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**Return of Private Foundation**  
**or Section 4947(a)(1) Nonexempt Charitable Trust**  
**Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

**2009**

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

<b>Use the IRS label. Otherwise, print or type. See Specific Instructions.</b>	Name of foundation <b>BRINDLE FOUNDATION</b>		<b>A Employer identification number</b> <b>03-0466957</b>	
	Number and street (or P O box number if mail is not delivered to street address) Room/suite <b>P O BOX 31696</b>		<b>B Telephone number (see page 10 of the instructions)</b> <b>505 986-3983</b>	
	City or town, state, and ZIP code <b>SANTA FE, NM 87594-1696</b>		<b>C If exemption application is pending, check here</b> <input type="checkbox"/>	
			<b>D 1. Foreign organizations, check here</b> <input type="checkbox"/> <b>2. Foreign organizations meeting the 85% test, check here and attach computation</b> <input type="checkbox"/>	
<b>H Check type of organization:</b> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			<b>E If private foundation status was terminated under section 507(b)(1)(A), check here</b> <input type="checkbox"/>	
<b>I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 11,661,279</b> (Part I, column (d) must be on cash basis)			<b>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here</b> <input type="checkbox"/>	
<b>J Accounting method:</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____				

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b>	Contributions, gifts, grants, etc., received (attach schedule)	<b>500,354</b>			
<b>2</b>	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
<b>3</b>	Interest on savings and temporary cash investments				
<b>4</b>	Dividends and interest from securities	<b>361,618</b>	<b>361,618</b>		
<b>5a</b>	Gross rents				
<b>b</b>	Net rental income or (loss)				
<b>6a</b>	Net gain or (loss) from sale of assets not on line 10	<b>-1,278,958</b>			
<b>b</b>	Gross sales price for all assets on line 6a <b>2,022,805</b>				
<b>7</b>	Capital gain net income (from Part IV, line 2)				
<b>8</b>	Net short-term capital gain				
<b>9</b>	Income modifications				
<b>10a</b>	Gross sales less returns and allowances				
<b>b</b>	Less: Cost of goods sold				
<b>c</b>	Gross profit or (loss) (attach schedule)				
<b>11</b>	Other income (attach schedule)	<b>17,113</b>	<b>17,113</b>		
<b>12</b>	<b>Total. Add lines 1 through 11</b>	<b>-399,873</b>	<b>378,731</b>		
<b>13</b>	Compensation of officers, directors, trustees, etc.				
<b>14</b>	Other employee salaries and wages	<b>50,000</b>			<b>50,000</b>
<b>15</b>	Pension plans, employee benefits				
<b>16a</b>	Legal fees (attach schedule)	<b>348</b>			<b>348</b>
<b>b</b>	Accounting fees (attach schedule)	<b>1,295</b>	<b>895</b>		<b>400</b>
<b>c</b>	Other professional fees (attach schedule)	<b>64,180</b>	<b>64,180</b>		
<b>17</b>	Interest	<b>5,190</b>	<b>5,190</b>		
<b>18</b>	Taxes (attach schedule) (see page 14 of the instructions)	<b>6,895</b>	<b>2,320</b>		<b>4,575</b>
<b>19</b>	Depreciation (attach schedule) and depletion				
<b>20</b>	Occupancy				
<b>21</b>	Travel, conferences, and meetings	<b>1,134</b>			<b>1,134</b>
<b>22</b>	Printing and publications				
<b>23</b>	Other expenses (attach schedule)	<b>1,876</b>			<b>1,876</b>
<b>24</b>	<b>Total operating and administrative expenses. Add lines 13 through 23</b>	<b>130,918</b>	<b>72,585</b>		<b>58,333</b>
<b>25</b>	Contributions, gifts, grants paid	<b>600,464</b>			<b>600,464</b>
<b>26</b>	<b>Total expenses and disbursements. Add lines 24 and 25</b>	<b>731,382</b>	<b>72,585</b>		<b>658,797</b>
<b>27</b>	Subtract line 26 from line 12.				
<b>a</b>	<b>Excess of revenue over expenses and disbursements</b>	<b>-1,131,255</b>			
<b>b</b>	<b>Net investment income (if negative, enter -0-)</b>		<b>306,146</b>		
<b>c</b>	<b>Adjusted net income (if negative, enter -0-)</b>				

p10

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .					
	<b>2</b> Savings and temporary cash investments . . . . .	1,276,753	805,392	805,392		
	<b>3</b> Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	<b>4</b> Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	<b>5</b> Grants receivable . . . . .					
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) . . . . .					
	<b>7</b> Other notes and loans receivable (attach schedule) ▶					
	Less: allowance for doubtful accounts ▶					
	<b>8</b> Inventories for sale or use . . . . .					
	<b>9</b> Prepaid expenses and deferred charges . . . . .					
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)					
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	7,726,810	7,120,565	10,855,887		
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .					
	<b>11</b> Investments—land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
	<b>12</b> Investments—mortgage loans . . . . .					
	<b>13</b> Investments—other (attach schedule) . . . . .					
	<b>14</b> Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
	<b>15</b> Other assets (describe ▶ )					
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	9,003,563	7,925,957	11,661,279		
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .					
	<b>18</b> Grants payable . . . . .					
	<b>19</b> Deferred revenue . . . . .					
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons					
	<b>21</b> Mortgages and other notes payable (attach schedule)					
	<b>22</b> Other liabilities (describe ▶ )					
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .					
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	<b>24</b> Unrestricted . . . . .					
	<b>25</b> Temporarily restricted . . . . .					
	<b>26</b> Permanently restricted . . . . .					
	<b>Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/></b> <b>and complete lines 27 through 31.</b>					
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	9,003,563	7,925,957			
	<b>28</b> Paid-in or capital surplus, or land, bldg., and equipment fund					
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds					
	<b>30</b> <b>Total net assets or fund balances</b> (see page 17 of the instructions)	9,003,563	7,925,957			
	<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)	9,003,563	7,925,957			

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>			
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .		<b>1</b>	9,003,563
<b>2</b> Enter amount from Part I, line 27a . . . . .		<b>2</b>	-1,131,255
<b>3</b> Other increases not included in line 2 (itemize) ▶ <b>APPRECIATION OF DONATED ASSETS</b>		<b>3</b>	53,649
<b>4</b> Add lines 1, 2, and 3 . . . . .		<b>4</b>	7,925,957
<b>5</b> Decreases not included in line 2 (itemize) ▶		<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .		<b>6</b>	7,925,957

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE SCHEDULE ATTACHED</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 2,022,805		3,301,763	-1,278,958	
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	-1,278,958	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

<b>1</b> Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	711,543	13,506,872	.052680
2007	646,898	15,338,494	.042175
2006	608,243	12,529,605	.048544
2005	504,744	13,089,761	.038560
2004	368,224	9,891,332	.037227
<b>2</b> Total of line 1, column (d)		<b>2</b>	.219186
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b>	.043837
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5		<b>4</b>	9,790,333
<b>5</b> Multiply line 4 by line 3		<b>5</b>	429,179
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)		<b>6</b>	3,061
<b>7</b> Add lines 5 and 6		<b>7</b>	432,240
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.		<b>8</b>	658,797

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>		3,061
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>		3,061
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>		3,061
<b>6</b>	<b>Credits/Payments:</b>			
<b>a</b>	2009 estimated tax payments and 2008 overpayment credited to 2009	<b>6a</b>		6,000
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		6,000
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		2,939
<b>11</b>	Enter the amount of line 10 to be. <b>Credited to 2010 estimated tax</b> <b>2,939</b> <b>Refunded</b>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b>		✓
<b>b</b>		✓
<b>1c</b>		✓
<b>d</b>		
<b>e</b>		
<b>2</b>		✓
<b>3</b>		✓
<b>4a</b>		✓
<b>4b</b>		✓
<b>5</b>		
<b>6</b>	✓	
<b>7</b>	✓	
<b>8a</b>		
<b>8b</b>	✓	
<b>9</b>		✓
<b>10</b>		✓

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ▶ N/A				
14	The books are in care of ▶ FOUNDATION OFFICE	Telephone no. ▶	505 986-3983	
	Located at ▶ P O BOX 31696, SANTA FE, NM	ZIP+4 ▶	87594	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶	15		0

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1b	✓
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	✓
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ **5b**
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No **6b**
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **7b**
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY H. SCHWANFELDER P O BOX 31696, SANTA FE, NM 87594	PRESIDENT-5 HRS	0	0	0
KEVIN SCHWANFELDER P O BOX 31696, SANTA FE, NM 87594	VICE PRES-1 HR	0	0	0
CRAIG SCHWANFELDER P O BOX 31696, SANTA FE, NM 87594	SEC/TREAS-1 HR	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A				

Total number of other employees paid over \$50,000 **▶**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See page 24 of the instructions.	
<b>3</b>	

Total. Add lines 1 through 3 . . . . . ▶



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	9,160,430
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	778,994
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	9,939,424
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	9,939,424
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) . . . . .	<b>4</b>	149,091
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	9,790,333
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	489,517

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	489,517
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5 . . . . .	<b>2a</b>	3,061
<b>b</b>	Income tax for 2009 (This does not include the tax from Part VI) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	3,061
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	486,456
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	486,456
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	486,456

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	658,797
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	658,797
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) . . . . .	<b>5</b>	3,061
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	655,736

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7 . . . . .				<b>486,456</b>
<b>2</b> Undistributed income, if any, as of the end of 2009:				
<b>a</b> Enter amount for 2008 only . . . . .			<b>651,344</b>	
<b>b</b> Total for prior years: 20 __, 20 __, 20 __				
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004 . . . . .				
<b>b</b> From 2005 . . . . .				
<b>c</b> From 2006 . . . . .				
<b>d</b> From 2007 . . . . .				
<b>e</b> From 2008 . . . . .				
<b>f</b> <b>Total</b> of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ <b>658,797</b>			<b>651,344</b>	
<b>a</b> Applied to 2008, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
<b>d</b> Applied to 2009 distributable amount . . . . .				<b>7,453</b>
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . . .				
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .				
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 . . . . .				<b>479,003</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2010.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2005 . . . . .				
<b>b</b> Excess from 2006 . . . . .				
<b>c</b> Excess from 2007 . . . . .				
<b>d</b> Excess from 2008 . . . . .				
<b>e</b> Excess from 2009 . . . . .				

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling N/A

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NANCY H. SCHWANFELDER

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV**      **Supplementary Information (continued)**

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SEE SCHEDULE ATTACHED				
<b>Total</b>			▶ 3a	600,464
<b>b</b> Approved for future payment				
<b>Total</b>			▶ 3b	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments . . . . .						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities . . . . .			14	361,618		
5 Net rental income or (loss) from real estate:						
a Debt-financed property . . . . .						
b Not debt-financed property . . . . .						
6 Net rental income or (loss) from personal property						
7 Other investment income . . . . .			14	17,113		
8 Gain or (loss) from sales of assets other than inventory			18	-1,278,958		
9 Net income or (loss) from special events . . . . .						
10 Gross profit or (loss) from sales of inventory . . . . .						
11 Other revenue: a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e) . . . . .						
13 Total. Add line 12, columns (b), (d), and (e) . . . . .				13	-900,227	

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Exempt Organizations		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
<b>(1)</b>	Cash	1a(1)	✓
<b>(2)</b>	Other assets	1a(2)	✓
<b>b</b>	Other transactions:		
<b>(1)</b>	Sales of assets to a noncharitable exempt organization	1b(1)	✓
<b>(2)</b>	Purchases of assets from a noncharitable exempt organization	1b(2)	✓
<b>(3)</b>	Rental of facilities, equipment, or other assets	1b(3)	✓
<b>(4)</b>	Reimbursement arrangements	1b(4)	✓
<b>(5)</b>	Loans or loan guarantees	1b(5)	✓
<b>(6)</b>	Performance of services or membership or fundraising solicitations	1b(6)	✓
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	✓
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <i>Nancy H. Schwantfelder</i>		Date 11/1/10		Title PRESIDENT
	Preparer's Use Only Preparer's signature <i>Nicholas E Rhodes, CPA</i>		Date 10/28/10	Check if self-employed <input checked="" type="checkbox"/>	Preparer's identifying number (see <b>Signature</b> on page 30 of the instructions) 00197776
	Firm's name (or yours if self-employed), address, and ZIP code NICHOLAS E RHODES, CPA 985 E. WOODMOOR, BOUNTIFUL, UT 84010			EIN 801 295-2297	Phone no 801 295-2297

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

Name of the organization

BRINDLE FOUNDATION

Employer identification number

03 : 0466957

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33⅓% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ .....

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization  
BRINDLE FOUNDATIONEmployer identification number  
03 : 0466957**Part I** Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	MARTHA A HEALY P O BOX 31696 SANTA FE, NM 87594	\$ 500,354	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Employer identification number  
03 : 0466957

[illegible]

Brindle Foundation

03-0466957

2009

Form 990PF

Part I, line 11 - Other income

Class action settlements	9,252
Slocum Research Portfolio	<u>7,861</u>
	\$ <u><u>17,113</u></u>

Part I, line 16a - Legal Fees

CT Corporation	
Wilmington, DE	\$ <u><u>348</u></u>

Part I, line 16b - Accounting Fees

Denise Johnsen	
Kaysville, UT	\$ 895
Weaver and Call	400
Salt Lake City, UT	
	\$ <u><u>1,295</u></u>

Part I, Line 16c - Other professional fees

Wells Fargo Bank Trust Department	
Salt Lake City, UT	
Custodial fees	\$ 29,647
Slocum and Associates	
Minneapolis, MN	
Investment management	34,533
	\$ <u><u>64,180</u></u>

Part I, line 18 - Taxes

Foreign tax	\$ 2,320
Payroll tax	4,513
State franchise tax	62
	\$ <u><u>6,895</u></u>

Part I, line 23 - Other expenses

Dues	1,500
Office expenses	<u>376</u>
	<u><u>1,876</u></u>

Brindle Foundation  
Investment - Stocks and Bonds  
03-0466957  
2009  
Form 990PF - Part II, Line 10b

<u>Security Name</u>	<u>Date Acquired</u>	<u>Share or Face Value</u>	<u>Cost</u>	<u>Fair Market Value</u>
Activision Blizzard	09/24/09	4,000	45,956	44,440
Aflac Inc	11/18/09	1,000	44,874	46,250
Amazon Com Inc.	11/18/09	400	52,340	53,808
Amphenol Corp	10/01/07	1,800	51,840	83,124
Apple Inc	06/26/07	1,200	179,314	252,878
Avalon Bay Communities Inc.	11/24/09	1,000	71,410	82,110
Baker Hughes	08/21/09	200	7,724	8,096
BHP Billiton Limited ADR	11/18/09	200	15,058	15,316
Caterpillar	02/19/09	1,200	37,343	68,388
Cheron Corp.	08/21/09	200	13,938	15,398
Cisco Systems Inc.	07/31/02	4,800	122,681	114,912
Coca Cola	10/27/08	900	40,819	51,300
First Solar Inc.	02/19/09	800	100,822	108,320
General Electric	07/31/02	197,584	11,810	2,989,446
Gilead Sciences Inc	04/03/07	1,200	52,599	51,924
Goldman Sachs Group	11/18/09	300	52,937	50,652
Google Inc.	01/15/09	300	97,430	185,994
HCP Inc	08/19/09	20,000	553,138	610,800
Hewlett Packard Co.	11/18/09	300	15,117	15,453
Hussman Stratefic Growth Fund	05/05/09	11,456	147,701	146,402
Intel Corp	06/17/03	3,000	59,747	61,200
Ipath Dow Jones AIG Commodity	02/01/08	5,000	291,711	211,300
Ishares Comex Gold Trust	05/09/07	2,000	135,908	214,740
Ishares MSCI Australia Index	11/18/09	200	4,776	4,568
Ishares MSCI Brazil	11/18/09	160	12,224	11,938
Ishares MSCI CDA Index Fund	11/18/09	200	5,236	5,266
Ishares MSCI Hong Kong	10/29/09	4,000	62,789	62,640
Ishares MSCI Pacific Ex-Japan	01/24/06	8,500	337,675	351,645
Ishares MSCI South Korea	11/18/09	200	9,190	9,528
Ishares MSCI Spain	11/18/09	100	5,235	4,804
Ishares MSCI Turkey	11/18/09	300	14,610	16,170
Ishares NASDAQ Biotech Index	03/06/07	1,500	112,264	122,745
Ishares Russell 2000 Growth Index	04/03/07	2,700	144,666	183,789
Ishreas S&P Global Telecom	11/18/09	200	11,078	10,936
Ishares S&P Latin America	02/17/05	5,500	177,756	262,845
Ishares TR MSCI Emerging Mkts.	01/02/04	7,600	272,121	315,400
Ishares Tr Russell Midcap Growth	11/18/09	800	35,023	36,272
Ishares TR S&P Global Technologies	06/04/09	3,000	143,839	168,030
ITT Inds Inc. Com.	12/02/04	1,400	68,892	69,636
L-3 Communications	11/18/09	500	39,145	43,475
Medco Health Solutions	11/14/09	800	35,569	51,128
Monsanta Co New	02/19/09	700	55,965	57,225
Nestle SA Registered ADR	02/19/09	1,400	47,157	67,690
Pepsico Inc	03/06/07	1,000	59,325	60,800
Petroleo Brasileiro	02/19/03	2,200	74,333	104,896
Pimco Floating Rate Strategy Fund	09/28/09	50,000	502,599	494,000
Pimco High Income Fund	08/19/09	40,000	371,904	434,000
Powershares Dyn Water Res	03/06/07	6,500	100,868	109,590
Powershares Listed Private Equity	09/02/09	30,000	251,082	270,300

Brindle Foundation  
Investment - Stocks and Bonds  
03-0466957  
2009  
Form 990PF - Part II, Line 10b

<u>Security Name</u>	<u>Date Acquired</u>	<u>Share or Face Value</u>	<u>Cost</u>	<u>Fair Market Value</u>
Praxair Inc	04/03/07	200	12,668	16,062
Precision Castparts Corp.	11/18/09	100	10,438	11,035
Quest Diagnostics Inc.	02/19/09	1,200	62,222	72,456
Slocum Research Portfolio	04/28/05		213,676	199,505
T Rower Price Short Term Bond Fund	08/24/09	147,436	706,216	712,114
Templeton Global Bond Fund	09/28/09	57,169	706,985	725,476
TJX Cos. Inc. New	11/18/09	200	7,858	7,310
Transocean Ltd.	11/18/09	400	34,816	33,120
Union Pacific Corp.	11/18/09	300	19,605	19,170
Verison Communications	11/11/09	3,000	91,140	99,390
Visa Inc Class A	02/09/09	1,700	97,403	148,682
Total Stocks			<u>\$ 7,120,565</u>	<u>\$ 10,855,887</u>

Brindle Foundation  
Capital Gains and Losses  
03-0466957  
2009  
Form 990PF - Part IV, Line 1

Security Name	Shares	How Acq.	Date Acquired	Date Sold	Gross Sales Price	Cost	Gain or Loss
Accenture Ltd	500.00	P	02/19/09	06/22/09	16,127	15,526	601
Apache Corp	1100.00	P	02/19/09	09/28/09	101,963	82,524	19,439
Avalonbay Cmnty Inc.		P	12/31/09	12/31/09	277		277
Baker Hughes	500.00	P	10/10/07	11/18/09	20,800	46,559	-25,759
Banco Santander Cen	4000.00	P	03/06/07	02/03/09	30,821	72,600	-41,779
BP PCL ADR	400.00	P	01/02/04	04/07/09	15,822	19,740	-3,918
Comcast	200.00	P	10/15/07	08/21/09	3,008	4,713	-1,705
Gensyme Corp	1300.00	P	01/28/09	10/28/09	67,035	89,441	-22,406
HCP Inc.		P	11/24/09	11/24/09	2,818		2,818
Hussman Strategic Growth Fund	11494.25	D	05/05/09	09/02/09	150,000	152,299	-2,299
Ishares Comex Gold Trust	1000.00	P	05/09/07	11/20/09	111,872	94,215	17,657
Ishares MSCI Pacific Ex-Japan	1000.00	P	01/24/06	02/19/09	22,440	34,133	-11,693
Medco Health Solutions	100.00	P	01/14/09	11/18/09	6,217	4,300	1,917
Nestle SA Registered ADR	300.00	P	02/19/09	11/18/09	14,298	10,002	4,296
Pepsico Inc.	400.00	P	07/31/02	06/17/09	21,255	22,411	-1,156
Procter & Gamble Co	700.00	P	03/06/07	05/13/09	35,776	44,170	-8,394
Slocum Research Portfolio		P	12/31/09	12/31/09		1,222,332	-1,222,332
Sotheby's	447.00	P	12/11/08	01/20/09	3,697		3,697
T Rower Price	186593.66	P	08/24/09	12/14/09	900,000	893,783	6,217
Templeton Global Bond Fund	39951.37	P	08/21/09	12/15/09	498,579	493,015	5,564
Totals					\$ 2,022,805	\$ 3,301,763	\$ -1,278,958

Brindle Foundation  
Grants and Contributions Paid During the Year  
03-0466957  
2009  
Form 990PF - Part XV, Section 3

<u>Name and Address of Recipient</u>	<u>Relationship</u>	<u>Status of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Catholic Charities 6001 Marble NE Albuquerque, NM 87110	None	501(c)(3)	Teen parent support services in Santa Fe	\$ 15,000
Cornerstones Community Partnerships 227 Otero Street Santa Fe NM 87501	None	501(c)(3)	General support	\$ 75
Court Appointed Special Advocates 1st Judicial District 128 Grant Avenue, Suite 302 Santa Fe NM 87501	None	501(c)(3)	General support	\$ 10,000
Cuidando Los Niños P.O. Box 12786 Albuquerque NM 87195	None	501(c)(3)	General operating support	\$ 10,000
Fine Arts for Children & Teens P.O. Box 22363 Santa Fe NM 87522-2363	None	501(c)(3)	Support for young children programs	\$ 5,050
Food Depot 1222 Siler Road Santa Fe, NM 87507	None	501(c)(3)	Infant supplies program	\$ 15,000
Friends of the Santa Fe Public Library PO Box 28863 Santa Fe, NM 87592	None	501(c)(3)	Books and Babies Program in two south Santa Fe branches	\$ 25,000
Gerards House 3204-C Mercantile Ct. Santa Fe, NM 87507	None	501(c)(3)	Grief support for young children and their families	\$ 10,000
Gila Regional Medical Center Fndtn. 1313 E 32nd Street Silver City, NM 88061	None	501(c)(3)	First Born program	\$ 10,000
KNME 1200 University Blvd Albuquerque NM 87102	None	501(c)(3)	General support	\$ 25
La Familia Medical Center PO Box 5395 Santa Fe, NM 87502-5395	None	501(c)(3)	Prenatal care and services for children 0-5	\$ 30,000
Las Cumbres Community Services 404 Hunter Street Española NM 87532	None	501(c)(3)	Santa Fe Community Infant Program	45,000
Los Alamos National Laboratory Fndtn. 1112 Plaza del Norte Española NM 87532	None	501(c)(3)	First Born program	\$ 10,000

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Grants and Contributions Paid During the Year  
03-0466957  
2009  
Form 990PF - Part XV, Section 3

<u>Name and Address of Recipient</u>	<u>Relationship</u>	<u>Status of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Many Mothers P.O. Box 23222 Santa Fe NM 87502	None	501(c)(3)	Operating and program support	\$ 15,065
Museum of New Mexico Foundation P. O. Box 2065 Santa Fe, NM 87504	None	501(c)(3)	Exhibit support, New Mexico History Museum	\$ 10,600
National Audubon Society 225 Varick Street 7th Floor New York, NY 10014	None	501(c)(3)	Education programs at Audubon New Mexico	\$ 25,795
National Center for Family Philanthropy 1818 N. Street NW #300 Washington, DC 20036	None	501(c)(3)	General operating support	\$ 5,000
New Mexico Association for the Education of Young Children 2201 Buena Vista SE, Ste 424 Albuquerque, NM 87106	None	501(c)(3)	T.E.A.C.H. scholarships in Santa Fe County & early childhood incentives program	\$ 60,000
New Mexico Assn. of Grantmakers 117 No. Guadalupe Street Santa Fe, NM 87501	None	501(c)(3)	General operating support	\$ 2,000
New Mexico Association for Infant Mental Health 2300 Menaul Blvd NE Albuquerque NM 87107	None	501(c)(3)	General operating support	\$ 15,100
New Mexico Community Foundation 343 East Alameda Santa Fe NM 87501	None	501(c)(3)	Support for Keres Children's Learning Center	\$ 10,000
New Mexico Environmental Law Center 1401 Luisa Street, Suite 5 Santa Fe, NM 87505	None	501(c)(3)	General operating support	\$ 15,070
New Mexico Voices for Children 2340 Alamo S.E., Suite 120 Albuquerque, NM 87106	None	501(c)(3)	Support of statewide early childhood research and policy development	\$ 10,000
New Mexico Wilderness Alliance 202 Central Ave. SE Albuquerque, NM 87102	None	501(c)(3)	General operating support	\$ 5,000
New Vistas 1205 Parkway Drive Suite A Santa Fe, NM 87507-7234	None	501(c)(3)	Early childhood & early intervention services	\$ 25,000
PFLAG Santa Fe P.O. Box 32053 Santa Fe NM 87594	None	501(c)(3)	Scholarship Fund	\$ 290

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Grants and Contributions Paid During the Year  
03-0466957  
2009  
Form 990PF - Part XV, Section 3

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Planned Parenthood of NM 719 San Mateo NE Albuquerque NM 87108	None	501(c)(3)	Adolescent Pregnancy Prevention Program in Santa Fe	\$ 10,000
Presbyterian Medical Services Fndtn. 1422 Paseo de Peralta Bld 3 Santa Fe, NM 87501	None	501(c)(3)	Head Start/Early Head Start programs in Santa Fe County	\$ 25,000
Reach Out and Read 56 Roland Street, Suite 100D Boston MA 02129-1243	None	501(c)(3)	Support for program at Santa Fe Indian Hospital and Pueblo clinics	\$ 2,000
St Vincent Hospital Foundation 455 St Michael's Drive Santa Fe, NM 87505	None	501(c)(3)	Professional development support for staff of Women's Services and Pediatrics department	\$ 10,000
Santa Fe Art Institute 1600 St. Michaels Drive Santa Fe, NM 87505	None	501(c)(3)	General support of education outreach programs	\$ 10,000
Santa Fe Children's Museum 1050 Old Pecos Trail Santa Fe, NM 87505	None	501(c)(3)	Preschool Primetime Program & other early childhood programs	\$ 4,000
Santa Fe Community Foundation 516 Alto Street Santa Fe, NM 87501	None	501(c)(3)	Brindle Donor Advised Fund	\$ 10,000
Santa Fe Conservation Trust 1100 Old Santa Fe Trail Santa Fe, NM 87502	None	501(c)(3)	General operating support	\$ 5,025
Santa Fe Public Schools 610 Alta Vista Street, Santa Fe, NM 87505	None	501(c)(3)	Adelante & Teen Parent Center programs	\$ 50,000
Think New Mexico 1227 Paseo de Peralta Santa Fe, NM 87501	None	501(c)(3)	General operating support	\$ 10,050
Trust for Public Land 1600 Lena St., Ste. C Santa Fe, NM 87505	None	501(c)(3)	Santa Fe Railyard project	\$ 30,169
United Way of Santa Fe County 440 Cerrillos Road, Suite A Santa Fe, NM 87501-2644	None	501(c)(3)	First Born Program of the Santa Fe Children's Project	\$ 10,000
University of New Mexico Foundation 700 Lomas Blvd., NE, Suite 108 Santa Fe, NM 87131	None	501(c)(3)	Family development program, College of Education	\$ 5,000



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2009  
Form 990PF - Part XV, Section 3

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Youth Shelters P.O. Box 28279 Santa Fe NM 87592-8279	None	501(c)(3)	Support for programs for pregnant and parenting homeless teens	\$ 25,150
Warehouse 21 1614 Paseo de Peralta Santa Fe NM 87501	None	501(c)(3)	Reel Fathers project	\$ 10,000
Total grants				<u>\$ 600,464</u>