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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation****2009**Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the
IRS label
Otherwise,
print
or type.
See Specific
Instructions

Harrington Family Foundation
708 N.E. Royal Ct.
Portland, OR 97232

A Employer identification number

03-0461030

B Telephone number (see the instructions)

503-235-4659

C If exemption application is pending, check here ☐**D 1 Foreign organizations, check here** ☐**2 Foreign organizations meeting the 85% test, check here and attach computation** ☐**E If private foundation status was terminated under section 507(b)(1)(A), check here** ☐**F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here** ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 1,274,516.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

- 1 Contributions, gifts, grants, etc., received (att sch) 31,100.
- 2 ☐ if the foundn is not req to att Sch B
- 3 Interest on savings and temporary cash investments 7,064. 7,064. N/A
- 4 Dividends and interest from securities 25,728. 25,728.
- 5a Gross rents
- b Net rental income or (loss)
- 6a Net gain/(loss) from sale of assets not on line 10 -390,902.
- b Gross sales price for all assets on line 6a 3,006,328.
- 7 Capital gain net income (from Part IV, line 2) 0.
- 8 Net short-term capital gain
- 9 Income modifications
- 10a Gross sales less returns and allowances
- b Less Cost of goods sold
- c Gross profit/(loss) (att sch)
- 11 Other income (attach schedule)

12 Total. Add lines 1 through 11

-327,010. 32,792.

13 Compensation of officers, directors, trustees, etc. 2,500.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) See St 1 1,602.

c Other prof fees (attach sch) See St 2 8,037. 8,037.

17 Interest

18 Depreciation (attach schedule) See Stm 3 1,115. 954.

19 Depreciation (attach sch) and depletion 337.

20 Travel, conferences, and meetings

21 Printing and publications

22 Other expenses (attach schedule)

23 See Statement 4 1,262.

24 Total operating and administrative expenses. Add lines 13 through 23

14,853. 8,991.

25 Contributions, gifts, grants paid Part XV

35,500. 35,500.

26 Total expenses and disbursements. Add lines 24 and 25

50,353. 8,991. 35,500.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements -377,363.

b Net investment income (if negative, enter 0) 23,801.

c Adjusted net income (if negative, enter 0-)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	43,142.	82,835.	82,835.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) Statement 5	1,461,931.	1,045,212.	1,191,430.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments – mortgage loans				
13 Investments – other (attach schedule)				
14 Land, buildings, and equipment basis	4,634.			
Less: accumulated depreciation (attach schedule) See Stmt 6	4,383.	588.	251.	
15 Other assets (describe)				
16 Total assets (to be completed by all filers – see instructions Also, see page 1, item I)	1,505,661.	1,128,298.	1,274,516.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
N E T F U N D A S S E T B A L A N C E O F F S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	☐		
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	☒		
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,505,661.	1,128,298.	
	30 Total net assets or fund balances (see the instructions)	1,505,661.	1,128,298.	
	31 Total liabilities and net assets/fund balances (see the instructions)	1,505,661.	1,128,298.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,505,661.
2 Enter amount from Part I, line 27a	2	-377,363.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	1,128,298.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,128,298.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Statement 7			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	-390,902.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8 []	3	-87,382.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	136,602.	1,573,215.	0.086830
2007	149,120.	2,008,431.	0.074247
2006	148,703.	1,913,917.	0.077696
2005	168,919.	1,851,075.	0.091255
2004	135,674.	1,795,943.	0.075545

2 Total of line 1, column (d)	2	0.405573
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.081115
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,077,429.
5 Multiply line 4 by line 3	5	87,396.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	238.
7 Add lines 5 and 6	7	87,634.
8 Enter qualifying distributions from Part XII, line 4	8	35,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)		1	476.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	476.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	476.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	2,017.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	2,017.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,541.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ 1,541. ☐ Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) OR		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.joeyharrington3.com</u>	13	X	
14	The books are in care of <u>Kevin W. Keithley</u> Telephone no <u>503-228-5585</u> Located at <u>715 S.W. Morrison, Suite 700 Portland OR</u> ZIP + 4 <u>97205</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u> .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
J. Joseph Harrington 708 N.E. Royal Ct. Portland, OR 97232	President 0	0.	0.	0.
Valerie J. Harrington 708 N.E. Royal Ct. Portland, OR 97232	Treasurer 10.00	2,500.	0.	0.
John N. Harrington 708 N.E. Royal Ct. Portland, OR 97232	Secretary 10.00	0.	0.	0.
Emily Harrington 708 N.E. Royal Ct. Portland, OR 97232	V. President 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	1,005,392.
b Average of monthly cash balances	1b	88,445.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	1,093,837.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,093,837.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	16,408.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,077,429.
6 Minimum investment return. Enter 5% of line 5	6	53,871.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	53,871.
2a Tax on investment income for 2009 from Part VI, line 5	2a	476.	
b Income tax for 2009 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b	2c	476.	
3 Distributable amount before adjustments Subtract line 2c from line 1	3	53,395.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	53,395.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	53,395.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	35,500.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	35,500.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	35,500.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				53,395.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	47,301.			
b From 2005	77,719.			
c From 2006	56,675.			
d From 2007	50,283.			
e From 2008	58,137.			
f Total of lines 3a through e	290,115.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 35,500.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				35,500.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	17,895.			17,895.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	272,220.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	29,406.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	242,814.			
10 Analysis of line 9				
a Excess from 2005	77,719.			
b Excess from 2006	56,675.			
c Excess from 2007	50,283.			
d Excess from 2008	58,137.			
e Excess from 2009				

BAA

Form 990-PF (2009)

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income.

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 8				
Total			3a	35,500.
b Approved for future payment				
Total			3b	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545 0047

2009

Name of the organization

Harrington Family Foundation

Employer identification number

03-0461030

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule —

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules —

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

Harrington Family Foundation

03-0461030

Part I Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	John J. Harrington 708 N.E. Royal Ct. Portland, OR 97232	\$ 30,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

Harrington Family Foundation

03-0461030

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

Harrington Family Foundation

03-0461030

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete cols (a) through (e) and the following line entry.)

For organizations completing Part III, enter total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once – see instructions.)

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Harrington Family Foundation

03-0461030

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Kevin W. Keithley, CPA, PC	\$ 1,602.			
Total	<u>\$ 1,602.</u>	<u>\$ 0.</u>	<u></u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Mgmt Expenses	\$ 8,037.	\$ 8,037.		
Total	<u>\$ 8,037.</u>	<u>\$ 8,037.</u>	<u></u>	<u>\$ 0.</u>

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Taxes	\$ 954.	\$ 954.		
Oregon Department of Justice	161.			
Total	<u>\$ 1,115.</u>	<u>\$ 954.</u>	<u></u>	<u>\$ 0.</u>

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank Charges	\$ 398.			
Licenses and Fees	50.			
Post Office Box	165.			
Website Consulting	649.			
Total	<u>\$ 1,262.</u>	<u>\$ 0.</u>	<u></u>	<u>\$ 0.</u>

Harrington Family Foundation

03-0461030

Statement 5
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Charles Schwab - Detail on Request	Cost	\$ 409,218.	\$ 467,838.
Umpqua Inv 1081-9046 - Detail on Request	Cost	150,873.	192,138.
Umpqua Inv 3105-5762 - Detail on Request	Cost	223,839.	255,359.
Umpqua Inv 5670-1370 - Detail on Request	Cost	261,282.	276,095.
Total		<u>\$ 1,045,212.</u>	<u>\$ 1,191,430.</u>

Statement 6
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

<u>Category</u>	<u>Basis</u>	<u>Accum. Deprec.</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Machinery and Equipment	\$ 4,634.	\$ 4,383.	\$ 251.	\$ 251.
Total	<u>\$ 4,634.</u>	<u>\$ 4,383.</u>	<u>\$ 251.</u>	<u>\$ 251.</u>

Statement 7
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1	Merrill Lynch 311-02019 -- See Statement	Purchased	Various	Various
2	Merrill Lynch 311-02019 -- See Statement	Purchased	Various	Various
3	Merrill Lynch 311-02020 -- See Statement	Purchased	Various	Various
4	Merrill Lynch 311-02020 -- See Statement	Purchased	Various	Various
5	Merrill Lynch 311-04B78 -- See Statement	Purchased	Various	Various
6	Merrill Lynch 311-04B78 -- See Statement	Purchased	Various	Various
7	Merrill Lynch 311-02065 -- See Statement	Purchased	Various	Various
8	Merrill Lynch 311-02065 -- See Statement	Purchased	Various	Various
9	Merrill Lynch 311-02066 -- See Statement	Purchased	Various	Various
10	Merrill Lynch 311-02066 -- See Statement	Purchased	Various	Various
11	Bank of America 148202 - See Statement	Purchased	Various	Various
12	Bank of America 148202 - See Statement	Purchased	Various	Various
13	Bank of America 148261 - See Statement	Purchased	Various	Various
14	Bank of America 148261 - See Statement	Purchased	Various	Various

<u>Item</u>	<u>(e) Gross Sales</u>	<u>(f) Deprec. Allowed</u>	<u>(g) Cost Basis</u>	<u>(h) Gain (Loss)</u>	<u>(i) FMV 12/31/69</u>	<u>(j) Adj. Bas. 12/31/69</u>	<u>(k) Excess (i) - (j)</u>	<u>(l) Gain (Loss)</u>
1	53,025.		99,974.	-46,949.				\$ -46,949.
2	68,936.		176,627.	-107,691.				-107,691.
3	212,450.		231,698.	-19,248.				-19,248.
4	62,090.		103,725.	-41,635.				-41,635.
5	482,305.		484,482.	-2,177.				-2,177.
6	214,453.		214,468.	-15.				-15.
7	81,764.		86,060.	-4,296.				-4,296.
8	183,485.		202,510.	-19,025.				-19,025.
9	141,770.		144,876.	-3,106.				-3,106.
10	112,531.		131,121.	-18,590.				-18,590.

Harrington Family Foundation

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Statement 7 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
11	746,568.		785,309.	-38,741.				\$ -38,741.
12	198,873.		289,878.	-91,005.				-91,005.
13	374,236.		347,101.	27,135.				27,135.
14	73,842.		99,401.	-25,559.				-25,559.
Total								<u>\$ -390,902.</u>

Statement 8
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
Central Catholic High School Address on File	None	Public	Charitable	\$ 22,000.
Providence Child Center Foundation Address on File	None	Public	Charitable	2,000.
Stand For Children Address on File	None	Public	Charitable	2,500.
Shriner's Hospital Address on File	None	Public	Charitable	5,000.
Child Centered Solutions Address on File	None	Public	Charitable	1,000.
Catholic Charities Address on File	None	Public	Charitable	1,000.
Fanconi Anemia Research Fund Address on File	None	Public	Charitable	500.
Mercy Corps Address on File	None	Public	Charitable	1,000.

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Federal Statements

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Harrington Family Foundation

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Statement 8 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
University of Oregon Address on File	None	Public	Charitable	\$ 250.
St. Andrew Nativity School Address on File	None	Public	Charitable	250.
Total				\$ <u>35,500.</u>

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
ML 311-02019	Petro Canada	34.000	2/19/2008	1/26/2009	723.21	1,532.01	-808.80
ML 311-02019	Teck Cominco Ltd	5.000	7/23/2008	1/26/2009	21.18	201.57	-180.39
ML 311-02019	Teck Cominco Ltd	26.000	7/24/2008	1/26/2009	110.13	1,058.41	-948.28
ML 311-02019	Royal DSM NV Spon ADR	108.000	5/1/2008	1/27/2009	644.15	1,424.43	-780.28
ML 311-02019	Royal DSM NV Spon ADR	168.000	6/11/2008	1/27/2009	1,002.01	2,590.34	-1,588.33
ML 311-02019	Orix Corp SP ADR	16.000	6/2/2008	1/27/2009	359.09	1,435.32	-1,076.23
ML 311-02019	Royal Bank of Scot Grp	3.447	2/25/2008	2/4/2009	21.84	447.96	-426.12
ML 311-02019	Royal Bank of Scot Grp	22.758	6/18/2008	2/4/2009	144.21	2,105.36	-1,961.15
ML 311-02019	Allianz SE	80.000	5/13/2008	3/2/2009	477.60	1,600.93	-1,123.33
ML 311-02019	Au Optonics	158.000	12/9/2008	3/2/2009	1,166.05	946.55	219.50
ML 311-02019	ArcelorMittal	12.000	5/12/2008	3/2/2009	240.73	1,130.90	-890.17
ML 311-02019	ArcelorMittal	3.000	8/7/2008	3/2/2009	60.18	245.39	-185.21
ML 311-02019	ArcelorMittal	32.000	9/17/2008	3/2/2009	641.96	2,081.39	-1,439.43
ML 311-02019	Amcor Ltd Aus	10.000	7/23/2008	3/2/2009	112.50	204.57	-92.07
ML 311-02019	Amcor Ltd Aus	51.000	7/24/2008	3/2/2009	573.74	1,077.33	-503.59
ML 311-02019	Amcor Ltd Aus	28.000	12/10/2008	3/2/2009	315.00	416.04	-101.04
ML 311-02019	Aust NW ZBG	71.000	12/10/2008	3/2/2009	567.99	664.65	-96.66
ML 311-02019	Aust NW ZBG	43.000	1/27/2009	3/2/2009	344.00	379.57	-35.57
ML 311-02019	BHP Billiton Ltd	22.000	12/9/2008	3/2/2009	698.99	681.19	17.80
ML 311-02019	Bayer AG	11.000	1/27/2009	3/2/2009	550.87	611.53	-60.66
ML 311-02019	Barclays PLC	58.000	6/18/2008	3/2/2009	343.23	1,438.20	-1,094.97
ML 311-02019	Barclays PLC	86.000	11/18/2008	3/2/2009	508.93	836.32	-327.39
ML 311-02019	BP PLC	35.000	10/17/2008	3/2/2009	1,384.68	1,668.00	-283.32
ML 311-02019	BP PLC	12.000	12/9/2008	3/2/2009	474.75	541.71	-66.96
ML 311-02019	BP PLC	29.000	1/26/2009	3/2/2009	1,147.31	1,180.12	-32.81
ML 311-02019	Basf	10.000	5/12/2008	3/2/2009	265.50	694.50	-429.00
ML 311-02019	BNP Paribas Sponsord	9.000	6/18/2008	3/2/2009	138.06	424.26	-286.20
ML 311-02019	BCE Inc	33.000	2/4/2009	3/2/2009	655.54	671.97	-16.43
ML 311-02019	Credit Suisse	15.000	5/14/2008	3/2/2009	326.44	810.94	-484.50
ML 311-02019	Canon Inc Adr	23.000	12/9/2008	3/2/2009	580.97	639.38	-58.41
ML 311-02019	Crdt Agricole SA	297.000	1/27/2009	3/2/2009	1,378.07	1,498.19	-120.12
ML 311-02019	CIE Generale Des	67.000	1/27/2009	3/2/2009	452.24	578.96	-126.72
ML 311-02019	Deutsche Tele AgSPN ADR	81.000	7/1/2008	3/2/2009	926.63	1,323.13	-396.50
ML 311-02019	Deutsche Tele AgSPN ADR	10.000	7/23/2008	3/2/2009	114.40	174.04	-59.64
ML 311-02019	Deutsche Tele AgSPN ADR	54.000	7/24/2008	3/2/2009	617.76	947.91	-330.15
ML 311-02019	Delhaize Group	5.000	12/9/2008	3/2/2009	293.90	290.27	3.63

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02019	Delhaize Group	6 000	12/10/2008	3/2/2009	352 67	361 44	-8.77
ML 311-02019	Deutsche Bank	7 000	3/3/2008	3/2/2009	169 13	816 96	-647 83
ML 311-02019	Deutsche Bank	12 000	5/12/2008	3/2/2009	289 94	1,435 66	-1,145 72
ML 311-02019	Deutsche Bank	15 000	6/18/2008	3/2/2009	362.43	1,432 54	-1,070 11
ML 311-02019	Deutsche Bank	41 000	11/18/2008	3/2/2009	990.64	1,306 18	-315 54
ML 311-02019	Ericsson LM Tel	229 000	10/20/2008	3/2/2009	1,827 40	1,585 80	241 60
ML 311-02019	European Aeronautic	42 000	12/10/2008	3/2/2009	605 21	614 46	-9 25
ML 311-02019	European Aeronautic	30 000	1/27/2009	3/2/2009	432 30	510 36	-78 06
ML 311-02019	East Japan Ry Co	48 000	12/10/2008	3/2/2009	453.59	611.28	-157 69
ML 311-02019	Fujitsu Ltd	62 000	12/10/2008	3/2/2009	1,055 23	1,332 23	-277 00
ML 311-02019	HSBC Holding PLC	41 000	10/23/2008	3/2/2009	1,418.73	2,894.65	-1,475.92
ML 311-02019	HSBC Holding PLC	8 000	11/18/2008	3/2/2009	276 82	412 72	-135 90
ML 311-02019	Hitachi Ltd 10 New	31 000	9/11/2008	3/2/2009	779 06	2,310 77	-1,531 71
ML 311-02019	Intesa	35 000	2/4/2009	3/2/2009	498 05	668 51	-170 46
ML 311-02019	Intesa	10 000	2/13/2009	3/2/2009	142 30	189 05	-46.75
ML 311-02019	Intesa	25 000	2/17/2009	3/2/2009	355 75	463 98	-108 23
ML 311-02019	ING GP	44 000	5/13/2008	3/2/2009	189 71	1,675 50	-1,485 79
ML 311-02019	JSC MMC Norlisk	88 000	3/19/2008	3/2/2009	395 99	2,593 26	-2,197 27
ML 311-02019	Koninkl Phil E NY	72 000	2/4/2009	3/2/2009	1,175 04	1,325.79	-150 75
ML 311-02019	KB Finl Group Inc	9 000	3/24/2008	3/2/2009	161.01	458 19	-297 18
ML 311-02019	KB Finl Group Inc	13 000	2/13/2009	3/2/2009	232 57	316 28	-83.71
ML 311-02019	Lloyds Banking Group PLC	15 000	12/9/2008	3/2/2009	48 00	145 11	-97 11
ML 311-02019	Lloyds Banking Group PLC	53 000	12/10/2008	3/2/2009	169 60	503 29	-333 69
ML 311-02019	Lloyds Banking Group PLC	15.039	3/24/2008	3/2/2009	48 12	943 36	-895 24
ML 311-02019	Lloyds Banking Group PLC	9 303	5/11/2008	3/2/2009	29 77	595 59	-565 82
ML 311-02019	Lloyds Banking Group PLC	77 056	6/11/2008	3/2/2009	246 58	3,412 07	-3,165 49
ML 311-02019	Lloyds Banking Group PLC	53 645	6/18/2008	3/2/2009	171 66	2,153 83	-1,982 17
ML 311-02019	Lloyds Banking Group PLC	0 001	1/22/2009	3/2/2009	0 00	0 00	0 00
ML 311-02019	Muenchener Rueck-Unspon	98 000	12/10/2008	3/2/2009	1,117 19	1,406 71	-289 52
ML 311-02019	Muenchener Rueck-Unspon	48 000	1/27/2009	3/2/2009	547 20	647.73	-100 53
ML 311-02019	Mitsui Co ADR	8 000	9/11/2008	3/2/2009	1,432 07	2,482.61	-1,050.54
ML 311-02019	Nissan	41 000	3/4/2008	3/2/2009	248 87	760 65	-511 78
ML 311-02019	Nissan	34 000	4/15/2008	3/2/2009	206 38	549 95	-343 57
ML 311-02019	Novartis Adr	63 000	9/11/2008	3/2/2009	2,389 90	3,319 53	-929 63
ML 311-02019	Nokia Corp	93 000	10/20/2008	3/2/2009	911.39	1,559.04	-647.65
ML 311-02019	Nokia Corp	55 000	1/27/2009	3/2/2009	539 00	657 99	-118 99
ML 311-02019	Petro Canada	22 000	3/24/2008	3/2/2009	460 35	1,009 58	-549 23
ML 311-02019	Petro Canada	20 000	7/30/2008	3/2/2009	418 50	927 27	-508 77

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
ML 311-02019	Petro Canada	13 000	8/7/2008	3/2/2009	272 03	584 80	-312 77
ML 311-02019	Royal Dutch Shell PLC	20 000	3/18/2008	3/2/2009	912 59	1,406 73	-494 14
ML 311-02019	Royal Dutch Shell PLC	14 000	6/11/2008	3/2/2009	638 82	1,172 40	-533 58
ML 311-02019	Societe General	46 000	3/4/2008	3/2/2009	276 46	1,024 68	-748 22
ML 311-02019	Solvay SA	10 000	12/10/2008	3/2/2009	579 99	687 86	-107 87
ML 311-02019	Shinhan Finl Grp	13 000	1/27/2009	3/2/2009	357 36	516 22	-158 86
ML 311-02019	StatOilHydro	66 000	3/18/2008	3/2/2009	1,082 44	2,035 56	-953 12
ML 311-02019	StatOilHydro	17 000	6/18/2008	3/2/2009	278 81	635 88	-357 07
ML 311-02019	Sony Corp Adr New	39 000	11/18/2008	3/2/2009	657 62	821 43	-163 81
ML 311-02019	Total S A	26 000	6/11/2008	3/2/2009	1,251 78	2,225 55	-973 77
ML 311-02019	Total S A	30 000	7/1/2008	3/2/2009	1,444 36	2,447 19	-1,002 83
ML 311-02019	Telecom Italia SPA	74 000	9/11/2008	3/2/2009	846 55	1,127 64	-281 09
ML 311-02019	Telefonica SA Spain	26 000	10/20/2008	3/2/2009	1,374 87	1,653 08	-278 21
ML 311-02019	Telefonica SA Spain	16 000	2/4/2009	3/2/2009	846 07	865 06	-18 99
ML 311-02019	Toyota Motor Corp	11 000	12/9/2008	3/2/2009	715 10	651 93	63 17
ML 311-02019	Unibanco-GDR Spds ADR	11 000	10/23/2008	3/2/2009	576 06	723 65	-147 59
ML 311-02019	UBS AG	110 000	1/27/2009	3/2/2009	954 91	1,300 88	-345 97
ML 311-02019	Volko Aktiebolaget ADR	108 000	9/11/2008	3/2/2009	427 67	1,150 22	-722 55
TOTAL SHORT TERM					53,025.48	99,974.02	-46,948.54

LONG TERM

ML 311-02019	Orix Corp SP ADR	6 000	5/21/2007	1/27/2009	134 66	843 86	-709 20
ML 311-02019	Orix Corp SP ADR	13 000	6/29/2007	1/27/2009	291 76	1,683 00	-1,391 24
ML 311-02019	Orix Corp SP ADR	8 000	8/7/2007	1/27/2009	179 54	929 18	-749 64
ML 311-02019	Societe General	9 000	10/17/2007	1/27/2009	66 71	298 03	-231 32
ML 311-02019	Societe General	90 000	11/26/2007	1/27/2009	667 08	2,490 03	-1,822 95
ML 311-02019	StatOilHydro	70 000	1/14/2008	1/27/2009	1,234 25	2,118 56	-884 31
ML 311-02019	StatOilHydro	4 000	1/15/2008	1/27/2009	70 53	118 21	-47 68
ML 311-02019	Sharp	29 000	8/16/2007	1/27/2009	234 68	515 53	-280 85
ML 311-02019	Sharp	146 000	11/26/2007	1/27/2009	1,181 48	2,306 80	-1,125 32
ML 311-02019	Koninklijke	46 000	8/7/2007	2/4/2009	548 77	579 22	-30 45
ML 311-02019	Koninklijke	34 000	9/17/2007	2/4/2009	405 61	484 08	-78 47
ML 311-02019	Koninklijke	42 000	11/1/2007	2/4/2009	501 05	622 52	-121 47
ML 311-02019	Koninklijke	48 000	11/26/2007	2/4/2009	572 63	680 32	-107 69
ML 311-02019	Nissan	51 000	10/25/2007	2/4/2009	311 15	979 33	-668 18
ML 311-02019	Nissan	28 000	11/26/2007	2/4/2009	170 83	570 45	-399 62
ML 311-02019	Royal Bank of Scot Grp	5 550	11/1/2007	2/4/2009	35 17	1,070 96	-1,035 79
ML 311-02019	Royal Bank of Scot Grp	33 605	11/26/2007	2/4/2009	212 94	5,260 48	-5,047 54

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
ML 311-02019	Royal Bank of Scot Grp	4 815	1/14/2008	2/4/2009	30 51	717 36	-686 85
ML 311-02019	Royal Bank of Scot Grp	1 825	1/15/2008	2/4/2009	11 56	263 99	-252.43
ML 311-02019	Toyota Motor Corp	7 000	12/21/2006	2/4/2009	451 16	882.12	-430 96
ML 311-02019	Honda Motor Adr	34 000	12/21/2006	2/13/2009	825 90	1,253.92	-428 02
ML 311-02019	ArcelorMittal	5 000	11/26/2007	2/17/2009	131 02	353 60	-222 58
ML 311-02019	Vodafone Grp	13 000	12/21/2006	2/17/2009	247 84	366 73	-118 89
ML 311-02019	Allianz SE	25 000	5/21/2007	3/2/2009	149 25	544 92	-395 67
ML 311-02019	Allianz SE	361 000	11/26/2007	3/2/2009	2,155 15	7,301 08	-5,145 93
ML 311-02019	ArcelorMittal	66.000	11/26/2007	3/2/2009	1,324 04	4,667 52	-3,343 48
ML 311-02019	BHP Billiton Ltd	13 000	6/13/2007	3/2/2009	413 04	656.24	-243 20
ML 311-02019	BHP Billiton Ltd	32 000	11/26/2007	3/2/2009	1,016 71	2,038 40	-1,021 69
ML 311-02019	Barclays PLC	50 000	12/21/2006	3/2/2009	295.89	2,833.50	-2,537.61
ML 311-02019	Barclays PLC	4.000	3/22/2007	3/2/2009	23 67	213 95	-190 28
ML 311-02019	Barclays PLC	6 000	5/31/2007	3/2/2009	35 51	343 20	-307 69
ML 311-02019	Barclays PLC	13 000	9/19/2007	3/2/2009	76 93	623 42	-546.49
ML 311-02019	Barclays PLC	98 000	11/26/2007	3/2/2009	579 94	4,080 70	-3,500 76
ML 311-02019	Basf	18 000	12/21/2006	3/2/2009	477 90	856 06	-378.16
ML 311-02019	Basf	2 000	3/22/2007	3/2/2009	53 10	103 08	-49 98
ML 311-02019	Basf	88.000	11/26/2007	3/2/2009	2,336 39	5,882 80	-3,546 41
ML 311-02019	BNP Paribas Sponsord	111 000	12/21/2006	3/2/2009	1,702 72	6,055 05	-4,352 33
ML 311-02019	BNP Paribas Sponsord	5 000	3/23/2007	3/2/2009	76 70	261.85	-185.15
ML 311-02019	Com Value Do Rio	108 000	1/14/2008	3/2/2009	1,155 59	2,924 02	-1,768 43
ML 311-02019	Credit Suisse	28 000	12/21/2006	3/2/2009	609 36	1,913 93	-1,304.57
ML 311-02019	Credit Suisse	72 000	11/26/2007	3/2/2009	1,566.93	4,069.44	-2,502.51
ML 311-02019	China Pete Chem	14.000	3/27/2007	3/2/2009	752 91	1,128 96	-376.05
ML 311-02019	China Pete Chem	15 000	11/26/2007	3/2/2009	806 68	2,056 10	-1,249 42
ML 311-02019	Deutsche Bank	3 000	8/7/2007	3/2/2009	72 49	413 42	-340 93
ML 311-02019	Deutsche Bank	2 000	10/25/2007	3/2/2009	48 32	250.70	-202 38
ML 311-02019	Deutsche Bank	39.000	11/26/2007	3/2/2009	942 31	4,752 15	-3,809.84
ML 311-02019	Deutsche Bank	6.000	1/14/2008	3/2/2009	144 97	746 24	-601 27
ML 311-02019	ENI	2 000	3/23/2007	3/2/2009	79.92	122 69	-42 77
ML 311-02019	ENI	64 000	11/26/2007	3/2/2009	2,557 47	4,561 16	-2,003 69
ML 311-02019	E.On Ag	33 000	12/21/2006	3/2/2009	846 44	1,489 57	-643.13
ML 311-02019	E.On Ag	58 000	11/26/2007	3/2/2009	1,487 69	3,942 26	-2,454 57
ML 311-02019	France Telecom Adr	22 000	6/13/2007	3/2/2009	473 60	624 82	-151 22
ML 311-02019	France Telecom Adr	24.000	11/26/2007	3/2/2009	516 65	943.44	-426 79
ML 311-02019	Glaxosmithkline PLC	22 000	6/29/2007	3/2/2009	685 52	1,151 26	-465 74
ML 311-02019	Glaxosmithkline PLC	62.000	11/26/2007	3/2/2009	1,931.91	2,980 34	-1,048 43

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
ML 311-02019	Honda Motor Adr	19.000	12/21/2006	3/2/2009	462.07	700.72	-238.65
ML 311-02019	Honda Motor Adr	57.000	11/26/2007	3/2/2009	1,386.20	1,939.41	-553.21
ML 311-02019	ING GP	146.000	11/26/2007	3/2/2009	629.50	5,374.14	-4,744.64
ML 311-02019	Koninklijke	171.000	11/26/2007	3/2/2009	1,877.56	2,423.65	-546.09
ML 311-02019	KB Finl Group Inc	30.000	12/11/2007	3/2/2009	536.71	2,088.34	-1,551.63
ML 311-02019	Lukoil	16.000	11/1/2007	3/2/2009	478.77	1,447.78	-969.01
ML 311-02019	Lukoil	18.000	11/26/2007	3/2/2009	538.61	1,569.60	-1,030.99
ML 311-02019	Lloyds Banking Group PLC	1.787	11/26/2007	3/2/2009	5.72	171.84	-166.12
ML 311-02019	Lloyds Banking Group PLC	4.186	11/30/2007	3/2/2009	13.40	424.13	-410.73
ML 311-02019	Lloyds Banking Group PLC	4.186	11/14/2008	3/2/2009	13.40	358.86	-345.46
ML 311-02019	Lloyds Banking Group PLC	13.799	2/25/2008	3/2/2009	44.16	1,125.20	-1,081.04
ML 311-02019	Lloyds Banking Group PLC	4.000	12/21/2006	3/2/2009	98.00	153.80	-55.80
ML 311-02019	Mitsubishi CP	58.000	11/26/2007	3/2/2009	1,420.99	3,235.82	-1,814.83
ML 311-02019	Mitsubishi CP	189.000	11/26/2007	3/2/2009	1,147.22	3,850.51	-2,703.29
ML 311-02019	Nissan	61.000	11/14/2008	3/2/2009	370.27	1,248.15	-877.88
ML 311-02019	Nissan	109.000	11/26/2007	3/2/2009	2,294.63	2,452.00	-157.37
ML 311-02019	Nippon Telg&Tel	6.000	2/19/2008	3/2/2009	125.55	270.36	-144.81
ML 311-02019	Petro Canada	20.000	2/20/2008	3/2/2009	418.50	925.25	-506.75
ML 311-02019	Petro Canada	5.000	8/16/2007	3/2/2009	330.75	544.30	-213.55
ML 311-02019	RWE	10.000	11/26/2007	3/2/2009	661.49	1,352.50	-691.01
ML 311-02019	Royal Dutch Shell PLC	10.000	11/5/2007	3/2/2009	456.30	876.93	-420.63
ML 311-02019	Royal Dutch Shell PLC	57.000	11/26/2007	3/2/2009	2,600.89	4,676.84	-2,075.95
ML 311-02019	Societe General	214.000	11/26/2007	3/2/2009	1,286.13	5,920.75	-4,634.62
ML 311-02019	Sumitomo Mitsu Finl	629.000	11/26/2007	3/2/2009	1,968.75	4,502.00	-2,533.25
ML 311-02019	Sumitomo Mitsu Finl	165.000	2/25/2008	3/2/2009	516.45	1,185.77	-669.32
ML 311-02019	Stora Enso OYJ SPD ADR	59.000	9/17/2007	3/2/2009	253.11	1,062.36	-809.25
ML 311-02019	Stora Enso OYJ SPD ADR	37.000	9/19/2007	3/2/2009	158.73	664.39	-505.66
ML 311-02019	Stora Enso OYJ SPD ADR	12.000	11/1/2007	3/2/2009	51.48	210.56	-159.08
ML 311-02019	Stora Enso OYJ SPD ADR	208.000	11/26/2007	3/2/2009	892.31	3,263.27	-2,370.96
ML 311-02019	StatOilHydro	35.000	1/15/2008	3/2/2009	574.02	1,034.31	-460.29
ML 311-02019	Sanofi Aventis	20.000	12/21/2006	3/2/2009	553.16	914.20	-361.04
ML 311-02019	Sanofi Aventis	12.000	3/23/2007	3/2/2009	331.90	514.29	-182.39
ML 311-02019	Sanofi Aventis	7.000	6/20/2007	3/2/2009	193.61	292.51	-98.90
ML 311-02019	Sanofi Aventis	85.000	11/26/2007	3/2/2009	2,350.94	3,721.29	-1,370.35
ML 311-02019	Sharp	178.000	11/26/2007	3/2/2009	1,397.29	2,812.40	-1,415.11
ML 311-02019	Sony Corp Adr New	15.000	8/2/2007	3/2/2009	252.93	804.21	-551.28
ML 311-02019	Sony Corp Adr New	13.000	10/25/2007	3/2/2009	219.21	598.16	-378.95
ML 311-02019	Sony Corp Adr New	38.000	11/26/2007	3/2/2009	640.76	1,828.30	-1,187.54

5/9/2010

1/1/2009 through 12/31/2009

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02019	Total S A	20,000	11/26/2007	3/2/2009	962.91	1,622.91	-660.00
ML 311-02019	Toyota Motor Corp	2,000	12/21/2006	3/2/2009	130.02	252.03	-122.01
ML 311-02019	Toyota Motor Corp	16,000	11/26/2007	3/2/2009	1,040.15	1,752.47	-712.32
ML 311-02019	United Microelectrics	55,789	6/20/2007	3/2/2009	101.19	238.54	-137.35
ML 311-02019	United Microelectrics	1,097,211	11/26/2007	3/2/2009	1,990.10	3,738.00	-1,747.90
ML 311-02019	Vodafone Grp	76,000	12/21/2006	3/2/2009	1,305.80	2,143.96	-838.16
ML 311-02019	Vodafone Grp	113,000	11/26/2007	3/2/2009	1,941.52	4,419.41	-2,477.89
TOTAL LONG TERM					68,935.69	176,626.77	-107,691.08
OVERALL TOTAL					121,961.17	276,600.79	-154,639.62

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
SHORT TERM							
ML 311-02020	American Movil Sab	32 000	11/4/2008	1/26/2009	871 78	974 24	-102.46
ML 311-02020	American Movil Sab	17.000	11/4/2008	1/28/2009	459 77	517 56	-57 79
ML 311-02020	American Movil Sab	7.000	11/4/2008	1/28/2009	192 92	213.11	-20.19
ML 311-02020	Wells Fargo & Co.	18 000	11/13/2008	2/3/2009	355.48	503 13	-147 65
ML 311-02020	Wells Fargo & Co	17 000	11/13/2008	2/4/2009	328 10	475.18	-147 08
ML 311-02020	Wells Fargo & Co	2.000	12/23/2008	2/4/2009	38 60	62.35	-23 75
ML 311-02020	Wells Fargo & Co	5 000	12/23/2008	2/5/2009	91.85	155 89	-64 04
ML 311-02020	Wells Fargo & Co	5.000	12/24/2008	2/5/2009	91 85	146 12	-54 27
ML 311-02020	American Movil Sab	11.000	11/4/2008	2/25/2009	294.75	334 89	-40 14
ML 311-02020	American Movil Sab	30 000	11/4/2008	2/26/2009	783 36	913 35	-129 99
ML 311-02020	American Movil Sab	9.000	11/4/2008	2/27/2009	226.17	274 00	-47.83
ML 311-02020	American Movil Sab	2 000	12/24/2008	2/27/2009	50 26	65 67	-15 41
ML 311-02020	ABB Ltd	62 000	6/24/2008	3/6/2009	696 42	1,912 06	-1,215.64
ML 311-02020	ABB Ltd	33 000	11/4/2008	3/6/2009	370 67	402 33	-31 66
ML 311-02020	ABB Ltd	57 000	12/24/2008	3/6/2009	640 25	792 01	-151 76
ML 311-02020	American Movil Sab	5 000	12/24/2008	3/6/2009	121 44	164 17	-42 73
ML 311-02020	Apple Inc	31.000	7/2/2008	3/6/2009	2,763 32	5,226 66	-2,463 34
ML 311-02020	Apple Inc	8.000	11/4/2008	3/6/2009	713 12	878 33	-165.21
ML 311-02020	Apple Inc	2.000	12/23/2008	3/6/2009	178 28	180 60	-2 32
ML 311-02020	Apple Inc	6 000	12/24/2008	3/6/2009	534.84	535 90	-1 06
ML 311-02020	Apple Inc	1 000	2/17/2009	3/6/2009	89 14	96 40	-7 26
ML 311-02020	BAE SYS PLC	4 000	11/4/2008	3/6/2009	76.68	89 01	-12 33
ML 311-02020	BAE SYS PLC	8.000	12/24/2008	3/6/2009	153 36	166.04	-12 68
ML 311-02020	BAE SYS PLC	1 000	2/17/2009	3/6/2009	19 17	22.48	-3.31
ML 311-02020	CVS Caremark	25 000	11/4/2008	3/6/2009	619 26	727.91	-108.65
ML 311-02020	CVS Caremark	21 000	12/24/2008	3/6/2009	520 18	560 86	-40 68
ML 311-02020	CVS Caremark	4 000	12/26/2008	3/6/2009	99 08	106 02	-6 94
ML 311-02020	CVS Caremark	2 000	12/30/2008	3/6/2009	49 54	53 28	-3 74
ML 311-02020	CVS Caremark	10 000	1/5/2009	3/6/2009	247 70	280.52	-32 82
ML 311-02020	Crown Castle Intl Corp	96 000	10/16/2008	3/6/2009	1,527 60	2,034 70	-507 10
ML 311-02020	Crown Castle Intl Corp	50 000	11/4/2008	3/6/2009	795 62	1,045 64	-250 02
ML 311-02020	Cia Vale	15 000	11/4/2008	3/6/2009	184 84	196.42	-11 58
ML 311-02020	Celgene	15.000	11/4/2008	3/6/2009	638 41	954 16	-315.75
ML 311-02020	Celgene	2 000	11/4/2008	3/6/2009	85 12	128 83	-43 71
ML 311-02020	Cisco Systems	303 000	5/12/2008	3/6/2009	4,391 26	7,986 27	-3,595 01
ML 311-02020	Cisco Systems	15 000	11/4/2008	3/6/2009	217 39	265 50	-48 11

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02020	Cisco Systems	22 000	11/4/2008	3/6/2009	318 84	389 11	-70 27
ML 311-02020	Cisco Systems	4 000	12/24/2008	3/6/2009	57 97	66 87	-8 90
ML 311-02020	Coming Inc	139 000	10/15/2008	3/6/2009	1,361 18	1,763 38	-402 20
ML 311-02020	Electronic Arts Inc	1 000	11/4/2008	3/6/2009	14 99	27 42	-12 43
ML 311-02020	Electronic Arts Inc	5 000	11/4/2008	3/6/2009	74 95	136 56	-61 61
ML 311-02020	Electronic Arts Inc	13 000	2/11/2009	3/6/2009	194 87	246 29	-51 42
ML 311-02020	Electronic Arts Inc	15 000	2/11/2009	3/6/2009	224 85	284 97	-60 12
ML 311-02020	Goldman Sachs Group Inc	14 000	10/16/2008	3/6/2009	1,145 05	1,340 14	-195 09
ML 311-02020	Goldman Sachs Group Inc	1 000	2/10/2009	3/6/2009	81 79	92 78	-10 99
ML 311-02020	Goldman Sachs Group Inc	6 000	2/11/2009	3/6/2009	490 74	557 03	-66 29
ML 311-02020	Goldman Sachs Group Inc	3 000	2/13/2009	3/6/2009	245 37	272 55	-27 18
ML 311-02020	Genentech Inc	8 000	12/23/2008	3/6/2009	657 52	644 31	13 21
ML 311-02020	Genentech Inc	9 000	12/24/2008	3/6/2009	739 70	741 75	-2 05
ML 311-02020	Google Inc	3 000	11/4/2008	3/6/2009	983 69	1,087 70	-104 01
ML 311-02020	Intuitive Surgical	4 000	11/4/2008	3/6/2009	351 24	667 07	-315 83
ML 311-02020	Intuitive Surgical	15 000	2/17/2009	3/6/2009	1,317 14	1,601 31	-284 17
ML 311-02020	JP Morgan Chase & Co	17 000	10/8/2008	3/6/2009	354 66	850 69	-496 03
ML 311-02020	JP Morgan Chase & Co	17 000	10/8/2008	3/6/2009	354 66	840 37	-485 71
ML 311-02020	JP Morgan Chase & Co	19 000	10/8/2008	3/6/2009	396 39	942 40	-546 01
ML 311-02020	JP Morgan Chase & Co	1 000	11/4/2008	3/6/2009	20 86	36 69	-15 83
ML 311-02020	JP Morgan Chase & Co	5 000	11/4/2008	3/6/2009	104 31	182 33	-78 02
ML 311-02020	JP Morgan Chase & Co	2 000	12/24/2008	3/6/2009	41 73	60 72	-18 99
ML 311-02020	JP Morgan Chase & Co	21 000	12/26/2008	3/6/2009	438 11	641 94	-203 83
ML 311-02020	JP Morgan Chase & Co	14 000	2/3/2009	3/6/2009	292 08	368 32	-76 24
ML 311-02020	JP Morgan Chase & Co	14 000	2/4/2009	3/6/2009	292 08	364 12	-72 04
ML 311-02020	JP Morgan Chase & Co	3 000	2/5/2009	3/6/2009	62 59	73 95	-11 36
ML 311-02020	Kohls	25 000	2/12/2009	3/6/2009	862 99	949 38	-86 39
ML 311-02020	Kohls	28 000	2/13/2009	3/6/2009	966 55	1,063 66	-97 11
ML 311-02020	News Corp Cl A	46 000	11/4/2008	3/6/2009	247 02	458 39	-211 37
ML 311-02020	News Corp Cl A	25 000	11/5/2008	3/6/2009	134 25	267 75	-133 50
ML 311-02020	News Corp Cl A	22 000	11/5/2008	3/6/2009	118 14	226 49	-108 35
ML 311-02020	News Corp Cl A	45 000	11/5/2008	3/6/2009	241 65	450 69	-209 04
ML 311-02020	News Corp Cl A	46 000	11/6/2008	3/6/2009	247 02	492 08	-245 06
ML 311-02020	News Corp Cl A	134 000	11/7/2008	3/6/2009	719 59	1,445 43	-725 84
ML 311-02020	Oracle Corp	210 000	4/23/2008	3/6/2009	3,170 98	4,507 67	-1,336 69
ML 311-02020	Oracle Corp	139 000	5/7/2008	3/6/2009	2,098 89	2,974 95	-876 06
ML 311-02020	Oracle Corp	3 000	11/4/2008	3/6/2009	45 30	53 91	-8 61
ML 311-02020	Oracle Corp	40 000	11/4/2008	3/6/2009	604 00	723 61	-119 61

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02020	Petroleo Bras Vtg	42 000	2/11/2009	3/6/2009	1,073.93	1,193.32	-119.39
ML 311-02020	Petroleo Bras Vtg	26 000	2/12/2009	3/6/2009	664.82	786.80	-121.98
ML 311-02020	Research In Motion	7 000	11/4/2008	3/6/2009	267.58	328.54	-60.96
ML 311-02020	Research In Motion	14 000	11/4/2008	3/6/2009	535.15	652.88	-117.73
ML 311-02020	Research In Motion	19 000	12/9/2008	3/6/2009	726.28	723.19	3.09
ML 311-02020	Research In Motion	15 000	2/17/2009	3/6/2009	573.38	712.35	-138.97
ML 311-02020	Roche	6 000	11/4/2008	3/6/2009	161.58	209.30	-47.72
ML 311-02020	Roche	6 000	11/4/2008	3/6/2009	161.58	212.50	-50.92
ML 311-02020	United Parcel Service	12 000	12/23/2008	3/6/2009	460.92	650.69	-189.77
ML 311-02020	United Parcel Service	5 000	12/26/2008	3/6/2009	192.05	266.18	-74.13
ML 311-02020	United Parcel Service	9 000	12/29/2008	3/6/2009	345.69	478.45	-132.76
ML 311-02020	United Parcel Service	8 000	12/30/2008	3/6/2009	307.28	422.64	-115.36
ML 311-02020	United Parcel Service	1 000	1/5/2009	3/6/2009	38.41	53.77	-15.36
ML 311-02020	Unitedhealth Group Inc	26 000	10/16/2008	3/6/2009	465.21	569.60	-104.39
ML 311-02020	Unitedhealth Group Inc	60 000	11/4/2008	3/6/2009	1,073.55	1,282.99	-209.44
ML 311-02020	Unitedhealth Group Inc	39 000	11/4/2008	3/6/2009	697.81	837.56	-139.75
ML 311-02020	Vertex Pharm	37 000	2/24/2009	3/6/2009	1,044.13	1,234.75	-190.62
ML 311-02020	Ace Limited	12 000	12/24/2008	3/6/2009	407.28	625.12	-217.84
ML 311-02020	Ace Limited	6 000	12/26/2008	3/6/2009	203.64	313.75	-110.11
ML 311-02020	Ace Limited	5 000	12/29/2008	3/6/2009	169.70	260.83	-91.13
ML 311-02020	Ace Limited	7 000	2/10/2009	3/6/2009	237.58	307.94	-70.36
ML 311-02020	Aflac	155 000	3/6/2009	3/16/2009	2,045.74	2,191.70	-145.96
ML 311-02020	Aflac	83 000	3/9/2009	3/16/2009	1,095.46	1,267.19	-171.73
ML 311-02020	Patterson Cos Inc	131 000	3/6/2009	4/27/2009	2,662.61	2,226.84	435.77
ML 311-02020	Patterson Cos Inc	62 000	3/9/2009	4/27/2009	1,260.16	1,095.54	164.62
ML 311-02020	Raytheon	66 000	3/6/2009	6/8/2009	2,957.88	2,428.11	529.77
ML 311-02020	Affiliated Comp Svcs	49 000	3/6/2009	6/17/2009	2,200.68	2,200.68	0.00
ML 311-02020	Affiliated Comp Svcs	25 000	3/9/2009	6/17/2009	1,146.48	1,146.48	0.00
ML 311-02020	Accenture Ltd	121 000	3/6/2009	6/17/2009	3,333.48	3,333.48	0.00
ML 311-02020	Accenture Ltd	60 000	3/9/2009	6/17/2009	1,691.84	1,691.84	0.00
ML 311-02020	Amphenol Corp	46 000	3/6/2009	6/17/2009	1,106.25	1,106.25	0.00
ML 311-02020	Amphenol Corp	27 000	3/9/2009	6/17/2009	691.32	691.32	0.00
ML 311-02020	Allergan Inc	62 000	3/6/2009	6/17/2009	2,238.71	2,238.71	0.00
ML 311-02020	Allergan Inc	29 000	3/9/2009	6/17/2009	1,091.55	1,091.55	0.00
ML 311-02020	Alcon	2 000	10/6/2008	6/17/2009	325.04	325.04	0.00
ML 311-02020	Alcon	6 000	11/4/2008	6/17/2009	536.70	536.70	0.00
ML 311-02020	Alcon	1 000	12/24/2008	6/17/2009	88.03	88.03	0.00
ML 311-02020	Alcon	15 000	3/6/2009	6/17/2009	1,186.66	1,186.66	0.00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02020	Alcon	14 000	3/9/2009	6/17/2009	1,170.96	1,170.96	0.00
ML 311-02020	Activision Inc Com New	175 000	4/14/2009	6/17/2009	1,845.32	1,845.32	0.00
ML 311-02020	Burlington N Snta Fe	60 000	3/6/2009	6/17/2009	3,295.96	3,295.96	0.00
ML 311-02020	Burlington N Snta Fe	29 000	3/9/2009	6/17/2009	1,668.05	1,668.05	0.00
ML 311-02020	Bunge Limited	2 000	11/4/2008	6/17/2009	70.22	70.22	0.00
ML 311-02020	Bunge Limited	38 000	6/8/2009	6/17/2009	2,415.40	2,415.40	0.00
ML 311-02020	Bard C R Inc	45 000	3/6/2009	6/17/2009	3,510.90	3,510.90	0.00
ML 311-02020	Bard C R Inc	20 000	3/9/2009	6/17/2009	1,553.84	1,553.84	0.00
ML 311-02020	Becton Dickinson Co	34 000	3/6/2009	6/17/2009	2,198.77	2,198.77	0.00
ML 311-02020	Becton Dickinson Co	18 000	3/9/2009	6/17/2009	1,185.30	1,185.30	0.00
ML 311-02020	CME Group Inc	1 000	11/4/2008	6/17/2009	269.61	269.61	0.00
ML 311-02020	CME Group Inc	2 000	12/26/2008	6/17/2009	407.41	407.41	0.00
ML 311-02020	CME Group Inc	6 000	3/9/2009	6/17/2009	1,146.12	1,146.12	0.00
ML 311-02020	Express Scripts Inc	47 000	3/6/2009	6/17/2009	2,216.05	2,216.05	0.00
ML 311-02020	Express Scripts Inc	23 000	3/9/2009	6/17/2009	1,106.76	1,106.76	0.00
ML 311-02020	Emerson Elec Co	87 000	3/6/2009	6/17/2009	2,210.64	2,210.64	0.00
ML 311-02020	Emerson Elec Co	43 000	3/9/2009	6/17/2009	1,146.26	1,146.26	0.00
ML 311-02020	Expeditors Intl Wash Inc	43 000	3/6/2009	6/17/2009	1,096.50	1,096.50	0.00
ML 311-02020	Expeditors Intl Wash Inc	34 000	3/9/2009	6/17/2009	898.28	898.28	0.00
ML 311-02020	Goldman Sachs Group Inc	15 000	5/18/2009	6/17/2009	1,964.75	1,964.75	0.00
ML 311-02020	Goldman Sachs Group Inc	15 000	6/8/2009	6/17/2009	2,130.62	2,130.62	0.00
ML 311-02020	Gilead	5 000	11/4/2008	6/17/2009	228.97	228.97	0.00
ML 311-02020	Gilead	36 000	3/9/2009	6/17/2009	1,652.66	1,652.66	0.00
ML 311-02020	Illinois Tool Works Inc	80 000	3/6/2009	6/17/2009	2,220.57	2,220.57	0.00
ML 311-02020	Illinois Tool Works Inc	40 000	3/9/2009	6/17/2009	1,151.98	1,151.98	0.00
ML 311-02020	ITT Corp	80 000	3/6/2009	6/17/2009	2,783.27	2,783.27	0.00
ML 311-02020	ITT Corp	41 000	3/9/2009	6/17/2009	1,443.57	1,443.57	0.00
ML 311-02020	Intel Corp	179 000	3/6/2009	6/17/2009	2,217.51	2,217.51	0.00
ML 311-02020	Intel Corp	86 000	3/9/2009	6/17/2009	1,108.31	1,108.31	0.00
ML 311-02020	Intuit Inc	97 000	3/6/2009	6/17/2009	2,227.29	2,227.29	0.00
ML 311-02020	Intuit Inc	47 000	3/9/2009	6/17/2009	1,110.61	1,110.61	0.00
ML 311-02020	Jacobs Engrn Grp Inc	69 000	3/6/2009	6/17/2009	2,208.08	2,208.08	0.00
ML 311-02020	Jacobs Engrn Grp Inc	28 000	3/9/2009	6/17/2009	991.43	991.43	0.00
ML 311-02020	Monsanto Co New	11 000	10/14/2008	6/17/2009	870.82	870.82	0.00
ML 311-02020	Monsanto Co New	10 000	11/4/2008	6/17/2009	873.74	873.74	0.00
ML 311-02020	Monsanto Co New	3 000	12/24/2008	6/17/2009	219.97	219.97	0.00
ML 311-02020	Monsanto Co New	15 000	3/9/2009	6/17/2009	1,122.00	1,122.00	0.00
ML 311-02020	McDonalds	42 000	3/6/2009	6/17/2009	2,207.50	2,207.50	0.00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02020	McDonalds	22 000	3/9/2009	6/17/2009	1,178.42	1,178.42	0.00
ML 311-02020	Nike	55 000	3/6/2009	6/17/2009	2,216.75	2,216.75	0.00
ML 311-02020	Nike	25 000	3/9/2009	6/17/2009	1,079.75	1,079.75	0.00
ML 311-02020	Norfolk Southern Corp	38 000	3/6/2009	6/17/2009	1,104.22	1,104.22	0.00
ML 311-02020	Norfolk Southern Corp	30 000	3/9/2009	6/17/2009	911.54	911.54	0.00
ML 311-02020	Omnicom Group	122 000	3/6/2009	6/17/2009	2,801.02	2,801.02	0.00
ML 311-02020	Omnicom Group	56 000	3/9/2009	6/17/2009	1,357.41	1,357.41	0.00
ML 311-02020	Parker Hannifin	89 000	3/6/2009	6/17/2009	2,774.83	2,774.83	0.00
ML 311-02020	Parker Hannifin	46 000	3/9/2009	6/17/2009	1,456.34	1,456.34	0.00
ML 311-02020	Praxair Inc	60 000	3/6/2009	6/17/2009	3,323.40	3,323.40	0.00
ML 311-02020	Praxair Inc	30 000	3/9/2009	6/17/2009	1,723.94	1,723.94	0.00
ML 311-02020	Proctor Gamble	47 000	3/6/2009	6/17/2009	2,207.93	2,207.93	0.00
ML 311-02020	Proctor Gamble	24 000	3/9/2009	6/17/2009	1,150.02	1,150.02	0.00
ML 311-02020	Quest Diagnostics Inc	73 000	3/6/2009	6/17/2009	3,321.89	3,321.89	0.00
ML 311-02020	Quest Diagnostics Inc	36 000	3/9/2009	6/17/2009	1,691.28	1,691.28	0.00
ML 311-02020	Qualcomm Inc	99 000	3/6/2009	6/17/2009	3,329.36	3,329.36	0.00
ML 311-02020	Qualcomm Inc	47 000	3/9/2009	6/17/2009	1,652.99	1,652.99	0.00
ML 311-02020	Raytheon	24 000	3/6/2009	6/17/2009	882.95	882.95	0.00
ML 311-02020	Raytheon	46 000	3/9/2009	6/17/2009	1,739.24	1,739.24	0.00
ML 311-02020	Schlumberger Ltd	91 000	3/6/2009	6/17/2009	3,260.47	3,260.47	0.00
ML 311-02020	Schlumberger Ltd	41 000	3/9/2009	6/17/2009	1,622.35	1,622.35	0.00
ML 311-02020	Stanley Works	90 000	3/6/2009	6/17/2009	2,222.09	2,222.09	0.00
ML 311-02020	Stanley Works	47 000	3/9/2009	6/17/2009	1,185.56	1,185.56	0.00
ML 311-02020	Stryker Corp	66 000	3/6/2009	6/17/2009	2,211.66	2,211.66	0.00
ML 311-02020	Stryker Corp	33 000	3/9/2009	6/17/2009	1,145.74	1,145.74	0.00
ML 311-02020	Teva Pharmactcl Inds	51 000	3/6/2009	6/17/2009	2,212.37	2,212.37	0.00
ML 311-02020	Teva Pharmactcl Inds	26 000	3/9/2009	6/17/2009	1,146.60	1,146.60	0.00
ML 311-02020	United Techs Corp	28 000	3/6/2009	6/17/2009	1,085.56	1,085.56	0.00
ML 311-02020	United Techs Corp	23 000	3/9/2009	6/17/2009	913.56	913.56	0.00
ML 311-02020	Varian Inc	94 000	3/6/2009	6/17/2009	2,764.49	2,764.49	0.00
ML 311-02020	Varian Inc	50 000	3/9/2009	6/17/2009	1,476.95	1,476.95	0.00
ML 311-02020	Waters Corp	45 000	5/18/2009	6/17/2009	1,998.82	1,998.82	0.00
ML 311-02020	Western Un Co	260 000	3/6/2009	6/17/2009	2,787.10	2,787.10	0.00
ML 311-02020	Western Un Co	123 000	3/9/2009	6/17/2009	1,409.52	1,409.52	0.00
ML 311-02020	XTO Energy Inc	110 000	3/6/2009	6/17/2009	3,309.90	3,309.90	0.00
ML 311-02020	XTO Energy Inc	51 000	3/9/2009	6/17/2009	1,640.53	1,640.53	0.00
ML 311-02020	Xerox Corp	479 000	3/6/2009	6/17/2009	2,212.21	2,212.21	0.00
ML 311-02020	Xerox Corp	234 000	3/9/2009	6/17/2009	1,141.80	1,141.80	0.00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02020	Waste Management	87 000	3/6/2009	6/17/2009	2,211 50	2,211 50	0.00
ML 311-02020	Waste Management	49 000	3/9/2009	6/17/2009	1,220 08	1,220 08	0 00
ML 311-02020	Intl Business Machines	19 000	6/16/2009	6/17/2009	2,098 81	2,098.81	0 00
ML 311-02020	Ross Stores Inc Com	53 000	6/16/2009	6/17/2009	2,065 68	2,065 68	0.00
TOTAL SHORT TERM					212,450.09	231,698.27	-19,248.18
LONG TERM							
ML 311-02020	ABB Ltd	161 000	1/11/2008	3/6/2009	1,808 44	4,143 40	-2,334.96
ML 311-02020	ABB Ltd	26 000	2/7/2008	3/6/2009	292 05	672 20	-380.15
ML 311-02020	ABB Ltd	153 000	2/19/2008	3/6/2009	1,718.58	3,674 71	-1,956 13
ML 311-02020	Apple Inc	36 000	10/1/2007	3/6/2009	3,209 02	5,496 42	-2,287.40
ML 311-02020	Apple Inc	11 000	10/11/2007	3/6/2009	980 53	1,756 15	-775 62
ML 311-02020	Bunge Limited	47 000	10/1/2007	3/6/2009	2,081 53	4,836 30	-2,754 77
ML 311-02020	BAE SYS PLC	62 000	10/1/2007	3/6/2009	1,188 53	2,414 90	-1,226 37
ML 311-02020	BAE SYS PLC	33 000	10/11/2007	3/6/2009	632.60	1,345.08	-712 48
ML 311-02020	CVS Caremark	23 000	1/7/2008	3/6/2009	569 72	910 02	-340 30
ML 311-02020	CVS Caremark	98 000	1/8/2008	3/6/2009	2,427 49	3,618 73	-1,191 24
ML 311-02020	CVS Caremark	73 000	1/8/2008	3/6/2009	1,808 24	2,676.36	-868.12
ML 311-02020	CVS Caremark	27 000	2/7/2008	3/6/2009	668 80	1,065 81	-397.01
ML 311-02020	Cia Vale	130 000	1/10/2008	3/6/2009	1,601.92	4,064 07	-2,462 15
ML 311-02020	Cia Vale	41 000	2/7/2008	3/6/2009	505 22	1,286 10	-780 88
ML 311-02020	Celgene	83 000	10/1/2007	3/6/2009	3,532 55	5,909 59	-2,377 04
ML 311-02020	Celgene	37 000	10/11/2007	3/6/2009	1,574 75	2,687 29	-1,112 54
ML 311-02020	Celgene	37 000	12/26/2007	3/6/2009	1,574 75	1,683 39	-108 64
ML 311-02020	Electronic Arts Inc	13 000	10/1/2007	3/6/2009	194 87	725 92	-531.05
ML 311-02020	Electronic Arts Inc	23 000	10/11/2007	3/6/2009	344 77	1,361 60	-1,016 83
ML 311-02020	Google Inc	3 000	10/1/2007	3/6/2009	983 69	1,706 28	-722 59
ML 311-02020	Google Inc	4 000	10/11/2007	3/6/2009	1,311 59	2,374 64	-1,063 05
ML 311-02020	Google Inc	6 000	2/7/2008	3/6/2009	1,967 39	3,030 69	-1,063 30
ML 311-02020	Gilead	49 000	10/1/2007	3/6/2009	2,218 75	1,990 36	228 39
ML 311-02020	Gilead	42 000	10/11/2007	3/6/2009	1,901.79	1,759 71	142 08
ML 311-02020	Gilead	84 000	3/5/2008	3/6/2009	3,803 57	4,006 19	-202 62
ML 311-02020	Intuitive Surgical	12 000	10/1/2007	3/6/2009	1,053 71	2,792 73	-1,739 02
ML 311-02020	Intuitive Surgical	11 000	10/11/2007	3/6/2009	965 90	2,711 37	-1,745 47
ML 311-02020	Monsanto Co New	10 000	2/7/2008	3/6/2009	723 00	1,143 30	-420 30
ML 311-02020	Research In Motion	121 000	10/1/2007	3/6/2009	4,625.24	11,914 86	-7,289 62
ML 311-02020	Research In Motion	32 000	10/11/2007	3/6/2009	1,223 21	3,596 46	-2,373 25
ML 311-02020	Research In Motion	13 000	2/7/2008	3/6/2009	496.93	1,214 75	-717 82

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02020	Roche	56 000	2/11/2008	3/6/2009	1,508 06	2,562 64	-1,054 58
ML 311-02020	Alcon	3 000	10/1/2007	6/17/2009	427 20	427 20	0 00
ML 311-02020	Alcon	7 000	10/11/2007	6/17/2009	1,017 03	1,017 03	0 00
ML 311-02020	Alcon	3 000	2/7/2008	6/17/2009	431 68	431 68	0 00
ML 311-02020	Bunge Limited	3 000	10/1/2007	6/17/2009	308 70	308 70	0 00
ML 311-02020	Bunge Limited	14 000	10/11/2007	6/17/2009	1,443 68	1,443 68	0 00
ML 311-02020	Bunge Limited	7 000	3/18/2008	6/17/2009	615 84	615 84	0 00
ML 311-02020	CME Group Inc	9 000	5/19/2008	6/17/2009	4,209 89	4,209 89	0 00
ML 311-02020	Gilead	70 000	3/5/2008	6/17/2009	3,338 50	3,338 50	0 00
ML 311-02020	Monsanto Co New	7 000	2/7/2008	6/17/2009	800 31	800 31	0 00
TOTAL LONG TERM					62,090.02	103,724.85	-41,634.83
OVERALL TOTAL					274,540.11	335,423.12	-60,883.01

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
ML 311-04B78	Celgene	200 000	11/28/2008	1/13/2009	9,984.42	9,961.04	23.38
ML 311-04B78	Ishares IBOXX\$ High Yield	250 000	12/24/2008	1/13/2009	19,084.14	18,018.25	1,065.89
ML 311-04B78	Proshares Ultra S&P 500	400 000	11/28/2008	1/21/2009	8,932.98	9,382.96	-449.98
ML 311-04B78	Nordstrom Inc	500 000	12/10/2008	1/21/2009	6,235.21	6,219.95	15.26
ML 311-04B78	Sector SPDR Energy	300 000	11/28/2008	1/21/2009	13,362.19	14,427.00	-1,064.81
ML 311-04B78	Visa Inc	110 000	11/5/2008	1/21/2009	5,320.66	6,146.36	-825.70
ML 311-04B78	Visa Inc	100 000	11/5/2008	1/21/2009	4,837.97	5,587.60	-749.63
ML 311-04B78	Visa Inc	10 000	11/5/2008	1/21/2009	483.71	558.76	-75.05
ML 311-04B78	ML&Co 11% Strides	400 000	2/7/2008	1/28/2009	7,207.95	10,000.00	-2,792.05
ML 311-04B78	Shaw Group Inc	25 000	1/13/2009	2/11/2009	742.09	694.23	47.86
ML 311-04B78	Shaw Group Inc	175 000	1/13/2009	2/11/2009	5,196.42	4,859.58	336.84
ML 311-04B78	Shaw Group Inc	100 000	1/13/2009	2/11/2009	2,969.38	2,777.90	191.48
ML 311-04B78	Shaw Group Inc	25 000	1/21/2009	2/11/2009	742.35	713.31	29.04
ML 311-04B78	Shaw Group Inc	175 000	1/21/2009	3/26/2009	4,938.47	4,993.15	-54.68
ML 311-04B78	Shaw Group Inc	100 000	1/28/2009	3/26/2009	2,821.98	2,774.00	47.98
ML 311-04B78	Shaw Group Inc	50 000	1/28/2009	3/26/2009	1,410.99	1,387.49	23.50
ML 311-04B78	Rio Tinto PLC	150 000	12/19/2008	3/27/2009	19,886.73	14,845.95	5,040.78
ML 311-04B78	Fluor Corp New	100 000	12/19/2008	5/15/2009	4,406.15	5,181.30	-775.15
ML 311-04B78	Fluor Corp New	100 000	12/19/2008	5/15/2009	4,406.15	5,182.30	-776.15
ML 311-04B78	Fluor Corp New	100 000	12/19/2008	5/15/2009	4,406.15	5,183.30	-777.15
ML 311-04B78	Donaldson Co Inc	300 000	2/11/2009	6/2/2009	9,284.75	9,943.68	-658.93
ML 311-04B78	Altria Group Inc	250 000	11/5/2008	6/25/2009	4,941.00	4,941.00	0.00
ML 311-04B78	Altria Group Inc	200 000	12/10/2008	6/25/2009	3,049.90	3,049.90	0.00
ML 311-04B78	Apple Computer Inc	100 000	1/21/2009	6/25/2009	8,374.99	8,374.99	0.00
ML 311-04B78	Caterpillar Inc	200 000	1/21/2009	6/25/2009	7,980.00	7,980.00	0.00
ML 311-04B78	Caterpillar Inc	100 000	1/21/2009	6/25/2009	3,990.95	3,990.95	0.00
ML 311-04B78	Freeprt-MCMRAN CPR&GLD	200 000	8/12/2008	6/25/2009	17,720.10	17,720.10	0.00
ML 311-04B78	Freeprt-MCMRAN CPR&GLD	200 000	9/17/2008	6/25/2009	14,828.10	14,828.10	0.00
ML 311-04B78	Pimco Corporate Income	100 000	1/13/2009	6/25/2009	1,175.30	1,175.30	0.00
ML 311-04B78	Pimco Corporate Income	500 000	1/13/2009	6/25/2009	5,886.50	5,886.50	0.00
ML 311-04B78	Pimco Corporate Income	100 000	1/13/2009	6/25/2009	1,178.30	1,178.30	0.00
ML 311-04B78	Pimco Corporate Income	300 000	1/13/2009	6/25/2009	3,537.90	3,537.90	0.00
ML 311-04B78	Pimco Corporate Income	110 000	1/28/2009	6/25/2009	1,281.50	1,281.50	0.00
ML 311-04B78	Pimco Corporate Income	100 000	1/28/2009	6/25/2009	1,168.00	1,168.00	0.00
ML 311-04B78	Pimco Corporate Income	200 000	1/28/2009	6/25/2009	2,338.00	2,338.00	0.00
ML 311-04B78	Pimco Corporate Income	400 000	1/28/2009	6/25/2009	4,684.00	4,684.00	0.00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L..
ML 311-04B78	Pimco Corporate Income	190 000	1/28/2009	6/25/2009	2,226 80	2,226 80	0 00
ML 311-04B78	Pimco Corporate Income	200 000	3/26/2009	6/25/2009	1,678 00	1,678 00	0 00
ML 311-04B78	Pimco Corporate Income	900 000	3/26/2009	6/25/2009	7,515 00	7,515 00	0 00
ML 311-04B78	Pimco Corporate Income	100 000	3/26/2009	6/25/2009	837 00	837 00	0 00
ML 311-04B78	Pimco Corporate Income	800 000	3/26/2009	6/25/2009	6,704 00	6,704 00	0 00
ML 311-04B78	Alpine Global Premier	13 022	7/17/2008	6/25/2009	140 51	140 51	0 00
ML 311-04B78	Alpine Global Premier	14 493	8/18/2008	6/25/2009	142 15	142 15	0 00
ML 311-04B78	Alpine Global Premier	15 737	9/30/2008	6/25/2009	145 98	145 98	0 00
ML 311-04B78	Alpine Global Premier	26 595	10/15/2008	6/25/2009	145 98	145 98	0 00
ML 311-04B78	Alpine Global Premier	30 087	11/18/2008	6/25/2009	149 35	149 35	0 00
ML 311-04B78	Alpine Global Premier	41 976	12/8/2008	6/25/2009	153 17	153 17	0 00
ML 311-04B78	Alpine Global Premier	38 373	1/14/2009	6/25/2009	158 48	158 48	0 00
ML 311-04B78	Alpine Global Premier	11 186	2/20/2009	6/25/2009	38 68	38 68	0 00
ML 311-04B78	Alpine Global Premier	15 781	3/9/2009	6/25/2009	39 01	39 01	0 00
ML 311-04B78	Alpine Global Premier	12 211	4/8/2009	6/25/2009	39 49	39 49	0 00
ML 311-04B78	Alpine Global Premier	9 968	5/6/2009	6/25/2009	39 85	39 85	0 00
ML 311-04B78	Alpine Global Premier	7 615	6/10/2009	6/25/2009	35 54	35 54	0 00
ML 311-04B78	Massey Energy Co	300 000	7/9/2008	6/25/2009	22,692 00	22,692 00	0 00
ML 311-04B78	Mosaic Co	150 000	7/17/2008	6/25/2009	21,987 07	21,987 07	0 00
ML 311-04B78	Mosaic Co	200 000	9/17/2008	6/25/2009	18,314 10	18,314 10	0 00
ML 311-04B78	Mosaic Co	200 000	12/19/2008	6/25/2009	7,232 60	7,232 60	0 00
ML 311-04B78	Nasdaq OMX Grp Inc	300 000	9/22/2008	6/25/2009	9,060 75	9,060 75	0 00
ML 311-04B78	Nasdaq OMX Grp Inc	500 000	9/26/2008	6/25/2009	17,483 00	17,483 00	0 00
ML 311-04B78	Nucor Corporation	300 000	7/9/2008	6/25/2009	19,053 00	19,053 00	0 00
ML 311-04B78	Nucor Corporation	200 000	9/24/2008	6/25/2009	10,124 80	10,124 80	0 00
ML 311-04B78	Research In Motion	100 000	7/9/2008	6/25/2009	11,660 00	11,660 00	0 00
ML 311-04B78	Research In Motion	200 000	8/26/2008	6/25/2009	26,584 10	26,584 10	0 00
ML 311-04B78	Research In Motion	300 000	10/1/2008	6/25/2009	21,513 15	21,513 15	0 00
ML 311-04B78	Standard & Poors Dep Rcpt	25 000	10/24/2008	6/25/2009	2,469 00	2,469 00	0 00
ML 311-04B78	Standard & Poors Dep Rcpt	100 000	10/24/2008	6/25/2009	9,875 74	9,875 74	0 00
ML 311-04B78	Standard & Poors Dep Rcpt	175 000	11/28/2008	6/25/2009	14,768 25	14,768 25	0 00
ML 311-04B78	Thermo Fisher Scientific	300 000	1/21/2009	6/25/2009	11,816 55	11,816 55	0 00
ML 311-04B78	United Sts Stl Corp New	200 000	9/22/2008	6/25/2009	18,646 10	18,646 10	0 00
ML 311-04B78	ML Institutional Tax Exempt	0 030	2/27/2009	6/30/2009	0 03	0 03	0 00
ML 311-04B78	ML Institutional Tax Exempt	0 020	3/31/2009	6/30/2009	0 02	0 02	0 00
ML 311-04B78	ML Institutional Tax Exempt	0 030	4/30/2009	6/30/2009	0 03	0 03	0 00
ML 311-04B78	Alpine Global Premier	8 000	7/9/2009	7/17/2009	37 30	37 30	0 00
ML 311-04B78	Alpine Global Premier	0 666	7/9/2009	7/20/2009	2 89	3 11	-0 22

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
TOTAL SHORT TERM							-2,177.49
482,304.85							484,482.34
LONG TERM							
ML 311-04B78	Apple Computer Inc	90 000	8/24/2007	6/25/2009	11,522 70	11,522 70	0 00
ML 311-04B78	Apple Computer Inc	10 000	8/24/2007	6/25/2009	1,280 40	1,280 40	0 00
ML 311-04B78	Apple Computer Inc	100 000	9/17/2007	6/25/2009	13,914 00	13,914 00	0 00
ML 311-04B78	Denbury	300 000	6/24/2008	6/25/2009	11,898 00	11,898 00	0 00
ML 311-04B78	Alpine Global Premier	1,000 000	4/30/2007	6/25/2009	20,000 00	20,000 00	0 00
ML 311-04B78	Alpine Global Premier	6 929	6/22/2007	6/25/2009	126 70	126 70	0 00
ML 311-04B78	Alpine Global Premier	7 596	8/14/2007	6/25/2009	127 58	127 58	0 00
ML 311-04B78	Alpine Global Premier	7 873	8/24/2007	6/25/2009	128 54	128 54	0 00
ML 311-04B78	Alpine Global Premier	7 825	10/15/2007	6/25/2009	129 54	129 54	0 00
ML 311-04B78	Alpine Global Premier	8 406	11/13/2007	6/25/2009	130 53	130 53	0 00
ML 311-04B78	Alpine Global Premier	9 789	12/5/2007	6/25/2009	131 59	131 59	0 00
ML 311-04B78	Alpine Global Premier	9 787	1/15/2008	6/25/2009	132 83	132 83	0 00
ML 311-04B78	Alpine Global Premier	9 834	1/31/2008	6/25/2009	134 07	134 07	0 00
ML 311-04B78	Alpine Global Premier	9 726	3/5/2008	6/25/2009	135 32	135 32	0 00
ML 311-04B78	Alpine Global Premier	10 433	4/23/2008	6/25/2009	136 55	136 55	0 00
ML 311-04B78	Alpine Global Premier	10 152	5/19/2008	6/25/2009	137 87	137 87	0 00
ML 311-04B78	Alpine Global Premier	10 608	6/11/2008	6/25/2009	139 16	139 16	0 00
ML 311-04B78	Google Inc	50 000	2/8/2008	6/25/2009	25,174 50	25,174 50	0 00
ML 311-04B78	Helmerich Payne Inc	300 000	6/9/2008	6/25/2009	19,680 15	19,680 15	0 00
ML 311-04B78	Mylan Inc Pfd Stock	5 000	11/19/2007	6/25/2009	5,000 00	5,000 00	0 00
ML 311-04B78	ML&Co 11% Strides	1,200 000	2/7/2008	6/25/2009	30,000 00	30,000 00	0 00
ML 311-04B78	ML & Co Steps AAPL	5,000 000	6/18/2008	6/25/2009	50,000 00	50,000 00	0 00
ML 311-04B78	Peabody Energy Corp	50 000	6/24/2008	6/25/2009	4,177 02	4,177 02	0 00
ML 311-04B78	Peabody Energy Corp	100 000	6/24/2008	6/25/2009	8,357 05	8,357 05	0 00
ML 311-04B78	Research In Motion	100 000	4/4/2008	6/25/2009	11,751 50	11,751 50	0 00
ML 311-04B78	Alpine Global Premier	0 988	4/30/2007	6/26/2009	3 96	19 73	-15.77
ML 311-04B78	ML Institutional Tax Exempt	83 170	4/30/2005	6/30/2009	83 11	83 17	-0 06
ML 311-04B78	ML Institutional Tax Exempt	6 250	5/31/2005	6/30/2009	6 25	6 25	0 00
ML 311-04B78	ML Institutional Tax Exempt	1 860	6/30/2005	6/30/2009	1 86	1 86	0 00
ML 311-04B78	ML Institutional Tax Exempt	1 670	7/31/2005	6/30/2009	1 67	1 67	0 00
ML 311-04B78	ML Institutional Tax Exempt	1 390	8/31/2005	6/30/2009	1 39	1 39	0 00
ML 311-04B78	ML Institutional Tax Exempt	0 310	9/30/2005	6/30/2009	0 31	0 31	0 00
ML 311-04B78	ML Institutional Tax Exempt	0 300	10/31/2005	6/30/2009	0 30	0 30	0 00
ML 311-04B78	ML Institutional Tax Exempt	0 310	11/30/2005	6/30/2009	0 31	0 31	0 00
ML 311-04B78	ML Institutional Tax Exempt	0 300	12/31/2005	6/30/2009	0 30	0 30	0 00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-04B78	ML Institutional Tax Exempt	0.310	1/31/2006	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.310	2/28/2006	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.280	3/31/2006	6/30/2009	0.28	0.28	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.310	4/30/2006	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.300	5/31/2006	6/30/2009	0.30	0.30	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.310	6/30/2006	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.300	7/31/2006	6/30/2009	0.30	0.30	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.310	8/31/2006	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.310	9/30/2006	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.300	10/31/2006	6/30/2009	0.30	0.30	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.310	11/30/2006	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.300	12/31/2006	6/30/2009	0.30	0.30	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.320	1/31/2007	6/30/2009	0.32	0.32	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.300	2/28/2007	6/30/2009	0.30	0.30	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.280	3/31/2007	6/30/2009	0.28	0.28	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.310	4/30/2007	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.300	5/31/2007	6/30/2009	0.30	0.30	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.310	8/31/2007	6/30/2009	0.31	0.31	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.280	9/28/2007	6/30/2009	0.28	0.28	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.330	10/31/2007	6/30/2009	0.33	0.33	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.330	12/31/2007	6/30/2009	0.33	0.33	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.270	1/31/2008	6/30/2009	0.27	0.27	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.230	2/29/2008	6/30/2009	0.23	0.23	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.270	3/31/2008	6/30/2009	0.27	0.27	0.00
ML 311-04B78	ML Institutional Tax Exempt	0.260	4/30/2008	6/30/2009	0.26	0.26	0.00
TOTAL LONG TERM					214,452.50	214,468.33	-15.83
OVERALL TOTAL					696,757.35	698,950.67	-2,193.32

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
ML 311-02065	WW Grainger Incorp	3,000	6/10/2008	1/26/2009	213.47	276.24	-62.77
ML 311-02065	WW Grainger Incorp	9,000	6/16/2008	1/26/2009	640.40	808.01	-167.61
ML 311-02065	WW Grainger Incorp	7,000	6/16/2008	2/23/2009	499.97	628.46	-128.49
ML 311-02065	WW Grainger Incorp	5,000	8/5/2008	2/23/2009	357.12	453.24	-96.12
ML 311-02065	PG&E Corp	22,000	9/2/2008	2/23/2009	789.23	913.81	-124.58
ML 311-02065	PG&E Corp	2,000	9/8/2008	2/23/2009	71.75	82.21	-10.46
ML 311-02065	Bank of America	52,000	9/22/2008	3/5/2009	227.09	1,435.44	-1,208.35
ML 311-02065	WW Grainger Incorp	10,000	8/5/2008	3/9/2009	638.60	906.48	-267.88
ML 311-02065	PG&E Corp	17,000	9/8/2008	3/9/2009	645.81	698.79	-52.98
ML 311-02065	PG&E Corp	4,000	10/7/2008	3/9/2009	151.95	156.53	-4.58
ML 311-02065	Prudential Financial	19,000	6/9/2008	4/20/2009	494.31	1,417.79	-923.48
ML 311-02065	Prudential Financial	62,000	4/15/2009	4/21/2009	1,613.00	1,613.00	0.00
ML 311-02065	JM Smucker Co	24,000	11/17/2008	5/26/2009	971.39	961.24	10.15
ML 311-02065	JM Smucker Co	11,000	11/25/2008	5/26/2009	445.22	435.81	9.41
ML 311-02065	Sherwin Williams	10,000	10/14/2008	5/26/2009	563.11	531.95	31.16
ML 311-02065	PNC Fincl Services Group	24,000	8/12/2008	6/5/2009	998.68	1,728.58	-729.90
ML 311-02065	PNC Fincl Services Group	20,000	8/18/2008	6/5/2009	832.24	1,401.53	-569.29
ML 311-02065	Abbott Labs	47,000	5/26/2009	6/18/2009	2,044.88	2,044.88	0.00
ML 311-02065	Altria Group Inc	78,000	3/5/2009	6/18/2009	1,209.47	1,209.47	0.00
ML 311-02065	Altria Group Inc	51,000	4/6/2009	6/18/2009	827.94	827.94	0.00
ML 311-02065	Accenture Ltd	29,000	2/23/2009	6/18/2009	901.44	901.44	0.00
ML 311-02065	AT&T Corp	38,000	6/23/2008	6/18/2009	1,345.91	1,345.91	0.00
ML 311-02065	AT&T Corp	57,000	9/3/2008	6/18/2009	1,832.36	1,832.36	0.00
ML 311-02065	Apache	12,000	8/4/2008	6/18/2009	1,340.24	1,340.24	0.00
ML 311-02065	Bank NY Mellon	45,000	10/14/2008	6/18/2009	1,166.82	1,166.82	0.00
ML 311-02065	Cheveron Corp	15,000	8/11/2008	6/18/2009	1,244.09	1,244.09	0.00
ML 311-02065	Chubb Corp	27,000	6/24/2008	6/18/2009	1,410.60	1,410.60	0.00
ML 311-02065	Devon Energy Corp New	32,000	11/24/2008	6/18/2009	2,265.66	2,265.66	0.00
ML 311-02065	Devon Energy Corp New	14,000	12/8/2008	6/18/2009	924.00	924.00	0.00
ML 311-02065	Dominion Res Inc	30,000	6/24/2008	6/18/2009	1,417.41	1,417.41	0.00
ML 311-02065	Disney (Walt) Co	75,000	8/25/2008	6/18/2009	2,387.21	2,387.21	0.00
ML 311-02065	Disney (Walt) Co	55,000	9/15/2008	6/18/2009	1,805.85	1,805.85	0.00
ML 311-02065	Goldman Sachs Group Inc	7,000	7/8/2008	6/18/2009	1,239.12	1,239.12	0.00
ML 311-02065	Goldman Sachs Group Inc	9,000	7/21/2008	6/18/2009	1,495.24	1,495.24	0.00
ML 311-02065	Goldman Sachs Group Inc	11,000	9/22/2008	6/18/2009	1,119.93	1,119.93	0.00
ML 311-02065	Goldman Sachs Group Inc	10,000	3/16/2009	6/18/2009	903.42	903.42	0.00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
ML 311-02065	Hasbro Inc	34 000	1/12/2009	6/18/2009	998 19	998.19	0.00
ML 311-02065	Hasbro Inc	33 000	1/26/2009	6/18/2009	872.84	872.84	0.00
ML 311-02065	Hasbro Inc	40 000	6/15/2009	6/18/2009	1,040.61	1,040.61	0.00
ML 311-02065	Hewlett Packard Co	37 000	9/29/2008	6/18/2009	1,734.49	1,734.49	0.00
ML 311-02065	Hewlett Packard Co	28 000	11/3/2008	6/18/2009	1,002.93	1,002.93	0.00
ML 311-02065	JP Morgan Chase & Co	37 000	11/17/2008	6/18/2009	1,286.60	1,286.60	0.00
ML 311-02065	JP Morgan Chase & Co	37 000	12/9/2008	6/18/2009	1,164.04	1,164.04	0.00
ML 311-02065	JP Morgan Chase & Co	33 000	12/22/2008	6/18/2009	1,047.92	1,047.92	0.00
ML 311-02065	JP Morgan Chase & Co	18 000	1/12/2009	6/18/2009	521.46	521.46	0.00
ML 311-02065	JP Morgan Chase & Co	20 000	2/17/2009	6/18/2009	516.12	516.12	0.00
ML 311-02065	JP Morgan Chase & Co	29 000	6/8/2009	6/18/2009	997.87	997.87	0.00
ML 311-02065	Johnson & Johnson	17 000	4/27/2009	6/18/2009	879.76	879.76	0.00
ML 311-02065	Kroger	32 000	12/8/2008	6/18/2009	875.78	875.78	0.00
ML 311-02065	Kroger	33 000	12/15/2008	6/18/2009	856.18	856.18	0.00
ML 311-02065	Kroger	16 000	1/30/2009	6/18/2009	396.52	396.52	0.00
ML 311-02065	Kroger	46 000	4/14/2009	6/18/2009	949.51	949.51	0.00
ML 311-02065	Lockheed Martin Corp	8 000	11/10/2008	6/18/2009	685.36	685.36	0.00
ML 311-02065	Lockheed Martin Corp	13 000	3/9/2009	6/18/2009	791.62	791.62	0.00
ML 311-02065	Metlife Inc	17 000	6/24/2008	6/18/2009	971.77	971.77	0.00
ML 311-02065	Metlife Inc	30 000	10/27/2008	6/18/2009	939.92	939.92	0.00
ML 311-02065	Medtronic	31 000	5/4/2009	6/18/2009	967.87	967.87	0.00
ML 311-02065	Medtronic	29 000	5/11/2009	6/18/2009	928.59	928.59	0.00
ML 311-02065	Merck & Co Inc New	35 000	11/3/2008	6/18/2009	1,050.32	1,050.32	0.00
ML 311-02065	Nestle S A Rep	20 000	10/14/2008	6/18/2009	793.38	793.38	0.00
ML 311-02065	Northrop Grumman Corp	23 000	3/27/2009	6/18/2009	963.07	963.07	0.00
ML 311-02065	Omnicom Group	20 000	2/17/2009	6/18/2009	558.67	558.67	0.00
ML 311-02065	PG&E Corp	23 000	10/7/2008	6/18/2009	900.04	900.04	0.00
ML 311-02065	PG&E Corp	31 000	12/2/2008	6/18/2009	1,158.44	1,158.44	0.00
ML 311-02065	Prudential Financial Inc	26 000	6/8/2009	6/18/2009	1,053.42	1,053.42	0.00
ML 311-02065	Phillip Morris Intl Inc	11 000	11/25/2008	6/18/2009	422.31	422.31	0.00
ML 311-02065	Pepsico Inc	24 000	10/20/2008	6/18/2009	1,298.77	1,298.77	0.00
ML 311-02065	Pfizer Inc	116 000	3/9/2009	6/18/2009	1,400.53	1,400.53	0.00
ML 311-02065	Pfizer Inc	73 000	4/15/2009	6/18/2009	997.82	997.82	0.00
ML 311-02065	State Street Corp	13 000	1/13/2009	6/18/2009	559.47	559.47	0.00
ML 311-02065	Sherwin Williams	11 000	10/14/2008	6/18/2009	585.14	585.14	0.00
ML 311-02065	Sherwin Williams	17 000	10/27/2008	6/18/2009	964.90	964.90	0.00
ML 311-02065	Sherwin Williams	10 000	1/30/2009	6/18/2009	484.82	484.82	0.00
ML 311-02065	Total S A	17 000	12/9/2008	6/18/2009	844.23	844.23	0.00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02065	Total S.A.	13.000	2/23/2009	6/18/2009	638.08	638.08	0.00
ML 311-02065	3m	10.000	12/15/2008	6/18/2009	573.56	573.56	0.00
ML 311-02065	3m	14.000	12/22/2008	6/18/2009	820.70	820.70	0.00
ML 311-02065	3m	8.000	12/23/2008	6/18/2009	466.43	466.43	0.00
ML 311-02065	Travelers Cos Inc	12.000	4/6/2009	6/18/2009	496.88	496.88	0.00
ML 311-02065	Travelers Cos Inc	31.000	4/7/2009	6/18/2009	1,306.24	1,306.24	0.00
ML 311-02065	Vodafone Grp	56.000	11/10/2008	6/18/2009	1,058.83	1,058.83	0.00
ML 311-02065	Western Un Co	94.000	6/15/2009	6/18/2009	1,651.49	1,651.49	0.00
ML 311-02065	Wells Fargo & Co.	45.000	4/21/2009	6/18/2009	857.43	857.43	0.00
ML 311-02065	Wells Fargo & Co	59.000	5/13/2009	6/18/2009	1,547.51	1,547.51	0.00
ML 311-02065	Wells Fargo & Co.	34.000	5/18/2009	6/18/2009	850.56	850.56	0.00
TOTAL SHORT TERM					81,763.92	86,059.69	-4,295.77

LONG TERM

ML 311-02065	Goldman Sachs Group Inc	12.000	10/17/2006	1/12/2009	1,040.48	2,172.00	-1,131.52
ML 311-02065	Proctor Gamble	10.000	6/25/2007	1/12/2009	613.23	621.74	-8.51
ML 311-02065	Royal Caribbean Cruises	26.000	3/28/2007	1/12/2009	352.08	1,132.90	-780.82
ML 311-02065	Royal Caribbean Cruises	37.000	4/23/2007	1/12/2009	501.04	1,557.64	-1,056.60
ML 311-02065	Royal Caribbean Cruises	3.000	5/15/2007	1/12/2009	40.63	125.89	-85.26
ML 311-02065	CVS Caremark Corp	14.333	11/20/2006	1/30/2009	395.65	415.10	-19.45
ML 311-02065	CVS Caremark Corp	19.667	12/4/2006	1/30/2009	542.88	549.03	-6.15
ML 311-02065	Bank of America	81.000	10/17/2006	2/17/2009	480.08	4,412.07	-3,931.99
ML 311-02065	Royal Caribbean Cruises	32.000	5/15/2007	2/17/2009	219.99	1,342.82	-1,122.83
ML 311-02065	Royal Caribbean Cruises	40.000	9/11/2007	2/17/2009	274.99	1,514.56	-1,239.57
ML 311-02065	Bank of America	27.000	10/17/2006	3/5/2009	117.91	1,470.69	-1,352.78
ML 311-02065	Bank of America	64.000	1/9/2007	3/5/2009	279.50	3,435.52	-3,156.02
ML 311-02065	Intl Business Machines	5.000	10/1/2007	3/5/2009	415.39	587.92	-172.53
ML 311-02065	Intl Business Machines	1.000	10/22/2007	3/5/2009	83.08	116.74	-33.66
ML 311-02065	Intl Business Machines	9.000	10/22/2007	3/9/2009	795.85	1,050.68	-254.83
ML 311-02065	Oracle Corp	3.000	9/11/2006	3/16/2009	44.74	47.01	-2.27
ML 311-02065	Oracle Corp	50.000	10/17/2006	3/16/2009	745.65	949.00	-203.35
ML 311-02065	Chubb Corp	7.000	12/27/2006	4/6/2009	294.10	371.59	-77.49
ML 311-02065	Chubb Corp	1.000	1/9/2007	4/6/2009	42.01	52.79	-10.78
ML 311-02065	Chubb Corp	13.000	1/17/2007	4/6/2009	546.18	686.20	-140.02
ML 311-02065	Proctor Gamble	12.000	6/25/2007	4/6/2009	558.66	746.09	-187.43
ML 311-02065	Proctor Gamble	22.000	11/5/2007	4/6/2009	1,024.22	1,532.32	-508.10
ML 311-02065	Intel Corp	7.000	2/28/2006	4/14/2009	108.78	146.86	-38.08
ML 311-02065	Intel Corp	42.000	9/18/2006	4/14/2009	652.71	828.48	-175.77

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02065	Intel Corp	10 000	10/17/2006	4/14/2009	155 41	213 00	-57 59
ML 311-02065	Nestle S A Rep	12 500	5/23/2007	4/14/2009	432.00	477 89	-45 89
ML 311-02065	Nestle S A Rep	14 500	9/4/2007	4/14/2009	501 12	618 56	-117 44
ML 311-02065	Prudential Financial	25 000	12/10/2007	4/20/2009	650.40	2,409 41	-1,759.01
ML 311-02065	Prudential Financial	18 000	12/17/2007	4/20/2009	468 29	1,703 55	-1,235 26
ML 311-02065	Lockheed Martin Corp	6 000	10/17/2006	4/27/2009	450 37	530 88	-80 51
ML 311-02065	Oracle Corp	48 000	10/17/2006	4/27/2009	924.45	911 04	13 41
ML 311-02065	Nike	16 000	10/17/2006	5/4/2009	873 46	716 32	157 14
ML 311-02065	Intel Corp	57 000	10/17/2006	5/11/2009	917 37	1,214 10	-296 73
ML 311-02065	CVS Caremark Corp	20 000	12/4/2006	5/18/2009	635 95	558 34	77 61
ML 311-02065	Goldman Sachs Group Inc	8 000	10/17/2006	5/18/2009	1,051 72	1,448 00	-396 28
ML 311-02065	Total S A	12 000	10/17/2006	5/18/2009	667 25	788 28	-121 03
ML 311-02065	Nike	43 000	10/17/2006	6/15/2009	2,458 15	1,925 11	533 04
ML 311-02065	Allstate Corp	5 000	5/16/2006	6/18/2009	286 45	286 45	0 00
ML 311-02065	Allstate Corp	138 000	10/17/2006	6/18/2009	8,644 83	8,644 83	0 00
ML 311-02065	Accenture Ltd	46 000	1/9/2008	6/18/2009	1,609 80	1,609 80	0 00
ML 311-02065	Accenture Ltd	44 000	1/14/2008	6/18/2009	1,472 46	1,472 46	0 00
ML 311-02065	Accenture Ltd	41 000	4/7/2008	6/18/2009	1,470 02	1,470 02	0 00
ML 311-02065	AT&T Corp	72 000	1/14/2008	6/18/2009	2,764 38	2,764 38	0 00
ML 311-02065	AT&T Corp	41 000	1/22/2008	6/18/2009	1,569 48	1,569 48	0 00
ML 311-02065	AT&T Corp	39 000	2/11/2008	6/18/2009	1,441 81	1,441 81	0 00
ML 311-02065	Apache	22 000	5/19/2008	6/18/2009	3,025 57	3,025 57	0 00
ML 311-02065	Apache	10 000	6/2/2008	6/18/2009	1,346 76	1,346 76	0 00
ML 311-02065	Bank NY Mellon	5 635	8/16/2006	6/18/2009	200 31	200 31	0 00
ML 311-02065	Bank NY Mellon	22 540	9/6/2006	6/18/2009	810 53	810 53	0 00
ML 311-02065	Bank NY Mellon	79.825	10/17/2006	6/18/2009	3,019.88	3,019.88	0 00
ML 311-02065	Bank NY Mellon	34 000	4/14/2008	6/18/2009	1,480.02	1,480 02	0 00
ML 311-02065	CVS Caremark Corp	12 000	12/4/2006	6/18/2009	335.00	335 00	0 00
ML 311-02065	CVS Caremark Corp	34 000	3/18/2008	6/18/2009	1,347 07	1,347 07	0 00
ML 311-02065	CVS Caremark Corp	37 000	3/25/2008	6/18/2009	1,473 75	1,473 75	0 00
ML 311-02065	Cheveron Corp	23 000	10/17/2006	6/18/2009	1,470 39	1,470 39	0 00
ML 311-02065	Cheveron Corp	20 000	2/12/2007	6/18/2009	1,475 92	1,475 92	0 00
ML 311-02065	Cheveron Corp	15 000	5/5/2008	6/18/2009	1,435 42	1,435 42	0 00
ML 311-02065	Chubb Corp	14 000	1/17/2007	6/18/2009	738 99	738 99	0 00
ML 311-02065	Diageo PLC Spnd ADr	15 000	11/6/2006	6/18/2009	1,123 62	1,123 62	0 00
ML 311-02065	Diageo PLC Spnd ADr	13 000	11/8/2006	6/18/2009	967 20	967 20	0 00
ML 311-02065	Diageo PLC Spnd ADr	18 000	6/3/2008	6/18/2009	1,415 32	1,415 32	0 00
ML 311-02065	Diageo PLC Spnd ADr	11 000	6/9/2008	6/18/2009	853 22	853 22	0 00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02065	Dominion Res Inc	28.000	2/4/2008	6/18/2009	1,211.93	1,211.93	0.00
ML 311-02065	Dominion Res Inc	33.000	3/3/2008	6/18/2009	1,393.87	1,393.87	0.00
ML 311-02065	Eaton Corp	19.000	2/20/2007	6/18/2009	1,502.35	1,502.35	0.00
ML 311-02065	Eaton Corp	19.000	2/27/2007	6/18/2009	1,563.41	1,563.41	0.00
ML 311-02065	Eaton Corp	12.000	5/27/2008	6/18/2009	1,089.83	1,089.83	0.00
ML 311-02065	Exxon Mobil Corp	76.000	10/17/2006	6/18/2009	5,131.52	5,131.52	0.00
ML 311-02065	FPL Group Inc	16.000	4/21/2008	6/18/2009	1,061.86	1,061.86	0.00
ML 311-02065	FPL Group Inc	22.000	5/5/2008	6/18/2009	1,458.47	1,458.47	0.00
ML 311-02065	Goldman Sachs Group Inc	11.000	10/17/2006	6/18/2009	1,991.00	1,991.00	0.00
ML 311-02065	Hess Corp	51.000	10/17/2006	6/18/2009	2,051.22	2,051.22	0.00
ML 311-02065	Hess Corp	14.000	1/9/2007	6/18/2009	667.80	667.80	0.00
ML 311-02065	Intel Corp	66.000	10/17/2006	6/18/2009	1,405.80	1,405.80	0.00
ML 311-02065	Intel Corp	1.000	1/9/2007	6/18/2009	21.11	21.11	0.00
ML 311-02065	Intel Corp	69.000	1/29/2007	6/18/2009	1,432.10	1,432.10	0.00
ML 311-02065	Intel Corp	76.000	1/28/2008	6/18/2009	1,435.50	1,435.50	0.00
ML 311-02065	Intl Business Machines	4.000	10/22/2007	6/18/2009	466.97	466.97	0.00
ML 311-02065	Intl Business Machines	15.000	11/13/2007	6/18/2009	1,691.11	1,691.11	0.00
ML 311-02065	Intl Business Machines	16.000	12/24/2007	6/18/2009	1,716.36	1,716.36	0.00
ML 311-02065	Johnson & Johnson	43.000	10/17/2006	6/18/2009	2,792.85	2,792.85	0.00
ML 311-02065	Johnson & Johnson	1.000	1/9/2007	6/18/2009	67.28	67.28	0.00
ML 311-02065	Lockheed Martin Corp	37.000	10/17/2006	6/18/2009	3,273.76	3,273.76	0.00
ML 311-02065	Lockheed Martin Corp	20.000	11/7/2006	6/18/2009	1,756.05	1,756.05	0.00
ML 311-02065	Lockheed Martin Corp	28.000	1/9/2007	6/18/2009	2,572.08	2,572.08	0.00
ML 311-02065	Lockheed Martin Corp	8.000	5/7/2007	6/18/2009	772.06	772.06	0.00
ML 311-02065	Mellife Inc	115.000	10/17/2006	6/18/2009	6,514.75	6,514.75	0.00
ML 311-02065	Mellife Inc	23.000	1/9/2007	6/18/2009	1,390.81	1,390.81	0.00
ML 311-02065	Merck & Co Inc New	102.000	10/17/2006	6/18/2009	4,361.52	4,361.52	0.00
ML 311-02065	Merck & Co Inc New	25.000	1/9/2007	6/18/2009	1,126.75	1,126.75	0.00
ML 311-02065	Nestle S A Rep	13.000	9/4/2007	6/18/2009	554.57	554.57	0.00
ML 311-02065	Nestle S A Rep	35.000	9/10/2007	6/18/2009	1,501.51	1,501.51	0.00
ML 311-02065	Nestle S A Rep	35.000	10/15/2007	6/18/2009	1,507.90	1,507.90	0.00
ML 311-02065	Northrop Grumman Corp	17.000	10/17/2006	6/18/2009	1,173.68	1,173.68	0.00
ML 311-02065	Northrop Grumman Corp	32.000	1/9/2007	6/18/2009	2,144.32	2,144.32	0.00
ML 311-02065	Northrop Grumman Corp	19.000	5/21/2007	6/18/2009	1,453.92	1,453.92	0.00
ML 311-02065	Northrop Grumman Corp	21.000	5/12/2008	6/18/2009	1,568.31	1,568.31	0.00
ML 311-02065	Northrop Grumman Corp	14.000	5/27/2008	6/18/2009	1,056.95	1,056.95	0.00
ML 311-02065	Northrop Grumman Corp	24.000	6/16/2008	6/18/2009	1,725.62	1,725.62	0.00
ML 311-02065	Omnicom Group	34.000	3/31/2008	6/18/2009	1,521.92	1,521.92	0.00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02065	Omnicom Group	32 000	4/14/2008	6/18/2009	1,422 43	1,422 43	0 00
ML 311-02065	Omnicom Group	21 000	5/27/2008	6/18/2009	1,036 55	1,036 55	0 00
ML 311-02065	Oracle Corp	95 000	10/17/2006	6/18/2009	1,803 10	1,803 10	0 00
ML 311-02065	Oracle Corp	56 000	4/10/2007	6/18/2009	1,035 61	1,035 61	0 00
ML 311-02065	Oracle Corp	73 000	2/11/2008	6/18/2009	1,448 85	1,448 85	0 00
ML 311-02065	PPG Industries Inc	39 000	10/17/2006	6/18/2009	2,678 13	2,678 13	0 00
ML 311-02065	PPG Industries Inc	1 000	1/9/2007	6/18/2009	64 21	64 21	0 00
ML 311-02065	PPG Industries Inc	22 000	6/11/2007	6/18/2009	1,666 69	1,666 69	0 00
ML 311-02065	PPG Industries Inc	20 000	8/6/2007	6/18/2009	1,540 54	1,540 54	0 00
ML 311-02065	PPG Industries Inc	22 000	9/17/2007	6/18/2009	1,633 69	1,633 69	0 00
ML 311-02065	Phillip Morris Intl Inc	55 000	10/17/2006	6/18/2009	2,293 12	2,293 12	0 00
ML 311-02065	Phillip Morris Intl Inc	20 000	1/9/2007	6/18/2009	926 60	926 60	0 00
ML 311-02065	Phillip Morris Intl Inc	23 000	4/13/2007	6/18/2009	1,123 02	1,123 02	0 00
ML 311-02065	Phillip Morris Intl Inc	22 000	4/30/2007	6/18/2009	1,071 02	1,071 02	0 00
ML 311-02065	Phillip Morris Intl Inc	12 000	5/21/2007	6/18/2009	582 07	582 07	0 00
ML 311-02065	Phillip Morris Intl Inc	32 000	4/11/2008	6/18/2009	1,618 59	1,618 59	0 00
ML 311-02065	Phillip Morris Intl Inc	22 000	6/17/2008	6/18/2009	1,121 95	1,121 95	0 00
ML 311-02065	Pub Svc Enterprise Grp	11 000	5/7/2007	6/18/2009	481 68	481 68	0 00
ML 311-02065	Pub Svc Enterprise Grp	38 000	7/2/2007	6/18/2009	1,657 86	1,657 86	0 00
ML 311-02065	Pub Svc Enterprise Grp	36 000	7/23/2007	6/18/2009	1,624 06	1,624 06	0 00
ML 311-02065	State Street Corp	21 000	1/30/2008	6/18/2009	1,681 94	1,681 94	0 00
ML 311-02065	State Street Corp	18 000	2/4/2008	6/18/2009	1,457 98	1,457 98	0 00
ML 311-02065	State Street Corp	16 000	3/10/2008	6/18/2009	1,261 34	1,261 34	0 00
ML 311-02065	State Street Corp	22 000	4/28/2008	6/18/2009	1,454 22	1,454 22	0 00
ML 311-02065	Total S A	35 000	10/17/2006	6/18/2009	2,299 15	2,299 15	0 00
ML 311-02065	Total S A.	17 000	1/9/2007	6/18/2009	1,181 84	1,181 84	0 00
ML 311-02065	Total S A	21 000	10/29/2007	6/18/2009	1,637 95	1,637 95	0 00
ML 311-02065	Total S A	21 000	11/13/2007	6/18/2009	1,760 04	1,760 04	0 00
ML 311-02065	United Techs Corp	5 000	11/16/2005	6/18/2009	264 35	264 35	0 00
ML 311-02065	United Techs Corp	13 000	5/10/2006	6/18/2009	841 98	841 98	0 00
ML 311-02065	United Techs Corp	49 000	10/17/2006	6/18/2009	3,244 78	3,244 78	0 00
ML 311-02065	Vodafone Grp	31 000	7/16/2007	6/18/2009	1,022 72	1,022 72	0 00
ML 311-02065	Vodafone Grp	36 000	7/23/2007	6/18/2009	1,189 69	1,189 69	0 00
ML 311-02065	Vodafone Grp	46 000	7/24/2007	6/18/2009	1,535 22	1,535 22	0 00
ML 311-02065	Vodafone Grp	30 000	7/26/2007	6/18/2009	997 25	997 25	0 00
ML 311-02065	Wyeth	65 000	10/17/2006	6/18/2009	3,361 15	3,361 15	0 00
ML 311-02065	Wyeth	22 000	1/9/2007	6/18/2009	1,136 74	1,136 74	0 00
ML 311-02065	Wyeth	13 000	3/26/2007	6/18/2009	648 09	648 09	0 00

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1/1/2009 through 12/31/2009

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02065	Wyeth	31 000	8/20/2007	6/18/2009	1,416 18	1,416 18	0 00
TOTAL LONG TERM					183,485.17	202,509.52	-19,024.35
OVERALL TOTAL					265,249.09	288,569.21	-23,320.12

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
ML 311-02066	Allianz SE	122 000	3/28/2008	1/21/2009	1,013 19	2,289.81	-1,276 62
ML 311-02066	Banco Santander	134 000	10/16/2008	1/23/2009	933 70	1,763 27	-829 57
ML 311-02066	Groupe Danone	58 000	3/9/2009	3/25/2009	527 60	528 96	-1 36
ML 311-02066	Royal Dutch Shell PLC	5 000	11/5/2008	3/30/2009	226 19	270 31	-44 12
ML 311-02066	Royal Dutch Shell PLC	2 000	3/9/2009	3/30/2009	90 48	81 92	8 56
ML 311-02066	Diageo PLC SpSd ADr	9 000	10/17/2008	3/31/2009	394 95	567 91	-172 96
ML 311-02066	Diageo PLC SpSd ADr	26 000	3/9/2009	3/31/2009	1,140 96	1,149 78	-8 82
ML 311-02066	Royal Dutch Shell PLC	41 000	3/9/2009	3/31/2009	1,854 78	1,679 36	175 42
ML 311-02066	Royal Dutch Shell PLC	26 000	3/31/2009	3/31/2009	1,176 21	1,176 21	0 00
ML 311-02066	BNP Paribas Sponsord	53 000	3/20/2009	4/15/2009	1,234 43	1,088 00	146 43
ML 311-02066	Ericsson LM Tel	65 000	9/29/2008	4/20/2009	584 04	663 79	-79 75
ML 311-02066	Novartis Adr	3 000	3/5/2009	4/23/2009	107 30	109 76	-2 46
ML 311-02066	Vodafone Grp PLC New	90 000	6/10/2008	4/28/2009	1,616 25	2,781 91	-1,165 66
ML 311-02066	Ericsson LM Tel	87 000	9/29/2008	5/5/2009	767 13	888 46	-121 33
ML 311-02066	Ericsson LM Tel	10 000	10/17/2008	5/5/2009	88 18	71 57	16 61
ML 311-02066	Royal Dutch Shell PLC	41 000	3/31/2009	5/5/2009	1,887 81	1,854 78	33 03
ML 311-02066	Adidas	51 000	3/9/2009	5/11/2009	831 13	736 95	94 18
ML 311-02066	Telus	2 000	6/3/2008	5/12/2009	51 02	94 64	-43 62
ML 311-02066	Barclays PLC	35 000	4/1/2009	5/19/2009	542 68	336 17	206 51
ML 311-02066	Prudential Financial Inc	22 000	10/17/2008	5/28/2009	308 54	348 61	-40 07
ML 311-02066	Allianz SE	65 000	10/17/2008	6/17/2009	761 07	761 07	0 00
ML 311-02066	Allianz SE	162 000	11/10/2008	6/17/2009	1,513 26	1,513 26	0 00
ML 311-02066	Allianz SE	172 000	3/9/2009	6/17/2009	1,153 21	1,153 21	0 00
ML 311-02066	Allianz SE	198 000	3/20/2009	6/17/2009	1,561 45	1,561 45	0 00
ML 311-02066	Allianz SE	140 000	3/25/2009	6/17/2009	1,239 53	1,239 53	0 00
ML 311-02066	Barrick Gold Corp	23 000	2/10/2009	6/17/2009	888 97	888 97	0 00
ML 311-02066	Barrick Gold Corp	12 000	2/11/2009	6/17/2009	468 08	468 08	0 00
ML 311-02066	Barrick Gold Corp	32 000	2/23/2009	6/17/2009	1,216 97	1,216 97	0 00
ML 311-02066	Barrick Gold Corp	51 000	3/9/2009	6/17/2009	1,420 44	1,420 44	0 00
ML 311-02066	British Amn Tobacco	11 000	8/8/2008	6/17/2009	823 53	823 53	0 00
ML 311-02066	British Amn Tobacco	12 000	10/17/2008	6/17/2009	696 63	696 63	0 00
ML 311-02066	British Amn Tobacco	32 000	3/9/2009	6/17/2009	1,499 84	1,499 84	0 00
ML 311-02066	Barclays PLC	185 000	4/1/2009	6/17/2009	1,776 91	1,776 91	0 00
ML 311-02066	Barclays PLC	137 000	4/29/2009	6/17/2009	1,865 34	1,865 34	0 00
ML 311-02066	BP PLC	45 000	3/9/2009	6/17/2009	1,602 41	1,602 41	0 00
ML 311-02066	BG Group PLC	20 000	7/24/2008	6/17/2009	2,250 28	2,250 28	0 00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02066	BG Group PLC	8 000	9/30/2008	6/17/2009	843 97	843 97	0 00
ML 311-02066	BG Group PLC	12 000	3/9/2009	6/17/2009	811 20	811 20	0 00
ML 311-02066	BAE SYS PLC	16 000	8/7/2008	6/17/2009	577 73	577 73	0 00
ML 311-02066	BAE SYS PLC	34 000	10/21/2008	6/17/2009	756 15	756 15	0 00
ML 311-02066	BAE SYS PLC	11 000	11/5/2008	6/17/2009	244 98	244 98	0 00
ML 311-02066	BAE SYS PLC	98 000	3/9/2009	6/17/2009	1 974 70	1 974 70	0 00
ML 311-02066	BNP Paribas Sponsord	125 000	3/20/2009	6/17/2009	2 566 04	2 566 04	0 00
ML 311-02066	BHP Billiton Ltd	39 000	5/14/2009	6/17/2009	2 097 43	2 097 43	0 00
ML 311-02066	BHP Billiton Ltd	18 000	6/5/2009	6/17/2009	1 082 10	1 082 10	0 00
ML 311-02066	Credit Suisse	102 000	4/30/2009	6/17/2009	3 809 00	3 809 00	0 00
ML 311-02066	Credit Suisse	25 000	5/15/2009	6/17/2009	1 037 82	1 037 82	0 00
ML 311-02066	Canon Inc Adr	28 000	11/5/2008	6/17/2009	952 10	952 10	0 00
ML 311-02066	Canon Inc Adr	90 000	3/9/2009	6/17/2009	2 141 76	2 141 76	0 00
ML 311-02066	ENI	48 000	3/9/2009	6/17/2009	1 699 76	1 699 76	0 00
ML 311-02066	E.On Ag	128 000	3/9/2009	6/17/2009	3 289 60	3 289 60	0 00
ML 311-02066	Ericsson LM Tel	98 000	10/17/2008	6/17/2009	701 40	701 40	0 00
ML 311-02066	Ericsson LM Tel	107 000	3/9/2009	6/17/2009	839 94	839 94	0 00
ML 311-02066	Espint	161 000	5/4/2009	6/17/2009	1 900 70	1 900 70	0 00
ML 311-02066	Fanuc Ltd	49 000	5/13/2009	6/17/2009	2 004 66	2 004 66	0 00
ML 311-02066	Fanuc Ltd	31 000	6/9/2009	6/17/2009	1 262 07	1 262 07	0 00
ML 311-02066	Glaxosmithkline PLC	38 000	12/29/2008	6/17/2009	1 375 47	1 375 47	0 00
ML 311-02066	Glaxosmithkline PLC	62 000	3/9/2009	6/17/2009	1 792 31	1 792 31	0 00
ML 311-02066	Hoya	19 000	9/30/2008	6/17/2009	396 79	396 79	0 00
ML 311-02066	Hoya	22 000	10/17/2008	6/17/2009	441 66	441 66	0 00
ML 311-02066	Hoya	18 000	3/5/2009	6/17/2009	330 30	330 30	0 00
ML 311-02066	Hoya	58 000	3/9/2009	6/17/2009	1 062 69	1 062 69	0 00
ML 311-02066	Imperial Tob	10 000	6/27/2008	6/17/2009	754 30	754 30	0 00
ML 311-02066	Imperial Tob	28 000	8/5/2008	6/17/2009	2 114 55	2 114 55	0 00
ML 311-02066	Imperial Tob	14 000	9/30/2008	6/17/2009	961 66	961 66	0 00
ML 311-02066	Imperial Tob	11 000	3/5/2009	6/17/2009	506 12	506 12	0 00
ML 311-02066	Imperial Tob	53 000	3/9/2009	6/17/2009	2 345 78	2 345 78	0 00
ML 311-02066	LVMH Moet Hennessy	132 000	4/29/2009	6/17/2009	2 023 28	2 023 28	0 00
ML 311-02066	LVMH Moet Hennessy	69 000	6/5/2009	6/17/2009	1 212 75	1 212 75	0 00
ML 311-02066	Merck KGAA	46 000	3/6/2009	6/17/2009	1 124 71	1 124 71	0 00
ML 311-02066	Merck KGAA	22 000	3/9/2009	6/17/2009	541 63	541 63	0 00
ML 311-02066	Mitsubishi Estate	15 000	5/6/2009	6/17/2009	1 992 75	1 992 75	0 00
ML 311-02066	Novartis Adr	32 000	3/5/2009	6/17/2009	1 170 78	1 170 78	0 00
ML 311-02066	Novartis Adr	74 000	3/9/2009	6/17/2009	2 528 57	2 528 57	0 00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
ML 311-02066	Nestle S A Rep	31 700	3/9/2009	6/17/2009	979 53	979 53	0 00
ML 311-02066	Nokia Corp	68 000	2/9/2009	6/17/2009	867 24	867 24	0 00
ML 311-02066	Nokia Corp	186 000	3/9/2009	6/17/2009	1,733 45	1,733 45	0 00
ML 311-02066	Nokia Corp	17 000	3/20/2009	6/17/2009	192 52	192 52	0 00
ML 311-02066	Nokia Corp	65 000	3/25/2009	6/17/2009	738 00	738 00	0 00
ML 311-02066	Novo Nordisk	62 000	4/27/2009	6/17/2009	2,874 93	2,874 93	0 00
ML 311-02066	Prudential Financial Inc	34 000	10/17/2008	6/17/2009	538 75	538 75	0 00
ML 311-02066	Prudential Financial Inc	171 000	11/10/2008	6/17/2009	2,255 64	2,255 64	0 00
ML 311-02066	Prudential Financial Inc	183 000	3/9/2009	6/17/2009	1,384 54	1,384 54	0 00
ML 311-02066	Prudential Financial Inc	233 000	3/24/2009	6/17/2009	1,990 96	1,990 96	0 00
ML 311-02066	Reckitt Benckiser	156 000	2/24/2009	6/17/2009	1,252 07	1,252 07	0 00
ML 311-02066	Reckitt Benckiser	159 000	3/5/2009	6/17/2009	1,233 74	1,233 74	0 00
ML 311-02066	Reckitt Benckiser	153 000	3/9/2009	6/17/2009	1,101 60	1,101 60	0 00
ML 311-02066	Roche	40 000	3/5/2009	6/17/2009	1,139 44	1,139 44	0 00
ML 311-02066	Roche	87 000	3/9/2009	6/17/2009	2,357 27	2,357 27	0 00
ML 311-02066	Sumitomo Mitsu Finl	466 000	3/9/2009	6/17/2009	1,384 02	1,384 02	0 00
ML 311-02066	Sumitomo Mitsu Finl	285 000	5/12/2009	6/17/2009	987 04	987 04	0 00
ML 311-02066	Sanofi Aventis	24 000	12/30/2008	6/17/2009	744 19	744 19	0 00
ML 311-02066	Sanofi Aventis	20 000	1/20/2009	6/17/2009	641 80	641 80	0 00
ML 311-02066	Sanofi Aventis	30 000	2/17/2009	6/17/2009	913 22	913 22	0 00
ML 311-02066	Sanofi Aventis	77 000	3/9/2009	6/17/2009	1,997 33	1,997 33	0 00
ML 311-02066	Singapore Telecomm	49 000	3/9/2009	6/17/2009	825 16	825 16	0 00
ML 311-02066	Singapore Telecomm	123 000	3/27/2009	6/17/2009	2,084 78	2,084 78	0 00
ML 311-02066	Singapore Telecomm	13 000	4/3/2009	6/17/2009	220 33	220 33	0 00
ML 311-02066	Singapore Telecomm	13 000	4/3/2009	6/17/2009	220 33	220 33	0 00
ML 311-02066	Total S.A	32 000	3/9/2009	6/17/2009	1,504 26	1,504 26	0 00
ML 311-02066	Tesco	29 000	10/15/2008	6/17/2009	277 16	277 16	0 00
ML 311-02066	Tesco	66 000	11/5/2008	6/17/2009	542 61	542 61	0 00
ML 311-02066	Tesco	81 000	3/9/2009	6/17/2009	530 96	530 96	0 00
ML 311-02066	Telus	58 000	3/9/2009	6/17/2009	1,391 79	1,391 79	0 00
ML 311-02066	Unilever PLC	65 000	9/29/2008	6/17/2009	1,789 07	1,789 07	0 00
ML 311-02066	Unilever PLC	109 000	3/9/2009	6/17/2009	2,012 14	2,012 14	0 00
ML 311-02066	Zurich Finl Svcs	62 000	9/30/2008	6/17/2009	1,766 46	1,766 46	0 00
ML 311-02066	Zurich Finl Svcs	208 000	3/9/2009	6/17/2009	2,818 40	2,818 40	0 00
ML 311-02066	Zurich Finl Svcs	25 000	3/19/2009	6/17/2009	323 42	323 42	0 00
ML 311-02066	Zurich Finl Svcs	28 000	5/5/2009	6/17/2009	523 09	523 09	0 00
ML 311-02066	Heineken NV	54 000	3/9/2009	6/17/2009	685 26	685 26	0 00
ML 311-02066	Heineken NV	57 000	3/23/2009	6/17/2009	781 77	781 77	0 00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
ML 311-02066	Vodafone Grp PLC New	42 000	7/3/2008	6/17/2009	1,245.79	1,245.79	0.00
ML 311-02066	Vodafone Grp PLC New	53 000	7/31/2008	6/17/2009	1,378.04	1,378.04	0.00
ML 311-02066	Vodafone Grp PLC New	54 000	10/1/2008	6/17/2009	1,259.45	1,259.45	0.00
ML 311-02066	Vodafone Grp PLC New	128.000	3/9/2009	6/17/2009	2,188.70	2,188.70	0.00
ML 311-02066	GDF Suez ADR	24.000	3/9/2009	6/17/2009	710.40	710.40	0.00
TOTAL SHORT TERM					141,770.35	144,875.95	-3,105.60

LONG TERM

ML 311-02066	Vodafone Grp PLC New	5 000	7/31/2006	1/14/2009	104.56	0.00	104.56
ML 311-02066	Vodafone Grp PLC New	65 000	8/8/2006	1/14/2009	1,359.24	1,413.92	-54.68
ML 311-02066	Prudential Financial Inc	8.000	7/25/2007	1/16/2009	85.78	241.03	-155.25
ML 311-02066	Prudential Financial Inc	41.000	8/8/2007	1/16/2009	439.62	1,190.78	-751.16
ML 311-02066	Prudential Financial Inc	6 000	10/5/2007	1/16/2009	64.34	184.84	-120.50
ML 311-02066	HSBC Holding PLC	21 000	5/29/2007	1/20/2009	878.54	1,959.09	-1,080.55
ML 311-02066	Banco Santander	67.000	10/25/2007	1/23/2009	466.85	1,318.92	-852.07
ML 311-02066	Banco Santander	57 000	10/26/2007	1/23/2009	397.17	1,136.63	-739.46
ML 311-02066	Diageo PLC Spstd ADR	9 000	5/29/2007	2/18/2009	454.55	771.03	-316.48
ML 311-02066	Nestle S A Rep	19.000	5/29/2007	2/19/2009	613.31	731.12	-117.81
ML 311-02066	Prudential Financial Inc	32 000	10/5/2007	3/5/2009	250.09	985.81	-735.72
ML 311-02066	Prudential Financial Inc	16 000	12/14/2007	3/5/2009	125.04	474.54	-349.50
ML 311-02066	ENI	32 000	11/7/2005	3/11/2009	1,015.71	1,724.16	-708.45
ML 311-02066	ENI	10.000	2/22/2008	3/11/2009	317.41	674.77	-357.36
ML 311-02066	Novartis ADR	28 000	5/29/2007	3/24/2009	1,062.60	1,568.00	-505.40
ML 311-02066	Diageo PLC Spstd ADR	33 000	5/29/2007	3/25/2009	1,390.95	2,827.11	-1,436.16
ML 311-02066	Groupe Danone	11.000	11/20/2007	3/25/2009	100.06	190.55	-90.49
ML 311-02066	Groupe Danone	71.000	1/23/2008	3/25/2009	645.86	1,239.94	-594.08
ML 311-02066	Groupe Danone	50 000	1/24/2008	3/25/2009	454.83	870.50	-415.67
ML 311-02066	Royal Dutch Shell PLC	34 000	5/29/2007	3/30/2009	1,538.11	2,560.54	-1,022.43
ML 311-02066	Royal Dutch Shell PLC	26 000	7/11/2007	3/30/2009	1,176.21	2,187.14	-1,010.93
ML 311-02066	Diageo PLC Spstd ADR	8 000	5/29/2007	3/31/2009	351.07	685.36	-334.29
ML 311-02066	Singapore Telecomm	13.000	5/29/2007	4/6/2009	220.33	300.30	-79.97
ML 311-02066	Ericsson LM Tel	82.000	7/13/2007	4/20/2009	736.80	1,743.45	-1,006.65
ML 311-02066	Novartis ADR	85 000	5/29/2007	4/23/2009	3,040.04	4,760.00	-1,719.96
ML 311-02066	Vodafone Grp PLC New	9 000	8/8/2006	4/28/2009	161.62	195.77	-34.15
ML 311-02066	Adidas	34 000	5/29/2007	5/11/2009	554.09	1,045.50	-491.41
ML 311-02066	Adidas	32 000	1/25/2008	5/11/2009	521.49	919.59	-398.10
ML 311-02066	Telus	1 000	12/27/2007	5/12/2009	25.51	47.49	-21.98
ML 311-02066	Telus	2 000	12/28/2007	5/12/2009	51.02	95.47	-44.45

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02066	Telus	1 000	12/31/2007	5/12/2009	25 51	47 75	-22 24
ML 311-02066	Telus	14 000	1/2/2008	5/12/2009	357 20	681 44	-324 24
ML 311-02066	Telus	22 000	1/2/2008	5/12/2009	561 31	1,074 55	-513 24
ML 311-02066	Telus	4 000	1/4/2008	5/12/2009	102 05	193 15	-91.10
ML 311-02066	Telus	28 000	1/30/2008	5/12/2009	714 40	1,189.43	-475 03
ML 311-02066	Telus	2 000	3/3/2008	5/12/2009	51 02	93 07	-42 05
ML 311-02066	Telus	17 000	3/3/2008	5/12/2009	433 74	791 08	-357 34
ML 311-02066	Telus	2 000	3/3/2008	5/12/2009	51 03	93 07	-42 04
ML 311-02066	Prudential Financial Inc	82 000	12/14/2007	5/28/2009	1,150 00	2,432 02	-1,282.02
ML 311-02066	Allianz SE	4 000	3/28/2008	6/17/2009	75 08	75 08	0 00
ML 311-02066	British Amn Tobacco	71 000	5/29/2007	6/17/2009	4,649 79	4,649 79	0 00
ML 311-02066	BP PLC	37 000	2/28/2007	6/17/2009	2,329 47	2,329 47	0 00
ML 311-02066	BP PLC	40 000	3/1/2007	6/17/2009	2,573 82	2,573 82	0 00
ML 311-02066	BP PLC	25 000	5/29/2007	6/17/2009	1,711 50	1,711 50	0 00
ML 311-02066	BAE SYS PLC	59 000	3/28/2008	6/17/2009	2,264 67	2,264 67	0 00
ML 311-02066	BAE SYS PLC	62 000	4/7/2008	6/17/2009	2,461 87	2,461 87	0 00
ML 311-02066	BAE SYS PLC	30 000	4/21/2008	6/17/2009	1,111 58	1,111 58	0 00
ML 311-02066	BAE SYS PLC	40 000	5/14/2008	6/17/2009	1,484 71	1,484 71	0 00
ML 311-02066	Canon Inc Adr	72 000	5/29/2007	6/17/2009	4,227 25	4,227 25	0 00
ML 311-02066	Canon Inc Adr	27 000	9/14/2007	6/17/2009	1,428 68	1,428 68	0 00
ML 311-02066	Canon Inc Adr	36 000	4/22/2008	6/17/2009	1,755 30	1,755 30	0 00
ML 311-02066	ENI	3 000	2/22/2008	6/17/2009	202 43	202 43	0 00
ML 311-02066	ENI	16 000	4/9/2008	6/17/2009	1,132 98	1,132 98	0 00
ML 311-02066	ENI	10 000	4/21/2008	6/17/2009	747 09	747 09	0 00
ML 311-02066	E On Ag	15 000	11/20/2007	6/17/2009	987 60	987 60	0 00
ML 311-02066	E On Ag	11 000	11/21/2007	6/17/2009	725 08	725 08	0 00
ML 311-02066	E On Ag	33 000	12/24/2007	6/17/2009	2,266 28	2,266 28	0 00
ML 311-02066	E On Ag	2 000	12/27/2007	6/17/2009	137 30	137 30	0 00
ML 311-02066	E On Ag	3 000	2/21/2008	6/17/2009	190 91	190 91	0 00
ML 311-02066	E On Ag	10 000	2/22/2008	6/17/2009	654 75	654 75	0 00
ML 311-02066	E On Ag	2 000	2/25/2008	6/17/2009	130 00	130 00	0 00
ML 311-02066	E On Ag	20 000	3/13/2008	6/17/2009	1,264 40	1,264 40	0 00
ML 311-02066	E On Ag	18 000	5/15/2008	6/17/2009	1,199 91	1,199 91	0 00
ML 311-02066	Glaxosmithkline PLC	78 000	5/29/2007	6/17/2009	4,166 76	4,166 76	0 00
ML 311-02066	Hoya	76 000	5/29/2007	6/17/2009	2,515 60	2,515 60	0 00
ML 311-02066	Imperial Tob	18 000	5/29/2007	6/17/2009	1,366 13	1,366 13	0 00
ML 311-02066	Imperial Tob	19 000	4/22/2008	6/17/2009	1,672 71	1,672 71	0 00
ML 311-02066	Nestle S A Rep	45 380	5/29/2007	6/17/2009	1,746 22	1,746 22	0 00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
ML 311-02066	Nestle S A Rep	34 920	7/11/2007	6/17/2009	1,346 96	1,346 96	0 00
ML 311-02066	Nokia Corp	175 000	5/29/2007	6/17/2009	4,641 00	4,641 00	0 00
ML 311-02066	Nokia Corp	25 000	5/13/2008	6/17/2009	742 90	742 90	0 00
ML 311-02066	Roche	70 000	5/29/2007	6/17/2009	3,241 00	3,241 00	0 00
ML 311-02066	Roche	64 000	7/24/2007	6/17/2009	2,950 94	2,950 94	0 00
ML 311-02066	Roche	20 000	9/17/2007	6/17/2009	881 63	881 63	0 00
ML 311-02066	Sumitomo Mitsu Finl	208 000	5/29/2007	6/17/2009	2,059 20	2,059 20	0 00
ML 311-02066	Sumitomo Mitsu Finl	31 000	5/13/2008	6/17/2009	261 13	261 13	0 00
ML 311-02066	Sumitomo Mitsu Finl	159 000	5/22/2008	6/17/2009	1,331 05	1,331 05	0 00
ML 311-02066	Sanofi Aventis	111 000	5/29/2007	6/17/2009	5,283 60	5,283 60	0 00
ML 311-02066	Singapore Telecomm	46 000	5/29/2007	6/17/2009	1,062 60	1,062 60	0 00
ML 311-02066	Singapore Telecomm	103 000	8/15/2007	6/17/2009	2,299 14	2,299 14	0 00
ML 311-02066	Total S.A	59 000	5/26/2006	6/17/2009	0 00	0 00	0 00
ML 311-02066	Total S A	25 000	5/29/2007	6/17/2009	1,913 25	1,913 25	0 00
ML 311-02066	Tesco	82 000	5/29/2007	6/17/2009	2,250 90	2,250 90	0 00
ML 311-02066	Telus	25 000	6/3/2008	6/17/2009	1,182 94	1,182 94	0 00
ML 311-02066	Unilever PLC	77 000	5/29/2007	6/17/2009	2,378 53	2,378 53	0 00
ML 311-02066	Unilever PLC	77 000	7/13/2007	6/17/2009	2,591 45	2,591 45	0 00
ML 311-02066	Zurch Finl Svcs	30 000	9/18/2007	6/17/2009	878 17	878 17	0 00
ML 311-02066	Zurch Finl Svcs	91 000	12/13/2007	6/17/2009	2,821 21	2,821 21	0 00
ML 311-02066	Heineken NV	81 000	5/29/2007	6/17/2009	2,288 25	2,288 25	0 00
ML 311-02066	Vodafone Grp PLC New	29 000	6/10/2008	6/17/2009	896 39	896 39	0 00
TOTAL LONG TERM					112,531.17	131,121.02	-18,589.85
OVERALL TOTAL					254,301.52	275,996.97	-21,695.45

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
BofA W87-148202	Claymore Secs	4,644.000	7/28/2009	7/29/2009	50,005.09	50,005.09	0.00
BofA W87-148202	Ishares TR Emerging Mkts	700.000	7/28/2009	7/29/2009	25,036.00	25,036.00	0.00
BofA W87-148202	Ishares TR EAFE Index FD	2,000.000	7/28/2009	7/29/2009	98,245.85	98,245.85	0.00
BofA W87-148202	Alpine Global Premier	14.493	8/18/2008	8/3/2009	75.15	142.15	-67.00
BofA W87-148202	Alpine Global Premier	15.737	9/30/2008	8/3/2009	81.61	145.98	-64.37
BofA W87-148202	Alpine Global Premier	26.595	10/15/2008	8/3/2009	137.91	145.98	-8.07
BofA W87-148202	Alpine Global Premier	30.087	11/18/2008	8/3/2009	156.02	149.35	6.67
BofA W87-148202	Alpine Global Premier	41.976	12/8/2008	8/3/2009	217.67	153.17	64.50
BofA W87-148202	Alpine Global Premier	38.373	1/14/2009	8/3/2009	198.99	158.48	40.51
BofA W87-148202	Alpine Global Premier	11.186	2/20/2009	8/3/2009	58.01	38.68	19.33
BofA W87-148202	Alpine Global Premier	15.781	3/9/2009	8/3/2009	81.83	39.01	42.82
BofA W87-148202	Alpine Global Premier	12.211	4/8/2009	8/3/2009	63.32	39.49	23.83
BofA W87-148202	Alpine Global Premier	9.968	5/6/2009	8/3/2009	51.69	39.85	11.84
BofA W87-148202	Alpine Global Premier	7.615	6/10/2009	8/3/2009	39.49	35.54	3.95
BofA W87-148202	Alpine Global Premier	8.000	7/9/2009	8/3/2009	41.49	37.30	4.19
BofA W87-148202	Freeprt-MCMRAN CPR&GLD.	200.000	8/12/2008	8/3/2009	10,996.27	17,720.10	-6,723.83
BofA W87-148202	Freeprt-MCMRAN CPR&GLD	200.000	9/17/2008	8/3/2009	10,996.27	14,828.10	-3,831.83
BofA W87-148202	Research In Motion	200.000	8/26/2008	8/3/2009	15,024.53	26,584.10	-11,559.57
BofA W87-148202	Research In Motion	300.000	10/1/2008	8/3/2009	22,536.79	21,513.15	1,023.64
BofA W87-148202	S&P Asia	700.000	8/12/2009	8/26/2009	25,630.76	25,630.76	0.00
BofA W87-148202	S&P Europe 350	700.000	8/12/2009	8/26/2009	24,921.10	24,921.10	0.00
BofA W87-148202	Altria Group Inc	250.000	11/5/2008	9/1/2009	4,551.92	4,941.00	-389.08
BofA W87-148202	Altria Group Inc	200.000	12/10/2008	9/1/2009	3,641.53	3,049.90	591.63
BofA W87-148202	Caterpillar Inc	200.000	1/21/2009	9/1/2009	9,402.84	7,980.00	1,422.84
BofA W87-148202	Caterpillar Inc	100.000	1/21/2009	9/1/2009	4,701.42	3,990.95	710.47
BofA W87-148202	Mosaic Co	200.000	9/17/2008	9/1/2009	10,276.72	18,314.10	-8,037.38
BofA W87-148202	Mosaic Co	200.000	12/19/2008	9/1/2009	10,276.72	7,232.60	3,044.12
BofA W87-148202	Nasdaq OMX Grp Inc	300.000	9/22/2008	9/1/2009	6,302.00	9,060.75	-2,758.75
BofA W87-148202	Nasdaq OMX Grp Inc	500.000	9/26/2008	9/1/2009	10,503.33	17,483.00	-6,979.67
BofA W87-148202	Nucor Corporation	200.000	9/24/2008	9/1/2009	8,986.17	10,124.80	-1,138.63
BofA W87-148202	Standard & Poors Dep Rcpt	25.000	10/24/2008	9/1/2009	2,586.81	2,469.00	117.81
BofA W87-148202	Standard & Poors Dep Rcpt	100.000	10/24/2008	9/1/2009	10,347.24	9,875.74	471.50
BofA W87-148202	Standard & Poors Dep Rcpt	175.000	11/28/2008	9/1/2009	18,107.66	14,768.25	3,339.41
BofA W87-148202	Thermo Fisher Scientific	300.000	1/21/2009	9/1/2009	13,636.00	11,816.55	1,819.45
BofA W87-148202	United Sts Stl Corp New	200.000	9/22/2008	9/1/2009	8,704.53	18,646.10	-9,941.57
BofA W87-148202	Apple Computer Inc	100.000	1/21/2009	12/2/2009	8,374.99	8,374.99	0.00

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
BofA W87-148202	Ishares TR Russell 3000	1,500 000	9/1/2009	12/2/2009	90,606 47	90,606 47	0 00
BofA W87-148202	Federated Adjustable Rate	12,846 865	8/5/2009	12/2/2009	124,953 21	124,953 21	0 00
BofA W87-148202	Federated Adjustable Rate	7,716 049	9/1/2009	12/2/2009	75,049 05	75,049 05	0 00
BofA W87-148202	Federated Adjustable Rate	17 508	9/1/2009	12/2/2009	170 29	170 29	0 00
BofA W87-148202	Federated Adjustable Rate	30 035	10/1/2009	12/2/2009	292 13	292 13	0 00
BofA W87-148202	Federated Adjustable Rate	29 925	11/1/2009	12/2/2009	291 06	291 06	0 00
BofA W87-148202	Pimco Corporate Income	100 000	1/13/2009	12/2/2009	1,175 30	1,175 30	0 00
BofA W87-148202	Pimco Corporate Income	500 000	1/13/2009	12/2/2009	5,886 50	5,886 50	0 00
BofA W87-148202	Pimco Corporate Income	100 000	1/13/2009	12/2/2009	1,178 30	1,178 30	0 00
BofA W87-148202	Pimco Corporate Income	300 000	1/13/2009	12/2/2009	3,537 90	3,537 90	0 00
BofA W87-148202	Pimco Corporate Income	110 000	1/28/2009	12/2/2009	1,281 50	1,281 50	0 00
BofA W87-148202	Pimco Corporate Income	100 000	1/28/2009	12/2/2009	1,168 00	1,168 00	0 00
BofA W87-148202	Pimco Corporate Income	200 000	1/28/2009	12/2/2009	2,338 00	2,338 00	0 00
BofA W87-148202	Pimco Corporate Income	400 000	1/28/2009	12/2/2009	4,684 00	4,684 00	0 00
BofA W87-148202	Pimco Corporate Income	190 000	1/28/2009	12/2/2009	2,226 80	2,226 80	0 00
BofA W87-148202	Pimco Corporate Income	200 000	3/26/2009	12/2/2009	1,678 00	1,678 00	0 00
BofA W87-148202	Pimco Corporate Income	900 000	3/26/2009	12/2/2009	7,515 00	7,515 00	0 00
BofA W87-148202	Pimco Corporate Income	100 000	3/26/2009	12/2/2009	837 00	837 00	0 00
BofA W87-148202	Pimco Corporate Income	800 000	3/26/2009	12/2/2009	6,704 00	6,704 00	0 00
TOTAL SHORT TERM					746,568.23	785,309.47	-38,741.24

LONG TERM

BofA W87-148202	ML & Co Steps AAPL	5,000 000	6/18/2008	6/26/2009	42,288 35	50,000 00	-7,711.65
BofA W87-148202	Alpine Global Premier	1,000 000	4/30/2007	8/3/2009	5,185.69	20,000 00	-14,814 31
BofA W87-148202	Alpine Global Premier	6 929	6/22/2007	8/3/2009	35 93	126 70	-90 77
BofA W87-148202	Alpine Global Premier	7 596	8/14/2007	8/3/2009	39 39	127 58	-88 19
BofA W87-148202	Alpine Global Premier	7 873	8/24/2007	8/3/2009	40 83	128 54	-87 71
BofA W87-148202	Alpine Global Premier	7 825	10/15/2007	8/3/2009	40 58	129 54	-88 96
BofA W87-148202	Alpine Global Premier	8 406	11/13/2007	8/3/2009	43 59	130 53	-86 94
BofA W87-148202	Alpine Global Premier	9 789	12/5/2007	8/3/2009	50 76	131 59	-80 83
BofA W87-148202	Alpine Global Premier	9 787	1/15/2008	8/3/2009	50.75	132 83	-82 08
BofA W87-148202	Alpine Global Premier	9 834	1/31/2008	8/3/2009	51 00	134 07	-83 07
BofA W87-148202	Alpine Global Premier	9 726	3/5/2008	8/3/2009	50.43	135 32	-84 89
BofA W87-148202	Alpine Global Premier	10 433	4/23/2008	8/3/2009	54 10	136 55	-82 45
BofA W87-148202	Alpine Global Premier	10 152	5/19/2008	8/3/2009	52 65	137 87	-85 22
BofA W87-148202	Alpine Global Premier	10 608	6/11/2008	8/3/2009	55.01	139 16	-84 15
BofA W87-148202	Alpine Global Premier	13 022	7/17/2008	8/3/2009	67 53	140 51	-72 98
BofA W87-148202	Denbury	300 000	6/24/2008	8/3/2009	4,625 65	11,898 00	-7,272 35

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L..
BofA W87-148202	Peabody Energy Corp	50,000	6/24/2008	8/3/2009	1,591.22	4,177.02	-2,585.80
BofA W87-148202	Peabody Energy Corp	100,000	6/24/2008	8/3/2009	3,182.45	8,357.05	-5,174.60
BofA W87-148202	Research In Motion	100,000	4/4/2008	8/3/2009	7,512.26	11,751.50	-4,239.24
BofA W87-148202	Research In Motion	100,000	7/9/2008	8/3/2009	7,512.26	11,660.00	-4,147.74
BofA W87-148202	Mylan Inc Pfd Stock	5,000	11/19/2007	8/31/2009	4,694.87	5,000.00	-305.13
BofA W87-148202	Helmerich Payne Inc	300,000	6/9/2008	9/1/2009	10,170.91	19,680.15	-9,509.24
BofA W87-148202	Massey Energy Co	300,000	7/9/2008	9/1/2009	8,398.41	22,692.00	-14,293.59
BofA W87-148202	Mosaic Co	150,000	7/17/2008	9/1/2009	7,707.54	21,987.07	-14,279.53
BofA W87-148202	Nucor Corporation	300,000	7/9/2008	9/1/2009	13,479.25	19,053.00	-5,573.75
BofA W87-148202	Apple Computer Inc	90,000	8/24/2007	12/2/2009	11,522.70	11,522.70	0.00
BofA W87-148202	Apple Computer Inc	10,000	8/24/2007	12/2/2009	1,280.40	1,280.40	0.00
BofA W87-148202	Apple Computer Inc	100,000	9/17/2007	12/2/2009	13,914.00	13,914.00	0.00
BofA W87-148202	Google Inc	50,000	2/8/2008	12/2/2009	25,174.50	25,174.50	0.00
BofA W87-148202	ML&Co 11% Strides	1,200,000	2/7/2008	12/2/2009	30,000.00	30,000.00	0.00
TOTAL LONG TERM					198,873.01	289,878.18	-91,005.17
OVERALL TOTAL					945,441.24	1,075,187.65	-129,746.41

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
BofA W87-148261	Allianz SE	65 000	10/17/2008	7/27/2009	642 54	761.07	-118.53
BofA W87-148261	Allianz SE	162 000	11/10/2008	7/27/2009	1,601.40	1,513.26	88 14
BofA W87-148261	Allianz SE	172 000	3/9/2009	7/27/2009	1,700 25	1,153.21	547 04
BofA W87-148261	Allianz SE	198 000	3/20/2009	7/27/2009	1,957.27	1,561 45	395 82
BofA W87-148261	Allianz SE	140 000	3/25/2009	7/27/2009	1,383 93	1,239 53	144.40
BofA W87-148261	BAE SYS PLC	16 000	8/7/2008	7/27/2009	354 80	577 73	-222 93
BofA W87-148261	BAE SYS PLC	34 000	10/21/2008	7/27/2009	753 95	756 15	-2 20
BofA W87-148261	BAE SYS PLC	11 000	11/5/2008	7/27/2009	243 93	244 98	-1 05
BofA W87-148261	BAE SYS PLC	98 000	3/9/2009	7/27/2009	2,173.16	1,974.70	198 46
BofA W87-148261	BG Group PLC	8 000	9/30/2008	7/27/2009	703 46	843 97	-140 51
BofA W87-148261	BG Group PLC	12 000	3/9/2009	7/27/2009	1,055 19	811 20	243 99
BofA W87-148261	BP PLC	45 000	3/9/2009	7/27/2009	2,248 32	1,602 41	645 91
BofA W87-148261	BNP Paribas Sponsord	125 000	3/20/2009	7/27/2009	4,457.38	2,566 04	1,891.34
BofA W87-148261	Barclays PLC	185 000	4/1/2009	7/27/2009	3,719 60	1,776 91	1,942 69
BofA W87-148261	Barclays PLC	137 000	4/29/2009	7/27/2009	2,754 51	1,865 34	889 17
BofA W87-148261	Barrick Gold Corp	23 000	2/10/2009	7/27/2009	815.10	888 97	-73.87
BofA W87-148261	Barrick Gold Corp	12 000	2/11/2009	7/27/2009	425 27	468 08	-42 81
BofA W87-148261	Barrick Gold Corp	32 000	2/23/2009	7/27/2009	1,134 06	1,216.97	-82 91
BofA W87-148261	Barrick Gold Corp	51 000	3/9/2009	7/27/2009	1,807 41	1,420 44	386 97
BofA W87-148261	BHP Billiton Ltd	39 000	5/14/2009	7/27/2009	2,318 60	2,097.43	221 17
BofA W87-148261	BHP Billiton Ltd	18 000	6/5/2009	7/27/2009	1,070 12	1,082 10	-11 98
BofA W87-148261	British Amn Tobacco	11 000	8/8/2008	7/27/2009	655 59	823 53	-167 94
BofA W87-148261	British Amn Tobacco	12 000	10/17/2008	7/27/2009	715 19	696 63	18 56
BofA W87-148261	British Amn Tobacco	32 000	3/9/2009	7/27/2009	1,907.18	1,499.84	407.34
BofA W87-148261	Canon Inc Adr	28 000	11/5/2008	7/27/2009	945 97	952 10	-6 13
BofA W87-148261	Canon Inc Adr	90 000	3/9/2009	7/27/2009	3,040 62	2,141.76	898 86
BofA W87-148261	Credit Suisse	102 000	4/30/2009	7/27/2009	4,715 93	3,809 00	906 93
BofA W87-148261	Credit Suisse	25 000	5/15/2009	7/27/2009	1,155.87	1,037 82	118.05
BofA W87-148261	ENI	48 000	3/9/2009	7/27/2009	2,362 74	1,699 76	662 98
BofA W87-148261	E On Ag	128 000	3/9/2009	7/27/2009	4,541 23	3,289 60	1,251 63
BofA W87-148261	Ericsson LM Tel	98 000	10/17/2008	7/27/2009	1,010 13	701.40	308 73
BofA W87-148261	Ericsson LM Tel	107 000	3/9/2009	7/27/2009	1,102 89	839 94	262 95
BofA W87-148261	Espint	161 000	5/4/2009	7/27/2009	2,168 44	1,900 70	267 74
BofA W87-148261	Fanuc Ltd	49 000	5/13/2009	7/27/2009	1,983 83	2,004 66	-20 83
BofA W87-148261	Fanuc Ltd	31 000	6/9/2009	7/27/2009	1,255 08	1,262.07	-6 99
BofA W87-148261	GDF Suez ADR	10 000	10/17/2008	7/27/2009	371 21	450 01	-78 80

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
BofA W87-148261	GDF Suez ADR	67 000	3/9/2009	7/27/2009	2,487 09	1,983 20	503.89
BofA W87-148261	Glaxosmithkline PLC	38 000	12/29/2008	7/27/2009	1,445 65	1,375 47	70.18
BofA W87-148261	Glaxosmithkline PLC	62 000	3/9/2009	7/27/2009	2,358.70	1,792.31	566.39
BofA W87-148261	Heineken NV	54 000	3/9/2009	7/27/2009	1,051 57	685 26	366 31
BofA W87-148261	Heineken NV	57 000	3/23/2009	7/27/2009	1,109 99	781 77	328.22
BofA W87-148261	Hoya	19 000	9/30/2008	7/27/2009	418 07	396 79	21 28
BofA W87-148261	Hoya	22 000	10/17/2008	7/27/2009	484 08	441 66	42.42
BofA W87-148261	Hoya	18 000	3/5/2009	7/27/2009	396 06	330.30	65 76
BofA W87-148261	Hoya	58 000	3/9/2009	7/27/2009	1,276 20	1,062 69	213 51
BofA W87-148261	Imperial Tob	10 000	10/17/2008	7/27/2009	549 66	450.01	99 65
BofA W87-148261	Imperial Tob	43 000	3/9/2009	7/27/2009	2,363 53	1,272 80	1,090 73
BofA W87-148261	LVMH Moet Hennessy	132 000	4/29/2009	7/27/2009	2,260 46	2,023.28	237 18
BofA W87-148261	LVMH Moet Hennessy	69 000	6/5/2009	7/27/2009	1,181 60	1,212 75	-31 15
BofA W87-148261	Merck KGAA	46 000	3/6/2009	7/27/2009	1,590.47	1,124 71	465 76
BofA W87-148261	Merck KGAA	22 000	3/9/2009	7/27/2009	760.66	541 63	219 03
BofA W87-148261	Mitsubishi Estate	15 000	5/6/2009	7/27/2009	2,375 73	1,992 75	382 98
BofA W87-148261	Nestle S A Rep	31 700	3/9/2009	7/27/2009	1,276 06	979 53	296 53
BofA W87-148261	Nokia Corp	68 000	2/9/2009	7/27/2009	894 37	867 24	27 13
BofA W87-148261	Nokia Corp	186 000	3/9/2009	7/27/2009	2,446 37	1,733 45	712.92
BofA W87-148261	Nokia Corp	17 000	3/20/2009	7/27/2009	223 59	192.52	31 07
BofA W87-148261	Nokia Corp	65 000	3/25/2009	7/27/2009	854 91	738 00	116.91
BofA W87-148261	Novartis Adr	32 000	3/5/2009	7/27/2009	1,410 67	1,170 78	239 89
BofA W87-148261	Novartis Adr	74 000	3/9/2009	7/27/2009	3,262 18	2,528 57	733 61
BofA W87-148261	Novo Nordisk	62 000	4/27/2009	7/27/2009	3,575 40	2,874 93	700 47
BofA W87-148261	Prudential PLC	34 000	10/17/2008	7/27/2009	470 55	538 75	-68 20
BofA W87-148261	Prudential PLC	171 000	11/10/2008	7/27/2009	2,366.58	2,255 64	110.94
BofA W87-148261	Prudential PLC	183 000	3/9/2009	7/27/2009	2,532 65	1,384 54	1,148.11
BofA W87-148261	Prudential PLC	233 000	3/24/2009	7/27/2009	3,224 63	1,990 96	1,233.67
BofA W87-148261	Reckitt Benckiser	156 000	2/24/2009	7/27/2009	1,469.37	1,252 07	217 30
BofA W87-148261	Reckitt Benckiser	159 000	3/5/2009	7/27/2009	1,497 63	1,233 74	263 89
BofA W87-148261	Reckitt Benckiser	153 000	3/9/2009	7/27/2009	1,441.12	1,101.60	339 52
BofA W87-148261	Roche	40 000	3/5/2009	7/27/2009	1,451 25	1,139 44	311.81
BofA W87-148261	Roche	87 000	3/9/2009	7/27/2009	3,156 47	2,357 27	799 20
BofA W87-148261	Sanofi Aventis	24 000	12/30/2008	7/27/2009	782 22	744 19	38 03
BofA W87-148261	Sanofi Aventis	20 000	1/20/2009	7/27/2009	651 85	641 80	10 05
BofA W87-148261	Sanofi Aventis	30 000	2/17/2009	7/27/2009	977 78	913 22	64.56
BofA W87-148261	Sanofi Aventis	77 000	3/9/2009	7/27/2009	2,509 64	1,997 33	512 31
BofA W87-148261	Singapore Telecomm	49 000	3/9/2009	7/27/2009	1,119 89	825 16	294 73

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
BofA W87-148261	Singapore Telecomm	123.000	3/27/2009	7/27/2009	2,811.16	2,084.78	726.38
BofA W87-148261	Singapore Telecomm	13.000	4/3/2009	7/27/2009	297.11	220.33	76.78
BofA W87-148261	Singapore Telecomm	13.000	4/3/2009	7/27/2009	297.11	220.33	76.78
BofA W87-148261	Sumitomo Mitsu Finl	466.000	3/9/2009	7/27/2009	1,871.24	1,384.02	487.22
BofA W87-148261	Sumitomo Mitsu Finl	285.000	5/12/2009	7/27/2009	1,144.43	987.04	157.39
BofA W87-148261	Telus	58.000	3/9/2009	7/27/2009	1,574.06	1,391.79	182.27
BofA W87-148261	Tesco	82.000	180/96/3947	7/27/2009	1,507.17	2,250.90	-743.73
BofA W87-148261	Tesco	29.000	10/15/2008	7/27/2009	533.02	554.31	-21.29
BofA W87-148261	Tesco	66.000	11/5/2008	7/27/2009	1,213.09	1,085.21	127.88
BofA W87-148261	Tesco	81.000	3/9/2009	7/27/2009	1,488.79	1,061.91	426.88
BofA W87-148261	Total S A	32.000	3/9/2009	7/27/2009	1,809.97	1,504.26	305.71
BofA W87-148261	Unilever PLC	65.000	9/29/2008	7/27/2009	1,658.46	1,789.07	-130.61
BofA W87-148261	Unilever PLC	109.000	3/9/2009	7/27/2009	2,781.10	2,012.14	768.96
BofA W87-148261	Vodafone Grp PLC New	53.000	7/31/2008	7/27/2009	1,010.88	1,378.04	-367.16
BofA W87-148261	Vodafone Grp PLC New	54.000	10/1/2008	7/27/2009	1,029.95	1,259.45	-229.50
BofA W87-148261	Vodafone Grp PLC New	128.000	3/9/2009	7/27/2009	2,441.36	2,188.70	252.66
BofA W87-148261	Zurich Finl Svcs	62.000	9/30/2008	7/27/2009	1,146.27	1,766.46	-620.19
BofA W87-148261	Zurich Finl Svcs	208.000	3/9/2009	7/27/2009	3,845.55	2,818.40	1,027.15
BofA W87-148261	Zurich Finl Svcs	25.000	3/19/2009	7/27/2009	462.21	323.42	138.79
BofA W87-148261	Zurich Finl Svcs	28.000	5/5/2009	7/27/2009	517.67	523.09	-5.42
BofA W87-148261	Claymore Secs	4,644.000	7/28/2009	12/3/2009	50,005.09	50,005.09	0.00
BofA W87-148261	Ishares TR Emerging Mkts	700.000	7/28/2009	12/3/2009	25,036.00	25,036.00	0.00
BofA W87-148261	Ishares TR EAFE Index FD	2,000.000	7/28/2009	12/3/2009	98,245.85	98,245.85	0.00
BofA W87-148261	S&P Asia	700.000	8/12/2009	12/3/2009	25,630.76	25,630.76	0.00
BofA W87-148261	S&P Europe 350	700.000	8/12/2009	12/3/2009	24,921.10	24,921.10	0.00
TOTAL SHORT TERM					374,236.25	347,101.32	27,134.93

LONG TERM

BofA W87-148261	Allianz SE	4.000	3/28/2008	7/27/2009	39.54	75.08	-35.54
BofA W87-148261	BAE SYS PLC	59.000	3/28/2008	7/27/2009	1,308.33	2,264.67	-956.34
BofA W87-148261	BAE SYS PLC	62.000	4/7/2008	7/27/2009	1,374.86	2,461.87	-1,087.01
BofA W87-148261	BAE SYS PLC	30.000	4/21/2008	7/27/2009	665.25	1,111.58	-446.33
BofA W87-148261	BAE SYS PLC	40.000	5/14/2008	7/27/2009	887.01	1,484.71	-597.70
BofA W87-148261	BG Group PLC	20.000	7/24/2008	7/27/2009	1,758.65	2,250.28	-491.63
BofA W87-148261	BP PLC	37.000	2/28/2007	7/27/2009	1,848.62	2,329.47	-480.85
BofA W87-148261	BP PLC	40.000	3/1/2007	7/27/2009	1,998.50	2,573.82	-575.32
BofA W87-148261	BP PLC	25.000	5/29/2007	7/27/2009	1,249.06	1,711.50	-462.44
BofA W87-148261	British Amn Tobacco	71.000	5/29/2007	7/27/2009	4,231.55	4,649.79	-418.24

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
BofA W87-148261	Canon Inc ADR	72 000	5/29/2007	7/27/2009	2,432.50	4,227.25	-1,794.75
BofA W87-148261	Canon Inc ADR	27 000	9/14/2007	7/27/2009	912.19	1,428.68	-516.49
BofA W87-148261	Canon Inc ADR	36 000	4/22/2008	7/27/2009	1,216.25	1,755.30	-539.05
BofA W87-148261	ENI	3 000	2/22/2008	7/27/2009	147.67	202.43	-54.76
BofA W87-148261	ENI	16 000	4/9/2008	7/27/2009	787.58	1,132.98	-345.40
BofA W87-148261	ENI	10 000	4/21/2008	7/27/2009	492.24	747.09	-254.85
BofA W87-148261	E.On Ag	15 000	11/20/2007	7/27/2009	532.18	987.60	-455.42
BofA W87-148261	E.On Ag	11 000	11/21/2007	7/27/2009	390.26	725.08	-334.82
BofA W87-148261	E.On Ag	33 000	12/24/2007	7/27/2009	1,170.79	2,266.28	-1,095.49
BofA W87-148261	E.On Ag	2 000	12/27/2007	7/27/2009	70.96	137.30	-66.34
BofA W87-148261	E.On Ag	3 000	2/21/2008	7/27/2009	106.44	190.91	-84.47
BofA W87-148261	E.On Ag	10 000	2/22/2008	7/27/2009	354.78	654.75	-299.97
BofA W87-148261	E.On Ag	2 000	2/25/2008	7/27/2009	70.96	130.00	-59.04
BofA W87-148261	E.On Ag	20 000	3/13/2008	7/27/2009	709.57	1,264.40	-554.83
BofA W87-148261	E.On Ag	18 000	5/15/2008	7/27/2009	638.61	1,199.91	-561.30
BofA W87-148261	GDF Suez ADR	56 400	5/29/2007	7/27/2009	2,093.61	3,062.18	-968.57
BofA W87-148261	GDF Suez ADR	43 600	9/24/2007	7/27/2009	1,618.47	2,294.00	-675.53
BofA W87-148261	Glaxosmithkline PLC	78 000	5/29/2007	7/27/2009	2,967.39	4,166.76	-1,199.37
BofA W87-148261	Heineken NV	81 000	5/29/2007	7/27/2009	1,577.35	2,288.25	-710.90
BofA W87-148261	Hoya	76 000	5/29/2007	7/27/2009	1,672.27	2,515.60	-843.33
BofA W87-148261	Imperial Tob	56 400	5/29/2007	7/27/2009	3,100.08	3,062.18	37.90
BofA W87-148261	Imperial Tob	43 600	9/24/2007	7/27/2009	2,396.51	2,293.99	102.52
BofA W87-148261	Nestle S A Rep	45 380	5/29/2007	7/27/2009	1,826.74	1,746.22	80.52
BofA W87-148261	Nestle S A Rep	34 920	7/11/2007	7/27/2009	1,405.68	1,346.96	58.72
BofA W87-148261	Nokia Corp	175 000	5/29/2007	7/27/2009	2,301.69	4,641.00	-2,339.31
BofA W87-148261	Nokia Corp	25 000	5/13/2008	7/27/2009	328.81	742.90	-414.09
BofA W87-148261	Roche	70 000	5/29/2007	7/27/2009	2,539.69	3,241.00	-701.31
BofA W87-148261	Roche	64 000	7/24/2007	7/27/2009	2,322.00	2,950.94	-628.94
BofA W87-148261	Roche	20 000	9/17/2007	7/27/2009	725.62	881.63	-156.01
BofA W87-148261	Sanofi Aventis	111 000	5/29/2007	7/27/2009	3,617.79	5,283.60	-1,665.81
BofA W87-148261	Singapore Telecomm	46 000	5/29/2007	7/27/2009	1,051.33	1,062.60	-11.27
BofA W87-148261	Singapore Telecomm	103 000	8/15/2007	7/27/2009	2,354.06	2,299.14	54.92
BofA W87-148261	Sumitomo Mitsu Finl	208 000	5/29/2007	7/27/2009	835.23	2,059.20	-1,223.97
BofA W87-148261	Sumitomo Mitsu Finl	31 000	5/13/2008	7/27/2009	124.48	261.13	-136.65
BofA W87-148261	Sumitomo Mitsu Finl	159 000	5/22/2008	7/27/2009	638.47	1,331.05	-692.58
BofA W87-148261	Telus	25 000	6/3/2008	7/27/2009	678.48	1,182.94	-504.46
BofA W87-148261	Total S.A.	59 000	5/26/2006	7/27/2009	3,337.13	0.00	3,337.13
BofA W87-148261	Total S A	25 000	5/29/2007	7/27/2009	1,414.04	1,913.25	-499.21

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
BofA W87-148261	Unilever PLC	77 000	5/29/2007	7/27/2009	1,964 63	2,378 53	-413 90
BofA W87-148261	Unilever PLC	77 000	7/13/2007	7/27/2009	1,964 63	2,591 45	-626 82
BofA W87-148261	Vodafone Grp PLC New	29 000	6/10/2008	7/27/2009	553 12	896 39	-343 27
BofA W87-148261	Vodafone Grp PLC New	42 000	7/3/2008	7/27/2009	801 07	1,245 79	-444 72
BofA W87-148261	Zurich Finl Svcs	30 000	9/18/2007	7/27/2009	554 65	878 17	-323 52
BofA W87-148261	Zurich Finl Svcs	91 000	12/13/2007	7/27/2009	1,682 43	2,821 21	-1,138 78
TOTAL LONG TERM					73,841.80	99,400.79	-25,558.99
OVERALL TOTAL					448,078.05	446,502.11	1,575.94