39,658 | 442 |
| 403 | | 1,081 | -678 |
| 403 | | 10,020 | -9,617 |
| 1,090 | | 4,256 | -3,166 |
| 3,607 | | 4,368 | -761 |
| 2,675 | | 1,673 | 1,002 |
| 12 | | 12 | 0 |
| 13 | | 13 | 0 |
| 122 | | 121 | 1 |
| 117 | | 116 | 1 |
| 12 | | 12 | 0 |
| 11 | | 11 | 0 |
| 12 | | 12 | 0 |
| 13 | | 12 | 1 |
| 186 | | 185 | 1 |
| 13 | | 13 | 0 |
| 12 | | 12 | 0 |
| 491 | | 489 | 2 |
| 50,000 | | 48,200 | 1,800 |
| 2,820 | | 4,512 | -1,692 |
| 40,100 | | 39,658 | 442 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -448 |
| | | | -964 |
| | | | 3,601 |
| | | | 442 |
| | | | -678 |
| | | | -9,617 |
| | | | -3,166 |
| | | | -761 |
| | | | 1,002 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 2 |
| | | | 1,800 |
| | | | -1,692 |
| | | | 442 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| DOW CHEM COMPANY COM, 100 units | | 2004-09-27 | 2009-02-03 |
| LOWES COS INC COM, 200 units | | 2003-03-31 | 2009-07-13 |
| PACIFIC BELL 6 625% 11/01/09, 10000 units | | 2007-05-17 | 2009-11-01 |
| TEXTRON FIN CORP MTN 5 125% 2/03111, 20000 units | | 2006-10-17 | 2009-09-25 |
| CITIGROUP INC, 1500 units | | 1986-04-23 | 2009-01-14 |
| GNMA PL #371276 7 500% 2/15/24, 7 95 units | | 1997-01-27 | 2009-01-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 01 units | | 1997-01-27 | 2009-02-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 06 units | | 1997-01-27 | 2009-03-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 11 units | | 1997-01-27 | 2009-04-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 17 units | | 1997-01-27 | 2009-05-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 22 units | | 1997-01-27 | 2009-06-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 28 units | | 1997-01-27 | 2009-07-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 41 units | | 1997-01-27 | 2009-08-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 47 units | | 1997-01-27 | 2009-09-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 66 units | | 1997-01-27 | 2009-10-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 7 units | | 1997-01-27 | 2009-11-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 76 units | | 1997-01-27 | 2009-12-15 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 30000 units | | 2000-02-09 | 2009-06-15 |
| MEDTRONIC, 100 units | | 2003-03-31 | 2009-03-16 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 25000 units | | 2004-03-24 | 2009-04-15 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 55000 units | | 2006-10-17 | 2009-09-25 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 40000 units | | 2000-02-09 | 2009-06-15 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 25000 units | | 2004-03-24 | 2009-04-15 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 40000 units | | 2006-10-17 | 2009-09-25 |
| WELLS fARGO & CO NEW, 300 units | | 1979-07-30 | 2009-09-01 |
| AMER GENL FIN MTN 4 625% 9/01/1 0, 25000 units | | 2008-04-16 | 2009-07-21 |
| CITIGROUP INC, 3500 units | | 1953-02-20 | 2009-01-28 |
| EXXON MOBIL CORP, 100 units | | 1995-06-22 | 2009-05-21 |
| GNMA PL #371276 7 500% 2/15/24, 7 95 units | | 1997-01-27 | 2009-01-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 01 units | | 1997-01-27 | 2009-02-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,082 | | 4,251 | -3,169 |
| 3,748 | | 4,090 | -342 |
| 10,000 | | 10,281 | -281 |
| 20,050 | | 19,829 | 221 |
| 6,780 | | 8,704 | -1,924 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 30,000 | | 28,920 | 1,080 |
| 2,820 | | 4,551 | -1,731 |
| 25,000 | | 25,000 | 0 |
| 55,138 | | 54,530 | 608 |
| 40,000 | | 38,560 | 1,440 |
| 25,000 | | 25,000 | 0 |
| 40,100 | | 39,658 | 442 |
| 7,929 | | 719 | 7,210 |
| 18,476 | | 24,950 | -6,474 |
| 14,388 | | 2,267 | 12,121 |
| 6,871 | | 1,838 | 5,033 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -3,169 |
| | | | -342 |
| | | | -281 |
| | | | 221 |
| | | | -1,924 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1,080 |
| | | | -1,731 |
| | | | 0 |
| | | | 608 |
| | | | 1,440 |
| | | | 0 |
| | | | 442 |
| | | | 7,210 |
| | | | -6,474 |
| | | | 12,121 |
| | | | 5,033 |
| | | | 0 |
| | | | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #371276 7 500% 2/15/24, 8 06 units | | 1997-01-27 | 2009-03-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 11 units | | 1997-01-27 | 2009-04-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 17 units | | 1997-01-27 | 2009-05-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 22 units | | 1997-01-27 | 2009-06-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 28 units | | 1997-01-27 | 2009-07-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 41 units | | 1997-01-27 | 2009-08-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 47 units | | 1997-01-27 | 2009-09-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 66 units | | 1997-01-27 | 2009-10-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 7 units | | 1997-01-27 | 2009-11-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 76 units | | 1997-01-27 | 2009-12-15 |
| GNMA PL #372801 7 500% 2/15124, 16 24 units | | 1997-03-05 | 2009-01-15 |
| GNMA PL #372801 7 500% 2/15/24, 25 05 units | | 1997-03-05 | 2009-02-15 |
| GNMA PL #372801 7 500% 2/15/24, 25 21 units | | 1997-03-05 | 2009-03-15 |
| GNMA PL #372801 7 500% 2/15/24, 155 62 units | | 1997-03-05 | 2009-04-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 26 units | | 1997-03-05 | 2009-05-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 33 units | | 1997-03-05 | 2009-06-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 31 units | | 1997-03-05 | 2009-07-15 |
| GNMA PL #372801 7 500% 2/15/24, 221 28 units | | 1997-03-05 | 2009-08-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 66 units | | 1997-03-05 | 2009-09-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 69 units | | 1997-03-05 | 2009-10-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 74 units | | 1997-03-05 | 2009-11-15 |
| GNMA PL #372801 7 500% 2/15/24, 299 9 units | | 1997-03-05 | 2009-12-15 |
| GNMA PL #490800 6 000% 11/15/28, 40 28 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 43 27 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 405 56 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 390 08 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 38 59 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 37 85 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 38 87 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 41 76 units | | 1998-12-04 | 2009-08-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 16 | | 16 | 0 |
| 25 | | 25 | 0 |
| 25 | | 25 | 0 |
| 156 | | 156 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 221 | | 221 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 300 | | 300 | 0 |
| 40 | | 40 | 0 |
| 43 | | 43 | 0 |
| 406 | | 403 | 3 |
| 390 | | 388 | 2 |
| 39 | | 38 | 1 |
| 38 | | 38 | 0 |
| 39 | | 39 | 0 |
| 42 | | 42 | 0 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 3 |
| | | | 2 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #490800 6 000% 11/15/28, 620 86 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 43 39 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 38 88 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 1638 25 units | | 1998-12-04 | 2009-12-15 |
| HARTFORD FINL SVCS GROUP INC, 1000 units | | 1953-02-20 | 2009-03-04 |
| INTL BUSINESS MACHINES CORP, 125 units | | 1969-08-07 | 2009-09-29 |
| JOHNSON CTLS INC, 1200 units | | 2003-03-28 | 2009-03-05 |
| JOHNSON CTLS INC, 300 units | | 2004-02-02 | 2009-03-05 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 50000 units | | 2000-02-09 | 2009-06-15 |
| MEDTRONIC, 300 units | | 2003-03-28 | 2009-05-21 |
| PROTECTIVE LIfE MTN 3 000% 4/15/09, 50000 units | | 2004-03-24 | 2009-04-15 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 50000 units | | 2006-10-17 | 2009-09-25 |
| AETNA INC, 600 units | | 2000-12-13 | 2009-10-27 |
| CITIGROUP INC, 200 units | | 2004-06-08 | 2009-02-20 |
| GNMA PL #371276 7 500% 2/15/24, 3 18 units | | 1997-01-27 | 2009-01-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 2 units | | 1997-01-27 | 2009-02-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 22 units | | 1997-01-27 | 2009-03-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 25 units | | 1997-01-27 | 2009-04-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 27 units | | 1997-01-27 | 2009-05-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 29 units | | 1997-01-27 | 2009-06-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 31 units | | 1997-01-27 | 2009-07-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 36 units | | 1997-01-27 | 2009-08-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 39 units | | 1997-01-27 | 2009-09-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 06 units | | 1997-01-27 | 2009-10-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 08 units | | 1997-01-27 | 2009-11-15 |
| GNMA PL #371276 7 500% 2/15/24, 3 1 units | | 1997-01-27 | 2009-12-15 |
| GNMA Pl #490800 6 000% 11/15/28, 8 06 units | | 1998-12-04 | 2009-01-15 |
| GNMA Pl #490800 6 000% 11/15/28, 8 65 units | | 1998-12-04 | 2009-02-15 |
| GNMA Pl #490800 6 000% 11/15/28, 81 11 units | | 1998-12-04 | 2009-03-15 |
| GNMA Pl #490800 6 000% 11/15/28, 78 02 units | | 1998-12-04 | 2009-04-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 621 | | 617 | 4 |
| 43 | | 43 | 0 |
| 39 | | 39 | 0 |
| 1,638 | | 1,629 | 9 |
| 4,061 | | 1,766 | 2,295 |
| 14,857 | | 2,051 | 12,806 |
| 11,726 | | 14,785 | -3,059 |
| 2,931 | | 5,820 | -2,889 |
| 50,000 | | 48,200 | 1,800 |
| 9,558 | | 13,839 | -4,281 |
| 50,000 | | 50,000 | 0 |
| 50,125 | | 49,573 | 552 |
| 15,210 | | 960 | 14,250 |
| 401 | | 9,538 | -9,137 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 3 | | 3 | 0 |
| 8 | | 8 | 0 |
| 9 | | 9 | 0 |
| 81 | | 81 | 0 |
| 78 | | 78 | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 4 |
| | | | 0 |
| | | | 0 |
| | | | 9 |
| | | | 2,295 |
| 2,278 | 2,051 | 227 | 12,579 |
| | | | -3,059 |
| | | | -2,889 |
| | | | 1,800 |
| | | | -4,281 |
| | | | 0 |
| | | | 552 |
| | | | 14,250 |
| | | | -9,137 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PI #490800 6 000% 11/15/28, 7 72 units | | 1998-12-04 | 2009-05-15 |
| GNMA PI #490800 6 000% 11/15/28, 7 57 units | | 1998-12-04 | 2009-06-15 |
| GNMA PI #490800 6 000% 11/15/28, 7 77 units | | 1998-12-04 | 2009-07-15 |
| GNMA PI #490800 6 000% 11/15/28, 8 35 units | | 1998-12-04 | 2009-08-15 |
| GNMA PI #490800 6 000% 11/15/28, 124 17 units | | 1998-12-04 | 2009-09-15 |
| GNMA PI #490800 6 000% 11/15/28, 8 68 units | | 1998-12-04 | 2009-10-15 |
| GNMA PI #490800 6 000% 11/15/28, 7 78 units | | 1998-12-04 | 2009-11-15 |
| GNMA PI #490800 6 000% 11/15/28, 327 65 units | | 1998-12-04 | 2009-12-15 |
| JOHNSON CTIS INC, 200 units | | 2004-02-02 | 2009-05-26 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 30000 units | | 2000-02-09 | 2009-06-15 |
| MEDTRONIC, 100 units | | 2003-04-01 | 2009-04-29 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 35000 units | | 2006-10-17 | 2009-09-25 |
| bank of america corp, 25 units | | 2004-10-19 | 2009-02-18 |
| BEAR STEARNS CO MTN 3 500% 2/15/09, 10000 units | | 2004-01-27 | 2009-02-15 |
| DOW CHEM COMPANY COM, 100 units | | 2004-09-27 | 2009-02-18 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 5000 units | | 2004-03-24 | 2009-04-15 |
| WALT DISNEY COMPANY, 100 units | | 2006-06-26 | 2009-03-09 |
| BANK OF AMERICA CORP, 55 units | | 2008-11-20 | 2009-04-23 |
| BANK OF AMERICA CORP, 45 units | | 2008-11-21 | 2009-04-23 |
| BANK OF AMERICA CORP, 125 units | | 2004-10-19 | 2009-04-23 |
| CITIGROUP INC, 200 units | | 2004-06-08 | 2009-02-20 |
| DOW CHEM COMPANY COM, 100 units | | 2004-09-27 | 2009-02-25 |
| GNMA PL #490800 6 000% 11/15/28, 4 03 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 33 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 40 56 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 39 01 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 86 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 79 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 89 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 18 units | | 1998-12-04 | 2009-08-15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 124 | | 123 | 1 |
| 9 | | 9 | 0 |
| 8 | | 8 | 0 |
| 328 | | 326 | 2 |
| 3,802 | | 3,925 | -123 |
| 30,000 | | 28,920 | 1,080 |
| 3,086 | | 4,518 | -1,432 |
| 35,088 | | 34,701 | 387 |
| 126 | | 1,218 | -1,092 |
| 10,000 | | 10,000 | 0 |
| 848 | | 4,265 | -3,417 |
| 5,000 | | 5,000 | 0 |
| 1,582 | | 2,877 | -1,295 |
| 469 | | 664 | -195 |
| 383 | | 473 | -90 |
| 1,065 | | 6,091 | -5,026 |
| 395 | | 9,536 | -9,141 |
| 755 | | 4,263 | -3,508 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 41 | | 40 | 1 |
| 39 | | 39 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 2 |
| | | | -123 |
| | | | 1,080 |
| | | | -1,432 |
| | | | 387 |
| | | | -1,092 |
| | | | 0 |
| | | | -3,417 |
| | | | 0 |
| | | | -1,295 |
| | | | -195 |
| | | | -90 |
| | | | -5,026 |
| | | | -9,141 |
| | | | -3,508 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #490800 6 000% 11/15/28, 62 09 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 34 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 89 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 163 83 units | | 1998-12-04 | 2009-12-15 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 10000 units | | 2004-03-24 | 2009-04-15 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 20000 units | | 2006-10-17 | 2009-09-25 |
| BANK OF AMERICA CORP, 55 units | | 2008-11-20 | 2009-04-29 |
| BANK OF AMERICA CORP, 45 units | | 2008-11-21 | 2009-04-29 |
| BANK OF AMERICA CORP, 125 units | | 2005-10-28 | 2009-04-29 |
| GNMA PL #490800 6 000% 11/15/28, 2 01 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 2 16 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 20 28 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 5 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 1 93 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 1 89 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 1 94 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 2 09 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 31 04 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 2 17 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 1 94 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 81 91 units | | 1998-12-04 | 2009-12-15 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 5000 units | | 2004-03-24 | 2009-04-15 |
| DOW CHEM COMPANY COM, 100 units | | 2004-09-27 | 2009-04-24 |
| GNMA PL #490800 6 000% 11/15/28, 4 03 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 33 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 40 56 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 39 01 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 86 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 79 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 89 units | | 1998-12-04 | 2009-07-15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 62 | | 62 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 164 | | 163 | 1 |
| 10,000 | | 10,000 | 0 |
| 20,050 | | 19,829 | 221 |
| 463 | | 664 | -201 |
| 378 | | 473 | -95 |
| 1,051 | | 5,439 | -4,388 |
| 2 | | 2 | 0 |
| 2 | | 2 | 0 |
| 20 | | 20 | 0 |
| 20 | | 19 | 1 |
| 2 | | 2 | 0 |
| 2 | | 2 | 0 |
| 2 | | 2 | 0 |
| 2 | | 2 | 0 |
| 31 | | 31 | 0 |
| 2 | | 2 | 0 |
| 2 | | 2 | 0 |
| 82 | | 81 | 1 |
| 5,000 | | 5,000 | 0 |
| 1,230 | | 4,272 | -3,042 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 41 | | 40 | 1 |
| 39 | | 39 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |

# Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 221 |
| | | | -201 |
| | | | -95 |
| | | | -4,388 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | -3,042 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #490800 6 000% 11/15/28, 4 18 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 62 09 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 34 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 89 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 163 83 units | | 1998-12-04 | 2009-12-15 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 5000 units | | 2004-03-24 | 2009-04-15 |
| BANK OF AMERICA CORP, 55 units | | 2008-11-20 | 2009-02-18 |
| BANK OF AMERICA CORP, 45 units | | 2008-11-21 | 2009-02-18 |
| FHLMC MTN 4 000% 2/05/13, 25000 units | | 2008-02-12 | 2009-02-05 |
| BANK OF AMERICA CORP , 125 units | | 2004-10-19 | 2009-02-18 |
| BEAR STEARNS CO MTN 3 500%, 25000 units | | 2004-01-27 | 2009-02-15 |
| DOW CHEM COMPANY COM, 200 units | | 2004-09-27 | 2009-02-18 |
| EXXON MOBIL CORP, 100 units | | 1991-09-25 | 2009-05-05 |
| GNMA PL #490800 6 000% 11/15/28, 26 18 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 28 13 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 263 61 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 253 56 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 25 08 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 24 6 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 25 26 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 27 14 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 403 56 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 28 2 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 25 27 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 1064 86 units | | 1998-12-04 | 2009-12-15 |
| JOHNSON CTIS INC, 200 units | | 2004-02-02 | 2009-02-18 |
| UNITED HEALTH GRP 4 125% 8/15/09, 25000 units | | 2006-09-12 | 2009-08-15 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 5000 units | | 2004-03-24 | 2009-04-15 |
| GMAC MTN 6 75% 9/15/11, 25000 units | | 2001-09-20 | 2009-05-22 |
| ISHARES IBOXX INV GRD CORP BOND FD, 250 units | | 2009-09-09 | 2009-12-29 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4 | | 4 | 0 |
| 62 | | 62 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 164 | | 163 | 1 |
| 5,000 | | 5,000 | 0 |
| 273 | | 664 | -391 |
| 224 | | 473 | -249 |
| 25,000 | | 25,000 | 0 |
| 622 | | 6,091 | -5,469 |
| 25,000 | | 25,000 | 0 |
| 1,704 | | 8,537 | -6,833 |
| 6,739 | | 1,308 | 5,431 |
| 26 | | 26 | 0 |
| 28 | | 28 | 0 |
| 264 | | 262 | 2 |
| 254 | | 252 | 2 |
| 25 | | 25 | 0 |
| 25 | | 24 | 1 |
| 25 | | 25 | 0 |
| 27 | | 27 | 0 |
| 404 | | 401 | 3 |
| 28 | | 28 | 0 |
| 25 | | 25 | 0 |
| 1,065 | | 1,059 | 6 |
| 2,529 | | 3,925 | -1,396 |
| 25,000 | | 24,228 | 772 |
| 5,000 | | 5,000 | 0 |
| 16,810 | | 25,000 | -8,190 |
| 25,972 | | 26,165 | -193 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | -391 |
| | | | -249 |
| | | | 0 |
| | | | -5,469 |
| | | | 0 |
| | | | -6,833 |
| | | | 5,431 |
| | | | 0 |
| | | | 0 |
| | | | 2 |
| | | | 2 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 3 |
| | | | 0 |
| | | | 0 |
| | | | 6 |
| | | | -1,396 |
| | | | 772 |
| | | | 0 |
| | | | -8,190 |
| | | | -193 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| ISHARES IBOXX INV GRD CORP BOND FD, 250 units | | 2009-09-16 | 2009-12-29 |
| AMERIPRISE FINANCIAL INC , 500 units | | 1989-09-04 | 2009-09-09 |
| AT & T CORP 6 000% 3/15/09, 50000 units | | 2006-07-31 | 2009-03-15 |
| EXXON MOBIL CORP, 100 units | | 1989-09-04 | 2009-02-04 |
| EXXON MOBIL CORP, 1000 units | | 1989-09-04 | 2009-12-29 |
| FHLMC 5 750% 3/15/09, 75000 units | | 1999-04-26 | 2009-03-15 |
| GNMA Pl #371276 7 500% 2/15/24, 46 1 units | | 1997-01-27 | 2009-01-15 |
| GNMA PL #371276 7 500% 2/15/24, 46 44 units | | 1997-01-27 | 2009-02-15 |
| GNMA PL #371276 7 500% 2/15/24, 46 75 units | | 1997-01-27 | 2009-03-15 |
| GNMA PL #371276 7 500% 2/15/24, 47 06 units | | 1997-01-27 | 2009-04-15 |
| GNMA PL #371276 7 500% 2/15/24, 47 37 units | | 1997-01-27 | 2009-05-15 |
| GNMA PL #371276 7 500% 2/15/24, 47 69 units | | 1997-01-27 | 2009-06-15 |
| GNMA PL #371276 7 500% 2/15/24, 48 01 units | | 1997-01-27 | 2009-07-15 |
| GNMA Pl #371276 7 500% 2/15124, 48 78 units | | 1997-01-27 | 2009-08-15 |
| GNMA PL #371276 7 500% 2/15/24, 49 11 units | | 1997-01-27 | 2009-09-15 |
| GNMA PL #371276 7 500% 2/15/24, 44 42 units | | 1997-01-27 | 2009-10-15 |
| GNMA PL #371276 7 500% 2/15/24, 44 69 units | | 1997-01-27 | 2009-11-15 |
| GNMA PL #371276 7 500% 2/15/24, 44 98 units | | 1997-01-27 | 2009-12-15 |
| GNMA PL #372801 7 500% 2/15/24, 16 24 units | | 1997-03-05 | 2009-01-15 |
| GNMA PL #372801 7 500% 2/15/24, 25 05 units | | 1997-03-05 | 2009-02-15 |
| GNMA PL #372801 7 500% 2/15/24, 25 21 units | | 1997-03-05 | 2009-03-15 |
| GNMA PL #372801 7 500% 2/15/24, 155 62 units | | 1997-03-05 | 2009-04-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 26 units | | 1997-03-05 | 2009-05-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 33 units | | 1997-03-05 | 2009-06-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 31 units | | 1997-03-05 | 2009-07-15 |
| GNMA PL #372801 7 500% 2/15/24, 221 28 units | | 1997-03-05 | 2009-08-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 66 units | | 1997-03-05 | 2009-09-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 69 units | | 1997-03-05 | 2009-10-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 74 units | | 1997-03-05 | 2009-11-15 |
| GNMA PL #372801 7 500% 2/15/24, 299 9 units | | 1997-03-05 | 2009-12-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 25,972 | | 26,478 | -506 |
| 14,636 | | 3,454 | 11,182 |
| 50,000 | | 50,454 | -454 |
| 7,757 | | 1,099 | 6,658 |
| 68,730 | | 10,992 | 57,738 |
| 75,000 | | 74,954 | 46 |
| 46 | | 46 | 0 |
| 46 | | 46 | 0 |
| 47 | | 47 | 0 |
| 47 | | 47 | 0 |
| 47 | | 47 | 0 |
| 48 | | 48 | 0 |
| 48 | | 48 | 0 |
| 49 | | 49 | 0 |
| 49 | | 49 | 0 |
| 44 | | 44 | 0 |
| 45 | | 45 | 0 |
| 45 | | 45 | 0 |
| 16 | | 16 | 0 |
| 25 | | 25 | 0 |
| 25 | | 25 | 0 |
| 156 | | 156 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 221 | | 221 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 300 | | 300 | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | -506 |
| | | | 11,182 |
| | | | -454 |
| | | | 6,658 |
| | | | 57,738 |
| | | | 46 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
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| | | | 0 |
| | | | 0 |
| | | | 0 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #490800 6 000% 11/15/28, 120 83 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 129 81 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 1216 68 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 1170 25 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 115 78 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 113 56 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 116 6 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 125 27 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 1862 58 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 130 17 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 116 65 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 4914 75 units | | 1998-12-04 | 2009-12-15 |
| HOME DEPOT INC 3 750% 9/15/09, 25000 units | | 2008-05-19 | 2009-09-15 |
| JOHNSON CTLS INC, 1500 units | | 2004-02-03 | 2009-03-05 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 100000 units | | 2000-02-09 | 2009-06-15 |
| NEW ENGLAND TEL 5 875% 4/15/09, 100000 units | | 2006-10-25 | 2009-04-15 |
| PROCTER & GAMBLE COMPANY, 500 units | | 1993-11-30 | 2009-12-29 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 75000 units | | 2006-10-17 | 2009-09-25 |
| TEXTRON INC 4 500% 8/01/10, 50000 units | | 2006-09-20 | 2009-09-21 |
| WALT DISNEY COMPANY, 4000 units | | 1989-09-04 | 2009-03-05 |
| T Rowe Price Equity Income Fund #71, 29 621 units | | 2008-03-12 | 2009-05-28 |
| Vanguard Intrm Bd Idx-IV, 146 771 units | | 2008-03-05 | 2009-05-28 |
| MAIRS & POWER GROWTH FD INC #248, 222 717 units | | 2009-01-06 | 2009-12-29 |
| CITIGROUP INC, 2450 units | | 1979-01-03 | 2009-02-03 |
| EXXON MOBIL CORP, 100 units | | 1991-04-12 | 2009-03-10 |
| EXXON MOBIL CORP, 150 units | | 1991-04-12 | 2009-12-29 |
| EXXON MOBIL CORP, 50 units | | 1993-06-11 | 2009-12-29 |
| GNMA PL #371276 7 500% 2/15/24, 15 9 units | | 1997-01-27 | 2009-01-15 |
| GNMA PL #371276 7 500% 2/15/24, 16 01 units | | 1997-01-27 | 2009-02-15 |
| GNMA PL #371276 7 500% 2/15/24, 16 12 units | | 1997-01-27 | 2009-03-15 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 121 | | 120 | 1 |
| 130 | | 129 | 1 |
| 1,217 | | 1,209 | 8 |
| 1,170 | | 1,163 | 7 |
| 116 | | 115 | 1 |
| 114 | | 113 | 1 |
| 117 | | 116 | 1 |
| 125 | | 125 | 0 |
| 1,863 | | 1,852 | 11 |
| 130 | | 129 | 1 |
| 117 | | 116 | 1 |
| 4,915 | | 4,886 | 29 |
| 25,000 | | 24,773 | 227 |
| 14,627 | | 29,090 | -14,463 |
| 100,000 | | 96,399 | 3,601 |
| 100,000 | | 100,707 | -707 |
| 30,805 | | 7,169 | 23,636 |
| 75,188 | | 74,360 | 828 |
| 50,875 | | 48,604 | 2,271 |
| 63,400 | | 39,237 | 24,163 |
| 500 | | 759 | -259 |
| 1,500 | | 1,560 | -60 |
| 14,403 | | 12,000 | 2,403 |
| 8,283 | | 8,153 | 130 |
| 6,677 | | 1,252 | 5,425 |
| 10,368 | | 1,878 | 8,490 |
| 3,456 | | 836 | 2,620 |
| 16 | | 16 | 0 |
| 16 | | 16 | 0 |
| 16 | | 16 | 0 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 1 |
| | | | 1 |
| | | | 8 |
| | | | 7 |
| | | | 1 |
| | | | 1 |
| | | | 1 |
| | | | 0 |
| | | | 11 |
| | | | 1 |
| | | | 1 |
| | | | 29 |
| | | | 227 |
| | | | -14,463 |
| | | | 3,601 |
| | | | -707 |
| | | | 23,636 |
| | | | 828 |
| | | | 2,271 |
| | | | 24,163 |
| | | | -259 |
| | | | -60 |
| | | | 2,403 |
| | | | 130 |
| | | | 5,425 |
| | | | 8,490 |
| | | | 2,620 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #371276 7 500% 2/15/24, 16 23 units | | 1997-01-27 | 2009-04-15 |
| GNMA PL #371276 7 500% 2/15/24, 16 34 units | | 1997-01-27 | 2009-05-15 |
| GNMA PL #371276 7 500% 2/15/24, 16 45 units | | 1997-01-27 | 2009-06-15 |
| GNMA PL #371276 7 500% 2/15/24, 16 55 units | | 1997-01-27 | 2009-07-15 |
| GNMA PL #371276 7 500% 2/15/24, 16 82 units | | 1997-01-27 | 2009-08-15 |
| GNMA PL #371276 7 500% 2/15/24, 16 94 units | | 1997-01-27 | 2009-09-15 |
| GNMA PL #371276 7 500% 2/15/24, 15 32 units | | 1997-01-27 | 2009-10-15 |
| GNMA PL #371276 7 500% 2/15/24, 15 41 units | | 1997-01-27 | 2009-11-15 |
| GNMA PL #371276 7 500% 2/15/24, 15 51 units | | 1997-01-27 | 2009-12-15 |
| GNMA PL #372801 7 500% 2/15/24, 16 24 units | | 1997-03-05 | 2009-01-15 |
| GNMA PL #372801 7 500% 2/15/24, 25 05 units | | 1997-03-05 | 2009-02-15 |
| GNMA PL #372801 7 500% 2/15/24, 25 21 units | | 1997-03-05 | 2009-03-15 |
| GNMA PL #372801 7 500% 2/15/24, 155 62 units | | 1997-03-05 | 2009-04-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 26 units | | 1997-03-05 | 2009-05-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 33 units | | 1997-03-05 | 2009-06-15 |
| GNMA PL #372801 7 500% 2/15/24, 7 31 units | | 1997-03-05 | 2009-07-15 |
| GNMA PL #372801 7 500% 2/15/24, 221 28 units | | 1997-03-05 | 2009-08-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 66 units | | 1997-03-05 | 2009-09-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 69 units | | 1997-03-05 | 2009-10-15 |
| GNMA PL #372801 7 500% 2/15/24, 6 74 units | | 1997-03-05 | 2009-11-15 |
| GNMA PL #372801 7 500% 2/15/24, 299 9 units | | 1997-03-05 | 2009-12-15 |
| GNMA PL #383451 6 500% 1/15/24, 188 87 units | | 1998-05-21 | 2009-01-15 |
| GNMA PL #383451 6 500% 1/15/24, 69 27 units | | 1998-05-21 | 2009-02-15 |
| GNMA PL #383451 6 500% 1/15/24, 68 09 units | | 1998-05-21 | 2009-03-15 |
| GNMA PL #383451 6 500% 1/15/24, 68 48 units | | 1998-05-21 | 2009-04-15 |
| GNMA PL #383451 6 500% 1/15/24, 984 52 units | | 1998-05-21 | 2009-05-15 |
| GNMA PL #383451 6 500% 1/15/24, 77 5 units | | 1998-05-21 | 2009-06-15 |
| GNMA PL #383451 6 500% 1/15/24, 75 08 units | | 1998-05-21 | 2009-07-15 |
| GNMA PL #383451 6 500% 1/15/24, 75 52 units | | 1998-05-21 | 2009-08-15 |
| GNMA PL #383451 6 500% 1/15/24, 75 96 units | | 1998-05-21 | 2009-09-15 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 16 | | 16 | 0 |
| 16 | | 16 | 0 |
| 16 | | 16 | 0 |
| 17 | | 17 | 0 |
| 17 | | 17 | 0 |
| 17 | | 17 | 0 |
| 15 | | 15 | 0 |
| 15 | | 15 | 0 |
| 16 | | 16 | 0 |
| 16 | | 16 | 0 |
| 25 | | 25 | 0 |
| 25 | | 25 | 0 |
| 156 | | 156 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 221 | | 221 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 7 | | 7 | 0 |
| 300 | | 300 | 0 |
| 189 | | 189 | 0 |
| 69 | | 69 | 0 |
| 68 | | 68 | 0 |
| 68 | | 68 | 0 |
| 985 | | 984 | 1 |
| 78 | | 77 | 1 |
| 75 | | 75 | 0 |
| 76 | | 76 | 0 |
| 76 | | 76 | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
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| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #383451 6 500% 1/15/24, 76 4 units | | 1998-05-21 | 2009-10-15 |
| GNMA PL #383451 6 500% 1/15/24, 260 33 units | | 1998-05-21 | 2009-11-15 |
| GNMA PL #383451 6 500% 1/15/24, 78 36 units | | 1998-05-21 | 2009-12-15 |
| GNMA PL #490800 6 000% 11/15/28, 20 14 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 21 64 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 202 78 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 195 04 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 3 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 18 93 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 43 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 20 88 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 310 43 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 21 7 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 44 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 819 12 units | | 1998-12-04 | 2009-12-15 |
| HARTFORD CAPITAL APPREC I, 354 9 units | | 2008-07-01 | 2009-12-29 |
| HARTFORD FINL SVCS GROUP INC, 352 units | | 1991-04-12 | 2009-03-04 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 100000 units | | 2000-02-09 | 2009-06-15 |
| NEW ENGLAND TEL 5 875% 4115/09, 50000 units | | 2006-10-23 | 2009-04-15 |
| PROCTER & GAMBLE COMPANY, 50 units | | 1993-03-23 | 2009-12-29 |
| PROCTER & GAMBLE COMPANY, 125 units | | 1993-10-22 | 2009-12-29 |
| TEXTRON FIN CORP MTN 5 125% 2/03111, 100000 units | | 2006-10-17 | 2009-09-25 |
| TEXTRON INC 4 500% 8/01/10, 50000 units | | 2006-09-20 | 2009-09-21 |
| VANGUARD SHORT-TERM CORPORATE #39, 1853 568 units | | 2003-03-26 | 2009-07-21 |
| VANGUARD SHORT-TERM CORPORATE #39, 1 115 units | | 2003-03-31 | 2009-07-21 |
| VANGUARD SHORT-TERM CORPORATE #39, 6 681 units | | 2003-04-30 | 2009-07-21 |
| VANGUARD SHORT-TERM CORPORATE #39, 6 522 units | | 2003-05-31 | 2009-07-21 |
| VANGUARD SHORT-TERM CORPORATE #39, 382 775 units | | 2006-05-16 | 2009-07-21 |
| WALT DISNEY COMPANY, 300 units | | 2001-04-05 | 2009-03-10 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 10000 units | | 2004-03-24 | 2009-04-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 76 | | 76 | 0 |
| 260 | | 260 | 0 |
| 78 | | 78 | 0 |
| 20 | | 20 | 0 |
| 22 | | 22 | 0 |
| 203 | | 202 | 1 |
| 195 | | 194 | 1 |
| 19 | | 19 | 0 |
| 19 | | 19 | 0 |
| 19 | | 19 | 0 |
| 21 | | 21 | 0 |
| 310 | | 309 | 1 |
| 22 | | 22 | 0 |
| 19 | | 19 | 0 |
| 819 | | 814 | 5 |
| 10,917 | | 13,000 | -2,083 |
| 1,429 | | 3,753 | -2,324 |
| 100,000 | | 96,399 | 3,601 |
| 50,000 | | 50,314 | -314 |
| 3,087 | | 638 | 2,449 |
| 7,718 | | 1,623 | 6,095 |
| 100,250 | | 99,146 | 1,104 |
| 50,875 | | 48,607 | 2,268 |
| 19,147 | | 20,000 | -853 |
| 12 | | 12 | 0 |
| 69 | | 72 | -3 |
| 67 | | 71 | -4 |
| 3,954 | | 4,000 | -46 |
| 4,849 | | 8,242 | -3,393 |
| 10,000 | | 10,000 | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 5 |
| | | | -2,083 |
| | | | -2,324 |
| | | | 3,601 |
| | | | -314 |
| | | | 2,449 |
| | | | 6,095 |
| | | | 1,104 |
| | | | 2,268 |
| | | | -853 |
| | | | 0 |
| | | | -3 |
| | | | -4 |
| | | | -46 |
| | | | -3,393 |
| | | | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #490800 6 000% 11/15/28, 4 03 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 33 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 40 56 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 39 01 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 86 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 79 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 89 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 18 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 62 09 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 34 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 89 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 163 83 units | | 1998-12-04 | 2009-12-15 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 20000 units | | 2004-03-24 | 2009-04-15 |
| BANK OF AMERICA CORP, 55 units | | 2008-11-20 | 2009-04-24 |
| BANK OF AMERICA CORP, 45 units | | 2008-11-21 | 2009-04-24 |
| BANK OF AMERICA CORP, 100 units | | 2004-10-19 | 2009-04-24 |
| MEDTRONIC, 100 units | | 2003-04-04 | 2009-05-20 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 5000 units | | 2004-03-24 | 2009-04-15 |
| Citigroup Inc, 1000 units | | 2008-11-20 | 2009-02-20 |
| CITIGROUP INC, 1000 units | | 2003-04-04 | 2009-02-20 |
| GNMA PL #352056 6 500% 10/15/23, 13 43 units | | 1997-12-19 | 2009-01-15 |
| GNMA PL #352056 6 500% 10/15/23, 63 3 units | | 1997-12-19 | 2009-02-15 |
| GNMA PL #352056 6 500% 10/15/23, 74 91 units | | 1997-12-19 | 2009-03-15 |
| GNMA PL #352056 6 500% 10/15/23, 7 9 units | | 1997-12-19 | 2009-04-15 |
| GNMA PL #352056 6 500% 10/15/23, 8 46 units | | 1997-12-19 | 2009-05-15 |
| GNMA PL #352056 6 500% 10/15/23, 8 66 units | | 1997-12-19 | 2009-06-15 |
| GNMA PL #352056 6 500% 10/15/23, 69 89 units | | 1997-12-19 | 2009-07-15 |
| GNMA PL #352056 6 500% 10/15/23, 75 8 units | | 1997-12-19 | 2009-08-15 |
| GNMA PL #352056 6 500% 10/15/23, 50 41 units | | 1997-12-19 | 2009-09-15 |
| GNMA PL #352056 6 500% 10/15/23, 136 22 units | | 1997-12-19 | 2009-10-15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 41 | | 40 | 1 |
| 39 | | 39 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 62 | | 62 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 164 | | 163 | 1 |
| 20,000 | | 20,000 | 0 |
| 493 | | 664 | -171 |
| 403 | | 473 | -70 |
| 896 | | 4,859 | -3,963 |
| 3,191 | | 4,619 | -1,428 |
| 5,000 | | 5,000 | 0 |
| 2,011 | | 5,218 | -3,207 |
| 2,011 | | 37,360 | -35,349 |
| 13 | | 13 | 0 |
| 63 | | 63 | 0 |
| 75 | | 75 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 9 | | 9 | 0 |
| 70 | | 70 | 0 |
| 76 | | 76 | 0 |
| 50 | | 50 | 0 |
| 136 | | 136 | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | -171 |
| | | | -70 |
| | | | -3,963 |
| | | | -1,428 |
| | | | 0 |
| | | | -3,207 |
| | | | -35,349 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #352056 6 500% 10/15/23, 7 72 units | | 1997-12-19 | 2009-11-15 |
| GNMA PL #352056 6 500% 10/15/23, 50 39 units | | 1997-12-19 | 2009-12-15 |
| GNMA PL #490800 6 000% 11/15/28, 20 14 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 21 64 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 202 78 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 195 04 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 3 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 18 93 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 43 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 20 88 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 310 43 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 21 7 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 44 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 819 12 units | | 1998-12-04 | 2009-12-15 |
| MEDTRONIC, 200 units | | 2003-04-04 | 2009-05-21 |
| PROTECTIVE LIFE MTN 3 000% 4/15/09, 35000 units | | 2004-03-24 | 2009-04-15 |
| TEXTRON FIN CORP MTN 5 125% 2/03/11, 50000 units | | 2006-10-17 | 2009-09-25 |
| BEAR STEARNS CO MTN 3 500% 2/15/09, 10000 units | | 2004-01-27 | 2009-02-15 |
| CISCO SYSTEMS INC, 100 units | | 2004-02-03 | 2009-02-18 |
| GNMA PL #372801 7 500% 2/15/24, 2 44 units | | 1997-03-05 | 2009-01-15 |
| GNMA PL #372801 7 500% 2/15/24, 3 76 units | | 1997-03-05 | 2009-02-15 |
| GNMA PL #372801 7 500% 2/15/24, 3 78 units | | 1997-03-05 | 2009-03-15 |
| GNMA PL #372801 7 500% 2/15/24, 23 34 units | | 1997-03-05 | 2009-04-15 |
| GNMA PL #372801 7 500% 2/15/24, 1 09 units | | 1997-03-05 | 2009-05-15 |
| GNMA PL #372801 7 500% 2/15/24, 1 1 units | | 1997-03-05 | 2009-06-15 |
| GNMA PL #372801 7 500% 2/15/24, 1 1 units | | 1997-03-05 | 2009-07-15 |
| GNMA PL #372801 7 500% 2/15/24, 33 19 units | | 1997-03-05 | 2009-08-15 |
| GNMA PL #372801 7 500% 2/15/24, 1 units | | 1997-03-05 | 2009-09-15 |
| GNMA PL #372801 7 500% 2/15/24, 1 units | | 1997-03-05 | 2009-10-15 |
| GNMA PL #372801 7 500% 2/15/24, 1 01 units | | 1997-03-05 | 2009-11-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8 | | 8 | 0 |
| 50 | | 50 | 0 |
| 20 | | 20 | 0 |
| 22 | | 22 | 0 |
| 203 | | 202 | 1 |
| 195 | | 194 | 1 |
| 19 | | 19 | 0 |
| 19 | | 19 | 0 |
| 19 | | 19 | 0 |
| 21 | | 21 | 0 |
| 310 | | 309 | 1 |
| 22 | | 22 | 0 |
| 19 | | 19 | 0 |
| 819 | | 814 | 5 |
| 6,372 | | 9,238 | -2,866 |
| 35,000 | | 35,000 | 0 |
| 50,125 | | 49,573 | 552 |
| 10,000 | | 10,000 | 0 |
| 1,528 | | 2,641 | -1,113 |
| 2 | | 2 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 23 | | 23 | 0 |
| 1 | | 1 | 0 |
| 1 | | 1 | 0 |
| 1 | | 1 | 0 |
| 33 | | 33 | 0 |
| 1 | | 1 | 0 |
| 1 | | 1 | 0 |
| 1 | | 1 | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 5 |
| | | | -2,866 |
| | | | 0 |
| | | | 552 |
| | | | 0 |
| | | | -1,113 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #372801 7 500% 2/15/24, 44 98 units | | 1997-03-05 | 2009-12-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 03 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 33 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 40 56 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 39 01 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 86 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 79 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 89 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 18 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 62 09 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 4 34 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 3 89 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 163 83 units | | 1998-12-04 | 2009-12-15 |
| TEXTRON FIN CORP MTN 4 600% 5/03/10, 3000 units | | 2007-03-07 | 2009-09-25 |
| CITIGROUP INC, 200 units | | 2003-04-14 | 2009-02-19 |
| GNMA PL #490800 6 000% 11/15/28, 20 14 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 21 64 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 202 78 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 195 04 units | | 1998-12-04 | 2009-04-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 3 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 18 93 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 43 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 20 88 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 310 43 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 21 7 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 19 44 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 819 12 units | | 1998-12-04 | 2009-12-15 |
| JOHNSON CTLS INC, 150 units | | 2003-04-14 | 2009-02-19 |
| MEDTRONIC, 200 units | | 2003-04-14 | 2009-05-22 |
| UNITEDHEALTH GROUP INC, 200 units | | 2004-02-03 | 2009-09-10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 45 | | 45 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 41 | | 40 | 1 |
| 39 | | 39 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 62 | | 62 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 164 | | 163 | 1 |
| 3,045 | | 2,963 | 82 |
| 555 | | 7,610 | -7,055 |
| 20 | | 20 | 0 |
| 22 | | 22 | 0 |
| 203 | | 202 | 1 |
| 195 | | 194 | 1 |
| 19 | | 19 | 0 |
| 19 | | 19 | 0 |
| 19 | | 19 | 0 |
| 21 | | 21 | 0 |
| 310 | | 309 | 1 |
| 22 | | 22 | 0 |
| 19 | | 19 | 0 |
| 819 | | 814 | 5 |
| 1,919 | | 1,959 | -40 |
| 6,384 | | 9,472 | -3,088 |
| 5,608 | | 6,009 | -401 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 82 |
| | | | -7,055 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 5 |
| | | | -40 |
| | | | -3,088 |
| | | | -401 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| WASHINGTON POST CO 5, 500% 2/15/09, 10000 units | | 2006-07-13 | 2009-02-15 |
| john hancock mtn 3 25% 1/15/09, 30000 units | | 2004-01-22 | 2009-01-15 |
| GNMA PL #371276 1 500% 2/15/24, 7 95 units | | 1997-01-27 | 2009-01-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 01 units | | 1997-01-27 | 2009-02-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 06 units | | 1997-01-27 | 2009-03-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 11 units | | 1997-01-27 | 2009-04-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 17 units | | 1997-01-27 | 2009-05-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 22 units | | 1997-01-27 | 2009-06-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 28 units | | 1997-01-27 | 2009-07-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 41 units | | 1997-01-27 | 2009-08-15 |
| GNMA PL #371276 7 500% 2/15/24, 8 47 units | | 1997-01-27 | 2009-09-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 66 units | | 1997-01-27 | 2009-10-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 7 units | | 1997-01-27 | 2009-11-15 |
| GNMA PL #371276 7 500% 2/15/24, 7 76 units | | 1997-01-27 | 2009-12-15 |
| GNMA PL #372801 7 500% 2/15/24, 9 74 units | | 1997-03-05 | 2009-01-15 |
| GNMA PL #372801 7 500% 2/15/24, 15 03 units | | 1997-03-05 | 2009-02-15 |
| GNMA PL #372801 7 500% 2/15/24, 15 13 units | | 1997-03-05 | 2009-03-15 |
| GNMA PL #372801 7 500% 2/15/24, 93 37 units | | 1997-03-05 | 2009-04-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 35 units | | 1997-03-05 | 2009-05-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 4 units | | 1997-03-05 | 2009-06-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 39 units | | 1997-03-05 | 2009-07-15 |
| GNMA PL #372801 7 500% 2/15/24, 132 77 units | | 1997-03-05 | 2009-08-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 units | | 1997-03-05 | 2009-09-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 01 units | | 1997-03-05 | 2009-10-15 |
| GNMA PL #372801 7 500% 2/15/24, 4 04 units | | 1997-03-05 | 2009-11-15 |
| GNMA PL #372801 7 500% 2/15/24, 179 94 units | | 1997-03-05 | 2009-12-15 |
| GNMA PL #490800 6 000% 11/15/28, 24 17 units | | 1998-12-04 | 2009-01-15 |
| GNMA PL #490800 6 000% 11/15/28, 25 96 units | | 1998-12-04 | 2009-02-15 |
| GNMA PL #490800 6 000% 11/15/28, 243 34 units | | 1998-12-04 | 2009-03-15 |
| GNMA PL #490800 6 000% 11/15/28, 234 05 units | | 1998-12-04 | 2009-04-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 10,000 | | 9,952 | 48 |
| 30,000 | | 30,000 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 8 | | 8 | 0 |
| 10 | | 10 | 0 |
| 15 | | 15 | 0 |
| 15 | | 15 | 0 |
| 93 | | 93 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 133 | | 133 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 4 | | 4 | 0 |
| 180 | | 180 | 0 |
| 24 | | 24 | 0 |
| 26 | | 26 | 0 |
| 243 | | 242 | 1 |
| 234 | | 233 | 1 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 48 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 1 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| GNMA PL #490800 6 000% 11/15/28, 23 16 units | | 1998-12-04 | 2009-05-15 |
| GNMA PL #490800 6 000% 11/15/28, 22 71 units | | 1998-12-04 | 2009-06-15 |
| GNMA PL #490800 6 000% 11/15/28, 23 32 units | | 1998-12-04 | 2009-07-15 |
| GNMA PL #490800 6 000% 11/15/28, 25 05 units | | 1998-12-04 | 2009-08-15 |
| GNMA PL #490800 6 000% 11/15/28, 372 52 units | | 1998-12-04 | 2009-09-15 |
| GNMA PL #490800 6 000% 11/15/28, 26 03 units | | 1998-12-04 | 2009-10-15 |
| GNMA PL #490800 6 000% 11/15/28, 23 33 units | | 1998-12-04 | 2009-11-15 |
| GNMA PL #490800 6 000% 11/15/28, 982 95 units | | 1998-12-04 | 2009-12-15 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 50000 units | | 2000-02-09 | 2009-06-15 |
| MEDTRONIC, 100 units | | 2003-04-14 | 2009-05-22 |
| MERCK & CO INC, 1 units | | 1980-08-11 | 2009-12-03 |
| NE~ ENGLAND TEL 5 875% 4/15/09, 25000 units | | 2006-10-23 | 2009-04-15 |
| SCHERING-PLOUGH CORP, 3000 units | | 1980-08-11 | 2009-11-04 |
| UNITEDHEALTH GROUP INC, 400 units | | 2004-02-03 | 2009-09-10 |
| CITIGROUP INC, 1800 units | | 1999-04-13 | 2009-02-20 |
| JOHNSON CTLS INC, 300 units | | 2004-02-03 | 2009-03-13 |
| MARSH & MCLENNAN COS 7 125% 6/15/09, 50000 units | | 2000-02-09 | 2009-06-15 |
| Johnson Controls, 200 units | | 2004-02-03 | 2009-03-13 |
| COUNTRYWIDE, HOME MTN 6 250% 4/15/09, 50000 units | | 2001-06-26 | 2009-04-15 |
| E M C CORP MASS, 625 units | | 2006-03-02 | 2009-05-26 |
| MEDTRONIC, 275 units | | 2001-05-10 | 2009-05-26 |
| UNITEDHEALTH GROUP INC, 350 units | | 2001-05-10 | 2009-09-10 |
| WELLS FARGO & CO NEW, 100 units | | 2001-05-10 | 2009-08-18 |
| AMER GEN FIN CORP MT 3 750% 1/15/09, 25000 units | | 2003-11-05 | 2009-01-15 |
| BANK OF AMERICA CORP, 85 units | | 2008-11-20 | 2009-03-09 |
| BANK OF AMERICA CORP, 65 units | | 2008-11-21 | 2009-03-09 |
| CITIGROUP INC, 300 units | | 2008-11-20 | 2009-02-20 |
| GENWORTH FINL INC 4 750% 6/15/09, 25000 units | | 2008-08-15 | 2009-03-09 |
| VANGUARD INTRM BD IOX-IV, 941 62 units | | 2009-01-14 | 2009-12-29 |
| VANGUARD INTRM BD IOX - I V, 447 325 units | | 2009-03-18 | 2009-12-29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 23 | | 23 | 0 |
| 23 | | 23 | 0 |
| 23 | | 23 | 0 |
| 25 | | 25 | 0 |
| 373 | | 370 | 3 |
| 26 | | 26 | 0 |
| 23 | | 23 | 0 |
| 983 | | 977 | 6 |
| 50,000 | | 48,200 | 1,800 |
| 3,192 | | 4,734 | -1,542 |
| 4 | | | 4 |
| 25,000 | | 25,157 | -157 |
| 31,500 | | 1,696 | 29,804 |
| 11,265 | | 12,015 | -750 |
| 3,667 | | 22,198 | -18,531 |
| 2,794 | | 5,808 | -3,014 |
| 50,000 | | 48,200 | 1,800 |
| 1,866 | | 3,871 | -2,005 |
| 50,000 | | 49,812 | 188 |
| 7,150 | | 9,113 | -1,963 |
| 8,830 | | 12,001 | -3,171 |
| 9,866 | | 5,030 | 4,836 |
| 2,658 | | 2,302 | 356 |
| 25,000 | | 25,000 | 0 |
| 269 | | 1,027 | -758 |
| 206 | | 683 | -477 |
| 613 | | 1,557 | -944 |
| 24,098 | | 24,896 | -798 |
| 10,113 | | 10,000 | 113 |
| 4,804 | | 4,648 | 156 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 3 |
| | | | 0 |
| | | | 0 |
| | | | 6 |
| | | | 1,800 |
| | | | -1,542 |
| | | | 4 |
| | | | -157 |
| | | | 29,804 |
| | | | -750 |
| | | | -18,531 |
| | | | -3,014 |
| | | | 1,800 |
| | | | -2,005 |
| | | | 188 |
| | | | -1,963 |
| | | | -3,171 |
| | | | 4,836 |
| | | | 356 |
| | | | 0 |
| | | | -758 |
| | | | -477 |
| | | | -944 |
| | | | -798 |
| | | | 113 |
| | | | 156 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| BANK OF AMERICA CORP, 400 units | | 2004-05-21 | 2009-03-09 |
| BANK OF AMERICA CORP, 250 units | | 2004-10-19 | 2009-03-09 |
| CITIGROUP INC, 300 units | | 2004-05-21 | 2009-02-20 |
| DOW CHEM COMPANY COM, 150 units | | 2004-05-21 | 2009-03-09 |
| DOW CHEM COMPANY COM, 100 units | | 2006-02-01 | 2009-03-09 |
| FEDEX CORPORATION, 150 units | | 2004-05-21 | 2009-03-09 |
| FOREST LABS INC, 150 units | | 2007-03-20 | 2009-09-11 |
| FOREST LABS INC, 50 units | | 2007-08-07 | 2009-09-11 |
| INTL LEASE FINANCE 4 450% 5/15/09, 50000 units | | 2004-06-01 | 2009-05-15 |
| SYSCO CORP, 400 units | | 2004-05-21 | 2009-12-29 |
| TEXACO CAPITAL INC 5 500% 1/15/09, 25000 units | | 2006-07-05 | 2009-01-15 |
| TEXAS INSTRUMENTS, 300 units | | 2006-10-24 | 2009-01-14 |
| TEXAS INSTRUMENTS, 100 units | | 2007-01-03 | 2009-01-14 |
| VANGUARD INTRM BD IDX-IV, 478 469 units | | 2008-09-10 | 2009-12-29 |
| CIT GROUP INC, 305 units | | 2004-12-16 | 2009-12-29 |
| CITIGROUP INC, 100 units | | 2005-06-21 | 2009-01-13 |
| E M C CORP MASS, 350 units | | 2005-06-01 | 2009-06-03 |
| METLIFE INC, 100 units | | 2007-01-05 | 2009-01-12 |
| VANGUARD INTRM BD IOX-IV, 466 853 units | | 2008-01-28 | 2009-12-29 |
| Capital Gains Dividends | P | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,268 | | 16,327 | -15,059 |
| 792 | | 12,188 | -11,396 |
| 613 | | 13,632 | -13,019 |
| 1,052 | | 5,663 | -4,611 |
| 701 | | 4,208 | -3,507 |
| 5,256 | | 10,677 | -5,421 |
| 4,371 | | 7,751 | -3,380 |
| 1,457 | | 1,969 | -512 |
| 50,000 | | 50,000 | 0 |
| 11,277 | | 15,062 | -3,785 |
| 25,000 | | 24,968 | 32 |
| 4,303 | | 9,480 | -5,177 |
| 1,434 | | 2,896 | -1,462 |
| 5,139 | | 5,000 | 139 |
| 8,538 | | 10,454 | -1,916 |
| 517 | | 4,735 | -4,218 |
| 4,417 | | 4,967 | -550 |
| 2,891 | | 5,970 | -3,079 |
| 5,014 | | 5,019 | -5 |
| 1,087 | | | 1,087 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | -15,059 |
| | | | -11,396 |
| | | | -13,019 |
| | | | -4,611 |
| | | | -3,507 |
| | | | -5,421 |
| | | | -3,380 |
| | | | -512 |
| | | | 0 |
| | | | -3,785 |
| | | | 32 |
| | | | -5,177 |
| | | | -1,462 |
| | | | 139 |
| | | | -1,916 |
| | | | -4,218 |
| | | | -550 |
| | | | -3,079 |
| | | | -5 |
| | | | 1,087 |

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| WALTER G ALWANG<br>5 ARLINGTON ST NO<br>MERIDEN,CT 06450 | distribution committee<br>1 00 | 0 | 0 | 0 |
| PETER VOURAS JR<br>62 PRESTON DR<br>mERIDEN,CT 06450 | distribution committee<br>1 00 | 0 | 0 | 0 |
| MAUREEN KANE<br>155 METACOMET DR<br>mERIDEN,CT 06450 | distribution committee<br>1 00 | 0 | 0 | 0 |
| WEBSTER bank NA<br>123 bank street<br>waterbury,CT 06702 | trustee<br>5 00 | 192,235 | 0 | 0 |
| Thomas Griglun<br>223 Lydale Place<br>mERIDEN,CT 06450 | distribution committee<br>1 00 | 0 | 0 | 0 |
| Elsa Bradford<br>bradford hill<br>mERIDEN,CT 06450 | distribution committee<br>1 00 | 0 | 0 | 0 |
| Jeffery Otis<br>123 bank street<br>waterbury,CT 06702 | Director<br>1 00 | 0 | 0 | 0 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| Central Connecticut State University on behalf of Abdelghani HibaPO Box 4010<br>New Britain, CT 06050 | None | N/A | Scholarship | 1,200 |
| Arizona State University on behalf of Amarante LaurenUniversity Drive<br>Phoenix, AZ 85287 | None | N/A | Scholarship | 1,200 |
| Roger Williams University on behalf of Arce DavidOne Old Ferry Road<br>Bristol, RI 02809 | None | N/A | Scholarship | 5,000 |
| Sacred Heart University on behalf of Arntsen Julia5151 Park Avenue<br>Fairfield, CT 06825 | None | N/A | Scholarship | 1,200 |
| Babcock RobertPO Box 951<br>Meriden, CT 06450 | None | N/A | Scholarship | 1,000 |
| University of Connecticut on behalf of Battista Jenna233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 1,200 |
| University of Rhode Island on behalf of Bender Shaina210 Flagg Road<br>Kingston, RI 02881 | None | N/A | Scholarship | 5,000 |
| Bryant University on behalf of Bischoff Jessie1150 Douglas Pike<br>Smithfield, RI 02917 | None | N/A | Scholarship | 1,200 |
| University of Hartford on behalf of Brazauski Kristen200 Bloomfield Avenue<br>Hartford, CT 06117 | None | N/A | Scholarship | 1,200 |
| Savannah College of Art & Design on behalf of Carrero YeseniaPO Box 2701<br>Savannah, GA 31402 | None | N/A | Scholarship | 5,000 |
| University of New England on behalf of Chenard Rebekka11 Hills Beach Road<br>Biddeford, ME 04005 | None | N/A | Scholarship | 5,000 |
| Sacred Heart University on behalf of Cofrancesco Alana5151 Park Avenue<br>Fairfield, CT 06825 | None | N/A | Scholarship | 1,200 |
| University of Virginia on behalf of CoFrancesco BrianPO Box 400204<br>Charlottesville, VA 22904 | None | N/A | Scholarship | 5,000 |
| Colligan RyanPO Box 951<br>Meriden, CT 06450 | None | N/A | Scholarship | 1,000 |
| Costa DanielPO Box 951<br>Meriden, CT 06450 | None | N/A | Scholarship | 1,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

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|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| Marlboro College on behalf of Coventry Mary510 South Road<br>Marlboro, VT 05344 | None | N/A | Scholarship | 5,000 |
| Mass College of Pharmacy on behalf of Crean Heather179 Longwood Avenue<br>Boston, MA 02115 | None | N/A | Scholarship | 5,000 |
| Southern Connecticut State University on behalf of Crean Laura 501 Cresent Street<br>New Haven, CT 06515 | None | N/A | Scholarship | 1,200 |
| Quinnipiac University on behalf of Crooms Jenna275 Mount Carmel Avenue<br>Hamden, CT 06518 | None | N/A | Scholarship | 1,200 |
| University of Connecticut on behalf of Dalsania Chandani233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 5,000 |
| Salve Regina University on behalf of Dargan Michael100 Ochre Point Avenue<br>Newport, RI 02840 | None | N/A | Scholarship | 5,000 |
| Quinnipiac University on behalf of Dominello Cayla275 Mount Carmel Avenue<br>Hamden, CT 06518 | None | N/A | Scholarship | 1,200 |
| Quinnipiac University on behalf of Fahey Jaclyn275 Mount Carmel Avenue<br>Hamden, CT 06518 | None | N/A | Scholarship | 5,000 |
| Ferguson ChristopherPO Box 951<br>Meriden, CT 06450 | None | N/A | Scholarship | 1,000 |
| University if Delaware on behalf of Fraser Hannah30 Lovett Avenue<br>Newark, DE 19716 | None | N/A | Scholarship | 5,000 |
| College of the Holy Cross on behalf of Grady Sarah1 College Street<br>Worcester, MA 01610 | None | N/A | Scholarship | 1,200 |
| University of Connecticut on behalf of Green Matthew233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 1,200 |
| University of Connecticut on behalf of Green Nicholas233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 2,000 |
| Griffin CharlesPO Box 951<br>Meriden, CT 06450 | None | N/A | Scholarship | 500 |
| University of Connecticut on behalf of Henry Emily233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 1,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a | | | | 1,052,326 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| University of New Haven on behalf of Higgins Amber300 Boston Post Road<br>West Haven, CT 06516 | None | N/A | Scholarship | 1,500 |
| Providence College on behalf of Hinners Ashley549 River Avenue<br>Providence, RI 02918 | None | N/A | Scholarship | 5,000 |
| Columbia College Chicago on behalf of Jacobs Lindly600 S Michigan Avenue Room 303<br>Chicago, IL 60605 | None | N/A | Scholarship | 1,200 |
| Albertus Magnus College on behalf of James Emily700 Prospect Street<br>New Haven, CT 06511 | None | N/A | Scholarship | 2,000 |
| University of New Haven on behalf of Janko Lukasz300 Boston Post Road<br>West Haven, CT 06516 | None | N/A | Scholarship | 5,000 |
| Albertus Magnus College on behalf of Labissoniere Krista700 Prospect Street<br>New Haven, CT 06511 | None | N/A | Scholarship | 1,200 |
| University of Connecticut on behalf of Le Ann233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 1,000 |
| University of Hartford on behalf of Lorenzo Erica200 Bloomfield Avenue<br>Hartford, CT 06117 | None | N/A | Scholarship | 1,200 |
| Paul Smith's College on behalf of Lyons BenjaminRoute 86 30<br>Paul Smiths, NY 12970 | None | N/A | Scholarship | 1,200 |
| Northeastern University on behalf of Mastriano Alexander360 Huntington Avenue<br>Boston, MA 02115 | None | N/A | Scholarship | 1,500 |
| Syracuse University on behalf of Miksitz Alyson102 Archbold North<br>Syracuse, NY 13244 | None | N/A | Scholarship | 5,000 |
| Marist College on behalf of Miller Daniel3399 North Road<br>Poughkeepsie, NY 12601 | None | N/A | Scholarship | 2,500 |
| UNC-Chapel Hill on behalf of Mills PeterCB 1400 450 Ridge Rd<br>Chapel Hill, NC 27599 | None | N/A | Scholarship | 1,200 |
| Franklin Pierce College on behalf of Molinari Kiley40 University Drive<br>Rindge, NH 06461 | None | N/A | Scholarship | 5,000 |
| Sacred Heart University on behalf of Morris Jacki5151 Park Avenue<br>Fairfield, CT 06825 | None | N/A | Scholarship | 1,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| University of Connecticut on behalf of Nhan Kathy233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 5,000 |
| Stonehill College on behalf of Nihon Kimberly320 Washington Street<br>Easton, MA 02357 | None | N/A | Scholarship | 5,000 |
| O'Hearn DanielPO Box 951<br>Meriden, CT 06450 | None | N/A | Scholarship | 1,000 |
| Central Connecticut State University on behalf of Parisi CassandraPO Box 4010<br>New Britain, CT 06050 | None | N/A | Scholarship | 1,200 |
| University of New Haven on behalf of Pascale Michael300 Boston Post Road<br>West Haven, CT 06516 | None | N/A | Scholarship | 2,400 |
| Iona College on behalf of Pelletier Monique715 North Avenue<br>New Rochelle, NY 10801 | None | N/A | Scholarship | 2,000 |
| Fairfield University on behalf of Pol Corie1073 North Benson Road<br>Fairfield, CT 06824 | None | N/A | Scholarship | 5,000 |
| Towson University on behalf of Prescott-Erickson Kristen8000 York Road<br>Towson, MD 21252 | None | N/A | Scholarship | 5,000 |
| Salve Regina University on behalf of Quilty Jordan100 Ochre Point Avenue<br>Newport, RI 02840 | None | N/A | Scholarship | 1,500 |
| University of Connecticut on behalf of Reillo Ralph233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 5,000 |
| Evangel University on behalf of Reyes Rebecca1111 N Glensone Ave<br>Springfield, MO 65802 | None | N/A | Scholarship | 1,000 |
| Penn State University on behalf of Rolstone Geoffrey314 Shield Building<br>University Park, PA 16802 | None | N/A | Scholarship | 5,000 |
| Albertus Magnus College on behalf of Slavinski Kate700 Prospect Street<br>New Haven, CT 06511 | None | N/A | Scholarship | 2,000 |
| University of Connecticut on behalf of Standish Alyssa233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 5,000 |
| American University on behalf of Szymaszek Julie4400 Massachusetts Ave<br>Washington, DC 20016 | None | N/A | Scholarship | 5,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| University of Florida on behalf of Thompson DavidS-113 Criser Hall<br>Gainsville, FL 32611 | None | N/A | Scholarship | 250 |
| University of Connecticut on behalf of Tidmarsh Michael233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 1,200 |
| Eastern Connecticut State Unversity on behalf of Timek Stephanie83 Windham Street<br>Willimantic, CT 06226 | None | N/A | Scholarship | 2,000 |
| Trenck MichaelPO Box 951<br>Meriden, CT 06450 | None | N/A | Scholarship | 1,000 |
| Hartwick College on behalf of Turcotte Sharon1 Hartwick Drive<br>Oneonta, NY 13820 | None | N/A | Scholarship | 5,000 |
| Stonehill College on behalf of Virshup Michelle320 Washington Street<br>Easton, MA 02357 | None | N/A | Scholarship | 1,200 |
| University of Connecticut on behalf of Vo Kim Marie233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 2,500 |
| University of Connecitcut on behalf of Vo Kim Marie233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 5,000 |
| Quinnipiac University on behalf of Wielgosz Kristyn275 Mount Carmel Avenue<br>Hamden, CT 06518 | None | N/A | Scholarship | 1,200 |
| University of Connecticut on behalf of Wilson Paige233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 1,000 |
| University of Connecticut on behalf of Wolf Benjamin233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 2,000 |
| University of Massachusetts Amherst on behalf of Wyskiel Rebecca181 Presidents Dr<br>Amherst, MA 01003 | None | N/A | Scholarship | 2,000 |
| University of Connecticut on behalf of Zajac Rebecca233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 2,000 |
| Southern Connecticut State University on behalf of Zawacki Tricia 501 Cresent Street<br>New Haven, CT 06515 | None | N/A | Scholarship | 1,500 |
| University of Connecticut on behalf of Zerio John233 Glenbrook Road - Unit 4100<br>Storrs, CT 06269 | None | N/A | Scholarship | 1,200 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

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| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| Zessin DonaldPO Box 951<br>Meriden, CT 06450 | None | N/A | Scholarship | 1,000 |
| American Cancer SocietyPO Box 720366<br>Oklahoma City, OK 73172 | None | Public Charity | General Use | 702 |
| American Cancer SocietyPO Box 720366<br>Oklahoma City, OK 73172 | None | Public Charity | General Use | 16,482 |
| American Heart Association122 East 42bd St 18th fl<br>New York, NY 10168 | None | Public Charity | General Use | 16,482 |
| American Lung Association of CT45 Ash Street<br>East Hartford, CT 06108 | None | Public Charity | General Use | 702 |
| American Lung Association of CT45 Ash Street<br>East Hartford, CT 06108 | None | Public Charity | General Use | 16,482 |
| American Red Cross144 South Main Street<br>Wallingford, CT 06492 | None | Public Charity | General Use | 16,482 |
| Animal Friends of Connecticut151 Mohegan Dr<br>West Hartford, CT 06117 | None | Public Charity | General Use | 2,500 |
| Animal Protection InstiturePO Box 22505<br>Sacramento, CA 95822 | None | Public Charity | General Use | 3,500 |
| Ash disbursementsPO Box 951<br>Meriden, CT 06450 | None | Public Charity | General Use | 7,014 |
| Associated Humane Societies124 Evergreen Avenue<br>Newark, NJ 07114 | None | Public Charity | General Use | 3,500 |
| Augusta Curtis Cultural Center175 East Main Street<br>Meriden, CT 06450 | None | Public Charity | General | 10,850 |
| Best Friends Animal Sancutary5001 Angel Canyon Rd<br>Kanab, UT 84741 | None | Public Charity | General Use | 3,500 |
| Boston Burns Hospital51 Blossom Street<br>Boston, MA 02114 | None | Public Charity | General Use | 17,613 |
| Brd of World Mission of Evang Covenant Church5101 No Fransisco Avenue<br>Chicago, IL 60625 | None | Public Charity | General Use | 702 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ 3a | | | | 1,052,326 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| Chorale Connecticut41 Brookside Road<br>New Britian, CT 06052 | None | Public Charity | General | 10,000 |
| Church of the Holy Angels915 Main Street<br>Meriden, CT 06451 | None | Public Charity | General Use | 3,296 |
| Conn Childrens Medical Center12 Charter Oak Place<br>Hartford, CT 06106 | None | Public Charity | General Use | 1,250 |
| Conn Childrens Medical Center12 Charter Oak Place<br>Hartford, CT 06106 | None | Public Charity | General Use | 16,482 |
| Connecticut Yankee Council60 Wellington Road<br>Milford, CT 06460 | None | Public Charity | General | 10,000 |
| Covenant HomeMissionary Road<br>Cromwell, CT 06416 | None | Public Charity | General Use | 702 |
| Dedicated and Everlasting Love to AnimalsPO Box 9<br>Glendale, CA 91209 | None | Public Charity | General Use | 3,500 |
| Easter Seal Rehabilitation158 State Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 16,482 |
| Farm SancutaryPO Box 150<br>Wakins Glen, NY 14891 | None | Public Charity | General Use | 3,500 |
| First Baptist Church460 Broad Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 7,625 |
| First Baptist Church460 Broad Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 34,793 |
| First Congregational Church62 Colony Street<br>Meriden, CT 06451 | None | Public Charity | General Use | 702 |
| First United Methodist Church15 Pleasant Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 4,289 |
| Franciscan Home Care and Hospice 267 Finch Avenue<br>Meriden, CT 06451 | None | Public Charity | Purchase laptop computers | 5,000 |
| Gaylord HospitalGaylord Farm Road<br>Wallingford, CT 06492 | None | Public Charity | General Use | 16,482 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| Gaylord HospitalGaylord Farm Road<br>Wallingford, CT 06492 | None | Public Charity | Building campaign | 25,000 |
| Gorilla FoundationPO Box 620530<br>Woodside, CA 94062 | None | Public Charity | General Use | 3,500 |
| Humane Farming Assoc76 Belvedere Street<br>San Rafael, CA 94901 | None | Public Charity | General Use | 3,500 |
| Immanuel Lutheran Church154 Meadow Street<br>Bristol, CT 06010 | None | Public Charity | General Use | 35,225 |
| International Fund For Animal Welfare 765 Attucks Lane<br>Hyannis, MA 02601 | None | Public Charity | General Use | 3,500 |
| Masonic Charity FoundationPO Box 70<br>Meriden, CT 06451 | None | Public Charity | General | 4,122 |
| Massachusetts Society For PCA350 South Huntington Avenue<br>Boston, MA 02130 | None | Public Charity | General Use | 3,500 |
| Meriden Boys & Girls Club15 Lincoln Street<br>Meriden, CT 06451 | None | Public Charity | General Use | 16,482 |
| Meriden Boys & Girls Club15 Lincoln Street<br>Meriden, CT 06451 | None | Public Charity | General Use | 22,691 |
| Meriden Daffodil FestivalPO Box 40<br>Meriden, CT 06451 | None | Public Charity | General | 1,000 |
| Meriden Girls Club130 Lincoln Street<br>Meriden, CT 06451 | None | Public Charity | General Use | 16,482 |
| Meriden Humane SocietyPO Box 4094<br>Wallingford, CT 06492 | None | Public Charity | General Use | 7,625 |
| Meriden Jewish Welfare FundPO Box 70<br>Wallingford, CT 06492 | None | Public Charity | General | 4,122 |
| Meriden Public Library105 Miller Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 1,377 |
| Meriden Public Library105 Miller Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 7,625 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| Meriden Public Library105 Miller Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 17,840 |
| Meriden YMCA127 East Main Street<br>Meriden, CT 06450 | None | Public Charity | General | 4,122 |
| Meriden YMCA110 West Main Street<br>Meriden, CT 06451 | None | Public Charity | General Use | 4,289 |
| Meriden YMCA110 West Main Street<br>Meriden, CT 06451 | None | Public Charity | General Use | 22,691 |
| Midstate Medical Center435 Lewis Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 1,575 |
| Midstate Medical Center435 Lewis Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 4,289 |
| Midstate Medical Center435 Lewis Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 32,965 |
| Midstate Medical Center435 Lewis Avenue<br>Meriden, CT 06451 | None | Public Charity | Capital pledge | 60,000 |
| Midstate Medical Center435 Lewis Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 65,048 |
| MiscPO Box 951<br>Meriden, CT 06450 | None | Public Charity | General Use | 6,131 |
| National Federation of the Blind CT<br>477 Connecticut Blvd<br>East Hartford, CT 06108 | None | Public Charity | General Use | 702 |
| New Britain Public Library20 High Street<br>New Britain, CT 06051 | None | Public Charity | General Use | 7,625 |
| Our Lady of Mt Carmel Church109 Goodwill Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 1,575 |
| Our Lady of Mt Carmel Church109 Goodwill Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 32,965 |
| PETA501 Front Street<br>Norfolk, VA 23510 | None | Public Charity | General Use | 3,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| ***a** Paid during the year* | | | | |
| Salvation ArmyPO Box 234<br>Meriden, CT 06450 | None | Public Charity | School Readiness Program | 10,000 |
| Salvation ArmyPO Box 234<br>Meriden, CT 06450 | None | Public Charity | General Use | 16,482 |
| SCSU Foundation501 Cresent Street<br>New Haven, CT 06515 | None | Public Charity | General Use | 7,625 |
| Shriners Hospital for Children516 Carow Street<br>Boston, MA 02104 | None | Public Charity | General Use | 17,613 |
| St Joseph RC Church22 Goodwill Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 1,575 |
| St Joseph Church22 Goodwill Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 353 |
| St Laurent109 Goodwill Avenue<br>Meriden, CT 06451 | None | Public Charity | General Use | 3,296 |
| St Mary's Church Corp5 Sherman Place<br>Meriden, CT 06451 | None | Public Charity | General Use | 3,296 |
| St Rose Roman Catholic Church35 Center Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 3,296 |
| St Stanislaus Church82 Akron Street<br>Meriden, CT 06450 | None | Public Charity | General Use | 3,296 |
| Summer Campership Fund35 Pleasant Street Ste 1E<br>Meriden, CT 06450 | None | Public Charity | Camperships | 5,850 |
| The Ocean Conservancy2029 K Street NW<br>Washington, DC 20006 | None | Public Charity | General Use | 3,500 |
| Trinity College300 Summitt Street<br>Hartford, CT 06106 | None | Public Charity | General Use | 32,965 |
| United Way of Mdn & Wlfd35 Pleasant St Suite 1E<br>Meriden, CT 06450 | None | Public Charity | General Use | 16,482 |
| United Way of Mdn & Wlfd35 Pleasant St Suite 1E<br>Meriden, CT 06450 | None | Public Charity | General | 20,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| Wallingford Family YMCA81 south elm street<br>Wallingford, CT 06492 | None | Public Charity | Filipek Tennis Tournament | 500 |
| Wild Burro Rescue20066 Walker Creek PO Box 10<br>Olancha, CA 93549 | None | Public Charity | General Use | 3,500 |
| World Society for the Protection of Animals34 Deloss Street<br>Framingham, MA 01702 | None | Public Charity | General Use | 3,500 |
| Yale Law School Annual FundPO Box 208214<br>New Haven, CT 06520 | None | Public Charity | General Use | 32,965 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 1,052,326 |

# TY 2009 Accounting Fees Schedule

**Name:** MERIDEN FOUNDATION

**EIN:** 06-6037849

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| KIRCALDIE, RANDALL & MCNAB LLC - taxes | 6,000 | 0 | | 6,000 |

# TY 2009 Explanation of Non-Filing with Attorney General Statement

**Name:** MERIDEN FOUNDATION

**EIN:** 06-6037849

**Statement:** NOT REQUIRED BECAUSE FOUNDATION DOES NOT SOLICIT FUNDS

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491228006160 |
|---|---|---|

# TY 2009 Investments Corporate Bonds Schedule

**Name:** MERIDEN FOUNDATION

**EIN:** 06-6037849

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| American General Fin 4.75% 6/15/2010, 120000. units | 120,000 | 115,073 |
| American International Group 4.95% 2/09/2011, 20000. units | 19,768 | 19,586 |
| Avon Products 5.125% 1/15/2011, 25000. units | 24,946 | 26,032 |
| Bankers Trust NY 7.25 10/15/2011, 320000. units | 316,957 | 340,758 |
| Bear Stearns 5.1% 11/15/2013, 50000. units | 50,000 | 49,193 |
| Bear Stearns 4.5% 10/28/2010, 25000. units | 24,445 | 25,813 |
| Bear Stearns 4.55% 6/23/2010, 35000. units | 34,465 | 35,586 |
| Bear Stearns 5.35% 2/01/2012, 25000. units | 24,591 | 26,564 |
| Caterpillar Finl Services 4.0% 8/15/2011, 85000. units | 85,000 | 87,001 |
| Caterpillar Finl Services 4.1% 02/15/2012, 50000. units | 50,000 | 51,012 |
| Caterpillar Finl Services 5.05% 12/01/2010, 35000. units | 34,935 | 36,413 |
| CIT Group 7.00% 5/01/2013, 3552. units | 4,194 | 3,312 |
| CIT Group 7.00% 5/01/2014, 5328. units | 6,223 | 4,948 |
| CIT Group 7.00% 5/01/2015, 5328. units | 6,020 | 4,769 |
| CIT Group 7.00% 5/01/2016, 8880. units | 9,695 | 7,814 |
| CIT Group 7.00% 5/01/2017, 12432. units | 13,415 | 10,785 |
| Comcast 5.45% 11/15/2010, 10000. units | 9,939 | 10,335 |
| Diageo Capital 5.125% 1/30/2012, 25000. units | 25,220 | 26,576 |
| General Electric Cap 4.5% 11/15/2013, 50000. units | 50,000 | 49,681 |
| General Electric Cap 4.625% 01/15/2014, 100000. units | 100,000 | 99,618 |
| Goldman Sachs Group 5.125% 1/15/2015, 20000. units | 19,723 | 21,015 |
| GTE North 6.375% 2/15/2010, 50000. units | 51,373 | 50,205 |
| International Lease Fin 4.75 11/15/2011, 25000. units | 25,000 | 20,973 |
| International Lease Fin 5.0% 4/15/2010, 70000. units | 69,477 | 68,949 |
| International Lease Fin 5.25% 1/10/2013, 50000. units | 49,825 | 40,782 |
| John Deere Cap 4.125% 1/15/2010, 20000. units | 19,302 | 20,018 |
| John Deere Cap 4.875% 10/15/2010, 15000. units | 14,903 | 15,521 |
| John Hancock Life 4.15% 02/15/2012, 365000. units | 365,000 | 364,772 |
| JP Morgan Chase 5.15% 10/01/2015, 50000. units | 44,347 | 51,778 |
| Merrill Lynch 4.05% 02/09/2011, 240000. units | 240,000 | 239,722 |
| Oracle 5.0% 1/15/2011, 25000. units | 24,976 | 25,998 |
| PNC Funding 5.125% 12/14/2010, 20000. units | 19,777 | 20,792 |
| Protective Life Sec Tr 4.625% 6/15/2010, 50000. units | 50,000 | 49,870 |
| Prudential Financial 5.1% 9/20/2014, 35000. units | 34,608 | 36,492 |
| Textron Financial 4.6% 5/03/2010, 7000. units | 6,914 | 6,998 |
| Unionbancal 5.25% 12/16/2013, 15000. units | 14,888 | 15,410 |
| Verizon Fla 6.125% 1/15/2013, 50000. units | 51,391 | 53,376 |
| Verizon Virginia 4.625% 03/15/2013, 105000. units | 104,266 | 109,038 |
| Wellpoint 5.0% 1/15/2011, 25000. units | 24,463 | 25,849 |
| Dodge & Cox Income Fd, 44946.257 units | 576,500 | 582,503 |
| Vanguard Emerging Markets Fd, 250. units | 8,592 | 10,250 |
| Vanguard Int-Term Corp, 131829.666 units | 1,345,883 | 1,396,141 |
| Vanguard Inflation Protected Sec Fd, 2151.823 units | 26,245 | 27,005 |
| Vanguard Int Term Bond Idx Fd, 7135.832 units | 72,000 | 76,496 |
| iShares Barclays TIPS Bd Fd, 950. units | 100,298 | 98,705 |
| iShares Iboxx Investment Grade Corp Bd Fd, 6900. units | 682,984 | 718,635 |
| Harbor Bond Fd, 23829.632 units | 279,500 | 289,292 |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491228006160 |
|---|---|---|

# TY 2009 Investments Corporate Stock Schedule

**Name:** MERIDEN FOUNDATION

**EIN:** 06-6037849

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 3M, 5300. units | 121,611 | 438,151 |
| Abbott Labs, 1200. units | 28,310 | 64,788 |
| Advanced Auto Parts, 275. units | 10,324 | 11,132 |
| Aegon, 4000. units | 2,678 | 25,640 |
| Aetna, 2500. units | 7,225 | 79,250 |
| American Express, 9015. units | 92,156 | 365,288 |
| Ameriprise, 1150. units | 7,944 | 44,643 |
| Amgen, 1100. units | 66,057 | 62,227 |
| Apache, 300. units | 11,862 | 30,951 |
| AT&T, 7608. units | 136,623 | 213,252 |
| BAC Capital Tr III 7% pfd, 250. units | 5,233 | 5,539 |
| Bank of America, 11670. units | 163,962 | 175,750 |
| Bank of New York Mellon, 8501. units | 8,975 | 237,773 |
| Baxter International, 1600. units | 25,210 | 93,888 |
| BP PLC, 800. units | 2,045 | 46,376 |
| Bristol Myers Squibb, 4100. units | 43,543 | 103,525 |
| Chevron, 475. units | 33,459 | 36,570 |
| Cigna Corp, 2400. units | 10,242 | 84,648 |
| Cisco, 9875. units | 216,892 | 236,408 |
| Coca Cola, 8525. units | 134,456 | 485,925 |
| Conocophillips, 300. units | 17,972 | 15,321 |
| CVS Caremark, 3325. units | 102,324 | 107,098 |
| Danaher, 1900. units | 70,157 | 142,880 |
| Dominion Resources, 3800. units | 122,699 | 147,896 |
| Dow Chemical, 5150. units | 185,306 | 142,295 |
| Eli Lilly, 1200. units | 75,896 | 42,852 |
| EMC Corp, 1850. units | 26,471 | 32,320 |
| Exelon, 4050. units | 115,524 | 197,924 |
| Exxon Mobil, 35419. units | 406,605 | 2,415,222 |
| Flour Corp, 1650. units | 12,405 | 74,316 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| FPL Group, 1000. units | 6,014 | 52,820 |
| General Electric, 30840. units | 205,678 | 466,609 |
| General Electric Cap Corp 6.625% pfd, 1750. units | 40,758 | 43,820 |
| Gilead Sciences, 875. units | 40,513 | 37,861 |
| Halliburton, 1200. units | 17,213 | 36,108 |
| Home Depot, 200. units | 9,880 | 5,786 |
| IBM, 4735. units | 113,552 | 619,812 |
| Ingersoll-Rand, 675. units | 28,249 | 24,125 |
| iShares S&P Global Materials Index Fd, 100. units | 4,687 | 6,222 |
| iShares S&P GSTI Tech Index Fd, 1125. units | 59,499 | 60,998 |
| ITT Corp, 3352. units | 10,947 | 166,728 |
| Johnson Controls, 4850. units | 82,826 | 132,114 |
| Johnson & Johnson, 12450. units | 165,100 | 801,905 |
| JP Morgan Chase, 18765. units | 224,830 | 781,938 |
| JP Morgan Chase Cap 7% pfd, 850. units | 20,943 | 21,633 |
| Lockheed Martin, 75. units | 7,694 | 5,651 |
| Lowes, 600. units | 15,979 | 14,034 |
| Macys, 3114. units | 75,996 | 52,191 |
| Merck, 1730. units | 2,311 | 63,214 |
| Microsoft, 8175. units | 211,424 | 249,174 |
| Morgan Stanley 6.25% pfd, 8500. units | 173,957 | 179,520 |
| Noble Corp, 125. units | 8,175 | 5,088 |
| Northrop, 3200. units | 17,640 | 178,720 |
| Novartis, 300. units | 16,621 | 16,329 |
| Pepsico, 2710. units | 63,472 | 164,768 |
| Pfizer, 12300. units | 280,845 | 223,737 |
| Praxair, 2100. units | 68,760 | 168,651 |
| Proctor & Gamble, 15480. units | 278,394 | 938,552 |
| Prudential Financial 9% pfd, 2900. units | 70,599 | 76,995 |
| Stanley Works, 2300. units | 39,253 | 118,473 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| Sysco, 975. units | 9,273 | 27,242 |
| Target, 2950. units | 119,180 | 142,692 |
| Teva Pharma, 850. units | 36,012 | 47,753 |
| Unilever, 4425. units | 98,422 | 143,060 |
| Vanguard Materials EFT, 275. units | 16,212 | 18,651 |
| Verizon Communications, 13249. units | 316,414 | 438,939 |
| Walmart, 1275. units | 67,076 | 68,149 |
| Walt Disney, 1050. units | 30,969 | 33,863 |
| Wells Fargo, 3800. units | 70,855 | 102,562 |
| Wells Fargo Cap Tr IV 7% pfd, 2000. units | 44,109 | 50,340 |
| Zimmer Hldgs, 400. units | 1,942 | 23,644 |
| T Rowe Price Equity Income Fd, 14746.469 units | 419,412 | 309,528 |
| Royce Pennsylvania Fd, 516.351 units | 6,000 | 4,880 |
| Fidelity Capital Appreciation, 4133.006 units | 99,000 | 88,570 |
| Fidelity Contrafund, 804.285 units | 42,000 | 46,874 |
| Dodge & Cox Int'l Stock Fund, 8297.917 units | 340,000 | 264,289 |
| S&P Dep Receipt, 405. units | 34,219 | 45,133 |
| Meridian Growth Fund, 240.964 units | 8,000 | 8,193 |
| Fairholme Fd, 2602.427 units | 60,800 | 78,307 |

# TY 2009 Investments Government Obligations Schedule

| | |
|---|---|
| **Name:** | MERIDEN FOUNDATION |
| **EIN:** | 06-6037849 |
| **US Government Securities - End of Year Book Value:** | 131,290 |
| **US Government Securities - End of Year Fair Market Value:** | 142,763 |
| **State & Local Government Securities - End of Year Book Value:** | 0 |
| **State & Local Government Securities - End of Year Fair Market Value:** | 0 |

# TY 2009 Other Expenses Schedule

**Name:** MERIDEN FOUNDATION

**EIN:** 06-6037849

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| DUES | 2,930 | 0 | | 2,930 |

# TY 2009 Taxes Schedule

**Name:** MERIDEN FOUNDATION

**EIN:** 06-6037849

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| EXCISE TAXES-12/09 | 9,972 | 0 | | 0 |