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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: ELLEN V. SMITH TRUST 9TH CLAUSE

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1802 | Room/suite

City or town, state, and ZIP code: PROVIDENCE, RI 02901-1802

A Employer identification number 05-6069745

B Telephone number (see page 10 of the instructions) ( ) -

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,638,553.

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ [X] if the foundation is **not** required to attach Sch B.				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	51,285.	51,079.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-37,584.			
	b	Gross sales price for all assets on line 6a 607,049.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,311.			STMT 4
	12	**Total.** Add lines 1 through 11	15,012.	51,079.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	16,463.	6,585.		9,878.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 5	944.	144.	NONE	800.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) STMT 6	504.	504.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) STMT 7	4,552.			4,552.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	22,463.	7,233.	NONE	15,230.
	25	Contributions, gifts, grants paid	82,750.			82,750.
	26	**Total expenses and disbursements** Add lines 24 and 25	105,213.	7,233.	NONE	97,980.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-90,201.			
	b	**Net investment income** (if negative, enter -0-)		43,846.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Form **990-PF** (2009)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	NONE	NONE	
2 Savings and temporary cash investments	75,831.	34,297.	34,297.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7 Other notes and loans receivable (attach schedule) ▶ *		* NONE	
Less: allowance for doubtful accounts ▶	NONE	NONE	NONE
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U S and state government obligations (attach schedule)	25,008.	25,008.	25,055.
b Investments - corporate stock (attach schedule)	1,471,088.	1,427,543.	1,579,201.
c Investments - corporate bonds (attach schedule)	NONE	NONE	NONE
11 Investments - land, buildings, and equipment basis ▶ NONE			
Less accumulated depreciation (attach schedule) ▶	NONE	NONE	
12 Investments - mortgage loans	NONE	NONE	
13 Investments - other (attach schedule) STMT 8	NONE	NONE	NONE
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)	NONE	NONE	NONE
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,571,927.	1,486,848.	1,638,553.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
27 Capital stock, trust principal, or current funds	1,571,927.	1,486,848.	
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	NONE	NONE	
30 **Total net assets or fund balances** (see page 17 of the instructions)	1,571,927.	1,486,848.	
31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,571,927.	1,486,848.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,571,927.
2 Enter amount from Part I, line 27a	2	-90,201.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	5,458.
4 Add lines 1, 2, and 3	4	1,487,184.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	336.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,486,848.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	SEE PART IV DETAIL			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-37,584.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	74,838.	1,685,412.	0.04440338623
2007	87,334.	1,907,731.	0.04577899085
2006	80,619.	1,795,217.	0.04490766297
2005	96,866.	1,723,811.	0.05619293530
2004	86,754.	1,687,726.	0.05140289360

2	**Total** of line 1, column (d)	2	0.24268586895
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.04853717379
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,488,011.
5	Multiply line 4 by line 3	5	72,224.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	438.
7	Add lines 5 and 6	7	72,662.
8	Enter qualifying distributions from Part XII, line 4	8	97,980.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	438.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	438.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	438.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 996.		
b	Exempt foreign organizations-tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	996.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	558.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 440. **Refunded** ▶	11	118.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 11			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PRIVATE BANK TAX SERVICES Telephone no ▶ (401)278-6812

Located at ▶ P.O. BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 20901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (If not paid, enter -0-)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SEE STATEMENT 12		16,463.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 13		NONE

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	1,456,799.
b	Average of monthly cash balances	1b	53,872.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	1,510,671.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,510,671.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	22,660.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,488,011.
6	**Minimum investment return.** Enter 5% of line 5	6	74,401.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	74,401.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	438.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	438.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	73,963.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	73,963.
6	Deduction from distributable amount (see page 25 of the instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	73,963.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	97,980.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	97,980.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	438.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	97,542.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				73,963.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			37,972.	
b Total for prior years 20 07 ,20 ___ ,20 ___		NONE		
3 Excess distributions carryover, if any, to 2009.				
a From 2004 ...... NONE				
b From 2005 ...... NONE				
c From 2006 ...... NONE				
d From 2007 ...... NONE				
e From 2008 ...... NONE				
f **Total** of lines 3a through e	NONE			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 97,980.				
a Applied to 2008, but not more than line 2a			37,972.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2009 distributable amount				60,008.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				13,955.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2005 ... NONE				
b Excess from 2006 ... NONE				
c Excess from 2007 ... NONE				
d Excess from 2008 ... NONE				
e Excess from 2009 ... NONE				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 14

b The form in which applications should be submitted and information and materials they should include.

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 15				
Total . . . . ▶ **3a**				82,750.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	51,285.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-37,584.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b FEDERAL TAX REFUND			1	1,311.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				15,012.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 15,012.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

[signature] AUSTIN WENTWORTH — 03/19/2010 — SVP Tax

Signature of officer or trustee — Date — Title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code		EIN	Phone no.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					5,744.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					79.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					-4,151.	
		90. CVS CAREMARK CORP COM PROPERTY TYPE: SECURITIES					10/16/2008	01/13/2009
2,338.00		2,351.00					-13.00	
		25. COCA COLA CO COM PROPERTY TYPE: SECURITIES					09/27/2005	01/13/2009
1,102.00		1,065.00					37.00	
		85. WELLS FARGO COMPANY PROPERTY TYPE: SECURITIES					01/02/2003	01/13/2009
2,083.00		2,061.00					22.00	
		35. NOBLE CORP COM PROPERTY TYPE: SECURITIES					05/13/2008	01/13/2009
780.00		2,197.00					-1,417.00	
		25. NOBLE CORP COM PROPERTY TYPE: SECURITIES					04/03/2008	01/13/2009
557.00		1,305.00					-748.00	
		55. NOBLE CORP COM PROPERTY TYPE: SECURITIES					02/14/2008	01/13/2009
1,225.00		2,668.00					-1,443.00	
		60. BEST BUY INCORPORATED PROPERTY TYPE: SECURITIES					05/15/2008	02/02/2009
1,644.00		2,701.00					-1,057.00	
		10. BEST BUY INCORPORATED PROPERTY TYPE: SECURITIES					05/13/2008	02/02/2009
274.00		435.00					-161.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. COCA COLA CO COM PROPERTY TYPE: SECURITIES					09/27/2005	02/02/2009
418.00		426.00					-8.00	
		30. COCA COLA CO COM PROPERTY TYPE: SECURITIES					10/27/2005	02/02/2009
1,254.00		1,271.00					-17.00	
		10. PEPSICO INCORPORATED PROPERTY TYPE: SECURITIES					05/13/2008	02/02/2009
493.00		670.00					-177.00	
		5. PEPSICO INCORPORATED PROPERTY TYPE: SECURITIES					01/02/2003	02/02/2009
246.00		215.00					31.00	
		60. PEPSICO INCORPORATED PROPERTY TYPE: SECURITIES					09/18/2002	02/02/2009
2,955.00		2,324.00					631.00	
		10. SCHLUMBERGER LIMITED PROPERTY TYPE: SECURITIES					07/02/2008	02/02/2009
397.00		1,077.00					-680.00	
		30. SCHLUMBERGER LIMITED PROPERTY TYPE: SECURITIES					01/31/2006	02/02/2009
1,190.00		1,914.00					-724.00	
		50. HEINZ H J CO PROPERTY TYPE: SECURITIES					10/02/2008	02/26/2009
1,705.00		2,512.00					-807.00	
		55. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					12/05/2006	02/26/2009
1,482.00		2,504.00					-1,022.00	
		120. NOKIA CORPORATION SPONSORED ADR PROPERTY TYPE: SECURITIES					01/10/2006	02/26/2009
1,153.00		2,292.00					-1,139.00	
		135. NOKIA CORPORATION SPONSORED ADR PROPERTY TYPE: SECURITIES					01/31/2006	02/26/2009
1,297.00		2,473.00					-1,176.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		25. NOKIA CORPORATION SPONSORED ADR PROPERTY TYPE: SECURITIES					10/02/2008	02/26/2009
240.00		437.00					-197.00	
		5. PRAXAIR INCORPORATED PROPERTY TYPE: SECURITIES					09/18/2008	02/26/2009
304.00		405.00					-101.00	
		5. PRAXAIR INCORPORATED PROPERTY TYPE: SECURITIES					03/20/2006	02/26/2009
304.00		283.00					21.00	
		5. RIO TINTO PLC SPONSORED ADR PROPERTY TYPE: SECURITIES					02/05/2004	02/26/2009
533.00		514.00					19.00	
		10. RIO TINTO PLC SPONSORED ADR PROPERTY TYPE: SECURITIES					12/17/2008	02/26/2009
1,066.00		966.00					100.00	
		35. VERIZON COMMUNICATIONS PROPERTY TYPE: SECURITIES					11/27/2007	02/26/2009
1,012.00		1,448.00					-436.00	
		135. INVESCO LTD COM PROPERTY TYPE: SECURITIES					05/13/2008	02/26/2009
1,657.00		3,823.00					-2,166.00	
		45. INVESCO LTD COM PROPERTY TYPE: SECURITIES					07/24/2008	02/26/2009
552.00		1,080.00					-528.00	
		15. ABBOTT LABORATORIES PROPERTY TYPE: SECURITIES					03/29/2007	03/09/2009
708.00		838.00					-130.00	
		35. DEVON ENERGY CORPORATION PROPERTY TYPE: SECURITIES					07/02/2008	03/09/2009
1,404.00		4,333.00					-2,929.00	
		35. DEVON ENERGY CORPORATION PROPERTY TYPE: SECURITIES					11/25/2008	03/09/2009
1,404.00		2,542.00					-1,138.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		100. HOME DEPOT INC PROPERTY TYPE: SECURITIES					06/04/2008	03/09/2009
1,876.00		2,748.00					-872.00	
		40. HOME DEPOT INC PROPERTY TYPE: SECURITIES					07/24/2008	03/09/2009
750.00		981.00					-231.00	
		45. HOME DEPOT INC PROPERTY TYPE: SECURITIES					12/17/2008	03/09/2009
844.00		1,097.00					-253.00	
		25. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					12/05/2006	03/09/2009
515.00		1,138.00					-623.00	
		35. AGCO CORP PROPERTY TYPE: SECURITIES					08/27/2008	03/17/2009
631.00		2,159.00					-1,528.00	
		20. BURLINGTON NORTHERN SANTA FE CORP PROPERTY TYPE: SECURITIES					08/27/2008	03/17/2009
1,143.00		2,096.00					-953.00	
		15. GENENTECH INC PROPERTY TYPE: SECURITIES					09/28/2006	03/17/2009
1,407.00		1,241.00					166.00	
		35. GENENTECH INC PROPERTY TYPE: SECURITIES					12/20/2007	03/17/2009
3,282.00		2,372.00					910.00	
		5. HEINZ H J CO PROPERTY TYPE: SECURITIES					10/02/2008	03/17/2009
170.00		251.00					-81.00	
		25. HEINZ H J CO PROPERTY TYPE: SECURITIES					10/16/2008	03/17/2009
848.00		1,013.00					-165.00	
		45. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					04/03/2007	03/17/2009
1,187.00		2,044.00					-857.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					03/29/2007	03/17/2009
264.00		440.00					-176.00	
		40. SCHLUMBERGER LIMITED PROPERTY TYPE: SECURITIES					02/09/2006	03/17/2009
1,684.00		2,334.00					-650.00	
		25. AGCO CORP PROPERTY TYPE: SECURITIES					08/27/2008	03/26/2009
496.00		1,542.00					-1,046.00	
		30. AGCO CORP PROPERTY TYPE: SECURITIES					09/18/2008	03/26/2009
595.00		1,440.00					-845.00	
		45. AGCO CORP PROPERTY TYPE: SECURITIES					12/17/2008	03/26/2009
892.00		1,065.00					-173.00	
		5. DISNEY WALT CO PROPERTY TYPE: SECURITIES					08/14/2007	03/26/2009
93.00		162.00					-69.00	
		50. DISNEY WALT CO PROPERTY TYPE: SECURITIES					04/03/2008	03/26/2009
933.00		1,580.00					-647.00	
		15. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					01/13/2009	03/26/2009
1,058.00		1,167.00					-109.00	
		25. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					11/30/2005	03/26/2009
1,764.00		1,462.00					302.00	
		15. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					06/02/2005	03/26/2009
1,058.00		855.00					203.00	
		50. HEINZ H J CO PROPERTY TYPE: SECURITIES					10/16/2008	03/26/2009
1,736.00		2,026.00					-290.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		45. BEST BUY INCORPORATED PROPERTY TYPE: SECURITIES					05/13/2008	04/03/2009
1,791.00		1,958.00					-167.00	
		25. BURLINGTON NORTHERN SANTA FE CORP PROPERTY TYPE: SECURITIES					08/27/2008	04/08/2009
1,570.00		2,620.00					-1,050.00	
		25. BURLINGTON NORTHERN SANTA FE CORP PROPERTY TYPE: SECURITIES					10/02/2008	04/08/2009
1,570.00		2,081.00					-511.00	
		110. CISCO SYSTEMS INCORPORATED PROPERTY TYPE: SECURITIES					06/15/2006	04/08/2009
1,885.00		2,195.00					-310.00	
		30. CISCO SYSTEMS INCORPORATED PROPERTY TYPE: SECURITIES					01/02/2003	04/08/2009
514.00		407.00					107.00	
		30. CISCO SYSTEMS INCORPORATED PROPERTY TYPE: SECURITIES					03/07/2003	04/08/2009
514.00		399.00					115.00	
		10. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					06/02/2005	04/08/2009
690.00		570.00					120.00	
		25. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					02/05/2004	04/08/2009
1,725.00		1,012.00					713.00	
		10. HARTFORD FINANCIAL SVCS GRP PROPERTY TYPE: SECURITIES					01/10/2005	04/08/2009
98.00		680.00					-582.00	
		50. HARTFORD FINANCIAL SVCS GRP PROPERTY TYPE: SECURITIES					03/22/2004	04/08/2009
489.00		3,115.00					-2,626.00	
		80. HARTFORD FINANCIAL SVCS GRP PROPERTY TYPE: SECURITIES					10/02/2008	04/08/2009
782.00		2,654.00					-1,872.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		115. HARTFORD FINANCIAL SVCS GRP PROPERTY TYPE: SECURITIES					10/06/2008	04/08/2009
1,124.00		3,515.00					-2,391.00	
		40. PEPSICO INCORPORATED PROPERTY TYPE: SECURITIES					09/18/2002	04/08/2009
2,081.00		1,550.00					531.00	
		70. CISCO SYSTEMS INCORPORATED PROPERTY TYPE: SECURITIES					03/07/2003	04/22/2009
1,258.00		931.00					327.00	
		100. CISCO SYSTEMS INCORPORATED PROPERTY TYPE: SECURITIES					10/15/2002	04/22/2009
1,798.00		1,097.00					701.00	
		10. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					08/12/2008	04/22/2009
517.00		716.00					-199.00	
		5. AMAZON COM INC PROPERTY TYPE: SECURITIES					10/18/2007	05/04/2009
403.00		450.00					-47.00	
		15. AMAZON COM INC PROPERTY TYPE: SECURITIES					08/02/2007	05/04/2009
1,208.00		1,162.00					46.00	
		35. COCA COLA CO COM PROPERTY TYPE: SECURITIES					10/27/2005	05/04/2009
1,504.00		1,483.00					21.00	
		20. DUN & BRADSTREET CORP DEL NEW COM PROPERTY TYPE: SECURITIES					05/15/2008	05/04/2009
1,599.00		1,835.00					-236.00	
		5. DUN & BRADSTREET CORP DEL NEW COM PROPERTY TYPE: SECURITIES					02/20/2007	05/04/2009
400.00		449.00					-49.00	
		50. NIKE INC CL B PROPERTY TYPE: SECURITIES					08/27/2008	05/04/2009
2,741.00		2,976.00					-235.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		35. SOUTHERN CO PROPERTY TYPE: SECURITIES					09/18/2008	05/04/2009
1,007.00		1,382.00					-375.00	
		20. SOUTHERN CO PROPERTY TYPE: SECURITIES					10/16/2008	05/04/2009
575.00		636.00					-61.00	
		15. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					02/05/2004	05/08/2009
1,062.00		607.00					455.00	
		5. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					01/15/2003	05/08/2009
354.00		175.00					179.00	
		10. INTERNATIONAL BUSINESS MACHS PROPERTY TYPE: SECURITIES					03/20/2006	05/08/2009
1,006.00		838.00					168.00	
		20. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					08/12/2008	05/08/2009
1,102.00		1,433.00					-331.00	
		25. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					10/02/2008	05/08/2009
1,377.00		1,700.00					-323.00	
		45. AMGEN INC PROPERTY TYPE: SECURITIES					10/02/2008	05/20/2009
2,281.00		2,677.00					-396.00	
		10. DUN & BRADSTREET CORP DEL NEW COM PROPERTY TYPE: SECURITIES					02/20/2007	05/20/2009
829.00		898.00					-69.00	
		10. ENTERGY CORP NEW COM PROPERTY TYPE: SECURITIES					12/05/2006	05/20/2009
749.00		907.00					-158.00	
		25. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					01/15/2003	05/20/2009
1,773.00		874.00					899.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. INTERNATIONAL BUSINESS MACHS PROPERTY TYPE: SECURITIES					03/20/2006	05/20/2009
1,054.00		838.00					216.00	
		15. KIMBERLY CLARK CORP COM PROPERTY TYPE: SECURITIES					08/12/2008	05/20/2009
774.00		931.00					-157.00	
		15. LOCKHEED MARTIN CORPORATION PROPERTY TYPE: SECURITIES					10/16/2008	05/20/2009
1,227.00		1,288.00					-61.00	
		35. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					03/29/2007	05/20/2009
918.00		1,540.00					-622.00	
		30. METLIFE INC PROPERTY TYPE: SECURITIES					04/22/2009	05/20/2009
975.00		814.00					161.00	
		140. METLIFE INC PROPERTY TYPE: SECURITIES					11/25/2008	05/20/2009
4,549.00		3,247.00					1,302.00	
		15. METLIFE INC PROPERTY TYPE: SECURITIES					03/17/2009	05/20/2009
487.00		300.00					187.00	
		10. MONSANTO CO NEW COM PROPERTY TYPE: SECURITIES					09/20/2006	05/20/2009
929.00		467.00					462.00	
		20. PRAXAIR INCORPORATED PROPERTY TYPE: SECURITIES					03/20/2006	05/20/2009
1,490.00		1,130.00					360.00	
		30. WASTE MANAGEMENT INC PROPERTY TYPE: SECURITIES					08/12/2008	05/20/2009
859.00		1,061.00					-202.00	
		20. WASTE MANAGEMENT INC PROPERTY TYPE: SECURITIES					06/29/2005	05/20/2009
573.00		574.00					-1.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		15. ENTERGY CORP NEW COM PROPERTY TYPE: SECURITIES					12/05/2006	06/02/2009
1,132.00		1,361.00					-229.00	
		45. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					01/15/2003	06/02/2009
3,267.00		1,573.00					1,694.00	
		10. MONSANTO CO NEW COM PROPERTY TYPE: SECURITIES					09/20/2006	06/02/2009
812.00		467.00					345.00	
		25. US BANCORP DEL COM NEW PROPERTY TYPE: SECURITIES					03/29/2007	06/02/2009
447.00		873.00					-426.00	
		5. US BANCORP DEL COM NEW PROPERTY TYPE: SECURITIES					08/12/2005	06/02/2009
89.00		151.00					-62.00	
		45. VERIZON COMMUNICATIONS PROPERTY TYPE: SECURITIES					11/27/2007	06/02/2009
1,321.00		1,862.00					-541.00	
		40. WASTE MANAGEMENT INC PROPERTY TYPE: SECURITIES					06/29/2005	06/02/2009
1,147.00		1,149.00					-2.00	
		30. AUTODESK INC (DEL) PROPERTY TYPE: SECURITIES					02/07/2008	06/19/2009
618.00		1,156.00					-538.00	
		35. AUTODESK INC (DEL) PROPERTY TYPE: SECURITIES					09/20/2006	06/19/2009
721.00		1,276.00					-555.00	
		20. ENTERGY CORP NEW COM PROPERTY TYPE: SECURITIES					11/21/2006	06/19/2009
1,550.00		1,751.00					-201.00	
		40. MCKESSON HBOC INC PROPERTY TYPE: SECURITIES					01/13/2009	06/19/2009
1,782.00		1,674.00					108.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. MCKESSON HBOC INC PROPERTY TYPE: SECURITIES					12/17/2008	06/19/2009
445.00		381.00					64.00	
		125. NEWS CORP CL A PROPERTY TYPE: SECURITIES					12/19/2007	06/19/2009
1,189.00		2,541.00					-1,352.00	
		40. NEWS CORP CL A PROPERTY TYPE: SECURITIES					04/03/2008	06/19/2009
381.00		786.00					-405.00	
		30. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES					03/17/2009	06/19/2009
1,424.00		1,364.00					60.00	
		20. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES					03/09/2009	06/19/2009
950.00		868.00					82.00	
		1319.958 COLUMBIA ACORN FUND CLASS Z SHA PROPERTY TYPE: SECURITIES					04/10/2002	08/05/2009
28,577.00		25,000.00					3,577.00	
		955.414 COLUMBIA ACORN FUND CLASS Z SHAR PROPERTY TYPE: SECURITIES					08/21/2002	08/05/2009
20,685.00		15,000.00					5,685.00	
		357.674 COLUMBIA ACORN FUND CLASS Z SHAR PROPERTY TYPE: SECURITIES					10/11/2002	08/05/2009
7,744.00		4,993.00					2,751.00	
		470.05 COLUMBIA ACORN INTERNATIONAL FUND PROPERTY TYPE: SECURITIES					11/14/2002	08/05/2009
14,130.00		7,102.00					7,028.00	
		3801.882 COLUMBIA LARGE CAP VALUE FUND C PROPERTY TYPE: SECURITIES					05/12/2004	08/05/2009
36,004.00		45,000.00					-8,996.00	
		2334.683 COLUMBIA INTERNATIONAL STOCK FU PROPERTY TYPE: SECURITIES					08/21/2002	08/05/2009
25,518.00		25,204.00					314.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		3871.599 COLUMBIA INTERNATIONAL STOCK FU PROPERTY TYPE: SECURITIES					09/05/2002	08/05/2009
42,317.00		40,000.00					2,317.00	
		5707.763 COLUMBIA CONSERVATIVE HIGH YIEL PROPERTY TYPE: SECURITIES					04/10/2002	08/05/2009
40,753.00		50,000.00					-9,247.00	
		2962.085 COLUMBIA CONSERVATIVE HIGH YIEL PROPERTY TYPE: SECURITIES					01/15/2003	08/05/2009
21,149.00		25,000.00					-3,851.00	
		1184.834 COLUMBIA CONSERVATIVE HIGH YIEL PROPERTY TYPE: SECURITIES					03/03/2003	08/05/2009
8,460.00		10,000.00					-1,540.00	
		3676.471 COLUMBIA CONSERVATIVE HIGH YIEL PROPERTY TYPE: SECURITIES					08/21/2002	08/05/2009
26,250.00		30,000.00					-3,750.00	
		2346.201 COLUMBIA LARGE CAP GROWTH FUND PROPERTY TYPE: SECURITIES					05/12/2004	08/05/2009
42,889.00		45,000.00					-2,111.00	
		518.018 COLUMBIA SMALL CAP CORE FUND CLA PROPERTY TYPE: SECURITIES					08/21/2002	08/05/2009
5,797.00		7,257.00					-1,460.00	
		2057.613 COLUMBIA SMALL CAP CORE FUND CL PROPERTY TYPE: SECURITIES					10/11/2002	08/05/2009
23,025.00		25,000.00					-1,975.00	
		15. AMAZON COM INC PROPERTY TYPE: SECURITIES					08/02/2007	09/25/2009
1,364.00		1,162.00					202.00	
		20. AMAZON COM INC PROPERTY TYPE: SECURITIES					08/14/2007	09/25/2009
1,818.00		1,477.00					341.00	
		20. AUTODESK INC (DEL) PROPERTY TYPE: SECURITIES					09/20/2006	09/25/2009
474.00		729.00					-255.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		50. AUTODESK INC (DEL) PROPERTY TYPE: SECURITIES					12/17/2008	09/25/2009
1,185.00		954.00					231.00	
		20. AVON PRODUCTS INC PROPERTY TYPE: SECURITIES					04/03/2008	09/25/2009
659.00		817.00					-158.00	
		60. AVON PRODUCTS INC PROPERTY TYPE: SECURITIES					05/13/2008	09/25/2009
1,976.00		2,349.00					-373.00	
		10. AVON PRODUCTS INC PROPERTY TYPE: SECURITIES					02/05/2008	09/25/2009
329.00		382.00					-53.00	
		75. CIGNA CORPORATION PROPERTY TYPE: SECURITIES					03/17/2009	09/25/2009
2,142.00		1,276.00					866.00	
		40. CORNING INC PROPERTY TYPE: SECURITIES					06/02/2009	09/25/2009
593.00		616.00					-23.00	
		85. CORNING INC PROPERTY TYPE: SECURITIES					04/22/2009	09/25/2009
1,259.00		1,285.00					-26.00	
		25. CORNING INC PROPERTY TYPE: SECURITIES					04/08/2009	09/25/2009
370.00		373.00					-3.00	
		15. DUN & BRADSTREET CORP DEL NEW COM PROPERTY TYPE: SECURITIES					02/20/2007	09/25/2009
1,110.00		1,347.00					-237.00	
		15. DUN & BRADSTREET CORP DEL NEW COM PROPERTY TYPE: SECURITIES					01/23/2007	09/25/2009
1,110.00		1,278.00					-168.00	
		20. ENTERGY CORP NEW COM PROPERTY TYPE: SECURITIES					11/21/2006	09/25/2009
1,590.00		1,736.00					-146.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. EXELON CORP COM PROPERTY TYPE: SECURITIES					02/14/2008	09/25/2009
999.00		1,578.00					-579.00	
		25. EXPRESS SCRIPTS INC CL A PROPERTY TYPE: SECURITIES					08/07/2007	09/25/2009
1,934.00		1,316.00					618.00	
		50. EXPRESS SCRIPTS INC CL A PROPERTY TYPE: SECURITIES					04/03/2007	09/25/2009
3,869.00		2,088.00					1,781.00	
		45. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					09/18/2002	09/25/2009
3,099.00		1,517.00					1,582.00	
		115. GENERAL ELEC CO PROPERTY TYPE: SECURITIES					07/31/2003	09/25/2009
1,872.00		3,294.00					-1,422.00	
		125. GENERAL ELEC CO PROPERTY TYPE: SECURITIES					06/25/2008	09/25/2009
2,034.00		3,562.00					-1,528.00	
		100. GENERAL ELEC CO PROPERTY TYPE: SECURITIES					09/18/2002	09/25/2009
1,627.00		2,788.00					-1,161.00	
		15. GENERAL ELEC CO PROPERTY TYPE: SECURITIES					03/03/2003	09/25/2009
244.00		360.00					-116.00	
		20. GILEAD SCIENCES INC PROPERTY TYPE: SECURITIES					06/04/2008	09/25/2009
926.00		1,130.00					-204.00	
		30. GILEAD SCIENCES INC PROPERTY TYPE: SECURITIES					07/24/2008	09/25/2009
1,390.00		1,583.00					-193.00	
		10. GILEAD SCIENCES INC PROPERTY TYPE: SECURITIES					03/17/2009	09/25/2009
463.00		453.00					10.00	

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		5. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					05/13/2008	09/25/2009
903.00		957.00					-54.00	
		165. HARTFORD FINANCIAL SVCS GRP PROPERTY TYPE: SECURITIES					05/20/2009	09/25/2009
4,276.00		2,793.00					1,483.00	
		80. HARTFORD FINANCIAL SVCS GRP PROPERTY TYPE: SECURITIES					06/02/2009	09/25/2009
2,073.00		1,259.00					814.00	
		20. J P MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES					03/29/2007	09/25/2009
880.00		964.00					-84.00	
		5. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					10/02/2008	09/25/2009
304.00		340.00					-36.00	
		20. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					06/04/2008	09/25/2009
1,214.00		1,333.00					-119.00	
		20. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					03/29/2007	09/25/2009
628.00		880.00					-252.00	
		55. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					07/24/2008	09/25/2009
1,728.00		1,804.00					-76.00	
		20. NEWS CORP CL A PROPERTY TYPE: SECURITIES					04/03/2008	09/25/2009
231.00		393.00					-162.00	
		65. NEWS CORP CL A PROPERTY TYPE: SECURITIES					01/16/2008	09/25/2009
750.00		1,271.00					-521.00	
		85. NEWS CORP CL A PROPERTY TYPE: SECURITIES					05/15/2008	09/25/2009
981.00		1,625.00					-644.00	

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		15. POTASH CORP SASK INC PROPERTY TYPE: SECURITIES					01/16/2008	09/25/2009
1,346.00		2,085.00					-739.00	
		10. POTASH CORP SASK INC PROPERTY TYPE: SECURITIES					12/17/2008	09/25/2009
897.00		786.00					111.00	
		10. SOUTHWESTERN ENERGY CO PROPERTY TYPE: SECURITIES					03/17/2009	09/25/2009
411.00		301.00					110.00	
		45. SOUTHWESTERN ENERGY CO PROPERTY TYPE: SECURITIES					11/15/2007	09/25/2009
1,849.00		1,176.00					673.00	
		10. STAPLES INCORPORATED PROPERTY TYPE: SECURITIES					08/27/2008	09/25/2009
228.00		244.00					-16.00	
		15. STATE STREET CORP PROPERTY TYPE: SECURITIES					06/19/2007	09/25/2009
779.00		1,036.00					-257.00	
		10. STATE STREET CORP PROPERTY TYPE: SECURITIES					05/21/2007	09/25/2009
519.00		686.00					-167.00	
		65. TJX COMPANIES INCORPORATED NEW PROPERTY TYPE: SECURITIES					06/19/2009	09/25/2009
2,402.00		2,006.00					396.00	
		10. TEXAS INSTRS INC PROPERTY TYPE: SECURITIES					10/18/2007	09/25/2009
234.00		342.00					-108.00	
		10. THERMO ELECTRON CORP PROPERTY TYPE: SECURITIES					05/13/2008	09/25/2009
442.00		578.00					-136.00	
		45. THERMO ELECTRON CORP PROPERTY TYPE: SECURITIES					05/13/2008	09/25/2009
1,990.00		1,990.00						

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		25. UNITED PARCEL SERVICE CL B PROPERTY TYPE: SECURITIES					04/08/2009	09/25/2009
1,423.00		1,302.00					121.00	
		40. VERIZON COMMUNICATIONS PROPERTY TYPE: SECURITIES					11/27/2007	09/25/2009
1,201.00		1,655.00					-454.00	
		105. WAL-MART STORES INCORPORATED PROPERTY TYPE: SECURITIES					06/04/2008	09/25/2009
5,200.00		6,082.00					-882.00	
		45. WAL-MART STORES INCORPORATED PROPERTY TYPE: SECURITIES					05/15/2008	09/25/2009
2,229.00		2,569.00					-340.00	
		20. APACHE CORPORATION PROPERTY TYPE: SECURITIES					09/25/2009	10/05/2009
1,845.00		1,844.00					1.00	
		5. APACHE CORPORATION PROPERTY TYPE: SECURITIES					02/02/2009	10/05/2009
461.00		368.00					93.00	
		55. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					09/18/2002	10/05/2009
3,701.00		1,855.00					1,846.00	
		15. HESS CORP COM PROPERTY TYPE: SECURITIES					02/14/2008	10/05/2009
800.00		1,388.00					-588.00	
		10. HESS CORP COM PROPERTY TYPE: SECURITIES					03/26/2009	10/05/2009
533.00		648.00					-115.00	
		30. HESS CORP COM PROPERTY TYPE: SECURITIES					04/08/2009	10/05/2009
1,600.00		1,710.00					-110.00	
		15. HESS CORP COM PROPERTY TYPE: SECURITIES					01/23/2007	10/05/2009
800.00		770.00					30.00	

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		40. LIFE TECHNOLOGIES CORP COM PROPERTY TYPE: SECURITIES					05/08/2009	10/05/2009
1,855.00		1,472.00					383.00	
		25. WAL-MART STORES INCORPORATED PROPERTY TYPE: SECURITIES					05/15/2008	10/05/2009
1,225.00		1,427.00					-202.00	
		30. WAL-MART STORES INCORPORATED PROPERTY TYPE: SECURITIES					05/04/2009	10/05/2009
1,469.00		1,536.00					-67.00	
		25. WAL-MART STORES INCORPORATED PROPERTY TYPE: SECURITIES					10/16/2008	10/05/2009
1,225.00		1,253.00					-28.00	
		15. ADOBE SYS INC PROPERTY TYPE: SECURITIES					01/16/2008	10/15/2009
535.00		570.00					-35.00	
		80. ADOBE SYS INC PROPERTY TYPE: SECURITIES					02/07/2008	10/15/2009
2,854.00		2,603.00					251.00	
		5. ADOBE SYS INC PROPERTY TYPE: SECURITIES					09/25/2009	10/15/2009
178.00		161.00					17.00	
		20. ADOBE SYS INC PROPERTY TYPE: SECURITIES					05/08/2009	10/15/2009
714.00		514.00					200.00	
		140. CORNING INC PROPERTY TYPE: SECURITIES					04/08/2009	10/15/2009
2,172.00		2,088.00					84.00	
		5. INTERNATIONAL BUSINESS MACHS PROPERTY TYPE: SECURITIES					03/20/2006	10/15/2009
635.00		419.00					216.00	
		20. INTERNATIONAL BUSINESS MACHS PROPERTY TYPE: SECURITIES					02/09/2006	10/15/2009
2,539.00		1,610.00					929.00	

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		65. CIGNA CORPORATION PROPERTY TYPE: SECURITIES					03/17/2009	11/17/2009
2,000.00		1,106.00					894.00	
		70. CIGNA CORPORATION PROPERTY TYPE: SECURITIES					03/09/2009	11/17/2009
2,154.00		978.00					1,176.00	
		15. CORNING INC PROPERTY TYPE: SECURITIES					04/08/2009	11/17/2009
253.00		224.00					29.00	
		145. CORNING INC PROPERTY TYPE: SECURITIES					02/26/2009	11/17/2009
2,450.00		1,564.00					886.00	
		55. CORNING INC PROPERTY TYPE: SECURITIES					03/09/2009	11/17/2009
929.00		571.00					358.00	
		40. DEAN FOODS CO NEW COM PROPERTY TYPE: SECURITIES					07/24/2008	11/17/2009
649.00		879.00					-230.00	
		50. DEAN FOODS CO NEW COM PROPERTY TYPE: SECURITIES					07/02/2008	11/17/2009
811.00		960.00					-149.00	
		10. GENERAL ELEC CO PROPERTY TYPE: SECURITIES					03/03/2003	11/17/2009
159.00		240.00					-81.00	
		55. GENERAL ELEC CO PROPERTY TYPE: SECURITIES					09/18/2008	11/17/2009
876.00		1,281.00					-405.00	
		5. GENERAL ELEC CO PROPERTY TYPE: SECURITIES					03/26/2009	11/17/2009
80.00		55.00					25.00	
		30. HESS CORP COM PROPERTY TYPE: SECURITIES					01/23/2007	11/17/2009
1,748.00		1,540.00					208.00	

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		25. HESS CORP COM PROPERTY TYPE: SECURITIES					11/25/2008	11/17/2009
1,457.00		1,192.00					265.00	
		75. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					06/04/2008	11/17/2009
4,661.00		5,000.00					-339.00	
		10. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					02/26/2009	11/17/2009
621.00		539.00					82.00	
		5. OCCIDENTAL PETROLEUM CORPORATION PROPERTY TYPE: SECURITIES					01/13/2009	11/17/2009
422.00		280.00					142.00	
		5. PHILIP MORRIS INTL INC COM PROPERTY TYPE: SECURITIES					01/13/2009	11/17/2009
254.00		212.00					42.00	
		45. TEXAS INSTRS INC PROPERTY TYPE: SECURITIES					10/18/2007	11/17/2009
1,161.00		1,541.00					-380.00	
		65. VALE S A ADR PROPERTY TYPE: SECURITIES					05/20/2009	11/17/2009
1,871.00		1,322.00					549.00	
		70. WASTE MANAGEMENT INC PROPERTY TYPE: SECURITIES					06/29/2005	11/17/2009
2,303.00		2,010.00					293.00	
		65. COMCAST CORP PROPERTY TYPE: SECURITIES					10/06/2008	12/18/2009
1,117.00		1,171.00					-54.00	
		30. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					05/20/2009	12/18/2009
2,808.00		2,237.00					571.00	
		20. EOG RESOURCES INC PROPERTY TYPE: SECURITIES					03/26/2009	12/18/2009
1,872.00		1,288.00					584.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30. PRAXAIR INCORPORATED PROPERTY TYPE: SECURITIES					03/20/2006	12/18/2009
2,407.00		1,695.00					712.00	
		55. STATE STREET CORP PROPERTY TYPE: SECURITIES					05/21/2007	12/18/2009
2,278.00		3,774.00					-1,496.00	
		60. STATE STREET CORP PROPERTY TYPE: SECURITIES					11/25/2008	12/18/2009
2,485.00		2,238.00					247.00	
		15. VALE S A ADR PROPERTY TYPE: SECURITIES					05/20/2009	12/18/2009
416.00		305.00					111.00	
		45. VALE S A ADR PROPERTY TYPE: SECURITIES					06/02/2009	12/18/2009
1,247.00		897.00					350.00	
		30. YUM BRANDS INC COM PROPERTY TYPE: SECURITIES					09/25/2009	12/18/2009
1,032.00		985.00					47.00	
		5. YUM BRANDS INC COM PROPERTY TYPE: SECURITIES					08/14/2007	12/18/2009
172.00		154.00					18.00	
		60. NABORS INDUSTRIES INC COM PROPERTY TYPE: SECURITIES					09/25/2009	12/18/2009
1,321.00		1,174.00					147.00	
		40. NABORS INDUSTRIES INC COM PROPERTY TYPE: SECURITIES					06/02/2009	12/18/2009
881.00		774.00					107.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN(LOSS)							-37,584.	

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ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AT&T INC	664.	664.
ABBOTT LABORATORIES	138.	138.
APACHE CORPORATION	51.	51.
AVON PRODUCTS INC	218.	218.
BEST BUY INCORPORATED	16.	16.
BURLINGTON NORTHERN SANTA FE CORP	56.	56.
CELANESE CORP DEL SER A COM	6.	6.
CHEVRONTEXACO CORP COM	92.	92.
COCA COLA CO COM	14.	14.
COLUMBIA ACORN FUND CLASS Z SHARES	71.	71.
COLUMBIA ACORN INTERNATIONAL FUND CL Z	1,011.	1,011.
COLUMBIA LARGE CAP VALUE FUND CLASS Z SH	210.	210.
COLUMBIA HIGH INCOME FUND CLASS Z SHARES	1,580.	1,580.
COLUMBIA INTERNATIONAL VALUE FUND CLASS	1,495.	1,495.
COLUMBIA MARSICO INT'L OPPORTUNITIES FD	819.	819.
COLUMBIA MID CAP VALUE FUND CLASS Z SHAR	172.	172.
COLUMBIA MONEY MARKET RESERVES G TRUST C	263.	263.
COLUMBIA SMALL CAP VALUE I FUND CLASS Z	150.	150.
COLUMBIA CONSERVATIVE HIGH YIELD FUND CL	4,159.	4,159.
COLUMBIA REAL ESTATE EQUITY FUND CLASS Z	428.	335.
COLUMBIA VALUE AND RESTRUCTURING FUND CL	230.	230.
COMCAST CORP	56.	56.
CORNING INC	59.	59.
DISNEY WALT CO	61.	61.
DOVER CORPORATION	14.	14.
DUN & BRADSTREET CORP DEL NEW COM	43.	43.
EOG RESOURCES INC	30.	30.
ENTERGY CORP NEW COM	113.	
EXELON CORP COM	200.	200.
EXXON MOBIL CORPORATION	225.	225.
FPL GROUP INC COM	154.	154.
FEDERAL NATIONAL MORTGAGE ASSN NOTE	1,813.	1,813.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FLOWSERVE CORP	11.	11.
GENERAL ELEC CO	386.	386.
GOLDMAN SACHS GROUP INC	97.	97.
HARTFORD FINANCIAL SVCS GRP	115.	115.
HEINZ H J CO	75.	75.
HESS CORP COM	42.	42.
HEWLETT PACKARD COMPANY	70.	70.
INTERNATIONAL BUSINESS MACHS	255.	255.
J P MORGAN CHASE & CO COM	163.	163.
JOHNSON & JOHNSON	179.	179.
KIMBERLY CLARK CORP COM	309.	309.
LOCKHEED MARTIN CORPORATION	100.	100.
LOWES COMPANIES INCORPORATED	66.	66.
MCKESSON HBOC INC	32.	32.
MERCK & CO INC COM	251.	251.
MICROSOFT CORPORATION	277.	277.
MOLSON COORS BREWING CO CL B	29.	29.
MONSANTO CO NEW COM	36.	36.
NEWS CORP CL A	30.	30.
NIKE INC CL B	25.	25.
NORDSTROM INCORPORATED	90.	90.
OCCIDENTAL PETROLEUM CORPORATION	148.	148.
PNC BK CORP	49.	49.
PACKAGING CORP OF AMERICA	42.	42.
PARKER HANNIFIN CORPORATION	45.	45.
PEPSICO INCORPORATED	66.	66.
PFIZER INC	192.	192.
PHILIP MORRIS INTL INC COM	413.	413.
POTASH CORP SASK INC	8.	8.
PRAXAIR INCORPORATED	56.	56.
PRINCIPAL FINL GROUP INC COM	80.	80.
PRUDENTIAL FINL INC COM	35.	35.
RIO TINTO PLC SPONSORED ADR	41.	41.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SCHLUMBERGER LIMITED		25.	25.
SEMPRA ENERGY		16.	16.
SOUTHERN CO		47.	47.
STAPLES INCORPORATED		74.	74.
STATE STREET CORP		38.	38.
TJX COMPANIES INCORPORATED NEW		8.	8.
TEVA PHARMACEUTICAL INDS LTD ADR		8.	8.
TEXAS INSTRS INC		163.	163.
US BANCORP DEL COM NEW		141.	141.
UNITED PARCEL SERVICE CL B		83.	83.
UNITED TECHNOLOGIES CORP		325.	325.
VALE S A ADR		35.	35.
VERIZON COMMUNICATIONS		113.	113.
WAL-MART STORES INCORPORATED		227.	227.
WASTE MANAGEMENT INC		99.	99.
WELLS FARGO COMPANY		25.	25.
YUM BRANDS INC COM		88.	88.
GOVERNMENT CREDIT CTF		31,226.	31,226.
AXIS CAPITAL HOLDINGS LTD COM		74.	74.
INVESCO LTD COM		18.	18.
TYCO INTL LTD NEW F COM		28.	28.
	TOTAL	51,285.	51,079.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
FEDERAL TAX REFUND		1,311.
	TOTALS	1,311.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
AUDIT & ACCOUNTING FEES (ALLOC	144.	144.		
TAX PREPARATION FEE (NON-ALLOC	800.			800.
TOTALS	944.	144.	NONE	800.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	8.	8.
FOREIGN TAXES ON QUALIFIED FOR	409.	409.
FOREIGN TAXES ON NONQUALIFIED	87.	87.
TOTALS	504.	504.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
OTHER ALLOCABLE EXPENSE-PRINCI	4,500.	4,500.
OTHER ALLOCABLE EXPENSE-INCOME	50.	50.
OTHER NON-ALLOCABLE EXPENSE -	2.	2.
TOTALS	4,552.	4,552.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F
SEE ATTACHED STATEMENT	C
TOTALS	

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
CTF COST ADJUSTMENT		4,758.
INCOME ADJ		700.
	TOTAL	5,458.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
ROUNDING		6.
SECURITIES		218.
SALES ADJ		112.
	TOTAL	336.

STATEMENT 10

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

STATE(S) WHERE THE FOUNDATION IS REGISTERED
RI

STATEMENT 11

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BANK OF AMERICA, N.A.
ADDRESS:
100 WESTMINSTER STREET
PROVIDENCE, RI 02903
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .... 16,463.

TOTAL COMPENSATION: 16,463.

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
==

NAME:
NONE

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745
FORM 990PF, PART XV - LINES 2a - 2d
====================================

RECIPIENT NAME:
  THE SMITH SCHOLARSHIP SELECTION COMMITTEE
FORM, INFORMATION AND MATERIALS:
  SEE ATTACHMENT
SUBMISSION DEADLINES:
  SEE ATTACHMENT
RESTRICTIONS OR LIMITATIONS ON AWARDS:
  SEE ATTACHMENT

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SEE ATTACHED STATEMENT
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 82,750.

TOTAL GRANTS PAID: 82,750.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No. 1545-0092

2009

Name of estate or trust: ELLEN V. SMITH TRUST 9TH CLAUSE

Employer identification number: 05-6069745

Note: *Form 5227 filers need to complete **only** Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-16,381.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	5,744.
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	-10,637.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			79.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-22,875.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	-4,151.
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-26,947.

Part III **Summary of Parts I and II**
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-10,637.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-26,947.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-37,584.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary.*

Part IV **Capital Loss Limitation**

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:		
a	The loss on line 15, column (3) **or** **b** $3,000	16	(3,000)

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: ELLEN V. SMITH TRUST 9TH CLAUSE

Employer identification number: 05-6069745

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 90. CVS CAREMARK CORP COM	10/16/2008	01/13/2009	2,338.00	2,351.00	-13.00
35. NOBLE CORP COM	05/13/2008	01/13/2009	780.00	2,197.00	-1,417.00
25. NOBLE CORP COM	04/03/2008	01/13/2009	557.00	1,305.00	-748.00
55. NOBLE CORP COM	02/14/2008	01/13/2009	1,225.00	2,668.00	-1,443.00
60. BEST BUY INCORPORATED	05/15/2008	02/02/2009	1,644.00	2,701.00	-1,057.00
10. BEST BUY INCORPORATED	05/13/2008	02/02/2009	274.00	435.00	-161.00
10. PEPSICO INCORPORATED	05/13/2008	02/02/2009	493.00	670.00	-177.00
10. SCHLUMBERGER LIMITED	07/02/2008	02/02/2009	397.00	1,077.00	-680.00
50. HEINZ H J CO	10/02/2008	02/26/2009	1,705.00	2,512.00	-807.00
25. NOKIA CORPORATION SPONSORED ADR	10/02/2008	02/26/2009	240.00	437.00	-197.00
5. PRAXAIR INCORPORATED	09/18/2008	02/26/2009	304.00	405.00	-101.00
10. RIO TINTO PLC SPONSORED ADR	12/17/2008	02/26/2009	1,066.00	966.00	100.00
135. INVESCO LTD COM	05/13/2008	02/26/2009	1,657.00	3,823.00	-2,166.00
45. INVESCO LTD COM	07/24/2008	02/26/2009	552.00	1,080.00	-528.00
35. DEVON ENERGY CORPORATION	07/02/2008	03/09/2009	1,404.00	4,333.00	-2,929.00
35. DEVON ENERGY CORPORATION	11/25/2008	03/09/2009	1,404.00	2,542.00	-1,138.00
100. HOME DEPOT INC	06/04/2008	03/09/2009	1,876.00	2,748.00	-872.00
40. HOME DEPOT INC	07/24/2008	03/09/2009	750.00	981.00	-231.00
45. HOME DEPOT INC	12/17/2008	03/09/2009	844.00	1,097.00	-253.00
35. AGCO CORP	08/27/2008	03/17/2009	631.00	2,159.00	-1,528.00
20. BURLINGTON NORTHERN SANTA FE CORP	08/27/2008	03/17/2009	1,143.00	2,096.00	-953.00
5. HEINZ H J CO	10/02/2008	03/17/2009	170.00	251.00	-81.00
25. HEINZ H J CO	10/16/2008	03/17/2009	848.00	1,013.00	-165.00
25. AGCO CORP	08/27/2008	03/26/2009	496.00	1,542.00	-1,046.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: ELLEN V. SMITH TRUST 9TH CLAUSE

Employer identification number: 05-6069745

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 30. AGCO CORP	09/18/2008	03/26/2009	595.00	1,440.00	-845.00
45. AGCO CORP	12/17/2008	03/26/2009	892.00	1,065.00	-173.00
50. DISNEY WALT CO	04/03/2008	03/26/2009	933.00	1,580.00	-647.00
15. EXXON MOBIL CORPORATION	01/13/2009	03/26/2009	1,058.00	1,167.00	-109.00
50. HEINZ H J CO	10/16/2008	03/26/2009	1,736.00	2,026.00	-290.00
45. BEST BUY INCORPORATED	05/13/2008	04/03/2009	1,791.00	1,958.00	-167.00
25. BURLINGTON NORTHERN SANTA FE CORP	08/27/2008	04/08/2009	1,570.00	2,620.00	-1,050.00
25. BURLINGTON NORTHERN SANTA FE CORP	10/02/2008	04/08/2009	1,570.00	2,081.00	-511.00
80. HARTFORD FINANCIAL SVCS GRP	10/02/2008	04/08/2009	782.00	2,654.00	-1,872.00
115. HARTFORD FINANCIAL SVCS GRP	10/06/2008	04/08/2009	1,124.00	3,515.00	-2,391.00
10. JOHNSON & JOHNSON	08/12/2008	04/22/2009	517.00	716.00	-199.00
20. DUN & BRADSTREET CORP DEL NEW COM	05/15/2008	05/04/2009	1,599.00	1,835.00	-236.00
50. NIKE INC CL B	08/27/2008	05/04/2009	2,741.00	2,976.00	-235.00
35. SOUTHERN CO	09/18/2008	05/04/2009	1,007.00	1,382.00	-375.00
20. SOUTHERN CO	10/16/2008	05/04/2009	575.00	636.00	-61.00
20. JOHNSON & JOHNSON	08/12/2008	05/08/2009	1,102.00	1,433.00	-331.00
25. JOHNSON & JOHNSON	10/02/2008	05/08/2009	1,377.00	1,700.00	-323.00
45. AMGEN INC	10/02/2008	05/20/2009	2,281.00	2,677.00	-396.00
15. KIMBERLY CLARK CORP COM	08/12/2008	05/20/2009	774.00	931.00	-157.00
15. LOCKHEED MARTIN CORPORATION	10/16/2008	05/20/2009	1,227.00	1,288.00	-61.00
30. METLIFE INC	04/22/2009	05/20/2009	975.00	814.00	161.00
140. METLIFE INC	11/25/2008	05/20/2009	4,549.00	3,247.00	1,302.00
15. METLIFE INC	03/17/2009	05/20/2009	487.00	300.00	187.00
30. WASTE MANAGEMENT INC	08/12/2008	05/20/2009	859.00	1,061.00	-202.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: ELLEN V. SMITH TRUST 9TH CLAUSE

Employer identification number: 05-6069745

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 40. MCKESSON HBOC INC	01/13/2009	06/19/2009	1,782.00	1,674.00	108.00
10. MCKESSON HBOC INC	12/17/2008	06/19/2009	445.00	381.00	64.00
30. TEVA PHARMACEUTICAL INDS LTD ADR	03/17/2009	06/19/2009	1,424.00	1,364.00	60.00
20. TEVA PHARMACEUTICAL INDS LTD ADR	03/09/2009	06/19/2009	950.00	868.00	82.00
50. AUTODESK INC (DEL)	12/17/2008	09/25/2009	1,185.00	954.00	231.00
75. CIGNA CORPORATION	03/17/2009	09/25/2009	2,142.00	1,276.00	866.00
40. CORNING INC	06/02/2009	09/25/2009	593.00	616.00	-23.00
85. CORNING INC	04/22/2009	09/25/2009	1,259.00	1,285.00	-26.00
25. CORNING INC	04/08/2009	09/25/2009	370.00	373.00	-3.00
10. GILEAD SCIENCES INC	03/17/2009	09/25/2009	463.00	453.00	10.00
165. HARTFORD FINANCIAL SVCS GRP	05/20/2009	09/25/2009	4,276.00	2,793.00	1,483.00
80. HARTFORD FINANCIAL SVCS GRP	06/02/2009	09/25/2009	2,073.00	1,259.00	814.00
5. JOHNSON & JOHNSON	10/02/2008	09/25/2009	304.00	340.00	-36.00
10. POTASH CORP SASK INC	12/17/2008	09/25/2009	897.00	786.00	111.00
10. SOUTHWESTERN ENERGY CO	03/17/2009	09/25/2009	411.00	301.00	110.00
65. TJX COMPANIES INCORPORATED NEW	06/19/2009	09/25/2009	2,402.00	2,006.00	396.00
25. UNITED PARCEL SERVICE CL B	04/08/2009	09/25/2009	1,423.00	1,302.00	121.00
20. APACHE CORPORATION	09/25/2009	10/05/2009	1,845.00	1,844.00	1.00
5. APACHE CORPORATION	02/02/2009	10/05/2009	461.00	368.00	93.00
10. HESS CORP COM	03/26/2009	10/05/2009	533.00	648.00	-115.00
30. HESS CORP COM	04/08/2009	10/05/2009	1,600.00	1,710.00	-110.00
40. LIFE TECHNOLOGIES CORP COM	05/08/2009	10/05/2009	1,855.00	1,472.00	383.00
30. WAL-MART STORES INCORPORATED	05/04/2009	10/05/2009	1,469.00	1,536.00	-67.00
25. WAL-MART STORES INCORPORATED	10/16/2008	10/05/2009	1,225.00	1,253.00	-28.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: ELLEN V. SMITH TRUST 9TH CLAUSE

Employer identification number: 05-6069745

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. ADOBE SYS INC	09/25/2009	10/15/2009	178.00	161.00	17.00
20. ADOBE SYS INC	05/08/2009	10/15/2009	714.00	514.00	200.00
140. CORNING INC	04/08/2009	10/15/2009	2,172.00	2,088.00	84.00
65. CIGNA CORPORATION	03/17/2009	11/17/2009	2,000.00	1,106.00	894.00
70. CIGNA CORPORATION	03/09/2009	11/17/2009	2,154.00	978.00	1,176.00
15. CORNING INC	04/08/2009	11/17/2009	253.00	224.00	29.00
145. CORNING INC	02/26/2009	11/17/2009	2,450.00	1,564.00	886.00
55. CORNING INC	03/09/2009	11/17/2009	929.00	571.00	358.00
5. GENERAL ELEC CO	03/26/2009	11/17/2009	80.00	55.00	25.00
25. HESS CORP COM	11/25/2008	11/17/2009	1,457.00	1,192.00	265.00
10. JOHNSON & JOHNSON	02/26/2009	11/17/2009	621.00	539.00	82.00
5. OCCIDENTAL PETROLEUM CORPORATION	01/13/2009	11/17/2009	422.00	280.00	142.00
5. PHILIP MORRIS INTL INC COM	01/13/2009	11/17/2009	254.00	212.00	42.00
65. VALE S A ADR	05/20/2009	11/17/2009	1,871.00	1,322.00	549.00
30. EOG RESOURCES INC	05/20/2009	12/18/2009	2,808.00	2,237.00	571.00
20. EOG RESOURCES INC	03/26/2009	12/18/2009	1,872.00	1,288.00	584.00
15. VALE S A ADR	05/20/2009	12/18/2009	416.00	305.00	111.00
45. VALE S A ADR	06/02/2009	12/18/2009	1,247.00	897.00	350.00
30. YUM BRANDS INC COM	09/25/2009	12/18/2009	1,032.00	985.00	47.00
60. NABORS INDUSTRIES INC COM	09/25/2009	12/18/2009	1,321.00	1,174.00	147.00
40. NABORS INDUSTRIES INC COM	06/02/2009	12/18/2009	881.00	774.00	107.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . -16,381.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: ELLEN V. SMITH TRUST 9TH CLAUSE

Employer identification number: 05-6069745

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 25. COCA COLA CO COM	09/27/2005	01/13/2009	1,102.00	1,065.00	37.00
85. WELLS FARGO COMPANY	01/02/2003	01/13/2009	2,083.00	2,061.00	22.00
10. COCA COLA CO COM	09/27/2005	02/02/2009	418.00	426.00	-8.00
30. COCA COLA CO COM	10/27/2005	02/02/2009	1,254.00	1,271.00	-17.00
5. PEPSICO INCORPORATED	01/02/2003	02/02/2009	246.00	215.00	31.00
60. PEPSICO INCORPORATED	09/18/2002	02/02/2009	2,955.00	2,324.00	631.00
30. SCHLUMBERGER LIMITED	01/31/2006	02/02/2009	1,190.00	1,914.00	-724.00
55. MERCK & CO INC COM	12/05/2006	02/26/2009	1,482.00	2,504.00	-1,022.00
120. NOKIA CORPORATION SPONSORED ADR	01/10/2006	02/26/2009	1,153.00	2,292.00	-1,139.00
135. NOKIA CORPORATION SPONSORED ADR	01/31/2006	02/26/2009	1,297.00	2,473.00	-1,176.00
5. PRAXAIR INCORPORATED	03/20/2006	02/26/2009	304.00	283.00	21.00
5. RIO TINTO PLC SPONSORED ADR	02/05/2004	02/26/2009	533.00	514.00	19.00
35. VERIZON COMMUNICATIONS	11/27/2007	02/26/2009	1,012.00	1,448.00	-436.00
15. ABBOTT LABORATORIES	03/29/2007	03/09/2009	708.00	838.00	-130.00
25. MERCK & CO INC COM	12/05/2006	03/09/2009	515.00	1,138.00	-623.00
15. GENENTECH INC	09/28/2006	03/17/2009	1,407.00	1,241.00	166.00
35. GENENTECH INC	12/20/2007	03/17/2009	3,282.00	2,372.00	910.00
45. MERCK & CO INC COM	04/03/2007	03/17/2009	1,187.00	2,044.00	-857.00
10. MERCK & CO INC COM	03/29/2007	03/17/2009	264.00	440.00	-176.00
40. SCHLUMBERGER LIMITED	02/09/2006	03/17/2009	1,684.00	2,334.00	-650.00
5. DISNEY WALT CO	08/14/2007	03/26/2009	93.00	162.00	-69.00
25. EXXON MOBIL CORPORATION	11/30/2005	03/26/2009	1,764.00	1,462.00	302.00
15. EXXON MOBIL CORPORATION	06/02/2005	03/26/2009	1,058.00	855.00	203.00
110. CISCO SYSTEMS INCORPORATED	06/15/2006	04/08/2009	1,885.00	2,195.00	-310.00
30. CISCO SYSTEMS INCORPORATED	01/02/2003	04/08/2009	514.00	407.00	107.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number 05-6069745

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 30. CISCO SYSTEMS INCORPORATED	03/07/2003	04/08/2009	514.00	399.00	115.00
10. EXXON MOBIL CORPORATION	06/02/2005	04/08/2009	690.00	570.00	120.00
25. EXXON MOBIL CORPORATION	02/05/2004	04/08/2009	1,725.00	1,012.00	713.00
10. HARTFORD FINANCIAL SVCS GRP	01/10/2005	04/08/2009	98.00	680.00	-582.00
50. HARTFORD FINANCIAL SVCS GRP	03/22/2004	04/08/2009	489.00	3,115.00	-2,626.00
40. PEPSICO INCORPORATED	09/18/2002	04/08/2009	2,081.00	1,550.00	531.00
70. CISCO SYSTEMS INCORPORATED	03/07/2003	04/22/2009	1,258.00	931.00	327.00
100. CISCO SYSTEMS INCORPORATED	10/15/2002	04/22/2009	1,798.00	1,097.00	701.00
5. AMAZON COM INC	10/18/2007	05/04/2009	403.00	450.00	-47.00
15. AMAZON COM INC	08/02/2007	05/04/2009	1,208.00	1,162.00	46.00
35. COCA COLA CO COM	10/27/2005	05/04/2009	1,504.00	1,483.00	21.00
5. DUN & BRADSTREET CORP DEL NEW COM	02/20/2007	05/04/2009	400.00	449.00	-49.00
15. EXXON MOBIL CORPORATION	02/05/2004	05/08/2009	1,062.00	607.00	455.00
5. EXXON MOBIL CORPORATION	01/15/2003	05/08/2009	354.00	175.00	179.00
10. INTERNATIONAL BUSINESS MACHS	03/20/2006	05/08/2009	1,006.00	838.00	168.00
10. DUN & BRADSTREET CORP DEL NEW COM	02/20/2007	05/20/2009	829.00	898.00	-69.00
10. ENTERGY CORP NEW COM	12/05/2006	05/20/2009	749.00	907.00	-158.00
25. EXXON MOBIL CORPORATION	01/15/2003	05/20/2009	1,773.00	874.00	899.00
10. INTERNATIONAL BUSINESS MACHS	03/20/2006	05/20/2009	1,054.00	838.00	216.00
35. MERCK & CO INC COM	03/29/2007	05/20/2009	918.00	1,540.00	-622.00
10. MONSANTO CO NEW COM	09/20/2006	05/20/2009	929.00	467.00	462.00
20. PRAXAIR INCORPORATED	03/20/2006	05/20/2009	1,490.00	1,130.00	360.00
20. WASTE MANAGEMENT INC	06/29/2005	05/20/2009	573.00	574.00	-1.00
15. ENTERGY CORP NEW COM	12/05/2006	06/02/2009	1,132.00	1,361.00	-229.00
45. EXXON MOBIL CORPORATION	01/15/2003	06/02/2009	3,267.00	1,573.00	1,694.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 05-6069745

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 10. MONSANTO CO NEW COM	09/20/2006	06/02/2009	812.00	467.00	345.00
25. US BANCORP DEL COM NEW	03/29/2007	06/02/2009	447.00	873.00	-426.00
5. US BANCORP DEL COM NEW	08/12/2005	06/02/2009	89.00	151.00	-62.00
45. VERIZON COMMUNICATIONS	11/27/2007	06/02/2009	1,321.00	1,862.00	-541.00
40. WASTE MANAGEMENT INC	06/29/2005	06/02/2009	1,147.00	1,149.00	-2.00
30. AUTODESK INC (DEL)	02/07/2008	06/19/2009	618.00	1,156.00	-538.00
35. AUTODESK INC (DEL)	09/20/2006	06/19/2009	721.00	1,276.00	-555.00
20. ENTERGY CORP NEW COM	11/21/2006	06/19/2009	1,550.00	1,751.00	-201.00
125. NEWS CORP CL A	12/19/2007	06/19/2009	1,189.00	2,541.00	-1,352.00
40. NEWS CORP CL A	04/03/2008	06/19/2009	381.00	786.00	-405.00
1319.958 COLUMBIA ACORN FUND CLASS Z SHARES	04/10/2002	08/05/2009	28,577.00	25,000.00	3,577.00
955.414 COLUMBIA ACORN FUND CLASS Z SHARES	08/21/2002	08/05/2009	20,685.00	15,000.00	5,685.00
357.674 COLUMBIA ACORN FUND CLASS Z SHARES	10/11/2002	08/05/2009	7,744.00	4,993.00	2,751.00
470.05 COLUMBIA ACORN INTERNATIONAL FUND CL Z	11/14/2002	08/05/2009	14,130.00	7,102.00	7,028.00
3801.882 COLUMBIA LARGE CAP VALUE FUND CLASS Z SHAR	05/12/2004	08/05/2009	36,004.00	45,000.00	-8,996.00
2334.683 COLUMBIA INTERNATIONAL STOCK FUND CL	08/21/2002	08/05/2009	25,518.00	25,204.00	314.00
3871.599 COLUMBIA INTERNATIONAL STOCK FUND CL	09/05/2002	08/05/2009	42,317.00	40,000.00	2,317.00
5707.763 COLUMBIA CONSERVATIVE HIGH YIELD FUN	04/10/2002	08/05/2009	40,753.00	50,000.00	-9,247.00
2962.085 COLUMBIA CONSERVATIVE HIGH YIELD FUN	01/15/2003	08/05/2009	21,149.00	25,000.00	-3,851.00
1184.834 COLUMBIA CONSERVATIVE HIGH YIELD FUN	03/03/2003	08/05/2009	8,460.00	10,000.00	-1,540.00
3676.471 COLUMBIA CONSERVATIVE HIGH YIELD FUN	08/21/2002	08/05/2009	26,250.00	30,000.00	-3,750.00
2346.201 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHA	05/12/2004	08/05/2009	42,889.00	45,000.00	-2,111.00
518.018 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES	08/21/2002	08/05/2009	5,797.00	7,257.00	-1,460.00
2057.613 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARE	10/11/2002	08/05/2009	23,025.00	25,000.00	-1,975.00
15. AMAZON COM INC	08/02/2007	09/25/2009	1,364.00	1,162.00	202.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 05-6069745

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 20. AMAZON COM INC	08/14/2007	09/25/2009	1,818.00	1,477.00	341.00
20. AUTODESK INC (DEL)	09/20/2006	09/25/2009	474.00	729.00	-255.00
20. AVON PRODUCTS INC	04/03/2008	09/25/2009	659.00	817.00	-158.00
60. AVON PRODUCTS INC	05/13/2008	09/25/2009	1,976.00	2,349.00	-373.00
10. AVON PRODUCTS INC	02/05/2008	09/25/2009	329.00	382.00	-53.00
15. DUN & BRADSTREET CORP DEL NEW COM	02/20/2007	09/25/2009	1,110.00	1,347.00	-237.00
15. DUN & BRADSTREET CORP DEL NEW COM	01/23/2007	09/25/2009	1,110.00	1,278.00	-168.00
20. ENTERGY CORP NEW COM	11/21/2006	09/25/2009	1,590.00	1,736.00	-146.00
20. EXELON CORP COM	02/14/2008	09/25/2009	999.00	1,578.00	-579.00
25. EXPRESS SCRIPTS INC CL A	08/07/2007	09/25/2009	1,934.00	1,316.00	618.00
50. EXPRESS SCRIPTS INC CL A	04/03/2007	09/25/2009	3,869.00	2,088.00	1,781.00
45. EXXON MOBIL CORPORATION	09/18/2002	09/25/2009	3,099.00	1,517.00	1,582.00
115. GENERAL ELEC CO	07/31/2003	09/25/2009	1,872.00	3,294.00	-1,422.00
125. GENERAL ELEC CO	06/25/2008	09/25/2009	2,034.00	3,562.00	-1,528.00
100. GENERAL ELEC CO	09/18/2002	09/25/2009	1,627.00	2,788.00	-1,161.00
15. GENERAL ELEC CO	03/03/2003	09/25/2009	244.00	360.00	-116.00
20. GILEAD SCIENCES INC	06/04/2008	09/25/2009	926.00	1,130.00	-204.00
30. GILEAD SCIENCES INC	07/24/2008	09/25/2009	1,390.00	1,583.00	-193.00
5. GOLDMAN SACHS GROUP INC	05/13/2008	09/25/2009	903.00	957.00	-54.00
20. J P MORGAN CHASE & CO COM	03/29/2007	09/25/2009	880.00	964.00	-84.00
20. JOHNSON & JOHNSON	06/04/2008	09/25/2009	1,214.00	1,333.00	-119.00
20. MERCK & CO INC COM	03/29/2007	09/25/2009	628.00	880.00	-252.00
55. MERCK & CO INC COM	07/24/2008	09/25/2009	1,728.00	1,804.00	-76.00
20. NEWS CORP CL A	04/03/2008	09/25/2009	231.00	393.00	-162.00
65. NEWS CORP CL A	01/16/2008	09/25/2009	750.00	1,271.00	-521.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 05-6069745

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 85. NEWS CORP CL A	05/15/2008	09/25/2009	981.00	1,625.00	-644.00
15. POTASH CORP SASK INC	01/16/2008	09/25/2009	1,346.00	2,085.00	-739.00
45. SOUTHWESTERN ENERGY CO	11/15/2007	09/25/2009	1,849.00	1,176.00	673.00
10. STAPLES INCORPORATED	08/27/2008	09/25/2009	228.00	244.00	-16.00
15. STATE STREET CORP	06/19/2007	09/25/2009	779.00	1,036.00	-257.00
10. STATE STREET CORP	05/21/2007	09/25/2009	519.00	686.00	-167.00
10. TEXAS INSTRS INC	10/18/2007	09/25/2009	234.00	342.00	-108.00
10. THERMO ELECTRON CORP	05/13/2008	09/25/2009	442.00	578.00	-136.00
45. THERMO ELECTRON CORP	05/13/2008	09/25/2009	1,990.00	1,990.00	
40. VERIZON COMMUNICATIONS	11/27/2007	09/25/2009	1,201.00	1,655.00	-454.00
105. WAL-MART STORES INCORPORATED	06/04/2008	09/25/2009	5,200.00	6,082.00	-882.00
45. WAL-MART STORES INCORPORATED	05/15/2008	09/25/2009	2,229.00	2,569.00	-340.00
55. EXXON MOBIL CORPORATION	09/18/2002	10/05/2009	3,701.00	1,855.00	1,846.00
15. HESS CORP COM	02/14/2008	10/05/2009	800.00	1,388.00	-588.00
15. HESS CORP COM	01/23/2007	10/05/2009	800.00	770.00	30.00
25. WAL-MART STORES INCORPORATED	05/15/2008	10/05/2009	1,225.00	1,427.00	-202.00
15. ADOBE SYS INC	01/16/2008	10/15/2009	535.00	570.00	-35.00
80. ADOBE SYS INC	02/07/2008	10/15/2009	2,854.00	2,603.00	251.00
5. INTERNATIONAL BUSINESS MACHS	03/20/2006	10/15/2009	635.00	419.00	216.00
20. INTERNATIONAL BUSINESS MACHS	02/09/2006	10/15/2009	2,539.00	1,610.00	929.00
40. DEAN FOODS CO NEW COM	07/24/2008	11/17/2009	649.00	879.00	-230.00
50. DEAN FOODS CO NEW COM	07/02/2008	11/17/2009	811.00	960.00	-149.00
10. GENERAL ELEC CO	03/03/2003	11/17/2009	159.00	240.00	-81.00
55. GENERAL ELEC CO	09/18/2008	11/17/2009	876.00	1,281.00	-405.00
30. HESS CORP COM	01/23/2007	11/17/2009	1,748.00	1,540.00	208.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: ELLEN V. SMITH TRUST 9TH CLAUSE

Employer identification number: 05-6069745

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	75. JOHNSON & JOHNSON	06/04/2008	11/17/2009	4,661.00	5,000.00	-339.00
	45. TEXAS INSTRS INC	10/18/2007	11/17/2009	1,161.00	1,541.00	-380.00
	70. WASTE MANAGEMENT INC	06/29/2005	11/17/2009	2,303.00	2,010.00	293.00
	65. COMCAST CORP	10/06/2008	12/18/2009	1,117.00	1,171.00	-54.00
	30. PRAXAIR INCORPORATED	03/20/2006	12/18/2009	2,407.00	1,695.00	712.00
	55. STATE STREET CORP	05/21/2007	12/18/2009	2,278.00	3,774.00	-1,496.00
	60. STATE STREET CORP	11/25/2008	12/18/2009	2,485.00	2,238.00	247.00
	5. YUM BRANDS INC COM	08/14/2007	12/18/2009	172.00	154.00	18.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -22,875.00

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

SPX CORP	42.00	
CLASS ACTIONS SETTLEMENT FOR AIG INC (SEC)	37.00	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		79.00
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		79.00

STATEMENT 1

ELLEN V. SMITH TRUST 9TH CLAUSE 05-6069745

GAINS AND LOSSES FROM PASS-THRU ENTITIES

NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	5,744.00	
TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED)		5,744.00

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	-4,151.00	
TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)		-4,151.00

Part XV (2)(L)

scores sent to Committee. If your S.A.T scores are not included on your secondary school transcript, you should authorize release of them by requesting that they be sent to The Smith Scholarship Selection Committee, P.O. Box 2482, East Side Station, Providence, RI 02906. Our code number is 0136.

4 By March 1 you must send us either an F.A.F or a G A.P.S.F.A.S. Since it often takes one month for these forms to be received by us, you should file by February 1. All financial forms must be submitted through C.S.S. and *will not* be accepted directly by the Committee. The C S.S. should be asked to send a copy of the F A.F. to the Smith Scholarship Selection Committee, our code number is 0136 for the F.A.F and 3749 for the G.A.P.S.F.A.S. Form.

5. IMPORTANT DATES
If it is impossible for you to meet one of the deadlines listed below, you may request an extension by explaining your reasons in writing to The Smith Scholarship Selection Committee, P.O. Box 2482, East Side Station, Providence, RI 02906.

December 15 –
File the Preliminary Application

December –
Take the S.A.T. no later than the December test date if you do not already have one on file with C.E.E.B.

February 1 –
File an F.A.F. or G.A.P.S.F.A.S. with C.S.S.

February 15 –
Return the BIOGRAPHICAL QUESTIONNAIRE and SECONDARY SCHOOL REPORT to the Selection Committee. Students in college should submit a current transcript; students in graduate school should submit both college and graduate school transcripts.

April 15 –
On or before this date all students who have completed applications will be notified of the Trustees' decision.

The Ellen V. and Albert H. Smith Scholarship Fund

College and Graduate School Scholarships
For
Residents of the Town of South Kingstown, Rhode Island

Preliminary Application Deadline
December 15

THE FUND has been established by bequest of Ellen V Smith The trustee, Fleet National Bank, has appointed an independent committee composed of individuals with expertise in financial aid and admissions who will recommend scholarship recipients.

ELIGIBILITY is restricted to bona fide, domiciled residents of the Town of South Kingstown, Rhode Island. To be considered, an applicant must be over the age of fifteen years but under the age of twenty-three years at the time of selection.

THE AWARDS can be used only for attendance at an accredited college, university, or graduate professional school. The student's program must be either a four year or a three year course leading either to a Bachelor's degree or a doctorate. The scholarship may be held for no more than four years or until completion of the degree program, whichever occurs first. Applications will be accepted from (1) students in the last year of secondary school or (2) those who will be enrolled in an eligible program of higher education either in college or graduate school.

The amount of the annual award will depend on the relative need of the student as determined by the needs analysis methods of the College Scholarship Service (C.S.S.). In determining need, the Selection Committee will consider (1) the normal costs of attendance (tuition, fees, room, board, travel, and personal), (2) a reasonable parents' contribution as determined by an individual review of the C.S.S. recommendation, and (3) a normal contribution from the student's summer earnings. The Smith Scholarship will cover the full amount of the applicant's computed need, and, therefore, other scholarships and grants may not be accepted. The recipient, however, may take out student loans or hold a term-time job, if desired.

The Smith Scholarships are awarded for a single academic year but will normally be renewed each year if:

1. The student is in good standing and is making normal progress towards a degree.
2. The student files
   a. A Renewal Application (which will be mailed to the home address about May 1)
   b. A college transcript.
   c. For college students a current Financial Aid Form, or for graduate students the G.A.P S.F A.S. form must be used.
3. The student demonstrates continuing financial need as determined by the method of needs analysis recommended by the C S S

Stipend checks will be sent to the institutions on or about August 15 and January 15 together with instructions to credit the student's account.

THE SELECTION of winners will be made by April 15 and will be based on a careful and individual evaluation of (1) the scholastic record and recommendations, (2) evidence of personal achievement and promise of future usefulness to society, (3) results of the Scholastic Aptitude Test of the College Entrance Examination Board, (4) a current Financial Aid Form (F A.F.) of the C.S S or a current G.A.P.S.F A.S. form and (5) length of bona fide residence in the Town of South Kingstown, Rhode Island and promise of future commitment to the town.

APPLICATIONS for a Smith Scholarship require the items listed below:

1. By December 15 file the PRELIMINARY APPLICATION which is attached to this Brochure by sending it to The Smith Scholarship Selection Committee, P.O. Box 2482, East Side Station, Providence, RI 02906.

2. As soon as your PRELIMINARY APPLICATION is received, you will be sent a BIOGRAPHICAL QUESTIONNAIRE and a SECONDARY SCHOOL REPORT which must be filed with the Committee by February 15. For those applicants already in college, an official college transcript must be received by the same date. Students already in college or graduate school must still submit SECONDARY SCHOOL REPORTS.

3. Applicants who are seniors in secondary schools must take the Scholastic Aptitude Test by December of the senior year and have

THE ELLEN V. & ALBERT H. SMITH SCHOLARSHIP FUND

Preliminary Application

(Please type or print clearly)

Mail This Form By December 15 To The Smith Scholarship Selection Committee P O Box 2482 East Side Station Providence, R I 02906

1. Student's name:

Last First Middle Initial

☐ Male or ☐ Female

2. Home address.

Number Street

City State Zip Code

3. Date of Birth:

4. Student's Social Security Number:

5. Student's high school:

Name C.E.E.B. Code #

Street City State Zip Code

High School Graduation Date

6. A. After high school I hope to attend ______________________ College or post secondary school and will either live on campus ☐ or will commute from home ☐ *(Please check one).*

OR

B. I am already attending ______________________ College or post secondary school and will either live on campus ☐ or commute from home ☐ *(Please check one).*

C. I expect to complete my program after high school in 19___ *(Please list probable year of graduation from college or graduate school)*

7. A. I was born in ______________________

City or Town State

B. I have resided in South Kingstown, R.I. since ____________ at the following address(es):

Month Year

Street Dates

Street Dates

Street Dates

Part XV (2)(b)

scores sent to Committee. If your S.A.T scores are not included on your secondary school transcript, you should authorize release of them by requesting that they be sent to The Smith Scholarship Selection Committee, P.O. Box 2482, East Side Station, Providence, RI 02906. Our code number is 0136.

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THE ELLEN V. & ALBERT H. SMITH SCHOLARSHIP FUND

Preliminary Application

(Please type or print clearly)

Mail This Form By December 15 To The Smith Scholarship Selection Committee P O Box 2482 East Side Station Providence, R I 02906

1. Student's name:

Last First Middle Initial

☐ Male or ☐ Female

2. Home address:

Number Street

City State Zip Code

3. Date of Birth:

4. Student's Social Security Number:

5. Student's high school.

Name C.E.E.B. Code #

Street City State Zip Code

High School Graduation Date

6. A. After high school I hope to attend ______________________ College or post secondary school and will either live on campus ☐ or will commute from home ☐ *(Please check one).*

OR

B. I am already attending ______________________ College or post secondary school and will either live on campus ☐ or commute from home ☐ *(Please check one).*

C. I expect to complete my program after high school in 19___ *(Please list probable year of graduation from college or graduate school)*

7. A. I was born in ______________________

City or Town State

B. I have resided in South Kingstown, R.I. since __________ at the following address(es):

Month Year

Street Dates

Street Dates

Street Dates

149 BENEFICIARY DISTRIBUTIONS
DISTRIBUTIONS NOT MATCHED TO BENEFICIARY

01/07/09	NEW YORK UNIVERSITY & HELEN M F/B/O HELEN M MANNING-ID#N19811525 SPRING SEMESTER FOR: TR TRAN#=090070001000 TR CD=305 REG=0 PORT=PRIN	-1750.00	BENE DISTRIBUTION WITH NO SSN	-1750.00	090100012
01/07/09	PLYMOUTH STATE UNIVERSITY & F/B/O ANDREW J. DANGELO-ID# 000169989 SPRING SEMESTER FOR: TR TRAN#=090070002000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090100013
01/09/09	BOSTON UNIVERSITY/ANA ZANNELLA FBO ANA ZANNELLA-ID# U51-61-6920 SPRING SEMESTER FOR: TR TRAN#=090090001000 TR CD=305 REG=0 PORT=PRIN	-1000.00	BENE DISTRIBUTION WITH NO SSN	-1000.00	090100015
01/12/09	UNIVERSITY OF RHODE ISLAND/ F/B/O MARJORIE JOHNSON-ID# 100236426 SPRING SEMESTER FOR TR TRAN#=090120001000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090100017
01/22/09	YALE UNIVERSITY AND TIANCHI WU YALE UNIVERSITY AND TIANCHI WU STUDENT ID #907333069--SPRING SEMESTER FOR: TR TRAN#=090220001000 TR CD=305 REG=0 PORT=PRIN	-1750.00	BENE DISTRIBUTION WITH NO SSN	-1750.00	090100052
01/22/09	UNIVERSITY OF RHODE ISLAND/ STUDENT ID #100258233-SPRING SEMESTER FBO MICI CHASE FOR: TR TRAN#=090220002000 TR CD=305 REG=0 PORT=PRIN	-1750.00	BENE DISTRIBUTION WITH NO SSN	-1750.00	090100053
01/23/09	TULANE UNIVERSITY & PETER STUDENT ID #038589036-SPRING SEMESTER FBO PETER TARASEVICH FOR: TR TRAN#=090230001000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090100054

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
01/28/09	SMITH COLLEGE/SHANA DOOLEY STUDENT ID #990412026-SPRING SEMESTER F/B/O SHANA DOOLEY FOR: TR TRAN#=090280001000 TR CD=305 REG=0 PORT=PRIN	-2500.00	BENE DISTRIBUTION WITH NO SSN	-2500.00	090100060
02/06/09	UNIVERSITY OF RHODE ISLAND/ STUDENT ID #100225325- SPRING SEMESTER SMITH SCHOLARSHIP F/B/O CASUARINA HART FOR: TR TRAN#=090370001000 TR CD=305 REG=0 PORT=PRIN	-2000.00	BENE DISTRIBUTION WITH NO SSN	-2000.00	090200012
02/12/09	UNIVERSITY OF RHODE ISLAND/ F/B/O ANDREA SCHNEIDER- ID 100227577 SPRING SEMESTER FOR: TR TRAN#=090430001000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090200035
02/12/09	UNIVERSITY OF FLORIDA F/B/O LAURA KENYON--STUDENT ID #02951449 SPRING SEMESTER FOR: TR TRAN#=090430002000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090200036
03/03/09	RHODE ISLAND COLLEGE & BRITTANY STUDENT ID #0413291-SPRING SEMESTER SMITH SCHOLARSHIP F/B/O BRITTANY DERITA FOR: TR TRAN#=090620002000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090300006
03/12/09	RHODE ISLAND COLLEGE/JONI KENYON FBO JONI KENYON-ID# 0349439 SPRING SEMESTER FOR: TR TRAN#=090710001000 TR CD=305 REG=0 PORT=PRIN	-750.00	BENE DISTRIBUTION WITH NO SSN	-750.00	090300043
03/12/09	BOSTON COLLEGE/ELIZABETH KELLY F/B/O ELIZABETH KELLY-ID# 61704268 SPRING SEMESTER FOR: TR TRAN#=090710002000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090300044
03/18/09	UNIVERSITY OF RHODE ISLAND/ STUDENT ID #100268384-SPRING SEMESTER FOR: TR TRAN#=090770001000 TR CD=305 REG=0 PORT=PRIN	-1750.00	BENE DISTRIBUTION WITH NO SSN	-1750.00	090300059
03/18/09	UNIVERSITY OF RHODE ISLAND F/BO JAMES LOSPALUTO-ID#100194275 SPRING SEMESTER FOR: TR TRAN#=090770002000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090300060
03/18/09	BOSTON COLLEGE/ERIKA RUNGE STUDENT ID # 23342940-SPRING SEMESTER FOR: TR TRAN#=090770003000 TR CD=305 REG=0 PORT=PRIN	-1000.00	BENE DISTRIBUTION WITH NO SSN	-1000.00	090300061
03/25/09	UNIVERSITY OF RHODE ISLAND/ F/BO ASHLEY PINCINS-ID#100212320 SPRING SEMESTER FOR: TR TRAN#=090840001000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090300073
04/01/09	UNIVERSITY OF RHODE ISLAND & F/B/O REBECCA SMITH-ID # 100323859 SPRING SEMESTER FOR: TR TRAN#=090910001000 TR CD=305 REG=0 PORT=PRIN	-3250.00	BENE DISTRIBUTION WITH NO SSN	-3250.00	090400001
04/24/09	UNIVERSITY OF RHODE ISLAND & STUDENT ID #100289681-SPRING SEMESTER F/B/O MEGAN NEPSHINSKY FOR: TR TRAN#=091140001000 TR CD=305 REG=0 PORT=PRIN	-1500.00	BENE DISTRIBUTION WITH NO SSN	-1500.00	090400058
09/22/09	UNIVERSITY OF RHODE ISLAND FOR REBECCA SMITH-ID#100323859 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650001000 TR CD=305 REG=0 PORT=INC	-3250.00	-3250.00 BENE DISTRIBUTION WITH NO SSN		0909000061
09/22/09	UNIVERSITY OF RHODE ISLAND FBO MICI CHASE-ID#100258233 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650002000 TR CD=305 REG=0 PORT=INC	-1750.00	-1750.00 BENE DISTRIBUTION WITH NO SSN		0909000062

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
09/22/09	TULANE UNIVERSITY FBO PETER TARASEVICH-ID#038589036 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650003000 TR CD=305 REG=0 PORT=INC	-1500.00	-1500.00 BENE DISTRIBUTION WITH NO SSN		0909000063
09/22/09	UNIVERSITY OF FLORIDA F/B/O LAURA KENYON-ID#02951449 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650004000 TR CD=305 REG=0 PORT=INC	-1500.00	-1500.00 BENE DISTRIBUTION WITH NO SSN		0909000064
09/22/09	UNIVERSITY OF RHODE ISLAND FBO MARJORIE JOHNSON-ID# 100236426 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650005000 TR CD=305 REG=0 PORT=INC	-1500.00	-1500.00 BENE DISTRIBUTION WITH NO SSN		0909000065
09/22/09	RHODE ISLAND COLLEGE F/B/O BRITTANY DERITA-ID# 0413291 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650006000 TR CD=305 REG=0 PORT=INC	-1500.00	-1500.00 BENE DISTRIBUTION WITH NO SSN		0909000066
09/22/09	UNIVERSITY OF RHODE ISLAND F/B/O KERRY JOYCE-ID#100268384 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650008000 TR CD=305 REG=0 PORT=INC	-1750.00	-1750.00 BENE DISTRIBUTION WITH NO SSN		0909000068
09/22/09	TUFTS UNIVERSITY F/B/O KRISTEN JONES-ID#991126689 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650009000 TR CD=305 REG=0 PORT=INC	-3250.00	-3250.00 BENE DISTRIBUTION WITH NO SSN		0909000069
09/22/09	SALISBURY UNIVERSITY F/B/O SAMANTHA KOERNER-ID#1356681 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650010000 TR CD=305 REG=0 PORT=INC	-1750.00	-1750.00 BENE DISTRIBUTION WITH NO SSN		0909000070
09/22/09	BOSTON COLLEGE F/B/O CONNOR ROONEY-ID# 42269332 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650011000 TR CD=305 REG=0 PORT=INC	-4000.00	-4000.00 BENE DISTRIBUTION WITH NO SSN		0909000071
09/22/09	UNIVERSITY OF RHODE ISLAND F/B/O MEGAN NEPSHINSKY-ID# 100289681 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650007000 TR CD=305 REG=0 PORT=INC	-1500.00	-1500.00 BENE DISTRIBUTION WITH NO SSN		0909000067
09/22/09	YALE UNIVERSITY F/B/O TIANCHI WU-ID# 907333069 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092650012000 TR CD=305 REG=0 PORT=INC	-1750.00	-1750.00 BENE DISTRIBUTION WITH NO SSN		0909000072
09/25/09	BOSTON COLLEGE FOR ELIZABETH KELLY-ID#61704268 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092680001000 TR CD=305 REG=0 PORT=INC	-1500.00	-1500.00 BENE DISTRIBUTION WITH NO SSN		0909000077
10/23/09	CLEMSON UNIVERSITY F/B/O ELIZABETH CLARKIN 1ST SEMESTER 2009-2010 FOR: TR TRAN#=092960001000 TR CD=305 REG=0 PORT=INC	-3000.00	-3000.00 BENE DISTRIBUTION WITH NO SSN		0910000057
10/27/09	GETTYSBURG COLLEGE FBO TAYLOR OSBORNE 1ST SEMESTER 2009-2010 FOR: TR TRAN#=093000001000 TR CD=305 REG=0 PORT=INC	-3000.00	-3000.00 BENE DISTRIBUTION WITH NO SSN		0910000060
10/28/09	UNIVERSITY OF RHODE ISLAND F/B/O MARY-KATE EATON-ID# 100369642 1ST SEMESTER 2009-2010 FOR: TR TRAN#=093010001000 TR CD=305 REG=0 PORT=INC	-2500.00	-2500.00 BENE DISTRIBUTION WITH NO SSN		0910000061

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
10/29/09	UNIVERSITY OF NEW ENGLAND FBO SARAH HENDRICK-ID# 910248216 1ST SEMESTER 2009-2010 FOR: TR TRAN#=093020001000 TR CD=305 REG=0 PORT=INC	-3000.00	-3000.00 BENE DISTRIBUTION WITH NO SSN		0910000063
11/16/09	QUINNIPIAC UNIVERSITY FOR HEATHER DIMOCK-ID#1334446 1ST SEMESTER 2009-2010 FOR TR TRAN#=093200001000 TR CD=823 REG=0 PORT=INC	-3000 00	-3000.00	**CHANGED** 03/18/10 GMF	0911000036
11/20/09	UNIVERSITY OF RHODE ISAND FBO CASUARINA HART-ID# 100225325 1ST SEMESTER 2009-2010 FOR TR TRAN#=093240002000 TR CD=823 REG=0 PORT=INC	-1750 00	-1750 00	**CHANGED** 03/18/10 GMF	0911000044
12/15/09	UNIVERSITY OF RHODE ISLAND FOR ANDREA SCHNEIDER-ID# 100227577 1ST SEMESTER 2009-2010 FOR TR TRAN#=093490001000 TR CD=823 REG=0 PORT=INC	-1500.00	-1500.00	**CHANGED** 03/18/10 GMF	0912000047
12/15/09	PLYMOUTH STATE UNIVERSITY FOR ANDREW DANGELO-ID# 000169989 FALL AND SPRING SEMESTER PAYMENT FOR: TR TRAN#=093490002000 TR CD=823 REG=0 PORT=INC	-3000 00	-3000 00	**CHANGED** 03/18/10 GMF	0912000048
12/15/09	QUINNIPIAC UNIVERSITY FOR HEATHER DIMOCK-ID#1334446 2ND SEMESTER 2009-2010 FOR TR TRAN#=093490003000 TR CD=823 REG=0 PORT=INC	-3000 00	-3000.00	**CHANGED** 03/18/10 GMF	0912000049
	TOTAL CODE 149 - BENEFICIARY DISTRIBUTIONS	-82750.00	-50250.00	-32500 00	

Settlement Date

Bank of America

Account Summary

Dec. 01, 2009 through Dec 31, 2009

Account: 36-09-900-8545897 ELLEN V. SMITH TRUST 9TH CLAUSE

Market Value $1,638,552.92

Account Activity

Description	Current Period	YTD Since 01/01/09
Beginning Market Value	**$1,634,450.98**	**$1,461,416.66**
Income	6,487 75	51,872 53
Deposits	0 00	1,390 34
Disbursements	-7,500.00	-87,300.00
Bank Fees	-1,295 59	-17,118.44
Change in Market Value	6,409 78	228,291 83
Ending Market Value	**$1,638,552.92**	**$1,638,552.92**
Change in Account Value	4,101 94	177,136 26

Realized Gain/Loss Summary

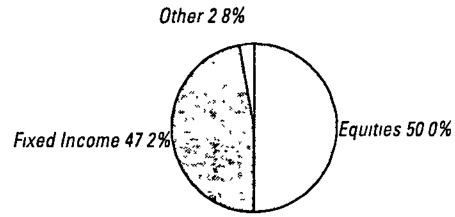
Description	Current Period	Fiscal YTD
Short-term	$5,525 79	-$7,455 42
Long-term	-1,352.06	-26,596.44
Net Total	**$4,173.73**	**-$34,051.86**

Income Summary

Description	Current Period	YTD Since 01/01/09
Dividends - Taxable	$3,728 84	$18,439 84
Interest - Taxable	0.00	1,812 50
Collective Fund - Taxable	2,758 91	31,620 19
Total Income	**$6,487.75**	**$51,872.53**

Portfolio Allocation

Description	Market Value	Tax Cost	Estimated Annual Income	Current Yield
Cash/Currency	$34,301.44	$34,297.41	$39.10	0.1%
Equities	819,118 32	688,173.26	12,649.08	1 5
Fixed Income	773,683 82	743,943 28	35,200.68	4.6
Real Estate	11,449.34	20,434 40	427 79	3 7
Total Assets	**$1,638,552.92**	**$1,486,848.35**	**$48,316.65**	**3.0%**
Total	**$1,638,552.92**	**$1,486,848.35**	**$48,316.65**	



Assets representing less than 5% of total are grouped together as "Other" for display on the pie chart

Market Value includes accrued income unless otherwise noted

The amounts shown throughout this statement should not be used in the preparation of tax documents. Detail specifying taxable nature of income will be provided with year-end tax documentation Please consult your tax advisor

717/1098 2000362 032/046 3/ 34 0380961 02-007 505 B E

Bank of America

Account Summary

Dec. 01, 2009 through Dec 31, 2009

Account: 36-09-900-8545897 ELLEN V. SMITH TRUST 9TH CLAUSE

Cash Summary

	Current Period		YTD Since 01/01/09	
	Income Cash	*Principal Cash*	*Income Cash*	*Principal Cash*
Beginning Value	**$0.00**	**$0.00**	**$0.00**	**$0.00**
Income	6,487.75	0.00	51,872.53	0.00
Deposits	0.00	0.00	0.00	1,390.34
Disbursements	-7,500 00	0.00	-50,300.00	-37,000.00
Bank Fees	-647.83	-647.76	-8,559.46	-8,558 98
Income/Principal Transfers	8,000.00	-8,000.00	13,500.00	-13,500.00
Purchases	0.00	-18,302.18	0.00	-598,184.65
Sales and Maturities	0.00	18,038.01	0.00	607,806.84
Net Automated Money Market Transactions	-6,339.92	8,911.93	-6,513.07	48,046.45
Ending Value	**$0.00**	**$0.00**	**$0.00**	**$0.00**

Bank of America

Portfolio Analysis

Account: 36-09-900-8545897 ELLEN V SMITH TRUST 9TH CLAUSE

Dec. 01, 2009 through Dec 31, 2009

Portfolio Summary By Asset Class

Description	Market Value	% of Account	% of Sector	Tax Cost	Estimated Annual Income	Current Yield
Cash/Currency						
Cash Equivalents	$34,301 44	2 1%	100 0%	$34,297 41	$39 10	0 1%
Total Cash/Currency	**$34,301.44**	**2.1%**	**100.0%**	**$34,297.41**	**$39.10**	**0.1%**
Equities						
U S Large Cap	$410,814 88	25.1%	50.2%	$347,291.11	$7,055 61	1.7%
U S Mid Cap	148,295.01	9.1	18 1	120,745 87	1,173.67	0 8
U S Small Cap	52,700.42	3.2	6.4	47,500.00	149.83	0.3
International Developed	207,308 01	12.7	25 3	172,636 28	4,269 97	2.1
Total Equities	**$819,118.32**	**50.0%**	**100.0%**	**$688,173.26**	**$12,649.08**	**1.5%**
Fixed Income						
Investment Grade Taxable	$720,313 81	44 0%	93 1%	$693,943 28	$31,301 09	4.4%
Global High Yield Taxable	53,370 01	3 3	6 9	50,000 00	3,899 59	7.3
Total Fixed Income	**$773,683.82**	**47.2%**	**100.0%**	**$743,943.28**	**$35,200.68**	**4.6%**
Real Estate						
Public REITs	$11,449 34	0 7%	100 0%	$20,434.40	$427 79	3 7%
Total Real Estate	**$11,449.34**	**0.7%**	**100.0%**	**$20,434.40**	**$427.79**	**3.7%**
Total Assets	**$1,638,552.92**	**100.0%**		**$1,486,848.35**	**$48,316.65**	**3.0%**
Total	**$1,638,552.92**			**$1,486,848.35**	**$48,316.65**	

Bank of America

Portfolio Analysis

Dec 01, 2009 through Dec 31, 2009

Account: 36-09-900-8545897 ELLEN V SMITH TRUST 9TH CLAUSE

Equities Summary by Business Sector

Sector	*Market Value*	*% of Equities*	*% of S&P 500*
Consumer Discretionary	$42,458.83	5.2%	9.6%
Consumer Staples	49,186 65	6.0	11.4
Energy	51,976 50	6.3	11.5
Financials	61,599 30	7.5	14.4
Health Care	55,159 55	6.7	12.6
Industrials	44,863.95	5.5	10.2
Information Technology	85,938.41	10.5	19.9
Materials	15,822 30	1 9	3.6
Telecommunication Services	13,454.40	1.6	3 1
Utilities	15,110.45	1.8	3.7
Other Equities	383,547 98	46 8	0.0
Total Equities	**$819,118.32**	**100.0%**	**100.0%**

Fixed Income - Maturity Schedule

Maturity	*Maturity Amount*	*% of Total*
Less than 1 year	$25,000.00	100.0%
Total	**$25,000.00**	**100.0%**

Fixed Income - Quality Schedule

Moody's Rating	*Market Value*	*% of Fixed Income*
Aaa	$25,890.51	100.0%
Total Fixed Income	**$25,890.51**	**100.0%**

The Fixed Income - Maturity Schedule and Fixed Income - Quality Schedule include cash equivalents maturing within six months and exclude preferred stocks and fixed income funds.

Settlement Date

Bank of America

Portfolio Detail

Account: 36-09-900-8545897 ELLEN V. SMITH TRUST 9TH CLAUSE

Dec. 01, 2009 through Dec 31, 2009

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Cash/Currency								
Cash Equivalents								
7,384.200	COLUMBIA MONEY MARKET RESERVES G TRUST CLASS (Income Investment)	19765M767	$7,384.20	$0.48	$7,384.20 1.000	$0.00	$8.42	0.1%
26,913.210	COLUMBIA MONEY MARKET RESERVES G TRUST CLASS	19765M767	26,913.21	3.55	26,913.21 1.000	0.00	30 68	0.1
	Total Cash Equivalents		**$34,297.41**	**$4.03**	**$34,297.41**	**$0.00**	**$39.10**	**0.1%**
Total Cash/Currency			**$34,297.41**	**$4.03**	**$34,297.41**	**$0.00**	**$39.10**	**0.1%**
Equities								

(2)Industry Sector Codes CND = Consumer Discretionary, CNS = Consumer Staples, ENR = Energy, FIN = Financials, HEA = Health Care, IND = Industrials, IFT = Information Technology, MAT = Materials, OEQ = Other Equities, TEL = Telecommunication Services, UTL = Utilities

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
U.S. Large Cap								
110.000	ABBOTT LABS Ticker: ABT	002824100 HEA	$5,938.90 53.990	$0.00	$5,524.57 50.223	$414 33	$176 00	3.0%
120.000	ADOBE SYS INC Ticker: ADBE	00724F101 IFT	4,413.60 36.780	0.00	2,922.48 24 354	1,491.12	0 00	0 0
70 000	AMGEN INC Ticker: AMGN	031162100 HEA	3,959.90 56.570	0.00	3,649 16 52 131	310 74	0 00	0.0
110.000	APACHE CORP Ticker: APA	037411105 ENR	11,348.70 103.170	0.00	7,121.37 64 740	4,227.33	66 00	0.6
40 000	APPLE INC Ticker: AAPL	037833100 IFT	8,429.28 210.732	0.00	8,008 66 200 217	420.62	0.00	0.0
480.000	AT&T INC Ticker: T	00206R102 TEL	13,454 40 28.030	0.00	12,247.69 25.516	1,206.71	806.40	6 0
215.000	AVON PRODS INC Ticker AVP	054303102 CNS	6,772.50 31.500	0.00	6,418.57 29 854	353.93	180.60	2.7
205.000	CHEVRON CORP Ticker. CVX	166764100 ENR	15,782 95 76.990	0.00	14,844.12 72.410	938.83	557.60	3 5

(1) Market Value in the Portfolio Detail section does not include Accrued Income

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
300.000	CISCO SYS INC Ticker: CSCO	17275R102 IFT	7,182.00 23.940	0.00	6,955.97 23.187	226.03	0.00	0.0
3,307.607	COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES Ticker: UMLG X	19765Y688 OEQ	33,539.13 10.140	0.00	30,000.00 9.070	3,539.13	0.00	0.0
800 854	COLUMBIA VALUE AND RESTRUCTURING FUND CLASS Z SHARES Ticker. UMBI X	19765Y514 OEQ	34,268.54 42.790	0.00	30,000.00 37.460	4,268.54	451 68	1 3
185 000	COMCAST CORP NEW CL A COM Ticker. CMCS A	20030N101 CND	3,119.10 16 860	0.00	3,213.04 17 368	-93 94	69.93	2 2
70.000	DIRECTV CL A COM Ticker: DTV	25490A101 CND	2,334.50 33.350	0.00	2,316.94 33.099	17.56	0.00	0.0
180 000	DISNEY WALT CO COM DISNEY Ticker: DIS	254687106 CND	5,805.00 32.250	63.00	5,176.57 28.759	628.43	63.00	1.1
625 000	EMC CORP Ticker: EMC	268648102 IFT	10,918.75 17.470	0.00	6,873.52 10.998	4,045.23	0.00	0.0
30.000	EOG RES INC Ticker EOG	26875P101 ENR	2,919.00 97.300	0.00	1,680.83 56.028	1,238.17	17.40	0.6
80.000	EXELON CORP Ticker. EXC	30161N101 UTL	3,909.60 48.870	0.00	3,028.98 37.862	880 62	168 00	4.3
100.000	FPL GROUP INC Ticker FPL	302571104 UTL	5,282 00 52.820	0 00	5,615.01 56.150	-333.01	189.00	3 6
205 000	GENERAL ELEC CO Ticker: GE	369604103 IND	3,101.65 15.130	20 50	2,174.16 10 606	927.49	82.00	2 6
55.000	GILEAD SCIENCES INC Ticker. GILD	375558103 HEA	2,379 85 43.270	0.00	2,456 06 44.656	-76 21	0 00	0.0
60.000	GOLDMAN SACHS GROUP INC Ticker: GS	38141G104 FIN	10,130.40 168.840	0.00	9,205.44 153 424	924.96	84.00	0.8
230 000	HEWLETT PACKARD CO Ticker HPQ	428236103 IFT	11,847.30 51.510	18.40	6,673.19 29 014	5,174.11	73.60	0 6

Portfolio Detail

Account: 36-09-900-8545897 ELLEN V SMITH TRUST 9TH CLAUSE

Dec. 01, 2009 through Dec 31, 2009

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
U.S. Large Cap (cont)								
95.000	INTERNATIONAL BUSINESS MACHS Ticker: IBM	459200101 IFT	12,435.50 130 900	0.00	7,719.29 81.256	4,716.21	209.00	1.7
290 000	J P MORGAN CHASE & CO Ticker JPM	46625H100 FIN	12,084.30 41.670	0.00	13,467.31 46.439	-1,383.01	58 00	0.5
155 000	KIMBERLY CLARK CORP Ticker: KMB	494368103 CNS	9,875.05 63 710	93.00	9,063.58 58.475	811.47	372 00	3.8
50 000	LOCKHEED MARTIN CORP Ticker LMT	539830109 IND	3,767.50 75.350	0.00	3,779.91 75.598	-12.41	126 00	3.3
250 000	LOWES COS INC Ticker: LOW	548661107 CND	5,847.50 23.390	0.00	3,512.45 14.050	2,335.05	90.00	1 5
90 000	MCKESSON CORP Ticker: MCK	58155Q103 HEA	5,625.00 62.500	10.80	4,246.97 47.189	1,378.03	43.20	0.8
60.000	MEDCO HLTH SOLUTIONS INC Ticker: MHS	58405U102 HEA	3,834.60 63.910	0.00	3,519.00 58.650	315.60	0.00	0 0
570.000	MICROSOFT CORP Ticker: MSFT	594918104 IFT	17,373.60 30.480	0.00	13,765.03 24.149	3,608.57	296.40	1.7
45 000	MONSANTO CO NEW COM Ticker: MON	61166W101 MAT	3,678.75 81.750	0.00	2,707.49 60.166	971.26	47.70	1.3
115.000	OCCIDENTAL PETE CORP DEL Ticker: OXY	674599105 ENR	9,355 25 81 350	37.95	5,853.41 50.899	3,501.84	151.80	1.6
160.000	PEPSICO INC Ticker: PEP	713448108 CNS	9,728 00 60.800	72.00	9,660.02 60.375	67 98	288.00	3.0
805.000	PFIZER INC Ticker: PFE	717081103 HEA	14,642.95 18.190	0.00	12,747.22 15.835	1,895.73	579.60	4.0
270.000	PHILIP MORRIS INTL INC Ticker: PM	718172109 CNS	13,011.30 48.190	156 60	10,506 64 38 913	2,504.66	626.40	4 8
115.000	PNC FINL SVCS GROUP INC Ticker: PNC	693475105 FIN	6,070.85 52 790	0.00	4,429 63 38.519	1,641.22	46.00	0.8
120.000	PRUDENTIAL FINL INC Ticker: PRU	744320102 FIN	5,971.20 49.760	0.00	5,874.40 48.953	96.80	84.00	1.4

Bank of America

Portfolio Detail

Account: 36-09-900-8545897 ELLEN V. SMITH TRUST 9TH CLAUSE

Dec 01, 2009 through Dec 31, 2009

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
U.S. Large Cap (cont)								
105.000	SEMPRA ENERGY Ticker: SRE	816851109 UTL	5,877.90 55 980	40.95	5,188.31 49.412	689.59	163.80	2.8
100 000	SOUTHWESTERN ENERGY CO Ticker: SWN	845467109 ENR	4,820.00 48.200	0.00	2,551.98 25.520	2,268.02	0.00	0.0
290 000	STAPLES INC Ticker: SPLS	855030102 CND	7,131.10 24.590	23 93	5,916.32 20.401	1,214.78	95.70	1.3
325 000	TEXAS INSTRS INC Ticker: TXN	882508104 IFT	8,469.50 26.060	0.00	8,796.55 27.066	-327 05	156.00	1.8
125 000	THERMO FISHER SCIENTIFIC CORP Ticker TMO	883556102 HEA	5,961.25 47.690	0 00	7,237.28 57.898	-1,276.03	0.00	0.0
45 000	UNITED PARCEL SVC INC CL B Ticker: UPS	911312106 IND	2,581.65 57.370	0.00	2,342.77 52.062	238 88	81.00	3.1
220.000	UNITED TECHNOLOGIES CORP Ticker. UTX	913017109 IND	15,270.20 69.410	0.00	8,733.65 39 698	6,536.55	338.80	2.2
320.000	US BANCORP DEL COM NEW Ticker USB	902973304 FIN	7,203.20 22.510	16 00	7,724 11 24.138	-520.91	64.00	0.9
345.000	WELLS FARGO & CO NEW COM Ticker. WFC	949746101 FIN	9,311 55 26.990	0.00	9,378.46 27.184	-66.91	69.00	0.7
100.000	YUM BRANDS INC Ticker YUM	988498101 CND	3,497.00 34.970	0.00	2,463.00 24.630	1,034.00	84.00	2.4
	Total U.S. Large Cap		**$410,261.75**	**$553.13**	**$347,291.11**	**$62,970.64**	**$7,055.61**	**1.7%**
U.S. Mid Cap								
105 000	AMPHENOL CORP NEW CL A Ticker APH	032095101 IFT	$4,848.90 46.180	$1 58	$4,287 03 40.829	$561.87	$6.30	0 1%
65.000	APOLLO GROUP INC CL A Ticker APOL	037604105 CND	3,937.70 60.580	0.00	4,178 20 64.280	-240.50	0.00	0 0

Settlement Date

Bank of America

Portfolio Detail

Account: 36-09-900-8545897 ELLEN V. SMITH TRUST 9TH CLAUSE

Dec. 01, 2009 through Dec. 31, 2009

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Mid Cap (cont)								
125.000	AXIS CAP HLDGS LTD BERMUDA Ticker AXS	G0692U109 FIN	3,551.25 28.410	26.25	2,949.92 23.599	601.33	105.00	3.0
155.000	CELANESE CORP DEL SER A COM Ticker CE	150870103 MAT	4,975.50 32.100	0.00	3,764.18 24.285	1,211.32	24.80	0 5
1,433.157	COLUMBIA ACORN FUND CLASS Z SHARES Ticker ACRN X	197199409 OEQ	35,370 31 24.680	0.00	20,006.87 13.960	15,363.44	70.22	0 2
3,039.514	COLUMBIA MID CAP VALUE FUND CLASS Z SHARES Ticker NAMA X	19765J830 OEQ	33,677.82 11.080	0.00	30,000.00 9.870	3,677.82	303.95	0 9
225 000	DEAN FOODS CO NEW COM Ticker. DF	242370104 CNS	4,059.00 18 040	0.00	4,089.10 18 174	-30.10	0.00	0.0
230 000	DISCOVER FINL SVCS Ticker: DFS	254709108 FIN	3,383.30 14.710	4.60	3,402.53 14 794	-19.23	18.40	0.5
55.000	DOVER CORP Ticker: DOV	260003108 IND	2,288.55 41.610	0 00	2,363.63 42.975	-75.08	57.20	2.5
35.000	FLOWSERVE CORP Ticker: FLS	34354P105 IND	3,308.55 94.530	9.45	2,967.23 84.778	341.32	37.80	1.1
80 000	LABORATORY CORP AMER HLDGS NEW COM Ticker. LH	50540R409 HEA	5,987.20 74.840	0.00	5,678.05 70.976	309.15	0.00	0.0
120.000	MOLSON COORS BREWING CO CL B COM Ticker: TAP	60871R209 CNS	5,419.20 45.160	0.00	5,758.43 47.987	-339.23	115.20	2 1
370.000	MYLAN INC Ticker: MYL	628530107 HEA	6,819.10 18.430	0.00	6,127.19 16.560	691.91	0.00	0.0
185.000	NABORS INDS INC ISIN BMG6359F1032 BERMUDA Ticker. NBR	G6359F103 ENR	4,049.65 21.890	0.00	3,116.18 16.844	933.47	0 00	0.0

Bank of America

Portfolio Detail

Account: 36-09-900-8545897 ELLEN V SMITH TRUST 9TH CLAUSE

Dec. 01, 2009 through Dec 31, 2009

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Mid Cap (cont)								
70.000	NAVISTAR INTL CORP NEW Ticker: NAV	63934E108 IND	2,705.50 38.650	0.00	2,759.75 39 425	-54.25	0.00	0.0
220 000	NORDSTROM INC Ticker: JWN	655664100 CND	8,267.60 37.580	0.00	5,496 11 24.982	2,771 49	140.80	1.7
170.000	PACKAGING CORP AMER Ticker. PKG	695156109 MAT	3,911.70 23.010	25.50	2,747.47 16.162	1,164 23	102.00	2.6
100 000	PARKER HANNIFIN CORP Ticker: PH	701094104 IND	5,388.00 53.880	0.00	4,728.05 47.281	659.95	100.00	1 9
30.000	POLO RALPH LAUREN CORP CL A Ticker: RL	731572103 CND	2,429 40 80.980	3.00	2,176.35 72.545	253.05	12.00	0 5
160 000	PRINCIPAL FINL GROUP INC Ticker: PFG	74251V102 FIN	3,846.40 24.040	0.00	4,149 60 25.935	-303.20	80.00	2 1
	Total U.S. Mid Cap		**$148,224.63**	**$70.38**	**$120,745.87**	**$27,478.76**	**$1,173.67**	**0.8%**
U.S. Small Cap								
891.410	COLUMBIA SELECT SMALL CAP FUND CLASS Z SHARES Ticker UMLC X	19765Y589 OEQ	$12,167.75 13.650	$0.00	$11,000.00 12.340	$1,167 75	$0.00	0.0%
594.106	COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES Ticker: CMSC X	19765P596 OEQ	14,306.07 24.080	0.00	12,500.00 21.040	1,806.07	0.00	0 0
693.642	COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES Ticker CSCZ X	19765N567 OEQ	26,226.60 37.810	0.00	24,000.00 34.600	2,226.60	149.83	0.6
	Total U.S. Small Cap		**$52,700.42**	**$0.00**	**$47,500.00**	**$5,200.42**	**$149.83**	**0.3%**
International Developed								
1,314.242	COLUMBIA ACORN INTERNATIONAL FUND CLASS Z SHARES Ticker: ACIN X	197199813 OEQ	$45,025.93 34 260	$0 00	$19,572.29 14.892	$25,453.64	$697 86	1 6%

Bank of America

Portfolio Detail

Account: 36-09-900-8545897 ELLEN V. SMITH TRUST 9TH CLAUSE

Dec. 01, 2009 through Dec 31, 2009

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
5,154.639	COLUMBIA INTERNATIONAL VALUE FUND CLASS Z SHARES Ticker. EMIE X	19765H586 OEQ	73,144.33 14.190	0.00	70,000.00 13.580	3,144.33	1,216.49	1.7
7,099 391	COLUMBIA MARSICO INTERNATIONAL OPPORTUNITIES FD CLASS Z SHARES Ticker: NMOA X	19765H636 OEQ	75,821.50 10.680	0.00	70,000.00 9.860	5,821.50	2,115.62	2 8
90.000	NOBLE CORP ISIN CH0033347318 SWITZERLAND Ticker: NE	H5833N103 ENR	3,663.00 40.700	0.00	3,736 67 41.519	-73 67	14 40	0 4
15.000	RIO TINTO PLC SPONSORED ADR UNITED KINGDOM Ticker RTP	767204100 MAT	3,230.85 215.390	0.00	3,058.40 203.893	172.45	81.60	2 5
180.000	TYCO INTL LTD NEW F COM SWITZERLAND Ticker: TYC	H89128104 IND	6,422.40 35 680	0.00	6,268 92 34.827	153 48	144.00	2 2
	Total International Developed		**$207,308.01**	**$0.00**	**$172,636.28**	**$34,671.73**	**$4,269.97**	**2.1%**
Total Equities			**$818,494.81**	**$623.51**	**$688,173.26**	**$130,321.55**	**$12,649.08**	**1.5%**
Fixed Income								
Investment Grade Taxable								
25,000.000	***2010*** FEDERAL NATL MTG ASSN BENCHMRK NT DTD 01/14/00 7.250% DUE 01/15/10 Moody's AAA S&P. AAA	31359MFG3	$25,054.75 100.219	$835.76	$25,008.00 100.032	$46.75	$1,812.50	7 2% 0.2
93,023.933	GOVERNMENT CREDIT CTF ORIGINAL COST 617,828.02	993361880	693,856.21 7.459	567.09	668,935.28 7.191	24,920.93	29,488.59	4 3
	Total Investment Grade Taxable		**$718,910.96**	**$1,402.85**	**$693,943.28**	**$24,967.68**	**$31,301.09**	**4.4%**

Bank of America

Portfolio Detail

Account: 36-09-900-8545897 ELLEN V SMITH TRUST 9TH CLAUSE

Dec 01, 2009 through Dec. 31, 2009

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable								
6,877.579	COLUMBIA HIGH INCOME FUND CLASS Z SHARES	19765H495	$53,370.01 7 760	$0.00	$50,000.00 7.270	$3,370.01	$3,899.59	7.3%
	Total Global High Yield Taxable		**$53,370.01**	**$0.00**	**$50,000.00**	**$3,370.01**	**$3,899.59**	**7.3%**
Total Fixed Income			**$772,280.97**	**$1,402.85**	**$743,943.28**	**$28,337.69**	**$35,200.68**	**4.6%**
Real Estate								
Public REITs								
1,134.722	COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES	19765P547	$11,449.34 10.090	$0 00	$20,434.40 18.008	-$8,985.06	$427.79	3 7%
	Total Public REITs		**$11,449.34**	**$0.00**	**$20,434.40**	**-$8,985.06**	**$427.79**	**3.7%**
Total Real Estate			**$11,449.34**	**$0.00**	**$20,434.40**	**-$8,985.06**	**$427.79**	**3.7%**
Total Portfolio			**$1,636,522.53**	**$2,030.39**	**$1,486,848.35**	**$149,674.18**	**$48,316.65**	**3.0%**
Accrued Income			$2,030.39					
Total			**$1,638,552.92**					