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NORWOTTOCK 06/28/2010 9 06 AM

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: NORWOTTOCK CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address): 643 WEST ARLINGTON PLACE — Room/suite:

City or town, state, and ZIP code: CHICAGO IL 60614

A Employer identification number: 04-6561597

B Telephone number (see page 10 of the instructions): 773-404-5566

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 723,337

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	234,500			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	74	74		
	4	Dividends and interest from securities	11,944	11,944		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	189,158			
	b	Gross sales price for all assets on line 6a 373,577				
	7	Capital gain net income (from Part IV, line 2)		189,158		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns & allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) Stmt 1	205			
	12	**Total.** Add lines 1 through 11	435,881	201,176	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 2	3,180			3,180
	c	Other professional fees (attach schedule) Stmt 3	7,000	7,000		
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) Stmt 4	417	279		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) Stmt 5	40			40
	24	**Total operating and administrative expenses.** Add lines 13 through 23	10,637	7,279		3,220
	25	Contributions, gifts, grants paid	104,040			104,040
	26	**Total expenses and disbursements.** Add lines 24 and 25	114,677	7,279	0	107,260
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	321,204			
	b	**Net investment income** (if negative, enter -0-)		193,897		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	-31		
	2	Savings and temporary cash investments	30,015	73,314	73,314
	3	Accounts receivable ▶			
		Less. allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) See Stmt 6	535,730	479,436	550,330
	c	Investments—corporate bonds (attach schedule) See Stmt 7		100,000	98,450
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶ See Statement 8)	1,365	1,243	1,243
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	567,079	653,993	723,337
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	567,079	653,993	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	567,079	653,993	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	567,079	653,993	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	567,079
2	Enter amount from Part I, line 27a	2	321,204
3	Other increases not included in line 2 (itemize) ▶ See Statement 9	3	4
4	Add lines 1, 2, and 3	4	888,287
5	Decreases not included in line 2 (itemize) ▶ See Statement 10	5	234,294
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	653,993

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Worksheet			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	189,158
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) / If (loss), enter -0- in Part I, line 8	3	-15,129

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	118,623	764,277	0.155209
2007	118,675	790,827	0.150064
2006	141,821	826,259	0.171642
2005	186,940	907,124	0.206080
2004	154,391	796,462	0.193846

2	Total of line 1, column (d)	2	0.876841
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.175368
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	566,698
5	Multiply line 4 by line 3	5	99,381
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,939
7	Add lines 5 and 6	7	101,320
8	Enter qualifying distributions from Part XII, line 4	8	107,260

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1,939
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,939
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,939
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	4
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,943
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be. **Credited to 2010 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NONE

14 The books are in care of ▶ ADELE SIMMONS Telephone no ▶ 773-404-5566

643 WEST ARLINGTON PLACE

Located at ▶ CHICAGO, IL ZIP+4 ▶ 60614

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN L. SIMMONS CHICAGO 643 WEST ARLINGTON PLACE IL 60614	TRUSTEE 0.50	0	0	0
ADELE SMITH SIMMONS CHICAGO 643 WEST ARLINGTON PLACE IL 60614	TRUSTEE 0.50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	515,067
b	Average of monthly cash balances			1b	60,261
c	Fair market value of all other assets (see page 24 of the instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	575,328
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	575,328
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)			4	8,630
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	566,698
6	**Minimum investment return.** Enter 5% of line 5			6	28,335

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	28,335
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,939		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,939
3	Distributable amount before adjustments Subtract line 2c from line 1			3	26,396
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	26,396
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	26,396

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	107,260
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	107,260
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,939
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	105,321

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					26,396
2 Undistributed income, if any, as of the end of 2009					
a Enter amount for 2008 only					
b Total for prior years 20____, 20____, 20____					
3 Excess distributions carryover, if any, to 2009					
a From 2004	116,576				
b From 2005	141,733				
c From 2006	101,175				
d From 2007	84,475				
e From 2008	80,543				
f **Total** of lines 3a through e		524,502			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 107,260					
a Applied to 2008, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)					
d Applied to 2009 distributable amount					26,396
e Remaining amount distributed out of corpus		80,864			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		605,366			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions					
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions					
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		116,576			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		488,790			
10 Analysis of line 9					
a Excess from 2005	141,733				
b Excess from 2006	101,175				
c Excess from 2007	84,475				
d Excess from 2008	80,543				
e Excess from 2009	80,864				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

JOHN AND ADELE SIMMONS 773-404-5566
643 WEST ARLINGTON PLACE CHICAGO IL 60614

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT	NONE	501(C)(3)	UNRESTRICTED	104,040
Total			▶ 3a	104,040
b Approved for future payment				
N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	74	
4 Dividends and interest from securities			14	11,944	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	189,158	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b CLASS ACTION LEGAL SETTLEMENT			1	205	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		201,381	0
13 **Total.** Add line 12, columns (b), (d), and (e)				13	201,381

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee [signature] Date 7/15/10 Title

Paid Preparer's Use Only

Preparer's signature [signature] Date 06/28/10 Check if self-employed ☐ Preparer's identifying number (see Signature on page 30 of the instructions) P00365707

Firm's name (or yours if self-employed), address, and ZIP code: Old Greenwich Financial, Inc. 5214 N. Lakewood Ave Chicago, IL 60640 EIN 06-1546854 Phone no 773-271-9551

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ *Attach to Form 990, 990-EZ, or 990-PF.*

OMB No 1545-0047

2009

Name of the organization	Employer identification number
NORWOTTOCK CHARITABLE TRUST	04-6561597

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Name of organization: NORWOTTOCK CHARITABLE TRUST

Employer identification number: 04-6561597

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ADELE S. SIMMONS 643 WEST ARLINGTON PLACE CHICAGO IL 60614	$ 234,500	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization: NORWOTTOCK CHARITABLE TRUST

Employer identification number: 04-6561597

Part II **Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	10,000 SHARES MARSH & MCLENNAN	$ 234,500	08/26/09

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Form **990-PF**

Capital Gains and Losses for Tax on Investment Income

For calendar year 2009, or tax year beginning , and ending

2009

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	150 AVON PRODUCTS INC	P	07/23/08	03/17/09
(2)	25 EXELON CORP	P	08/21/08	03/19/09
(3)	125 EXELON CORP	P	05/01/08	03/19/09
(4)	25 BURLINGTON NORTHERN SANTA FE CORP	P	11/11/08	04/28/09
(5)	25 BURLINGTON NORTHERN SANTA FE CORP	P	10/13/08	04/28/09
(6)	125 COVIDIEN LTD SHS	P	07/23/08	05/07/09
(7)	100 SCHWAB CHARLES CORP NEW	P	08/11/08	07/22/09
(8)	200 SCHWAB CHARLES CORP NEW	P	07/23/08	07/22/09
(9)	100 HEINZ H J CO	P	03/17/09	07/22/09
(10)	100 ACE LTD	P	09/25/08	07/22/09
(11)	50 DUN & BRADSTREET CORP DEL NEW	P	07/23/08	07/22/09
(12)	75 KOHLS CORP	P	03/27/09	07/22/09
(13)	25 APPLE COMPUTER INC	P	11/09/07	01/15/09
(14)	25 APPLE COMPUTER INC	P	08/30/07	01/15/09
(15)	25 PROCTER & GAMBLE CO	P	03/07/06	03/27/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	2,698		5,469	-2,771
(2)	1,104		1,910	-806
(3)	5,518		10,760	-5,242
(4)	1,593		2,028	-435
(5)	1,593		2,079	-486
(6)	4,077		6,306	-2,229
(7)	1,704		2,343	-639
(8)	3,408		4,579	-1,171
(9)	3,773		3,366	407
(10)	4,614		5,981	-1,367
(11)	4,069		4,877	-808
(12)	3,636		3,218	418
(13)	2,033		4,339	-2,306
(14)	2,033		3,437	-1,404
(15)	1,210		1,511	-301

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-2,771
(2)				-806
(3)				-5,242
(4)				-435
(5)				-486
(6)				-2,229
(7)				-639
(8)				-1,171
(9)				407
(10)				-1,367
(11)				-808
(12)				418
(13)				-2,306
(14)				-1,404
(15)				-301

Form **990-PF**

Capital Gains and Losses for Tax on Investment Income

For calendar year 2009, or tax year beginning , and ending

2009

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	25 PROCTER & GAMBLE CO	P	11/09/07	03/27/09
(2)	25 PROCTER & GAMBLE CO	P	12/14/07	03/27/09
(3)	25 MCDONALDS CORP	P	12/14/07	05/07/09
(4)	25 PEPSICO INC	P	12/14/07	05/07/09
(5)	100 MIDCAP SPDR TR UNIT SER 1 QRTLY	P	12/14/07	05/07/09
(6)	25 MICROSOFT CORP	P	11/09/07	05/07/09
(7)	200 ISHARES TR MSCI EAFE INDEX FD	P	12/23/03	05/07/09
(8)	100 ISHARES TR MSCI EAFE INDEX FD	P	12/14/07	05/07/09
(9)	50 CISCO SYSTEM INC	P	12/14/07	05/07/09
(10)	25 COLGATE PALMOLIVE	P	12/14/07	05/07/09
(11)	25 MICROSOFT CORP	P	12/14/07	05/07/09
(12)	25 MEDTRONIC INC	P	02/04/04	07/22/09
(13)	50 MEDTRONIC INC	P	12/14/07	07/22/09
(14)	25 NIKE INC CLASS B	P	12/14/07	07/22/09
(15)	125 NIKE INC CLASS B	P	11/29/07	07/22/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	1,210		1,770	-560
(2)	1,210		1,854	-644
(3)	1,328		1,538	-210
(4)	1,237		1,966	-729
(5)	10,456		15,699	-5,243
(6)	483		856	-373
(7)	8,848		8,813	35
(8)	4,424		8,080	-3,656
(9)	949		1,470	-521
(10)	1,547		2,013	-466
(11)	483		888	-405
(12)	854		1,298	-444
(13)	1,708		2,477	-769
(14)	1,290		1,618	-328
(15)	6,450		8,065	-1,615

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-560
(2)				-644
(3)				-210
(4)				-729
(5)				-5,243
(6)				-373
(7)				35
(8)				-3,656
(9)				-521
(10)				-466
(11)				-405
(12)				-444
(13)				-769
(14)				-328
(15)				-1,615

Form **990-PF**

Capital Gains and Losses for Tax on Investment Income

For calendar year 2009, or tax year beginning , and ending

2009

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	50 MEDTRONIC INC	P	02/14/05	07/22/09
(2)	100 MEDTRONIC INC	P	11/03/00	07/22/09
(3)	75 L-3 COMMUNICATIONS HLDGS INC	P	06/27/03	07/22/09
(4)	25 JOHNSON AND JOHNSON	P	12/14/07	07/22/09
(5)	600 ISHARES TR MSCI EAFE INDEX FD	P	12/23/03	07/22/09
(6)	100 DELL INC	P	08/17/07	07/22/09
(7)	75 DELL INC	P	11/09/07	07/22/09
(8)	125 DELL INC	P	06/27/03	07/22/09
(9)	25 DANAHER CORP	P	11/09/07	07/22/09
(10)	25 DANAHER CORP	P	12/14/07	07/22/09
(11)	50 ALCON INC PAYS IN US DOLLARS	P	06/07/07	07/22/09
(12)	75 CISCO SYSTEM INC	P	06/27/03	07/22/09
(13)	100 CISCO SYSTEM INC	P	11/09/07	07/22/09
(14)	10000 MARSH & MCLENNAN INC	P	02/19/86	09/08/09
(15)	BANK OF AMERICA			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	1,708		2,633	-925
(2)	3,417		5,654	-2,237
(3)	5,373		3,296	2,077
(4)	1,480		1,695	-215
(5)	28,890		26,440	2,450
(6)	1,329		2,660	-1,331
(7)	997		2,078	-1,081
(8)	1,662		3,965	-2,303
(9)	1,589		2,039	-450
(10)	1,589		2,159	-570
(11)	5,973		6,826	-853
(12)	1,600		1,275	325
(13)	2,133		2,885	-752
(14)	229,974		206	229,768
(15)	323			323

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-925
(2)				-2,237
(3)				2,077
(4)				-215
(5)				2,450
(6)				-1,331
(7)				-1,081
(8)				-2,303
(9)				-450
(10)				-570
(11)				-853
(12)				325
(13)				-752
(14)				229,768
(15)				323

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
CLASS ACTION LEGAL SETTLEMENT	$ 205	$	$
Total	$ 205	$ 0	$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX PREPARATION FEES	$ 3,180	$	$	$ 3,180
Total	$ 3,180	$ 0	$ 0	$ 3,180

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEES	$ 7,000	$ 7,000	$	$
Total	$ 7,000	$ 7,000	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	$ 279	$ 279	$	$
FEDERAL INCOME TAXES	138			
Total	$ 417	$ 279	$ 0	$ 0

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
FILING FEES	15			15
BANK CHARGES	25			25
Total	$ 40	$ 0	$ 0	$ 40

Federal Statements

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED STATEMENT	$ 535,730	$ 479,436	Cost	$ 550,330
Total	$ 535,730	$ 479,436		$ 550,330

Statement 7 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
PIMCO TOTAL RETURN FUND INSTL	$	$ 100,000	Cost	$ 98,450
Total	$ 0	$ 100,000		$ 98,450

Statement 8 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
EXCHANGE	$ 1,365	$ 1,243	$ 1,243
Total	$ 1,365	$ 1,243	$ 1,243

Statement 9 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
ROUNDING	$ 4
Total	$ 4

Statement 10 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
DIFF BETWEEN FMV AND COST OF STOCK CONTRIBUTED	$ 234,294
Total	$ 234,294

Norwottock Charitable Trust
EIN: 04-6561597
2009 Form 990-PF, Page11, Part XV, Line 3a

Name	Paid Amount
Contributions Paid	
A Better Balance	200.00
Adlai Stevenson Center on Democracy	1,000.00
American Prospect	5,000.00
AN & Pearl G Barnett Foundation	250.00
Architects Research Foundation	500.00
Art Institute	1,500.00
Art Institute	5,750.00
Arts Horizon	500.00
BPI	250.00
Bulletin for Atomic Scientists	1,000.00
Carbon Nation	0.00
Carter Center	1,000.00
Catalyst	250.00
Catalyst	250.00
Center for International Policy	250.00
Center for Neighborhood Technology	1,000.00
Center for Safe Energy	1,000.00
Center On Budget & Policy Priorities	100.00
Center On Budget & Policy Priorities	150.00
CERES	1,000.00
Chicago Architecture Foundation	500.00
Chicago Cares	250.00
Chicago Community Renewal	250.00
Chicago Council on Global Affairs	300.00
Chicago Council on Global Affairs	1,250.00
Chicago Global Donors Network	1,000.00
Chicago History Museum	250.00
Chicago Humanities Festival	2,500.00
Chicago Public Library Foundation	250.00
Chicago Public Library Foundation	250.00
Chicago Symphony Orchestra	2,500.00
Council on Foreign Relations	250.00
Delta Institute	250.00
Drug Policy Alliance	200.00
Earth School Educational Foundation	1,000.00
Environmental Defense Fund	500.00
Environmental Defense Fund	1,000.00
Environmental Law & Policy Center	1,000.00
Field Museum	5,000.00
Fractured Atlas	100.00
Garrison Forest School	100.00
Garrison Forest School	450.00
Global Fund for Women	2,000.00
Global Justice Center	500.00
Hampshire College	640.00
Hampshire College	5,000.00
Hampshire College	5,000.00
Harvard College Fund	2,500.00

All receipients are Section 501(c)(3) organizations.

Norwottock Charitable Trust
EIN: 04-6561597
2009 Form 990-PF, Page11, Part XV, Line 3a

Name	Paid Amount
Health & Medicine Policy Research Group	250.00
Hull House	500.00
Institute for Policy Studies	250.00
Interfaith Worker Justice	100.00
International Crane Foundation	2,000.00
Lyric Opera of Chicago	6,250.00
Mad Shak Dance Company	250.00
Mad Shak Dance Company	1,500.00
Mexican Fine Arts Museum	1,250.00
Mother Jones	10,000.00
Museum of Science & Industry	100.00
Newberry Library	1,000.00
Olsen Family Fund	500.00
Openlands Project	250.00
Operation Crossroads Africa	100.00
Ounce of Prevention	500.00
Rocky Mountain Institute	1,000.00
Ryerson Woods	1,500.00
Ryerson Woods	1,000.00
Sambhavan Trust	50.00
Save the Dunes Conservation Fund	100.00
Synergos Institute	1,050.00
Synergos Institute	4,000.00
The Chicago Council on Global Affairs	1,000.00
The Chicago Council on Global Affairs	3,750.00
The Chicago Reporter	100.00
The Resurrection Project	250.00
Union of Concerned Scientists	1,500.00
Voices for IL Children	250.00
WBEZ-Chicago Public Radio	150.00
Weatherhead Center for Intl Affairs	5,000.00
WFMT Fine Arts Station	100.00
Winning Workplaces	1,250.00
Women's Global Education Project	100.00
Women's Global Education Project	250.00
World Resources Institute	1,000.00
YouthBuild USA	100.00
Total Contributions Paid	104,040.00
TOTAL	**104,040.00**

All receipients are Section 501(c)(3) organizations.

Equities

(2)Industry Sector Codes

CND = Consumer Discretionary
CNS = Consumer Staples
ENR = Energy
FIN = Financials
HEA = Health Care
IND = Industrials
IFT = Information Technology
MAT = Materials
OEQ = Other Equities
TEL = Telecommunication Services
UTL = Utilities

U.S. Large Cap

100.000	ABBOTT LABS Ticker ABT	002824100 HEA	$5,399 00 53 990	$0.00	$5,802.89 58.029	-$403.89	$160.00	3.0%
75.000	AMGEN INC Ticker: AMGN	031162100 HEA	4,242 75 56 570	0.00	4,338 37 57 845	-95.62	0.00	0.0
275.000	AT&T INC Ticker T	00206R102 TEL	7,708 25 28 030	0.00	11,168.74 40.614	-3,460.49	462.00	6.0
75.000	BEST BUY INC Ticker: BBY	086516101 CND	2,959.50 39.460	10 50	3,015.30 40 204	-55 80	42 00	1.4
100.000	CHEVRON CORP Ticker CVX	166764100 ENR	7,699 00 76 990	0 00	7,643 00 76 430	56 00	272 00	3 5
500 000	CISCO SYS INC Ticker. CSCO	17275R102 IFT	11,970 00 23 940	0.00	8,500 00 17.000	3,470.00	0 00	0.0
150 000	COLGATE PALMOLIVE CO Ticker CL	194162103 CNS	12,322 50 82 150	0 00	9,098 49 60 657	3,224 01	264 00	2 1
200 000	CORNING INC Ticker GLW	219350105 IFT	3,862 00 19 310	0 00	3,146 56 15 733	715 44	40 00	1 0

(1) Market Value in the Portfolio Detail section does not include Accrued Income

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
100.000	DANAHER CORP DEL Ticker: DHR	235851102 IND	7,520.00 75.200	4.00	3,419.50 34.195	4,100.50	16.00	0.2
175.000	DISNEY WALT CO COM DISNEY Ticker. DIS	254687106 CND	5,643.75 32.250	61.25	5,607.70 32.044	36.05	61.25	1.1
400 000	EMC CORP Ticker: EMC	268648102 IFT	6,988.00 17.470	0 00	5,758 92 14.397	1,229.08	0.00	0.0
175.000	EXPRESS SCRIPTS INC Ticker. ESRX	302182100 HEA	15,123.50 86.420	0.00	5,802 77 33.159	9,320.73	0 00	0.0
75 000	FPL GROUP INC Ticker FPL	302571104 UTL	3,961.50 52.820	0.00	3,784.75 50.463	176.75	141.75	3.6
400.000	GENERAL ELEC CO Ticker: GE	369604103 IND	6,052.00 15.130	40.00	13,426.87 33.567	-7,374.87	160.00	2.6
225 000	GILEAD SCIENCES INC Ticker. GILD	375558103 HEA	9,735.75 43.270	0.00	6,350.59 28.225	3,385.16	0.00	0.0
50.000	GOLDMAN SACHS GROUP INC Ticker: GS	38141G104 FIN	8,442.00 168 840	0.00	4,824 50 96.490	3,617.50	70.00	0.8
175 000	HEWLETT PACKARD CO Ticker: HPQ	428236103 IFT	9,014 25 51.510	14.00	7,367.90 42.102	1,646.35	56.00	0.6
450.000	INTEL CORP Ticker: INTC	458140100 IFT	9,180.00 20.400	0 00	12,028 75 26.731	-2,848.75	252.00	2.7
75 000	INTERNATIONAL BUSINESS MACHS Ticker: IBM	459200101 IFT	9,817.50 130.900	0.00	9,264 95 123 533	552 55	165.00	1.7
325.000	J P MORGAN CHASE & CO Ticker JPM	46625H100 FIN	13,542 75 41.670	0.00	13,914 78 42 815	-372 03	65.00	0 5
200 000	JOHNSON & JOHNSON Ticker JNJ	478160104 HEA	12,882.00 64 410	0 00	9,396.37 46 982	3,485 63	392.00	3.0
100 000	LOCKHEED MARTIN CORP Ticker LMT	539830109 IND	7,535 00 75 350	0 00	7,668 47 76 685	-133 47	252.00	3 3
175 000	MCDONALDS CORP Ticker MCD	580135101 CND	10,927 00 62 440	0 00	8,658 75 49 479	2,268 25	385 00	3 5

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
425.000	MICROSOFT CORP Ticker MSFT	594918104 IFT	12,954.00 30.480	0.00	11,631.25 27.368	1,322 75	221.00	1.7
50 000	MONSANTO CO NEW COM Ticker: MON	61166W101 MAT	4,087 50 81.750	0 00	6,156 26 123.125	-2,068.76	53.00	1.3
200.000	OCCIDENTAL PETE CORP DEL Ticker: OXY	674599105 ENR	16,270.00 81 350	66 00	14,056.00 70.280	2,214.00	264.00	1 6
300 000	ORACLE CORP Ticker. ORCL	68389X105 IFT	7,359 00 24.530	0.00	6,527.19 21.757	831.81	60.00	0.8
200.000	PEPSICO INC Ticker· PEP	713448108 CNS	12,160 00 60 800	90.00	10,408 58 52 043	1,751 42	360.00	3.0
200.000	PNC FINL SVCS GROUP INC Ticker PNC	693475105 FIN	10,558.00 52.790	0 00	7,383.46 36.917	3,174.54	80.00	0 8
75 000	PRAXAIR INC Ticker: PX	74005P104 MAT	6,023.25 80 310	0 00	4,531 25 60.417	1,492 00	120.00	2 0
125.000	PROCTER & GAMBLE CO Ticker· PG	742718109 CNS	7,578.75 60.630	0.00	7,553.67 60 429	25.08	220.00	2.9
175.000	QUALCOMM INC Ticker: QCOM	747525103 IFT	8,095 50 46 260	0 00	5,789.00 33.080	2,306.50	119.00	1 5
150 000	SCHLUMBERGER LTD NETHERLANDS ANTILLES Ticker. SLB	806857108 ENR	9,763.50 65.090	31.50	5,787 00 38 580	3,976.50	126.00	1.3
100 000	SOUTHERN CO Ticker: SO	842587107 UTL	3,332 00 33 320	0 00	3,009 77 30 098	322 23	175 00	5.3
125 000	SOUTHWESTERN ENERGY CO Ticker. SWN	845467109 ENR	6,025.00 48.200	0 00	4,053.37 32 427	1,971 63	0.00	0.0
125 000	STATE STR CORP Ticker STT	857477103 FIN	5,442.50 43 540	1 25	9,978 99 79 832	-4,536 49	5.00	0 1
250.000	TARGET CORP Ticker TGT	87612E106 CND	12,092 50 48 370	0 00	10,577 25 42 309	1,515 25	170 00	1 4
175 000	UNITED TECHNOLOGIES CORP Ticker UTX	913017109 IND	12,146 75 69 410	0 00	11,531 81 65 896	614 94	269 50	2 2

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
300.000	US BANCORP DEL COM NEW Ticker: USB	902973304 FIN	6,753.00 22.510	15.00	5,804.01 19.347	948.99	60.00	0.9
100 000	WAL-MART STORES INC Ticker: WMT	931142103 CNS	5,345.00 53.450	27.25	4,929.98 49 300	415.02	109.00	2.0
225.000	XTO ENERGY INC Ticker: XTO	98385X106 ENR	10,469.25 46.530	28.13	8,862 43 39.389	1,606.82	112.50	1.1
200 000	YUM BRANDS INC Ticker: YUM	988498101 CND	6,994 00 34.970	0 00	6,735 46 33 677	258 54	168 00	2.4
	Total U.S. Large Cap		**$355,977.50**	**$388.88**	**$315,295.65**	**$40,681.85**	**$5,948.00**	**1.7%**
U.S. Mid Cap								
150.000	PARKER HANNIFIN CORP Ticker: PH	701094104 IND	$8,082 00 53.880	$0.00	$7,083.49 47.223	$998 51	$150.00	1.9%
400.000	S & P MIDCAP 400 DEP RECPTS SER 1 Ticker: MDY	595635103 OEQ	52,696.00 131.740	195.24	49,693.30 124.233	3,002.70	781.20	1.5
	Total U.S. Mid Cap		**$60,778.00**	**$195.24**	**$56,776.79**	**$4,001.21**	**$931.20**	**1.5%**
U.S. Small Cap								
800 000	ISHARES S&P SMALLCAP 600 INDEX FUND Ticker: IJR	464287804 OEQ	$43,776 00 54.720	$0 00	$34,824 63 43 531	$8,951 37	$542.40	1.2%
	Total U.S. Small Cap		**$43,776.00**	**$0.00**	**$34,824.63**	**$8,951.37**	**$542.40**	**1.2%**
International Developed								
1,000 000	ISHARES MSCI EAFE INDEX FUND Ticker EFA	464287465 OEQ	$55,280 00 55 280	$0 00	$44,066 66 44 067	$11,213 34	$1,441 00	2 6%
	Total International Developed		**$55,280.00**	**$0.00**	**$44,066.66**	**$11,213.34**	**$1,441.00**	**2.6%**

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Emerging Markets								
750 000	ISHARES MSCI EMERGING MKTS INDEX FUND Ticker: EEM	464287234 OEQ	$31,125.00 41 500	$0 00	$26,062 50 34.750	$5,062 50	$18.00	0.1%
50 000	TEVA PHARMACEUTICAL INDS LTD ADR ISRAEL Ticker: TEVA	881624209 HEA	2,809 00 56 180	0 00	2,203.70 44.074	605 30	24 10	0 9
	Total Emerging Markets		**$33,934.00**	**$0.00**	**$28,266.20**	**$5,667.80**	**$42.10**	**0.1%**
Total Equities			**$549,745.50**	**$584.12**	**$479,229.93**	**$70,515.57**	**$8,904.70**	**1.6%**