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Form 990-PF

# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation  
**EDWARD C. JOHNSON FUND**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite  
**82 DEVONSHIRE STREET F9A3**

City or town, state, and ZIP code  
**BOSTON, MA 02109**

A Employer identification number  
**04-6108344**

B Telephone number  
**617-563-6806**

C If exemption application is pending, check here ☐  
 D 1. Foreign organizations, check here ☐  
 2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)  
 ▶ \$ **355,202,159.** (Part I, column (d) must be on cash basis)

J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		2,080,341.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		3,290,973.	3,290,973.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<1,408,175.>			
b Gross sales price for all assets on line 6a		126976048.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less columns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		3,963,139.	3,290,973.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 2		24,107.	0.		24,107.
b Accounting fees STMT 3		39,510.	0.		39,510.
c Other professional fees STMT 4		1,166,784.	511,709.		655,075.
17 Interest					
18 Taxes STMT 5		2,722.	0.		2,722.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications		2,380.	0.		2,380.
23 Other expenses STMT 6		49,452.	0.		49,452.
24 Total operating and administrative expenses. Add lines 13 through 23		1,284,955.	511,709.		773,246.
25 Contributions, gifts, grants paid		30,022,276.			30,022,276.
26 Total expenses and disbursements. Add lines 24 and 25		31,307,231.	511,709.		30,795,522.
27 Subtract line 26 from line 12		<27344092.>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			2,779,264.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	99,228,655.	20,691,026.	21,019,523.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	195,865,557.	246,062,776.	332,268,440.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis	1,914,196.		
	Less accumulated depreciation	917,878.	1,914,196.	1,914,196.
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers)	296,012,090.	268,667,998.	355,202,159.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>			
	24 Unrestricted	296,012,090.	268,667,998.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	296,012,090.	268,667,998.	
30 Total net assets or fund balances	296,012,090.	268,667,998.		
31 Total liabilities and net assets/fund balances	296,012,090.	268,667,998.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	296,012,090.
2 Enter amount from Part I, line 27a	2	<27,344,092.>
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	268,667,998.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	268,667,998.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>				
<b>b</b> SEE ATTACHED STATEMENTS				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b> 126,976,048.		128,384,223.	<1,408,175.>	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>			<1,408,175.>	
<b>2</b> Capital gain net income or (net capital loss)		<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>		2 <1,408,175.>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c)            If (loss), enter -0- in Part I, line 8         </div>		3 N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	22,242,050.	370,633,194.	.060011
2007	38,669,739.	399,684,537.	.096751
2006	25,200,758.	360,809,670.	.069845
2005	18,919,118.	317,247,288.	.059635
2004	23,205,255.	291,827,314.	.079517
<b>2</b> Total of line 1, column (d)			2 .365759
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .073152
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 306,396,343.
<b>5</b> Multiply line 4 by line 3			5 22,413,505.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			6 27,793.
<b>7</b> Add lines 5 and 6			7 22,441,298.
<b>8</b> Enter qualifying distributions from Part XII, line 4			8 31,791,839.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	27,793.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	27,793.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	27,793.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	66,966.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments		6c	
a 2009 estimated tax payments and 2008 overpayment credited to 2009		6d	
b Exempt foreign organizations - tax withheld at source		7	66,966.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	
7 Total credits and payments. Add lines 6a through 6d		10	39,173.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		11	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input checked="" type="checkbox"/> 39,173. Refunded <input type="checkbox"/>			

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► CHARLES L. NICKERSON Telephone no ► 617-563-6806 Located at ► 82 DEVONSHIRE STREET (F9A3), BOSTON, MA ZIP+4 ► 02109			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

☐

5b

X

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		93,437.	13,625.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☐

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
C&R RIZVI INCORPORATED 376 BOYLSTON STREET, BOSTON, MA 02116	CONSULTANT DESIGN SERVICES	969,988.
COOPERATIVE PHILANTHROPIC SERVICES LLC 82 DEVONSHIRE STREET, BOSTON, MA 02109	GRANTS ADMINISTRATION & ACC	569,311.
STRATEGIC ADVISERS INC 82 DEVONSHIRE STREET, BOSTON, MA 02109	INVESTMENT MANAGEMENT	511,709.
TECHNICAL DEVELOPMENT CORP 31 MILK STREET (SUITE 310), BOSTON, MA 02109	CONSULTING	79,123.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SPONSORSHIP OF MEDICAL PAIN CONFERENCE	
	15,276.
2 DESIGN AND PLANNING FOR EDUCATIONAL SUMMER CAMP	
	996,317.
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	267,685,395.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	43,376,882.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	311,062,277.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	311,062,277.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	4,665,934.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	306,396,343.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	15,319,817.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	15,319,817.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	27,793.
<b>b</b>	Income tax for 2009 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	27,793.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	15,292,024.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	15,292,024.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	15,292,024.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	30,795,522.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	996,317.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	31,791,839.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	27,793.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	31,764,046.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				15,292,024.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	8,486,365.			
b From 2005	3,442,529.			
c From 2006	8,470,010.			
d From 2007	20111534.			
e From 2008	3,287,666.			
f Total of lines 3a through e	43,798,104.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 31,791,839.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount	16,499,815.			15,292,024.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	60,297,919.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	8,486,365.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	51,811,554.			
10 Analysis of line 9				
a Excess from 2005	3,442,529.			
b Excess from 2006	8,470,010.			
c Excess from 2007	20111534.			
d Excess from 2008	3,287,666.			
e Excess from 2009	16499815.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities**

**Subtract line 2d from line 2c**

- 3** Complete 3a, b, or c for the alternative test relied upon

- a "Assets" alternative test - enter  
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed**

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
Total		SEE ATTACHED STATEMENT(S)			3a 30,022,276.
b Approved for future payment					
NONE					
Total				3b	0.



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |  | Yes          | No |
|----------|--|--------------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |              |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of  |              |    |
|          | (1) Cash   | <b>1a(1)</b> | X  |
|          | (2) Other assets   | <b>1a(2)</b> | X  |
| <b>b</b> | Other transactions   |              |    |
|          | (1) Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> | X  |
|          | (3) Rental of facilities, equipment, or other assets   | <b>1b(3)</b> | X  |
|          | (4) Reimbursement arrangements   | <b>1b(4)</b> | X  |
|          | (5) Loans or loan guarantees   | <b>1b(5)</b> | X  |
|          | (6) Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule |                          |                                 |
|---|--------------------------|---------------------------------|
| (a) Name of organization                    | (b) Type of organization | (c) Description of relationship |
| N/A   |                          |                                 |
|   |                          |                                 |
|   |                          |                                 |
|   |                          |                                 |
|   |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer or trustee

Date \_\_\_\_\_

Title

signature

Date

☐ Check if self-employer

Preparer's identifying number

Firm's name (or yours if self-employed),  
address, and ZIP code.

SULLIVAN & WORCESTER, LLP  
ONE POST OFFICE SQUARE  
BOSTON, MA 02109

**EIN ▶**

Phone no 617-338-2800

Form **990-PF** (2009)

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

Name of the organization

EDWARD C. JOHNSON FUND

Employer identification number

04-6108344

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

EDWARD C. JOHNSON FUND

04-6108344

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ABEL PARTNERS 7301 NORTH STATE HIGHWAY (SUITE 220) IRVING, TX 75039	\$ 1,318,802.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	ABEL PARTNERS 7301 NORTH STATE HIGHWAY (SUITE 220) IRVING, TX 75039	\$ 181,244.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3	ABEL PARTNERS 7301 NORTH STATE HIGHWAY (SUITE 220) IRVING, TX 75039	\$ 200,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4	LONG POND INVESTMENTS TRUST 7301 NORTH STATE HIGHWAY (SUITE 220) IRVING, TX 75039	\$ 372,108.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5	SWALLOWS CAVE INVESTMENTS TRUST 7301 NORTH STATE HIGHWAY (SUITE 220) IRVING, TX 75039	\$ 8,187.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization

Employer identification number

EDWARD C. JOHNSON FUND

04-6108344

**Part II Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	90000 SHS GOLDCORP INC	\$ 3,860,100.	12/04/09
2	30000 SHS ST. JOE PAPER	\$ 917,100.	12/28/09
3	20682.523 SHS FIDELITY SELECT NATURAL GAS	\$ 671,768.	12/02/09
4	25000 SHS GOLDCORP INC	\$ 1,072,250.	12/04/09
5	550 SHS GOLDCORP INC.	\$ 23,590.	12/04/09
		\$	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	53485.019 SHS (360) FIDELITY JAPAN SMALLER	P	05/27/97	01/12/09
b	65738.325 SHS (360) FIDELITY JAPAN SMALLER	P	12/12/05	01/28/09
c	124624.365 SHS (360) FIDELITY JAPAN SMALLER	P	12/11/06	03/25/09
d	57755 SHS (360) FIDELITY JAPAN SMALLER	P	12/18/00	05/18/09
e	11140 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	08/04/09
f	17094 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	08/05/09
g	12956 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	08/06/09
h	29446 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	08/07/09
i	46982 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	08/10/09
j	21355 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	08/11/09
k	29098 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	08/12/09
l	10154 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	08/13/09
m	3095 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	10/27/09
n	17840 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	10/28/09
o	23377 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	10/29/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 369,047.		842,037.	<472,990.>
b 433,216.		1,023,998.	<590,782.>
c 736,530.		1,398,066.	<661,536.>
d 404,863.		501,313.	<96,450.>
e 21,466.		9,207.	12,259.
f 32,868.		14,128.	18,740.
g 24,685.		10,708.	13,977.
h 55,675.		24,336.	31,339.
i 90,509.		38,829.	51,680.
j 41,468.		17,649.	23,819.
k 55,993.		24,048.	31,945.
l 19,558.		8,392.	11,166.
m 6,162.		2,558.	3,604.
n 35,409.		14,744.	20,665.
o 47,098.		19,320.	27,778.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<472,990.>
b			<590,782.>
c			<661,536.>
d			<96,450.>
e			12,259.
f			18,740.
g			13,977.
h			31,339.
i			51,680.
j			23,819.
k			31,945.
l			11,166.
m			3,604.
n			20,665.
o			27,778.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20519 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	10/30/09
b	12503 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	11/02/09
c	10928 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	11/03/09
d	33517 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	11/04/09
e	24168 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	11/05/09
f	22365 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	11/06/09
g	9985 SHS COLT TELECOM GROUP SA LUXEMBOURG SHS	D	09/27/96	11/09/09
h	258000 SHS BANK OF AMERICA CORPORATION	P	01/28/09	02/03/09
i	72000 SHS JPMORGAN CHASE AND CO	P	01/28/09	02/03/09
j	130850 SHS WELLS FARGO & CO	P	01/28/09	02/03/09
k	258000 SHS BANK OF AMERICA CORPORATION	P	01/28/09	02/04/09
l	72000 SHS JPMORGAN CHASE AND CO	P	01/28/09	02/04/09
m	130850 SHS WELLS FARGO & CO	P	01/28/09	02/04/09
n	185060 SHS PAN AMERICAN SILVER CORP	P	02/04/09	02/12/09
o	1346223 SHS HECLA MINING CO	P	02/04/09	02/13/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 41,279.		16,958.	24,321.
b 24,881.		10,333.	14,548.
c 21,428.		9,032.	12,396.
d 67,017.		27,700.	39,317.
e 48,311.		19,974.	28,337.
f 45,481.		18,484.	26,997.
g 20,646.		8,252.	12,394.
h 1,404,467.		1,993,901.	<589,434.>
i 1,714,685.		1,956,703.	<242,018.>
j 2,388,261.		2,582,085.	<193,824.>
k 1,417,186.		1,943,571.	<526,385.>
l 1,748,575.		1,949,558.	<200,983.>
m 2,388,967.		2,530,116.	<141,149.>
n 3,192,637.		3,180,786.	11,851.
o 2,330,837.		3,198,475.	<867,638.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			24,321.
b			14,548.
c			12,396.
d			39,317.
e			28,337.
f			26,997.
g			12,394.
h			<589,434.>
i			<242,018.>
j			<193,824.>
k			<526,385.>
l			<200,983.>
m			<141,149.>
n			11,851.
o			<867,638.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7977500 SHS FREDDIE MAC	P	02/13/09	08/10/09
b	7987400 SHS FANNIE MAE	P	02/13/09	08/11/09
c	21500 SHS OCCIDENTAL PETE CORP	P	08/12/09	08/13/09
d	21700 SHS EXXON MOBIL CORP.	P	08/12/09	08/14/09
e	27300 SHS TOTAL S.A.	P	08/12/09	08/14/09
f	17100 SHS APACHE CORP	P	08/12/09	08/20/09
g	21800 SHS CHEVRON CORP NEW	P	08/12/09	08/20/09
h	29025 SHS ANADARKO PETROLEUM CORP	P	08/12/09	09/02/09
i	29800 SHS BP P.L.C.	P	08/12/09	09/04/09
j	34200 SHS CONOCOPHILLIPS	P	08/12/09	09/04/09
k	529840 SHS IAMGOLD CORP	P	08/13/09	09/04/09
l	28400 SHS ROYAL DUTCH SHELL PLC SPONS ADR A	P	08/12/09	09/04/09
m	300 SHS SAINT MARC HLDG CO LTD	P	09/19/07	01/05/09
n	9400 SHS ABC-MART INC	P	10/15/07	01/06/09
o	700 SHS SAINT MARC HLDG CO LTD	P	09/19/07	01/06/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,849,564.		6,337,220.	3,512,344.
b 9,329,840.		6,338,228.	2,991,612.
c 1,510,553.		1,500,003.	10,550.
d 1,471,914.		1,498,370.	<26,456.>
e 1,477,547.		1,499,401.	<21,854.>
f 1,482,145.		1,491,949.	<9,804.>
g 1,490,933.		1,496,522.	<5,589.>
h 1,537,136.		1,490,027.	47,109.
i 1,564,832.		1,499,533.	65,299.
j 1,513,643.		1,499,885.	13,758.
k 7,271,496.		3,846,349.	3,425,147.
l 1,561,980.		1,499,364.	62,616.
m 8,544.		12,372.	<3,828.>
n 293,465.		215,880.	77,585.
o 19,370.		28,869.	<9,499.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			3,512,344.
b			2,991,612.
c			10,550.
d			<26,456.>
e			<21,854.>
f			<9,804.>
g			<5,589.>
h			47,109.
i			65,299.
j			13,758.
k			3,425,147.
l			62,616.
m			<3,828.>
n			77,585.
o			<9,499.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	600 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/19/08	01/06/09
b	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/19/08	01/06/09
c	800 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/25/08	01/06/09
d	1000 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/25/08	01/06/09
e	16600 SHS METLIFE INC	P	10/28/08	01/06/09
f	1700 SHS REINSURANCE GROUP AMER INC	P	09/19/08	01/06/09
g	2500 SHS REINSURANCE GROUP AMER INC	P	09/16/08	01/06/09
h	100 SHS REINSURANCE GROUP AMER INC	P	09/16/08	01/06/09
i	4200 SHS REINSURANCE GROUP AMER INC	P	09/18/08	01/06/09
j	1000 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/25/08	01/07/09
k	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/25/08	01/07/09
l	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/25/08	01/07/09
m	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/18/08	01/07/09
n	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/18/08	01/07/09
o	900 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/07/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 27,296.		29,618.	<2,322.>
b 9,114.		9,865.	<751.>
c 36,390.		39,429.	<3,039.>
d 45,488.		49,223.	<3,735.>
e 590,784.		436,993.	153,791.
f 71,595.		83,028.	<11,433.>
g 105,134.		120,751.	<15,617.>
h 4,199.		4,799.	<600.>
i 176,554.		201,473.	<24,919.>
j 44,868.		49,163.	<4,295.>
k 4,498.		4,916.	<418.>
l 8,999.		9,833.	<834.>
m 4,503.		4,912.	<409.>
n 8,997.		9,824.	<827.>
o 40,485.		44,159.	<3,674.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			<2,322.>
b			<751.>
c			<3,039.>
d			<3,735.>
e			153,791.
f			<11,433.>
g			<15,617.>
h			<600.>
i			<24,919.>
j			<4,295.>
k			<418.>
l			<834.>
m			<409.>
n			<827.>
o			<3,674.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/07/09
b	58000 SHS METLIFE INC	P	11/24/08	01/07/09
c	5300 SHS METLIFE INC	P	11/24/08	01/07/09
d	10392 SHS METLIFE INC	P	11/24/08	01/07/09
e	700 SHS REINSURANCE GROUP AMER INC	P	09/18/08	01/07/09
f	100 SHS REINSURANCE GROUP AMER INC	P	09/18/08	01/07/09
g	1200 SHS ABC-MART INC	P	10/15/07	01/07/09
h	1300 SHS ABC-MART INC	P	10/15/07	01/07/09
i	1000 SHS SAINT MARC HLDG CO LTD	P	09/19/07	01/07/09
j	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/08/09
k	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/08/09
l	700 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/08/09
m	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/08/09
n	300 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/08/09
o	500 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/08/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,498.		4,902.	<404.>
b 1,941,388.		1,379,409.	561,979.
c 179,871.		118,909.	60,962.
d 332,856.		233,151.	99,705.
e 28,880.		33,056.	<4,176.>
f 4,149.		4,722.	<573.>
g 34,809.		27,559.	7,250.
h 38,441.		29,856.	8,585.
i 27,574.		41,241.	<13,667.>
j 8,817.		9,804.	<987.>
k 4,414.		4,902.	<488.>
l 30,906.		34,312.	<3,406.>
m 4,410.		4,901.	<491.>
n 13,209.		14,702.	<1,493.>
o 22,057.		24,502.	<2,445.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<404.>
b			561,979.
c			60,962.
d			99,705.
e			<4,176.>
f			<573.>
g			7,250.
h			8,585.
i			<13,667.>
j			<987.>
k			<488.>
l			<3,406.>
m			<491.>
n			<1,493.>
o			<2,445.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1400 SHS REINSURANCE GROUP AMER INC	P	09/18/08	01/08/09
b	100 SHS REINSURANCE GROUP AMER INC	P	09/18/08	01/08/09
c	2900 SHS ABC-MART INC	P	10/15/07	01/08/09
d	2200 SHS ABC-MART INC	P	10/15/07	01/08/09
e	600 SHS SAINT MARC HLDG CO LTD	P	09/19/07	01/08/09
f	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/09/09
g	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/09/09
h	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/09/09
i	1100 SHS REINSURANCE GROUP AMER INC	P	09/18/08	01/09/09
j	200 SHS REINSURANCE GROUP AMER INC	P	09/17/08	01/09/09
k	500 SHS REINSURANCE GROUP AMER INC	P	09/17/08	01/09/09
l	100 SHS REINSURANCE GROUP AMER INC	P	09/17/08	01/09/09
m	2700 SHS ABC-MART INC	P	10/15/07	01/09/09
n	3100 SHS ABC-MART INC	P	10/15/07	01/09/09
o	800 SHS SAINT MARC HLDG CO LTD	P	09/19/07	01/09/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 57,407.		66,112.	<8,705.>
b 4,125.		4,722.	<597.>
c 86,345.		66,601.	19,744.
d 65,920.		50,525.	15,395.
e 16,384.		24,745.	<8,361.>
f 8,777.		9,801.	<1,024.>
g 4,386.		4,900.	<514.>
h 8,775.		9,801.	<1,026.>
i 44,235.		51,665.	<7,430.>
j 8,044.		9,258.	<1,214.>
k 20,045.		23,144.	<3,099.>
l 4,013.		4,629.	<616.>
m 83,823.		62,008.	21,815.
n 97,019.		71,195.	25,824.
o 21,887.		32,993.	<11,106.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<8,705.>
b			<597.>
c			19,744.
d			15,395.
e			<8,361.>
f			<1,024.>
g			<514.>
h			<1,026.>
i			<7,430.>
j			<1,214.>
k			<3,099.>
l			<616.>
m			21,815.
n			25,824.
o			<11,106.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/12/09
b	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/12/09
c	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/12/09
d	400 SHS REINSURANCE GROUP AMER INC	P	09/17/08	01/12/09
e	2100 SHS ABC-MART INC	P	10/15/07	01/13/09
f	700 SHS SAINT MARC HLDG CO LTD	P	09/19/07	01/13/09
g	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/13/09
h	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/13/09
i	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/13/09
j	2800 SHS ABC-MART INC	P	10/15/07	01/14/09
k	700 SHS ABC-MART INC	P	10/15/07	01/15/09
l	2100 SHS ABC-MART INC	P	10/15/07	01/15/09
m	700 SHS SAINT MARC HLDG CO LTD	P	09/19/07	01/14/09
n	700 SHS SAINT MARC HLDG CO LTD	P	09/20/07	01/15/09
o	1600 SHS SAINT MARC HLDG CO LTD	P	09/20/07	01/15/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,619.		9,801.	<1,182.>
b 4,298.		4,900.	<602.>
c 4,322.		4,900.	<578.>
d 15,493.		18,516.	<3,023.>
e 67,759.		48,229.	19,530.
f 19,290.		28,869.	<9,579.>
g 4,291.		4,900.	<609.>
h 8,562.		9,801.	<1,239.>
i 4,293.		4,900.	<607.>
j 84,555.		64,305.	20,250.
k 21,511.		16,076.	5,435.
l 64,477.		48,229.	16,248.
m 19,125.		28,869.	<9,744.>
n 19,184.		28,343.	<9,159.>
o 43,558.		63,184.	<19,626.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<1,182.>
b			<602.>
c			<578.>
d			<3,023.>
e			19,530.
f			<9,579.>
g			<609.>
h			<1,239.>
i			<607.>
j			20,250.
k			5,435.
l			16,248.
m			<9,744.>
n			<9,159.>
o			<19,626.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3



## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	300 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/14/09
b	1300 SHS ABC-MART INC	P	10/15/07	01/16/09
c	1300 SHS SAINT MARC HLDG CO LTD	P	09/20/07	01/16/09
d	1200 SHS SAINT MARC HLDG CO LTD	P	09/20/07	01/16/09
e	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/15/09
f	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/15/09
g	500 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/15/09
h	500 SHS ABC-MART INC	P	10/15/07	01/19/09
i	1000 SHS SAINT MARC HLDG CO LTD	P	09/20/07	01/19/09
j	400 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/16/09
k	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/23/08	01/16/09
l	300 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/27/08	01/16/09
m	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/27/08	01/16/09
n	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/16/09
o	1400 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/16/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,308.		14,701.	<2,393.>
b 38,510.		29,856.	8,654.
c 36,043.		51,337.	<15,294.>
d 33,452.		47,388.	<13,936.>
e 8,149.		9,801.	<1,652.>
f 4,068.		4,900.	<832.>
g 20,369.		24,501.	<4,132.>
h 14,759.		11,483.	3,276.
i 27,994.		39,490.	<11,496.>
j 16,400.		19,598.	<3,198.>
k 4,100.		4,899.	<799.>
l 12,301.		14,698.	<2,397.>
m 4,106.		4,899.	<793.>
n 4,098.		4,899.	<801.>
o 57,393.		68,571.	<11,178.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<2,393.>
b			8,654.
c			<15,294.>
d			<13,936.>
e			<1,652.>
f			<832.>
g			<4,132.>
h			3,276.
i			<11,496.>
j			<3,198.>
k			<799.>
l			<2,397.>
m			<793.>
n			<801.>
o			<11,178.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	900 SHS ABC-MART INC	P	10/15/07	01/20/09
b	500 SHS SAINT MARC HLDG CO LTD	P	09/20/07	01/20/09
c	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/20/09
d	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/20/09
e	43800 SHS TRANSOCEAN LTD ZUG	P	01/05/09	01/21/09
f	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/21/09
g	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/21/09
h	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/21/09
i	400 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/27/08	01/21/09
j	1100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/27/08	01/21/09
k	30200 SHS ENSCO INTL INC	P	04/30/07	01/21/09
l	3100 SHS ENSCO INTL INC	P	11/16/06	01/21/09
m	300 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/24/08	01/22/09
n	200 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/23/08	01/22/09
o	300 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/22/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 26,936.		20,669.	6,267.
b 14,020.		19,745.	<5,725.>
c 4,098.		4,897.	<799.>
d 4,099.		4,897.	<798.>
e 2,089,292.		2,378,261.	<288,969.>
f 4,027.		4,897.	<870.>
g 4,031.		4,897.	<866.>
h 4,039.		4,897.	<858.>
i 16,068.		19,585.	<3,517.>
j 44,350.		53,849.	<9,499.>
k 839,314.		1,674,157.	<834,843.>
l 86,482.		156,296.	<69,814.>
m 12,048.		14,686.	<2,638.>
n 8,037.		9,791.	<1,754.>
o 12,057.		14,685.	<2,628.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			6,267.
b			<5,725.>
c			<799.>
d			<798.>
e			<288,969.>
f			<870.>
g			<866.>
h			<858.>
i			<3,517.>
j			<9,499.>
k			<834,843.>
l			<69,814.>
m			<2,638.>
n			<1,754.>
o			<2,628.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/22/09
b	100 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/26/08	01/22/09
c	3000 SHS COMTECH TELECOMMUNICATIONS CORP	P	06/27/08	01/22/09
d	8372 SHS COMTECH TELECOMMUNICATIONS CORP	P	12/01/08	01/22/09
e	200 SHS ENSCO INTL INC	P	11/16/06	01/22/09
f	34600 SHS DOW CHEMICAL	P	01/09/09	01/23/09
g	22000 SHS HSBC HLDGS PLC SPON ADR	P	12/24/08	01/27/09
h	6500 SHS INTEL CORP CALIF	P	10/20/08	01/28/09
i	127000 SHS INTEL CORP CALIF	P	11/17/08	01/28/09
j	60324 SHS INTEL CORP CALIF	P	12/02/08	01/28/09
k	2100 SHS TJX COS INC NEW	P	10/03/08	02/02/09
l	300 SHS TJX COS INC NEW	P	10/03/08	02/02/09
m	4100 SHS STARBUCKS CORP	P	01/30/09	02/03/09
n	4800 SHS TJX COS INC NEW	P	10/03/08	02/03/09
o	9600 SHS TJX COS INC NEW	P	10/03/08	02/03/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,010.		4,894.	<884.>
b 4,023.		4,894.	<871.>
c 119,939.		146,800.	<26,861.>
d 336,357.		392,797.	<56,440.>
e 5,411.		10,084.	<4,673.>
f 490,383.		709,570.	<219,187.>
g 811,281.		1,138,165.	<326,884.>
h 92,481.		100,887.	<8,406.>
i 1,790,347.		1,805,540.	<15,193.>
j 846,329.		794,090.	52,239.
k 40,913.		62,903.	<21,990.>
l 5,835.		8,954.	<3,119.>
m 39,172.		39,091.	81.
n 92,845.		143,271.	<50,426.>
o 189,126.		286,386.	<97,260.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<884.>
b			<871.>
c			<26,861.>
d			<56,440.>
e			<4,673.>
f			<219,187.>
g			<326,884.>
h			<8,406.>
i			<15,193.>
j			52,239.
k			<21,990.>
l			<3,119.>
m			81.
n			<50,426.>
o			<97,260.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	8200 SHS TJX COS INC NEW	P	10/03/08	02/03/09
b	35800 SHS OCCIDENTAL PETE CORP	P	11/24/08	02/09/09
c	1600 SHS PROCTER & GAMBLE CO	P	02/07/07	02/17/09
d	26400 SHS PROCTER & GAMBLE CO	P	04/23/07	02/17/09
e	19433 SHS GENERAL DYNAMICS CORP	P	01/12/09	02/19/09
f	12000 SHS SUMITOMO TR & BKG LTD ORD	P	01/22/08	02/20/09
g	16000 SHS SUMITOMO TR & BKG LTD ORD	P	01/22/08	02/23/09
h	7000 SHS SUMITOMO TR & BKG LTD ORD	P	01/22/08	02/23/09
i	3100 SHS CERNER CORP	P	12/24/08	02/23/09
j	3000 SHS CERNER CORP	P	12/24/08	02/23/09
k	12000 SHS SUMITOMO TR & BKG LTD ORD	P	01/22/08	02/24/09
l	29500 SHS BAXTER INTL INC	P	11/17/08	02/26/09
m	14000 SHS JOHNSON & JOHNSON NJ	P	02/14/08	02/26/09
n	10000 SHS MCKESSON CORP	P	12/17/07	02/26/09
o	4500 SHS MEDCO HEALTH SOLUTIONS INC	P	12/18/08	02/26/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 160,946.		244,618.	<83,672.>
b 2,052,499.		1,815,008.	237,491.
c 79,868.		103,157.	<23,289.>
d 1,327,202.		1,699,629.	<372,427.>
e 1,017,298.		1,114,418.	<97,120.>
f 43,173.		71,345.	<28,172.>
g 56,442.		95,126.	<38,684.>
h 24,693.		41,618.	<16,925.>
i 115,708.		119,568.	<3,860.>
j 111,856.		115,711.	<3,855.>
k 40,887.		71,345.	<30,458.>
l 1,626,075.		1,820,613.	<194,538.>
m 738,709.		888,914.	<150,205.>
n 413,607.		638,577.	<224,970.>
o 186,654.		192,437.	<5,783.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<83,672.>
b			237,491.
c			<23,289.>
d			<372,427.>
e			<97,120.>
f			<28,172.>
g			<38,684.>
h			<16,925.>
i			<3,860.>
j			<3,855.>
k			<30,458.>
l			<194,538.>
m			<150,205.>
n			<224,970.>
o			<5,783.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	14500 SHS MEDCO HEALTH SOLUTIONS INC	P	12/18/08	02/26/09
b	35100 SHS MEDTRONIC, INC - S/T PORTION	P	09/29/08	02/26/09
c	35100 SHS MEDTRONIC, INC - L/T PORTION	P	VARIOUS	02/26/09
d	52526 SHS MERCK & CO INC NJ	P	01/31/08	02/26/09
e	10800 SHS TEVA PHARMACEUTICAL INDS LTD	P	01/14/09	02/26/09
f	100 SHS MERCK & CO INC NJ	P	10/05/06	02/27/09
g	23600 SHS COCA COLA CO	P	09/04/08	03/17/09
h	1600 SHS COCA COLA CO	P	09/04/08	03/17/09
i	900 SHS COCA COLA CO	P	09/04/08	03/17/09
j	200 SHS COCA COLA CO	P	09/04/08	03/17/09
k	2100 SHS COCA COLA CO	P	09/04/08	03/17/09
l	500 SHS COCA COLA CO	P	09/04/08	03/17/09
m	7700 SHS MOLSON COORS BREWING CO CL B	P	02/11/09	03/17/09
n	5200 SHS THERMO FISHER SCIENTIFIC INC	P	04/29/08	03/17/09
o	6200 SHS THERMO FISHER SCIENTIFIC INC	P	04/29/08	03/17/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 599,983.		618,845.	<18,862.>
b 923,906.		950,718.	<26,812.>
c 200,000.		773,904.	<573,904.>
d 1,372,234.		2,321,286.	<949,052.>
e 478,839.		458,801.	20,038.
f 2,506.		4,194.	<1,688.>
g 976,374.		1,354,060.	<377,686.>
h 66,204.		83,158.	<16,954.>
i 37,240.		46,776.	<9,536.>
j 8,278.		10,395.	<2,117.>
k 86,887.		109,145.	<22,258.>
l 20,683.		25,987.	<5,304.>
m 241,656.		299,899.	<58,243.>
n 182,711.		299,102.	<116,391.>
o 217,603.		356,080.	<138,477.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<18,862.>
b			<26,812.>
c			<573,904.>
d			<949,052.>
e			20,038.
f			<1,688.>
g			<377,686.>
h			<16,954.>
i			<9,536.>
j			<2,117.>
k			<22,258.>
l			<5,304.>
m			<58,243.>
n			<116,391.>
o			<138,477.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3600 SHS THERMO FISHER SCIENTIFIC INC	P	04/28/08	03/17/09
b	200 SHS THERMO FISHER SCIENTIFIC INC	P	04/28/08	03/17/09
c	100 SHS THERMO FISHER SCIENTIFIC INC	P	04/28/08	03/17/09
d	100 SHS THERMO FISHER SCIENTIFIC INC	P	04/28/08	03/17/09
e	5400 SHS THERMO FISHER SCIENTIFIC INC	P	05/07/08	03/17/09
f	300 SHS THERMO FISHER SCIENTIFIC INC	P	05/07/08	03/17/09
g	2000 SHS THERMO FISHER SCIENTIFIC INC	P	05/07/08	03/17/09
h	1700 SHS THERMO FISHER SCIENTIFIC INC	P	05/07/08	03/17/09
i	24500 SHS PFIZER INC	P	01/27/09	03/23/09
j	3800 SHS BAXTER INTL INC	P	11/17/08	03/31/09
k	4600 SHS BAXTER INTL INC	P	11/17/08	03/31/09
l	21400 SHS BAXTER INTL INC	P	12/01/08	03/31/09
m	9700 SHS PFIZER INC	P	01/27/09	03/31/09
n	8800 SHS PFIZER INC	P	01/27/09	03/31/09
o	3100 SHS PFIZER INC	P	01/27/09	03/31/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 126,721.		206,588.	<79,867.>
b 6,989.		11,470.	<4,481.>
c 3,495.		5,735.	<2,240.>
d 3,497.		5,735.	<2,238.>
e 190,309.		309,237.	<118,928.>
f 10,597.		17,165.	<6,568.>
g 70,296.		114,435.	<44,139.>
h 59,844.		97,270.	<37,426.>
i 338,723.		388,552.	<49,829.>
j 194,685.		223,925.	<29,240.>
k 235,526.		271,067.	<35,541.>
l 1,103,968.		1,173,376.	<69,408.>
m 133,607.		153,603.	<19,996.>
n 120,331.		139,352.	<19,021.>
o 42,637.		49,090.	<6,453.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<79,867.>
b			<4,481.>
c			<2,240.>
d			<2,238.>
e			<118,928.>
f			<6,568.>
g			<44,139.>
h			<37,426.>
i			<49,829.>
j			<29,240.>
k			<35,541.>
l			<69,408.>
m			<19,996.>
n			<19,021.>
o			<6,453.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1000 SHS PFIZER INC	P	01/27/09	03/31/09
b	53654 SHS PFIZER INC	P	01/27/09	03/31/09
c	317500 SHS NEO NEON HOLDINGS LIMITED	P	06/12/07	04/08/09
d	355000 SHS NEO NEON HOLDINGS LIMITED	P	06/13/07	04/09/09
e	306000 SHS NEO NEON HOLDINGS LIMITED	P	11/09/07	04/14/09
f	24800 SHS EXXON MOBIL CORP.	P	10/14/08	04/13/09
g	245000 SHS NEO NEON HOLDINGS LIMITED	P	11/12/07	04/15/09
h	168000 SHS NEO NEON HOLDINGS LIMITED	P	03/06/07	04/16/09
i	38500 SHS NEO NEON HOLDINGS LIMITED	P	03/06/07	04/17/09
j	4600 SHS TEVA PHARMACEUTICAL INDS LTD	P	01/14/09	04/17/09
k	19100 SHS ACCENTURE LTD CL A	P	12/19/07	04/22/09
l	10900 SHS ACCENTURE LTD CL A	P	03/28/08	04/22/09
m	14000 SHS ACCENTURE LTD CL A	P	12/19/07	04/22/09
n	500 SHS ACCENTURE LTD CL A	P	12/19/07	04/22/09
o	48300 SHS ACCENTURE LTD CL A - S/T PORTION	P	04/29/08	04/23/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,744.		15,835.	<2,091.>
b 736,622.		848,540.	<111,918.>
c 54,004.		641,719.	<587,715.>
d 61,015.		700,369.	<639,354.>
e 55,504.		488,603.	<433,099.>
f 1,694,057.		1,805,695.	<111,638.>
g 46,334.		296,391.	<250,057.>
h 32,463.		193,045.	<160,582.>
i 7,234.		40,630.	<33,396.>
j 205,971.		195,415.	10,556.
k 522,003.		680,076.	<158,073.>
l 295,453.		386,357.	<90,904.>
m 378,006.		495,363.	<117,357.>
n 13,367.		17,692.	<4,325.>
o 1,205,279.		1,699,574.	<494,295.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<2,091.>
b			<111,918.>
c			<587,715.>
d			<639,354.>
e			<433,099.>
f			<111,638.>
g			<250,057.>
h			<160,582.>
i			<33,396.>
j			10,556.
k			<158,073.>
l			<90,904.>
m			<117,357.>
n			<4,325.>
o			<494,295.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	48300 SHS ACCENTURE LTD CL A - L/T PORTION	P	VARIOUS	04/23/09
b	5650 SHS ACCENTURE LTD CL A	P	04/28/08	04/23/09
c	300 SHS MEDCO HEALTH SOLUTIONS INC	P	12/18/08	04/23/09
d	1400 SHS MEDCO HEALTH SOLUTIONS INC	P	12/18/08	04/23/09
e	200 SHS MEDCO HEALTH SOLUTIONS INC	P	12/18/08	04/23/09
f	600 SHS MEDCO HEALTH SOLUTIONS INC	P	12/18/08	04/23/09
g	14500 SHS MEDCO HEALTH SOLUTIONS INC	P	12/18/08	04/23/09
h	20400 SHS MOLSON COORS BREWING CO CL B	P	02/11/09	04/27/09
i	200 SHS SENSIENT TECHNOLOGIES CORP	P	03/25/09	04/27/09
j	300 SHS SENSIENT TECHNOLOGIES CORP	P	03/25/09	04/28/09
k	200 SHS SENSIENT TECHNOLOGIES CORP	P	03/24/09	04/28/09
l	700 SHS SENSIENT TECHNOLOGIES CORP	P	03/24/09	04/29/09
m	100 SHS SENSIENT TECHNOLOGIES CORP	P	03/24/09	04/29/09
n	6900 SHS STARWOOD HOTELS & RESORTS WRLD	P	03/25/09	04/29/09
o	300 SHS SENSIENT TECHNOLOGIES CORP	P	03/24/09	04/30/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 100,000.		165,058.	<65,058.>
b 154,088.		220,281.	<66,193.>
c 12,609.		12,706.	<97.>
d 58,871.		59,296.	<425.>
e 8,407.		8,471.	<64.>
f 25,307.		25,413.	<106.>
g 611,744.		609,066.	2,678.
h 749,130.		794,537.	<45,407.>
i 4,689.		4,810.	<121.>
j 7,044.		7,157.	<113.>
k 4,712.		4,752.	<40.>
l 16,818.		16,593.	225.
m 2,408.		2,370.	38.
n 139,618.		95,810.	43,808.
o 7,140.		7,108.	32.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<65,058.>
b			<66,193.>
c			<97.>
d			<425.>
e			<64.>
f			<106.>
g			2,678.
h			<45,407.>
i			<121.>
j			<113.>
k			<40.>
l			225.
m			38.
n			43,808.
o			32.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3



## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	100 SHS WMS INDS INC	P	02/04/09	04/30/09
b	300 SHS SENSIENT TECHNOLOGIES CORP	P	03/24/09	05/01/09
c	300 SHS SENSIENT TECHNOLOGIES CORP	P	03/23/09	05/04/09
d	400 SHS SENSIENT TECHNOLOGIES CORP	P	03/20/09	05/04/09
e	600 SHS SENSIENT TECHNOLOGIES CORP	P	03/20/09	05/05/09
f	1400 SHS SENSIENT TECHNOLOGIES CORP	P	03/20/09	05/05/09
g	600 SHS SENSIENT TECHNOLOGIES CORP	P	03/18/09	05/05/09
h	2300 SHS SENSIENT TECHNOLOGIES CORP	P	03/18/09	05/06/09
i	2732 SHS SENSIENT TECHNOLOGIES CORP	P	03/16/09	05/06/09
j	11068 SHS SENSIENT TECHNOLOGIES CORP	P	03/13/09	05/07/09
k	11200 SHS DISNEY WALT CO	P	05/07/08	05/11/09
l	32100 SHS DISNEY WALT CO	P	05/07/08	05/11/09
m	6100 SHS ABC-MART INC	P	10/16/07	05/13/09
n	8800 SHS ABC-MART INC	P	10/16/07	05/14/09
o	4900 SHS ABC-MART INC	P	10/16/07	05/15/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,212.		1,985.	1,227.
b 6,935.		7,068.	<133.>
c 6,974.		6,990.	<16.>
d 9,293.		9,156.	137.
e 13,830.		13,734.	96.
f 32,263.		31,925.	338.
g 13,822.		13,566.	256.
h 52,625.		50,964.	1,661.
i 62,517.		59,833.	2,684.
j 252,991.		216,546.	36,445.
k 279,117.		389,140.	<110,023.>
l 801,545.		1,110,038.	<308,493.>
m 120,213.		139,775.	<19,562.>
n 167,532.		198,110.	<30,578.>
o 93,972.		110,311.	<16,339.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,227.
b			<133.>
c			<16.>
d			137.
e			96.
f			338.
g			256.
h			1,661.
i			2,684.
j			36,445.
k			<110,023.>
l			<308,493.>
m			<19,562.>
n			<30,578.>
o			<16,339.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	42300 SHS JOHNSON & JOHNSON NJ - S/T PORTION	P	01/26/09	05/15/09
b	42300 SHS JOHNSON & JOHNSON NJ - L/T PORTION	P	VARIOUS	05/15/09
c	54574 SHS MERCK & CO INC NJ - S/T PORTION	P	12/10/08	05/15/09
d	54574 SHS MERCK & CO INC NJ - L/T PORTION	P	VARIOUS	05/15/09
e	2200 SHS ABC-MART INC	P	03/19/08	05/18/09
f	36200 SHS COCA COLA CO	P	10/28/08	05/18/09
g	3500 SHS ABC-MART INC	P	03/19/08	05/19/09
h	2700 SHS ABC-MART INC	P	03/19/08	05/20/09
i	4300 SHS ABC-MART INC	P	03/19/08	05/21/09
j	5300 SHS ABC-MART INC	P	03/19/08	05/22/09
k	7500 SHS ABC-MART INC	P	03/19/08	05/26/09
l	900 SHS IPC THE HOSPITALIST CO INC	P	05/05/09	05/22/09
m	6100 SHS MCKESSON CORP	P	12/17/07	05/28/09
n	2300 SHS CUMMINS INC	P	09/30/08	05/29/09
o	1700 SHS CUMMINS INC	P	09/30/08	05/29/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,138,876.		2,183,756.	<44,880.>
b 200,000.		438,057.	<238,057.>
c 1,190,111.		1,231,938.	<41,827.>
d 200,000.		546,346.	<346,346.>
e 41,754.		48,857.	<7,103.>
f 1,623,789.		1,780,936.	<157,147.>
g 66,127.		74,102.	<7,975.>
h 51,015.		57,165.	<6,150.>
i 81,885.		91,040.	<9,155.>
j 102,908.		112,212.	<9,304.>
k 150,978.		158,791.	<7,813.>
l 20,996.		18,475.	2,521.
m 246,003.		389,532.	<143,529.>
n 74,730.		99,121.	<24,391.>
o 55,287.		73,264.	<17,977.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<44,880.>
b			<238,057.>
c			<41,827.>
d			<346,346.>
e			<7,103.>
f			<157,147.>
g			<7,975.>
h			<6,150.>
i			<9,155.>
j			<9,304.>
k			<7,813.>
l			2,521.
m			<143,529.>
n			<24,391.>
o			<17,977.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7500 SHS CUMMINS INC	P	10/01/08	05/29/09
b	11900 SHS TEVA PHARMACEUTICAL INDS LTD	P	01/14/09	05/29/09
c	6620 SHS CUMMINS INC	P	10/01/08	06/01/09
d	3700 SHS YUCHENG TECHNOLOGIES LTD	P	05/27/09	06/03/09
e	26700 SHS ADOBE SYS INC	P	04/28/08	06/03/09
f	12300 SHS EBAY INC	P	05/18/09	06/03/09
g	1800 SHS EBAY INC	P	05/18/09	06/03/09
h	300 SHS EBAY INC	P	05/18/09	06/03/09
i	700 SHS EBAY INC	P	05/18/09	06/03/09
j	1100 SHS EBAY INC	P	05/18/09	06/03/09
k	300 SHS EBAY INC	P	05/18/09	06/03/09
l	100 SHS EBAY INC	P	05/18/09	06/03/09
m	400 SHS EBAY INC	P	05/18/09	06/03/09
n	6400 SHS EBAY INC	P	05/18/09	06/03/09
o	10100 SHS EBAY INC	P	05/18/09	06/03/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 243,340.		322,592.	<79,252.>
b 549,800.		505,409.	44,391.
c 227,335.		284,576.	<57,241.>
d 31,754.		28,718.	3,036.
e 779,518.		996,399.	<216,881.>
f 220,303.		215,414.	4,889.
g 32,474.		31,524.	950.
h 5,379.		5,254.	125.
i 12,588.		12,259.	329.
j 19,840.		19,265.	575.
k 5,384.		5,254.	130.
l 1,793.		1,751.	42.
m 7,176.		7,005.	171.
n 114,072.		112,085.	1,987.
o 180,437.		176,884.	3,553.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<79,252.>
b			44,391.
c			<57,241.>
d			3,036.
e			<216,881.>
f			4,889.
g			950.
h			125.
i			329.
j			575.
k			130.
l			42.
m			171.
n			1,987.
o			3,553.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6600 SHS YUCHENG TECHNOLOGIES LTD	P	05/26/09	06/04/09
b	20200 SHS PROCTER & GAMBLE CO -S/T PORTION	P	10/28/08	06/09/09
c	20200 SHS PROCTER & GAMBLE CO -L/T PORTION	P	VARIOUS	06/09/09
d	1300 SHS STARWOOD HOTELS & RESORTS WRLD	P	03/25/09	06/09/09
e	2300 SHS STARWOOD HOTELS & RESORTS WRLD	P	03/25/09	06/09/09
f	2000 SHS STARWOOD HOTELS & RESORTS WRLD	P	03/24/09	06/09/09
g	1000 SHS STARWOOD HOTELS & RESORTS WRLD	P	03/24/09	06/09/09
h	600 SHS STARWOOD HOTELS & RESORTS WRLD	P	03/24/09	06/10/09
i	300 SHS STARWOOD HOTELS & RESORTS WRLD	P	03/24/09	06/10/09
j	18100 SHS STARWOOD HOTELS & RESORTS WRLD	P	03/25/09	06/10/09
k	28900 SHS NIKE INC	P	10/30/08	07/14/09
l	100 SHS WMS INDS INC	P	02/04/09	07/14/09
m	100 SHS WMS INDS INC	P	02/04/09	07/14/09
n	9500 SHS TJX COS INC NEW	P	05/26/09	08/04/09
o	7200 SHS TJX COS INC NEW	P	05/27/09	08/04/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 55,920.		47,526.	8,394.
b 760,055.		767,934.	<7,879.>
c 300,000.		510,172.	<210,172.>
d 33,730.		17,962.	15,768.
e 59,268.		31,774.	27,494.
f 51,535.		27,594.	23,941.
g 25,747.		13,717.	12,030.
h 15,445.		8,213.	7,232.
i 7,565.		4,107.	3,458.
j 452,268.		231,615.	220,653.
k 1,499,949.		1,615,531.	<115,582.>
l 3,384.		1,977.	1,407.
m 3,385.		1,977.	1,408.
n 342,556.		282,048.	60,508.
o 258,149.		211,072.	47,077.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			8,394.
b			<7,879.>
c			<210,172.>
d			15,768.
e			27,494.
f			23,941.
g			12,030.
h			7,232.
i			3,458.
j			220,653.
k			<115,582.>
l			1,407.
m			1,408.
n			60,508.
o			47,077.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	2000 SHS JUNIPER NETWORKS INC	P	03/27/09	08/05/09
b	2000 SHS JUNIPER NETWORKS INC	P	03/27/09	08/05/09
c	7100 SHS JUNIPER NETWORKS INC	P	03/27/09	08/05/09
d	103800 SHS UNILEVER PLC	P	03/06/09	08/06/09
e	400 SHS PURECIRCLE LIMITED	P	08/27/09	10/02/09
f	800 SHS EROS INTERNATIONAL PLC	P	07/09/07	10/05/09
g	200 SHS PURECIRCLE LIMITED	P	08/27/09	10/05/09
h	18300 SHS EROS INTERNATIONAL PLC	P	07/13/07	10/06/09
i	1100 SHS PURECIRCLE LIMITED	P	08/27/09	10/06/09
j	900 SHS EROS INTERNATIONAL PLC	P	07/10/07	10/07/09
k	1600 SHS PURECIRCLE LIMITED	P	08/27/09	10/07/09
l	300 SHS PURECIRCLE LIMITED	P	08/26/09	10/08/09
m	1800 SHS EROS INTERNATIONAL PLC	P	07/13/07	10/09/09
n	1800 SHS PURECIRCLE LIMITED	P	08/26/09	10/09/09
o	2700 SHS EROS INTERNATIONAL PLC	P	07/13/07	10/12/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 51,173.		32,143.	19,030.
b 50,757.		32,089.	18,668.
c 181,571.		113,917.	67,654.
d 2,847,182.		1,890,675.	956,507.
e 1,388.		1,847.	<459.>
f 2,481.		8,340.	<5,859.>
g 619.		923.	<304.>
h 54,645.		190,266.	<135,621.>
i 3,413.		5,079.	<1,666.>
j 2,655.		9,343.	<6,688.>
k 5,093.		7,270.	<2,177.>
l 956.		1,335.	<379.>
m 5,329.		18,677.	<13,348.>
n 5,753.		7,839.	<2,086.>
o 8,121.		27,976.	<19,855.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			19,030.
b			18,668.
c			67,654.
d			956,507.
e			<459.>
f			<5,859.>
g			<304.>
h			<135,621.>
i			<1,666.>
j			<6,688.>
k			<2,177.>
l			<379.>
m			<13,348.>
n			<2,086.>
o			<19,855.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	400 SHS PURECIRCLE LIMITED	P	08/13/09	10/12/09
b	7700 SHS EROS INTERNATIONAL PLC	P	07/13/07	10/13/09
c	500 SHS PURECIRCLE LIMITED	P	08/11/09	10/13/09
d	1800 SHS EROS INTERNATIONAL PLC	P	07/13/07	10/14/09
e	800 SHS PURECIRCLE LIMITED	P	08/11/09	10/15/09
f	300 SHS PURECIRCLE LIMITED	P	08/11/09	10/16/09
g	700 SHS PURECIRCLE LIMITED	P	08/11/09	10/19/09
h	0.994 SHS NEWCREST MNG LTD	P	10/16/09	10/16/09
i	300 SHS PURECIRCLE LIMITED	P	08/14/09	10/20/09
j	200 SHS PURECIRCLE LIMITED	P	08/14/09	10/21/09
k	1900 SHS PURECIRCLE LIMITED	P	08/12/09	10/22/09
l	3800 SHS PURECIRCLE LIMITED	P	08/21/09	10/22/09
m	400 SHS EROS INTERNATIONAL PLC	P	07/13/07	10/27/09
n	900 SHS PURECIRCLE LIMITED	P	08/07/09	10/27/09
o	400 SHS EROS INTERNATIONAL PLC	P	07/13/07	10/30/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,316.		1,683.	<367.>
b 23,197.		79,783.	<56,586.>
c 1,649.		2,102.	<453.>
d 5,428.		18,651.	<13,223.>
e 2,557.		3,363.	<806.>
f 973.		1,261.	<288.>
g 2,257.		2,943.	<686.>
h 31.		31.	0.
i 970.		1,258.	<288.>
j 646.		834.	<188.>
k 6,192.		7,888.	<1,696.>
l 12,356.		15,659.	<3,303.>
m 1,135.		4,145.	<3,010.>
n 2,896.		3,659.	<763.>
o 1,057.		4,145.	<3,088.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<367.>
b			<56,586.>
c			<453.>
d			<13,223.>
e			<806.>
f			<288.>
g			<686.>
h			0.
i			<288.>
j			<188.>
k			<1,696.>
l			<3,303.>
m			<3,010.>
n			<763.>
o			<3,088.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	500 SHS PURECIRCLE LIMITED	P	08/07/09	10/30/09
b	3600 SHS EROS INTERNATIONAL PLC	P	07/13/07	11/04/09
c	100300 SHS WEATHERFORD INTERNATIONAL LT REG	P	03/06/09	11/05/09
d	33495 SHS NIKE INC	P	10/30/08	11/05/09
e	1000 SHS PURECIRCLE LIMITED	P	08/25/09	11/09/09
f	32400 SHS WEATHERFORD INTERNATIONAL LT REG	P	03/06/09	11/06/09
g	11400 SHS WEATHERFORD INTERNATIONAL LT REG	P	03/06/09	11/06/09
h	6700 SHS CHINA FIRE & SEC GROUP INC	P	07/16/09	11/06/09
i	20800 SHS SCHLUMBERGER LTD NETHERLANDS	P	08/13/07	11/06/09
j	16000 SHS CHINA FIRE & SEC GROUP INC	P	07/13/09	11/09/09
k	2900 SHS EROS INTERNATIONAL PLC	P	07/13/07	11/11/09
l	4916 SHS CHINA FIRE & SEC GROUP INC	P	07/08/09	11/10/09
m	9400 SHS AUTONOMY CORP PLC	P	04/14/09	11/13/09
n	800 SHS AUTONOMY CORP PLC	P	04/14/09	11/13/09
o	2300 SHS AUTONOMY CORP PLC	P	04/14/09	11/13/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,688.		2,033.	<345.>
b 9,399.		37,301.	<27,902.>
c 1,792,846.		1,742,773.	50,073.
d 2,163,902.		1,825,260.	338,642.
e 3,266.		4,064.	<798.>
f 574,408.		329,835.	244,573.
g 199,389.		115,327.	84,062.
h 94,399.		80,576.	13,823.
i 1,332,711.		1,461,465.	<128,754.>
j 204,569.		191,279.	13,290.
k 7,562.		30,048.	<22,486.>
l 61,176.		58,686.	2,490.
m 217,489.		190,027.	27,462.
n 18,516.		16,169.	2,347.
o 53,252.		46,487.	6,765.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<345.>
b			<27,902.>
c			50,073.
d			338,642.
e			<798.>
f			244,573.
g			84,062.
h			13,823.
i			<128,754.>
j			13,290.
k			<22,486.>
l			2,490.
m			27,462.
n			2,347.
o			6,765.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a 400 SHS AUTONOMY CORP PLC		P	04/14/09	11/13/09
b 3100 SHS AUTONOMY CORP PLC		P	04/14/09	11/13/09
c 800 SHS AUTONOMY CORP PLC		P	04/14/09	11/16/09
d 4400 SHS AUTONOMY CORP PLC		P	04/14/09	11/17/09
e 3700 SHS EROS INTERNATIONAL PLC		P	07/13/07	11/16/09
f 2500 SHS AUTONOMY CORP PLC		P	04/14/09	11/17/09
g 6200 SHS AUTONOMY CORP PLC		P	04/14/09	11/17/09
h 3100 SHS EROS INTERNATIONAL PLC		P	07/13/07	11/17/09
i 3600 SHS AUTONOMY CORP PLC		P	04/14/09	11/18/09
j 500 SHS AUTONOMY CORP PLC		P	04/14/09	11/18/09
k 700 SHS EROS INTERNATIONAL PLC		P	07/13/07	11/18/09
l 6100 SHS PURECIRCLE LIMITED		P	08/25/09	11/18/09
m 300 SHS EROS INTERNATIONAL PLC		P	07/13/07	11/19/09
n 2900 SHS EROS INTERNATIONAL PLC		P	07/13/07	11/24/09
o 300 SHS EROS INTERNATIONAL PLC		P	07/13/07	11/24/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,257.		8,085.	1,172.
b 71,636.		62,656.	8,980.
c 19,047.		16,169.	2,878.
d 104,957.		88,932.	16,025.
e 9,777.		38,338.	<28,561.>
f 59,899.		50,529.	9,370.
g 149,487.		125,313.	24,174.
h 8,489.		32,121.	<23,632.>
i 87,815.		72,762.	15,053.
j 12,189.		10,106.	2,083.
k 1,918.		7,253.	<5,335.>
l 20,229.		24,660.	<4,431.>
m 824.		3,108.	<2,284.>
n 6,858.		30,048.	<23,190.>
o 709.		3,108.	<2,399.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			1,172.
b			8,980.
c			2,878.
d			16,025.
e			<28,561.>
f			9,370.
g			24,174.
h			<23,632.>
i			15,053.
j			2,083.
k			<5,335.>
l			<4,431.>
m			<2,284.>
n			<23,190.>
o			<2,399.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3



## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	32500 SHS MEDTRONIC, INC	P	09/29/08	11/30/09
b	600 SHS EROS INTERNATIONAL PLC	P	07/13/07	12/01/09
c	3400 SHS EROS INTERNATIONAL PLC	P	07/13/07	12/01/09
d	1400 SHS EROS INTERNATIONAL PLC	P	07/13/07	12/02/09
e	300 SHS BRIDGEPOINT EDUCATION INC	P	07/16/09	12/02/09
f	100 SHS BRIDGEPOINT EDUCATION INC	P	07/14/09	12/02/09
g	12800 SHS IAMGOLD CORP	P	06/01/06	12/02/09
h	86600 SHS IAMGOLD CORP	P	07/19/07	12/02/09
i	3300 SHS EROS INTERNATIONAL PLC	P	07/13/07	12/03/09
j	39400 SHS IAMGOLD CORP	P	03/06/08	12/03/09
k	300 SHS BRIDGEPOINT EDUCATION INC	P	07/14/09	12/03/09
l	19100 SHS EROS INTERNATIONAL PLC	P	07/13/07	12/08/09
m	10200 SHS AUTONOMY CORP PLC	P	04/14/09	12/18/09
n	2300 SHS BJ SVCS CO	P	02/17/09	12/21/09
o	600 SHS BJ SVCS CO	P	02/17/09	12/21/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,379,001.		1,638,143.	<259,142.>
b 1,389.		6,217.	<4,828.>
c 8,066.		35,229.	<27,163.>
d 3,335.		14,506.	<11,171.>
e 4,525.		4,782.	<257.>
f 1,514.		1,593.	<79.>
g 256,148.		118,800.	137,348.
h 1,737,225.		773,732.	963,493.
i 7,840.		34,193.	<26,353.>
j 766,291.		328,479.	437,812.
k 4,428.		4,780.	<352.>
l 41,237.		178,906.	<137,669.>
m 248,896.		206,160.	42,736.
n 43,201.		24,190.	19,011.
o 11,276.		6,311.	4,965.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<259,142.>
b			<4,828.>
c			<27,163.>
d			<11,171.>
e			<257.>
f			<79.>
g			137,348.
h			963,493.
i			<26,353.>
j			437,812.
k			<352.>
l			<137,669.>
m			42,736.
n			19,011.
o			4,965.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## EDWARD C. JOHNSON FUND

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2000 SHS BJ SVCS CO	P	02/17/09	12/21/09
b	600 SHS BJ SVCS CO	P	02/17/09	12/21/09
c	800 SHS BJ SVCS CO	P	02/17/09	12/21/09
d	300 SHS BJ SVCS CO	P	02/17/09	12/21/09
e	14700 SHS UNITED TECHNOLOGIES CORP	P	12/26/07	12/21/09
f	4500 SHS BJ SVCS CO	P	02/17/09	12/22/09
g	13300 SHS BJ SVCS CO	P	02/17/09	12/22/09
h	FREDDIE MAC LITIGATION SETTLEMENT	P	VARIOUS	07/31/09
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 37,568.		21,035.	16,533.
b 11,271.		6,311.	4,960.
c 15,032.		8,414.	6,618.
d 5,630.		3,155.	2,475.
e 1,019,748.		997,702.	22,046.
f 84,424.		47,329.	37,095.
g 249,293.		139,883.	109,410.
h 943.			943.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			16,533.
b			4,960.
c			6,618.
d			2,475.
e			22,046.
f			37,095.
g			109,410.
h			943.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<1,408,175.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FOREIGN TAXES PAID	<57,599.>	0.	<57,599.>
NATIONAL FINANCIAL SERVICES CORP	105.	0.	105.
STRATEGIC ADVISERS INC	269,015.	0.	269,015.
STRATEGIC ADVISERS INC	1,528.	0.	1,528.
STRATEGIC ADVISERS INC	2,093,202.	0.	2,093,202.
STRATEGIC ADVISERS INC			
61-0000-90-3	984,722.	0.	984,722.
TOTAL TO FM 990-PF, PART I, LN 4	3,290,973.	0.	3,290,973.

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FORM 990-PF	LEGAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SULLIVAN & WORCESTER	18,283.	0.		18,283.
PATTERSON LAW FIRM	5,824.	0.		5,824.
TO FM 990-PF, PG 1, LN 16A	24,107.	0.		24,107.

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PRICewaterhouseCOOPERS,LLP	39,510.	0.		39,510.
TO FORM 990-PF, PG 1, LN 16B	39,510.	0.		39,510.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT	511,709.	511,709.		0.
PROGRAM & GRANT ADMIN	569,311.	0.		569,311.
CONSULTANTS	1,082,081.	0.		1,082,081.
CAPITALIZATION OF CAMP				
BEACH CLIFF RELATED COSTS	<996,317.>	0.		<996,317.>
TO FORM 990-PF, PG 1, LN 16C	1,166,784.	511,709.		655,075.

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FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MAINE R/E TAXES	2,722.	0.		2,722.
TO FORM 990-PF, PG 1, LN 18	2,722.	0.		2,722.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEES	400.	0.		400.
REGISTERED AGENT FEE	199.	0.		199.
OFFICE EQUIP & MAINT				
SERVICES	15,611.	0.		15,611.
CONFERENCE SPONSORSHIPS	15,276.	0.		15,276.
DUES	8,333.	0.		8,333.
INSURANCE	9,633.	0.		9,633.
TO FORM 990-PF, PG 1, LN 23	49,452.	0.		49,452.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PUBLICLY TRADED SECURITIES	246,062,776.	332,268,440.
TOTAL TO FORM 990-PF, PART II, LINE 10B	246,062,776.	332,268,440.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
EDWARD C. JOHNSON 3D 82 DEVONSHIRE STREET BOSTON, MA 02109	DIRECTOR < 5.00	0.	0.	0.
ABIGAIL P. JOHNSON 82 DEVONSHIRE STREET BOSTON, MA 02109	DIRECTOR < 5.00	0.	0.	0.
ELIZABETH L. JOHNSON 82 DEVONSHIRE STREET BOSTON, MA 02109	DIRECTOR < 5.00	0.	0.	0.
EDWARD C. JOHNSON IV 82 DEVONSHIRE STREET BOSTON, MA 02109	DIRECTOR < 5.00	0.	0.	0.
CHARLES L. NICKERSON *	TREASURER < 5.00	11,389.	1,048.	0.
MELANIE S. SOMMER *	SECRETARY < 5.00	26,565.	2,852.	0.
ANNE-MARIE SOULLIERE *	PRESIDENT < 5.00	47,981.	7,655.	0.
THOMAS J. EBERHARDT *	ASST. TREASURER < 5.00	6,795.	1,892.	0.

# EDWARD C. JOHNSON FUND

## SCHEDULE OF INVESTMENTS

December 31, 2009

<u>Number of shares</u>	<u>Marketable equity securities</u>	<u>Cost</u>	<u>Value</u>
22,100	Adobe Systems Inc.	\$ 824,734	\$ 812,838
138,850	Advance Auto Parts Inc.	5,619,503	5,620,648
39,700	Affiliated Computer Services	1,951,847	2,369,693
600	Aflac Inc.	13,895	27,750
86,840	Agnico Eagle Mines Ltd.	4,840,723	4,689,360
105,447	Allscripts-Misys Healthcare Solutions, Inc.	1,199,295	2,133,193
124,565	Anglogold Ashanti Ltd.	4,737,432	5,005,022
47,300	Aspen Pharmacare Holdings Plc	282,669	469,808
122,007	Axa SA	2,563,007	2,889,126
202,625	Barrick Gold Corp.	7,199,783	7,979,373
24,000	BJ Services Co.	252,420	446,400
10,900	Bridgepoint Education Inc.	168,995	163,718
20,300	Campbell Soup Co.	525,409	686,140
51,300	Cerner Corp.	2,141,257	4,229,172
39,900	Chevron Corp.	2,740,590	3,071,901
34,500	China Distance Education Holdings Ltd.	268,200	244,098
41,400	Chubb Corp.	1,701,997	2,036,052
68,200	Cisco Systems Inc.	1,364,835	1,632,708
9,783,917	Colt Telecom Group SA	8,042,650	21,030,325
92,702	Computer Task Group Inc.	600,835	742,543
76,500	Cooper Industries Plc	3,608,446	3,261,960
370,000	Digital China Holdings Ltd.	359,562	495,325
275,800	Ebay Inc.	4,680,987	6,489,574
5,800	Eclipsys Corp.	58,389	107,416
701,266	Eldorado Gold Corp.	6,075,939	9,936,939
100,300	Ensco International Plc	3,471,909	4,005,982
4,200	FedEx Corp.	238,492	350,490
7,700	Fluor Corp.	349,795	346,808
7,500	FPL Group Inc.	368,353	396,150
737,167	Gammon Gold Inc.	5,086,372	8,116,209
73,575	Gemalto NV	1,595,853	3,212,676
271,350	Goldcorp Inc.	6,551,375	10,674,909
4,100	Google Inc.	2,014,576	2,541,918
104,355	Hasbro Inc.	3,199,148	3,345,621
44,200	Honeywell International Inc.	2,627,007	1,732,640
181,900	Iamgold Corp.	1,420,885	2,844,916
54,500	Juniper Networks Inc.	869,497	1,453,515
257,500	Kinross Gold Corp.	4,831,612	4,738,000

# EDWARD C. JOHNSON FUND

## SCHEDULE OF INVESTMENTS

*December 31, 2009*

58,800	Koninklijke Philips Electronics		1,285,806		1,731,072
68,900	Kontron AG	\$	1,267,543	\$	786,166
460,000	Little Sheep Group Ltd.		217,137		255,104
107,189	Longtop Financial Technologies Ltd.		2,090,023		3,968,137
296,200	Marusan Securities		1,161,126		1,610,025
38,100	McDonalds Corp.		2,090,314		2,378,964
33,400	Medtronic, Inc.		1,260,484		1,468,932
117,400	Nabors Industries Ltd.		2,207,774		2,569,886
80,200	Nestle SA		2,678,392		3,894,592
107,300	Netflix Inc.		2,509,359		5,911,157
26,461	Newcrest Mining Ltd.		587,737		839,744
175,840	Newmont Mining Corp.		7,223,285		8,318,990
51,052	Nobel Biocare Holding AG		1,520,615		1,714,799
48,200	Noble Corp.		1,537,081		1,961,740
34,300	Norfolk Southern Corp.		2,088,451		1,798,006
170,000	Old Republic International Corp.		1,757,094		1,706,800
108,600	Oracle Corp.		2,097,761		2,663,958
236,360	Pan American Silver Corp.		4,728,969		5,627,732
7,000	PMC-Sierra Inc.		61,128		60,620
7,500	Powersecure International Inc.		58,698		54,075
41,100	Progressive Corp.		509,024		739,389
60,800	Qiagen N V		1,064,725		1,359,632
37,700	Reinsurance Group America Inc.		1,548,061		1,796,405
7,000	Royal Dutch Shell Plc		430,378		406,910
47,000	Shanda Interactive Entertainment Ltd.		2,399,406		2,472,670
248,515	Silver Standard Resources Inc.		4,841,140		5,435,023
601,090	Silver Wheaton Corp.		4,836,183		9,028,372
30,000	St Joesph Co.		181,243		866,700
50,800	Teva Pharmaceutical Industries Ltd.		2,132,514		2,853,944
28,700	Thermo Fisher Scientific Inc.		1,613,181		1,368,703
20,800	TJX Companies Inc.		598,047		760,240
4,000	Tsutsumi Jewelry Co. Ltd.		96,644		80,352
186,102	Ubi Soft Entertainment SA		3,075,150		2,643,015
32,000	United Technologies Corp.		2,053,960		2,221,120
23,900	Vanceinfo Technologies Inc.		365,449		459,119
136,000	Verizon Communications		4,389,498		4,505,680
24,100	Vestas Wind Systems AS		1,525,351		1,469,724
20,000	Visa Inc.		1,068,882		1,749,200
114,700	Wal Mart Stores Inc.		5,842,616		6,130,715
256,200	Walt Disney Co.	\$	5,620,247	\$	8,262,450

# EDWARD C. JOHNSON FUND

## SCHEDULE OF INVESTMENTS

*December 31, 2009*

31,000	Want Want Chinaholdings Ltd.	13,773	21,750
639,125	Yamana Gold Inc.	5,745,999	7,273,243
<b>Total marketable equity securities</b>		<b>182,828,521</b>	<b>241,555,771</b>
<b><u>Mutual funds</u></b>			
243,208	Fidelity Advisor New Insights	\$ 2,970,115	4,229,383
218,671	Fidelity Contrafund	5,851,829	12,744,153
473,144	Fidelity Diversified International	6,993,531	13,248,033
135,073	Fidelity Growth Company	4,700,033	9,317,360
264,707	Fidelity International Small Cap Fund	6,776,289	4,645,607
376,683	Fidelity Japan Fund	4,989,172	3,812,029
1,347,473	Fidelity Japan Smaller Companies	9,734,808	11,210,973
31,035	Fidelity Magellan Fund	1,755,172	1,996,157
132,055	Fidelity OTC Portfolio	4,342,153	6,037,573
82,816	Fidelity Select Biotechnology	4,000,000	5,423,602
83,207	Fidelity Select Energy	2,116,564	3,673,588
45,438	Fidelity Select Energy Service	959,124	2,641,768
183,512	Fidelity Select Natural Gas	3,474,666	5,786,118
104,431	Fidelity Value Fund	4,570,799	5,946,325
<b>Total mutual funds</b>		<b>63,234,255</b>	<b>90,712,669</b>
<b>Total investments</b>		<b>\$ 246,062,777</b>	<b>\$ 332,268,440</b>



Edward C. Johnson Fund

E.I.N. 04-6108344

Attachment to 2009 Form 990-PF

Part VIII – List of Officers, Directors, Trustees and Foundation Managers

The Foundation has no employees. It is a party to an investment management services agreement with Strategic Advisers, Inc., 82 Devonshire Street, Boston, MA 02109. The Foundation is party to an administrative services agreement with Cooperative Philanthropic Services LLC, 82 Devonshire Street, Boston, MA 02109 which provides the Foundation with the necessary administrative services to conduct its activities. Through these arrangements, Anne-Marie Soulliere, Charles L. Nickerson, Melanie S. Sommer, Richard G. Weidmann and Thomas J. Eberhardt are indirectly compensated for their services devoted to the Foundation.

~ EDWARD C. JOHNSON FUND

04-6108344

RICHARD G. WEIDMANN \*  
82 DEVONSHIRE STREET  
BOSTON, MA 02109

ASST. SECRETARY

< 5.00

707.

178.

0.

\* SEE ATTACHED STATEMENT

0.00

0.

0.

0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

93,437.

13,625.

0.

<b>Payee Name</b>	<b>Payee Address</b>	<b>Amount</b>	<b>Type of Support</b>	<b>Tax Status</b>
Alzheimer Research Forum Foundation	82 Devonshire St Boston, MA 02109	\$350,000 00	Operating Support	Private operating foundation
Bermuda Underwater Exploration Institute	P O Box HM 1745 Hamilton HM GX Bermuda	\$7,312 38	Conservation - Art & Artifacts	Foreign charity with equiv det
Boston Biomedical Research Institute, Inc	64 Grove Street Watertown, MA 02472-2829	\$2,500 00	Operating Support	501(c)(3)
Bourne Conservation Trust	Box 203 Cataumet, MA 02534	\$127,500 00	Land Acquisition	501(c)(3)
Boys and Girls Clubs of Boston	50 Congress Street Suite 730 Boston, MA 02109-4002	\$50,000 00	Annual Support	501(c)(3)
Brookfield Arts Foundation	82 Devonshire St Boston, MA 02109	\$2,322,500 00	Art Acquisition	Private operating foundation
Brookfield Arts Foundation	82 Devonshire St Boston, MA 02109	\$6,725,000 00	Art Acquisition	Private operating foundation
Brookfield Arts Foundation	82 Devonshire St Boston, MA 02109	\$9,677,419 00	Art Acquisition	Private operating foundation
Brookfield Arts Foundation	82 Devonshire St Boston, MA 02109	\$5,915,674 95	Art Acquisition	Private operating foundation
Brookfield Congregational Church	8 Common Street P O Box 457 Brookfield, MA 01506	\$70,000 00	Construction	Church
Camp Beech Cliff	P O Box 381 Mt Desert, ME 04660	\$22,770 00	Consulting	501(c)(3)
Camp Beech Cliff	P O Box 381 Mt Desert, ME 04660	\$25,000 00	Consulting	501(c)(3)
Dharma Sangha	Crestone Mountain Zen Center 2000 East Dreamway P O Box 130 Crestone, CO 81131	\$15,000 00	Consulting	501(c)(3)
Earthwatch Institute	3 Clock Tower Place Suite 100, Box 75 Maynard, MA 01754-0075	\$75,000 00	Research Study	501(c)(3)
Historic New England	Otis House Museum 141 Cambridge Street Boston, MA 02114-2702	\$74,655 00	Art Acquisition	501(c)(3)
Historic New England	Otis House Museum 141 Cambridge Street Boston, MA 02114-2702	\$20,000 00	Art Acquisition	501(c)(3)
Hospice of the North Shore, Inc	75 Sylvan Street Suite B-102 Danvers, MA 01923	\$10,000 00	Memorials	501(c)(3)

<b>Payee Name</b>	<b>Payee Address</b>	<b>Amount</b>	<b>Type of Support</b>	<b>Tax Status</b>
Institute of Contemporary Art	100 Northern Avenue Boston, MA 02210	\$50,000 00	Operating Support	501(c)(3)
Lighthouse Hospice Foundation Inc	529 Main St Suite 101 Charlestown, MA 02129	\$5,000 00	Memorials	501(c)(3)
Maine Coast Heritage Trust	1 Bowdoin Mill Island Suite 201 Topsham, ME 04086	\$175,000 00	Land Acquisition	501(c)(3)
Massachusetts Institute of Technology	Office of Corporate Relations Room E38-500, 292 Main Street Cambridge, MA 02139	\$100,000 00	Conferences	501(c)(3)
Museum of Fine Arts	465 Huntington Avenue Boston, MA 02115-5523	\$600,000 00	Operating Support	501(c)(3)
Museum of Fine Arts	465 Huntington Avenue Boston, MA 02115-5523	\$200,000 00	Art Acquisition	501(c)(3)
Museum of Fine Arts	465 Huntington Avenue Boston, MA 02115-5523	\$415,000 00	Art Acquisition	501(c)(3)
Museum of Fine Arts	465 Huntington Avenue Boston, MA 02115-5523	\$600,000 00	Operating Support	501(c)(3)
Nantucket Dreamland Foundation	P O Box 989 12 Oak Street, Shop A Nantucket, MA 02554	\$25,000 00	Consulting	501(c)(3)
National Dairy Shrine	P O Box 1 Maribel, WI 54227	\$15,000 00	Memorials	501(c)(3)
Ocean Classroom Foundation, Inc	29 McKown Street Boothbay Harbor, ME 04538	\$50,000 00	Construction	501(c)(3)
Park School Corporation	171 Goddard Avenue Brookline, MA 02445	\$25,000 00	Consulting	501(c)(3)
Peabody Essex Museum, Inc	East India Square Salem, MA 01970-3783	\$887,800 00	Art Acquisition	501(c)(3)
Peabody Essex Museum, Inc	East India Square Salem, MA 01970-3783	\$8,500 00	Operating Support	501(c)(3)
Peabody Essex Museum, Inc	East India Square Salem, MA 01970-3783	\$6,000 00	Operating Support	501(c)(3)
Peabody Essex Museum, Inc	East India Square Salem, MA 01970-3783	\$140,788 00	Art Acquisition	501(c)(3)
Peabody Essex Museum, Inc	East India Square Salem, MA 01970-3783	\$121,369 06	Art Acquisition	501(c)(3)
Peabody Essex Museum, Inc	East India Square Salem, MA 01970-3783	\$1,608 40	Conservation - Art & Artifacts	501(c)(3)

Payee Name	Payee Address	Amount	Type of Support	Tax Status
Peabody Essex Museum, Inc	East India Square Salem, MA 01970-3783	\$45,000 00	Art Acquisition	501(c)(3)
Peabody Essex Museum, Inc	East India Square Salem, MA 01970-3783	\$120,000 00	Art Acquisition	501(c)(3)
River Arts of Morrisville Inc	P O Box 829 Morrisville, VT 05661	\$75,000 00	Construction	501(c)(3)
San Francisco Zen Center	300 Page Street San Francisco, CA 94102	\$95,590 00	Consulting	Church
Shady Hill School	178 Coolidge Hill Cambridge, MA 02138-5598	\$40,000 00	Annual Support	501(c)(3)
Shirley-Eustis House Association	33 Shirley Street Roxbury, MA 02119	\$24,750 00	Operating Support	501(c)(3)
The Mount Vernon Ladies Association of the Union	P O Box 110 Mt Vernon, VA 22121	\$331,250 00	Art Acquisition	501(c)(3)
Trustees of Princeton University	P O Box 36 Princeton, NJ 08544	\$210,000 00	Conservation - Art & Artifacts	501(c)(3)
University of Delaware	Office of Graduate and Professional Education 234 Hulihan Hall Newark, DE 19716-1501	\$25,000 00	Scholarships	501(c)(3)
Victoria Mansion	109 Danforth Street Portland, ME 04101	\$5,170 00	Art Acquisition	501(c)(3)
VNA Care Network, Inc	5 Federal Street Danvers, MA 01923	\$50,000 00	Construction	501(c)(3)
World Monuments Fund	95 Madison Avenue New York, NY 10016	\$84,120 00	Consulting	501(c)(3)
Total		\$30,022,276 79		

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Alzheimer Research Forum Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**

\$350,000                      October 27, 2009

(3) **Purpose:** Operating support

(4) **Amount of Grant Spent by Grantee:**                      \$350,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:**      August 19, 2010

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. §53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Alzheimer Research Forum Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**  
\$1,500,000 August 14, 2008

(3) **Purpose:** Operating support

(4) **Amount of Grant Spent by Grantee:** \$1,500,000

(5) **Diversion:**  
To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 12, 2009; June 21, 2010

(7) **Verification:**  
Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. §53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**

January 24, 2007      \$17,000,000.

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$17,000,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** August 21, 2008; May 21, 2009; May 19, 2010

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).



**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**

March 27, 2007      \$9,000,000.

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$9,000,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** August 21, 2008; May 21, 2009;  
May 19, 2010

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**

October 30, 2008      \$6,000,000.

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$6,000,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 2, 2009; May 19, 2010

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**

July 15, 2009 \$2,322,500

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$2,322,500

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 14, 2010

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N # 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**

December 16, 2009     \$5,915,674.95

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$5,915,674.95

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 14, 2010

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**

E.I.N. # 04-6108344

ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**

September 2, 2009      \$6,725,000

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$6,725,000

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 14, 2010

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. # 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Brookfield Arts Foundation  
82 Devonshire Street, Boston, MA 02109

(2) **Date and Amount Paid:**

December 8, 2009      \$9,677,419

(3) **Purpose:** Art acquisition

(4) **Amount of Grant Spent by Grantee:** \$9,677,419

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part of the grant funds have been used for other than their intended purpose.

(6) **Date of Report(s) Received from Grantee:** June 14, 2010

(7) **Verification:**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

**EDWARD C. JOHNSON FUND**  
E.I.N. 04-6108344  
ATTACHMENT TO 2009 FORM 990-PF  
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. 53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) **Grantee:** Bermuda Underwater Exploration Institute  
Pembroke Hall, 42 Crow Lane, Pembroke, HM19 Bermuda

(2) **Date and Amount Paid:**

July 1, 2009      \$7,312.38

(3) **Purpose:** Documents assessment

(4) **Amount of Grant Spent by Grantee:**    \$7,312.38

(5) **Diversion:**

To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

(6) **Date of Report(s) Received from Grantee:**    June 8, 2010

(7) **Verification (Optional and when applicable):**

Edward C. Johnson Fund reviewed the Grant Report but did not undertake any verification of the grantee's report, as there has not been any reason to doubt its accuracy or reliability (Reg. 53.4945-5(c)).

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

<b>Part II</b>	<b>Additional (Not Automatic) 3-Month Extension of Time.</b> Only file the original (no copies needed).	
<b>Type or print</b>  File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	EDWARD C. JOHNSON FUND	04-6108344
	Number, street, and room or suite no. If a P.O. box, see instructions. 82 DEVONSHIRE STREET, NO. F9A3	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. BOSTON, MA 02109	

**Check type of return to be filed** (File a separate application for each return):

- ☐ Form 990   
 ☐ Form 990-EZ   
 ☐ Form 990-T (sec. 401(a) or 408(a) trust)   
 ☐ Form 1041-A   
 ☐ Form 5227   
 ☐ Form 8870  
☐ Form 990-BL   
☒ Form 990-PF   
☐ Form 990-T (trust other than above)   
☐ Form 4720   
☐ Form 6069

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

CHARLES L. NICKERSON

- The books are in the care of ☒ **82 DEVONSHIRE STREET (F9A3) - BOSTON, MA 02109**

Telephone No. ☒ **617-563-6806**

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010**.

5 For calendar year **2009**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

**ADDITIONAL TIME IS REQUIRED IN ORDER TO PREPARE AND FILE A COMPLETE AND ACCURATE RETURN PENDING THE RECEIPT OF EXTERNAL INFORMATION.**

<b>8a</b>	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b>	\$	<b>27,793.</b>
<b>b</b>	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b>	\$	<b>66,966.</b>
<b>c</b>	<b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b>	\$	<b>0.</b>

### Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☐

Title ☐ **CPA**

Date ☐

Form **8868** (Rev 4-2009)