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OMB No 1545-0052

## **50rm 990-PF**

Department of the Treasury Internal Revenue Service

#### **Return of Private Foundation** or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

2009

		<u>-</u>		year beginning 01-					
G	Che	ck all that apply	Initial	_	eturn of a former publ		· · · · · ·	al return	
		Name of found	A mended r	eturn Address cha	nge Name	e char		entification numbe	er
Us	the I			l Foundation Inc				circincution number	
٥+	label. herwis						06-1596433	mber (see page 10 s	of the unstructions)
0.	print	Number and str 35 Church La		x number if mail is not deliver	ed to street address) Roo			mber (see page 10 c	i the instructions)
	r type Speci		iic		Suit	i.c	(203) 227-7470	)	
	tructio	City or town, st		de	<b>_</b>		<b>C</b> If exemption	application is pending	g, check here 🕨 🦵
		Westport, CT(	06880				<b>D 1.</b> Foreign o	ganizations, check he	ere 🕨 🔽
H C F	eck tv	ne of organization	▼ Section	n 501(c)(3) exempt priva	ate foundation		<b>2</b> . Foreian o	rganizations meeting	the 85% test.
				able trust Other taxa		n		e and attach compute	
		et value of all ass		<b>J</b> Accounting method	Cash Acci			undation status was t n 507(b)(1)(A), chec	
		rom Part II, col. (c)	,	Other (specify)				ation is in a 60-month	
		\$ 3,745,214		(Part I, column (d) mus	t be on cash basıs.) T	ı		n 507(b)(1)(B), chec	k here
Pa	rt I	total of amounts ir	n columns (b), (	and Expenses (The c), and (d) may not column (a) (see page 11 of	(a) Revenue and expenses per books	(b)	Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1	Contributions, gifts, g	rants, etc , rece	eived (attach schedule)	261,856				
	2	Check 🟲 🦵 If the fo	undation is <b>not</b>	required to attach Sch B					
	3	Interest on savin	gs and temp	orary cash investments	736		736		
	4	Dividends and int	erest from s	ecurities	92,234		92,234		
	5a	Gross rents .							
	Ь	Net rental income							
Revenue	6a			assets not on line 10	-155,648				
	Ь _	Gross sales price for a					0		
æ	7			Part IV, line 2)			0		
	8	Income modificat	-						
	9								
	10a	Gross sales less return Less Cost of goo							
	,	_		chedule)					
	11	Other income (at							
	12	Total. Add lines 1			199,178		92,970		
	13			ctors, trustees, etc	0		0		(
_	14			vages					
Š	15	Pension plans, er	nployee bene	fits					
Expenses	16a	Legal fees (attacl	h schedule) .		3,200		1,600		1,600
Ä	ь	Accounting fees (	(attach sche	dule)					
<u> </u>	с	Other profession	al fees (attac	h schedule)	16,690		15,169		1,521
and Administrative	17	Interest							
	18	Taxes (attach schedu	ıle) (see page 1	4 of the instructions)	5,399		272		(
II.	19	Depreciation (att	ach schedule	) and depletion					
÷	20	•							
Ē	21	Travel, conferenc	es, and meet	ings					
	22								
Ĭ	23	•		lule)					
Operating	24	Total operating a							
ă O	_						17,041		3,121
_	25			id	112,500		47.041		112,500
	26	<del>-</del>		ts. Add lines 24 and 25	137,789		17,041		115,621
	27	Subtract line 26 f		see and dishursements	61,389				
	a	Net investment in		ses and disbursements	01,389		75,929		
	b c		-	ve, enter -0 - )			13,323		
For F				Act Notice, see page 30		L Ca	nt No 11289X	Form	<b>990-PF</b> (2009)

Pa	rt II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year			of year (c) Fair Market Value
	1	Cash—non-interest-bearing	(a) Book Value	(b) Book Value	=	(C) Fall Market Value
	2	Savings and temporary cash investments	454,629	240	),453	240,453
	3	Accounts receivable -	,		,	,
	•	Less allowance for doubtful accounts 🟲				
	4	Pledges receivable -				
	•	Less allowance for doubtful accounts 🟲				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other				
	Ū	disqualified persons (attach schedule) (see page 15 of the				
		instructions)				
	7	Other notes and loans receivable (attach schedule)				
	•					
ا ي		Less allowance for doubtful accounts 🟲				
Assets	8	Inventories for sale or use				
꽃	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	1,927,958	1,712	2,819	2,567,499
	c	Investments—corporate bonds (attach schedule)	188,999	665	5,931	. 701,679
	11	Investments—land, buildings, and equipment basis 🟲				
		Less accumulated depreciation (attach schedule) 🟲				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)	171,723 9	<b>5</b> 185	,495	235,583
	14	Land, buildings, and equipment basis 🟲				
		Less accumulated depreciation (attach schedule) 🟲				
	15	Other assets (describe 🟲)				
	16	Total assets (to be completed by all filers—see the				
		ınstructions Also, see page 1, item I)	2,743,309	2,804	,698	3,745,214
	17	Accounts payable and accrued expenses				
	18	Grants payable				]
φl	19	Deferred revenue				
_	20	Loans from officers, directors, trustees, and other disqualified persons				]
3D	21	Mortgages and other notes payable (attach schedule)				]
╛	22	Other liabilities (describe 🟲)				
	23	Total liabilities (add lines 17 through 22)	0		0	1 <del>-</del>
		Foundations that follow SFAS 117, check here 🕨 🦵				
ъ		and complete lines 24 through 26 and lines 30 and 31.				
or Fund Balances	24	Unrestricted				
<u></u>	25	Temporarily restricted				]
ឌ	26	Permanently restricted				_
Ξ		Foundations that do not follow SFAS 117, check here 🕨 🔽				
리		and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0		0	-
낉	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0		0	
Assets	29	Retained earnings, accumulated income, endowment, or other funds	2,743,309	2,804	,698	=
	30	Total net assets or fund balances (see page 17 of the				
ĕ		ınstructions)	2,743,309	2,804	,698	
	31	Total liabilities and net assets/fund balances (see page 17 of				
		the instructions)	2,743,309	2,804	,698	
Pa	rt II	Analysis of Changes in Net Assets or Fund Balances				
1		Total net assets or fund balances at beginning of year—Part II, column	(a), line 30 (must ag	ree		
						2,743,309
2		Enter amount from Part I, line 27a		2		61,389
3		Other increases not included in line 2 (itemize)			T	0
4		Add lines 1, 2, and 3				2,804,698
5		Decreases not included in line 2 (itemize) 🟲		5		0
6		Total net assets or fund balances at end of year (line 4 minus line 5)—P	Part II, column (b), lır	ne 30 <b>6</b>		2,804,698

1a		kind(s) of property sold (e g , re e, or common stock, 200 shs M	,	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
	See Additional Data Tab	le				
b						
С						
d						
е						
(€	e) Gross sales price	(f) Depreciation allower (or allowable)	1 10	or other basis pense of sale		or (loss) ) mınus (g)
а	See Additional Data Tab	le				
b						
С						
d						
e						
Со	mplete only for assets show	wing gain in column (h) and owne	d by the foundation	n on 12/31/69		(h) gain minus
(i)	FM V as of 12/31/69	(j) Adjusted basis as of 12/31/69		ess of col (1) ol (j), If any		less than -0-) <b>or</b> om col (h))
а	See Additional Data Tab	le				
b						
С						
d						
e						
2	Capital gain net income o	or (net capital loss)	If gain, also enter If (loss), enter -0-		2	-155,64
3	Net short-term capital ga	ain or (loss) as defined in section	ns 1222(5) and (6)	,	_	
•		: I, line 8, column (c) (see pages			ון	
	If (loss), enter -0- in Par				}  3	
or op	tional use by domestic priva	ate foundations subject to the se	ection 4940(a) tax	on net investment	income )	
secti /as the	on 4940(d)(2) applies, leav	-	able amount of any	year in the base p	ŕ	┌ Yes 🗸 No
fsecti Vas the f "Yes,	on 4940(d)(2) applies, leave foundation liable for the se "the foundation does not qu	ve this part blank ection 4942 tax on the distributa	able amount of any o not complete this	year in the base p part	erıod?	┌ Yes ┌ No
f secti /as the f "Yes, <b>1</b> En	on 4940(d)(2) applies, leave foundation liable for the se "the foundation does not qu	ve this part blank ection 4942 tax on the distributa ualify under section 4940(e) Do	able amount of any o not complete this	year in the base p part nstructions before	erıod?	n ratio
secti as the Yes, 1 En	on 4940(d)(2) applies, leaver foundation liable for the search the foundation does not queter the appropriate amount  (a) Expensed years Calendar	ve this part blank ection 4942 tax on the distribute ualify under section 4940(e) Do in each column for each year, se  (b)	able amount of any o not complete this ee page 18 of the ir (c)	year in the base p part nstructions before	eriod? making any entries (d) Distributioi	n ratio by col (c))
secti as the "Yes, <b>1</b> En	on 4940(d)(2) applies, leaver foundation liable for the search the foundation does not queter the appropriate amount (a)  e period years Calendar or tax year beginning in)	ve this part blank ection 4942 tax on the distribute ualify under section 4940(e) Do in each column for each year, se  (b)  Adjusted qualifying distributions	able amount of any o not complete this ee page 18 of the ir (c)	year in the base p part nstructions before ntable-use assets	eriod? making any entries (d) Distributioi	n ratio by col (c)) 0 047759
secti as the "Yes, <b>1</b> En	on 4940(d)(2) applies, leave foundation liable for the search the foundation does not question to the appropriate amount  (a) e period years Calendar for tax year beginning in)  2008  2007  2006	te this part blank ection 4942 tax on the distributa ualify under section 4940(e) Do in each column for each year, section  (b) Adjusted qualifying distributions  134,872 126,031 92,063	able amount of any o not complete this ee page 18 of the ir (c)	year in the base p part instructions before intable-use assets 2,824,015 2,688,156 2,061,630	eriod? making any entries (d) Distributioi	n ratio by col (c)) 0 047759 0 046884
secti as the "Yes, <b>1</b> En	on 4940(d)(2) applies, leave foundation liable for the sear the foundation does not queter the appropriate amount (a) expend years Calendar or tax year beginning in)  2008  2007  2006  2005	te this part blank ection 4942 tax on the distribute valify under section 4940(e). Do in each column for each year, section 4940(e).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936	able amount of any o not complete this ee page 18 of the ir (c)	year in the base p part nstructions before ntable-use assets 2,824,015 2,688,156 2,061,630 1,405,448	eriod? making any entries (d) Distributioi	n ratio by col (c)) 0 047759 0 046884 0 044655 0 039088
secti as the Yes, 1 En	on 4940(d)(2) applies, leave foundation liable for the search the foundation does not question to the appropriate amount  (a) e period years Calendar for tax year beginning in)  2008  2007  2006	te this part blank ection 4942 tax on the distributa ualify under section 4940(e) Do in each column for each year, section  (b) Adjusted qualifying distributions  134,872 126,031 92,063	able amount of any o not complete this ee page 18 of the ir (c)	year in the base p part instructions before intable-use assets 2,824,015 2,688,156 2,061,630	eriod? making any entries (d) Distributioi	n ratio by col (c)) 0 047759 0 046884 0 044655 0 039088
f secti /as the f "Yes, <b>1</b> En	on 4940(d)(2) applies, leave foundation liable for the sear the foundation does not queter the appropriate amount (a) expend years Calendar for tax year beginning in) 2008 2007 2006 2005 2004	te this part blank ection 4942 tax on the distribute valify under section 4940(e). Do in each column for each year, section 4940(e).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936 47,632	able amount of any o not complete this ee page 18 of the ir (c)  Net value of nonchar	year in the base p part nstructions before ntable-use assets 2,824,015 2,688,156 2,061,630 1,405,448	eriod? making any entries (d) Distributioi	n ratio by col (c)) 0 047759 0 046884 0 044655 0 039652
secti /as the "Yes, 1 En Base year (	on 4940(d)(2) applies, leave foundation liable for the search ter the foundation does not question to the search ter the appropriate amount (a) append years Calendar or tax year beginning in) 2008 2007 2006 2005 2004	te this part blank ection 4942 tax on the distributate alify under section 4940(e). Do in each column for each year, section 4940(e).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936 47,632	able amount of any o not complete this ee page 18 of the ir (c)  Net value of nonchar	year in the base p part instructions before intable-use assets 2,824,015 2,688,156 2,061,630 1,405,448 1,201,236	eriod?  making any entries  (d)  Distribution (col (b) divided	n ratio by col (c)) 0 047759 0 046884 0 044655 0 039652
secti 'as the "Yes, 1 En Base year (	on 4940(d)(2) applies, leave foundation liable for the search ter the foundation does not question to the search ter the appropriate amount (a) append years Calendar for tax year beginning in) 2008 2007 2006 2005 2004  Total of line 1, column (did A verage distribution rations)	te this part blank ection 4942 tax on the distribute valify under section 4940(e). Do in each column for each year, section 4940(e).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936 47,632	able amount of any o not complete this ee page 18 of the ir (c)  Net value of nonchar	year in the base p part instructions before intable-use assets 2,824,015 2,688,156 2,061,630 1,405,448 1,201,236	eriod?  making any entries  (d)  Distribution (col (b) divided	n ratio by col (c)) 0 047759 0 046884 0 044659 0 039652 0 21803
F secti F secti F secti F secti F secti Base year (	on 4940(d)(2) applies, leave foundation liable for the search the foundation does not question the appropriate amount (a) the period years Calendar for tax year beginning in) 2008 2007 2006 2005 2004  Total of line 1, column (dia Average distribution ration the number of years the foundation of the search teacher.	te this part blank ection 4942 tax on the distributate alify under section 4940(e). Do in each column for each year, section 4940(a).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936 47,632	able amount of any onot complete this ee page 18 of the in (c)  Net value of nonchar	year in the base p part  instructions before  2,824,015 2,688,156 2,061,630 1,405,448 1,201,236	eriod?  making any entries  (d)  Distribution (col (b) divided	n ratio by col (c)) 0 047759 0 046884 0 044655 0 039652 0 21803
f secti /as the f "Yes, 1 En Base year (	on 4940(d)(2) applies, leave foundation liable for the search the foundation does not question the appropriate amount (a) the period years Calendar for tax year beginning in) 2008 2007 2006 2005 2004  Total of line 1, column (dia Average distribution ration the number of years the foundation of the search teacher.	te this part blank ection 4942 tax on the distributate allify under section 4940(e). Do in each column for each year, section 4940(e).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936 47,632  1)	able amount of any onot complete this ee page 18 of the in (c)  Net value of nonchar	year in the base p part  instructions before  2,824,015 2,688,156 2,061,630 1,405,448 1,201,236	eriod?  making any entries  (d)  Distribution (col (b) divided	n ratio by col (c)) 0 047759 0 046884 0 044655 0 039652 0 21803 0 04360 2,896,17
f secti /as the f "Yes, 1 En Base year (	on 4940(d)(2) applies, leave of foundation liable for the set the foundation does not quester the appropriate amount (a) applies are contacted as a pen of the set of	te this part blank ection 4942 tax on the distributate allify under section 4940(e). Do in each column for each year, section 4940(e).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936 47,632  1)	able amount of any o not complete this ee page 18 of the ir (c)  Net value of nonchar  Vide the total on line if less than 5 year	year in the base p part  instructions before  2,824,015 2,688,156 2,061,630 1,405,448 1,201,236	making any entries  (d) Distribution (col (b) divided	n ratio by col (c)) 0 047759 0 046884 0 044655 0 039652 0 21803 0 04360 2,896,17
f secti /as the f "Yes, 1 En Base year (	on 4940(d)(2) applies, leave of foundation liable for the set the foundation does not quester the appropriate amount (a) applies are contacted as a pen of the set of	te this part blank ection 4942 tax on the distributate allify under section 4940(e). Do in each column for each year, section 4940(a).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936 47,632  1)	able amount of any o not complete this ee page 18 of the ir (c)  Net value of nonchar  Vide the total on line if less than 5 year	year in the base p part  instructions before  2,824,015 2,688,156 2,061,630 1,405,448 1,201,236	eriod?  making any entries  (d) Distribution (col (b) divided	n ratio
f secti /as the f "Yes, 1 En Base year (	on 4940(d)(2) applies, leave of foundation liable for the set the foundation does not quester the appropriate amount (a) expend years Calendar for tax year beginning in)  2008  2007  2006  2005  2004  Total of line 1, column (d) A verage distribution rations the number of years the fill the number of years the fill the number of years the fill the fill the fill the set of not the number of years the fill	te this part blank ection 4942 tax on the distributate allify under section 4940(e). Do in each column for each year, section 4940(a).  (b) Adjusted qualifying distributions  134,872 126,031 92,063 54,936 47,632  1)	able amount of any onot complete this ee page 18 of the in (c)  Net value of nonchard the value of nonchard the total on line of from Part X, line 5 from Part X, line 5	year in the base p part  instructions before  intable-use assets  2,824,015  2,688,156  2,061,630  1,405,448  1,201,236	eriod?  making any entries  (d)  Distribution (col (b) divided)  2  3  4  5	n ratio by col (c)) 0 047759 0 046884 0 044655 0 039652 0 21803 0 04360 2,896,17

	990-PF (2009)			age <b>4</b>			
	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the in	nst ruct i	ons)				
1a	Exempt operating foundations described in section 4940(d)(2), check here T and enter "N/A" on line 1						
	Date of ruling or determination letter (attach copy of letter if necessary-see instructions)						
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check			1,519			
	here Fand enter 1% of Part I, line 27b						
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)						
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)						
3	3 Add lines 1 and 2						
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			0			
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0			1,519			
6	C redits/P ayments						
а	2009 estimated tax payments and 2008 overpayment credited to 2009 <b>6a</b> 3,480						
b	Exempt foreign organizations—tax withheld at source 6b						
c	Tax paid with application for extension of time to file (Form 8868)						
d	Backup withholding erroneously withheld 6d						
7	Total credits and payments Add lines 6a through 6d			3,480			
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here T if Form 2220 is attached.						
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9						
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid • 10			1,961			
11	Enter the amount of line 10 to be Credited to 2010 estimated tax 1,961 Refunded 11			0			
Par	t VII-A Statements Regarding Activities		1				
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did		Yes	No			
	it participate or intervene in any political campaign?	. 1a		No			
Ь	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of						
	the instructions for definition)?	. 1b	1	No			
	If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials						
	published or distributed by the foundation in connection with the activities.	1c		No			
	c Did the foundation file Form 1120-POL for this year?						
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year						
	(1) On the foundation  \$\bigs\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \						
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed						
2	on foundation managers * \$0  Has the foundation engaged in any activities that have not previously been reported to the IRS?	,		No			
2	If "Yes," attach a detailed description of the activities.	. 2		140			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles						
3	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	. 3		No			
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?			No			
ь	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?			110			
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	. 5	1	No			
	If "Yes," attach the statement required by General Instruction T.	<del>                                     </del>		<del> </del>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either						
	By language in the governing instrument, or						
	By state legislation that effectively amends the governing instrument so that no mandatory directions						
	that conflict with the state law remain in the governing instrument?	. 6	Yes				
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes				
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the						
	ınstructions) ▶ <u>CT</u>						
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney						
	General (or designate) of each state as required by General Instruction G? If "No," attach explanation .						
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3)						
	or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on						
	page 27)? If "Yes," complete Part XIV	. 9	1	No			
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No			

Form	990-PF (2009)		Р	age <b>5</b>
Pai	t VIII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ►N/A			
14	The books are in care of ▶David L Godfrey Telephone no ▶(203	) 227-	0424	
	Located at ►35 Church Lane Westport CT ZIP+4 ►06880			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here			
10	and enter the amount of tax-exempt interest received or accrued during the year	•		,
Pai	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes V No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes V No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes V No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)? Tyes 🔽 No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	ıf the foundatıon agreed to make a grant to or to employ the official for a period			
	after termination of government service, if terminating within 90 days ) Yes 🔽 No			
b	If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts,			
	that were not corrected before the first day of the tax year beginning in 2009?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
а	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d			
	and 6e, Part XIII) for tax year(s) beginning before 2009?			
	If "Yes," list the years 🕨 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)			
	to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions )	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
	▶ 20, 20, 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business			
	enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation			
	or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved			
	by the Commissioner under section $4943(c)(7)$ ) to dispose of holdings acquired by gift or bequest, or (3)			
	the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine			
	if the foundation had excess business holdings in 2009.)	3Ь		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	1	No

5a	During the year did the foundation	1 рау	or incur any amoun	t to							
	(1) Carry on propaganda, or othe	rwise	attempt to influence	e leg	ıslatıon (section 494	45(e	)) <sup>?</sup>	√ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry											
	on, directly or indirectly, any	vote	registration drive?.								
	(3) Provide a grant to an individu	al for	travel, study, or oth	ners	ımılar purposes?		┌ Yes ┡	✓ No			
	(4) Provide a grant to an organiza			-							
	ın section 509(a)(1), (2), or (					struc	ctions) Tyes	No			
	(5) Provide for any purpose other educational purposes, or for t						Yes <b>·</b>	√ No			
b	If any answer is "Yes" to 5a(1)-(	5), dı	d <b>any</b> of the transac	tions	fail to qualify under	the	exceptions described in				
	Regulations section 53 4945 or i	nac	urrent notice regard	ıng c	lısaster assıstance (	(see	page 22 of the instruction	ns)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here										
С	If the answer is "Yes" to question	5a(4	l), does the foundati	on c	laım exemptıon from	the					
	tax because it maintained expenditure responsibility for the grant?										
	If "Yes," attach the statement requ	ıred E	y Regulations section	n 53.	4945-5(d).						
6a	Did the foundation, during the yea	ar, re	ceive any funds, dire	ectly	or indirectly, to pay						
	premiums on a personal benefit c	ontra	ct?				Yes 🤄	√ No			
ь	Did the foundation, during the yea	ar, pa	y premiums, directly	yorı	ndırectly, on a perso	nall	benefit contract?	•	6b		No
	If "Yes" to 6b, file Form 8870.										
7a	At any time during the tax year, v	vas tl	ne foundation a party	y to a	a prohibited tax shelf	tertı	ransaction? <b>TYes</b> [	√ No			
ь	If yes, did the foundation receive	any	proceeds or have an	y ne	t income attributable	e to t	he transaction?		7b		
Dat		t Of	ficers, Directors	s, Tı	rustees, Founda	tio	n Managers, Highly	Paid	Emp	loye	es,
	and contractors										
	List all officers, directors, trustee	_					_	ns).			
	(a) Name and address		Title, and average hours per week evoted to position		c) Compensation  If not paid, enter  -0-)	е	(d) Contributions to mployee benefit plans deferred compensation	1	xpens her allo		-
Dorothy M Nessel Pres			sident		0		0				0
122 Turtle Creek Road Tequesta, FL 33469		0 50	70								
David	L Nessel	Vice	e President		0		0				0
	Field Point Road field, CT 06430	0 50	0								
Judy	Nessel	-	ctor		0		0		o		0
	Field Point Road field, CT 06430	0 50	)								
David	L Godfrey	Sec	retary		0		0		(		0
	hurch Lane	0 50	)								
	tport, CT 06880										
2	Compensation of five highest-paid If none, enter "NONE."	l emp	oloyees (ot her t han t	t hos	e included on line 1—	-see	page 23 of the instruction	ons).			
(a)	Name and address of each employ paid more than \$50,000	/ee	(b) Title, and aver hours per week devoted to positi		(c) Compensatio	n	(d) Contributions to employee benefit plans and deferred compensation		(e) Expense account other allowances		,
NON	IE										
			]								
			1								
			1								
Tota	I number of other employees paid o	vers	50,000 <b></b>								0

Form 990-PF (2009) Page <b>7</b>								
Part VIIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)								
3 Five highest-paid independent contractors for professional serv	vices (see page 23 of the instructions). If none, e	nter "NONE".						
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation						
NONE								
Total number of others receiving over \$50,000 for professional serv	ıces	0						
Part IX-A Summary of Direct Charitable Activities								
·								
List the foundation's four largest direct charitable activities during the tax year. Incluorganizations and other beneficiaries served, conferences convened, research paper	ude relevant statistical information such as the number of rs produced, etc	Expenses						
1								
2								
_								
3								
4								
•								
Part IX-B Summary of Program-Related Investme	<b>nts</b> (see page 23 of the instructions)							
Describe the two largest program-related investments made by the foundation of		A mount						
1								
2								
-								
All other program-related investments See page 24 of the instru-	ctions							
3								
Total. Add lines 1 through 3		0						
		Form <b>990-PF</b> (2009)						

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

	see page 24 of the instructions.)	•	
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc ,		
	purposes		
а	A verage monthly fair market value of securities	1a	2,597,377
b	A verage of monthly cash balances	1b	342,905
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	<b>Total</b> (add lines 1a, b, and c)	1d	2,940,282
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,940,282
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25		
	of the instructions)	4	44,104
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,896,178
6	Minimum investment return. Enter 5% of line 5	6	144,809
Par	Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(		
1	foundations and certain foreign organizations check here F and do not complete to Minimum investment return from Part X, line 6	1 1 1	144,809
т 2а	Tax on investment income for 2009 from Part VI, line 5		144,003
ь	Income tax for 2009 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	1,519
3	Distributable amount before adjustments Subtract line 2c from line 1	3	143,290
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	143,290
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	143,290
Dor			
	Qualifying Distributions (see page 25 of the instructions)		
1	A mounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
а	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	115,621
b	Program-related investments—total from Part IX-B	1b	0
2	A mounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc ,		
	purposes	2	
3	A mounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
Ь	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	115,621
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	115,621
	<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating wheth the section 4940(e) reduction of tax in those years	ner the	foundation qualifies for

Рa	Undistributed Income (see page		1	I	
		<b>(a)</b> Corpus	(b) Years prior to 2008	<b>(c)</b> 2008	<b>(d)</b> 2009
1	Distributable amount for 2009 from Part XI, line 7				143,290
2	Undistributed income, if any, as of the end of 2008				
	Enter amount for 2008 only			49,329	
ь	Total for prior years 20, 20, 20		0		
	Excess distributions carryover, if any, to 2009				
	From 2004				
	From 2005				
	From 2006				
	From 2007				
	From 2008				
f ·	<b>Fotal</b> of lines 3a through e		0		
4 (	ualifying distributions for 2009 from Part				
>	(II, line 4 🕨 \$ 115,621				
a A	Applied to 2008, but not more than line 2a			49,329	
b A	Applied to undistributed income of prior years				
(	Election required—see page 26 of the instructions)		0		
	reated as distributions out of corpus (Election		0		
	required—see page 26 of the instructions)		0		
	Applied to 2009 distributable amount				66,292
	Remaining amount distributed out of corpus		0		
	Excess distributions carryover applied to 2009		0		0
	(If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as				
j	indicated below:				
a C	Corpus Add lines 3f, 4c, and 4e Subtract line 5		0		
	rior years' undistributed income Subtract ine 4b from line 2b		0		
	Inter the amount of prior years' undistributed				
	ncome for which a notice of deficiency has				
	peen issued, or on which the section 4942(a) ax has been previously assessed.		0		
	Subtract line 6c from line 6b Taxable				
	imount—see page 27 of the instructions		0		
e l	Jndistributed income for 2008 Subtract line				
	la from line 2a Taxable amount—see page 27			0	
	of the instructions			0	
	Indistributed income for 2009 Subtract ines 4d and 5 from line 1. This amount must				
	nes 4d and 5 from line 1 This amount must be distributed in 2010				76,998
	Amounts treated as distributions out of				
-	orpus to satisfy requirements imposed by				
	ection 170(b)(1)(F) or 4942(g)(3) (see page 27		0		
	of the instructions)		0		
	Excess distributions carryover from 2004 not				
	ipplied on line 5 or line 7 (see page 27 of the		0		
	nstructions)				
	Subtract lines 7 and 8 from line 6a		0		
	Analysis of line 9				
	Excess from 2005				
b	Excess from 2006				
c	Excess from 2007				
d	Excess from 2008				
e	Excess from 2009				

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

c Any submission deadlines

factors

Form **990-PF** (2009)

3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation Purpose of grant or show any relationship to status of A mount any foundation manager contribution recipient Name and address (home or business) or substantial contributor a Paid during the year See Additional Data Table 112,500 **b** Approved for future payment

	-PF (2009) <b>/I-A Analysis of Income-Produc</b>	ina Astivitio				Page <b>1</b> 2
	ss amounts unless otherwise indicated		isiness income	Excluded by section	512, 513, or 514	(e)
	ım service revenue	(a) Business code	( <b>b)</b> A mount	(c) Exclusion code	( <b>d)</b> A mount	Related or exemp function income (See page 28 of the instructions)
b	s and contracts from government agencies pership dues and assessments			14 14 14	736 92,234 -155,648	
c d e 12 Subto 13 Total. (See	ital Add columns (b), (d), and (e).  Add line 12, columns (b), (d), and (e).  worksheet in line 13 instructions on page 2/I-B Relationship of Activities to Explain below how each activity for whithe accomplishment of the organization page 28 of the instructions )	28 to verify calc the Accomp ich income is re	ulations) <b>plishment of E</b> ported in column (	Exempt Purposo  (e) of Part XVI-A co	<b>es</b> ontributed importa	-62,678 ntly to

Form 9	90-PF (2	2009)									Pa	ge <b>1</b> 3
Part	XVII	Information Re Noncharitable			nsfers To and Tran	sac	tions a	nd Relat	ionships With			
<b>1</b> Did 1	the organi				he following with any other or	ganıza	ition descri	bed in section	า		Yes	No
501(	(c) of the	Code (other than section	501(c)(3)	organızat	ions) or in section 527, relating	g to po	olitical orga	ınızatıons?				
<b>a</b> Tra	nsfers fr	om the reporting four	ndation to	o a nonc	:harıtable exempt organız	zatioi	n of					
(1)	Cash.									. 1a(1)		No
(2)	Othera	assets								1a(2)		No
<b>b</b> Oth	er trans	actions										
(1)	Sales	fassets to a noncha	ırıtable ex	xempt o	rganızatıon					. 1b(1)		No
(2)	Purcha	ses of assets from a	noncharı	table ex	kempt organization					1b(2)		No
(3)	Rental	of facilities, equipme	nt, or oth	nerasse	ts					. 1b(3)		No
(4)	Reımbu	ırsement arrangemen	nts							. 1b(4)		No
(5)	Loans	or loan guarantees.								. 1b(5)		No
(6)	Perform	ance of services or n	membersh	nıp or fu	ndraising solicitations.					. 1b(6)		No
<b>c</b> Sha	ring of fa	acılıtıes, equipment,	maılıng lı	sts, oth	ier assets, or paid emplo	yees				. 1c		No
of t	he goods	s, other assets, or se	rvices gi	ven by t	ete the following schedul the reporting foundation in column <b>(d)</b> the value o	Ifthe	e foundat	ion receive	ed less than fair ma	arket value		
(a) Line	No	(b) Amount involved	(c) Name	e of nonc	harıtable exempt organization		(d) Descr	iption of tran	sfers, transactions, and	sharing arra	ingemer	nts
						+						
						+						
						-						
						+						
						_						
						+						
des	cribed ir	•	he Code ( schedule	(other th	with, or related to, one or nan section 501(c)(3)) or (b) Type of organiz	r ın s					es F	√ No
	the be		and belief	f, it is tr	have examined this retui ue, correct, and complete nas any knowledge	•	claration		=		•	
	Sıç	nature of officer or t	rustee				Date		Title			
Sign Here	:I er's inly	Preparer's David	d L Godfr	геу			Date		Check if self- employed ▶ ✓	Preparer' identifyin number (s Signature 30 of the i	g see son pa	-
	Paid Preparer's Use Only	Firm's name (or you if self-employed),	urs	Allison	n & Godfrey				EIN ►			
	_	address, and ZIP c	ode	35 Ch	urch Lane				Phone no (203)	227-747	n	
				Westp	ort, CT 06880				(203)	221-141	5	

Schedule B			OMB No 1545-0047							
(Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	990-PF) ► Attach to Form 990, 990-EZ, or 990-PF.  artment of the Treasury									
Name of organization The Irwin and Dorothy Nesse	el Foundation Inc	Employer ide	entification number							
Organization type (ch	06-159643	3								
organization type (cn	eck one)									
Filers of:	Section:									
Form 990 or 990-EZ	501(c)( ) (enter number) organization									
	4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation									
	527 political organization									
Form 990-PF	501(c)(3) exempt private foundation	501(c)(3) exempt private foundation								
	4947(a)(1) nonexempt charitable trust treated as a private foundation									
	501(c)(3) taxable private foundation									
	tion filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (iny one contributor. Complete Parts I and II	(in money or								
under sections	1(c)(3) organization filing Form 990 or 990-EZ, that met the 33 <sup>1</sup> /3% support test of the 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the yea 5,000 or <b>(2)</b> 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line	ır, a contributi								
during the year,	For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III									
during the year, not aggregate to the year for an o applies to this of	For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the <b>General Rule</b> applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year									
990-EZ, or 990-PF), but	on that is not covered by the General Rule and/or the Special Rules does not file Sche it <b>must</b> answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule	g of its								

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93491132004150

Name of organization
The Irwin and Dorothy Nessel Foundation Inc

**Employer identification number** 

06-1596433

Part I	Contributors (see Instructions)		
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	See Additional Data Table	\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash Complete Part II if there is a noncash contribution )
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
			Person Payroll Noncash Complete Part II if there is a noncash contribution )

Name of organization The Irwin and Dorothy Nessel Foundation Inc Employer identification number

06-1596433

Part II	Noncash Property (see Instructions)				
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
	See Additional Data Table	\$			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
_		\$			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
		\$			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
		\$			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
		\$			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
		\$			

Name of organization
The Irwin and Dorothy Nessel Foundation Inc

Employer identification number

				06-1596433
Part III	Exclusively religious, charitable, etc., ind aggregating more than \$1,000 for the year For organizations completing Part III, enter the contributions of \$1,000 or less for the year	<b>ar.</b> (Complete total of <i>exclu</i>	columns <b>(a)</b> through <b>(e)</b> s <i>i vel y</i> religious, charitab	and the following line entry ) le, etc ,
(a) No. from Part I	(b) Purpose of gift		(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address, and		(e) ransfer of gift Relation	nship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift		(c) Use of gift	(d) Description of how gift is held
		Т	(e) ransfer of gift	
	Transferee's name, address, and	ZIP 4	Relation	nship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift		(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address, and		(e) ransfer of gift Relation	nship of transferor to transferee
(a) No.	(b) Purpose of gift		(c) Use of gift	(d) Description of how gift is held
Part I				
	Transferee's name, address, and		(e) ransfer of gift Relation	nship of transferor to transferee

## Software ID: Software Version:

**EIN:** 06-1596433

Name: The Irwin and Dorothy Nessel Foundation Inc

### Form 990 Schedule B, Part I - Contributors (See Specific Instructions):

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
_1	Dorothy Nessel 35 Church Lane Westport, CT 06880	\$ <u>12,772</u>	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
_2	Dorothy Nessel 35 Church Lane Westport, CT 06880	\$ <u>26,206</u>	Person Payroll Noncash  (Complete Part II if there is a noncash contribution)
_3	Dorothy Nessel 35 Church Lane Westport, CT 06880	\$ 43,998	Person Payroll Noncash  (Complete Part II if there is a noncash contribution)
4	Dorothy Nessel 35 Church Lane Westport, CT 06880	\$ <u>51,759</u>	Person   Payroll   Noncash   (Complete Part II if there is a noncash contribution )
_5	Dorothy Nessel 35 Church Lane Westport, CT 06880	\$\$ 29,458	Person Payroll Noncash (Complete Part II if there is a noncash contribution )
6	Dorothy Nessel 35 Church Lane westport, CT 06880	\$ <u>29,280</u>	Person Payroll Noncash (Complete Part II if there is a noncash contribution)

### Form 990 Schedule B, Part I - Contributors (See Specific Instructions):

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	Dorothy Nessel 35 Church Lane Westport, CT 06880	\$ 47,843	Person   Payroll   Noncash   Payroll   Payroll
_8	Dorothy Nessel 35 Church Lane Westport, CT 06880	\$ <u>20,540</u>	Person Payroll Noncash (Complete Part II if there is a noncash contribution)

Form 990 Schedule B, Part II - Noncash Property (See Specific Instructions):

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_1	1000 sh Altria Group Inc	\$16,420	2009-06-30
_2	800 Apple Inc	\$114,240	2009-06-30
_ 3	1000 sh Caterpillar Inc	\$ <u>33,865</u>	2009-06-30
_4	3000 Cognizant Technologies Inc	\$80,415	2009-06-30
_ 5	700 Encana Corp	\$34,832	2009-06-30
<u>6</u>	1000 sh Philip Morris Intl Inc	\$ <u>43,115</u>	2009-06-30

#### Form 990 Schedule B, Part II - Noncash Property (See Specific Instructions):

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_7	1500 Schlumberger Ltd	\$ <u>81,435</u>	2009-06-30
_8_	1000 sh Hewlett Packard Company	\$	2009-10-20

**Software ID:** 09000028 **Software Version:** 2009.03051

**EIN:** 06-1596433

Name: The Irwin and Dorothy Nessel Foundation Inc

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
35M Colonial Realty 4 8% 01/01/11		2009-01-08	2009-05-05
1000 Schlumberger			2009-07-13
25 Intuitive Surgical		2009-03-03	2009-07-29
25M Colonial Rity 5 5% 10/1/15		2009-08-04	2009-09-02
50M Nextel Comm 5 25% 01/15/10		2009-06-05	2009-09-17
25 Intuitive Surgical		2009-03-03	2009-10-26
30M L-3 Communications Corp		2009-07-13	2009-11-02
500 Western Union Co		2005-08-30	2009-01-06
1200 Hospitality Prop Pfd		2007-12-24	2009-01-09
1500 Ebay Inc		2006-06-19	2009-01-27
400 Zımmer Holdıngs Inc		2005-08-30	2009-02-17
200 Zımmer Holdıngs Inc		2005-10-27	2009-02-17
300 Zımmer Holdıngs Inc		2007-05-22	2009-02-17
756 Kınder Morgan Mgt LLC		2006-03-08	2009-02-19
800 Legg Mason Inc		2007-11-20	2009-02-25
2000 Trinity Inds Inc		2007-11-15	2009-02-27
695 Kınder Morgan Mgmt LLC		2006-03-28	2009-05-28
500 Nobel Biocare Holding		2008-01-09	2009-06-10
500 Nobel Biocare Holding		2008-01-10	2009-06-10
2000 Phoenix Co Inc Pfd		2007-05-29	2009-07-10
400 Apple Inc		2006-12-31	2009-07-13
2000 Daktronics Inc		2007-06-27	2009-08-07
2000 Repsol Intl Cap 7 45% Pfd		2007-02-26	2009-08-18
100 Repsol Intl Cap 7 45% Pfd		2007-05-22	2009-08-18
865 Kınder Morgan Mgt LLC		2006-03-28	2009-08-27
1000 Moodys Corp		2007-08-06	2009-09-18
1000 Repsol Intl Cap 7 45% Pfd		2007-05-22	2009-09-29
200 Repsol Intl 7 45 Pfd		2007-05-22	2009-09-30
500 Repsol Intl Cap 7 45% Pfd		2007-05-22	2009-09-30
50M Del Monte 8 625% 12/15/12		2006-03-15	2009-10-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
33,641		30,478	3,163
49,560		31,895	17,665
5,382		2,454	2,928
22,496		20,474	2,022
49,796		49,563	233
6,322		2,454	3,868
30,381		30,180	201
7,020		9,602	-2,582
20,150		29,965	-9,815
17,634		45,983	-28,349
16,013		32,516	-16,503
8,006		12,610	-4,604
12,010		18,894	-6,884
33		27	6
10,818		58,170	-47,352
16,490		54,312	-37,822
30		24	6
11,857		26,000	-14,143
11,857		24,275	-12,418
20,284		50,417	-30,133
54,625		15,212	39,413
17,374		43,010	-25,636
50,450		50,685	-235
2,523		2,501	22
40		29	11
25,227		53,829	-28,602
25,289		25,008	281
5,086		5,002	84
12,716		12,588	128
51,561		53,374	-1,813

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus
(i) F M V as of 12/31/69	<b>(j)</b> Adjusted basıs as of 12/31/69	col (k), but not less than -0-) <b>or</b> Losses (from col (h))	
			3,163
			17,665
			2,928
			2,022
			233
			3,868
			201
			-2,582
			-9,815
			-28,349
			-16,503
			-4,604
			-6,884
			6
			-47,352
			-37,822
			6
			-14,143
			-12,418
			-30,133
			39,413
			-25,636
			-235
			22
			11
			-28,602
			281
			84
			128
			-1,813

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1000 Repsol Intl Cap 7 45% Pfd		2007-05-22	2009-10-09
279 Kınder Morgan Mgt LLC		2006-03-28	2009-11-25
2600 US Cellular Corp 8 75%		2007-10-16	2009-12-24
200 Apple Inc		2007-05-22	2009-12-31
Capital Gains Dividends	Р		

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,191		25,175	16
14		9	5
65,000		65,965	-965
42,567		5,497	37,070
5,086			5,086

#### Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (ı) over col (j), ıf any	col (k), but not less than -0-) <b>or</b> Losses (from col (h))	
			16	
			į	
			-96!	
			37,070	
			5,086	

#### Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	A mount
Name and address (home or business)	any foundation manager or substantial contributor	recipient		
a Paid during the year				
A WISH (World Institute for a Sustainable Humanity)PO Box 812 Lopez Island, WA 98261	NONE	501 C(3)	Rneetswe Happy Home Orphan Center, Botswana	1,000
Bridgeport Hospital Foundation267 Grant St Bridgeport, CT 06610	NONE	501 C(3)	Annual FundGeneral	4,000
Bridgeport Ladies Charitable Society 427 Center Rd Easton, CT 06612	NONE	501 C(3)	General	1,000
Center for Women and Families of Eastern Ffld County753 Fairfield Ave Bridgeport, CT 06604	NONE	501 C(3)	General	25,000
Fairfield Historical Society 370 Beach Road Fairfield, CT 06824	None	501 C(3)	General	5,000
Grace Cottage Foundation74 Grafton Road Townshend, VT 05353	NONE	501 C(3)	General	35,000
Gratitude House Inc1700 N Dixie Hwy West Palm Beach,FL 33407	NONE	501 C(3)	General	3,000
Greens Farm Academy 35 Beachside Avenue Greens Farm, CT 06838	NONE	501 C(3)	Horizons Program	5,000
Jupiter Medical Center Foundation Inc 1210 South Old Dixie Highway Jupiter, FL 334587205	None	501 C(3)	General	25,000
Near and Far Aid Association IncPO Box 717 Southport, CT 06490	NONE	501 C(3)	General	5,000
Pequot Library720 Pequot Avenue Southport,CT 06890	NONE	501 C(3)	General	1,000
Roger Ludlowe Middle School PTA 689 Unquowa Road Fairfield, CT 06824	NONE	501 C(3)	Outdoor Adventure Club Scholarships	2,500
Total				112,500

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# **TY 2009 Investments Corporate Bonds Schedule**

Name: The Irwin and Dorothy Nessel Foundation Inc

Name of Bond	End of Year Book Value	End of Year Fair Market Value
50M Affiliated Computer Services 4.7% 06/01/10	50,415	50,438
50M Colonial Rity LP 4.8% 04/01/2011	13,062	14,611
50M Colonial Rity LP 6.15% 04/15/2013	49,925	48,733
50M Celestica Inc 7.625% 7/01/2013	50,437	51,812
50M Constellation Brands Inc 8.125% 01/15/12	50,540	50,188
50M Dresser Rand Group 7.375% 11/01/2014	43,400	49,500
50M El Paso Corp 7% 05/15/11	50,432	51,098
50M Freeport-McMoran 8.25% 04/01/2015	53,183	54,500
30M Hospitality Pptys 6.75% 02/15/2013	20,460	30,425
50M Leucadia Natl Corp 7% 8/15/13	49,188	50,187
50M Nalco Co Dtd 8.875% 11/15/13	51,063	51,500
50M Royal Carıbbean Cruise 7% 6/15/13	36,000	49,875
50M Scholastic Corp 5% 04/15/2013	47,026	46,750
50M Sprint Cap Corp 8.375% 03/15/12	51,425	51,750
50M Trinity Industries 6.5% 03/15/2014	49,375	50,312

# **TY 2009 Investments Corporate Stock Schedule**

Name: The Irwin and Dorothy Nessel Foundation Inc

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2000 ABB Ltd ADR	46,404	38,200
2000 Altria Corp	23,723	39,260
1200 Apple Inc	38,255	252,878
1000 Baker Hughes Inc	42,702	40,480
1000 Caterpillar Inc	43,998	56,990
700 Cenovus Energy Inc	14,287	17,640
4200 Cisco Systems, Inc.	43,919	100,548
3000 Cognizant Technology Solutions	51,759	135,990
600 Diageo PLC ADR	34,862	41,646
1000 Dolby Laboratories Inc	35,338	47,730
700 Encana Corp	15,171	22,673
1000 ExxonMobil	39,364	68,190
1500 Fuel Tech Inc	36,445	12,255
3850 General Electric	36,161	58,251
100 Google Inc Cl A	30,410	61,998
3000 GMX Res Inc	29,980	41,220
1000 Hewlett Packard Co	20,540	51,510
1200 Infosys Technologies Ltd ADR	73,007	66,324
250 Intuitive Surgical Inc New	24,542	75,857
1000 Johnson & Johnson	55,370	64,410
2500 Microsoft	16,615	76,200
600 Pepsico Inc	32,726	36,480
2000 Philip Morris Intl Inc	54,387	96,380
3000 Plum Creek Timber Co Inc	102,916	113,280
2000 Quanta Services Inc	47,408	41,680
1000 Resmed Inc	40,013	52,270
2500 Schlumberger Ltd	70,247	162,725
1000 TEVA Pharm Indus ADR	46,810	56,180
2500 Texas Roadhouse Inc	34,148	28,075
1000 United Parcel Service	73,810	57,370
1000 United Technologies	31,998	69,410
1000 Varian Medical Systems Inc	39,277	46,850
800 Visa Inc Cl A	41,377	69,968
2000 Corp Backed Tr CTFS 7,75% 7/1/97	20,895	20,900
5000 Haven Capital Trust II 10.25%	49,410	55,912
2000 Preferred Plus Pfd 7.65% 01/15/2032	50,178	46,100
2000 Preferred Plus Tr Pfd 8.75% 2/1/2030	50,937	43,420
2200 Premier Cap TR 9%	55,281	56,210
2000 Saturns Aon Cap Tr 8% 1/1/27 Pfd	46,170	61,104
1800 Saturns Goodrich 8% 4/15/38 Pfd	45,719	46,935
1500 Tanger Factory 7.50% Pfd	26,260	36,000

#### **TY 2009 Investments - Other Schedule**

Name: The Irwin and Dorothy Nessel Foundation Inc

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
70000 Chaoda Modern Agrıculture Hlord	AT COST	55,909	77,000
10000 Henderson Land Dev Co Ltd	AT COST	77,891	74,000
1548 Kınder Morgan Mgmt LLC	AT COST	51,695	84,583

#### **TY 2009 Legal Fees Schedule**

Name: The Irwin and Dorothy Nessel Foundation Inc

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Gunn Godfrey & Allison	3,200	1,600		1,600

#### **TY 2009 Other Professional Fees Schedule**

Name: The Irwin and Dorothy Nessel Foundation Inc

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Custodial Fees	3,042	1,521		1,521
Investment Mgmt Fees	13,648	13,648		0

#### **TY 2009 Taxes Schedule**

Name: The Irwin and Dorothy Nessel Foundation Inc

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Excise Tax	5,127	0		0
Foreign Taxes	272	272		0