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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2009**, or tax year beginning **2009**, and ending **20**

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

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Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation PAT CASWELL FD U/W Number and street (or P O box number if mail is not delivered to street address) Room/suite P O BOX 1802 City or town, state, and ZIP code PROVIDENCE, RI 02901-1802	A Employer identification number 04-6116665 B Telephone number (see page 10 of the instructions) () - C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,955.		
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	746.	746.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-22,326.			
b Gross sales price for all assets on line 6a	109,148.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	-21,580.	746.		
13 Compensation of officers, directors, trustees, etc.	1,591.	954.		636.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) STMT 2	3,029.	NONE	NONE	3,029.
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) STMT 3	48.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT 4	335.			335.
24 Total operating and administrative expenses. Add lines 13 through 23	5,003.	954.	NONE	4,000.
25 Contributions, gifts, grants paid	105,678.			105,678.
26 Total expenses and disbursements Add lines 24 and 25	110,681.	954.	NONE	109,678.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-132,261.			
b Net investment income (if negative, enter -0-)		-0-		
c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	NONE	NONE	
	2	Savings and temporary cash investments	2,827.	1,955.	1,955.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) *		*	NONE
		Less: allowance for doubtful accounts	NONE	NONE	NONE
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations(attach schedule)	NONE	NONE	NONE
	b	Investments - corporate stock (attach schedule)	131,264.	NONE	NONE
	c	Investments - corporate bonds (attach schedule)	NONE	NONE	NONE
	11	Investments - land, buildings, and equipment basis	NONE		
	Less accumulated depreciation (attach schedule)	NONE	NONE		
12	Investments - mortgage loans	NONE	NONE		
13	Investments - other (attach schedule)	NONE	NONE	NONE	
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe)	NONE	NONE	NONE	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	134,091.	1,955.	1,955.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	134,091.	1,955.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	NONE	NONE		
30	Total net assets or fund balances (see page 17 of the instructions)	134,091.	1,955.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	134,091.	1,955.		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	134,091.
2	Enter amount from Part I, line 27a	2	-132,261.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 5	3	125.
4	Add lines 1, 2, and 3	4	1,955.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,955.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)				(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE PART IV DETAIL						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
a						
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any				
a						
b						
c						
d						
e						
2 Capital gain net income or (net capital loss)				2	-22,326.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.						

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	11,319.	138,980.	0.08144337315
2007			
2006			
2005			
2004			
2 Total of line 1, column (d)			2 0.08144337315
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.08144337315
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 68,535.
5 Multiply line 4 by line 3			5 5,582.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7 5,582.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 109,678.



Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows and 2 columns. Rows include: 1a Exempt operating foundations, b Domestic foundations, c All other domestic foundations, 2 Tax under section 511, 3 Add lines 1 and 2, 4 Subtitle A (income) tax, 5 Tax based on investment income, 6 Credits/Payments (6a-6d), 7 Total credits and payments, 8 Enter any penalty, 9 Tax due, 10 Overpayment, 11 Enter the amount of line 10 to be: Credited to 2010 estimated tax, Refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns. Rows include: 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation...; 1b Did it spend more than \$100 during the year...; 1c Did the foundation file Form 1120-POL for this year?; 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?; 3 Has the foundation made any changes, not previously reported to the IRS...; 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?; 4b If "Yes," has it filed a tax return on Form 990-T for this year?; 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?; 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either...; 7 Did the foundation have at least \$5,000 in assets at any time during the year?; 8a Enter the states to which the foundation reports or with which it is registered...; 8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General...; 9 Is the foundation claiming status as a private operating foundation...; 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.



Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address ▶ <u>N/A</u>				
14	The books are in care of ▶ <u>PRIVATE BANK TAX SERVICES</u> Telephone no. ▶ <u>(401) 278-6837</u>			
	Located at ▶ <u>PO BOX 1802, PROVIDENCE, RI</u> ZIP + 4 ▶ <u>02901-1802</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			<input type="checkbox"/>
	and enter the amount of tax-exempt interest received or accrued during the year		▶ 15	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ▶ _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4b	X



Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		1,591.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		NONE	NONE	NONE

Total number of other employees paid over \$50,000 NONE



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 9		NONE

Total number of others receiving over \$50,000 for professional services **NONE**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	



Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	32,437.
b	Average of monthly cash balances	1b	37,142.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	69,579.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	69,579.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	1,044.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	68,535.
6	Minimum investment return. Enter 5% of line 5	6	3,427.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,427.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	NONE
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	NONE
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,427.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	3,427.
6	Deduction from distributable amount (see page 25 of the instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,427.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	109,678.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	109,678.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	109,678.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				3,427.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			NONE	
b Total for prior years 20 07, 20 , 20		NONE		
3 Excess distributions carryover, if any, to 2009.				
a From 2004				NONE
b From 2005				NONE
c From 2006				NONE
d From 2007				NONE
e From 2008				4,415.
f Total of lines 3a through e	4,415.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 109,678.				
a Applied to 2008, but not more than line 2a				NONE
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2009 distributable amount				3,427.
e Remaining amount distributed out of corpus	106,251.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	110,666.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			NONE	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	110,666.			
10 Analysis of line 9				
a Excess from 2005				NONE
b Excess from 2006				NONE
c Excess from 2007				NONE
d Excess from 2008				4,415.
e Excess from 2009				106,251.



Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

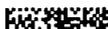
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:



Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>SEE STATEMENT 10</p>				
Total				3a 105,678.
b Approved for future payment				
Total				3b



FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis		Gain or (loss)	
701.00		89.35					06/20/2005	01/30/2009
			808.00				-107.00	
417.00		65.624					11/19/2004	01/30/2009
			581.00				-164.00	
155.00		20.173					12/12/2005	05/26/2009
			314.00				-159.00	
183.00		23.829					09/20/2006	05/26/2009
			332.00				-149.00	
108.00		14.09					09/20/2006	05/26/2009
			196.00				-88.00	
247.00		32.078					09/29/2006	05/26/2009
			446.00				-199.00	
1,507.00		195.716					04/17/2001	05/26/2009
			2,685.00				-1,178.00	
355.00		46.152					01/31/2008	05/26/2009
			534.00				-179.00	
232.00		30.079					01/30/2009	05/26/2009
			209.00				23.00	
1,573.00		177.747					11/19/2004	05/26/2009
			2,839.00				-1,266.00	
595.00		67.213					07/31/2007	05/26/2009
			1,003.00				-408.00	

~~XXXXXX~~

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
244.00		27.591 COLUMBIA MID CAP VALUE FUND CLASS PROPERTY TYPE: SECURITIES 395.00					11/30/2005	05/26/2009
							-151.00	
308.00		34.784 COLUMBIA MID CAP VALUE FUND CLASS PROPERTY TYPE: SECURITIES 327.00					10/31/2008	05/26/2009
							-19.00	
8,464.00		832.245 COLUMBIA CORE BOND FUND CLASS Z PROPERTY TYPE: SECURITIES 8,888.00					04/17/2001	05/26/2009
							-424.00	
2,593.00		254.968 COLUMBIA CORE BOND FUND CLASS Z PROPERTY TYPE: SECURITIES 2,659.00					03/31/2006	05/26/2009
							-66.00	
1,061.00		107.319 COLUMBIA INTERNATIONAL STOCK FUN PROPERTY TYPE: SECURITIES 2,215.00					07/31/2007	05/26/2009
							-1,154.00	
312.00		31.546 COLUMBIA INTERNATIONAL STOCK FUND PROPERTY TYPE: SECURITIES 627.00					08/01/2007	05/26/2009
							-315.00	
948.00		95.815 COLUMBIA INTERNATIONAL STOCK FUND PROPERTY TYPE: SECURITIES 1,777.00					12/21/2006	05/26/2009
							-829.00	
248.00		25.11 COLUMBIA INTERNATIONAL STOCK FUND PROPERTY TYPE: SECURITIES 426.00					12/21/2007	05/26/2009
							-178.00	
1,080.00		109.21 COLUMBIA INTERNATIONAL STOCK FUND PROPERTY TYPE: SECURITIES 1,853.00					12/21/2007	05/26/2009
							-773.00	
105.00		10.617 COLUMBIA INTERNATIONAL STOCK FUND PROPERTY TYPE: SECURITIES 180.00					12/22/2005	05/26/2009
							-75.00	
5,946.00		601.199 COLUMBIA INTERNATIONAL STOCK FUN PROPERTY TYPE: SECURITIES 8,958.00					04/17/2001	05/26/2009
							-3,012.00	



**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,850.00		187.017					02/07/2003	05/26/2009
		COLUMBIA INTERNATIONAL STOCK FUN PROPERTY TYPE: SECURITIES						
		1,774.00					76.00	
4,347.00		439.556					10/31/2008	05/26/2009
		COLUMBIA INTERNATIONAL STOCK FUN PROPERTY TYPE: SECURITIES						
		4,026.00					321.00	
440.00		44.529					12/18/2008	05/26/2009
		COLUMBIA INTERNATIONAL STOCK FUND PROPERTY TYPE: SECURITIES						
		390.00					50.00	
2,993.00		297.778					12/19/2000	05/26/2009
		COLUMBIA DIVIDEND INCOME FUND CL PROPERTY TYPE: SECURITIES						
		3,827.00					-834.00	
5,770.00		574.097					04/22/2005	05/26/2009
		COLUMBIA DIVIDEND INCOME FUND CL PROPERTY TYPE: SECURITIES						
		6,585.00					-815.00	
829.00		82.456					11/19/2004	05/26/2009
		COLUMBIA DIVIDEND INCOME FUND CLA PROPERTY TYPE: SECURITIES						
		932.00					-103.00	
911.00		90.605					12/18/2001	05/26/2009
		COLUMBIA DIVIDEND INCOME FUND CLA PROPERTY TYPE: SECURITIES						
		1,012.00					-101.00	
218.00		21.732					12/23/1993	05/26/2009
		COLUMBIA DIVIDEND INCOME FUND CLA PROPERTY TYPE: SECURITIES						
		236.00					-18.00	
251.00		24.98					12/22/1994	05/26/2009
		COLUMBIA DIVIDEND INCOME FUND CLAS PROPERTY TYPE: SECURITIES						
		263.00					-12.00	
13,620.00		1355.223					05/06/1991	05/26/2009
		COLUMBIA DIVIDEND INCOME FUND C PROPERTY TYPE: SECURITIES						
		14,024.00					-404.00	
6,686.00		834.677					06/20/2005	05/26/2009
		COLUMBIA INTERMEDIATE BOND FUND PROPERTY TYPE: SECURITIES						
		7,545.00					-859.00	



**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
3,388.00		423.002	COLUMBIA INTERMEDIATE BOND FUND PROPERTY TYPE: SECURITIES	3,744.00		11/30/2005	05/26/2009	-356.00
464.00		57.875	COLUMBIA INTERMEDIATE BOND FUND C PROPERTY TYPE: SECURITIES	506.00		03/31/2006	05/26/2009	-42.00
30.00		3.734	COLUMBIA INTERMEDIATE BOND FUND CL PROPERTY TYPE: SECURITIES	32.00		06/19/2008	05/26/2009	-2.00
1,486.00		48.373	COLUMBIA SMALL CAP VALUE I FUND C PROPERTY TYPE: SECURITIES	2,466.00		07/31/2007	05/26/2009	-980.00
160.00		5.201	COLUMBIA SMALL CAP VALUE I FUND CL PROPERTY TYPE: SECURITIES	227.00		01/31/2008	05/26/2009	-67.00
209.00		13.163	COLUMBIA MID CAP GROWTH FUND CLAS PROPERTY TYPE: SECURITIES	367.00		07/31/2007	05/26/2009	-158.00
446.00		28.107	COLUMBIA MID CAP GROWTH FUND CLAS PROPERTY TYPE: SECURITIES	668.00		01/31/2008	05/26/2009	-222.00
2,892.00		182.255	COLUMBIA MID CAP GROWTH FUND CLA PROPERTY TYPE: SECURITIES	3,532.00		11/19/2004	05/26/2009	-640.00
1,059.00		66.703	COLUMBIA MID CAP GROWTH FUND CLAS PROPERTY TYPE: SECURITIES	1,292.00		04/22/2005	05/26/2009	-233.00
497.00		31.304	COLUMBIA MID CAP GROWTH FUND CLAS PROPERTY TYPE: SECURITIES	504.00		10/31/2008	05/26/2009	-7.00
2,032.00		305.142	COLUMBIA CONSERVATIVE HIGH YIELD PROPERTY TYPE: SECURITIES	2,701.00		11/19/2004	05/26/2009	-669.00



FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
531.00		79.713					04/30/2007	05/26/2009
			680.00				-149.00	
659.00		98.904					11/30/2005	05/26/2009
			837.00				-178.00	
1,512.00		93.176					07/31/2007	05/26/2009
			2,364.00				-852.00	
373.00		22.987					12/11/2006	05/26/2009
			551.00				-178.00	
8,034.00		494.988					04/11/2001	05/26/2009
			11,172.00				-3,138.00	
1,765.00		108.778					12/12/1997	05/26/2009
			2,356.00				-591.00	
592.00		36.5					12/20/1996	05/26/2009
			738.00				-146.00	
937.00		57.728					11/19/2004	05/26/2009
			1,159.00				-222.00	
157.00		9.647					11/30/1995	05/26/2009
			170.00				-13.00	
2,635.00		162.38					10/31/2008	05/26/2009
			2,681.00				-46.00	
4,971.00		306.307					02/07/2003	05/26/2009
			4,607.00				364.00	



**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
7,569.00		466.383 6,645.00					05/06/1991 924.00	05/26/2009
41.00		2.531 35.00					12/23/1993 6.00	05/26/2009
111.00		6.842 94.00					12/22/1994 17.00	05/26/2009
1,301.00		154.498 2,480.00					07/31/2007 -1,179.00	05/26/2009
TOTAL GAIN (LOSS)							----- -22,326. =====	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
COLUMBIA MID CAP VALUE FUND CLASS Z SHAR	4.	4.
COLUMBIA GOVERNMENT RESERVES CAPITAL CLA	29.	29.
COLUMBIA CORE BOND FUND CLASS Z SHARES	186.	186.
COLUMBIA DIVIDEND INCOME FUND CLASS Z SH	184.	184.
COLUMBIA INTERMEDIATE BOND FUND CLASS Z	236.	236.
COLUMBIA CONSERVATIVE HIGH YIELD FUND CL	99.	99.
COLUMBIA DISCIPLINED VALUE FUND CLASS Z	8.	8.
	-----	-----
TOTAL	746.	746.
	=====	=====

PAT CASWELL FD U/W

04-6116665

FORM 990PF, PART I - LEGAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL FEES - PRINCIPAL (ALLOCA	3,029.			3,029.
TOTALS	3,029.	NONE	NONE	3,029.
	=====	=====	=====	=====





FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
FEDERAL TAX PAYMENT - PRIOR YE	48.

TOTALS	48.
	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE-PRINCI	300.	300.
OTHER ALLOCABLE EXPENSE-INCOME	35.	35.
TOTALS	----- 335. =====	----- 335. =====



PAT CASWELL FD U/W

04-6116665

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

INCOME ADJ

125.

TOTAL

125.
=====



PAT CASWELL FD U/W

04-6116665

 STATE(S) WHERE THE FOUNDATION IS REGISTERED
 =====
 MA



PAT CASWELL FD U/W

04-6116665

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

BANK OF AMERICA

ADDRESS:

111 WESTMINSTER STREET

PROVIDENCE, RI 02903

TITLE:

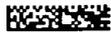
TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION 1,591.

TOTAL COMPENSATION: 1,591.

=====



PAT CASWELL FD U/W

04-6116665

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:

NONE



PAT CASWELL FD U/W

04-6116665

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:
NONE



PAT CASWELL FD U/W
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

04-6116665

RECIPIENT NAME:

SHRINERS HOSPITAL

ADDRESS:

ATTN: TRUST & INVESTMENT
TAMPA, FL 33631-3356

RELATIONSHIP:

NONE

AMOUNT OF GRANT PAID 105,678.

TOTAL GRANTS PAID:

105,678.

=====



Form **4720**

Return of Certain Excise Taxes Under Chapters 41 and 42 of the Internal Revenue Code

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

(Sections 170(f)(10), 664(c)(2), 4911, 4912, 4941, 4942, 4943, 4944, 4945, 4955, 4958, 4965, 4966, and 4967)

2009

▶ See separate instructions.

For calendar year 2009 or other tax year beginning		2009, and ending	
Name of organization or entity PAT CASWELL FD U/W		Employer identification number 04-6116665	
Number, street, and room or suite no (or P O box if mail is not delivered to street address) P O BOX 1802		Check box for type of annual return.	
City or town, state, and ZIP code PROVIDENCE, RI 02901-1802		<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-EZ
		<input checked="" type="checkbox"/> Form 990-PF	
		<input type="checkbox"/> Form 5227	

		Yes	No
A Is the organization a foreign private foundation within the meaning of section 4948(b)?			
B Has corrective action been taken on any taxable event that resulted in Chapter 42 taxes being reported on this form? (Enter "N/A" if not applicable)			

If "Yes," attach a detailed description and documentation of the corrective action taken and, if applicable, enter the fair market value of any property recovered as a result of the correction ▶ \$ _____. If "No," (i.e., any uncorrected acts, or transactions), attach an explanation (see page 4 of the instructions).

Part I Taxes on Organization (Sections 170(f)(10), 664(c)(2), 4911(a), 4912(a), 4942(a), 4943(a), 4944(a)(1), 4945(a)(1), 4955(a)(1), 4965(a)(1), and 4966(a)(1))

		NONE
1 Tax on undistributed income - Schedule B, line 4	1	NONE
2 Tax on excess business holdings - Schedule C, line 7	2	
3 Tax on investments that jeopardize charitable purpose - Schedule D, Part I, column (e)	3	
4 Tax on taxable expenditures - Schedule E, Part I, column (g)	4	
5 Tax on political expenditures - Schedule F, Part I, column (e)	5	
6 Tax on excess lobbying expenditures - Schedule G, line 4	6	
7 Tax on disqualifying lobbying expenditures - Schedule H, Part I, column (e)	7	
8 Tax on premiums paid on personal benefit contracts	8	
9 Tax on being a party to prohibited tax shelter transactions - Schedule J, Part I, column (h)	9	
10 Tax on taxable distributions - Schedule K, Part I, column (f)	10	
11 Tax on a charitable remainder trust's unrelated business taxable income. Attach schedule	11	
12 Total (add lines 1-11)	12	NONE

Part II-A Taxes on Managers, Self-Dealers, Disqualified Persons, Donors, Donor Advisors, and Related Persons (Sections 4912(b), 4941(a), 4944(a)(2), 4945(a)(2), 4955(a)(2), 4958(a), 4965(a)(2), 4966(a)(2), and 4967(a))

(a) Name and address of person subject to tax		(b) Taxpayer identification number	
a			
b			
c			
d			

	(c) Tax on self-dealing - Schedule A, Part II, col (d), and Part III, col (d)	(d) Tax on investments that jeopardize charitable purpose - Schedule D, Part II, col (d)	(e) Tax on taxable expenditures - Schedule E, Part II, col (d)	(f) Tax on political expenditures - Schedule F, Part II, col (d)
a				
b				
c				
d				
Total				

	(g) Tax on disqualifying lobbying expenditures - Schedule H, Part II, col (d)	(h) Tax on excess benefit transactions - Schedule I, Part II, col (d), and Part III, col (d)	(i) Tax on being a party to prohibited tax shelter transactions - Schedule J, Part II, col (d)	(j) Tax on taxable distributions - Schedule K, Part II, col (d)
a				
b				
c				
d				
Total				

	(k) Tax on prohibited benefits - Sch. L, Part II, col (d), and Part III, col (d)	(l) Total - Add cols (c) through (k)
a		
b		
c		
d		
Total		



Form 4720 (2009)

Part II-B Summary of Taxes (See Tax Payments on page 3 of the instructions.)

1	Enter the taxes listed in Part II-A, column (I), that apply to managers, self-dealers, disqualified persons, donors, donor advisors, and related persons who sign this form. If all sign, enter the total amount from Part II-A, column (I)	1	
2	Total tax. Add Part I, line 12, and Part II-B, line 1. (Make check(s) or money order(s) payable to the United States Treasury.)	2	NONE

SCHEDULE A - Initial Taxes on Self-Dealing (Section 4941)

Part I Acts of Self-Dealing and Tax Computation

(a) Act number	(b) Date of act	(c) Description of act		
1				
2				
3				
4				
5				

(d) Question number from Form 990-PF, Part VII-B, or Form 5227, Part VI-B, applicable to the act	(e) Amount involved in act	(f) Initial tax on self-dealing (10% of col (e))	(g) Tax on foundation managers (if applicable) (lesser of \$20,000 or 5% of col (e))

Part II Summary of Tax Liability of Self-Dealers and Proration of Payments

(a) Names of self-dealers liable for tax	(b) Act no from Part I, col (a)	(c) Tax from Part I, col (f), or prorated amount	(d) Self-dealer's total tax liability (add amounts in col (c)) (see page 6 of the instructions)

Part III Summary of Tax Liability of Foundation Managers and Proration of Payments

(a) Names of foundation managers liable for tax	(b) Act no from Part I, col (a)	(c) Tax from Part I, col (g), or prorated amount	(d) Manager's total tax liability (add amounts in col (c)) (see page 6 of the instructions)

SCHEDULE B - Initial Tax on Undistributed Income (Section 4942)

1	Undistributed income for years before 2008 (from Form 990-PF for 2009, Part XIII, line 6d)	1	NONE
2	Undistributed income for 2008 (from Form 990-PF for 2009, Part XIII, line 6e)	2	NONE
3	Total undistributed income at end of current tax year beginning in 2009 and subject to tax under section 4942 (add lines 1 and 2)	3	NONE
4	Tax - Enter 30% of line 3 here and on page 1, Part I, line 1	4	NONE



SCHEDULE C - Initial Tax on Excess Business Holdings (Section 4943)

Business Holdings and Computation of Tax

If you have taxable excess holdings in more than one business enterprise, attach a separate schedule for each enterprise. Refer to the instructions on page 7 for each line item before making any entries.

Name and address of business enterprise

Employer identification number

Form of enterprise (corporation, partnership, trust, joint venture, sole proprietorship, etc.)

	(a) Voting stock (profits interest or beneficial interest)	(b) Value	(c) Nonvoting stock (capital interest)
1 Foundation holdings in business enterprise	1 %	%	
2 Permitted holdings in business enterprise	2 %	%	
3 Value of excess holdings in business enterprise	3		
4 Value of excess holdings disposed of within 90 days; or, other value of excess holdings not subject to section 4943 tax (attach explanation)	4		
5 Taxable excess holdings in business enterprise - line 3 minus line 4	5		
6 Tax - Enter 10% of line 5	6		
7 Total tax - Add amounts on line 6, columns (a), (b), and (c); enter total here and on page 1, Part I, line 2	7		

SCHEDULE D - Initial Taxes on Investments That Jeopardize Charitable Purpose (Section 4944)

Part I Investments and Tax Computation

(a) Investment number	(b) Date of investment	(c) Description of investment	(d) Amount of investment	(e) Initial tax on foundation (10% of col (d))	(f) Initial tax on foundation managers (if applicable) - (lesser of \$10,000 or 10% of col (d))
1					
2					
3					
4					
5					

Total - column (e). Enter here and on page 1, Part I, line 3

Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below

Part II Summary of Tax Liability of Foundation Managers and Proration of Payments

(a) Names of foundation managers liable for tax	(b) Investment no from Part I, col (a)	(c) Tax from Part I, col (f), or prorated amount	(d) Manager's total tax liability (add amounts in col (c)) (see page 10 of the instructions)



SCHEDULE E - Initial Taxes on Taxable Expenditures (Section 4945)

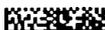
Part I Expenditures and Computation of Tax				
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Name and address of recipient	(e) Description of expenditure and purposes for which made
1				
2				
3				
4				
5				
(f) Question number from Form 990-PF, Part VII-B, or Form 5227, Part VI-B, applicable to the expenditure			(g) Initial tax imposed on foundation (20% of col (b))	(h) Initial tax imposed on foundation managers (if applicable) - (lesser of \$10,000 or 5% of col (b))
Total - column (g). Enter here and on page 1, Part I, line 4				
Total - column (h). Enter total (or prorated amount) here and in Part II, column (c), below				

Part II Summary of Tax Liability of Foundation Managers and Proration of Payments			
(a) Names of foundation managers liable for tax	(b) Item no from Part I, col (a)	(c) Tax from Part I, col (h), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 10 of the instructions)

SCHEDULE F - Initial Taxes on Political Expenditures (Section 4955)

Part I Expenditures and Computation of Tax					
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Description of political expenditure	(e) Initial tax imposed on organization or foundation (10% of col (b))	(f) Initial tax imposed on managers (if applicable) (lesser of \$5,000 or 2 1/2% of col (b))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on page 1, Part I, line 5					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					

Part II Summary of Tax Liability of Organization Managers or Foundation Managers and Proration of Payments			
(a) Names of organization managers or foundation managers liable for tax	(b) Item no from Part I, col (a)	(c) Tax from Part I, col (f), or prorated amount	(d) Manager's total tax liability (add amounts in col (c)) (see page 11 of the instructions)



SCHEDULE G - Tax on Excess Lobbying Expenditures (Section 4911)

1	Excess of grassroots expenditures over grassroots nontaxable amount (from Schedule C (Form 990 or 990-EZ), Part II-A, column (b), line 1h). (See page 11 of the instructions before making entry.)	1
2	Excess of lobbying expenditures over lobbying nontaxable amount (from Schedule C (Form 990 or 990-EZ), Part II-A, column (b), line 1i). (See page 11 of the instructions before making entry.)	2
3	Taxable lobbying expenditures - enter the larger of line 1 or line 2	3
4	Tax - Enter 25% of line 3 here and on page 1, Part I, line 6	4

SCHEDULE H - Taxes on Disqualifying Lobbying Expenditures (Section 4912)

Part I Expenditures and Computation of Tax

(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Description of lobbying expenditures	(e) Tax imposed on organization (5% of col (b))	(f) Tax imposed on organization managers (if applicable) - (5% of col (b))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on page 1, Part I, line 7					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					

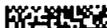
Part II Summary of Tax Liability of Organization Managers and Proration of Payments

(a) Names of organization managers liable for tax	(b) Item no from Part I, col (a)	(c) Tax from Part I, col (f), or prorated amount	(d) Manager's total tax liability (add amounts in col (c)) (see page 11 of the instructions)

SCHEDULE I - Initial Taxes on Excess Benefit Transactions (Section 4958)

Part I Excess Benefit Transactions and Tax Computation

(a) Transaction number	(b) Date of transaction	(c) Description of transaction
1		
2		
3		
4		
5		
(d) Amount of excess benefit	(e) Initial tax on disqualified persons (25% of col (d))	(f) Tax on organization managers (if applicable) (lesser of \$20,000 or 10% of col (d))



SCHEDULE I - Initial Taxes on Excess Benefit Transactions (Section 4958) Continued

Part II Summary of Tax Liability of Disqualified Persons and Proration of Payments

(a) Names of disqualified persons liable for tax	(b) Trans no from Part I, col (a)	(c) Tax from Part I, col (e), or prorated amount	(d) Disqualified person's total tax liability (add amounts in col (c)) (see page 13 of the instructions)

Part III Summary of Tax Liability of 501(c)(3) & (4) Organization Managers and Proration of Payments

(a) Names of 501(c)(3) & (4) organization managers liable for tax	(b) Trans no from Part I, col (a)	(c) Tax from Part I, col (f), or prorated amount	(d) Manager's total tax liability (add amounts in col (c)) (see page 13 of the instructions)

SCHEDULE J - Taxes on Being a Party to Prohibited Tax Shelter Transactions (Section 4965)

Part I Prohibited Tax Shelter Transactions (PTST) and Tax Imposed on the Tax-Exempt Entity (see page 13 of the instructions)

(a) Transaction number	(b) Transaction date	(c) Type of transaction 1-Listed 2-Subsequently listed 3-Confidential 4-Contractual protection	(d) Description of transaction	
1				
2				
3				
4				
5				
(e) Did the tax-exempt entity know or have reason to know this transaction was a PTST when it became a party to the trans? Answer Yes or No		(f) Net income attributable to the PTST	(g) 75% of proceeds attributable to the PTST	(h) Tax imposed on the tax-exempt entity (see page 14 of the instructions)

Total - column (h). Enter here and on page 1, Part I, line 9



SCHEDULE L - Taxes on Prohibited Benefits Distributed From Donor Advised Funds (Section 4967).
See page 14 of the instructions.

Part I Prohibited Benefits and Tax Computation

(a) Item number	(b) Date of prohibited benefit	(c) Description of benefit	
1			
2			
3			
4			
5			
(d) Amount of prohibited benefit	(e) Tax on prohibited benefit (125% of col (d)) (see instructions)	(f) Tax on fund managers (if applicable) (lesser of 10% of col (d) or \$10,000) (see instructions)	

Part II Summary of Tax Liability of Donors, Donor Advisors, Related Persons and Proration of Payments

(a) Names of donors, donor advisor, or related persons liable for tax	(b) Item no from Part I, col (a)	(c) Tax from Part I, col (e) or prorated amount	(d) Donor, donor advisor, or related persons total tax liability (add amounts in col (c)) (see instructions)

Part III Tax Liability of Fund Managers and Proration of Payments

(a) Names of fund managers liable for tax	(b) Item no from Part I, col (a)	(c) Tax from Part I, col (f) or prorated amount	(d) Fund managers total tax liability (add amounts in col (c)) (see instructions)



Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

 Signature of officer or trustee	AUSTIN WENTWORTH Title	03/25/2010 Date
--	---------------------------	--------------------

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person	Date
---	------

Sign Here

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person	Date
---	------

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person	Date
---	------

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person	Date
---	------

Paid Preparer's Use Only	Preparer's signature 	Date	Check if self-employed <input type="checkbox"/>	Preparer's SSN or PTIN
	Firm's name (or yours if self-employed), address, and ZIP code 	EIN Phone no		



SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust: PAT CASWELL FD U/W; Employer identification number: 04-6116665

Note: Form 5227 filers need to complete only Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

Table with 6 columns: (a) Description of property, (b) Date acquired, (c) Date sold, (d) Sales price, (e) Cost or other basis, (f) Gain or (loss) for the entire year.

Summary section for Part I: b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b ... 320.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

Table with 6 columns: (a) Description of property, (b) Date acquired, (c) Date sold, (d) Sales price, (e) Cost or other basis, (f) Gain or (loss) for the entire year.

Summary section for Part II: b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b ... -22,646.



Part III Summary of Parts I and II		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		320.
14	Net long-term gain or (loss):			
a	Total for year	14a		-22,646.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		-22,326.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation		
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000	16 (3,000)

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (.15)	30		
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		



Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

PAT CASWELL FD U/W

04-6116665

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 89.35 COLUMBIA INTERMEDIAT E BOND FUND CLASS Z SHARES	06/20/2005	01/30/2009	701.00	808.00	-107.00
65.624 COLUMBIA CONSERVATIVE HIGH YIELD FUN	11/19/2004	01/30/2009	417.00	581.00	-164.00
20.173 COLUMBIA SMALL CAP GROWTH FUND II CLASS Z SHAR	12/12/2005	05/26/2009	155.00	314.00	-159.00
23.829 COLUMBIA SMALL CAP GROWTH FUND II CLASS Z SHAR	09/20/2006	05/26/2009	183.00	332.00	-149.00
14.09 COLUMBIA SMALL CAP GROWTH FUND II CLASS Z SHAR	09/20/2006	05/26/2009	108.00	196.00	-88.00
32.078 COLUMBIA SMALL CAP GROWTH FUND II CLASS Z SHAR	09/29/2006	05/26/2009	247.00	446.00	-199.00
195.716 COLUMBIA SMALL CAP GROWTH FUND II CLASS Z SHAR	04/17/2001	05/26/2009	1,507.00	2,685.00	-1,178.00
46.152 COLUMBIA SMALL CAP GROWTH FUND II CLASS Z SHAR	01/31/2008	05/26/2009	355.00	534.00	-179.00
177.747 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES	11/19/2004	05/26/2009	1,573.00	2,839.00	-1,266.00
67.213 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES	07/31/2007	05/26/2009	595.00	1,003.00	-408.00
27.591 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES	11/30/2005	05/26/2009	244.00	395.00	-151.00
832.245 COLUMBIA CORE BOND FUND CLASS Z SHARES	04/17/2001	05/26/2009	8,464.00	8,888.00	-424.00
254.968 COLUMBIA CORE BOND FUND CLASS Z SHARES	03/31/2006	05/26/2009	2,593.00	2,659.00	-66.00
107.319 COLUMBIA INTERNATIONAL STOCK FUND CL	07/31/2007	05/26/2009	1,061.00	2,215.00	-1,154.00
31.546 COLUMBIA INTERNATIONAL STOCK FUND CL	08/01/2007	05/26/2009	312.00	627.00	-315.00
95.815 COLUMBIA INTERNATIONAL STOCK FUND CL	12/21/2006	05/26/2009	948.00	1,777.00	-829.00
25.11 COLUMBIA INTERNATION AL STOCK FUND CLASS Z SHARE	12/21/2007	05/26/2009	248.00	426.00	-178.00
109.21 COLUMBIA INTERNATIONAL STOCK FUND CL	12/21/2007	05/26/2009	1,080.00	1,853.00	-773.00
10.617 COLUMBIA INTERNATIONAL STOCK FUND CL	12/22/2005	05/26/2009	105.00	180.00	-75.00
601.199 COLUMBIA INTERNATIONAL STOCK FUND CL	04/17/2001	05/26/2009	5,946.00	8,958.00	-3,012.00
187.017 COLUMBIA INTERNATIONAL STOCK FUND CL	02/07/2003	05/26/2009	1,850.00	1,774.00	76.00
297.778 COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES	12/19/2000	05/26/2009	2,993.00	3,827.00	-834.00
574.097 COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES	04/22/2005	05/26/2009	5,770.00	6,585.00	-815.00
82.456 COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES	11/19/2004	05/26/2009	829.00	932.00	-103.00
90.605 COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES	12/18/2001	05/26/2009	911.00	1,012.00	-101.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b



Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

PAT CASWELL FD U/W

04-6116665

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 21.732 COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES	12/23/1993	05/26/2009	218.00	236.00	-18.00
24.98 COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES	12/22/1994	05/26/2009	251.00	263.00	-12.00
1355.223 COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES	05/06/1991	05/26/2009	13,620.00	14,024.00	-404.00
834.677 COLUMBIA INTERMEDIATE BOND FUND CLAS	06/20/2005	05/26/2009	6,686.00	7,545.00	-859.00
423.002 COLUMBIA INTERMEDIATE BOND FUND CLAS	11/30/2005	05/26/2009	3,388.00	3,744.00	-356.00
57.875 COLUMBIA INTERMEDIATE BOND FUND CLAS	03/31/2006	05/26/2009	464.00	506.00	-42.00
48.373 COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES	07/31/2007	05/26/2009	1,486.00	2,466.00	-980.00
5.201 COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES	01/31/2008	05/26/2009	160.00	227.00	-67.00
13.163 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES	07/31/2007	05/26/2009	209.00	367.00	-158.00
28.107 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES	01/31/2008	05/26/2009	446.00	668.00	-222.00
182.255 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES	11/19/2004	05/26/2009	2,892.00	3,532.00	-640.00
66.703 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES	04/22/2005	05/26/2009	1,059.00	1,292.00	-233.00
305.142 COLUMBIA CONSERVATIVE HIGH YIELD FUN	11/19/2004	05/26/2009	2,032.00	2,701.00	-669.00
79.713 COLUMBIA CONSERVATIVE HIGH YIELD FUN	04/30/2007	05/26/2009	531.00	680.00	-149.00
98.904 COLUMBIA CONSERVATIVE HIGH YIELD FUN	11/30/2005	05/26/2009	659.00	837.00	-178.00
93.176 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	07/31/2007	05/26/2009	1,512.00	2,364.00	-852.00
22.987 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	12/11/2006	05/26/2009	373.00	551.00	-178.00
494.988 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	04/11/2001	05/26/2009	8,034.00	11,172.00	-3,138.00
108.778 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	12/12/1997	05/26/2009	1,765.00	2,356.00	-591.00
36.5 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	12/20/1996	05/26/2009	592.00	738.00	-146.00
57.728 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	11/19/2004	05/26/2009	937.00	1,159.00	-222.00
9.647 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	11/30/1995	05/26/2009	157.00	170.00	-13.00
306.307 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	02/07/2003	05/26/2009	4,971.00	4,607.00	364.00
466.383 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	05/06/1991	05/26/2009	7,569.00	6,645.00	924.00
2.531 COLUMBIA LARGE CAP GROWTH FUND CLASS Z SHARES	12/23/1993	05/26/2009	41.00	35.00	6.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b



Trust Services

TrustWeb

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Standard Portfolio Holdings Summary

View Options Data Chart

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[Print](#)

Account Number: **8533521**
 Account Name: **PAT CASWELL FD UW+**

As of: **December, 2009**
 Basis: **Settlement Date**

Asset Type	Fed Tax Cost	Market Value	Unrealized Capital Gain/(Loss)	% of Market Value
<u>CASH/CURRENCY</u>	1,955.15	1,955.15	0.00	100.00%
Total Assets	1,955.15	1,955.15	0.00	100.00%
Total Liabilities	0.00	0.00	0.00	
Net Total	1,955.15	1,955.15	0.00	