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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

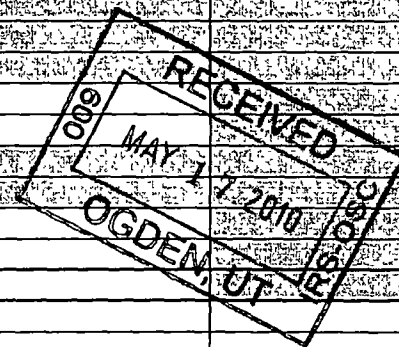
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

6 Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation JOYCE HERGENHAN PRIVATE FOUNDATION		A Employer identification number 06-1519008
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (203) 255-9922
	City or town, state, and ZIP code FAIRFIELD, CT 06824		C If exemption application is pending, check here ☐
			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,991,824. (Part I, column (d) must be on cash basis)		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		101,113.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		53,124.	53,124.		STATEMENT 1
4 Dividends and interest from securities		34,973.	34,973.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-11,974.			
b Gross sales price for all assets on line 6a		901,762.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		177,236.	88,097.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		4,250.	4,250.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		1,301.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		154.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		5,705.	4,250.		0.
25 Contributions, gifts, grants paid		147,885.			147,885.
26 Total expenses and disbursements. Add lines 24 and 25		153,590.	4,250.		147,885.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		23,646.			
b Net investment income (if negative, enter -0-)			83,847.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	128,503.	18,213.	18,213.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	635,888.	506,946.	532,634.
	b Investments - corporate stock STMT 8	1,748,054.	1,471,131.	1,600,680.
	c Investments - corporate bonds STMT 9	485,724.	518,895.	555,594.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	512.	302,834.	284,703.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	2,998,681.	2,818,019.	2,991,824.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	2,998,681.	2,818,019.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	2,998,681.	2,818,019.		
31 Total liabilities and net assets/fund balances	2,998,681.	2,818,019.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,998,681.
2 Enter amount from Part I, line 27a	2	23,646.
3 Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	3	2,151.
4 Add lines 1, 2, and 3	4	3,024,478.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	206,459.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,818,019.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 901,762.		913,736.	-11,974.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-11,974.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-11,974.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	127,678.	2,822,408.	.045237
2007	94,500.	2,797,208.	.033784
2006	0.	2,283,050.	.000000
2005	0.	1,714,104.	.000000
2004	83,333.	1,257,428.	.066273

2 Total of line 1, column (d)	2	.145294
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.029059
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,658,651.
5 Multiply line 4 by line 3	5	77,258.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	838.
7 Add lines 5 and 6	7	78,096.
8 Enter qualifying distributions from Part XII, line 4	8	147,885.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	838.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	838.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	838.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,717.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,717.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	879.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 879. Refunded ☐	11	0.	

Part VII A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► JOYCE HERGENHAN Telephone no. ► (203) 255-9292
 Located at ► 715 SASCO HILL ROAD, FAIRFIELD, CT ZIP+4 ► 06824

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOYCE HERGENHAN 715 SASCO HILL ROAD FAIRFIELD, CT 06824	PRESIDENT AS 0.00	NEEDED 0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,617,723.
b	Average of monthly cash balances	1b	81,415.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,699,138.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,699,138.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	40,487.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,658,651.
6	Minimum investment return. Enter 5% of line 5	6	132,933.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	132,933.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	838.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	838.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	132,095.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	132,095.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	132,095.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	147,885.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	147,885.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	838.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	147,047.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				132,095.
2 Undistributed income, if any, as of the end of 2009			132,059.	
a Enter amount for 2008 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 147,885.			132,059.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				15,826.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				116,269.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter.

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

- ### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SYRACUSE UNIVERSITY	NONE	501(C)(3)	GENERAL OPERATING SUPPORT	147,885.
Total				▶ 3a 147,885.
b Approved for future payment NONE				
Total				▶ 3b 0.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income	
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue:						
a							
b							
c							
d							
e							
f							
g	Fees and contracts from government agencies						
2	Membership dues and assessments						
3	Interest on savings and temporary cash investments			14	53,124.		
4	Dividends and interest from securities			14	34,973.		
5	Net rental income or (loss) from real estate:						
a	Debt-financed property						
b	Not debt-financed property						
6	Net rental income or (loss) from personal property						
7	Other investment income						
8	Gain or (loss) from sales of assets other than inventory			18	-11,974.		
9	Net income or (loss) from special events						
10	Gross profit or (loss) from sales of inventory						
11	Other revenue:						
a							
b							
c							
d							
e							
12	Subtotal. Add columns (b), (d), and (e)		0.		76,123.		0.
13	Total. Add line 12, columns (b), (d), and (e)					13	76,123.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII: Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

- a Transfers from the reporting foundation to a noncharitable exempt organization of:**

- (1) Cash

- (2) Other assets

- b Other transactions:**

- (1) Sales of assets to a noncharitable exempt organization**

- (2) Purchases of assets from a noncharitable exempt organization**

- (3) Rental of facilities, equipment, or other assets

- #### (4) Reimbursement arrangements

- (5) Loans or loan guarantees

- (6) Performance of services or membership or fundraising solicitations

- c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

- d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

→ Title

Sign Here

Preparer's
signature

Firm's name (or yours
if self-employed),
address, and ZIP code

WILMINGTON FAMILY OFFICE, INC.

4 HIGH RIDGE PARK, STE 103
STAMFORD, CT 06905-1300

Date 5/

5/7/10

Check if self- employee	
-------------------------------	--

Preparer's identifying number

P00644749

FIN ► 20-4677514

Phone no. (203) 399-0600

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

JOYCE HERGENHAN PRIVATE FOUNDATION

Employer identification number

06-1519008

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

JOYCE HERGENHAN PRIVATE FOUNDATION

06-1519008

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOYCE HERGENHAN 715 SASCO HILL ROAD FAIRFIELD, CT 06821	\$ 101,113.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number

06-1519008

Part II

[illegible]

JOYCE HERGENHAN PRIVATE FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BNP PARIBAS	P	11/10/09	01/11/09
b	FRIENDS PROVIDENT	P	08/13/09	08/14/09
c	HARRIS STRATEX NETWORKS	P	05/27/09	05/27/09
d	HSBC HOLDINGS	P	VARIOUS	VARIOUS
e	LLOYDS BANKING GROUP	P	VARIOUS	VARIOUS
f	LLOYDS BANKING GROUP	P	VARIOUS	VARIOUS
g	MALAYAN BANKING	P	05/05/09	05/06/09
h	REXAM	P	08/28/09	08/31/09
i	SOCIETE GENERALE	P	11/10/09	12/07/09
j	TIME WARNER INC.	P	04/02/09	04/02/09
k	US TREASURY BILL DUE 7/03/09	P	03/05/09	07/02/09
l	US TREASURY BILL DUE 3/09/09	P	10/10/08	03/05/09
m	US TREASURY BILL DUE 6/11/06	P	07/07/09	12/10/09
n	AOL, INC.	P	VARIOUS	VARIOUS
o	CIBA HOLDINGS AG	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 58.			58.
b 92.		94.	-2.
c 3.		3.	0.
d 203.			203.
e 6.			6.
f 82.			82.
g 39.			39.
h 108.			108.
i 52.			52.
j 6.			6.
k 373,806.		373,806.	0.
l 229,521.		229,521.	0.
m 164,892.		164,892.	0.
n 21.		31.	-10.
o 2,956.		4,100.	-1,144.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			58.
b			-2.
c			0.
d			203.
e			6.
f			82.
g			39.
h			108.
i			52.
j			6.
k			0.
l			0.
m			0.
n			-10.
o			-1,144.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DIRECTV	P	VARIOUS	VARIOUS
b GENETECH INC.	P	VARIOUS	VARIOUS
c HBOS PLC	P	VARIOUS	VARIOUS
d MERCK & CO, INC.	P	VARIOUS	VARIOUS
e SCHERING PLOUGH	P	04/18/07	11/06/09
f TIME WARNER INC.	P	VARIOUS	VARIOUS
g US TREASURY BILL DUE 5/15/09	P	11/22/06	05/15/09
h WAL-MART STORES INC. BOND	P	03/15/04	08/10/09
i GNMA II PASS THRU	P	07/31/09	08/20/09
j GNMA II PASS THRU	P	08/31/09	09/20/09
k GNMA II PASS THRU	P	09/30/09	10/20/09
l GNMA II PASS THRU	P	10/31/09	11/20/09
m GNMA II PASS THRU	P	11/30/09	12/20/09
n GNMA II PASS THRU	P	12/31/09	01/20/09
o GNMA II PASS THRU	P	12/31/08	01/15/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2.		1.	1.
b 16,625.		14,564.	2,061.
c		4,141.	-4,141.
d 25.		22.	3.
e 2,090.		2,370.	-280.
f 17.		38.	-21.
g 50,000.		50,280.	-280.
h 50,000.		58,715.	-8,715.
i 1,552.		1,552.	0.
j 1,530.		1,530.	0.
k 1,493.		1,493.	0.
l 2,006.		2,006.	0.
m 1,903.		1,903.	0.
n 2,661.		2,661.	0.
o 1.		1.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(l) Losses (from col. (h))
Gains (excess of col. (h) gain over col. (k),
but not less than "-0-")

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			2,061.
c			-4,141.
d			3.
e			-280.
f			-21.
g			-280.
h			-8,715.
i			0.
j			0.
k			0.
l			0.
m			0.
n			0.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

JOYCE HERGENHAN PRIVATE FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GNMA II PASS THRU	P	01/31/09	02/15/09
b	GNMA II PASS THRU	P	02/28/09	03/15/09
c	GNMA II PASS THRU	P	03/31/09	04/15/09
d	GNMA II PASS THRU	P	04/30/09	05/15/09
e	GNMA II PASS THRU	P	05/31/09	06/15/09
f	GNMA II PASS THRU	P	06/30/09	07/15/09
g	GNMA II PASS THRU	P	07/31/09	08/15/09
h	GNMA II PASS THRU	P	08/31/09	09/15/09
i	GNMA II PASS THRU	P	09/30/09	10/15/09
j	GNMA II PASS THRU	P	10/31/09	11/15/09
k	GNMA II PASS THRU	P	11/30/09	12/15/09
l	GNMA II PASS THRU	P	12/31/09	01/15/10
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1.	1.	0.
b	1.	1.	0.
c	1.	1.	0.
d	1.	1.	0.
e	1.	1.	0.
f	1.	1.	0.
g	1.	1.	0.
h	1.	1.	0.
i	1.	1.	0.
j	1.	1.	0.
k	1.	1.	0.
l	1.	1.	0.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			0.
i			0.
j			0.
k			0.
l			0.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-11,974.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
LESS: ACCRUED INTEREST ON PURCHASES	-2,014.
WELLS FARGO	48,532.
WELLS FARGO	1,375.
WELLS FARGO OID	3,574.
WELLS FARGO OTHER PERIODIC INTEREST	1,657.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	53,124.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
WELLS FARGO	34,973.	0.	34,973.
TOTAL TO FM 990-PF, PART I, LN 4	34,973.	0.	34,973.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	4,250.	4,250.		0.
TO FORM 990-PF, PG 1, LN 16B	4,250.	4,250.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	403.	0.		0.
FOR DIV TAX - WELLS FARGO	898.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,301.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISC. EXPENSE	148.	0.		0.
WELLS FARGO FEES	6.	0.		0.
TO FORM 990-PF, PG 1, LN 23	154.	0.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
DIFFERENCE BETWEEN TAX BASIS VS FMV OF SECURITIES GIFTED	190,811.
DIFFERENCE BETWEEN TAX BASIS VS FMV OF SECURITIES DONATED	15,648.
TOTAL TO FORM 990-PF, PART III, LINE 5	206,459.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED GOVERNMENT BONDS	X		506,946.	532,634.
TOTAL U.S. GOVERNMENT OBLIGATIONS			506,946.	532,634.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			506,946.	532,634.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
100 SHS CON EDISON	4,563.	4,543.
100 SHS GENERAL ELECTRIC	3,994.	1,513.
SEE ATTACHED PREFERRED STOCK	323,579.	243,951.
SEE ATTACHED CORPORATE STOCK	1,138,995.	1,350,673.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,471,131.	1,600,680.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED CORPORATE BONDS	518,895.	555,594.
TOTAL TO FORM 990-PF, PART II, LINE 10C	518,895.	555,594.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED MUTUAL FUNDS	COST	315.	267.
SEE ATTACHED MORT. ASSET BACKED	COST	201,014.	187,189.
SEE ATTACHED MUNICIPAL BONDS	COST	101,505.	97,247.
TOTAL TO FORM 990-PF, PART II, LINE 13		302,834.	284,703.

JOYCE HERGENHAN PRIVATE
 FOUNDATION TR
 JOYCE HERGENHAN TTEE
 U/A DTD 06/16/1998
 DECEMBER 1 - DECEMBER 31, 2009
 ACCOUNT NUMBER: 2829-0981

Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Accrued interest on purchases	-1,195.89	-2,013.91	Gross proceeds	124.15	122,682.46
Return of principal	5,264.91	13,550.85	Foreign withholding	-76.70	-897.57
Return of capital	10.40	20.80			

Portfolio detail

Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held in one or more FDIC-insured depository account(s) established with Wells Fargo Bank, N.A. Additional funds over \$250,000 are deposited at one or more additional banks affiliated with Wells Fargo Advisors. These assets are not covered by SIPC, but are instead covered by FDIC insurance in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	-3.39	N/A	-101,251.94	N/A
BANK DEPOSIT SWEEP Interest Period 12/01/09 - 12/31/09	4.00	0.04	119,465.23	47.78
Total Cash and Sweep Balances	0.61		\$18,213.29	\$47.78

* APY measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

Stocks and Options

Stocks

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
ADIDAS AG-SPON ADR									
ADDYY									
Acquired 11/09/06 L		60	24.40	1,464.30		1,629.00	164.70		
Acquired 11/09/06 L		120	24.40	2,928.60		3,258.00	329.40		
Acquired 01/10/07 L		25	24.04	601.20		678.75	77.55		
Acquired 01/12/07 L		37	24.30	899.25		1,004.55	105.30		
Acquired 01/18/07 L		60	24.95	1,497.00		1,629.00	132.00		
Acquired 01/23/07 L		23	24.81	570.85		624.45	53.60		



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Stocks continued

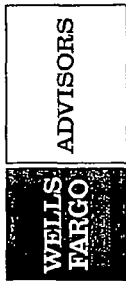
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 02/08/07 L	37	24.95	923.36		1,004.55	81.19		
Total	362		\$8,884.56	27.1500	\$9,828.30	\$943.74	\$83.26	0.85
AEGON NV								
ORD AMER REG								
AEG								
Acquired 07/12/04 L	10	11.75	117.57		64.10	-53.47		
Acquired 07/13/04 L	9	11.65	104.88		57.69	-47.19		
Acquired 07/19/04 L	26	11.43	297.35		166.66	-130.69		
Acquired 08/26/04 L	18	10.90	196.33		115.38	-80.95		
Acquired 10/14/04 L	34	10.70	364.03		217.94	-146.09		
Acquired 10/18/04 L	6	10.67	64.02		38.46	-25.56		
Acquired 02/17/05 L	8	13.50	108.06		51.28	-56.78		
Acquired 04/21/05 L	5	12.90	64.54		32.05	-32.49		
Acquired 08/17/05 L	9	14.39	129.51		57.69	-71.82		
Acquired 09/29/05 L	2	15.89	31.79		12.82	-18.97		
Acquired 11/04/05 L	7	15.32	107.30		44.87	-62.43		
Acquired 05/24/06 L	2	19.14	38.29		12.82	-25.47		
Acquired 09/25/06 L	2	20.85	41.70		12.82	-28.88		
Acquired 06/04/07 L	2	20.51	41.03		12.82	-28.21		
Total	140		\$1,706.40	6.4100	\$897.40	-\$809.00	N/A	N/A
AFFILIATED COMPUTER SVCS								
INC CL A								
ACS								
Acquired 09/12/06 L	105	53.37	5,604.70	59.6900	6,267.45	662.75	N/A	N/A
AFLAC INC								
AFL								
Acquired 12/17/04 L	10	39.67	396.70		462.50	65.80		
Acquired 03/17/05 L	10	38.25	382.50		462.50	80.00		
Acquired 05/26/05 L	10	41.01	410.10		462.50	52.40		
Acquired 11/03/05 L	10	47.64	476.40		462.50	-13.90		
Acquired 12/02/05 L	10	47.98	479.80		462.50	-17.30		
Acquired 02/08/07 L	60	48.30	2,898.00		2,775.00	-123.00		
Total	110		\$5,043.50	46.2500	\$5,087.50	\$44.00	\$123.20	2.42
AIR PRODUCTS & CHEMICALS								
INC								
APD								
Acquired 06/11/07 L	15	79.15	1,187.34		1,215.90	28.56		
Acquired 06/12/07 L	21	78.83	1,655.44		1,702.26	46.82		
Total	36		\$2,842.78	81.0600	\$2,918.16	\$75.38	\$64.80	2.22

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							ANNUAL INCOME	ANNUAL YIELD (%)
ALLIANZ SE-ADR								
AZSEY								
Acquired 02/25/05 L	19	12.58	239.05		236.55	- 2.50		
Acquired 04/21/05 L	4	11.96	47.87		49.80	1.93		
Acquired 04/27/05 L	13	11.97	155.70		161.85	6.15		
Acquired 04/28/05 L	26	11.89	309.23		323.70	14.47		
Acquired 05/24/05 L	13	11.78	153.22		161.85	8.63		
Acquired 05/25/05 L	39	11.80	460.36		485.55	25.19		
Acquired 08/17/05 L	8	13.44	107.52		99.60	- 7.92		
Acquired 12/05/05 L	7	15.14	105.98		87.15	- 18.83		
Acquired 05/24/07 L	39	21.94	855.96		485.55	- 370.41		
Total	168		\$2,434.89	12.4500	\$2,091.60	- \$343.29	\$57.12	2.73
ALTRIA GROUP INC								
MO								
Acquired 01/12/06 L	95	17.86	1,697.42		1,864.85	167.43		
Acquired 01/18/07 L	235	20.52	4,824.46		4,613.05	- 211.41		
Total	330		\$6,521.88	19.6300	\$6,477.90	- \$43.98	\$448.80	6.93
AMCOR LTD								
ADR NEW								
AMCRY								
Acquired 05/07/04 L	32	19.70	630.61		708.80	78.19		
Acquired 08/13/04 L	20	18.99	379.88		443.00	63.12		
Acquired 09/17/04 L	3	20.85	62.55		66.45	3.90		
Acquired 12/02/04 L	14	23.51	329.22		310.10	- 19.12		
Acquired 12/09/04 L	24	21.31	511.61		531.60	19.99		
Acquired 02/17/05 L	6	22.38	134.29		132.90	- 1.39		
Acquired 04/21/05 L	5	21.30	106.51		110.75	4.24		
Acquired 04/27/05 L	24	20.61	494.81		531.60	36.79		
Acquired 08/17/05 L	5	21.98	109.90		110.75	0.85		
Acquired 09/30/05 L	25	20.61	515.49		553.75	38.26		
Acquired 11/04/05 L	7	20.08	140.56		155.05	14.49		
Acquired 12/05/05 L	4	21.31	85.24		88.60	3.36		
Acquired 05/01/07 L	17	24.95	424.27		376.55	- 47.72		
Total	186		\$3,924.94	22.1500	\$4,119.90	\$194.96	\$197.71	4.80
AMERICA MOVIL SAB DE CV								
ADR SERIES L								
AMX								
Acquired 12/21/05 L	31	30.27	938.44		1,456.38	517.94		
Acquired 01/06/06 L	10	32.25	322.53		469.80	147.27		
Acquired 01/11/06 L	18	32.82	590.82		845.64	254.82		



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Stocks continued

Stocks Continued

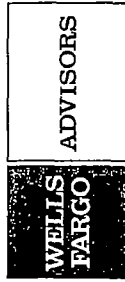
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							ANNUAL INCOME	ANNUAL YIELD (%)
% OF ACCOUNT								
Acquired 01/20/06 L	28	32.33	905.41		1,315.44	410.03		
Acquired 01/26/06 L	18	34.49	620.87		845.64	224.77		
Acquired 01/27/06 L	3	35.03	105.09		140.94	35.85		
Acquired 08/02/06 L	43	35.46	1,525.11		2,020.14	495.03		
Acquired 08/02/06 L	25	35.46	886.69		1,174.50	287.81		
Acquired 08/02/06 L	48	35.46	1,702.44		2,255.04	552.60		
Acquired 12/28/06 L	60	44.30	2,658.00		2,818.80	160.80		
Acquired 01/23/07 L	25	43.01	1,075.25		1,174.50	99.25		
Total	309		\$11,330.65	46.9800	\$14,516.82	\$3,186.17	\$140.28	0.97
AMERICAN EXPRESS COMPANY								
AXP								
Acquired 03/30/05 L	50	44.80	2,240.25		2,026.00	-214.25		
Acquired 09/21/05 L	103	49.95	5,145.09		4,173.56	-971.53		
Acquired 11/03/05 L	10	50.25	502.50		405.20	-97.30		
Acquired 12/02/05 L	10	51.65	516.50		405.20	-111.30		
Acquired 02/08/07 L	60	58.43	3,505.80		2,431.20	-1,074.60		
Total	233		\$11,910.14	40.5200	\$9,441.16	-\$2,468.98	\$167.76	1.78
AMERICAN TOWER CORP								
CL A								
AMT								
Acquired 09/12/06 L	55	36.09	1,985.42	43.2100	2,376.55	391.13	N/A	N/A
ANADARKO PETROLEUM CORP								
APC								
Acquired 06/19/07 L	53	54.98	2,914.28		3,308.26	393.98		
Acquired 06/19/07 L	70	54.98	3,849.05		4,369.40	520.35		
Total	123		\$6,763.33	62.4200	\$7,677.66	\$914.33	\$44.28	0.58
AOL INC								
AOL								
Acquired 07/29/05 L	2	24761	65.46		52.32	-13.14		
Acquired 08/16/05 L	0.28295	31.24	8.84		6.59	-2.25		
Acquired 10/05/05 L	0.56591	30.46	17.25		13.17	-4.08		
Acquired 11/03/05 L	0.84887	29.74	25.26		19.76	-5.50		
Acquired 12/02/05 L	0.84887	30.92	26.27		19.76	-6.51		
Acquired 02/08/07 L	8.20579	36.10	296.52		191.04	-105.48		
Total	13		\$439.60	23.2800	\$302.64	-\$136.96	N/A	N/A
AON CORP								
AON								
Acquired 01/18/07 L	54	34.66	1,871.64	38.3400	2,070.36	198.72	32.40	1.56

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								ANNUAL INCOME	ANNUAL YIELD (%)
APPLE INC									
AAPL									
Acquired 05/10/07 L		52	107.13	5,571.21		10,958.06	5,386.85		
Acquired 05/10/07 L		69	107.13	7,392.57		14,540.51	7,147.94		
Acquired 05/11/07 L		51	108.24	5,520.39		10,747.33	5,226.94		
Acquired 05/11/07 L		67	108.24	7,252.27		14,119.04	6,866.77		
Total	1.69	239		\$25,736.44	210.7320	\$50,364.94	\$24,628.50	N/A	N/A
AT & T INC									
T									
Acquired 03/21/07 L		36	38.31	1,379.19		1,009.08	- 370.11		
Acquired 03/22/07 L		106	38.88	4,121.86		2,971.18	- 1,150.68		
Total	0.13	142		\$5,501.05	28.0300	\$3,980.26	-\$1,520.79	\$238.56	5.99
BANCO SANTANDER CENT									
HISPANO SPON ADR									
STD									
Acquired 12/12/05 L		16	12.91	206.60		263.04	56.44		
Acquired 04/07/06 L		63	14.58	918.80		1,035.72	116.92		
Acquired 03/07/07 L		45	17.93	806.85		739.80	- 67.05		
Acquired 03/19/07 L		43	17.75	763.36		706.92	- 56.44		
Total	0.09	167		\$2,695.61	16.4400	\$2,745.48	\$49.87	\$146.29	5.33
BANK NEW YORK MELLON									
CORP									
BK									
Acquired 03/28/08 L	0.39	416	N/A##	N/A	27.9700	11,635.52	N/A	149.76	1.28
BARD C R INC									
BCR									
Acquired 09/12/06 L		25	75.36	1,884.18		1,947.50	63.32		
Acquired 09/12/06 L		60	75.36	4,522.02		4,674.00	151.98		
Total	0.22	85		\$6,406.20	77.9000	\$6,621.50	\$215.30	\$57.80	0.87
BECKMAN COULTER INC									
BEC									
Acquired 09/12/06 L		20	55.43	1,108.66		1,308.80	200.14		
Acquired 09/12/06 L		45	55.43	2,494.47		2,944.80	450.33		
Total	0.14	65		\$3,603.13	65.4400	\$4,253.60	\$650.47	\$46.80	1.10



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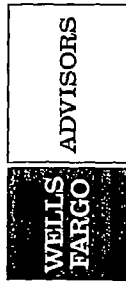
DESCRIPTION	QUANTITY	% OF ACCOUNT	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BHP BILLITON LTD									
SPON ADR									
BHP									
Acquired 01/03/07 L	46		39.05	1,796.33		3,522.68	1,726.35		
Acquired 01/22/07 L	14		39.76	556.65		1,072.12	515.47		
Total	60	0.15		\$2,352.98	76.5800	\$4,594.80	\$2,241.82	\$98.40	2.14
BNP PARIBAS ADR									
BNPQY									
Acquired 07/19/05 L	2		35.75	71.51		80.32	8.81		
Acquired 08/17/05 L	9		36.58	329.27		361.44	32.17		
Acquired 10/06/05 L	3		38.08	114.24		120.48	6.24		
Acquired 01/24/07 L	12		55.04	660.58		481.92	-178.66		
Acquired 03/01/07 L	22		51.73	1,138.12		883.52	-254.60		
Acquired 03/19/07 L	13		51.93	675.09		522.08	-153.01		
Total	61	0.08		\$2,988.81	40.1600	\$2,449.76	-\$539.05	\$31.65	1.29
BOC HONG KONG HOLDINGS									
SPONS ADR									
BHKL									
Acquired 06/21/06 L	14		38.07	533.06		636.16	103.10		
Acquired 06/29/06 L	16		38.35	613.67		727.04	113.37		
Acquired 04/24/07 L	8		49.69	397.57		363.52	-34.05		
Total	38	0.06		\$1,544.30	45.4400	\$1,726.72	\$182.42	\$27.17	1.57
BP PLC SPONS ADR									
BP									
Acquired 05/04/05 L	10		61.42	614.20		579.70	-34.50		
Acquired 05/13/05 L	7		58.99	412.98		405.79	-7.19		
Acquired 05/17/05 L	10		58.95	589.56		579.70	-9.86		
Acquired 10/06/05 L	2		65.61	131.22		115.94	-15.28		
Acquired 10/19/05 L	9		64.55	580.99		521.73	-59.26		
Acquired 12/05/05 L	2		68.59	137.18		115.94	-21.24		
Acquired 06/01/06 L	7		70.76	495.35		405.79	-89.56		
Acquired 09/14/06 L	13		66.58	865.66		753.61	-112.05		
Acquired 01/22/07 L	12		64.46	773.63		695.64	-77.99		
Acquired 02/05/07 L	17		63.76	1,084.02		985.49	-98.53		
Acquired 02/13/07 L	12		62.64	751.74		695.64	-56.10		
Total	101	0.20		\$6,436.53	57.9700	\$5,854.97	-\$581.56	\$339.36	5.80

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								ANNUAL INCOME	ANNUAL YIELD (%)
BRITISH AMERN TOB PLC									
SPON ADR									
BTI									
Acquired 01/24/07 L	0.22	100	59.24	5,924.00	64.6600	6,466.00	542.00	273.30	4.22
BURLINGTON NORTHN SANTA FE CORP									
BNI									
Acquired 04/03/06 L		4	85.62	342.51		394.48	51.97		
Acquired 09/01/06 L		12	67.26	807.16		1,183.44	376.28		
Acquired 09/01/06 L		8	67.26	538.11		1,788.96	250.85		
Acquired 09/01/06 L		13	67.26	874.43		1,282.06	407.63		
Acquired 12/28/06 L		64	73.98	4,734.72		6,311.68	1,576.96		
Acquired 01/23/07 L		27	76.00	2,052.00		2,662.74	610.74		
Total	0.42	128		\$9,348.93	98.6200	\$12,623.36	\$3,274.43	\$204.80	1.62
CAMERON INTERNATINL CRP									
CAM									
Acquired 04/17/07 L		84	33.42	2,807.61		3,511.20	703.59		
Acquired 04/17/07 L		112	33.42	3,743.48		4,681.60	938.12		
Total	0.27	196		\$6,551.09	41.8000	\$8,192.80	\$1,641.71	N/A	N/A
CANON INC ADR REP 5SHS									
CAJ									
Acquired 02/17/05 L		4	39.11	156.45		169.28	12.83		
Acquired 04/21/05 L		4	39.74	158.99		169.28	10.29		
Acquired 08/16/05 L		12	33.09	397.17		507.84	110.67		
Acquired 11/04/05 L		6	26.73	160.38		253.92	93.54		
Total	0.04	26		\$872.99	42.3200	\$1,100.32	\$227.33	\$27.63	2.51
CENTRICA PLC-SP ADR									
CPYY									
Acquired 07/25/05 L		10	16.38	163.80		181.50	17.70		
Acquired 11/04/05 L		7,50000	17.76	133.20		136.13	2.93		
Acquired 12/13/05 L		32,50000	17.42	566.45		589.87	23.42		
Total	0.03	50		\$863.45	18.1500	\$907.50	\$44.05	\$39.40	4.34
CHESAPEAKE ENERGY CORP									
CHK									
Acquired 09/12/06 L		50	29.22	1,461.00		1,294.00	- 167.00		
Acquired 09/12/06 L		95	29.22	2,775.90		2,458.60	- 317.30		
Total	0.13	145		\$4,236.90	25.8800	\$3,752.60	-\$484.30	\$43.50	1.16



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								ANNUAL INCOME	ANNUAL YIELD (%)
CHINA MOBILE LTD									
SPONS ADR									
CHL		56	40.29	2,256.64		2,600.08	343.44		
Acquired 10/31/06 L		11	40.57	446.30		510.73	64.43		
Acquired 12/06/06 L		64	41.24	2,639.49		2,971.52	332.03		
Acquired 12/06/06 L		20	41.24	824.84		928.60	103.76		
Acquired 12/06/06 L		87	41.24	3,588.06		4,039.41	451.35		
Acquired 12/28/06 L		71	43.78	3,108.38		3,296.53	188.15		
Acquired 01/23/07 L		38	48.49	1,842.62		1,764.34	-78.28		
Total	0.54	347		\$14,706.33	46.4300	\$16,111.21	\$1,404.88	\$553.81	3.44
CISCO SYSTEMS INC									
CSCO		191	22.24	4,249.01		4,572.54	323.53		
Acquired 09/01/06 L		79	22.24	1,757.45		1,891.26	133.81		
Acquired 09/28/06 L		31	23.29	722.19		742.14	19.95		
Acquired 09/28/06 L		37	23.29	861.96		885.78	23.82		
Acquired 10/06/06 L		39	23.92	933.21		933.66	0.45		
Acquired 10/23/06 L		29	24.41	707.97		694.26	-13.71		
Acquired 12/28/06 L		137	27.29	3,738.73		3,279.78	-458.95		
Total	0.44	543		\$12,970.52	23.9400	\$12,999.42	\$28.90	N/A	N/A
COCA-COLA COMPANY									
KO		30	39.55	1,186.57		1,710.00	523.43		
Acquired 12/01/04 L		10	42.85	428.50		570.00	141.50		
Acquired 01/04/06 L		40	40.85	1,634.00		2,280.00	646.00		
Acquired 02/08/07 L		30	47.76	1,432.80		1,710.00	277.20		
Total	0.21	110		\$4,681.87	57.0000	\$6,270.00	\$1,588.13	\$180.40	2.88
COCA-COLA FEMSA S A B									
DE C V		8	23.54	188.33		525.76	337.43		
KOF		4	24.61	98.46		262.88	164.42		
Acquired 02/27/04 L		3	23.97	71.91		197.16	125.25		
Acquired 04/01/04 L		7	21.64	151.52		460.04	308.52		
Acquired 05/04/04 L		3	20.50	61.50		197.16	135.66		
Acquired 07/19/04 L		3	20.50	61.50		197.16	135.66		
Acquired 09/17/04 L		3	22.94	68.84		197.16	128.32		
Acquired 12/20/04 L		3	25.99	77.97		197.16	119.19		
Acquired 02/17/05 L		3	23.85	71.55		197.16	125.61		

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Stocks and Options

Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 05/31/05 L		4	23.71	94.87		262.88	168.01		
Acquired 06/01/05 L		3	23.52	70.57		197.16	126.59		
Acquired 06/03/05 L		4	23.64	94.56		262.88	168.32		
Total	0.11	48		\$1,111.58	65.7200	\$3,154.56	\$2,042.98	\$49.58	1.57
CONOCOPHILLIPS									
COP									
Acquired 09/14/06 L		30	58.88	1,766.58		1,532.10	- 234.48		
Acquired 09/14/06 L		55	58.88	3,238.73		2,808.85	- 429.88		
Acquired 01/18/07 L		79	63.30	5,000.70		4,034.53	- 966.17		
Total	0.28	164		\$10,006.01	51.0700	\$8,375.48	- \$1,630.53	\$328.00	3.92
CONSOLIDATED EDISON INC									
ED									
Acquired 03/28/08 L	0.15	100	N/A##	N/A	45.4300	4,543.00	N/A	236.00	5.19
COSTCO WHSL CORP NEW									
COM									
COST									
Acquired 01/18/07 L	0.10	50	57.05	2,852.93	59.1700	2,958.50	105.57	36.00	1.21
COVANCE INC									
CVD									
Acquired 09/12/06 L		35	65.43	2,290.05		1,909.95	- 380.10		
Acquired 09/12/06 L		70	65.43	4,580.10		3,819.90	- 760.20		
Total	0.19	105		\$6,870.15	54.5700	\$5,729.85	- \$1,140.30	N/A	N/A
COVIDIEN PLC									
SHS									
COV									
Acquired 03/18/04 L		3	45.08	135.25		143.67	8.42		
Acquired 04/19/04 L		9	35.37	318.37		431.01	112.64		
Acquired 05/18/04 L		6	37.27	223.67		287.34	63.67		
Acquired 06/16/04 L		12	37.69	452.34		574.68	122.34		
Acquired 07/16/04 L		13	42.48	552.33		622.57	70.24		
Acquired 08/17/04 L		15	38.72	580.83		718.35	137.52		
Acquired 08/26/04 L		5	40.66	203.32		239.45	36.13		
Acquired 09/16/04 L		15	39.01	585.21		718.35	133.14		
Acquired 10/18/04 L		13	36.86	479.26		622.57	143.31		
Acquired 11/17/04 L		10	43.21	432.18		478.90	46.72		
Acquired 12/20/04 L		17	45.70	776.98		814.13	37.15		
Acquired 01/10/05 L		4	42.70	170.83		191.56	20.73		
Acquired 05/03/05 L		75	35.81	2,686.05		3,581.75	905.70		
Acquired 06/03/05 L		5	36.41	182.05		239.45	57.40		



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Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/02/05 L		66	35.57	2,347.72		3,160.74	813.02		
Acquired 01/24/06 L		20	33.64	672.87		957.80	284.93		
Acquired 08/07/06 L		10	32.47	324.74		478.90	154.16		
Acquired 01/18/07 L		29	40.01	1,160.51		1,388.81	228.30		
Acquired 03/28/08 L		65	N/A##	N/A		3,112.85	N/A		
Total	0.63	392		\$12,284.51	47.8900	\$18,772.88	\$3,375.52	\$282.24	1.50
CSX CORP									
CSX									
Acquired 09/12/06 L	0.33	205	30.58	6,270.19	48.4900	9,940.45	3,670.26	180.40	1.81
CVS CAREMARK CORP									
CVS									
Acquired 09/15/06 L		15	34.93	524.07		483.15	-40.92		
Acquired 05/24/07 L		223	38.28	8,538.42		7,182.83	-1,355.59		
Total	0.26	238		\$9,062.49	32.2100	\$7,665.98	-\$1,396.51	\$72.59	0.95
DBS GROUP HOLDINGS									
SPON ADR									
Acquired 04/21/05 L		2	35.80	71.60		88.00	16.40		
Acquired 08/17/05 L		5	37.67	188.38		220.00	31.62		
Acquired 11/04/05 L		4	36.90	147.60		176.00	28.40		
Acquired 12/05/05 L		2	39.35	78.70		88.00	9.30		
Acquired 03/07/06 L		24	40.05	961.27		1,056.00	94.73		
Acquired 03/31/06 L		17	40.60	690.30		748.00	57.70		
Acquired 06/26/06 L		8	43.29	346.34		352.00	5.66		
Acquired 04/09/07 L		11	59.90	658.90		484.00	-174.90		
Total	0.11	73		\$3,143.09	44.0000	\$3,212.00	\$68.91	\$113.51	3.53
DEUTSCHE TELEKOM AG									
SPON ADR									
DT									
Acquired 06/16/05 L		32	17.96	574.89		470.40	-104.49		
Acquired 06/30/05 L		25	18.47	461.79		367.50	-94.29		
Acquired 08/22/05 L		32	19.21	614.76		470.40	-144.36		
Acquired 09/01/05 L		17	19.03	323.62		249.90	-73.72		
Acquired 12/05/05 L		7	16.43	115.01		102.90	-12.11		
Acquired 12/27/05 L		29	16.61	481.75		426.30	-55.45		
Acquired 02/07/07 L		36	17.92	645.13		529.20	-115.93		
Acquired 04/30/07 L		28	18.33	513.31		411.60	-101.71		
Acquired 05/01/07 L		25	17.44	436.04		367.50	-68.54		
Acquired 05/17/07 L		47	16.86	792.81		690.90	-101.91		
Total	0.14	278		\$4,959.11	14.7000	\$4,086.60	-\$872.51	\$288.00	7.05

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Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DEVON ENERGY CORP NEW									
DVN									
Acquired 01/18/07 L		13	65.87	856.31		955.50	99.19		
Acquired 06/18/07 L		35	83.15	2,910.37		2,572.50	- 337.87		
Acquired 06/18/07 L		47	83.15	3,908.21		3,454.50	- 453.71		
Total	0.23	95		\$7,674.89	73.5000	\$6,982.50	- \$692.39	\$60.80	0.87
DIAGEO PLC									
SPONSORED ORD NEW									
DEO									
Acquired 03/17/05 L		18	58.19	1,047.42		1,249.38	201.96		
Acquired 05/26/05 L		20	58.51	1,170.20		1,388.20	218.00		
Acquired 12/02/05 L		10	59.84	598.40		694.10	95.70		
Acquired 02/08/07 L		20	79.35	1,587.00		1,388.20	- 198.80		
Total	0.16	68		\$4,403.02	69.4100	\$4,719.88	\$316.86	\$154.02	3.26
DIRECTV									
CLASS A COMMON									
DTV									
Acquired 02/26/04 L		96	12.54	1,204.68		3,201.60	1,996.92		
Acquired 03/18/04 L		4	6.10	24.43		133.40	108.97		
Acquired 04/21/04 L		8	12.28	98.31		266.80	168.49		
Acquired 06/16/04 L		8	11.96	95.76		266.80	171.04		
Acquired 06/24/04 L		20	10.75	215.18		667.00	451.82		
Acquired 07/19/04 L		4	11.28	45.13		133.40	88.27		
Acquired 08/17/04 L		8	11.46	91.75		266.80	175.05		
Acquired 10/18/04 L		8	11.63	93.11		266.80	173.69		
Acquired 11/17/04 L		4	20.64	82.60		133.40	50.80		
Acquired 12/17/04 L		8	10.72	85.77		266.80	181.03		
Acquired 02/16/05 L		8	17.00	136.09		266.80	130.71		
Acquired 03/17/05 L		8	13.98	111.83		266.80	154.97		
Acquired 04/20/05 L		4	13.56	54.26		133.40	79.14		
Acquired 05/26/05 L		16	12.14	194.41		533.60	339.19		
Acquired 07/18/05 L		4	13.33	53.32		133.40	80.08		
Acquired 08/16/05 L		4	19.70	78.83		133.40	54.57		
Acquired 10/05/05 L		8	9.20	73.63		266.80	193.17		
Acquired 11/03/05 L		8	12.54	100.41		266.80	166.39		
Acquired 12/02/05 L		12	12.02	144.30		400.20	255.90		
Acquired 02/08/07 L		80	19.59	1,567.62		2,668.00	1,100.38		
Total	0.36	320		\$4,551.42	33.3500	\$10,672.00	\$6,120.58	N/A	N/A



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Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DISCOVER FINANCIAL									
DFS									
Acquired 05/20/05 L		11.45630	16.47	188.02		168.52	-19.50		
Acquired 07/12/05 L		39.84790	17.69	702.43		586.16	-116.27		
Acquired 07/29/05 L		34.86690	17.61	611.83		512.89	-98.94		
Acquired 11/03/05 L		4.98100	17.56	87.15		73.27	-13.88		
Acquired 12/02/05 L		4.98100	18.93	93.98		73.27	-20.71		
Acquired 02/08/07 L		34.86690	27.40	951.90		512.90	-439.00		
Total	0.06	131		\$2,635.31	14.7100	\$1,927.01	-\$708.30	\$10.48	0.54
DISNEY WALT COMPANY									
DIS									
Acquired 05/26/05 L		5	27.43	137.17		161.25	24.08		
Acquired 08/16/05 L		10	25.90	259.03		322.50	63.47		
Acquired 10/05/05 L		20	24.13	482.75		645.00	162.25		
Acquired 10/11/05 L		110	23.59	2,595.14		3,547.50	952.36		
Acquired 11/03/05 L		10	24.48	244.80		322.50	77.70		
Acquired 12/02/05 L		10	24.56	245.61		322.50	76.89		
Acquired 02/08/07 L		30	34.82	1,044.74		967.50	-77.24		
Total	0.21	195		\$5,009.24	32.2500	\$6,288.75	\$1,279.51	\$68.25	1.09
DOW CHEMICAL COMPANY									
DOW									
Acquired 04/19/06 L		90	39.76	3,579.09		2,486.70	-1,092.39		
Acquired 06/30/06 L		40	38.99	1,559.74		1,105.20	-454.54		
Acquired 06/30/06 L		70	38.99	2,729.54		1,934.10	-795.44		
Acquired 08/16/06 L		40	37.68	1,507.26		1,105.20	-402.06		
Acquired 02/08/07 L		70	41.48	2,903.60		1,934.10	-969.50		
Total	0.29	310		\$12,279.23	27.6300	\$8,565.30	-\$3,713.93	\$186.00	2.17
E ON-AG-SPON ADR									
EONGY									
Acquired 12/20/04 L		2	29.50	59.01		83.50	24.49		
Acquired 01/20/05 L		3	28.63	85.91		125.25	39.34		
Acquired 03/18/05 L		3	29.06	87.20		125.25	38.05		
Acquired 08/17/05 L		4	31.94	127.76		167.00	39.24		
Acquired 11/04/05 L		4	29.76	119.04		167.00	47.96		
Acquired 10/30/06 L		13	39.98	519.81		542.75	22.94		
Acquired 04/02/07 L		34	47.84	1,626.70		1,419.50	-207.20		
Total	0.09	63		\$2,625.43	41.7500	\$2,630.25	\$4.82	\$92.98	3.54

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								ANNUAL INCOME	ANNUAL YIELD (%)
EASTMAN CHEMICAL CO									
EMN									
Acquired 09/12/06 L		25	52.77	1,319.25		1,506.00	186.75		
Acquired 09/12/06 L		40	52.77	2,110.80		2,409.60	298.80		
Total	0.13	65		\$3,430.05	60.2400	\$3,915.60	\$485.55	\$114.40	2.92
EATON VANCE CORP NON VTG									
EV									
Acquired 09/12/06 L		55	27.32	1,502.60		1,672.55	169.95		
Acquired 09/12/06 L		115	27.32	3,141.80		3,497.15	355.35		
Total	0.17	170		\$4,644.40	30.4100	\$5,169.70	\$525.30	\$108.80	2.10
ENI S P A									
SPON ADR									
E									
Acquired 02/27/04 L		6	39.37	236.22		303.66	67.44		
Acquired 05/04/04 L		7	44.55	311.87		354.27	42.40		
Acquired 07/19/04 L		2	52.01	104.03		101.22	-2.81		
Acquired 09/17/04 L		2	53.65	107.31		101.22	-6.09		
Acquired 11/17/04 L		2	59.71	119.43		101.22	-18.21		
Acquired 02/17/05 L		2	63.43	126.87		101.22	-25.65		
Acquired 04/21/05 L		2	63.63	127.26		101.22	-26.04		
Acquired 08/17/05 L		2	72.30	144.61		101.22	-43.39		
Acquired 11/04/05 L		6	22.32	133.96		303.66	169.70		
Total	0.05	31		\$1,411.56	50.6100	\$1,568.91	\$157.35	\$73.56	4.69
EOG RESOURCES INC									
EOG									
Acquired 01/18/07 L	0.03	8	61.98	495.84	97.3000	778.40	282.56	4.64	0.59
EXPRESS SCRIPTS INC									
CL A									
ESRX									
Acquired 09/12/06 L		45	42.12	1,895.62		3,888.90	1,993.28		
Acquired 09/12/06 L		50	42.12	2,106.25		4,321.00	2,214.75		
Acquired 09/12/06 L		45	42.12	1,895.62		3,888.90	1,993.28		
Acquired 11/21/06 L		40	33.44	1,337.85		3,456.80	2,118.95		
Total	0.52	180		\$7,235.34	86.4200	\$15,555.60	\$8,320.26	N/A	N/A
FEDEX CORPORATION									
FDX									
Acquired 02/26/04 L		15	68.45	1,026.76		1,251.75	224.99		
Acquired 03/29/04 L		13	74.57	969.42		1,084.85	115.43		

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WELLS
FARGO

ADVISORS

Stocks and Options

Stocks continued

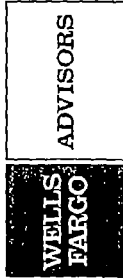
DESCRIPTION	QUANTITY	% OF ACCOUNT	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 03/30/04 L	10		74.43	744.39		834.50	90.11		
Acquired 03/31/04 L	5		74.88	374.41		417.25	42.84		
Acquired 04/20/04 L	2		73.88	147.76		166.90	19.14		
Acquired 05/18/04 L	3		71.35	214.06		250.35	36.29		
Acquired 06/16/04 L	3		77.93	233.79		250.35	16.56		
Acquired 07/19/04 L	3		80.43	241.29		250.35	9.06		
Acquired 08/17/04 L	3		78.99	236.97		250.35	13.38		
Acquired 09/16/04 L	3		86.29	258.87		250.35	-8.52		
Acquired 10/18/04 L	3		86.75	260.25		250.35	-9.90		
Acquired 11/17/04 L	3		95.10	285.30		250.35	-34.95		
Acquired 12/17/04 L	1		99.22	99.22		83.45	-15.77		
Acquired 12/20/04 L	9		100.36	903.27		751.05	-152.22		
Acquired 01/20/05 L	2		91.72	183.44		166.90	-16.54		
Acquired 02/17/05 L	6		97.41	584.46		500.70	-83.76		
Acquired 03/18/05 L	4		96.10	384.40		333.80	-50.60		
Acquired 04/21/05 L	3		85.66	256.98		250.35	-6.63		
Acquired 05/26/05 L	5		90.40	452.00		417.25	-34.75		
Acquired 07/19/05 L	4		82.58	330.32		333.80	3.48		
Acquired 08/17/05 L	6		83.65	501.91		500.70	-1.21		
Acquired 10/06/05 L	1		87.17	87.17		83.45	-3.72		
Acquired 11/07/05 L	6		94.25	565.53		500.70	-64.83		
Acquired 12/06/05 L	2		97.92	195.84		166.90	-28.94		
Acquired 12/28/06 L	46		107.60	4,949.60		3,838.70	-1,110.90		
Acquired 03/27/07 L	50		110.16	5,508.07		4,172.50	-1,335.57		
Total	211	0.59		\$19,995.48	83.4500	\$17,607.95	-\$2,387.53	\$92.84	0.53
FRANCE TELECOM									
SPON ADR									
FTE									
Acquired 09/30/05 L	12		28.85	346.20		302.88	-43.32		
Acquired 11/04/05 L	16		25.98	155.88		151.44	-4.44		
Acquired 12/02/05 L	18		25.17	453.19		454.32	1.13		
Acquired 12/05/05 L	3		24.99	74.97		75.72	0.75		
Acquired 01/12/06 L	41		23.90	979.95		1,034.84	54.89		
Acquired 03/06/06 L	22		22.31	490.84		555.28	64.44		
Acquired 05/08/06 L	27		23.14	162.04		176.68	14.64		
Acquired 05/09/06 L	16		23.39	374.35		403.84	29.49		
Acquired 02/16/07 L	14		28.24	395.49		353.36	-42.13		
Acquired 02/20/07 L	14		28.01	392.27		353.36	-38.91		
Total	153	0.13		\$3,825.18	25.2400	\$3,861.72	\$36.54	\$254.74	6.60

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								ANNUAL INCOME	ANNUAL YIELD (%)
FREEMPORT-MCMORAN COPPER									
& GOLD INC									
CLASS B									
FCX									
Acquired 04/16/07 L		58	70.79	4,106.31		4,656.82	550.51		
Acquired 04/18/07 L		20	71.16	1,423.28		1,605.80	182.52		
Total	0.21	78		\$5,529.59	80.2900	\$6,262.62	\$733.03	0.75	
GATX CORP									
GMT									
Acquired 09/12/06 L		45	36.14	1,626.30		1,293.75	- 332.55		
Acquired 09/12/06 L		80	36.14	2,891.20		2,300.00	- 591.20		
Total	0.12	125		\$4,517.50	28.7500	\$3,593.75	-\$923.75	3.90	
GDF SUEZ SPON ADR									
GDFZY									
Acquired 07/25/08 L	0.08	57	N/A##	N/A	42.8000	2,439.60	N/A	3.46	
GENERAL ELECTRIC COMPANY									
GE									
Acquired 03/28/08 L	0.05	100	N/A##	N/A	15.1300	1,513.00	N/A	2.64	
GENL DYNAMICS CORP COM									
GD									
Acquired 06/04/04 L		8	48.76	390.09		545.36	155.27		
Acquired 06/08/04 L		18	48.97	881.54		1,227.06	345.52		
Acquired 06/15/04 L		8	49.27	394.17		545.36	151.19		
Acquired 07/19/04 L		2	48.49	96.99		136.34	39.35		
Acquired 08/17/04 L		2	47.83	95.66		136.34	40.68		
Acquired 09/16/04 L		2	49.36	98.73		136.34	37.61		
Acquired 11/17/04 L		2	54.12	108.25		136.34	28.09		
Acquired 01/20/05 L		2	50.46	100.93		136.34	35.41		
Acquired 02/17/05 L		2	53.17	106.35		136.34	29.99		
Acquired 03/09/05 L		12	54.11	649.37		818.04	168.67		
Acquired 03/11/05 L		10	54.47	544.76		681.70	136.94		
Acquired 03/18/05 L		4	52.72	210.88		272.68	61.80		
Acquired 04/21/05 L		2	50.87	101.75		136.34	34.59		
Acquired 05/26/05 L		4	53.91	215.64		272.68	57.04		
Acquired 07/19/05 L		2	55.44	110.88		136.34	25.46		
Acquired 07/22/05 L		28	57.99	1,623.98		1,908.76	284.78		
Acquired 07/25/05 L		14	58.49	818.98		954.38	135.40		
Acquired 08/17/05 L		4	57.22	228.88		272.68	43.80		
Acquired 10/06/05 L		4	59.28	237.12		272.68	35.56		
Acquired 11/07/05 L		4	58.23	232.94		272.68	39.74		



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Stocks continued

DESCRIPTION	QUANTITY	% OF ACCOUNT	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/06/05 L	4		56.79	227.18		272.68	45.50		
Acquired 07/20/06 L	16		68.39	1,094.28		1,090.72	-3.56		
Acquired 07/20/06 L	10		68.39	683.92		681.70	-2.22		
Acquired 07/20/06 L	15		68.39	1,025.89		1,022.55	-3.34		
Acquired 12/28/06 L	61		74.75	4,559.75		4,158.37	-401.38		
Acquired 01/23/07 L	26		79.13	2,057.38		1,772.42	-284.96		
Total	266	0.61		\$16,896.29	68.1700	\$18,133.22	\$1,236.93	\$404.32	2.23
GENZYME CORPORATION									
GENZ									
Acquired 07/29/05 L	16		74.50	1,192.01		784.16	-407.85		
Acquired 08/17/05 L	2		69.62	139.24		98.02	-41.22		
Acquired 10/06/05 L	2		68.86	137.72		98.02	-39.70		
Acquired 12/06/05 L	2		74.60	149.20		98.02	-51.18		
Acquired 06/29/06 L	8		62.74	501.93		392.08	-109.85		
Acquired 07/17/06 L	14		62.58	876.22		686.14	-190.08		
Acquired 07/17/06 L	20		62.58	1,251.75		980.20	-271.55		
Acquired 07/17/06 L	4		62.58	250.35		196.04	-54.31		
Acquired 07/27/06 L	8		66.57	532.62		392.08	-140.54		
Acquired 07/27/06 L	14		66.57	932.08		686.14	-245.94		
Acquired 10/17/06 L	13		68.71	893.32		637.13	-256.19		
Acquired 10/17/06 L	21		68.71	1,443.05		1,029.21	-413.84		
Acquired 10/18/06 L	17		69.54	1,182.24		833.17	-349.07		
Acquired 10/18/06 L	29		69.54	2,016.76		1,421.29	-595.47		
Acquired 12/28/06 L	48		61.73	2,963.04		2,352.48	-610.56		
Acquired 01/23/07 L	25		67.17	1,679.25		1,225.25	-454.00		
Total	243	0.40		\$16,140.78	49.0100	\$11,909.43	-\$4,231.35	N/A	N/A
GLAXOSMITHKLINE PLC-ADR									
GSK									
Acquired 02/27/04 L	20		42.52	850.41		845.00	-5.41		
Acquired 03/08/04 L	3		41.98	125.94		126.75	0.81		
Acquired 04/20/04 L	2		41.90	83.80		84.50	0.70		
Acquired 05/04/04 L	9		42.70	384.36		380.25	-4.11		
Acquired 06/17/04 L	3		42.56	127.68		126.75	-0.93		
Acquired 07/19/04 L	6		39.48	236.88		253.50	16.62		
Acquired 08/26/04 L	2		40.83	81.66		84.50	2.84		
Acquired 09/17/04 L	2		43.04	86.08		84.50	-1.58		
Acquired 11/17/04 L	2		45.07	90.15		84.50	-5.65		
Acquired 12/20/04 L	2		46.54	93.08		84.50	-8.58		
Acquired 02/17/05 L	3		47.12	141.36		126.75	-14.61		
Acquired 03/18/05 L	2		46.95	93.90		84.50	-9.40		
Acquired 04/21/05 L	2		47.01	94.02		84.50	-9.52		

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DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.18	124		\$6,001.23	42.2500	\$5,239.00	-\$762.23	\$230.02	4.39
GOLDMAN SACHS GROUP INC									
GS									
Acquired 10/19/05 L		13	119.64	1,555.35		2,194.92	639.57		
Acquired 11/07/05 L		2	129.37	258.75		337.68	78.93		
Acquired 12/06/05 L		3	133.70	401.10		506.52	105.42		
Acquired 04/10/06 L		6	163.08	978.53		1,013.04	34.51		
Acquired 04/19/06 L		21	166.71	3,500.99		3,545.64	44.65		
Acquired 08/22/06 L		17	154.07	2,619.28		2,870.28	251.00		
Acquired 08/22/06 L		10	154.07	1,540.75		1,688.40	147.65		
Acquired 12/28/06 L		46	202.33	9,307.18		7,766.64	-1,540.54		
Total	0.67	118		\$20,161.93	168.8400	\$19,923.12	-\$238.81	\$165.20	0.83
GOOGLE INC CL A									
GOOG									
Acquired 03/26/07 L		12	457.67	5,492.04		7,439.76	1,947.72		
Acquired 05/31/07 L		11	504.24	5,546.70		6,819.78	1,273.08		
Total	0.48	23		\$11,038.74	619.9800	\$14,259.54	\$3,220.80	N/A	N/A
HANNOVER RUECKVERSICHER									
ADR									
HVRRY									
Acquired 12/14/05 L		17	18.07	307.21		401.20	93.99		
Acquired 12/15/05 L		18	17.79	320.33		424.80	104.47		
Acquired 01/27/06 L		33	19.37	639.38		778.80	139.42		
Total	0.05	68		\$1,266.92	23.6000	\$1,604.80	\$337.88	N/A	N/A
HARRIS CORP DEL									
HRS									
Acquired 09/26/06 L		35	44.87	1,570.53		1,664.25	93.72		
Acquired 09/26/06 L		60	44.87	2,692.35		2,853.00	160.65		
Total	0.15	95		\$4,262.88	47.5500	\$4,517.25	\$254.37	\$83.60	1.85



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STOCKS Continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
HARRIS STRATEX NETWORKS									
HSTX	0.01	23	5.36	123.41	6.9100	158.93	35.52	N/A	N/A
Acquired 05/27/09 S									
HARSCO CORPORATION									
HSC		45	39.16	1,762.20		1,450.35	-311.85		
Acquired 09/12/06 L		85	39.16	3,328.60		2,739.55	-589.05		
Acquired 09/12/06 L									
Total	0.14	130		\$5,090.80	32.2300	\$4,189.90	-\$900.90	\$106.60	2.54
HEINEKEN N V ADR									
HINKY		370	24.10	8,917.00		8,809.70	-107.30		
Acquired 12/19/06 L		95	25.37	2,410.59		2,261.95	-148.64		
Acquired 01/18/07 L									
Total	0.37	465		\$11,327.59	23.8100	\$11,071.65	-\$255.94	\$141.36	1.28
HENKEL AG & CO KGAA									
HENKY		18	44.24	796.46		801.90	5.44		
Acquired 04/12/07 L		6	48.24	289.46		267.30	-22.16		
Acquired 05/02/07 L		24	47.40	1,137.79		1,069.20	-68.59		
Acquired 06/06/07 L		27	46.83	1,264.57		1,202.85	-61.72		
Acquired 06/07/07 L									
Total	0.11	75		\$3,488.28	44.5500	\$3,341.25	-\$147.03	\$34.80	1.04
HEWLETT-PACKARD COMPANY									
HPQ		330	23.84	7,870.17		16,998.30	9,128.13		
Acquired 07/07/05 L		70	23.76	1,663.43		3,605.70	1,942.27		
Acquired 07/07/05 L		20	24.94	498.80		1,030.20	531.40		
Acquired 07/18/05 L		10	23.87	238.70		515.10	276.40		
Acquired 08/16/05 L		20	27.82	556.59		1,030.20	473.61		
Acquired 10/05/05 L		10	28.78	287.81		515.10	227.29		
Acquired 11/03/05 L		40	29.25	1,170.00		2,060.40	890.40		
Acquired 12/02/05 L		300	41.50	12,450.00		15,453.00	3,003.00		
Acquired 01/04/07 L		225	41.50	9,337.50		11,589.75	2,252.25		
Acquired 01/04/07 L									
Total	1.77	1,025		\$34,073.00	51.5100	\$52,797.75	\$18,724.75	\$328.00	0.62
HINOY MOTORS LTD ADR									
HINOY		4	61.90	247.61		137.92	-109.69		
Acquired 04/24/06 L		13	61.16	795.18		448.25	-346.93		
Acquired 05/01/06 L		9	59.50	535.50		310.33	-225.17		
Acquired 05/25/06 L		13	49.74	646.67		448.25	-198.42		
Acquired 11/07/06 L									

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								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/08/06 L		5	52.09	260.49		172.41	- 88.08		
Total	0.05	44		\$2,485.45	34.4810	\$1,517.16	- \$968.29	\$38.23	2.52
HOME DEPOT INC HD									
Acquired 02/26/04 L		150	36.51	5,476.50		4,339.50	- 1,137.00		
Acquired 04/21/04 L		10	35.64	356.40		289.30	- 67.10		
Acquired 06/16/04 L		10	35.95	359.50		289.30	- 70.20		
Acquired 07/19/04 L		10	33.35	333.50		289.30	- 44.20		
Acquired 12/17/04 L		10	42.23	422.30		289.30	- 133.00		
Acquired 02/16/05 L		10	42.39	423.90		289.30	- 134.60		
Acquired 03/17/05 L		10	38.84	388.40		289.30	- 99.10		
Acquired 04/20/05 L		10	35.54	355.40		289.30	- 66.10		
Acquired 05/26/05 L		10	40.04	400.40		289.30	- 111.10		
Acquired 08/16/05 L		10	41.00	410.00		289.30	- 120.70		
Acquired 10/05/05 L		10	38.04	380.40		289.30	- 91.10		
Acquired 11/03/05 L		10	41.03	410.34		289.30	- 121.04		
Acquired 12/02/05 L		10	41.67	416.70		289.30	- 127.40		
Acquired 02/08/07 L		100	41.03	4,103.00		2,893.00	- 1,210.00		
Acquired 06/21/07 L		56	39.86	2,232.36		1,620.08	- 612.28		
Total	0.41	426		\$16,469.10	28.9300	\$12,324.18	- \$4,144.92	\$383.40	3.11
HONEYWELL INTERNATIONAL INC									
HON									
Acquired 05/26/05 L		10	36.59	365.90		392.00	26.10		
Acquired 12/02/05 L		10	36.32	363.23		392.00	28.77		
Acquired 02/08/07 L		50	46.56	2,328.00		1,960.00	- 368.00		
Total	0.09	70		\$3,057.13	39.2000	\$2,744.00	- \$313.13	\$84.70	3.09
HONGKONG ELEC HLDG LTD SPON ADR									
HGKGY									
Acquired 05/14/07 L		92	5.19	477.81		496.80	18.99		
Acquired 05/14/07 L		30	5.19	155.73		162.00	6.27		
Total	0.02	122		\$633.54	5.4000	\$658.80	\$25.26	\$28.79	4.37
HUTCHISON WHAMPOA ADR HUWHY									
Acquired 10/26/06 L		13	44.95	584.39		447.20	- 137.19		
Acquired 10/31/06 L		13	44.47	578.16		447.20	- 130.96		



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							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/06/06 L	22	44.93	988.53	34.4000	756.80	-231.73		
Total	48		\$2,151.08	34.4000	\$1,651.20	-\$499.88	\$51.64	3.13
INTEL CORP								
INTC	150	26.92	4,038.38		3,060.00	-978.38		
Acquired 06/13/05 L	40	28.10	1,124.00		816.00	-308.00		
Acquired 07/18/05 L	30	26.46	793.84		612.00	-181.84		
Acquired 08/11/05 L	190	25.28	4,805.06		3,876.00	-929.06		
Acquired 09/09/05 L	10	24.40	244.00		204.00	-40.00		
Acquired 10/05/05 L	80	23.67	1,894.16		1,632.00	-262.16		
Acquired 10/10/05 L	20	27.42	548.40		408.00	-140.40		
Acquired 12/02/05 L	90	21.45	1,930.62		1,836.00	-94.62		
Acquired 03/22/06 L	50	19.73	1,578.46		1,632.00	53.54		
Acquired 05/31/06 L	86	17.81	890.87		1,020.00	129.13		
Acquired 11/15/06 L	83	21.94	1,886.90		1,754.40	-132.50		
Acquired 11/16/06 L	80	22.18	1,840.94		1,693.20	-147.74		
Acquired 12/11/06 L	67	20.76	1,661.33		1,632.00	-29.33		
Acquired 12/28/06 L	310	20.40	1,668.80		1,366.80	-302.00		
Acquired 02/08/07 L	94	21.32	6,609.20		6,324.00	-285.20		
Acquired 02/09/07 L	69	21.45	2,017.12		1,917.60	-99.52		
Acquired 02/20/07 L	120	21.01	1,449.70		1,407.60	-42.10		
Acquired 02/27/07 L	100	20.35	2,442.19		2,448.00	5.81		
Acquired 03/16/07 L	133	19.13	1,913.83		2,040.00	126.17		
Acquired 04/11/07 L		20.63	2,744.92		2,713.20	-31.72		
Total	1,882		\$41,780.72	20.4000	\$38,392.80	-\$3,387.92	\$1,053.92	2.75
IRON MTN INC PA								
IRM	87	N/A##	N/A	22.7600	1,980.12	N/A	N/A	N/A
Acquired 03/28/08 L								
JOHNSON ELEC HOLDINGS								
LTD SPONSORED ADR	47	6.60	310.26		187.53	-122.73		
JELCY	36	6.51	234.61		143.64	-90.97		
Acquired 04/16/07 L	13	6.47	84.13		51.87	-32.26		
Acquired 04/18/07 L	30	6.14	184.35		119.70	-64.65		
Acquired 05/10/07 L	66	6.19	408.56		263.34	-145.22		
Acquired 05/10/07 L	25	6.13	153.41		99.75	-53.66		
Total	217		\$1,375.32	3.9900	\$865.83	-\$509.49	N/A	N/A

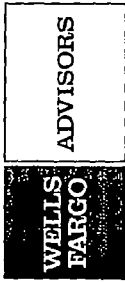
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								ANNUAL INCOME	ANNUAL YIELD (%)
JPMORGAN CHASE & CO									
JPM									
Acquired 08/09/04 L		30	36.06	1,081.98		1,250.10	168.12		
Acquired 08/17/04 L		10	38.02	380.20		416.70	36.50		
Acquired 10/20/04 L		40	36.98	1,479.56		1,666.80	187.24		
Acquired 12/06/04 L		60	37.97	2,278.20		2,500.20	222.00		
Acquired 02/16/05 L		10	37.27	372.70		416.70	44.00		
Acquired 03/09/05 L		20	36.54	730.89		833.40	102.51		
Acquired 03/17/05 L		40	36.13	1,445.20		1,666.80	221.60		
Acquired 05/26/05 L		30	35.78	1,073.40		1,250.10	176.70		
Acquired 07/06/05 L		10	34.66	346.68		416.70	70.02		
Acquired 07/18/05 L		10	35.43	354.30		416.70	62.40		
Acquired 10/05/05 L		10	33.74	337.49		416.70	79.21		
Acquired 11/03/05 L		10	37.29	372.90		416.70	43.80		
Acquired 12/02/05 L		20	38.84	776.80		833.40	56.60		
Acquired 02/08/07 L		110	50.80	5,588.00		4,583.70	-1,004.30		
Total	0.57	410		\$16,618.30	41.6700	\$17,084.70	\$466.40	\$82.00	0.48
KAO CORP-SPONSORED ADR									
KCRPY									
Acquired 05/24/06 L		20	25.98	519.68		467.20	-52.48		
Acquired 06/21/06 L		20	25.14	502.98		467.20	-35.78		
Total	0.03	40		\$1,022.66	23.3600	\$934.40	-\$88.26	\$22.64	2.42
KONINKLIJKE PHILIPS									
ELECTRS N V NY REG SH									
NEW 2000									
PHG									
Acquired 10/12/04 L		14	22.67	317.46		412.16	94.70		
Acquired 11/17/04 L		3	25.61	76.84		88.32	11.48		
Acquired 01/20/05 L		3	24.22	72.66		88.32	15.66		
Acquired 03/18/05 L		4	27.78	111.12		117.76	6.64		
Acquired 04/21/05 L		2	25.27	50.55		58.88	8.33		
Acquired 05/03/05 L		11	24.73	272.07		323.84	51.77		
Acquired 08/17/05 L		4	26.82	107.28		117.76	10.48		
Acquired 11/04/05 L		5	26.50	132.50		147.20	14.70		
Total	0.05	46		\$1,140.48	29.4400	\$1,354.24	\$213.76	\$36.66	2.71
KOREA ELEC PWR CORP									
SPONSORED ADR									
KEP									
Acquired 05/04/04 L		16	9.51	152.16		232.64	80.48		
Acquired 07/19/04 L		7	9.55	66.90		101.78	34.88		



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DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/18/04 L	7	10.64	74.48		101.78	27.30		
Acquired 01/20/05 L	6	13.21	79.26		87.24	7.98		
Acquired 03/18/05 L	6	14.30	85.80		87.24	1.44		
Acquired 04/21/05 L	3	14.12	42.37		43.62	1.25		
Acquired 10/06/05 L	6	17.20	103.22		87.24	-15.98		
Total	51		\$604.19	14.5400	\$741.54	\$137.35	N/A	N/A
KRAFT FOODS INC CL A								
KFT								
Acquired 04/09/07 L	174	31.29	5,444.58		4,729.32	-715.26		
Acquired 04/09/07 L	230	31.29	7,196.86		6,251.40	-945.46		
Acquired 05/14/07 L	40	32.46	1,298.74		1,087.20	-211.54		
Acquired 05/14/07 L	54	32.46	1,753.30		1,467.72	-285.58		
Total	498		\$15,693.48	27.1800	\$13,535.64	-\$2,157.84	\$577.68	4.27
KT CORP SP ADR								
KTC								
Acquired 03/19/04 L	32	19.38	620.16		538.24	-81.92		
Acquired 05/04/04 L	7	18.56	129.92		117.74	-12.18		
Acquired 08/26/04 L	4	17.27	69.08		67.28	-1.80		
Acquired 12/20/04 L	4	22.08	88.32		67.28	-21.04		
Acquired 03/18/05 L	4	22.21	88.85		67.28	-21.57		
Acquired 10/06/05 L	5	22.20	111.00		84.10	-26.90		
Acquired 05/08/07 L	33	23.29	768.81		555.06	-213.75		
Total	89		\$1,876.14	16.8200	\$1,496.98	-\$379.16	\$27.41	1.83
KUBOTA CORP								
KUB								
Acquired 05/24/07 L	17	38.96	662.32		784.04	121.72		
Acquired 05/25/07 L	24	38.55	925.43		1,106.88	181.45		
Acquired 05/30/07 L	14	40.50	567.04		645.68	78.64		
Total	55		\$2,154.79	46.1200	\$2,536.60	\$381.81	\$36.57	1.44
LEHMAN BROTHERS HOLDINGS INC								
LEHMQ								
Acquired 07/18/05 L	8	52.64	421.18		0.62	-420.56		
Acquired 07/19/05 L	38	53.16	2,020.29		2.96	-2,017.33		
Acquired 08/17/05 L	4	51.95	207.80		0.31	-207.49		
Acquired 10/06/05 L	4	57.25	229.00		0.31	-228.69		
Acquired 11/02/05 L	10	61.34	613.47		0.78	-612.69		
Acquired 11/07/05 L	4	61.53	246.12		0.31	-245.81		
Acquired 12/06/05 L	4	64.40	257.62		0.31	-257.31		

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Stocks continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 01/11/06 L	15	62.85	942.80	1.17	0.08	-941.63		
Acquired 01/31/06 L	1	139.77	139.77	0.08	0.08	-139.69		
Acquired 10/18/06 L	32	78.02	2,496.71	2.50	2.50	-2,494.21		
Acquired 10/19/06 L	45	77.46	3,485.98	3.51	3.51	-3,482.47		
Acquired 12/28/06 L	103	78.16	8,050.48	8.04	8.04	-8,042.44		
Total	268		\$19,111.22	0.0780	\$20.90	-\$19,090.32	N/A	N/A
LIBERTY MEDIA CAP SER A								
LCAPA								
Acquired 02/26/04 L	24	10.33	248.07		573.12	325.05		
Acquired 03/18/04 L	1	5.03	5.03		23.88	18.85		
Acquired 04/21/04 L	2	10.12	20.24		47.76	27.52		
Acquired 06/16/04 L	5	8.86	44.31		119.40	75.09		
Acquired 06/24/04 L	1	9.29	9.29		23.88	14.59		
Acquired 07/19/04 L	2	9.44	18.89		47.76	28.87		
Acquired 08/17/04 L	2	9.59	19.18		47.76	28.58		
Acquired 10/18/04 L	1	17.01	17.01		47.76	30.10		
Acquired 11/17/04 L	2	8.83	17.66		47.76	19.74		
Acquired 12/17/04 L	2	14.01	28.02		47.76	24.73		
Acquired 02/16/05 L	2	11.51	23.03		47.76	12.70		
Acquired 03/17/05 L	1	11.18	11.18		95.52	55.48		
Acquired 04/20/05 L	4	10.01	40.04		23.88	12.90		
Acquired 05/26/05 L	1	10.98	10.98		23.88	7.64		
Acquired 07/18/05 L	1	16.24	16.24		47.76	32.60		
Acquired 08/16/05 L	2	7.58	15.16		47.76	27.08		
Acquired 10/05/05 L	2	10.34	20.68		71.64	41.92		
Acquired 11/03/05 L	3	9.90	29.72		47.76	154.79		
Acquired 12/02/05 L	20	16.14	322.81		477.60			
Acquired 02/08/07 L								
Total	80		\$937.26	23.8800	\$1,910.40	\$973.14	N/A	N/A
LIBERTY MEDIA CORP								
STARZ.COM SERIES A								
LSTZA								
Acquired 02/26/04 L	9.60000	19.62	188.50		443.04	254.54		
Acquired 03/18/04 L	0.40000	9.55	3.82		18.46	14.64		
Acquired 04/21/04 L	0.80000	19.22	15.38		36.92	21.54		
Acquired 06/16/04 L	2	18.72	37.44		36.92	21.94		
Acquired 06/24/04 L	0.40000	16.82	6.73		92.30	58.63		
Acquired 07/19/04 L	0.40000	17.65	7.06		18.46	11.40		
Acquired 08/17/04 L	0.80000	17.93	14.35		36.92	22.57		
Acquired 10/18/04 L	0.80000	18.20	14.57		36.92	22.35		
Acquired 11/17/04 L	0.40000	32.30	12.92		18.46	5.54		



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DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/17/04 L		0.80000	16.77	13.42		36.92	23.50		
Acquired 02/16/05 L		0.80000	26.60	21.29		36.92	15.63		
Acquired 03/17/05 L		0.80000	21.87	17.50		36.92	19.42		
Acquired 04/20/05 L		0.40000	21.22	8.49		18.46	9.97		
Acquired 05/26/05 L		1.60000	19.00	30.42		73.84	43.42		
Acquired 07/18/05 L		0.40000	20.86	8.34		18.46	10.12		
Acquired 08/16/05 L		0.40000	30.83	12.33		18.46	6.13		
Acquired 10/05/05 L		0.80000	14.39	11.52		36.92	25.40		
Acquired 11/03/05 L		0.80000	19.62	15.71		36.92	21.21		
Acquired 12/02/05 L		1.20000	18.81	22.58		55.38	32.80		
Acquired 02/08/07 L		8	30.65	245.29		369.20	123.91		
Total	0.05	32		\$712.14	46.1500	\$1,476.80	\$764.66	N/A	N/A
LIMITED BRANDS INC									
LTD									
Acquired 04/21/04 L		80	20.44	1,635.20		1,539.20	- 96.00		
Acquired 07/19/04 L		10	19.40	194.00		192.40	- 1.60		
Acquired 10/18/04 L		10	23.43	234.30		192.40	- 41.90		
Total	0.06	100		\$2,063.50	19.2400	\$1,924.00	- \$139.50	\$60.00	3.12
LLOYDS BANKING GROUP PLC									
ADR									
LYG									
Acquired 01/22/09 S		36	N/A##	N/A	3.2700	117.72	N/A	N/A	N/A
LOCKHEED MARTIN CORP									
LMT									
Acquired 10/08/04 L		18	56.24	1,012.43		1,356.30	343.87		
Acquired 11/08/04 L		10	56.96	569.69		1,753.50	183.81		
Acquired 11/11/04 L		8	57.90	463.22		602.80	139.58		
Acquired 11/17/04 L		1	58.68	58.68		75.35	16.67		
Acquired 12/17/04 L		2	59.11	118.22		150.70	32.48		
Acquired 01/20/05 L		1	55.05	55.05		75.35	20.30		
Acquired 02/17/05 L		2	59.48	118.96		150.70	31.74		
Acquired 03/18/05 L		1	60.61	60.61		75.35	14.74		
Acquired 04/04/05 L		9	61.62	554.66		678.15	123.49		
Acquired 04/11/05 L		11	61.58	677.46		828.85	151.39		
Acquired 04/21/05 L		2	58.87	117.74		150.70	32.96		
Acquired 05/26/05 L		4	65.35	261.40		301.40	40.00		
Acquired 07/19/05 L		2	61.56	123.12		150.70	27.58		
Acquired 08/17/05 L		2	62.75	125.50		150.70	25.20		
Acquired 10/06/05 L		3	60.23	180.69		226.05	45.36		
Acquired 11/07/05 L		2	59.60	119.20		150.70	31.50		
Acquired 12/06/05 L		2	61.83	123.66		150.70	27.04		

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								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/10/06 L		5	82.33	411.66		376.75	- 34.91		
Acquired 08/10/06 L		9	82.33	740.99		678.15	- 62.84		
Acquired 09/01/06 L		22	83.71	1,841.74		1,657.70	- 184.04		
Acquired 09/01/06 L		16	83.71	1,339.44		1,205.60	- 133.84		
Acquired 09/01/06 L		20	83.71	1,674.31		1,507.00	- 167.31		
Acquired 09/07/06 L		20	83.44	1,668.81		1,507.00	- 161.81		
Acquired 09/07/06 L		34	83.44	2,836.98		2,561.90	- 275.08		
Acquired 12/28/06 L		50	92.71	4,635.50		3,767.50	- 868.00		
Acquired 01/23/07 L		21	96.69	2,030.49		1,582.35	- 448.14		
Total	0.70	277		\$21,920.21	75.3500	\$20,871.95	-\$1,048.26	\$698.04	3.34
LOEWS CORPORATION									
Acquired 12/20/04 L		75	23.02	1,727.00		2,726.25	999.25		
Acquired 01/12/06 L		40	33.38	1,335.33		1,454.00	118.67		
Acquired 01/12/06 L		230	33.38	7,678.17		8,360.50	682.33		
Total	0.42	345		\$10,740.50	36.3500	\$12,540.75	\$1,800.25	\$86.25	0.69
LOWES COMPANIES INC									
Acquired 02/26/04 L		22	28.01	616.33		514.58	- 101.75		
Acquired 03/18/04 L		6	27.33	163.99		140.34	- 23.65		
Acquired 04/20/04 L		6	26.58	159.48		140.34	- 19.14		
Acquired 05/18/04 L		12	25.73	315.41		280.68	- 34.73		
Acquired 05/25/04 L		16	26.27	420.32		360.68	- 59.64		
Acquired 06/16/04 L		10	26.97	269.70		233.90	- 35.80		
Acquired 07/19/04 L		2	24.22	48.44		46.78	- 1.66		
Acquired 08/17/04 L		8	24.87	198.96		187.12	- 11.84		
Acquired 09/16/04 L		10	26.69	266.90		233.90	- 33.00		
Acquired 10/18/04 L		8	27.94	223.52		187.12	- 36.40		
Acquired 11/17/04 L		8	29.03	232.24		187.12	- 45.12		
Acquired 12/17/04 L		8	28.57	228.56		187.12	- 41.44		
Acquired 01/20/05 L		4	28.01	112.06		93.56	- 18.50		
Acquired 02/17/05 L		14	29.38	411.32		327.46	- 83.86		
Acquired 03/18/05 L		8	28.43	227.44		187.12	- 40.32		
Acquired 04/21/05 L		10	26.20	262.00		233.90	31.90		
Acquired 05/19/05 L		62	28.91	1,792.98		1,450.18	- 342.80		
Acquired 05/26/05 L		16	28.79	460.64		374.24	- 86.40		
Acquired 07/19/05 L		10	32.93	329.30		233.90	- 95.40		
Acquired 08/16/05 L		58	32.54	1,887.42		1,356.62	- 530.80		
Acquired 10/05/05 L		12	31.47	377.70		280.68	- 97.02		
Acquired 11/07/05 L		14	30.86	432.11		327.46	- 104.65		
Acquired 12/06/05 L		12	33.36	400.34		280.68	- 119.66		



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							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/28/06 L	130	31.10	4,043.00	23.3900	\$10,619.06	-1,002.30	\$163.44	1.54
Total	454		\$13,553.41	23.3900	\$10,619.06	-\$2,934.35	\$163.44	1.54
MASTERCARD INC CL A								
MA								
Acquired 02/14/07 L	27	106.74	2,882.19		6,911.46	4,029.27		
Acquired 02/14/07 L	35	106.74	3,736.17		8,959.30	5,223.13		
Acquired 03/01/07 L	11	105.13	1,156.48		2,815.78	1,659.30		
Acquired 03/01/07 L	15	105.13	1,577.02		3,839.70	2,262.68		
Acquired 03/13/07 L	5	103.80	519.01		1,279.90	760.89		
Acquired 03/13/07 L	6	103.80	622.81		1,535.88	913.07		
Acquired 03/14/07 L	8	103.79	830.32		2,047.84	1,217.52		
Acquired 03/14/07 L	12	103.79	1,245.48		3,071.76	1,826.28		
Total	119		\$12,569.48	255.9800	\$30,461.62	\$17,892.14	\$71.40	0.23
MCDONALDS CORP								
MCD								
Acquired 02/26/04 L	20	28.14	562.96		1,248.80	685.84		
Acquired 03/18/04 L	10	28.00	280.00		624.40	344.40		
Acquired 04/21/04 L	10	26.94	269.40		624.40	355.00		
Acquired 05/18/04 L	5	25.81	129.05		312.20	183.15		
Acquired 06/16/04 L	10	26.68	266.80		624.40	357.60		
Acquired 07/19/04 L	10	28.03	280.30		624.40	344.10		
Acquired 07/19/04 L	50	28.03	1,401.50		3,122.00	1,720.50		
Acquired 08/17/04 L	10	26.33	263.30		624.40	361.10		
Acquired 08/17/04 L	50	26.33	1,316.50		3,122.00	1,805.50		
Acquired 08/26/04 L	60	27.20	1,632.00		3,746.40	2,114.40		
Acquired 09/16/04 L	70	27.70	1,939.00		4,370.80	2,431.80		
Acquired 10/18/04 L	10	29.32	293.20		624.40	331.20		
Acquired 10/18/04 L	5	29.32	146.60		312.20	165.60		
Acquired 10/18/04 L	45	29.32	1,319.40		2,809.80	1,490.40		
Acquired 11/17/04 L	10	30.40	304.00		624.40	320.40		
Acquired 11/17/04 L	60	30.40	1,824.00		3,746.40	1,922.40		
Acquired 12/17/04 L	10	32.57	325.70		624.40	298.70		
Acquired 12/17/04 L	60	32.57	1,954.20		3,746.40	1,792.20		
Acquired 01/10/05 L	40	31.79	1,271.60		2,497.60	1,226.00		
Acquired 02/16/05 L	20	32.69	653.80		1,248.80	595.00		
Acquired 03/07/05 L	10	34.44	344.40		624.40	280.00		
Acquired 03/17/05 L	10	31.88	318.80		624.40	305.60		
Acquired 04/06/05 L	30	31.56	946.80		1,873.20	926.40		
Acquired 04/20/05 L	10	30.04	300.40		624.40	324.00		
Acquired 05/03/05 L	20	29.86	597.20		1,248.80	651.60		
Acquired 05/26/05 L	20	31.40	628.00		1,248.80	620.80		

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								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 06/30/05 L		35	28.06	982.25		2,185.40	1,203.15		
Acquired 07/12/05 L		60	29.07	1,744.20		3,746.40	2,002.20		
Acquired 07/18/05 L		20	30.76	615.20		1,248.80	633.60		
Acquired 08/16/05 L		10	33.35	333.50		624.40	290.90		
Acquired 10/05/05 L		10	32.98	329.80		624.40	294.60		
Acquired 11/03/05 L		10	32.88	328.84		624.40	295.56		
Acquired 12/02/05 L		40	34.93	1,397.54		2,497.60	1,100.06		
Acquired 02/08/07 L		160	44.25	7,080.00		9,990.40	2,910.40		
Acquired 04/17/07 L		58	48.34	2,804.10		3,621.52	817.42		
Acquired 05/11/07 L		25	50.63	1,265.84		1,561.00	295.16		
Acquired 05/15/07 L		54	51.27	2,769.04		3,371.76	602.72		
Acquired 05/16/07 L		52	51.91	2,699.64		3,246.88	547.24		
Acquired 05/18/07 L		26	52.25	1,358.70		1,623.44	264.74		
Acquired 05/21/07 L		54	52.27	2,822.96		3,371.76	548.80		
Acquired 05/22/07 L		55	52.15	2,868.38		3,434.20	565.82		
Total	2.79	1,334		\$48,968.90	62.4400	\$83,294.96	\$34,326.06	\$2,934.80	3.52
MEDTRONIC INC									
MDT									
Acquired 11/09/06 L		90	49.13	4,421.93		3,958.20	-463.73		
Acquired 11/09/06 L		200	49.13	9,826.50		8,796.00	-1,030.50		
Acquired 02/08/07 L		40	53.83	2,153.20		1,759.20	-394.00		
Acquired 03/02/07 L		80	49.76	3,981.20		3,518.40	-462.80		
Total	0.60	410		\$20,382.83	43.9800	\$18,031.80	-\$2,351.03	\$336.20	1.86
MERCK & CO INC NEW									
MRK									
Acquired 04/17/07 L		98	28.32	2,767.33		3,593.40	826.07		
Acquired 04/18/07 L		15	28.50	443.53		572.16	128.63		
Total	0.14	114		\$3,210.86	36.5400	\$4,165.56	\$954.70	\$173.28	4.16
MICROSOFT CORP									
MSFT									
Acquired 02/17/04 L		50	27.04	1,352.00		1,524.00	172.00		
Acquired 03/18/04 L		20	24.72	494.40		609.60	115.20		
Acquired 05/18/04 L		15	25.90	388.50		457.20	68.70		
Acquired 06/16/04 L		10	27.28	272.80		304.80	32.00		
Acquired 07/16/04 L		15	27.83	417.45		457.20	39.75		
Acquired 08/17/04 L		10	27.08	270.80		304.80	34.00		
Total	0.12	120		\$3,195.95	30.4800	\$3,657.60	\$461.65	\$62.40	1.71



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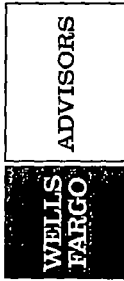
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
MITSUBISHI CORP ADR								
MSBHY								
Acquired 11/06/06 L	6	37.52	225.14		298.56	73.42		
Acquired 11/16/06 L	31	35.72	1,107.34		1,542.56	435.22		
Acquired 01/22/07 L	22	37.48	824.59		1,094.72	270.13		
Acquired 05/01/07 L	20	43.03	860.68		995.20	134.52		
Total	79		\$3,017.75	49.7600	\$3,931.04	\$913.29	\$49.21	1.25
MONSANTO CO NEW								
MON								
Acquired 03/03/06 L	64	43.64	2,793.11		5,232.00	2,438.89		
Acquired 03/03/06 L	38	43.64	1,658.41		3,106.50	1,448.09		
Acquired 03/06/06 L	6	43.57	261.46		490.50	229.04		
Acquired 03/06/06 L	10	43.57	435.77		817.50	381.73		
Acquired 08/04/06 L	15	45.82	687.37		1,226.25	538.88		
Acquired 08/04/06 L	25	45.82	1,145.62		2,043.75	898.13		
Acquired 08/07/06 L	19	45.57	865.99		1,553.25	687.26		
Acquired 08/07/06 L	1	45.57	45.58		81.75	36.17		
Acquired 08/07/06 L	32	45.57	1,458.50		2,616.00	1,157.50		
Acquired 08/22/06 L	16	47.05	752.82		1,308.00	555.18		
Acquired 08/22/06 L	27	47.05	1,270.39		2,207.25	936.86		
Acquired 08/24/06 L	18	47.09	847.68		1,471.50	623.82		
Acquired 08/24/06 L	29	47.09	1,365.70		2,370.75	1,005.05		
Acquired 09/01/06 L	18	48.19	867.46		1,471.50	604.04		
Acquired 09/01/06 L	12	48.19	578.31		981.00	402.69		
Acquired 09/07/06 L	17	46.85	796.57		1,389.75	593.18		
Acquired 10/16/06 L	36	45.28	1,630.41		2,943.00	1,312.59		
Acquired 12/28/06 L	81	53.00	4,293.00		6,621.75	2,328.75		
Total	464		\$21,754.15	81.7500	\$37,932.00	\$16,177.85	\$491.84	1.30
NATIONAL AUSTRALIA								
BANK LTD SPONSORED								
ADR NEW								
NABZY								
Acquired 08/26/04 L	3	92.74	278.23		73.26	-204.97		
Acquired 10/13/04 L	4	98.62	394.49		97.68	-296.81		
Acquired 12/14/04 L	4	107.16	428.65		97.68	-330.97		
Acquired 02/17/05 L	1	117.00	117.00		24.42	-92.58		
Acquired 03/18/05 L	1	115.97	115.97		24.42	-91.55		
Acquired 04/21/05 L	1	112.84	112.84		24.42	-88.42		
Acquired 08/17/05 L	1	120.48	120.48		24.42	-96.06		
Acquired 10/06/05 L	1	122.87	122.87		24.42	-98.45		

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Stocks and Options

Stocks continued

DESCRIPTION Acquired 03/31/08 L	QUANTITY 64	ADJ PRICE/ ORIG PRICE N/A##	ADJ COST/ ORIG COST N/A	CURRENT PRICE	CURRENT MARKET VALUE 1,562.88	UNREALIZED GAIN/LOSS N/A	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Total	80		\$1,690.53	24.4200	\$1,953.60	-\$1,299.81	\$94.88	4.86
NEDBANK GROUP LTD-SPONS								
ADR								
NDBKY								
Acquired 05/04/04 L	7	17.70	123.91		238.35	114.44		
Acquired 06/17/04 L	3	20.20	60.60		102.15	41.55		
Acquired 08/26/04 L	4	17.25	69.00		136.20	67.20		
Acquired 10/18/04 L	4	20.10	80.40		136.20	55.80		
Acquired 12/21/04 L	3	26.57	79.73		102.15	22.42		
Acquired 02/18/05 L	4	25.81	103.25		136.20	32.95		
Acquired 04/22/05 L	4	24.46	97.86		136.20	38.34		
Acquired 10/06/05 L	5	27.85	139.25		170.25	31.00		
Acquired 12/05/05 L	3	27.75	83.25		102.15	18.90		
Acquired 03/02/07 L	7	36.51	255.61		238.35	-17.26		
Acquired 03/05/07 L	9	34.49	310.42		306.45	-3.97		
Acquired 03/06/07 L	2	35.56	71.13		68.10	-3.03		
Total	55		\$1,474.41	34.0500	\$1,872.75	\$398.34	\$65.94	3.52
NESTLE S A REG ADR								
NSRGY								
Acquired 08/17/04 L	62.50000	25.36	1,585.00		3,021.87	1,436.87		
Acquired 08/26/04 L	62.50000	23.38	1,461.25		3,021.88	1,560.63		
Acquired 08/26/04 L	12.50000	23.38	292.25		604.38	312.13		
Acquired 09/16/04 L	62.50000	23.16	1,447.50		3,021.87	1,574.37		
Acquired 10/18/04 L	62.50000	22.88	1,430.00		3,021.88	1,591.88		
Acquired 12/27/04 L	7.50000	26.20	196.57		362.62	166.05		
Acquired 12/28/04 L	2.50000	26.22	65.55		120.88	55.33		
Acquired 01/10/05 L	50	25.56	1,278.00		2,417.50	1,139.50		
Acquired 03/18/05 L	5	27.70	138.50		241.75	103.25		
Acquired 04/21/05 L	5	26.88	134.40		241.75	107.35		
Acquired 05/03/05 L	12.50000	26.90	336.25		604.37	268.12		
Acquired 08/17/05 L	5	28.12	140.60		241.75	101.15		
Acquired 11/04/05 L	5	29.76	148.80		241.75	92.95		
Acquired 04/04/06 L	30	29.43	883.01		1,450.50	567.49		
Acquired 04/07/06 L	15	28.98	434.79		725.25	290.46		
Acquired 05/23/06 L	12	30.27	378.47		580.20	201.73		
Total	412		\$10,350.94	48.3500	\$19,920.20	\$9,569.26	\$331.24	1.66



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Stocks and Options

Stocks continued

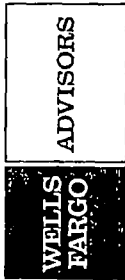
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NEWFIELD EXPLORATION									
COMPANY									
NFX									
Acquired 09/12/06 L		110	38.41	4,225.63		5,305.30	1,079.67		
Acquired 09/12/06 L		25	38.41	960.37		1,205.75	245.38		
Total	0.22	135		\$5,186.00	48.2300	\$6,511.05	\$1,325.05	N/A	
NEWS CORP INC-CL A									
NWSA									
Acquired 04/13/06 L	0.07	150	16.87	2,530.52	13.6900	2,053.50	-477.02	0.87	
NIKE INC CLASS B									
NIKE									
Acquired 08/25/06 L		140	38.31	5,363.90		9,249.80	3,885.90		
Acquired 08/25/06 L		100	38.31	3,831.36		6,607.00	2,775.64		
Acquired 02/08/07 L		40	51.56	2,062.60		2,642.80	580.20		
Total	0.62	280		\$11,257.86	66.0700	\$18,499.60	\$7,241.74	1.63	
NISSAN MTR LTD SPONS-ADR									
NSANY									
Acquired 10/14/05 L		23	22.23	511.45		405.49	-105.96		
Acquired 10/20/05 L		66	21.16	1,397.13		1,163.58	-233.55		
Acquired 11/04/05 L		5	20.06	100.30		88.15	-12.15		
Acquired 11/28/05 L		33	20.94	691.02		581.79	-109.23		
Acquired 12/05/05 L		4	20.22	80.88		70.52	-10.36		
Acquired 12/08/05 L		11	19.88	218.78		193.93	-24.85		
Acquired 12/09/05 L		36	19.93	717.79		634.68	-83.11		
Acquired 02/13/07 L		14	22.72	318.21		246.82	-71.39		
Acquired 02/14/07 L		21	23.13	485.76		370.23	-115.53		
Acquired 04/10/07 L		36	21.57	776.62		634.68	-141.94		
Total	0.15	249		\$5,297.94	17.6300	\$4,389.87	-\$908.07	N/A	
NOKIA CORP SPONSORED									
ADR									
NOK									
Acquired 12/05/05 L		6	17.57	105.42		77.10	-28.32		
Acquired 01/03/07 L		69	20.75	1,432.14		886.65	-545.49		
Acquired 01/24/07 L		27	20.06	541.73		346.95	-194.78		
Total	0.04	102		\$2,079.29	12.8500	\$1,310.70	-\$768.59	3.06	
						\$40.08			

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Stocks continued

DESCRIPTION	QUANTITY	% OF ACCOUNT	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NOVARTIS AG SPON ADR									
NVS									
Acquired 12/05/05 L	3		52.85	158.55		163.29	4.74		
Acquired 07/17/06 L	11		54.48	599.32		598.73	-0.59		
Acquired 01/03/07 L	17		58.19	989.30		925.31	-63.99		
Acquired 02/02/07 L	5		58.43	292.19		272.15	-20.04		
Acquired 02/05/07 L	9		58.32	524.92		489.87	-35.05		
Acquired 03/01/07 L	16		54.86	877.88		870.88	-7.00		
Acquired 04/04/07 L	15		55.14	827.21		816.45	-10.76		
Total	76	0.14		\$4,269.37	54.4300	\$4,136.68	-\$132.69	\$110.50	2.67
NTT DOCOMO INC ADR SPONSORED ADR									
BONY 1/200TH ORD									
DCM									
Acquired 09/30/05 L	73		18.23	1,331.40		1,020.54	-310.86		
Acquired 10/11/05 L	26		17.99	467.84		363.48	-104.36		
Acquired 12/05/05 L	6		15.03	90.18		83.88	-6.30		
Acquired 03/20/06 L	14		15.17	212.44		195.72	-16.72		
Acquired 03/21/06 L	27		15.05	406.39		377.46	-28.93		
Acquired 03/22/06 L	31		15.21	471.70		433.38	-38.32		
Total	177	0.08		\$2,979.95	13.9800	\$2,474.46	-\$505.49	\$87.79	3.55
OCCIDENTAL PETE CORP OXY									
Acquired 01/10/05 L	60		27.87	1,672.20		4,881.00	3,208.80		
Acquired 01/12/06 L	40		44.93	1,797.40		3,254.00	1,456.60		
Total	100	0.27		\$3,469.60	81.3500	\$8,135.00	\$4,665.40	\$132.00	1.62
PEPSICO INCORPORATED PEP									
Acquired 08/23/06 L	30		63.94	1,918.30		1,824.00	-94.30		
Acquired 08/23/06 L	50		63.94	3,197.18		3,040.00	-157.18		
Acquired 08/24/06 L	5		64.02	320.13		304.00	-16.13		
Acquired 12/28/06 L	24		62.95	1,510.80		1,459.20	-51.60		
Total	109	0.22		\$6,946.41	60.8000	\$6,627.20	-\$319.21	\$196.20	2.96
PETROLEO BRASILEIRO - SPON ADR - PETROBRAS PBR									
Acquired 05/04/04 L	16		7.49	119.98		762.88	642.90		



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Stocks continued

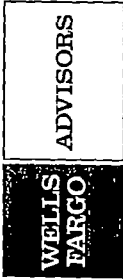
DESCRIPTION	QUANTITY	% OF ACCOUNT	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 06/07/04 L	16		6.68	107.02		762.88	655.86		
Acquired 08/26/04 L	8		7.65	61.24		381.44	320.20		
Acquired 11/17/04 L	8		9.04	72.37		381.44	309.07		
Acquired 02/17/05 L	8		11.38	91.06		381.44	290.38		
Acquired 04/21/05 L	4		10.64	42.56		190.72	148.16		
Acquired 12/05/05 L	8		18.01	144.10		381.44	237.34		
Total	68	0.11		\$638.33	47.6800	\$3,242.24	\$2,603.91	\$24.20	0.75
PIONEER NAT RES CO									
PXD									
Acquired 09/12/06 L	45	0.07	39.05	1,757.25	48.1700	2,167.65	410.40	3.60	0.16
PRAXAIR INC									
PX									
Acquired 11/13/06 L	15		62.41	936.15		1,204.65	268.50		
Acquired 11/16/06 L	15		63.24	948.66		1,204.65	255.99		
Acquired 12/28/06 L	12		59.70	716.40		963.72	247.32		
Acquired 05/15/07 L	23		66.73	1,534.88		1,847.13	312.25		
Acquired 05/16/07 L	18		67.27	1,210.91		1,445.58	234.67		
Total	83	0.22		\$5,347.00	80.3100	\$6,665.73	\$1,318.73	\$132.80	1.99
PROCTER & GAMBLE CO									
PG									
Acquired 05/08/06 L	30	0.06	55.99	1,679.86	60.6300	1,818.90	139.04	52.80	2.90
PROGRESSIVE CORP OHIO									
PGR									
Acquired 12/14/06 L	160		23.75	3,800.43		2,878.40	-922.03		
Acquired 02/08/07 L	60		23.54	1,412.40		1,079.40	-333.00		
Acquired 03/14/07 L	130		21.32	2,772.56		2,338.70	-433.86		
Acquired 06/25/07 L	145		23.36	3,388.08		2,608.55	-779.53		
Total	495	0.30		\$11,373.47	17.9900	\$8,905.05	-\$2,468.42	N/A	N/A
RAYTHEON COMPANY									
RTN									
Acquired 11/17/04 L	25		39.02	975.50		1,288.00	312.50		
Acquired 12/17/04 L	10		39.20	392.00		515.20	123.20		
Acquired 12/17/04 L	20		39.20	784.00		1,030.40	246.40		
Acquired 01/10/05 L	30		36.84	1,105.20		1,545.60	440.40		
Acquired 02/16/05 L	10		38.40	384.00		515.20	131.20		
Acquired 04/06/05 L	30		39.23	1,176.90		1,545.60	368.70		
Acquired 05/03/05 L	10		38.51	385.10		515.20	130.10		
Acquired 05/26/05 L	20		39.51	790.20		1,030.40	240.20		
Acquired 07/18/05 L	10		39.38	393.80		515.20	121.40		

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DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/03/05 L		10	37.66	376.60		515.20	138.60		
Acquired 12/02/05 L		20	38.50	770.00		1,030.40	260.40		
Acquired 01/04/07 L		100	51.52	5,152.55		5,152.00	- 0.55		
Total	0.51	295		\$12,685.85	51.5200	\$15,198.40	\$2,512.55	\$365.80	2.41
REPSOL YPF S A									
REP									
Acquired 11/04/04 L		13	22.26	289.50		346.58	57.08		
Acquired 12/20/04 L		3	25.13	75.41		79.98	4.57		
Acquired 02/17/05 L		4	26.67	106.71		106.64	- 0.07		
Acquired 04/21/05 L		4	25.70	102.83		106.64	3.81		
Acquired 08/17/05 L		5	28.96	144.80		133.30	- 11.50		
Acquired 11/04/05 L		4	30.05	120.23		106.64	- 13.59		
Acquired 06/26/06 L		19	27.02	513.47		506.54	- 6.93		
Total	0.05	52		\$1,352.95	26.6600	\$1,386.32	\$33.37	\$86.06	6.21
REPUBLIC SVCS INC									
RSG									
Acquired 10/18/04 L		25	20.12	503.03		707.75	204.72		
Acquired 12/20/04 L		28	21.88	612.75		792.68	179.93		
Acquired 01/10/05 L		12	22.53	270.37		339.72	69.35		
Total	0.06	65		\$1,386.15	28.3100	\$1,840.15	\$454.00	\$49.40	2.68
RICOH LTD									
ADR NEW									
JAPAN									
RICOY									
Acquired 01/20/06 L	0.02	7	86.81	607.68	71.2000	498.40	- 109.28	10.90	2.18
ROYAL DUTCH SHELL PLC									
ADR CL A									
RDS/A									
Acquired 02/27/04 L		18	49.36	888.48		1,081.98	193.50		
Acquired 05/04/04 L		4	49.73	198.92		240.44	41.52		
Acquired 05/18/04 L		1	48.13	48.13		60.11	11.98		
Acquired 08/26/04 L		2	50.08	100.16		120.22	20.06		
Acquired 11/17/04 L		2	56.16	112.33		120.22	7.89		
Acquired 02/17/05 L		2	61.10	122.20		120.22	- 1.98		
Acquired 04/21/05 L		1	59.00	59.00		60.11	1.11		
Acquired 08/17/05 L		2	64.92	129.84		120.22	- 9.62		
Acquired 09/14/05 L		3	64.13	320.66		300.55	- 20.11		
Acquired 09/15/05 L		8	65.28	522.28		480.88	- 41.40		
Acquired 12/05/05 L		2	63.38	126.76		120.22	- 6.54		
Acquired 12/27/05 L		10	61.21	612.13		601.10	- 11.03		



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DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 03/24/06 L	11	61.50	676.53		661.21	- 15.32		
Acquired 01/24/07 L	7	68.86	482.02		420.77	- 61.25		
Total	75		\$4,399.44	60.1100	\$4,508.25	\$108.81	\$214.20	4.75
SABMILLER PLC ADR								
SBMRY								
Acquired 10/24/06 L	13	19.45	252.86		384.15	131.29		
Acquired 10/30/06 L	32	19.46	622.78		945.60	322.82		
Acquired 10/31/06 L	35	19.33	683.80		1,034.25	350.45		
Acquired 11/06/06 L	55	20.08	1,104.80		1,625.25	520.45		
Acquired 03/21/07 L	35	21.85	765.05		1,034.25	269.20		
Total	170		\$3,429.29	29.5500	\$5,023.50	\$1,594.21	\$91.63	1.82
SANOFI-AVENTIS ADR								
SNY								
Acquired 10/18/04 L	14	35.65	499.10		549.78	50.68		
Acquired 10/26/04 L	12	35.50	426.09		471.24	45.15		
Acquired 11/17/04 L	10	39.00	390.00		392.70	2.70		
Acquired 01/10/05 L	1	38.31	38.31		39.27	0.96		
Acquired 01/28/05 L	23	36.93	849.51		903.21	53.70		
Acquired 02/17/05 L	2	37.70	75.40		78.54	3.14		
Acquired 03/18/05 L	2	41.86	83.72		78.54	- 5.18		
Acquired 04/21/05 L	2	43.50	87.01		78.54	- 8.47		
Acquired 08/17/05 L	3	42.49	127.47		117.81	- 9.66		
Acquired 10/18/05 L	18	40.71	732.86		706.86	- 26.00		
Acquired 12/21/05 L	9	40.60	365.48		353.43	- 12.05		
Acquired 12/05/05 L	3	41.58	124.74		117.81	- 6.93		
Acquired 08/08/06 L	9	44.44	400.03		353.43	- 46.60		
Acquired 08/09/06 L	16	44.29	708.74		628.32	- 80.42		
Acquired 09/13/06 L	19	43.81	832.40		746.13	- 86.27		
Acquired 09/14/06 L	4	43.34	173.36		157.08	- 16.28		
Acquired 10/13/06 L	22	43.17	949.94		863.94	- 86.00		
Acquired 12/01/06 L	19	43.75	831.25		746.13	- 85.12		
Acquired 02/07/07 L	19	44.20	839.85		746.13	- 93.72		
Acquired 03/21/07 L	21	42.93	901.62		824.67	- 76.95		
Acquired 06/14/07 L	13	41.78	543.16		510.51	- 32.65		
Total	241		\$9,980.04	39.2700	\$9,464.07	-\$515.97	\$269.19	2.84
SCHLUMBERGER LTD								
SLB								
Acquired 09/12/05 L	90	41.98	3,778.52		5,858.10	2,079.58		
Acquired 09/12/05 L	78	41.98	3,274.71		5,077.02	1,802.31		
Acquired 10/06/05 L	2	39.51	79.03		130.18	51.15		

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Stocks and Options

Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/07/05 L		2	46.26	92.52		130.18	37.66		
Acquired 12/06/05 L		4	49.52	198.10		260.36	62.26		
Acquired 01/30/06 L		74	64.71	4,789.02		4,816.66	27.64		
Acquired 01/31/06 L		14	64.83	907.72		911.26	3.54		
Acquired 12/28/06 L		76	64.00	4,864.00		4,946.84	82.84		
Total	0.74	340		\$17,983.62	65.0900	\$22,130.60	\$4,146.98	\$285.60	1.29
SEKISU HOUSE									
UNSPONSOREDADR									
SKHSY									
Acquired 02/18/05 L		11	10.92	120.18		101.42	-18.76		
Acquired 04/21/05 L		11	10.25	112.75		101.42	-11.33		
Acquired 05/20/05 L		62	10.10	626.47		571.64	-54.83		
Acquired 10/06/05 L		15	11.35	170.25		138.30	-31.95		
Acquired 11/04/05 L		8	12.65	101.20		73.76	-27.44		
Acquired 12/05/05 L		6	12.20	73.20		55.32	-17.88		
Acquired 01/11/07 L		44	14.47	637.10		405.68	-231.42		
Total	0.05	157		\$1,841.15	9.2200	\$1,447.54	-\$393.61	\$29.35	2.03
SIEMENS A G - ADR									
SI									
Acquired 05/03/05 L		15	73.46	1,101.90		1,375.50	273.60		
Acquired 06/03/05 L		10	73.66	736.60		917.00	180.40		
Acquired 06/23/05 L		5	76.16	380.81		458.50	77.69		
Acquired 05/09/06 L		3	94.08	282.24		275.10	-7.14		
Acquired 05/11/06 L		10	92.83	928.39		917.00	-11.39		
Acquired 05/18/06 L		12	86.64	1,039.79		1,100.40	60.61		
Acquired 05/23/06 L		7	88.07	616.50		641.90	25.40		
Acquired 06/02/06 L		9	87.11	784.03		825.30	41.27		
Acquired 08/14/06 L		12	80.64	967.78		1,100.40	132.62		
Acquired 05/24/07 L		9	129.62	1,166.58		825.30	-341.28		
Total	0.28	92		\$8,004.62	91.7000	\$8,436.40	\$431.78	\$161.46	1.91
SINGAPORE									
TELECOMMUNICATIONS-ADR									
SGAPY									
Acquired 11/10/06 L	0.08	110	18.49	2,034.22	21,9500	2,414.50	380.28	97.24	4.02
SK TELECOMMUNICATIONS									
CO LMT SPON ADR									
SKM									
Acquired 05/01/07 L	0.01	24	25.13	603.15	16,2600	390.24	-212.91	14.13	3.62



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Stocks and Options

Stocks continued

STOCKS CONTINUED

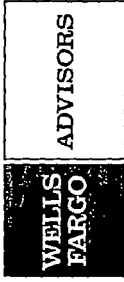
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
SNAP-ON INC									
SNA	0.05	35	44.28	1,550.14	42.2600	1,479.10	- 71.04	42.00	2.83
Acquired 09/12/06 L									
SOCIETE GENERALE									
FRANCE SPONSORED ADR									
SCGLY		30	19.52	585.74		421.50	- 164.24		
Acquired 11/17/04 L		20	20.27	405.49		281.00	- 124.49		
Acquired 01/10/05 L		15	19.72	295.87		210.75	- 85.12		
Acquired 02/03/05 L									
Total	0.03	65		\$1,287.10	14.0500	\$913.25	-\$373.85	\$18.65	2.04
STARBUCKS CORP									
SBUX		4	27.08	108.33		92.24	- 16.09		
Acquired 01/31/05 L		6	24.99	149.97		138.36	- 11.61		
Acquired 02/17/05 L		4	25.96	103.86		92.24	- 11.62		
Acquired 03/18/05 L		6	23.07	138.42		138.36	- 0.06		
Acquired 04/21/05 L		10	27.60	276.00		230.60	- 45.40		
Acquired 05/26/05 L		6	25.77	154.65		138.36	- 16.29		
Acquired 07/19/05 L		4	25.73	102.92		92.24	- 10.68		
Acquired 08/17/05 L		30	25.75	772.55		691.80	- 80.75		
Acquired 10/13/05 L		6	30.35	182.10		138.36	- 43.74		
Acquired 11/07/05 L		5	32.46	162.30		115.30	- 47.00		
Acquired 12/09/05 L		66	35.78	2,361.48		1,521.96	- 839.52		
Acquired 12/28/06 L									
Total	0.11	147		\$4,512.58	23.0600	\$3,389.82	-\$1,122.76	N/A	N/A
SVENSKA CELLULOSA AB									
SP ADR									
SVCBY		12	11.06	132.80		161.76	28.96		
Acquired 06/17/05 L		15	11.61	174.25		202.20	27.95		
Acquired 08/17/05 L		15	11.13	167.00		202.20	35.20		
Acquired 11/04/05 L									
Total	0.02	42		\$474.05	13.4800	\$566.16	\$92.11	\$14.07	2.49
SWISS REINS CO									
SPONSORED ADR									
SWCEY		1	68.35	68.35		48.19	- 20.16		
Acquired 11/17/04 L		1	69.60	69.60		48.19	- 21.41		
Acquired 12/20/04 L		2	72.55	145.10		96.38	- 48.72		
Acquired 03/18/05 L		1	67.50	67.50		48.19	- 19.31		
Acquired 04/21/05 L		2	64.65	129.30		96.38	- 32.92		
Acquired 08/17/05 L									

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Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/23/05 L		8	63.15	505.24		385.52	-119.72		
Acquired 11/04/05 L		2	69.55	139.10		96.38	-42.72		
Acquired 12/05/05 L		1	78.45	78.45		48.19	-30.26		
Total	0.03	18		\$1,202.64	48.1900	\$867.42	-\$335.22	\$1.24	0.14
TELE NORTE LESTE									
PARTICIPACOES S.A.									
SPON ADR REPSTG PFD									
TNE									
Acquired 01/25/07 L		23	13.43	309.06		492.66	183.60		
Acquired 04/02/07 L		53	14.00	742.13		1,135.26	393.13		
Total	0.05	76		\$1,051.19	21.4200	\$1,627.92	\$576.73	\$186.96	11.48
TELECOM ITALIA SPA									
SPON ADR									
TI									
Acquired 06/25/07 L	0.03	58	27.73	1,608.76	15.4300	894.94	-713.82	27.55	3.07
TELSTRA CORPORATION LTD									
SPON ADR									
TLSYY									
Acquired 11/24/06 L	0.03	64	14.40	921.62	15.3000	979.20	57.58	67.84	6.92
TEVA PHARMACEUTICAL									
ADR INDS LTD									
TEVA									
Acquired 09/12/06 L		65	42.38	2,755.03		3,651.70	896.67		
Acquired 11/17/06 L		30	31.25	937.50		1,685.40	747.90		
Acquired 01/10/07 L		18	32.62	587.27		1,011.24	423.97		
Total	0.21	113		\$4,279.80	56.1800	\$6,348.34	\$2,068.54	\$54.46	0.86
TEXAS INSTRUMENTS INC									
TXN									
Acquired 03/29/05 L		190	25.35	4,816.96		4,951.40	134.44		
Acquired 03/29/05 L		100	25.35	2,535.24		2,606.00	70.76		
Acquired 04/14/05 L		60	23.49	1,409.58		1,563.60	154.02		
Acquired 04/22/05 L		50	24.39	1,219.60		1,303.00	83.40		
Acquired 05/26/05 L		20	27.92	558.40		521.20	-37.20		
Acquired 08/16/05 L		10	31.97	319.70		260.60	-59.10		
Acquired 10/05/05 L		10	31.65	316.50		260.60	-55.90		
Acquired 12/02/05 L		20	34.09	681.80		521.20	-160.60		
Acquired 02/08/07 L		90	31.10	2,799.00		2,345.40	-453.60		
Total	0.48	550		\$14,656.78	26.0600	\$14,333.00	-\$323.78	\$264.00	1.84



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Stocks continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
TIME WARNER CABLE								
TWC								
Acquired 02/26/04 L	24.07547	52.10	1,254.67		996.48	-258.19		
Acquired 04/21/04 L	1.66037	49.64	82.42		68.72	-13.70		
Acquired 05/18/04 L	0.83018	48.90	40.59		34.36	-6.23		
Acquired 06/16/04 L	0.83018	52.04	43.20		34.36	-8.84		
Acquired 07/19/04 L	1.66037	50.05	83.11		68.72	-14.39		
Acquired 08/17/04 L	1.66037	48.04	79.76		68.72	-11.04		
Acquired 10/18/04 L	2.49056	48.78	121.50		103.08	-18.42		
Acquired 11/17/04 L	0.83018	51.30	42.59		34.36	-8.23		
Acquired 12/17/04 L	0.83018	57.43	47.68		34.36	-13.32		
Acquired 12/29/04 L	4.98113	57.72	287.58		206.17	-81.41		
Acquired 02/16/05 L	0.83018	53.46	44.38		34.36	-10.02		
Acquired 03/17/05 L	0.83018	55.12	45.76		34.36	-11.40		
Acquired 04/20/05 L	1.66037	50.62	84.04		68.72	-15.32		
Acquired 05/26/05 L	4.98113	52.04	259.23		206.17	-53.06		
Acquired 07/18/05 L	1.66037	49.10	81.54		68.72	-12.82		
Acquired 07/29/05 L	6.64150	50.94	338.36		274.90	-63.46		
Acquired 08/16/05 L	0.83018	54.70	45.41		34.36	-11.05		
Acquired 10/05/05 L	1.66037	53.34	88.57		68.72	-19.85		
Acquired 11/03/05 L	2.49056	52.07	129.68		103.09	-26.59		
Acquired 12/02/05 L	2.49056	54.14	134.84		103.09	-31.75		
Acquired 02/08/07 L	24.07561	63.20	1,521.77		996.50	-525.27		
Total	88		\$4,856.68	41.3900	\$3,642.32	-\$1,214.36	N/A	N/A
TIME WARNER INC NEW								
TWX								
Acquired 07/29/05 L	26.45272	36.16	957.63		770.83	-186.80		
Acquired 08/16/05 L	3.33018	38.83	129.47		97.04	-32.43		
Acquired 10/05/05 L	6.66037	37.86	252.48		194.08	-58.40		
Acquired 11/03/05 L	9.99056	36.96	369.67		291.13	-78.54		
Acquired 12/02/05 L	9.99056	38.43	384.39		291.13	-93.26		
Acquired 02/08/07 L	96.57561	44.87	4,337.64		2,814.21	-1,523.43		
Total	153		\$6,431.28	29.1400	\$4,458.42	-\$1,972.86	\$114.75	2.57
TJX COS INC NEW								
TJX								
Acquired 09/12/06 L	45	27.81	1,251.45	36.5500	1,644.75	393.30	21.60	1.31
TNT NV-ADR								
TNTTY								
Acquired 12/07/05 L	71	29.52	2,096.34	30.8000	2,186.80	90.46	13.91	0.63

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								ANNUAL INCOME	ANNUAL YIELD (%)
TOTAL S A SPONS ADR									
TOT									
Acquired 02/27/04 L		28	45.23	1,266.62		1,793.12	526.50		
Acquired 03/19/04 L		2	45.28	90.57		128.08	37.51		
Acquired 05/04/04 L		8	46.90	375.24		512.32	137.08		
Acquired 05/18/04 L		2	45.58	91.16		128.08	36.92		
Acquired 06/17/04 L		2	48.00	96.01		128.08	32.07		
Acquired 07/19/04 L		2	48.82	97.65		128.08	30.43		
Acquired 08/26/04 L		2	47.26	94.52		128.08	33.56		
Acquired 10/18/04 L		2	51.16	102.33		128.08	25.75		
Acquired 12/20/04 L		2	52.36	104.72		128.08	23.36		
Acquired 02/17/05 L		2	55.21	112.43		128.08	15.65		
Acquired 03/18/05 L		2	59.93	119.86		128.08	8.22		
Acquired 08/17/05 L		4	62.82	251.29		256.16	4.87		
Acquired 11/04/05 L		2	63.50	127.00		128.08	1.08		
Acquired 12/05/05 L		2	63.59	127.19		128.08	0.89		
Acquired 02/21/06 L		12	63.55	762.67		768.48	5.81		
Acquired 02/23/07 L		15	69.50	1,042.61		960.60	- 82.01		
Total	0.19	89		\$4,861.87	64.0400	\$5,699.56	\$837.69	\$247.24	4.34
TOTO LTD									
ADR									
TOTDY									
Acquired 04/28/05 L		6	84.03	504.23		378.32	- 125.91		
Acquired 05/12/05 L		4	84.93	339.74		252.22	- 87.52		
Acquired 05/13/05 L		6	83.61	501.71		378.32	- 123.39		
Acquired 05/18/05 L		7	80.33	562.37		441.38	- 120.99		
Acquired 08/11/05 L		7	77.33	541.32		441.38	- 99.94		
Acquired 11/04/05 L		2	77.75	155.50		126.11	- 29.39		
Acquired 12/12/06 L		3	97.81	293.43		189.16	- 104.27		
Acquired 12/13/06 L		5	98.55	492.75		315.27	- 177.48		
Total	0.08	40		\$3,391.05	63.0540	\$2,522.16	- \$868.89	\$38.44	1.52
TOYOTA MTR CORP ADR									
NEW 3/82									
TM									
Acquired 01/09/06 L		3	107.80	323.40		252.48	- 70.92		
Acquired 01/10/06 L		6	105.19	631.17		504.96	- 126.21		
Acquired 01/11/06 L		8	106.68	853.49		673.28	- 180.21		
Acquired 01/12/06 L		1	106.38	106.38		84.16	- 22.22		
Acquired 01/19/06 L		10	104.02	1,040.20		841.60	- 198.60		
Acquired 01/25/06 L		2	101.94	203.88		168.32	- 35.56		
Acquired 08/02/06 L		38	104.66	3,977.45		3,198.08	- 779.37		

WELLS
FARGO

ADVISORS

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								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/20/06 L		22	116.09	2,554.07		1,851.52	- 702.55		
Acquired 10/23/06 L		8	117.07	936.60		673.28	- 263.32		
Acquired 12/28/06 L		39	134.28	5,236.92		3,282.24	- 1,954.68		
Acquired 04/23/07 L		16	124.94	1,999.15		1,346.56	- 652.59		
Total	0.43	153		\$17,862.71	84.1600	\$12,876.48	- \$4,986.23	\$167.38	1.30
TRANSATLANTIC HOLDINGS INC									
TRH									
Acquired 06/16/04 L		12	67.57	810.93		625.32	- 185.61		
Acquired 08/17/04 L		15	56.21	843.15		781.65	- 61.50		
Acquired 08/26/04 L		3	55.55	166.65		156.33	- 10.32		
Total	0.05	30		\$1,820.73	52.1100	\$1,563.30	- \$257.43	\$24.00	1.54
TRANSOCEAN LTD									
ORDINARY SHARES									
RIG									
Acquired 06/06/06 L		13	85.77	1,036.22		1,076.40	40.18		
Acquired 06/06/06 L		3	81.99	239.10		248.40	9.30		
Total	0.04	16		\$1,275.32	82.8000	\$1,324.80	\$49.48	N/A	N/A
TYCO ELECTRONICS LTD									
SWITZERLAND SHS									
TEL									
Acquired 03/28/08 L	0.05	65	N/A##	N/A	24.5500	1,595.75	N/A	41.60	2.60
TYCO INTERNATIONAL LTD									
SHS									
TYC									
Acquired 03/28/08 L	0.08	65	N/A##	N/A	35.6800	2,319.20	N/A	52.00	2.24
UNILEVER PLC SPONS ADR									
UL									
Acquired 03/28/08 L	0.40	374	N/A##	N/A	31.9000	11,930.60	N/A	375.87	3.15
UNION PACIFIC CORP									
UNP									
Acquired 06/23/05 L		24	32.61	782.85		1,533.60	750.75		
Acquired 06/24/05 L		48	32.33	1,552.02		3,067.20	1,515.18		
Acquired 07/05/05 L		100	32.37	3,237.24		6,380.00	3,152.76		
Acquired 08/01/05 L		22	35.47	780.45		1,405.80	625.35		
Acquired 08/05/05 L		24	34.91	838.03		1,533.60	695.57		
Acquired 10/06/05 L		2	34.94	69.89		127.80	57.91		
Acquired 11/07/05 L		2	35.26	70.52		127.80	57.91		

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Stocks continued

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								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 12/02/05 L		20	38.51	770.30		1,278.00	507.70		
Acquired 01/19/06 L		20	41.04	820.98		1,278.00	457.02		
Acquired 01/25/06 L		16	42.95	687.35		1,022.40	335.05		
Acquired 01/31/06 L		42	43.85	1,842.11		2,683.80	841.69		
Acquired 01/31/06 L		20	42.67	877.19		1,278.00	400.81		
Acquired 03/08/06 L		22	42.67	938.86		1,405.80	466.94		
Acquired 03/08/06 L		36	42.67	1,536.31		2,300.40	764.09		
Acquired 03/27/06 L		14	46.42	650.01		894.60	244.59		
Acquired 03/27/06 L		26	46.42	1,207.17		1,661.40	454.23		
Acquired 03/29/06 L		20	46.46	929.31		1,278.00	348.69		
Acquired 03/29/06 L		32	46.46	1,486.90		2,044.80	557.90		
Acquired 03/30/06 L		22	46.34	1,019.59		1,405.80	386.21		
Acquired 03/30/06 L		36	46.34	1,668.42		2,300.40	631.98		
Acquired 04/03/06 L		20	47.48	949.68		1,278.00	328.32		
Acquired 04/03/06 L		36	47.48	1,709.42		2,300.40	590.98		
Acquired 07/31/06 L		46	42.43	1,951.80		2,939.40	987.60		
Acquired 07/31/06 L		104	42.43	4,412.76		6,645.60	2,232.84		
Acquired 08/29/06 L		60	39.95	2,397.39		3,834.00	1,436.61		
Acquired 08/29/06 L		30	39.95	1,198.70		1,917.00	718.30		
Acquired 09/15/06 L		40	41.98	1,679.20		2,556.00	876.80		
Acquired 12/28/06 L		90	45.87	4,128.75		5,751.00	1,622.25		
Acquired 02/08/07 L		120	50.34	6,040.80		7,668.00	1,627.20		
Total	2.34	1,094		\$46,234.00	63.9000	\$69,906.60	\$23,672.60	\$1,181.52	1.69
UNITED MICROELECTRONICS									
CORP ADR									
UMC									
Acquired 02/27/04 L		90.90434	4.95	450.19		352.71	-97.48		
Acquired 05/04/04 L		26.18048	5.31	138.89		101.58	-37.31		
Acquired 07/08/04 L		53.08809	3.88	205.99		205.98	-0.01		
Acquired 09/17/04 L		13.81740	4.00	55.35		53.61	-1.74		
Acquired 10/11/04 L		40.72511	3.54	143.99		158.01	14.02		
Acquired 12/02/04 L		53.81541	3.94	212.12		208.81	-3.31		
Acquired 02/17/05 L		20.36255	3.69	75.16		79.01	3.85		
Acquired 04/21/05 L		17.45359	2.69	47.02		67.72	20.70		
Acquired 08/17/05 L		18.07943	4.46	77.98		70.15	-7.83		
Acquired 12/05/05 L		21.57360	4.11	88.66		83.70	-4.96		
Total	0.05	356		\$1,495.35	3.8800	\$1,381.28	-\$114.07	N/A	N/A
UPM-KYMMENE CORP									
SPON ADR									
UPMKY									
Acquired 02/27/04 L		51	19.69	1,004.53		603.84	-400.69		



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Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 04/20/04 L		5	18.48	92.40		177.60	-33.20		
Acquired 05/04/04 L		15	18.62	279.30		35.52	-101.70		
Acquired 05/18/04 L		3	17.99	53.97		47.36	-18.45		
Acquired 07/19/04 L		4	18.66	74.67		47.36	-27.31		
Acquired 08/26/04 L		4	19.32	77.31		47.36	-29.95		
Acquired 10/18/04 L		4	19.39	77.56		47.36	-30.20		
Acquired 12/20/04 L		5	22.29	111.45		59.20	-52.25		
Acquired 02/17/05 L		6	22.31	133.90		71.04	-62.86		
Acquired 03/18/05 L		3	22.93	68.79		35.52	-33.27		
Acquired 04/21/05 L		3	19.86	59.58		35.52	-24.06		
Acquired 08/17/05 L		5	19.38	96.90		59.20	-37.70		
Acquired 11/04/05 L		5	18.95	94.75		59.20	-35.55		
Acquired 04/24/07 L		40	24.81	992.73		473.60	-519.13		
Acquired 05/18/07 L		40	24.42	977.13		473.60	-503.53		
Total	0.08	193		\$4,194.97	11.8400	\$2,285.12	-\$1,909.85	\$72.95	3.19
VALSPAR CORPORATION									
VAL									
Acquired 09/12/06 L	0.13	140	26.61	3,725.40	27.1400	3,799.60	74.20	89.60	2.35
VIACOM INC CL B									
VIA B									
Acquired 05/06/04 L		10	46.41	464.18		297.30	-166.88		
Acquired 05/18/04 L		5	45.36	226.82		148.65	-78.17		
Acquired 06/16/04 L		5	44.67	223.36		148.65	-74.73		
Acquired 06/23/04 L		15	43.20	648.08		445.95	-202.13		
Acquired 07/19/04 L		15	40.94	614.12		445.95	-168.17		
Acquired 08/17/04 L		5	40.54	202.73		148.65	-54.08		
Acquired 10/18/04 L		5	41.29	206.47		148.65	-57.82		
Acquired 11/10/04 L		10	43.29	432.98		297.30	-135.68		
Acquired 11/17/04 L		5	43.22	216.13		148.65	-67.48		
Acquired 12/01/04 L		10	42.53	425.36		297.30	-128.06		
Acquired 01/31/05 L		10	44.94	449.41		297.30	-152.11		
Acquired 02/16/05 L		15	44.08	661.27		445.95	-215.32		
Acquired 04/20/05 L		5	41.58	207.92		148.65	-59.27		
Acquired 05/26/05 L		10	42.61	426.11		297.30	-128.81		
Acquired 08/16/05 L		5	42.33	211.67		148.65	-63.02		
Acquired 10/05/05 L		5	38.65	193.28		148.65	-44.63		
Acquired 11/03/05 L		5	37.96	189.82		148.65	-41.17		
Acquired 12/02/05 L		5	41.10	205.51		148.65	-56.86		
Acquired 06/02/06 L		130	37.98	4,938.04		3,864.90	-1,073.14		
Acquired 06/20/06 L		40	36.20	1,448.16		1,189.20	-258.96		
Acquired 09/18/06 L		70	36.49	2,554.94		2,081.10	-473.84		
Acquired 11/29/06 L		7	37.79	264.56		208.11	-56.45		

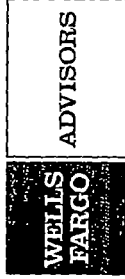
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Stocks and Options

Stocks continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 02/08/07 L	150	40.65	6,097.50	29.7300	4,459.50	-1,638.00	N/A	N/A
Total	542		\$21,508.44	29.7300	\$16,113.66	-\$5,394.78	N/A	N/A
VODAFONE GROUP PLC								
SPONSORED ADR NEW								
VOD								
Acquired 07/16/04 L	40	25.56	1,022.54		923.60	-98.94		
Acquired 08/17/04 L	44	25.84	1,137.00		1,015.96	-121.04		
Acquired 08/26/04 L	46	26.73	1,229.78		1,062.14	-167.64		
Acquired 02/15/05 L	18	29.73	535.15		415.62	-119.53		
Acquired 03/18/05 L	3	26.64	79.92		69.27	-10.65		
Acquired 05/24/05 L	38	29.50	1,121.16		877.42	-243.74		
Acquired 06/03/05 L	18	27.49	494.98		415.62	-79.36		
Acquired 06/05/05 L	8	30.89	247.18		184.72	-62.46		
Acquired 08/17/05 L	6	31.83	191.03		138.54	-52.49		
Acquired 11/04/05 L	6	26.03	156.18		138.54	-17.64		
Acquired 11/29/05 L	25	25.26	631.54		577.25	-54.29		
Acquired 01/20/06 L	37	23.55	871.50		854.33	-17.17		
Total	289		\$7,717.96	23.0900	\$6,673.01	-\$1,044.95	\$373.09	5.59
VULCAN MATERIALS COMPANY								
VMC								
Acquired 01/10/05 L	10	53.16	531.60		526.70	-4.90		
Acquired 01/12/06 L	10	69.24	692.40		526.70	-165.70		
Total	20		\$1,224.00	52.6700	\$1,053.40	-\$170.60	\$20.00	1.90
WAL-MART STORES INC								
WMT								
Acquired 05/25/04 L	30	54.84	1,645.20		1,603.50	-41.70		
Acquired 08/26/04 L	10	53.95	539.50		534.50	-5.00		
Acquired 09/16/04 L	10	52.76	527.60		534.50	6.90		
Acquired 10/08/04 L	20	53.19	1,063.86		1,069.00	5.14		
Acquired 10/08/04 L	40	53.19	2,127.72		2,138.00	10.28		
Acquired 10/18/04 L	10	53.07	530.70		534.50	3.80		
Acquired 10/18/04 L	10	53.07	530.70		534.50	3.80		
Acquired 11/17/04 L	20	56.20	1,124.00		1,069.00	-55.00		
Acquired 12/17/04 L	10	52.09	520.90		534.50	13.60		
Acquired 01/06/05 L	20	53.22	1,064.53		1,069.00	4.47		
Acquired 01/06/05 L	60	53.22	3,193.60		3,207.00	13.40		
Acquired 01/10/05 L	10	54.14	541.40		534.50	-6.90		
Acquired 02/16/05 L	10	52.76	527.60		534.50	6.90		
Acquired 03/07/05 L	10	52.86	528.60		534.50	5.90		
Acquired 04/06/05 L	10	49.85	498.50		534.50	36.00		

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Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 05/03/05 L		10	48.35	483.50		534.50	51.00		
Acquired 05/26/05 L		10	47.29	472.90		534.50	61.60		
Acquired 07/12/05 L		115	49.80	5,727.87		6,146.75	418.88		
Acquired 08/16/05 L		10	47.38	473.80		534.50	60.70		
Acquired 08/30/05 L		60	45.03	2,702.05		3,207.00	504.95		
Acquired 09/16/05 L		120	44.05	5,286.19		6,414.00	1,127.81		
Acquired 09/16/05 L		300	44.05	13,215.48		16,035.00	2,819.52		
Acquired 10/05/05 L		10	43.87	438.70		534.50	95.80		
Acquired 12/02/05 L		20	48.00	960.00		1,069.00	109.00		
Acquired 12/19/05 L		75	49.04	3,678.12		4,008.75	330.63		
Acquired 01/18/07 L		15	48.55	728.25		801.75	73.50		
Acquired 02/08/07 L		90	48.23	4,340.70		4,810.50	469.80		
Total	2.00	1,115		\$53,471.97	53.4500	\$59,596.75	\$6,124.78	\$1,215.35	2.04
WASHINGTON MUT INC									
WAMUQ									
Acquired 01/25/06 L		80	42.25	3,380.11	0.1395	11.16	-3,368.95	N/A	N/A
WELLS FARGO COMPANY									
WFC									
Acquired 06/14/06 L		20	33.37	667.55		539.80	-127.75		
Acquired 07/12/06 L		18	34.42	619.73		485.82	-133.91		
Acquired 07/14/06 L		24	33.91	813.86		647.76	-166.10		
Acquired 07/19/06 L		34	34.74	1,181.23		917.66	-263.57		
Acquired 07/21/06 L		18	35.58	640.48		485.82	-154.66		
Acquired 08/18/06 L		32	35.18	1,125.84		863.68	-262.16		
Acquired 09/01/06 L		26	34.79	904.75		701.74	-203.01		
Acquired 09/06/06 L		27	35.06	946.86		728.73	-218.13		
Acquired 09/08/06 L		21	34.93	733.53		566.79	-166.74		
Acquired 10/19/06 L		55	36.70	2,018.99		1,484.45	-534.54		
Acquired 12/28/06 L		143	36.05	5,155.15		3,859.57	-1,295.58		
Total	0.38	418		\$14,807.97	26.9900	\$11,281.82	-\$3,526.15	\$83.60	0.74
WMS INDS INC DEL									
WMS									
Acquired 09/12/06 L	0.05	40	19.26	770.40	40.0000	1,600.00	829.60	N/A	N/A
XTO ENERGY INC									
XTO									
Acquired 09/12/06 L		68	32.96	2,216.90		3,164.04	947.14		
Acquired 09/12/06 L		137	32.72	4,466.40		6,374.61	1,908.21		
Total	0.32	205		\$6,683.30	46.5300	\$9,538.65	\$2,855.35	\$102.50	1.07

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Stocks and Options

Stocks continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
YUM BRANDS INC									
YUM									
Acquired 03/28/08 L	0.82	701	N/A##	N/A	34.9700	24,513.97	N/A	588.84	2.40
ZURICH FINANCIAL SVCS									
ADR									
ZFSVY									
Acquired 12/21/05 L	0.04	55	21.28	1,170.59	21.7400	1,195.70	25.11	43.50	3.63
Total Stocks	45.24			\$1,138,994.93		\$1,350,672.75	\$144,413.61	\$24,793.58	1.84
Total Stocks and Options	45.24			\$1,138,994.93		\$1,350,672.75	\$144,413.61	\$24,793.58	1.84

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
HOME DEPOT INC										
NOTES										
CPN 4.625% DUE 08/15/10										
DTD 08/11/05 FC 02/15/06										
Moody BAA1, S&P BBB+										
CUSIP 437076AM4										
Acquired 11/30/06 L	1.71	50,000	99.27	49,635.00	102.1630	51,081.50	1,446.50	873.61	2,312.50	4.52



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Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME YIELD (%)
MERRILL LYNCH & CO MEDIUM TERM NOTES CPN 4 500% DUE 11/04/10 DTD 11/04/03 FC 05/04/04 Moody A2, S&P A CUSIP 59018YSH5 Acquired 01/11/05 L	3.44	100,000	100.49	100,490.00	102.5660	102,566.00	2,076.00	712.50	4,500.00 4.38
CONOCOPHILLIPS COMPANY GUARNT GLOBAL/CALLABLE CPN 4 750% DUE 10/15/12 DTD 10/09/02 FC 04/15/03 Moody A1, S&P A CUSIP 20825CAE4 Acquired 05/16/06 L	1.79	50,000	98.01 95.84	49,007.37 47,920.00	106.9770	53,488.50	4,481.13	501.39	2,375.00 4.44
GENERAL ELECTRIC COMPANY GLOBAL NOTES CPN 5 000% DUE 02/01/13 DTD 01/28/03 FC 08/01/03 Moody AA2, S&P AA+ CUSIP 369604AY9 Acquired 11/22/06 L	2.63	75,000	99.70	74,775.00	104.6550	78,491.25	3,716.25	1,562.50	3,750.00 4.77
MERRILL LYNCH & CO MEDIUM TERM NOTE NON CALL LIFE CPN 0 000% DUE 08/30/13 DTD 08/27/98 Moody A2, S&P A CUSIP 59018SN83 Acquired 05/16/06 L	2.12	75,000	80.73 65.50	60,548.91 49,125.00	84.3080	63,231.00	2,682.09	N/A	N/A N/A
MICROSOFT CORP SR UNSECURED CPN 2 950% DUE 06/01/14 DTD 05/18/09 FC 12/01/09 Moody AAA, S&P AAA CUSIP 594918AB0 Acquired 12/29/09 S	1.69	50,000	101.89	50,954.50	101.1590	50,579.50	- 375.00	122.92	1,475.00 2.91

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Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
YALE UNIVERSITY MEDIUM TERM NOTES SER B CPN 2.900% DUE 10/15/14 DTD 11/10/09 FC 04/15/10 Moody AAA, S&P AAA CUSIP 98458PAB1 Acquired 12/28/09 S	1.66	50,000	100.90	50,455.00	99.2890	49,644.50	- 810.50	205.42	1,450.00	2.92
WAL-MART STORES NOTES CPN 4.500% DUE 07/01/15 DTD 06/09/05 FC 01/01/06 Moody AA2, S&P AA CUSIP 931142BY8 Acquired 11/13/06 L	3.57	100,000	96.89 95.54	96,892.66 95,540.00	106.5120	106,512.00	9,619.34	2,250.00	4,500.00	4.22
Total Corporate Bonds	18.61	550,000		\$532,758.44 \$518,894.50		\$555,594.25	\$22,835.81	\$6,228.34	\$20,362.50	3.66

Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
FEDL HOME LOAN MTG CORP GLOBAL NOTES CALLABLE CPN 4.125% DUE 02/24/11 DTD 02/24/04 FC 08/24/04 CALL 02/24/06 @ 100.000 Moody AAA, S&P AAA REMAIN BAL 50,000.00 DEC FACTOR 1.00000000 CUSIP 3128XZZQ1 Acquired 03/15/04 L	1.74	50,000	100.59	50,295.00&	103.6070	51,803.50	1,508.50	727.60	2,062.50	3.98



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Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED		
									ANNUAL INCOME	ANNUAL YIELD (%)	
US TREASURY											
INFLATION INDEX											
CPN 2 375% DUE 04/15/11											
DTD 04/15/06 FC 10/15/06											
Moody AAA, S&P AAA											
DEC FACTOR 1 08920000											
CUSIP 912828FB1											
Acquired 11/07/06 L	1.88	50,000	111.27 98.25	55,636.74 51,176.74	102.9690	56,076.91	440.17	273.61	1,293.29	2.30	
US TREASURY NOTES											
CPN 4 875% DUE 07/31/11											
DTD 07/31/06 FC 01/31/07											
Moody AAA, S&P AAA											
CUSIP 912828FN5											
Acquired 12/08/06 L	1.78	50,000	101.65	50,825.00	106.1880	53,094.00	2,269.00	1,013.42	2,437.50	4.59	
FEDERAL HOME LOAN MTG											
GLOBAL NOTES											
CPN 5.750% DUE 01/15/12											
DTD 01/14/02 FC 07/15/02											
Moody AAA, S&P AAA											
REMAIN BAL 50,000.00											
DEC FACTOR 1 000000000											
CUSIP 31344AJT2											
Acquired 11/13/06 L	1.81	50,000	104.03	52,015.00	107.9760	53,988.00	1,973.00	1,325.69	2,875.00	5.32	
US TREASURY											
NOTES											
CPN 1 375% DUE 05/15/12											
DTD 05/15/09 FC 11/15/09											
Moody AAA, S&P AAA											
CUSIP 912828KP4											
Acquired 07/07/09 S	5.02	150,000	100.56	150,859.48	100.0230	150,034.50	- 824.98	262.09	2,062.50	1.37	
US TREASURY											
NOTE											
CPN 4 750% DUE 05/15/14											
DTD 05/15/04 FC 11/15/04											
Moody AAA, S&P AAA											
CUSIP 912828CJ7											
Acquired 11/30/06 L	3.69	100,000	101.76	101,760.00	110.0940	110,094.00	8,334.00	603.59	4,750.00	4.31	

JOYCE HERGENHAN PRIVATE
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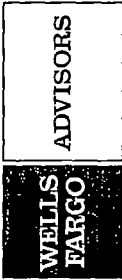
Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY NOTES										
INDX INFLATION										
CPN 2.000% DUE 01/15/16										
DTD 01/15/06 FC 07/15/06										
Moody AAA, S&P AAA										
DEC FACTOR 1.08925000										
CUSIP 912828ET3										
Acquired 11/07/06 L	1.93	50,000	108.95 96.01	54,477.30 50,014.80	105.6560	57,542.89	3,065.59	500.23	1,089.14	1.89
Total Government Bonds	17.84	500,000		\$515,868.52 \$506,946.02		\$532,633.80	\$16,765.28	\$4,706.23	\$16,569.93	3.11
& Insufficient data available for accurate cost or other basis adjustment										

Government Asset Backed/CMO Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GNMA PASS THRU										
POOL 107361										
CPN 12.000% DUE 02/15/14										
DTD 02/01/84 FC 03/15/84										
REMAIN BAL 58.07										
DEC FACTOR 0.0232286										
CUSIP 36214JFA7										
Acquired 09/11/89 L		25,000	0.83 286.27	209.22 232.28	110.5220	64.18	- 145.04	0.58	6.96	10.85
GNMA II PASS THRU										
POOL 4048 DTD 11/01/07										
CPN 5.500% DUE 11/20/37										
DTD 11/01/07 FC 12/20/07										
REMAIN BAL 39.874.01										
DEC FACTOR 56962873										
CUSIP 36202EP94										
Acquired 07/07/09 S	1.39	70,000	60.28 104.80	42,200.20 50,684.31	104.3140	41,594.17	- 606.03	182.76	2,193.07	5.27



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Fixed Income Securities

Government Asset Backed/CMO Securities continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GNMA 09-68 EA REMIC MULTICLASS CMO CPN 5.000% DUE 01/20/39 DTD 08/01/09 FC 09/20/09 REMAIN BAL 94,944.27 DEC FACTOR .94944276 CUSIP 38373ASP2 Acquired 08/11/09 S	3.20	100,000	94.84 99.90	94,849.28 99,905.00	100.7820	95,686.74	837.46	395.60	4,747.21	4.96
GNMA 2010-7 LA REMIC MULTICLASS CMO CPN 5.000% DUE 02/16/40 DTD 01/01/10 FC 02/16/10 REMAIN BAL 50,000.00 DEC FACTOR 1.00000000 CUSIP Z64421847 Acquired 12/29/09 S	1.67	50,000	100.38	50,192.50	99.6875	49,843.75	-348.75	N/A	2,500.00	5.01
Total Government Asset Backed/CMO Securities	6.27			\$187,451.20 \$201,014.09		\$187,188.84	-\$262.36	\$578.94	\$9,447.24	5.05
Total Remaining Balance on all Government Asset Backed/CMO Securities										
						\$184,876.35				

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Fixed Income Securities

Taxable Municipal Bonds

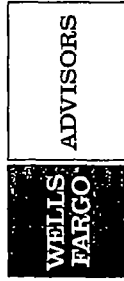
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
CONNECTICUT ST SPL TAX OBLG RV TRANSN INFR SR B BUILD AMER BDS DRCT TXBL CPN 4.855% DUE 12/01/20 DTD 11/10/09 FC 06/01/10 Moody A1, S&P AA CUSIP 207758KC6 Acquired 12/23/09 S	3.26	100,000	101.50	101,505.00	97.2470	97,247.00	-4,258.00	687.79	4,855.00	4.99
Total Taxable Municipal Bonds	3.26	100,000		\$101,505.00		\$97,247.00	-\$4,258.00	\$687.79	\$4,855.00	4.99
Total Fixed Income Securities	45.97			\$1,337,583.16 \$1,328,359.61		\$1,372,663.89	\$35,080.73	\$12,201.30	\$51,234.67	3.73

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return. If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
CREDIT SUISSE HIGH INCOME FD -A CHIAIX On Reinvestment Acquired 07/03/07 L Reinvestments L Reinvestments S		0.01300 37.49800 4.34200	7.83 7.79 5.30	0.10 292.14 23.02		0.08 239.62 27.74	-0.02 -52.52 4.72			
Total	0.01	41.85300		\$315.26	6.3900	\$267.44	-\$47.82		\$22.26	8.32
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)										
Total Open End Mutual Funds	0.01			\$315.26		\$267.44	-\$47.82		\$22.26	8.32
Total Mutual Funds	0.01			\$315.26		\$267.44	-\$47.82		\$22.26	8.32



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Preferreds/Fixed Rate Cap Securities

DESCRIPTION	ACCOUNT	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
AEGON N V 7 25% PERP CUM PFD CALL STARTING 12/15/12 AEF Acquired 09/14/07 L		1 33	2,000	25.00	50,000.00	19.7900	39,580.00	- 10,420.00	3,625.00	9.15
BARCLAYS BK PLC 7 10% NON-CUM PERPETUAL PFD CALL STARTING 12/15/2012 BCSA Acquired 09/06/07 L		0 74	1,000	25.00	25,000 00	22.0900	22,090.00	- 2,910.00	1,775.00	8.03
CITIGROUP CAP VIII 6 95% TRUPS DUE 9/15/31 CALLABLE 9/17/06 CZ Acquired 02/19/04 L		0 64	925	26.50	24,514.81	20.7500	19,193.75	- 5,321.06	1,606.72	8.37
DEUTSCHE BANK 7 35% PERP NON-CUM CAP X CALL STARTING 12/15/2012 DCE Acquired 11/07/07 L		1 54	2,000	25.00	50,000 00	22.9700	45,940.00	- 4,060.00	3,674.00	7.99
GE CAPITAL 6 625% PINES DUE 6/28/32 CALLABLE 6/28/07 GEA Acquired 02/19/04 L Acquired 02/19/04 L			425 500	26.74 26.75	11,365.84 13,376.58		10,642.00 12,520.00	- 723.84 - 856.58		
Total		0.78	925		\$24,742.42	25.0400	\$23,162.00	- \$1,580.42	\$1,531.80	6.61
JP MORGAN CP XXIV 6 875% DUE 8/1/2077 CALL STARTING 8/1/2012 JPMW Acquired 07/25/07 L		0 85	1,000	25.00	25,000.00	25.2500	25,250.00	250.00	1,719.00	6.80
LEHMAN BROS HLDG 7 95% NON-CUM PERP PFD CALL STARTING 2/15/2013 LEHJQ Acquired 02/05/08 L		0.01	2,000	25.00	50,000.00	0 0800	160.00	- 49,840.00	N/A	N/A

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Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MERRILL LYNCH 7 375% PFD CAP TR III DUE 9/15/2062 CALL STARTING 9/15/2012 MER'P Acquired 08/15/07 L	0.73	1,000	25.00	25,000.00	21.7700	21,770.00	- 3,230.00	1,844.00	8.47
PREFERREDPLUS TRST VER-1 DUE 12/01/30 CALLABLE 6/01/07 PJJ Acquired 02/19/04 L	0.79	925	26.75	24,748.02	25.4300	23,522.75	- 1,225.27	1,763.05	7.49
WELLS FARGO 7% CAP IV DUE 09/01/2031 CALL 08/29/06 WSF Acquired 02/19/04 L	0.78	925	26.56	24,574.04	25.1700	23,282.25	- 1,291.79	1,618.75	6.95
Total Preferreds/Fixed Rate Cap Securities	8.17			\$323,579.29		\$243,950.75	- \$79,628.54	\$19,157.32	7.85

Bank Deposit Sweep Allocation

Monies on deposit at each bank, together with any other deposits held in the same insurable capacity at each bank, are eligible for FDIC insurance up to \$250,000 per depositor, per bank in accordance with FDIC rules for a total of up to \$750,000 in FDIC insurance when deposited at multiple banks. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2 PM ET on the last business day of the month. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

DESCRIPTION	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N A	119,458.42	12/31
Total Bank Deposits	\$119,458.42	

Realized Gain/Loss

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Realized Gain/Loss Detail for Year

Short Term	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	BNP PARIBAS ADR RTS EXP 00/00/00	61.0000	0.0000	11/10/09	11/11/09	57.64	0.00	0.00
	FRIENDS PROVIDENT RTSXXX GROUP PLC ADR	85.0000	1.1000	08/13/09	08/14/09	91.72	93.50	-1.78
	HARRIS STRATEX NETWORKS CLASS A	0.5997	5.3660	05/27/09	05/27/09	3.21	3.21	0.00
	HSBC HLDGS PLC RTS XXX ADR EXP 03/31/09 NON TRANSFERABLE	0.9160 22.0000	0.0000 0.0000	03/23/09 03/23/09	04/22/09 04/23/09	7.80 195.40	0.00 0.00	0.00 0.00
	LLOYDS BANKING GROUP PLC ADR	0.3000 0.9000	0.0000 0.0000	01/22/09 05/12/09	01/22/09 05/12/09	0.81 4.94	0.00 0.00	0.00 0.00
	LLOYDS BANKING GROUP RTS PLC ADR	36.0000 36.0000	0.0000 0.0000	12/18/09 07/01/09	12/21/09 07/02/09	51.43 30.52	0.00 0.00	0.00 0.00
	MALAYAN BANKING BHD RTS REXAM PLS SPON ADR WTS	168.0000 46.0000	0.0000 0.0000	05/05/09 08/28/09	05/06/09 08/31/09	39.18 107.72	0.00 0.00	0.00 0.00
	SOCIETE GENERALE RTS FRANCE SPONSORED ADR	65.0000	0.0000	11/10/09	12/07/09	51.64	0.00	0.00
	TIME WARNER INC NEW TNT NV-ADR	0.3333 0.7500	0.0000 0.0000	04/02/09 04/28/09	04/02/09 04/28/09	6.15 13.76	0.01 0.00	6.14 0.00
	U S TREASURY BILL DUE 07/02/09 DTD 07/03/08 FC 07/03/08	374,000.0000	99.9467	03/05/09	07/02/09	373,805.68	373,805.68	0.00

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Short Term		Continued						
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS	
U S TREASURY BILL 03/09								
DUE 03/05/09								
DTD 09/04/08 FC 09/04/08	230,000 0000	99 7885	10/10/08	03/05/09	229,521.05	229,521.05	0 00	
US TREASURY								
BILL								
DUE 12/10/09								
DTD 06/11/09 FC 06/11/09	165,000 0000	99 9316	07/07/09	12/10/09	164,892 27	164,892.27	0.00	
Total - Short Term					\$768,880.92	\$768,315.72	\$4.36	
Long Term								
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS	
AOL INC								
W/I	0.1572	29.0980	07/29/05	12/09/09	3.64	4.58	-0.94	
	0.0198	31.2465	08/16/05	12/09/09	0.45	0.62	-0.17	
	0.0396	30.4683	10/05/05	12/09/09	0.91	1.21	-0.30	
	0.0594	29.7409	11/03/05	12/09/09	1.37	1.77	-0.40	
	0.0594	30.9251	12/02/05	12/09/09	1.37	1.84	-0.47	
	0.5738	36 1018	02/08/07	12/09/09	13 34	20.73	-7.39	
CIBA HOLDING AG								
CHG	9.0000	32.5500	02/09/05	07/09/09	206.23	292.97	-86.74	
SPONSORED ADR	2.0000	32 6500	02/10/05	07/09/09	45.83	65.31	-19.48	
	16.0000	33 7400	02/15/05	07/09/09	366.64	539.92	-173.28	
	17.0000	33.9300	03/08/05	07/09/09	389.56	576.84	-187.28	
	17.0000	32.7300	03/21/05	07/09/09	389.56	556.47	-166.91	
	13 0000	31.8000	04/21/05	07/09/09	297.90	413.42	-115.52	
	6.0000	31.7100	08/17/05	07/09/09	137.49	190.26	-52.77	
	19.0000	29.4700	09/30/05	07/09/09	435.39	560.09	-124.70	

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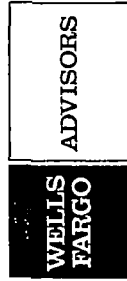
Account Number: 2829-0981
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 JOYCE HERGENHAN PRIVATE
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 JOYCE HERGENHAN TTEE
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Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		5.0000	28.6400	11/04/05	07/09/09	114.57	143.22	-28.65
		3.0000	30.0700	12/06/05	07/09/09	68.74	90.23	-21.49
		15.0000	30.5000	04/06/06	07/09/09	343.74	457.64	-113.90
		7.0000	30.5400	04/07/06	07/09/09	160.42	213.79	-53.37
DIRECTV CLASS A COMMON		0.0163	12.5470	02/26/04	11/25/09	0.52	0.21	0.31
		0.0007	6.1049	03/18/04	11/25/09	0.02	0.01	0.01
		0.0014	12.2876	04/21/04	11/25/09	0.04	0.02	0.02
		0.0014	11.9676	06/16/04	11/25/09	0.04	0.02	0.02
		0.0034	10.7570	06/24/04	11/25/09	0.11	0.04	0.07
		0.0007	11.2845	07/19/04	11/25/09	0.02	0.01	0.01
		0.0014	11.4661	08/17/04	11/25/09	0.04	0.02	0.02
		0.0014	11.6391	10/18/04	11/25/09	0.04	0.02	0.02
		0.0007	20.6494	11/17/04	11/25/09	0.02	0.02	0.00
		0.0014	10.7225	12/17/04	11/25/09	0.04	0.02	0.02
		0.0014	17.0090	02/16/05	11/25/09	0.04	0.03	0.01
		0.0014	13.9824	03/17/05	11/25/09	0.04	0.02	0.02
		0.0007	13.5674	04/20/05	11/25/09	0.02	0.01	0.01
		0.0027	12.1492	05/26/05	11/25/09	0.09	0.04	0.05
		0.0007	13.3339	07/18/05	11/25/09	0.02	0.01	0.01
		0.0007	19.7069	08/16/05	11/25/09	0.02	0.02	0.00
		0.0014	9.2005	10/05/05	11/25/09	0.04	0.02	0.02
		0.0014	12.5470	11/03/05	11/25/09	0.04	0.02	0.02
		0.0020	12.0282	12/02/05	11/25/09	0.07	0.03	0.04

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Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
GENENTECH INC		0.0136	19.5945	02/08/07	11/25/09	0.47	0.27	0.20
		17.0000	78.2000	05/26/05	04/24/09	1,615.00	1,329.40	285.60
		8.0000	88.6300	07/19/05	04/24/09	760.00	709.04	50.96
		12.0000	88.5100	08/17/05	04/24/09	1,140.00	1,062.12	77.88
		14.0000	81.7100	10/06/05	04/24/09	1,330.00	1,143.94	186.06
		10.0000	92.8000	11/07/05	04/24/09	950.00	928.00	22.00
		14.0000	99.4100	12/06/05	04/24/09	1,330.00	1,391.78	-61.78
		100.0000	80.0000	12/28/06	04/24/09	9,500.00	8,000.00	1,500.00
HBOS PLC-SPONS ADR	CHG	80.0000	16.9800	03/31/06	01/22/09	0.00	1,325.14	0.00
		83.0769	17.0200	04/04/06	01/22/09	0.00	1,379.30	0.00
		40.0000	17.0500	04/06/06	01/22/09	0.00	665.15	0.00
		36.9231	21.4300	12/12/06	01/22/09	0.00	771.63	0.00
MERCK & CO INC NEW		0.6585	28.3284	04/17/07	11/05/09	21.41	18.53	2.88
		0.1049	28.5096	04/18/07	11/05/09	3.41	2.97	0.44
SCHERING PLOUGH CORP CHG		84.2367	28.3200	04/18/07	11/06/09	2,089.50	2,370.17	-280.67
TIME WARNER CABLE		0.1888	52.1012	02/26/04	03/30/09	4.75	9.84	-5.09
		0.0130	49.6428	04/21/04	03/30/09	0.32	0.65	-0.33
		0.0065	48.9023	05/18/04	03/30/09	0.16	0.32	-0.16
		0.0065	52.0420	06/16/04	03/30/09	0.16	0.34	-0.18
		0.0130	50.0574	07/19/04	03/30/09	0.32	0.65	-0.33
		0.0130	48.0433	08/17/04	03/30/09	0.32	0.63	-0.31
		0.0195	48.7838	10/18/04	03/30/09	0.49	0.95	-0.46
		0.0065	51.3015	11/17/04	03/30/09	0.16	0.33	-0.17

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Long Term	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION								
		0.0065	57.4328	12/17/04	03/30/09	0.16	0.37	-0.21
		0.0391	57.7290	12/29/04	03/30/09	0.98	2.26	-1.28
		0.0065	53.4637	02/16/05	03/30/09	0.16	0.35	-0.19
		0.0065	55.1224	03/17/05	03/30/09	0.16	0.36	-0.20
		0.0130	50.6202	04/20/05	03/30/09	0.32	0.66	-0.34
		0.0391	52.0420	05/26/05	03/30/09	0.98	2.03	-1.05
		0.0130	49.1096	07/18/05	03/30/09	0.32	0.64	-0.32
		0.0521	50.9460	07/29/05	03/30/09	1.31	2.65	-1.34
		0.0065	54.7078	08/16/05	03/30/09	0.16	0.36	-0.20
		0.0130	53.3452	10/05/05	03/30/09	0.32	0.69	-0.37
		0.0195	52.0716	11/03/05	03/30/09	0.49	1.02	-0.53
		0.0195	54.1450	12/02/05	03/30/09	0.49	1.06	-0.57
		0.1889	63.2086	02/08/07	03/30/09	4.85	11.94	-7.09
U S TREASURY								
NOTES								
CPN 4.875% DUE 05/15/09								
DTD 05/15/06 FC 11/15/06		50,000.0000	100.5600	11/22/06	05/15/09	50,000.00	50,280.00	-280.00
WAL-MART STORES INC								
BONDS N/C								
CPN 6.875% DUE 08/10/09								
DTD 08/10/09 FC 02/10/00		50,000.0000	117.4300	03/15/04	08/10/09	50,000.00	58,715.00	-8,715.00
Total - Long Term						\$121,735.59	\$134,262.04	-\$8,385.23

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

001 / PCT8 / PCTH



2009 WHMT STATEMENT

80,086

Page 4 of 12

As of Date: 03/10/2010

Account Number: 2829-0981
Command Account Number: 9079384038
JOYCE HERGENHAN PRIVATE
FOUNDATION TR
JOYCE HERGENHAN TTEE
U/A DTD 08/16/1998
715 SASCO HILL ROAD
FAIRFIELD CT 06824-6376

Your Financial Advisor:
HOWARD MATSON
1 MORNINGSIDE DRIVE
WESTPORT, CT 06880
203-221-7980

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID#: 23-2384840

For Banking Inquiries call: 1-888-215-3904
Your Federal Identification Number: 06-1519008

Reportable Tax Information

Supplemental Information
(Not Reported to the IRS -
See Formula Below)

1099-B Proceeds from Broker and Barter Exchange for 2009

OMB NO. 1545-0715				Supplemental Information (Not Reported to the IRS - See Formula Below)		
Description (Box 7)	CUSIP (Box 1b)	Quantity	Record Date (Box 1a)	Payment Date	Amount	IRS Box
GNMA II PASS THRU POOL 4048 DTD 11/01/07 CPN 5 500% DUE 11/20/37	36202EP94	70,000 00000	07/31/2009	08/20/2009	1,551 77	2
GNMA II PASS THRU POOL 4048 DTD 11/01/07 CPN 5 500% DUE 11/20/37	36202EP94	70,000 00000	08/31/2009	09/20/2009	1,529 88	2
GNMA II PASS THRU POOL 4048 DTD 11/01/07 CPN 5 500% DUE 11/20/37	36202EP94	70,000 00000	09/30/2009	10/20/2009	1,492 96	2
GNMA II PASS THRU POOL 4048 DTD 11/01/07 CPN 5 500% DUE 11/20/37	36202EP94	70,000 00000	10/31/2009	11/20/2009	2,006 03	2
GNMA II PASS THRU POOL 4048 DTD 11/01/07 CPN 5 500% DUE 11/20/37	36202EP94	70,000 00000	11/30/2009	12/20/2009	1,903 47	2
GNMA II PASS THRU POOL 4048 DTD 11/01/07 CPN 5 500% DUE 11/20/37	36202EP94	70,000 00000	12/20/2009			
Applicable basis amount is determined as follows. (Quantity / 1000) x (Principal Balance x Adjust Basis %) = Allocated Basis (for principal payments only).					Adjust Basis %	Principal Balance Per \$1000
					0.032089180	690.83033
					0.032685300	668.66215
					0.032974240	646.80673
					0.045817130	625.47877
					0.045562050	596.82112

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As of Date: 03/10/2010

2009 WHMT STATEMENT

Account Number: 2829-0981

Command Account Number: 9079384038

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Reportable Tax Information

Supplemental Information
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See Formula Below)

1099-B Proceeds from Broker and Barter Exchange for 2009 (continued)

Description (Box 7)	CUSIP (Box 1b)	Quantity	Record Date (Box 1a)	Payment Date	Amount	IRS Box	Transaction Description	Reported On	Adjust Basis %	Principal Balance Per \$1000
GNMA II PASS THRU POOL 4048 DTD 11/01/07 CPN 5.500% DUE 11/20/37	36202EP94	70,000.00000	12/31/2009	01/20/2010	2,661.17	2	Principal	1099-B	0.066739490	569,628.73
GNMA PASS THRU POOL 107361 CPN 12.000% DUE 02/15/14	36214JFA7	25,000.00000	12/31/2008	01/15/2009	0.87	2	Principal	1099-B	0.012552630	2,763.88
GNMA PASS THRU POOL 107361 CPN 12.000% DUE 02/15/14	36214JFA7	25,000.00000	01/31/2009	02/15/2009	0.88	2	Principal	1099-B	0.012844480	2,729.19
GNMA PASS THRU POOL 107361 CPN 12.000% DUE 02/15/14	36214JFA7	25,000.00000	02/28/2009	03/15/2009	0.89	2	Principal	1099-B	0.013147080	2,694.13

Applicable basis amount is determined as follows: (Quantity / 1000) x (Principal Balance x Adjust Basis %) = Allocated Basis (for principal payments only).

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2009 WHMT STATEMENT

80,088

Page 6 of 12

As of Date: 03/10/2010

Account Number: 2829-0981
Command Account Number: 9079384038

Your Financial Advisor:
HOWARD MATSON
1 MORNINGSIDE DRIVE
WESTPORT, CT 06880
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Your Federal Identification Number: 06-1519008

Reportable Tax Information

Supplemental Information
(Not Reported to the IRS -
See Formula Below)

1099-B Proceeds from Broker and Barter Exchange for 2009 (continued)

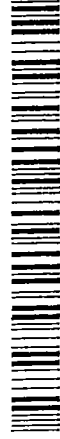
OMB NO. 1545-0715

Description (Box 7)	CUSIP (Box 1b)	Quantity	Record Date (Box 1a)	Payment Date	Amount	IRS Box	Transaction Description	Reported On	Adjust Basis %	Principal Balance Per \$1000
GNMA PASS THRU POOL 107361 CPN 12 000% DUE 02/15/14	36214JFA7	25,000 00000	03/31/2009	04/15/2009	0.89	2	Principal	1099-B	0.013461020	2.65871
GNMA PASS THRU POOL 107361 CPN 12 000% DUE 02/15/14	36214JFA7	25,000 00000	04/30/2009	05/15/2009	0.90	2	Principal	1099-B	0.013786900	2.62292
GNMA PASS THRU POOL 107361 CPN 12 000% DUE 02/15/14	36214JFA7	25,000 00000	05/31/2009	06/15/2009	0.91	2	Principal	1099-B	0.014125380	2.58676
GNMA PASS THRU POOL 107361 CPN 12 000% DUE 02/15/14	36214JFA7	25,000 00000	06/30/2009	07/15/2009	0.92	2	Principal	1099-B	0.014476770	2.55022

Applicable basis amount is determined as follows (Quantity / 1000) x (Principal Balance x Adjust Basis %) = Allocated Basis (for principal payments only)

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001/PC/PCTH

As of Date: 03/10/2010

2009 WHMT STATEMENT

Account Number: 2829-0981
Command Account Number: 9079384038

JOYCE HERGENHAN PRIVATE
FOUNDATION TR
JOYCE HERGENHAN TTEE
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Reportable Tax Information

Supplemental Information
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1099-B Proceeds from Broker and Barter Exchange for 2009 (continued)

OMB NO. 1545-0715

Description (Box 7)	CUSIP (Box 1b)	Quantity	Record Date (Box 1a)	Payment Date	Amount	IRS Box	Transaction Description	Reported On	Adjust Basis %	Principal Balance Per \$1000
GNMA PASS THRU POOL 107361 CPN 12 000% DUE 02/15/14	36214JFA7	25,000 00000	07/31/2009	08/15/2009	0.93	2	Principal	1099-B	0 014842600	2 51331
GNMA PASS THRU POOL 107361 CPN 12 000% DUE 02/15/14	36214JFA7	25,000 00000	08/31/2009	09/15/2009	0.94	2	Principal	1099-B	0 015222930	2 47600
GNMA PASS THRU POOL 107361 CPN 12 000% DUE 02/15/14	36214JFA7	25,000 00000	09/30/2009	10/15/2009	0.95	2	Principal	1099-B	0 015619420	2 43831
GNMA PASS THRU POOL 107361 CPN 12 000% DUE 02/15/14	36214JFA7	25,000 00000	10/31/2009	11/15/2009	0.96	2	Principal	1099-B	0 016032660	2 40022

Applicable basis amount is determined as follows (Quantity / 1000) x (Principal Balance x Adjust Basis %) = Allocated Basis (for principal payments only)

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2009 WHMT STATEMENT

80,090

Page 8 of 12

As of Date: 03/10/2010

Account Number: 2829-0981
 Command Account Number: 9079384038
 JOYCE HERGENHAN PRIVATE
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 JOYCE HERGENHAN TTEE
 U/A DTD 06/16/1998
 715 SASCO HILL ROAD
 FAIRFIELD CT 06824-6376

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Reportable Tax Information

Supplemental Information
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 See Formula Below)

1099-B Proceeds from Broker and Barter Exchange for 2009 (continued)

Description (Box 7)	CUSIP (Box 1b)	Quantity	Record Date (Box 1a)	Payment Date	Amount	IRS Box	Transaction Description	Reported On	Adjust Basis %	Principal Balance Per \$1000
GNMA PASS THRU POOL 107361	36214JFA7	25,000 00000	11/30/2009	12/15/2009	0 97	2	Principal	1099-B	0 016463260	2.36174
GNMA PASS THRU POOL 107361	36214JFA7	25,000 00000	12/31/2009	01/15/2010	0 98	2	Principal	1099-B	0 016913190	2.32286
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					\$11,157.27					

Applicable basis amount is determined as follows (Quantity / 1000) x (Principal Balance x Adjust Basis %) = Allocated Basis (for principal payments only).

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