Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: The Harman Cain Family Foundation

Number and street (or P O box number if mail is not delivered to street address): Barbara L Harman, Trustee - 397 South Street — Room/suite:

City or town, state, and ZIP code: Needham MA 02492

A Employer identification number: 04-3340178

B Telephone number (see page 10 of the instructions): (781) 449-4189

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,192,525

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

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Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	0	0		
	4	Dividends and interest from securities	24,206	24,206		
	5 a	Gross rents		0		
	b	Net rental income or (loss) 0				
	6 a	Net gain or (loss) from sale of assets not on line 10	46,812			
	b	Gross sales price for all assets on line 6a 0				
	7	Capital gain net income (from Part IV, line 2)		46,812		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10 a	Gross sales less returns and allowances 0				
	b	Less Cost of goods sold 0				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	0	0	0	
	12	**Total.** Add lines 1 through 11	71,018	71,018	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)	0	0	0	0
	b	Accounting fees (attach schedule)	0	0	0	0
	c	Other professional fees (attach schedule)	5,676	5,676	0	0
	17	Interest	17	17		
	18	Taxes (attach schedule) (see page 14 of the instructions)	753	753	0	0
	19	Depreciation (attach schedule) and depletion	0	0	0	
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	35	35	0	0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	6,481	6,481	0	0
	25	Contributions, gifts, grants paid	64,050			64,050
	26	**Total expenses and disbursements.** Add lines 24 and 25	70,531	6,481	0	64,050
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	487			
	b	**Net investment income** (if negative, enter -0-)		64,537		
	c	**Adjusted net income** (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

(HTA)

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Part II Balance Sheets

	Line	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	11,300	44,767	44,767
	3	Accounts receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	4	Pledges receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0
	b	Investments—corporate stock (attach schedule)	296,466	271,637	768,424
	c	Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	344,079	335,928	379,334
	14	Land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15	Other assets (describe ▶ Estimated taxes)	0	0	0
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	651,845	652,332	1,192,525
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶)	0	0	
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	651,845	652,332	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	651,845	652,332	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	651,845	652,332	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	651,845
2	Enter amount from Part I, line 27a	2	487
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	652,332
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	652,332

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See attached			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	0	0	0	46,812
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	46,812
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	55,300	1,223,794	0 045187
2007	87,550	1,533,498	0 057092
2006	56,747	996,351	0 056955
2005	46,405	915,957	0 050663
2004	34,723	697,295	0 049797

2	**Total** of line 1, column (d)	2	0.259694
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051939
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	0
5	Multiply line 4 by line 3	5	0
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	645
7	Add lines 5 and 6	7	645
8	Enter qualifying distributions from Part XII, line 4	8	64,050

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	645
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	645
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	645
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 0		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	0
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	10
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	655
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 0 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ Barbara L. Harman Telephone no. ▶ (781) 449-4189

Located at ▶ 37 Woodlawn Avenue Needham MA ZIP+4 ▶ 02492

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Barbara L. Harman 397 South Street Needham MA 02492	Trustee 00	0	0	0
William E. Cain 397 South Street Needham MA 02492	Trustee 00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0

Total number of others receiving over $50,000 for professional services . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	0
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	996,839
b	Average of monthly cash balances		1b	44,767
c	Fair market value of all other assets (see page 24 of the instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,041,606
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	1,041,606
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)		4	15,624
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,025,982
6	**Minimum investment return.** Enter 5% of line 5		6	51,299

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	51,299
2a	Tax on investment income for 2009 from Part VI, line 5	2a	645		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	645
3	Distributable amount before adjustments Subtract line 2c from line 1			3	50,654
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	50,654
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	50,654

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	64,050
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	64,050
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	645
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	63,405

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					50,654
2 Undistributed income, if any, as of the end of 2009					
a Enter amount for 2008 only				0	
b Total for prior years 20____, 20____, 20___			0		
3 Excess distributions carryover, if any, to 2009					
a From 2004	4,822				
b From 2005	1,261				
c From 2006	7,923				
d From 2007	12,418				
e From 2008	0				
f **Total** of lines 3a through e		26,424			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 64,050					
a Applied to 2008, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)		0			
d Applied to 2009 distributable amount					50,654
e Remaining amount distributed out of corpus		13,396			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		39,820			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions			0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		4,822			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		34,998			
10 Analysis of line 9					
a Excess from 2005	1,261				
b Excess from 2006	7,923				
c Excess from 2007	12,418				
d Excess from 2008	0				
e Excess from 2009	13,396				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0	0	0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0	0	0	0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0	0	0	0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

Barbara L. Harman

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The Barker Foundation 7979 Old Georgetown Road Bethesda MD 20814				500
GUH Kids Mobile Medical Clinic 3800 Reservoir Road Washington DC 20007				500
Legal Council for the Elderly 601 E Street NW Washington DC 20049				500
Actors' Shakespeare Project PO Box 400986 Cambridge MA 02140				16,500
Celebrity Series of Boston 20 Park Plaza Boston MA 02116				1,250
Forum Theatre PO Box 34572 Washington DC 20043				2,000
Guggenheim Museum 1071 Fifth Avenue New York NY 10128				1,000
National Gallery of Art 2000B South Club Drive Landover MD 20785				3,000
Raw Art Works 37 Central Square Lynn MA 01901				5,000
Shakespeare Theatre Company 516 Eight Street Washington DC 20003				10,000
The Theatre Offensive 43 Thorndike Street Cambridge MA 02141				3,000
Collegiate Directions 4833 Rugby Avenue Bethesda MD 20814				500
Skidmore College Annual Parents Fund 815 N Broadway Saratoga Springs NY 12866				2,000
St John's Prep 72 Spring Street Danvers MA 01923				1,000
Urban Alliance 1327 14th Street Washington DC 20005				500
AmericaShare 15 West 26th Street New York NY 10010				1,300
Total . . See Attached Statement			▶ 3a	64,050
b *Approved for future payment*				
				0
				0
				0
				0
				0
				0
				0
				0
				0
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					24,206
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				0	46,812
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		0		0	71,018

13 Total. Add line 12, columns (b), (d), and (e) 13 71,018

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 5/8/10 | Title Trustee

Paid Preparer's Use Only

Preparer's signature | Date 5/4/2010 | Check if self-employed ☐ | Preparer's identifying number (see **Signature** on page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code: Fedele and Murray, P.C, 17 Walpole Street, Norwood, MA 02062-3318 | EIN 04-2772641 | Phone no (781) 551-5900

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name: Dana Farber Cancer Institute			[ ] Person [X] Business
Street: 10 Brookline Place			
City: Boston	State: MA	Zip code: 02445	Foreign Country:
Relationship:	Foundation Status:		
Purpose of grant/contribution:			Amount: 1,000

Name: The Michael J. Fox Foundation			[ ] Person [X] Business
Street: PO Box 4777			
City: New York	State: NY	Zip code: 10163	Foreign Country:
Relationship:	Foundation Status:		
Purpose of grant/contribution:			Amount: 1,000

Name: Catalogue For Philanthropy			[ ] Person [X] Business
Street: PO Box 9784			
City: Washington	State: DC	Zip code: 20016	Foreign Country:
Relationship:	Foundation Status:		
Purpose of grant/contribution:			Amount: 12,500

Name: Many Hands			[ ] Person [X] Business
Street:			
City: Bethesda	State: MD	Zip code:	Foreign Country:
Relationship:	Foundation Status:		
Purpose of grant/contribution:			Amount: 1,000

Name:			[ ] Person [ ] Business
Street:			
City:	State:	Zip code:	Foreign Country:
Relationship:	Foundation Status:		
Purpose of grant/contribution:			Amount: 0

Name:			[ ] Person [ ] Business
Street:			
City:	State:	Zip code:	Foreign Country:
Relationship:	Foundation Status:		
Purpose of grant/contribution:			Amount: 0

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
ABT	ABBOTT LABS	21 00	1,168.95	1,013 72	-155.23	ST
ABB	ABB LTD SPON ADR	31.00	444 52	397 51	-47 01	ST
ARO	AEROPOSTALE INC	21.00	667.12	753.73	86 61	ST
ATI	ALLEGHENY TECHNOLOGY INC	58 00	1,334 42	1,858.40	523 98	ST
AXS	AXIS CAPITAL HOLDINGS	10.00	307.88	290.44	-17.44	ST
ALL	ALLSTATE CORP	188.00	4,698.31	4,043.66	-654.65	ST
AA	ALCOA INC	94 00	985 82	780.05	-205.77	ST
MO	ALTRIA GROUP INC	106 00	1,775.88	2,023.91	248 03	ST
T	AT&T INC	506.00	12,970.41	12,950.04	-20.37	ST
AMZN	AMAZON COM INC	19 00	1,319 83	2,031.20	711 37	ST
AMED	AMEDISYS INC	14.00	674 94	426 79	-248.15	ST
LNT	ALLIANT ENERGY CORP	6.00	134 57	157 47	22.90	ST
AWH	ALLIED WORLD ASSURN	5 00	205.07	239.44	34 37	ST
ADS	ALLIANCE DATA SYSTEMS	32 00	1,551.80	1,026 93	-524 87	ST
AEP	AMER ELECTRIC POWER CO	75 00	2,209 64	2,034 55	-175 09	ST
AOMFF	ALSTOM SHS PROV	5.00	225.00	355 55	130 55	LT
AOMFF	ALSTOM SHS PROV	1 00	45.00	54 24	9.24	ST
AXP	AMER EXPRESS CO	5 00	133 65	203.78	70.13	ST
ALK	ALASKA AIR GROUP INC	24.00	619.30	439.75	-179.55	ST
AFG	AMERICAN FINANCIAL GROUP	58.00	1,218.87	1,300 01	81.14	ST
ATE	ADVANTEST CORP ADR	46.00	1,021 70	1,070 84	49 14	ST
AGP	AMERIGROUP CORP	15 00	438.11	342 71	-95 40	ST
AMP	AMERIPRISE FINANCIAL INC	37.00	1,054 51	1,054 51	0 00	ST
ADBE	ADOBE SYSTEMS INC	3.00	107 18	106 00	-1 18	ST
MT	ARCELORMITTAL SA NY REG	4.00	109 23	143 02	33 79	ST
AAUKY	ANGLO AMERN PLC NEW ADR	87.00	979 70	644 69	-335 01	ST
AIQUY	AIR LIQUIDE ADR FRANCE	2.00	30.88	48.29	17.41	LT
AIQUY	AIR LIQUIDE ADR FRANCE	14 00	216.16	301.51	85.35	ST
AON	AON CORP	28.00	1,042.43	1,127.99	85 56	ST
AMGN	AMGEN INC	101.00	5,452.79	6,110 16	657 37	ST
AEE	AMEREN CORP	35.00	779.61	889 57	109 96	ST
AES	AES CORP	24.00	288 63	303 96	15.33	ST
APA	APACHE CORP	17.00	1,231.97	1,633.44	401.47	ST
ADM	ARCHER DANIELS MIDLAND	221.00	5,377 96	5,845.76	467 80	ST
AAPL	APPLE INC	74.00	8,377 62	10,803 07	2,425 45	ST
AZO	AUTOZONE INC	1.00	153 04	145 74	-7 30	ST
ARW	ARROW ELECTRONICS INC	27 00	435.51	618.04	182 53	ST
AF	ASTORIA FINANCIAL CORP	9 00	146.29	67 26	-79.03	ST
APH	AMPHENOL CORP NEW CL A	1 00	42.80	42.09	-0.71	ST
BBT	BB&T CORP	84 00	1,646 27	1,816 57	170.30	ST
AVY	AVERY DENNISON CORP	18 00	356 82	483 60	126 78	ST
AVT	AVNET INC	38.00	741.04	842.45	101.41	ST
BAESY	BAE SYSTEMS PLC SPON ADR	4 00	81 20	89 23	8 03	LT
BAESY	BAE SYSTEMS PLC SPON ADR	19 00	385.70	401 49	15 79	ST
AMT	AMER TOWER CORP CL A	27.00	717 46	792.57	75 11	ST
BNPQY	BNP PARIBAS SA ADR	1 00	39.15	41.14	1 99	ST
BLL	BALL CORP	1 00	44 89	50.51	5.62	ST
BK	BANK OF NEW YORK MELLON	149.00	3,959 73	4,083.67	123 94	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
BCR	BARD C R INC	3 00	257.06	215.79	-41.27	ST
ABX	BARRICK GOLD CORP	6 00	176 55	240.06	63.51	ST
BAYRY	BAYER A G SPON ADR	16 00	829 92	925.16	95.24	ST
APOL	APOLLO GROUP INC CL A	13.00	889 10	770.24	-118.86	ST
BIG	BIG LOTS INC	41.00	1,087 70	1,087.84	0 14	ST
BBL	BHP BILLITON PLC NEW	4 00	142 80	244 74	101 94	ST
BFLBY	BILFINGER BERGER AG ADR	1.00	13 54	15.39	1 85	ST
BA	BOEING COMPANY	139.00	6,293.02	7,234 88	941.86	ST
BRGYY	BG GROUP PLC SPON ADR	2.00	111 80	181.29	69 49	LT
BRGYY	BG GROUP PLC SPON ADR	6.00	335.40	488.09	152.69	ST
BMY	BRISTOL MYERS SQUIBB CO	116 00	2,322.45	2,524.09	201 64	ST
BP	BP PLC SPON ADR	50 00	2,425.23	2,175.48	-249 75	ST
BIIB	BIOGEN IDEC INC	29 00	1,389.48	1,338.93	-50 55	ST
BBY	BEST BUY CO INC	36 00	1,367.05	1,304.30	-62.75	ST
DBOEF	DEUTSCHE BOERSE AG	20 00	1,342.00	1,436.71	94.71	ST
BG	BUNGE LIMITED	33 00	1,836 22	1,891.51	55.29	ST
BTI	BRITISH AMER TOBACCO PLC	1.00	46.93	63 41	16 48	LT
BTI	BRITISH AMER TOBACCO PLC	2 00	93.86	108 49	14 63	ST
BIDU	BAIDU INC ADS REPSNTG CL	1 00	401 05	439 81	38 76	ST
BSBR	BANCO SANTANDER BRASIL	41 00	532 57	515 20	-17 37	ST
BZLFF	BUNZL PLC ORD GBP	4 00	34.18	42.54	8 36	ST
	BURLINGTON NTHN SANTA FE	14.00	804.65	907.14	102 49	ST
BAX	BAXTER INTL INC	5 00	289.79	263.89	-25.90	ST
CSX	CSX CORPORATION	141 00	4,564.55	4,453.42	-111.13	ST
CA	CA INC	7.00	122 03	153.94	31 91	ST
COH	COACH INC	9.00	232.26	262.74	30 48	ST
CPB	CAMPBELL SOUP CO	7.00	209 75	237 38	27 63	ST
COF	CAPITAL ONE FINCL CORP	127.00	3,374 19	4,053.78	679 59	ST
CPLA	CAPELLA EDUCATION CO	4.00	225 46	235 87	10 41	ST
CAH	CARDINAL HEALTH INC	15.00	476.82	460 48	-16.34	ST
CAT	CATERPILLAR INC	65 00	2,330 82	2,403 39	72.57	ST
CVS	CVS CAREMARK CORP	118 00	3,483 01	3,971 80	488 79	ST
	CENTEX CORP (PAIRED	46 00	429.18	473.14	43 96	ST
CAJ	CANON INC ADR JAPAN SPON	26 00	710.07	730.57	20 50	ST
CRH	CRH PLC IRELAND SPON ADR	27.00	727.93	604.66	-123.27	ST
CEPH	CEPHALON INC	20 00	1,363.91	1,258 95	-104.96	ST
CHK	CHESAPEAKE ENERGY CORP	62.00	1,648.34	1,442.86	-205 48	ST
CHL	CHINA MOBILE LTD SPON	17 00	889 21	809 49	-79 72	ST
CS	CREDIT SUISSE GROUP SPON	38.00	1,366.18	1,622 63	256 45	ST
CI	CIGNA CORP	19 00	464 17	542.20	78 03	ST
PLCE	CHILDRENS PLACE RETAIL	10 00	268 83	327.41	58 58	ST
CMGB	CHIPOTLE MEXICAN GRILL	6 00	422.60	488.49	65.89	ST
CB	CHUBB CORP	20 00	918 80	999.03	80.23	LT
CB	CHUBB CORP	17.00	780.98	704 24	-76 74	ST
CINF	CINN FINANCIAL CORP	6 00	136.47	136 21	-0.26	ST
CCL	CARNIVAL CORP NEW	189 00	4,157 91	4,672.19	514 28	ST
BLK	BLACKROCK INC	1 00	173 07	219.94	46 87	ST
CVX	CHEVRON CORP	25 00	1,547 50	1,916.41	368 91	LT

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
CVX	CHEVRON CORP	26.00	1,726 16	2,001.28	275.12	ST
COST	COSTCO WHOLESALE CORP	35 00	1,765.75	1,929.62	163.87	ST
XEC	CIMAREX ENERGY CO	17.00	497.03	706.09	209 06	ST
CL	COLGATE PALMOLIVE CO	5 00	369.77	396.77	27.00	ST
CPYYY	CENTRICA PLC NEW 2004	2 00	31 51	34 41	2 90	ST
	COMPANHIA VALE DO RIO	76 00	847.35	935.28	87 93	ST
ABV	COMPANHIA DE BEBIDAS DAS	22.00	945 21	1,698 41	753.20	ST
GLW	CORNING INC	216 00	3,320 29	3,585.96	265 67	ST
CMTL	COMTECH TELECOMMUNICATNS	6 00	272.25	253 53	-18.72	ST
CSC	COMPUTER SCIENCES	69 00	1,866.45	3,207.58	1,341 13	ST
COP	CONOCOPHILLIPS	176.00	7,362.54	8,224 63	862.09	ST
CMA	COMERICA INC	57.00	1,047 30	1,556.66	509 36	ST
DNZOY	DENSO CORP ADR	6 00	456 55	577.53	120.98	ST
CMS	CMS ENERGY CORP	11 00	108 02	152 89	44 87	LT
CMS	CMS ENERGY CORP	16 00	157 12	172 85	15 73	ST
CREE	CREE INC	25.00	636.96	715 91	78 95	ST
CMI	CUMMINS INC	105 00	2,707.87	2,775.90	68.03	ST
DVN	DEVON ENERGY CORP NEW	25.00	1,381 13	1,336.96	-44.17	ST
DUK	DUKE ENERGY HOLDING CORP	32.00	485 91	500.91	15 00	ST
DT	DEUTSCHE TELEKOM AG DE	6.00	81.47	88 98	7 51	LT
DT	DEUTSCHE TELEKOM AG DE	44.00	597.46	505.89	-91.57	ST
EOG	EOG RESOURCES INC	2.00	151 22	178.11	26.89	ST
DST	DST SYSTEMS INC DELA	16 00	520 00	738 68	218 68	ST
DE	DEERE AND CO	71.00	2,609.05	2,539.54	-69.51	ST
DECK	DECKERS OUTDOOR CORP	10.00	758.80	550.26	-208.54	ST
DV	DEVRY INC DEL	5.00	281 70	218 28	-63 42	ST
	DIRECTV GROUP INC	1.00	24.76	29.47	4 71	ST
DTE	DTE ENERGY CO	14.00	395.60	538.41	142.81	ST
DLTR	DOLLAR TREE INC	22.00	973 72	1,006 05	32 33	ST
DELL	DELL INC	79.00	917 98	1,134 18	216.20	ST
DTV	THE DIRECTV GROUP CL A	1 00	24.76	31.65	6 89	ST
DBD	DIEBOLD INC	15 00	355 05	368 96	13.91	ST
DDS	DILLARDS INC CL A	19 00	323 76	369 81	46 05	ST
DIS	WALT DISNEY CO (HOLDING	84 00	1,697.34	1,878 37	181 03	ST
DISCA	DISCOVERY COMMUNICATIONS	64 00	1,129.16	1,459.55	330.39	ST
FE	FIRSTENERGY CORP	28.00	1,246 84	1,221 28	-25 56	ST
ENDP	ENDO PHARMACEUTCALS	14 00	242 53	229.25	-13 28	ST
EQIX	EQUINIX INC NEW	4 00	276 87	268 32	-8.55	ST
D	DOMINION RESOURCES INC	42.00	1,424.32	1,530.39	106.07	ST
DOW	DOW CHEMICAL	15 00	263 34	409 20	145 86	ST
EIX	EDISON INTL	149.00	4,768.97	4,657 96	-111 01	ST
RE	EVEREST RE GROUP LTD	5 00	399.53	432 40	32.87	ST
DD	DU PONT DE NEMOURS	29.00	549.69	623.02	73 33	ST
EONGY	E ON AG SPON ADR	24.00	806 84	810.23	3.39	ST
EGFEY	EFG EUROBANK ERGASIAS S	228 57	1,021 32	1,615 74	594 42	ST
EMN	EASTMAN CHEMICAL CO	11 00	295.26	321.10	25.84	ST
EBAY	EBAY INC	35.00	529 64	593.82	64 18	ST
ETR	ENTERGY CORP NEW	24 00	1,714 65	1,825 33	110 68	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
EXC	EXELON CORP	45.00	2,234.77	2,191 03	-43.74	ST
EGN	ENERGEN CORP	67.00	2,310 83	2,778 56	467.73	ST
EP	EL PASO CORP	46 00	339 34	397 81	58.47	ST
FLS	FLOWSERVE CORP	4 00	203.30	285 90	82 60	ST
XOM	EXXON MOBIL CORP	93 00	6,540.76	6,647.50	106.74	ST
EJPRY	EAST JAPAN RAILWAY CO	112.00	1,332.98	1,123 95	-209.03	ST
	ENCORE ACQUISITION CO	15 00	390 51	546.78	156 27	ST
FFIV	F5 NETWORKS INC	59 00	1,347.50	1,240 25	-107 25	ST
FMC	FMC CORP NEW	6 00	265 27	299 42	34 15	ST
FSLR	FIRST SOLAR INC	9 00	1,051.14	1,112 54	61 40	ST
FMS	FRESENIUS MEDICAL CARE	8 00	340.67	394 02	53 35	ST
FCX	FREEPORT-MCMORAN COPPER	117 00	2,747 46	3,255 56	508 10	ST
FLR	FLUOR CORP NEW	5 00	190.38	222 55	32.17	ST
FYRTY	FAMILYMART CO LTD ADR	7 00	218.02	227.33	9.31	ST
FSYS	FUEL SYSTEMS SOLUTIONS	13 00	356.72	282.16	-74.56	ST
FRX	FOREST LABORATORIES	49 00	1,071 58	1,192.08	120 50	ST
FDP	FRESH DEL MONTE PRODUCE	5 00	115.12	111 94	-3 18	ST
FCN	FTI CONSULTING INC	6 00	306.34	253 38	-52 96	ST
DNA	GENENTECH INC **MERGER	55 00	4,456.65	5,173 70	717 05	ST
GET	GAYLORD ENTERTAINMENT CO	18.00	376.74	214.36	-162 38	ST
OGZPY	OAO GAZPROM LEVEL 1 ADR	23.00	280.60	300 14	19.54	ST
GDFZY	GDF SUEZ SPON ADR	27 00	1,084 39	1,207 96	123.57	ST
GD	GENL DYNAMICS CORP	13 00	713.49	880 38	166 89	LT
GD	GENL DYNAMICS CORP	24 00	1,317.20	1,328 72	11 52	ST
GXDX	GENOPTIX INC	4 00	150.55	103.33	-47 22	ST
GPS	GAP INC	20 00	237 22	228 91	-8 31	ST
GOOG	GOOGLE INC CL A	1 00	361.80	581.60	219 80	LT
GRMN	GARMIN LTD	5 00	156.36	139.66	-16.70	ST
GIL	GILDAN ACTIVEWEAR INC	27 00	500.85	294.47	-206.38	ST
GIS	GENL MILLS INC	5 00	296.24	334.96	38.72	ST
GSK	GLAXO SMITHKLINE PLC ADR	7 00	246.52	289.42	42.90	LT
GSK	GLAXO SMITHKLINE PLC ADR	1 00	35 22	38.98	3.76	ST
BAP	CREDICORP LTD	16.00	544 52	1,070 22	525.70	ST
GS	GOLDMAN SACHS GROUP INC	7 00	705 53	1,231.98	526.45	LT
GS	GOLDMAN SACHS GROUP INC	31 00	3,207.81	4,449.41	1,241.60	ST
USNA	USANA HEALTH SCIENCES	9 00	347 04	231.33	-115.71	ST
GR	GOODRICH CORP	12 00	612 41	666 85	54 44	ST
GME	GAMESTOP CORP NEW	80.00	2,381 30	1,792.31	-588 99	ST
GENZ	GENZYME CORP GEN DIV	5.00	347 37	249.78	-97.59	ST
AFL	AFLAC INC	24 00	760 46	612 45	-148 01	ST
GFI	GOLD FIELDS LTD SPON ADR	4 00	20.76	60 87	40 11	LT
GFI	GOLD FIELDS LTD SPON ADR	23.00	119 36	224.01	104.65	ST
IHS	IHS INC CL A	2.00	99.77	105 39	5 62	ST
GILD	GILEAD SCIENCES INC	66.00	3,079.94	3,061 94	-18 00	ST
NTAP	NETAPP INC	3.00	92.36	92.66	0.30	ST
THG	HANOVER INSURANCE GROUP	15 00	586.84	446 40	-140 44	ST
HNT	HEALTH NET INC	40 00	558.92	632.59	73 67	ST
HAR	HARMAN INTL INDS INC NEW	16 00	226.08	313 20	87 12	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open	Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
HCC	HCC INSURANCE HLDGS INC	12 00		332.86	317 52	-15 34	ST
HPQ	HEWLETT PACKARD CO	178.00		6,167.31	6,848 92	681 61	ST
HES	HESS CORP	34.00		1,798 30	1,843 33	45 03	ST
HBC	HSBC HOLDINGS PLC NEW GB	27 00		1,052 26	1,462.22	409.96	ST
HMC	HONDA MOTOR CO ADR JAPAN	3 00		92.31	101.13	8.82	ST
HON	HONEYWELL INTL INC	38 00		1,140.67	1,261.33	120.66	ST
EWJ	ISHARES INC MSCI JAPAN	14.00		116.48	137.70	21.22	LT
EWJ	ISHARES INC MSCI JAPAN	315 00		2,620 80	3,019.60	398.80	ST
IAPLY	ICAP PLC SPON ADR	4.00		40.52	58 43	17.91	LT
HCBK	HUDSON CITY BANCORP INC	124.00		1,785 29	1,565.78	-219.51	ST
HOG	HARLEY DAVIDSON INC	52.00		1,008.13	726 79	-281.34	ST
HUM	HUMANA INC	83 00		2,478.52	3,087 35	608 83	ST
IACI	IAC INTERACTIVECORP	41 00		622.16	657.37	35.21	ST
IDA	IDACORP INC (HOLDING	9.00		269.25	232 35	-36 90	ST
IFNNY	INFINEON TECHNOLOGIES	285 00		1,059.53	1,565 51	505 98	ST
IM	INGRAM MICRO INC	29 00		390.66	504 05	113 39	ST
INTC	INTEL CORP	94 00		1,737 28	1,827.94	90 66	ST
IBM	INTL BUSINESS MACH	91.00		7,725 83	9,023.71	1,297 88	ST
IRF	INTL RECTIFIER CORP	18.00		248 63	298.94	50 31	ST
ESI	ITT EDUCATIONAL SERVICES	9.00		638.48	812.58	174 10	LT
ACGL	ARCH CAPITAL GROUP LTD	3 00		180 08	210.63	30.55	ST
ISNPY	INTESA SANPAOLO SPON ADR	29.00		561 15	589.99	28 84	ST
IRM	IRON MTN INC NEW	29.00		834.98	751.43	-83 55	ST
JCG	J CREW GROUP INC	1.00		43.03	41.07	-1 96	ST
KBR	KBR INC	57 00		771 21	1,303.75	532 54	ST
JMHLY	JARDINE MATHESON HD-UNSP	5.00		86 25	151.76	65 51	LT
JMHLY	JARDINE MATHESON HD-UNSP	38 00		655 50	882.66	227 16	ST
JCI	JOHNSON CONTROLS INC	20 00		319 80	182.96	-136 84	ST
JNJ	JOHNSON & JOHNSON COM	49.00		2,844 61	2,568.55	-276 06	ST
JOSB	JOS A BANK CLOTHIERS INC	2.00		36 73	83.33	46 60	ST
JPM	JPMORGAN CHASE & CO	111 00		3,018 11	4,692.17	1,674 06	ST
KLAC	KLA -TENCOR CORP	13.00		325.73	323 24	-2.49	ST
KNBWY	KIRIN HOLDINGS LTD SPON	18.00		276.39	275.13	-1.26	ST
KPLUF	K+S AG	27.00		1,201 18	1,555.66	354 48	ST
KBH	KB HOME	29 00		388 60	345.04	-43.56	ST
JNPR	JUNIPER NETWORKS INC	35.00		835 57	887.33	51.76	ST
AHONY	KONINKLIJKE AHOLD NV NEW	2 00		20.32	27.95	7 63	LT
AHONY	KONINKLIJKE AHOLD NV NEW	76.00		772.16	868.90	96.74	ST
KFT	KRAFT FOODS INC CL A	21 00		563 94	564.01	0.07	ST
COCO	CORINTHIAN COLLEGES INC	43 00		523 79	674.53	150.74	ST
AVIFY	ADVANCED INFO SVC PUB CO	233 00		642.87	554.52	-88.35	ST
KNM	KONAMI CORP ADR	44 00		756 86	830.23	73 37	ST
KR	KROGER COMPANY	42 00		907 93	884.78	-23.15	ST
LLL	L-3 COMMUNICATIONS	3 00		175 88	231.35	55.47	ST
LEAP	LEAP WIRELESS INTL INC	10.00		282 06	176.40	-105.66	ST
MRH	MONTPELIER RE HOLDINGS	5 00		71.27	85.14	13.87	ST
LEN	LENNAR CORP	58 00		421.66	897.18	475.52	ST
LLTC	LINEAR TECHNOLOGY CORP	4 00		108.73	107.55	-1.18	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
LLY	LILLY ELI & CO	49.00	1,549.22	1,690.80	141 58	ST
LXK	LEXMARK INTL INC	37 00	620 55	962.50	341 95	ST
LHCG	LHC GROUP INC	9 00	300 19	268.85	-31.34	ST
LMT	LOCKHEED MARTIN CORP	87.00	6,211 35	6,420.06	208.71	ST
LZAGF	LONZA GROUP AG	1.00	99.05	92 39	-6 66	ST
LOW	LOWES COMPANIES INC	140 00	2,457 00	2,748 35	291.35	ST
MA	MASTERCARD INC CL A	1.00	134 44	234.74	100.30	LT
MA	MASTERCARD INC CL A	14 00	1,882.16	2,413.34	531.18	ST
AMIGF	ADMIRAL GROUP PLC $0.46	89.00	1,214.58	1,368.51	153.93	ST
MSFT	MICROSOFT CORP	298 00	5,376.77	5,870.49	493 72	ST
MRO	MARATHON OIL CORP	19 00	620.69	557.50	-63 19	ST
MI	MARSHALL & ILSLEY CORP	30 00	244 11	192.68	-51.43	ST
MGM	MGM MIRAGE	22.00	254.94	187 69	-67.25	ST
MFE	MCAFEE INC	8 00	340 79	323 38	-17.41	ST
MCD	MCDONALDS CORP	9 00	479 67	570.15	90.48	LT
MCD	MCDONALDS CORP	50.00	2,664 84	2,790.25	125.41	ST
MHS	MEDCO HEALTH SOLUTIONS	48 00	2,347.22	2,356.07	8.85	ST
MCK	MCKESSON CORP	34 00	1,393.25	1,688 87	295 62	ST
MDT	MEDTRONIC INC	22.00	697.00	764.31	67 31	ST
MRK	MERCK & CO INC NEW COM	3.00	94.59	103.14	8 55	ST
	MERCK & CO **NAME	144.00	4,210.13	3,556.68	-653.45	ST
MWV	MEADWESTVACO CORP	37.00	460.94	543 30	82.36	ST
MET	METLIFE INC	38.00	1,369.73	1,306.76	-62.97	ST
MU	MICRON TECHNOLOGY INC	114.00	418.38	562.34	143.96	ST
MON	MONSANTO CO NEW	150.00	10,899.22	12,065.59	1,166.37	ST
MIR	MIRANT CORP NEW	55 00	846.75	830.46	-16.29	ST
MS	MORGAN STANLEY	25 00	818.33	807.30	-11.03	ST
THLEF	THALES SPONS ORD	2 00	80 26	102.23	21 97	LT
THLEF	THALES SPONS ORD	10 00	401 30	423.53	22 23	ST
AGCO	AGCO CORP	16 00	367 75	355.17	-12.58	ST
MW	MENS WEARHOUSE INC	8 00	112 64	134 89	22.25	ST
MSBHY	MITSUBISHI CORP SPONS	2 00	61 90	90 97	29.07	LT
MSBHY	MITSUBISHI CORP SPONS	16 00	495 20	532 32	37.12	ST
MTSFY	MITSUI FUDOSAN CO LTD 1	18.00	799 68	929 89	130.21	ST
MOLX	MOLEX INC	19.00	241 43	332.40	90 97	ST
KSS	KOHLS CORP	16 00	735.99	690.18	-45 81	ST
MOT	MOTOROLA INC	128 00	548.93	542.13	-6.80	ST
SAP	SAP AG SPON ADR	22 00	995.81	1,005.81	10 00	ST
MOS	MOSAIC CO	40 00	1,706.93	1,734.75	27.82	ST
MUR	MURPHY OIL CORP	32.00	1,626.30	1,751.71	125 41	ST
DHI	D R HORTON INC	140.00	740 16	1,250 12	509 96	ST
MYL	MYLAN INC	97 00	1,284 31	1,692 67	408.36	ST
MYGN	MYRIAD GENETICS INC NEW	5.00	299 31	342 89	43 58	ST
NCR	NCR CORP NEW	28 00	422 24	358.46	-63 78	ST
MTU	MITSUBISHI UFJ FINANCIAL	140 00	869 65	749 41	-120 24	ST
NFLX	NETFLIX.COM INC	43 00	1,592 82	1,979 46	386 64	ST
NSRGY	NESTLE S A SPONSORED ADR	4 00	148 40	195 50	47 10	LT
NSRGY	NESTLE S A SPONSORED ADR	29 00	1,075 90	995.69	-80.21	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
ESRX	EXPRESS SCRIPTS INC	32.00	1,905.91	1,955 90	49.99	ST
DCM	NTT DOCOMO INC ECH	4 00	63.79	60.19	-3.60	LT
DCM	NTT DOCOMO INC ECH	16 00	255.14	293.08	37 94	ST
NE	NOBLE CORP	2.00	83 26	83.59	0 33	ST
NVLS	NOVELLUS SYSTEMS INC	33.00	396 71	509 76	113 05	ST
NCMGY	NEWCREST MINING LTD SPON	12 00	129 00	381.12	252 12	LT
NCMGY	NEWCREST MINING LTD SPON	7 00	75 25	147.73	72.48	ST
NTDOY	NINTENDO LTD ADR NEW	14 00	487.28	457.26	-30 02	ST
KCRPY	KAO CORP REPSTG 10 SHS	4 00	1,080 00	881.01	-198.99	ST
BKJAY	BANK YOKOHAMA LTD JAPAN	38 00	1,847 39	1,618 54	-228 85	ST
NDVLY	NEW WORLD DEVELOPMENT	20.00	83.64	84.72	1.08	ST
NFX	NEWFIELD EXPLORATION COS	35.00	1,243 02	1,597.31	354 29	ST
GMCR	GREEN MTN COFFEE	6 00	299.64	378.56	78 92	ST
BRCM	BROADCOM CORP CL A	15 00	363 54	422 85	59 31	ST
BKAHF	BANK OF AYUDHYA PCL NVDR	1,300.00	627.64	701 34	73.70	ST
NOK	NOKIA CORP SPONS ADR	48 00	722 63	633 60	-89 03	ST
NKE	NIKE INC CL B	6 00	292.86	389 58	96 72	ST
JWN	NORDSTROM INC	1 00	35.03	34 17	-0 86	ST
NTT	NIPPON TELEG & TEL CORP	23 00	563 81	475 20	-88.61	ST
NSANY	NISSAN MTR LTD SPONS ADR	15 00	135.81	260 47	124 66	LT
NSANY	NISSAN MTR LTD SPONS ADR	88 00	796.73	796 07	-0 66	ST
NSC	NORFOLK STHN CORP	86 00	4,027.72	3,193.79	-833 93	ST
NMR	NOMURA HOLDINGS INC NEW	3 00	32.87	20 69	-12.18	LT
NMR	NOMURA HOLDINGS INC NEW	3 00	32.87	24 29	-8.58	ST
NVS	NOVARTIS AG SPON ADR	2 00	93 20	111.06	17.86	LT
NVS	NOVARTIS AG SPON ADR	1 00	46.60	49.38	2.78	ST
NOC	NORTHROP GRUMMAN CORP	51 00	2,293.55	2,452.76	159.21	ST
OMG	OM GROUP INC	6 00	122.24	115.23	-7 01	ST
	ODYSSEY RE HOLDINGS CORP	8 00	339.56	410.54	70 98	ST
MICC	MILLICOM INTL CELLULAR	11 00	302.22	827.61	525 39	LT
MICC	MILLICOM INTL CELLULAR	6 00	164.84	364.67	199 83	ST
OMC	OMNICOM GROUP INC	24 00	611 80	711 18	99 38	ST
ORI	OLD REPUBLIC INTL CORP	52.00	545.41	495.03	-50.38	ST
OGE	OGE ENERGY CORP	4.00	98.68	139.27	40.59	LT
OGE	OGE ENERGY CORP	15.00	370.05	510.20	140.15	ST
OCR	OMNICARE INC	11 00	304 30	257.95	-46.35	ST
NEU	NEWMARKET CORP	4 00	288 14	310 65	22.51	ST
OCPNY	OLYMPUS CORP SPON ADR	38.00	663.10	724 67	61 57	ST
ORCL	ORACLE CORP	65.00	1,288.75	1,192.77	-95.98	ST
OSG	OVERSEAS SHIPHOLDING GRP	2.00	72.12	79 23	7.11	ST
OC	OWENS CORNING NEW	29.00	493.97	280.07	-213.90	ST
PPG	PPG INDUSTRIES INC	7 00	294.58	313 37	18.79	ST
PPL	PPL CORP	5.00	165 43	148 59	-16.84	ST
PNC	PNC FINANCIAL SERVICES	10.00	222 26	254 19	31.93	ST
PH	PARKER HANNIFIN CORP	13.00	474 50	518.79	44.29	ST
PTEN	PATTERSON-UTI ENERGY INC	57 00	627 11	713 55	86.44	ST
PENN	PENN NATL GAMING INC	2.00	54 62	55 37	0 75	ST
OI	OWENS ILLINOIS INC NEW	3.00	87.45	95 75	8.30	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open	Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
PM	PHILIP MORRIS INTL INC	11 00		480.71	550.86	70 15	ST
JCP	PENNEY J C CO INC (HLDG	94.00		1,901.85	2,580.22	678 37	ST
PHM	PULTE HOMES INC	118.00		1,014.42	1,293.52	279 10	ST
DGX	QUEST DIAGNOSTICS INC	4.00		223 97	232.80	8 83	ST
POT	POTASH CORP SASK INC	10.00		764.23	960 73	196 50	ST
PEP	PEPSICO INC	5 00		312.76	308.71	-4.05	ST
PBR	PETROLEO BRASILEIRO SA	8 00		171.20	409.87	238 67	LT
PBR	PETROLEO BRASILEIRO SA	40 00		894 86	1,183.68	288.82	ST
PFE	PFIZER INC	355.00		5,805.45	5,381.67	-423.78	ST
PRE	PARTNERRE LTD ORD	1.00		64 92	77 05	12 13	ST
QBEIF	QBE INSURANCE GROUP LTD	2 00		31.66	41 39	9 73	LT
QBEIF	QBE INSURANCE GROUP LTD	3 00		47.49	54 88	7 39	ST
PXD	PIONEER NAT RES CO	55.00		1,169.85	1,773 50	603 65	ST
PTP	PLATINUM UNDERWRITERS	3.00		99.42	108.05	8 63	ST
PXP	PLAINS EXPLORATION &	36 00		845 47	931 95	86.48	ST
PG	PROCTER & GAMBLE CO	50 00		2,627 50	3,087.43	459.93	ST
PRU	PRUDENTIAL FINANCIAL INC	7.00		355 52	345.62	-9 90	ST
PX	PRAXAIR INC	3 00		166 21	246 77	80 56	LT
PEG	PUBLIC SERVICE ENTERPRSE	29 00		781.77	883 60	101 83	ST
PUK	PRUDENTIAL PLC ADR	57.00		535.79	674 96	139 17	ST
QCOM	QUALCOMM INC	10.00		336.80	450 58	113.78	LT
QCOM	QUALCOMM INC	5 00		168 40	176.55	8.15	ST
RJF	RAYMOND JAMES FINANCIAL	40 00		671 86	670.86	-1.00	ST
QLGC	QLOGIC CORP	19.00		334.32	341.75	7.43	ST
RTN	RAYTHEON CO NEW	48.00		2,040.56	2,318 47	277 91	ST
RNR	RENAISSANCERE HOLDINGS	2.00		100.78	108.69	7 91	ST
ALIOF	ACTELION LTD ALLSCHWIL	1.00		46.16	60.53	14.37	LT
COL	ROCKWELL COLLINS INC	10.00		339.60	385 89	46.29	ST
RGA	REINSURANCE GROUP AMER	2 00		90 34	92.89	2.55	ST
RHT	RED HAT INC	83 00		1,294 08	2,090.63	796.55	ST
RMD	RESMED INC	4.00		161 11	149.71	-11.40	ST
RAI	REYNOLDS AMERN INC	23.00		903 16	1,152.90	249.74	ST
RF	REGIONS FINANCIAL CORP	96.00		781 43	410.40	-371.03	ST
RSRZY	ROSSI RESIDENCIAL S A	6 00		24 29	28 61	4 32	ST
ROHCY	ROHM CO LTD ADR	41 00		964.45	1,321.86	357.41	ST
ROST	ROSS STORES INC	32 00		1,221 83	1,405.68	183 85	ST
RDSB	ROYAL DUTCH SHELL PLC	36 00		1,838 36	2,008 33	169 97	ST
SPW	SPX CORPORATION	2 00		116.08	105 97	-10 11	ST
R	RYDER SYSTEM INC	23 00		848 83	562.49	-286 34	ST
RYL	RYLAND GROUP INC	23 00		370 30	447.43	77 13	ST
RWR	SPDR DOW JONES REIT ETF	56 00		2,238 79	2,556.90	318 11	LT
SM	ST MARY LD & EXPL CO	22 00		396.10	439.60	43 50	ST
SHLD	SEARS HOLDINGS CORP	89.00		4,512 79	5,397.71	884 92	ST
STI	SUNTRUST BANKS INC	125 00		3,294.60	1,583.47	-1,711 13	ST
SNDK	SANDISK CORP	85.00		727 21	1,301 42	574.21	ST
	SCHERING PLOUGH CORP	81.00		1,286 81	1,744.01	457 20	ST
SLB	SCHLUMBERGER LTD	19 00		933.73	737 87	-195 86	ST
SOMLY	SECOM LTD ADR JAPAN ADR	1 00		73.15	95.02	21 87	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
SRCL	STERICYCLE INC	6.00	335.47	308 42	-27 05	ST
CKH	SEACOR HOLDINGS INC	6 00	484.49	459 73	-24 76	ST
SWY	SAFEWAY INC	122 00	2,596 37	2,419 43	-176 94	ST
SCG	SCANA CORP NEW	8.00	245 16	260 20	15.04	ST
SRE	SEMPRA ENERGY	13.00	486.59	675.85	189.26	LT
SRE	SEMPRA ENERGY	23 00	860.89	1,005.44	144.55	ST
SVNDY	SEVEN & I HLDGS CO LTD	33.00	1,699 93	1,577 83	-122 10	ST
SNN	SMITH & NEPHEW PLC NEW	8 00	310.09	360 04	49 95	ST
SYNA	SYNAPTICS INC	50.00	1,293 80	1,310.14	16 34	ST
SHAW	SHAW GROUP INC	10 00	290.89	281.91	-8 98	ST
SUG	STHN UNION COS NEW	28 00	460.90	612.54	151.64	ST
SLAB	SILICON LABORATORIES INC	20.00	717.97	826.23	108.26	ST
SMFJY	SUMITOMO MITSUI	346 00	1,354 30	1,252 40	-101 90	ST
LUV	SOUTHWEST AIRLINES CO	217 00	2,473.80	1,443.78	-1,030 02	ST
SWN	SOWSTN ENERGY CO	9 00	334 24	347 76	13 52	ST
SYMC	SYMANTEC CORP	43.00	620.59	702 10	81 51	ST
	STARENT NETWORKS CORP	26 00	525.03	620 17	95 14	ST
SCBFF	STANDARD CHARTERED PLC	3 00	36 60	76 83	40 23	LT
SCBFF	STANDARD CHARTERED PLC	77 00	936 85	1,326.06	389.21	ST
HOT	STARWOOD HOTELS &	1 00	19 01	31.64	12 63	LT
HOT	STARWOOD HOTELS &	5 00	95 03	106.15	11 12	ST
SXE	STANLEY INC	5 00	163.49	124 66	-38 83	ST
STO	STATOIL ASA SPON ADR	77 00	1,293.52	1,454 52	161 00	ST
SBEAF	SUBSEA 7 INC USD SHS	62 00	378.20	665.75	287 55	ST
NRG	NRG ENERGY INC NEW	31 00	779.70	811.45	31 75	ST
SUN	SUNOCO INC	87 00	2,717.01	2,413.26	-303.75	ST
VUPPF	VERWALTUNGS UND PRIVAT	7 00	870 80	683 64	-187.16	LT
RIG	TRANSOCEAN LTD	41 00	2,657 93	3,090 31	432.38	ST
SYT	SYNGENTA AG SPON ADR	1 00	29 76	53 55	23 79	LT
SYT	SYNGENTA AG SPON ADR	4 00	119 04	178 92	59.88	ST
TNE	TELE NORTE LESTE	17 00	184 39	381 99	197 60	LT
TNE	TELE NORTE LESTE	48 00	520 63	709 72	189 09	ST
TSO	TESORO CORP	114 00	1,620 85	1,845 04	224.19	ST
TOSYY	TOSHIBA CORP ADR	13 00	318.51	403.70	85.19	ST
TXN	TEXAS INSTRUMENTS	18.00	321 49	321 53	0.04	ST
TXI	TEXAS INDUSTRIES	10 00	233 89	315 95	82 06	ST
TMO	THERMO FISHER SCIENTIFIC	16 00	532 80	614 39	81 59	ST
TOL	TOLL BROTHERS INC	96 00	1,751 04	2,094 62	343 58	ST
THOR	THORATEC CORP NEW	29 00	699.37	778 47	79.10	ST
TEX	TEREX CORP DELA NEW	33.00	324.26	470 08	145 82	ST
MMM	3M CO	2.00	155.84	153 92	-1 92	ST
WFMI	WHOLE FOODS MARKET INC	46.00	936.67	1,091 15	154 48	ST
	TIME WARNER INC	37 00	384 80	365 99	-18.81	ST
TKR	TIMKEN CO	47.00	740.72	926.43	185.71	ST
TWC	TIME WARNER CABLE INC	19.00	606.36	728 92	122.56	ST
TWX	TIME WARNER INC NEW	80.00	1,889.44	2,365 45	476.01	ST
TLSYY	TELSTRA CORP LTD (FINAL)	124 00	1,519.00	1,617.35	98.35	ST
TSM	TAIWAN SEMICONDUCTOR MFG	77 00	789.50	748.73	-40.77	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
TDG	TRANSDIGM GROUP INC	13 00	500.02	556 02	56 00	ST
TRV	TRAVELERS COS INC/THE	130 00	5,148.06	6,387 32	1,239 26	ST
TGT	TARGET CORP	157 00	5,933.35	5,569.75	-363.60	ST
TMK	TORCHMARK CORP	59 00	2,060.87	2,516.98	456 11	LT
TMK	TORCHMARK CORP	4.00	134 78	170.91	36 13	ST
TRH	TRANSATLANTIC HLDGS INC	5.00	269 64	261 20	-8.44	ST
UEHPY	ULTRA ELECTRONICS HLDGS	3 00	26.78	31.79	5 01	ST
UN	UNILEVER NV N Y SHS NEW	3.00	70 07	94 95	24 88	LT
UN	UNILEVER NV N Y SHS NEW	63.00	1,471.50	1,404 23	-67 27	ST
USB	US BANCORP DEL (NEW)	217 00	4,345.03	3,629.80	-715 23	ST
UNP	UNION PACIFIC CORP	292.00	15,271.55	15,592 38	320 83	ST
TKPHY	TAKEDA PHARMACEUTICAL CO	62 00	1,400.26	1,266 52	-133.74	ST
TOT	TOTAL S.A. FRANCE SPON	9 00	474 94	540.63	65 69	ST
TSCDY	TESCO PLC SPONS ADR	2 00	30.16	42 62	12 46	LT
TSCDY	TESCO PLC SPONS ADR	23 00	346 88	409 45	62 57	ST
UNH	UNITEDHEALTH GROUP INC	148 00	3,655 94	3,206 86	-449 08	ST
USG	USG CORP NEW	34 00	669 12	329 21	-339.91	ST
UNT	UNIT CORP	10.00	381 04	432.28	51 24	ST
ELMUF	ELISA OYJ A SHS	43.00	585.66	591 85	6 19	ST
BKSNF	BANK SARASIN & CIE REG B	1 00	22.57	30.12	7.55	ST
X	UNITED STATES STEEL CORP	15 00	424.96	597.99	173 03	ST
STE	STERIS CORP	29.00	907.55	684.39	-223 16	ST
SHECY	SHIN ETSU CHEM CO LTD	15 00	726.98	738.23	11 25	ST
UTR	UNITRIN INC	16.00	239.98	238.70	-1 28	ST
UTX	UNTD TECHNOLOGIES CORP	106 00	5,261.32	6,292.79	1,031 47	ST
UNM	UNUM GROUP	54 00	782.39	1,054.83	272 44	LT
UNM	UNUM GROUP	179 00	2,593.43	3,772.86	1,179 43	ST
V	VISA INC CL A	152.00	8,980.49	9,715 80	735.31	ST
VFC	VF CORP	13.00	656.90	762.77	105 87	ST
VMI	VALMONT INDUSTRIES INC	4 00	280.12	281.17	1 05	ST
VRX	VALEANT PHARMACEUTICALS	1 00	25.83	33 43	7 60	ST
VALEP	VALE SA-SP PREFERRED	61.00	809.11	1,307.60	498 49	ST
VZ	VERIZON COMMUNICATIONS	27.00	794.69	797.61	2.92	ST
VAR	VARIAN MEDICAL SYSTEMS	75 00	3,073.58	2,608 50	-465 08	ST
VIAB	VIACOM INC NEW CL B	23 00	399.51	704.11	304 60	LT
VIAB	VIACOM INC NEW CL B	15 00	333.23	455.82	122.59	ST
WTI	W&T OFFSHORE INC	10 00	143.47	111.32	-32.15	ST
VOD	VODAFONE GROUP PLC NEW	2.00	32.38	45.41	13.03	LT
VOD	VODAFONE GROUP PLC NEW	51.00	825.63	966.35	140 72	ST
WLP	WELLPOINT INC	55 00	2,280 20	2,607.45	327 25	ST
WMT	WAL MART STORES INC	52 00	2,631 12	2,644.35	13 23	ST
WFC	WELLS FARGO & CO NEW	156.00	4,446 01	2,549.29	-1,896 72	ST
WFCPRJ	WELLS FARGO & CO NEW	4 00	87.60	99.56	11.96	ST
WGL	WGL HLDGS INC	9 00	275.27	282 47	7 20	ST
WMS	WMS INDUSTRIES INC	13 00	328 30	241 39	-86 91	ST
WCG	WELLCARE HEALTH PLANS	18 00	432 09	578.72	146 63	ST
RHHBY	ROCHE HLDG LTD SPONS ADR	4 00	143 58	166.40	22.82	LT
RHHBY	ROCHE HLDG LTD SPONS ADR	45 00	1,615 28	1,411 54	-203 74	ST

Harman Foundation: 2009 Realized Gain/Loss

Symbol	Security Description	Total Quantity	Open Cost	Closing Value	Realized Gain/Loss	Short/ Long Term
WRC	WARNACO GROUP INC NEW	13 00	442 08	465.48	23 40	ST
WPI	WATSON PHARMACEUTICAL	10 00	297.94	332.58	34 64	ST
WDC	WSTN DIGITAL CORP	15 00	367.85	396.19	28 34	ST
	SUNCOR INC **MERGER EFF·	18.00	350.27	371.20	20 93	ST
WLL	WHITING PETROLEUM CORP	8.00	310 25	177 63	-132.62	ST
WSM	WILLIAMS SONOMA INC	33.00	340.69	379 11	38 42	ST
WMB	WILLIAMS COS INC (DEL)	18.00	204 70	196.80	-7.90	ST
YHOO	YAHOO INC	6.00	104.30	92 62	-11.68	ST
WOR	WORTHINGTON INDUST INC	31 00	351 54	355 34	3.80	ST
XLNX	XILINX INC	32 00	619.56	648.28	28.72	ST
XSRAF	XSTRATA PLC	16.00	200 00	200 01	0.01	ST
WYNN	WYNN RESORTS LTD	6 00	104 94	134.11	29.17	ST
XTO	XTO ENERGY INC	10 00	429.48	444 85	15.37	ST
XL	XL CAPITAL LTD CL A	2.00	33 37	35 97	2 60	ST
YMDAF	YAMADA DENKI CO LTD	20 00	1,227.80	714 55	-513 25	ST
YUM	YUM! BRANDS INC	43.00	1,110.69	1,431.14	320 45	LT
YUM	YUM! BRANDS INC	57.00	1,472.31	1,938.98	466 67	ST
ZMH	ZIMMER HOLDINGS INC	18.00	670.55	872.26	201.71	ST
ZFSVY	ZURICH FINANCIAL SVCS	2 00	32 20	45.15	12 95	LT
ZFSVY	ZURICH FINANCIAL SVCS	25 00	402 50	579.76	177 26	ST
IGNAX	IVY GLOBAL NATURAL	613 59	9,185 37	11,130 51	1,945.14	LT
AFJAX	ALLIANZ NFJ INTL	280 28	3,741 79	5,268.93	1,527.14	LT
AFJAX	ALLIANZ NFJ INTL	91 31	1,218 92	1,284 66	65.74	ST
BFAFX	AMERICAN FUNDS BOND	415 58	4,538 17	4,970 37	432.20	LT
BFAFX	AMERICAN FUNDS BOND	279 73	3,054.70	3,049.10	-5.60	ST
OIBAX	OPPENHEIMER INTL BOND FD	287 44	1,603.89	1,914 44	310.55	LT
OIBAX	OPPENHEIMER INTL BOND FD	32 30	180 25	188.97	8 72	ST
DIAMX	DIAMOND HILL LONG-SHORT	355 16	5,014 83	5,712 29	697 46	LT
DIAMX	DIAMOND HILL LONG-SHORT	267 88	3,782.51	3,793.22	10 71	ST
	Portfolio Totals:		**561,650.67**	**608,462.40**	**46,811.73**	
	Entity Totals:		**561,650.67**	**608,462.40**	**46,811.73**	

Line 16c (990-PF) - Other Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		5,676	5,676	0	0
1	UBS management & investment	5,526	5,526		
2	UBS annual fee	150	150		
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		753	753	0	0
1	Real estate tax not included in line 20				
2	Tax on investment income	468	468		
3	Foreign taxes withheld	285	285		
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		35	35	0	0
1	Amortization. See attached statement	0	0	0	0
2	Misc. administrative expenses				
3	Commonwealth of Massachsuetts, annual filing fee	35	35		
4					
5					
6					
7					
8					
9					
10					

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
			296,466	271,637	529,106	768,424
1	Equities		296,466	268,733	529,106	309,960
2	Harman Intl Inds		0	2,904		458,464
3						
4						
5						
6						
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8						
9						
10						
11						
12						
13						
14						
15						
16						
17						

Part II, Line 13 (990-PF) - Investments - Other

	Item or Category	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			344,079	335,928	379,334
1	Closed end mutual funds		24,207	21,518	25,867
2	Mutual funds - fixed income		156,122	178,027	190,809
3	Preferred securities		0	558	643
4	Mutual funds - alternative strategies		100,612	91,814	106,089
5	Mutual funds - broad commodities		33,784	29,357	35,113
6	Mutual funds		19,354	14,654	20,813
7	Notes receivable		10,000		
8					
9					
10					

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	1,605 97	10 60				
RMA MONEY MKT PORTFOLIO	21,717 03	13,728 34	1 00	0 01%	Nov 23 to Dec 23	31
Total	**$23,323.00**	**$13,738.94**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABB LTD SPON ADR								
Symbol ABB Exchange NYSE	Mar 3, 09	45 000	11 248	506 17	19 100	859 50	353 33	ST
	Jul 29, 09	11 000	17 335	190 69	19 100	210 10	19 41	ST
Security total		56 000		696 86		1,069 60	372 74	
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI $77 Current yield 2 97%	Feb 19, 09	6 000	54 484	326 91	53 990	323 94	-2 97	ST
	May 5, 09	9 000	43 622	392 60	53 990	485 91	93 31	ST
	May 7, 09	4 000	43 975	175 90	53 990	215 96	40 06	ST
	Sep 9, 09	14 000	46 171	646 40	53 990	755 86	109 46	ST
	Sep 10, 09	9 000	46 720	420 48	53 990	485 91	65 43	ST
	Sep 14, 09	6 000	47 035	282 21	53 990	323 94	41 73	ST
Security total		48 000		2,244 50		2,591 52	347 02	
ACCIONA S A								
Symbol ACXIF Exchange OTC	Jan 22, 09	6 000	112 512	675 07	131 900	791 40	116 33	ST
ACTELION LTD ALLSCHWIL								
Symbol ALIOF Exchange OTC	Oct 24, 08	18 000	46 160	830 88	53 397	961 14	130 26	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ADOBE SYSTEMS INC (DELAWARE)								
Symbol ADBE Exchange OTC	Oct 16, 09	11 000	35 726	393 00	36 780	404 58	11 58	ST
	Nov 3, 09	8 000	33 037	264 30	36 780	294 24	29 94	ST
	Nov 4, 09	6 000	34 389	206 34	36 780	220 68	14 34	ST
	Nov 23, 09	12 000	36 377	436 53	36 780	441 36	4 83	ST
Security total		37 000		1,300 17		1,360 86	60 69	
AIR LIQUIDE ADR FRANCE ADR								
Symbol AIQUY Exchange OTC								
EAI $14 Current yield 1 90%	Oct 24, 08	22 000	15 440	339 68	23 825	524 15	184 47	LT
	Jul 30, 09	9 000	20 305	182 75	23 825	214 42	31 67	ST
Security total		31 000		522 43		738 57	216 14	
ALASKA AIR GROUP INC								
Symbol ALK Exchange NYSE	Sep 23, 09	6 000	27 007	162 04	34 560	207 36	45 32	ST
	Sep 24, 09	6 000	26 041	156 25	34 560	207 36	51 11	ST
Security total		12 000		318 29		414 72	96 43	
ALLERGAN INC								
Symbol AGN Exchange NYSE								
EAI $1 Current yield 0 32%	Dec 4, 09	5 000	59 007	295 04	63 010	315 05	20 01	ST
ALLIED WORLD ASSURN HOLDINGS LTD								
Symbol AWH Exchange NYSE								
EAI $12 Current yield 1 74%	Jul 1, 09	3 000	41 102	123 31	46 070	138 21	14 90	ST
	Jul 8, 09	3 000	40 419	121 26	46 070	138 21	16 95	ST
	Jul 9, 09	3 000	40 854	122 56	46 070	138 21	15 65	ST
	Jul 10, 09	3 000	40 687	122 06	46 070	138 21	16 15	ST
	Jul 13, 09	3 000	41 323	123 97	46 070	138 21	14 24	ST
Security total		15 000		613 16		691 05	77 89	
ALSTOM SHS PROV REGROUPMENT ORD								
Symbol AOMFF Exchange OTC	Oct 24, 08	4 000	45 000	180 00	70 388	281 55	101 55	LT
	Nov 25, 08	5 000	55 508	277 54	70 388	351 94	74 40	LT
	May 6, 09	4 000	63 911	255 65	70 388	281 55	25 90	ST
	Jul 29, 09	3 000	66 991	200 97	70 388	211 16	10 19	ST
Security total		16 000		914 16		1,126 20	212 04	

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Account name: HARMAN CAIN FAMILY FOUNDATION
Friendly account name: Managed Account
Account number: 3B 19542 VL

Your Financial Advisor:
RODERICK K VON LIPSEY
202-942-2800/888-746-8002

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMAZON COM INC								
Symbol AMZN Exchange OTC	May 19, 09	1 000	76 742	76 74	134 520	134 52	57 78	ST
	Jun 8, 09	2 000	85 683	171 37	134 520	269 04	97 67	ST
	Jun 12, 09	2 000	82 648	165 30	134 520	269 04	103 74	ST
	Jul 10, 09	5 000	77 687	388 44	134 520	672 60	284 16	ST
	Oct 23, 09	4 000	116 205	464 82	134 520	538 08	73 26	ST
	Nov 2, 09	7 000	117 443	822 11	134 520	941 64	119 53	ST
	Nov 11, 09	14 000	129 290	1,810 06	134 520	1,883 28	73 22	ST
	Nov 12, 09	7 000	131 203	918 43	134 520	941 64	23 21	ST
	Nov 13, 09	5 000	130 738	653 69	134 520	672 60	18 91	ST
	Nov 19, 09	5 000	128 935	644 68	134 520	672 60	27 92	ST
	Dec 4, 09	4 000	141 640	566 56	134 520	538 08	-28 48	ST
Security total		56 000		6,682 20		7,533 12	850 92	
AMER EXPRESS CO								
Symbol AXP Exchange NYSE								
EAI $24 Current yield 1 79%	May 6, 09	11 000	26 727	294 00	40 520	445 72	151 72	ST
	Aug 27, 09	5 000	34 046	170 23	40 520	202 60	32 37	ST
	Oct 15, 09	8 000	34 945	279 56	40 520	324 16	44 60	ST
	Nov 13, 09	9 000	40 155	361 40	40 520	364 68	3 28	ST
Security total		33 000		1,105 19		1,337 16	231 97	
AMER GREETINGS A								
Symbol AM Exchange NYSE								
EAI $5 Current yield 2 09%	Oct 15, 09	11 000	23 665	260 32	21 790	239 69	-20 63	ST
AMER TOWER CORP CL A								
Symbol AMT .Exchange NYSE	Sep 9, 09	10 000	34 198	341 98	43 210	432 10	90 12	ST
	Sep 14, 09	6 000	34 961	209 77	43 210	259 26	49 49	ST
	Sep 16, 09	7 000	35 537	248 76	43 210	302 47	53 71	ST
	Oct 6, 09	5 000	37 293	186 47	43 210	216 05	29 58	ST
	Oct 12, 09	8 000	37 584	300 68	43 210	345 68	45 00	ST
Security total		36 000		1,287 66		1,555 56	267 90	
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI $68 Current yield 1 75%	Sep 3, 09	2 000	28 348	56 70	38 820	77 64	20 94	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 10, 09	6 000	29 899	179 40	38 820	232 92	53 52	ST
	Oct 6, 09	7 000	36 634	256 44	38 820	271 74	15 30	ST
	Oct 7, 09	5 000	36 247	181 24	38 820	194 10	12 86	ST
	Oct 12, 09	14 000	36 901	516 62	38 820	543 48	26 86	ST
	Oct 15, 09	5 000	37 012	185 06	38 820	194 10	9 04	ST
	Oct 19, 09	12 000	36 556	438 67	38 820	465 84	27 17	ST
	Oct 21, 09	11 000	36 403	400 44	38 820	427 02	26 58	ST
	Oct 28, 09	11 000	35 613	391 74	38 820	427 02	35 28	ST
	Nov 2, 09	8 000	34 888	279 10	38 820	310 56	31 46	ST
	Nov 9, 09	7 000	38 467	269 27	38 820	271 74	2 47	ST
	Nov 13, 09	6 000	39 156	234 94	38 820	232 92	-2 02	ST
	Nov 19, 09	6 000	37 462	224 77	38 820	232 92	8 15	ST
Security total		100 000		3,614 39		3,882 00	267 61	
AMP LTD ADR								
Symbol AMLTY Exchange OTC								
EAI $38 Current yield 3 81%	Aug 24, 09	20 000	20 585	411 72	24 355	487 10	75 38	ST
	Aug 24, 09	17 000	20 540	349 18	24 355	414 03	64 85	ST
	Sep 30, 09	4 000	22 783	91 13	24 355	97 42	6 29	ST
Security total		41 000		852 03		998 55	146 52	
AMPHENOL CORP NEW CL A								
Symbol APH Exchange NYSE								
EAI $1 Current yield 0 24%	Nov 12, 09	5 000	42 803	214 02	46 180	230 90	16 88	ST
	Dec 23, 09	4 000	45 662	182 65	46 180	184 72	2 07	ST
Security total		9 000		396 67		415 62	18 95	
ANGLO AMERN PLC NEW ADR								
Symbol AAUKY Exchange OTC	Aug 24, 09	59 000	16 862	994 89	21 889	1,291 45	296 56	ST
	Sep 9, 09	23 000	16 719	384 54	21 889	503 45	118 91	ST
	Sep 22, 09	29 000	16 831	488 10	21 889	634 78	146 68	ST
Security total		111 000		1,867 53		2,429 67	562 15	
APACHE CORP								
Symbol APA Exchange NYSE								
EAI $19 Current yield 0 58%	Jun 24, 09	7 000	72.468	507 28	103 170	722 19	214 91	ST
	Jun 25, 09	17 000	73 390	1,247 63	103 170	1,753 89	506 26	ST

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Account name: HARMAN CAIN FAMILY FOUNDATION
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 9, 09	8 000	68 422	547 38	103 170	825 36	277 98	ST
Security total		32 000		2,302 29		3,301 44	999 15	
APOLLO GROUP INC CL A								
Symbol APOL Exchange· OTC	Apr 13, 09	2 000	60 190	120 38	60 580	121 16	0 78	ST
	Apr 14, 09	3 000	60 196	180 59	60 580	181 74	1 15	ST
	Apr 22, 09	6 000	62 919	377 52	60 580	363 48	-14 04	ST
	Apr 29, 09	7 000	61 804	432 63	60 580	424 06	-8 57	ST
Security total		18 000		1,111 12		1,090 44	-20 68	
APPLE INC								
Symbol AAPL Exchange OTC	May 27, 09	18 000	133 815	2,408 69	210 732	3,793 17	1,384 48	ST
	Oct 1, 09	3 000	183 376	550 13	210 732	632 20	82 07	ST
Security total		21 000		2,958 82		4,425 37	1,466 55	
ARCELORMITTAL SA NY REG								
Symbol MT Exchange NYSE								
EAI $15 Current yield 1 43%	May 7, 09	19 000	27 305	518 80	45 750	869 25	350 45	ST
	Oct 15, 09	4 000	40 048	160 19	45 750	183 00	22 81	ST
Security total		23 000		678 99		1,052 25	373 26	
ARCHER DANIELS MIDLAND CO								
Symbol ADM Exchange NYSE								
EAI $59 Current yield 1 79%	Dec 8, 09	13 000	30 525	396 83	31 310	407 03	10 20	ST
	Dec 16, 09	22 000	31 435	691 58	31 310	688 82	-2 76	ST
	Dec 17, 09	19 000	30 848	586 13	31 310	594 89	8 76	ST
	Dec 18, 09	17 000	30 454	517 73	31 310	532 27	14 54	ST
	Dec 23, 09	17 000	31 338	532 76	31 310	532 27	-0 49	ST
	Dec 28, 09	17 000	31 569	536 68	31 310	532 27	-4 41	ST
Security total		105 000		3,261 71		3,287 55	25 84	
AUTOZONE INC								
Symbol AZO Exchange NYSE	Jul 17, 09	1 000	157 193	157 19	158 070	158 07	0 88	ST
	Nov 12, 09	2 000	145 301	290 60	158 070	316 14	25 54	ST
Security total		3 000		447 79		474 21	26 42	
BAE SYSTEMS PLC SPON ADR								
Symbol BAESY Exchange OTC								
EAI $41 Current yield 4 11%	Oct 24, 08	23 000	20 300	466 90	23 222	534 10	67 20	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 4, 09	16 000	19 785	316 56	23 222	371 55	54 99	ST
	Mar 23, 09	4 000	19 882	79 53	23 222	92 89	13 36	ST
Security total		43 000		862 99		998 54	135 55	
BAIDU INC ADS REPSNTG CL A ORD SHS								
Symbol BIDU Exchange OTC	Sep 24, 09	2 000	388 338	776 68	411 230	822 46	45 78	ST
	Oct 28, 09	1 000	393 295	393 30	411 230	411 23	17 93	ST
	Nov 5, 09	1 000	396 551	396 55	411 230	411 23	14 68	ST
	Nov 6, 09	1 000	402 903	402 90	411 230	411 23	8 33	ST
Security total		5 000		1,969 43		2,056 15	86 72	
BALL CORP								
Symbol BLL Exchange NYSE								
EAI $1 Current yield 0 97%	Jun 29, 09	2 000	44 886	89 77	51 700	103 40	13 63	ST
BANCO SANTANDER CHILE ADR								
Symbol SAN Exchange NYSE								
EAI $19 Current yield 2 44%	Sep 24, 09	12 000	55 415	664 98	64 780	777 36	112 38	ST
BANCO SANTANDER BRASIL ADS								
Symbol BSBR Exchange NYSE								
EAI $9 Current yield 0 66%	Oct 7, 09	12 000	12 989	155 87	13 940	167 28	11 41	ST
	Oct 8, 09	8 000	13 150	105 20	13 940	111 52	6 32	ST
	Oct 15, 09	38 000	13 338	506 87	13 940	529 72	22 85	ST
	Oct 19, 09	8 000	13 813	110 51	13 940	111 52	1 01	ST
	Dec 4, 09	32 000	13 793	441 40	13 940	446 08	4 68	ST
Security total		98 000		1,319 85		1,366 12	46 27	
BANK OF AYUDHYA PCL NVDR								
Symbol BKAHF Exchange OTC	Jul 17, 09	1,900 000	0 482	917 32	0 695	1,320 50	403 18	ST
BANK SARASIN & CIE REG B								
Symbol BKSNF Exchange OTC	Nov 26, 08	25 000	22 567	564 18	38 170	954 25	390 07	LT
BARRICK GOLD CORP								
Symbol ABX Exchange NYSE								
EAI $6 Current yield 0 95%	Apr 7, 09	12 000	29 424	353 10	39 380	472 56	119 46	ST
	Nov 6, 09	4 000	41 759	167 04	39 380	157 52	-9 52	ST
Security total		16 000		520 14		630 08	109 94	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BAYER A G SPON ADR								
Symbol BAYRY Exchange OTC								
EAI $22 Current yield 1 71%	Oct 24, 08	8 000	51 870	414 96	80 618	644 94	229 98	LT
	Oct 29, 08	7 000	53 718	376 03	80 618	564 32	188 29	LT
	Nov 9, 09	1 000	73 195	73 20	80 618	80 62	7 42	ST
Security total		16 000		864 19		1,289 88	425 69	
BELLE INTL HLDGS LTD ADR								
Symbol BELLY Exchange OTC	Nov 17, 09	13 000	58 559	761 28	59 000	767 00	5 72	ST
	Dec 15, 09	8 000	64 898	519 19	59 000	472 00	-47 19	ST
Security total		21 000		1,280 47		1,239 00	-41 47	
BG GROUP PLC SPON ADR								
Symbol BRGYY Exchange OTC								
EAI $13 Current yield 1 10%	Oct 24, 08	2 000	55 900	111 80	90 594	181 19	69 39	LT
	Mar 23, 09	6 000	80 421	482 53	90 594	543 56	61 03	ST
	Sep 14, 09	2 000	93 000	186 00	90 594	181 19	-4 81	ST
	Sep 23, 09	3 000	90 970	272 91	90 594	271 78	-1 13	ST
Security total		13 000		1,053 24		1,177 72	124 48	
BHP BILLITON PLC NEW SPON ADR								
Symbol BBL Exchange NYSE								
EAI $57 Current yield 2 55%	Mar 12, 09	1 000	35 700	35 70	63 850	63 85	28 15	ST
	Mar 13, 09	14 000	38 304	536 27	63 850	893 90	357 63	ST
	Apr 21, 09	5 000	40 603	203 02	63 850	319 25	116 23	ST
	Apr 23, 09	5 000	41 131	205 66	63 850	319 25	113 59	ST
	May 6, 09	4 000	47 083	188 34	63 850	255 40	67 06	ST
	Aug 6, 09	2 000	52 947	105 90	63 850	127 70	21 80	ST
	Aug 10, 09	4 000	51 476	205 91	63 850	255 40	49 49	ST
Security total		35 000		1,480 80		2,234 75	753 95	
BILFINGER BERGER AG ADR								
Symbol BFLBY Exchange OTC								
EAI $19 Current yield 2 46%	Sep 11, 09	9 000	13 541	121 87	15 449	139 04	17 17	ST
	Sep 14, 09	41 000	13 304	545 49	15 449	633 41	87 92	ST
Security total		50 000		667 36		772 45	105 09	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BNP PARIBAS SA ADR Symbol BNPQY Exchange OTC EAI $14 Current yield 1 29%	Oct 1, 09	27 000	39 152	1,057 12	40 101	1,082 72	25 60	ST
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX EAI $79 Current yield 4 21%	Oct 24, 08	16 000	46 930	750 88	64 660	1,034 56	283 68	LT
	Jan 26, 09	7 000	52 600	368 20	64 660	452.62	84 42	ST
	Mar 5, 09	6 000	47 290	283 74	64 660	387 96	104 22	ST
Security total		29 000		1,402 82		1,875 14	472 32	
BUNZL PLC ORD GBP Symbol BZLFF Exchange OTC	Jul 10, 09	65 000	8 545	555 44	10 900	708 50	153 06	ST
CA INC Symbol CA Exchange OTC EAI $3 Current yield 0 67%	Jun 29, 09	2 000	17 432	34 87	22 460	44 92	10 05	ST
	Jul 1, 09	8 000	17 538	140 30	22 460	179 68	39 38	ST
	Jul 10, 09	10 000	16 453	164 54	22 460	224 60	60 06	ST
Security total		20 000		339 71		449 20	109 49	
CANON INC ADR JAPAN SPON ADR Symbol CAJ Exchange NYSE EAI $20 Current yield 2 49%	Oct 9, 09	19 000	38 410	729 80	42 320	804 08	74 28	ST
CARNIVAL CORP NEW (PAIRED STOCK) Symbol CCL Exchange NYSE	Sep 23, 09	19 000	33 499	636 48	31 690	602 11	-34 37	ST
CENTRICA PLC NEW 2004 SPON ADR Symbol CPYYY Exchange OTC EAI $32 Current yield 4 30%	Aug 27, 09	41 000	15 753	645 90	18 157	744 43	98 53	ST
CHESAPEAKE ENERGY CORP OKLA Symbol CHK Exchange NYSE EAI $50 Current yield 1 16%	Sep 23, 09	31 000	28 766	891 75	25 880	802 28	-89 47	ST
	Sep 24, 09	10 000	27 764	277 64	25 880	258 80	-18 84	ST
	Oct 6, 09	17 000	27 804	472 67	25 880	439 96	-32 71	ST
	Oct 7, 09	14 000	27 552	385 73	25 880	362 32	-23 41	ST
	Oct 12, 09	15 000	28 950	434 26	25 880	388 20	-46 06	ST
	Oct 15, 09	11 000	28 773	316 51	25 880	284 68	-31 83	ST

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Account name: HARMAN CAIN FAMILY FOUNDATION
Friendly account name: Managed Account
Account number: 3B 19542 VL

Your Financial Advisor:
RODERICK K VON LIPSEY
202-942-2800/888-746-8002

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 19, 09	15 000	28 959	434 39	25 880	388 20	-46 19	ST
	Oct 21, 09	7 000	28 979	202 85	25 880	181 16	-21 69	ST
	Oct 28, 09	18 000	25 083	451 51	25 880	465 84	14 33	ST
	Nov 11, 09	7 000	25 643	179 50	25 880	181 16	1 66	ST
	Nov 12, 09	7 000	25 297	177 08	25 880	181 16	4 08	ST
	Nov 18, 09	14 000	24 527	343 39	25 880	362 32	18 93	ST
Security total		166 000		4,567 28		4,296 08	-271 20	
CHEVRON CORP Symbol CVX Exchange· NYSE EAI $237 Current yield 3 54%	Feb 4, 09	23 000	72 514	1,667 84	76 990	1,770 77	102 93	ST
	Feb 9, 09	20 000	74 200	1,484 00	76 990	1,539 80	55 80	ST
	Apr 14, 09	12 000	67 157	805 88	76 990	923 88	118 00	ST
	Aug 25, 09	5 000	71 029	355 15	76 990	384 95	29 80	ST
	Dec 15, 09	3 000	77 533	232 60	76 990	230 97	-1 63	ST
	Dec 16, 09	24 000	78 234	1,877 63	76 990	1,847 76	-29 87	ST
Security total		87 000		6,423 10		6,698 13	275 03	
CHILDRENS PLACE RETAIL STORES INC Symbol PLCE Exchange OTC	Dec 4, 09	11 000	27 240	299 65	33 000	363 00	63 35	ST
CHUBB CORP Symbol CB Exchange NYSE EAI $73 Current yield 2 85%	Oct 24, 08	10 000	45 940	459 40	49 180	491 80	32 40	LT
	Jul 21, 09	4 000	40 688	162 75	49 180	196 72	33 97	ST
	Jul 29, 09	9 000	45 268	407 41	49 180	442 62	35 21	ST
	Jul 31, 09	4 000	46 182	184 73	49 180	196 72	11 99	ST
	Sep 22, 09	4 000	49 203	196 81	49 180	196 72	-0 09	ST
	Oct 6, 09	6 000	50 355	302 13	49 180	295 08	-7 05	ST
	Oct 7, 09	4 000	50 537	202 15	49 180	196 72	-5 43	ST
	Oct 8, 09	11 000	51 068	561 75	49 180	540 98	-20 77	ST
Security total		52 000		2,477 13		2,557 36	80 23	
CIGNA CORP Symbol CI Exchange NYSE EAI $1 Current yield 0 18%	Jul 10, 09	1 000	24 289	24 29	35 270	35 27	10 98	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 11, 09	6 000	31 120	186 72	35 270	211 62	24 90	ST
	Nov 12, 09	5 000	30 620	153 10	35 270	176 35	23 25	ST
	Dec 4, 09	4 000	33 087	132 35	35 270	141 08	8 73	ST
Security total		16 000		496 46		564 32	67 86	
CMS ENERGY CORP Symbol CMS Exchange NYSE EAI $16 Current yield 3 30%	Oct 24, 08	15 000	9 820	147 30	15 660	234 90	87 60	LT
	Dec 17, 08	16 000	9 690	155 05	15 660	250 56	95 51	LT
Security total		31 000		302 35		485 46	183 11	
COCA COLA CO COM Symbol KO Exchange NYSE EAI $39 Current yield 2 85%	Dec 4, 09	24 000	57 292	1,375 02	57 000	1,368 00	-7 02	ST
COLGATE PALMOLIVE CO Symbol CL Exchange NYSE EAI· $9 Current yield 2 19%	Oct 15, 09	2 000	78 551	157 10	82 150	164 30	7 20	ST
	Oct 21, 09	3 000	80 014	240 04	82 150	246 45	6 41	ST
Security total		5 000		397 14		410 75	13 61	
COMERICA INC Symbol CMA Exchange NYSE EAI $7 Current yield 0 66%	Mar 30, 09	4 000	18 074	72 30	29 570	118 28	45 98	ST
	Apr 1, 09	5 000	18 938	94 69	29 570	147 85	53 16	ST
	Apr 1, 09	2 000	18 938	37 88	29 570	59 14	21 26	ST
	Apr 3, 09	4 000	18 656	74 63	29 570	118 28	43 65	ST
	Apr 3, 09	3 000	18 656	55 97	29 570	88 71	32 74	ST
	Apr 16, 09	7 000	18 355	128 49	29 570	206 99	78 50	ST
	Apr 24, 09	6 000	20 612	123 67	29 570	177 42	53 75	ST
	May 7, 09	5 000	24 210	121 05	29 570	147 85	26 80	ST
Security total		36 000		708 68		1,064 52	355 84	
CONOCOPHILLIPS Symbol COP Exchange NYSE EAI $250 Current yield 3 92%	Jul 1, 09	9 000	42 391	381 52	51 070	459 63	78 11	ST
	Jul 8, 09	34 000	39 108	1,329 70	51 070	1,736 38	406 68	ST
	Jul 9, 09	23 000	40 685	935 76	51 070	1,174 61	238 85	ST
	Jul 17, 09	7 000	42 552	297 87	51 070	357 49	59 62	ST

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 21, 09	4 000	43 396	173 58	51 070	204 28	30 70	ST
	Jul 31, 09	4 000	43 109	172 44	51 070	204 28	31 84	ST
	Aug 13, 09	39 000	43 888	1,711 67	51 070	1,991 73	280 06	ST
	Dec 15, 09	5 000	51 014	255 07	51 070	255 35	0 28	ST
Security total		125 000		5,257 61		6,383 75	1,126 14	
CONSTELLATION ENERGY GROUP INC (HOLDING CO) Symbol CEG Exchange NYSE EAI $8 Current yield 2 84%	Dec 8, 09	4 000	33 108	132 43	35 170	140 68	8 25	ST
	Dec 9, 09	4 000	33 003	132 01	35 170	140 68	8 67	ST
Security total		8 000		264 44		281 36	16 92	
CORNING INC Symbol GLW Exchange NYSE EAI $35 Current yield 1 04%	Sep 17, 09	13 000	15 734	204 55	19 310	251 03	46 48	ST
	Sep 23, 09	56 000	15 700	879 22	19 310	1,081 36	202 14	ST
	Sep 28, 09	39 000	15 054	587 11	19 310	753 09	165 98	ST
	Sep 29, 09	28 000	15 045	421 29	19 310	540 68	119 39	ST
	Oct 19, 09	38 000	15 489	588 60	19 310	733 78	145 18	ST
Security total		174 000		2,680 77		3,359 94	679 17	
CREDIT SUISSE GROUP SPON ADR Symbol CS Exchange NYSE EAI $1 Current yield 0 09%	Aug 27, 09	16 000	50 926	814 82	49 160	786 56	-28 26	ST
	Oct 20, 09	6 000	58 724	352 35	49 160	294 96	-57 39	ST
Security total		22 000		1,167 17		1,081 52	-85 65	
CVS CAREMARK CORP Symbol CVS Exchange NYSE EAI $19 Current yield 0 97%	Sep 24, 09	11 000	35 480	390 28	32 210	354 31	-35 97	ST
	Oct 6, 09	10 000	34 247	342 48	32 210	322 10	-20 38	ST
	Nov 11, 09	30 000	29 949	898 49	32 210	966 30	67 81	ST
	Nov 12, 09	10 000	29 703	297 04	32 210	322 10	25 06	ST
Security total		61 000		1,928 29		1,964 81	36 52	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI $1 Current yield 0 33%	Dec 4, 09	4 000	72 704	290 82	75 200	300 80	9 98	ST
DELL INC								
Symbol DELL Exchange OTC	Jul 15, 09	22 000	12 236	269 20	14 360	315 92	46 72	ST
	Jul 21, 09	13 000	13 257	172 35	14 360	186 68	14 33	ST
	Dec 4, 09	21 000	13 580	285 18	14 360	301 56	16 38	ST
Security total		56 000		726 73		804 16	77 43	
DEUTSCHE TELEKOM AG DE SPON ADR								
Symbol DT Exchange NYSE								
EAI $92 Current yield 7 03%	Oct 24, 08	12 000	13 578	162 94	14 700	176 40	13 46	LT
	Oct 31, 08	21 000	14 931	313 55	14 700	308 70	-4 85	LT
	Mar 2, 09	31 000	11 727	363 55	14 700	455 70	92 15	ST
	Aug 26, 09	25 000	13 350	333 76	14 700	367 50	33 74	ST
Security total		89 000		1,173 80		1,308 30	134 50	
DEVRY INC DEL								
Symbol DV Exchange NYSE								
EAI $1 Current yield 0 29%	Nov 11, 09	3 000	53 588	160 76	56 730	170 19	9 43	ST
	Nov 13, 09	3 000	53 082	159 25	56 730	170 19	10 94	ST
Security total		6 000		320 01		340 38	20 37	
DILLARDS INC CL A								
Symbol DDS Exchange NYSE								
EAI $1 Current yield 0 90%	Dec 4, 09	6 000	17 039	102 24	18 450	110 70	8 46	ST
DOW CHEMICAL								
Symbol DOW Exchange NYSE								
EAI $97 Current yield 2 17%	Jun 9, 09	10 000	17 556	175 56	27 630	276 30	100 74	ST
	Jul 7, 09	15 000	14 821	222 32	27 630	414 45	192 13	ST
	Jul 8, 09	15 000	14 984	224 77	27 630	414 45	189 68	ST
	Jul 30, 09	26 000	21 579	561 05	27 630	718 38	157 33	ST
	Aug 6, 09	19 000	23 050	437 96	27 630	524 97	87 01	ST
	Sep 17, 09	13 000	26 156	340 03	27 630	359 19	19 16	ST
	Sep 23, 09	5 000	26 733	133 67	27 630	138 15	4 48	ST
	Dec 2, 09	17 000	28 466	483 94	27 630	469 71	-14 23	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 28, 09	42 000	28 317	1,189 33	27 630	1,160 46	-28 87	ST
Security total		162 000		3,768 63		4,476 06	707 43	
EDISON INTL Symbol EIX Exchange NYSE EAI $18 Current yield 3 70%	Aug 31, 09	2 000	33 439	66 88	34 780	69 56	2 68	ST
	Sep 22, 09	6 000	34 537	207 23	34 780	208 68	1 45	ST
	Oct 28, 09	6 000	32 416	194 50	34 780	208 68	14 18	ST
Security total		14 000		468 61		486 92	18 31	
EMERSON ELECTRIC CO Symbol EMR Exchange NYSE EAI $9 Current yield 3 02%	Dec 7, 09	7 000	42 243	295 70	42 600	298 20	2 50	ST
ENTERGY CORP NEW Symbol ETR Exchange NYSE EAI $6 Current yield 3 67%	Sep 17, 09	2 000	79 747	159 50	81 840	163 68	4 18	ST
EOG RESOURCES INC Symbol EOG Exchange NYSE EAI $9 Current yield 0 62%	May 7, 09	4 000	75 614	302 46	97 300	389 20	86 74	ST
	Nov 16, 09	6 000	90 261	541 57	97 300	583 80	42 23	ST
	Dec 21, 09	2 000	94 547	189 10	97 300	194 60	5 50	ST
	Dec 23, 09	2 000	98 959	197 92	97 300	194 60	-3 32	ST
	Dec 28, 09	1 000	99 846	99 85	97 300	97 30	-2 55	ST
Security total		15 000		1,330 90		1,459 50	128 60	
EVEREST RE GROUP LTD BERMUDA Symbol RE Exchange NYSE EAI $15 Current yield 2 19%	Aug 31, 09	2 000	83 819	167 64	85 680	171 36	3 72	ST
	Aug 31, 09	1 000	83 819	83 82	85 680	85 68	1 86	ST
	Sep 29, 09	2 000	88 788	177 58	85 680	171 36	-6 22	ST
	Oct 8, 09	3 000	92 321	276 96	85 680	257 04	-19 92	ST
Security total		8 000		706 00		685 44	-20 56	
EXELON CORP Symbol EXC Exchange NYSE EAI $29 Current yield· 4 24%	Dec 23, 09	9 000	49 280	443 52	48 870	439 83	-3 69	ST
	Dec 28, 09	5 000	49 189	245 95	48 870	244 35	-1 60	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		14 000		689 47		684 18	-5 29	
EXPRESS SCRIPTS INC								
Symbol ESRX Exchange OTC	Nov 24, 09	3 000	88 120	264 36	86 420	259 26	-5 10	ST
	Dec 4, 09	6 000	88 311	529 87	86 420	518 52	-11 35	ST
Security total		9 000		794 23		777 78	-16 45	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI $82 Current yield 2 45%	Jun 29, 09	49 000	70 225	3,441 03	68 190	3,341 31	-99 72	ST
FAMILYMART CO LTD ADR								
Symbol FYRTY Exchange OTC	Sep 14, 09	8 000	31 146	249 17	29 820	238 56	-10 61	ST
	Sep 15, 09	15 000	30 909	463 64	29 820	447 30	-16 34	ST
	Sep 16, 09	12 000	31 108	373 31	29 820	357 84	-15 47	ST
Security total		35 000		1,086 12		1,043 70	-42 42	
FRESENIUS MEDICAL CARE AG & CO								
KGAA SPON ADR								
Symbol FMS Exchange NYSE								
EAI $22 Current yield 2 31%	Oct 24, 08	17 000	42 584	723 93	53 010	901 17	177 24	LT
	Nov 4, 09	1 000	50 220	50 22	53 010	53 01	2 79	ST
Security total		18 000		774 15		954 18	180 03	
FRESH DEL MONTE PRODUCE INC								
Symbol FDP Exchange NYSE	Sep 3, 09	1 000	23 024	23 02	22 100	22 10	-0 92	ST
	Sep 24, 09	7 000	22 891	160 24	22 100	154 70	-5 54	ST
	Oct 12, 09	8 000	24 176	193 42	22 100	176 80	-16 62	ST
Security total		16 000		376 68		353 60	-23 08	
FUJI MACH MFG CO LTD								
Symbol FMMFF Exchange OTC	May 28, 09	100 000	12 916	1,291 60	12 250	1,225 00	-66 60	ST
GENL DYNAMICS CORP								
Symbol GD Exchange NYSE								
EAI $65 Current yield 2 22%	Oct 23, 08	8 000	54 883	439 07	68 170	545 36	106 29	LT
	Jan 9, 09	9 000	58 042	522 38	68 170	613 53	91 15	ST
	Mar 10, 09	6 000	36 955	221 73	68 170	409 02	187 29	ST
	Mar 11, 09	6 000	37 165	222 99	68 170	409 02	186 03	ST
	Mar 12, 09	4 000	37 227	148 91	68 170	272 68	123 77	ST
	Mar 13, 09	3 000	37 718	113 15	68 170	204 51	91 36	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 6, 09	7 000	56 357	394 51	68 170	477.19	82 68	ST
Security total		43 000		2,062 74		2,931 31	868 57	
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Jan 26, 09	38 000	48 877	1,857 35	43 270	1,644 26	-213 09	ST
	Jan 27, 09	27 000	48 125	1,299 38	43 270	1,168 29	-131 09	ST
	Jan 28, 09	3 000	50 289	150 87	43 270	129 81	-21 06	ST
	Jan 30, 09	17 000	51 080	868 36	43 270	735 59	-132 77	ST
	Oct 22, 09	18 000	44 749	805 49	43 270	778 86	-26 63	ST
	Oct 23, 09	12 000	44 103	529 24	43 270	519 24	-10 00	ST
	Nov 13, 09	8 000	47 148	377 19	43 270	346 16	-31 03	ST
	Dec 4, 09	5 000	46 827	234 14	43 270	216 35	-17 79	ST
	Dec 7, 09	5 000	46 817	234 09	43 270	216 35	-17 74	ST
Security total		133 000		6,356 11		5,754 91	-601 20	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI $69 Current yield 4 41%	Oct 24, 08	17 000	35 216	598 67	42 250	718 25	119 58	LT
	Dec 4, 08	3 000	34 487	103 46	42 250	126 75	23 29	LT
	Mar 5, 09	17 000	28 557	485 47	42 250	718 25	232 78	ST
Security total		37 000		1,187 60		1,563 25	375 65	
GOLD FIELDS LTD SPON ADR								
Symbol GFI Exchange NYSE								
EAI $12 Current yield 1 02%	Oct 24, 08	66 000	5 189	342 51	13 110	865 26	522 75	LT
	Oct 27, 09	24 000	13 631	327 16	13 110	314 64	-12 52	ST
Security total		90 000		669 67		1,179 90	510 23	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI $77 Current yield 0 83%	Apr 14, 09	4 000	123 199	492 80	168 840	675 36	182 56	ST
	Jun 29, 09	14 000	148 576	2,080 06	168 840	2,363 76	283 70	ST
	Jul 1, 09	13 000	148 691	1,932 99	168 840	2,194 92	261 93	ST
	Jul 8, 09	2 000	138 856	277 71	168 840	337 68	59 97	ST
	Jul 9, 09	13 000	143 249	1,862 24	168 840	2,194 92	332 68	ST
	Jul 13, 09	6 000	146 535	879 21	168 840	1,013 04	133 83	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 7, 09	3 000	165 958	497 87	168 840	506 52	8 65	ST
Security total		55 000		8,022 88		9,286 20	1,263 32	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Oct 30, 08	1 000	361 804	361 80	619 980	619 98	258 18	LT
	Oct 31, 08	1 000	358 230	358 23	619 980	619 98	261 75	LT
	Jan 29, 09	2 000	341 608	683 22	619 980	1,239 96	556 74	ST
	Feb 9, 09	1 000	378 850	378 85	619 980	619 98	241 13	ST
	Feb 18, 09	1 000	350 000	350 00	619 980	619 98	269 98	ST
	Aug 27, 09	2 000	466 084	932 17	619 980	1,239 96	307 79	ST
	Dec 4, 09	1 000	593 792	593 79	619 980	619 98	26 19	ST
Security total		9 000		3,658 06		5,579 82	1,921 76	
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE								
EAI $7 Current yield 0 59%	Aug 11, 09	5 000	43 480	217 40	51 510	257 55	40 15	ST
	Aug 17, 09	5 000	43 169	215 85	51 510	257 55	41 70	ST
	Nov 12, 09	3 000	49 904	149 71	51 510	154 53	4 82	ST
	Nov 13, 09	6 000	49 728	298 37	51 510	309 06	10 69	ST
	Dec 7, 09	4 000	49 657	198 63	51 510	206 04	7 41	ST
Security total		23 000		1,079 96		1,184 73	104 77	
HONDA MOTOR CO ADR JAPAN ADR								
Symbol HMC Exchange NYSE								
EAI $14 Current yield 0 98%	Jul 29, 09	18 000	30 769	553 86	33 900	610 20	56 34	ST
	Aug 6, 09	6 000	32 572	195 44	33 900	203 40	7 96	ST
	Oct 9, 09	12 000	30 535	366 42	33 900	406 80	40 38	ST
	Oct 13, 09	6 000	31 121	186 73	33 900	203 40	16 67	ST
Security total		42 000		1,302 45		1,423 80	121 35	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol HBC Exchange NYSE								
EAI $94 Current yield 2 99%	May 5, 09	14 000	38 972	545 62	57 090	799 26	253 64	ST
	May 7, 09	6 000	41 915	251 49	57 090	342 54	91 05	ST
	Aug 28, 09	13 000	55 124	716 61	57 090	742 17	25 56	ST
	Sep 1, 09	13 000	53 035	689 47	57 090	742 17	52 70	ST

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Account name: HARMAN CAIN FAMILY FOUNDATION
Friendly account name: Managed Account
Account number: 3B 19542 VL

Your Financial Advisor:
RODERICK K VON LIPSEY
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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 27, 09	9 000	58 700	528 30	57 090	513 81	-14 49	ST
Security total		55 000		2,731 49		3,139.95	408 46	
ICAP PLC SPON ADR Symbol IAPLY Exchange OTC EAI $40 Current yield 4 72%	Oct 24, 08	61 000	10 130	617 93	13 888	847 16	229 23	LT
IHS INC CL A Symbol IHS Exchange NYSE	Jun 29, 09	1 000	49 886	49 89	54 810	54 81	4 92	ST
	Oct 12, 09	3 000	52 921	158 77	54 810	164 43	5 66	ST
Security total		4 000		208 66		219 24	10 58	
INGRAM MICRO INC Symbol IM Exchange NYSE	Nov 9, 09	6 000	18 701	112 21	17 450	104 70	-7 51	ST
	Nov 12, 09	8 000	18 805	150 44	17 450	139 60	-10 84	ST
	Nov 19, 09	8 000	17 879	143 03	17 450	139 60	-3 43	ST
	Dec 4, 09	24 000	17 284	414 82	17 450	418 80	3 98	ST
Security total		46 000		820 50		802 70	-17 80	
INPEX CORP ADR Symbol IPXHY Exchange OTC EAI $8 Current yield 0 76%	Jun 15, 09	7 000	81 273	568 91	75 299	527 09	-41 82	ST
	Jun 25, 09	4 000	76 073	304 29	75 299	301 19	-3 10	ST
	Dec 3, 09	3 000	78 150	234 45	75 299	225 90	-8 55	ST
Security total		14 000		1,107 65		1,054 18	-53 47	
INTL BUSINESS MACH Symbol IBM Exchange NYSE EAI $172 Current yield 1 68%	Jun 29, 09	24 000	105 825	2,539 82	130 900	3,141 60	601 78	ST
	Jul 1, 09	20 000	105 765	2,115 32	130 900	2,618 00	502 68	ST
	Jul 8, 09	24 000	100 319	2,407 67	130 900	3,141 60	733 93	ST
	Aug 7, 09	1 000	119 187	119 19	130 900	130 90	11 71	ST
	Aug 27, 09	9 000	119 386	1,074 48	130 900	1,178 10	103 62	ST
Security total		78 000		8,256 48		10,210 20	1,953 72	
INTUITIVE SURGICAL INC NEW Symbol ISRG Exchange OTC	Nov 11, 09	1 000	272 042	272 04	303 430	303 43	31 39	ST
	Nov 12, 09	1 000	270 063	270 06	303 430	303 43	33 37	ST
	Nov 13, 09	1 000	274 152	274 15	303 430	303 43	29 28	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 7, 09	1 000	291 055	291 06	303 430	303 43	12 37	ST
Security total		4 000		1,107 31		1,213 72	106 41	
ITT EDUCATIONAL SERVICES INC								
Symbol ESI Exchange NYSE	Oct 27, 08	1 000	76 970	76 97	95 960	95 96	18 99	LT
	Oct 31, 08	2 000	87 306	174 61	95 960	191 92	17 31	LT
	Nov 4, 08	2 000	88 067	176 13	95 960	191 92	15 79	LT
	Nov 21, 08	2 000	72 490	144 98	95 960	191 92	46 94	LT
	Nov 25, 08	2 000	86 597	173 19	95 960	191 92	18 73	LT
	Nov 26, 08	2 000	88 344	176 69	95 960	191 92	15 23	LT
	Dec 5, 08	2 000	87 191	174 38	95 960	191 92	17 54	LT
	Dec 10, 08	2 000	88 131	176 26	95 960	191 92	15 66	LT
	Dec 17, 08	3 000	86 852	260 56	95 960	287 88	27 32	LT
	Jan 22, 09	4 000	129 759	519 04	95 960	383 84	-135 20	ST
	Feb 13, 09	1 000	123 246	123 25	95 960	95 96	-27 29	ST
	Mar 13, 09	3 000	103 240	309 72	95 960	287 88	-21 84	ST
Security total		26 000		2,485 78		2,494 96	9 18	
J CREW GROUP INC								
Symbol JCG Exchange NYSE	Nov 11, 09	3 000	43 032	129 10	44 740	134 22	5 12	ST
	Nov 13, 09	4 000	43 178	172 71	44 740	178 96	6 25	ST
Security total		7 000		301 81		313 18	11 37	
JARDINE MATHESON HD-UNSP ADR ADR Symbol JMHLY Exchange OTC EAI $24 Current yield 2 41%	Oct 24, 08	33 000	17 250	569 25	30 180	995 94	426 69	LT
JOHNSON & JOHNSON COM Symbol JNJ Exchange NYSE EAI $69 Current yield 3 06%	Nov 12, 09	7 000	61 239	428 68	64 410	450 87	22 19	ST
	Nov 12, 09	4 000	61 234	244 94	64 410	257 64	12 70	ST
	Nov 16, 09	7 000	62 281	435 97	64 410	450 87	14 90	ST
	Nov 16, 09	4 000	61 989	247 96	64 410	257 64	9 68	ST
	Nov 20, 09	8 000	62 456	499 65	64 410	515 28	15 63	ST
	Dec 1, 09	5 000	63 508	317 54	64 410	322 05	4 51	ST
Security total		35 000		2,174 74		2,254 35	79 61	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JOS A BANK CLOTHIERS INC								
Symbol JOSB Exchange OTC	Nov 25, 08	5 000	18 365	91 83	42 190	210 95	119 12	LT
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $42 Current yield 0 48%	Apr 13, 09	1 000	32 011	32 01	41 670	41 67	9 66	ST
	Jul 13, 09	7 000	34 091	238 64	41 670	291 69	53 05	ST
	Oct 9, 09	17 000	45 481	773 19	41 670	708 39	-64 80	ST
	Oct 12, 09	10 000	45 883	458 84	41 670	416 70	-42 14	ST
	Oct 15, 09	23 000	46 928	1,079 34	41 670	958 41	-120 93	ST
	Oct 19, 09	82 000	45 998	3,771 91	41 670	3,416 94	-354 97	ST
	Oct 21, 09	41 000	45 991	1,885 66	41 670	1,708 47	-177 19	ST
	Oct 28, 09	6 000	43 086	258 52	41 670	250 02	-8 50	ST
	Dec 16, 09	16 000	41 454	663 27	41 670	666 72	3 45	ST
	Dec 18, 09	9 000	40 416	363 75	41 670	375 03	11 28	ST
Security total		212 000		9,525 13		8,834 04	-691 09	
KIMBERLY CLARK CORP								
Symbol KMB Exchange NYSE								
EAI $12 Current yield 3 77%	Dec 4, 09	5 000	66 560	332 80	63 710	318 55	-14 25	ST
KIRIN HOLDINGS LTD SPON ADR								
Symbol KNBWY Exchange OTC								
EAI $8 Current yield 1 14%	Jul 14, 09	21 000	15 354	322 45	16 005	336 11	13 66	ST
	Aug 25, 09	23 000	14 596	335 71	16 005	368 12	32 41	ST
Security total		44 000		658 16		704 22	46 07	
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR								
Symbol AHONY Exchange OTC								
EAI $9 Current yield 1 33%	Oct 24, 08	8 000	10 160	81 28	13 286	106 29	25 01	LT
	Mar 3, 09	10 000	10 594	105 94	13 286	132 86	26 92	ST
	Mar 4, 09	33 000	10 573	348 94	13 286	438 43	89 49	ST
Security total		51 000		536 16		677 58	141 42	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
L-3 COMMUNICATIONS HOLDINGS CORP								
Symbol LLL Exchange NYSE								
EAI $11 Current yield 1 58%	Mar 12, 09	2 000	58 775	117 55	86 950	173 90	56 35	ST
	Mar 13, 09	2 000	59 939	119 88	86 950	173 90	54 02	ST
	Jul 15, 09	4 000	69 085	276 34	86 950	347 80	71 46	ST
Security total		8 000		513 77		695 60	181 83	
LINCOLN NATL CORP IND								
Symbol LNC Exchange NYSE								
EAI $1 Current yield 0 19%	Dec 4, 09	21 000	23 219	487 61	24 880	522 48	34 87	ST
LINEAR TECHNOLOGY CORP (DELAWARE)								
Symbol LLTC Exchange OTC								
EAI $9 Current yield 2 95%	Aug 6, 09	4 000	27 181	108 73	30 560	122 24	13 51	ST
	Aug 17, 09	6 000	26 086	156 52	30 560	183 36	26 84	ST
Security total		10 000		265 25		305 60	40 35	
LONZA GROUP AG								
Symbol LZAGF Exchange OTC	Oct 24, 08	7 000	99 050	693 35	69 900	489 30	-204 05	LT
LORILLARD INC								
Symbol LO Exchange NYSE								
EAI $12 Current yield 4 99%	Dec 28, 09	3 000	79 122	237 37	80 230	240 69	3 32	ST
MASTERCARD INC CL A								
Symbol MA Exchange NYSE								
EAI $16 Current yield 0 24%	Oct 23, 08	5 000	134 440	672 20	255 980	1,279 90	607 70	LT
	Jan 28, 09	3 000	133 920	401 76	255 980	767 94	366 18	ST
	Nov 5, 09	2 000	225 990	451 98	255 980	511 96	59 98	ST
	Nov 11, 09	4 000	237 570	950 28	255 980	1,023 92	73 64	ST
	Nov 12, 09	1 000	240 688	240 69	255 980	255 98	15 29	ST
	Nov 13, 09	3 000	234 330	702 99	255 980	767 94	64 95	ST
	Nov 19, 09	3 000	233 067	699 20	255 980	767 94	68 74	ST
	Nov 19, 09	2 000	229 420	458 84	255 980	511 96	53 12	ST
	Dec 2, 09	3 000	243 242	729 73	255 980	767 94	38 21	ST
Security total		26 000		5,307 67		6,655 48	1,347 81	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI $134 Current yield 3 52%	Oct 23, 08	61 000	53 296	3,251 10	62 440	3,808 84	557 74	LT
MEDCO HEALTH SOLUTIONS INC								
Symbol MHS Exchange NYSE	Aug 6, 09	5 000	51 927	259 64	63 910	319 55	59 91	ST
	Aug 7, 09	10 000	52 451	524 51	63 910	639 10	114 59	ST
	Aug 12, 09	8 000	52 713	421 71	63 910	511 28	89 57	ST
	Aug 13, 09	7 000	52 593	368 16	63 910	447 37	79 21	ST
	Aug 17, 09	7 000	54 465	381 26	63 910	447 37	66 11	ST
	Sep 10, 09	12 000	54 464	653 57	63 910	766 92	113 35	ST
	Sep 17, 09	8 000	54 882	439 06	63 910	511 28	72 22	ST
	Oct 28, 09	4 000	56 536	226 15	63 910	255 64	29 49	ST
	Dec 4, 09	3 000	64 660	193 98	63 910	191 73	-2 25	ST
Security total		64 000		3,468 04		4,090 24	622 20	
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $91 Current yield 4 15%	Sep 9, 09	12 000	31 531	378 38	36 540	438 48	60 10	ST
	Sep 11, 09	14 000	32 449	454 29	36 540	511 56	57 27	ST
	Sep 14, 09	7 000	32 671	228 70	36 540	255 78	27 08	ST
	Sep 15, 09	7 000	32 806	229 64	36 540	255 78	26 14	ST
	Oct 20, 09	9 000	33 802	304 23	36 540	328 86	24 63	ST
	Nov 27, 09	7 000	36 500	255 50	36 540	255 78	0 28	ST
	Dec 1, 09	4 000	36 926	147 70	36 540	146 16	-1 54	ST
Security total		60 000		1,998 44		2,192 40	193 96	
METLIFE INC								
Symbol MET Exchange NYSE								
EAI $68 Current yield 2 09%	Sep 28, 09	9 000	38 687	348 19	35 350	318 15	-30 04	ST
	Sep 29, 09	17 000	38 530	655 03	35 350	600 95	-54 08	ST
	Oct 6, 09	23 000	38 222	879 12	35 350	813 05	-66 07	ST
	Oct 7, 09	19 000	37 374	710 11	35 350	671 65	-38 46	ST
	Oct 12, 09	7 000	37 967	265 77	35 350	247 45	-18 32	ST
	Oct 19, 09	9 000	38 047	342 43	35 350	318 15	-24 28	ST

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 22, 09	8 000	35 720	285 76	35 350	282 80	-2 96	ST
Security total		92 000		3,486 41		3,252 20	-234 21	
MICROSOFT CORP Symbol MSFT Exchange OTC EAI $11 Current yield 1 72%	Nov 12, 09	4 000	29 363	117 45	30 480	121 92	4 47	ST
	Nov 13, 09	6 000	29 647	177 88	30 480	182 88	5 00	ST
	Dec 4, 09	5 000	30 338	151 69	30 480	152 40	0 71	ST
	Dec 7, 09	6 000	29 897	179 38	30 480	182 88	3 50	ST
Security total		21 000		626 40		640 08	13 68	
MILLICOM INTL CELLULAR SA NEW Symbol MICC Exchange OTC EAI $19 Current yield 1 72%	Oct 24, 08	15 000	27 474	412 12	73 770	1,106 55	694 43	LT
MIRANT CORP NEW Symbol MIR Exchange NYSE	Jul 9, 09	8 000	14 384	115 08	15 270	122 16	7 08	ST
	Jul 10, 09	8 000	14 304	114 43	15 270	122 16	7 73	ST
	Jul 10, 09	1 000	14 304	14 30	15 270	15 27	0 97	ST
	Jul 27, 09	7 000	18 777	131 44	15 270	106 89	-24 55	ST
	Jul 27, 09	1 000	18 777	18 78	15 270	15 27	-3 51	ST
Security total		25 000		394 03		381 75	-12 28	
MITSUBISHI CORP SPONS ADR ADR Symbol MSBHY Exchange OTC EAI $19 Current yield 1 28%	Oct 24, 08	17 000	30 950	526 15	49 519	841 82	315 67	LT
	Jun 2, 09	3 000	40 444	121 33	49 519	148 56	27 23	ST
	Oct 14, 09	5 000	43 353	216 77	49 519	247 60	30 83	ST
	Dec 7, 09	5 000	49 847	249 24	49 519	247 60	-1 64	ST
Security total		30 000		1,113 49		1,485 57	372 09	
MONTPELIER RE HOLDINGS LTD Symbol MRH Exchange NYSE EAI $5 Current yield 2 22%	Jul 17, 09	4 000	14 253	57 01	17 320	69 28	12 27	ST
	Jul 21, 09	9 000	14 488	130 40	17 320	155 88	25 48	ST
Security total		13 000		187 41		225 16	37 75	
MORGAN STANLEY Symbol MS Exchange NYSE EAI $14 Current yield 0 70%	Nov 9, 09	7 000	33 872	237 11	29 600	207 20	-29 91	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 11, 09	22 000	33 700	741 42	29 600	651 20	-90 22	ST
	Nov 12, 09	7 000	33 387	233 72	29 600	207 20	-26 52	ST
	Nov 13, 09	7 000	32 935	230 55	29 600	207 20	-23 35	ST
	Nov 19, 09	25 000	32 448	811 20	29 600	740 00	-71 20	ST
Security total		68 000		2,254 00		2,012 80	-241 20	
MURPHY OIL CORP Symbol MUR Exchange NYSE EAI $29 Current yield 1 85%	Oct 12, 09	2 000	61 889	123 78	54 200	108 40	-15 38	ST
	Oct 19, 09	10 000	64 359	643 59	54 200	542 00	-101 59	ST
	Dec 4, 09	17 000	56 490	960 34	54 200	921 40	-38 94	ST
Security total		29 000		1,727 71		1,571 80	-155 91	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR Symbol NSRGY Exchange OTC EAI $39 Current yield 1 67%	Oct 24, 08	42 000	37 100	1,558 20	48 561	2,039 55	481 35	LT
	Jun 5, 09	3 000	35 931	107 80	48 561	145 68	37 88	ST
	Jul 8, 09	3 000	37 856	113 57	48 561	145 68	32 11	ST
Security total		48 000		1,779 57		2,330 92	551 34	
NETAPP INC Symbol NTAP Exchange OTC	Nov 19, 09	10 000	30 788	307 88	34 360	343 60	35 72	ST
	Dec 4, 09	12 000	32 365	388 39	34 360	412 32	23 93	ST
Security total		22 000		696 27		755 92	59 65	
NETFLIX COM INC Symbol NFLX Exchange OTC	Nov 23, 09	2 000	60 425	120 85	55 090	110 18	-10 67	ST
	Dec 4, 09	5 000	56 730	283 65	55 090	275 45	-8 20	ST
Security total		7 000		404 50		385 63	-18 87	
NEW WORLD DEVELOPMENT LTD SPON ADR (HONG KONG) Symbol NDVLY Exchange OTC EAI $17 Current yield 2 93%	Jun 3, 09	141 000	4 182	589 69	4 117	580 49	-9 20	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NEWCREST MINING LTD SPON AUSTRALIA ADR								
Symbol NCMGY Exchange OTC								
EAI $5 Current yield 0 37%	Oct 24, 08	9 000	10 750	96 75	31 774	285 97	189 22	LT
	Dec 1, 08	9 000	16 584	149 26	31 774	285 97	136 71	LT
	Feb 3, 09	5 000	19 250	96 25	31 774	158 87	62 62	ST
	Feb 4, 09	12 000	18 969	227 63	31 774	381 29	153 66	ST
	Mar 3, 09	5 000	19 920	99 60	31 774	158 87	59 27	ST
	Mar 4, 09	3 000	19 328	57 99	31 774	95 32	37 33	ST
Security total		43 000		727 48		1,366 28	638 81	
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI $37 Current yield 1 65%	Oct 23, 08	14 000	48 810	683 34	66 070	924 98	241 64	LT
	Nov 26, 08	5 000	51 860	259 30	66 070	330 35	71 05	LT
	Nov 26, 08	3 000	52 966	158 90	66 070	198 21	39 31	LT
	Dec 8, 08	6 000	56 630	339 78	66 070	396 42	56 64	LT
	Dec 9, 08	6 000	53 811	322 87	66 070	396 42	73 55	LT
Security total		34 000		1,764 19		2,246 38	482 19	
NINTENDO LTD ADR NEW JAPAN ADR								
Symbol NTDOY Exchange OTC								
EAI $36 Current yield 4 19%	Mar 5, 09	22 000	34 805	765 73	29 593	651 04	-114 69	ST
	Jun 18, 09	2 000	33 708	67 42	29 593	59 19	-8 23	ST
	Jun 29, 09	5 000	34 944	174 72	29 593	147 96	-26 76	ST
Security total		29 000		1,007 87		858 19	-149 68	
NIPPON STEEL CORP UNSPON ADR								
Symbol NISTY Exchange OTC								
EAI $2 Current yield 0 22%	Oct 16, 09	18 000	39 961	719 31	40 281	725 06	5 75	ST
	Dec 4, 09	5 000	39 620	198 10	40 281	201 40	3 30	ST
Security total		23 000		917 41		926 46	9 05	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol NTT Exchange NYSE								
EAI $18 Current yield 2 85%	Dec 4, 08	7 000	24 514	171 60	19 740	138 18	-33 42	LT
	Feb 24, 09	5 000	21 971	109 86	19 740	98 70	-11 16	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 25, 09	11 000	21 520	236 72	19 740	217 14	-19 58	ST
	Sep 2, 09	9 000	22 034	198 31	19 740	177 66	-20 65	ST
Security total		32 000		716 49		631 68	-84 81	
NISSAN MTR LTD SPONS ADR JAPAN ADR								
Symbol NSANY Exchange OTC	Oct 24, 08	23 000	9 053	208 24	17 402	400 25	192 01	LT
	Oct 24, 08	13 000	9 053	117 70	17 402	226 23	108 53	LT
	Mar 3, 09	41 000	6 056	248 31	17 402	713 48	465 17	ST
	Dec 3, 09	9 000	16 090	144 81	17 402	156 62	11 81	ST
Security total		86 000		719 06		1,496 57	777 52	
NOBLE CORP								
Symbol NE Exchange NYSE	Nov 2, 09	6 000	41 628	249 77	40 700	244 20	-5 57	ST
NOMURA HOLDINGS INC NEW SPON ADR								
Symbol NMR Exchange NYSE								
EAI $36 Current yield 1 62%	Oct 24, 08	74 000	10 956	810 77	7 400	547 60	-263 17	LT
	Jun 11, 09	23 000	9 171	210 95	7 400	170 20	-40 75	ST
	Jul 28, 09	22 000	8 558	188 29	7 400	162 80	-25 49	ST
	Oct 1, 09	37 000	5 863	216 94	7 400	273 80	56 86	ST
	Nov 19, 09	10 000	6 450	64 50	7 400	74 00	9 50	ST
	Nov 20, 09	56 000	6 680	374 09	7 400	414 40	40 31	ST
	Dec 3, 09	78 000	7 607	593 39	7 400	577 20	-16 19	ST
	Dec 3, 09	1 000	7 639	7 64	7 400	7 40	-0 24	ST
Security total		301 000		2,466 57		2,227 40	-239 17	
NORDSTROM INC								
Symbol JWN Exchange NYSE								
EAI $8 Current yield 1 64%	Nov 16, 09	6 000	35 032	210 19	37 580	225 48	15 29	ST
	Nov 20, 09	7 000	33 700	235 90	37 580	263 06	27 16	ST
Security total		13 000		446 09		488 54	42 45	
NORFOLK STHN CORP								
Symbol NSC Exchange NYSE								
EAI $49 Current yield 2 60%	Jun 5, 09	9 000	40 997	368 98	52 420	471 78	102 80	ST
	Jun 8, 09	10 000	40 540	405 40	52 420	524 20	118 80	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 9, 09	10 000	41 072	410 73	52 420	524 20	113 47	ST
	Jun 11, 09	7 000	40 928	286 50	52 420	366 94	80 44	ST
Security total		36 000		1,471 61		1,887 12	415 51	
NORTHROP GRUMMAN CORP								
Symbol NOC Exchange NYSE								
EAI $36 Current yield 3 07%	Jul 27, 09	3 000	43 274	129 82	55 850	167 55	37 73	ST
	Jul 29, 09	6 000	44 569	267 42	55 850	335 10	67 68	ST
	Jul 30, 09	4 000	45 815	183 26	55 850	223 40	40 14	ST
	Aug 17, 09	4 000	46 646	186 59	55 850	223 40	36 81	ST
	Sep 15, 09	4 000	49 468	197 87	55 850	223 40	25 53	ST
Security total		21 000		964 96		1,172 85	207 89	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI $65 Current yield 2 65%	Oct 24, 08	34 000	46 597	1,584 32	54 430	1,850 62	266 30	LT
	Mar 5, 09	5 000	34 583	172 92	54 430	272 15	99 23	ST
	Oct 15, 09	6 000	51 637	309 82	54 430	326 58	16 76	ST
Security total		45 000		2,067 06		2,449 35	382 29	
NTT DOCOMO INC ECH REPSTG								
1/200TH COM SHS SPON ADR								
Symbol DCM Exchange NYSE								
EAI $48 Current yield 3 58%	Oct 24, 08	62 000	15 946	988 65	13 980	866 76	-121 89	LT
	Jul 13, 09	22 000	15 208	334 58	13 980	307 56	-27 02	ST
	Sep 9, 09	12 000	15 393	184 72	13 980	167 76	-16 96	ST
Security total		96 000		1,507 95		1,342 08	-165 87	
OMNICARE INC								
Symbol OCR Exchange NYSE								
EAI $3 Current yield 0 40%	Mar 3, 09	5 000	22 108	110 54	24 180	120 90	10 36	ST
	Mar 10, 09	4 000	24 241	96 97	24 180	96 72	-0 25	ST
	Mar 11, 09	4 000	23 869	95 48	24 180	96 72	1 24	ST
	Mar 12, 09	5 000	23 812	119 06	24 180	120 90	1 84	ST
	Apr 23, 09	7 000	25 927	181 49	24 180	169 26	-12 23	ST
	May 14, 09	6 000	27 409	164 45	24 180	145 08	-19 37	ST
Security total		31 000		767 99		749 58	-18 41	

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Account name: HARMAN CAIN FAMILY FOUNDATION
Friendly account name: Managed Account
Account number: 3B 19542 VL

Your Financial Advisor:
RODERICK K VON LIPSEY
202-942-2800/888-746-8002

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
OVERSEAS SHIPHOLDING GRP								
Symbol OSG Exchange NYSE								
EAI $11 Current yield 4 17%	May 7, 09	2 000	36 058	72 12	43 950	87 90	15 78	ST
	May 19, 09	4 000	33 958	135 83	43 950	175 80	39 97	ST
Security total		6 000		207 95		263 70	55 75	
OWENS ILLINOIS INC NEW								
Symbol OI Exchange NYSE	Jun 29, 09	2 000	29 149	58 30	32 870	65 74	7 44	ST
	Jul 8, 09	5 000	26 385	131 93	32 870	164 35	32 42	ST
Security total		7 000		190 23		230 09	39 86	
PANASONIC CORP SPON ADR								
Symbol PC Exchange NYSE								
EAI $8 Current yield 0 84%	Oct 20, 09	50 000	14 472	723 61	14 350	717 50	-6 11	ST
	Oct 29, 09	6 000	13 934	83 61	14 350	86 10	2 49	ST
	Oct 30, 09	9 000	14 222	128 00	14 350	129 15	1 15	ST
	Dec 3, 09	1 000	13 689	13 69	14 350	14 35	0 66	ST
Security total		66 000		948 91		947 10	-1 81	
PARTNERRE LTD ORD								
Symbol PRE Exchange NYSE								
EAI $6 Current yield 2 68%	Jun 29, 09	1 000	64 920	64 92	74 660	74 66	9 74	ST
	Jul 1, 09	2 000	65 439	130 88	74 660	149 32	18 44	ST
Security total		3 000		195 80		223 98	28 18	
PENN NATL GAMING INC								
Symbol PENN Exchange OTC	Sep 17, 09	4 000	27 308	109 23	27 190	108 76	-0 47	ST
	Oct 21, 09	6 000	28 916	173 50	27 190	163 14	-10 36	ST
Security total		10 000		282 73		271 90	-10 83	
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $27 Current yield 2 96%	Nov 11, 09	11 000	62 551	688 07	60 800	668 80	-19 27	ST
	Nov 19, 09	4 000	61 820	247 28	60 800	243 20	-4 08	ST
Security total		15 000		935 35		912 00	-23 35	

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Friendly account name: Managed Account
Account number: 3B 19542 VL

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PETROLEO BRASILEIRO SA SPON ADR								
Symbol PBR Exchange NYSE								
EAI $26 Current yield 0 75%	Oct 24, 08	23 000	21 399	492 19	47 680	1,096 64	604 45	LT
	Dec 18, 08	15 000	24 241	363 62	47 680	715 20	351 58	LT
	Jan 29, 09	5 000	26 428	132 14	47 680	238 40	106 26	ST
	Jan 30, 09	7 000	26 816	187 71	47 680	333 76	146 05	ST
	Apr 13, 09	1 000	35 839	35 84	47 680	47 68	11 84	ST
	May 4, 09	11 000	37 295	410 25	47 680	524 48	114 23	ST
	May 6, 09	11 000	38 851	427 36	47 680	524 48	97 12	ST
Security total		73 000		2,049 11		3,480 64	1,431 53	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI $72 Current yield 4 82%	Jul 1, 09	12 000	44 448	533 38	48 190	578 28	44 90	ST
	Jul 9, 09	4 000	42 808	171 23	48 190	192 76	21 53	ST
	Jul 10, 09	6 000	42 597	255 59	48 190	289 14	33 55	ST
	Jul 17, 09	4 000	43 878	175 51	48 190	192 76	17 25	ST
	Jul 30, 09	5 000	47 321	236 61	48 190	240 95	4 34	ST
Security total		31 000		1,372 32		1,493 89	121 57	
PLAINS EXPLORATION & PRODUCTION CO								
Symbol PXP Exchange NYSE	Dec 16, 09	5 000	27 004	135 02	27 660	138 30	3 28	ST
	Dec 17, 09	5 000	27 008	135 04	27 660	138 30	3 26	ST
Security total		10 000		270 06		276 60	6 54	
PLATINUM UNDERWRITERS HOLDINGS LTD								
Symbol PTP Exchange NYSE								
EAI $2 Current yield 0 75%	Jul 27, 09	3 000	33 141	99 42	38 290	114 87	15 45	ST
	Jul 29, 09	4 000	33 250	133 00	38 290	153 16	20 16	ST
Security total		7 000		232 42		268 03	35 61	
POTASH CORP SASK INC CANADA								
Symbol POT Exchange NYSE								
EAI $6 Current yield 0 35%	Mar 10, 09	4 000	72 575	290 30	108 500	434 00	143 70	ST
	May 19, 09	1 000	113 967	113 97	108 500	108 50	-5 47	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 26, 09	3 000	113 464	340 39	108 500	325 50	-14 89	ST
	Sep 22, 09	7 000	94 712	662 99	108 500	759 50	96 51	ST
	Nov 18, 09	1 000	113 799	113 80	108 500	108 50	-5 30	ST
Security total		16 000		1,521 45		1,736 00	214 55	
PPG INDUSTRIES INC								
Symbol PPG Exchange NYSE								
EAI $39 Current yield 3 70%	Aug 27, 09	1 000	55 046	55 05	58 540	58 54	3 49	ST
	Aug 28, 09	4 000	55 905	223 62	58 540	234 16	10 54	ST
	Aug 31, 09	5 000	55 289	276 45	58 540	292 70	16 25	ST
	Sep 1, 09	4 000	54 340	217 36	58 540	234 16	16 80	ST
	Sep 9, 09	4 000	56 382	225 53	58 540	234 16	8 63	ST
Security total		18 000		998 01		1,053 72	55 71	
PPL CORP								
Symbol PPL Exchange NYSE								
EAI $23 Current yield 4 19%	Jul 29, 09	3 000	33 085	99 26	32 310	96 93	-2 33	ST
	Jul 30, 09	4 000	34 034	136 14	32 310	129 24	-6 90	ST
	Aug 13, 09	6 000	29 366	176 20	32 310	193 86	17 66	ST
	Dec 4, 09	4 000	31 697	126 79	32 310	129 24	2 45	ST
Security total		17 000		538 39		549 27	10 88	
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI $35 Current yield 1 98%	Oct 23, 08	22 000	55 403	1,218 87	80 310	1,766 82	547 95	LT
PRICELINE COM INC NEW								
Symbol PCLN Exchange OTC	Jul 29, 09	3 000	122 467	367 40	218 410	655 23	287 83	ST
	Nov 11, 09	1 000	196 838	196 84	218 410	218 41	21 57	ST
	Nov 13, 09	2 000	197 902	395 80	218 410	436 82	41 02	ST
	Nov 19, 09	1 000	204 918	204 92	218 410	218 41	13 49	ST
	Dec 4, 09	1 000	225 165	225 17	218 410	218 41	-6 76	ST
	Dec 9, 09	2 000	214 963	429 93	218 410	436 82	6 89	ST
	Dec 16, 09	1 000	222 023	222 02	218 410	218 41	-3 61	ST
Security total		11 000		2,042 08		2,402 51	360 43	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI $42 Current yield 2 89%	Aug 7, 09	1 000	52 164	52 16	60 630	60 63	8 47	ST
	Aug 13, 09	18 000	52 169	939 05	60 630	1,091 34	152 29	ST
	Aug 13, 09	5 000	52 169	260 85	60 630	303 15	42 30	ST
Security total		24 000		1,252 06		1,455 12	203 06	
PRUDENTIAL FINANCIAL INC								
Symbol PRU Exchange NYSE								
EAI $38 Current yield 1 41%	Oct 12, 09	4 000	50 788	203 15	49 760	199 04	-4 11	ST
	Oct 12, 09	3 000	50 788	152 37	49 760	149 28	-3 09	ST
	Oct 15, 09	10 000	52 177	521 78	49 760	497 60	-24 18	ST
	Oct 19, 09	10 000	52 272	522 73	49 760	497 60	-25 13	ST
	Oct 21, 09	9 000	51 260	461 34	49 760	447 84	-13 50	ST
	Nov 2, 09	5 000	45 908	229 54	49 760	248 80	19 26	ST
	Nov 13, 09	7 000	47 998	335 99	49 760	348 32	12 33	ST
	Nov 19, 09	6 000	48 467	290 80	49 760	298 56	7 76	ST
Security total		54 000		2,717 70		2,687 04	-30 66	
PUBLIC SERVICE ENTERPRSE GROUP INC								
Symbol PEG Exchange NYSE								
EAI $29 Current yield 3 96%	Mar 12, 09	2 000	23 809	47 62	33 250	66 50	18 88	ST
	Apr 1, 09	12 000	29 510	354 12	33 250	399 00	44 88	ST
	Oct 29, 09	8 000	30 108	240 87	33 250	266 00	25 13	ST
Security total		22 000		642 61		731 50	88 89	
QBE INSURANCE GROUP LTD ORD								
Symbol· QBEIF Exchange OTC	Oct 24, 08	44 000	15 830	696 52	23 023	1,013 01	316 49	LT
	Jun 16, 09	7 000	15 459	108 22	23 023	161 16	52 94	ST
Security total		51 000		804 74		1,174 17	369 43	
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI $48 Current yield 1 46%	Oct 23, 08	25 000	33 680	842 00	46 260	1,156 50	314 50	LT
	Oct 30, 08	3 000	39 425	118 28	46 260	138 78	20 50	LT
	Oct 31, 08	9 000	38 726	348 53	46 260	416 34	67 81	LT
	Apr 2, 09	19 000	41 356	785 77	46 260	878 94	93 17	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 8, 09	10 000	45 964	459 65	46 260	462 60	2 95	ST
	Sep 9, 09	5 000	46 423	232 12	46 260	231 30	-0 82	ST
Security total		71 000		2,786 35		3,284 46	498 11	
RAYTHEON CO NEW Symbol RTN Exchange NYSE EAI $30 Current yield 2 43%	Sep 29, 09	4 000	48 148	192 59	51 520	206 08	13 49	ST
	Oct 6, 09	7 000	46 429	325 00	51 520	360 64	35 64	ST
	Oct 12, 09	9 000	45 834	412 51	51 520	463 68	51 17	ST
	Oct 28, 09	4 000	46 148	184 59	51 520	206 08	21 49	ST
Security total		24 000		1,114 69		1,236 48	121 79	
RED HAT INC Symbol RHT Exchange NYSE	Apr 24, 09	4 000	18 079	72 32	30 900	123 60	51 28	ST
	Nov 13, 09	6 000	28 146	168 88	30 900	185 40	16 52	ST
	Nov 19, 09	6 000	27 668	166 01	30 900	185 40	19 39	ST
Security total		16 000		407 21		494 40	87 19	
REINSURANCE GROUP AMER INC NEW Symbol RGA Exchange NYSE EAI $2 Current yield 0 70%	Sep 28, 09	2 000	45 168	90 34	47 650	95 30	4 96	ST
	Oct 6, 09	4 000	45 295	181 18	47 650	190 60	9 42	ST
Security total		6 000		271 52		285 90	14 38	
RENAISSANCERE HOLDINGS LTD Symbol RNR Exchange NYSE EAI $7 Current yield 1 88%	Jul 31, 09	1 000	50 389	50 39	53 150	53 15	2 76	ST
	Sep 23, 09	3 000	53 350	160 05	53 150	159 45	-0 60	ST
	Oct 7, 09	3 000	56 667	170 00	53 150	159 45	-10 55	ST
Security total		7 000		380 44		372 05	-8 39	
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol RHHBY Exchange OTC EAI $38 Current yield 1 57%	Oct 24, 08	9 000	35 895	323 06	42 515	382 63	59 57	LT
	Mar 3, 09	5 000	26 971	134 86	42 515	212 57	77 71	ST
	Mar 4, 09	3 000	27 516	82 55	42 515	127 54	44 99	ST
	Mar 12, 09	11 000	30 344	333 79	42 515	467.66	133 87	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 12, 09	5 000	30 421	152 11	42 515	212 57	60 46	ST
	Mar 20, 09	18 000	32 388	582 99	42 515	765 27	182 28	ST
	Mar 23, 09	2 000	32 348	64 70	42 515	85 03	20 33	ST
	Jul 8, 09	4 000	34 418	137 67	42 515	170 06	32 39	ST
Security total		57 000		1,811 73		2,423 35	611 60	
ROSSI RESIDENCIAL S A Symbol RSRZY Exchange OTC EAI $5 Current yield 0 69%	Sep 30, 09	89 000	4 048	360 28	4 389	390 62	30 34	ST
	Oct 1, 09	75 000	3 871	290 33	4 389	329 17	38 84	ST
Security total		164 000		650 61		719 79	69 18	
SANTOS LTD ORD ORD Symbol STOSF Exchange OTC	May 20, 09	52 000	11 322	588 75	12 671	658 89	70 14	ST
	Jun 19, 09	16 000	11 983	191 73	12 671	202 73	11 00	ST
Security total		68 000		780 48		861 62	81 14	
SEARS HOLDINGS CORP Symbol SHLD Exchange OTC	Oct 6, 09	3 000	66 959	200 88	83 450	250 35	49 47	ST
	Oct 7, 09	4 000	67 614	270 46	83 450	333 80	63 34	ST
	Oct 8, 09	3 000	68 598	205 80	83 450	250 35	44 55	ST
	Oct 12, 09	3 000	69 259	207 78	83 450	250 35	42 57	ST
	Oct 15, 09	3 000	72 093	216 28	83 450	250 35	34 07	ST
	Oct 21, 09	3 000	70 969	212 91	83 450	250 35	37 44	ST
	Oct 28, 09	3 000	69 549	208 65	83 450	250 35	41 70	ST
Security total		22 000		1,522 76		1,835 90	313 14	
SECOM LTD ADR JAPAN ADR Symbol SOMLY Exchange OTC EAI $16 Current yield 1 68%	Oct 24, 08	8 000	73 150	585 20	94 957	759 66	174 46	LT
	Aug 10, 09	2 000	86 432	172.87	94 957	189 91	17 04	ST
Security total		10 000		758 07		949 57	191 50	
SEMPRA ENERGY Symbol SRE Exchange NYSE EAI $55 Current yield 2 81%	Oct 24, 08	22 000	37 430	823 46	55 980	1,231 56	408 10	LT
	Oct 31, 08	4 000	42 159	168 64	55 980	223 92	55 28	LT
	Nov 4, 08	4 000	43 994	175 98	55 980	223 92	47 94	LT

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202-942-2800/888-746-8002

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 17, 08	5 000	41 552	207 76	55 980	279 90	72 14	LT
Security total		35 000		1,375 84		1,959 30	583 46	
SMITH & NEPHEW PLC NEW SPON ADR Symbol SNN Exchange NYSE EAI $11 Current yield 1 34%	Oct 24, 08	16 000	38 761	620 19	51 250	820 00	199 81	LT
SONY CORP ADR NEW JAPAN ADR Symbol SNE Exchange NYSE EAI $7 Current yield 0 89%	Dec 23, 09	5 000	29 342	146 71	29 000	145 00	-1 71	ST
	Dec 24, 09	22 000	29 257	643 67	29 000	638 00	-5 67	ST
Security total		27 000		790 38		783 00	-7 38	
SPX CORPORATION Symbol SPW Exchange NYSE EAI $7 Current yield 1 83%	Aug 13, 09	1 000	58 041	58 04	54 700	54 70	-3 34	ST
	Aug 17, 09	3 000	54 612	163 84	54 700	164 10	0 26	ST
	Sep 10, 09	3 000	60 350	181 05	54 700	164 10	-16 95	ST
Security total		7 000		402 93		382 90	-20 03	
STANDARD CHARTERED PLC Symbol SCBFF Exchange OTC	Dec 22, 08	2 000	11 350	22 70	25 109	50 22	27 52	LT
	Dec 24, 08	25 000	5 858	146 45	25 109	627 72	481 27	LT
Security total		27 000		169 15		677 94	508 79	
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW Symbol HOT Exchange NYSE EAI $4 Current yield 0 58%	Oct 23, 08	19 000	19 006	361 11	36 570	694 83	333 72	LT
STHN UNION COS NEW Symbol SUG Exchange NYSE EAI $22 Current yield 2 62%	Apr 8, 09	6 000	15 230	91 38	22 700	136 20	44 82	ST
	Jun 2, 09	6 000	17 789	106 74	22 700	136 20	29 46	ST
	Jun 2, 09	2 000	17 789	35 58	22 700	45 40	9 82	ST
	Jun 9, 09	4 000	17 202	68 81	22 700	90 80	21 99	ST
	Jun 9, 09	4 000	17 202	68 81	22 700	90 80	21 99	ST
	Jul 17, 09	7 000	18 820	131 74	22 700	158 90	27 16	ST

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 17, 09	8 000	21 165	169 32	22 700	181 60	12 28	ST
Security total		37 000		672 38		839 90	167 52	
STRABAG AG								
Symbol STAGF Exchange OTC	Oct 24, 08	2 000	245 150	490 30	220 000	440 00	-50 30	LT
	Sep 18, 09	1 000	257 851	257 85	220 000	220 00	-37 85	ST
Security total		3 000		748 15		660 00	-88 15	
STRAYER EDUCATION INC								
Symbol STRA Exchange OTC								
EAI $6 Current yield 1 41%	Nov 12, 08	1 000	214 567	214 57	212 520	212 52	-2 05	LT
	Nov 13, 09	1 000	194 072	194 07	212 520	212 52	18 45	ST
Security total		2 000		408 64		425 04	16 40	
SUMITOMO MITSUI FINANCIAL GROUP INC ADR								
Symbol SMFJY Exchange OTC								
EAI $18 Current yield 1 78%	Sep 29, 09	88 000	3 350	294 80	2 841	250 01	-44 79	ST
	Sep 30, 09	5 000	3 455	17 28	2 841	14 20	-3 08	ST
	Oct 9, 09	51 000	3 806	194 11	2 841	144 89	-49 22	ST
	Oct 9, 09	5 000	3 871	19 36	2 841	14 20	-5 16	ST
	Oct 12, 09	156 000	3 855	601 46	2 841	443 19	-158 27	ST
	Oct 20, 09	5 000	3 563	17 82	2 841	14 20	-3 62	ST
	Oct 21, 09	46 000	3 555	163 57	2 841	130 69	-32 88	ST
Security total		356 000		1,308 40		1,011 39	-297 02	
SYMANTEC CORP								
Symbol SYMC Exchange OTC	Jul 31, 09	10 000	15 111	151 11	17 890	178 90	27 79	ST
	Aug 6, 09	9 000	15 170	136 53	17 890	161 01	24 48	ST
	Sep 15, 09	10 000	15 705	157 05	17 890	178 90	21 85	ST
Security total		29 000		444 69		518 81	74 12	
SYNGENTA AG SPON ADR								
Symbol SYT Exchange NYSE								
EAI $12 Current yield 1 52%	Oct 24, 08	14 000	29 760	416 64	56 270	787 78	371 14	LT
SYNNEX CORP								
Symbol SNX Exchange NYSE	Sep 28, 09	5 000	30 971	154 86	30 660	153 30	-1 56	ST
	Sep 29, 09	5 000	30 891	154 46	30 660	153 30	-1 16	ST
Security total		10 000		309 32		306 60	-2 72	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TELE NORTE LESTE PARTICIPACOES S A ADR								
Symbol TNE Exchange NYSE								
EAI $98 Current yield 11 44%	Oct 24, 08	25 000	10 846	271 16	21 420	535 50	264 34	LT
	Jul 17, 09	15 000	14 561	218 42	21 420	321 30	102 88	ST
Security total		40 000		489 58		856 80	367 22	
TESCO PLC SPONS ADR UNITED KINGDOM								
Symbol TSCDY Exchange OTC								
EAI $19 Current yield 2 70%	Oct 24, 08	34 000	15 081	512 78	20 735	704 99	192 21	LT
THALES SPONS ORD								
Symbol THLEF Exchange OTC	Oct 24, 08	15 000	40 130	601 95	51 572	773 58	171 63	LT
	Mar 23, 09	7 000	39 195	274 37	51 572	361 00	86 63	ST
Security total		22 000		876 32		1,134 58	258 26	
THE DIRECTV GROUP CL A								
Symbol DTV Exchange OTC	Jun 30, 09	7 000	24 760	173 32	33 350	233 45	60 13	ST
	Jul 1, 09	8 000	24 892	199 14	33 350	266 80	67 66	ST
Security total		15 000		372 46		500 25	127 79	
TOSHIBA CORP ADR								
Symbol TOSYY Exchange OTC	Jul 23, 09	13 000	24 500	318 51	32 934	428.14	109 63	ST
	Jul 28, 09	8 000	25 206	201 65	32 934	263 47	61 82	ST
	Jul 31, 09	14 000	26 542	371 60	32 934	461 08	89 48	ST
	Oct 22, 09	3 000	35 987	107 96	32 934	98 80	-9 16	ST
Security total		38 000		999 72		1,251 49	251 77	
TOTAL S A FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI $69 Current yield 4 31%	Jan 28, 09	19 000	52 772	1,002 67	64 040	1,216 76	214 09	ST
	Feb 18, 09	4 000	49 442	197 77	64 040	256 16	58 39	ST
	Dec 21, 09	2 000	62 660	125 32	64 040	128 08	2 76	ST
Security total		25 000		1,325 76		1,601 00	275 24	
TRANSATLANTIC HLDGS INC								
Symbol TRH Exchange NYSE								
EAI $2 Current yield 1 92%	Nov 19, 09	2 000	54 470	108 94	52 110	104 22	-4 72	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TRANSOCEAN LTD								
Symbol RIG Exchange NYSE	Feb 9, 09	4 000	60 744	242 98	82 800	331 20	88 22	ST
	Feb 9, 09	4 000	61 479	245 92	82 800	331 20	85 28	ST
	Feb 10, 09	11 000	58 786	646 65	82 800	910 80	264 15	ST
	Feb 13, 09	12 000	60 869	730 43	82 800	993 60	263 17	ST
	Feb 20, 09	7 000	58 946	412 63	82 800	579 60	166 97	ST
	Feb 27, 09	6 000	59 730	358 38	82 800	496 80	138 42	ST
	Nov 23, 09	2 000	84 940	169 88	82 800	165 60	-4 28	ST
Security total		46 000		2,806 87		3,808 80	1,001 93	
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI $91 Current yield 2 65%	Jan 30, 09	1 000	38 960	38 96	49 860	49 86	10 90	ST
	Feb 4, 09	4 000	39 269	157 08	49 860	199 44	42 36	ST
	Oct 28, 09	18 000	51 091	919 64	49 860	897 48	-22 16	ST
	Nov 2, 09	20 000	50 339	1,006 79	49 860	997 20	-9 59	ST
	Nov 9, 09	4 000	53 014	212 06	49 860	199 44	-12 62	ST
	Nov 11, 09	7 000	54 123	378 86	49 860	349 02	-29 84	ST
	Nov 13, 09	6 000	53 347	320 08	49 860	299 16	-20 92	ST
	Nov 19, 09	6 000	52 823	316 94	49 860	299 16	-17 78	ST
	Dec 23, 09	3 000	49 137	147 41	49 860	149 58	2 17	ST
Security total		69 000		3,497 82		3,440 34	-57 48	
ULTRA ELECTRONICS HLDGS PLC								
ADR								
Symbol UEHPY Exchange OTC								
EAI $4 Current yield 0 62%	Apr 16, 09	12 000	8 926	107 12	11 102	133 22	26 10	ST
	Apr 17, 09	25 000	8 885	222 14	11 102	277 55	55 41	ST
	Apr 20, 09	21 000	8 615	180 92	11 102	233 14	52 22	ST
Security total		58 000		510 18		643 91	133 73	
ULTRA PETROLEUM CORP (CANADA)								
ORD								
Symbol UPL Exchange NYSE	Dec 4, 09	8 000	47 300	378 40	49 860	398 88	20 48	ST
	Dec 16, 09	3 000	50 869	152 61	49 860	149 58	-3 03	ST
Security total		11 000		531 01		548 46	17 45	

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Account name: HARMAN CAIN FAMILY FOUNDATION
Friendly account name: Managed Account
Account number: 3B 19542 VL

Your Financial Advisor:
RODERICK K VON LIPSEY
202-942-2800/888-746-8002

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol UN Exchange NYSE EAI $34 Current yield 2 84%	Oct 24, 08	22 000	23 357	513 86	32 330	711 26	197 40	LT
	Jun 5, 09	15 000	24 698	370 47	32 330	484 95	114 48	ST
Security total		37 000		884 33		1,196 21	311 88	
UNION PACIFIC CORP Symbol UNP Exchange NYSE EAI $60 Current yield 1 68%	Jan 22, 09	4 000	41 266	165 07	63 900	255 60	90 53	ST
	Feb 20, 09	8 000	40 057	320 46	63 900	511 20	190 74	ST
	Feb 24, 09	3 000	39 107	117 32	63 900	191 70	74 38	ST
	Feb 27, 09	6 000	38 222	229 33	63 900	383 40	154 07	ST
	Mar 10, 09	9 000	35 595	320 36	63 900	575 10	254 74	ST
	Mar 11, 09	11 000	36 744	404 19	63 900	702 90	298 71	ST
	Mar 12, 09	10 000	35 863	358 64	63 900	639 00	280 36	ST
	Apr 3, 09	5 000	46 024	230 12	63 900	319 50	89 38	ST
Security total		56 000		2,145 49		3,578 40	1,432 91	
UNITEDHEALTH GROUP INC Symbol UNH Exchange NYSE EAI $2 Current yield 0 12%	Jul 15, 09	4 000	24 723	98 89	30 480	121 92	23 03	ST
	Jul 21, 09	28 000	25 330	709 25	30 480	853 44	144 19	ST
	Jul 27, 09	8 000	27 437	219 50	30 480	243 84	24 34	ST
	Jul 31, 09	6 000	28 129	168 77	30 480	182 88	14 11	ST
	Sep 10, 09	11 000	28 390	312 30	30 480	335 28	22 98	ST
Security total		57 000		1,508 71		1,737 36	228 65	
UNIVERSAL CORP VA Symbol UVV Exchange NYSE EAI $15 Current yield 4 11%	Nov 11, 09	4 000	45 503	182 01	45 610	182 44	0 43	ST
	Nov 13, 09	4 000	45 484	181 94	45 610	182 44	0 50	ST
Security total		8 000		363 95		364 88	0 93	
US BANCORP DEL (NEW) Symbol USB Exchange NYSE EAI $15 Current yield 0 89%	Mar 20, 09	18 000	13 812	248 62	22 510	405 18	156 56	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 25, 09	33 000	15 932	525 77	22 510	742 83	217 06	ST
	Apr 3, 09	16 000	15 420	246 72	22 510	360 16	113 44	ST
	Nov 11, 09	8 000	24 417	195 34	22 510	180 08	-15 26	ST
Security total		75 000		1,216 45		1,688 25	471 80	
VALEANT PHARMACEUTICALS INTL Symbol VRX Exchange NYSE	Jul 1, 09	4 000	25 826	103 31	31 790	127 16	23 85	ST
VISA INC CL A Symbol V Exchange NYSE EAI $15 Current yield 0 57%	May 28, 09	2 000	66 149	132 30	87 460	174 92	42 62	ST
	May 29, 09	6 000	67 039	402 23	87 460	524 76	122 53	ST
	Jun 1, 09	9 000	66 704	600 34	87 460	787 14	186 80	ST
	Jun 2, 09	6 000	66 853	401 12	87 460	524 76	123 64	ST
	Jun 18, 09	3 000	60 948	182 85	87 460	262 38	79 53	ST
	Dec 2, 09	4 000	82 982	331 93	87 460	349 84	17 91	ST
Security total		30 000		2,050 77		2,623 80	573 03	
VODAFONE GROUP PLC NEW SPON ADR Symbol VOD Exchange OTC EAI $121 Current yield 5 57%	Oct 24, 08	54 000	16 188	874 20	23 090	1,246 86	372 66	LT
	Mar 3, 09	22 000	16 574	364 64	23 090	507 98	143 34	ST
	Mar 23, 09	4 000	17 500	70 00	23 090	92 36	22 36	ST
	Sep 8, 09	6 000	22 649	135 90	23 090	138 54	2 64	ST
	Dec 2, 09	1 000	23 993	23 99	23 090	23 09	-0 90	ST
	Dec 3, 09	7 000	23 367	163 57	23 090	161 63	-1 94	ST
Security total		94 000		1,632 30		2,170 46	538 16	
WELLPOINT INC Symbol WLP Exchange NYSE	Jun 29, 09	7 000	51 475	360 33	58 290	408 03	47 70	ST
	Jul 1, 09	9 000	51 884	466 96	58 290	524 61	57 65	ST
	Jul 8, 09	9 000	50 197	451 78	58 290	524 61	72 83	ST
	Jul 10, 09	6 000	49 758	298 55	58 290	349 74	51 19	ST
Security total		31 000		1,577 62		1,806 99	229 37	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $24 Current yield 0 73%	May 4, 09	8 000	23 163	185 31	26 990	215 92	30 61	ST
	May 5, 09	27 000	22 947	619 58	26 990	728 73	109 15	ST
	May 8, 09	57 000	26 927	1,534 89	26 990	1,538 43	3 54	ST
	Aug 6, 09	13 000	28 209	366 73	26 990	350 87	-15 86	ST
	Oct 1, 09	17 000	27 425	466 24	26 990	458 83	-7 41	ST
Security total		122 000		3,172 75		3,292 78	120 03	
WHIRLPOOL CORP								
Symbol WHR Exchange NYSE								
EAI $12 Current yield 2 13%	Dec 16, 09	3 000	77 485	232 46	80 660	241 98	9 52	ST
	Dec 17, 09	2 000	78 130	156 26	80 660	161 32	5 06	ST
	Dec 23, 09	2 000	82 472	164 95	80 660	161 32	-3 63	ST
Security total		7 000		553 67		564 62	10 95	
WHITE ENERGY CO LTD								
Symbol WECFF Exchange OTC	Nov 11, 09	40 000	2 500	100 00	2 158	86 32	-13 68	ST
	Nov 12, 09	65 000	2 611	169 77	2 158	140 27	-29 50	ST
	Nov 13, 09	30 000	2 578	77 34	2 158	64 74	-12 60	ST
	Nov 16, 09	20 000	2 598	51 98	2 158	43 16	-8 82	ST
	Nov 17, 09	20 000	2 578	51 57	2 158	43 16	-8 41	ST
	Nov 18, 09	25 000	2 549	63 75	2 158	53 95	-9 80	ST
	Nov 19, 09	10 000	2 561	25 62	2 158	21 58	-4 04	ST
	Nov 20, 09	25 000	2 548	63 72	2 158	53 95	-9 77	ST
	Nov 23, 09	37 000	2 530	93 63	2 158	79 85	-13 78	ST
Security total		272 000		697 38		586 97	-110 40	
XL CAPITAL LTD CL A								
Symbol XL Exchange NYSE								
EAI $9 Current yield 2 23%	Nov 2, 09	13 000	16 683	216 89	18 330	238 29	21 40	ST
	Nov 12, 09	9 000	17 869	160 83	18 330	164 97	4 14	ST
Security total		22 000		377 72		403 26	25 54	
YAHOO INC								
Symbol YHOO Exchange OTC	Sep 28, 09	8 000	17 384	139 08	16 780	134 24	-4 84	ST
	Sep 29, 09	14 000	17 409	243 73	16 780	234 92	-8 81	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 30, 09	27 000	17 388	469 49	16 780	453 06	-16 43	ST
Security total		49 000		852 30		822 22	-30 08	
YAHOO JAPAN CORP ADR								
Symbol YAHOY Exchange OTC	Jul 29, 09	6 000	114 107	684 64	99 583	597 50	-87 14	ST
	Oct 28, 09	1 000	105 467	105 47	99 583	99 58	-5 89	ST
Security total		7 000		790 11		697 08	-93 03	
ZURICH FINANCIAL SVCS SPON ADR								
Symbol ZFSVY Exchange OTC								
EAI $32 Current yield 3 56%	Oct 24, 08	22 000	16 100	354 20	21 911	482 04	127 84	LT
	Nov 3, 08	19 000	20 430	388 19	21 911	416 31	28 12	LT
Security total		41 000		742 39		898 35	155 96	
3M CO								
Symbol MMM Exchange NYSE								
EAI $14 Current yield 2 42%	Nov 11, 09	7 000	77 920	545 44	82 670	578 69	33 25	ST
Total				**$268,733.02**		**$309,960.44**	**$41,227.44**	

Total estimated annual income: $4,643

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ISHARES INC MSCI JAPAN INDEX								
FD								
Symbol EWJ Exchange NYSE								
EAI $65 Current yield 1 82%	Oct 24, 08	207 000	8 320	1,722 24	9 740	2,016 18	293 94	LT
	Dec 4, 09	160 000	10 020	1,603 30	9 740	1,558 40	-44 90	ST
Security total		367 000		3,325 54		3,574 58	249 04	
SPDR DOW JONES REIT ETF								
Symbol· RWR Exchange NYSE								
EAI $875 Current yield 3 93%	Oct 23, 08	437 000	39 978	17,470 60	49 210	21,504 77	4,034 17	LT
	Dec 23, 08	1 000	38 130	38 13	49 210	49 21	11 08	LT
	Oct 22, 09	15 000	45 550	683 25	49 210	738 15	54 90	ST
Security total		453 000		18,191 98		22,292 13	4,100 15	
Total				**$21,517.52**		**$25,866.71**	**$4,349.19**	

Total estimated annual income: $940

Your assets › **Equities** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
ALLIANZ NFJ INTL									
VALUE FUND CLASS A									
Trade date Oct 23, 08	1,078 161	13 350	14,393 45	14,393 45	19 060	20,549 74	6,156 29		LT
Trade date Oct 22, 09	12 190	18 870	230 03	230 03	19 060	232 34	2 31		ST
Total reinvested	1 620	18 580		30 10	19 060	30 88	0 78		
EAI $326 Current yield 1 57%									
Security total	1,091 971		14,623 48	14,653 58		20,812 96	6,159 38	6,189 48	

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
AMERICAN FUNDS BOND									
FUND OF AMERICA CLASS F									
Trade date Oct 23, 08	10,463 255	10 920	114,258 74	114,258 74	11 800	123,466 40	9,207 66		LT
Trade date Oct 22, 09	319 384	11 800	3,768 73	3,768 73	11 800	3,768 73			ST
Total reinvested	38 632	11 800		455 86	11 800	455 86			
EAI $5,649 Current yield 4 42%									
Security total	10,821 271		118,027 47	118,483 33		127,690 99	9,207 66	9,663 52	
OPPENHEIMER INTL BOND FD CL A									
Trade date Oct 23, 08	5,615 795	5 580	31,336 14	31,336 14	6 400	35,941 09	4,604 95		LT

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
Trade date Dec 3, 09	3,737 144	6 720	25,113 61	25,113 61	6 400	23,917 72	-1,195 89		ST
Total reinvested	509 316	6 075		3,094 31	6 400	3,259 62	165 31		
EAI $2,278 Current yield 3 61%									
Security total	9,862 255		56,449 75	59,544 06		63,118 43	3,574 37	6,668 68	
Total			**$174,477.22**	**$178,027.39**		**$190,809.42**	**$12,782.03**	**$16,332.20**	

Total estimated annual income: $7,927

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
WELLS FARGO & CO NEW 8 000%								
PREFERRED CLBL								
Symbol WFCPRJ Exchange NYSE								
EAI $50 Current yield 7 78%	Dec 30, 08	1 000	21 898	21 90	25 700	25 70	3 80	LT
	Dec 31, 08	4 000	21 947	87 79	25 700	102 80	15 01	ST
	Mar 18, 09	5 000	16 249	81 25	25 700	128 50	47 25	ST
	Aug 28, 09	15 000	24 501	367 52	25 700	385 50	17 98	ST
Security total		25 000		558 46		642 50	84 04	

Alternative strategies

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
DIAMOND HILL LONG-SHORT									
FUND CLASS A									
Trade date Oct 23, 08	6,395 964	14 120	90,311 01	90,311 01	16 310	104,318 17	14,007 16		LT
Total reinvested	108 558	13 846		1,503 18	16 310	1,770 58	267 40		
EAI $963 Current yield 0 91%									
Security total	6,504 522		90,311 01	91,814 19		106,088 75	14,274 56	15,777 74	

Your assets (continued)

Broad commodities

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
IVY GLOBAL NATURAL									
RESOURCES FUND CLASS A									
Trade date Oct 23, 08	1,643 201	14 970	24,598 72	24,598 72	18 490	30,382 78	5,784 06		LT
Trade date Oct 22, 09	255 803	18 600	4,757 94	4,757 94	18 490	4,729 80	-28 14		ST
EAI $49 Current yield 0 14%									
Security total	1,899 004		29,356 66	29,356 66		35,112 58	5,755 92	5,755 92	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**13,738.94**	**1.95%**	**13,738.94**		
Equities	Common stock	309,960 44		268,733 02	4,643 00	41,227 44
	Closed end mutual funds	25,866 71		21,517 52	940 00	4,349 19
	Mutual funds	20,812 96		14,653 58	326 00	6,159 38
	Total equities	**356,640.11**	**50.73%**	**304,904.12**	**5,909.00**	**51,736.01**
Fixed income	Mutual funds	190,809 42		178,027 39	7,927 00	12,782 03
	Preferred securities	642 50		558 46	50 00	84 04
	Total fixed income	**191,451.92**	**27.23%**	**178,585.85**	**7,977.00**	**12,866.07**
Alternative strategies	**Mutual funds**	**106,088.75**	**15.09%**	**91,814.19**	**963.00**	**14,274.56**
Broad commodities	**Mutual funds**	**35,112.58**	**5.00%**	**29,356.66**	**49.00**	**5,755.92**
Total		**$703,032.30**	**100.00%**	**$618,399.76**	**$14,898.00**	**$84,632.56**

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours
1	Barbara L Harman		397 South Street	Needham	MA	02492		Trustee	
2	William E. Cain		397 South Street	Needham	MA	02492		Trustee	
3									
4									
5									
6									
7									
8									
9									
10									

Part

	0	0	0	
	Compensation	Benefits	Expense Account	Explanation
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				