Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , **and ending**

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE ELLEN KNOWLES HARCOURT FOUNDATION
C/O ROGER CHACE, TREASURER

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
12 ASPETUCK AVENUE

City or town, state, and ZIP code
NEW MILFORD, CT 06776

A Employer identification number: 06-1068025

B Telephone number: 860-354-9301

C If exemption application is pending, check here [ ]
D 1. Foreign organizations, check here [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 3,115,669.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

Section	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	114,726.	114,726.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	190,059.			
	b	Gross sales price for all assets on line 6a 796,806.				
	7	Capital gain net income (from Part IV, line 2)		190,059.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	304,785.	304,785.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	2,550.	510.		2,040.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 2	15,500.	3,100.		12,400.
	c	Other professional fees				
	17	Interest				
	18	Taxes STMT 3	3,841.	0.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 4	19,667.	17,060.		2,607.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	41,558.	20,670.		17,047.
	25	Contributions, gifts, grants paid	76,650.			76,650.
	26	**Total expenses and disbursements.** Add lines 24 and 25	118,208.	20,670.		93,697.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	186,577.			
	b	**Net investment income** (if negative, enter -0-)		284,115.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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923501 02-02-10 LHA **For Privacy Act and Paperwork Reduction Act Notice, see the instructions.** Form **990-PF** (2009)

12320419 798931 1221 2009.03020 THE ELLEN KNOWLES HARCOURT 1221___1

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	8,958.	19,809.	19,809.
	2 Savings and temporary cash investments	410,248.	152,368.	152,368.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 5	1,033,770.	1,813,288.	2,205,906.
	c Investments - corporate bonds STMT 6	1,060,982.	715,069.	737,586.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	2,513,958.	2,700,534.	3,115,669.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	2,513,958.	2,700,534.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	2,513,958.	2,700,534.	
	31 **Total liabilities and net assets/fund balances**	2,513,958.	2,700,534.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,513,958.
2	Enter amount from Part I, line 27a	2	186,577.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,700,535.
5	Decreases not included in line 2 (itemize) ▶ ROUNDING	5	1.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,700,534.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	796,806.		606,747.	190,059.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				190,059.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	190,059.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	152,588.	3,021,298.	.050504
2007	126,979.	3,655,086.	.034740
2006	184,724.	3,589,945.	.051456
2005	174,419.	3,548,736.	.049150
2004	190,913.	3,676,290.	.051931

2 Total of line 1, column (d)	2	.237781
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047556
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,799,872.
5 Multiply line 4 by line 3	5	133,151.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,841.
7 Add lines 5 and 6	7	135,992.
8 Enter qualifying distributions from Part XII, line 4	8	93,697.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	5,682.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	5,682.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	5,682.
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 4,000.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	4,000.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	1,682.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROGER CHACE Telephone no ▶860-354-9301

Located at ▶12 ASPETUCK AVENUE, NEW MILFORD, CT ZIP+4 ▶06776

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to.

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		2,550.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2009)

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a	Average monthly fair market value of securities	1a	2,598,738.
b	Average of monthly cash balances	1b	243,772.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,842,510.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,842,510.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	42,638.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,799,872.
6	**Minimum investment return.** Enter 5% of line 5	6	139,994.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	139,994.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 5,682.		
b	Income tax for 2009 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	5,682.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	134,312.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	134,312.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	134,312.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	93,697.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	93,697.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	93,697.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				134,312.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			29,507.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 93,697.				
a Applied to 2008, but not more than line 2a			29,507.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				64,190.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				70,122.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE ATTACHED				
Total			▶ 3a	76,650.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	114,726.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	190,059.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		304,785.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 304,785.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Roger H. Chace | 4/22/2010 | Treasurer

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Ralph A. DeLeo CPA	Date 4/20/10	Check if self-employed ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code	DELEO & COMPANY, P.C. 12 ASPETUCK AVE NEW MILFORD, CT 06776	EIN	
		Phone no	860 354-9301

Form **990-PF** (2009)

923622
02-02-10

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	AT&T	P	VARIOUS	02/10/09
b	AMER INT'L GROUP	P	VARIOUS	02/10/09
c	IBM	P	VARIOUS	02/10/09
d	JP MORGAN CHASE	P	VARIOUS	02/10/09
e	3M CO	P	VARIOUS	02/10/09
f	EXXON MOBIL	P	VARIOUS	02/10/09
g	IBM	P	VARIOUS	04/01/09
h	JOHNSON & JOHNSON	P	VARIOUS	04/01/09
i	JP MORGAN CHASE	P	VARIOUS	04/01/09
j	COCA COLA	P	VARIOUS	04/01/09
k	3M CO	P	VARIOUS	04/01/09
l	STATE STREET CORP	P	VARIOUS	04/01/09
m	EXXON MOBIL	P	VARIOUS	04/01/09
n	FED NAT'L MTG ASSOC	P	VARIOUS	04/27/09
o	FED NAT'L MTG ASSOC	P	VARIOUS	05/06/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,737.		9,362.	2,375.
b	1,968.		47,712.	<45,744.>
c	56,191.		22,674.	33,517.
d	8,595.		7,812.	783.
e	38,580.		7,328.	31,252.
f	35,933.		7,100.	28,833.
g	24,197.		9,448.	14,749.
h	7,808.		9,323.	<1,515.>
i	7,857.		6,893.	964.
j	9,864.		570.	9,294.
k	8,566.		1,710.	6,856.
l	10,578.		4,422.	6,156.
m	53,680.		12,137.	41,543.
n	70,000.		70,000.	0.
o	50,000.		49,714.	286.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				2,375.
b				<45,744.>
c				33,517.
d				783.
e				31,252.
f				28,833.
g				14,749.
h				<1,515.>
i				964.
j				9,294.
k				6,856.
l				6,156.
m				41,543.
n				0.
o				286.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	CORP BACKED TR CTFS	P	VARIOUS	05/21/09
b	CME GRP INC.	P	VARIOUS	06/15/09
c	STATE STREET CORP	P	VARIOUS	07/27/09
d	NAT'L FUEL GAS	P	VARIOUS	09/24/09
e	1SHRS RUSSELL 2000	P	VARIOUS	09/24/09
f	AT&T	P	VARIOUS	09/24/09
g	APPLIED MAT'LS	P	VARIOUS	09/24/09
h	BNK OF AMERICA NEW	P	VARIOUS	09/24/09
i	BRISTOL MYERS	P	VARIOUS	09/24/09
j	DUPONT	P	VARIOUS	09/24/09
k	GEN ELECTRIC	P	VARIOUS	09/24/09
l	IBM	P	VARIOUS	09/24/09
m	JP MORGAN CHASE	P	VARIOUS	09/24/09
n	COCA COLA CO	P	VARIOUS	09/24/09
o	3M CO	P	VARIOUS	09/24/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,168.		5,119.	<1,951.>
b	10,030.		7,077.	2,953.
c	9,816.		2,527.	7,289.
d	50,688.		49,500.	1,188.
e	9,970.		8,876.	1,094.
f	37,715.		27,588.	10,127.
g	5,728.		4,714.	1,014.
h	35,072.		35,203.	<131.>
i	10,843.		7,748.	3,095.
j	23,468.		37,746.	<14,278.>
k	19,519.		2,802.	16,717.
l	17,287.		5,405.	11,882.
m	28,767.		14,751.	14,016.
n	14,572.		704.	13,868.
o	17,530.		2,315.	15,215.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				<1,951.>
b				2,953.
c				7,289.
d				1,188.
e				1,094.
f				10,127.
g				1,014.
h				<131.>
i				3,095.
j				<14,278.>
k				16,717.
l				11,882.
m				14,016.
n				13,868.
o				15,215.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	TRANSOCEAN, LTD	P	VARIOUS	09/24/09
b	STATE STREET CORP	P	VARIOUS	09/24/09
c	UNITED TECHNOLOGIES	P	VARIOUS	09/24/09
d	EXXON MOBIL	P	VARIOUS	09/24/09
e	HANCOCK CNTY WV	P	VARIOUS	12/01/09
f	ROYAL BK OF SCOTLAND	P	VARIOUS	12/02/09
g	FED HOME LOAN MTG	P	VARIOUS	VARIOUS
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,674.		7,519.	3,155.
b	18,363.		4,536.	13,827.
c	11,761.		7,995.	3,766.
d	9,276.		2,048.	7,228.
e	15,000.		15,000.	0.
f	35,379.		84,701.	<49,322.>
g	6,626.		6,668.	<42.>
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				3,155.
b				13,827.
c				3,766.
d				7,228.
e				0.
f				<49,322.>
g				<42.>
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	190,059.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

923591
04-24-09

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS & INTEREST	114,726.	0.	114,726.
TOTAL TO FM 990-PF, PART I, LN 4	114,726.	0.	114,726.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	15,500.	3,100.		12,400.
TO FORM 990-PF, PG 1, LN 16B	15,500.	3,100.		12,400.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX ON INVESTMENTS	3,841.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,841.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATION	114.	0.		114.
INVESTMENT SERVICES	17,060.	17,060.		0.
BANK CHARGES	335.	0.		335.
ANNUAL REPORT	25.	0.		25.
INSURANCE (OFFICERS/DIRECTORS)	1,638.	0.		1,638.
DUES & SUBSCRIPTIONS	495.	0.		495.
TO FORM 990-PF, PG 1, LN 23	19,667.	17,060.		2,607.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	1,813,288.	2,205,906.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,813,288.	2,205,906.

FORM 990-PF CORPORATE BONDS STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	715,069.	737,586.
TOTAL TO FORM 990-PF, PART II, LINE 10C	715,069.	737,586.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT NICHOLAS 19 FLIRTATION AVE NEW PRESTON, CT 06777	PRESIDENT 15.00	500.	0.	0.
ROGER CHACE 12 ASPETUCK AVENUE NEW MILFORD, CT 06776	SECRETARY/TREASURER 5.00	550.	0.	0.
BARBARA CHAPPUIS 360 LITCHFIELD ROAD NEW MILFORD, CT 06776	DIRECTOR EMERITUS 1.00	0.	0.	0.
NANCY MILLER 101 SULLIVAN FARM NEW MILFORD, CT 06776	DIRECTOR 1.00	550.	0.	0.

SUSAN KUSTOSZ 320 LONG MOUNTAIN ROAD NEW MILFORD, CT 06776	DIRECTOR 1.00	500.	0.	0.
MARK ALTERMATT 16 BRANDY STREET BOLTON, CT 06043	DIRECTOR 1.00	450.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		2,550.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 8
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT NICHOLAS
12 ASPETUCK AVENUE
NEW MILFORD, CT 06776

TELEPHONE NUMBER

860-354-9301

FORM AND CONTENT OF APPLICATIONS

BY LETTER STATING AMOUNT AND PURPOSE ALONG WITH
THE IRS EXEMPTION LETTER

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

PRIORITIES ARE GIVEN TO CHARITABLE ORGANIZATIONS IN SURROUNDING NEW MILFORD AREA & OCCASIONALLY OUTSIDE THIS REGION. THE FOUNDATION SUPPORTS A WIDE RANGE OF PROGRAMS.

THE ELLEN KNOWLES HARCOURT FOUNDATION, INC.
2009

FORM 990-PF *FED I.D 06-1068025*

LINE 10(b) CORPORATE STOCKS - COMMON & PREFERRED

SHARES	DESCRIPTION	BOOK VALUE	MARKET VALUE
462	McDONALDS CORP	25,114	28,847
497	COCA COLA	1,258	28,329
485	WAL-MART STORES	25,171	25,923
365	EXXON MOBILE	5,537	24,889
303	TRANSOCEAN LTD	17,660	25,088
1,468	BANK OF AMERICA NEW	25,050	22,108
75	CME GROUP	17,692	25,197
3,800	HSBC HIBGS PREFERRED	95,874	81,282
558	J.P. MORGAN CHASE	12,821	23,252
491	STATE STREET CORP	6,203	21,378
540	ABBOTT LABS	25,051	29,155
1,116	BRISTOL MYERS	17,866	28,179
550	JOHNSON & JOHNSON	34,185	35,426
1,515	GENERAL ELECTRIC	3,582	22,922
402	UNITED TECHNOLOGIES	17,004	27,903
338	3M COMPANY	3,303	27,942
1,931	APPLIED MATERIALS	20,546	26,918
207	IBM	7,823	27,096
775	DUPONT DENEMOURS	40,349	26,094
312	MONSANTO CO NEW	25,278	25,506
4,184	ARTIO INT'L EQUITY FND	100,000	118,159
1,400	DEUTSCHE BK PFD	32,760	31,612
3,741	DRIEHAUS EMERGING MKTS	81,000	108,985
388	MSCI BRAZIL FD	25,016	28,949
1,250	S&P GLOBAL INFO TECH	50,512	70,013
858	S& P GSCI COMMODITY	25,045	27,302
1,600	ING GROUP PFD	41,344	26,992
1,817	MSCI EAFE INDEX	100,059	100,444
1,869	RUSSELL 2000 INDEX	89,999	116,700
800	S&P GLOBAL	25,000	28,544
610	TR FTSE INDEX	24,997	25,779
1,489	MERIDIAN GROWTH FUND	47,000	50,634
2,489	PERKINS JANUS MIDCAP	47,000	49,290
1,040	S&P MID CAP SPDRS	101,037	137,010
3,425	S&P DEPOSITORY RECEIPTS	299,232	381,682
6,315	VANGUARD FTSE ALL WORLD	245,920	275,397
2,000	WELLS FARGO PFD	50,000	44,980
	TOTAL	1,813,288	2,205,906

THE ELLEN KNOWLES HARCOURT FOUNDATION, INC.
2009

FORM 990-PF *FED. I D 06-1068025*

LINE 10(c) CORPORATE BONDS AND COMMERCIAL PAPER

QUANTITY	DESCRIPTION	BOOK VALUE	MARKET VALUE
50,000	FHLMC 3.00% 12/15/19	50,000	47,159
25,000	FHLM S/U 4% 8/15/19	25,000	24,551
25,000	FHLMC 2.00% 7/15/14	25,000	24,999
16,843	FHLMC 4.379% 1/01/35	16,946	17,220
50,000	FHLMC 6.00% 4/15/32	50,789	53,118
51,000	FNMA 4.06% 6/01/13	48,195	52,773
50,000	RALEIGH CNTY WV 7% 6/1/11	50,000	53,375
50,000	BELL SOUTH 5.20% 12/15/16	50,000	51,635
50,000	CATERPILLER 5.8% 12/15/10	50,000	51,674
50,000	DISCOVER BANK CD 1.5% 2/16/10	50,000	50,052
50,000	FIRSTBANK PR CD 5.00% 7/3/13	50,000	53,223
50,000	G.E. CAP 5.00% 2/15/12	50,000	52,062
50,000	GTE NORTH 6.73% 2/15/28	49,881	47,925
50,000	NAT'L FUEL GAS 7.375% 6/13/25	49,500	52,428
50,000	SBC COMM 5.875% 8/15/12	49,792	54,585
489	ISHARES BARCLAYS TIP	49,966	50,807
	TOTAL	715,069	737,586

THE ELLEN KNOWLES HARCOURT FOUNDATION, INC.

2009 FORM 990-PF *FED I.D. 06-1068025*

PART XV GRANTS AND CONTRIBUTIONS PAID

NAME	ADDRESS	PURPOSE	AMOUNT
After School Arts Program	Washington, CT	Support for student financial aid	3,000
Community Culinary School	New Milford, CT	Training program support	5,000
H.O.R.S.E. of CT	New Preston, CT	Program support	2,500
Housatonic Valley Assoc.	Cornwall, CT	Environmental program support	5,000
Interlude, Inc.	New Milford, CT	Grant for equipment and client support	1,900
J. Pettibone Mem. Scholarship Fund	New Milford, CT	Scholarship assistance	21,000
Livingston Ripley Sanctuary	Litchfield, CT	Wildlife program support	250
Mad Gardeners, Inc.	New Milford, CT	Program support	2,000
Merryall Community Center	New Milford, CT	Building improvements	3,000
Music Mountain, Inc.	Cornwall, CT	Music program support	2,500
New Milford High School	New Milford, CT	Scholarship assistance	4,500
New Milford Hospital	New Milford, CT	Grant for equipment	3,000
N.W. CT Assoc. for the Arts	Torrington, CT	Support for community outreach	2,000
Susan B. Anthony Project	Torrington, CT	Program support	3,000
The Children's Center	New Milford, CT	Program support	5,000
New Milford V.N.A.	New Milford, CT	Grant for equipment	6,000
A Voice for Joanie	New Milford, CT	Equipment and program support	5,000
Women's Center of Danbury	Danbury, CT	Grant for equipment	2,000
Total Charitable Grants			76,650