Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply: [ ] Initial return [ ] Initial Return of a former public charity [ ] Final return
[ ] Amended return [X] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: The Diana Family Foundation
Number and street (or P O box number if mail is not delivered to street address): c/o S. O'Sullivan, 129 Church St
Room/suite: Suite 503
City or town: New Haven State: CT ZIP code: 06510

A Employer identification number: 06-1603589
B Telephone number (see the instructions): (203) 865-5990
C If exemption application is pending, check here ► [ ]
D 1 Foreign organizations, check here ► [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 498,721.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► [X] if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	7,206.	7,206.		
	4 Dividends and interest from securities	10,180.	10,180.		
	5a Gross rents				
	b Net rental income or (loss)		L-6a Stmt		
	6a Net gain/(loss) from sale of assets not on line 10	-27,073.			
	b Gross sales price for all assets on line 6a 76,885.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	-9,687.	17,386.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) L-16a Stmt	2,501.	2,501.		
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	3,253.	3,253.		
	17 Interest				
	18 Taxes (attach schedule)(see instr) Foreign Tax W/H	150.	150.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	5,904.	5,904.		
	25 Contributions, gifts, grants paid	24,000.			
	26 **Total expenses and disbursements.** Add lines 24 and 25	29,904.	5,904.		
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-39,591.			
	b **Net investment income** (if negative, enter -0-)		11,482.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	14,389.	9,680.	9,680.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S. and state government obligations (attach schedule) L-10a Stmt	112,782.	70,982.	77,224.
	b Investments – corporate stock (attach schedule) L-10b Stmt	426,225.	430,118.	399,549.
	c Investments – corporate bonds (attach schedule) L-10c Stmt	11,692.	11,692.	12,268.
	11 Investments – land, buildings, and equipment. basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment: basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see instructions. Also, see page 1, item I)	565,088.	522,472.	498,721.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here** ► ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► X **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	565,088.	522,472.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see the instructions)	565,088.	522,472.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	565,088.	522,472.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	565,088.
2	Enter amount from Part I, line 27a	2	-39,591.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	525,497.
5	Decreases not included in line 2 (itemize) ► Wells Fargo Advisors: OID	5	3,025.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	522,472.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	Schedule attached: securities	P	various	various
b	Capital gain distributions	P	various	various
c	Return of principal	P	various	various
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	76,885.		103,959.	-27,074.
b	204.		0.	204.
c	983.		983.	0.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-27,074.
b	0.	0.	0.	204.
c				0.
d				
e				

2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — 2 — -26,870.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8 — 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	29,000.	561,548.	0.051643
2007	35,000.	655,383.	0.053404
2006	34,000.	624,427.	0.054450
2005	32,828.	601,943.	0.054537
2004	32,650.	605,367.	0.053934

2	Total of line 1, column (d)	2	0.267968
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053594
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	442,024.
5	Multiply line 4 by line 3	5	23,690.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	115.
7	Add lines 5 and 6	7	23,805.
8	Enter qualifying distributions from Part XII, line 4	8	24,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1			
Date of ruling or determination letter: ________ **(attach copy of letter if necessary - see instr.)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b		1	115.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	115.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	115.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a 664.		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	664.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	549.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ► 549.	**Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ________ **(2)** On foundation managers ► $ ________			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► ________			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A | Statements Regarding Activities *Continued*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Davis O'Sullivan & Priest LLC Telephone no. ► (203) 865-5990

Located at ► 129 Church St Ste 503 New Haven CT ZIP + 4 ► 06510

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1a During the year did the foundation (either directly or indirectly):				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b		X
Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions.)		2b		X
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to.

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? — 5b

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b — No: X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? — 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Laura Braaksma 424 Semple Ave Aptos CA 95003	Trustee 5.00	0.	0.	0.
John Diana 6140 First Ave Sacramento CA 95817	Trustee 5.00	0.	0.	0.
Stephen Diana 52 Upper Bartlett Rd Quaker Hill CT 06375	Trustee 5.00	0.	0.	0.
David Diana 5 Vinal St #5 Brookline MA 02146	Trustee 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
0				
0				
0				
0				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions 3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a Average monthly fair market value of securities	1a	432,955.
b Average of monthly cash balances	1b	15,800.
c Fair market value of all other assets (see instructions)	1c	
d **Total** (add lines 1a, b, and c)	1d	448,755.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	448,755.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	6,731.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	442,024.
6 **Minimum investment return.** Enter 5% of line 5	6	22,101.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	22,101.
2a Tax on investment income for 2009 from Part VI, line 5	2a	115.		
b Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c Add lines 2a and 2b			2c	115.
3 Distributable amount before adjustments Subtract line 2c from line 1			3	21,986.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	21,986.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	21,986.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	24,000.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	115.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	23,885.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				21,986.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2009.				
a From 2004 3,407.				
b From 2005 3,075.				
c From 2006 4,466.				
d From 2007 2,880.				
e From 2008 1,259.				
f **Total** of lines 3a through e	15,087.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► $ 24,000.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2009 distributable amount				21,986.
e Remaining amount distributed out of corpus	2,014.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	17,101.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	3,407.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	13,694.			
10 Analysis of line 9:				
a Excess from 2005 3,075.				
b Excess from 2006 4,466.				
c Excess from 2007 2,880.				
d Excess from 2008 1,259.				
e Excess from 2009 2,014.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Per Schedule Attached			Schedule Attached	24,000.
Total			► **3a**	24,000.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	523000	7,206.			
4 Dividends and interest from securities	523000	10,180.			
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	523000	-27,073.			
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-9,687.			

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 -9,687.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	**1a (1)**		X
	(2) Other assets	**1a (2)**		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	**1b (1)**		X
	(2) Purchases of assets from a noncharitable exempt organization	**1b (2)**		X
	(3) Rental of facilities, equipment, or other assets	**1b (3)**		X
	(4) Reimbursement arrangements	**1b (4)**		X
	(5) Loans or loan guarantees	**1b (5)**		X
	(6) Performance of services or membership or fundraising solicitations	**1b (6)**		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

SIGN HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

► [signature] 5/6/2010 ► Trustee

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature ► [signature]	Date 5/4/2010	Check if self-employed ► ☐	Preparer's Identifying number (See **Signature** in the instrs) P00195799
Firm's name (or yours if self-employed), address, and ZIP code ►	DAVIS O'SULLIVAN & PRIEST, LLC.	EIN ► 27-1065109	
	129 CHURCH ST STE 805		
	NEW HAVEN CT 06510-2005	Phone no ► (203) 865-5990	

Form 990-PF, Page 1, Part I
Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Murtha Cullina	Legal services	2,501.			
Total		2,501.			

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Wachovia Inv Svcs	Investment fees	3,253.			
Total		3,253.			

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	End of Year State and Local Obligations FMV	End of Year US Government Obligations Book Value	End of Year US Government Obligations FMV
Government bonds			0.	0.
Municipal bonds	70,982.	77,224.		
Total	70,982.	77,224.	0.	0.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
Corporate Stocks	325,591.	303,459.
Mutual Funds	94,587.	85,939.
Certificates of Deposit	9,940.	10,151.
Total	430,118.	399,549.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
Corporate Bonds	11,692.	12,268.
Total	11,692.	12,268.

Supporting Statement of:

Form 990-PF,p12/Line 3 Column (b)

Description	Amount
Wells Fargo Advisors:	
regular interest	4,100.
OID	3,025.
IRS Dept of the Treasury	81.
Total	7,206.

Supporting Statement of:

Form 990-PF,p12/Line 4 Column (b)

Description	Amount
Wells Fargo Advisors	10,180.
Total	10,180.

Account Number: 2770-7904
Command Account Number: 9076541473
THE DIANA FAMILY FOUNDATION
STEPHEN DIANA, LAURA BRAAKSMA,
JOHN DIANA & DAVID DIANA TTEES
U/A DTD 12/21/00

Important Realized Gain/Loss Information

This information is provided for courtesy purposes only. Each individual taxpayer should consult with a tax advisor as to any additional reporting requirements or adjustments. NO COST BASIS OR REALIZED GAIN/LOSS INFORMATION IS PROVIDED TO THE IRS, NOR IS THIS INFORMATION VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING SCHEDULE D OF YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Federal tax reporting requirements will create differences between the information presented here and what appears on your Form 1099-B, including but not limited to the following situations:

- Cost basis for many fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities For securities that were purchased at an Original Issue Discount (OID), only those positions whose cost basis has been adjusted will reflect the impacts of the OID accruals on the original cost basis The original issue discount amount reported on your Form 1099-OID is not adjusted for market discount, acquisition premium or bond premium Therefore, the amortization and accretion adjustments used on this statement may not be consistent with the Form 1099-OID amount because the reporting requirements on the Form 1099-OID are different
- The Original Price represents the unadjusted price of the security The Original Price can be used to calculate the original unadjusted cost of the security.
- Short sales are reportable on Form 1099-B before the position is closed
- Implicit redemptions by the issuer of a structured product are reportable on Form 1099-B, but will not appear on the Realized Gain/Loss Statement if that implicit redemption did not result in a closed tax lot at that time.
- Securities subject to marked to market reporting requirements for those investors are not included here nor is that information available on our systems.
- Long-term capital gains reported by a RIC or REIT appear on Form 1099-DIV only, as noted in the instructions for that form
- Lots closed due to transfers or journals will not be reflected in the Realized Gain/Loss Statement, or on the Form 1099-B.

Realized Gain/Loss Summary	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	1,208 35	-355 31	853 04
Long term	50 03	-27,976 58	-27,926 55
Total - Realized Gain/Loss	**$1,258.38**	**-$28,331.89**	**-$27,073.51**

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

Realized Gain/Loss

Amended Date: 2/19/10

Account Number: 2770-7904
Command Account Number: 9076541473
THE DIANA FAMILY FOUNDATION
STEPHEN DIANA, LAURA BRAAKSMA,
JOHN DIANA & DAVID DIANA TTEES
U/A DTD 12/21/00

Realized Gain/Loss Detail for Year

Short Term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
BANK OF AMERICA CORP	3.5230	38.9900	03/28/08	02/09/09	23.51	137 38	-113 87
	5 5610	25.1100	06/27/08	02/09/09	37.11	139 63	-102.52
	4.2180	33.9500	09/26/08	02/09/09	28 15	143 19	-115 04
	2 9770	13.7514	12/26/08	02/09/09	19 88	40 94	-21 06
CHEVRON CORPORATION	0 0940	79.9400	09/10/08	07/29/09	6 34	7 50	-1.16
	0.0980	77.2100	12/10/08	07/29/09	6.61	7 56	-0 95
	0 1270	60 1530	03/10/09	07/29/09	8 58	7 62	0.96
	0.1080	71.2597	06/10/09	07/29/09	7.30	7.70	-0.40
CIMAREX ENERGY CO	0 0020	53 7200	09/02/08	07/29/09	0 06	0 09	-0 03
	0 0030	27.1670	12/01/08	07/29/09	0 10	0 09	0.01
	0 0050	19.0936	03/02/09	07/29/09	0.18	0 09	0.09
	0 0030	33 3788	06/01/09	07/29/09	0.11	0 09	0 02
EVERGREEN INCOME ADV FD	0.0640	10.2382	08/11/08	07/29/09	0.54	0.66	-0.12
	0.0660	10.0971	09/10/08	07/29/09	0.56	0.67	-0.11
	0 0920	7.2918	10/10/08	07/29/09	0 79	0 67	0 12
	0.1060	6.4840	11/12/08	07/29/09	0 91	0.69	0.22
	0.1510	4.6238	12/10/08	07/29/09	1.29	0.70	0.59
	0 1140	6.2120	01/08/09	07/29/09	0.98	0.71	0.27
	0.1040	7.0400	02/06/09	07/29/09	0.89	0.73	0.16
	0 1210	6.1381	03/06/09	07/29/09	1.04	0 74	0 30
	0 1140	6.6015	04/08/09	07/29/09	0 98	0.75	0.23
	0.1030	7.3900	05/05/09	07/29/09	0 89	0.76	0.13

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WELLS FARGO ADVISORS

Realized Gain/Loss

Amended Date: 2/19/10

Account Number: 2770-7904
Command Account Number: 9076541473
THE DIANA FAMILY FOUNDATION
STEPHEN DIANA, LAURA BRAAKSMA,
JOHN DIANA & DAVID DIANA TTEES
U/A DTD 12/21/00

Short Term *Continued*

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	0 0960	8.0200	06/03/09	07/29/09	0 83	0 77	0 06
	0.0970	8.0600	07/07/09	07/29/09	0 84	0 78	0 06
	0 0790	8 5600	08/06/09	08/07/09	0.69	0.68	0 01
HARBOR FUND SMALL CAP VALUE FD INSTL CL	2 1480	12.8000	12/23/08	12/10/09	33 32	27 49	5 83
	1 4130	12.8000	12/23/08	12/10/09	21 92	18 08	3.84
HENDERSON EUROPEAN FOCUS FUND CL A	86.7200	11.1200	12/08/08	07/30/09	1,728 34	964.33	764 01
	30 2430	11 1200	12/08/08	07/30/09	602 75	336.30	266 45
	0 1380	11 1200	12/08/08	07/30/09	2 76	1.54	1 22
LAZARD WORLD DIVIDEND & INCOME FUND, INC	8 1110	8.6078	12/31/08	12/10/09	86.78	69.82	16 96
	5 5600	8 6078	12/31/08	12/10/09	59 49	47 86	11 63
	8 7660	8.1472	02/03/09	12/10/09	93 79	71.42	22 37
	10.7130	6.7618	03/05/09	12/10/09	114.63	72.44	42 19
	10.4880	7.0260	03/31/09	12/10/09	112 22	73.69	38.53
	3 8740	7.7395	05/05/09	12/10/09	41.45	29.98	11.47
	3 4630	8 7095	06/03/09	12/10/09	37.05	30.16	6 89
	3 4210	8.8633	07/01/09	12/10/09	36 60	30.32	6 28
	3.2470	9.3875	08/05/09	12/10/09	34 74	30.48	4.26
	3.0600	10.0101	08/31/09	12/10/09	32.75	30 63	2 12

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YT115053 011543 053175236115 NNNNNNNNNNNNNNNY 000016

Realized Gain/Loss

Amended Date: 2/19/10

Account Number: 2770-7904
Command Account Number: 9076541473
THE DIANA FAMILY FOUNDATION
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Short Term *Continued*

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	2 9180	10 5467	10/02/09	12/10/09	31 23	30 78	0 45
	2 8830	10.7218	10/30/09	12/10/09	30 86	30 91	-0 05
POWERSHARES DB AGRICULTURE FUND	3 5630	25.2628	12/30/08	08/26/09	90.62	90.00	0.62
Total - Short Term					**$3,340.46**	**$2,487.42**	**$853.04**

Long Term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
ALLEGHENY CNTY PA ARPT AUTH TAXABLE-PITTSBURGH ARPT-B REV FSA B/E CPN 6 380% DUE 01/01/09 DTD 07/01/01 FC 01/01/02	40,000 0000	104 5000	09/17/01	01/02/09	40,000 00	41,800 00	-1,800 00
BANK OF AMERICA CORP	100 0000	45 8300	12/20/04	02/09/09	667 29	4,583 00	-3,915 71
	2.1420	52.2900	09/22/06	02/09/09	14.29	112.00	-97 71
	2 1100	53.6400	12/22/06	02/09/09	14 08	113.20	-99.12
	2.2100	51.7500	03/23/07	02/09/09	14.74	114.38	-99 64
	2 3200	49.8400	06/22/07	02/09/09	15 48	115.62	-100.14
	2.6380	50.6600	09/28/07	02/09/09	17 60	133.62	-116 02
	3.2310	41.8800	12/28/07	02/09/09	21 56	135.31	-113.75
CHEVRON CORPORATION	1.6340	63.6300	09/11/06	07/29/09	110 29	104.00	6.29
	1 4400	72.8300	12/11/06	07/29/09	97.19	104.85	-7 66
	1.5420	68.4700	03/12/07	07/29/09	104 08	105.60	-1.52
	1.4710	80.6600	06/11/07	07/29/09	99.29	118.68	-19.39
	1 3620	87 7500	09/10/07	07/29/09	91 93	119.53	-27.60

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WELLS FARGO ADVISORS

Realized Gain/Loss

Amended Date: 2/19/10

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THE DIANA FAMILY FOUNDATION
STEPHEN DIANA, LAURA BRAAKSMA,
JOHN DIANA & DAVID DIANA TTEES
U/A DTD 12/21/00

Long Term *Continued*

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	1.3170	91 3700	12/10/07	07/29/09	88 89	120 32	-31.43
	1 4100	85 8800	03/10/08	07/29/09	95 17	121.08	-25.91
	1 3550	100 8200	06/10/08	07/29/09	91 47	136 61	-45 14
CIMAREX ENERGY CO	0.4490	35.6400	09/04/07	07/29/09	15.39	16 00	-0.61
	0 4150	38 6300	12/03/07	07/29/09	14.22	16 02	-1 80
	0 4610	52 2100	03/03/08	07/29/09	15 81	24 05	-8 24
	0 1770	68.2600	06/02/08	07/29/09	6 07	12 08	-6 01
EVERGREEN INCOME ADV FD	5 1360	14 0800	01/08/07	07/29/09	43.80	72 31	-28 51
	0 0420	14 1900	02/06/07	07/29/09	0 35	0 59	-0 24
	0 0420	14.2200	03/06/07	07/29/09	0.35	0 60	-0 25
	0.0420	14.1700	04/05/07	07/29/09	0 35	0 60	-0.25
	0 0430	14.2400	05/11/07	07/29/09	0 36	0 61	-0.25
	0.0430	14.2500	06/06/07	07/29/09	0 36	0.61	-0.25
	0 0450	13 8000	07/09/07	07/29/09	0 38	0 62	-0 24
	0 0470	13.1040	08/08/07	07/29/09	0.40	0 62	-0.22
	0 0480	13.1320	09/13/07	07/29/09	0.41	0 63	-0 22
	0.0480	13.1500	10/08/07	07/29/09	0.41	0.63	-0.22
	0.0520	12.3780	11/08/07	07/29/09	0 44	0 64	-0.20
	0.0560	11.6823	12/13/07	07/29/09	0.47	0.65	-0.18
	0 0550	11 7266	01/11/08	07/29/09	0 47	0 65	-0.18
	0 0530	11.7951	02/11/08	07/29/09	0.45	0.62	-0.17
	0 0570	11.1498	03/12/08	07/29/09	0.48	0.63	-0.15
	0.0590	10.8432	04/09/08	07/29/09	0.50	0.64	-0.14

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

Realized Gain/Loss

Amended Date: 2/19/10

Account Number: 2770-7904
Command Account Number: 9076541473
THE DIANA FAMILY FOUNDATION
STEPHEN DIANA, LAURA BRAAKSMA,
JOHN DIANA & DAVID DIANA TTEES
U/A DTD 12/21/00

Long Term *Continued*

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	0.0540	11.8443	05/09/08	07/29/09	0.46	0.64	-0.18
	0.0550	11.8365	06/10/08	07/29/09	0.47	0.65	-0.18
	0.0630	10.3926	07/11/08	07/29/09	0.54	0.65	-0.11
GENERAL ELECTRIC COMPANY	126.0000	32.2476	04/16/08	07/29/09	1,562.35	4,063.20	-2,500.85
HARBOR FUND SMALL CAP VALUE FD INSTL CL	461.6810	21.6600	04/06/06	12/10/09	7,160.67	10,000.00	-2,839.33
	0.4210	21.4900	12/21/06	12/10/09	6.52	9.05	-2.53
	10.4210	21.4900	12/21/06	12/10/09	161.63	223.94	-62.31
	2.3140	19.4700	12/21/07	12/10/09	35.89	45.06	-9.17
	26.9460	19.4700	12/21/07	12/10/09	417.94	524.64	-106.70
HENDERSON EUROPEAN FOCUS FUND CL A	200.0000	22.9800	05/24/05	01/09/09	2,554.00	4,596.01	-2,042.01
	235.1610	22.9800	05/24/05	07/30/09	4,686.75	5,403.99	-717.24
	14.8240	24.2500	11/30/05	07/30/09	295.44	359.49	-64.05
	13.9500	24.2500	11/30/05	07/30/09	278.02	338.28	-60.26
	22.4950	32.1400	12/11/06	07/30/09	448.32	722.99	-274.67
	15.6180	32.1400	12/11/06	07/30/09	311.26	501.97	-190.71
	13.1760	33.1800	12/10/07	07/30/09	262.59	437.18	-174.59
	24.7490	33.1800	12/10/07	07/30/09	493.25	821.18	-327.93
	24.4690	33.1800	12/10/07	07/30/09	487.67	811.89	-324.22
LAZARD WORLD DIVIDEND & INCOME FUND, INC	400.0000	23.0799	11/29/06	12/10/09	4,279.92	9,231.96	-4,952.04
	3.6250	22.6200	12/28/06	12/10/09	38.78	82.00	-43.22
	28.5590	22.6200	12/28/06	12/10/09	305.57	646.00	-340.43

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WELLS FARGO ADVISORS

Realized Gain/Loss

Amended Date: 2/19/10

Account Number: 2770-7904
Command Account Number: 8076541473
THE DIANA FAMILY FOUNDATION
STEPHEN DIANA, LAURA BRAAKSMA,
JOHN DIANA & DAVID DIANA TTEES
U/A DTD 12/21/00

Long Term *Continued* DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	2 2390	22 5311	02/12/07	12/10/09	23 95	50 44	-26 49
	2 3990	21.1344	03/06/07	12/10/09	25 66	50.70	-25 04
	2.2840	22.3250	04/04/07	12/10/09	24.43	50.98	-26 55
	2.2090	23.1935	05/03/07	12/10/09	23.63	51.24	-27 61
	2.2030	23.3777	06/05/07	12/10/09	23 57	51 50	-27 93
	2 2890	22 6101	07/09/07	12/10/09	24 49	51 76	-27 27
	2 3470	22.1659	08/02/07	12/10/09	25 11	52.03	-26 92
	2 4340	21.4853	09/04/07	12/10/09	26 04	52 30	-26 26
	4 0950	22 6223	10/08/07	12/10/09	43 81	92.64	-48 83
	10 1740	22.6223	10/08/07	12/10/09	108 86	230.16	-121 30
	7 0270	22 6223	10/08/07	12/10/09	75.19	158.97	-83.78
	2 5350	21 7225	11/02/07	12/10/09	27 12	55 07	-27 95
	2.6530	20.8649	12/12/07	12/10/09	28 38	55 36	-26 98
	8 4080	19 6266	01/03/08	12/10/09	89 97	165.02	-75 05
	22 9120	19.6266	01/03/08	12/10/09	245 17	449.69	-204.52
	20.8460	19.6266	01/03/08	12/10/09	223 06	409.14	-186.08
	3.4140	18.0895	02/11/08	12/10/09	36.53	61.76	-25.23
	3.5290	17.6163	03/04/08	12/10/09	37 76	62.16	-24 40
	3 7220	16 8130	04/03/08	12/10/09	39 83	62.57	-22 74
	3.6080	17.4634	05/06/08	12/10/09	38 61	63.01	-24.40
	3.4250	18.5208	06/05/08	12/10/09	36 65	63.43	-26.78
	3.8340	16.6487	07/07/08	12/10/09	41.02	63.83	-22 81
	3.9660	16.2044	08/11/08	12/10/09	42.44	64.27	-21.83

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Realized Gain/Loss

Amended Date: 2/19/10

Account Number: 2770-7904
Command Account Number: 9076541473
THE DIANA FAMILY FOUNDATION
STEPHEN DIANA, LAURA BRAAKSMA,
JOHN DIANA & DAVID DIANA TTEES
U/A DTD 12/21/00

Long Term *Continued*

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	4 1150	15 7324	09/05/08	12/10/09	44 04	64 74	-20 70
	5 1490	11.9884	10/03/08	12/10/09	55 11	61 74	-6 63
	8 3970	11.9884	10/03/08	12/10/09	89 84	100 66	-10 82
	6.9600	11.9884	10/03/08	12/10/09	74 47	83 44	-8 97
	2 0890	11 9884	10/03/08	12/10/09	22 35	25 04	-2 69
	7 7380	8 7687	11/03/08	12/10/09	82 79	67 85	14 94
	9 1180	7.5413	12/04/08	12/10/09	97 56	68 76	28 80
NUVEEN QUALITY PREFERRED INCOME FUND 2	100 0000	13.8100	02/17/06	03/12/09	322 43	1,381 00	-1,058.57
	287 0000	13 3500	05/12/06	03/12/09	925 40	3,831 45	-2,906 05
POWERSHARES DB AGRICULTURE FUND	200 0000	31 5499 31 9999	12/10/07	08/26/09	5,086 38	6,309 98 6,399 98	-1,223.60
Total - Long Term					**$73,544.51**	**$101,471.06** **$101,561.06**	**-$27,926.55**

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

The Diana Family Foundation
2009 Form 990-PF, Part II, Line 10
Summary of Investments

		12/31/2009 Book Value	12/31/2009 Fair Market Value
Investments			
U.S. and State Government Obligations:			
U.S. Government Bonds		0.00	0.00
State Municipal Bonds		70,981.50	77,224.10
	Total	70,981.50	77,224.10
Corporate Stocks			
Corporate Stocks		325,590.62	303,459.20
Mutual Funds		94,586.68	85,939.28
Certificates of Deposit		9,940.00	10,151.40
	Total	430,117.30	399,549.88
Corporate Bonds			
Corporate Bonds		11,692.08	12,267.84
	Total Investments	512,790.88	489,041.82

The Diana Family Foundation
2009 Form 990-PF, Part X
Average Asset Balances

		Cash	Securities	Total
01/01/2009	1	40,020.71	401,712.15	441,732.86
01/31/2009	2	23,748.12	374,923.50	398,671.62
02/28/2009	3	15,094.84	350,192.19	365,287.03
03/31/2009	4	7,239.84	379,341.33	386,581.17
04/30/2009	5	1,727.14	413,823.59	415,550.73
05/31/2009	6	1,977.64	439,228.03	441,205.67
06/30/2009	7	1,985.22	437,313.89	439,299.11
07/31/2006	8	12,894.23	452,191.89	465,086.12
08/31/2009	9	18,072.25	455,793.21	473,865.46
09/30/2009	10	18,072.70	475,360.90	493,433.60
10/31/2009	11	18,353.90	468,586.63	486,940.53
11/30/2009	12	18,608.94	490,905.33	509,514.27
12/31/2009	13	27,609.29	489,041.82	516,651.11
		205,404.82	5,628,414.46	5,833,819.28
	Average:	$15,800.37	$432,954.96	$448,755.33

The Diana Family Foundation
2009 Form 990-PF, Part XV
The Diana Family Foundation
2009 Form 990-PF, Part XV
Grants and Contributions Paid
01/01/2009 to 12/31/2009

Recipient Name & Address	Relationship to Foundation (if recipient is an individual)	Foundation Status of Recipient	Purpose of Contribution	Amount
1. MEDA Inc 92 Pearl Street Newton, MA 02458	N/A	501 C (3)	Prevent the spread of eating disorders	2,000.00
2. Sacramento Loaves and Fishes P.O. Box 2161 Sacramento, CA 95812	N/A	509 (a)(1)or(2)	Work with the homeless and hungry	6,000.00
3. Sienna House Maternity Home of Santa Cruz County 108 High Street Santa Cruz, CA 95060	N/A	509 (a)(1)or(2)	Help with mothers and newborns	6,000.00
4. Parents Helping Parents 1400 Parkmoor Avenue Suite 100 San Jose, CA 95126	N/A	509 (a)(1)or(2)	Services to families of children with special needs	2,000.00
5. Operation Homefront 8930 Fourwinds Drive Suite 340 San Antonio, TX 78239	N/A	501 C (3)	Provides emergency assistance to troops and their families	2,000.00
6. Lyme Academy College of Fine Arts 84 Lyme Street Old Lyme, CT 06371	N/A	501 C (3)	Educational institution	6,000.00
				$24,000.00