Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label Otherwise, print or type See Specific Instructions.

Name of foundation
NEW BREEZE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O ROBERT T HALE, JR, 8 OLMSTEAD DRIVE

City or town, state, and ZIP code
HINGHAM, MA 02043

A Employer identification number
04-3510635

B Telephone number
(781) 740-4558

C If exemption application is pending, check here [ ]
D 1 Foreign organizations, check here [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 57,695.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	972,458.		N/A	
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	73.	73.		STATEMENT 1
	4 Dividends and interest from securities	133.	133.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	39,064.			
	b Gross sales price for all assets on line 6a 430,200.				
	7 Capital gain net income (from Part IV, line 2)		39,064.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	1,916.	1,916.		STATEMENT 3
	12 Total. Add lines 1 through 11	1,013,644.	41,186.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	3,036.	1,518.		1,518.
	b Accounting fees STMT 5	3,618.	1,810.		1,808.
	c Other professional fees STMT 6	65.	65.		0.
	17 Interest				
	18 Taxes STMT 7	1,000.	0.		250.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	7,719.	3,393.		3,576.
	25 Contributions, gifts, grants paid	1,112,117.			1,112,117.
	26 Total expenses and disbursements Add lines 24 and 25	1,119,836.	3,393.		1,115,693.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-106,192.			
	b Net investment income (if negative, enter -0-)		37,793.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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12300514 756977 NEWBREEZE 2009.03051 NEW BREEZE FOUNDATION NEWBREE1

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	95,302.	57,664.	57,664.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	89.		
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 8	68,488.	31.	31.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶ 11,050.			
	Less accumulated depreciation STMT 9 ▶ 11,050.			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	163,879.	57,695.	57,695.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	163,879.	57,695.	
	30 **Total net assets or fund balances**	163,879.	57,695.	
	31 **Total liabilities and net assets/fund balances**	163,879.	57,695.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	163,879.
2	Enter amount from Part I, line 27a	2	-106,192.
3	Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ROUNDING ADJUSTMENT	3	8.
4	Add lines 1, 2, and 3	4	57,695.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	57,695.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	(SEE ATTACHED STATEMENTS)	P	VARIOUS	VARIOUS
b	(SEE ATTACHED STATEMENTS)	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	101,569.		91,988.	9,581.
b	328,631.		299,148.	29,483.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				9,581.
b				29,483.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 39,064.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	1,208,920.	221,446.	5.459209
2007	748,795.	329,078.	2.275433
2006	299,575.	433,017.	.691832
2005	651,515.	491,022.	1.326855
2004	529,788.	631,367.	.839113

2	Total of line 1, column (d)	2	10.592442
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	2.118488
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	152,705.
5	Multiply line 4 by line 3	5	323,504.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	378.
7	Add lines 5 and 6	7	323,882.
8	Enter qualifying distributions from Part XII, line 4	8	1,115,693.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	378.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	378.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-		5	378.
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 2,198.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	2,198.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,820.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶	1,820. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 10	10	X	

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT T. HALE, JR. Telephone no ▶ (781) 740-4558

Located at ▶ 8 OLMSTEAD DRIVE, HINGHAM, MA ZIP+4 ▶ 02043

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____ , ____ , ____ , ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____ , ____ , ____ , ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[ ] Yes [X] No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	[ ] Yes [X] No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ [ ]			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	[ ] Yes [ ] No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT T. HALE, JR. 8 OLMSTEAD DRIVE HINGHAM, MA 02043	TRUSTEE 0.25	0.	0.	0.
KAREN R. HALE 8 OLMSTEAD DRIVE HINGHAM, MA 02043	TRUSTEE 0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	11,458.
b	Average of monthly cash balances	1b	143,572.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	155,030.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	155,030.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,325.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	152,705.
6	**Minimum investment return.** Enter 5% of line 5	6	7,635.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	7,635.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 378.		
b	Income tax for 2009 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	378.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	7,257.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	7,257.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	7,257.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,115,693.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,115,693.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	378.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,115,315.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				7,257.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004 498,444.				
b From 2005 627,288.				
c From 2006 279,193.				
d From 2007 736,219.				
e From 2008 1,200,626.				
f Total of lines 3a through e	3,341,770.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 1,115,693.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				7,257.
e Remaining amount distributed out of corpus	1,108,436.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,450,206.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	498,444.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	3,951,762.			
10 Analysis of line 9				
a Excess from 2005 627,288.				
b Excess from 2006 279,193.				
c Excess from 2007 736,219.				
d Excess from 2008 1,200,626.				
e Excess from 2009 1,108,436.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ROBERT T. HALE, JR.

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 11				
Total			▶ 3a	1,112,117.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	73.	
4 Dividends and interest from securities			14	133.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	1,916.	
8 Gain or (loss) from sales of assets other than inventory			18	39,064.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		41,186.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 41,186.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Karen Ardo | 5-16-10 | Trustee
Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature: Richard Maguire	Date: 5/14/10	Check if self-employed ☐
Firm's name (or yours if self-employed), address, and ZIP code: MARCUM LLP, 53 STATE STREET, FLOOR 38, BOSTON, MA 02109		EIN
		Phone no (617)742-9666

Form **990-PF** (2009)

923622
02-02-10

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization	Employer identification number
NEW BREEZE FOUNDATION	04-3510635

Organization type (check one)·

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: NEW BREEZE FOUNDATION

Employer identification number: 04-3510635

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ROBERT AND KAREN HALE, JR. 8 OLMSTEAD DRIVE HINGHAM, MA 02043	$ 322,458.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
2	ROBERT AND KAREN HALE, JR. 8 OLMSTEAD DRIVE HINGHAM, MA 02043	$ 650,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization: NEW BREEZE FOUNDATION

Employer identification number: 04-3510635

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	SEE ATTACHED	$ 260,125.	VARIOUS
		$	
		$	
		$	
		$	
		$	

2009 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	ORGANIZATIONAL COSTS	011002		60M	43	11,050.			11,050.	11,050.		0.
	* TOTAL 990-PF PG 1 DEPR & AMORT					11,050.		0.	11,050.	11,050.	0.	0.

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST INCOME	73.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	73.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME	133.	0.	133.
TOTAL TO FM 990-PF, PART I, LN 4	133.	0.	133.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	1,916.	1,916.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,916.	1,916.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DAVID FISETTE- LEGAL FEES	3,036.	1,518.		1,518.
TO FM 990-PF, PG 1, LN 16A	3,036.	1,518.		1,518.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UHY ADVISORS- TAX PREPARATION	3,125.	1,563.		1,562.
NKM FINANCIAL- BOOKKEEPING	493.	247.		246.
TO FORM 990-PF, PG 1, LN 16B	3,618.	1,810.		1,808.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL FEES	65.	65.		0.
TO FORM 990-PF, PG 1, LN 16C	65.	65.		0.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	750.	0.		0.
MA FILING FEE	250.	0.		250.
TO FORM 990-PF, PG 1, LN 18	1,000.	0.		250.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES	31.	31.
TOTAL TO FORM 990-PF, PART II, LINE 10B	31.	31.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 9

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
ORGANIZATIONAL COSTS	11,050.	11,050.	0.
TOTAL TO FM 990-PF, PART II, LN 14	11,050.	11,050.	0.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 10
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
ROBERT & KAREN HALE, JR.	8 OLMSTEAD DRIVE HINGHAM, MA 02043

FORM 990-PF — GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR — STATEMENT 11

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN CANCER SOCIETY 30 SPEEN STREET FRAMINGHAM, MA 01701	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	100.
ARTIST FOR HUMANITY 100 WEST SECOND STREET BOSTON, MA 02127	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	2,400.
BOSTON COLLEGE ATHLETICS 140 COMMONWEALTH AVE CHESTNUT HILL, MA 02467	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	4,000.
BOULDER COMMUNITY HOSPITAL 311 MAPLETON AVE BOULDER, CO 803043979	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	275.
BRIGHAM & WOMEN'S HOSPITAL 116 HUNTINGTON AVE BOSTON, MA 02116	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	166,797.
CAMP HARBOR VIEW FOUNDATION 200 CLARENDON ST, 60TH FLOOR BOSTON, MA 02116	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	102,500.
CARDINAL CUSHING SCHOOL 405 WASHINGTON STREET HANOVER, MA 02339	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	200.
CONNECTICUT COLLEGE 270 MOHEGAN AVE NEW LONDON, CT 06320	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	25,000.

CYSTIC FIBROSIS FOUNDATION 6931 ARLINGTON ROAD BETHESDA, MD 20814	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	100.
DEERFIELD ACADEMY P.O. BOX 87 DEERFIELD, MA 01342	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	350,000.
HINGHAM CONGREGATIONAL CHURCH 366 MAIN STREET HINGHAM, MA 02043	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	40,100.
HINGHAM EDUCATIONAL FOUNDATION P.O. BOX 284 HINGHAM, MA 02043	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	3,600.
HINGHAM YOUTH LACROSSE 249 NORTH STREET HINGHAM, MA 02043	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	1,345.
JETT FOUNDATION 42 ELM STREET KINGSTON, MA 023641943	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	100.
JILLIAN HOPE DOYLE FOUNDATION	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	100.
JIMMY FUND 10 BROOKLINE PLACE WEST BROOKLINE, MA 024457295	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	50,000.
JUVENILE DIABETES RESEARCH 532 BROADHOLLOW ROAD # 118 MELVILLE, NY 117473609	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	50.
LOWN CARDIOVASCULAR RESEARCH FOUNDATION 21 LONGWOOD AVE BROOKLINE, MA 02446	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	1,000.

MICHAEL J. FOX FOUNDATION CHURCH STREET STATION, P.O. BOX 780 NEW YORK, NY 100080780	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	100.
NAPLES TOWN HALL DISTINGUISHED 5551 RIDGEWOOD DRIVE NAPLES, FL 341082718	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	1,100.
NATIONAL MULTIPLE SCLEROSIS SOCIETY 700 BROADWAY DENVER, CO 80203	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	300.
NEW ENGLAND WILDLIFE CENTER 500 COLUMBIAN STREET SOUTH WEYMOUTH, MA 02190	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	250.
PAN MASS CHALLENGE 77 4TH AVENUE NEEDHAM, MA 02494	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	250.
PENNIES FOR PATIENTS 1311 MAMARONECK AVE, SUITE 310 WHITE PLAINS, NY 10605	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	50.
RALLY FOR THE CURE 20 WESTPORT ROAD WILTON, CT 06897	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	150.
SHARING FOUNDATION PO BOX 600 CONCORD, MA 01742	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	100.
SOUTH SHORE YMCA 79 CODDINGTON STREET QUINCY, MA 02169	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	50,000.
THAYER ACADEMY 747 WASHINGTON STREET BRAINTREE, MA 02185	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	1,300.

WARD STREET TURF FIELDS FUND PO BOX 641 HINGHAM, MA 02043	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	115,000.
WESTPORT LAND CONSERVATION TRUST 803 MAIN ROAD WESTPORT, MA 02790	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	10,250.
WESTPORT RIVER WATERSHED ALLIANCE P.O. BOX 3427 WESTPORT, MA 02790	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	600.
WHEELER SCHOOL 216 HOPE STREET PROVIDENCE, RI 02906	NONE UNRESTRICTED CONTRIBUTION	PUBLIC CHARITY	185,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			1,112,117.

ROBERT T HALE & KAREN R
HALE TTEE NEW BREEZE
FOUNDATION
UAD 03/30/2000
DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 6109-9657

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	50,821.19	430,200.73

Portfolio detail

Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held in one or more FDIC-insured depository account(s) established with Wells Fargo Bank, N.A. Additional funds over $250,000 are deposited at one or more additional banks affiliated with Wells Fargo Advisors. These assets are not covered by SIPC, but are instead covered by FDIC insurance in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP Interest Period 12/01/09 - 12/31/09	99.95	0.03	57,664.10	17.29
Total Cash and Sweep Balances	**99.95**		**$57,664.10**	**$17.29**

* APY measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
FIDELITY DAILY MONEY CASH MANAGEMENT PRIME FUNDS DAILY MONEY CLASS FDAXX On Reinvestment Reinvestments S	0.05	30.65000	1.00	30.65	1.0000	30.65	0.00	N/A	0.01
Total Open End Mutual Funds	**0.05**			**$30.65**		**$30.65**	**$0.00**		
Total Mutual Funds	**0.05**			**$30.65**		**$30.65**	**$0.00**		

New Breeze Foundation
Securities Received - 2009

Security	# of Shares	Date Received	Basis	FMV Of Securities
3Par Inc.	6,000.00	6/15/2009	$ 99,154	$ 65,340
Celestica, Inc.	2,500.00	7/27/2009	$ 62,749	$ 19,175
Anadigics, Inc.	7,000.00	9/14/2009	$ 24,500	$ 30,660
3Com	20,000.00	9/15/2009	$ 85,105	$ 94,200
Intel Corp.	2,500.00	12/28/2009	$ 50,950	$ 50,750
Total			$ 322,458	$ 260,125

ROBERT T HALE & KAREN R
HALE TTEE NEW BREEZE
FOUNDATION
UAD 03/30/2000
MARCH 1 - MARCH 31, 2009
ACCOUNT NUMBER: 6109-9657

Activity detail by type continued

Withdrawals by check

Entries that display a blank in the expense code field are checks generated by the firm based upon standing or specific instructions that you have provided.

DATE	ACCOUNT TYPE	CHECK NUMBER	DESCRIPTION	EXPENSE CODE	AMOUNT
03/23	Cash	0000233	CARDINAL CUSHING SCHOOL	Unspecified	-200.00
03/30	Cash	0000236*	THAYER ACADEMY	Unspecified	-1,000.00
03/19	Cash	0000237	BRINGHAM & WOMENS HOSPITAL	Unspecified	-6,597.00
03/31	Cash	0000238	SO SHORE YMCA	Unspecified	-50,000.00
				Total Withdrawals by check :	**- $57,797.00**

* Checks out of sequence

Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT
03/01		BEGINNING BALANCE	56,761.19
03/19	TRANSFER FROM	BANK DEPOSIT SWEEP	-6,597.00
03/23	TRANSFER FROM	BANK DEPOSIT SWEEP	-200.00
03/30	TRANSFER FROM	BANK DEPOSIT SWEEP	-1,000.00

DATE	TRANSACTION	DESCRIPTION	AMOUNT
03/31	REINVEST INT	BANK DEPOSIT SWEEP	3.66
03/31		ENDING BALANCE	48,967.85

Realized gain/loss

Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	0.00	- 5.00	-5.00	0.00	- 5.00	-5.00
Long term	0.00	0.00	0.00	0.00	0.00	0.00
Total Realized Gain/Loss	**$ 0.00**	**-$ 5.00**	**-$5.00**	**$ 0.00**	**-$ 5.00**	**-$5.00**

ROBERT T HALE & KAREN R
HALE TTEE NEW BREEZE
FOUNDATION
UAD 03/30/2000
JUNE 1 - JUNE 30, 2009
ACCOUNT NUMBER. 6109-9657

Cash sweep activity continued

DATE	TRANSACTION	DESCRIPTION	AMOUNT
06/01		BEGINNING BALANCE	525,797.90
06/10	TRANSFER FROM	BANK DEPOSIT SWEEP	-3,000.00
06/17	TRANSFER FROM	BANK DEPOSIT SWEEP	-50.00
06/18	TRANSFER TO	BANK DEPOSIT SWEEP	98,764.54
06/18	TRANSFER FROM	BANK DEPOSIT SWEEP	-50,000.00
06/19	TRANSFER TO	BANK DEPOSIT SWEEP	13,421.77
06/19	TRANSFER FROM	BANK DEPOSIT SWEEP	-101,500.00
06/30	REINVEST INT	BANK DEPOSIT SWEEP	12.28
06/30	TRANSFER FROM	BANK DEPOSIT SWEEP	-350,000.00
06/30		ENDING BALANCE	133,446.49

Realized gain/loss

Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	9,586.46	0.00	9,586.46	9,586.46	- 5.00	9,581.46
Long term	3,446.11	0.00	3,446.11	3,446.11	0.00	3,446.11
Total Realized Gain/Loss	**$ 13,032.57**	**$ 0.00**	**$13,032.57**	**$ 13,032.57**	**-$ 5.00**	**$13,027.57**

Realized Gain/Loss Detail

Short term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
3PAR INC	3,000.0000	7.7699	07/08/08	06/15/09	32,896.16	23,309.70	9,586.46
Total Short term					**$ 32,896.16**	**$ 23,309.70**	**$9,586.46**

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
CITRIX SYSTEM INC	1,000.0000	32.2651	03/12/07	06/15/09	32,972.24	32,265 10	707.14
	400.0000	32.2651	03/12/07	06/16/09	13,421.77	12,906.04	515.73
3PAR INC	3,000 0000	10 2243	06/06/08	06/15/09	32,896 14	30,672.90	2,223.24
Total Long term					**$ 79,290.15**	**$ 75,844.04**	**$3,446.11**

WELLS FARGO

ROBERT T HALE & KAREN R
HALE TTEE NEW BREEZE
FOUNDATION
UAD 03/30/2000
JULY 1 - JULY 31, 2009
ACCOUNT NUMBER: 6109-9657

Cash sweep activity continued

DATE	TRANSACTION	DESCRIPTION	AMOUNT
07/01		BEGINNING BALANCE	133,446.49
07/01	TRANSFER TO	BANK DEPOSIT SWEEP	1,811.42
07/01	TRANSFER FROM	BANK DEPOSIT SWEEP	-2,500.00
07/14	TRANSFER FROM	BANK DEPOSIT SWEEP	-100.00
07/23	TRANSFER FROM	BANK DEPOSIT SWEEP	-474.75
07/27	TRANSFER FROM	BANK DEPOSIT SWEEP	-125.00
07/30	TRANSFER TO	BANK DEPOSIT SWEEP	75,092.93
07/31	REINVEST INT	BANK DEPOSIT SWEEP	3.50
07/31		ENDING BALANCE	207,154.59

Realized gain/loss

Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	0.00	0.00	0.00	9,586.46	- 5.00	9,581.46
Long term	12,343.92	0.00	12,343.92	15,790.03	0.00	15,790.03
Total Realized Gain/Loss	**$ 12,343.92**	**$ 0.00**	**$12,343.92**	**$ 25,376.49**	**-$ 5.00**	**$25,371.49**

Realized Gain/Loss Detail

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
CELESTICA INC SUB VTG SHS	2,500.0000	5.9736	12/26/07	07/27/09	19,168.75	15,061.75	4,107.00
CITRIX SYSTEM INC	600.0000	32.2651	03/12/07	07/27/09	20,971.56	19,359.06	1,612.50
	1,000.0000	28.3282	07/08/08	07/27/09	34,952.62	28,328.20	6,624.42
Total Long term					**$ 75,092.93**	**$ 62,749.01**	**$12,343.92**

ARGO

ROBERT T HALE & KAREN R
HALE TTEE NEW BREEZE
FOUNDATION
UAD 03/30/2000
SEPTEMBER 1 - SEPTEMBER 30, 2009
ACCOUNT NUMBER: 6109-9657

Cash sweep activity continued

DATE	TRANSACTION	DESCRIPTION	AMOUNT
09/01		BEGINNING BALANCE	201,928.81
09/15	TRANSFER FROM	BANK DEPOSIT SWEEP	-4,000.00
09/18	TRANSFER TO	BANK DEPOSIT SWEEP	30,389.21
09/21	TRANSFER TO	BANK DEPOSIT SWEEP	92,912 60
09/21	TRANSFER FROM	BANK DEPOSIT SWEEP	-15,150.00
09/22	TRANSFER FROM	BANK DEPOSIT SWEEP	-10,000.00
09/23	TRANSFER FROM	BANK DEPOSIT SWEEP	-10,000.00
09/29	TRANSFER TO	BANK DEPOSIT SWEEP	60.00
09/30	REINVEST INT	BANK DEPOSIT SWEEP	5.75
09/30		ENDING BALANCE	286,146.37

Realized gain/loss

Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	0.00	0.00	0.00	9,586.46	- 5.00	9,581.46
Long term	13,821.31	0.00	13,821.31	29,611.34	0.00	29,611.34
Total Realized Gain/Loss	**$ 13,821.31**	**$ 0.00**	**$13,821.31**	**$ 39,197.80**	**-$ 5.00**	**$39,192.80**

Realized Gain/Loss Detail

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
ANADIGICS INC	7,000.0000	3.5000	08/28/08	09/15/09	30,389.21	24,500.00	5,889.21
3COM CORP	10,000.0000	4.5400	12/27/07	09/16/09	46,518.80	45,705.50	813.30
	10,000.0000	3.9400	02/19/08	09/16/09	46,518.80	39,400.00	7,118.80
Total Long term					**$ 123,426.81**	**$ 109,605.50**	**$13,821.31**

WELLS FARGO

ROBERT T HALE & KAREN R
HALE TTEE NEW BREEZE
FOUNDATION
UAD 03/30/2000
DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 6109-9657

Realized gain/loss

Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	0 00	0 00	0.00	9,586 46	- 5.00	9,581.46
Long term	0.00	- 128 81	-128 81	29,611.34	- 128.81	29,482.53
Total Realized Gain/Loss	**$ 0.00**	**-$ 128.81**	**-$128.81**	**$ 39,197.80**	**-$ 133.81**	**$39,063.99**

Realized Gain/Loss Detail

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
INTEL CORP	2,500.0000	20 3800	02/21/08	12/28/09	50,821.19	50,950 00	- 128.81
Total Long term					**$ 50,821.19**	**$ 50,950.00**	**- $128.81**

Specific instructions and disclosures

About this statement

Available funds
"Available for loan" reflects the approximate amount available as of the statement period ending date and should be reduced by any pending checks and Visa charges not yet cleared This amount is the approximate amount available for withdrawal and loans A margin loan is a variable rate loan secured by your account

Cost basis
This statement presents estimated unrealized or realized gains or losses for your information only If acquisition or other information is not available the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio Cost basis information is not verified by FCC LLC or Wells Fargo Advisors and should not be relied upon for legal or tax purposes Revisions to this information (due to corporate mergers lenders and other reorganizations) may be required from time to time To update your cost information or provide omitted cost information contact Your Financial Advisor

Cost basis for factored bonds (GNMA CMO etc) will be adjusted for paydown of principal Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average

Your account statement should not be used for tax preparation without assistance from your tax consultant because it does not constitute an official accounting of gains/losses We do not report capital gains or losses to the IRS

SNAPSHOT

ROBERT T HALE & KAREN R
HALE TTEE NEW BREEZE
FOUNDATION
UAD 03/30/2000
DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 6109-9657

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	**$9,392.67**	
Deposits	0.00	1,916.22
Income and distributions	0.24	121.51
Securities sold and redeemed	50,821.19	430,200.73
Other additions	0.00	731,823.59
Net additions to cash	**$50,821.43**	**$1,164,062.05**
Withdrawals by check	-2,550.00	-1,106,200.50
Securities purchased	0.00	-67.40
Other subtractions	0.00	-130.05
Net subtractions from cash	**-$2,550.00**	**-$1,106,397.95**
Closing value of cash and sweep balances	**$57,664.10**	

Income summary

		THIS PERIOD	THIS YEAR
TAXABLE	Money market/sweep funds	0.24	73.10
	Ordinary dividends and ST capital gains	0.00	132.85
	Total taxable income	**$0.24**	**$205.95**
	Total federally tax-exempt income	**$0.00**	**$0.00**
	Total income	**$0.24**	**$205.95**

Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term	0.00	0.00	9,581.46
Long term	0.00	-128.81	29,482.53
Total	**$0.00**	**-$128.81**	**$39,063.99**