Form **990-PF**
Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

**2009**

**For calendar year 2009, or tax year beginning , 2009, and ending , 20**

G Check all that applies: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: FRANK R PETERS TRUST

A Employer identification number: 04-6012009

Number and street (or P.O. box number if mail is not delivered to street address): P O BOX 1802 | Room/suite

B Telephone number (see page 10 of the instructions): ( ) -

City or town, state, and ZIP code: PROVIDENCE, RI 02901-1802

C If exemption application is pending, check here ▶ ☐
D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 8,207,651.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

| | Line | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check ▶ [X] if the foundation is **not** required to attach Sch. B. | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 214,307. | 212,102. | | STMT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -431,469. | | | |
| | b Gross sales price for all assets on line 6a 4,902,301. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 12,539. | 5,256. | | STMT 5 |
| | 12 **Total.** Add lines 1 through 11 | -204,623. | 217,358. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 70,724. | 28,289. | | 42,434. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) STMT 6 | 974. | 174. | NONE | 800. |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) STMT 7 | 1,977. | 1,834. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) STMT 8 | 140. | 12,613. | | 140. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 73,815. | 42,910. | NONE | 43,374. |
| | 25 Contributions, gifts, grants paid | 444,000. | | | 444,000. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 517,815. | 42,910. | NONE | 487,374. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a **Excess of revenue over expenses and disbursements** | -722,438. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 174,448. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| **Assets** | | | | |
| 1 | Cash - non-interest-bearing | NONE | NONE | |
| 2 | Savings and temporary cash investments | 429,646. | 333,581. | 333,581. |
| 3 | Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ * | | * NONE | |
| | Less: allowance for doubtful accounts ▶ | NONE | NONE | NONE |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10 a | Investments - U.S. and state government obligations (attach schedule) STMT 9 | 1,105,138. | 805,583. | 846,438. |
| b | Investments - corporate stock (attach schedule) STMT 10 | 5,827,791. | 5,914,930. | 6,787,266. |
| c | Investments - corporate bonds (attach schedule) STMT 11 | 400,013. | NONE | NONE |
| 11 | Investments - land, buildings, and equipment basis ▶ NONE | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | NONE | NONE | |
| 12 | Investments - mortgage loans | NONE | NONE | |
| 13 | Investments - other (attach schedule) STMT 12 | 47,500. | 31,250. | 240,366. |
| 14 | Land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | NONE | NONE | NONE |
| 16 | **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 7,810,088. | 7,085,344. | 8,207,651. |
| **Liabilities** | | | | |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | | | |
| **Net Assets or Fund Balances** | | | | |
| | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | | | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]** | | | |
| 27 | Capital stock, trust principal, or current funds | 7,810,088. | 7,056,241. | |
| 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | NONE | 29,103. | |
| 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 7,810,088. | 7,085,344. | |
| 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 7,810,088. | 7,085,344. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 7,810,088. |
| 2 | Enter amount from Part I, line 27a | 2 | -722,438. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 13 | 3 | 1,982. |
| 4 | Add lines 1, 2, and 3 | 4 | 7,089,632. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 14 | 5 | 4,288. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 7,085,344. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | SEE PART IV DETAIL | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | |
|---|---|---|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } | 2 | -431,469. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col. (c)) |
|---|---|---|---|
| 2008 | 432,078. | 8,774,251. | 0.04924386138 |
| 2007 | 435,428. | 9,886,508. | 0.04404264883 |
| 2006 | 436,133. | 9,250,778. | 0.04714554819 |
| 2005 | 448,278. | 8,820,798. | 0.05082057202 |
| 2004 | 430,673. | 8,809,731. | 0.04888605566 |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | 0.24013868608 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.04802773722 |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 7,446,650. |
| 5 Multiply line 4 by line 3 | 5 | 357,646. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,744. |
| 7 Add lines 5 and 6 | 7 | 359,390. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 487,374. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | 1 | 1,744. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 1,744. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 1,744. |
| 6 | Credits/Payments: | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 2,008. | | |
| b | Exempt foreign organizations-tax withheld at source — 6b: NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: 1,345. | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 3,353. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 1,609. |
| 11 | Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 1,609. **Refunded** ▶ | 11 | |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶$ ______ (2) On foundation managers. ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 15 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ PRIVATE BANK TAX SERVICES Telephone no. ▶ (401)278-6858

Located at ▶ PO BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

**5a** During the year did the foundation pay or incur any amount to:

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

**(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

*If "Yes" to 6b, file Form 8870.*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 16 | | 70,724. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 17 | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| SEE STATEMENT 18 | | NONE |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ NONE

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions.<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 7,088,437. |
| b | Average of monthly cash balances | 1b | 471,614. |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 7,560,051. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 7,560,051. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 113,401. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 7,446,650. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 372,333. |

**Part XI** **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 372,333. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 1,744. | | |
| b | Income tax for 2009. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 1,744. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 370,589. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 370,589. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 370,589. |

**Part XII** **Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 487,374. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 487,374. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 1,744. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 485,630. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 370,589. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 403,967. | |
| b Total for prior years 20 07 ,20____,20____ | | NONE | | |
| 3 Excess distributions carryover, if any, to 2009: | | | | |
| a From 2004 . . . . . . NONE | | | | |
| b From 2005 . . . . . . NONE | | | | |
| c From 2006 . . . . . . NONE | | | | |
| d From 2007 . . . . . . NONE | | | | |
| e From 2008 . . . . . . NONE | | | | |
| f Total of lines 3a through e | NONE | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 487,374. | | | | |
| a Applied to 2008, but not more than line 2a | | | 403,967. | |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) | NONE | | | |
| d Applied to 2009 distributable amount | | | | 83,407. |
| e Remaining amount distributed out of corpus | NONE | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).) | NONE | | | NONE |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | NONE | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions | | NONE | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions | | | | |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | | | | 287,182. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | NONE | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | NONE | | | |
| 9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | NONE | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 . . . NONE | | | | |
| b Excess from 2006 . . . NONE | | | | |
| c Excess from 2007 . . . NONE | | | | |
| d Excess from 2008 . . . NONE | | | | |
| e Excess from 2009 . . . NONE | | | | |

**Part XIV** **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| SEE STATEMENT 19 | | | | |
| **Total** . . . . . . ▶ 3a | | | | 444,000. |
| b *Approved for future payment* | | | | |
| **Total** . . . . . . ▶ 3b | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 214,307. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -431,469. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | 1 | 40. | |
| c FEDERAL TAX REFUND | | | 1 | 12,499. | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | -204,623. | |

**13 Total.** Add line 12, columns (b), (d), and (e) . . . 13 -204,623.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | | Yes | No |
|---|---|---|---|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

▶ [signature] | 08/25/2010 | ▶ SVP Tax

Signature of officer or trustee | Date | Title

**Paid Preparer's Use Only**

| Preparer's signature ▶ | Date | Check if self-employed ▶ ☐ | Preparer's identifying number (See Signature on page 30 of the instructions) |
|---|---|---|---|
| Firm's name (or yours if self-employed), address, and ZIP code ▶ | | EIN ▶ | |
| | | Phone no. | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS | | | | | 4,013. | |
| | | TOTAL SHORT-TERM GAIN FROM FORM 6781 | | | | | 1. | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 17,562. | |
| | | TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS | | | | | -964. | |
| | | TOTAL LONG-TERM GAIN FROM FORM 6781 | | | | | 1. | |
| | | 700. CVS CAREMARK CORP COM PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 01/13/2009 |
| 18,182.00 | | 20,103.00 | | | | | -1,921.00 | |
| | | 200. COCA COLA CO COM PROPERTY TYPE: SECURITIES | | | | | 08/11/1970 | 01/13/2009 |
| 8,815.00 | | 297.00 | | | | | 8,518.00 | |
| | | 800. WELLS FARGO COMPANY PROPERTY TYPE: SECURITIES | | | | | 10/20/1995 | 01/13/2009 |
| 19,609.00 | | 6,420.00 | | | | | 13,189.00 | |
| | | 300. NOBLE CORP COM PROPERTY TYPE: SECURITIES | | | | | 05/05/2008 | 01/13/2009 |
| 6,683.00 | | 17,751.00 | | | | | -11,068.00 | |
| | | 200. NOBLE CORP COM PROPERTY TYPE: SECURITIES | | | | | 04/01/2008 | 01/13/2009 |
| 4,455.00 | | 10,076.00 | | | | | -5,621.00 | |
| | | 500. NOBLE CORP COM PROPERTY TYPE: SECURITIES | | | | | 02/12/2008 | 01/13/2009 |
| 11,139.00 | | 23,486.00 | | | | | -12,347.00 | |
| | | 500. BEST BUY INCORPORATED PROPERTY TYPE: SECURITIES | | | | | 05/16/2008 | 01/27/2009 |
| 14,242.00 | | 22,283.00 | | | | | -8,041.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. BEST BUY INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2008 | 01/27/2009 |
| 2,848.00 | | 4,409.00 | | | | | -1,561.00 | |
| | | 300. COCA COLA CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/11/1970 | 01/27/2009 |
| 13,005.00 | | 446.00 | | | | | 12,559.00 | |
| | | 500. PEPSICO INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2001 | 01/27/2009 |
| 25,984.00 | | 22,360.00 | | | | | 3,624.00 | |
| | | 100. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2008 | 01/27/2009 |
| 4,217.00 | | 10,769.00 | | | | | -6,552.00 | |
| | | 100. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2006 | 01/27/2009 |
| 4,217.00 | | 6,259.00 | | | | | -2,042.00 | |
| | | 100. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2006 | 01/27/2009 |
| 4,189.00 | | 6,259.00 | | | | | -2,070.00 | |
| | | 100. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES | | | | | 02/09/2006 | 01/27/2009 |
| 4,189.00 | | 5,951.00 | | | | | -1,762.00 | |
| | | 100000. IBM CORP NT<br>PROPERTY TYPE: SECURITIES | | | | | 03/21/2006 | 02/01/2009 |
| 100,000.00 | | 100,781.00 | | | | | -781.00 | |
| | | 400. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES | | | | | 09/26/2008 | 02/13/2009 |
| 13,805.00 | | 20,303.00 | | | | | -6,498.00 | |
| | | 75. RIO TINTO PLC SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2003 | 02/13/2009 |
| 8,531.00 | | 7,654.00 | | | | | 877.00 | |
| | | 300. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 11/28/2007 | 02/13/2009 |
| 8,925.00 | | 12,442.00 | | | | | -3,517.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1200. INVESCO LTD COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/09/2008 | 02/13/2009 |
| 15,906.00 | | 33,022.00 | | | | | -17,116.00 | |
| | | 400. INVESCO LTD COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/24/2008 | 02/13/2009 |
| 5,302.00 | | 9,602.00 | | | | | -4,300.00 | |
| | | 50. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/10/2007 | 02/26/2009 |
| 1,347.00 | | 2,570.00 | | | | | -1,223.00 | |
| | | 300. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/18/2007 | 02/26/2009 |
| 8,082.00 | | 14,951.00 | | | | | -6,869.00 | |
| | | 50. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/31/2008 | 02/26/2009 |
| 1,347.00 | | 2,299.00 | | | | | -952.00 | |
| | | 700. NOKIA CORPORATION SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/26/2008 | 02/26/2009 |
| 6,725.00 | | 13,594.00 | | | | | -6,869.00 | |
| | | 200. NOKIA CORPORATION SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 01/04/2006 | 02/26/2009 |
| 1,921.00 | | 3,808.00 | | | | | -1,887.00 | |
| | | 500. NOKIA CORPORATION SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2006 | 02/26/2009 |
| 4,803.00 | | 9,075.00 | | | | | -4,272.00 | |
| | | 900. NOKIA CORPORATION SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 02/09/2006 | 02/26/2009 |
| 8,646.00 | | 16,323.00 | | | | | -7,677.00 | |
| | | 300. DEVON ENERGY CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 07/02/2008 | 03/04/2009 |
| 12,901.00 | | 37,143.00 | | | | | -24,242.00 | |
| | | 100. DEVON ENERGY CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 11/19/2008 | 03/04/2009 |
| 4,300.00 | | 6,986.00 | | | | | -2,686.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 200. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/31/2008 | 03/04/2009 |
| 4,587.00 | | 9,197.00 | | | | | -4,610.00 | |
| | | 200. ABBOTT LABORATORIES<br>PROPERTY TYPE: SECURITIES | | | | | 03/26/2007 | 03/06/2009 |
| 9,342.00 | | 11,304.00 | | | | | -1,962.00 | |
| | | 100. DEVON ENERGY CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 11/19/2008 | 03/06/2009 |
| 3,996.00 | | 6,986.00 | | | | | -2,990.00 | |
| | | 100. DEVON ENERGY CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 12/01/2008 | 03/06/2009 |
| 3,996.00 | | 6,817.00 | | | | | -2,821.00 | |
| | | 900. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/03/2008 | 03/06/2009 |
| 16,084.00 | | 24,420.00 | | | | | -8,336.00 | |
| | | 300. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/24/2008 | 03/06/2009 |
| 5,361.00 | | 7,359.00 | | | | | -1,998.00 | |
| | | 300. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/16/2008 | 03/06/2009 |
| 5,361.00 | | 7,177.00 | | | | | -1,816.00 | |
| | | 300. AGCO CORP<br>PROPERTY TYPE: SECURITIES | | | | | 08/26/2008 | 03/11/2009 |
| 5,008.00 | | 18,077.00 | | | | | -13,069.00 | |
| | | 200. BURLINGTON NORTHERN SANTA FE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 08/26/2008 | 03/11/2009 |
| 11,064.00 | | 20,474.00 | | | | | -9,410.00 | |
| | | 200. GENENTECH INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/25/2006 | 03/11/2009 |
| 18,564.00 | | 15,670.00 | | | | | 2,894.00 | |
| | | 200. GENENTECH INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/16/2008 | 03/11/2009 |
| 18,564.00 | | 13,860.00 | | | | | 4,704.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES | | | | | 09/26/2008 | 03/17/2009 |
| 3,373.00 | | 5,076.00 | | | | | -1,703.00 | |
| | | 200. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 03/17/2009 |
| 6,746.00 | | 8,153.00 | | | | | -1,407.00 | |
| | | 250. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/31/2008 | 03/17/2009 |
| 6,543.00 | | 11,497.00 | | | | | -4,954.00 | |
| | | 250. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/18/2006 | 03/17/2009 |
| 6,543.00 | | 10,979.00 | | | | | -4,436.00 | |
| | | 300. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES | | | | | 02/09/2006 | 03/17/2009 |
| 12,541.00 | | 17,852.00 | | | | | -5,311.00 | |
| | | 200. AGCO CORP<br>PROPERTY TYPE: SECURITIES | | | | | 08/26/2008 | 04/02/2009 |
| 4,266.00 | | 12,052.00 | | | | | -7,786.00 | |
| | | 300. AGCO CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/18/2008 | 04/02/2009 |
| 6,400.00 | | 14,363.00 | | | | | -7,963.00 | |
| | | 400. AGCO CORP<br>PROPERTY TYPE: SECURITIES | | | | | 12/16/2008 | 04/02/2009 |
| 8,533.00 | | 8,827.00 | | | | | -294.00 | |
| | | 400. BEST BUY INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2008 | 04/02/2009 |
| 16,368.00 | | 17,635.00 | | | | | -1,267.00 | |
| | | 50. DISNEY WALT CO<br>PROPERTY TYPE: SECURITIES | | | | | 08/14/2007 | 04/02/2009 |
| 960.00 | | 1,609.00 | | | | | -649.00 | |
| | | 350. DISNEY WALT CO<br>PROPERTY TYPE: SECURITIES | | | | | 04/01/2008 | 04/02/2009 |
| 6,717.00 | | 11,167.00 | | | | | -4,450.00 | |

## FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 400. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/1961 | 04/02/2009 |
| 28,193.00 | | 542.00 | | | | | 27,651.00 | |
| | | 400. HEINZ H J CO<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 04/02/2009 |
| 13,666.00 | | 16,305.00 | | | | | -2,639.00 | |
| | | 100. PRAXAIR INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2006 | 04/02/2009 |
| 7,029.00 | | 5,286.00 | | | | | 1,743.00 | |
| | | 200. BURLINGTON NORTHERN SANTA FE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 08/26/2008 | 04/08/2009 |
| 12,557.00 | | 20,474.00 | | | | | -7,917.00 | |
| | | 200. BURLINGTON NORTHERN SANTA FE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/26/2008 | 04/08/2009 |
| 12,557.00 | | 19,500.00 | | | | | -6,943.00 | |
| | | 300. CISCO SYSTEMS INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2006 | 04/08/2009 |
| 5,141.00 | | 6,011.00 | | | | | -870.00 | |
| | | 1000. CISCO SYSTEMS INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/1997 | 04/08/2009 |
| 17,137.00 | | 6,333.00 | | | | | 10,804.00 | |
| | | 300. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/1961 | 04/08/2009 |
| 20,699.00 | | 407.00 | | | | | 20,292.00 | |
| | | 300. HARTFORD FINANCIAL SVCS GRP<br>PROPERTY TYPE: SECURITIES | | | | | 06/08/2004 | 04/08/2009 |
| 2,933.00 | | 20,001.00 | | | | | -17,068.00 | |
| | | 200. HARTFORD FINANCIAL SVCS GRP<br>PROPERTY TYPE: SECURITIES | | | | | 09/29/2004 | 04/08/2009 |
| 1,955.00 | | 12,278.00 | | | | | -10,323.00 | |
| | | 400. HARTFORD FINANCIAL SVCS GRP<br>PROPERTY TYPE: SECURITIES | | | | | 09/26/2008 | 04/08/2009 |
| 3,911.00 | | 21,986.00 | | | | | -18,075.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1200. HARTFORD FINANCIAL SVCS GRP<br>PROPERTY TYPE: SECURITIES | | | | | 10/06/2008 | 04/08/2009 |
| 11,733.00 | | 36,678.00 | | | | | -24,945.00 | |
| | | 1200. HARTFORD FINANCIAL SVCS GRP<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 04/08/2009 |
| 11,733.00 | | 21,890.00 | | | | | -10,157.00 | |
| | | 350. PEPSICO INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2001 | 04/08/2009 |
| 18,207.00 | | 15,652.00 | | | | | 2,555.00 | |
| | | 300000. UNITED STATES TREAS NT DTD 05/15<br>PROPERTY TYPE: SECURITIES | | | | | 08/03/2006 | 05/15/2009 |
| 300,000.00 | | 299,555.00 | | | | | 445.00 | |
| | | 100. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/05/2007 | 07/10/2009 |
| 7,774.00 | | 8,433.00 | | | | | -659.00 | |
| | | 100. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/02/2007 | 07/10/2009 |
| 7,774.00 | | 7,877.00 | | | | | -103.00 | |
| | | 400. AMGEN INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/26/2008 | 07/10/2009 |
| 23,054.00 | | 24,376.00 | | | | | -1,322.00 | |
| | | 100. APACHE CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2009 | 07/10/2009 |
| 6,787.00 | | 7,687.00 | | | | | -900.00 | |
| | | 300. AUTODESK INC (DEL)<br>PROPERTY TYPE: SECURITIES | | | | | 02/07/2008 | 07/10/2009 |
| 5,329.00 | | 11,561.00 | | | | | -6,232.00 | |
| | | 300. AUTODESK INC (DEL)<br>PROPERTY TYPE: SECURITIES | | | | | 09/15/2006 | 07/10/2009 |
| 5,329.00 | | 10,803.00 | | | | | -5,474.00 | |
| | | 200. AVON PRODUCTS INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2008 | 07/10/2009 |
| 5,003.00 | | 7,736.00 | | | | | -2,733.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. CIGNA CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2009 | 07/10/2009 |
| 2,392.00 | | 1,528.00 | | | | | 864.00 | |
| | | 1400. CISCO SYSTEMS INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/1997 | 07/10/2009 |
| 25,584.00 | | 8,867.00 | | | | | 16,717.00 | |
| | | 300. COCA COLA CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/11/1970 | 07/10/2009 |
| 14,462.00 | | 446.00 | | | | | 14,016.00 | |
| | | 856.898 COLUMBIA ACORN SELECT FUND CLASS<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2006 | 07/10/2009 |
| 13,993.00 | | 20,000.00 | | | | | -6,007.00 | |
| | | 1406.47 COLUMBIA ACORN SELECT FUND CLASS<br>PROPERTY TYPE: SECURITIES | | | | | 08/23/2005 | 07/10/2009 |
| 22,968.00 | | 30,000.00 | | | | | -7,032.00 | |
| | | 1211.387 COLUMBIA MULTI-ADVISOR INT'L EQ<br>PROPERTY TYPE: SECURITIES | | | | | 03/29/2006 | 07/10/2009 |
| 11,399.00 | | 20,000.00 | | | | | -8,601.00 | |
| | | 2428.658 COLUMBIA MULTI-ADVISOR INT'L EQ<br>PROPERTY TYPE: SECURITIES | | | | | 03/21/2006 | 07/10/2009 |
| 22,854.00 | | 40,000.00 | | | | | -17,146.00 | |
| | | 2092.05 COLUMBIA MULTI-ADVISOR INT'L EQU<br>PROPERTY TYPE: SECURITIES | | | | | 11/09/2005 | 07/10/2009 |
| 19,686.00 | | 30,000.00 | | | | | -10,314.00 | |
| | | 1768.034 COLUMBIA MULTI-ADVISOR INT'L EQ<br>PROPERTY TYPE: SECURITIES | | | | | 10/12/2005 | 07/10/2009 |
| 16,637.00 | | 25,000.00 | | | | | -8,363.00 | |
| | | 3551.136 COLUMBIA MULTI-ADVISOR INT'L EQ<br>PROPERTY TYPE: SECURITIES | | | | | 08/23/2005 | 07/10/2009 |
| 33,416.00 | | 50,000.00 | | | | | -16,584.00 | |
| | | 236.514 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2004 | 07/10/2009 |
| 1,958.00 | | 3,702.00 | | | | | -1,744.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 323.491 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 06/26/2007 | 07/10/2009 |
| 2,679.00 | | 5,024.00 | | | | | -2,345.00 | |
| | | 73.806 COLUMBIA MID CAP VALUE FUND CLASS<br>PROPERTY TYPE: SECURITIES | | | | | 06/26/2007 | 07/10/2009 |
| 611.00 | | 1,146.00 | | | | | -535.00 | |
| | | 668.449 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 03/21/2006 | 07/10/2009 |
| 5,535.00 | | 10,000.00 | | | | | -4,465.00 | |
| | | 1348.378 COLUMBIA MID CAP VALUE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 08/31/2004 | 07/10/2009 |
| 11,165.00 | | 20,000.00 | | | | | -8,835.00 | |
| | | 130.091 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 12/12/2007 | 07/10/2009 |
| 1,077.00 | | 1,920.00 | | | | | -843.00 | |
| | | 310.497 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2006 | 07/10/2009 |
| 2,571.00 | | 4,552.00 | | | | | -1,981.00 | |
| | | 65.969 COLUMBIA MID CAP VALUE FUND CLASS<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2006 | 07/10/2009 |
| 546.00 | | 967.00 | | | | | -421.00 | |
| | | 72.247 COLUMBIA MID CAP VALUE FUND CLASS<br>PROPERTY TYPE: SECURITIES | | | | | 12/14/2005 | 07/10/2009 |
| 598.00 | | 1,019.00 | | | | | -421.00 | |
| | | 203.039 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 12/14/2005 | 07/10/2009 |
| 1,681.00 | | 2,865.00 | | | | | -1,184.00 | |
| | | 1231.213 COLUMBIA MID CAP VALUE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 09/22/2005 | 07/10/2009 |
| 10,194.00 | | 17,011.00 | | | | | -6,817.00 | |
| | | 144.508 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 09/22/2005 | 07/10/2009 |
| 1,197.00 | | 1,997.00 | | | | | -800.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 5498.534 COLUMBIA MID CAP VALUE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 04/16/2008 | 07/10/2009 |
| 45,528.00 | | 75,000.00 | | | | | -29,472.00 | |
| | | 136.698 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 06/27/2006 | 07/10/2009 |
| 1,132.00 | | 1,839.00 | | | | | -707.00 | |
| | | 404.071 COLUMBIA MID CAP VALUE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 06/27/2006 | 07/10/2009 |
| 3,346.00 | | 5,435.00 | | | | | -2,089.00 | |
| | | 4362.707 COLUMBIA MID CAP VALUE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 10/23/2002 | 07/10/2009 |
| 36,123.00 | | 50,000.00 | | | | | -13,877.00 | |
| | | 5823.627 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES | | | | | 04/18/2007 | 07/10/2009 |
| 31,506.00 | | 34,990.00 | | | | | -3,484.00 | |
| | | 2781.502 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES | | | | | 05/08/2007 | 07/10/2009 |
| 15,048.00 | | 16,684.00 | | | | | -1,636.00 | |
| | | 751.88 COLUMBIA MID CAP GROWTH FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 05/01/2006 | 07/10/2009 |
| 11,421.00 | | 20,000.00 | | | | | -8,579.00 | |
| | | 761.905 COLUMBIA MID CAP GROWTH FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 03/29/2006 | 07/10/2009 |
| 11,573.00 | | 20,000.00 | | | | | -8,427.00 | |
| | | 388.954 COLUMBIA MID CAP GROWTH FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 03/21/2006 | 07/10/2009 |
| 5,908.00 | | 10,000.00 | | | | | -4,092.00 | |
| | | 1568.627 COLUMBIA MID CAP GROWTH FUND CL<br>PROPERTY TYPE: SECURITIES | | | | | 05/17/2006 | 07/10/2009 |
| 23,827.00 | | 40,000.00 | | | | | -16,173.00 | |
| | | 2468.12 COLUMBIA MID CAP GROWTH FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 01/04/2006 | 07/10/2009 |
| 37,491.00 | | 60,000.00 | | | | | -22,509.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 2605.387 COLUMBIA CONSERVATIVE HIGH YIEL<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2002 | 07/10/2009 |
| 17,691.00 | | 22,901.00 | | | | | -5,210.00 | |
| | | 11467.89 COLUMBIA CONSERVATIVE HIGH YIEL<br>PROPERTY TYPE: SECURITIES | | | | | 02/25/2002 | 07/10/2009 |
| 77,867.00 | | 100,000.00 | | | | | -22,133.00 | |
| | | 11507.48 COLUMBIA CONSERVATIVE HIGH YIEL<br>PROPERTY TYPE: SECURITIES | | | | | 05/02/2003 | 07/10/2009 |
| 78,136.00 | | 100,000.00 | | | | | -21,864.00 | |
| | | 5973.716 COLUMBIA CONSERVATIVE HIGH YIEL<br>PROPERTY TYPE: SECURITIES | | | | | 05/17/2004 | 07/10/2009 |
| 40,562.00 | | 50,000.00 | | | | | -9,438.00 | |
| | | 973.032 COLUMBIA SMALL CAP CORE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 12/11/2006 | 07/10/2009 |
| 9,399.00 | | 18,731.00 | | | | | -9,332.00 | |
| | | 80.368 COLUMBIA SMALL CAP CORE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 12/11/2006 | 07/10/2009 |
| 776.00 | | 1,547.00 | | | | | -771.00 | |
| | | 102.589 COLUMBIA SMALL CAP CORE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 12/12/2005 | 07/10/2009 |
| 991.00 | | 1,920.00 | | | | | -929.00 | |
| | | 576.186 COLUMBIA SMALL CAP CORE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 12/12/2005 | 07/10/2009 |
| 5,566.00 | | 10,786.00 | | | | | -5,220.00 | |
| | | 489.968 COLUMBIA SMALL CAP CORE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2004 | 07/10/2009 |
| 4,733.00 | | 8,927.00 | | | | | -4,194.00 | |
| | | 227.544 COLUMBIA SMALL CAP CORE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 12/16/2003 | 07/10/2009 |
| 2,198.00 | | 3,777.00 | | | | | -1,579.00 | |
| | | 159.84 COLUMBIA SMALL CAP CORE FUND CLAS<br>PROPERTY TYPE: SECURITIES | | | | | 12/10/2007 | 07/10/2009 |
| 1,544.00 | | 2,564.00 | | | | | -1,020.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 2157.304 COLUMBIA SMALL CAP CORE FUND CL<br>PROPERTY TYPE: SECURITIES | | | | | 12/10/2007 | 07/10/2009 |
| 20,840.00 | | 34,603.00 | | | | | -13,763.00 | |
| | | 1363.327 COLUMBIA SMALL CAP CORE FUND CL<br>PROPERTY TYPE: SECURITIES | | | | | 08/07/2003 | 07/10/2009 |
| 13,170.00 | | 20,000.00 | | | | | -6,830.00 | |
| | | 2209.131 COLUMBIA SMALL CAP CORE FUND CL<br>PROPERTY TYPE: SECURITIES | | | | | 05/15/2003 | 07/10/2009 |
| 21,340.00 | | 30,000.00 | | | | | -8,660.00 | |
| | | 202.816 COLUMBIA SMALL CAP CORE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 12/13/2002 | 07/10/2009 |
| 1,959.00 | | 2,584.00 | | | | | -625.00 | |
| | | 3993.61 COLUMBIA SMALL CAP CORE FUND CLA<br>PROPERTY TYPE: SECURITIES | | | | | 10/22/2002 | 07/10/2009 |
| 38,578.00 | | 50,000.00 | | | | | -11,422.00 | |
| | | 1599.909 COLUMBIA SMALL CAP CORE FUND CL<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2008 | 07/10/2009 |
| 15,455.00 | | 14,367.00 | | | | | 1,088.00 | |
| | | 100. DUN & BRADSTREET CORP DEL NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/16/2008 | 07/10/2009 |
| 7,823.00 | | 9,206.00 | | | | | -1,383.00 | |
| | | 200. DUN & BRADSTREET CORP DEL NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/20/2007 | 07/10/2009 |
| 15,647.00 | | 17,918.00 | | | | | -2,271.00 | |
| | | 100. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/22/2007 | 07/10/2009 |
| 7,265.00 | | 10,089.00 | | | | | -2,824.00 | |
| | | 500. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/18/2006 | 07/10/2009 |
| 36,327.00 | | 45,383.00 | | | | | -9,056.00 | |
| | | 100. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2008 | 07/10/2009 |
| 4,852.00 | | 7,999.00 | | | | | -3,147.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 900. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/1961 | 07/10/2009 |
| 58,567.00 | | 1,220.00 | | | | | 57,347.00 | |
| | | 400. GENERAL ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2008 | 07/10/2009 |
| 4,274.00 | | 11,640.00 | | | | | -7,366.00 | |
| | | 100. GILEAD SCIENCES INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/03/2008 | 07/10/2009 |
| 4,444.00 | | 5,547.00 | | | | | -1,103.00 | |
| | | 100. HEWLETT PACKARD COMPANY<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2007 | 07/10/2009 |
| 3,711.00 | | 4,218.00 | | | | | -507.00 | |
| | | 100. INTERNATIONAL BUSINESS MACHS<br>PROPERTY TYPE: SECURITIES | | | | | 12/19/2007 | 07/10/2009 |
| 10,041.00 | | 10,607.00 | | | | | -566.00 | |
| | | 100. INTERNATIONAL BUSINESS MACHS<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2007 | 07/10/2009 |
| 10,041.00 | | 9,848.00 | | | | | 193.00 | |
| | | 100. INTERNATIONAL BUSINESS MACHS<br>PROPERTY TYPE: SECURITIES | | | | | 03/21/2006 | 07/10/2009 |
| 10,041.00 | | 8,492.00 | | | | | 1,549.00 | |
| | | 200. J P MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2007 | 07/10/2009 |
| 6,459.00 | | 10,498.00 | | | | | -4,039.00 | |
| | | 300. JOHNSON & JOHNSON<br>PROPERTY TYPE: SECURITIES | | | | | 08/12/2008 | 07/10/2009 |
| 17,014.00 | | 21,494.00 | | | | | -4,480.00 | |
| | | 200. JOHNSON & JOHNSON<br>PROPERTY TYPE: SECURITIES | | | | | 09/26/2008 | 07/10/2009 |
| 11,343.00 | | 13,846.00 | | | | | -2,503.00 | |
| | | 200. KIMBERLY CLARK CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/12/2008 | 07/10/2009 |
| 10,373.00 | | 12,413.00 | | | | | -2,040.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. LABORATORY CORP AMER HLDGS COM NEW | | | | | 12/18/2006 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 6,605.00 | | 7,235.00 | | | | | -630.00 | |
| | | 200. LOCKHEED MARTIN CORPORATION | | | | | 10/10/2008 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 15,595.00 | | 17,285.00 | | | | | -1,690.00 | |
| | | 300. MCKESSON HBOC INC | | | | | 01/13/2009 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,971.00 | | 12,559.00 | | | | | 412.00 | |
| | | 200. MCKESSON HBOC INC | | | | | 12/16/2008 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 8,647.00 | | 7,515.00 | | | | | 1,132.00 | |
| | | 300. MERCK & CO INC COM | | | | | 12/18/2006 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 7,912.00 | | 13,175.00 | | | | | -5,263.00 | |
| | | 1100. METLIFE INC | | | | | 12/01/2008 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 29,947.00 | | 29,354.00 | | | | | 593.00 | |
| | | 200. METLIFE INC | | | | | 03/11/2009 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 5,445.00 | | 2,910.00 | | | | | 2,535.00 | |
| | | 200. MICROSOFT CORPORATION | | | | | 09/05/2007 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,471.00 | | 5,714.00 | | | | | -1,243.00 | |
| | | 200. MONSANTO CO NEW COM | | | | | 09/15/2006 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 14,183.00 | | 8,846.00 | | | | | 5,337.00 | |
| | | 1100. NEWS CORP CL A | | | | | 12/19/2007 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 8,916.00 | | 22,424.00 | | | | | -13,508.00 | |
| | | 600. NEWS CORP CL A | | | | | 01/16/2008 | 07/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,863.00 | | 11,728.00 | | | | | -6,865.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 500. NEWS CORP CL A<br>PROPERTY TYPE: SECURITIES | | | | | 04/01/2008 | 07/10/2009 |
| 4,053.00 | | 9,729.00 | | | | | -5,676.00 | |
| | | 700. NEWS CORP CL A<br>PROPERTY TYPE: SECURITIES | | | | | 05/16/2008 | 07/10/2009 |
| 5,674.00 | | 13,405.00 | | | | | -7,731.00 | |
| | | 400. NIKE INC CL B<br>PROPERTY TYPE: SECURITIES | | | | | 08/26/2008 | 07/10/2009 |
| 20,510.00 | | 23,838.00 | | | | | -3,328.00 | |
| | | 100. OCCIDENTAL PETROLEUM CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2009 | 07/10/2009 |
| 6,066.00 | | 5,592.00 | | | | | 474.00 | |
| | | 100. PHILIP MORRIS INTL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2009 | 07/10/2009 |
| 4,226.00 | | 4,231.00 | | | | | -5.00 | |
| | | 100. PRAXAIR INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2006 | 07/10/2009 |
| 6,897.00 | | 5,286.00 | | | | | 1,611.00 | |
| | | 300. SOUTHERN CO<br>PROPERTY TYPE: SECURITIES | | | | | 09/18/2008 | 07/10/2009 |
| 9,202.00 | | 11,669.00 | | | | | -2,467.00 | |
| | | 100. SOUTHERN CO<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 07/10/2009 |
| 3,067.00 | | 3,111.00 | | | | | -44.00 | |
| | | 100. SOUTHWESTERN ENERGY CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/17/2009 | 07/10/2009 |
| 3,669.00 | | 2,994.00 | | | | | 675.00 | |
| | | 500. STAPLES INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 08/26/2008 | 07/10/2009 |
| 9,657.00 | | 12,008.00 | | | | | -2,351.00 | |
| | | 100. STATE STREET CORP<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2007 | 07/10/2009 |
| 4,320.00 | | 6,862.00 | | | | | -2,542.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 200. TEVA PHARMACEUTICAL INDS LTD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 03/04/2009 | 07/10/2009 |
| 9,691.00 | | 8,787.00 | | | | | 904.00 | |
| | | 200. TEVA PHARMACEUTICAL INDS LTD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2009 | 07/10/2009 |
| 9,691.00 | | 8,617.00 | | | | | 1,074.00 | |
| | | 300. TEXAS INSTRS INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/05/2007 | 07/10/2009 |
| 6,128.00 | | 10,558.00 | | | | | -4,430.00 | |
| | | 200. US BANCORP DEL COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 03/26/2007 | 07/10/2009 |
| 3,313.00 | | 7,184.00 | | | | | -3,871.00 | |
| | | 100. US BANCORP DEL COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 10/12/2005 | 07/10/2009 |
| 1,657.00 | | 2,785.00 | | | | | -1,128.00 | |
| | | 400. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 11/28/2007 | 07/10/2009 |
| 11,391.00 | | 16,590.00 | | | | | -5,199.00 | |
| | | 200. WASTE MANAGEMENT INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/12/2008 | 07/10/2009 |
| 5,356.00 | | 7,076.00 | | | | | -1,720.00 | |
| | | 600. WASTE MANAGEMENT INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/21/2005 | 07/10/2009 |
| 16,069.00 | | 17,328.00 | | | | | -1,259.00 | |
| | | 200000. CITIGROUP INC GLOBAL NT 4.25% 7/<br>PROPERTY TYPE: SECURITIES | | | | | 07/21/2005 | 07/29/2009 |
| 200,000.00 | | 198,720.00 | | | | | 1,280.00 | |
| | | 2218.498 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES | | | | | 05/08/2007 | 07/30/2009 |
| 12,202.00 | | 13,307.00 | | | | | -1,105.00 | |
| | | 16722.408 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES | | | | | 12/20/2006 | 07/30/2009 |
| 91,973.00 | | 99,971.00 | | | | | -7,998.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 3355.705 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2007 | 07/30/2009 |
| 18,456.00 | | 19,994.00 | | | | | -1,538.00 | |
| | | 3185.813 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2007 | 07/30/2009 |
| 17,522.00 | | 18,918.00 | | | | | -1,396.00 | |
| | | 3190.607 COLUMBIA INTERNATIONAL STOCK FU<br>PROPERTY TYPE: SECURITIES | | | | | 01/23/2008 | 07/30/2009 |
| 33,852.00 | | 47,827.00 | | | | | -13,975.00 | |
| | | 2881.711 COLUMBIA INTERNATIONAL STOCK FU<br>PROPERTY TYPE: SECURITIES | | | | | 09/29/2004 | 07/30/2009 |
| 30,575.00 | | 38,384.00 | | | | | -7,809.00 | |
| | | 1540.94 COLUMBIA INTERNATIONAL STOCK FUN<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2003 | 07/30/2009 |
| 16,349.00 | | 19,662.00 | | | | | -3,313.00 | |
| | | 100. THERMO ELECTRON CORP<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2008 | 07/30/2009 |
| 4,573.00 | | 5,796.00 | | | | | -1,223.00 | |
| | | 6670.302 AGGREGATE BOND CTF<br>PROPERTY TYPE: SECURITIES | | | | | 06/08/2001 | 07/31/2009 |
| 102,862.00 | | 105,961.00 | | | | | -3,099.00 | |
| | | 3070.459 AGGREGATE BOND CTF<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 07/31/2009 |
| 47,349.00 | | 47,009.00 | | | | | 340.00 | |
| | | 9954.012 GOVERNMENT CREDIT CTF<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 07/31/2009 |
| 72,888.00 | | 72,058.00 | | | | | 830.00 | |
| | | 200. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/01/2008 | 08/14/2009 |
| 5,065.00 | | 7,877.00 | | | | | -2,812.00 | |
| | | 200. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/02/2007 | 08/14/2009 |
| 16,695.00 | | 15,754.00 | | | | | 941.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/14/2007 | 08/14/2009 |
| 8,347.00 | | 7,353.00 | | | | | 994.00 | |
| | | 100. AMGEN INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/26/2008 | 08/14/2009 |
| 6,066.00 | | 6,094.00 | | | | | -28.00 | |
| | | 100. AVON PRODUCTS INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2008 | 08/14/2009 |
| 3,217.00 | | 3,868.00 | | | | | -651.00 | |
| | | 5231.695 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2007 | 08/14/2009 |
| 29,088.00 | | 31,067.00 | | | | | -1,979.00 | |
| | | 8503.401 COLUMBIA STRATEGIC INCOME FUND<br>PROPERTY TYPE: SECURITIES | | | | | 04/24/2008 | 08/14/2009 |
| 47,279.00 | | 49,985.00 | | | | | -2,706.00 | |
| | | 2377.555 COLUMBIA INTERNATIONAL STOCK FU<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2003 | 08/14/2009 |
| 26,034.00 | | 30,338.00 | | | | | -4,304.00 | |
| | | 4006.41 COLUMBIA INTERNATIONAL STOCK FUN<br>PROPERTY TYPE: SECURITIES | | | | | 11/05/2003 | 08/14/2009 |
| 43,870.00 | | 50,000.00 | | | | | -6,130.00 | |
| | | 17196.905 COLUMBIA INTERNATIONAL STOCK F<br>PROPERTY TYPE: SECURITIES | | | | | 09/04/2003 | 08/14/2009 |
| 188,306.00 | | 197,542.00 | | | | | -9,236.00 | |
| | | 1505.936 COLUMBIA INTERNATIONAL STOCK FU<br>PROPERTY TYPE: SECURITIES | | | | | 12/18/2008 | 08/14/2009 |
| 16,490.00 | | 13,192.00 | | | | | 3,298.00 | |
| | | 4674.559 AGGREGATE BOND CTF<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/14/2009 |
| 72,293.00 | | 71,563.00 | | | | | 730.00 | |
| | | 200. CORNING INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2009 | 08/14/2009 |
| 3,157.00 | | 2,983.00 | | | | | 174.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/1961 | 08/14/2009 |
| 6,793.00 | | 136.00 | | | | | 6,657.00 | |
| | | 100. FLOWSERVE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 08/14/2009 |
| 8,620.00 | | 6,301.00 | | | | | 2,319.00 | |
| | | 500. GENERAL ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 08/07/2003 | 08/14/2009 |
| 6,927.00 | | 13,975.00 | | | | | -7,048.00 | |
| | | 600. GENERAL ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 09/18/2008 | 08/14/2009 |
| 8,313.00 | | 13,427.00 | | | | | -5,114.00 | |
| | | 1100. GENERAL ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 04/02/2009 | 08/14/2009 |
| 15,240.00 | | 11,924.00 | | | | | 3,316.00 | |
| | | 700. GENERAL ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2009 | 08/14/2009 |
| 9,698.00 | | 6,001.00 | | | | | 3,697.00 | |
| | | 100. GOLDMAN SACHS GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/09/2008 | 08/14/2009 |
| 16,237.00 | | 18,765.00 | | | | | -2,528.00 | |
| | | 1800. HARTFORD FINANCIAL SVCS GRP<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 08/14/2009 |
| 34,866.00 | | 18,891.00 | | | | | 15,975.00 | |
| | | 100. HESS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2008 | 08/14/2009 |
| 5,094.00 | | 9,063.00 | | | | | -3,969.00 | |
| | | 100. HEWLETT PACKARD COMPANY<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2007 | 08/14/2009 |
| 4,394.00 | | 4,218.00 | | | | | 176.00 | |
| | | 100. J P MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2007 | 08/14/2009 |
| 4,232.00 | | 5,249.00 | | | | | -1,017.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 200. LOWES COMPANIES INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 03/06/2009 | 08/14/2009 |
| 4,537.00 | | 2,686.00 | | | | | 1,851.00 | |
| | | 200. MICROSOFT CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 09/05/2007 | 08/14/2009 |
| 4,721.00 | | 5,714.00 | | | | | -993.00 | |
| | | 100. PNC BK CORP<br>PROPERTY TYPE: SECURITIES | | | | | 10/24/2008 | 08/14/2009 |
| 4,176.00 | | 5,908.00 | | | | | -1,732.00 | |
| | | 100. PHILIP MORRIS INTL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2009 | 08/14/2009 |
| 4,646.00 | | 4,231.00 | | | | | 415.00 | |
| | | 100. PRAXAIR INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2006 | 08/14/2009 |
| 7,545.00 | | 5,286.00 | | | | | 2,259.00 | |
| | | 200. US BANCORP DEL COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 10/12/2005 | 08/14/2009 |
| 4,477.00 | | 5,570.00 | | | | | -1,093.00 | |
| | | 700. US BANCORP DEL COM NEW<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2002 | 08/14/2009 |
| 15,671.00 | | 11,854.00 | | | | | 3,817.00 | |
| | | 100. UNITED TECHNOLOGIES CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2008 | 08/14/2009 |
| 5,704.00 | | 6,916.00 | | | | | -1,212.00 | |
| | | 700. WAL-MART STORES INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 06/03/2008 | 08/14/2009 |
| 36,179.00 | | 40,599.00 | | | | | -4,420.00 | |
| | | 9830.458 GOVERNMENT CREDIT CTF<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 08/14/2009 |
| 72,211.00 | | 71,125.00 | | | | | 1,086.00 | |
| | | 100. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/03/2004 | 11/24/2009 |
| 2,704.00 | | 2,590.00 | | | | | 114.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2006 | 11/24/2009 |
| 2,704.00 | | 2,584.00 | | | | | 120.00 | |
| | | 100. ADOBE SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/16/2008 | 11/24/2009 |
| 3,590.00 | | 3,802.00 | | | | | -212.00 | |
| | | 700. ADOBE SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/07/2008 | 11/24/2009 |
| 25,127.00 | | 22,780.00 | | | | | 2,347.00 | |
| | | 200. ADOBE SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 7,179.00 | | 5,521.00 | | | | | 1,658.00 | |
| | | 100. APACHE CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2009 | 11/24/2009 |
| 9,706.00 | | 7,687.00 | | | | | 2,019.00 | |
| | | 150. AUTODESK INC (DEL)<br>PROPERTY TYPE: SECURITIES | | | | | 09/15/2006 | 11/24/2009 |
| 3,574.00 | | 5,401.00 | | | | | -1,827.00 | |
| | | 400. AUTODESK INC (DEL)<br>PROPERTY TYPE: SECURITIES | | | | | 12/16/2008 | 11/24/2009 |
| 9,530.00 | | 7,396.00 | | | | | 2,134.00 | |
| | | 300. AVON PRODUCTS INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2008 | 11/24/2009 |
| 10,566.00 | | 11,604.00 | | | | | -1,038.00 | |
| | | 500. AVON PRODUCTS INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/31/2008 | 11/24/2009 |
| 17,610.00 | | 17,447.00 | | | | | 163.00 | |
| | | 1200. CIGNA CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2009 | 11/24/2009 |
| 39,257.00 | | 18,341.00 | | | | | 20,916.00 | |
| | | 600. CIGNA CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 03/06/2009 | 11/24/2009 |
| 19,628.00 | | 8,034.00 | | | | | 11,594.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 8.972 COLUMBIA ACORN FUND CLASS Z SHARES<br>PROPERTY TYPE: SECURITIES | | | | | 06/05/2007 | 11/24/2009 |
| 212.00 | | 298.00 | | | | | -86.00 | |
| | | 66.109 COLUMBIA ACORN FUND CLASS Z SHARE<br>PROPERTY TYPE: SECURITIES | | | | | 06/05/2007 | 11/24/2009 |
| 1,561.00 | | 2,198.00 | | | | | -637.00 | |
| | | 367.441 COLUMBIA ACORN FUND CLASS Z SHAR<br>PROPERTY TYPE: SECURITIES | | | | | 12/08/2006 | 11/24/2009 |
| 8,675.00 | | 10,957.00 | | | | | -2,282.00 | |
| | | 349.518 COLUMBIA ACORN INTERNATIONAL FUN<br>PROPERTY TYPE: SECURITIES | | | | | 01/08/2008 | 11/24/2009 |
| 11,737.00 | | 14,697.00 | | | | | -2,960.00 | |
| | | 1300. CORNING INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2009 | 11/24/2009 |
| 21,417.00 | | 19,392.00 | | | | | 2,025.00 | |
| | | 800. CORNING INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 13,180.00 | | 11,828.00 | | | | | 1,352.00 | |
| | | 1200. CORNING INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/26/2009 | 11/24/2009 |
| 19,769.00 | | 12,947.00 | | | | | 6,822.00 | |
| | | 600. CORNING INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/04/2009 | 11/24/2009 |
| 9,885.00 | | 6,421.00 | | | | | 3,464.00 | |
| | | 400. DEAN FOODS CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/24/2008 | 11/24/2009 |
| 6,522.00 | | 8,793.00 | | | | | -2,271.00 | |
| | | 300. DEAN FOODS CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2009 | 11/24/2009 |
| 4,892.00 | | 5,910.00 | | | | | -1,018.00 | |
| | | 200. DEAN FOODS CO NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 3,261.00 | | 3,933.00 | | | | | -672.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 50. DUN & BRADSTREET CORP DEL NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/20/2007 | 11/24/2009 |
| 3,994.00 | | 4,479.00 | | | | | -485.00 | |
| | | 150. DUN & BRADSTREET CORP DEL NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/22/2007 | 11/24/2009 |
| 11,981.00 | | 12,633.00 | | | | | -652.00 | |
| | | 400. E M C CORP MASS<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 6,766.00 | | 5,125.00 | | | | | 1,641.00 | |
| | | 200. EOG RESOURCES INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 17,691.00 | | 12,491.00 | | | | | 5,200.00 | |
| | | 200. EOG RESOURCES INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/02/2009 | 11/24/2009 |
| 17,691.00 | | 11,907.00 | | | | | 5,784.00 | |
| | | 100. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2008 | 11/24/2009 |
| 4,730.00 | | 7,999.00 | | | | | -3,269.00 | |
| | | 100. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/23/2005 | 11/24/2009 |
| 4,730.00 | | 5,248.00 | | | | | -518.00 | |
| | | 200. EXPRESS SCRIPTS INC CL A<br>PROPERTY TYPE: SECURITIES | | | | | 08/14/2007 | 11/24/2009 |
| 17,609.00 | | 9,797.00 | | | | | 7,812.00 | |
| | | 400. EXPRESS SCRIPTS INC CL A<br>PROPERTY TYPE: SECURITIES | | | | | 04/18/2007 | 11/24/2009 |
| 35,217.00 | | 17,790.00 | | | | | 17,427.00 | |
| | | 650. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/1961 | 11/24/2009 |
| 49,241.00 | | 881.00 | | | | | 48,360.00 | |
| | | 100. GENERAL ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/11/2009 | 11/24/2009 |
| 1,608.00 | | 857.00 | | | | | 751.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 500. GENERAL ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 07/30/1968 | 11/24/2009 |
| 8,039.00 | | 440.00 | | | | | 7,599.00 | |
| | | 100000. GENERAL ELEC CO 01/28/2003 5% 02<br>PROPERTY TYPE: SECURITIES | | | | | 02/02/2009 | 11/24/2009 |
| 107,026.00 | | 101,792.00 | | | | | 5,234.00 | |
| | | 100. GILEAD SCIENCES INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/03/2008 | 11/24/2009 |
| 4,685.00 | | 5,547.00 | | | | | -862.00 | |
| | | 200. GILEAD SCIENCES INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/24/2008 | 11/24/2009 |
| 9,370.00 | | 10,553.00 | | | | | -1,183.00 | |
| | | 200. GILEAD SCIENCES INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/17/2009 | 11/24/2009 |
| 9,370.00 | | 9,068.00 | | | | | 302.00 | |
| | | 100. GOLDMAN SACHS GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/09/2008 | 11/24/2009 |
| 17,087.00 | | 18,765.00 | | | | | -1,678.00 | |
| | | 100. HESS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/02/2009 | 11/24/2009 |
| 5,860.00 | | 5,765.00 | | | | | 95.00 | |
| | | 200. HESS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2009 | 11/24/2009 |
| 11,721.00 | | 11,398.00 | | | | | 323.00 | |
| | | 450. HESS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/22/2007 | 11/24/2009 |
| 26,372.00 | | 22,867.00 | | | | | 3,505.00 | |
| | | 200. HESS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/01/2008 | 11/24/2009 |
| 11,721.00 | | 9,991.00 | | | | | 1,730.00 | |
| | | 75. HEWLETT PACKARD COMPANY<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2007 | 11/24/2009 |
| 3,759.00 | | 3,163.00 | | | | | 596.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 25. HEWLETT PACKARD COMPANY<br>PROPERTY TYPE: SECURITIES | | | | | 01/27/2006 | 11/24/2009 |
| 1,253.00 | | 781.00 | | | | | 472.00 | |
| | | 100. INTERNATIONAL BUSINESS MACHS<br>PROPERTY TYPE: SECURITIES | | | | | 05/17/2006 | 11/24/2009 |
| 12,783.00 | | 8,205.00 | | | | | 4,578.00 | |
| | | 100. INTERNATIONAL BUSINESS MACHS<br>PROPERTY TYPE: SECURITIES | | | | | 02/09/2006 | 11/24/2009 |
| 12,783.00 | | 8,032.00 | | | | | 4,751.00 | |
| | | 400. J P MORGAN CHASE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/18/2006 | 11/24/2009 |
| 16,963.00 | | 19,312.00 | | | | | -2,349.00 | |
| | | 800. JOHNSON & JOHNSON<br>PROPERTY TYPE: SECURITIES | | | | | 06/03/2008 | 11/24/2009 |
| 50,523.00 | | 53,627.00 | | | | | -3,104.00 | |
| | | 100. JOHNSON & JOHNSON<br>PROPERTY TYPE: SECURITIES | | | | | 02/13/2009 | 11/24/2009 |
| 6,315.00 | | 5,719.00 | | | | | 596.00 | |
| | | 300. LIFE TECHNOLOGIES CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 15,022.00 | | 11,909.00 | | | | | 3,113.00 | |
| | | 250. MERCK & CO INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/18/2006 | 11/24/2009 |
| 9,044.00 | | 10,979.00 | | | | | -1,935.00 | |
| | | 400. MERCK & CO INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/24/2008 | 11/24/2009 |
| 14,470.00 | | 13,121.00 | | | | | 1,349.00 | |
| | | 200. MICROSOFT CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 08/12/2008 | 11/24/2009 |
| 5,969.00 | | 5,634.00 | | | | | 335.00 | |
| | | 100. PHILIP MORRIS INTL INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/13/2009 | 11/24/2009 |
| 5,027.00 | | 4,231.00 | | | | | 796.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 100. POTASH CORP SASK INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/16/2008 | 11/24/2009 |
| 11,189.00 | | 13,901.00 | | | | | -2,712.00 | |
| | | 100. POTASH CORP SASK INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/16/2008 | 11/24/2009 |
| 11,189.00 | | 7,404.00 | | | | | 3,785.00 | |
| | | 100000. SBC COMMUNICATIONS INC GLOBAL NT<br>PROPERTY TYPE: SECURITIES | | | | | 07/16/2007 | 11/24/2009 |
| 110,124.00 | | 100,512.00 | | | | | 9,612.00 | |
| | | 100. SOUTHWESTERN ENERGY CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/17/2009 | 11/24/2009 |
| 4,308.00 | | 2,994.00 | | | | | 1,314.00 | |
| | | 400. SOUTHWESTERN ENERGY CO<br>PROPERTY TYPE: SECURITIES | | | | | 11/09/2007 | 11/24/2009 |
| 17,234.00 | | 10,502.00 | | | | | 6,732.00 | |
| | | 300. STATE STREET CORP<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2007 | 11/24/2009 |
| 12,431.00 | | 20,586.00 | | | | | -8,155.00 | |
| | | 900. TJX COMPANIES INCORPORATED NEW<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 34,843.00 | | 28,687.00 | | | | | 6,156.00 | |
| | | 600. TEXAS INSTRS INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/05/2007 | 11/24/2009 |
| 15,147.00 | | 21,117.00 | | | | | -5,970.00 | |
| | | 300. UNITED PARCEL SERVICE CL B<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2009 | 11/24/2009 |
| 17,342.00 | | 15,618.00 | | | | | 1,724.00 | |
| | | 100. UNITED TECHNOLOGIES CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2008 | 11/24/2009 |
| 6,806.00 | | 6,916.00 | | | | | -110.00 | |
| | | 1000. VALE S A ADR<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 28,744.00 | | 16,106.00 | | | | | 12,638.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 300. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 11/28/2007 | 11/24/2009 |
| 9,553.00 | | 12,442.00 | | | | | -2,889.00 | |
| | | 200. WAL-MART STORES INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 06/03/2008 | 11/24/2009 |
| 10,963.00 | | 11,600.00 | | | | | -637.00 | |
| | | 600. WAL-MART STORES INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 05/16/2008 | 11/24/2009 |
| 32,888.00 | | 34,181.00 | | | | | -1,293.00 | |
| | | 200. WAL-MART STORES INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 11/24/2009 |
| 10,963.00 | | 9,833.00 | | | | | 1,130.00 | |
| | | 100. WAL-MART STORES INCORPORATED<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 5,481.00 | | 4,750.00 | | | | | 731.00 | |
| | | 100. WASTE MANAGEMENT INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/21/2005 | 11/24/2009 |
| 3,343.00 | | 2,888.00 | | | | | 455.00 | |
| | | 500. WASTE MANAGEMENT INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/23/2005 | 11/24/2009 |
| 16,713.00 | | 13,735.00 | | | | | 2,978.00 | |
| | | 400. NABORS INDUSTRIES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/10/2009 | 11/24/2009 |
| 8,258.00 | | 5,914.00 | | | | | 2,344.00 | |
| | | 160.599 ARTIO GLOBAL HIGH INCOME FUND CL<br>PROPERTY TYPE: SECURITIES | | | | | 08/17/2009 | 11/25/2009 |
| 1,616.00 | | 1,499.00 | | | | | 117.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| TOTAL GAIN(LOSS) | | | | | | | -431,469. | |

JSA
9E1730 1 000

Form **6781**

Department of the Treasury
Internal Revenue Service

# Gains and Losses From Section 1256 Contracts and Straddles

▶ Attach to your tax return.

OMB No. 1545-0644

2009

Attachment Sequence No. 82

Name(s) shown on tax return: FRANK R PETERS TRUST

Identifying number: 04-6012009

Check all applicable boxes (see instructions).

- A ☐ Mixed straddle election
- B ☐ Straddle-by-straddle identification election
- C ☐ Mixed straddle account election
- D ☐ Net section 1256 contracts loss election

## Part I Section 1256 Contracts Marked to Market

| | (a) Identification of account | (b) (Loss) | (c) Gain |
|---|---|---|---|
| 1 | SEE STATEMENT 20 | | 2. |
| | | | |
| | | | |

| | | | |
|---|---|---|---|
| 2 | Add the amounts on line 1 in columns (b) and (c) | 2 ( ) | 2. |
| 3 | Net gain or (loss). Combine line 2, columns (b) and (c) | 3 | 2. |
| 4 | Form 1099-B adjustments. See instructions and attach schedule | 4 | |
| 5 | Combine lines 3 and 4 | 5 | 2. |
| | **Note:** *If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions.* | | |
| 6 | If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back. Enter the loss as a positive number | 6 | |
| 7 | Combine lines 5 and 6 | 7 | 2. |
| 8 | **Short-term capital gain or (loss).** Multiply line 7 by 40% (.40). Enter here and include on the appropriate line of Schedule D (see instructions) | 8 | 1. |
| 9 | **Long-term capital gain or (loss).** Multiply line 7 by 60% (.60). Enter here and include on the appropriate line of Schedule D (see instructions) | 9 | 1. |

## Part II Gains and Losses From Straddles. Attach a separate schedule listing each straddle and its components.

### Section A - Losses From Straddles

| | (a) Description of property | (b) Date entered into or acquired | (c) Date closed out or sold | (d) Gross sales price | (e) Cost or other basis plus expense of sale | (f) Loss If column (e) is more than (d), enter difference Otherwise, enter -0- | (g) Unrecognized gain on offsetting positions | (h) Recognized loss If column (f) is more than (g), enter difference. Otherwise, enter -0- |
|---|---|---|---|---|---|---|---|---|
| 10 | | | | | | | | |
| | | | | | | | | |

| | | | |
|---|---|---|---|
| 11a | Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions) | 11a | ( ) |
| b | Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions) | 11b | ( ) |

### Section B - Gains From Straddles

| | (a) Description of property | (b) Date entered into or acquired | (c) Date closed out or sold | (d) Gross sales price | (e) Cost or other basis plus expense of sale | (f) Gain. If column (d) is more than (e), enter difference. Otherwise, enter -0- |
|---|---|---|---|---|---|---|
| 12 | | | | | | |
| | | | | | | |

| | | | |
|---|---|---|---|
| 13a | Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions) | 13a | |
| b | Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions) | 13b | |

## Part III Unrecognized Gains From Positions Held on Last Day of Tax Year. Memo Entry Only (see instructions)

| | (a) Description of property | (b) Date acquired | (c) Fair market value on last business day of tax year | (d) Cost or other basis as adjusted | (e) Unrecognized gain. If column (c) is more than (d), enter difference Otherwise, enter -0- |
|---|---|---|---|---|---|
| 14 | | | | | |
| | | | | | |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| AT&T INC | 5,248. | 5,248. |
| ABBOTT LABORATORIES | 1,164. | 1,164. |
| APACHE CORPORATION | 420. | 420. |
| ARTIO GLOBAL HIGH INCOME FUND CL I | 8,038. | 6,815. |
| AVON PRODUCTS INC | 1,932. | 1,932. |
| BEST BUY INCORPORATED | 140. | 140. |
| BURLINGTON NORTHERN SANTA FE CORP | 480. | 480. |
| CIGNA CORPORATION | 24. | 24. |
| CELANESE CORP DEL SER A COM | 16. | 16. |
| CITIGROUP INC GLOBAL NT 4.25% 7/29/09 | 8,500. | 8,500. |
| COCA COLA CO COM | 246. | 246. |
| COLUMBIA ACORN FUND CLASS Z SHARES | 597. | 597. |
| COLUMBIA ACORN INTERNATIONAL FUND CL Z | 2,681. | 2,681. |
| COLUMBIA MARSICO INT'L OPPORTUNITIES FD | 5,188. | 5,188. |
| COLUMBIA MULTI-ADVISOR INT'L EQUITY FUND | 2,281. | 2,281. |
| COLUMBIA MID CAP VALUE FUND CLASS Z SHAR | 668. | 668. |
| COLUMBIA GOVERNMENT RESERVES CAPITAL CLA | 731. | 731. |
| COLUMBIA STRATEGIC INCOME FUND CLASS Z S | 8,991. | 8,909. |
| COLUMBIA SMALL CAP VALUE I FUND CLASS Z | 1,333. | 1,333. |
| COLUMBIA CONSERVATIVE HIGH YIELD FUND CL | 8,481. | 8,481. |
| COMCAST CORP | 412. | 412. |
| AGGREGATE BOND CTF | 13,485. | 13,485. |
| CORNING INC | 555. | 555. |
| DISNEY WALT CO | 508. | 508. |
| DOVER CORPORATION | 104. | 104. |
| DUN & BRADSTREET CORP DEL NEW COM | 476. | 476. |
| EOG RESOURCES INC | 232. | 232. |
| EATON VANCE LARGE-CAP VALUE FUND CL I | 524. | 524. |
| ENTERGY CORP NEW COM | 900. | |
| EXELON CORP COM | 1,785. | 1,785. |
| EXXON MOBIL CORPORATION | 2,221. | 2,221. |
| FPL GROUP INC COM | 1,181. | 1,181. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FEDERAL HOME LN BKS CONS BD | 10,250. | 10,250. |
| FEDERAL HOME LN BKS CONS BD | 9,250. | 9,250. |
| FEDERAL NATL MTG ASSN BENCHMARK NT | 8,250. | 8,250. |
| FLOWSERVE CORP | 27. | 27. |
| GENERAL ELEC CO | 2,920. | 2,920. |
| GENERAL ELEC CO 01/28/2003 5% 02/01/2013 | 4,097. | 4,097. |
| GOLDMAN SACHS GROUP INC | 805. | 805. |
| HARBOR INTERNATIONAL FUND INSTL CL | 4,775. | 4,775. |
| HARTFORD FINANCIAL SVCS GRP | 1,221. | 1,221. |
| HEINZ H J CO | 623. | 623. |
| HESS CORP COM | 350. | 350. |
| HEWLETT PACKARD COMPANY | 600. | 600. |
| INTERNATIONAL BUSINESS MACHS | 2,250. | 2,250. |
| IBM CORP NT | 2,688. | 2,688. |
| ISHARES MSCI EMERGING MKTS INDEX FUND | 1,686. | 1,686. |
| J P MORGAN CHASE & CO COM | 1,469. | 1,469. |
| JOHNSON & JOHNSON | 2,212. | 2,212. |
| KIMBERLY CLARK CORP COM | 2,678. | 2,678. |
| LOCKHEED MARTIN CORPORATION | 936. | 936. |
| LOWES COMPANIES INCORPORATED | 539. | 539. |
| MCKESSON HBOC INC | 264. | 264. |
| MERCK & CO INC COM | 2,280. | 2,280. |
| MICROSOFT CORPORATION | 2,249. | 2,249. |
| MOLSON COORS BREWING CO CL B | 288. | 288. |
| MONSANTO CO NEW COM | 361. | 361. |
| NATIXIS FDS TR IV AEW REAL ESTATE FD CL | 820. | 820. |
| NEWS CORP CL A | 174. | 174. |
| NIKE INC CL B | 300. | 300. |
| NORDSTROM INCORPORATED | 464. | 464. |
| OCCIDENTAL PETROLEUM CORPORATION | 1,203. | 1,203. |
| OLD MUTUAL TS&W MID CAP VALUE FUND CL I | 1,714. | 1,714. |
| PIMCO TOTAL RETURN FUND INSTL | 5,322. | 5,322. |
| PNC BK CORP | 468. | 468. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| PACKAGING CORP OF AMERICA | 300. | 300. |
| PARKER HANNIFIN CORPORATION | 300. | 300. |
| PEPSICO INCORPORATED | 510. | 510. |
| PFIZER INC | 992. | 992. |
| PHILIP MORRIS INTL INC COM | 3,378. | 3,378. |
| PIMCO COMMODITY REALRETURN STRATEGY FUND | 5,326. | 5,326. |
| PIMCO DEVELOPING LOCAL MKTS FUND INSTL | 821. | 821. |
| POLO RALPH LAUREN CORPORATION | 10. | 10. |
| POTASH CORP SASK INC | 80. | 80. |
| PRAXAIR INCORPORATED | 520. | 520. |
| PRINCIPAL FINL GROUP INC COM | 600. | 600. |
| ROWE T PRICE INTL FUNDS INC INTL BD FUND | 1,869. | 1,869. |
| SBC COMMUNICATIONS INC GLOBAL NT | 7,589. | 7,589. |
| SCHLUMBERGER LIMITED | 210. | 210. |
| SEMPRA ENERGY | 234. | 234. |
| SHORT TERM BOND CTF | 3,134. | 3,134. |
| SOUTHERN CO | 343. | 343. |
| STAPLES INCORPORATED | 528. | 528. |
| STATE STREET CORP | 323. | 323. |
| TJX COMPANIES INCORPORATED NEW | 216. | 216. |
| TEVA PHARMACEUTICAL INDS LTD ADR | 60. | 60. |
| TEXAS INSTRS INC | 1,339. | 1,339. |
| US BANCORP DEL COM NEW | 1,190. | 1,190. |
| UNITED PARCEL SERVICE CL B | 810. | 810. |
| UNITED STATES TREAS NT DTD 05/15/03 3.62 | 7,250. | 7,250. |
| UNITED STATES TREAS NT DTD 05/15/06 4.87 | 7,313. | 7,313. |
| UNITED TECHNOLOGIES CORP | 2,464. | 2,464. |
| VALE S A ADR | 280. | 280. |
| VERIZON COMMUNICATIONS | 1,247. | 1,247. |
| WAL-MART STORES INCORPORATED | 1,821. | 1,821. |
| WASTE MANAGEMENT INC | 986. | 986. |
| WELLS FARGO COMPANY | 150. | 150. |
| YUM BRANDS INC COM | 743. | 743. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| GOVERNMENT CREDIT CTF | | 7,675. | 7,675. |
| AXIS CAPITAL HOLDINGS LTD COM | | 440. | 440. |
| TYCO INTL LTD NEW F COM | | 181. | 181. |
| | TOTAL | 214,307. | 212,102. |

FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| TOTALS | 12,539. | 5,256. |

FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| AUDIT & ACCOUNTING FEES (ALLOC | 174. | 174. | | |
| TAX PREPARATION FEE (NON-ALLOC | 800. | | | 800. |
| TOTALS | 974. | 174. | NONE | 800. |

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAXES | 1,822. | 1,834. |
| FEDERAL TAXES | 155. | |
| TOTALS | 1,977. | 1,834. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| OTHER ALLOCABLE EXPENSE-INCOME | 125. | | 125. |
| OTHER NON-ALLOCABLE EXPENSE - | 15. | | 15. |
| DIVIDEND INVESTMENT EXPENSE - | | 12,613. | |
| TOTALS | 140. | 12,613. | 140. |

FRANK R PETERS TRUST 04-6012009

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
================================================

DESCRIPTION
-----------

SEE ATTACHED STATEMENT

TOTALS

FRANK R PETERS TRUST 04-6012009

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION |
| --- |
| SEE ATTACHED STATEMENT |
| TOTALS |

FRANK R PETERS TRUST 04-6012009

FORM 990PF, PART II - CORPORATE BONDS
=====================================

| DESCRIPTION |
| --- |
| SEE ATTACHED STATEMENT |
| TOTALS |

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F |
|---|---|
| SEE ATTACHED STATEMENT | C |
| TOTALS | |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| CTF ADJUSTMENT | | 1,975. |
| ROUNDING | | 7. |
| | TOTAL | 1,982. |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| INCOME ADJUSTMENT | | 3,191. |
| SALES ADJUSTMENT | | 986. |
| 990T PENALTY & INTEREST | | 111. |
| | TOTAL | 4,288. |

-------------------------------------------
STATE(S) WHERE THE FOUNDATION IS REGISTERED
===========================================
MA

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BANK OF AMERICA
ADDRESS:
100 FEDERAL STREET
BOSTON, MA 02110
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .............................................. 70,724.

TOTAL COMPENSATION: 70,724.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES
===================================================================

EMPLOYEE NAME:
NONE

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
==========================================================================

NAME:
NONE

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SEE ATTACHED STATEMENT
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM FUNDING
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .............................................. 444,000.

TOTAL GRANTS PAID: 444,000.

FORM 6781, PART I -- SECTION 1256 CONTRACTS MARKED TO MARKET

| DESCRIPTION | DATE ACQUIRED | DATE SOLD | GROSS SALES PRICE | COST OR BASIS | LOSS | GAIN |
|---|---|---|---|---|---|---|
| 2009 PEP FD III | | OPEN | 2. | | | 2. |
| TOTAL GAINS AND LOSSES | | | | | | 2. |

| SCHEDULE D (Form 1041) Department of the Treasury Internal Revenue Service | **Capital Gains and Losses** ▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable). | OMB No. 1545-0092 2009 |
|---|---|---|

| Name of estate or trust | Employer identification number |
|---|---|
| FRANK R PETERS TRUST | 04-6012009 |

**Note:** *Form 5227 filers need to complete **only** Parts I and II.*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co.) | (b) Date acquired (mo , day, yr.) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | | |
|---|---|---|---|
| b | Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | -124,618. |
| 2 | Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | 1. |
| 3 | Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | 4,013. |
| 4 | Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet | 4 | ( ) |
| 5 | **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶ | 5 | -120,604. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a LONG-TERM CAPITAL GAIN DIVIDENDS | | STMT 1 | | | 17,562. |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | | |
|---|---|---|---|
| b | Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | -327,464. |
| 7 | Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | 1. |
| 8 | Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | -964. |
| 9 | Capital gain distributions | 9 | |
| 10 | Gain from Form 4797, Part I | 10 | |
| 11 | Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet | 11 | ( ) |
| 12 | **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶ | 12 | -310,865. |

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

| **Part III** Summary of Parts I and II<br>**Caution:** *Read the instructions **before** completing this part.* | | (1) Beneficiaries' (see page 5) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|
| 13 **Net short-term gain or (loss)** | 13 | | | -120,604. |
| 14 **Net long-term gain or (loss):** | | | | |
| a Total for year | 14a | | | -310,865. |
| b Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 14b | | | |
| c 28% rate gain | 14c | | | |
| 15 **Total net gain or (loss).** Combine lines 13 and 14a ▶ | 15 | | | -431,469. |

**Note:** *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.*

## Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( 3,000 )

**Note:** *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.*

## Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

**Caution:** *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

| | | |
|---|---|---|
| 17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | 17 | |
| 18 Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 | |
| 19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | |
| 20 Add lines 18 and 19 | 20 | |
| 21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ | 21 | |
| 22 Subtract line 21 from line 20. If zero or less, enter -0- | 22 | |
| 23 Subtract line 22 from line 17. If zero or less, enter -0- | 23 | |
| 24 Enter the **smaller** of the amount on line 17 or $2,300 | 24 | |
| 25 Is the amount on line 23 equal to or more than the amount on line 24?<br>☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box.<br>☐ **No.** Enter the amount from line 23 | 25 | |
| 26 Subtract line 25 from line 24 | 26 | |
| 27 Are the amounts on lines 22 and 26 the same?<br>☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 | 27 | |
| 28 Enter the amount from line 26 (If line 26 is blank, enter -0-) | 28 | |
| 29 Subtract line 28 from line 27 | 29 | |
| 30 Multiply line 29 by 15% (.15) | 30 | |
| 31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | 31 | |
| 32 Add lines 30 and 31 | 32 | |
| 33 Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | 33 | |
| 34 **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | 34 | |

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: FRANK R PETERS TRUST

Employer identification number: 04-6012009

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh. 7% preferred of "Z" Co ) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 700. CVS CAREMARK CORP COM | 10/10/2008 | 01/13/2009 | 18,182.00 | 20,103.00 | -1,921.00 |
| 300. NOBLE CORP COM | 05/05/2008 | 01/13/2009 | 6,683.00 | 17,751.00 | -11,068.00 |
| 200. NOBLE CORP COM | 04/01/2008 | 01/13/2009 | 4,455.00 | 10,076.00 | -5,621.00 |
| 500. NOBLE CORP COM | 02/12/2008 | 01/13/2009 | 11,139.00 | 23,486.00 | -12,347.00 |
| 500. BEST BUY INCORPORATED | 05/16/2008 | 01/27/2009 | 14,242.00 | 22,283.00 | -8,041.00 |
| 100. BEST BUY INCORPORATED | 05/05/2008 | 01/27/2009 | 2,848.00 | 4,409.00 | -1,561.00 |
| 100. SCHLUMBERGER LIMITED | 07/02/2008 | 01/27/2009 | 4,217.00 | 10,769.00 | -6,552.00 |
| 400. HEINZ H J CO | 09/26/2008 | 02/13/2009 | 13,805.00 | 20,303.00 | -6,498.00 |
| 1200. INVESCO LTD COM | 05/09/2008 | 02/13/2009 | 15,906.00 | 33,022.00 | -17,116.00 |
| 400. INVESCO LTD COM | 07/24/2008 | 02/13/2009 | 5,302.00 | 9,602.00 | -4,300.00 |
| 700. NOKIA CORPORATION SPONSORED ADR | 09/26/2008 | 02/26/2009 | 6,725.00 | 13,594.00 | -6,869.00 |
| 300. DEVON ENERGY CORPORATION | 07/02/2008 | 03/04/2009 | 12,901.00 | 37,143.00 | -24,242.00 |
| 100. DEVON ENERGY CORPORATION | 11/19/2008 | 03/04/2009 | 4,300.00 | 6,986.00 | -2,686.00 |
| 100. DEVON ENERGY CORPORATION | 11/19/2008 | 03/06/2009 | 3,996.00 | 6,986.00 | -2,990.00 |
| 100. DEVON ENERGY CORPORATION | 12/01/2008 | 03/06/2009 | 3,996.00 | 6,817.00 | -2,821.00 |
| 900. HOME DEPOT INC | 06/03/2008 | 03/06/2009 | 16,084.00 | 24,420.00 | -8,336.00 |
| 300. HOME DEPOT INC | 07/24/2008 | 03/06/2009 | 5,361.00 | 7,359.00 | -1,998.00 |
| 300. HOME DEPOT INC | 12/16/2008 | 03/06/2009 | 5,361.00 | 7,177.00 | -1,816.00 |
| 300. AGCO CORP | 08/26/2008 | 03/11/2009 | 5,008.00 | 18,077.00 | -13,069.00 |
| 200. BURLINGTON NORTHERN SANTA FE CORP | 08/26/2008 | 03/11/2009 | 11,064.00 | 20,474.00 | -9,410.00 |
| 100. HEINZ H J CO | 09/26/2008 | 03/17/2009 | 3,373.00 | 5,076.00 | -1,703.00 |
| 200. HEINZ H J CO | 10/10/2008 | 03/17/2009 | 6,746.00 | 8,153.00 | -1,407.00 |
| 200. AGCO CORP | 08/26/2008 | 04/02/2009 | 4,266.00 | 12,052.00 | -7,786.00 |
| 300. AGCO CORP | 09/18/2008 | 04/02/2009 | 6,400.00 | 14,363.00 | -7,963.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2009

Name of estate or trust: FRANK R PETERS TRUST

Employer identification number: 04-6012009

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 400. AGCO CORP | 12/16/2008 | 04/02/2009 | 8,533.00 | 8,827.00 | -294.00 |
| 400. BEST BUY INCORPORATED | 05/05/2008 | 04/02/2009 | 16,368.00 | 17,635.00 | -1,267.00 |
| 400. HEINZ H J CO | 10/10/2008 | 04/02/2009 | 13,666.00 | 16,305.00 | -2,639.00 |
| 200. BURLINGTON NORTHERN SANTA FE CORP | 08/26/2008 | 04/08/2009 | 12,557.00 | 20,474.00 | -7,917.00 |
| 200. BURLINGTON NORTHERN SANTA FE CORP | 09/26/2008 | 04/08/2009 | 12,557.00 | 19,500.00 | -6,943.00 |
| 400. HARTFORD FINANCIAL SVCS GRP | 09/26/2008 | 04/08/2009 | 3,911.00 | 21,986.00 | -18,075.00 |
| 1200. HARTFORD FINANCIAL SVCS GRP | 10/06/2008 | 04/08/2009 | 11,733.00 | 36,678.00 | -24,945.00 |
| 1200. HARTFORD FINANCIAL SVCS GRP | 10/10/2008 | 04/08/2009 | 11,733.00 | 21,890.00 | -10,157.00 |
| 400. AMGEN INC | 09/26/2008 | 07/10/2009 | 23,054.00 | 24,376.00 | -1,322.00 |
| 100. APACHE CORPORATION | 01/27/2009 | 07/10/2009 | 6,787.00 | 7,687.00 | -900.00 |
| 100. CIGNA CORPORATION | 03/11/2009 | 07/10/2009 | 2,392.00 | 1,528.00 | 864.00 |
| 1599.909 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARE | 12/08/2008 | 07/10/2009 | 15,455.00 | 14,367.00 | 1,088.00 |
| 300. JOHNSON & JOHNSON | 08/12/2008 | 07/10/2009 | 17,014.00 | 21,494.00 | -4,480.00 |
| 200. JOHNSON & JOHNSON | 09/26/2008 | 07/10/2009 | 11,343.00 | 13,846.00 | -2,503.00 |
| 200. KIMBERLY CLARK CORP COM | 08/12/2008 | 07/10/2009 | 10,373.00 | 12,413.00 | -2,040.00 |
| 200. LOCKHEED MARTIN CORPORATION | 10/10/2008 | 07/10/2009 | 15,595.00 | 17,285.00 | -1,690.00 |
| 300. MCKESSON HBOC INC | 01/13/2009 | 07/10/2009 | 12,971.00 | 12,559.00 | 412.00 |
| 200. MCKESSON HBOC INC | 12/16/2008 | 07/10/2009 | 8,647.00 | 7,515.00 | 1,132.00 |
| 1100. METLIFE INC | 12/01/2008 | 07/10/2009 | 29,947.00 | 29,354.00 | 593.00 |
| 200. METLIFE INC | 03/11/2009 | 07/10/2009 | 5,445.00 | 2,910.00 | 2,535.00 |
| 400. NIKE INC CL B | 08/26/2008 | 07/10/2009 | 20,510.00 | 23,838.00 | -3,328.00 |
| 100. OCCIDENTAL PETROLEUM CORPORATION | 01/13/2009 | 07/10/2009 | 6,066.00 | 5,592.00 | 474.00 |
| 100. PHILIP MORRIS INTL INC COM | 01/13/2009 | 07/10/2009 | 4,226.00 | 4,231.00 | -5.00 |
| 300. SOUTHERN CO | 09/18/2008 | 07/10/2009 | 9,202.00 | 11,669.00 | -2,467.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2009

Name of estate or trust: FRANK R PETERS TRUST

Employer identification number: 04-6012009

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 100. SOUTHERN CO | 10/10/2008 | 07/10/2009 | 3,067.00 | 3,111.00 | -44.00 |
| 100. SOUTHWESTERN ENERGY CO | 03/17/2009 | 07/10/2009 | 3,669.00 | 2,994.00 | 675.00 |
| 500. STAPLES INCORPORATED | 08/26/2008 | 07/10/2009 | 9,657.00 | 12,008.00 | -2,351.00 |
| 200. TEVA PHARMACEUTICAL INDS LTD ADR | 03/04/2009 | 07/10/2009 | 9,691.00 | 8,787.00 | 904.00 |
| 200. TEVA PHARMACEUTICAL INDS LTD ADR | 03/11/2009 | 07/10/2009 | 9,691.00 | 8,617.00 | 1,074.00 |
| 200. WASTE MANAGEMENT INC | 08/12/2008 | 07/10/2009 | 5,356.00 | 7,076.00 | -1,720.00 |
| 100. AMGEN INC | 09/26/2008 | 08/14/2009 | 6,066.00 | 6,094.00 | -28.00 |
| 1505.936 COLUMBIA INTERNATIONAL STOCK FUND CL | 12/18/2008 | 08/14/2009 | 16,490.00 | 13,192.00 | 3,298.00 |
| 200. CORNING INC | 04/08/2009 | 08/14/2009 | 3,157.00 | 2,983.00 | 174.00 |
| 100. FLOWSERVE CORP | 07/10/2009 | 08/14/2009 | 8,620.00 | 6,301.00 | 2,319.00 |
| 600. GENERAL ELEC CO | 09/18/2008 | 08/14/2009 | 8,313.00 | 13,427.00 | -5,114.00 |
| 1100. GENERAL ELEC CO | 04/02/2009 | 08/14/2009 | 15,240.00 | 11,924.00 | 3,316.00 |
| 700. GENERAL ELEC CO | 03/11/2009 | 08/14/2009 | 9,698.00 | 6,001.00 | 3,697.00 |
| 1800. HARTFORD FINANCIAL SVCS GRP | 07/10/2009 | 08/14/2009 | 34,866.00 | 18,891.00 | 15,975.00 |
| 200. LOWES COMPANIES INCORPORATED | 03/06/2009 | 08/14/2009 | 4,537.00 | 2,686.00 | 1,851.00 |
| 100. PNC BK CORP | 10/24/2008 | 08/14/2009 | 4,176.00 | 5,908.00 | -1,732.00 |
| 100. PHILIP MORRIS INTL INC COM | 01/13/2009 | 08/14/2009 | 4,646.00 | 4,231.00 | 415.00 |
| 200. ADOBE SYS INC | 07/10/2009 | 11/24/2009 | 7,179.00 | 5,521.00 | 1,658.00 |
| 100. APACHE CORPORATION | 01/27/2009 | 11/24/2009 | 9,706.00 | 7,687.00 | 2,019.00 |
| 400. AUTODESK INC (DEL) | 12/16/2008 | 11/24/2009 | 9,530.00 | 7,396.00 | 2,134.00 |
| 1200. CIGNA CORPORATION | 03/11/2009 | 11/24/2009 | 39,257.00 | 18,341.00 | 20,916.00 |
| 600. CIGNA CORPORATION | 03/06/2009 | 11/24/2009 | 19,628.00 | 8,034.00 | 11,594.00 |
| 1300. CORNING INC | 04/08/2009 | 11/24/2009 | 21,417.00 | 19,392.00 | 2,025.00 |
| 800. CORNING INC | 07/10/2009 | 11/24/2009 | 13,180.00 | 11,828.00 | 1,352.00 |

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2009

Name of estate or trust: FRANK R PETERS TRUST

Employer identification number: 04-6012009

**Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 1200. CORNING INC | 02/26/2009 | 11/24/2009 | 19,769.00 | 12,947.00 | 6,822.00 |
| 600. CORNING INC | 03/04/2009 | 11/24/2009 | 9,885.00 | 6,421.00 | 3,464.00 |
| 300. DEAN FOODS CO NEW COM | 01/27/2009 | 11/24/2009 | 4,892.00 | 5,910.00 | -1,018.00 |
| 200. DEAN FOODS CO NEW COM | 07/10/2009 | 11/24/2009 | 3,261.00 | 3,933.00 | -672.00 |
| 400. E M C CORP MASS | 07/10/2009 | 11/24/2009 | 6,766.00 | 5,125.00 | 1,641.00 |
| 200. EOG RESOURCES INC | 07/10/2009 | 11/24/2009 | 17,691.00 | 12,491.00 | 5,200.00 |
| 200. EOG RESOURCES INC | 04/02/2009 | 11/24/2009 | 17,691.00 | 11,907.00 | 5,784.00 |
| 100. GENERAL ELEC CO | 03/11/2009 | 11/24/2009 | 1,608.00 | 857.00 | 751.00 |
| 100000. GENERAL ELEC CO 01/28/2003 5% 02/01/2013 | 02/02/2009 | 11/24/2009 | 107,026.00 | 101,792.00 | 5,234.00 |
| 200. GILEAD SCIENCES INC | 03/17/2009 | 11/24/2009 | 9,370.00 | 9,068.00 | 302.00 |
| 100. HESS CORP COM | 04/02/2009 | 11/24/2009 | 5,860.00 | 5,765.00 | 95.00 |
| 200. HESS CORP COM | 04/08/2009 | 11/24/2009 | 11,721.00 | 11,398.00 | 323.00 |
| 200. HESS CORP COM | 12/01/2008 | 11/24/2009 | 11,721.00 | 9,991.00 | 1,730.00 |
| 100. JOHNSON & JOHNSON | 02/13/2009 | 11/24/2009 | 6,315.00 | 5,719.00 | 596.00 |
| 300. LIFE TECHNOLOGIES CORP COM | 07/10/2009 | 11/24/2009 | 15,022.00 | 11,909.00 | 3,113.00 |
| 100. PHILIP MORRIS INTL INC COM | 01/13/2009 | 11/24/2009 | 5,027.00 | 4,231.00 | 796.00 |
| 100. POTASH CORP SASK INC | 12/16/2008 | 11/24/2009 | 11,189.00 | 7,404.00 | 3,785.00 |
| 100. SOUTHWESTERN ENERGY CO | 03/17/2009 | 11/24/2009 | 4,308.00 | 2,994.00 | 1,314.00 |
| 900. TJX COMPANIES INCORPORATED NEW | 07/10/2009 | 11/24/2009 | 34,843.00 | 28,687.00 | 6,156.00 |
| 300. UNITED PARCEL SERVICE CL B | 04/08/2009 | 11/24/2009 | 17,342.00 | 15,618.00 | 1,724.00 |
| 1000. VALE S A ADR | 07/10/2009 | 11/24/2009 | 28,744.00 | 16,106.00 | 12,638.00 |
| 100. WAL-MART STORES INCORPORATED | 07/10/2009 | 11/24/2009 | 5,481.00 | 4,750.00 | 731.00 |
| 400. NABORS INDUSTRIES INC COM | 07/10/2009 | 11/24/2009 | 8,258.00 | 5,914.00 | 2,344.00 |
| 160.599 ARTIO GLOBAL HIGH INCOME FUND CL I | 08/17/2009 | 11/25/2009 | 1,616.00 | 1,499.00 | 117.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . -124,618.00

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side
FRANK R PETERS TRUST

Employer identification number
04-6012009

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 sh. 7% preferred of "Z" Co ) | (b) Date acquired (mo., day, yr ) | (c) Date sold (mo., day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 200. COCA COLA CO COM | 08/11/1970 | 01/13/2009 | 8,815.00 | 297.00 | 8,518.00 |
| 800. WELLS FARGO COMPANY | 10/20/1995 | 01/13/2009 | 19,609.00 | 6,420.00 | 13,189.00 |
| 300. COCA COLA CO COM | 08/11/1970 | 01/27/2009 | 13,005.00 | 446.00 | 12,559.00 |
| 500. PEPSICO INCORPORATED | 05/07/2001 | 01/27/2009 | 25,984.00 | 22,360.00 | 3,624.00 |
| 100. SCHLUMBERGER LIMITED | 01/27/2006 | 01/27/2009 | 4,217.00 | 6,259.00 | -2,042.00 |
| 100. SCHLUMBERGER LIMITED | 01/27/2006 | 01/27/2009 | 4,189.00 | 6,259.00 | -2,070.00 |
| 100. SCHLUMBERGER LIMITED | 02/09/2006 | 01/27/2009 | 4,189.00 | 5,951.00 | -1,762.00 |
| 100000. IBM CORP NT | 03/21/2006 | 02/01/2009 | 100,000.00 | 100,781.00 | -781.00 |
| 75. RIO TINTO PLC SPONSORED ADR | 12/08/2003 | 02/13/2009 | 8,531.00 | 7,654.00 | 877.00 |
| 300. VERIZON COMMUNICATION S | 11/28/2007 | 02/13/2009 | 8,925.00 | 12,442.00 | -3,517.00 |
| 50. MERCK & CO INC COM | 05/10/2007 | 02/26/2009 | 1,347.00 | 2,570.00 | -1,223.00 |
| 300. MERCK & CO INC COM | 04/18/2007 | 02/26/2009 | 8,082.00 | 14,951.00 | -6,869.00 |
| 50. MERCK & CO INC COM | 01/31/2008 | 02/26/2009 | 1,347.00 | 2,299.00 | -952.00 |
| 200. NOKIA CORPORATION SPONSORED ADR | 01/04/2006 | 02/26/2009 | 1,921.00 | 3,808.00 | -1,887.00 |
| 500. NOKIA CORPORATION SPONSORED ADR | 01/27/2006 | 02/26/2009 | 4,803.00 | 9,075.00 | -4,272.00 |
| 900. NOKIA CORPORATION SPONSORED ADR | 02/09/2006 | 02/26/2009 | 8,646.00 | 16,323.00 | -7,677.00 |
| 200. MERCK & CO INC COM | 01/31/2008 | 03/04/2009 | 4,587.00 | 9,197.00 | -4,610.00 |
| 200. ABBOTT LABORATORIES | 03/26/2007 | 03/06/2009 | 9,342.00 | 11,304.00 | -1,962.00 |
| 200. GENENTECH INC | 09/25/2006 | 03/11/2009 | 18,564.00 | 15,670.00 | 2,894.00 |
| 200. GENENTECH INC | 01/16/2008 | 03/11/2009 | 18,564.00 | 13,860.00 | 4,704.00 |
| 250. MERCK & CO INC COM | 01/31/2008 | 03/17/2009 | 6,543.00 | 11,497.00 | -4,954.00 |
| 250. MERCK & CO INC COM | 12/18/2006 | 03/17/2009 | 6,543.00 | 10,979.00 | -4,436.00 |
| 300. SCHLUMBERGER LIMITED | 02/09/2006 | 03/17/2009 | 12,541.00 | 17,852.00 | -5,311.00 |
| 50. DISNEY WALT CO | 08/14/2007 | 04/02/2009 | 960.00 | 1,609.00 | -649.00 |
| 350. DISNEY WALT CO | 04/01/2008 | 04/02/2009 | 6,717.00 | 11,167.00 | -4,450.00 |

6b **Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: FRANK R PETERS TRUST

Employer identification number: 04-6012009

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 400. EXXON MOBIL CORPORATION | 07/31/1961 | 04/02/2009 | 28,193.00 | 542.00 | 27,651.00 |
| 100. PRAXAIR INCORPORATED | 06/16/2006 | 04/02/2009 | 7,029.00 | 5,286.00 | 1,743.00 |
| 300. CISCO SYSTEMS INCORPORATED | 06/16/2006 | 04/08/2009 | 5,141.00 | 6,011.00 | -870.00 |
| 1000. CISCO SYSTEMS INCORPORATED | 03/05/1997 | 04/08/2009 | 17,137.00 | 6,333.00 | 10,804.00 |
| 300. EXXON MOBIL CORPORATION | 07/31/1961 | 04/08/2009 | 20,699.00 | 407.00 | 20,292.00 |
| 300. HARTFORD FINANCIAL SVCS GRP | 06/08/2004 | 04/08/2009 | 2,933.00 | 20,001.00 | -17,068.00 |
| 200. HARTFORD FINANCIAL SVCS GRP | 09/29/2004 | 04/08/2009 | 1,955.00 | 12,278.00 | -10,323.00 |
| 350. PEPSICO INCORPORATED | 05/07/2001 | 04/08/2009 | 18,207.00 | 15,652.00 | 2,555.00 |
| 300000. UNITED STATES TREAS NT DTD 05/15/06 4.875 | 08/03/2006 | 05/15/2009 | 300,000.00 | 299,555.00 | 445.00 |
| 100. AMAZON COM INC | 09/05/2007 | 07/10/2009 | 7,774.00 | 8,433.00 | -659.00 |
| 100. AMAZON COM INC | 08/02/2007 | 07/10/2009 | 7,774.00 | 7,877.00 | -103.00 |
| 300. AUTODESK INC (DEL) | 02/07/2008 | 07/10/2009 | 5,329.00 | 11,561.00 | -6,232.00 |
| 300. AUTODESK INC (DEL) | 09/15/2006 | 07/10/2009 | 5,329.00 | 10,803.00 | -5,474.00 |
| 200. AVON PRODUCTS INC | 05/05/2008 | 07/10/2009 | 5,003.00 | 7,736.00 | -2,733.00 |
| 1400. CISCO SYSTEMS INCORPORATED | 03/05/1997 | 07/10/2009 | 25,584.00 | 8,867.00 | 16,717.00 |
| 300. COCA COLA CO COM | 08/11/1970 | 07/10/2009 | 14,462.00 | 446.00 | 14,016.00 |
| 856.898 COLUMBIA ACORN SELECT FUND CLASS Z SHARES | 06/16/2006 | 07/10/2009 | 13,993.00 | 20,000.00 | -6,007.00 |
| 1406.47 COLUMBIA ACORN SELECT FUND CLASS Z SHARES | 08/23/2005 | 07/10/2009 | 22,968.00 | 30,000.00 | -7,032.00 |
| 1211.387 COLUMBIA MULTI-ADVISOR INT'L EQUITY | 03/29/2006 | 07/10/2009 | 11,399.00 | 20,000.00 | -8,601.00 |
| 2428.658 COLUMBIA MULTI-ADVISOR INT'L EQUITY | 03/21/2006 | 07/10/2009 | 22,854.00 | 40,000.00 | -17,146.00 |
| 2092.05 COLUMBIA MULTI-ADVISOR INT'L EQUITY | 11/09/2005 | 07/10/2009 | 19,686.00 | 30,000.00 | -10,314.00 |
| 1768.034 COLUMBIA MULTI-ADVISOR INT'L EQUITY | 10/12/2005 | 07/10/2009 | 16,637.00 | 25,000.00 | -8,363.00 |
| 3551.136 COLUMBIA MULTI-ADVISOR INT'L EQUITY | 08/23/2005 | 07/10/2009 | 33,416.00 | 50,000.00 | -16,584.00 |
| 236.514 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 12/13/2004 | 07/10/2009 | 1,958.00 | 3,702.00 | -1,744.00 |
| 323.491 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 06/26/2007 | 07/10/2009 | 2,679.00 | 5,024.00 | -2,345.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: FRANK R PETERS TRUST

Employer identification number: 04-6012009

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 73.806 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 06/26/2007 | 07/10/2009 | 611.00 | 1,146.00 | -535.00 |
| 668.449 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 03/21/2006 | 07/10/2009 | 5,535.00 | 10,000.00 | -4,465.00 |
| 1348.378 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 08/31/2004 | 07/10/2009 | 11,165.00 | 20,000.00 | -8,835.00 |
| 130.091 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 12/12/2007 | 07/10/2009 | 1,077.00 | 1,920.00 | -843.00 |
| 310.497 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 12/13/2006 | 07/10/2009 | 2,571.00 | 4,552.00 | -1,981.00 |
| 65.969 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 12/13/2006 | 07/10/2009 | 546.00 | 967.00 | -421.00 |
| 72.247 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 12/14/2005 | 07/10/2009 | 598.00 | 1,019.00 | -421.00 |
| 203.039 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 12/14/2005 | 07/10/2009 | 1,681.00 | 2,865.00 | -1,184.00 |
| 1231.213 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 09/22/2005 | 07/10/2009 | 10,194.00 | 17,011.00 | -6,817.00 |
| 144.508 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 09/22/2005 | 07/10/2009 | 1,197.00 | 1,997.00 | -800.00 |
| 5498.534 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 04/16/2008 | 07/10/2009 | 45,528.00 | 75,000.00 | -29,472.00 |
| 136.698 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 06/27/2006 | 07/10/2009 | 1,132.00 | 1,839.00 | -707.00 |
| 404.071 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 06/27/2006 | 07/10/2009 | 3,346.00 | 5,435.00 | -2,089.00 |
| 4362.707 COLUMBIA MID CAP VALUE FUND CLASS Z SHARES | 10/23/2002 | 07/10/2009 | 36,123.00 | 50,000.00 | -13,877.00 |
| 5823.627 COLUMBIA STRATEGIC INCOME FUND CLASS | 04/18/2007 | 07/10/2009 | 31,506.00 | 34,990.00 | -3,484.00 |
| 2781.502 COLUMBIA STRATEGIC INCOME FUND CLASS | 05/08/2007 | 07/10/2009 | 15,048.00 | 16,684.00 | -1,636.00 |
| 751.88 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES | 05/01/2006 | 07/10/2009 | 11,421.00 | 20,000.00 | -8,579.00 |
| 761.905 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES | 03/29/2006 | 07/10/2009 | 11,573.00 | 20,000.00 | -8,427.00 |
| 388.954 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES | 03/21/2006 | 07/10/2009 | 5,908.00 | 10,000.00 | -4,092.00 |
| 1568.627 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES | 05/17/2006 | 07/10/2009 | 23,827.00 | 40,000.00 | -16,173.00 |
| 2468.12 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES | 01/04/2006 | 07/10/2009 | 37,491.00 | 60,000.00 | -22,509.00 |
| 2605.387 COLUMBIA CONSERVATIVE HIGH YIELD FUN | 03/05/2002 | 07/10/2009 | 17,691.00 | 22,901.00 | -5,210.00 |
| 11467.89 COLUMBIA CONSERVATIVE HIGH YIELD FUN | 02/25/2002 | 07/10/2009 | 77,867.00 | 100,000.00 | -22,133.00 |
| 11507.48 COLUMBIA CONSERVATIVE HIGH YIELD FUN | 05/02/2003 | 07/10/2009 | 78,136.00 | 100,000.00 | -21,864.00 |
| 5973.716 COLUMBIA CONSERVATIVE HIGH YIELD FUN | 05/17/2004 | 07/10/2009 | 40,562.00 | 50,000.00 | -9,438.00 |
| **6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . | | | | | |

| Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| FRANK R PETERS TRUST | 04-6012009 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 973.032 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 12/11/2006 | 07/10/2009 | 9,399.00 | 18,731.00 | -9,332.00 |
| 80.368 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 12/11/2006 | 07/10/2009 | 776.00 | 1,547.00 | -771.00 |
| 102.589 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 12/12/2005 | 07/10/2009 | 991.00 | 1,920.00 | -929.00 |
| 576.186 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 12/12/2005 | 07/10/2009 | 5,566.00 | 10,786.00 | -5,220.00 |
| 489.968 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 12/13/2004 | 07/10/2009 | 4,733.00 | 8,927.00 | -4,194.00 |
| 227.544 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 12/16/2003 | 07/10/2009 | 2,198.00 | 3,777.00 | -1,579.00 |
| 159.84 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 12/10/2007 | 07/10/2009 | 1,544.00 | 2,564.00 | -1,020.00 |
| 2157.304 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARE | 12/10/2007 | 07/10/2009 | 20,840.00 | 34,603.00 | -13,763.00 |
| 1363.327 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARE | 08/07/2003 | 07/10/2009 | 13,170.00 | 20,000.00 | -6,830.00 |
| 2209.131 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARE | 05/15/2003 | 07/10/2009 | 21,340.00 | 30,000.00 | -8,660.00 |
| 202.816 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 12/13/2002 | 07/10/2009 | 1,959.00 | 2,584.00 | -625.00 |
| 3993.61 COLUMBIA SMALL CAP CORE FUND CLASS Z SHARES | 10/22/2002 | 07/10/2009 | 38,578.00 | 50,000.00 | -11,422.00 |
| 100. DUN & BRADSTREET CORP DEL NEW COM | 05/16/2008 | 07/10/2009 | 7,823.00 | 9,206.00 | -1,383.00 |
| 200. DUN & BRADSTREET CORP DEL NEW COM | 02/20/2007 | 07/10/2009 | 15,647.00 | 17,918.00 | -2,271.00 |
| 100. ENTERGY CORP NEW COM | 08/22/2007 | 07/10/2009 | 7,265.00 | 10,089.00 | -2,824.00 |
| 500. ENTERGY CORP NEW COM | 12/18/2006 | 07/10/2009 | 36,327.00 | 45,383.00 | -9,056.00 |
| 100. EXELON CORP COM | 02/12/2008 | 07/10/2009 | 4,852.00 | 7,999.00 | -3,147.00 |
| 900. EXXON MOBIL CORPORATION | 07/31/1961 | 07/10/2009 | 58,567.00 | 1,220.00 | 57,347.00 |
| 400. GENERAL ELEC CO | 06/16/2008 | 07/10/2009 | 4,274.00 | 11,640.00 | -7,366.00 |
| 100. GILEAD SCIENCES INC | 06/03/2008 | 07/10/2009 | 4,444.00 | 5,547.00 | -1,103.00 |
| 100. HEWLETT PACKARD COMPANY | 02/12/2007 | 07/10/2009 | 3,711.00 | 4,218.00 | -507.00 |
| 100. INTERNATIONAL BUSINESS MACHS | 12/19/2007 | 07/10/2009 | 10,041.00 | 10,607.00 | -566.00 |
| 100. INTERNATIONAL BUSINESS MACHS | 02/12/2007 | 07/10/2009 | 10,041.00 | 9,848.00 | 193.00 |
| 100. INTERNATIONAL BUSINESS MACHS | 03/21/2006 | 07/10/2009 | 10,041.00 | 8,492.00 | 1,549.00 |
| 200. J P MORGAN CHASE & CO COM | 05/21/2007 | 07/10/2009 | 6,459.00 | 10,498.00 | -4,039.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| FRANK R PETERS TRUST | 04-6012009 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 100. LABORATORY CORP AMER HLDGS COM NEW | 12/18/2006 | 07/10/2009 | 6,605.00 | 7,235.00 | -630.00 |
| 300. MERCK & CO INC COM | 12/18/2006 | 07/10/2009 | 7,912.00 | 13,175.00 | -5,263.00 |
| 200. MICROSOFT CORPORATION | 09/05/2007 | 07/10/2009 | 4,471.00 | 5,714.00 | -1,243.00 |
| 200. MONSANTO CO NEW COM | 09/15/2006 | 07/10/2009 | 14,183.00 | 8,846.00 | 5,337.00 |
| 1100. NEWS CORP CL A | 12/19/2007 | 07/10/2009 | 8,916.00 | 22,424.00 | -13,508.00 |
| 600. NEWS CORP CL A | 01/16/2008 | 07/10/2009 | 4,863.00 | 11,728.00 | -6,865.00 |
| 500. NEWS CORP CL A | 04/01/2008 | 07/10/2009 | 4,053.00 | 9,729.00 | -5,676.00 |
| 700. NEWS CORP CL A | 05/16/2008 | 07/10/2009 | 5,674.00 | 13,405.00 | -7,731.00 |
| 100. PRAXAIR INCORPORATED | 06/16/2006 | 07/10/2009 | 6,897.00 | 5,286.00 | 1,611.00 |
| 100. STATE STREET CORP | 05/21/2007 | 07/10/2009 | 4,320.00 | 6,862.00 | -2,542.00 |
| 300. TEXAS INSTRS INC | 09/05/2007 | 07/10/2009 | 6,128.00 | 10,558.00 | -4,430.00 |
| 200. US BANCORP DEL COM NEW | 03/26/2007 | 07/10/2009 | 3,313.00 | 7,184.00 | -3,871.00 |
| 100. US BANCORP DEL COM NEW | 10/12/2005 | 07/10/2009 | 1,657.00 | 2,785.00 | -1,128.00 |
| 400. VERIZON COMMUNICATION S | 11/28/2007 | 07/10/2009 | 11,391.00 | 16,590.00 | -5,199.00 |
| 600. WASTE MANAGEMENT INC | 07/21/2005 | 07/10/2009 | 16,069.00 | 17,328.00 | -1,259.00 |
| 200000. CITIGROUP INC GLOBAL NT 4.25% 7/29/09 | 07/21/2005 | 07/29/2009 | 200,000.00 | 198,720.00 | 1,280.00 |
| 2218.498 COLUMBIA STRATEGIC INCOME FUND CLASS | 05/08/2007 | 07/30/2009 | 12,202.00 | 13,307.00 | -1,105.00 |
| 16722.408 COLUMBIA STRATEGIC INCOME FUND CLASS | 12/20/2006 | 07/30/2009 | 91,973.00 | 99,971.00 | -7,998.00 |
| 3355.705 COLUMBIA STRATEGIC INCOME FUND CLASS | 05/21/2007 | 07/30/2009 | 18,456.00 | 19,994.00 | -1,538.00 |
| 3185.813 COLUMBIA STRATEGIC INCOME FUND CLASS | 02/12/2007 | 07/30/2009 | 17,522.00 | 18,918.00 | -1,396.00 |
| 3190.607 COLUMBIA INTERNATIONAL STOCK FUND CL | 01/23/2008 | 07/30/2009 | 33,852.00 | 47,827.00 | -13,975.00 |
| 2881.711 COLUMBIA INTERNATIONAL STOCK FUND CL | 09/29/2004 | 07/30/2009 | 30,575.00 | 38,384.00 | -7,809.00 |
| 1540.94 COLUMBIA INTERNATIONAL STOCK FUND CL | 12/08/2003 | 07/30/2009 | 16,349.00 | 19,662.00 | -3,313.00 |
| 100. THERMO ELECTRON CORP | 05/05/2008 | 07/30/2009 | 4,573.00 | 5,796.00 | -1,223.00 |
| 6670.302 AGGREGATE BOND CTF | 06/08/2001 | 07/31/2009 | 102,862.00 | 105,961.00 | -3,099.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| FRANK R PETERS TRUST | 04-6012009 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example: 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo., day, yr ) | (c) Date sold (mo , day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 3070.459 AGGREGATE BOND CTF | 02/29/2008 | 07/31/2009 | 47,349.00 | 47,009.00 | 340.00 |
| 9954.012 GOVERNMENT CREDIT CTF | 02/29/2008 | 07/31/2009 | 72,888.00 | 72,058.00 | 830.00 |
| 200. AT&T INC | 04/01/2008 | 08/14/2009 | 5,065.00 | 7,877.00 | -2,812.00 |
| 200. AMAZON COM INC | 08/02/2007 | 08/14/2009 | 16,695.00 | 15,754.00 | 941.00 |
| 100. AMAZON COM INC | 08/14/2007 | 08/14/2009 | 8,347.00 | 7,353.00 | 994.00 |
| 100. AVON PRODUCTS INC | 05/05/2008 | 08/14/2009 | 3,217.00 | 3,868.00 | -651.00 |
| 5231.695 COLUMBIA STRATEGIC INCOME FUND CLASS | 02/12/2007 | 08/14/2009 | 29,088.00 | 31,067.00 | -1,979.00 |
| 8503.401 COLUMBIA STRATEGIC INCOME FUND CLASS | 04/24/2008 | 08/14/2009 | 47,279.00 | 49,985.00 | -2,706.00 |
| 2377.555 COLUMBIA INTERNATIONAL STOCK FUND CL | 12/08/2003 | 08/14/2009 | 26,034.00 | 30,338.00 | -4,304.00 |
| 4006.41 COLUMBIA INTERNATIONAL STOCK FUND CL | 11/05/2003 | 08/14/2009 | 43,870.00 | 50,000.00 | -6,130.00 |
| 17196.905 COLUMBIA INTERNATIONAL STOCK FUND CL | 09/04/2003 | 08/14/2009 | 188,306.00 | 197,542.00 | -9,236.00 |
| 4674.559 AGGREGATE BOND CTF | 02/29/2008 | 08/14/2009 | 72,293.00 | 71,563.00 | 730.00 |
| 100. EXXON MOBIL CORPORATION | 07/31/1961 | 08/14/2009 | 6,793.00 | 136.00 | 6,657.00 |
| 500. GENERAL ELEC CO | 08/07/2003 | 08/14/2009 | 6,927.00 | 13,975.00 | -7,048.00 |
| 100. GOLDMAN SACHS GROUP INC | 05/09/2008 | 08/14/2009 | 16,237.00 | 18,765.00 | -2,528.00 |
| 100. HESS CORP COM | 02/12/2008 | 08/14/2009 | 5,094.00 | 9,063.00 | -3,969.00 |
| 100. HEWLETT PACKARD COMPANY | 02/12/2007 | 08/14/2009 | 4,394.00 | 4,218.00 | 176.00 |
| 100. J P MORGAN CHASE & CO COM | 05/21/2007 | 08/14/2009 | 4,232.00 | 5,249.00 | -1,017.00 |
| 200. MICROSOFT CORPORATION | 09/05/2007 | 08/14/2009 | 4,721.00 | 5,714.00 | -993.00 |
| 100. PRAXAIR INCORPORATED | 06/16/2006 | 08/14/2009 | 7,545.00 | 5,286.00 | 2,259.00 |
| 200. US BANCORP DEL COM NEW | 10/12/2005 | 08/14/2009 | 4,477.00 | 5,570.00 | -1,093.00 |
| 700. US BANCORP DEL COM NEW | 10/10/2002 | 08/14/2009 | 15,671.00 | 11,854.00 | 3,817.00 |
| 100. UNITED TECHNOLOGIES CORP | 06/16/2008 | 08/14/2009 | 5,704.00 | 6,916.00 | -1,212.00 |
| 700. WAL-MART STORES INCORPORATED | 06/03/2008 | 08/14/2009 | 36,179.00 | 40,599.00 | -4,420.00 |
| 9830.458 GOVERNMENT CREDIT CTF | 02/29/2008 | 08/14/2009 | 72,211.00 | 71,125.00 | 1,086.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: FRANK R PETERS TRUST

Employer identification number: 04-6012009

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr.) | (c) Date sold (mo., day, yr) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 100. AT&T INC | 08/03/2004 | 11/24/2009 | 2,704.00 | 2,590.00 | 114.00 |
| 100. AT&T INC | 01/27/2006 | 11/24/2009 | 2,704.00 | 2,584.00 | 120.00 |
| 100. ADOBE SYS INC | 01/16/2008 | 11/24/2009 | 3,590.00 | 3,802.00 | -212.00 |
| 700. ADOBE SYS INC | 02/07/2008 | 11/24/2009 | 25,127.00 | 22,780.00 | 2,347.00 |
| 150. AUTODESK INC (DEL) | 09/15/2006 | 11/24/2009 | 3,574.00 | 5,401.00 | -1,827.00 |
| 300. AVON PRODUCTS INC | 05/05/2008 | 11/24/2009 | 10,566.00 | 11,604.00 | -1,038.00 |
| 500. AVON PRODUCTS INC | 01/31/2008 | 11/24/2009 | 17,610.00 | 17,447.00 | 163.00 |
| 8.972 COLUMBIA ACORN FUND CLASS Z SHARES | 06/05/2007 | 11/24/2009 | 212.00 | 298.00 | -86.00 |
| 66.109 COLUMBIA ACORN FUND CLASS Z SHARES | 06/05/2007 | 11/24/2009 | 1,561.00 | 2,198.00 | -637.00 |
| 367.441 COLUMBIA ACORN FUND CLASS Z SHARES | 12/08/2006 | 11/24/2009 | 8,675.00 | 10,957.00 | -2,282.00 |
| 349.518 COLUMBIA ACORN INTERNATIONAL FUND CL Z | 01/08/2008 | 11/24/2009 | 11,737.00 | 14,697.00 | -2,960.00 |
| 400. DEAN FOODS CO NEW COM | 07/24/2008 | 11/24/2009 | 6,522.00 | 8,793.00 | -2,271.00 |
| 50. DUN & BRADSTREET CORP DEL NEW COM | 02/20/2007 | 11/24/2009 | 3,994.00 | 4,479.00 | -485.00 |
| 150. DUN & BRADSTREET CORP DEL NEW COM | 01/22/2007 | 11/24/2009 | 11,981.00 | 12,633.00 | -652.00 |
| 100. EXELON CORP COM | 02/12/2008 | 11/24/2009 | 4,730.00 | 7,999.00 | -3,269.00 |
| 100. EXELON CORP COM | 08/23/2005 | 11/24/2009 | 4,730.00 | 5,248.00 | -518.00 |
| 200. EXPRESS SCRIPTS INC CL A | 08/14/2007 | 11/24/2009 | 17,609.00 | 9,797.00 | 7,812.00 |
| 400. EXPRESS SCRIPTS INC CL A | 04/18/2007 | 11/24/2009 | 35,217.00 | 17,790.00 | 17,427.00 |
| 650. EXXON MOBIL CORPORATION | 07/31/1961 | 11/24/2009 | 49,241.00 | 881.00 | 48,360.00 |
| 500. GENERAL ELEC CO | 07/30/1968 | 11/24/2009 | 8,039.00 | 440.00 | 7,599.00 |
| 100. GILEAD SCIENCES INC | 06/03/2008 | 11/24/2009 | 4,685.00 | 5,547.00 | -862.00 |
| 200. GILEAD SCIENCES INC | 07/24/2008 | 11/24/2009 | 9,370.00 | 10,553.00 | -1,183.00 |
| 100. GOLDMAN SACHS GROUP INC | 05/09/2008 | 11/24/2009 | 17,087.00 | 18,765.00 | -1,678.00 |
| 450. HESS CORP COM | 01/22/2007 | 11/24/2009 | 26,372.00 | 22,867.00 | 3,505.00 |
| 75. HEWLETT PACKARD COMPANY | 02/12/2007 | 11/24/2009 | 3,759.00 | 3,163.00 | 596.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| FRANK R PETERS TRUST | 04-6012009 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example. 100 sh 7% preferred of "Z" Co.) | (b) Date acquired (mo., day, yr ) | (c) Date sold (mo , day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 25. HEWLETT PACKARD COMPANY | 01/27/2006 | 11/24/2009 | 1,253.00 | 781.00 | 472.00 |
| 100. INTERNATIONAL BUSINESS MACHS | 05/17/2006 | 11/24/2009 | 12,783.00 | 8,205.00 | 4,578.00 |
| 100. INTERNATIONAL BUSINESS MACHS | 02/09/2006 | 11/24/2009 | 12,783.00 | 8,032.00 | 4,751.00 |
| 400. J P MORGAN CHASE & CO COM | 12/18/2006 | 11/24/2009 | 16,963.00 | 19,312.00 | -2,349.00 |
| 800. JOHNSON & JOHNSON | 06/03/2008 | 11/24/2009 | 50,523.00 | 53,627.00 | -3,104.00 |
| 250. MERCK & CO INC NEW COM | 12/18/2006 | 11/24/2009 | 9,044.00 | 10,979.00 | -1,935.00 |
| 400. MERCK & CO INC NEW COM | 07/24/2008 | 11/24/2009 | 14,470.00 | 13,121.00 | 1,349.00 |
| 200. MICROSOFT CORPORATION | 08/12/2008 | 11/24/2009 | 5,969.00 | 5,634.00 | 335.00 |
| 100. POTASH CORP SASK INC | 01/16/2008 | 11/24/2009 | 11,189.00 | 13,901.00 | -2,712.00 |
| 100000. SBC COMMUNICATIONS INC GLOBAL NT | 07/16/2007 | 11/24/2009 | 110,124.00 | 100,512.00 | 9,612.00 |
| 400. SOUTHWESTERN ENERGY CO | 11/09/2007 | 11/24/2009 | 17,234.00 | 10,502.00 | 6,732.00 |
| 300. STATE STREET CORP | 05/21/2007 | 11/24/2009 | 12,431.00 | 20,586.00 | -8,155.00 |
| 600. TEXAS INSTRS INC | 09/05/2007 | 11/24/2009 | 15,147.00 | 21,117.00 | -5,970.00 |
| 100. UNITED TECHNOLOGIES CORP | 06/16/2008 | 11/24/2009 | 6,806.00 | 6,916.00 | -110.00 |
| 300. VERIZON COMMUNICATION S | 11/28/2007 | 11/24/2009 | 9,553.00 | 12,442.00 | -2,889.00 |
| 200. WAL-MART STORES INCORPORATED | 06/03/2008 | 11/24/2009 | 10,963.00 | 11,600.00 | -637.00 |
| 600. WAL-MART STORES INCORPORATED | 05/16/2008 | 11/24/2009 | 32,888.00 | 34,181.00 | -1,293.00 |
| 200. WAL-MART STORES INCORPORATED | 10/10/2008 | 11/24/2009 | 10,963.00 | 9,833.00 | 1,130.00 |
| 100. WASTE MANAGEMENT INC | 07/21/2005 | 11/24/2009 | 3,343.00 | 2,888.00 | 455.00 |
| 500. WASTE MANAGEMENT INC | 08/23/2005 | 11/24/2009 | 16,713.00 | 13,735.00 | 2,978.00 |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| **6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . | | | | | -327,464.00 |



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## FEDERAL CAPITAL GAIN DIVIDENDS

### LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

| | | |
|---|---|---|
| AT&T INC | 292.00 | |
| BRISTOL MYERS SQUIBB CO COM | 54.00 | |
| ENRON CORPORATION | 12,674.00 | |
| PIMCO TOTAL RETURN FUND INSTL | 539.00 | |
| PIMCO COMMODITY REALRETURN STRATEGY FUND | 1,684.00 | |
| SPX CORP | 589.00 | |
| TENENT HEALTHCARE CORP | 382.00 | |
| TYCO INTERNATIONAL LTD | 1,350.00 | |
| TOTAL 15% RATE CAPITAL GAIN DIVIDENDS | | 17,562.00 |
| TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | 17,562.00 |

## GAINS AND LOSSES FROM PASS-THRU ENTITIES

NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

| | | |
|---|---|---|
| COMMON TRUST FUNDS | 4,013.00 | |
| TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED) | | 4,013.00 |

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

| | | |
|---|---|---|
| COMMON TRUST FUNDS | -964.00 | |
| TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED) | | -964.00 |

149 BENEFICIARY DISTRIBUTIONS

| | | | | | |
|---|---|---|---|---|---|
| 07/03/09 | YOUTHBUILD BOSTON, INC.<br>FINAL INSTALLMENT OF A GRANT AWARDED IN JUNE 2007 TO SUPPORT THE DESIGNERY PROGRAM.<br>FOR:<br>TR TRAN#=091840001000 TR CD=555 REG=0 PORT=PRIN | -50000.00 | | -50000.00 | 0907000012<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 07/03/09 | CHELSEA COLLABORATIVE<br>ONE YEAR GRANT MADE TO SUPPORT THE SUMMER YOUTH EMPLOYMENT INITIATIVE.<br>FOR:<br>TR TRAN#=091840002000 TR CD=555 REG=0 PORT=PRIN | -20000.00 | | -20000.00 | 0907000013<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 07/03/09 | EMMANUEL GOSPEL CENTER, INC.<br>ONE YEAR GRANT MADE TO SUPPORT THE YOUTH VIOLENCE SYSTEMS PROJECT.<br>FOR:<br>TR TRAN#=091840003000 TR CD=555 REG=0 PORT=PRIN | -15000.00 | | -15000.00 | 0907000014<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 07/03/09 | GREENLIGHT FUND<br>FINAL INSTALLMENT OF A GRANT AWARDED IN MAY 2007 TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION AND A CHALLENGE GRANT TO SUPPORT THE SEED FUND.<br>FOR:<br>TR TRAN#=091840004000 TR CD=555 REG=0 PORT=PRIN | -84000.00 | | -84000.00 | 0907000015<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 07/08/09 | ASSOCIATED GRANT MAKERS<br>ONE YEAR GRANT MADE TO SUPPORT THE SUMMER FUND PROGRAM.<br>FOR:<br>TR TRAN#=091890001000 TR CD=555 REG=0 PORT=PRIN | -80000.00 | | -80000.00 | 0907000016<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | AFRICAN COMM. EDUCATION PROGRAM<br>ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION.<br>FOR:<br>TR TRAN#=093450002000 TR CD=823 REG=0 PORT=INC | -15000.00 | -15000.00 | | 0912000029<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | BOYS & GIRLS CLUB OF LAWRENCE<br>ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION.<br>FOR:<br>TR TRAN#=093450003000 TR CD=823 REG=0 PORT=INC | -20000.00 | -20000.00 | | 0912000030<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | FED. DORCH. NEIGHBORHOOD HOUSES<br>ONE YEAR GRANT MADE TO SUPPORT THE OUT-OF-SCHOOL TIME PROGRAM.<br>FOR:<br>TR TRAN#=093450004000 TR CD=823 REG=0 PORT=INC | -20000.00 | -20000.00 | | 0912000031<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | BOSTON SCHOLARS PROGRAM<br>FIRST INSTALLMENT OF A TWO YEAR GRANT TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION.<br>FOR:<br>TR TRAN#=093450001000 TR CD=823 REG=0 PORT=INC | -15000.00 | -15000.00 | | 0912000028<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | GENERATIONS INCORPORATED<br>ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION.<br>FOR:<br>TR TRAN#=093450006000 TR CD=823 REG=0 PORT=INC | -20000.00 | -20000.00 | | 0912000033<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | ONE LOWELL CORPORATION<br>ONE YEAR GRANT MADE TO SUPPORT THE ONE LOWELL SCHOOL SUCCESS FOR NEWCOMER STUDENTS AND PARENTS PROGRAM.<br>FOR:<br>TR TRAN#=093450007000 TR CD=823 REG=0 PORT=INC | -20000.00 | -20000.00 | | 0912000034<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | SPORTSMEN'S TENNIS CLUB<br>ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION.<br>FOR:<br>TR TRAN#=093450008000 TR CD=823 REG=0 PORT=PRIN | -20000.00 | | -20000.00 | 0912000035<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | URBAN ARTS INSTITUTE<br>ONE YEAR GRANT MADE TO SUPPORT THE URBANO PROJECT.<br>FOR:<br>TR TRAN#=093450009000 TR CD=823 REG=0 PORT=PRIN | -20000.00 | | -20000.00 | 0912000036<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | FRIENDS OF RESILIENCY FOR LIFE<br>ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION.<br>FOR:<br>TR TRAN#=093450005000 TR CD=823 REG=0 PORT=INC | -10000.00 | -10000.00 | | 0912000032<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/11/09 | YOUTH ENRICHMENT SERVICES, INC.<br>ONE YEAR GRANT MADE TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION.<br>FOR:<br>TR TRAN#=093450010000 TR CD=823 REG=0 PORT=PRIN | -15000.00 | | -15000.00 | 0912000037<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| 12/18/09 | MASS MENTORING PARTNERSHIP<br>FINAL INSTALLMENT OF A GRANT AWARDED IN NOVEMBER 2008 TO SUPPORT THE GENERAL OPERATIONS OF THE ORGANIZATION.<br>FOR:<br>TR TRAN#=093520001000 TR CD=823 REG=0 PORT=PRIN | -20000.00 | | -20000.00 | 0912000050<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 08/11/10 TLR |
| | TOTAL CODE 149 - BENEFICIARY DISTRIBUTIONS | -444000 00 | -120000 00 | -324000.00 | |

Settlement Date

Bank of America

## Account Summary

Dec. 01, 2009 through Dec. 31, 2009

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

# Market Value $8,207,651.26

### Account Activity

| Description | Current Period | YTD Since 01/01/09 |
|---|---|---|
| **Beginning Market Value** | **$8,261,422.80** | **$7,281,573.66** |
| Income | 27,458 95 | 210,002 57 |
| Deposits | 16,805 63 | 46,351 24 |
| Disbursements | -195,000.00 | -444,390.99 |
| Bank Fees | -6,604.45 | -71,349.98 |
| Change in Market Value | 103,568 33 | 1,185,464 76 |
| **Ending Market Value** | **$8,207,651.26** | **$8,207,651.26** |
| Change in Account Value | -53,771 54 | 926,077 60 |

### Realized Gain/Loss Summary

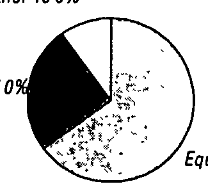
| Description | Current Period | Fiscal YTD |
|---|---|---|
| Short-term | $1,405 37 | -$120,351 82 |
| Long-term | 4,514.01 | -311,449.33 |
| **Net Total** | **$5,919.38** | **-$431,801.15** |

### Income Summary

| Description | Current Period | YTD Since 01/01/09 |
|---|---|---|
| Dividends - Taxable | $25,636 35 | $119,794.37 |
| Interest - Taxable | 0.00 | 65,185 78 |
| Collective Fund - Taxable | 1,822.60 | 25,022 42 |
| **Total Income** | **$27,458.95** | **$210,002.57** |

### Portfolio Allocation

| Description | Market Value | Tax Cost | Estimated Annual Income | Current Yield |
|---|---|---|---|---|
| Cash/Currency | $333,581.40 | $333,581.40 | $0.00 | 0.0% |
| Equities | 5,332,674.56 | 4,554,722.37 | 76,903.48 | 1 4 |
| Fixed Income | 2,050,972.67 | 1,956,229.33 | 91,342 28 | 4.5 |
| Private Equity | 240,365 59 | 31,250 00 | 0 00 | 0 0 |
| Real Estate | 109,363 79 | 85,632 34 | 2,094 39 | 1.9 |
| Tangible Assets | 140,693 25 | 123,928 78 | 8,428 00 | 6.0 |
| **Total Assets** | **$8,207,651.26** | **$7,085,344.22** | **$178,768.15** | **2.2%** |
| **Total** | **$8,207,651.26** | **$7,085,344.22** | **$178,768.15** | |




*Assets representing less than 5% of total are grouped together as 'Other' for display on the pie chart*

*Market Value includes accrued income unless otherwise noted*



*The amounts shown throughout this statement should not be used in the preparation of tax documents Detail specifying taxable nature of income will be provided with year-end tax documentation Please consult your tax advisor*

3733/4358 2000003 163/189 3/ 30 0274689 02-007 505 B E

Bank of America

## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec. 01, 2009 through Dec 31, 2009

| Units | Description | CUSIP / Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| **Cash/Currency** | | | | | | | | |
| **Cash Equivalents** | | | | | | | | |
| 29,103.010 | COLUMBIA GOVERNMENT RESERVES CAPITAL CLASS (Income Investment) | 19765K779 | $29,103 01 | $0.00 | $29,103.01<br>1.000 | $0.00 | $0.00 | 0.0% |
| 304,478 390 | COLUMBIA GOVERNMENT RESERVES CAPITAL CLASS | 19765K779 | 304,478.39 | 0 00 | 304,478 39<br>1.000 | 0.00 | 0.00 | 0 0 |
| | **Total Cash Equivalents** | | **$333,581.40** | **$0.00** | **$333,581.40** | **$0.00** | **$0.00** | **0.0%** |
| **Total Cash/Currency** | | | **$333,581.40** | **$0.00** | **$333,581.40** | **$0.00** | **$0.00** | **0.0%** |
| **Equities** | | | | | | | | |
| **U.S. Large Cap** | | | | | | | | |
| 800.000 | ABBOTT LABS<br>Ticker: ABT | 002824100<br>HEA | $43,192.00<br>53 990 | $0.00 | $38,966.75<br>48.708 | $4,225.25 | $1,280.00 | 3.0% |
| 900.000 | ADOBE SYS INC<br>Ticker: ADBE | 00724F101<br>IFT | 33,102 00<br>36.780 | 0.00 | 22,930.65<br>25.479 | 10,171.35 | 0.00 | 0 0 |
| 500.000 | AMGEN INC<br>Ticker: AMGN | 031162100<br>HEA | 28,285.00<br>56 570 | 0.00 | 25,334.36<br>50 669 | 2,950.64 | 0.00 | 0.0 |
| 800.000 | APACHE CORP<br>Ticker: APA | 037411105<br>ENR | 82,536.00<br>103 170 | 0.00 | 53,162.74<br>66 453 | 29,373.26 | 480.00 | 0.6 |
| 300.000 | APPLE INC<br>Ticker: AAPL | 037833100<br>IFT | 63,219.60<br>210 732 | 0.00 | 61,312.47<br>204 375 | 1,907.13 | 0.00 | 0.0 |
| 3,400.000 | AT&T INC<br>Ticker: T | 00206R102<br>TEL | 95,302.00<br>28.030 | 0.00 | 82,784.07<br>24.348 | 12,517.93 | 5,712.00 | 6.0 |
| 1,550.000 | AVON PRODS INC<br>Ticker: AVP | 054303102<br>CNS | 48,825.00<br>31.500 | 0.00 | 39,402.83<br>25.421 | 9,422.17 | 1,302.00 | 2.7 |
| 1,500.000 | CHEVRON CORP<br>Ticker: CVX | 166764100<br>ENR | 115,485.00<br>76.990 | 0.00 | 118,425.45<br>78.950 | -2,940.45 | 4,080.00 | 3.5 |

(2)Industry Sector Codes: CND = Consumer Discretionary; CNS = Consumer Staples; ENR = Energy; FIN = Financials; HEA = Health Care; IND = Industrials; IFT = Information Technology; MAT = Materials; OEQ = Other Equities; TEL = Telecommunication Services; UTL = Utilities

*(1) Market Value in the Portfolio Detail section does not include Accrued Income.*

Settlement Date

Bank of America

## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec. 01, 2009 through Dec. 31, 2009

| Units | Description | CUSIP / Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| Equities (cont) | | | | | | | | |
| **U.S. Large Cap (cont)** | | | | | | | | |
| 2,200.000 | CISCO SYS INC<br>Ticker CSCO | 17275R102<br>IFT | 52,668.00<br>23 940 | 0.00 | 52,305.00<br>23 775 | 363.00 | 0.00 | 0.0 |
| 2,000 000 | COMCAST CORP<br>NEW CL A COM<br>Ticker: CMCS A | 20030N101<br>CND | 33,720.00<br>16.860 | 0.00 | 33,175 98<br>16.588 | 544 02 | 756.00 | 2.2 |
| 1,350.000 | DISNEY WALT CO<br>COM DISNEY<br>Ticker: DIS | 254687106<br>CND | 43,537 50<br>32.250 | 472 50 | 38,623 06<br>28.610 | 4,914.44 | 472.50 | 1.1 |
| 5,207.076 | EATON VANCE LARGE-CAP VALUE FUND<br>CL I<br>Ticker: EILV X | 277905642<br>OEQ | 87,374.74<br>16.780 | 0.00 | 77,012.66<br>14.790 | 10,362.08 | 1,343.43 | 1.5 |
| 4,500.000 | EMC CORP<br>Ticker: EMC | 268648102<br>IFT | 78,615.00<br>17.470 | 0.00 | 47,912.53<br>10 647 | 30,702.47 | 0.00 | 0.0 |
| 200.000 | EOG RES INC<br>Ticker EOG | 26875P101<br>ENR | 19,460.00<br>97.300 | 0.00 | 10,454.24<br>52.271 | 9,005.76 | 116.00 | 0.6 |
| 600 000 | EXELON CORP<br>Ticker: EXC | 30161N101<br>UTL | 29,322.00<br>48.870 | 0.00 | 31,488.00<br>52 480 | -2,166.00 | 1,260 00 | 4.3 |
| 800 000 | FPL GROUP INC<br>Ticker: FPL | 302571104<br>UTL | 42,256.00<br>52.820 | 0 00 | 43,599.43<br>54.499 | -1,343.43 | 1,512.00 | 3.6 |
| 1,500.000 | GENERAL ELEC CO<br>Ticker: GE | 369604103<br>IND | 22,695 00<br>15.130 | 150.00 | 1,319.90<br>0.880 | 21,375.10 | 600.00 | 2.6 |
| 400.000 | GILEAD SCIENCES INC<br>Ticker: GILD | 375558103<br>HEA | 17,308.00<br>43 270 | 0.00 | 17,906.18<br>44.765 | -598.18 | 0.00 | 0.0 |
| 400.000 | GOLDMAN SACHS GROUP INC<br>Ticker. GS | 38141G104<br>FIN | 67,536.00<br>168.840 | 0.00 | 55,081.12<br>137.703 | 12,454.88 | 560.00 | 0.8 |
| 1,625.000 | HEWLETT PACKARD CO<br>Ticker: HPQ | 428236103<br>IFT | 83,703.75<br>51.510 | 130 00 | 43,997 49<br>27.075 | 39,706.26 | 520.00 | 0 6 |
| 700.000 | INTERNATIONAL BUSINESS MACHS<br>Ticker: IBM | 459200101<br>IFT | 91,630.00<br>130 900 | 0.00 | 55,553.80<br>79.363 | 36,076.20 | 1,540.00 | 1.7 |
| 2,100.000 | J P MORGAN CHASE & CO<br>Ticker: JPM | 46625H100<br>FIN | 87,507.00<br>41.670 | 0 00 | 96,784.20<br>46.088 | -9,277 20 | 420.00 | 0.5 |
| 1,100.000 | KIMBERLY CLARK CORP<br>Ticker: KMB | 494368103<br>CNS | 70,081.00<br>63.710 | 660.00 | 63,862.78<br>58 057 | 6,218.22 | 2,640.00 | 3.8 |

Bank of America

## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec 01, 2009 through Dec. 31, 2009

| Units | Description | CUSIP / Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| Equities (cont) | | | | | | | | |
| **U.S. Large Cap (cont)** | | | | | | | | |
| 400.000 | LOCKHEED MARTIN CORP<br>Ticker LMT | 539830109<br>IND | 30,140.00<br>75.350 | 0.00 | 29,223.92<br>73.060 | 916.08 | 1,008.00 | 3.3 |
| 1,900.000 | LOWES COS INC<br>Ticker: LOW | 548661107<br>CND | 44,441 00<br>23 390 | 0.00 | 25,514 53<br>13.429 | 18,926 47 | 684 00 | 1.5 |
| 700.000 | MCKESSON CORP<br>Ticker: MCK | 58155Q103<br>HEA | 43,750 00<br>62.500 | 84.00 | 34,107.20<br>48.725 | 9,642.80 | 336.00 | 0.8 |
| 400.000 | MEDCO HLTH SOLUTIONS INC<br>Ticker: MHS | 58405U102<br>HEA | 25,564.00<br>63 910 | 0.00 | 25,169 32<br>62.923 | 394 68 | 0.00 | 0.0 |
| 4,100.000 | MICROSOFT CORP<br>Ticker: MSFT | 594918104<br>IFT | 124,968.00<br>30.480 | 0.00 | 97,420.27<br>23.761 | 27,547.73 | 2,132 00 | 1.7 |
| 300.000 | MONSANTO CO<br>NEW COM<br>Ticker: MON | 61166W101<br>MAT | 24,525.00<br>81 750 | 0.00 | 16,848 46<br>56.162 | 7,676.54 | 318.00 | 1.3 |
| 900.000 | OCCIDENTAL PETE CORP DEL<br>Ticker: OXY | 674599105<br>ENR | 73,215.00<br>81.350 | 297.00 | 45,009.32<br>50.010 | 28,205.68 | 1,188.00 | 1.6 |
| 1,200.000 | PEPSICO INC<br>Ticker. PEP | 713448108<br>CNS | 72,960 00<br>60.800 | 540.00 | 74,917.68<br>62 431 | -1,957.68 | 2,160.00 | 3 0 |
| 5,800.000 | PFIZER INC<br>Ticker: PFE | 717081103<br>HEA | 105,502.00<br>18.190 | 0.00 | 93,600.45<br>16 138 | 11,901.55 | 4,176 00 | 4.0 |
| 2,000.000 | PHILIP MORRIS INTL INC<br>Ticker: PM | 718172109<br>CNS | 96,380 00<br>48.190 | 1,160.00 | 79,297 02<br>39 649 | 17,082 98 | 4,640.00 | 4.8 |
| 900.000 | PNC FINL SVCS GROUP INC<br>Ticker: PNC | 693475105<br>FIN | 47,511 00<br>52.790 | 0.00 | 33,078.03<br>36.753 | 14,432.97 | 360 00 | 0.8 |
| 200.000 | PRAXAIR INC<br>Ticker PX | 74005P104<br>MAT | 16,062.00<br>80.310 | 0.00 | 10,571.14<br>52.856 | 5,490.86 | 320.00 | 2.0 |
| 400.000 | PRUDENTIAL FINL INC<br>Ticker PRU | 744320102<br>FIN | 19,904.00<br>49.760 | 0.00 | 20,205.96<br>50.515 | -301 96 | 280.00 | 1 4 |
| 800.000 | SEMPRA ENERGY<br>Ticker. SRE | 816851109<br>UTL | 44,784.00<br>55.980 | 312.00 | 39,196 00<br>48.995 | 5,588 00 | 1,248.00 | 2.8 |
| 700 000 | SOUTHWESTERN ENERGY CO<br>Ticker: SWN | 845467109<br>ENR | 33,740.00<br>48.200 | 0.00 | 17,648.63<br>25.212 | 16,091 37 | 0.00 | 0.0 |

Bank of America

## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec 01, 2009 through Dec 31, 2009

| Units | Description | CUSIP / Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| Equities (cont) | | | | | | | | |
| **U.S. Large Cap (cont)** | | | | | | | | |
| 2,100.000 | STAPLES INC<br>Ticker: SPLS | 855030102<br>CND | 51,639.00<br>24.590 | 173.25 | 45,023.22<br>21.440 | 6,615.78 | 693.00 | 1.3 |
| 800.000 | STATE STR CORP<br>Ticker: STT | 857477103<br>FIN | 34,832.00<br>43 540 | 8 00 | 41,624.08<br>52 030 | -6,792.08 | 32.00 | 0.1 |
| 2,350.000 | TEXAS INSTRS INC<br>Ticker: TXN | 882508104<br>IFT | 61,241 00<br>26.060 | 0.00 | 64,072.22<br>27.265 | -2,831.22 | 1,128 00 | 1.8 |
| 900.000 | THERMO FISHER SCIENTIFIC CORP<br>Ticker: TMO | 883556102<br>HEA | 42,921.00<br>47.690 | 0.00 | 48,678 03<br>54.087 | -5,757.03 | 0 00 | 0.0 |
| 300.000 | UNITED PARCEL SVC INC<br>CL B<br>Ticker: UPS | 911312106<br>IND | 17,211.00<br>57.370 | 0.00 | 15,618.48<br>52.062 | 1,592.52 | 540.00 | 3.1 |
| 1,550.000 | UNITED TECHNOLOGIES CORP<br>Ticker: UTX | 913017109<br>IND | 107,585.50<br>69.410 | 0 00 | 61,068.40<br>39.399 | 46,517 10 | 2,387.00 | 2.2 |
| 2,300.000 | US BANCORP DEL<br>COM NEW<br>Ticker: USB | 902973304<br>FIN | 51,773.00<br>22.510 | 115.00 | 39,566.62<br>17.203 | 12,206.38 | 460.00 | 0.9 |
| 2,500.000 | WELLS FARGO & CO<br>NEW COM<br>Ticker: WFC | 949746101<br>FIN | 67,475.00<br>26 990 | 0.00 | 63,678.32<br>25.471 | 3,796.68 | 500.00 | 0.7 |
| 1,050.000 | YUM BRANDS INC<br>Ticker: YUM | 988498101<br>CND | 36,718 50<br>34.970 | 0.00 | 26,780.02<br>25.505 | 9,938.48 | 882.00 | 2.4 |
| | **Total U.S. Large Cap** | | **$2,612,202.59** | **$4,101.75** | **$2,159,313.01** | **$452,889.58** | **$50,065.93** | **1.9%** |
| **U.S. Mid Cap** | | | | | | | | |
| 800.000 | AMPHENOL CORP NEW<br>CL A<br>Ticker: APH | 032095101<br>IFT | $36,944 00<br>46.180 | $12.00 | $33,915.12<br>42.394 | $3,028 88 | $48 00 | 0.1% |
| 500.000 | APOLLO GROUP INC<br>CL A<br>Ticker: APOL | 037604105<br>CND | 30,290.00<br>60 580 | 0 00 | 30,458.53<br>60.917 | -168.53 | 0.00 | 0.0 |
| 1,000.000 | AXIS CAP HLDGS LTD<br>BERMUDA<br>Ticker: AXS | G0692U109<br>FIN | 28,410.00<br>28 410 | 210.00 | 25,112.20<br>25.112 | 3,297.80 | 840.00 | 3.0 |

Settlement Date

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## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec. 01, 2009 through Dec. 31, 2009

| Units | Description | CUSIP / Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| Equities (cont) | | | | | | | | |
| **U.S. Mid Cap (cont)** | | | | | | | | |
| 1,200.000 | CELANESE CORP DEL SER A COM Ticker: CE | 150870103 MAT | 38,520.00 32 100 | 0.00 | 33,405.30 27.838 | 5,114.70 | 192.00 | 0.5 |
| 12,100.881 | COLUMBIA ACORN FUND CLASS Z SHARES Ticker: ACRN X | 197199409 OEQ | 298,649.74 24.680 | 0.00 | 227,956 15 18.838 | 70,693.59 | 592.94 | 0 2 |
| 1,600.000 | DEAN FOODS CO NEW COM Ticker DF | 242370104 CNS | 28,864.00 18.040 | 0.00 | 28,346 19 17.716 | 517.81 | 0.00 | 0.0 |
| 400.000 | DOVER CORP Ticker: DOV | 260003108 IND | 16,644.00 41.610 | 0 00 | 16,697.40 41.744 | -53.40 | 416.00 | 2 5 |
| 300.000 | FLOWSERVE CORP Ticker: FLS | 34354P105 IND | 28,359.00 94 530 | 81.00 | 26,689.50 88 965 | 1,669.50 | 324.00 | 1.1 |
| 600.000 | LABORATORY CORP AMER HLDGS NEW COM Ticker: LH | 50540R409 HEA | 44,904 00 74.840 | 0 00 | 42,585.45 70.976 | 2,318.55 | 0.00 | 0.0 |
| 900.000 | MOLSON COORS BREWING CO CL B COM Ticker: TAP | 60871R209 CNS | 40,644.00 45.160 | 0.00 | 41,254.05 45.838 | -610.05 | 864.00 | 2.1 |
| 2,700.000 | MYLAN INC Ticker: MYL | 628530107 HEA | 49,761.00 18.430 | 0.00 | 49,477.50 18 325 | 283.50 | 0.00 | 0.0 |
| 1,400.000 | NABORS INDS INC ISIN BMG6359F1032 BERMUDA Ticker: NBR | G6359F103 ENR | 30,646.00 21 890 | 0.00 | 20,699 00 14.785 | 9,947.00 | 0.00 | 0 0 |
| 500 000 | NAVISTAR INTL CORP NEW Ticker: NAV | 63934E108 IND | 19,325 00 38 650 | 0.00 | 22,092 50 44 185 | -2,767.50 | 0 00 | 0.0 |
| 1,600.000 | NORDSTROM INC Ticker: JWN | 655664100 CND | 60,128 00 37.580 | 0.00 | 35,461.12 22.163 | 24,666.88 | 1,024.00 | 1.7 |
| 38,585.827 | OLD MUTUAL TS&W MID CAP VALUE FUND CL I Ticker OTMI X | 68002Q248 OEQ | 295,181.58 7.650 | 1,713.21 | 247,553.14 6.416 | 47,628.44 | 1,697.78 | 0.6 |
| 1,200.000 | PACKAGING CORP AMER Ticker: PKG | 695156109 MAT | 27,612.00 23.010 | 180 00 | 18,497.80 15.415 | 9,114.20 | 720 00 | 2 6 |

Settlement Date

Bank of America

## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec 01, 2009 through Dec 31, 2009

| Units | Description | CUSIP Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| Equities (cont) | | | | | | | | |
| **U.S. Mid Cap (cont)** | | | | | | | | |
| 700.000 | PARKER HANNIFIN CORP<br>Ticker: PH | 701094104<br>IND | 37,716.00<br>53.880 | 0.00 | 29,816.50<br>42.595 | 7,899.50 | 700.00 | 1.9 |
| 200.000 | POLO RALPH LAUREN CORP<br>CL A<br>Ticker. RL | 731572103<br>CND | 16,196.00<br>80 980 | 20.00 | 13,062.84<br>65.314 | 3,133.16 | 80.00 | 0.5 |
| 1,200.000 | PRINCIPAL FINL GROUP INC<br>Ticker: PFG | 74251V102<br>FIN | 28,848.00<br>24.040 | 0.00 | 30,630.00<br>25 525 | -1,782.00 | 600.00 | 2.1 |
| | **Total U.S. Mid Cap** | | **$1,157,642.32** | **$2,216.21** | **$973,710.29** | **$183,932.03** | **$8,098.72** | **0.7%** |
| **U.S. Small Cap** | | | | | | | | |
| 8,638.839 | COLUMBIA SELECT SMALL CAP FUND<br>CLASS Z SHARES<br>Ticker: UMLC X | 19765Y589<br>OEQ | $117,920.15<br>13.650 | $0.00 | $98,433.34<br>11.394 | $19,486.81 | $0.00 | 0.0% |
| 4,990.136 | COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES<br>Ticker: CMSC X | 19765P596<br>OEQ | 120,162 47<br>24.080 | 0.00 | 98,375.01<br>19.714 | 21,787.46 | 0.00 | 0.0 |
| 6,178.395 | COLUMBIA SMALL CAP VALUE I FUND<br>CLASS Z SHARES<br>Ticker. CSCZ X | 19765N567<br>OEQ | 233,605.11<br>37.810 | 0.00 | 203,566.13<br>32 948 | 30,038.98 | 1,334.53 | 0.6 |
| | **Total U.S. Small Cap** | | **$471,687.73** | **$0.00** | **$400,374.48** | **$71,313.25** | **$1,334.53** | **0.3%** |
| **International Developed** | | | | | | | | |
| 4,850.397 | COLUMBIA ACORN INTERNATIONAL<br>FUND CLASS Z SHARES<br>Ticker: ACIN X | 197199813<br>OEQ | $166,174.60<br>34.260 | $0.00 | $171,349.42<br>35 327 | -$5,174.82 | $2,575.56 | 1.6% |
| 29,909.306 | COLUMBIA MARSICO INTERNATIONAL<br>OPPORTUNITIES FD CLASS Z SHARES<br>Ticker. NMOA X | 19765H636<br>OEQ | 319,431.39<br>10 680 | 0.00 | 335,393.50<br>11 214 | -15,962.11 | 8,912.97 | 2 8 |
| 5,835.702 | HARBOR INTERNATIONAL FUND<br>INSTL CL<br>Ticker HAIN X | 411511306<br>OEQ | 320,204.97<br>54.870 | 0.00 | 268,566.41<br>46.021 | 51,638.56 | 4,114.17 | 1 3 |

## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec 01, 2009 through Dec. 31, 2009

| Units | Description | CUSIP / Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| **Equities (cont.)** | | | | | | | | |
| **International Developed (cont)** | | | | | | | | |
| 700.000 | NOBLE CORP<br>ISIN CH0033347318 SWITZERLAND<br>Ticker: NE | H5833N103<br>ENR | 28,490.00<br>40.700 | 0.00 | 29,108.31<br>41.583 | -618 31 | 112.00 | 0.4 |
| 100.000 | RIO TINTO PLC<br>SPONSORED ADR<br>UNITED KINGDOM<br>Ticker: RTP | 767204100<br>MAT | 21,539.00<br>215 390 | 0.00 | 20,937.50<br>209.375 | 601.50 | 544.00 | 2.5 |
| 1,300.000 | TYCO INTL LTD<br>NEW F COM<br>SWITZERLAND<br>Ticker: TYC | H89128104<br>IND | 46,384.00<br>35.680 | 0 00 | 43,072.45<br>33.133 | 3,311.55 | 1,040.00 | 2.2 |
| | **Total International Developed** | | **$902,223.96** | **$0.00** | **$868,427.59** | **$33,796.37** | **$17,298.70** | **1.9%** |
| **Emerging Markets** | | | | | | | | |
| 4,400.000 | ISHARES MSCI EMERGING MKTS INDEX<br>FUND<br>Ticker: EEM | 464287234<br>OEQ | $182,600.00<br>41 500 | $0.00 | $152,897.00<br>34.749 | $29,703 00 | $105.60 | 0.1% |
| | **Total Emerging Markets** | | **$182,600.00** | **$0.00** | **$152,897.00** | **$29,703.00** | **$105.60** | **0.1%** |
| **Total Equities** | | | **$5,326,356.60** | **$6,317.96** | **$4,554,722.37** | **$771,634.23** | **$76,903.48** | **1.4%** |
| **Fixed Income** | | | | | | | | |
| **Investment Grade Taxable** | | | | | | | | |
| 200,000.000 | ***2010***<br>FEDERAL HOME LN BKS<br>CONS BD<br>DTD 08/25/06 5.125% DUE 09/29/10<br>Moody's: AAA S&P. AAA | 3133XGRD8 | $206,500.00<br>103.250 | $2,619 44 | $200,921 00<br>100.461 | $5,579.00 | $10,250.00 | 5.0%<br>0.6 |
| 200,000.000 | ***2012***<br>FEDERAL HOME LN BKS<br>CONS BD<br>DTD 10/05/07 4.625% DUE 10/10/12<br>Moody's AAA S&P: AAA | 3133XML66 | 215,500.00<br>107.750 | 2,081.25 | 198,352.60<br>99.176 | 17,147.40 | 9,250.00 | 4.3<br>1 6 |

Bank of America

## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec 01, 2009 through Dec. 31, 2009

| Units | Description | CUSIP Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| Fixed Income (cont) | | | | | | | | |
| **Investment Grade Taxable (cont)** | | | | | | | | |
| 200,000.000 | *2013* UNITED STATES TREAS NT DTD 05/15/03 3 625% DUE 05/15/13 Moody's. AAA S&P. AAA | 912828BA7 | 211,750 00<br>105.875 | 941.29 | 201,218.75<br>100.609 | 10,531.25 | 7,250.00 | 3.4<br>1.7 |
| 200,000.000 | *2014* FEDERAL NATL MTG ASSN BENCHMARK NT DTD 03/25/04 4 125% DUE 04/15/14 Moody's: AAA S&P: AAA | 31359MUT8 | 212,688.00<br>106.344 | 1,741.66 | 205,091.00<br>102.546 | 7,597.00 | 8,250.00 | 3.9<br>2.5 |
| 10,127.548 | AGGREGATE BOND CTF ORIGINAL COST 155,077.79 | 202671913 | 158,528 53<br>15.653 | 129.25 | 155,583.38<br>15 362 | 2,945.15 | 6,734.82 | 4.2 |
| 21,388.277 | GOVERNMENT CREDIT CTF ORIGINAL COST 153,278.37 | 993361880 | 159,533.02<br>7 459 | 130 38 | 155,481.55<br>7.269 | 4,051.47 | 6,780.08 | 4.3 |
| 22,292.990 | PIMCO TOTAL RETURN FUND INSTL | 693390700 | 240,764 29<br>10.800 | 0.00 | 240,547.58<br>10 790 | 216 71 | 13,219.74 | 5.5 |
| 18,364.123 | SHORT TERM BOND CTF ORIGINAL COST 149,999 99 | 820990109 | 152,668.30<br>8 313 | 116.63 | 149,742.04<br>8.154 | 2,926.26 | 6,078.52 | 4.0 |
| | **Total Investment Grade Taxable** | | **$1,557,932.14** | **$7,759.90** | **$1,506,937.90** | **$50,994.24** | **$67,813.16** | **4.4%** |
| **International Developed Bonds** | | | | | | | | |
| 15,927.098 | ROWE T PRICE INTL FDS INC INTL BD FD | 77956H104 | $157,200.46<br>9 870 | $0.00 | $152,192.08<br>9.556 | $5,008.38 | $4,348 10 | 2.8% |
| | **Total International Developed Bonds** | | **$157,200.46** | **$0.00** | **$152,192.08** | **$5,008.38** | **$4,348.10** | **2.8%** |
| **Global High Yield Taxable** | | | | | | | | |
| 24,418.653 | ARTIO GLOBAL HIGH INCOME FUND CL I | 04315J860 | $247,360.95<br>10.130 | $0 00 | $222,499.25<br>9 112 | $24,861.70 | $17,239 57 | 7.0% |
| 8,055.810 | PIMCO DEVELOPING LOCAL MKTS FUND INSTL | 72201F409 | 80,719.22<br>10 020 | 0 00 | 74,600.10<br>9.260 | 6,119.12 | 1,941.45 | 2.4 |
| | **Total Global High Yield Taxable** | | **$328,080.17** | **$0.00** | **$297,099.35** | **$30,980.82** | **$19,181.02** | **5.8%** |
| **Total Fixed Income** | | | **$2,043,212.77** | **$7,759.90** | **$1,956,229.33** | **$86,983.44** | **$91,342.28** | **4.5%** |

Settlement Date

Bank of America

## Portfolio Detail

**Account:** 80-09-900-8555348 FRANK R PETERS TRUST

Dec. 01, 2009 through Dec 31, 2009

| Units | Description | CUSIP Sector (2) | Market Value(1)/ Market Price | Accrued Income | Tax Cost/ Average Unit Cost | Unrealized Gain/Loss | Estimated Annual Income | Cur Yld/ YTM |
|---|---|---|---|---|---|---|---|---|
| **Private Equity** | | | | | | | | |
| **Private Equity Fund of Funds** | | | | | | | | |
| 240,365.590 | PRIVATE EQUITY PORT FD III LLC ***CAP ACCOUNT*** (VALUATION AS OF 06/30/09) | 991016809 | $240,365.59<br>1.000 | $0 00 | $31,250.00<br>0.130 | $209,115 59 | $0.00 | 0 0% |
| | **Total Private Equity Fund of Funds** | | **$240,365.59** | **$0.00** | **$31,250.00** | **$209,115.59** | **$0.00** | **0.0%** |
| **Total Private Equity** | | | **$240,365.59** | **$0.00** | **$31,250.00** | **$209,115.59** | **$0.00** | **0.0%** |
| **Real Estate** | | | | | | | | |
| **Public REITs** | | | | | | | | |
| 8,763.124 | NATIXIS FDS TR IV AEW REAL ESTATE FD CL Y COM | 63872W409 | $109,363.79<br>12.480 | $0.00 | $85,632.34<br>9.772 | $23,731.45 | $2,094 39 | 1.9% |
| | **Total Public REITs** | | **$109,363.79** | **$0.00** | **$85,632.34** | **$23,731.45** | **$2,094.39** | **1.9%** |
| **Total Real Estate** | | | **$109,363.79** | **$0.00** | **$85,632.34** | **$23,731.45** | **$2,094.39** | **1.9%** |
| **Tangible Assets** | | | | | | | | |
| **Commodities** | | | | | | | | |
| 16,991.939 | PIMCO COMMODITY REALRETURN STRATEGY FUND | 722005667 | $140,693.25<br>8.280 | $0 00 | $123,928.78<br>7.293 | $16,764.47 | $8,428.00 | 6.0% |
| | **Total Commodities** | | **$140,693.25** | **$0.00** | **$123,928.78** | **$16,764.47** | **$8,428.00** | **6.0%** |
| **Total Tangible Assets** | | | **$140,693.25** | **$0.00** | **$123,928.78** | **$16,764.47** | **$8,428.00** | **6.0%** |
| **Total Portfolio** | | | **$8,193,573.40** | **$14,077.86** | **$7,085,344.22** | **$1,108,229.18** | **$178,768.15** | **2.2%** |
| Accrued Income | | | $14,077.86 | | | | | |
| **Total** | | | **$8,207,651.26** | | | | | |

Form **8868** (Rev. April 2009)

Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part** I and check this box . . . . . . . . . . . . . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Part I** **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing *(e-file)*.** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

| **Type or print** | Name of Exempt Organization | **Employer identification number** |
|---|---|---|
| File by the due date for filing your return See instructions | FRANK R PETERS TRUST | 04-6012009 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. P O BOX 1802 | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. PROVIDENCE, RI 02901-1802 | |

**Check type of return to be filed** (file a separate application for each return):

COPY

| | | |
|---|---|---|
| [ ] Form 990 | [ ] Form 990-T (corporation) | [ ] Form 4720 |
| [ ] Form 990-BL | [ ] Form 990-T (sec 401(a) or 408(a) trust) | [ ] Form 5227 |
| [ ] Form 990-EZ | [ ] Form 990-T (trust other than above) | [ ] Form 6069 |
| [X] Form 990-PF | [ ] Form 1041-A | [ ] Form 8870 |

- The books are in the care of ▶ PRIVATE BANK TAX SERVICES

Telephone No. ▶ (401)278-6858 FAX No. ▶ 401 278-6895

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . ▶ [ ] . If it is for part of the group, check this box . . ▶ [ ] and attach a list with the names and EINs of all members the extension will cover.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 ,2010 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 2009 or
▶ [ ] tax year beginning ______ , ____ , and ending ______ , ____ .

**2** If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | **3a** | $ 3,353. |
| **b** | If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | **3b** | $ 2,008. |
| **c** | **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | **3c** | $ 1,345. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

**For Privacy Act and Paperwork Reduction Act Notice, see Instructions.** Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . . . . . ▶ [X]

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

## Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

| Type or print | | |
|---|---|---|
| File by the extended due date for filing the return See instructions. | Name of Exempt Organization<br>FRANK R PETERS TRUST | Employer identification number<br>04-6012009 |
| | Number, street, and room or suite no. If a P O. box, see instructions<br>P O BOX 1802 | For IRS use only |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>PROVIDENCE, RI 02901-1802 | |

**Check type of return to be filed** (File a separate application for each return):

| | | | |
|---|---|---|---|
| [ ] Form 990 | [X] Form 990-PF | [ ] Form 1041-A | [ ] Form 6069 |
| [ ] Form 990-BL | [ ] Form 990-T (sec. 401(a) or 408(a) trust) | [ ] Form 4720 | [ ] Form 8870 |
| [ ] Form 990-EZ | [ ] Form 990-T (trust other than above) | [ ] Form 5227 | |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ PRIVATE BANK TAX SERVICES

Telephone No ▶ (401)278-6858 FAX No. ▶ 401 278-6895

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . . . ▶ [ ]. If it is for part of the group, check this box . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

COPY

4 I request an additional 3-month extension of time until 11/15/2010.

5 For calendar year 2009 , or other tax year beginning ______, and ending ______.

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS REQUIRED TO PREPARE A COMPLETE AND ACCURATE RETURN.

| | | | |
|---|---|---|---|
| **8a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | $ 3,353. |
| **b** | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | $ 3,353. |
| **c** | **Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 8c | $ |

## Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ [signature] Title ▶ SVP, TAX Date ▶